

Light absorption of brown carbon aerosol in the PRD region of China

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Abstract: The strong spectral dependence of light absorption of brown carbon (BrC) aerosol is regarded to influence aerosol's radiative forcing significantly. The Absorption Angstrom Exponent (AAE) method has been widely used in previous studies to attribute light absorption of BrC at shorter wavelengths for ambient aerosols, with a theoretical assumption that the AAE of “pure” black carbon (BC) aerosol equals to 1.0. **In this study, the AAE method was applied to both urban and rural environments in the Pearl River Delta (PRD) region of China, with an improvement of constraining the realistic AAE of “pure” BC through statistical analysis of on-line measurement data.** A three-wavelength photo-acoustic soot spectrometer (PASS-3) and aerosol mass spectrometers (AMS) were used to explore the relationship between the measured AAE and the relative abundance of organic aerosol to BC. The regression and extrapolation analysis revealed that more realistic AAE values for “pure” BC aerosol (AAE_{BC}) were 0.86, 0.82, and 1.02 between 405 and 781 nm, and 0.70, 0.71, and 0.86 between 532 and 781 nm, in the campaigns of urban_{winter}, urban_{fall}, and rural_{fall}, respectively. Roadway tunnel experiments were conducted and the results further confirmed the representativeness of the obtained AAE_{BC} values for the urban environment. Finally, the average light absorption contributions of BrC (\pm relative uncertainties) at 405 nm were quantified to be 11.7% ($\pm 5\%$), 6.3% ($\pm 4\%$), and 12.1% ($\pm 7\%$) in the campaigns of urban_{winter}, urban_{fall}, and rural_{fall}, respectively, and those at 532 nm were 10.0% ($\pm 2\%$), 4.1% ($\pm 3\%$), and 5.5% ($\pm 5\%$), respectively. The relatively higher BrC absorption contribution at 405 nm in the rural_{fall} campaign could be reasonably attributed to the biomass burning events nearby, which was then directly supported by the biomass burning simulation experiments performed in this study. This paper indicates that the BrC contribution to total aerosol light absorption at shorter wavelengths is not negligible in the highly urbanized and industrialized PRD region.

Key words: Brown carbon (BrC), Black carbon (BC), Light absorption, Absorption Angstrom Exponent (AAE)

1. Introduction

Light absorbing carbonaceous aerosols including black carbon (BC) and brown

42 carbon (BrC) are the primary matters absorbing light in the atmosphere. The
43 importance of BC has been widely recognized in recent decades due to its effects of
44 radiative forcing on climate change, while the role of BrC is far from being well
45 known (Jacobson, 2001; Hansen et al., 1997; Haywood et al., 1997; Ramanathan and
46 Carmichael, 2008; Gadhavi and Jayaraman, 2010; Wang et al., 2014). BrC is organic
47 carbon which can absorb light based on a variety of chemical structures like
48 nitrated/polycyclic aromatics, phenols, humic-like substances and biopolymers, etc.
49 (Jacobson MZ, 1999; Sun et al., 2011; Poschl, 2005). Main sources of BrC include
50 biomass and biofuel burning, atmospheric humic-like substances (HULIS) from
51 multiple phase actions, and photochemical oxidation of volatile organic compounds
52 (VOCs) (Bond, 2001; Bergstrom et al., 2007; Alexander et al., 2015). South and East
53 Asia are typical regions of atmospheric brown clouds (ABC) (Alexander et al., 2015).
54 Biomass burning has been recognized as a significant contributor to ABC, including
55 forest burning, crop waste burning, traditional religious activities and residential
56 burning in those countries like India, China, Thailand, etc. (Venkataraman et al., 2006;
57 Yan et al., 2006; Chakrabarty et al., 2013; Chakrabarty et al., 2014; Huang et al.,
58 2012). BrC mainly absorbs light at UV and short-visible wavelengths (Chen and Bond,
59 2010; Kirchstetter et al., 2004; Lewis et al., 2008; Sandradewi et al., 2008; Schmid et
60 al., 2006) and this strong spectral dependence has aroused more and more interest
61 recently (Feng et al., 2013; Bahaduret al., 2012; Chung et al., 2012b; Wang et al.,
62 2014; Jethva et al., 2011). BrC was ever estimated to contribute about 10–30% of
63 total absorption of fine particles at shorter wavelengths, e.g., at 365 and 405 nm, and
64 contribute approximately 10% at mid-visible wavelengths, e.g., at 532 nm (Bahadur et
65 al., 2012; Lack et al., 2012b; Washenfelder et al., 2015; Nakayama et al., 2014).
66 During an agricultural waste burning event, BrC aerosol could contribute more than
67 65% of light absorption at 370 nm and 15% at a mid-wavelength (Favez et al., 2009).
68 However, the complexity and variety of molecular composition of BrC and the
69 mixing state with other substances make it very challenging to study BrC optical
70 properties (Alexander et al., 2015). Extensive experimental data from field studies are
71 essential to evaluate light absorption by BrC as well as constraining and validating
72 atmospheric and climate models.

73

74 There were two main methods to identify the absorption of BrC in total aerosol
75 absorption at shorter wavelengths in previous studies: one was to use theoretical Mie
76 models to calculate the light absorption of BrC with input of ambient chemical,
77 physical, and optical measurements of bulk aerosol (Lack et al., 2012b); the other was
78 based on optical measurement followed by absorption angstrom exponent (AAE)
79 calculation, which was actually simpler and widely used with a criterion of AAE for
80 “pure” BC aerosol (AAE_{BC}) (Clarke et al., 2007; Favez et al., 2009; Yang et al., 2009;
81 Bahadur et al., 2012; Chung et al., 2012a). The AAE_{BC} has been commonly assumed
82 to be 1.0 theoretically in many studies, but this simple assumption may not be reliable,
83 and could cause a possible bias of the attributed absorption of BC from -22% to +7%
84 and then cause significant uncertainty of attributed BrC absorption (Lack and
85 Langridge, 2013).

