

1 **Observations of relative humidity effects on aerosol light**
2 **scattering in the Yangtze River Delta of China**

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4 **Zhang Lu^{1,2}, Sun Junying^{1,3}, Shen Xiaojing¹, Zhang Yangmei¹, Che Haochi¹,**
5 **Ma Qianli⁴, Zhang Yiwen¹, Zhang Xiaoye¹, John A. Ogren⁵**

6 ¹ Key Laboratory of Atmospheric Chemistry of CMA, Institute of Atmospheric
7 Composition, Chinese Academy of Meteorological Sciences, Beijing 100081, China

8 ² College of Earth Science, University of Chinese Academy of Sciences, Beijing
9 100049, China

10 ³ State Key Laboratory of Cryospheric Sciences, Cold and Arid Region
11 Environmental and Engineering Research Institute, Chinese Academy of Sciences,
12 Lanzhou 730000, China

13 ⁴ LinAn Regional Atmosphere Background Station, LinAn 311307, China

14 ⁵ Earth System Research Laboratory, NOAA, Boulder, CO, USA

15 *Correspondence to:* J.Y. Sun (jysun@cams.cma.gov.cn)

16
17 **Abstract**

18 Scattering of solar radiation by aerosol particles is highly dependent on relative
19 humidity (RH) as hygroscopic particles take up water with increasing RH. To achieve
20 a better understanding of the effect of aerosol hygroscopic growth on light scattering
21 properties and radiative forcing, the aerosol scattering coefficients at RH in the range
22 of 40 to ~90% were measured using a humidified nephelometer system in the Yangtze
23 River Delta of China in March 2013. In addition, the aerosol size distribution and
24 chemical composition were measured. During the observation period, the mean and
25 standard deviation (SD) of enhancement factors at RH=85% for the scattering
26 coefficient ($f(85\%)$), backscattering coefficient ($f_b(85\%)$) and hemispheric backscatter
27 fraction ($f_\beta(85\%)$) were 1.58 ± 0.12 , 1.25 ± 0.07 and 0.79 ± 0.04 , respectively, i.e. aerosol
28 scattering coefficient and backscattering coefficient increased by 58 and 25% as the

1 RH increased from 40 to 85%. Meanwhile, the aerosol hemispheric backscatter
2 fraction decreased by 21%. The relative amount of organic matter (OM) or inorganics
3 in PM₁ was found to be a main factor determining the magnitude of f(RH). The
4 highest values of f(RH) corresponded to the aerosols with a small fraction of OM, and
5 vice versa. The relative amount of NO₃⁻ in fine particles was strongly correlated with
6 f(85%), which suggests that NO₃⁻ played a vital role in aerosol hygroscopic growth
7 during this study. The mass fraction of nitrate also had a close relation to the curvature
8 of the humidograms; higher mass fractions of nitrate were associated with
9 humidograms that had the least curvature. Aerosol hygroscopic growth caused a 47%
10 increase in the calculated aerosol direct radiative forcing at 85% RH, compared to the
11 forcing at 40% RH.

12 **1 Introduction**

13 Hygroscopic aerosols take up water as humidity increases (Engelhart et al.,
14 2011;Pilinis et al., 1989;Hänel, 1976;Covert et al., 1972). Aerosol water matters since
15 water can affect both the size and refractive indices of atmospheric aerosols, thereby
16 influencing the mass concentration, size distribution, and corresponding optical
17 properties (e.g., scattering coefficient, backscattering coefficient, single scattering
18 albedo, and asymmetry parameter) (Cheng et al., 2008;Randles et al., 2004;Malm et
19 al., 2003;Carrico et al., 2003). In particular, understanding the effect of relative
20 humidity on aerosol light scattering is important to better estimate the radiative
21 forcing and evaluate visibility impairment (Ackerman et al., 2004;Tang,
22 1996;Charlson et al., 1992;Covert et al., 1972). Besides, most of the ground-based
23 aerosol measurements are conducted in dry conditions to provide consistency within
24 and among networks. These measurements can differ significantly from the ambient
25 ones. Thus, the determination of enhancement factors for various optical variables are
26 of crucial importance for climate forcing calculations (Quinn et al., 1995;Pilinis et al.,
27 1995) and the comparison between remote sensing and ground based measurements
28 (Zhang et al., 2012;Wang and Martin, 2007;Zieger et al., 2012).

29 The Yangtze River Delta, one of the most populated and fastest growing regions

1 in China, has experienced extraordinary economic growth during the last two decades.
2 Amounting to 2.1% of the land area of China, this region contains ~11% of the
3 country's population and produces ~20% of China's Gross Domestic Product (GDP)
4 in 2013 (Wang et al., 2013). Concurrent with population increase and economic
5 growth are the increasing energy consumption and number of automobiles, causing
6 the Yangtze River Delta to become a significant source of gas and particulate
7 pollutants and secondary aerosol production. A 5-week field campaign was carried out
8 in the early winter of 1999 at LinAn, a background station in the Yangtze River Delta
9 (Xu et al., 2002). However, since then the physical and chemical properties of gas and
10 particulate pollutants have changed dramatically with the rapidly developing economy
11 and fast growing population, e.g. from 1999 to 2013, the sulfate mass concentration
12 decreased from 21.2 ± 11.5 to 8.1 ± 4.1 (mean \pm SD) (Qi et al., 2012; Xu et al.,
13 2008; ZEPB, 1999; ZEPB, 2013). In order to better understand the aerosol light
14 scattering properties and their dependency on relative humidity in the Yangtze River
15 Delta, both the scattering and backscattering coefficients under dry (RH<40%)
16 conditions and controlled, elevated relative humidity were measured, along with the
17 chemical composition and particle number size distribution.

18 The enhancement factors discussed in this work include scattering enhancement
19 factor $f(\text{RH}, \lambda)$, enhancement factor for backscattering coefficient $f_b(\text{RH}, \lambda)$ and
20 enhancement factor for hemispheric backscatter fraction $f_\beta(\text{RH}, \lambda)$. The impact of
21 relative humidity on the aerosol light scattering coefficient is called the scattering
22 enhancement factor $f(\text{RH}, \lambda)$, defined as

$$23 \quad f(\text{RH}, \lambda) = \sigma_{\text{sp}}(\text{RH}, \lambda) / \sigma_{\text{sp}}(\text{dry}, \lambda) \quad (1)$$

24 where $\sigma_{\text{sp}}(\text{dry}, \lambda)$ and $\sigma_{\text{sp}}(\text{RH}, \lambda)$ represent scattering coefficients at wavelength λ in dry
25 conditions and at a defined higher relative humidity, respectively.

26 Likewise, the impact of relative humidity on aerosol backscattering coefficient
27 can be described as the enhancement factor for backscattering coefficient $f_b(\text{RH}, \lambda)$:

$$28 \quad f_b(\text{RH}, \lambda) = \sigma_{\text{bsp}}(\text{RH}, \lambda) / \sigma_{\text{bsp}}(\text{dry}, \lambda) \quad (2)$$

29 where $\sigma_{\text{bsp}}(\text{dry}, \lambda)$ and $\sigma_{\text{bsp}}(\text{RH}, \lambda)$ represent backscattering coefficients at wavelength λ

1 in dry conditions and at a defined relative humidity, respectively. $f(\text{RH},\lambda)$ and $f_b(\text{RH},\lambda)$
2 are always greater than 1 if no significant restructuring is taken place after water
3 uptake (Weingartner et al., 1995).

4 Hemispheric backscatter fraction ($b=\sigma_{\text{bsp}}/\sigma_{\text{sp}}$) is closely related to the upscatter
5 fraction ($\bar{\beta}$), the fraction of incident solar radiation scattered into space (Wiscombe
6 and Grams, 1976). The impact of relative humidity on aerosol hemispheric
7 backscatter fraction can be defined as the enhancement factor for hemispheric
8 backscatter fraction $f_{\beta}(\text{RH},\lambda)$:

$$9 \quad f_{\beta}(\text{RH},\lambda) = b(\text{RH},\lambda)/b(\text{dry},\lambda) \quad (3)$$

10 where $b(\text{dry},\lambda)$ and $b(\text{RH},\lambda)$ represent hemispheric backscatter fraction at wavelength
11 λ in dry conditions and at the defined relative humidity. Thus, $f_{\beta}(\text{RH},\lambda)$ can be
12 rewritten as: $f_{\beta}(\text{RH},\lambda)=f_b(\text{RH},\lambda)/f_b(\text{dry},\lambda)$.

13 The wavelength dependence of scattering enhancement factor $f(\text{RH},\lambda)$ varies
14 with generalized aerosol types. Kotchenruther and Hobbs (1998) and Zieger et al.
15 (2010; 2011) found no pronounced wavelength dependence of $f(\text{RH},\lambda)$ for biomass
16 burning aerosols and arctic aerosols, respectively; Zieger et al. (2013) found small
17 variations (<5%) of $f(\text{RH},\lambda)$ at 450, 550 and 700 nm for several European sites;
18 Kotchenruther et al. (1999) and Magi and Hobbs (2003) reported significant
19 wavelength dependence of $f(\text{RH},\lambda)$ for urban/industrial aerosols off the east coast of
20 the United States. In this study, the wavelength dependence of enhancement factors
21 was also investigated. Except when specially mentioned, all the parameters discussed
22 in this study are based on the measurements at 550 nm wavelength only.

23

24 **2 Experimental sites and instrumentation**

25 **2.1 Site description**

26 This study was carried out during an intensive field sampling period from 1 to 31
27 March 2013 at LinAn Regional Atmosphere background station, which is a WMO
28 GAW regional station (30.3° N, 119.73° E, 138 m a.s.l.) located in the center of the
29 Yangtze River Delta, China (Fang et al., 2013) (as shown in Fig. 1). It is

1 approximately 11 km north of the city of LinAn, with a population of 1.5 million. The
2 site is ~50 km west of Hangzhou (capital of Zhejiang Province with a population of
3 ~8.8 million) and ~210 km southwest of Shanghai (a mega-city with a population of
4 ~20 million). LinAn station is on the top of a small hill, in an area primarily covered
5 by bamboo forests and paddy rice fields, and represents the background conditions of
6 the Yangtze River Delta. North of the station is a small village with ~200 inhabitants.
7 In addition, there is an activated charcoal factory ~1.4 km north of LinAn station that
8 uses bamboo wood as its source material (Qi et al., 2012). During the observation
9 period, the prevailing winds were northeasterly (NE) and southwesterly (SW) with an
10 average wind speed of $\sim 2.5 \text{ m s}^{-1}$ (SD 1.4 m s^{-1}). 72-hour back trajectories showed two
11 contrasting air mass origins: (1) air masses from Northern China through
12 long-distance transport and (2) air masses from southerly/southwesterly directions
13 with a much shorter transport distance.

14 **2.2 Measurement system and data processing**

15 The scattering enhancement factor $f(\text{RH})$ is defined as the ratio of aerosol
16 scattering coefficient at a given, elevated RH to that at a low RH (usually <40%).
17 Correspondingly, the humidification system, called a humidograph, included two
18 nephelometers operating in series with a humidifier between them. Sample air entered
19 the first nephelometer (reference nephelometer or DryNeph) through an aerosol dryer
20 (Shen et al., 2011; Tuch et al., 2009) to ensure the aerosol was at dry conditions (RH
21 inside DryNeph was $12.2 \pm 3.4\%$ (mean \pm SD) for the whole field campaign), then
22 passed through the humidifier, where the sample RH was regulated to a higher RH
23 that was ramped from ~40 to 90%, and finally entered the second nephelometer
24 (humidified nephelometer or WetNeph) where the scattering coefficient of humidified
25 aerosols was measured.

