

1 **Climate modulation of the Tibetan Plateau on haze in China**

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## Key Points

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25 1) A large-scale "susceptible region" for haze occurrences is climatologically  
26 identified over central-eastern China (CEC) harbored by the Tibetan Plateau (TP).

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28 2) Thermal anomalies of the TP induce the change in meteorological drivers  
29 downstream for frequent haze events in CEC.

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31 3) Implications of the TP-topography for atmospheric environment will be having  
32 potential utility for development planning in China.

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## Abstract

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46 Rapid increases in pollutant emissions in conjunction with stagnant meteorological  
47 conditions result in haze pollution in China. Recent frequent haze in China has  
48 attracted worldwide attention. Here we show a relationship between the haze events  
49 and Tibetan Plateau (TP)'s environment and climate changes. Based on  
50 observational data taken over recent decades, we identify central-eastern China (CEC)  
51 as a climatological large-scale "susceptible region" of frequent haze, which is  
52 harbored by the TP with its impact on mid-latitude westerly winds. The  
53 observational and modeling studies demonstrate that the interannual variations in the  
54 thermal forcing of TP are positively correlated with the incidences of wintertime haze  
55 over CEC. Further analysis indicates that the TP-climate warming induced changes in  
56 atmospheric circulation driving frequent haze events in CEC. The frequent haze  
57 occurrences in CEC are consistent with decreasing winter monsoon winds,  
58 intensifying downward air flows and increasing atmospheric stability in the lower  
59 troposphere over the CEC in association with upstream plateau's thermal anomalies.  
60 Therefore, variations of haze in China are related to mechanical and thermal forcing  
61 by the TP. Our results also suggest that implications of the large TP-topography for  
62 environment and climate changes should be taken into account for air pollution  
63 mitigation policies in China.

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## 67 **1 Introduction**

68 Haze in poor visibility with high particulate matter (PM) or aerosol levels is a  
69 pervasive air quality problem facing China, posing a major challenge for public health  
70 (Huang et al., 2014;Zhang et al., 2015). The frequent haze pollution has been notable  
71 for hitting record high levels of PM pollution over central-eastern China (CEC) in  
72 history since 1961 (Ding and Liu, 2014). In January 2013, extremely severe and  
73 persistent haze events swept over much of CEC-region. A large area of CEC from  
74 the North China Plain, including Beijing, across the Lower Yangtze Valley Plain to the  
75 Sichuan Basin, was blanketed in thick haze and smog for almost one month. It is  
76 estimated by the Chinese government that this wintertime haze covered a quarter of  
77 the total land area in China with 600 million people, half of the Chinese population,  
78 exposed to the haze air pollution (NDRC, 2013;Gu, 2013). China's National  
79 Meteorological Center released its first ever "haze" orange alert (CMA, 2010) in  
80 response to the air quality index frequently reaching hazardous levels for this regional  
81 haze event. The PM<sub>2.5</sub> (PM with an aerodynamic diameter less than 2.5 micrometers)  
82 concentrations at 33 cities in the CEC region were more than 300  $\mu\text{g m}^{-3}$  for longer  
83 than half a month, and some monitors reported hourly peak PM<sub>2.5</sub> levels of 900  $\mu\text{g m}^{-3}$ ,  
84 which is classified as "Beyond Index" (NDRC, 2013;Gu, 2013). The suffering of  
85 those in China from haze and poor air quality has attracted worldwide attention(Wang  
86 et al., 2014;Arden Pope III and Dockery, 2013;Chen et al., 2013b;Kan et al.,  
87 2011;Park et al., 2013;Zhao et al., 2013).