86

87 Some previous studies showed that ambient AAE was significantly affected by
88 aerosol OC/EC (organic carbon/elemental carbon) ratio, suggesting a potentially
89 important role of organic matter in aerosol light absorption (Utry et al., 2014). **In this**
90 **study, we tried to apply the AAE method to both urban and rural areas in the Pearl**
91 **River Delta (PRD) region of China to attribute light absorption of BrC, with an**
92 **emphasis of exploring realistic AAE_{BC} based on on-line measurements in field**
93 **campaigns.** The PRD region is one of the three economically-developed regions of
94 China and has been considered as one of the world’s largest sources of anthropogenic
95 soot emissions (Streets et al., 2001; Bond et al., 2004; Koch and Hasen, 2005).
96 Despite of strong emissions of BC aerosol in the highly urbanized and industrialized
97 PRD region, the light absorption contribution of BrC aerosol should not be neglected
98 without effective evaluation. Therefore, the focus of this paper was to reasonably
99 quantify the light absorption of BrC aerosol in PRD with effective uncertainty
100 evaluation using the AAE method.

101

102 **2. Experimental and data analysis methods**

103 2.1 Sampling sites and periods

104

105 Our measurements contained three field campaigns conducted in Shenzhen and
106 Heshan in PRD during fall and winter, which are usually the polluted dry seasons of
107 PRD with high frequency of haze episodes. The Shenzhen site (SZ) was an urban site
108 in the southeast of the PRD region. It was on the campus of Peking University
109 Shenzhen Graduate School (22.60°N, 113.97°E), located in the west of Shenzhen, and
110 the sampling periods were from 15th January to 19th February in the winter
111 (urban_winter) and from 12th September to 9th October in the fall (urban_fall) in 2014.
112 The Heshan site (HS) was a rural site (22.71°N, 112.93°E), 40–50 km southwest to
113 the megacity of Guangzhou in the central PRD. It was located on the top of a small
114 hill with little local fossil fuel combustion emission nearby except biomass burning.
115 The HS sampling period was from 1st to 22th November in the fall (rural_fall) in 2014
116 and biomass burning events were observed occasionally as an obvious anthropogenic
117 source in the nearby farmland.

118

119 In addition, tunnel experiments were also performed in Shenzhen in 2014 to explore
120 the AAE values in a highly BC-polluted environment. We performed tunnel
121 experiments three times in Shenzhen urban areas: twice in the Tanglangshan tunnel
122 (TL) and once in the Jiuweiling (JW) tunnel. The sampling periods of the
123 Tanglangshan tunnel were from 0:00 am to 5:30 am on both 16th and 18th October (as
124 the TL-1 and TL-2 experiments, respectively). The TL tunnel was 1.71 km in length
125 with two channels that has three lanes in one direction for traffic, and the driving
126 speeds in the tunnel were usually between 50–60 km/h. The monitoring car was
127 located 400 m in depth from the entrance. The sampling period of the JW tunnel was
128 from 3:30 pm to 24:00 pm on 10th December (as the JW experiment). The JW tunnel
129 was 1.45 km in length with two channels that has two lanes in one direction for traffic,
130 and the driving speeds in the tunnel were usually about 60 km/h. The monitoring car
131 was located 800 m in depth from the entrance.

132

133 Moreover, since biomass burning is recognized as an important source of BrC
134 (Ramanathan, et al., 2007) and is a popular source in rural areas in PRD, especially
135 during the harvest season (He et al., 2011; Zhang et al., 2013), we performed biomass
136 burning simulation experiments in a combustion laboratory to study the spectral
137 dependence of aerosol light absorption in biomass burning smoke. Different types of
138 biomass materials, including straw, deciduous leaf, and firewood, were burned in two
139 different combustion modes, i.e., stove burning and open burning, to simulate the
140 traditional residential and field biomass burning. The combustion system in the
141 laboratory included four parts: combustion simulation, dilution, tube sampling, and
142 instrumental analyzing. The stove was built with bricks and mortar according to the
143 local traditional structure. More detailed information of the combustion system was
144 described in our previous paper (He et al., 2010). Different biomass materials were
145 burned inside the stove to simulate a complete water heating process referring to a
146 standard protocol of water boiling test provided by the University of California
147 (<http://www.aprovecho.org/lab/pubs/testing>). In addition, a certain amount of straw
148 was piled up and burned on a pallet made of iron wire to simulate open burning of
149 crop residues in the field.

150

151 2.2 Instrumentation

152

153 For the ambient sampling in this study, the instruments were placed in a temperature
154 controlled room (or a monitoring car for the tunnel experiments), and the outdoor air
155 was induced through a PM_{2.5} cyclone inlet placed on the rooftop and then dried before
156 it entered the inlets of the instruments. A three-wavelength Photo-acoustic Soot
157 Spectrometer (PASS-3) (Droplet Measurement Technologies, CO, USA) was used to
158 measure light absorption at 405, 532, and 781 nm with a data output time resolution
159 of 2 min. The principles and technical details of PASS-3 were described previously by
160 Arnott et al. (1999). Then, we processed the 2 min time resolution data of absorption
161 at three wavelengths for half hour averages and made further data analysis based on
162 the half hour time resolution datasets. On the other hand, we also processed the 10
163 min time resolution data of organic aerosol derived from AMS or ACSM for half hour

164 averages to explore the relationship with the absorption datasets.