26 Aerosol total scattering (between 7 and 170 degrees) and backscattering
27 coefficients (between 90 and 170 degrees) were measured with an integrating
28 nephelometer (TSI Inc., Model 3563) at three wavelengths: blue (450 nm), green (550
29 nm) and red (700 nm). Data were recorded as 1-minute averages and a zero check was
30 performed automatically once per hour. The detailed characteristics of this instrument

1 has been described in many previous studies (Anderson and Ogren, 1998; Charlson et
2 al., 1969; Anderson et al., 1996).

3 The humidifier was built by the aerosol group in Global Monitoring Division,
4 Earth System Research Laboratory, National Ocean & Atmospheric Administration,
5 USA (NOAA/GMD), based on the design described in Carrico et al. (1998). It
6 consisted of 2 concentric tubes with a heater and insulation around the outer tube.
7 Sample air flowed through the inner tube, while water circulated between the inner
8 and outer tubes. The inner tube was made of porous extruded PTFE
9 (polytetrafluoroethylene) membrane, whose pore size is large enough for water
10 molecules, but too small for larger molecules such as oxygen to cross. The flux of
11 water vapor through the membrane was controlled by regulating the electric current to
12 the humidifier heater until the desired RH was attained. The humidity scan was a
13 one-hour cycle; RH was ramped from ~40 to 90% during the first half hour and in the
14 reverse direction during the last half hour.

15 Besides the scattering measurement, particle number size distribution and aerosol
16 chemistry were also measured at the station. Particle number size distributions from 3
17 nm to 10 μm were measured with a twin differential mobility particle sizer (TDMPMS)
18 (Birmili et al., 1999) and an aerodynamic particle sizer (APS, model 3321, TSI Inc.).
19 The mass concentrations of sulfate, nitrate, ammonium, organic matter (OM) and
20 chloride (aerodynamic diameter less than 1 μm) were measured with an aerosol mass
21 spectrometer (AMS, Aerodyne Inc.). The equivalent mass concentration of black
22 carbon (EBC) was measured with a multi angle absorption photometer (MAAP, model
23 5012, Thermo Scientific Inc.) at 637 nm wavelength (Müller et al., 2011); the
24 assumed mass absorption cross-section was $6.6 \text{ m}^2 \cdot \text{g}^{-1}$. Visibility was measured using
25 a near-forward scattering sensor (FD12, Vaisala). Meteorological data were provided
26 by the LinAn Regional Atmosphere Background Station.

27 All the instruments were housed in a measurement laboratory where room
28 temperature was controlled at $\sim 25 \text{ }^\circ\text{C}$. All data were reported in Beijing Time
29 (BJT=UTC+8 h) and all the scattering data were referenced at $T=0 \text{ }^\circ\text{C}$ and $P=1013.25$
30 hPa. The truncation error correction described by Anderson and Ogren (1998) was

1 applied to retrieve the final scattering and backscattering coefficients. The Å ngström
2 exponent \mathring{a} , defined as $\mathring{a} = -\log[\sigma_{sp}(\lambda_1)/\sigma_{sp}(\lambda_2)]/\log[\lambda_1/\lambda_2]$, represents the wavelength
3 dependence of light scattering assuming a power law relationship of σ_{sp} and σ_{bsp} with
4 wavelength. In this study, scattering coefficients at 450 nm and 700 nm were used to
5 derive \mathring{a} . Normalization of f(RH) (Day and Malm, 2001) has been carried out to get
6 the final f(RH) scan values, i.e. f(40%) (the lowest RH in one cycle) is set to 1 and
7 used to normalize other f(RH) values in this cycle. It's worth mentioning that the
8 normalization of f(RH) (see Sect. 2.2) may underestimate f(RH) to some extent, since
9 some organics (e.g. humic acid sodium) take up water even when RH <40% (Sjogren
10 et al., 2007; Dick et al., 2000). To evaluate its impact, we calculated the raw f(40%)
11 value without the normalization. The average and standard deviation were 1.03 and
12 0.03 with a maximum of 1.08, which means this normalization may cause an
13 underestimate of 5% (an error of 3% was caused by the inconsistency of DryNeph and
14 WetNeph, see Sect. 2.4) at most. Figure 2c shows the un-normalized f(RH) value; the
15 lowest value of each cycle was around 1.03, which represents the inconsistency of
16 DryNeph and WetNeph.

17 **2.3 Inlet system**

18 An automatic regenerating adsorption aerosol dryer (Tuch et al., 2009) was used
19 to provide low RH sample air to DryNeph, TDMPS, APS, AMS and MAAP to ensure
20 comparability of measurements. The aerosol dryer was housed in a separate shelter
21 that was located on the rooftop (~ 5 m a.g.l.) of the measurement laboratory. Sample
22 air entered the shelter through a commercially available PM₁₀ impactor (PM₁₀ inlet,
23 URG Corporation) and then passed through the adsorption aerosol dryer (Tuch et al.,
24 2009) to reduce the RH to less than 30%. The dried sample air passed through a
25 3/4-inch diameter stainless tube to a manifold, which split the sample into 1/4 or
26 3/8-inch diameter tubes that connected to the different instruments. The total sample
27 flow through the PM₁₀ impactor was kept at 16.7 lpm to ensure a 50% collection
28 efficiency at 10 μ m aerodynamic diameter (Bernier et al., 1979).

29 **2.4 Quality control**

30 Accurate performance of nephelometers and RH sensors is crucial to retrieve

1 reliable enhancement factors ($f(\text{RH},\lambda)$, $f_b(\text{RH},\lambda)$ and $f_\beta(\text{RH},\lambda)$), since they are defined
2 as the ratio of aerosol scattering coefficient/ backscattering coefficient/ hemispheric
3 backscatter fraction at a higher RH to those at a low RH (usually <40%). In addition,
4 the RH control in the WetNeph sensing volume is also critical to $f(\text{RH})$ measurement.
5 Therefore, several comparisons and calibrations have been carried out before and
6 during the experiment. Three external RH sensors (Vaisala, model HMP60) were
7 calibrated in the RH range of 11% to 80% using a Vaisala Humidity Calibrator
8 (HMK15) with four saturated salt solutions (LiCl, K_2CO_3 , NaCl, $(\text{NH}_4)_2\text{SO}_4$), and a
9 humidity/temperature transmitter (Vaisala, model HMT333), which was calibrated by
10 the National Center for Meteorological Metrology, China. The two internal
11 nephelometer RH sensors were calibrated to the external RH sensors with an
12 uncertainty of $\leq 2\%$. A good agreement of these RH sensors was achieved with a
13 discrepancy of <3%. Both nephelometers were calibrated with CO_2 (purity 99.999%)
14 and filtered air. Filtered air measurements were made automatically every hour to
15 track the instrument background. Comparison of scattering and backscattering
16 coefficients of the two nephelometers at low RH ($9.6\pm 3.2\%$) was performed during 1
17 to 3 March, 2013. The total scattering coefficient and backscattering coefficient
18 measured by WetNeph were constantly 3% ($y=1.03x+1.60$, $R^2=1.000$) and 4%
19 ($y=1.04x+0.09$, $R^2=0.997$) higher than those obtained by DryNeph at 550 nm
20 (similarly for other wavelengths); the high consistency demonstrates that the two
21 nephelometers were operating quite steadily and the scattering/backscattering
22 coefficients measured by DryNeph can be corrected in order to make them
23 comparable to the measurements of WetNeph. The uncertainty of nephelometer
24 measurements is $\sim 10\%$ (Anderson et al, 1996), which, when combined with the
25 uncertainty of the measurements of the internal RH sensors, yields an uncertainty for
26 $f(85\%)$ of $\sim 20\%$. This overall uncertainty could be lower for less hygroscopic
27 particles or lower RHs.

28 The RH at the outlet of WetNeph was regulated via a feedback system using the
29 Vaisala RH signal, a PID controller and a heater. The humidifier set point was stepped
30 from low to high RH and back to low RH every hour with the set point changing

1 every one or two minutes. Figure 2 is an example of our data showing the RH control
2 and corresponding scattering measurements. As can be seen from Fig. 2, good RH
3 control was achieved regardless of the magnitude of the scattering coefficient.

4 During the drying and humidifying process, thermophoresis, coagulation,
5 evaporation, and irreversible chemical reactions can alter the particles from the
6 original ones. A variety of measures were taken to minimize changes to the particles:
7 the transport path was made as short and straight as possible, particle-free air was
8 diluted to the aerosol stream to reduce coagulation, and higher heater temperatures
9 were avoided to reduce evaporation of semi-volatile compounds like weak organic
10 acids and nitrates. The nephelometers were operated at a constant flow of 20 lpm,
11 comprised of 9 lpm sample air and 11 lpm particle-free air (dilution flow). The total
12 flowrate through the nephelometer was controlled by a mass flow controller. The
13 dilution flow was regulated by a needle valve and measured with a mass flowmeter.
14 The sample and dilution flow have been calibrated with a Gilibrator bubble flowmeter
15 before the experiment. Filtered air tests were also conducted to make sure that all the
16 instruments were in good condition and that there were no leaks in the system.

17

18 **3 Results and discussion**

19 **3.1 Overview**

20 Figure 3 shows the time series of the measured and derived aerosol variables
21 during March 2013, as well as the ambient RH and visibility. The scattering
22 enhancement factor $f(85\%)$ ranged from 1.29 to 1.86 (Fig. 3a) with an average of 1.58
23 (Table 1) for the whole campaign. During 4-9 March, when LinAn was dominated by
24 air masses from the south under clear sky, $f(85\%)$ stayed at a low value of 1.42
25 (± 0.05). In March, the hourly averaged aerosol scattering coefficient, measured under
26 dry conditions (Fig. 3c), varied from 21 to 1067 Mm^{-1} , and the maximum occurred on
27 16 March, when a severe haze occurred. The mean value and standard deviation of the
28 hourly averaged aerosol scattering coefficient was 223 Mm^{-1} (140 Mm^{-1}). Visibility
29 (Fig. 3b) varied from 0.1 km to 23.7 km at ambient conditions with a mean value of
30 6.2 km. The lowest visibilities were observed on 23 and 24 March, when the station

1 was in clouds. From 15 to 16 March, visibility declined to 4.4 km with the
2 accumulation of pollutants in the atmosphere, which was a severe haze episode (as
3 mentioned above). An air mass from Northwest China with high dust levels arrived at
4 LinAn on 10 March, with an abrupt increase of the aerosol scattering coefficient (Fig.
5 3c) and a sharp decline of Å ngström exponent (Fig. 3d).

6 Based on nephelometer measurements, the enhancement factors for scattering
7 coefficient $f(\text{RH})$, backscattering coefficient $f_b(\text{RH})$ and hemispheric backscatter
8 fraction $f_\beta(\text{RH})$ were determined using Eq. (1), (2) and (3), respectively. Their values
9 at different RHs (50, 60, 70, 80 and 85%) were obtained using linear interpolation
10 from the half-hourly humidogram data (Table 1). The enhancement factors $f(\text{RH})$ and
11 $f_b(\text{RH})$ increased as the RH increased, but $f_b(\text{RH})$ increased much more slowly than
12 $f(\text{RH})$. The $f(85\%)$ and $f_b(85\%)$ were 1.58 and 1.25, respectively, suggesting that the
13 scattering coefficient and backscattering coefficient at 85% RH were 58 and 25%
14 higher than those in dry conditions due to aerosol water uptake. The $f_\beta(\text{RH})$ decreased
15 with increasing RH, i.e. hemispheric backscatter fraction becomes smaller with the
16 increase of RH and the fraction of radiation that would be backscattered into space
17 was reduced. The $f_\beta(\text{RH})$ decreased $\sim 21\%$ as the RH increased from 40 to 85%. All
18 these parameters are of crucial importance in evaluating the aerosol radiative forcing.

