1	This i	is the	response	to	the	reviewers	#1	and	#2	combined	with	the	marked-up
2	manus	script	version.										

4 **<u>Reply to comments of Reviewer #1:</u>**

5 Thank you for your comments. We are addressing the raised issues (copied in blue) in a point-6 by-point way below.

7

8 The only notable criticism of the paper is that only one event, which lasted less than an hour,

9 was studied. The authors do attempt to justify this and do state this type of data represents a

10 "golden sample". This fact could be made more evident to the reader, for example, adding it

- 11 to the abstract.
- 12

Reply: We stressed the fact of focusing on the ideal case in the abstract and added the sentence: The focus is on the "golden sample" case study for this type of analysis based on observations collected during the deployment of the Atmospheric Radiation Measurement Program's (ARM) mobile facility AMF2 at Hyytiälä, Finland during the BAECC (Biogenic Aerosols – Effects on Clouds and Climate Snowfall Experiment) field campaign.

18

19 Abstract. "reasonably well" - This could be more quantitative.

20

Reply: We agree that "reasonably well" is a quite general description and that expanding on it would be an option, for example by relating the uncertainty ranges of the observations and the models: The range of uncertainty in the observations is on the same order as the variability caused by the selected microphysical schemes. However, considering the limited length of the abstract, we prefer to leave the phrasing "reasonably well".

26

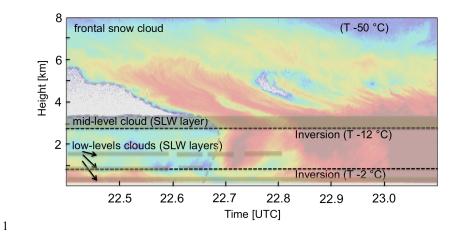
Introduction, p28622 line 6. "the level of effort required to analyze..." This suggests that it is
very demanding to do this type of analyze but this could be more quantitative. Is this task just
time-consuming or technically challenging?

2 Reply: It was indeed a very time-consuming task to find a period characterized by noise floor

- 3 separated peaks in the cloud radar Doppler spectra were present. However, we decided to
- 4 replace "level of effort" with "steps" in this sentence.
- 5
- 6 Section 2.2, p28623, line 24. "as well as the two-channel MWR". Previously in this
- 7 paragraph it is state "an MWR". If the detail "two-channel" is needed it should come at the
- 8 first mention of the MWR unless there were 2 different MWR?
- 9
- Reply: We added "two-channel" at the first mentioning of the MWR and simply refer to it asMWR subsequently.
- 12
- 13 Section 3. The description of the some-what complicated frontal / cloud structures is
- 14 difficult to follow. There are 2 inversions (a boundary layer inversion and I assume a
- 15 frontal inversion) but are there 2 or 3 cloud layers? It is clear there is a low-level BL
- 16 top cloud and then the main frontal cloud band, but the mention of a "mid-level cloud" (p
- 17 28626 line 23) confused me. Since the situation is quite complicated, an schematic diagram
- 18 may help the reader. The cloud layers and SLW layer and the inversion could be marked. It
- would also help to label the "seeder" and "feeder" clouds which are referred to else where inthe manuscript.
- 21
- 22 Reply: According to the suggestion, we made a schematic sketch of the cloud layers
- 23 (overlying the KAZR reflectivity) which we will include in the updated manuscript as Figure

2

24 3:



- 2
- Section 3, p28626 line 5. Fronts are not just advected by the wind. They often travel 3
- at a different speed to the mean wind speed and thus propagate. I suggest this is 4
- 5 re-worded.
- 6

7 Reply: True. Since we prefer not to expand more on the synoptical situation though, we simply replaced "advected" by "moved" which is meant to comprise advection+propagation. 8

9

10

11 Section 3, p28626, line 9. "Upstream". Should this be downstream? It might be clearer to use East / West here. i.e. On 21 Feb 2014, two warm fronts were located over southern Finland, 12 13 farther to the East, than the occluded front which is the focus of this study". Alternatively, if 14 these 2 additional warm fronts did not produce any cloud / precipitation at Hyytiälä during the 15 times that are presented here, it may be easier to omit any mention.

16

17 Reply: We went for the second suggestion and omitted the sentence "Upstream of this frontal

18 boundary, two warm fronts influenced Southern Finland throughout the day on February 21,

3

19 2014 before the arrival of the occlusion in the evening."

1	
2 3	Section 3.2 p28627, line 9 "maximum observed diameters". Is this the length of the longest axis of the particles or the area-equivalent diameter?
4	
5 6 7 8	Reply: PIP records particle diameter <i>D</i> which is defined as an equivalent diameter of a disk that has the same area as the shadow of the particle. We changed the sentence to "area-equivalent maximum diameter".
9	Section 3.3, p28630 line 20-21 "The observed reduction in the LWP can partly be
10 11 12	attributed to" Is "partly" used here just because there is SLW elsewhere in the profile that cannot be effected or is there some additional processes occurring?
13 14 15	Reply: As stated just below this sentence (lines 23—27), the "partly" refers to the fact that not the entire LWP can be attributed to the thick SLW layer at 2.9-3.4 km since multiple thin and intermittent SLW were present at lower altitudes.
16 17 18	Section 3.3.1 p28631, line21. "trad" rad should be a subscript.
19 20 21	Reply: In the pdf-version of the manuscript available for download at the ACP website, it correctly reads " t_{rad} " with "rad" being a subscript.
22 23 24	Section 3.3.1 p28632 line 4 "the uppermost SLW layer at" This is one example where the upper or lower layer of something is referred to. It is difficult for the reader the remember all of these layers. Hence I strongly encourage the inclusion of a schematic diagram.
25 26 27	Reply: We agree with your suggestions and are including a schematic diagram shown above.

28 Section 3.3.3 p28633 line 19 "based on all simulated fall streaks". How many fall

1 streaks were simulated?

\mathbf{a}	
/	
4	

- 3 Reply: Fall streaks were simulated at the original time resolution of the KAZR (2s). Thus, for
- 4 the time period in question 110 profiles were considered which was added in the manuscript.

5

- 6 Section 3.4.1 p28636, line 11. "The rimed fraction of snow particles at the model's
- 7 uppermost layer is set to 0". How good an approximation is this?

8

9 Reply: The rimed fraction is one of the many unconstrained parameters. However, we can 10 justify this assumption of totally unrimed particles at 3.3 km by the very low increase of mean 11 Doppler velocity (V_d) from cloud top to 3.3km. – We relate this small V_d gradient to 12 depositional growth only. Had there been a supercooled liquid layers higher up in the snow 13 frontal system, it would have led to higher V_d gradients.

14

- 15 Section 4, p28640, line26. Punctuation there is a en-dash at the start of a sentence.
- 16 Reply: Removed.
- 17

18 Reply to comments of Reviewer #2 (manuscript ACP-2015-570)

19

20 **1. Major comment:**

Two claims in this manuscript caused some concerns, because I am not sure that they are well supported.

• On p.2. line 8-10 the authors claim that the presented analysis presents an upper bound of what we can retrieve with profiling cloud radars. Is it really an upper bound of what we can expect? I understand what you are trying to say. You are doing an analysis that is beyond traditional use of spectral moments. But calling it an upper bound is too strong. You are not using multi-frequency and/or dual-polarization radar observations. I would argue that there is a room to improve, even given the current measurement capabilities.

5

- 1 Reply: We changed the sentence in question on p.2 to "The presented analysis
- 2 of the height evolution of the radar Doppler spectra is a state-of-the-art
- 3 retrieval with profiling cloud radars in SLW layers beyond the traditional use
- 4 of spectral moments."
- 5
- 6

7 comment:

2.

Major

- A similar type of statement is in the conclusions p 18. line 18 -25. In this study you don't use any in situ observations. It is a general knowledge that more you know a better retrieval/ analysis you can perform, but you have not demonstrated what can be gained. It does not mean that adding in situ data would not help, but the way one analyses the data may change. A much better way of demonstrating what can be gained and how in situ data can be combined with the analysis would have been to use data from the lower cloud layer, 1 km and below, and combine it with ground-based observations collected during the experiment. If you would have done this, you could have made a very strong statement on what is needed and can be gained. Actually, it is a stated goal of BAECC SNEX and surface precipitation data was collected during the event you are presenting as can be seen in (Kneifel et al, 2015).
- 8 9

10 Reply: Especially the "starting conditions" like LWC and original ice size 11 spectrum at the top of the SLW layer would have been key parameters to 12 constrain the model runs. This could have been obtained by e.g. aircraft 13 samples or tethered balloons. The ground-based in-situ data – even if they 14 would have contained enough particles to derive density or v(D) relations for 15 the time period we analyzed – would have been unable to constrain the 16 problem of how to initialize the simulations.

17 Concerning the in-situ data, there are a few sentences explaining why we 18 could not make use of the ground-based precipitation data during the time of 19 interest (in Section 4):

20 "While extensive ground-based in-situ data was gathered during BAECC21 SNEX, the period of interest was characterized by low precipitation rates
22 which hampered the ground-based microphysical retrievals. Furthermore,
23 measurements of the LWC and ice PSD at the top of the SLW layer would

have been key parameters to constrain the model runs. This points to the
 future need for coordinated (aircraft-based) in-situ observations combined
 with profiling and scanning radar observations ..."

3. Major comment:

5

6

7

8

One might also wonder how representative is the data selected for this study is. You are presenting only several minutes of data from an event that lasted several hours. As you have stated in the manuscript riming was also taking place in the lower cloud layer. Could you contrast the two? Could you carry out the proposed analysis for the lower riming case? This kind of comparison is interesting and would show applicability of the method and its robustness.