165

166 A high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS)
167 (Aerodyne Research, MA, US) was used to measure non-refractory species of PM₁
168 including organic aerosol with a time resolution of 10 min at the SZ site. The detailed
169 description of the instrument was given by DeCarlo et al. (2006), and the calibration
170 followed the standard protocols (Jayne et al., 2000; Jimenez et al., 2003; Drewnick et
171 al., 2005). More details about the HR-ToF-AMS operation were described in our
172 previous publications (He et al., 2011; Huang et al., 2011). An aerosol chemical
173 speciation monitor (ACSM) (Aerodyne Research, MA, US) was used at the HS site
174 and in the tunnel experiments with a time resolution of 10 min. In comparison with
175 HR-ToF-AMS, ACSM was smaller and more convenient to be transported to field
176 sampling sites and setup in a monitoring car with limited space. The detailed
177 description of ACSM was given by Ng et al. (2011).

178

179 2.3 Calibration of PASS-3

180

181 The calibrations of PASS-3 for flow rate, laser power, and absorption were conducted
182 following the standard procedures provided by the operational manual, which were
183 also applied in relevant previous studies (Arnott et al., 2000; Lan et al., 2013;
184 Nakayama et al., 2015). Firstly, the flow rate of sample air was calibrated by a soap
185 film flow meter, with the results shown in Table 1; secondly, the laser power for each
186 wavelength was calibrated by a laser power meter and the error in Table 1 indicated
187 the reading difference between the laser power meter and the laser detector inside the
188 instrument; thirdly, the light absorption calibration was performed by measuring
189 highly absorbing NO₂ (200 ppm) at 532 nm. Then a good linear regression (with
190 $R^2 > 0.99$) of the calculated extinction coefficient of NO₂ and the measured light
191 absorption was established. Since the scattering of gas is negligible, the extinction of
192 NO₂ should be very close to the absorption of NO₂, and thus the slope of the fitting
193 curve should be very close to 1, as shown in Table 1. The detection limit of aerosol

194 light absorption with 2 s time resolution was 10, 10, and 3 Mm⁻¹ at 405, 532, and 781
 195 nm, respectively.

196 **Table 1.** The calibration results of PASS-3 in the campaigns.

Campaign	Flow rate (lpm)	Error of laser power_405 nm	Error of laser power_532 nm	Error of laser power_781 nm	Slope (R^2)
Urban _{winter}	0.97	0.6 (%)	2.3 (%)	2.5 (%)	1.02 (0.995)
Urban _{fall}	0.98	4.0 (%)	1.1 (%)	0.9 (%)	1.03 (0.996)
Rural _{fall}	0.98	2.5 (%)	5.0 (%)	3.6 (%)	1.04 (0.993)
Tunnel	0.98	2.8 (%)	4.3 (%)	3.7 (%)	1.07 (0.993)

197

198 2.4 Calculation of AAE and light absorption of BrC

199

200 The AAE is an application of Angstrom exponent (Angstrom, 1929) to describe the
 201 wavelength dependence of visible light absorption by aerosol, as expressed in
 202 Equation 1:

$$203 \text{ AAE} = -\ln(\text{Abs}_{\lambda_1}/\text{Abs}_{\lambda_2})/\ln(\lambda_1/\lambda_2) \quad (1)$$

204 Where Abs can be obtained by the absorption measurement and λ represents a
 205 wavelength. The traditional AAE method for estimating BrC light absorption was
 206 described previously by Lack and Langridge (2013), and it can be expressed in
 207 Equations 2 and 3:

$$208 \text{ BC_Abs}_{\lambda_1} = \text{Abs}_{\lambda_2} \times (\lambda_2/\lambda_1)^{\text{AAE_BC}} \quad (2)$$

$$209 \text{ BrC_Abs}_{\lambda_1} = \text{Abs}_{\lambda_1} - \text{BC_Abs}_{\lambda_1} \quad (3)$$

210 Where Abs_{λ_2} is the measured absorption at a longer wavelength, at which BrC has
 211 negligible or no absorption. $\text{BC_Abs}_{\lambda_1}$ is the attributed absorption of BC at a shorter
 212 wavelength. $\text{BrC_Abs}_{\lambda_1}$ is thus the attributed absorption of BrC at the shorter
 213 wavelength. AAE_BC is referred to as the AAE caused solely by “pure” BC aerosol,
 214 and is usually assumed to be 1.0 theoretically.

215 The total uncertainty of $\text{BrC_Abs}_{\lambda_1}$ calculated (U_t) thus arises from both the
 216 absorption measurements and the AAE attribution method, and can be estimated by
 217 Equation 4:

$$U_t = \sqrt{(U_{Abs_{\lambda 1}})^2 + (U_{Abs_{\lambda 2}})^2 + (U_{AAE_BC} \times \ln(\lambda_2/\lambda_1))^2} \quad (4)$$

Where $U_{Abs_{\lambda 1}}$ and $U_{Abs_{\lambda 2}}$ are the relative uncertainties of the absorption measured at λ_1 and λ_2 , respectively; U_{AAE_BC} is the absolute uncertainty of the AAE_{BC} used, and needs to be multiplied by $\ln(\lambda_2/\lambda_1)$ to obtain the relative uncertainty of the AAE method. The uncertainty of the absorption measurement at a wavelength ($U_{Abs_{\lambda}}$) includes the fit to the absorption calibration slope, the electronic noise within the instrument (Lack et al., 2012a), as well as the drift correction of signals, and can be expressed as below:

$$\Delta X = \sqrt{(\Delta X_{calibration})^2 + (\Delta X_{noise})^2 + (\Delta X_{drift})^2} \quad (5)$$

$$U_{Abs_{\lambda}} = \Delta X / Abs_{\lambda} \quad (6)$$

Where $\Delta X_{calibration}$ is derived from the uncertainty of the regression slope under a 95% confidence level (p); ΔX_{noise} can be calculated through uncertainty propagation of noise equivalent absorption measured by PASS-3 every 2 minutes; ΔX_{drift} is the standard deviation of the averaged baseline absorption of filtered air. Finally, ΔX is divided by Abs_{λ} to get the corresponding relative uncertainty ($U_{Abs_{\lambda}}$). In result, the relative uncertainties of the absorption measurements at the three wavelengths were ~1.2% for the campaign of urban_{winter}, 0.8–0.9% for the campaign of urban_{fall}, and 1.5–1.6% for the campaign of rural_{fall}.