19 Generally, the scattering enhancement factor ($f(80\%)=1.44$) is much lower than
20 the result ($f(80\%)=1.7-2.1$) obtained by Xu et al. (2002) for LinAn in 1999. This value
21 is also lower than the results obtained by Carrico during ACE-1 (Carrico et al., 1998)
22 and ACE-Asia (Carrico et al., 2003), the values obtained by Zieger et al. (2013) in
23 several European sites and the Arctic, as well as the values reported at several sites in
24 the U.S. (Malm et al., 2005;Malm et al., 2003;Malm and Day, 2001;Day and Malm,
25 2001;Malm and Day, 2000). However, the difference between measured $f(\text{RH})$ in this
26 study and previous studies performed in China (Yan et al., 2009;Pan et al., 2009;Liu
27 et al., 2009;Cheng et al., 2008) are much smaller. The enhancement factors for
28 backscattering coefficient and hemispheric backscatter fraction ($f_b(85\%)$ and $f_\beta(85\%)$)
29 were 1.25(0.07) and 0.79(0.04), respectively, similar to the results
30 ($f_b(82\%)=1.22\pm 0.06$ and $f_\beta(82\%) =0.83$) obtained by Carrico at Sagres, Portugal

1 during ACE-2 (Carrico et al., 2000) and the results ($f_b(82\%)=1.27$ and $f_\beta(82\%) =0.75$)
2 obtained by Carrico et al. (2003) during the dust-dominant period in ACE-Asia.

3 **3.2 Aerosol chemical properties**

4 The submicron mass concentrations of sulfate, nitrate, ammonium, chloride and
5 organic matter (OM) measured by AMS, plus EBC in PM_{10} measured by MAAP, are
6 summarized in Table 2. The mass concentration of OM is the largest, while the mass
7 concentration of chloride is the smallest, in accord with previous studies in LinAn
8 (Meng et al., 2012; Yan et al., 2005). The mean mass concentrations of nitrate and
9 sulfate were $9.8\pm 12.1 \mu\text{g}\cdot\text{m}^{-3}$ and $8.1\pm 4.1 \mu\text{g}\cdot\text{m}^{-3}$ in this study, similar to the values
10 ($9.4\pm 7.1 \mu\text{g}\cdot\text{m}^{-3}$ for nitrate and $8.6\pm 3.7 \mu\text{g}\cdot\text{m}^{-3}$ for sulfate in $PM_{2.5}$) at LinAn in
11 summer, 2010 (Meng et al., 2012).

12 Aerosol acidity is a key parameter affecting aerosol hygroscopic growth. It is
13 usually examined by comparing the NH_4^+ mass concentration and the amount needed
14 to fully neutralize sulfate, nitrate and chloride ions ($\text{NH}_4^+_{\text{predicted}}$) (Sun et al., 2010):

$$15 \quad \text{NH}_4^+_{\text{predicted}} = 18 \times (2 * \text{SO}_4^{2-}/96 + \text{NO}_3^-/62 + \text{Cl}^-/35.5) \quad (4)$$

16 Figure 4 illustrates the relationship of measured NH_4^+ and predicted NH_4^+ . As
17 shown in Fig. 4, the regression slope is close to 1, which implies that there was
18 sufficient NH_3 in the atmosphere to neutralize H_2SO_4 , HNO_3 and HCl , and that the
19 PM_1 aerosol at LinAn was bulk neutralized during the measurement period. Therefore,
20 the dominant chemical form of sulfate aerosol is ammonium sulfate (AS) rather than
21 acidic sulfate (H_2SO_4 or NH_4HSO_4) and the nitrate existed in the form of NH_4NO_3
22 (AN). By calculating Pearson's correlation coefficient among 5 different chemical
23 species, it was found that NH_4^+ and NO_3^- are strongly correlated with $r=0.93$; NH_4^+
24 and SO_4^{2-} , Cl^- are highly related with r equal to 0.77 and 0.74 respectively, which
25 also implies the main form of inorganics would be NH_4NO_3 , $(\text{NH}_4)_2\text{SO}_4$ and NH_4Cl .
26 However, the average mass concentration of chloride was very low (see Table 2) at
27 LinAn, and NH_4NO_3 and $(\text{NH}_4)_2\text{SO}_4$ were the dominant water-soluble ionic species,
28 consistent with previous results at LinAn based on filter chemical measurements
29 (Meng et al., 2012).

1 **3.3 Wavelength dependence of the scattering enhancement factor f(85%)**

2 The wavelength dependence of the scattering enhancement factor is needed to
3 estimate the aerosol radiative forcing since solar radiation at Earth's surface depends
4 on wavelength. The histogram for $f(85\%, 550 \text{ nm})$ is shown in Fig. 5. Overlaid on the
5 histogram for $f(85\%, 550 \text{ nm})$ (Fig. 5) are Gaussian curves based on the statistics for
6 $f(85\%)$ at each wavelength. No apparent shift of mean $f(85\%)$ is seen for the 550 nm
7 and 700 nm wavelength pair (see Fig. 5); while the mean $f(85\%, 450 \text{ nm})$ is ~6%
8 lower than that at 550 nm with a smaller standard deviation (see Fig. 5). For higher
9 values (90th and 70th percentile values in Table 3), a slight wavelength dependence of
10 $f(\text{RH})$ can be observed, i.e. the $f(\text{RH})$ increases with the increase of wavelength.
11 However, the differences are mostly under 10% and therefore the discussion is
12 focused on 550 nm wavelength in this study. Similar results were obtained by Zieger
13 at a regional continental research site at Melpitz, Germany (Zieger et al., 2014).

14 **3.4 Classification of various observation episodes**

15 Based on wind direction, back trajectory analysis and weather phenomena, the
16 observation period can be classified into three main sectors: a northerly-polluted
17 period (influenced by long-distance transport from northern China), a locally-polluted
18 period, and a dust-influenced episode. Air mass back trajectories over 72 hours at
19 300m a.g.l. arrival height were calculated using the Trajectory Statistics (TrajStat)
20 model (Wang et al., 2009) with 6-hourly archived meteorological data provided by the
21 US National Centers for Environmental Prediction (NCEP). The characteristics of
22 these three periods are as follows:

- 23 1. Periods when the wind direction is between 120° and 270° are labelled as
24 "locally-polluted periods". During these periods, pollutants mostly came from
25 Anhui province, Jiangxi province and the southern region of Zhejiang province as
26 well as LinAn (green line in Fig. 6). Economy in these areas is mainly made up of
27 manufacturing, tourism and agriculture.
- 28 2. Periods when the wind direction was greater than 270° or less than 120° are
29 described as "northerly-polluted periods". Back trajectories indicate that most of
30 the air masses came from northern China and passed over heavily polluted areas

1 such as the Beijing-Tianjin-Tangshan economic region and the Yangtze River
2 Delta during long-distance transport (red line in Fig. 6).

3 3. A heavy dust event occurred at LinAn on 10 March (approximately from 02:00
4 BJT) according to satellite information (<https://earthdata.nasa.gov/labs/worldview/>)
5 and meteorology information (provided by China Meteorological Administration,
6 CMA). The 72 h back trajectory shows the air masses tracked from Mongolia and
7 passed over Inner Mongolia (blue line in Fig. 6).

8 **3.4.1 Locally-polluted periods**

9 During the periods of 4-9, 15-20 and 26-30 March, 2013, aerosols were mainly
10 from local pollution sources in Zhejiang and/or nearby provinces. The mean $f(80\%)$
11 and $f(85\%)$ were 1.36 and 1.52 (as shown in Table 4), ~10 and 8% lower than those in
12 northerly-polluted periods.

13 The enhancement factor for scattering and backscattering coefficients at 80%
14 during locally-polluted periods was 1.36 and 1.15, respectively, similar to the values
15 ($f(82.5\%)=1.4-1.5$) and ($f_{\beta}(82.5\%)=1.1-1.2$) obtained by Koloutsou-Vakakis et al.
16 (2001) at a continental U.S. site (Bondville, Illinois, U.S.). The measured dry
17 scattering coefficient was 217 Mm^{-1} , ~15% lower than that of the northerly-polluted
18 period (251 Mm^{-1}). The averaged mass percentages of sulfate, nitrate, ammonium,
19 OM, chloride and EBC were 17.6, 16.1, 13.0, 42.2, 1.5 and 9.6%, respectively (Fig.
20 6a); for this and subsequent calculations of mass percentages, the denominator is the
21 sum of the mass concentrations of sulfate, nitrate, ammonium, OM, chloride and EBC.
22 Compared to the northerly-polluted period, the mass percentage of OM was ~27%
23 higher during the locally-polluted period, while the mass percentage of nitrate was
24 ~33% lower. Although the $\text{OM}/(\text{OM}+\text{SO}_4^{2-})$ ratios during locally-polluted (~0.70) and
25 northerly-polluted periods (~0.67) were similar, the $\text{OM}/(\text{OM}+\text{NO}_3^-+\text{SO}_4^{2-})$ ratio
26 during the locally-polluted periods (~0.56) was 24% higher than that during the
27 northerly-polluted periods (~0.45), which may partly explain the lower $f(\text{RH})$ during
28 locally-polluted episodes (as discussed later in Sect. 3.5).

29 **3.4.2 Northerly-polluted periods**

30 The air masses reaching LinAn during the periods March 1-3, 11-15, 20-26 and

1 30-31 (dust episode excluded) mainly came from northern China through
2 long-distance transport. The mean $f(80\%)$ and $f(85\%)$ were 1.50 and 1.64 ,
3 respectively (as shown in Table 4).

4 The value ($f(80\%)=1.50$) is similar to the previous results ($f(80\%)=1.48$)
5 obtained by Yan et al. (2009) for periods influenced by the urban plume from Beijing,
6 ($f(80\%)=1.46\pm 0.10$) reported by Carrico et al. (2000) for anthropogenic aerosols in
7 Europe during the 2nd Aerosol Characterization Experiment (ACE-2) campaign, and
8 ($f(80\%)=1.55-1.59$) indicated by Pan et al. (2009) for a rural site (Xin'an) near
9 Beijing city during pollution periods. However, the measured $f(80\%)$ was much lower
10 than ($f(80\%)=2.0-2.43$) during a pollution episode reported by Kim et al. (2006) at the
11 Gosan regional background site, 720 km northeast of LinAn and results
12 ($f(82\%)=2.24\pm 0.20$) obtained by Carrico et al. (2003) in ACE-Asia for polluted air
13 masses measured over the ocean. The $f(RH)$ of continental air masses transported
14 over the ocean was higher than that over the continent, and the possible mechanisms
15 for that increase might include coagulation with sea-salt particles and the oxidation of
16 SO_2 and VOCs (volatile organic compounds) leading to an increase in aerosol
17 hygroscopicity.