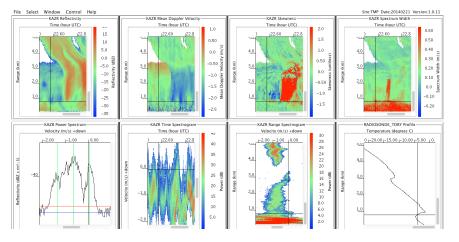
9 Reply: We agree that a single case study can not been seen as representative 10 for all riming processes inside clouds. The increasing number of collected high-quality remote sensing datasets, however, is expected to provide an 11 increasing number of nearly ideal test cases where we can assume only certain 12 13 microphysical processes to be responsible for the changes in the remote 14 sensing signatures. In this study we approach the problem with an analysis of 15 the most ideal case which we were able to find in the BAECC data set. We focus on the Feb 21, 2014 riming event because even though riming was 16 17 observed on many occasions during the BAECC campaign, however, most of 18 the other cases a by far more complex. Therefore, we concentrated our 19 analysis and particularly the model simulations on the most ideal case which we identified on Feb 21, 2014. As we describe the focus in this study is on 20 21 multimodal cloud Doppler spectra observations for which the separation in 22 fall velocity of the different hydrometeor classes is strong enough to produce 23 individual peaks separated by the mean noise floor. On at least half a dozen 24 other events at BAECC-SNEX during which riming happened, cloud radar 25 Doppler spectra multi-modalities were observed, however, these peaks were 26 merged (as shown in the Figure below). These cases are more complicated, 27 likely a mix of ice growth processes (water vapor deposition, aggregation, and

riming) all played an important role. – For *fingerprinting studies* of one
particular microphysical growth process such as riming, simpler situations as
the presented one are needed. For more complicated multimodal situations as
shown in the Figure below, it is extremely challenging to define *objective*peak separation criteria, and to thus disentangle the relative contributions of
SLW, freshly generated ice, aggregated particles, and rimed snow to the total
radar returns.

8 Within the lower SLW at around 0.8 km, the Doppler spectra were not 9 suitable for our analysis method because (except for individual pixels), the 10 spectra exhibited merged peaks as illustrated below. Also, considerable 11 turbulence in the boundary layer lead to broadening of the spectra which 12 hampers our microphysical retrievals in the lower SLW.

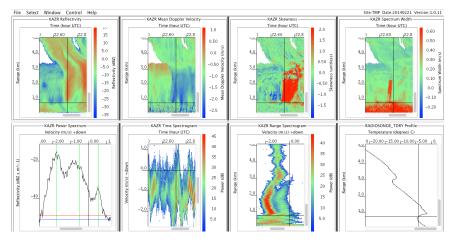
We added the following sentence in the paper (Sec 3.3): The strong turbulence in the surface layer led to a broadening of the Doppler spectra peaks which resulted in broad merged peaks (not separated by the noise-floor) and thus hampered the application of our microphysical retrievals for that SLW layer.

18



19 20

20Figure 1: KAZR - Quicklook of Feb21, 2014, KAZR. Doppler spectra at lower left21corresponds to black cross in the other panels (22.59 UTC, 0.8 km). Doppler spectra is22multimodal but the three peaks are merged. Spectrum width plot at upper right shows23broad spectrum width related to boundary layer turbulence at that altitude.



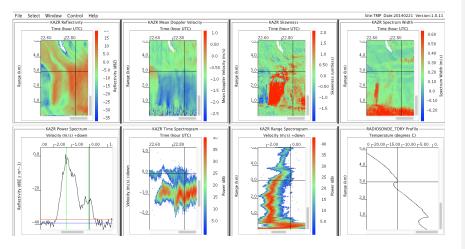
3

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Figure 2: KAZR - Quicklook of Feb21, 2014, KAZR. Doppler spectra at lower left corresponds to black cross in the other panels (22.75 UTC, 0.8 km). Doppler spectra is multimodal but the three peaks are <u>merged and further broadened by strong turbulence</u>. Spectrum width plot at upper right shows broad spectrum width related to boundary layer turbulence at that altitude.



7

8 Figure 3: KAZR - Quicklook of Feb21, 2014, KAZR. Doppler spectra at lower left 9 corresponds to black cross in the other panels (22.9 UTC, 3 km). Doppler spectra is 10 multimodal but the three peaks are <u>merged</u>. Here it is illustrated that the noise-11 separated peaks in the SLW at around 3km do not persist later on during the snowfall 12 event which is why our analysis does not extend into that time.

13

14 Minor comments:

3

4

1. Minor Comment

p. 7 line 14. You state as a matter of fact that the cloud is a liquid-topped mixed phase cloud. How do you know that this is a liquid-topped mixed phase cloud? Only later in the text you describe how you made this inference. This statement gives an impression that it is easy to identify where liquid is, which is not as it is stated in the introduction.

Reply: Agreed. In the synoptic description, we now simply refer to it as
"cloud" without describing phase.
2. Minor Comment

p. 9, line 16 You are saying that the mixed-phase cloud extends from the surface to 3.4 km, is it really true? The sounding shows two cloud layers one from the surface to 1 km and the other from 2.5 km and higher.

9 10

Reply: True. There are subsaturated layers so it cannot be called a continuous
 cloud from 0 to 3.4 km. The whole paragraph is thus changed to:

13 "Prior to the arrival of the warm occlusion in Hyytiälä, multiple cloud layers were present which are obvious in the radio sonde launched at 23.3 UTC. The 14 cloud-base of the frontal system was continuously lowering with the 15 16 approaching warm occlusion. Also, the reflectivity of the cloud as observed 17 by the 35-GHz vertically-pointing cloud radar KAZR (cf. Figure 2) showed 18 tilted fall streak features above 3.5 km altitude indicating vertical wind shear 19 consistent with the radio sounding profile of horizontal wind. The temperature 20 profile shows two inversion layers; a boundary layer inversion at 0.5-0.8 km 21 at which a shallow low-level cloud had formed and a second one at 2.8-3 km 22 where a midlevel cloud had formed as illustrated in Figure 3. Cloud top 23 temperatures of the warm occlusion front and the midlevel cloud were -50 °C 24 (at 8 km) and -12°C (at 3.4 km), while the surface temperature was -3 °C, respectively. The ambient relative humidity profile shows layers of 25

1	saturated/sub-saturated conditions associated with the two distinct cloud
2	layers extending from 2.3 km and higher as well as from 0.2 km to 0.9 km.
3	Subsaturated conditions leading to sublimation prevailed between 0.8 km to
4	2.3 km as well as below 0.2 km. Thus, snowfall associated with the onset of
5	the frontal system (22.7-22.8 UTC) experienced sublimation before reaching
6	the ground. "

8 Figure 1 has been redone to better show layers of sub- and supersaturation in9 the radio sonde profile.

10

7

11 **3. Minor Comment**

12

p.9 line 17-18. Do you have any other support for identification of the spectrum peak as due to liquid? Could it also be the newly formed ice? In Fig. 5 you can see how ice falls out of this layer. How do you separate these? Is there an objective method of separating an ice from liquid peaks? Can one do it automatically?

13 14

15 Reply: This is a good point that deserves a bit more detailed discussion.

16 Small supercooled liquid droplets are numerous while the number 17 concentration of newly formed ice is much lower. Due to the Z \sim D^6 dependence, in order for the radar to see small ice particles as separate peak, it 18 19 needs to have grown to a certain size with a higher velocity than we identified for the (numerous) supercooled liquid droplets. This is demonstrated in the 20 21 subsequent plots showing the radar observables calculated as a function of ice 22 particle number concentration assuming the appropriate habit-dependent 23 parameters. The expected habits of the newly formed ice particles in the mixed-phase layer with the temperature around -12°C to -10°C are mainly 24 25 plates (C1h, P1a) and crystals with sector-like branches (P1b), as shown in 26 Takahashi et al. (1991). For these two types of habits, the dimensional 27 relations needed to calculate the mass and terminal velocity have been taken 28 from three sources:

- 11.C1h and P1b from Tables 2.4a and 10.3a in Pruppacher & Klett,21997 (PK): based on data of Heymsfield and Kajikawa (1987) taken at 1024m
- C1h and P1b derived from the empirical power laws obtained from
 the supercooled cloud tunnel experiment at the ground level by Takahashi et
 al. (1991)
- Bernold Berno
- The calculated velocity using any of the above sources has been adjusted tothe observed mixed-phase cloud mid-level.
- 13

Shown below are the calculated reflectivity-weighted velocity and equivalent reflectivity assuming a monodisperse ice PSD (thin lines) or a polydisperse PSD which is more realistic (bold lines). The polydisperse PSD is expressed as a function of particle mass. The selected functional form is the generalized gamma function with the two shape parameters taken from Seifert and Beheng (2006) with mu=1 and tail parameter=1/3.

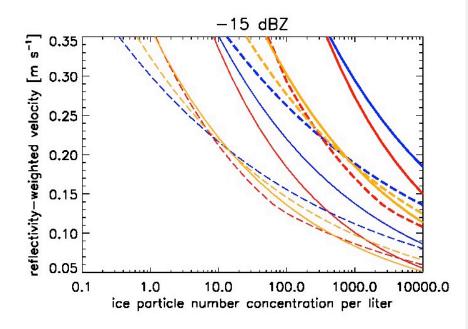


Figure 4: Calculated reflectivity-weighted velocity as a function of ice particle number concentration
corresponding to the equivalent reflectivity of -15 dBZ. Particle habit is identified by linestyle:
plates by solid lines, and crystals with sector-like branches by long dashed lines. The sources of the
used dimensional relationships have different colors: blue for 1. (from PK), red for 2. (from
Takahasi et al.) orange for 3. (from Mitchell, and Matrosov & Heymsfield, 2000).

7

This figure shows that to have reflectivity-weighted fall velocities of 0.15 m s⁻¹, ice 8 particle number concentrations of around 100 L⁻¹ (assuming monodisperse PSD) to 9 10000 L⁻¹ (or even more; assuming polydisperse PSD) are needed to have an 10 equivalent reflectivity of -15 dBZ which are unrealistically high. The terminal 11 velocity of 0.15 m s⁻¹ at the mixed-phase cloud height would correspond to the ice 12 13 particles with the maximum dimension larger than 0.1 mm (for the habits shown in the graphs, this size is between 0.134 and 0.366 mm). For this regime of sizes, the 14 15 observed ice particle number concentration reported in the literature is below 10 per 16 liter (e.g. Lloyd et al. 2015; Zhang et al. 2014).

13

1 The following figure is a different way to illustrate that unrealistically high ice

2 particle number concentrations (100-1000 L^{-1}) are needed for ice particle

3 populations with reflectivity-weighted velocity of 0.15 m s⁻¹ to reach equivalent

4 reflectivities of -20 or -15 dBZ.