236

237 3. Results and discussion

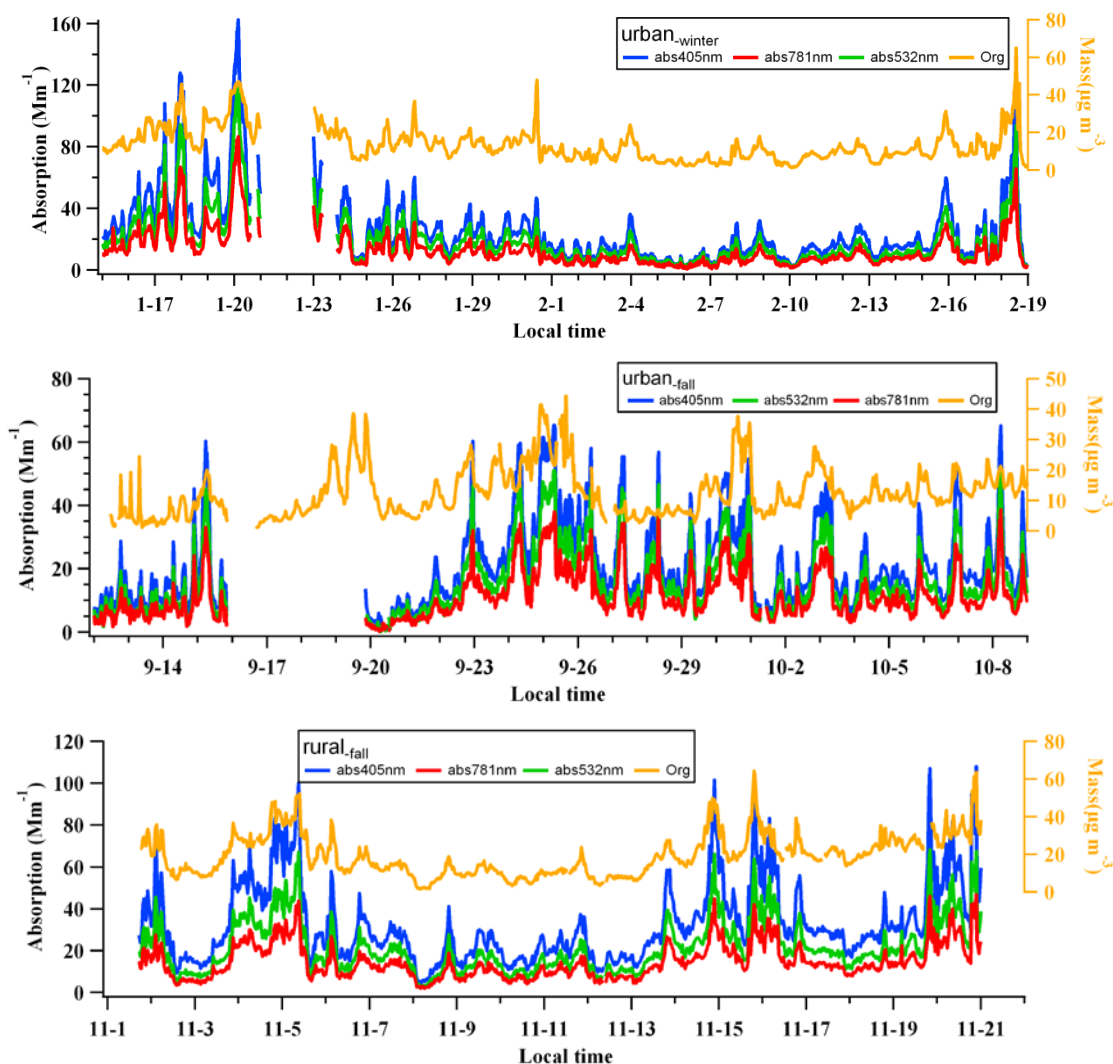
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239 3.1 Aerosol light absorption

240

241 The time series of PM_{2.5} light absorption at three wavelengths in different campaigns
 242 were shown in Fig.1. In the urban_{winter} campaign, the average absorption was 25.6,
 243 18.7, and 12.9 Mm⁻¹ at 405, 532, and 781 nm, respectively. In the urban_{fall} campaign,
 244 the average absorption was 21.6, 16.2, and 11.8 Mm⁻¹ at 405, 532, and 781 nm,
 245 respectively. It was seen that the aerosol absorbed more light in the winter, and its