88

89 China has been experiencing the increased air pollution, commonly attributed to the  
90 large increases in pollutant emissions associated with the rapid economic  
91 development. However, air quality is modulated by changes in meteorology and  
92 climate (Tagaris et al., 2009;Zhang et al., 2014;Wang et al., 2015). In accompany  
93 with an unceasing increase in the Chinese pollutant emissions in recent decades, the  
94 CEC region had observed the significant interannual variations of haze occurrences  
95 (Ding and Liu, 2014). The changing East Asian monsoon climate could also play an  
96 important role in the variations of haze events in CEC apart from the anthropogenic  
97 dimension of pollutant emission sources related to the rapid industrialization of China.  
98 The surface wind speed associated with East Asian monsoons has significantly  
99 weakened in both winter and summer in the recent three decades (Xu et al., 2006;Oey  
100 et al., 2013). The weakening of the East Asian monsoons could increase air pollutants  
101 mainly by the changes in atmospheric circulation and weather conditions (Zhu et al.,  
102 2012;Niu et al., 2010). Weak advection of cold air, in conjunction with strong  
103 subsidence and stable atmospheric stratification, can easily produce a stagnation area  
104 in the lower troposphere resulting in regional pollutant accumulations, which are  
105 favorable for the development of CEC haze events (Zhao et al., 2013). In addition,  
106 in the presence of high soil moisture, strong surface evaporation results in increases in  
107 the near-surface relative humidity, which is also conducive to haze formation(Xiao et  
108 al., 2011). The contribution of the meteorological factors to the variance of the daily  
109 haze evolution was estimated to reach 0.68, which could explain more than 2/3 of the  
110 variance for the persistent severe haze events over CEC in January 2013 (Zhang et al.,

111 2014). By changing East Asian winter monsoon climate, the Arctic sea ice decline  
112 could intensify haze pollution in CEC (Wang et al., 2015).

113

114 It is generally accepted that meteorological conditions in China are closely connected  
115 to the large topography of Tibetan Plateau (TP) (Yanai et al., 1992; Xu et al., 2010; Wu  
116 et al., 2012; Ye and Wu, 1998). Precipitation, land surface temperature and surface  
117 air temperature have increased on the TP over the past decades (Zhong et al., 2011).

118 The TP has exhibited the largest surface radiative flux changes induced by aerosols  
119 (e.g. black carbon and dust) contaminating snow and ice compared to any other  
120 snow- and ice-covered regions in the world (Qian et al., 2011). Aerosol transport and  
121 deposition have been increasingly dirtying and even melting the snow- and  
122 ice-dominated wintertime TP (Ramanathan and Carmichael, 2008; Xu et al., 2009).

123 This process leads to decreases in the snow and ice albedos, which could be largely  
124 responsible for climate change in the TP region (Hansen and Nazarenko, 2004). As  
125 one of the absorbing aerosols in the atmosphere, dust can influence the climate  
126 directly by modulating the radiation budget, affect the microphysical properties of  
127 clouds, and alter the surface albedo of the ground covered by snow or glacier TP. Dust  
128 transport and depositions could impact on regional climate and environment over the  
129 TP (Lau et al., 2006; Lau et al., 2010; Huang et al., 2008; Chen et al., 2013a; Liu et al.,  
130 2008). The question remains whether the rapid changes in climate experienced by  
131 the TP could exert an influence on the haze variations in the downstream CEC region,  
132 the lower flatlands harbored by the large TP-topography. The consequent processes

133 linking the TP-climate change with the CEC haze pollution should be highly possible  
134 and worth investigating, even though it is obvious that increasing anthropogenic  
135 pollutant emissions contribute to high haze frequency (Zhang et al., 2013 ). In this  
136 study, we attempt to determine the physical connection between climate change in the  
137 TP and haze occurrences in the CEC region to more comprehensively understand the  
138 large-area haze formation in China especially under the background of global  
139 warming with the TP's environment and climate changes.