5

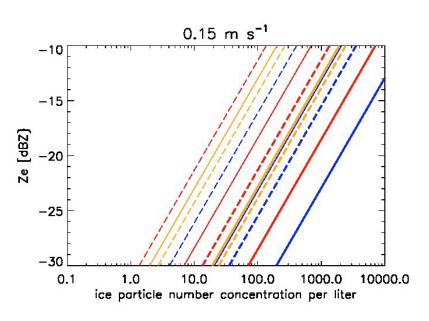


Figure 5: Calculated equivalent reflectivity as a function of ice particle number concentration calculated under the constrain that the corresponding reflectivity-weighted velocity is 0.15 m s⁻¹.

9 10

6 7

8

11 Concerning an objective method of separating ice from liquid peaks, spectral 12 linear depolarization ratio (LDR) would be an objective indicator of particle 13 shape of the individual peaks. Unfortunately though, no KAZR crosspol 14 channel data was gathered during BAECC-SNEX. Thus, we can only base our 15 conclusions on the microphysical modeling mentioned above as well as on the 16 synoptic situation and the typical mixed-phase cloud structures that form 17 under these conditions.

18

1 The following sentence was included in Section 3.3: An objective way to 2 distinguish spherical supercooled liquid droplets from freshly generated non-3 spherical ice would be the use of spectral linear depolarization ratio (LDR). 4 Unfortunately though, no KAZR crosspolarization channel data was gathered 5 during BAECC-SNEX and thus no LDR could be determined. However, microphysical modeling sensitivity tests (not shown) showed that 6 unrealistically high ice particle number concentrations - on the order of a few 7 8 hundreds to a few thousands per liter for ice particle sizes of a few hundred 9 microns - would be required to produce an ice peak of about -20 to -15 dBZ at 0.15 m s⁻¹. For this regime of sizes, the observed ice particle number 10 11 concentration reported in the literature is below 10 per liter (e.g. Lloyd et al. 12 2015; Zhang et al. 2014). We can thus assume that power spectrum peaks at 13 small velocities are due to liquid droplets and not ice particles.

14

15 References:

Heymsfield, A. J., and M. Kajikawa, 1987: An improved approach to
calculating terminal velocities of plate-like crystals and graupel. *J. Atmos. Sci*,
44, 1088–1099.

19

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Lachlan-Cope, T., 2015: Observations and comparisons of cloud
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Matrosov, S. Y., and A. J. Heymsfield, 2000: The use of Doppler radar
measurements to derive ice cloud particle fall velocity relations for climate
models. J. Geophys. Res, 105, (D7), 22427–22436.

30

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2	parameterization for mixed-phase clouds. Part 1: Model description,
3	Meteorol. Atmos. Phys., 92, 45-66, doi:10.1007/s00703-005-0112-4.
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5	Takahashi, T. Endoh, G. Wakahama, and N. Fukuta, 1991: Vapor diffusional
6	growth of free-falling snow crystals between -3°C and -23°C. J. Meteor. Soc.
7	Japan, 69 , 15–30.
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9	Zhang, D., Z. Wang, A. Heymsfield, J. Fan, and T. Luo, 2014: Ice
10	concentration retrieval in stratiform mixed-phase clouds using cloud radar
11	reflectivity measurements and 1D ice growth model simulations. J. Atmos.
12	<i>Sci.</i> , 71 , 3613–3635.
13	
14	
15	4. Minor Comment
16	
17	In the comparison of the modeling results and observations, there is not much discussion on why reflectivities are not matching. Could you elaborate on this?
18	
19	
20	Reply: As we mention in Section 3.4.2, discrepancies between model results
21	and observations can uncertainties can partly be explained by uncertainties in
22	the exact slope of the fall streaks due to the fact that the horizontal wind
23	profile from the sounding is not taken at the time of interest but 45 min later.
24	
25	5. Minor Comment

p. 15. Line 16-17. I don't think you can claim that a dual-layer Mie sphere is a good or an appropriate model that mitigates the known scattering problem. If anything, Leinonen and Szyrmer (2015) show that a more complex model is needed. I personally, do not think that in this case uncertainty in the scattering model is very important. But I don't like the statement that the dual-layer Mie sphere scattering model is an appropriate approach. It gives an unsubstantiated impression that it is more appropriate then a simple Mie sphere. If anything, I would use a spheroidal model (Hogan et al, 2012; Matrosov et al. 2005).

1 2

Reply: We believe the reviewer is referring to p. 17 line 19-21. We rephrased
the sentence: The uncertainties associated with this backscattering
computation have been discussed in Szyrmer et al. (2012).

6

7 On page 17 line 21-27, we also state that "While there are several different 8 methods to prescribe the scattering calculations of snow particles (e.g., 9 Tyynelä et al., 2013; Hogan and Westbrook, 2014), the height evolution of the 10 radar moments of the rimed snow mode is not very sensitive to the scattering method used. Also, the very small particle sizes observed at the surface 11 12 indicate that larger snowflakes which produce more complex Mie scattering, 13 as for example seen later on February 21, 2014 (Kneifel et al., 2015), were not 14 present during the period of interest. "

15

For the presented study, we use a spherical model but we are aware that there are an increasing number of scattering datasets for more realistically shaped ice particles available which should be used for future studies especially if a combination with W-band data is envisaged.

17

20

21

22

- 1 Fingerprints of a riming event on cloud radar Doppler
- 2 spectra: Observations and Modeling
- 3
- Heike Kalesse^{1,*}, Wanda Szyrmer¹, Stefan Kneifel^{1, **}, Pavlos Kollias¹, Edward
 Luke²

6 [1]{McGill University Montreal, QC, Canada}

- 7 [2]{Brookhaven National Laboratory, Upton NY, USA}
- 8 [*]{now at: Leibniz-Institute for Tropospheric Research, Leipzig, Germany}
- 9 [**]{now at: University of Cologne, Cologne, Germany}
- 10
- 11 Correspondence to: H. Kalesse (kalesse@tropos.de)
- 12

2 Abstract

3 Radar Doppler spectra measurements are exploited to study a riming event when precipitating 4 ice from a seeder cloud sediments through a supercooled liquid water (SLW) layer. The focus is on the "golden sample" case study for this type of analysis based on observations collected 5 6 during the deployment of the Atmospheric Radiation Measurement Program's (ARM) mobile facility AMF2 at Hyytiälä, Finland during the BAECC (Biogenic Aerosols - Effects on 7 8 Clouds and Climate Snowfall Experiment) field campaign. The presented analysis of the 9 height evolution of the radar Doppler spectra is a state-of-the-art retrieval with profiling cloud 10 radars in SLW layers beyond the traditional use of spectral moments. Dynamical effects are 11 considered by following the particle population evolution along slanted tracks that are caused by horizontal advection of the cloud under wind shear conditions. In the SLW layer, the 12 13 identified liquid peak is used as an air motion tracer to correct the Doppler spectra for vertical 14 air motion and the ice peak is used to study the radar profiles of rimed particles. A 1D steady-15 state bin microphysical model is constrained using the SLW and air motion profiles and cloud 16 top radar observations. The observed radar moment profiles of the rimed snow can be 17 simulated reasonably well by the model, but not without making several assumptions about 18 the ice particle concentration and the relative role of deposition and aggregation. This 19 suggests that in-situ observations of key ice properties are needed to complement the profiling 20 radar observations before process-oriented studies can effectively evaluate ice microphysical 21 parameterizations.

22

23 1 Introduction

24 Mixed-phase clouds are ubiquitous, long-lived and cover extended areas (e.g., Shupe et al., 25 2008; Zhang et al, 2010; Kanitz et al., 2011). However, the factors governing the formation, 26 maintenance and dissipation of mixed-phase clouds are poorly understood and consequently not well represented in weather and climate models (Cantrell and Heymsfield, 2005; Lebo et 27 28 al., 2008; Barrett et al., 2010). The complex interaction between atmospheric vertical motions, 29 aerosol particles, water vapor, liquid water, and ice determine the radiative and microphysical 30 properties of mixed-phase clouds to a large extent (Gregory and Morris, 1996). Microphysical processes such as water vapor diffusion, collision, coalescence, aggregation, and riming are 31

1 controlled by the variable mass ratio between liquid water and ice (Pruppacher and Klett, 2 1997). The ongoing increase in the temporal and spatial resolution of numerical models 3 suggests that cloud microphysical processes will be modeled in ever more detail in the 4 coming decades (Klein et al., 2013). In that context, the development of process-level 5 understanding has been found to be a key for success in addressing the complicated nature of 6 mixed-phase clouds and improving their representation in numerical models (Morrison et al., 2010)

7 2012).

8 Mixed-phase clouds pose a serious observational challenge due to the difficulty of identifying 9 the presence of SLW layers embedded in cloud regions dominated by ice (Luke et al., 2010). Existing mixed-phase cloud classifications are highly uncertain and lead to a 10 11 misrepresentation of these clouds in models (Illingworth et al., 2007). Moving beyond the 12 detection of SLW layers and into process-oriented studies (e.g., riming) requires synergetic observations with cloud Doppler radars and microwave radiometers (MWR) in combination 13 14 with backscatter and Doppler lidars (e.g., Verlinde et al., 2013). As highlighted in Kollias et 15 al. (2007a) spectral Doppler information is expected to be one of the main tools for future observational studies on cloud microphysics (see Section 2.3 for details). 16

17 Here, a 35-GHz cloud Doppler radar is used in synergy with a microwave radiometer to identify and characterize a SLW layer within a mixed-phase cloud and its effect on the cloud 18 19 microphysics. The recorded radar Doppler spectra are bimodal, thus comprised of a liquid and 20 an ice spectral peak (e.g., Shupe et al., 2004). As in Shupe et al. (2004), the vertical air motion 21 within the SLW layer is retrieved from cloud radar Doppler spectra. The liquid peak radar 22 reflectivity is used to retrieve the SLW profile while the spectral peak associated with typical 23 ice and snow terminal velocities is used to detect and follow the evolution of riming. The 24 temporal (height) evolution of the radar Doppler spectrum is analyzed along slanted fall 25 streaks from cloud top to cloud base to optimally follow the particles' history in order to gain 26 insight into microphysical processes occurring in different layers of the mixed-phase cloud 27 (Marshall, 1953; Hogan and Kew, 2005).