246 maximum absorptions were 162, 122, and 86.6 Mm^{-1} at 405, 532, and 781 nm,
247 respectively, more than two times of the maximum values in the fall. The higher
248 aerosol pollution observed in the winter could be attributed to the unfavorable
249 meteorological conditions in PRD in the winter, when the air mass came from the
250 polluted northern continent with a overwhelming frequency and the atmospheric
251 boundary layer became shallower due to lower ambient temperatures (Huang et al.,
252 2014). In the rural_{-fall} campaign, the average absorption was 32.5, 21.5, and 14.6 Mm^{-1}
253 at 405, 532, and 781 nm, respectively, which were even higher than those of the
254 urban_{-winter} and urban_{-fall} campaigns, but this was not strange since HS was a receptor
255 site, suffering from the polluted air outflow from the northeast, where the megacity of
256 Guangzhou was located, during the fall and winter seasons (Gong et al., 2012). The
257 campaign-average ambient AAE_{405_781} values (\pm relative uncertainties) were
258 calculated to be 1.05 ($\pm 0.01\%$), 0.92 ($\pm 0.10\%$), and 1.22 ($\pm 0.002\%$), respectively,
259 for the urban_{-winter}, urban_{-fall}, and rural_{-fall} campaigns, while those of AAE_{532_781} were
260 0.98 ($\pm 0.01\%$), 0.82 ($\pm 0.05\%$), and 1.00 ($\pm 0.001\%$), respectively. The
261 corresponding uncertainties in the brackets were calculated through the uncertainty
262 propagation of the absorption measurement uncertainties based on Equation 1. The
263 relatively higher values of AAE_{405_781} and AAE_{532_781} in the rural_{-fall} campaign might
264 be related to the biomass burning in the farmland surrounding the HS site.
265



266

267 **Fig.1.** The time series of aerosol light absorption and mass concentration of organic aerosol in the
 268 different campaigns.

269

270 It should be noted here that the contribution of dust particles to the aerosol light
 271 absorption was considered to be negligible in this study. Firstly, there was no dust
 272 event during the three campaigns; secondly, organic aerosol typically contributes >30%
 273 of PM_{2.5} mass in both urban and rural environments, far higher than that of the dust
 274 (<5%) (Huang et al., 2014). Considering that the mass absorption efficiency (MAE)
 275 values of dust at shorter and mid-visible wavelengths are lower than those of organic
 276 aerosol by a magnitude of one or two (Favez et al., 2009; Yang et al., 2009), the light
 277 absorption contribution of dust could be negligible in comparison with that of organic
 278 aerosol in PRD. Therefore, light absorption of dust was not taken into account in the

279 following discussion.

280

281 3.2 Determination of the AAE for “pure” BC aerosol

282

283 Theoretically, the AAE for “pure” BC aerosol (AAE_{BC}) is assumed to be 1.0 (Lack
284 and Langridge, 2013), and BrC absorption at shorter wavelengths can raise this value
285 in ambient atmosphere. In this study, we explored more realistic AAE_{BC} in PRD by
286 establishing a univariate regression relationship for each campaign, as shown in Fig. 2.
287 In each campaign, the organic aerosol mass concentration was measured by AMS or
288 ACSM, and the absorption at 781 nm (Abs_{781nm}) could be used to represent the BC
289 amount since BrC had negligible absorption at longer wavelengths (Kirchstetter et al.,
290 2004; Lack and Langridge, 2013; Lack et al., 2012b). Then, $r_{org/bc}$ (the ratio of
291 organic aerosol mass concentration to Abs_{781nm}) was used as an index of the relative
292 abundance of organic aerosol to BC. In our campaigns, $r_{org/bc}$ was a simpler while
293 more effective index than other similar indices like the mass ratio of OC/(OC+EC),
294 calculating which needed to assume a mass absorption efficiency for the measured
295 light absorption data and correct the cutoff size difference for PASS-3 ($PM_{2.5}$) and
296 AMS/ACSM (PM_1) sampling. Finally, the AAE_{405_781} and AAE_{532_781} were plotted
297 versus the $r_{org/bc}$ averaged within equal intervals for each campaign in Fig. 2, with the
298 corresponding linear fitting curves.

299

300 For all the campaigns, the linear relationships between AAE_{405_781} (or AAE_{532_781}) and
301 $r_{org/bc}$ were significant enough with correlation coefficients (R^2) of 0.59–0.98,
302 indicating AAE was positively related with the relative amount of organic matter,
303 which certainly included BrC. Utry et al. (2014) also revealed a strong correlation
304 between AAE and aerosol OC/EC at an urban site in Hungary, where OC was mainly
305 emitted from wood burning and contained a large amount of BrC. The intercepts of
306 the fitting curves in Fig.2, where $r_{org/bc}=0$, can be regarded as the situation for “pure”
307 BC without any organic matter, and thus are suitable proxies of the AAE_{BC} values for
308 the different campaigns. The uncertainties of the regression intercepts represent the
309 absolute uncertainties of the AAE_{BC} ($U_{AAE_{BC}}$), and can be calculated following

310 Equation 7:

$$311 U_{AAE_BC} = t_p \times S(a) \quad (7)$$

312 Where $S(a)$ represents the standard deviation of the regression intercept (a) calculated
313 by the SPSS software, and t_p is determined by the t-distribution list according to a
314 confidence level (p), which was set to be 95% in this study.

