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Wind speed response of marine non-precipitating stratocumulus clouds over a diurnal cycle in cloud-system resolving simulations

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Abstract

Observed and projected trends in large scale wind speed over the oceans prompt the question: How do marine stratocumulus clouds and their radiative properties respond to changes in large scale wind speed? Wind speed drives the surface fluxes of sensible heat, moisture, ⁵ and momentum, and thereby acts on cloud liquid water path (LWP) and cloud radiative properties. We present an investigation of the dynamical response of non-precipitating, overcast marine stratocumulus clouds to different wind speeds over the course of a diurnal cycle, all else equal. In cloud-system resolving simulations, we find that higher wind speed leads to faster boundary layer growth and stronger entrainment. The dynamical driver is ¹⁰ enhanced buoyant production of turbulence kinetic energy (TKE) from latent heat release in cloud updrafts. LWP is enhanced during the night and in the morning at higher wind speed, and more strongly suppressed later in the day. Wind speed hence accentuates the diurnal LWP cycle by expanding the morning - afternoon contrast. The higher LWP at higher wind speed does not, however, enhance cloud top cooling because in clouds with $_{15}$ $\,$ LWP \gtrapprox 50 g m $^{-2}$, long wave emissions are insensitive to LWP. This leads to the general conclusion that in sufficiently thick stratocumulus clouds, additional boundary layer growth and entrainment due to a boundary layer moistening arises by stronger production of TKE from latent heat release in cloud updrafts, rather than from enhanced longwave cooling. We find that large scale wind modulates boundary layer decoupling. At nighttime and at low wind ²⁰ speed during daytime, it enhances decoupling in part by faster boundary layer growth and stronger entrainment, and in part because shear from large scale wind in the sub-cloud layer hinders vertical moisture transport between the surface and cloud base. With increasing wind speed, however, in decoupled daytime conditions, shear-driven circulation due to large scale wind takes over from buoyancy-driven circulation in transporting moisture from ²⁵ the surface to cloud base, and thereby reduces decoupling and helps maintain LWP. The

total (short-wave + longwave) cloud radiative effect (CRE) responds to changes in LWP and cloud fraction, and higher wind speed translates to a stronger diurnally averaged total CRE.

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However, the sensitivity of the diurnally averaged total CRE to wind speed decreases with increasing wind speed.

1 Introduction

Clouds are a linchpin in Earth's climate system because of their impact on Earth's radiation ⁵ budget [\(Hartmann and Doelling, 1991\)](#page-37-0). Low clouds, and in particular marine boundary layer clouds exert stronger leverage over reflected solar radiation compared to other cloud types [\(Hartmann and Short, 1980;](#page-37-1) [Hartmann et al., 1992\)](#page-37-2). With their response to environmental conditions, clouds amplify (positive cloud feedback) or dampen (negative cloud feedback) the effects of climate forcing or internal climate variability [\(Schneider, 1972;](#page-38-0) [Stephens,](#page-39-0) ¹⁰ [2005\)](#page-39-0). Cloud feedbacks are a major source of uncertainty in climate simulations [\(Webb](#page-39-1) [et al., 2006;](#page-39-1) [Williams and Tselioudis, 2007;](#page-39-2) [Wyant et al., 2006\)](#page-40-0). The uncertainty related to low clouds originates primarily from marine stratocumulus and trade wind cumulus clouds [\(Bony and Dufresne, 2005;](#page-36-0) [Soden and Vecchi, 2011\)](#page-39-3). Recent observational and model [s](#page-36-2)tudies have identified a positive low-level cloud feedback [\(Clement et al., 2009;](#page-36-1) [Brient and](#page-36-2) ¹⁵ [Bony, 2013;](#page-36-2) [Bretherton et al., 2014;](#page-36-3) [Dal Gesso et al., 2015\)](#page-37-3), although a strong negative low [c](#page-40-1)loud feedback in response to short-term climate variations has been found as well [\(Zhou](#page-40-1) [et al., 2013\)](#page-40-1). The sign, magnitude, and mechanisms of the response and feedback of low clouds to climate change are far from understood and the subject has garnered scrutiny

²⁰ [Caldwell and Bretherton](#page-36-4) [\(2009\)](#page-36-4) found, using a mixed layer model, that a warmer climate would be accompanied by a negative cloud feedback from stratocumulus clouds, caused by a cloud thickening due to weaker mean subsidence and a stronger inversion. [Xu et al.](#page-40-2) [\(2010\)](#page-40-2) used a large eddy simulation (LES) model to investigate the response of shallow cumulus and overcast stratocumulus clouds in an idealized climate change scenario, rep-

with models that resolve processes in more detail than climate models.

²⁵ resented by a 2 K warmer sea surface temperature (SST). They identified a negative cloud feedback arising from the increase of cloud geometric thickness, liquid water path (LWP), cloud optical thickness, and inversion height with SST. [Blossey et al.](#page-36-5) [\(2013\)](#page-36-5) investigated

marine low cloud sensitivity to idealized climate change (2 K SST warming) in a LES intercomparison study. The study covered the well-mixed stratocumulus, decoupled stratocumulus, and shallow cumulus cloud regimes. Most of the models in the intercomparison produced a negative cloud feedback for the well-mixed stratocumulus cloud regime, and ⁵ a neutral or positive cloud feedback for the decoupled stratocumulus and the shallow cumulus cloud regime. [Bretherton et al.](#page-36-6) [\(2013\)](#page-36-6) expanded the investigation by considering changes in temperature, free-tropospheric relative humidity, carbon dioxide $(CO₂)$ concentration, subsidence strength, inversion stability, and wind speed. The setup of their study allowed an estimate of the cloud response to a World Climate Research Program Coupled ¹⁰ Model Intercomparison Project (CMIP3, [Meehl et al., 2007\)](#page-38-1) multimodel mean forcing for a particular greenhouse gas emission scenario. For a CMIP3 $2 \times CO₂$ forcing, a positive shortwave cloud feedback resulted for the well-mixed stratocumulus, decoupled stratocumulus, and shallow cumulus cloud regime. [Bretherton et al.](#page-36-6) [\(2013\)](#page-36-6) concluded that this result, relative to that of [Caldwell and Bretherton](#page-36-4) [\(2009\)](#page-36-4) and [Xu et al.](#page-40-2) [\(2010\)](#page-40-2), arose from accounting 15 for the radiative effect of the additional CO₂ in their simulations, and from different assump[t](#page-39-4)ions on subsidence in a warmer climate and on the advection of heat and moisture. [van der](#page-39-4) [Dussen et al.](#page-39-4) [\(2015\)](#page-39-4) systematically investigated the effect of a 2 K warming at constant relative humidity on a stratocumulus clouds for a range of free-tropospheric thermodynamic conditions. They found that the stratocumulus cloud responded under all considered free ²⁰ tropospheric conditions with a LWP reduction and hence with a positive cloud feedback.

Combined, the current understanding indicates a positive globally integrated low cloud feedback in the climate system which is a composite of local responses that depend on cloud state and environmental conditions. Understanding of the various mechanisms by which the cloud states respond to changes in environmental conditions that accompany ²⁵ climate change is needed to build a dependable foundation for the representation of low level clouds in climate simulations.

An understudied cloud-climate feedback mechanism is the response of boundary layer clouds to changes in large scale wind speed. [Colón-Robles et al.](#page-37-4) [\(2006\)](#page-37-4) observed that weak surface winds were associated with fewer activated cloud droplets in trade wind cu-

mulus clouds, and concluded that higher cloud droplet concentrations are more likely under conditions of stronger low-level wind speeds, primarily because stronger low-level wind speeds are associated with more intense cloud base updrafts. [Chen et al.](#page-36-7) [\(2011\)](#page-36-7) investigated aerosol-cloud-precipitation interactions in marine stratocumulus using LES. They ⁵ concluded that under stronger wind speed, an enhanced surface moisture flux leads to a thickening of the cloud and stronger precipitation. [Nuijens and Stevens](#page-38-2) [\(2012\)](#page-38-2) investigated the equilibrium response of trade wind cumulus clouds to wind speed using LES. They found that at stronger winds, trade wind cumulus clouds are deeper but not more numerous nor more energetic. [Nuijens and Stevens](#page-38-2) identified the reason as an opposite response ¹⁰ of the surface sensible heat and moisture fluxes to an increase in wind speed, which approximately maintains surface production of turbulence kinetic energy and cloud base mass

fluxes as wind speed increases.

Stratocumulus clouds respond to changes in environmental conditions on several time scales, ranging from hours to days [\(Schubert et al., 1979;](#page-38-3) [Jones et al., 2014\)](#page-37-5). The adjust-¹⁵ ment to environmental change on long (climatic) time scales has been investigated with multi-day simulations that converge towards a steady state [\(Caldwell and Bretherton, 2009;](#page-36-4) [Xu et al., 2010;](#page-40-2) [Blossey et al., 2013;](#page-36-5) [Bretherton et al., 2013;](#page-36-6) [van der Dussen et al., 2015\)](#page-39-4). In this work, we investigate the response of non-precipitating, overcast marine stratocumulus clouds to different wind speeds, all else equal, in the course of a diurnal cycle. The goal ²⁰ is to identify and explain the dynamical processes by which wind speed acts on the evolution of boundary layer growth, entrainment, decoupling, LWP, and cloud radiative effect. We also identify the role of buoyancy- and shear-driven dynamics for boundary layer growth, entrainment, decoupling, and liquid water path.

The investigation is motivated by observed trends towards higher wind speeds over the ²⁵ oceans. [Young et al.](#page-40-3) [\(2011\)](#page-40-3) identified, using satellite radar altimeter wave heights, a global increase in ocean surface wind speed in the period 1991-2008. [Hande et al.](#page-37-6) [\(2012\)](#page-37-6) found an increasing surface wind speed trend spanning nearly four decades in radiosonde data at a location in the Southern Ocean. [Bertin et al.](#page-36-8) [\(2013\)](#page-36-8) identified a significant increase in wave height (driven by wind speed) in the North Atlantic Ocean over the 20th century, and

[Servain et al.](#page-39-5) [\(2014\)](#page-39-5) found an intensification of trade winds in the tropical Atlantic over the period 1964-2012 that accompanied an observed warming trend in sea surface temperature. These trends in large scale wind speed are not necessarily a consequence of climate change, but could arise from internal climate variability [\(Dobrynin et al., 2015\)](#page-37-7). Additional ⁵ motivation derives from projected changes in ocean wind speeds and wave heights in the course of the 21st century [\(McInnes et al., 2011;](#page-38-4) [Hemer et al., 2013\)](#page-37-8). Together with an associated cloud response, the observed and projected changes in large scale wind speed would constitute a cloud-climate feedback mechanism with the potential to impact Earth's radiation budget, the formation of precipitation, and the effect of aerosol on clouds.