18 **3.4.3 Dust-influenced episode**

19 During a severe cold air outbreak, a strong dust event struck northern China on 8
20 and 9 March, 2013. The affected area covered about 2.8 million square kilometers,
21 about 10% of which suffered from dust storms or strong sandstorms. This event was
22 considered to be the largest and strongest dust event to hit China in 2013. During this
23 event, suspended dust appeared in most of northwestern China, northern China, north
24 and west Huanghuai region and west Liaoning province, while west-central Inner
25 Mongolia, west Gansu, northern Shanxi, and several parts of Xinjiang experienced a
26 sandstorm. Along with the extreme dust event, there was a dramatic increase in PM_{10} ,
27 for example, the PM_{10} in Yulin, Shanxi even reached $10,000 \mu g \cdot m^{-3}$ (Wang et al.,
28 2013;Zhang and Sun, 2013).

29 At 2 a.m. on March 10, the wind direction changed abruptly to northerly (see Fig.
30 8d) and the scattering coefficient increased abruptly from $\sim 200 Mm^{-1}$ to $> 600 Mm^{-1}$

1 (Fig. 7b). PM_{10} mass concentrations at LinAn increased rapidly from $100 \mu\text{g}\cdot\text{m}^{-3}$ to
2 $637 \mu\text{g}\cdot\text{m}^{-3}$, while the $PM_{2.5}$ mass concentration was only $190 \mu\text{g}\cdot\text{m}^{-3}$, accounting for
3 30% of PM_{10} . The Ångström exponent decreased from 1.2 to 0.8 (see Fig. 7c). All
4 these phenomena implied the arrival of cold front from northern China enriched in
5 coarse mode particles. The mass percentage of nitrate increased significantly and
6 reached its peak ($\sim 26\%$) at 3 a.m.; meanwhile, the mass percentage of OM decreased
7 sharply from 2 a.m. to 3 a.m. (see Fig. 7e). Correspondingly, the scattering
8 enhancement factor $f(85\%)$ reached 1.52 at 3 a.m. (see Fig. 7a), an increase of $\sim 16\%$
9 compared with that before the dust arrival. The most dust-dominated period, from 7
10 a.m. to 1 p.m., when the Ångström exponent was below 0.5 (Fig. 7c) and scattering
11 coefficients at 450 nm, 550 nm and 700 nm (Fig. 7b) were nearly equal, the scattering
12 enhancement factor $f(85\%)$ was ~ 1.46 . This value is much higher than the results
13 ($f(80\%)=1.20$) reported by Pan et al. (2009) in rural Beijing, ($f(82.5\%)=1.18$)
14 obtained by Carrico et al. (2003) in East Asia (ACE-Asia) during a dust episode,
15 ($f(80\%)=1.20$) reported by Fierz-Schmidhauser et al. (2010) at a high alpine site
16 (Jungfrauoch, 3580m a.s.l.) in Switzerland during a strong Saharan dust event, and
17 ($f(80\%)=1.0-1.1$) measured by Li-Jones et al. (1998) in South America during an
18 investigation of long-range transported Saharan dust. Meanwhile it is much lower
19 than the value ($f(85\%)=1.73-2.20$) obtained by Kim et al. (2006) in Gosan (South
20 Korea) during a dust-dominated period. According to Tobo et al. (2010), Ca-rich
21 particles can react with gaseous HNO_3 to form $\text{Ca}(\text{NO}_3)_2$, thus the liquid
22 cloud-nucleating ability would be enhanced. Similar results have also reported that
23 aerosol hygroscopicity would be largely enhanced if coarse mode Ca-rich particles
24 combined with nitrate (Shi et al., 2008; Sullivan et al., 2009). Thus, it is speculated
25 that the relatively high $f(\text{RH})$ may have resulted from the reactions of coarse mode
26 particles with inorganics (very likely to be nitrate) during long-range transport.

27 **3.5 The relationship of scattering enhancement factor with chemical composition**

28 Scattering enhancement factor $f(85\%)$ versus organic mass fraction and inorganic
29 mass fraction are shown in Fig. 8. The total mass concentration was calculated as the
30 sum of mass concentrations of sulfate, nitrate, ammonium, chloride and organic

1 measured by AMS and EBC measured by MAAP. The organic and inorganic mass
2 fractions were calculated by dividing the mass concentration of organics (measured
3 by AMS) and inorganics (the sum of sulfate, nitrate, ammonium and chloride
4 measured by AMS) by the total mass concentration, respectively. The bivariate linear
5 regression was applied with the uncertainty of $f(85\%, 550\text{nm})$ which was discussed in
6 Sect. 2.4 and the standard deviation of chemical compositions. The bivariate linear
7 regressions (Fig. 8) clearly show anti-correlation of $f(85\%, 550\text{nm})$ with the organic
8 fraction and strong positive correlation of $f(85\%, 550\text{nm})$ to the inorganic fraction.
9 This implies that chemical composition plays a vital role in aerosol hygroscopic
10 properties. The absolute values of both slopes (1.2 for $f(85\%)$ vs. organic mass
11 fraction and 0.96 for $f(85\%)$ vs. inorganic mass fraction) were much lower than those
12 (3.1 and 2.2, respectively) measured at Melpitz, Germany (Zieger et al., 2014). This
13 may partly be due to the higher organic (or lower inorganic) content at LinAn.
14 Comparing Fig. 8 (a)(b) with (c)(d), a stronger association of increasing nitrate with
15 increasing $f(85\%)$ was observed. The role nitrate plays in aerosol hygroscopic
16 properties will be discussed in the following paragraph.

17 $f(\text{RH})$ in Fig. 9 was expressed in terms of γ so as to be applied to a broader RH
18 range (Doherty et al., 2005;Quinn et al., 2005): $\gamma = \ln f(\text{RH}) / \ln((100 - \text{RH}_{\text{ref}}) / (100 - \text{RH}))$.
19 Here γ was based on $\text{RH}_{\text{ref}} = 40\%$ and $\text{RH} = 85\%$. The relative amount of OM and
20 inorganics can be expressed as $F_o = C_c / (C_c + C_i)$, where C_c and C_i are the mass
21 concentrations of OM and inorganics, respectively. Figure 9 shows γ versus F_o where
22 C_i was the mass concentrations of SO_4^{2-} , NO_3^- and $\text{NO}_3^- + \text{SO}_4^{2-}$ in Fig. 9a, Fig. 9b
23 and Fig. 9c, respectively. For all three scatter plots, there is a trend of decreasing γ
24 with increasing F_o . However, unlike the results of Quinn et al. (2005), Malm et al.
25 (2005), Pan et al. (2009) and Yan et al. (2009), γ and F_o ($\text{OM} / (\text{OM} + \text{SO}_4^{2-})$) (Fig. 9a)
26 were uncorrelated ($R^2 = 0.14$), while γ and F_o ($\text{OM} / (\text{OM} + \text{NO}_3^-)$) (Fig. 9b) and γ and F_o
27 ($\text{OM} / (\text{OM} + \text{SO}_4^{2-} + \text{NO}_3^-)$) (Fig. 9c) were more strongly correlated (R^2 of 0.56 and 0.68,
28 respectively). This result implies that NO_3^- played a stronger role in determining
29 aerosol hygroscopic growth than SO_4^{2-} during this study. This increasing importance
30 of nitrate corresponds to many recent studies in Shanghai (a mega city in Yangtze

1 River Delta) (Shi et al., 2014) and Beijing (Sun et al., 2012). This may partly result
2 from increasing availability of NH_3 to form NH_4NO_3 (Morgan et al., 2010) due to the
3 decrease of SO_2 . The Chinese government has put an emphasis on the control of SO_2
4 emissions in recent years, and desulfurization technology has been installed at
5 coal-fired power units as well as certain steel and cement production facilities. As a
6 result, the annual average concentration of SO_2 decreased significantly from 56 to 19
7 $\mu\text{g m}^{-3}$ at LinAn from 2006 to 2012 (ZEPB, 2012; 2006).

8 The molar ratio of particulate SO_4^{2-} to total sulfur (SO_4^{2-} +gas phase SO_2) was
9 used as an indicator of the relative age of aerosols (Quinn et al., 2005). For relatively
10 younger aerosols, there is insufficient time for the conversion of SO_2 to SO_4^{2-} via gas
11 and aqueous phase oxidation process and therefore the $\text{SO}_4^{2-}/(\text{SO}_4^{2-}+\text{SO}_2)$ molar ratio
12 is low. As aerosol ages, more SO_2 is converted to SO_4^{2-} and thus the ratio increases.
13 To illustrate the effects of this ratio and scattering coefficient on γ , Fig. 10 shows γ
14 versus $\text{Fo}=\text{OM}/(\text{OM}+\text{SO}_4^{2-}+\text{NO}_3^-)$ colored by the $\text{SO}_4^{2-}/(\text{SO}_4^{2-}+\text{SO}_2)$ molar ratio (Fig.
15 10a) and $\log_{10}(\sigma_{\text{sp}})$ (Fig. 10b). The highest values of γ (or $f(\text{RH})$) corresponded to
16 more aged aerosols with a low OM content, while the lowest values corresponded to
17 younger aerosols with a higher OM content, consistent with the result of Quinn et al.
18 (2005). For aerosols with relatively low scattering coefficients, the value of $f(\text{RH})$ was
19 usually low with a large variation (dots with cooler colors in Fig. 10b), while aerosols
20 with high scattering coefficients had values of $f(\text{RH})$ that were relatively high with a
21 small variation (dots with warm colors in Fig. 10b).

22 **3.6 Parameterization of scattering enhancement factor $f(\text{RH})$**

23 The scattering enhancement factor $f(\text{RH})$ can be parameterized with empirical
24 equations (Kotchenruther and Hobbs, 1998; Kotchenruther et al., 1999; Gassó et al.,
25 2000; Carrico et al., 2003; Liu et al., 2008; Pan et al., 2009; Zieger et al., 2010; Zieger
26 et al., 2014). Humidograms from LinAn were fitted with two empirical equations and
27 the fitting results are shown below.

28 **3.6.1 Parameterization with equation $f(\text{RH})=c(1-\text{RH})^{-g}$**

29 Kasten (1969) proposed an empirical equation $f(\text{RH})=c(1-\text{RH})^{-g}$ to describe how
30 $f(\text{RH})$ varies with RH , which has been used in previous reports e.g. by Kotchenruther

1 and Hobbs (1998), Gassó et al. (2000), Carrico et al. (2003) and Zieger et al. (2010,
2 2014). Table 5 shows the fitting results from the current work and previous studies.
3 Larger $f(\text{RH})$ values are associated with larger values of “c” and “g”. In this work, “g”
4 was much lower than that in most of the other studies, although it was similar to the
5 result of Gassó et al. (2000) during a dust episode. The similarity results from the low
6 scattering enhancement factor (e.g. $f(80\%)=1.44\pm 0.12$) at LinAn, which was similar
7 to the value ($f(80\%)=1.33\pm 0.07$) obtained by Gassó et al. (2000) for a dust event. The
8 $f(\text{RH})$ in other studies was much higher than that at LinAn, ranging from 2.04
9 (polluted marine aerosols in Gassó et al. (2000)) to 3.77 (arctic aerosols in Zieger et al.
10 (2010)), therefore their parameter “g” was much higher.