315

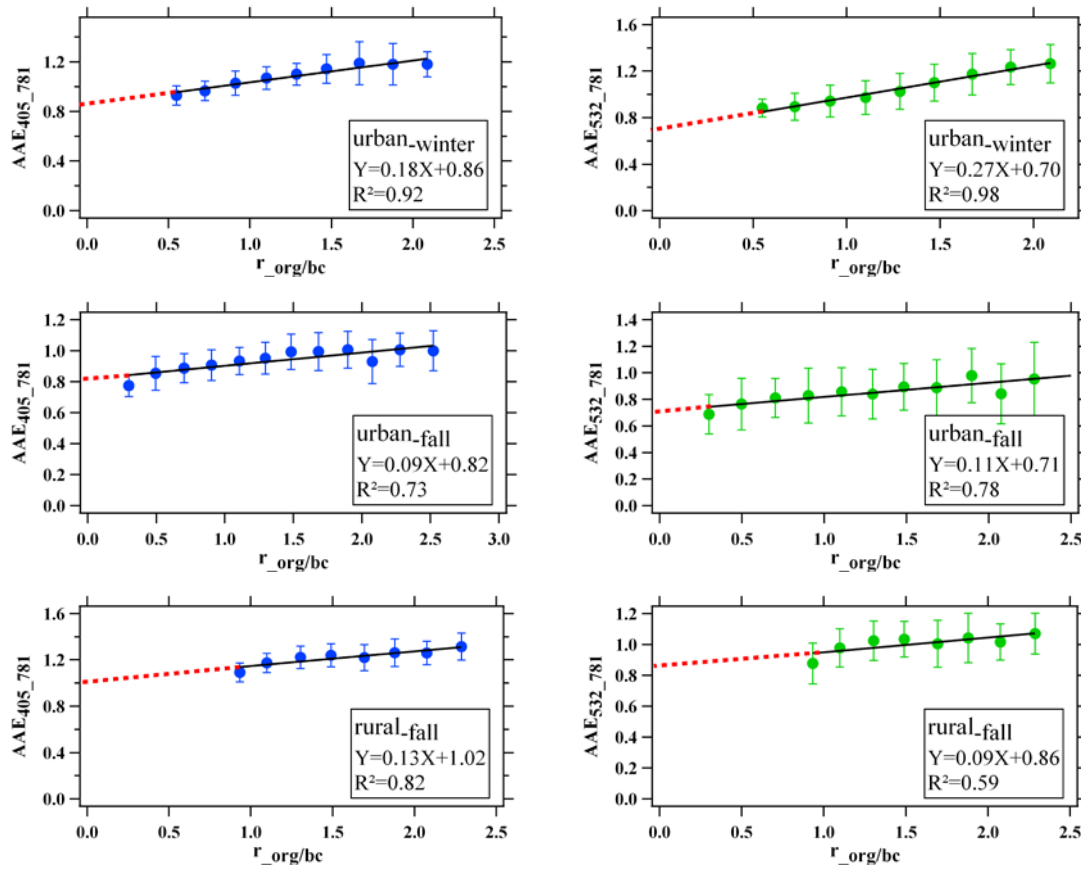
316 The calculated AAE_{BC} values and corresponding uncertainties at 405 and 532 nm
317 were summarized in Table 2, and they were found similar in the urban_{-winter}
318 (0.86 ± 0.06 and 0.70 ± 0.05) and urban_{-fall} (0.82 ± 0.06 and 0.71 ± 0.06) campaigns, but
319 were higher in the rural_{-fall} campaign (1.02 ± 0.10 and 0.86 ± 0.13). The difference of the
320 AAE_{BC} between the urban site and rural site might result from different sources of
321 BC aerosol. Fossil fuel combustion, e.g., vehicle emissions, was indicated to be the
322 dominant source of BC aerosol in urban Shenzhen (Lan et al., 2011), while biomass
323 burning emissions played an important role in the fall at the rural HS site (Gong et al.,
324 2012). In PRD, Lan (2013) ever found that the BC diameters of both vehicular
325 exhaust and biomass burning were generally above 100 nm, using a single particle
326 soot photometer to measure, and the BC diameters of vehicular emissions were even
327 larger. On the other hand, Gyawali et al. (2009) found that the AAE value would
328 decrease as the BC diameter increases in the range of 0.1–1 μm by theoretical
329 modeling. Therefore, the larger AAE_{BC} obtained at the rural site could be a result of
330 the smaller BC diameters of biomass burning in PRD.

331

332 It should be noted that previous studies showed that AAE of ambient aerosol can also
333 be influenced by a couple of other factors, such as size distribution, mixing state, and
334 fractal dimension of BC particles (Levin et al., 2010; Gyawali et al., 2009; Scarnatol
335 et al., 2013; Bond et al., 2006), but it is quite complicated and almost impossible to
336 consider the influence of all these factors simultaneously. Scarnato et al. (2013) also
337 pointed out that it is very difficult to clarify the relationship between AAE and aerosol
338 morphology and mixing state due to quite complicated mechanisms in real cases. In

339 this study, this issue was just simplified using a univariate regression analysis to
 340 explore the relationship between ambient AAE and organic aerosol. In result, the
 341 good correlations obtained in Fig. 2 indicated that BrC itself could be the dominant
 342 factor leading to the variation of AAE, and thus the extrapolated intercept was a good
 343 surrogate for AAE_{BC} . The influence of other factors could be partly reflected by the
 344 error bars of the data points in Fig. 2 and the estimated uncertainty of the intercept
 345 (i.e., $U_{AAE_{BC}}$).

346



347

348 **Fig.2.** The linear relationship between AAE and $r_{org/bc}$ in the different campaigns.

349

350 **Table 2.** The derived AAE_{BC} values and uncertainties in the different campaigns.