This study illustrates the objective steps in identifying the impact of a microphysical process (riming) on radar observations (fingerprints) and the <u>steps</u> required to analyze a multi-sensor dataset containing radar Doppler spectra. While the implementation of the aforementioned retrieval and analysis technique is valuable, this is not purely a retrieval effort. The main question this study aims to address is to what extend such process-oriented studies (i.e.,

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1 fingerprinting studies) can be used to evaluate existing riming efficiency parameterizations

2 (e.g., Hall 1980; Cober and List 1993; Lohmann 2004). To accomplish this, a 1D steady-state

3 bin microphysics model is used to model the riming event. Restating that this is not a retrieval

4 contribution, the goal is not to reproduce the evolution of riming ice spectra peak moments

5 but rather to assess whether the observations can sufficiently constrain other parameters and

6 factors that can affect the model output.

7 The structure of the paper is as follows. The data, instrumentation and background of radar 8 Doppler spectra processing are introduced in Section 2. Section 3 gives a detailed analysis of 9 the snowfall case study including a description of the synoptic situation (Section 3.1), the in-10 situ observations (Section 3.2), the cloud radar- and MWR observations as well as the fall 11 streak tracking technique and the evolution of the cloud radar Doppler spectrum (Section 3.3 12). In Section 3.4 the 1D microphysical bin model used to reproduce the rimed mode radar moments is described and a comparison of observations with model results is discussed. A 13 14 summary and conclusions are provided in Section 4.

15

16 2. Data, Instrumentation and Doppler Spectra Processing

17 2.1 BAECC Field Campaign Overview

18 From February 1 to September 12, 2014 the Biogenic Aerosols - Effects on Clouds and 19 Climate (BAECC) field experiment (Petäjä, 2013) - a joint project of the University of Helsinki, the Finnish Meteorological Institute, and the US Department of Energy (DOE) 20 21 Atmospheric Radiation measurement (ARM) program - took place in the Boreal forest of 22 Southern Finland. For that purpose, extensive remote-sensing and in-situ instrumentation was 23 installed at the Hyytiälä field station of the University of Helsinki located at 61°50'37.114" N 24 and 24°17'15.709'' E, 150m above sea level (Hari and Kulmala, 2005). Within that frame, an 25 intensive observation period (IOP) focusing on winter precipitation (BAECC-Snowfall 26 Experiment (SNEX)) organized in collaboration with the National Aeronautics and Space 27 Administration (NASA) Global Precipitation Measurement (GPM) ground validation program and Colorado State University was conducted from February 1 to April 30, 2014. 28

21

29

30 2.2 Instrumentation

The second ARM Mobile Facility (AMF2), consisting of an extensive suite of remote-sensing 1 2 instruments such as a Ka-band ARM Zenith-pointing Radar (KAZR), a W-, Ka- and X-band 3 Scanning ARM Cloud Radar (Kollias et al., 2014), a Micropulse Lidar (MPL), a High 4 Spectral Resolution Lidar (HSRL) and a two-channel MWR (Cadeddu et al., 2013) was 5 deployed at the observation site. The lidars are used for detection of cloud base height and 6 cloud particle phase, the radars for characterization of cloud- and precipitation microphysics, 7 and the MWR for determination of column-integrated amounts of liquid water and water vapor. For this study, data from the Ka-band ARM Zenith-pointing Radar (KAZR) operating 8 9 at 35GHz, as well as the MWR are used.

Ground-based in-situ sensors included a Particle Imaging Package (PIP), which is a new version of the Snow Video Imager (cf. Newman et al., 2009). Pluvio weighing gauges were employed to measure precipitation rate and snowfall accumulation. Pluvios were also used in combination with the PIP for determination of total particle concentration, particle size distribution (PSD), and particle terminal fall velocities from which fall velocity-size relations were derived at high temporal resolution.

For a detailed description of the measurement site set-up as well as the in-situ and remotesensing instrumentation and data processing please refer to Kneifel et al., 2015. In addition to the mentioned instrumentation, radiosondes were launched four times daily for profiling of the atmospheric state variables.

20

21 2.3 Doppler spectra processing

The mean Doppler velocity from profiling radars has been used in past studies to detect and study riming. Initially, Weiss and Hobbs (1975) distinguished ice crystal growth by riming from growth by water vapor deposition by observing the different rates of change of mean Doppler velocity with height. Mosimann (1995) used a vertically pointing Doppler radar in combination with snow crystal in-situ measurements to quantitatively determine an index of the degree of riming in stratiform precipitation, a technique which has been used in further studies (e.g., Borys et al., 2003; Baschek et al., 2004).

29 Today, advancements in signal processing and radar technology and decreasing storage costs

30 have enabled the routine recording of the full radar Doppler spectrum. The radar Doppler

31 spectrum often contains unique signatures that can be used to retrieve cloud microphysics and

1 dynamics (Kollias et al., 2007b). In particular, the presence of liquid cloud droplets in the

2 radar sampling volume allows use of the Doppler spectra peak of the liquid particles to derive

3 the mean vertical air motion of the sampling volume. This approach is based on the

4 assumption that the terminal velocity of small cloud droplets is negligible compared to typical

5 vertical air motions in clouds (Kollias et al., 2001). Thus, the location of the peak caused by

6 liquid droplets in the Doppler spectrum can act as a tracer for vertical air motion.

This implies that in SLW layers also containing ice particles, if the liquid spectral peak does not significantly overlap the ice peak in velocity, then the cloud dynamics (vertical air motion and eddy dissipation rate) can be retrieved (Shupe et al., 2004; Kollias et al., 2001). The potential of using multi-modal cloud radar Doppler spectra for characterizing the liquid- and ice-phase components in mixed-phase clouds has been previously demonstrated (e.g., Shupe et al., 2004; Luke et al., 2010; Luke and Kollias, 2013, Rambukkange et al., 2011; Verlinde et al., 2013; Yu et al., 2014).

14 Here, in addition to the objective detection and analysis of the SLW spectral peaks, the 15 temporal evolution of the radar Doppler spectrum is analyzed along slanted fall streaks from cloud top to cloud base to gain insight into microphysical processes occurring in different 16 17 layers in mixed-phase clouds. As already highlighted in Marshall (1953), this is necessary in 18 situations when vertical wind shear is observed. Under these conditions, following the particle 19 evolution along straight vertical paths is not suitable for detailed fingerprinting studies. To 20 track radar moments in cirrus, this technique has been refined in Hogan and Kew (2005). 21 Similar to their approach, we do not simply follow the fall streaks in the observations but 22 compare them with simulated fall streaks by using the horizontal wind profile and Doppler 23 fall velocity. In this way we can ensure consistency between the expected fall streak shape, 24 which is solely based on dynamics and particles' fall velocity, and the observations. This 25 approach helps to avoid a subjective and potentially false identification of a fall streak in the 26 observations that might be caused, e.g., by different generating processes and levels or 27 directional wind shear that would hamper the derivation of particle history along the fall 28 streak. To our knowledge, this is the first study in which the evolution of the full Doppler 29 spectrum along *slanted* fall streak paths is analyzed.

30 Finally, the SLW radar reflectivity is extracted from the SLW spectral peak and used to derive

31 the profile of SLW content within the SLW layer while the ice rimed spectral peak is used to

32 derive the radar observables of the rimed particles.

1 3 Case Study Analysis

2 3.1 Synoptic Situation in Hyytiälä on February 21, 2014

3 On February 21, 2014 a low-pressure system situated between Iceland and the British Isles influenced the weather in most parts of Europe. Several surface fronts associated with the 4 5 weather system moved to the East/Northeast. The most prominent one was a partially occluded front that crossed Western Europe and reached the stage of a fully developed 6 7 occlusion further East. Due to increased vertical mixing, it had the characteristics of a warm occlusion near the surface with rising temperatures behind the passage of the front. The 8 9 advection of warm air is indicated by a veering vertical wind profile in the radio sounding launched at 23.2 UTC as shown in Figure 1. With warm air sliding over cold air, the first 10 clouds associated with this system were found at higher levels (around 8 km). 11

Prior to the arrival of the warm occlusion in Hyytiälä, multiple cloud layers were present 12 which are obvious in the radio sonde launched at 23.2 UTC. The cloud-base of the frontal 13 14 system was continuously lowering with the approaching warm occlusion. Also, the reflectivity of the cloud as observed by the 35-GHz vertically-pointing cloud radar KAZR (cf. 15 Figure 2) showed tilted fall streak features above 3.5 km altitude indicating vertical wind 16 shear consistent with the radio sounding profile of horizontal wind. The temperature profile 17 18 shows two inversion layers; a boundary layer inversion at 0.5-0.8 km at which a shallow low-19 level cloud had formed and a second one at 2.8-3 km where a midlevel cloud had formed as illustrated in Figure 3. Cloud top temperatures of the warm occlusion front and the midlevel 20 cloud were -50 °C (at 8 km) and -12°C (at 3.4 km), while the surface temperature was -3 °C, 21 22 respectively. The ambient relative humidity profile shows layers of saturated/sub-saturated 23 conditions associated with the two distinct cloud layers extending from 2.3 km and higher as 24 well as from 0.2 km to 0.9 km. Subsaturated conditions leading to sublimation prevailed 25 between 0.8 km to 2.3 km as well as below 0.2 km. Thus, snowfall associated with the onset 26 of the frontal system (22.7-22.8 UTC) experienced sublimation before reaching the ground.

27 3.2 In-situ observations

During the period of interest when the snow front moved in (22.7 - 22.8 UTC), in-situ observations showed low snowfall rates below (0.3 mm h^{-1}) and low total particle concentrations (< 100 m⁻³). PIP images were often out-of-focus; however, the structure of Heike 1/8/2016 10:58 AM Deleted: were advected

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24

Deleted: Upstream of this frontal boundary, two warm fronts influenced Southern Finland throughout the day on February 21, 2014 before the arrival of the occlusion in the evening.

several individual ice particles was identified: In addition to small and large oriented 1 2 dendrites, fast-falling roundish particles with high density – an indication of riming – were observed. In the PIP 22 minute time integration interval 22.52 - 22.88 UTC, area-equivalent 3 4 maximum observed diameters were less than 1.5 mm (D. Moisseev, personal communication). Afterwards (PIP integration time interval 22.88 - 23.06 UTC), the 5 maximum particle size increased to 3 mm and snowfall rate was still low but doubled to 0.6 6 mm h⁻¹. Later on (after 23.06 UTC) heavy snowfall of large low-density aggregates was 7 observed with the ground-based instruments and multi-frequency radar measurements as 8 9 discussed in Kneifel et al. (2015). During the time of interest of this study, the X-SACR was 10 not operated in vertically pointing mode.