140

## 141 **2 Data and methods**

142 In this study, we used the observational records of visibility, weather phenomenon,  
143 relative humidity and 10m wind from 1961 to 2012 archived at the China  
144 Meteorological Administration (CMA) , and the meteorological variables of air  
145 temperature, winds and relative humidity from the reanalysis data generated by the  
146 US National Center for Environmental Prediction–National Center for Atmospheric  
147 Research (NCEP/NCAR). This study adopts a widely-used comprehensive haze  
148 definition using surface in-situ observations of visibility, relative humidity and  
149 weather phenomenon. The observed relative humidity of less than 90% is used to  
150 distinguish haze from fog under the visibility <10km, (Schichtel et al., 2001;Doyle  
151 and Dorling, 2002;Ding and Liu, 2014). The Chinese CO<sub>2</sub> emission data during  
152 1961-2012 are downloaded online from the website  
153 ([http://cdiac.ornl.gov/CO2\\_Emission/timeseries/national](http://cdiac.ornl.gov/CO2_Emission/timeseries/national)).

154

155 Following the studies of Yanai (1961), Yanai and Johnson (1993) , Yanai and Tomita  
156 (1998), the apparent heat source ( $Q_1$ ) and apparent moisture sink ( $Q_2$ ) are calculated.  
157 Atmospheric heat sources and moisture sinks are respectively gauged with the  $Q_1$  and  
158  $Q_2$ . As  $Q_1$  includes  $Q_2$  and radiative heating, here we concentrate only on the  
159 collective effect of apparent heating ( $Q_1$ ) over the TP. The heat source column (in  
160 units of  $W\ m^{-2}$ ) over the TP is obtained with both horizontal and vertical integration of  
161  $Q_1$  over the TP-area of 78°E-103°E and 28°N-38°N covering the most region with the  
162 altitude of higher than 3000m (see the large TP-rectangle in upper panel of Fig. 1) to  
163 form a one-dimensional variable representing the TP-thermal forcing. The correlation  
164 coefficients between the TP-heat source column and the meteorological variables (U-,  
165 V- and W-components of wind and air temperature) are calculated to build their  
166 horizontal and vertical distributions of correlations. Zonal, meridional and vertical  
167 components of the correlation vector are respectively derived through the correlation  
168 coefficients of the TP-heat source column to U-, V- and W-components of vector of  
169 wind and air temperature, indicating the changes in wind and air temperature induced  
170 by the TP-thermal forcing.

171

172 In the modeling study, we used the global air quality model system GEM-AQ/EC,  
173 which is an integration of gas phase chemistry and aerosol modules in the  
174 meteorological model GEM (Global Environmental Multiscale weather prediction  
175 model of Environment Canada). Full details of the development and evaluation of  
176 GEM-AQ/EC are given by Gong et al. (2012). The validations of 10 year (1995-2004)



177 GEM-AQ/EC modeling prove that the model provided satisfactory simulations of the  
178 distribution and variation of global and regional aerosols (Gong et al., 2012;Zhao et  
179 al., 2012). Regional variations of aerosols in East Asia are reasonably captured by the  
180 GEM-AQ/EC modeling compared to the observed aerosol concentrations and aerosol  
181 optical depth.

182

183 Air quality change is generally driven by two factors: pollutant emissions and  
184 meteorology. In order to exclude the emission influence on interannual variations of  
185 aerosols, a sensitivity simulation with GEM-AQ/EC is designed without year-to-year  
186 changes in anthropogenic aerosol emissions from 1995 to 2004 for an assessment on  
187 the impact of the TP-warming on air quality change in China. The sensitivity  
188 simulation experiment of GEM-AQ/EC was configured with 28 hybrid vertical levels  
189 and the model top at 10 hPa as well as the horizontal model grids in a global uniform  
190 resolution of  $1^{\circ}\times 1^{\circ}$ . The GEM-AQ/EC was run with the fully nudged variables of  
191 wind, temperature, pressure and water vapor of NCEP-reanalysis meteorology every  
192 24 hours from 1995 to 2004.