Campaign	AAE_{405_781}	AAE_{532_781}
Urban-winter	0.86 ± 0.06	0.70 ± 0.05
Urban-fall	0.82 ± 0.06	0.71 ± 0.06
Rural-fall	1.02 ± 0.10	0.86 ± 0.13

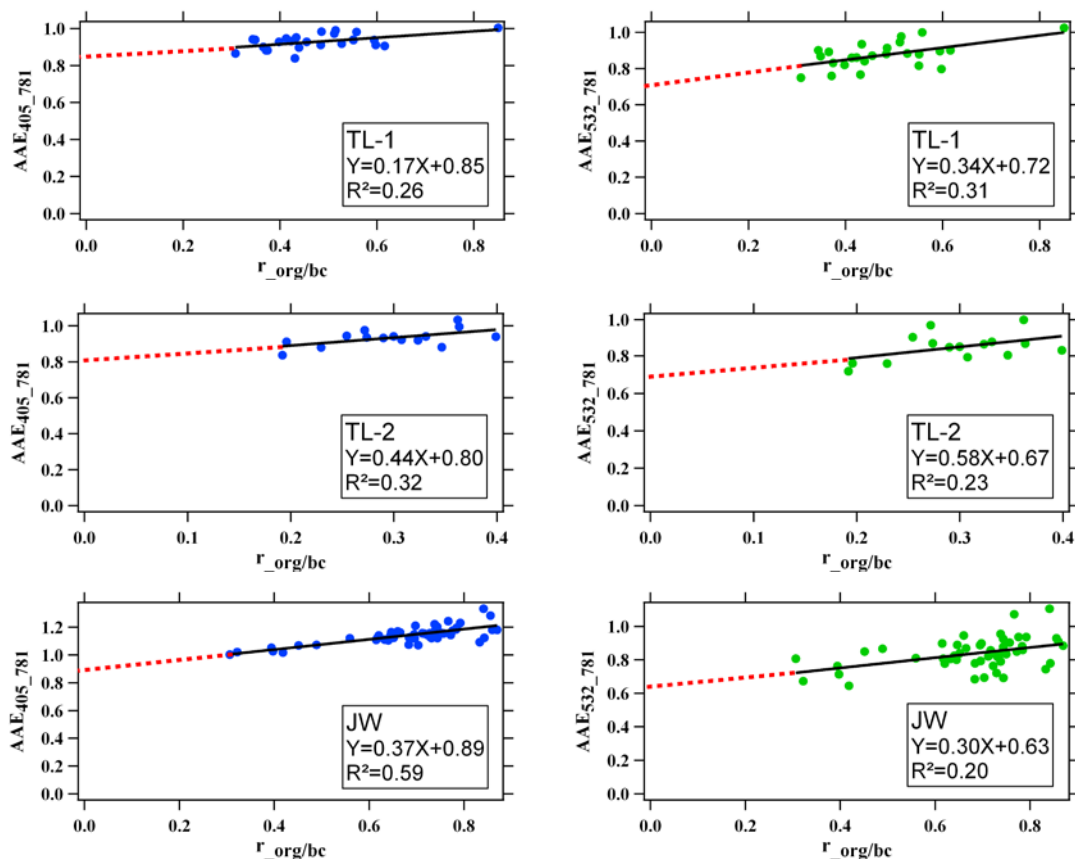
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352 3.3 AAE measurements of primary emission sources

353 3.3.1 Roadway tunnel experiments

354 Since the major primary source of BC in urban environment in PRD was proved to be
 355 vehicular exhaust (Yuan et al., 2006; Huang et al., 2006; Lan et al., 2013), we
 356 performed three roadway tunnel experiments in urban Shenzhen, in order to verify the
 357 representativeness of the AAE_{BC} derived from the urban atmosphere. The tunnel air
 358 measurement results were presented in Fig. 3. Since the tunnel air was largely
 359 dominated by fresh BC particles, the observed $r_{org/bc}$ values were found in a lower
 360 range (0.2–0.8) in comparison with those in the urban atmosphere, and thus the
 361 extrapolation of the linear regression in Fig. 3 could get more reliable intercepts, i.e.,
 362 AAE_{BC} . As summarized in Table 3, the AAE_{BC} values obtained at 405 and 532 nm
 363 (0.80–0.89 and 0.63–0.72, respectively) in the tunnel experiments well confirmed the
 364 AAE_{BC} values derived from the ambient measurements in urban Shenzhen.

365



366

367 **Fig.3.** The linear relationship between AAE and $r_{org/bc}$ in the tunnel experiments.

368

369 **Table 3.** The derived AAE_{BC} values and uncertainties in the tunnel experiments.

Tunnel	AAE ₄₀₅₋₇₈₁	AAE ₅₃₂₋₇₈₁
TL-1	0.85±0.06	0.72±0.10
TL-2	0.80±0.11	0.68±0.19
JW	0.89±0.06	0.63±0.12

370

371 3.3.2 Biomass burning simulation experiments

372 The AAE values with standard deviations of different types of biomass burning were
373 presented in Table 4. It was found that the absorption generally showed large spectral
374 dependence and the AAE varied among different biomass types or combustion modes,
375 with AAE₄₀₅₋₅₃₂ ranging from 2.1–8.3, AAE₅₃₂₋₇₈₁ ranging from 1.3–5.0, and
376 AAE₄₀₅₋₇₈₁ ranging from 1.7–6.2, significantly higher than those observed in the
377 ambient air and tunnel air. Therefore, the higher AAE values observed for biomass
378 burning particles, especially between 405 and 532 nm, proved that biomass burning
379 was a significant contributor to absorption at shorter wavelengths in the rural_{fall}
380 campaign. In the combustion mode of stove burning, the leaves tended to emit more
381 BrC than wood, stalk, and straw, which should be a result of their different biomass
382 chemical nature. Besides, the AAE values of short straw were a few times higher in
383 open burning than in stove burning, which could be explained by that it was easier to
384 cause a hypoxic environment in smoldering and a larger amount of yellow fume was
385 produced (Einfeld et al., 1991; Patterson and McMahon, 1984; Kirchstetter et al.,
386 2004; Chakrabarty et al., 2010).

387

388 **Table 4.** The AAE values observed in the biomass burning simulation experiments.