¹⁰ Here we conduct cloud-system resolving simulations with different large scale wind speeds. The observed wind speed during the Second Dynamics and Chemistry of Marine Stratocumulus field study Research Flight 1 (DYCOMS II RF01, [Stevens et al., 2005\)](#page-39-6) serves as a reference for a $\pm 25\%$ faster and slower large scale wind speed, respectively. We chose this variation because the associated variation in 10 m wind speed averaged over a diurnal

- ¹⁵ cycle in our simulations (-18 %/+21 %) is comparable to the peak values of the 1991-2008 change in ocean surface wind speed at the location of the north-east Pacific coastal stratocumulus deck [\(Young et al., 2011\)](#page-40-3). The different wind speeds drive different surface fluxes of sensible heat, moisture, and momentum in the simulations. We focus on the dynamical rather than the microphysical response of the stratocumulus-topped boundary layer to
- ²⁰ changes in wind speed, and excluded the effect of wind speed on surface aerosol production and loss by setting these terms to zero. The simulations are initialized with boundary layer properties, cloud properties, and dynamics that are consistent with DYCOMS II RF01 observations. The simulations are hence a suitable framework for identifying and characterizing the mechanisms by which the stratocumulus-topped marine boundary layer responds
- ²⁵ over a diurnal cycle to different wind speeds, all else equal. However, they do not represent a stratocumulus-topped marine boundary layer in a future climate at different wind speeds, which would require initial and boundary conditions that are consistent with the chosen climate and wind speeds.

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A key mechanism of the wind speed response of stratocumulus clouds is an increase or decrease of the surface moisture flux at higher or lower wind speed, respectively. This mechanism modulates cloud LWP, and thereby the response of the cloud to changes in aerosol concentration, as well as the propensity of the cloud to precipitate. In this work we ⁵ focus on the non-precipitating stratocumulus state with a low precipitation susceptibility to aerosol concentration. The results of the simulations and the analysis are specific for this cloud state and the chosen environmental conditions.

A number of studies (e.g., [Wang et al., 2008,](#page-39-7) [2012;](#page-39-8) [Katzwinkel et al., 2012;](#page-38-5) [Mellado et al.,](#page-38-6) [2014\)](#page-38-6) have investigated the effect of strong wind shear at the inversion on stratocumulus ¹⁰ clouds. Such shear is often caused by a jump in large scale wind speed and direction across the inversion, and differs qualitatively and quantitatively from shear that arises from the interaction of a constant large scale wind speed with the surface and with the potential temperature gradient at the inversion. In this work, we only consider shear that is generated by a constant large scale wind speed.

¹⁵ The text is organized as follows: Section [2](#page-6-0) introduces the model and describes the spinup runs and simulations. The final state of the spin-up runs (initial state of simulations) is compared with observations. Results are analyzed and discussed in Section [3.](#page-12-0) Conclusions are given in Section [4.](#page-24-0) Appendix [A](#page-27-0) presents the nudging techniques used in the spinup-runs to generate conditions in the initial state of the simulations that are consistent with observa-²⁰ tions, and in the simulations to maintain mean free tropospheric potential temperature and water vapor profiles. Appendix [B](#page-30-0) derives the boundary layer total water budget equation,

and appendix [C](#page-34-0) discusses the resolution dependence of the results.

2 Model and simulations

We use the Advanced Research WRF (ARW) model [\(Skamarock et al., 2008\)](#page-39-9), which in-²⁵ cludes optional chemical and aerosol processes (WRF/Chem, [Grell et al., 2005\)](#page-37-9), with the modifications described in [Kazil et al.](#page-38-7) [\(2011,](#page-38-7) [2014\)](#page-38-8). Because we focus on the response of the stratocumulus-topped marine boundary to wind speed via the surface fluxes of sen-

sible heat, moisture, and momentum, we disabled chemical reactions, sea spray aerosol emissions, and aerosol dry deposition. We decompose the total wind field into a residual component and a constant geostrophic component. The model was modified so that its dynamical core only operates on the residual wind field [\(Kazil et al., 2014\)](#page-38-8). This is permit-⁵ ted because the Navier-Stokes equations are invariant under Galilean transformations. The simulation domain can hence be thought of as moving with the geostrophic wind over a stationary ocean surface, although the geographic location, which determines the Coriolis parameter and insolation, is held fixed. The residual wind field is nudged by Rayleigh damping towards 0 over a 250 m layer at the domain top. At the domain base, geostrophic wind ¹⁰ acts on the residual wind field by the interaction of the total wind field with the surface: The surface fluxes of sensible heat, moisture, and horizontal momentum are calculated from the sum of the horizontal residual wind speed and the horizontal geostrophic wind speed at the lowest model level. The simulation domain is periodic in the horizontal dimensions, with a height of 1650 m; it is located at 122[°] W, 31.5[°] N. The sea surface temperature is set to

¹⁵ 291.5 K, 1 K below the sea surface temperature used in [Stevens et al.](#page-39-6) [\(2005\)](#page-39-6). This value produced in the final state of the spin-up runs (initial state of the simulations) a surface sensible heat and moisture flux of 14 W m⁻² and 122 W m⁻², respectively [\(Stevens et al.,](#page-39-6) [2005,](#page-39-6) give values of 15 W m $^{-2}$ and 115 W m $^{-2}$, respectively). We use a surface pressure of 1017 hPa and a surface large scale divergence $\delta = 3.75 \times 10^{-6}$ s⁻¹ [\(Stevens et al., 2005\)](#page-39-6).

²⁰ **2.1 Spin-up runs**

The list of the spin-up runs is given in Table [1.](#page-41-0) The runs are labeled with the letters m (medium) and l (large), which denote the domain size of 30 and 60 km, respectively. The reference grid spacing is $dx = dy = 150$ m, $dz \approx 15$ m, $dt = 1.5$ s, where dx and dy are the horizontal grid constants, dz the vertical grid constant, and dt the time step. The spin-up run ²⁵ m_{fine} uses double resolution in each dimension (dx = dy = 75 m, dz \approx 7.5 m, dt = 0.75 s). The spin-up run $l_{1.5}$ uses a reduced aspect ratio of (1:5), which is obtained by doubling horizontal resolution (dx = dy = 75 m, dz \approx 15 m, dt = 1.5 s).

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2.1.1 Initialization

We prescribe altitude (z) profiles of potential temperature $\theta(z)$ and total water content $q_t(z)$ as specified for DYCOMS II RF01 by [Stevens et al.](#page-39-6) [\(2005\)](#page-39-6) to initialize the spin-up runs. Cloud water q_c and rain water q_r are initialized with zero values in the spin-up runs, hence

- $_5$ the initial q_t is apportioned to q_v . The spin-up runs use the reference geostrophic wind speed with a horizontal west-east component $U = 7 \text{ m s}^{-1}$ and a horizontal south-north component V = -5.5 m s⁻¹. The spin-up runs commence on 11 July 2001 at 00:00:00 UT, shortly after sunset, and end at 04:00:00 UT. Nudging (Appendix [A\)](#page-27-0) maintains the mean potential temperature profiles and the aerosol number concentration of 300 mg $^{\rm -1}$ through-
- ¹⁰ out the simulation domain, and the total water profile in the free troposphere. Sedimentation and collision-coalescence of cloud droplets are disabled to prevent drizzle formation.

2.1.2 Temporal evolution

Figures [1](#page-44-0) and [2](#page-45-0) show the spin-up run time series. Resolution, aspect ratio, and domain size cause only small differences in boundary layer (BL) turbulence kinetic energy (TKE) ¹⁵ between the spin-up runs (Fig. [1a](#page-44-0)). Liquid water path (LWP) does not depend on domain size in the considered domain size range, but on resolution and aspect ratio (Fig. [1b](#page-44-0)). We discuss in the following the effect of resolution and aspect ratio, in the considered ranges, on the total water flux due to mixing across the inversion, and by extension, on LWP. The BL total water budget can be written as (Appendix [B\)](#page-30-0)

$$
\frac{dQ(t)}{dt} = F_{\text{precipitation}}^q + F_{\text{surface}}^q - F_{\text{entrainment}}^q - F_{\text{subsidence}}^q - F_{\text{mixing}}^q \quad . \tag{1}
$$

Q is the boundary layer mean total water mass path (vertically integrated total water mass per horizontal area). $F^q_{\sf{precision}}$ is the surface water flux due to precipitation, $F^q_{\sf{surface}}$ is the surface moisture flux, $F_{\text{entrainment}}^q$ the total water flux across the inversion due to changes in mean inversion height, F_{subside}^q the total water flux across the inversion due to subsi-25 dence, and F_{mixing}^q the total water flux across the inversion due resolved scale and sub-grid

scale dynamics at the inversion, fluctuations in inversion height, and spurious mixing. The fluxes point up when positive, which, together with the level at which they are located (sur-face vs. inversion) explains their signs in Eq. [1.](#page-8-0) None of the water variables (q_v, q_c, q_r) q_t) are nudged in the boundary layer (Appendix [A\)](#page-27-0), so that no nudging tendency needs to be accounted for in Eq. [1.](#page-8-0) Furthermore, $F_{\text{entrainment}}^q$ can be taken as zero because mean potential temperature is nudged towards its initial profile (Appendix [A\)](#page-27-0), so that the mean inversion height exhibits only extremely small changes after approximately 90 min (Fig. [1c](#page-44-0)). $F^q_{\text{preipitation}}$ is zero because sedimentation and collision-coalescence of cloud droplets are disabled in the spin-up period. Eq. [1](#page-8-0) can therefore be simplified and rearranged to

$$
F_{\text{mixing}}^q = F_{\text{surface}}^q - F_{\text{subsidence}}^q - \frac{dQ(t)}{dt} \quad . \tag{2}
$$

Figure [1d](#page-44-0) shows the $F_{\rm mixing}^q$ time series from the spin-up runs; in the calculation of $F_{\rm mixing}^q$, $F_{\text{subsidence}}^q$ was approximated with its vertical component. Domain size has no effect on F_{mixing}^{q} in the considered domain size range, but mixing depends on resolution and aspect ratio. Doubling resolution in all dimensions reduces $F_{\rm mixing}^q$ by \approx 20 W m $^{-2}$, while reducing ₁₅ the aspect ratio from 1:10 to 1:5 increases F_{mixing}^q by \approx 15 W m⁻² (Fig. [1d](#page-44-0)). The different F_{mixing}^q change the temporal evolution of LWP in the spin-up runs (Fig. [1b](#page-44-0)). Relative to the spin-up runs m and l, the spin-up run with doubled resolution in all dimensions (m_{fine}) exhibits a higher (and increasing) LWP (Fig. [1b](#page-44-0)) because of lower mixing across the inversion (Fig. [1d](#page-44-0)). The spin-up run with a reduced aspect ratio $(l_{1.5})$ exhibits a lower (and decreas-²⁰ ing) LWP because of higher mixing across the inversion. The causal attribution relies on the fact that the differences in resolution and aspect ratio between the runs m , m_{fine} , l , and $l_{1.5}$ do not change BL TKE (Fig. [1a](#page-44-0)) and perturb the surface moisture flux much less (in the range \approx 5 W m $^{-2}$, Fig. [2b](#page-45-0)) than the total water flux across the inversion due to mixing (in the range of \approx 35 W m $^{-2}$, Fig. [1d](#page-44-0)). It is therefore the response of mixing across the inversion to ²⁵ resolution and aspect ratio that causes the different temporal evolution of LWP, rather than a response of the surface moisture flux or of boundary layer dynamics. To summarize, higher resolution in all dimensions reduces mixing across the inversion and drying of the boundary

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layer. A lower aspect ratio enhances mixing across the inversion and drying of the boundary layer. These findings indicate counteracting effects of vertical and temporal resolution vs. horizontal resolution on mixing at the inversion. Consequently, a model-specific aspect ratio range exists within which resolution-dependent effects sufficiently compensate each other ⁵ so that the model can produce results that are consistent with observations.