193

### 194 **3 Results and discussion**

195 In this Section, we identify the contributions of pollutant emissions and climate  
196 change to interannual haze variations ( in Sect. 3.1 ) , reveal a climatological  
197 "susceptible region" for haze formation in China (in Sect, 3.2), analyze the  
198 relationships between TP's thermal forcing and haze over CEC (in Sect, 3.3) and

199 investigate the TP-warming inducing favorable meteorology for CEC's haze (in Sect.  
200 3.4) based on the meteorological observations. In order to more convincingly  
201 demonstrate the observed results, Section 3.5 presents the results of a sensitivity  
202 simulation experiment about impacts of the TP's thermal forcing on CEC's aerosol  
203 variations.

204

### 205 **3.1 Contributions of pollutant emissions and climate change to interannual** 206 **haze variations in China**

207 China has experienced the huge increases in CO<sub>2</sub> emissions from fossil fuel  
208 combustion with the certain attendant pollutant emissions and aerosol loading over  
209 recent decades (upper panel of Fig. 1), which has a direct physical link to more  
210 frequent haze occurrences in situ in China. The regional emissions of air pollutants  
211 contribute largely to the haze pollution in CEC with a high coefficient of  
212 determination,  $R^2=0.9025$  between interannual variations of haze frequency and CO<sub>2</sub>  
213 emission in China (upper panel of Fig. 1), reflecting that the frequent haze events are  
214 strongly associated with the large increases in anthropogenic pollutant emissions in  
215 recent decades.

216

217 In accompany with an unceasing increase in the Chinese pollutant emissions during  
218 recent decades, the significant interannual variations of haze occurrences in CEC over  
219 recent decades could be separated into three interdecadal phases with the trends of  
220 slow ascending (4.6d/10a) from the 1960s to 70s, less changing (1.7 d/10a) during the

221 1980s–1990s and sharply rising with a trend reaching 13.0d/10a going into the 21st  
222 century (upper panel of Fig. 1). Although of a continuous increasing trend in the  
223 pollutant emissions over the recent decades, the haze variations in CEC have evolved  
224 with the different trends of slow, less and sharply ascending over three interdecadal  
225 periods, implying that climate change could also play an important role in the  
226 variations of haze events in CEC apart from the anthropogenic dimension of pollutant  
227 emission sources related to the rapid industrialization of China. A steady decline of  
228 East Asian monsoon winds is negatively correlated to haze occurrences in the CEC  
229 with the coefficient of determination,  $R^2=0.6419$  passing the confidence level of  
230 99.9% (lower panel of Fig.1), indicating a consequence of East Asian monsoon  
231 climate change to CEC haze pollution.

232

### 233 **3.2 A climatological “susceptible region” for haze formation in China**

234 Examination of ground-based observations of the frequency of haze events from 1961  
235 to 2012 (CMA, 2010) reveals that the haze air pollution in China typically has the  
236 highest levels in the CEC region covering a vast area from the eastern edges of the TP  
237 and the Loess Plateau to China’s Pacific coast, and haze occurrences in CEC oscillate  
238 seasonally between the peak in winter and the low in summer (Fig. 2). Based upon  
239 these climate data, we could climatologically regard the CEC, with the lowlands  
240 harboured by the upstream plateaus of western China, as a large-scale “susceptible  
241 region” of frequent haze events in China (left panel of Fig. 2). Upper panel of  
242 Figure 3 shows that low average wind speeds tend to be coincident with the centers of

243 pollutant haze events over the CEC (left panel of Fig. 2), reflecting the climatological  
244 “susceptible region” of haze occurrences in connection with a stagnation area in the  
245 lower troposphere in China.

246

247 Due to the influence of the TP terrain on the typical westerly winds in this region, the  
248 air flowing from the windward plateaus descends in a north-south oriented zone  
249 between about 110°E and 125°E (middle panel of Fig. 3). Accompanying this strong  
250 downward current are weak winds in the near-surface layers that lie in the lee side of  
251 the plateaus. These air flow and wind condition lead to development of a “harbor”  
252 that accumulates air pollutants in the CEC region. The weak wind and downward  
253 current areas coincide well with the centers of frequent haze events in China (middle  
254 and lower panels of Fig. 3). The “susceptible region” of haze events over the CEC  
255 region from the eastern edge of the plateaus to the lower flatlands is associated with  
256 the “harbor” effect of the unique TP topography under specific meteorological  
257 conditions that trap air pollutants.