Biomass type	Burning modes	AAE ₄₀₅₋₅₃₂	AAE ₅₃₂₋₇₈₁	AAE ₄₀₅₋₇₈₁
Short straw	Open burning	8.27±1.34	4.96±1.15	6.20±1.33
Ficus microcarpa leaf	Stove burning	5.85±1.69	3.46±0.96	4.46±1.20
Lychee leaf	Stove burning	4.90±1.61	2.52±1.07	3.48±1.20
Corn stalk	Stove burning	3.83±1.49	2.39±1.06	2.97±1.16
Litchi wood	Stove burning	3.55±1.50	1.95±0.85	2.61±1.00
Eucalyptus wood	Stove burning	2.34±0.85	1.30±0.53	1.71±0.50
Short straw	Stove burning	2.32±0.65	1.39±0.47	1.76±0.25
Peanut stalk	Stove burning	2.13±1.01	2.08±0.86	1.99±0.50

389

390 3.4 Quantification of light absorption of BrC

391

392 Based on the well determined AAE_{BC} and Abs_{781nm} in the three field campaigns, we
393 could finally calculate the light absorption of BrC at 405 and 532 nm according to
394 Equation 3. In result, the average light absorption of BrC at 405 nm was 3.0, 1.4, and
395 3.9 Mm^{-1} in the urban_{-winter}, urban_{-fall}, and rural_{-fall} campaigns, respectively,
396 contributing 11.7%(±5%), 6.3%(±4%), and 12.1%(±7%) of the total aerosol light
397 absorption, respectively. Here, the values in the brackets were the relative
398 uncertainties calculated through Equation 4. The average light absorption of BrC at
399 532 nm was 1.9, 0.7, and 1.2 Mm^{-1} in the urban_{-winter}, urban_{-fall}, and rural_{-fall} campaigns,
400 respectively, contributing 10.0%(±2%), 4.1%(±3%), and 5.5%(±5%) of the total
401 aerosol light absorption, respectively.

402

403 The results indicated that no matter at the urban site or rural site in PRD, BC still
404 played a dominant role in total aerosol light absorption at 405 and 532 nm, but the
405 contribution of BrC was not negligible, with a fraction of up to 12%. The higher BrC
406 contribution in the urban_{-winter} campaign than that in the urban_{-fall} campaign suggested
407 that BrC could play a more important role in polluted continental air mass, since
408 Shenzhen had a higher frequency of continental air mass from the north than that of
409 marine air mass from the south in winter. On the other hand, the highest BrC
410 contribution at 405 nm in the rural_{-fall} campaign could be attributed to the influence of
411 biomass burning in the farmland nearby, which was supported by the biggest
412 difference of BrC absorption between 405 and 532 nm: the AAE_{405_532} of BrC was
413 calculated to be 1.7, 2.5, and 4.3 for the campaigns of urban_{-winter}, urban_{-fall}, and
414 rural_{-fall}, respectively. High AAE_{405_532} was found to be a feature in the biomass
415 burning simulation experiments, as in Table 4. Especially strong absorption at 404 nm
416 of biomass burning-emitted BrC was also found by Lack et al. (2012b). The lowest
417 AAE_{405_532} of the urban_{-winter} campaign indicated that fossil fuel combustion, rather
418 than biomass burning, seemed to be the major source of BrC in Shenzhen in winter.

419

420 Finally, it should be noted that it is unwise to calculate the light absorption

421 contribution of BrC at a specific time during the field campaigns, since the AAE_{BC}
422 derived for the whole case of a single campaign could have a large bias from the real
423 AAE_{BC} at that time, due to variations of the influencing factors, e.g., size distribution,
424 mixing state, and morphology of BC particles.

425

426 **4. Conclusions**

427

428 In this study, an improved AAE method was used to estimate the light absorption of
429 BrC at an urban site and a rural site in the PRD region of China in polluted seasons
430 during 2014, based on ambient on-line measurements using PASS-3 and AMS (or
431 ACSM). The obtained ambient $AAE_{405-781}$ averages were 1.05, 0.92, and 1.22 in the
432 three campaigns of urban_{winter}, urban, and rural, respectively, while those for
433 $AAE_{532-781}$ were 0.98, 0.82, and 1.00, respectively. The linear regression between
434 $AAE_{405-781}$ (or $AAE_{532-781}$) and the ratio of organic aerosol to BC resulted in
435 reasonable intercepts, which were assumed to be the AAE for “pure” BC (AAE_{BC}).
436 The obtained AAE_{BC} values between 405–781 nm were 0.86, 0.82, and 1.02 in the
437 campaigns of urban_{winter}, urban, and rural, respectively, and those between
438 532–781 nm were 0.70, 0.71, and 0.86, respectively. These AAE_{BC} values were
439 believed to be more realistic in PRD than the theoretical default value of 1.0. The
440 results of the tunnel experiments further confirmed that the realistic AAE_{BC} values in
441 the urban atmosphere should be within the ranges of 0.8–0.9 between 405 and 781 nm
442 and of 0.6–0.7 between 532 and 781 nm. In result, the average BrC light absorption
443 contributions (\pm relative uncertainties) at 405 nm were quantified to be 11.7%(\pm 5%),
444 6.3%(\pm 4%), and 12.1%(\pm 7%) in the campaigns of urban_{winter}, urban, and rural,
445 respectively, and those at 532 nm were 10.0%(\pm 2%), 4.1%(\pm 3%), and 5.5%(\pm 5%),
446 respectively. It was found that BrC played a more important role in more polluted
447 winter or in the rural area with intensive biomass burning in PRD. Although BC still
448 played a dominant role in total aerosol light absorption in PRD, the contribution of
449 BrC at shorter wavelengths was not negligible, with a percent of up to >10%.

450

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452

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456

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