2.1.3 Final state (initial state of simulations)

Figures [3](#page-46-0) and [4](#page-47-0) compare the final state of the spin-up runs (initial state of the simulations) with observations from DYCOMS II RF01 [\(Stevens et al., 2005\)](#page-39-6). Liquid water potential temperature in the spin-up runs closely reproduces the observations (Fig. [3a](#page-46-0)) owing to nudging 10 at all heights (Appendix [A\)](#page-27-0) towards the DYCOMS II RF01 specification. Water variables (q_v, q_v) q_c, q_r, q_t) are not nudged in the boundary layer. Total water observations are reproduced by the spin-up runs m and l (Fig. [3b](#page-46-0)) with no domain size dependence. Resolution and aspect ratio have a small impact on total water in the BL (Sec. [2.1.2\)](#page-8-1): A higher resolution in all dimensions reduces drying of the BL, and total water increases; a reduced aspect ratio ¹⁵ enhances drying of the BL, and total water decreases.

Cloud water is reproduced in part by the spin-up runs m and l (Fig. [3c](#page-46-0)), and cloud base cloud water is underestimated. This underestimate may be a consequence of absence of drizzle in the spin-up runs, caused by disabled sedimentation and collision-coalescence. While domain size has no effect on cloud water, resolution and aspect ratio do: A higher ²⁰ resolution in all dimensions reduces drying of the BL, and cloud water increases; a reduced

aspect ratio enhances drying of the BL, and cloud water decreases (Sec. [2.1.2\)](#page-8-1). The reduced aspect ratio spin-up run $l_{1.5}$ misses the observed cloud water.

Vertical velocity variance (w^2) exhibits a weak dependence on domain size and resolu-tion and a stronger dependence on aspect ratio (Fig. [3d](#page-46-0)). The spin-up run $m_{\rm fine}$ reproduces 25 the observations best. The run $l_{1.5}$ exhibits the highest vertical velocity variance values among the spin-up runs, and overestimates mid-BL observations. The spin-up runs miss two isolated data points in the lower BL. The third moment of vertical velocity (w'^3) exhibits a weak dependence on domain size, resolution, and aspect ratio, with negative values

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throughout the BL (Fig. [4a](#page-47-0)). The negative values and the small differences between w'^3 indicate a similar closed-cell BL dynamical structure throughout the spin-up runs, with broad, slow updrafts in the cell centers, and narrow, stronger downdrafts along the cell periphery. The spin-up runs consistently underestimate observed w'^3 , which exhibits positive values in $_5$ the lower BL. The observed positive values of w'^3 in the lower BL indicate a stronger role of

the surface sensible heat flux in driving dynamics in the observed BL relative to the spin-up runs.

The vertical distribution of TKE shows little dependence on domain size, resolution, and aspect ratio (Fig. [4b](#page-47-0)). In contrast, TKE production by buoyancy weakens at higher resolution ¹⁰ and strengthens at a reduced aspect ratio (Fig. [4c](#page-47-0)). Because the TKE vertical profiles are nearly identical (Fig. [4b](#page-47-0)), the higher resolution spin-up runs dissipate TKE at a slower pace, and the reduced aspect ratio spin-up runs at a faster pace. Buoyancy production of TKE dominates dynamics of the boundary layer except in the lowermost 100 m, where shear production of TKE is strongest (Fig. [4d](#page-47-0)). Near-surface production of TKE by shear increases ¹⁵ at both higher resolution and reduced aspect ratio.

The comparison of total water, cloud water, and vertical velocity variance with DYCOMS II RF01 observations (Fig. [3b](#page-46-0), c, d) implies that at the aspect ratio of 1:10, competing effects in the model approximately compensate each other. The smaller aspect ratio of 1:5 produces a less favorable comparison with observations, owing to mixing at the inversion ²⁰ and associated excessive drying of the boundary layer. While recognizing uncertainty in the observations, we use the aspect ratio of 1:10 in the simulations in this work.

2.2 Simulations

The simulations are listed in Table [2;](#page-42-0) they are labeled with the letters M (medium) and L (large) which denote domain size. The simulations are initialized with the final state of the ²⁵ spin-up runs, on 11 July 2001 at 04:00:00 UT, and end on 12 July 2001 at 04:00:00 UT. The reference resolution is $dx = dy = 150$ m, $dz \approx 15$ m, $dt = 1.5$ s. Select simulations were conducted with double resolution (dx = dy = 75 m, dz \approx 7.5 m, dt = 0.75 s). We used the following geostrophic wind speeds: a low wind speed (U = 5.25 m s $^{-1}$, V = -4.13 m s $^{-1}$), the

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DYCOMS II RF01 wind speed (U = 7.00 m s⁻¹, V = -5.50 m s⁻¹, [Stevens et al., 2005\)](#page-39-6), and a high wind speed (U = 8.75 m s⁻¹, V = -6.88 m s⁻¹). High (low) geostrophic wind speed is indicated with $a + (-)$ superscript in the simulation symbol, respectively; the DYCOMS II RF01 geostrophic wind speed is denoted with the superscript 0. We conducted the following ⁵ sets of simulations:

- **–** To identify the effect of insolation over the diurnal cycle in the simulations M [−],0,+, we
	- ran simulations with perpetual night conditions $(M_{\text{dark}}^{-,0,+})$. Perpetual night conditions were implemented by disabling short-wave radiation.
- **–** To identify the effect of buoyant and shear production of TKE in the simulations L [−],0,+, α we conducted the simulations $\overline{L}_{\text{buoy}}^{-,0,+}$ with zero geostrophic wind speed, driven by spatially homogenized surface sensible heat and moisture fluxes from the simulations $L^{-,0,+}$, respectively. To factor out the small effect of spatial homogenization on boundary layer properties, we conducted the simulations $\overline{L}^{\,-,0,+}.$ These are otherwise identical to $L^{-,0,+}$ but are driven with the spatially homogenized surface sensible heat $_{^{15}}$ and moisture fluxes from $L^{-,0,+}$. In $\overline{L}^{-,0,+}$ (as in $L^{-,0,+}$), dynamics is driven by both buoyant production of TKE and by shear production of TKE due to the geostrophic wind. In $\overline{L}_{\text{buoy}}^{-,0,+}$, dynamics is driven only by buoyant production of TKE. The effect of buoyant and shear production of TKE is discussed based on the comparison of $\overline{L}^{\,-,0,+}$ and $\overline{L}_{\text{buoy}}^{-,0,+}$.
- ²⁰ Sedimentation and collision-coalescence of cloud droplets were allowed to proceed in the simulations.

3 Results and discussion

3.1 Wind speed and the diurnal cycle

This section identifies and explains the mechanisms by which wind speed acts on the evo-²⁵ lution of boundary layer growth, entrainment, decoupling, LWP, and cloud radiative effect (CRE) in the course of the diurnal cycle. Figures [5–](#page-48-0)[10](#page-53-0) show time series from the simula-Discussion PaperDiscussion Paper tions $M^{-0,0,+}$, which have a diurnal insolation cycle, and from the perpetual night simulations $M_{\mathsf{dark}}^{-,0,+}$. The time periods A, B, C, and N are highlighted to facilitate the discussion. Period A, which commences at sunrise, is characterized by a peak in LWP (Fig. [8a](#page-51-0)), period

⁵ B by a peak in cloud radiative effect (Fig. [8c](#page-51-0)), and period C, which ends at sunset, by a peak in decoupling (Fig. [7b](#page-50-0)). The period N covers 1h during nighttime (10:20 – 11:20 UT) when the surface sensible heat flux in the different wind speed simulations is nearly identical (Fig. [5b](#page-48-0)), so that its role as a dynamical driver can be factored out from the analysis.

3.1.1 Surface sensible heat and moisture fluxes and decoupling

- ¹⁰ Figure [5a](#page-48-0) shows the wind speed 10 m above sea level. In the simulations with a diurnal cycle $(M^{-0,+})$, the 10 m wind speed is suppressed during daytime relative to the simulations with perpetual night conditions $(M^{-,0,+}_{\rm dark})$. This daytime suppression increases with wind speed and is a result of daytime decoupling.
- All else equal but wind speed, the surface sensible heat and moisture fluxes would in-¹⁵ crease (decrease) with higher (lower) wind speed. This is not the case for the surface sensible heat flux: After an initial adjustment $(04:00 - 10:50 \text{ UT})$, the surface sensible heat flux is anti-correlated with wind speed (Fig. [5b](#page-48-0)). Wind speed and surface moisture flux are, however, correlated throughout the simulations (Fig. [5c](#page-48-0)). Both surface sensible heat and moisture fluxes are suppressed during daytime in the simulations with a diurnal cycle 20 (M ^{-,0,+}), relative to those without ($M_{\mathsf{dark}}^{-,0,\mathsf{+}}$).

To understand the wind speed response of the surface sensible heat and moisture fluxes, surface layer temperature and water vapor need to be considered in addition to wind speed. The surface sensible heat (F_{surface}^h) and moisture (F_{surface}^q) fluxes are calculated from the total horizontal wind speed $|U + V|$ in the surface layer:

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 $F_{\sf surface}^q \propto \Delta q_v | \bm{U} + \bm{V} |_{\sf surface} \quad .$

 U and V are the component vectors of the horizontal wind speed in the west-east and south-north directions, respectively; ΔT is the difference between the sea surface temper-5 ature and the surface layer air temperature; Δq_v is the difference between the saturation water vapor mixing ratio at the sea surface temperature and the surface layer water vapor mixing ratio. The drivers of the surface sensible heat and moisture fluxes are hence surface layer temperature, surface layer water vapor, and surface wind speed.

Figurea [5d](#page-48-0) and [6a](#page-49-0) show the evolution of surface layer temperature and water vapor. The ¹⁰ surface layer becomes warmer (Fig. [5d](#page-48-0)) and moister (Fig. [6a](#page-49-0)) with increasing wind speed. This surface warming and moistening counteracts the effect of higher wind speed on the surface sensible heat and moisture fluxes. In the case of the surface sensible heat flux (Fig. [5b](#page-48-0)) the effect of warming outbalances ($t \gtrsim$ 10:50 UT) the effect of higher wind speed, and the surface sensible heat flux becomes suppressed at higher wind speed. The sup-¹⁵ pression of the surface sensible heat flux by surface warming is magnified by insolation in the simulations with a diurnal cycle $(M^{-0,0,+})$, relative to those with perpetual night conditions $(M_{\mathsf{dark}}^{-,0,+})$. The surface moisture flux (Fig. [5c](#page-48-0)) is also reduced during daytime in the simulations with a diurnal cycle $(M^{-,0,+})$, relative to those with perpetual night conditions $(M^{-,0,+}_{\rm dark})$. We will first focus on the general surface warming and moistening with increasing ²⁰ wind speed and then discuss daytime warming and decoupling.

Figure [6b](#page-49-0) shows the heating of the boundary layer from absorption of short-wave radiation, Figure [6c](#page-49-0) the heating of the boundary layer from short- and long-wave radiation, latent heat release and uptake, and from the surface sensible heat flux. Following the initial adjustment (04:00 – 10:50 UT), higher wind speed acts to cool, while lower wind speed acts to ²⁵ warm the boundary layer via the combined action of these mechanisms. The general warming of the surface layer with wind speed (and of the boundary layer as a whole, not shown) therefore arises by the remaining mechanism, enhanced entrainment of warm air from the free troposphere at higher wind speed. Indeed, the boundary layer grows faster and thereby entrains more FT air at higher wind speed: Figure [6d](#page-49-0) shows the temporal evolution of the

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mean inversion height \overline{z}_i as a function of wind speed, and Figure [7a](#page-50-0) the associated mean entrainment velocity

$$
w_e = \frac{\mathrm{d}\overline{z}_i}{\mathrm{d}t} + \delta \cdot \overline{z}_i \tag{5}
$$

The general moistening of the surface layer with wind speed (Fig. [6a](#page-49-0)) is caused by a higher ⁵ surface moisture flux (Fig. [5c](#page-48-0)) in response to higher surface wind speed (Fig. [5a](#page-48-0)), and in part by greater dynamical decoupling of the cloud layer and the surface at higher wind speed. We quantify decoupling with the decoupling index

$$
\frac{\overline{z}_b - \overline{z}_{\text{LCL}}}{\overline{z}_b} \quad , \tag{6}
$$

where \bar{z}_b is the mean cloud base altitude and \bar{z}_L _{CL} the mean lifting condensation level ¹⁰ altitude. Decoupling renders vertical transport of moisture from the surface to cloud base less efficient. Since decoupling increases with wind speed (Fig. [7b](#page-50-0)), it contributes to higher surface moisture at higher wind speed.