258

259 Because haze is climatologically mostly a winter phenomenon in the CEC (right panel  
260 of Fig. 2), the following analysis on the TP’s climate effect on haze pollution in CEC  
261 and the related mechanisms is focused on the winter season.

262

### 263 **3.3 Relationships between TP’s thermal forcing and haze over CEC**

264 As a vast elevated landmass, the TP acts thermodynamically as a synoptic-scale

265 wintertime cooling source protruding into the free atmosphere(Qiu, 2008;Liu and  
266 Chen, 2000b;Ruddiman and Kutzbach, 1989;Yeh et al., 1957). The TP region, as a  
267 wintertime cooling source (negative values of apparent heat source  $Q_1$ ), has been  
268 experiencing a warming trend over recent decades, especially since 2001 (upper left  
269 panel of Fig. 4). A striking climate warming over the TP during the last decades has  
270 been revealed by many studies (Liu and Chen, 2000a;Duan et al., 2006;Yan and Liu,  
271 2014).

272

273 Against the backdrop of global climate change, the question may be posed: Does the  
274 warming of the TP region cause changes in the atmospheric environment in China  
275 resulting in more frequent haze events in the CEC? The historical data analysis  
276 indicates that a significant correlation exists between the wintertime cooling source  
277 represented by the apparent heat source column  $Q_1$  integrated over the TP and the  
278 number of haze days averaged regionally in the CEC over recent decades (upper panel  
279 of Fig. 4). It is also found in upper panel of Fig. 4 that the changes of wintertime  $Q_1$   
280 over the TP were reversed from cooling to warming in the late 1990s, which could be  
281 connected with the trends in haze occurrences with less changing over the 1980s-90s  
282 and sharp increasing during the 21st century in China under the increases in pollutant  
283 emission levels (upper panel of Fig. 1). Based on the composite analysis on the haze  
284 frequencies in winter with positive and negative anomalies in wintertime cooling  
285 source of the TP, the haze increasing and decreasing incidences over the CEC are  
286 found in good agreement with the positively and negatively anomalous TP-cooling

287 sources (lower panel of Fig. 4). The frequency of haze events over the CEC region is  
288 positively correlated with climatic warming over the TP.

289

### 290 **3.4 The TP-warming inducing favorable meteorology for CEC's haze**

291 Further analyses provide information on the mechanisms relating climatic warming of  
292 the TP and enhancement in haze occurrence in the CEC. The favorable meteorology  
293 for haze occurrences is well known to be lower wind speeds, weaker vertical mixing,  
294 stronger subsidence, higher air humidity and more stable low-level stratification. We  
295 are still pondering the question whether climatic warming of the TP could strengthen  
296 the aforementioned meteorological conditions downstream for frequent haze events in  
297 the CEC to reveal the mechanism how thermal anomalies of the TP in climate change  
298 influence the incidence of haze over the CEC

299

300 The East Asian winter monsoon, which climatologically prevails over the CEC,  
301 typically maintains near-surface northeastern winds(Ding, 1994). In upper panel of  
302 Figure 5, two horizontal components of the correlation vector are derived through two  
303 correlation coefficients of  $Q_1$  to U- and V-surface wind components, respectively,  
304 where the arrow length denotes the combined correlation with a longer arrow  
305 implying a better correlation, and the arrow direction means the direction of  
306 anomalous wind induced by the TP-thermal effect. The correlation vector over the  
307 CEC in upper panel of Figure 5 indicates that the variations of thermal forcing over  
308 the TP could give rise to the weakening winter monsoon winds (southwest wind