11 3.3 KAZR and MWR observations

12 In Figure 2 the time-height plots of the first three moments (effective radar reflectivity factor Ze, subsequently called reflectivity; mean Doppler velocity V_d , and spectral width σ) of the 13 primary peak of the KAZR Doppler spectrum are shown. The primary peak is defined to be 14 15 the noise-separated peak containing the bin with maximum power spectral density (cf. Figure 4; Kollias et al., 2007b). This is the peak used in the standard ARM radar moments data 16 products. The ARM MicroARSCL data product (Kollias et al., 2007b) extends the reported 17 moments to skewness and kurtosis for both the primary peak, and an additional noise-18 19 separated secondary peak, if one exists. The peak power densities and modal velocities of up 20 to two local maxima occurring within the primary peak are also reported. Mean and 21 maximum spectral noise power are determined using the technique described in Hildebrand 22 and Sekhon (1974). If only one hydrometeor population is present in the radar volume (cf. 23 Figure 4a), the radar Doppler spectrum is usually characterized by a single peak above noise floor, which is controlled by the width of the PSD and sub-volume turbulence. In cases with 24 25 more than one hydrometeor class in the radar volume (e.g. liquid droplets and snow) it is 26 possible to have sufficient fall velocity separation between the two hydrometeor classes so that the radar Doppler spectra is bimodal. As mentioned in Luke and Kollias (2013), strongly 27 28 multimodal situations can be considered to be "golden" samples as they make it easy to 29 separate the contributions of the individual hydrometeor populations to the total radar return. 30 Here, we classify all peaks which are separated by the mean noise floor into three categories 31 (liquid droplets, freshly generated ice, and (rimed) snow). Peaks are grouped into these classes according to their mean Doppler velocity and spectrum width. However, in many 32

observations, the terminal fall velocity difference between two different particle size 1 2 distributions is not large enough to produce individual peaks separated by the mean noise 3 floor. Instead, broad merged peaks consisting of the contribution of two or more PSD occur 4 (cf. Figure 4d). This is also the reason, why only a short time period is analyzed in this study: 5 Synoptic situations in which ice particles from a "seeder" cloud above are falling through a 6 SLW layer where they experience riming occurred at least half a dozen time during the BAECC-SNEX period but unfortunately, for all other events, only merged peaks were 7 observed in the KAZR Doppler spectra. 8

9 An objective way to distinguish spherical supercooled liquid droplets from freshly generated 10 non-spherical ice would be the use of spectral linear depolarization ratio (LDR). 11 Unfortunately though, no KAZR crosspolarization channel data was gathered during BAECC-12 SNEX and thus no LDR could be determined. However, microphysical modeling sensitivity tests (not shown) showed that unrealistically high ice particle number concentrations - on the 13 order of a few hundreds to a few thousands per liter for ice particle sizes of a few hundred 14 15 microns - would be required to produce a peak of about -20 to -15 dBZ at 0.15 m s⁻¹. For this regime of sizes, the observed ice particle number concentration reported in the literature is 16 below 10 per liter (e.g., Zhang et al. 2014, Lloyd et al. 2015). We can thus assume that power 17 18 spectrum peaks at small velocities are due to liquid droplets and not ice particles.

In this study, the focus is on the period when the upper level snow band moves in, roughly from 22.4 UTC when first detected by the KAZR to the end of the first snow shower at around 22.77 UTC. In the KAZR reflectivity field (cf. Figure 2a) the onset of snowfall is clearly visible by the high Ze (> -5 dBZ) area extending from a fall streak feature at a height of 8 km downwards. The Ze structure is strongly tilted above 3.5 km due to horizontal wind shear. The decrease in Ze at 22.7-22.77 UTC below 1 km can likely be attributed to sublimation in an ice-subsaturated layer (cf. humidity profile in Figure 1).

Before the arrival of the snow band in Hyytiälä, a liquid-topped mixed-phase cloud with cloud top at 3.4 km is observed (see Figure 3). Its roughly 500 m thick SLW layer can clearly be distinguished by mean Doppler velocities of around 0.0 m s⁻¹ in Figure 2b, as well as very narrow spectrum width values below 0.08 m s⁻¹ and low reflectivity values (Ze < -15 dBZ). A typical KAZR Doppler spectrum example within this liquid layer is shown in Figure 4a. Within this SLW layer new ice formation took place at about -12 °C; subsequently, the ice sedimented and grew in size as reflected by a gradual increase of Ze (22.4-22.69 UTC)

between 2.9-0.9 km, as well as an increase of V_d , and σ . A Doppler spectrum example of this 1 2 freshly generated ice mode is shown in Figure 4c. The rapid increase of Ze below 0.9 km 3 prior to the snowfall indicates ice particle riming which is confirmed by the ground-based in-4 situ observations of rimed ice crystals during that time period. The riming most likely 5 occurred in another SLW layer at the lower inversion at 0.7-0.9 km, characterized by mostly 6 not noise-floor separated liquid peaks in the Doppler spectra (not shown). Strong surface turbulence below 0.8 km is obvious in highly variable V_d and high σ . The strong turbulence 7 in the surface layer led to a broadening of the Doppler spectra peaks which resulted in broad 8 9 merged peaks (not separated by the noise-floor) and thus hampered the application of our 10 microphysical retrievals for that SLW layer.

11 When the snow band starts falling through the SLW layer, the primary peak moments are not 12 sufficient to capture the radar view of the microphysics. The coincidence of liquid and ice 13 particle size distributions within the KAZR sampling volume leads to multi-modal KAZR 14 radar Doppler spectra due to the terminal fall velocity difference between the liquid droplets 15 and the falling snow (Figure 4b). As obvious in Figure 4b, the snow falling through the SLW layer has a higher dynamic range than the liquid mode and is thus classified as the principle 16 peak, leading to a sudden change of V_d values in Figure 2b after 22.69 UTC and below 3.4 17 18 km. Similarly, the sudden high values of σ at 22.68-22.7UTC and below 2 km can be explained by a merged peak of the freshly generated ice and the snow which are no longer 19 separated by the mean noise floor, as illustrated in Figure 4d. The strong increase of V_d (on 20 the order of 0.5 m s⁻¹, cf. Figure 2b) within the SLW layer indicates riming of particles, as 21 also seen in the in-situ measurements (cf. Section 3.2). 22

Observations supporting the presence of riming when the snow fall streak intercepts the SLW layer are provided in the temporal evolution of the MWR liquid water path (LWP) shown in Figure 5b. While the LWP varies between 320-400 g m⁻² before 22.69 UTC when only the liquid-topped mixed-phase cloud is present, it rapidly decreases from 400 g m⁻² to 250 g m⁻² within 3 min (22.69-22.74 UTC). The observed reduction in the LWP can partly be attributed to the capturing of SLW droplets by the falling snowflakes, leading to rimed particles with high density and fast fall velocities.

30 It should be noted that the vertically integrated value of LWP cannot be entirely attributed to 31 the layer of SLW at 2.9-3.4 km. Unfortunately, the entire vertical distribution of SLW cannot

32 be reconstructed because the lidars are already extinguished by a thin SLW layer at 0.2-0.4

km. However, two more thin SLW layers were detected by KAZR Doppler spectrum analysis 1 2 at 0.8-0.9 km and 1.5-1.7 km (see Figure 3). These layers however were intermittent and coincide in time with the periods of highest values of LWP around 22.6-22.65 UTC and 22.7 3 UTC. When these intermittent layers were observed, the LWP increased by 60-80 g m⁻²; we 4 5 use this values as the estimate of their combined contribution to the total LWP. The SLW 6 layer that had formed at the lower temperature inversion at 0.8 km is a remnant signature of the long-lived thin mixed-phase cloud with cloud top at just below 0.9 km that was present 7 8 since before 14 UTC.

Based on the liquid peak reflectivity (cf. Figure 5c) of the SLW layer at 2.9-3.4 km the mean
liquid water content of this layer of 80-90 g m⁻² (averaged between the two slanted paths in
Figure 5) was estimated (cf. Figure 10).

12

13 3.3.1 Fall streak tracking

14 When vertical wind shear is observed, the analysis of vertical profiles is not sufficient to 15 correctly trace the paths of evolution of hydrometeor populations, as already stated in Marshall (1953). Instead, it is necessary to follow the falling hydrometeor populations along 16 17 slanted fall streaks if we want to estimate their microphysical evolution from the particle 18 generating level (zgen) to height z. As illustrated in Hogan and Kew (2005), the slanted fall 19 streak patterns can be simulated in the radar time-height observation space by taking the mean Doppler velocity V_d as average fall velocity of the particle population and using the horizontal 20 21 wind profile u(z), e.g. from radio soundings closest in time for advection:

22
$$dz/dt_{rad} = (V_d(z) u(z_{gen}))/(u(z) - u(z_{gen})).$$
 (1)

Here, $u(z_{gen})$ is the horizontal wind velocity at the particle generating level z_{gen} which is 23 24 assumed to be close to cloud top ($z_{gen} = 6 \text{ km}$) and t_{rad} is the radar time (x-axis in time-height 25 plots in vertically pointing mode). It is important to note that trad is usually different from the 26 sedimentation time of the particle population and that fall streaks are not identical to particle 27 trajectories but are a result of overlapping trajectories as they are advected over the radar 28 (Bohren and Fraser, 1992). As in Hogan and Kew (2005), we use the finite difference 29 equivalent of Equation (1) and work our way downward level-by-level considering the 30 displacement at level z in the determination of displacement at level z-1. We use this 31 technique to determine a fall streak for each radar time step. Subsequently, all averaged

1 profiles (means and standard deviations) are determined for the fall streaks spanned between

2 the two black slanted fall streaks in Figure 5. Our focus of interest will be the uppermost SLW

3 layer at the height range 2.9-3.4 km.