During daytime, the surface layer is warmer and the boundary layer more decoupled in the simulations $M^{-,0,+}$ relative to the simulations $M_{\mathsf{dark}}^{-,0,+}$ (Fig. [5d](#page-48-0), [7b](#page-50-0)). The mechanism ¹⁵ underlying daytime decoupling [\(Turton and Nicholls, 1987\)](#page-39-10) has been documented [\(Wood,](#page-40-4) [2012,](#page-40-4) and references therein). The daytime surface warming is caused by insolation rather than by entrainment warming, because entrainment weakens during daytime in the simulations $M^{\,-,0,+}$ relative to $M_{\rm dark}^{\,-,0,+}$ (Fig. [7a](#page-50-0)). In response to the warmer surface layer, the daytime surface sensible heat flux is suppressed in the simulations $M^{\,-,0,+}$ relative to $M_{\rm dark}^{\,-,0,+}.$ ²⁰ Daytime decoupling is also clearly apparent in surface layer moisture (Fig. [6a](#page-49-0)) in the diurnal cycle simulations $M^{-,0,+}$ when compared with the perpetual night simulations $M^{-,0,+}_{\rm dark}$: the simulations $M^{-0,0+}$ produce a moister daytime surface layer relative to the simulations $M_{\mathsf{dark}}^{-,0,+}$, despite a lower daytime surface moisture flux (Fig. [5c](#page-48-0)). This enhanced moistening of the surface layer suppresses the daytime surface moisture flux (Fig. [5c](#page-48-0)) via Equation [4.](#page-14-0)

3.1.2 Wind speed as driver of boundary layer growth and entrainment

Here we identify the mechanism by which higher wind speed drives faster boundary layer growth and stronger entrainment. Since increasing wind speed results in higher LWP (Fig. [8a](#page-51-0)), one may be led to assume that at higher wind speed, stronger cloud top long-wave ⁵ emissions drive additional TKE production, which in turn drives stronger entrainment. However, LW cooling of stratocumulus clouds becomes insensitive to LWP for 50 g m⁻² \lesssim LWP \leq 250 g m $^{-2}$ [\(Petters, 2009\)](#page-38-9). We will show that wind speed drives faster boundary layer growth and stronger entrainment by enhancing TKE production from latent heat release in cloud layer updrafts. Figure [11](#page-54-0) shows LW heating (a), latent heating (b), and TKE net production 10 by buoyancy (c) and shear (d) as functions of the normalized height z/\overline{z}_i , averaged over the period N (10:20 – 11:20 UT) from the simulations $M^{-0,0+}$, separated by updrafts and downdrafts. The surface sensible heat flux is nearly identical in the different wind speed simulations in period N (Fig. [5b](#page-48-0)), and its role can be factored out from the analysis. The conclusions are valid at later times, because increasing wind speed suppresses the sur-¹⁵ face sensible heat flux following the period N (Fig. [5b](#page-48-0)), so that the surface sensible heat flux cannot account for higher boundary layer growth and stronger entrainment. The layers L_1 (0.925 $\leq z/\overline{z}_i$ < 1.0375) and L₂ (0.625 $\leq z/\overline{z}_i$ < 0.925) are highlighted to facilitate the discussion. Layer L_1 contains most of the LW cooling (Fig. [11a](#page-54-0)) and some latent heating and cooling (Fig. [11b](#page-54-0)). In layer L_2 , latent heating/cooling dominates over LW heating/cooling. ₂₀ LW heating/cooling does not respond to wind speed (Fig. [11a](#page-54-0)). Latent cooling of down-

drafts in layer L_1 shows no systematic response to wind speed, while latent heating of updrafts in layer L_1 shows a weak increase with wind speed (Fig. [11b](#page-54-0)). This absence of a clear wind speed signal in layer L_1 turns the focus to layer L_2 . In its lower part, latent heating increases more in the updrafts than latent cooling in the downdrafts in response to ²⁵ increasing wind speed. More importantly, TKE net production by buoyancy shows a positive response to wind speed only in the updrafts of layer L_2 (Fig. [11c](#page-54-0)). Since in layer L_2 , latent heating/cooling dominates over LW heating/cooling, we deduce that wind speed drives boundary layer growth and entrainment by boosting latent heat release and buoyant proDiscussion Paper

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duction of TKE in cloud updrafts, in response to the higher surface moisture flux at higher wind speed. This mechanism also proceeds in the initial stage of the transition from a stratocumulus to a shallow cumulus cloud state, in which an increasing sea surface temperature drives a stronger surface moisture flux, which in turn increases TKE production ⁵ [b](#page-38-10)y latent heat release at cloud level and thereby entrainment [\(Bretherton, 1992;](#page-36-9) [Krueger](#page-38-10) [et al., 1995a,](#page-38-10) [b;](#page-38-11) [Bretherton and Wyant, 1997;](#page-36-10) [Wyant et al., 1997\)](#page-40-5). Here it does, however, not initiate the dissipation of the stratocumulus deck.

Figure [11d](#page-54-0) shows TKE net production by shear. Near the surface, shear drives a stronger circulation at higher wind speed. At the inversion, in layer L_1 , shear also contributes to ¹⁰ a stronger circulation with increasing wind speed. This latter contribution could enhance entrainment at higher wind speed, although it is clearly weaker than the increase with wind speed of TKE net production from buovancy in updrafts of layer $L₂$ (Fig. [11c](#page-54-0)). The role of buoyancy- and shear production of TKE in driving circulation and the evolution of the boundary layer will be discussed in Section [3.2,](#page-19-0) where we show that buoyant production of ¹⁵ TKE is the fundamental mechanism of enhanced boundary layer growth and entrainment

at higher wind speed.

3.1.3 Wind speed and LWP, cloud fraction, and cloud radiative effect

Figure [8](#page-51-0) (a, b, c) shows LWP, cloud fraction, and total (short-wave + longwave) cloud radiative effect (CRE) from the simulations M $^{-,0,+}$ and $M_{\mathsf{dark}}^{-,0,+}$. LWP increases with wind speed

- $_{\rm 20}$ $\,$ (Fig. [8a](#page-51-0)) throughout the perpetual night simulations $(M_{\rm dark}^{-,0,+})$, via the wind speed enhancement of the surface moisture flux (Fig. [5c](#page-48-0)). Insolation suppresses LWP during daytime in the simulations $M^{-0, +}$, which creates a LWP peak in the early morning hours (period A). Both LWP morning peak and daytime suppression increase with wind speed. Wind speed hence accentuates the diurnal LWP cycle by expanding the morning - afternoon contrast. Cloud
- ²⁵ fraction (Fig. [8b](#page-51-0)) is suppressed during daytime by insolation as well. At higher resolution, daytime suppression of cloud fraction becomes smaller and less dependent on wind speed, while our other findings remain unaffected (Appendix [C\)](#page-34-0).

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LWP, cloud fraction, and insolation co-determine the CRE (Fig. [8c](#page-51-0)). Neither factor dominates, and CRE peaks in period B, following the morning LWP peak (period A, Fig. [8a](#page-51-0)) but before the midday insolation peak (early period C, Fig. [6b](#page-49-0)). At the time of the CRE peak, LWP is enhanced at higher wind speed (Fig. [8a](#page-51-0)), hence the CRE strengthens with ⁵ wind speed. In the course of the day, however, LWP (Fig. [8a](#page-51-0)) and cloud fraction (Fig. [8b](#page-51-0)) fall more rapidly at higher wind speed, and afternoon CRE values (period C) at high wind speed approach those of the low and reference wind speed simulations.

Table [3](#page-43-0) gives the total (short-wave + longwave) top-of-atmosphere CRE averaged over the 24 h duration of the simulations, from the simulations $M^{-,0,+}$ with the reference resolu- $_{10}$ tion, and from the simulations $M_{\rm fine}^{-,0,+}$ with double resolution in each dimension. The diurnally averaged CRE increases with wind speed at both resolutions. However, with increasing wind speed, CRE becomes less sensitive to wind speed. The reason is the stronger suppression of LWP in the afternoon at higher wind speed, which also suppresses the CRE (Fig. [8c](#page-51-0)). Notably, both the diurnally averaged CRE and its response to wind speed depend ¹⁵ on resolution. The effect of resolution is documented in Appendix [C.](#page-34-0)

3.1.4 Wind speed enhanced daytime suppression of LWP

We will now examine the enhanced daytime LWP suppression at higher wind speed in the simulations $M^{-0.0+}$ (Fig. [8a](#page-51-0)). We will conclude that during daytime, despite higher decoupling and a reduced moisture flux in cloud base updrafts at higher wind speed, buoyant TKE ²⁰ production in cloud level updrafts increases with wind speed and drives additional entrainment, causing stronger cloud water evaporation and LWP reduction. We shall first discuss daytime decoupling and proceed to the action of wind speed.

Insolation in the simulations $M^{-0,0+}$ warms the cloud layer (Fig. [7c](#page-50-0)) more than the subcloud layer (Fig. [7d](#page-50-0)), because absorption by cloud water and water vapor at cloud level re-²⁵ duces the amount of short-wave radiation reaching the sub-cloud layer. The warming of the cloud layer reduces LWP (Fig. [8a](#page-51-0)) and stabilizes the boundary layer. Daytime TKE production falls in the simulations $M^{-,0,+}$ (Fig. [9b](#page-52-0)) mainly as a result of reduced TKE production by buoyancy (Fig. [9c](#page-52-0)), as TKE production by shear varies only weakly during daytime (Fig. [9d](#page-52-0)).

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The resulting warmer (Fig. [5d](#page-48-0)), more weakly driven (Fig. [9b](#page-52-0)), and more decoupled (Fig. [7b](#page-50-0)) daytime circulation in the simulations $M^{-0, +}$ suppresses surface wind speed (Fig. [5a](#page-48-0)) and the surface sensible heat and moisture flux (Fig. [5b](#page-48-0), c) relative to the simulations $M_{\text{dark}}^{-,0,+}$. Still, a higher wind speed drives a higher surface moisture flux in the simulations $M^{-,0,+}$

- ⁵ (Fig. [5c](#page-48-0)), as well as a higher cloud base moisture flux (Fig. [8d](#page-51-0)). However, the moisture flux in cloud base updrafts decreases with increasing wind speed in the simulations $M^{-0,+}$ during the daytime period B and C (Fig. [9a](#page-52-0)). Nonetheless, TKE production by buoyancy in cloud layer updrafts increases with wind speed during the period B and C (Fig. [10a](#page-53-0)), and is the only buoyancy-driven TKE production term that increases with wind speed at all times
- ¹⁰ (Fig. [10\)](#page-53-0). Hence, wind speed drives boundary layer growth and entrainment by boosting buoyant production of TKE in cloud updrafts even in decoupled daytime conditions, when stronger decoupling at higher wind speed leads to a reduced moisture flux in cloud base updrafts. Although the buoyant production of TKE due to latent heat release in cloud layer updrafts drives additional entrainment at higher wind speed, the associated formation of
- ¹⁵ cloud water is insufficient to compensate cloud water evaporation from higher entrainment drying and warming at higher wind speed, and LWP is progressively suppressed during daytime as wind speed increases (Fig. [8a](#page-51-0)). This mechanism could potentially assist in the transition from stratocumulus to shallow cumulus along a gradient in sea surface temperature [\(Bretherton, 1992;](#page-36-9) [Bretherton and Wyant, 1997;](#page-36-10) [Wyant et al., 1997\)](#page-40-5).