309 anomalies) induced by changes of  $Q_1$  over the TP. Furthermore, the anomalous south  
310 wind components resulting from climate change in the TP (positive correlations of  $Q_1$   
311 to V-wind components in upper panel of Fig. 5) can enhance transport of water vapor  
312 from the oceans to the CEC(Niu et al., 2010). By increasing the moisture in the lower  
313 troposphere driven by the strong vapor transport(Hung and Kao, 2010) (lower panel  
314 of Fig. 5), in addition to decline in the East Asian winter monsoon with weak  
315 advection of cold air, haze formation can be enhanced(Zhao et al., 2013;Xiao et al.,  
316 2011).

317

318 Upper panels of Figure 6 present the results of composite analysis on vertical  
319 variations in air temperature in five winters respectively with the most positive and the  
320 most negative anomalies in the TP cooling source. The air temperature changes with  
321 upper warmer and lower cooler are found in the middle and lower troposphere over  
322 the CEC region in winter with positive  $Q_1$  anomalies on the TP, and an inverse  
323 structure of the CEC air temperature changes in winter with negative  $Q_1$  anomalies on  
324 the TP (upper panel of Fig. 6). The TP's warming and cooling anomalies could lead to  
325 a "warm shield" and a "cool shield" in the atmosphere over the CEC. The correlation  
326 analyses of observation data over 1981-2012 confirm that the vertical structure of  
327 anomalous air temperature similar to that induced the TP's positive thermal effect  
328 (upper-left panel of Fig.6) with a "warm shield" intensifying the subsidence in the  
329 lower troposphere is responsible for the frequent haze occurrences over the CEC  
330 (lower panel of Fig. 6). Associated with the warming TP, the vertical variations of air

331 temperature with upper warmer and lower cooler could easily build an inversion layer  
332 in the atmosphere over the polluted CEC, which results in more stably stratified  
333 atmosphere in this region (Fig. 6). Heavy haze pollution processes in winter are  
334 highly related with the existence of atmospheric inversion layer(Xu et al., 2003).

335

336 The cumulative consequences of weakening winter monsoon winds, intensifying  
337 downward air flows, a more humid and more stable atmosphere as the favorable  
338 meteorological conditions for haze formation would be expected to strengthen the air  
339 pollutant “harbor” effect of the TP and increase the number and severity of haze  
340 events in the CEC. Therefore, the haze formation over CEC is significantly modulated  
341 by the TP’s climate change under the increase and even without changes in the current  
342 levels of anthropogenic pollutant emissions.

343

### 344 **3.5 A sensitivity simulation experiment on effect of the TP-warming**

345 In order to more convincingly demonstrate the connection of the TP-warming to the  
346 haze frequency over CEC, a sensitivity simulation by employing the global air quality  
347 model GEM-AQ/EC is designed to isolate the emission influence on interannual  
348 variations of aerosols, where the monthly data of anthropogenic emissions by fossil  
349 fuel and biomass burning as well as the sulfate emissions compiled using EDGAR2.0  
350 (Gong et al., 2012) are introduced without any interannual changes from 1995 to 2004.  
351 The results of this sensitivity simulation are used to assess the impact of climate  
352 change on interannual change of air quality over CEC in this study.