The closest radio sounding in time was launched at 23.2 UTC, approximately 45 min after the 4 5 snow front was first observed with the KAZR. Ideally, horizontal wind profiles at the time of interest should be used; however, overall agreement of the slope of the black simulated fall 6 7 streaks in Figure 5 with the slope of the reflectivity features (above 2.9 km) seems to confirm 8 that the horizontal wind field did not change considerably within these 45 min. Below 3 km 9 the slope of the black simulated fall streaks does not fit well with the Ze feature, which is 10 tilted in the opposite direction compared to the fall streak above. Additional fall streak 11 simulations (depicted in blue in Figure 5a) show that this backward tilted slope is well 12 matched by simulations if we assume zgen = 2.9-3 km and the mean Doppler velocity of the rimed snow mode in Equation (1). The lower-level Ze feature thus corresponds to the fall 13 14 streaks of those snow particles that experience riming in the SLW layer and indicates - as 15 expected - the SLW layer as the correct generating level for the rimed particle population.

16

17 **3.3.2 Doppler spectrum evolution**

18 Figure 6 illustrates the necessity of tracking particle populations along slanted paths in 19 conditions of wind shear by contrasting the KAZR Doppler spectrum evolution along the vertical line at 22.69 UTC in Figure 6a versus along the estimated slanted fall streak in Figure 20 21 6d. Clearly, the vertical range spectrogram in Figure 6b shows some non-microphysical 22 features - such as the discontinuity of spectral reflectivity at around 4 km - caused by taking a 23 vertical profile when a slanted one is more representative. The range spectrogram along the 24 slanted path in Figure 6e tells a much more consistent microphysical evolution story of the particles when the frontal system moves in: Above the 3.4 km height, snow with a mean 25 Doppler velocity of around 1 m s⁻¹ is observed. A few shallow layers of increased turbulence 26 as well as thicker layers of up-/downdrafts result in a shift of the entire Doppler spectrum to 27 28 more positive/negative values, respectively. At 2.9-3.4 km, the layer of SLW with $V_{\rm d}$ fluctuating around 0 m s⁻¹ is obvious in Figure 6e as well as in the time spectrogram in Figure 29 30 6c. Due to their small size, the terminal fall velocities of SLW droplets is negligible, so their 31 $V_{\rm d}$ (also illustrated in Figure 5d) can be used as an air motion tracer as done in previous studies (e.g., Shupe et al., 2004; Rambukkange et al., 2011). The V_d offset of the liquid mode 32 29

from 0 m s⁻¹ gives us an estimate of radar volume mean vertical air motion. Thus, in the SLW layer, the fall velocity of ice particles can be corrected for vertical air motion as illustrated in Figure 6f. In Figure 6e, the same air-motion correction is applied in regions with SLW. Again, the increase of Vd of the snow falling from higher layers into the SLW layers indicates riming. Also, the new ice mode generated in the SLW layer is revealed, as its V_d quickly increases from a few ten cm s⁻¹ to 0.8 m s⁻¹ between 2.8 km and 2 km.

7

8 3.3.3 Profiles and PDF of radar moments

9 Although the given observations cannot fully disentangle microphysical and dynamical 10 effects, a fairly consistent picture of the evolution of the present hydrometeor populations can be formed (cf. Figure 6). The means and standard deviations of Ze, V_d , and σ of slanted 11 12 profiles of the three hydrometeor populations (SLW, ice generated in the SLW layer, and 13 frontal snow) are shown in Figure 7. The average profile and standard deviations are based on 14 all 110 simulated fall streaks encompassed by the two dashed lines in Figure 5. Since in the 15 Rayleigh scattering regime radar reflectivity is proportional to the number of particles N and to D^6 (with D being the diameter of the droplets), the reflectivity of the SLW droplets is very 16 low (-18 to -22 dBZ on average, cf. Figure 7a). The reflectivity of the ice generated in the 17 18 SLW layer increases between 3.2 km and 2 km from -16 to -10 dBZ because the ice particles 19 grow due to water vapor deposition and aggregation. At the same time, the V_d of the ice mode 20 increases from 0.2 to 0.7 m s⁻¹ (cf. Figure 7b). The absence of an air motion tracer outside of 21 the SLW layer does not allow for vertical air motion contributions to the observed $V_{\rm d}$ to be 22 accounted for. As a result, the observed V_d is not equal to the terminal fall velocity of the ice 23 particles but could be higher (in updrafts) or lower (in downdrafts). Due to its large particles, 24 the greatest contribution to the total radar return is of course given by the frontal snow mode. 25 The total increase of mean Ze of this mode from 6 km to 2 km is 12 dB; however, from 6 km to the top of the SLW layer, the mean Ze- and V_d increase of the snow mode is only moderate 26 27 (5 dB and 0.15 m s⁻¹), suggesting only moderate growth of the snow particles, which is likely 28 due to water vapor deposition in higher parts of the cloud. V_d of the frontal snow mode increases from 1 m s⁻¹ to 1.65 m s⁻¹ between 3.2 km and 2 km. Spectrum width profiles in 29 Figure 7c show several thin turbulent layers in which the standard deviation of σ is high. σ 30 31 of the SLW mode is smallest (0.05-0.07 m s⁻¹) while σ of the new ice and frontal snow mode

- 1 are on the order of 0.1-0.2 m s⁻¹. As previously mentioned, larger σ can be caused by a
- 2 superposition of broad PSD and sub-volume turbulence.

3 Probability density functions (PDF) of the moments of the three hydrometeor populations are 4 shown in Figure 8. Only data points from below the SLW layer top (3.4 km) down to the surface and between the two black dashed fall streaks in Figure 5 are considered. For Ze and 5 V_d there is very little overlap in the PDF of each hydrometeor population. In uniform beam-6 7 filling conditions and sub-volume turbulence, the Doppler spectrum of a cloud droplet PSD is 8 symmetrical and near Gaussian, resulting in zero skewness (Kollias et al., 2011). In contrast, 9 the PDF of the observed SLW mode is skewed towards negative values, indicating the presence of supercooled drizzle at around -12 °C. The presence of drizzle is also indicated in 10 11 Figure 9 where the joint PDF of skewness and Ze are shown. While liquid mode skewness 12 fluctuates around zero for Ze below -20 dBZ, it becomes increasingly negative at higher Ze values of -20 to -16 dBZ. The existence of drizzle in SLW layers at the temperature range of -13 14 5 to -12 °C was also found by Verlinde et al. (2013) for Arctic multi-layered mixed-phase 15 clouds; the skewness-reflectivity signature as shown in Figure 9 is qualitatively similar to the signatures observed in warm drizzle clouds (Luke and Kollias, 2013). 16

17

18 **3.4 1D microphysical bin modeling**

19 The comprehensive observations were used as input to a one-dimensional (1D) bin-20 microphysical model. The model is based on the warm rain model first presented in Szyrmer 21 et al. (2005) and modified to include the ice processes of deposition, aggregation, and riming. 22 The leading question is whether it is possible to reproduce the evolution of the observed radar 23 moments of the rimed snow mode in the SLW layer using radar forward modeling of the 24 microphysical model output. Forcing the model output to agree with the observations could 25 help us to evaluate different proposed riming efficiency schemes that have been implemented 26 as options in the model (see Appendix A). The microphysical modeling was limited to the 27 SLW layer between 2.9-3.4 km and focused on the evolution of the moments (Ze, V_d , σ) of 28 the frontal snow mode.

29

1 3.4.1 Model description

The one-dimensional (1D) steady-state model simulates the height evolution of the bin-2 3 resolved snow PSD introduced at the model's uppermost level (which in this study is the top of the SLW layer at 3.4 km). The model input includes the vertical profiles of temperature, 4 5 pressure, relative humidity (taken from the radio sounding, cf. Figure 1) and vertical air motion (derived from the SLW mode V_d ; cf. Figure 10). The cloud droplet PSD at each level 6 within the SLW layer is calculated from the liquid peak reflectivity profile assuming that the 7 8 cloud droplets follow a lognormal size distribution with a height-independent prescribed 9 droplet number concentration and dispersion parameter (as also taken for calculations of 10 liquid water content (LWC) in Figure 10).

The evolution of the snow mode along the fall streak is simulated by explicitly calculating the 11 contribution to particle growth by the microphysical processes of water vapor diffusion, 12 13 aggregation, and riming. In the model setup used in the presented simulations, the water vapor 14 deposition and aggregation processes conserve the area ratio, aspect ratio, and mass-size 15 relationships. The deposition calculations use the results of Field et al. (2008) to describe the capacitance, except for the smaller particles for which the electrostatic capacitance 16 17 approximation for thin plates is assumed, and the ventilation factor proposed by Hall and 18 Pruppacher (1976) is adapted. The value of aggregation efficiency is set to 0.2. Details of the 19 riming parameterizations are presented in Appendix A.

20 The frontal snow PSD is introduced at the uppermost model level in a functional form of a 21 generalized gamma function with melted diameter representing particle size. The values of the 22 two shape parameters are taken from Delanoë et al. (2005) for the form that is most consistent 23 with the observations. The initial mass-size relation of a power-law form with exponent 2 and prefactor 0.0012 g cm⁻² is used. The rimed fraction of the snow particles at the model's 24 25 uppermost level is set to 0. The initial area ratio is calculated from the empirical relation 26 between area ratio and particle density in Heymsfield et al. (2002) for side plane aggregates (particle density = 0.18 area ratio^{1.5}). 27

28

29 3.4.2 Comparison of model output and observations

In Figure 11, KAZR-observed profiles of snow mode radar moments and the modeled
 moments are compared. Backscattering calculations are performed using Mie spheres with

non-uniform mass distribution (Fabry and Szyrmer, 1999). The terminal velocity of snow 1 2 particles - unrimed at the top and partially rimed below - is calculated based on the method proposed by Heymsfield and Westbrook (2010). However, two different possibilities for the 3 4 evolution of the area ratio with riming are implemented. In the first approach (denoted by "1" 5 in Figure 11) for a given particle maximum diameter, the increase of the area ratio from the 6 initial value is parameterized as a function of the aspect ratio modified by riming. In the second approach (denoted by "2" in Figure 11) the increase of the area ratio is smaller and is 7 obtained assuming that the initial relation between area ratio and particle density is 8 9 maintained, i.e., the increase of the area ratio results from the increase of mass only.