11 **3.6.2 Parameterization with equation $f(\text{RH})=1+a\cdot\text{RH}^b$**

12 The $f(\text{RH})$ obtained at LinAn station can also be well described by the following
13 equation, which was proposed by Kotchenruther and Hobbs (1998):

$$14 \quad f(\text{RH})=1+a\cdot\text{RH}^b \quad (5)$$

15 where “a” is positive and “b” is greater than 1. This function is convex, and has been
16 used in many previous studies (Pan et al., 2009; Carrico et al., 2003; Kotchenruther et
17 al., 1999; Kotchenruther and Hobbs, 1998) to describe monotonic growth.
18 Theoretically, parameter “a” determines the largest value $f(100\%)$ can reach, and
19 parameter “b” dominates the curvature of the function. The smaller “b” is, the smaller
20 the curvature of humidogram will be; if “b” equals to 1, then $f(\text{RH})=1+a\cdot\text{RH}$. The
21 parameters “a” and “b” from our study and previous results for different aerosol types
22 are listed in Table 6. Taking the locally-polluted episode as an example, although
23 parameter “a” is slightly larger (~3%) than in the northerly-polluted episode,
24 parameter “b” is ~40% larger; as a result the $f(85\%)$ during locally-polluted periods is
25 smaller. Parameter “b” is greatest in the locally-polluted episode and smallest in the
26 northerly-polluted period, i.e. the curvature of RH- $f(\text{RH})$ line is largest during the
27 locally-polluted episode, then is the dust episode, and the northerly-polluted period
28 shows the least curvature. These variations in curvature are associated with the mass
29 percentages of nitrate, as will be discussed later (c.f. Fig. 6).

30 **3.6.3 Steepness of humidograms**

1 For all the humidograms measured at LinAn, $f(\text{RH})$ increases continuously and
2 monotonically. However, the curvatures of the humidograms can be different (Fig. 11);
3 some increase with a nearly constant rate and the humidogram curve is almost straight,
4 while some increase slowly at first and then increase more steeply at relatively higher
5 RH, thus the curvature of the humidogram is larger. In order to describe the growth
6 pattern quantitatively, a steepness index η is defined based on the fitting curve:

$$7 \quad \eta = f'(80\%) / f'(60\%) - 1 = (4/3)^{b-1} - 1 \quad (6)$$

8 where $f'(60\%)$ and $f'(80\%)$ represent the derivatives of the fitting curve at 60% and 80%
9 RH, respectively. η is a nonnegative number. Zieger et al. (2010) has defined an index
10 describing the magnitude of deliquescence transitions based on fitting equation
11 $f(\text{RH}) = (1 - \text{RH})^{-\eta}$ (see Sect. 3.6.1), while the steepness index η proposed in this study
12 provided a way of quantitatively describing the steepness of humidograms that are
13 well described by the equation $f(\text{RH}) = 1 + a \cdot \text{RH}^b$. The larger η is, the greater the
14 curvature. As is shown in Fig. 11a, for a large η , the $f'(60\%)$ is very small, meaning
15 that aerosol scattering coefficient barely increases ($f(\text{RH}) \approx 1$) under low RH (usually
16 $< 70\%$). Once reaching larger RH ($\sim 70\%$), $f(\text{RH})$ begins to increase. However, for a
17 small η (Fig. 11b), the difference of the derivatives at 60% and 80% RH was small,
18 meaning the curvature of humidogram is much smaller.

19 A scatter plot of η and the mass percentage of nitrate is shown in Fig. 12, colored
20 by the mass percentage of sulfate. As can be seen, η is negatively correlated with the
21 mass percentage of nitrate. When the mass percentage of nitrate is below $\sim 18\%$, η
22 decreases strongly as nitrate percentages increase, which means that the humidogram
23 line becomes straighter and the difference of the derivatives at lower and higher RHs
24 becomes smaller. For a mass percentage of nitrate higher than 18% (correspondingly,
25 a lower sulfate mass percentage), η is ~ 1.1 , meaning the humidogram line is almost
26 straight (as shown in Fig. 11b) and aerosol scattering coefficient experiences a
27 continuous and smooth growth at almost the same rate with RH.

28 **3.7 Sensitivity of the direct radiative forcing of different aerosols to $f(\text{RH})$**

29 Direct radiative forcing of aerosols is quite sensitive to changes of relative
30 humidity. The impact of relative humidity on globally-averaged, direct radiative

1 forcing can be obtained by the following expression (Chylek and Wong, 1995):

$$2 \quad \Delta F_R(\text{RH}) = -[S_0/4][T_a^2(1 - A_c)][2(1 - R_s)^2\bar{\beta}(\text{RH})M\alpha_s f(\text{RH}) - 4R_s M\alpha_a] \quad (7)$$

3 where S_0 is the solar constant, T_a is the transmittance of the atmosphere above the
4 aerosol layer, A_c is the fractional cloud amount, R_s is the albedo of the underlying
5 surface, $\bar{\beta}(\text{RH})$ is the solar radiation scattered back to space at defined RH, $f(\text{RH})$ is
6 the scattering enhancement factor, M is the column burden of aerosol (in g m^{-2}), α_s is
7 the mass scattering efficiency, and α_a is the mass absorption efficiency.

8 In order to estimate the sensitivity of the forcing to RH for various aerosol types
9 at LinAn (locally-polluted, northerly-polluted and dust-influenced aerosols), the ratio
10 of direct aerosol radiative forcing ΔF_R at a defined RH to that at dry condition was
11 calculated:

$$\frac{\Delta F_R(\text{RH})}{\Delta F_R(\text{dry})} = \frac{(1 - R_s)^2\bar{\beta}(\text{RH})\alpha_s f(\text{RH}) - 2R_s\alpha_a}{(1 - R_s)^2\bar{\beta}(\text{dry})\alpha_s f(\text{dry}) - 2R_s\alpha_a} \quad (8)$$

12 Parameters used in Eq. (8) were $R_s=0.15$, and $\alpha_a=0.3 \text{ m}^2\cdot\text{g}^{-1}$ (Wang et al., 2012; Hand
13 and Malm, 2007). The mass scattering efficiency α_s is $2.76 \text{ m}^2\cdot\text{g}^{-1}$, which is derived
14 from the slope of a linear regression of the measured scattering coefficients and the
15 calculated PM_{10} mass concentrations based on TDMPS and APS measurement (Fig.
16 13); the high mass scattering efficiency is explained by the high ratio of PM_1 to PM_{10}
17 mass at this site (average 0.81). The average upscatter fraction $\bar{\beta}$ was calculated as
18 $\bar{\beta}=0.0817+1.8495b-2.9682b^2$ (Delene and Ogren, 2002). The sensitivity of direct
19 radiative forcing to RH for various aerosol types is shown in Fig. 14. As is shown in
20 the figure, the variation of $\Delta F_R(\text{RH})/\Delta F_R(\text{dry})$ with RH corresponds to the variation of
21 humidograms. The $f(\text{RH})$ values were the largest during the northerly-polluted period,
22 correspondingly, the effect of RH on aerosol radiative forcing during this period was
23 the largest. The same was true for the locally-polluted period and the dust-influenced
24 period. Since b decreases with increasing RH, this correspondence also demonstrates
25 the vital role $f(\text{RH})$ plays in direct forcing enhancement. At 85% RH, the average ratio
26 was 1.47, i.e. the direct radiative forcing increased by 47% owing to the aerosol
27 hygroscopicity.

28 Table 7 shows the mean influence of aerosol hygroscopicity on direct radiative

1 forcing in March at LinAn. The ratios $\Delta F_R(\text{RH}_{\text{amb}})/\Delta F_R(\text{dry})$ for locally-polluted,
2 northerly-polluted and dust-influenced aerosols were calculated using the ambient
3 average RH ($\text{RH}_{\text{amb}}=67\%$) in March at LinAn. The variables $f(\text{RH}_{\text{amb}})$, $b(\text{RH}_{\text{amb}})$,
4 $\bar{\beta}(\text{RH}_{\text{amb}})$ and $\Delta F_R(\text{RH}_{\text{amb}})/\Delta F_R(\text{dry})$ were the averages of the linear interpolation
5 results of $f(\text{RH})$, $b(\text{RH})$, $\bar{\beta}(\text{RH})$ and $\Delta F_R(\text{RH})/\Delta F_R(\text{dry})$ to 67% RH. The
6 $\Delta F_R(\text{RH}_{\text{amb}})/\Delta F_R(\text{dry})$ ratios were 1.118, 1.195 and 1.105, respectively (see Table 7).
7 That is to say, on average, the direct radiative forcing of locally-polluted,
8 northerly-polluted and dust-influenced aerosols increased by 11.8, 19.5 and 10.5% in
9 March at LinAn.

10

11 **4 Conclusions**

12 The influence of aerosol water uptake on aerosol light scattering properties and
13 direct radiative forcing have been investigated at LinAn, a regional atmospheric
14 background station in the Yangtze River Delta, China, using a scattering enhancement
15 factor measurement system, together with chemical composition and size distribution
16 information. The average enhancement factors and mean standard deviations at 85%
17 RH for scattering coefficient, backscattering coefficient and hemispheric backscatter
18 fraction ($f(85\%)$, $f_b(85\%)$ and $f_\beta(85\%)$) were 1.58(0.12), 1.25(0.07) and 0.79(0.04),
19 respectively. A slight wavelength dependence of $f(85\%)$ was observed at higher $f(\text{RH})$
20 values. Generally, the highest values of $f(\text{RH})$ corresponded to aged aerosols with a
21 small fraction of OM, while the lowest values corresponded to younger aerosols with
22 a larger fraction of OM. $f(\text{RH})$ of aerosols with relatively low scattering coefficients
23 was usually low with a large variation; while $f(\text{RH})$ of aerosols with high scattering
24 coefficients was relatively high with a small variation. Nitrate was found to play an
25 important role in determining the magnitude of $f(\text{RH})$ at LinAn.

26 Humidograms measured at LinAn can be well described by two equations:
27 $f(\text{RH})=c(1-\text{RH})^{-d}$ and $f(\text{RH})=1+a\cdot\text{RH}^b$. Further investigation shows the shape of the
28 humidogram is closely related to the mass percentage of nitrate. A steepness index η
29 has been defined to quantitatively determine the steepness of the humidograms. The
30 least curvature of the humidograms (smallest η) was associated with the highest

1 nitrate mass fractions (and lowest sulfate fractions). In March, the average relative
2 humidity (RH_{amb}) was 67%. Consequently, the direct radiative forcing of
3 locally-polluted, northerly-polluted and dust-influenced aerosols increased by 11.8,
4 19.5 and 10.5%, respectively due to aerosol uptake water in March at LinAn. At 85%
5 RH, the direct radiative forcing increased by as much as 47% due to aerosol
6 hygroscopicity. In conclusion, water plays an important role in aerosol scattering
7 properties as well as the radiative forcing, and careful attention to humidity effects is
8 required when comparing remote sensing and in-situ measurements or calculating the
9 climate forcing.

10

11

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22

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1 Table 1 Averaged enhancement factors and mean standard deviations for scattering
 2 coefficient, backscattering coefficient and hemispheric backscatter fraction at
 3 different RHs (550nm wavelength).