353

354 Haze and aerosol changes are determined by both pollutant emission and meteorology,  
355 and the effects of meteorology are difficult to separate from aerosol observations. The  
356 10-year GEM-AQ/EC simulation without interannual changes in the anthropogenic  
357 emissions provides a possibility to identify the meteorological effect on the  
358 interannual variations of aerosols. To investigate the implications of TP's climate  
359 change for interannual aerosol variations in CEC's haze, a composite analysis of  
360 surface aerosol concentrations over CEC (Fig. 7) were performed for two winters with  
361 lower TP's  $Q_1$  (1996, 2002) and two winters with higher TP's  $Q_1$  (1998, 2003) during  
362 the simulation period of 1995-2004 according to the interannual  $Q_1$  changes over the  
363 TP (Fig. 4). As designed in the sensitivity simulation, the pollutant emissions in lower  
364 TP's  $Q_1$  (1996,2002) and higher TP's  $Q_1$  (1998,2003) are same in the simulation with  
365 the emission inventory dataset EDGAR2.0 (Gong et al., 2012). Because the effect of  
366 emissions was singled out in the interannual aerosol variations modeled in the  
367 sensitivity simulation experiment, the simulated variations in aerosol concentrations  
368 over CEC could be purely attributed to the changes of meteorological drivers in the  
369 context of changing climate. The analysis results show that the TP heating  
370 anomalies could lead to enhancements of 30-45% in wintertime surface aerosol  
371 concentrations over the CEC-region compared to the winters with the TP cooling  
372 anomalies (Fig. 7). Because changes of aerosol levels in the surface atmosphere  
373 determine haze formation, this sensitivity simulation confirmed that the frequent haze  
374 in China with the significantly interannual variations is closely related to thermal

375 forcing by the TP, and climate change of the TP could intensify pollutant haze in  
376 China even without increases in the current anthropogenic pollutant emissions.

377

#### 378 **4. Conclusions**

379 Based on observational data over the recent decades, we identify the CEC region, the  
380 lower flatlands along the eastern plateau edges in China as a climatological  
381 large-scale “susceptible region” of pollutant haze in connected with downward  
382 currents and weak near-surface winds in consequence of the “harbor” impact of large  
383 TP topography on mid-latitude westerlies. The climate analysis reveals that the  
384 increasingly frequent haze in the CEC region is related with decreasing winter  
385 monsoon winds, intensifying descending air and increasing atmospheric stability in  
386 the lower-troposphere over the CEC in association with plateau’s thermal anomalies.  
387 Climate impact of the TP’s mechanical and thermal forcing driving changes in  
388 atmospheric circulation and meteorological conditions downstream is potentially  
389 contributing to the increasing trend in haze events in China. A sensitivity simulation  
390 also confirmed that the frequent haze in CEC with the significantly interannual  
391 variations is closely connected with thermal forcing by the TP.

392

393 The Chinese government has been making great strides in reducing emissions and  
394 mitigating air pollution. However, the interplay of China’s unique landform  
395 distribution with climate change and its associated more extreme weather events  
396 could impair the effectiveness of air pollution control measures in China. With the

397 influence of the TP climate change, the CEC region is facing a bigger challenge to  
398 realize air quality maintenance plan. The TP “harbor effect” and climate change  
399 should be considered in making decisions on the locations of new industrial facilities  
400 for development planning in China in order to preferentially reduce anthropogenic  
401 emissions in the “susceptible region” of haze and in turn reduce the number and  
402 severity of haze events in the central-eastern region of China.

403

404 In this preliminary study based on long-term observational data and a sensitivity  
405 simulation experiment, we investigate a relationship between the haze pollution in  
406 China and TP’s environment and climate changes. It should be emphasized that  
407 considering the quality of reanalysis data over and around the TP, a comparison  
408 between NCEP/NCAR and other reanalysis data sets such as JRA-25, ERA-Interim,  
409 or MERRA is necessary in further work. The understandings of TP’s thermal forcing  
410 changes and East Asian monsoon declines are challenging topics. The impacts of TP’s  
411 climate change on air quality in China could be further studied on the shifts in  
412 weather patterns, pollutant emissions, depositions and chemical reactions in the  
413 atmosphere to comprehensively understand the meteorological drivers of air quality in  
414 a changing climate and also to consider the ocean-related impacts of climate change.