²⁰ **3.2 Buoyancy- and shear-driven dynamics**

This section discusses the role of buoyancy- and shear-driven dynamics (due to the geostrophic wind) for the properties and evolution of the boundary layer. The goal is to identify the role of buoyancy- and shear-driven dynamics in boundary layer growth and entrainment at different wind speeds, and the mechanism by which shear due to the geostrophic wind modulates ²⁵ decoupling in the course of the diurnal cycle. We limit the discussion to phenomenological aspects of buoyancy and shear effects, noting that the interaction of buoyancy- and sheardriven dynamics and the underlying mechanisms and causal relationships lend themselves to in-depth investigation beyond the scope of this work.

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3.2.1 Dynamical support of boundary layer growth and entrainment

Figures [12](#page-55-0)[–14](#page-57-0) show time series from the simulations $\overline{L}_{\text{buoy}}^{-,0,+}$ and $\overline{L}^{-,0,+}$. The 10 m wind speed in the simulations $\overline{L}_{\text{buoy}}^{-,0,+}$ has no geostrophic component and is hence lower than in the simulations $\overline{L}^{\,-,0,+}$ (Fig. [12a](#page-55-0)), while as prescribed, the surface sensible heat and mois-⁵ ture fluxes are identical (Fig. [12b](#page-55-0), c). The action of buoyancy- and shear-driven dynamics appears in the decoupling index, inversion height, entrainment velocity, and LWP (Fig. [13\)](#page-56-0). During the night and in the morning, $\overline{L}_{\text{buoy}}^{-,0,+}$ is characterized, relative to $\overline{L}^{-,0,+}$, by faster boundary layer growth (Fig. [13b](#page-56-0)) and stronger entrainment (Fig. [13c](#page-56-0)). It is hence buoyancydriven dynamics, rather than shear-driven dynamics that translates faster geostrophic wind ¹⁰ into faster boundary layer growth and stronger entrainment during the night and in the morning. This becomes most apparent by considering entrainment velocity w_e (Eq. [5\)](#page-15-0) averaged over period N (Fig. [13c](#page-56-0)): In the simulations with buoyancy-driven dynamics $(\overline{L}_{\text{buoy}}^{-0,+})$, w_e increases from $\overline{L}_{\text{buoy}}^-$ to $\overline{L}_{\text{buoy}}^0$ by 0.40 mm s $^{-1}$, and from $\overline{L}_{\text{buoy}}^0$ to $\overline{L}_{\text{buoy}}^+$ by 0.52 mm s $^{-1}$. Including the effect of shear from geostrophic wind $(\overline{L}^{-,0,+})$ reduces the response of w_e $_{^{15}}$ to wind speed: it only increases from \overline{L}^{-} to \overline{L}^{0} by 0.35 mm s $^{-1},$ and from \overline{L}^{0} to \overline{L}^{+} by 0.42 mm s⁻¹. Since the surface sensible heat flux is nearly independent of wind speed during period N (Fig. [12b](#page-55-0)), enhanced boundary layer growth and entrainment at higher wind speed can be exclusively tied to higher TKE production from latent heat release in cloud updrafts at higher wind speed (Sec. [3.1.2\)](#page-16-0), with overall TKE production from shear due to ²⁰ the geostrophic wind speed acting against it. This analysis does not distinguish between the effects of higher shear production of TKE at higher wind speed at the surface and at the inversion (Fig. [11d](#page-54-0)), and therefore does not determine whether shear production of TKE at the inversion due to geostrophic wind supports entrainment, as locally generated shear does [\(Wang et al., 2008,](#page-39-7) [2012;](#page-39-8) [Katzwinkel et al., 2012;](#page-38-5) [Mellado et al., 2014\)](#page-38-6). ²⁵ A more complex picture of the role of buoyancy- and shear-driven dynamics emerges in the afternoon (period C). At low and reference wind speed, shear due to geostrophic wind renders the boundary layer more decoupled, but the opposite is the case at high wind speed

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C at all wind speeds (Fig. [13d](#page-56-0)). Shear production of TKE due to geostrophic wind therefore modulates decoupling and thereby the transport of moisture between the surface and cloud base in the course of the day.

3.2.2 Modulation of decoupling by geostrophic wind in the course of the diurnal ⁵ **cycle**

During nighttime and for several hours into daytime, the boundary layer is more decoupled in the simulation $\overline{L}^{-,0,+}$ compared to the simulations $\overline{L}^{-,0,+}_{\text{buoy}}$ (Fig. [13a](#page-56-0)). Since in the simulations $\overline{L}_{\text{buoy}}^{-,0,+}$, TKE is not produced from shear due to geostrophic wind, the cause of higher nighttime decoupling at higher wind speed is stronger entrainment (Fig. [13c](#page-56-0)). A role ¹⁰ of boundary layer deepening with wind speed (Fig. [13b](#page-56-0)) can be excluded because nighttime

- decoupling remains nearly constant at each wind speed (Fig. [13a](#page-56-0)), despite a progressive deepening of the boundary layer. Consequently, the cause of higher nighttime decoupling at higher wind speed in the simulations $\overline{L}^{-,0,+}$ is the combined action of stronger entrainment and stronger production of TKE from shear due to geostrophic wind. In the afternoon (pe-
- ¹⁵ riod C), however, shear from geostrophic wind acts to maintain higher LWP relative to the simulations without shear (Fig. [13d](#page-56-0)), and in the high wind speed case, reduces decoupling (Fig. [13a](#page-56-0)).

We will identify the mechanism underlying the modulation of boundary layer decoupling by shear-driven dynamics due to geostrophic wind. The decoupling index (Eq. [6\)](#page-15-1) is defined ²⁰ based on the altitude difference between the lifting condensation level and cloud base. The decoupling index is > 0 when the sub-cloud layer circulation does not efficiently transport moisture from the surface to cloud base. Noting that in the sub-cloud layer, $q_v = q_t$, we shall

 $\zeta_{a} \doteq -d\overline{q_t}/dz$ $\dot{=}-d\overline{q_{t}}/dz$, (7)

employ the quantity

²⁵ the negative value of the vertical gradient of the horizontally averaged total water mixing ratio $\overline{q_t}$, to measure the efficiency of vertical moisture transport. $\zeta_{q_t}=0$ indicates perfect

mixing, $\zeta_{\alpha t} > 0$ inefficient upward moisture transport by resolved scale dynamics, subgridscale mixing, and physical and numerical diffusion.

Figure [15](#page-58-0) shows vertical profiles averaged over the period N (10:20 – 11:20 UT) from the simulations $\overline{L}^{-,0,+}$ and $\overline{L}^{-,0,+}_{\text{buoy}}$. During this period, shear due to geostrophic wind causes ₅ higher decoupling (Fig. [13a](#page-56-0)). Total water q_t (Fig. [15a](#page-58-0)) and ζ_{q_t} (Fig. [15b](#page-58-0)) show that the efficiency of upward moisture transport in the sub-cloud layer decreases with increasing wind speed or in the presence of shear-driven dynamics due to the geostrophic wind: As wind speed increases or when shear due to geostrophic wind is present, more moisture accumulates near the surface (Fig. [15a](#page-58-0)), and ζ_{α} assumes larger values (Fig. [15b](#page-58-0)). The ¹⁰ cause of reduced efficiency of vertical moisture transport in the sub-cloud layer is hence shear due to geostrophic wind. Near the surface (in layer L_3), shear from geostrophic wind also suppresses buoyant production of TKE (Fig. [15c](#page-58-0)).

Figure [16](#page-59-0) shows vertical profiles averaged over the afternoon period 22:30 – 23:30 UT from the simulations $\overline{L}^{-,0,+}$ and $\overline{L}_{\text{buoy}}^{-,0,+}$. In this period, shear due to geostrophic wind re- $_{^{15}}\;$ duces decoupling at high wind speed (Fig. [13a](#page-56-0)). This shapes the q_t profiles (Fig. [16a](#page-59-0)): q_t is higher near the surface in the simulations $\overline{L}^{-,0}$ than in the simulations $\overline{L}^{-,0}_{\rm buoy}$; at high wind speed however, q_t is lower near the surface in the simulation $\overline{L}{}^+$ than in the simulations $\overline{L}_{\text{buoy}}^+$. At high wind speed, the ζ_{q_t} profile (Fig. [16a](#page-59-0)) shows lower values in the sub-cloud layer in the presence of shear relative to in its absence. Hence at this time of day, at high ²⁰ wind speed, shear caused by geostrophic wind renders vertical moisture transport in the sub-cloud layer more efficient.

Shear due to geostrophic wind has little effect on TKE net production due to buoyancy in the afternoon period 22:30 – 23:30 UT (Fig. [16c](#page-59-0)) except at high wind speed $(\overline{L}{}^+$ and $\overline{L}_{\text{buoy}}^{+}$), where it suppresses TKE net production due to buoyancy to values close to zero $_{25}$ in the sub-cloud layer (L₃), while enhancing it at cloud level (L₂). With the very low TKE production due to buoyancy in the sub-cloud layer in the high wind speed conditions, shear due to geostrophic wind becomes the dominant source of TKE at high wind speed between the surface and cloud base (layer L_3 , Fig. [16d](#page-59-0)). The conclusion is that with increasing wind speed at strong decoupling (Period C in Fig. [13a](#page-56-0)), the shear-driven circulation due to

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geostrophic wind takes over from buoyancy-driven circulation in maintaining vertical moisture transport in the sub-cloud layer. By securing moisture transport between the surface and cloud base, it helps maintain LWP and supports buoyant TKE production at cloud level: In cloud updrafts (layer L_2), the highest TKE net production by buoyancy is present in the $_5$ high wind speed simulation $\overline{L}{}^+$ (Fig. [16c](#page-59-0)).

3.2.3 Cloud layer updrafts as dynamical drivers of entrainment

A corollary of the comparison between the simulations without $(\overline{L}_{\text{buoy}}^{-,0,+})$ and with $(\overline{L}^{-,0,+})$ shear due to geostrophic wind is that boundary layer growth and entrainment are not tied to total boundary layer TKE: Over much of the diurnal cycle, dynamics in the simulations $_{10}$ $\quad \overline{L}_{\text{buoy}}^{-,0,+}$ supports faster boundary layer growth and higher entrainment (Fig. [13b](#page-56-0), c), although boundary layer TKE is lower relative to the simulations $\overline{L}^{-,0,+}$ (Fig. [14a](#page-57-0)). The additional boundary layer TKE in the simulations $\overline{L}^{-,0,+}$, relative to the simulations $\overline{L}^{-,0,+}_{\text{buoy}}$, originates from shear production due to the geostrophic wind. Hence features of boundary layer dynamics that are not represented by total boundary layer TKE constitute the key driver of ¹⁵ entrainment. Fig. [14b](#page-57-0) shows the time series of the TKE vertical component

$$
\mathsf{TKE}_w = \frac{w'^2}{2} \tag{8}
$$

in updrafts of the cloud layer. Comparison of TKE_w (Fig. [14b](#page-57-0)) and of entrainment velocity w_e (Fig. [13c](#page-56-0)) shows that these two quantities behave in unison in the course of the diurnal cycle, in response to wind speed, and in response to the presence of shear due to geostrophic ₂₀ wind: In nighttime conditions, and for several hours into daytime, both TKE_w and w_e increase with wind speed, but are suppressed by the action of shear due to geostrophic wind. Both quantities experience a suppression during daytime (Period C). During Period C at high wind speed, shear due to geostrophic wind increases both TKE_w and w_e , in contrast to its suppressing effect in nighttime conditions.