RH(%)	f(RH)	f _b (RH)	f _β (RH)
50	1.07(0.04)	1.04(0.02)	0.96(0.02)
60	1.14(0.08)	1.06(0.04)	0.93(0.04)
70	1.24(0.11)	1.10(0.05)	0.89(0.05)
80	1.43(0.12)	1.18(0.07)	0.83(0.05)
85	1.58(0.12)	1.25(0.07)	0.79(0.04)

4

5 Table 2 Summary of mass concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) of aerosol species measured by
 6 AMS as well as MAAP(*) (SD: standard deviation)

	Mean	SD	Minimum	Maximum
Sulfate	8.1	4.1	0.1	26.1
Nitrate	9.8	12.1	0.2	79.2
Ammonium	6.9	5.5	0.5	42.8
Chloride	1.1	2.0	0.002	22.9
OM	17.7	11.1	2.8	93.9
EBC*	4.1	2.8	0.7	25.3

7 * EBC was measured by MAAP in PM₁₀.

8

9 Table 3 Statistical values of f(85%) at 450 nm, 550 nm and 700 nm wavelengths (SD:
 10 standard deviation; prctl: percentile)

λ	mean	SD	90th prctl.	75th prctl.	median	25th prctl.	10th prctl.
450 nm	1.51	0.09	1.63	1.58	1.53	1.47	1.39
550 nm	1.58	0.12	1.72	1.65	1.59	1.49	1.40
700 nm	1.59	0.15	1.77	1.70	1.62	1.46	1.36

11

12 Table 4 Average enhancement factors and mean standard deviations for scattering
 13 coefficient, backscattering coefficient and hemispheric backscatter fraction in various

1 observation episodes (550nm wavelength).

	Locally-polluted	Northerly-polluted	Dust-influenced
f(80%)	1.36(0.11)	1.50(0.09)	1.37(0.05)
f _b (80%)	1.15(0.06)	1.21(0.06)	1.15(0.03)
f _β (80%)	0.85(0.04)	0.81(0.03)	0.84(0.03)
f(85%)	1.52(0.10)	1.64(0.09)	1.48(0.05)
f _b (85%)	1.21(0.06)	1.28(0.06)	1.19(0.04)
f _β (85%)	0.80(0.02)	0.78(0.02)	0.81(0.03)
N	295	303	14

2

3 Table 5 Curve-fitting parameters of f(RH) at 550nm wavelength for various aerosol
4 types using equation $f(\text{RH})=c(1-\text{RH})^{-g}$.

	c	g	Reference
Locally-polluted	0.85±0.08	0.29±0.04	
Northerly-polluted	0.93±0.07	0.28±0.03	This work
Dust-influenced	0.87±0.05	0.27±0.02	
Continental	0.9	0.59	Zieger et al. (2014)
Arctic ^a	1	0.58±0.09	Zieger et al. (2010)
Marine	0.99	0.54	
Polluted	0.59	0.77	Carrico et al. (2003)
Dust	0.60	0.61	
Polluted Marine	1	0.57±0.06	
Dust	1	0.23±0.05	Gassó et al. (2000)
Clean Marine1 ^b	1	0.69±0.06	
Clean Marine2 ^c	1	0.73±0.07	

5 a fitting results for aerosol samples with RH>75%

6 b fitting results for aerosol samples with RH>60%

7 c fitting results for aerosol samples with RH>80%

8

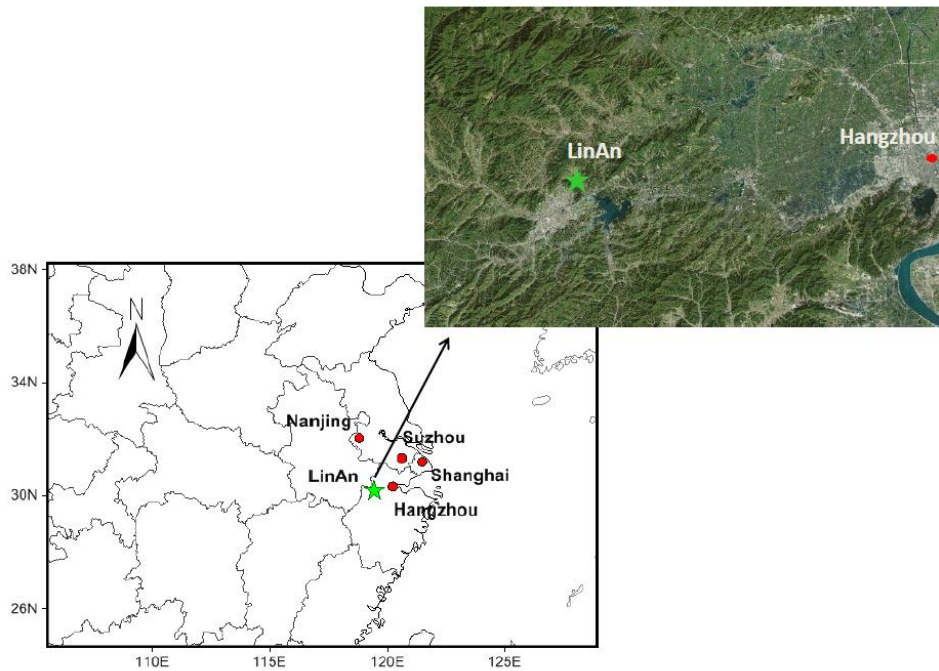
1 Table 6 Curve-fitting parameters of $f(\text{RH})$ at 550nm wavelength for various aerosol
 2 types in terms of Eq. (5).

	a	b	Reference
Locally-polluted	1.24±0.29	5.46±1.90	
Northerly-polluted	1.20±0.21	3.90±1.27	This work
Dust-influenced	1.02±0.19	4.51±0.80	
Clean	1.20±0.06	6.07±0.27	
Polluted	2.30±0.03	6.27±0.10	Pan et al. (2009)
Dust	0.64±0.04	5.17±0.40	
Urban	2.06	3.60	
Mixed	3.26	3.85	Liu et al. (2007)
Marine	4.92	5.04	

3
 4 Table 7 Estimated effects of aerosol hygroscopic growth on direct radiative forcing by
 5 locally-polluted, northerly-polluted and dust-influenced aerosols at LinAn, measured
 6 by the ratio ($\Delta F_{\text{R}}(\text{RH}_{\text{amb}})/\Delta F_{\text{R}}(\text{dry})$) of direct aerosol radiative forcing at the ambient
 7 average relative humidity ($\text{RH}_{\text{amb}}=67\%$) for the entire campaign to that in dry
 8 condition. All the parameters were measured at 550nm wavelength.

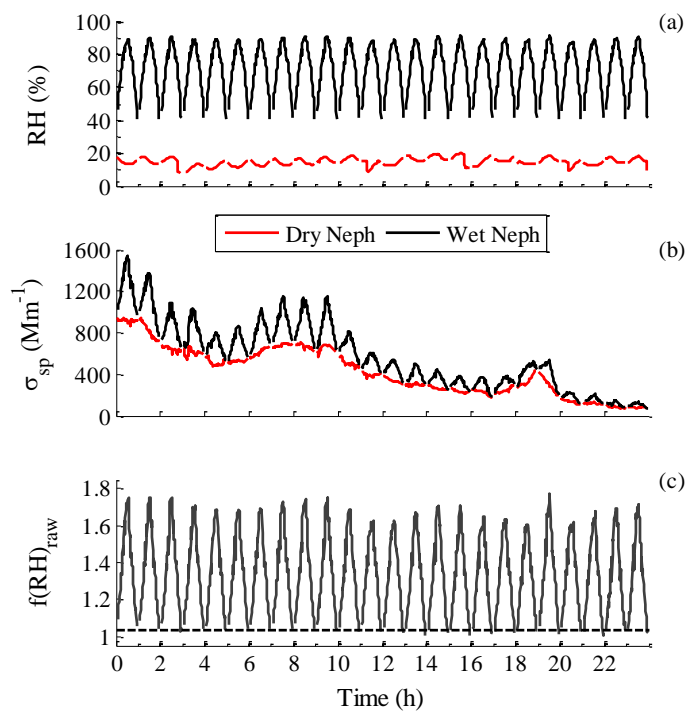
	$f(\text{RH}_{\text{amb}})$	$b(\text{dry})$	$\bar{\beta}(\text{dry})$	$b(\text{RH}_{\text{amb}})$	$\bar{\beta}(\text{RH}_{\text{amb}})$	$\Delta F_{\text{R}}(\text{RH}_{\text{amb}})/\Delta F_{\text{R}}(\text{dry})$
Entire campaign	1.21	0.126	0.268	0.115	0.255	1.157
Locally-polluted	1.17	0.131	0.274	0.123	0.263	1.118
Northerly-polluted	1.26	0.121	0.262	0.106	0.243	1.195
Dust-influenced	1.15	0.146	0.289	0.132	0.274	1.105

9
 10



1

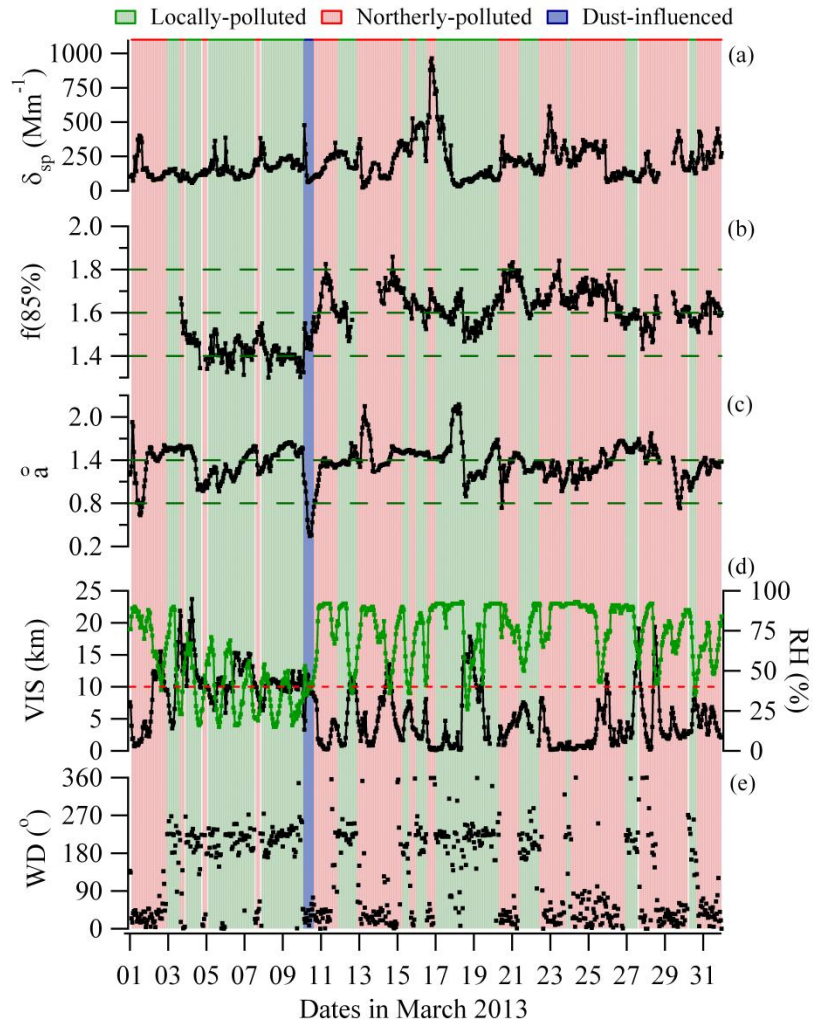
2 Fig. 1 Location of LinAn station (green star) and the main cities in the Yangtze River
 3 Delta (red dots) in the lower left panel. The upper right panel shows the topography of
 4 the surrounding area.