415

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559

## 560 **Figure Captions**

561

562 Figure 1. Interannual variations in the total CO<sub>2</sub> emissions in mainland China and the  
563 haze event frequency averaged in the CEC region over 1961-2012 with their  
564 relationship in the inserted figure (upper panel). The Chinese CO<sub>2</sub> emission data  
565 source: [http://cdiac.ornl.gov/CO2\\_Emission/timeseries/national](http://cdiac.ornl.gov/CO2_Emission/timeseries/national). Two blue dotted  
566 lines separate the time series into three phases of the 1960s-70s, the 1980s-90s and the  
567 21<sup>st</sup> century. Interannual variations in wind at 10m (blue line) and the number of  
568 days with haze (red line) in the CEC over 1961-2012 (lower-left panel) and their  
569 scatter plot (lower-right panel).

570

571 Figure 2. Distribution of annual haze event frequency (days per year) averaged over  
572 1961-2012 in China, and Chinese topography of the TP and the Loess Plateau with  
573 altitudes is shown with yellow shades (left panel). Monthly variation of haze  
574 frequency averaged from 1961-2012 over the CEC region (right panel).

575

576 Figure 3. Near-surface wind speed distribution ( $\text{m s}^{-1}$ ) averaged over 1961-2012 in  
577 China with the red rectangle marking the region for cross sections in the middle and  
578 lower panels (upper panel). Cross sections of horizontal wind speed ( $\text{m s}^{-1}$ ; filled color  
579 contours) and vertical circulations illustrated by stream lines (middle panel) and zonal  
580 variations of annual haze event frequency (lower panel) at  $27^{\circ}\text{N}$ - $41^{\circ}\text{N}$  averaged over  
581 1961-2012. Note that near-surface vertical and horizontal winds are not illustrated  
582 well here due to north-south variations in the terrain and approximation of the  
583 location of the TP in this figure. All fields are for the annual-averages.

584

585 Figure 4. Interannual variability in the apparent heat source  $Q_1$  (the negative values  
586 denote cooling) integrated vertically over the TP and haze event frequency averaged  
587 in the CEC in winter (December, January and February) over 1980-2012 and their  
588 correlation (upper panel). The differences of haze frequencies (days) averaged in  
589 five winters with most positive (lower left panel) and most negative  $Q_1$  anomalies  
590 (lower right panel) on the TP relative to the mean haze frequency from 1980 to 2012.

591

592 Figure 5. The distributions of the interannual correlations of the apparent heat  
593 source  $Q_1$  over the TP to the local V-component of surface wind in winter over  
594 1961- 2012 (color shading). Arrows denote correlation vectors (showing both  
595 correlation coefficients of  $Q_1$  to U- and V-surface wind components) in China. The



596 correlation coefficients of 0.12 (-0.12), 0.14 (-0.14) and 0.19 (-0.19) respectively  
597 passing the significance levels of 90%, 95% and 99%. A vertical section of the  
598 trends in vapour content ( $\text{g kg}^{-1}$  per 10 years) in winter over 1961-2012 averaged  
599 along  $27^{\circ}\text{N}$ - $41^{\circ}\text{N}$  (lower panel).

600

601 Figure 6. Vertical sections of the anomalous air temperature ( $^{\circ}\text{C}$ ) averaged along  
602  $27^{\circ}\text{N}$ - $41^{\circ}\text{N}$  in five winters with most positive (upper left panel) and most negative  $Q_1$   
603 anomalies (upper right panel) on the TP from 1980 to 2012, and vertical sections of  
604 the correlations of the number of haze days with air temperature (lower left panel) and  
605 vertical circulations (lower right panel) in winter from 1980 to 2012.

606

607 Figure 7. The percentages (%; contour lines) of differences of surface aerosol  
608 concentrations between winters of 1998 and 2003 with positive and winters of 1996  
609 and 2002 with negative  $Q_1$  anomalies on the TP relative to the surface aerosol levels  
610 averaged over winters of 1996, 1998, 2002 and 2003 ( $\mu\text{g m}^{-3}$ ; color contours) modeled  
611 by the sensitivity simulation experiment with GEM-AQ/EC.

612