²⁵ In Section [3.1.2,](#page-16-0) we found that wind speed drives boundary layer growth and entrainment by boosting buoyant production of TKE from latent heat release in cloud updrafts. This,

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together with the concomitant behavior of cloud updraft TKE_w and w_e in the course of the diurnal cycle, in response to wind speed, and to the presence/absence of shear due to geostrophic wind suggests that cloud layer updrafts are a key dynamical driver of boundary layer growth and entrainment in stratocumulus clouds. However, because the evolution and 5 behavior of cloud updraft w_e and TKE_w is not identical (Fig. [13c](#page-56-0), [14b](#page-57-0)), additional factors determining the entrainment velocity w_e likely exist.

4 Conclusions

Observations have identified global and regional trends towards faster surface wind speed over the oceans in the 20th century [\(Young et al., 2011;](#page-40-3) [Hande et al., 2012;](#page-37-6) [Bertin et al.,](#page-36-8) ¹⁰ [2013;](#page-36-8) [Servain et al., 2014\)](#page-39-5). These trends are not necessarily a consequence of climate change, but could arise from internal climate variability [\(Dobrynin et al., 2015\)](#page-37-7). Concurrently, climate simulations predict changes in ocean wind speeds in the course of the 21st century [\(McInnes et al., 2011;](#page-38-4) [Hemer et al., 2013\)](#page-37-8). Wind speed drives the surface fluxes of sensible heat, moisture, and momentum, and thereby impacts cloud liquid water path and cloud ¹⁵ radiative properties. Long-term changes in large scale wind speed and the associated cloud response therefore constitute a cloud-climate feedback mechanism, with the potential to impact Earth's radiation budget, the formation of precipitation, and the effect of aerosol on clouds.

We have investigated the response of non-precipitating, overcast marine stratocumulus ²⁰ clouds to changes in large scale wind speed, all else equal, over the course of a diurnal cycle. The goal of the investigation was to identify and explain the dynamical processes by which wind speed acts on the evolution of boundary layer growth, entrainment, decoupling, liquid water path (LWP), and cloud radiative effect. Furthermore, we explored the role of buoyancy- and shear-driven dynamics for boundary layer growth, entrainment, decoupling, ²⁵ and LWP at different wind speeds. We focused on the dynamical rather than the microphysical response of the stratocumulus-topped boundary layer to changes in wind speed, and excluded the effect of wind speed on surface aerosol production and loss.

We used cloud-system resolving simulations which were initialized with boundary layer properties, cloud properties, and dynamics from observations. Owing to identical initial and boundary conditions, the simulations are suited to identify and characterize the mechanisms by which the stratocumulus-topped marine boundary layer responds on the time scale of

- ⁵ a diurnal cycle to different wind speeds. However, they do not represent a stratocumulustopped marine boundary layer in a future climate at different wind speeds, which would require initial and boundary conditions that are consistent with the chosen climate and wind speeds. The results and their analysis are therefore specific for the cloud state and the initial and boundary conditions considered.
- ¹⁰ We find that higher wind speed leads to faster boundary layer growth and entrainment. The dynamical driver is enhanced buoyant production of turbulence kinetic energy (TKE) from latent heat release in cloud updrafts. Concomitant behavior of the cloud updraft vertical component of TKE and of entrainment velocity in the course of the diurnal cycle, in response to wind speed, and in response to the presence or absence of shear due to geostrophic ¹⁵ wind suggests that cloud updrafts are a key dynamical driver of boundary layer growth and entrainment in stratocumulus clouds. However, additional factors determining entrainment likely exist.

Higher wind speed enhances LWP during the night and in the morning, and more strongly suppresses it later in the day. Wind speed hence accentuates the diurnal LWP cycle by ex-²⁰ panding the morning - afternoon contrast. The higher LWP at higher wind speed does not, however, enhance cloud top cooling because in clouds with LWP \gtrapprox 50 g m⁻², long wave emissions are insensitive to LWP. This leads to the general conclusion that in sufficiently thick stratocumulus clouds, additional boundary layer growth and entrainment due to a boundary layer moistening arises by stronger production of TKE from latent heat release in ²⁵ cloud updrafts, rather than from enhanced longwave cooling.

We find that large scale wind modulates boundary layer decoupling. At nighttime and at low wind speed during daytime, it enhances decoupling in part by faster boundary layer growth and stronger entrainment, and in part because circulation driven by shear from large scale wind in the sub-cloud layer hinders vertical moisture transport between the surface

⁵ The total (short-wave + longwave) cloud radiative effect (CRE) is sensitive to wind speed in our diurnal cycle simulations: The enhancement of LWP by wind speed during the night and in the morning results in a stronger diurnally averaged CRE. The CRE response to wind speed depends on the time of the day. It is strongest shortly before noon, when CRE peaks, and becomes weaker later in the day owing to the wind speed-enhanced daytime ¹⁰ suppression of LWP. The compensation between nighttime/morning LWP enhancement and suppression later in the day makes the diurnally averaged CRE less sensitive to wind speed as wind speed increases.

On longer (climatic) time scales, wind speed may act differently on the CRE than in the course of one diurnal cycle. We hypothesize that on longer time scales, a higher wind ¹⁵ speed would also render the nighttime, non-precipitating, stratocumulus-topped boundary layer more decoupled, and less decoupled at lower wind speed. During daytime, the effect of wind speed on decoupling on longer time scales will depend on whether production of turbulence in the sub-cloud layer by shear from large scale wind supports or suppresses vertical moisture transport from the surface to cloud base. The response may depend on ²⁰ local conditions. Key questions are how future changes in large scale wind speed will modify cloud properties and the CRE on longer time scales, and how their effect compares to the effect of changes in sea surface temperature, atmospheric moisture, $CO₂$ content, subsidence strength, and inversion stability.

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Appendix A: Nudging

We used Newtonian relaxation (e.g., [Jeuken et al., 1996\)](#page-37-10) of select prognostic variables to generate conditions (temperature, water content, dynamics, cloud properties) in the final state of spin-up runs that are consistent with DYCOMS II RF01 observations [\(Stevens et al.,](#page-39-6) ⁵ [2005\)](#page-39-6). The final state of spin-up runs initializes the simulations. This has the advantage (relative to an initialization with a static initial state with a prescribed total water content, present as water vapor, and with a zero cloud water content) that the simulations are not biased by the model working to establish dynamics and a cloud deck from a static state. In the simulations, we used nudging to ensure that free tropospheric potential temperature and ¹⁰ water vapor do not drift due to subsidence, which would, among other things, increase the potential temperature jump across the inversion, and affect the boundary layer underneath. We distinguish soft and hard nudging. In soft nudging, the nudging term of a quantity X

is

$$
\frac{\Delta_{\text{nudging}} X(x, y, z, t)}{\Delta t} = -\frac{\overline{X}(z, t) - X_{\text{target}}(z)}{\tau_X} \tag{A1}
$$

15 It is calculated from the deviation of the horizontal mean $\overline{X}(z,t)$ from the target vertical profile $X_{\text{target}}(z)$. τ_X is the nudging time constant, Δt the model time step. In hard nudging, the nudging term is calculated from the local deviation $X(x,y,z,t)$ from the target vertical profile $X_{\text{target}}(z)$:

$$
\frac{\Delta_{\text{nudging}} X(x, y, z, t)}{\Delta t} = -\frac{X(x, y, z, t) - X_{\text{target}}(z)}{\tau_X} \quad . \tag{A2}
$$

 20 In either soft and hard nudging the nudging term is passed as a tendency of X to the dynamical core of the model.

The distinction between soft and hard nudging can be motivated by considering potential temperature: Under soft nudging, the surface sensible heat flux, dynamics, cloud processes, and radiative heating/cooling are permitted to modify potential temperature locally under the

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constraint that the domain mean profile is maintained at its target value. In hard nudging, the action of these processes would be suppressed and potential temperature would maintain the target profile locally. The choice between soft and hard nudging will depend on the preferred target state.

⁵ **A1 Spin-up runs**

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Potential temperature $\theta(x,y,z,t)$ was soft-nudged at each location (x,y,z) in the boundary layer and free troposphere in proportion to the deviation of the horizontal (z) mean liquid water potential temperature $\overline{\theta}_l(z,t)$ from the initial liquid water potential temperature $\theta_l(z, t = 0)$:

$$
\frac{\Delta_{\text{nudging}}\theta(x, y, z, t)}{\Delta t} = -\frac{\overline{\theta}_l(z, t) - \theta_l(z, t = 0)}{\tau_\theta}
$$
\n(A3)

We calculated the nudging term of θ from θ_l because $\theta_l(z, t = 0) = \theta(z, t = 0)$ and because θ_l is conserved under water phase changes. In other words, θ is nudged to maintain $\theta_l(z,t)$ at its initial value $\theta_l(z, t = 0)$. We applied hard nudging to water vapor (q_v) in the free troposphere (10 m higher than the inversion):

$$
\frac{\Delta_{\text{nudging}}q_v(x,y,z,t)}{\Delta t} = -\frac{q_v(x,y,z,t) - q_t(z,t=0)}{\tau_{q_v}}
$$
\n(A4)

In the boundary layer, the water variables (water vapor q_v , cloud water q_c , rain water q_r , and total water $q_t = q_v + q_c + q_r$) were not nudged in order allow the nudged mean potential temperature profile, the surface sensible heat fluxes, dynamics, radiative heating/cooling, and cloud microphysics determine their evolution.