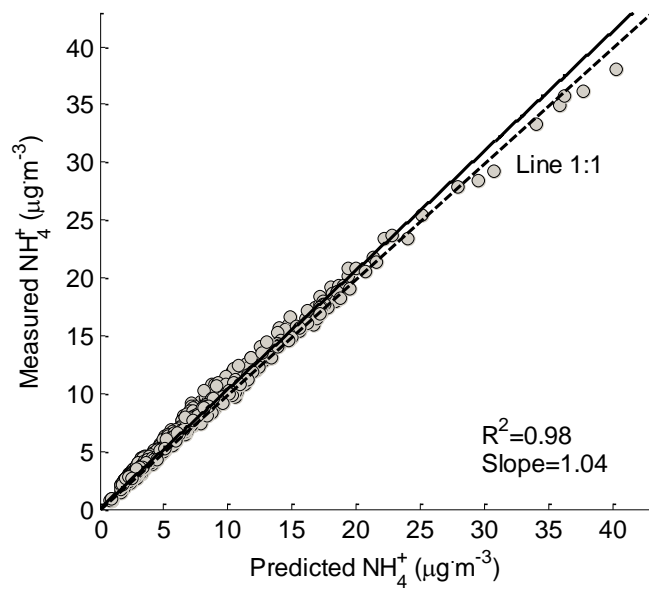
5

6 Fig. 2 Example of measured data on 17 March 2013 (a) Relative humidity inside
 7 DryNeph (red line) and WetNeph (black line); (b) Aerosol scattering coefficients

1 measured by DryNeph (red line) and WetNeph (black line) at 550nm wavelength; (c)
 2 Raw scattering enhancement factor $f(\text{RH}, 550\text{nm})_{\text{raw}}$ without normalization, the black
 3 dashed line is at $f(\text{RH})_{\text{raw}}=1.03$.

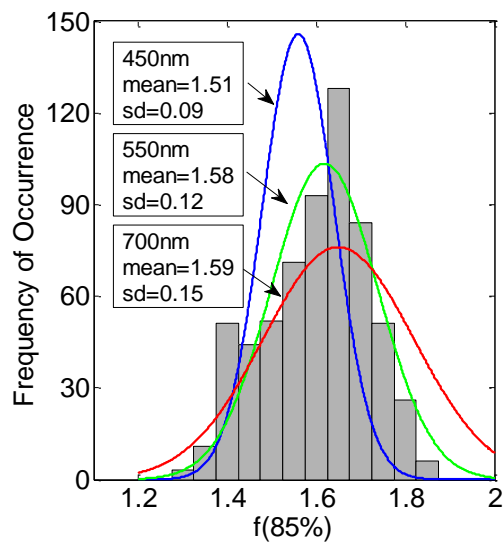


4
 5 Fig. 3 Time series of measured and derived aerosol variables, as well as the ambient
 6 RH and visibility. (a) Aerosol scattering coefficient of DryNeph at 550 nm wavelength;
 7 (b) scattering enhancement factor $f(85\%)$ at 550 nm wavelength; (c) Ångström
 8 exponent \hat{a} ; (d) visibility (VIS) and relative humidity (RH) at ambient conditions, the
 9 red dashed line represents $\text{VIS}=10$ km; (e) wind direction (WD), indicating that
 10 prevailing wind directions during the observation period were mainly northeasterly
 11 (NE) and southwesterly (SW).



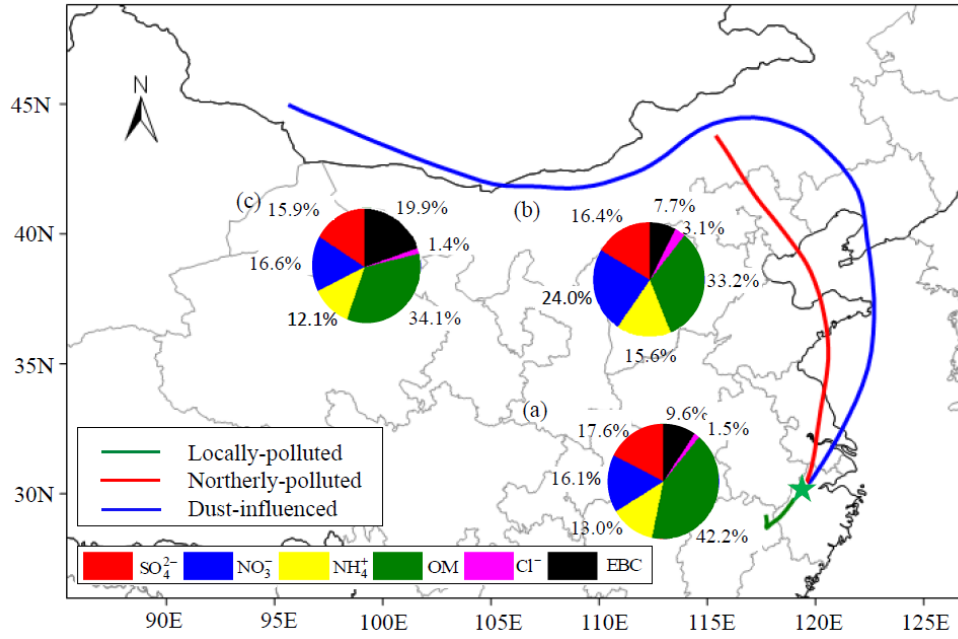
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2 Fig. 4 Measured and predicted mass concentration of ammonium. The predicted mass
 3 concentration of ammonium (NH_4^+ predicted) is calculated by Eq. (4). The solid black
 4 line represents the linear least square regression.



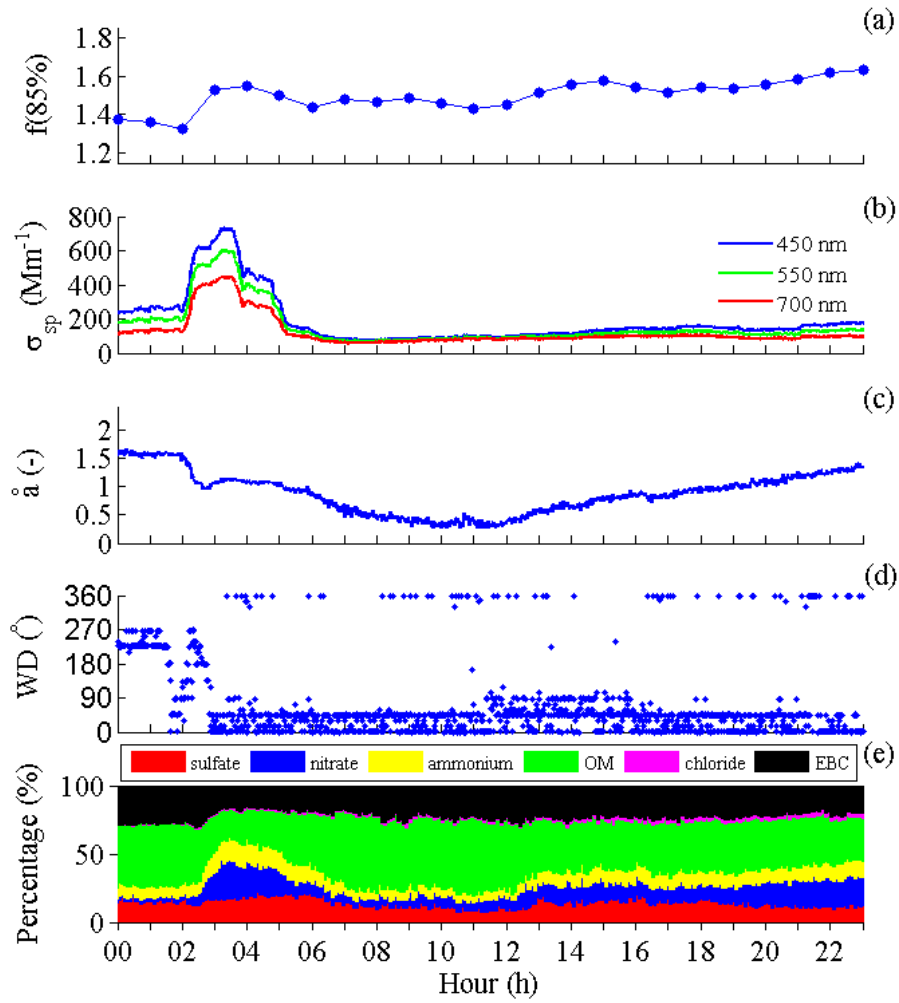
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6 Fig. 5 Histogram of $f(85\%, 550 \text{ nm})$ overlaid with the Gaussian curves based on the
 7 statistics for $f(85\%, 450 \text{ nm})$, $f(85\%, 550 \text{ nm})$ and $f(85\%, 700 \text{ nm})$.



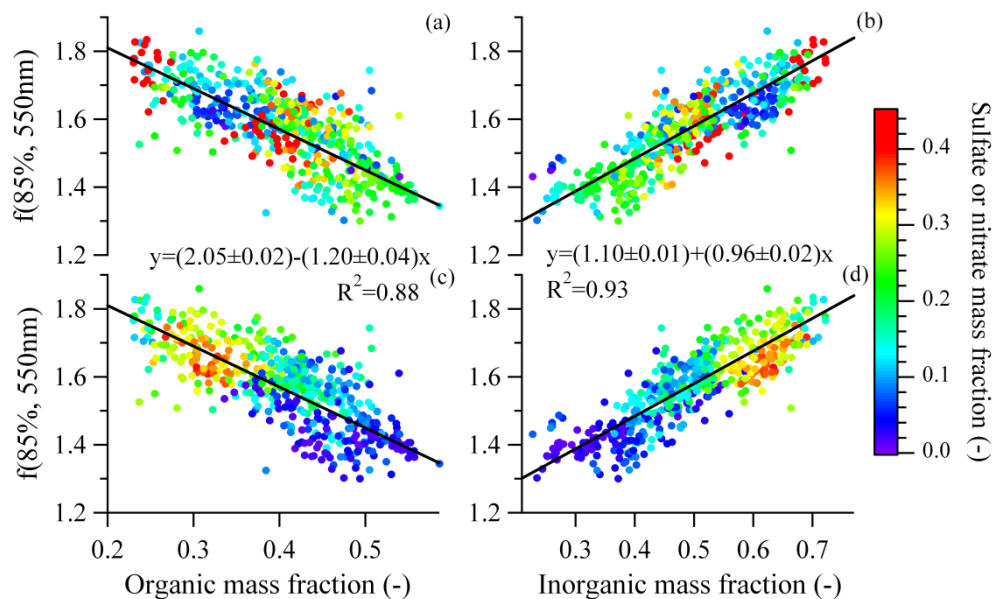
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2 Fig. 6 72h back trajectories of locally-polluted periods, northerly-polluted periods and
 3 dust-influenced period, together with the mean mass fractions of submicron chemical
 4 species (SO₄²⁻, NO₃⁻, NH₄⁺, OM and Cl⁻) measured by AMS and EBC in PM₁₀
 5 measured by MAAP. The pie charts (a), (b) and (c) were for locally-polluted,
 6 northerly-polluted and dust-influenced periods, respectively.