10 In addition to the sensitivity of the terminal velocity of the rimed snow particles to the 11 evolution of the area ratio relationship, the sensitivity of the modeled radar moments of the 12 rimed snow mode to different riming efficiencies is evaluated. Specifically, in one model realization, the riming efficiency parameterizations from Hall (1980) and Cober and List 13 14 (1993) for small and large ice particles are used, respectively. Model results using this 15 particular parameterization are denoted as "Hall Cober" in Figure 11. In another model realization, the riming efficiency parameterization proposed by Lohmann (2004) assuming 16 plates for small D and aggregates for large D is adapted. Model results using this approach are 17 denoted as "Lohmann" in Figure 11. 18

19 Two effects can explain some of the discrepancies between the observed and modeled 20 profiles. First, dynamical effects such as turbulence are not included in the radar forward 21 model that estimates the radar Doppler spectrum width profile. Thus, the forward simulation 22 cannot reproduce the spectrum broadening of the rimed mode below 3 km (cf. Figure 11c). 23 Second, there are uncertainties in the exact slope of the fall streaks due to the fact that the 24 horizontal wind profile from the sounding is not taken at the time of interest but 45 min later. 25 Overall, the evolution of the rimed snow mode moments in the SLW layer can be reproduced 26 by the model (within the standard deviations). In Figure 11a, the observed and modeled Ze 27 profile shows an increase from SLW top to about 3.15 km which is attributed to an increase of 28 mass of the snow when the liquid droplets attach to the snowflakes. Below 3.15 km, the 29 dZe/dz is slightly reduced because even with the increase of mass, the increase of backscatter 30 is very low and at the same time there is a reduction of particle number concentration. Except 31 for the aforementioned influence of turbulence on spectrum width, the model reproduces the mean Doppler velocity and spectrum width profiles well. With the increase in mass and 32

density during the riming process, particle fall velocities of the rimed snow steadily increase from top to bottom of the SLW layer from about 0.95 m s⁻¹ to 1.2 m s⁻¹. The model is also capable of reproducing the small decrease in the spectrum width due to differences in the riming efficiency of small and large particles leading to a small reduction in the spread of the rimed particles' fall velocities.

6

7 4 Summary and Conclusions

For a frontal winter snowfall event observed at the University of Helsinki's Hyytiälä field station in Finland during the BAECC-SNEX field experiment in 2014 we show that by disentangling the contributions of the different hydrometeor populations to total verticallypointing cloud radar returns, it is possible to follow the microphysical evolution of the present cloud- and precipitation particles. For that purpose, we work with the entire radar Doppler spectrum instead of only considering cloud radar moments, which are integrated parameters of the spectrum.

The analysis presented here focuses on a band of snow that falls through a SLW layer, where it experiences riming and where new ice particle formation also takes place. A detailed analysis of the vertical evolution of radar moments of the frontal snow, SLW droplets, and freshly generated ice in terms of their evolution of radar moments (*Ze*, V_d , σ) is presented.

The extensive analysis accounts for the vertical shear of the horizontal wind and the tracking of particle populations is performed along slanted paths instead of vertical profiles. Furthermore, the multiple noise-floor-separated modes of the radar Doppler spectra are analyzed and the moments of the SLW, ice, and rimed snow determined separately. From the V_d and Ze of the SLW droplets we estimate vertical air motion and LWC within the SLW layer, respectively.

The observations were used to set up and evaluate a 1D steady-state bin microphysical model that accounts for the processes of deposition, aggregation and riming. In particular, the profiles of vertical air motion and SLW content, along with thermodynamic variables from the nearest sounding were used to set up the steady-state conditions within the SLW layer. The radar moments at the top of the SLW layer were used to initiate the unrimed snow PSD. The radar Doppler spectrum analysis enabled isolation of the radar moments of the rimed snow within the SLW layer.

The microphysical model output (PSD of rimed snow particles) is used as input to a simple 1 2 radar forward model that reproduces the three radar Doppler moments. The scattering model 3 used to estimate the backscattering cross-section of the snow particles is based on a two-layer 4 spherical model introduced by Fabry and Szyrmer (1999). The uncertainties associated with 5 this backscattering computation have been discussed in Szyrmer et al. (2012). While there are 6 several different methods to prescribe the scattering calculations of snow particles (e.g., 7 Tyynelä et al., 2013; Hogan and Westbrook, 2014), the height evolution of the radar moments 8 of the rimed snow mode is not very sensitive to the scattering method used. Also, the very 9 small particle sizes observed at the surface indicate that larger snowflakes which produce 10 more complex Mie scattering, as for example seen later on February 21, 2014 (Kneifel et al., 2015), were not present during the period of interest. 11

12 Assuming a generalized gamma function with melted diameter representing particle size and using two different methods to estimate the terminal fall velocity of the rimed particles as 13 14 well as two different methods to estimate the riming efficiency of the snow particles, we were 15 able to reproduce the observed profiles (Figure 11). Modeling was also performed assuming an exponential snow PSD form (not shown). For this PSD form it is found that the modeled 16 17 profiles of the rimed snow Ze and V_d are similar to those modeled using the generalized gamma function PSD. However, for the exponential PSD assumption the modeled radar 18 19 Doppler spectrum width profile had much less sensitivity to riming efficiency 20 parameterization or choice of area ratio increase.

21 The case presented here was carefully selected to represent a scenario where riming is the 22 dominant process that modulates the snow radar moments (riming fingerprinting). The 23 simulations indicate that for fixed parameterizations for deposition and aggregation, the 24 number of combinations of the riming and velocity parameterizations resulting in profiles that 25 are comparable to the observations is rather limited. However, changing any of these factors 26 may lead to different results. In other words, the effect of choosing different riming efficiency 27 parameterizations is on the same order as choosing different options for area ratio increase, 28 and thus fall velocity calculations, as indicated by a equidistant spread of modeled profiles of 29 Ze, and V_d in Figure 11.

Thus, no clear conclusions can be made as to which riming parameterization is more appropriate in this particular case due to large sensitivity of the model simulations to several factors other that those we assume, because of a lack of additional observational constraints.

The profiling radar observations provide a height evolution perspective, which is a critical 1 2 constraint for fingerprinting studies that aim to "isolate" a vertical layer where a particular 3 microphysical process dominates particle growth. However, as it is evident from the sensitivity of the simulations, in-situ observations giving exact velocity-size relations, particle 4 5 size- and density estimates, and information about particle shape are needed to further limit 6 the choices in the model setup leading to true advancement in our understanding of riming 7 and other ice microphysical processes. While extensive ground-based in-situ data was 8 gathered during BAECC-SNEX, the period of interest was characterized by low precipitation 9 rates which hampered the ground-based microphysical retrievals. Furthermore, measurements of the LWC and ice PSD at the top of the SLW layer would have been key parameters to 10 constrain the model runs. This points to the future need for coordinated (aircraft-based) in-situ 11 12 observations combined with profiling and scanning radar observations. The DOE ARM Program and the ARM Aerial Facility (AAF) are well positioned to conduct such targeted 13 14 observational-modeling studies in the future.

15 The present riming case study was selected from the extensive BAECC campaign data set due to its nearly ideal situation in which the fall velocity separation of the different hydrometeor 16 17 classes is strong enough to produce individual peaks separated by the mean noise floor in the 18 cloud radar Doppler spectra. During other riming cases, cloud radar Doppler spectra 19 multimodalities were observed, however, these peaks were usually merged. These cases are 20 more complicated to disentangle, most likely due to a more complex mixture of dominant ice 21 growth processes (water vapor deposition, aggregation, and riming) all played an important role. Fingerprinting studies of one particular microphysical growth process - such as riming -22 23 requires more simple microphysical situations as we think is the case in the presented study.

24 In situations with merged broad peaks it is very challenging to define objective peak 25 separation criteria and to thus disentangle the relative contributions of different hydrometeor 26 populations to the total radar returns. In a previous mixed-phase cloud radar Doppler spectra 27 study (Shupe et al., 2004) empirical "peak-picking" criteria were developed by manual 28 inspection of the peak-picking results. There, it was emphasized that the criteria depend on 29 the observed mixed-phase cloud cases and cloud radar sampling parameters (such as temporal 30 resolution and number of FFT points). The development of robust cloud radar Doppler spectra 31 peak separation criteria in mixed-phase clouds is the topic of future studies, the dataset 32 gathered during the BAECC campaign offers great potential for such studies.

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2 Appendix A: Parameterizations of Riming Efficiency and Physics

3 The increase in mass of an individual snow particle via the riming process is calculated with 4 the stochastic collection equation. Different options for the calculations of the riming 5 efficiency, the evolution of area ratio and aspect ratio via the riming process, and the related 6 increase in particle fall velocity are included in the model. Size-dependent riming efficiency 7 parameterizations proposed in the literature and introduced in the model can be separated into 8 two groups. The first group describes the efficiency of smaller pristine crystals, mainly at the 9 first stage of riming, based on numerical simulations of Pitter and Pruppacher (1974); Pitter 10 (1977); and Wang and Ji (2000), and proposed by Hall (1980); Young (1993); Geresdi (1998); and Lohman (2004) taken from Mitchell (1990). The second group of 11 parameterizations introduced in the model are more suitable for larger more spherical 12 13 particles: Included are the parameterization developed for the accretional growth of raindrops 14 (Beard and Grover, 1974), a parameterization derived for graupel (Cober and List, 1993) and 15 the one proposed by Lohmann (2004) for aggregates based on the experimental results of Lew et al. (1986). Some examples of the dependence of the riming efficiency on the snow particle 16 17 size calculated for different cloud droplet diameters are shown in in the supporting 18 information of Leinonen and Szyrmer (2015).