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(A6)

Hard nudging was applied in the following manner to the interstitial aerosol number N_m and mass $M_{m,n}$, both in the boundary layer and the free troposphere:

$$
\frac{\Delta_{\text{nudging}} \widetilde{N}_m(x, y, z, t)}{\Delta t} = -\frac{N_m(x, y, z, t) - N_m(t = 0)}{\tau_{\text{aerosol}}}
$$
\n
$$
\frac{\Delta_{\text{nudging}} \widetilde{M}_{m,n}(x, y, z, t)}{\Delta t} = -\frac{M_{m,n}(x, y, z, t) - M_{m,n}(t = 0)}{\tau_{\text{aerosol}}}
$$
\n(A5)

The index m denotes the three log-normal aerosol modes (Aitken, accumulation, and coarse), 5 the index n the chemical species. N_m and $M_{m,n}$ are the total aerosol number and mass, $N_m(t=0)$ and $M_{m,n}(t=0)$ their initial (and nudging target) values, respectively. Although the nudging term is calculated from the total aerosol number and mass, it is applied to interstitial aerosol only to allow cloud microphysics determine the aerosol number and mass residing inside cloud and rain drops. In the spin-up runs, the Aitken and coarse modes ¹⁰ were held at zero number and mass. The accumulation mode number was nudged towards 300 mg $^{-1}$, containing 4.74 μ g kg $^{-1}$ of sulfate, with a dry geometric mean (median) diameter of 200 μ m and a geometric standard deviation of 1.5. We used the time constants $\tau_{\theta} = \tau_{q_v} = \tau_{\text{aerosol}} = 300 \text{ s}.$

A2 Simulations

¹⁵ We applied soft nudging to potential temperature and water vapor in the free troposphere of the simulations:

$$
\frac{\Delta_{\text{nudging}}\theta(x, y, z, t)}{\Delta t} = -\frac{\theta(z, t) - \theta(z, t = 0)}{\tau_{\theta}}
$$

$$
\frac{\Delta_{\text{nudging}}q_v(x, y, z, t)}{\Delta t} = -\frac{\overline{q}_v(z, t) - q_t(z, t = 0)}{\tau_{q_v}}
$$

In this soft nudging, potential temperature and water vapor are nudged only to the extent that their horizontal mean values deviate from the initial profiles, and are otherwise allowed

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to vary in response to dynamics and radiative heating/cooling. Still, as a precaution, the nudging is applied only 50 m or higher above the inversion, so as to not to interfere with processes at the inversion. No nudging is performed in the boundary layer and below 50 m above the inversion. The nudging scheme accommodates changes in inversion height: the ⁵ altitude above which nudging is applied moves up and down with the inversion. Should the inversion descend below its initial height, potential temperature and water vapor are nudged towards linear extrapolations of their initial free tropospheric profiles. This does not occur in the simulations in this work. We used the time constants $\tau_{\theta} = \tau_{q_v} = 300$ s. In contrast to the spin-up runs, aerosol number and mass are not nudged in the simulations.

¹⁰ **Appendix B: Boundary layer water budget**

The boundary layer water budget equation is derived. (x, y, z) form a right-handed Cartesian coordinate system with z pointing up; t is the time. The boundary layer mean water mass path (vertically integrated water mass per horizontal area) is

$$
Q(t) = \frac{1}{A} \iint\limits_A dx \, dy \int\limits_0^{z_i(x,y,t)} dz \, \rho(x,y,z,t)
$$
 (B1)

¹⁵ where ρ is the volumetric mass density of water, A is the horizontal area covered by the simulation domain, and z_i is the inversion height. The Leibniz integral rule gives

$$
\frac{dQ(t)}{dt} = \frac{1}{A} \iint_A dx dy \int_0^{z_i(x,y,t)} dz \frac{\partial \rho(x,y,z,t)}{\partial t} + \frac{1}{A} \iint_A dx dy \rho(x,y,z_i,t) \frac{\partial z_i(x,y,t)}{\partial t} , \quad (B2)
$$

and with the mass continuity equation

$$
\frac{\partial \rho}{\partial t} = -\nabla(v\rho) \tag{B3}
$$

one obtains

10

$$
\frac{dQ(t)}{dt} = -\frac{1}{A} \iint_A dx dy \int\limits_0^{z_i(x,y,t)} dz \, \nabla(v\rho) + \frac{1}{A} \iint_A dx dy \, \rho(x,y,z_i,t) \frac{\partial z_i(x,y,t)}{\partial t}
$$
 (B4)

where v is water transport velocity. Applying the divergence theorem yields

$$
\frac{dQ(t)}{dt} = -\frac{1}{A} \iint_{S} ds \, \mathbf{v} \rho + \frac{1}{A} \iint_{A} dx \, \mathbf{d}y \, \rho(x, y, z_i, t) \frac{\partial z_i(x, y, t)}{\partial t}
$$
(B5)

 5×10^5 where S is the closed surface enveloping the boundary layer and ds an outward pointing surface element. On the right hand side of Eq. [B5,](#page-31-0) the first term represents water transport across S, and the second term changes in boundary layer total water due to changes in the location and shape of the inversion. In a horizontally periodic simulation domain the first term reduces to the water flux at the boundary layer base $(F_{\sf base}^{q})$ and at the inversion $(F_{\sf inv}^{q})$:

$$
-\frac{1}{A}\iint\limits_{S} ds\,v\rho = F_{\text{base}}^q - F_{\text{inv}}^q
$$
 (B6)

We will show that the second term on the right hand side of Eq. [B5](#page-31-0) decomposes into a part associated with the temporal change in mean inversion height \overline{z}_i , and into a part associated with local fluctuations of the inversion height $\Delta z_i.$ We define

$$
z_i(x, y, t) \doteq \overline{z}_i(t) + \Delta z_i(x, y, t) \quad , \tag{B7}
$$

where $\overline{z}_i(t)$ is the mean inversion height, with the local inversion height deviations having a zero mean:

$$
\frac{1}{A} \iint\limits_A dx \, dy \Delta z_i(x, y, t) = 0 \quad . \tag{B8}
$$

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This gives

$$
\frac{\partial z_i(x, y, t)}{\partial t} = \frac{d\overline{z}_i(t)}{dt} + \frac{\partial \Delta z_i(x, y, t)}{\partial t}
$$
 (B9)

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Similarly, we define

$$
\rho(x, y, z_i, t) \doteq \overline{\rho}_i(t) + \Delta \rho_i(x, y, t) \quad , \tag{B10}
$$

 $_5$ where $\overline{\rho}_i(t)$ is the mean volumetric mass density of water at the inversion, with the local deviations along the inversion having a zero mean:

$$
\frac{1}{A} \iint\limits_A dx \, dy \Delta \rho_i(x, y, t) = 0 \quad . \tag{B11}
$$

It is then straightforward to show that

$$
\frac{1}{A} \iint\limits_A dx \, dy \, \rho(x, y, z_i, t) \frac{\partial z_i(x, y, t)}{\partial t} = \n\overline{\rho}_i(t) \frac{d\overline{z}_i(t)}{dt} + \frac{1}{A} \iint\limits_A dx \, dy \, \Delta \rho_i(x, y, t) \frac{\partial \Delta z_i(x, y, t)}{\partial t} .
$$
\n(B12)

¹⁰ The entrainment flux of water

$$
F_{\text{entrainment}}^q \doteq -\overline{\rho}_i(t) \frac{\mathrm{d}\overline{z}_i(t)}{\mathrm{d}t} \tag{B13}
$$

is associated with changes in mean inversion height. The term

$$
F_{\text{fluctuations}}^q \doteq -\frac{1}{A} \iint_A dx \, dy \, \Delta \rho_i(x, y, t) \frac{\partial \Delta z_i(x, y, t)}{\partial t}
$$
 (B14)

is associated with fluctuations of the inversion height about its mean. The sign in the defini-¹⁵ tion of $F_{\text{entrainment}}^q$ and $F_{\text{fluctuations}}^q$ is a matter of convention. Note that a temporally constant

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mean inversion height forces $F_{\rm entrainment}^q = 0$ but not necessarily $F_{\rm fluctuations}^q = 0.$ Overall we can write

$$
\frac{dQ(t)}{dt} = F_{\text{base}}^q - F_{\text{inv}}^q - F_{\text{entrainment}}^q - F_{\text{fluctuations}}^q \quad . \tag{B15}
$$

It remains to specify the meaning of $F_{\sf base}^q$ and $F_{\sf inv}^q$. These are unrelated to changes in the lo-

s cation and shape of the inversion, which are represented by $F_{\text{entrainment}}^q$ and $F_{\text{fluctuations}}^q$. We hence account for surface precipitation ($F_{\text{precision}}^q$) and the surface moisture flux (F_{surface}^q), and for subsidence $(F_{\text{subsidence}}^q)$, resolved scale dynamics (F_{resolved}^q) , sub-grid scale dynamics ($F^{q}_{\text{unresolved}}$), and spurious mixing (F^{q}_{spurious}) at the inversion:

$$
F_{\text{base}}^q \doteq F_{\text{precipitation}}^q + F_{\text{surface}}^q \tag{B16}
$$

10

$$
F_{\text{inv}}^q \doteq F_{\text{subsidence}}^q + F_{\text{resolved}}^q + F_{\text{unresolved}}^q + F_{\text{spurious}}^q \tag{B17}
$$

The boundary layer water budget equation then reads

$$
\frac{dQ(t)}{dt} = F_{\text{precipitation}}^{q} + F_{\text{surface}}^{q} - F_{\text{entrainment}}^{q}
$$

$$
-F_{\text{subsidence}}^{q} - F_{\text{fluctuations}}^{q} - F_{\text{resolved}}^{q} - F_{\text{unresolved}}^{q} - F_{\text{spurious}}^{q}
$$
 (B18)

We define $F_{\mathsf{mixing}}^q,$ the water flux across the inversion due resolved scale and sub-grid scale ¹⁵ dynamics at the inversion, fluctuations in inversion height, and spurious mixing:

$$
F_{\text{mixing}}^q = F_{\text{fluctuations}}^q + F_{\text{resolved}}^q + F_{\text{unresolved}}^q + F_{\text{spurious}}^q \tag{B19}
$$

The boundary layer water budget equation then reads

$$
\frac{dQ(t)}{dt} = F_{\text{precipitation}}^q + F_{\text{surface}}^q - F_{\text{entrainment}}^q - F_{\text{subsidence}}^q - F_{\text{mixing}}^q \quad . \tag{B20}
$$

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Appendix C: Resolution

The dependence on resolution of boundary layer growth, entrainment, decoupling, LWP, and cloud radiative effect (CRE) in the course of a diurnal cycle at different wind speeds is documented here. The simulations ${M}_{\rm fine}^{-,0,+}$ with double resolution in each dimension are 5 compared with the simulations $M^{-0,0+}$ (Table [2\)](#page-42-0). Figures [C1–](#page-60-0)[C4](#page-63-0) (time series) and [C5](#page-64-0)[–C10](#page-69-0) (vertical profiles) illustrate the comparison.

The high resolution simulations have systematically higher LWP values than the reference resolution simulations (Fig. [C1a](#page-60-0)). This is a consequence of reduced mixing at the inversion at higher resolution (Sec. [2.1.2\)](#page-8-1); the finding is supported by the cloud water pro-¹⁰ files (Fig. [C5a](#page-64-0), b and Fig. [C8a](#page-67-0), b): Lower resolution leads to a stronger reduction of cloud water in downdrafts compared to updrafts. The LWP response to wind speed and the evolution of LWP in the course of the diurnal cycle are robust against an increase in resolution. In particular, higher wind speed causes higher LWP values in the morning and a stronger LWP suppression later in the day at both resolutions, thereby expanding the morning - afternoon ¹⁵ contrast and accentuating the LWP diurnal cycle (Sec. [3.1.3\)](#page-17-0).

The high resolution simulations have a higher cloud fraction (Fig. [C1b](#page-60-0)) due to reduced mixing and entrainment at the inversion at higher resolution. Owing to the systematically higher LWP values and higher cloud fraction, the high resolution simulations have a stronger CRE (Fig. [C1c](#page-60-0)). The CRE peaks shortly before noon at both resolutions with values that ²⁰ increase with wind speed, while afternoon CRE values become less dependent on wind speed owing to the wind speed-dependent daytime suppression of LWP (Sec. [3.1.3\)](#page-17-0).