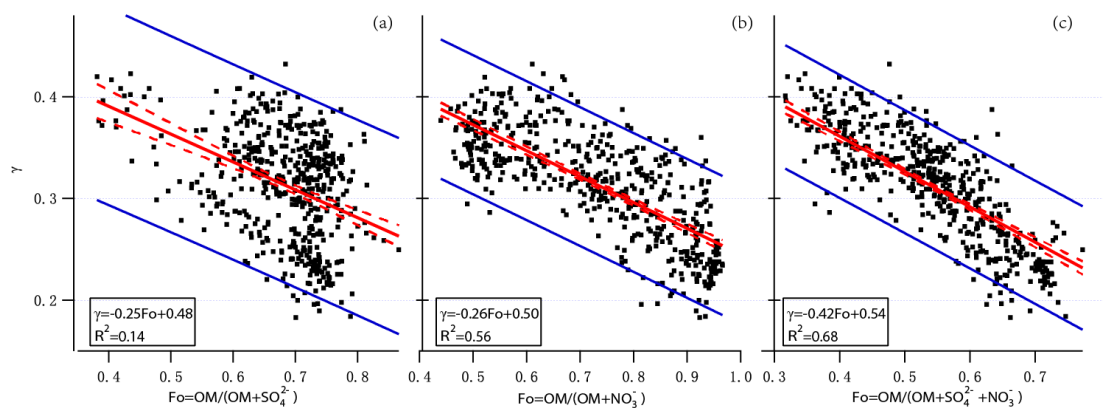


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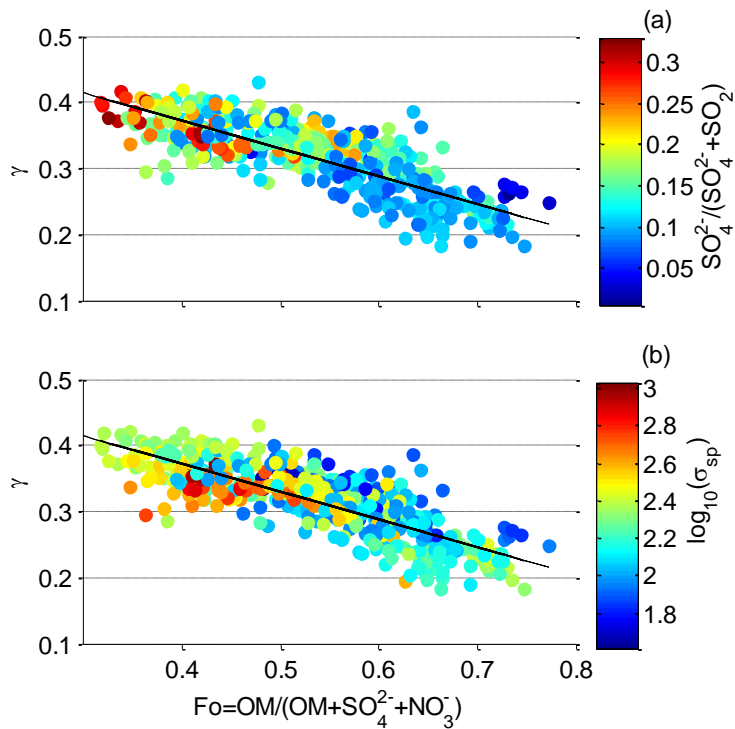
2 Fig. 7 Parameters in episode influenced by dust on 10 March 2013 at LinAn (a)
 3 scattering enhancement factor $f(85\%)$ at 550nm wavelength; (b) scattering
 4 coefficients at 450nm, 550nm and 700nm wavelengths; (c) Ångström exponent \tilde{a} ;
 5 wind direction; (e) mass percentages of chemical species measured by AMS and
 6 MAAP.



1
 2 Fig. 8 Scattering enhancement factor $f(85\%, 550\text{nm})$ vs. organic mass fraction and
 3 inorganic mass fraction determined from AMS and MAAP: (a) (b) $f(85\%, 550\text{nm})$ vs.
 4 organic mass and inorganic mass fraction colored by sulfate mass fraction; (c) (d)
 5 $f(85\%, 550\text{nm})$ vs. organic mass fraction and inorganic mass fraction colored by
 6 nitrate mass fraction. The solid black line represent a bivariate linear regression
 7 including the uncertainty of $f(85\%, 550\text{nm})$ and the standard deviation of chemical
 8 compositions.

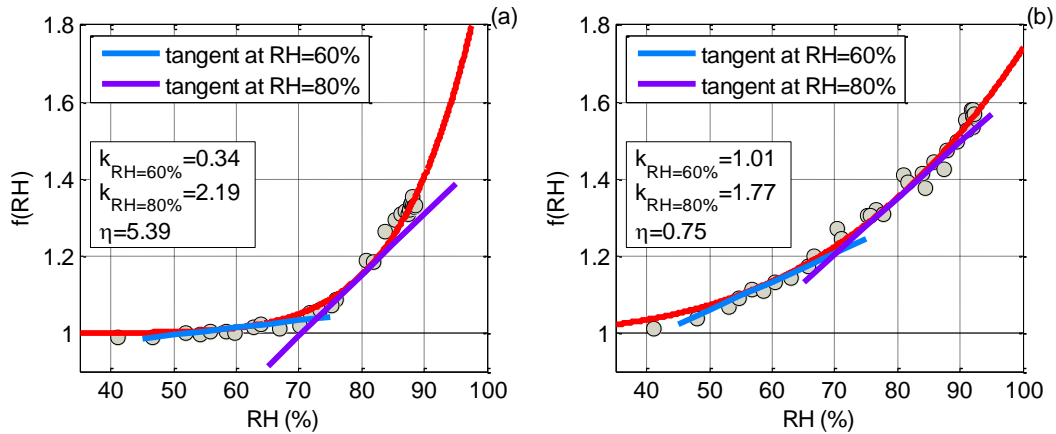


9
 10 Fig. 9 scatter plots of γ versus F_o (a) $F_o = \text{OM} / (\text{OM} + \text{SO}_4^{2-})$, (b) $F_o = \text{OM} / (\text{OM} + \text{NO}_3^-)$
 11 and (c) $F_o = \text{OM} / (\text{OM} + \text{SO}_4^{2-} + \text{NO}_3^-)$. Solid red lines represent the linear fit, dashed red
 12 lines show the 95% confidence level for the fit, and solid blue lines show the 95%
 13 prediction bands.



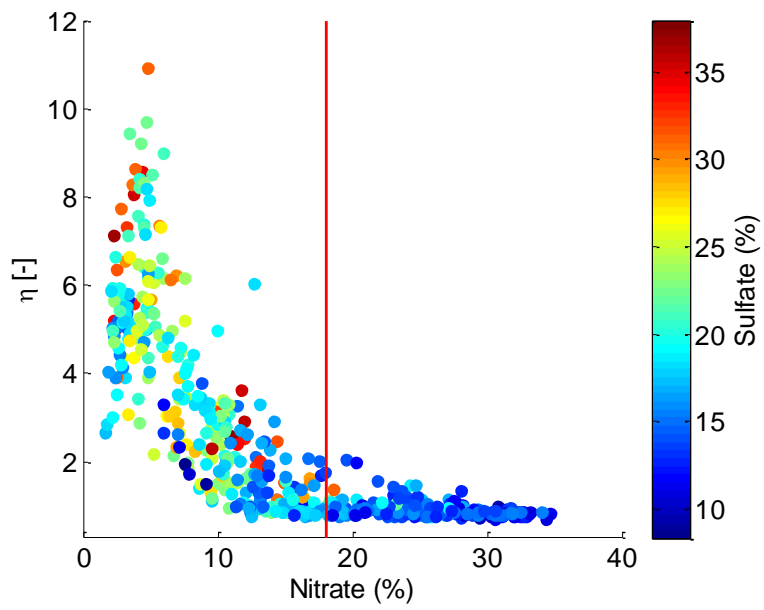
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2 Fig. 10 γ versus $Fo=OM/(OM+SO_4^{2-}+NO_3^-)$ colored by (a) $SO_4^{2-}/(SO_4^{2-}+SO_2)$ molar
 3 ratio and (b) $\log_{10}(\sigma_{sp})$.



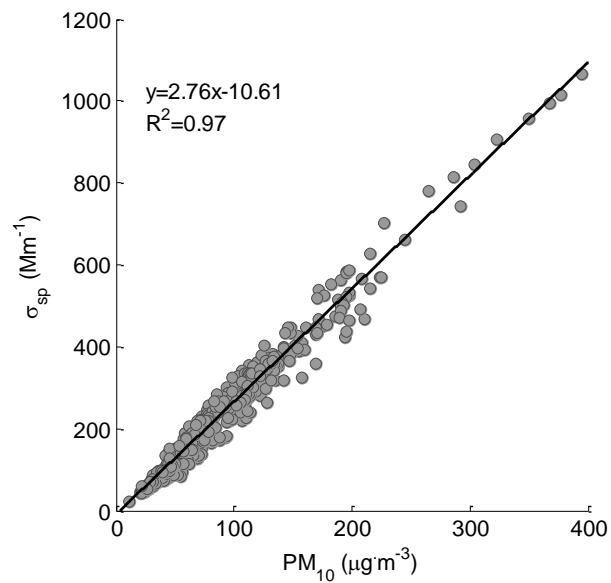
4

5 Fig. 11 Two distinct examples showing different growth patterns and the
 6 corresponding η (a) 2013.03.08 18h $f(RH)$ increased slowly at low RH (usually <70%)
 7 and then increase more steeply, thus η is big; (b) 2013.03.10 21h $f(RH)$ increased at a
 8 nearly constant rate and η is small. $k_{RH=60\%}$ and $k_{RH=80\%}$ represent the derivatives at 60%
 9 and 80% RH, respectively. $f(RH)$ given at 550nm wavelength.



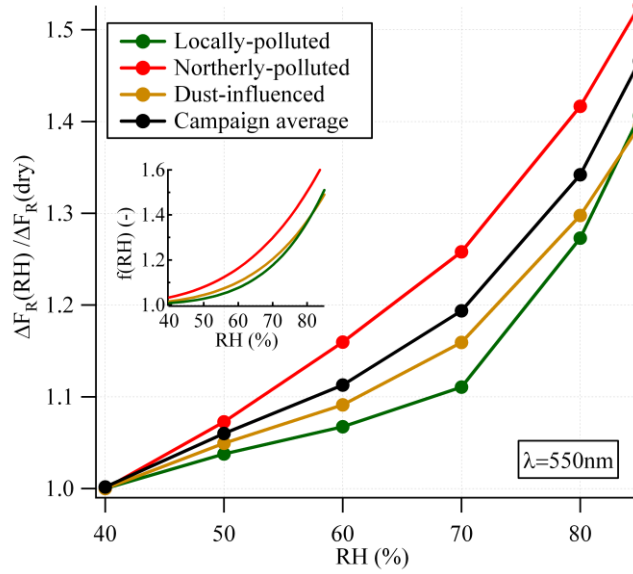
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2 Fig. 12 Scatter plot of η and the mass percentage of nitrate, colored by the mass
 3 percentage of sulfate.



4

5 Fig. 13 Linear regression of scattering coefficients (σ_{sp}) at 550nm wavelength and
 6 PM_{10} mass concentration.



1

2 Fig. 14 Influence of relative humidity (RH) on direct radiative forcing for the entire
 3 campaign (black line), as well as for the northerly-polluted, locally-polluted and
 4 dust-polluted periods, measured by the ratio of radiative forcing at a certain RH to
 5 that at dry conditions. The small inlay shows the fitting curves of $f(\text{RH})$ for
 6 northerly-polluted, locally-polluted and dust-polluted periods, respectively, using
 7 fitting parameters in Table 6. All the parameters were measured at 550nm wavelength.