19 Different approaches to describing the physics of riming result in different descriptions of the 20 changes of the properties of particles undergoing riming growth. Aggregates and branched particles appear to grow by "filling in", resulting in an increase of particle effective density 21 22 while the major dimension does not change. Mainly, the minor dimension is expected to 23 increase. But when the particle effective density is large enough, associated with a quasispherical shape, the filling process has to be replaced by an increase of both dimensions with 24 25 the aspect ratio maintained (e.g., Morrison and Grabowski, 2010). Different options of change of particle aspect ratio accompanying the growth by riming in the model use the rime density 26 27 calculated from empirical formulas (Macklin, 1962; Pflaum and Pruppacher, 1979; 28 Heymsfield and Pflaum, 1985). The following options for the evolution of the area ratio for a 29 given increase of the rimed fraction can be selected in the model: i) using one of the empirical 30 relations of mass-density-area ratio (by choosing an appropriate relation from the Table in 31 Szyrmer et al., 2012 or others), or ii) obtained by interpolation based on rimed fraction between the values associated with the unrimed particle and graupel (as in Lin and Colle, 32

1 2011), or iii) calculated from the assumed relation of particle geometry between area ratio and

2 aspect ratio (e.g. Avramov et al., 2011; Jensen and Harrington, 2015).

3

4 Data availability

5 All data used in this study is publicly accessible at the ARM Data Archive: 6 www.archive.arm.gov.

7

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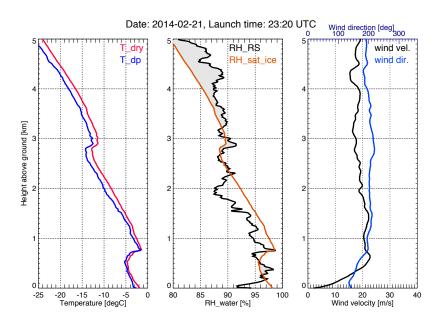


Figure 1. Vertical profiles of temperature (blue, left) and dewpoint temperature (red, left), relative humidity (middle, black), as well as horizontal wind speed (right, black) and wind direction (right, blue) from radio sonde launched at 23:20 UTC on February 21, 2014 in Hyytiälä. The red line in the middle panel refers to the humidity at which the air is saturated with respect to ice, i.e., if the relative humidity is to the right of the red line, the air is supersaturated w.r.t. ice (grey shading).



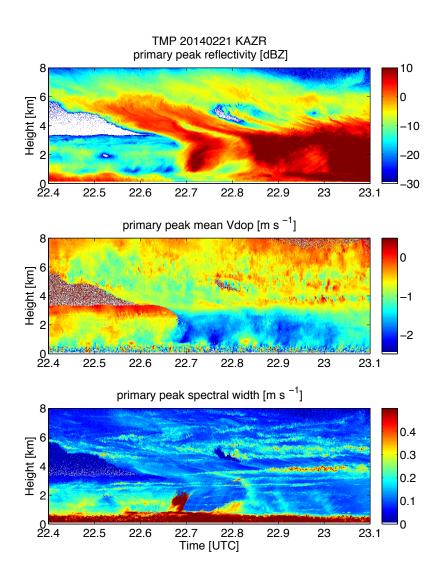


Figure 2. : KAZR observed primary Doppler spectrum peak moments on February 21, 2014 in
Hyytiälä. Panel a) shows reflectivity (dBZ), b) mean Doppler velocity (m s⁻¹), and c)
spectrum width of the primary Doppler spectrum peak (m s⁻¹). Negative Doppler velocities
indicate downward motion.



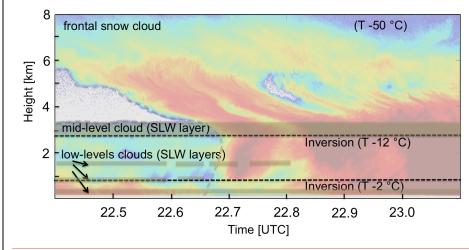
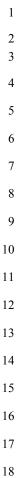
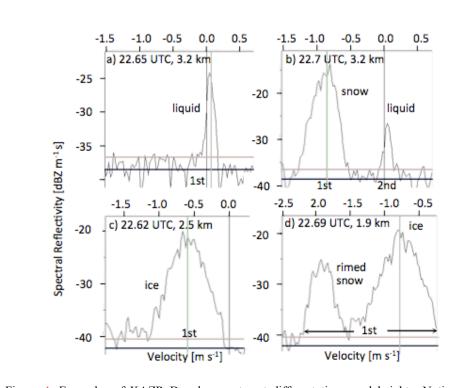


Figure 3: Schematic diagram of the cloud layers present in Hyytiälä on 21 February 2014. Sketch is overlying the KAZR reflectivity.





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4 Figure 4: Examples of KAZR Doppler spectra at different times and heights. Notice the 5 different x- and y-scales. Maximum and mean noise floor determined according to Hildebrand and Sekhon (1974) are indicated by grey and black horizontal lines, respectively. Primary 6 7 peak is labeled as 1st, secondary peak as 2nd. Mean Doppler velocity of primary peak is 8 shown by vertical grey line. The plots are created with the Doppler Spectrum Visualizer, a 9 visualization toolkit which publicly available is at 10 http://www.gim.bnl.gov/armclouds/specvis java toolkit/

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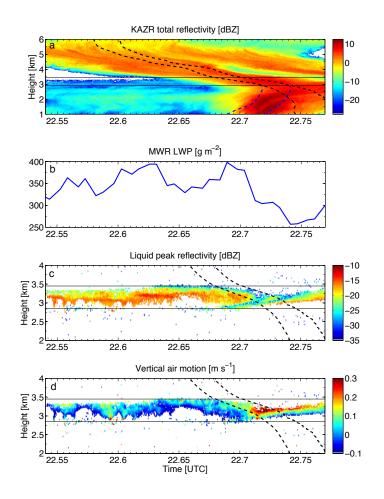


Figure 5: Zoomed view (2-4 km, 22.54-22.77 UTC) of the snow front on February 21, 2014. Panel a) shows KAZR total reflectivity, b) microwave radiometer (MWR) liquid water path (LWP), c) reflectivity of the liquid peak, d) mean Doppler velocity of the liquid peak used as vertical air motion tracer. The dotted lines depict individual fall streaks starting at different generating levels z_{gen} (z_{gen} = 6 km (black), z_{gen} = 2.9 km (blue)). All subsequent averaged profiles refer to the area between the two black fall streaks.

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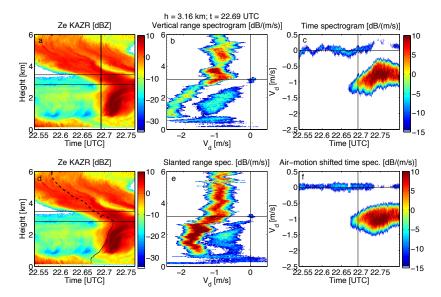


Figure : Panel a) and d) show KAZR reflectivity (*Ze*) field. There, the location of the SLW layer is indicated by thin horizontal black lines. Upper middle and upper right panel show range- and time spectrogram of vertical profile which is indicated by black vertical line in a), lower middle and lower right panel along slanted fall streak shown in d) as dashed line. b) vertical range spectrogram along vertical black line in upper left panel, c) time spectrogram at 3.16 km, e) slanted range spectrogram along dashed line in d). f) air-motion corrected time spectrogram at 3.16 km.



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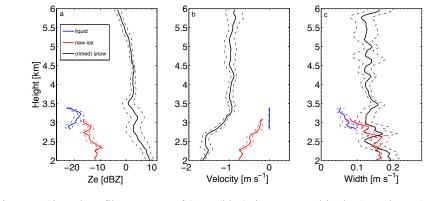


Figure 7: Slanted profile moments of SLW (blue), ice generated in the SLW layer (red), and frontal snow (black). Means and standard deviations of a) reflectivity, b) mean Doppler velocity, and c) mode width are shown for the slanted paths encompassed by the two dashed lines in Figure 5. Within the SLW water layer, velocities are corrected for vertical air motion.



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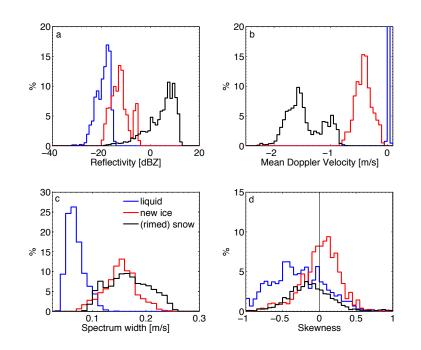


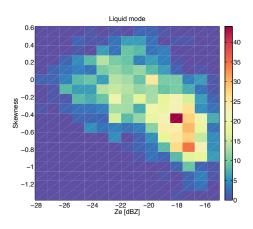


Figure &: Probability density functions (PDF) of noise-separated peaks of SLW (blue), ice generated in the SLW layer (red), and frontal snow (black) for the slanted paths encompassed by the two dashed lines in Figure 4. Only data from surface to below top of the SLW layer (3.4 km) is considered. Please note that the PDF of SLW V_d is cut at 20%.

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2	Figure 2: Joint PDF of frequency of occurrence of skewness and reflectivity of supercooled	Heike 1/11/2016 1:40 PM
3	liquid mode encompassed by the two black fall streaks in Figure 5.	Deleted: 8
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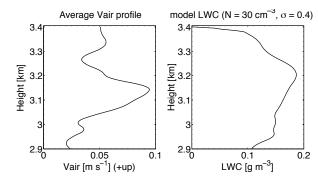


Figure 10: Mean vertical air motion profile (Vair, left) derived from liquid mode mean
Doppler velocity and liquid water content (LWC, right) derived from liquid peak reflectivity
between the two black fall streaks in Figure 5, respectively.

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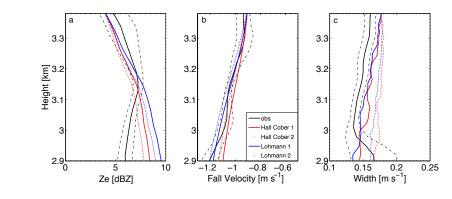


Figure 11: Comparison of observed and modeled moments of the frontal snow mode in the SLW layer. Black solid and dashed lines refer to the mean and standard deviation of the slanted paths encompassed by the two black fall streaks in Figure 5. Model results based on riming efficiencies by Hall & Cober as well as Lohmann are shown in red and blue, respectively (for details see text). Model results assuming area ratio increase with mass and rimed fraction (solid red and blue line) as well as with mass only (dotted red and blue) are shown.

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