Boundary layer height (Fig. [C1d](#page-60-0)) and entrainment rate (Fig. [C2a](#page-61-0)) depend weakly on resolution, indicating that the model is robust in this respect against higher mixing and entrainment at the lower resolution. The higher resolution simulations produce, however, ²⁵ systematically higher decoupling (Fig. [C2b](#page-61-0)). This higher decoupling has little effect on sur-

face (10 m) wind speed (Fig. [C2c](#page-61-0)), but increases surface layer temperature (Fig. [C2d](#page-61-0)) and water vapor (Fig. [C3a](#page-62-0)). Despite the higher surface layer temperature and moisture, the pa-

rameterizations of the surface sensible heat and moisture fluxes produce similar surface fluxes at the two resolutions (Fig. [C3b](#page-62-0), c).

Vertical total water profiles are shown in Figure [C5c](#page-64-0) and Figure [C8c](#page-67-0). Reduced mixing and entrainment at the inversion increases total water content throughout the boundary layer, ⁵ while higher decoupling at higher resolution increases total water near the surface (see also Fig. [C3a](#page-62-0)). Profiles of ζ_{q_t} (Fig. [C5d](#page-64-0) and Fig. [C8d](#page-67-0)) reveal that at higher resolution, vertical moisture transport becomes less efficient only near the surface $(z/z_i = 0$ to $z/z_i \approx 0.1$. Concurrently, resolved scale vertical moisture transport is stronger at higher resolution throughout the boundary layer (Fig. [C6,](#page-65-0) [C9\)](#page-68-0). We conclude that the cause of higher de-¹⁰ coupling at higher resolution is a reduced efficiency of subgrid-scale vertical transport (not shown), which dominates vertical transport near the surface.

Increased resolution has only a small effect on TKE production by buoyancy in the sub-cloud layer both during the nighttime period N (10:20 – 11:20 UT) (Fig. [C7a](#page-66-0)) as well as in the afternoon (22:30 – 23:30 UT) (Fig. [C10a](#page-69-0)). However, TKE production by shear strongly ¹⁵ increases with resolution in the sub-cloud layer (Fig. [C7b](#page-66-0), [C10b](#page-69-0)). Concurrently, TKE production by buoyancy is enhanced at cloud level at higher resolution (Fig. [C7a](#page-66-0), [C10a](#page-69-0)). Stratification of TKE production by buoyancy by updrafts and downdrafts (Fig. [C7c](#page-66-0), d, [C10c](#page-69-0), d) reveals that the additional TKE production by buoyancy at cloud level originates from downdrafts. This is a consequence of reduced mixing and entrainment at the inversion at higher ²⁰ resolution, which leads to reduced entrainment warming and drying of cloud level downdrafts. The effect also appears in the time series of TKE production by buoyancy, stratified by updrafts and downdrafts in the cloud- and sub-cloud layer (Fig. [C4\)](#page-63-0): The time series of TKE production by buoyancy in cloud downdrafts (Fig. [C4a](#page-63-0)) shows a systematic increase in response to higher resolution.

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Table 2. List of simulations. The superscripts -, 0, and + denote low, reference, and high geostrophic wind speed, respectively (see Section [2.2\)](#page-11-0). All three superscripts are used when referring to all three simulations in a set. Simulations that use prescribed, spatially homogenized surface sensible heat and moisture fluxes carry an overbar.

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Table 3. Total (short-wave + longwave) top-of-atmosphere cloud radiative effect (W m⁻²) averaged over the 24 h duration of the simulations. Simulations are given in parentheses (Table [2\)](#page-42-0).

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Figure 1. Time series from the spin-up runs m (black), m_{fine} (yellow), l (red), $l_{1:5}$ (blue). F_{mixing}^q is defined in Eq. [2.](#page-9-0) Spin-up runs are listed in Table [1.](#page-41-0)

Figure 2. Time series from the spin-up runs m (black), m_{fine} (yellow), l (red), $l_{1:5}$ (blue). Spin-up runs are listed in Table [1.](#page-41-0)

Figure 3. Final state of the spin-up runs (initial states of simulations). Mean final state of the spin-up run m (black), m_{fine} (yellow), l (red), $l_{1:5}$ (blue). Markers indicate DYCOMS II RF01 in situ (solid with bar) and radar (ellipse-dot) data [\(Stevens et al., 2005\)](#page-39-6). Spin-up runs are listed in Table [1.](#page-41-0)

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Figure 4. Final state of the spin-up runs (initial states of simulations). Mean final state of the spin-up run m (black), m_{fine} (yellow), l (red), $l_{1:5}$ (blue). Markers indicate DYCOMS II RF01 in situ (solid with bar) data [\(Stevens et al., 2005\)](#page-39-6). Spin-up runs are listed in Table [1.](#page-41-0)

Figure 5. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. Blue shading indicates nighttime. The time periods A, B, C, and N are highlighted to facilitate the discussion.

Figure 6. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 7. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 8. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 9. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 10. Wind speed and the diurnal cycle. Time series from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations M^-_{dark} (blue), M^0_{dark} (black), M^+_{dark} (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 11. Long-wave heating (a), latent heating (b), and TKE net production from buoyancy (c) and shear (d), averaged over the period N (10:20 – 11:20) from the simulation M^- (blue), M^0 (black), M^{+} (red). Solid curves denote values from updrafts, dashed curves values from downdrafts. Updraft and downdraft values are air mass weighted sums over the updraft or downdraft locations at each level, respectively, normalized by the level air mass.

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Figure 12. Time series from simulations \overline{L}^- (blue), \overline{L}^0 (black), \overline{L}^+ (red), solid curves, and from simulations $\overline{L}_{\text{buoy}}^-$ (blue), $\overline{L}_{\text{buoy}}^0$ (black), $\overline{L}_{\text{buoy}}^+$ (red), dashed curves.

Figure 13. Buoyancy- and shear-driven dynamics. Time series from simulations \overline{L}^- (blue), $\overline{L}{}^0$ (black), \overline{L}^+ (red), solid curves, and from simulations $\overline{L}^-_{\sf buoy}$ (blue), $\overline{L}^0_{\sf buoy}$ (black), $\overline{L}^+_{\sf buoy}$ (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

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Figure 14. Buoyancy- and shear-driven dynamics. Boundary layer TKE (a) and the the vertical TKE component (TKE_w) in cloud updrafts (b) are given per total boundary layer air mass. Time series from simulations \overline{L}^- (blue), $\overline{L}{}^0$ (black), $\overline{L}{}^+$ (red), solid curves, and from simulations $\overline{L}^-_{\sf buoy}$ (blue), $\overline{L}^0_{\sf buoy}$ (black), $\overline{L}^{\,+}_{\text{buoy}}$ (red), dashed curves. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure 15. Buoyancy- and shear-driven dynamics. Profiles averaged over the period N (10:20 – 11:20 UT) from simulations L^- (blue), L^0 (black), L^+ (red), solid curves, and from simulations L^-_{buoy} (blue), L_{buoy}^0 (black), L_{buoy}^+ (red), dashed curves. TKE_b denotes buoyant production, TKE_s shear production of turbulence kinetic energy.

Figure 16. Buoyancy- and shear-driven dynamics. Profiles averaged over 1h in the afternoon (22:30 − 23:30 UT) from simulations L^- (blue), L^0 (black), L^+ (red), solid curves, and from simulations L_{buoy}^- (blue), L_{buoy}^0 (black), L_{buoy}^+ (red), dashed curves. TKE_b denotes buoyant production, TKE_s shear production of turbulence kinetic energy.

Figure C1. Effect of resolution. Solid curves denote simulations with the base resolution, dashed curves simulations with double resolution in each dimension (Table [2\)](#page-42-0). M^- (blue solid), M^0 (black solid), M^+ (red solid); ${M}_{\rm fine}^-$ (blue dashed), ${M}_{\rm fine}^{\,0}$ (black dashed), ${M}_{\rm fine}^+$ (red dashed).

Figure C2. Effect of resolution. Solid curves denote simulations with the base resolution, dashed curves simulations with double resolution in each dimension (Table [2\)](#page-42-0). M^- (blue solid), $M^{\,0}$ (black solid), M^+ (red solid); $M^-_{\rm fine}$ (blue dashed), $M^0_{\rm fine}$ (black dashed), $M^+_{\rm fine}$ (red dashed). A low-pass (running mean) filter was applied where indicated to reduce noise.

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Figure C3. Effect of resolution. Solid curves denote simulations with the base resolution, dashed curves simulations with double resolution in each dimension (Table [2\)](#page-42-0). M^- (blue solid), M^0 (black solid), M^+ (red solid); ${M}_{\rm fine}^-$ (blue dashed), ${M}_{\rm fine}^{\,0}$ (black dashed), ${M}_{\rm fine}^+$ (red dashed).

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Figure C4. Effect of resolution. Solid curves denote simulations with the base resolution, dashed curves simulations with double resolution in each dimension (Table [2\)](#page-42-0). M^- (blue solid), M^0 (black solid), M^+ (red solid); $M^-_{\rm fine}$ (blue dashed), $M^{\,0}_{\rm fine}$ (black dashed), $M^+_{\rm fine}$ (red dashed). TKE $_{\rm b}$ denotes buoyant production of turbulence kinetic energy. TKE production rates are given per total boundary layer air mass. A low-pass (running mean) filter was applied where indicated to reduce noise.

Figure C5. Effect of resolution. Profiles averaged over the period N (10:20 – 11:20 UT) from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations ${M}_{\rm fine}^-$ (blue), ${M}_{\rm fine}^0$ (black), $\boldsymbol{M}_{\textsf{fine}}^+$ (red), dashed curves.

Figure C6. Effect of resolution. Profiles averaged over the period N (10:20 – 11:20 UT) from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations $M^-_{\rm fine}$ (blue), $M^0_{\rm fine}$ (black), $\boldsymbol{M}_{\textsf{fine}}^+$ (red), dashed curves.

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Figure C7. Effect of resolution. Profiles averaged over the period N (10:20 – 11:20 UT) from simulations M^- (blue), M^0 (black), M^+ (red), solid curves, and from simulations $\overline{M}_{\rm fine}^-$ (blue), $\overline{M}_{\rm fine}^0$ (black), M_{fine}^+ (red), dashed curves. TKE_b denotes buoyant production, TKE_s shear production of turbulence kinetic energy. TKE_b and TKE_s in updrafts and downdrafts are air mass weighted sums over the updraft or downdraft locations at each level, respectively, normalized by the level air mass.

Figure C8. Effect of resolution. Profiles averaged over 1 h in the period C (22:30 – 23:30 UT) from simulations M^- (blue), $M^{\,0}$ (black), M^+ (red), solid curves, and from simulations $M^-_{\sf fine}$ (blue), $M^{\,0}_{\sf fine}$ (black), $\boldsymbol{M}_{\textsf{fine}}^+$ (red), dashed curves.

b

a

Figure C9. Effect of resolution. Profiles averaged over 1 h in the period C (22:30 – 23:30 UT) from simulations M^- (blue), $M^{\,0}$ (black), M^+ (red), solid curves, and from simulations $M^-_{\sf fine}$ (blue), $M^{\,0}_{\sf fine}$ (black), $\boldsymbol{M}_{\textsf{fine}}^+$ (red), dashed curves.

Figure C10. Effect of resolution. Profiles averaged over 1 h in the period C (22:30 – 23:30 UT) from simulations M^- (blue), $M^{\,0}$ (black), M^+ (red), solid curves, and from simulations $M^-_{\rm fine}$ (blue), $M_{\rm fine}^0$ (black), $M_{\rm fine}^+$ (red), dashed curves. TKE_b denotes buoyant production, TKE_s shear production of turbulence kinetic energy. TKE $_{\rm b}$ and TKE $_{\rm s}$ in updrafts and downdrafts are air mass weighted sums over the updraft or downdraft locations at each level, respectively, normalized by the level air mass.