

1 **Constraining Condensed-Phase Formation Kinetics of Secondary**
2 **Organic Aerosol Components from Isoprene Epoxydiols**

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15 **Abstract**

16

17 Isomeric epoxydiols from isoprene photooxidation (IEPOX) have been shown to produce
18 substantial amounts of secondary organic aerosol (SOA) mass and are therefore considered a major
19 isoprene-derived SOA precursor. Heterogeneous reactions of IEPOX on atmospheric aerosols form
20 various aerosol-phase components or “tracers” that contribute to the SOA mass burden. A limited number
21 of the reaction rate constants for these acid-catalyzed aqueous-phase tracer formation reactions have been
22 constrained through bulk laboratory measurements. We have designed a chemical box model with
23 multiple experimental constraints to explicitly simulate gas- and aqueous-phase reactions during chamber
24 experiments of SOA growth from IEPOX uptake onto acidic sulfate aerosol. The model is constrained by
25 measurements of the IEPOX reactive uptake coefficient, IEPOX and aerosol chamber wall-losses,
26 chamber-measured aerosol mass and surface area concentrations, aerosol thermodynamic model
27 calculations, and offline filter-based measurements of SOA tracers. By requiring the model output to
28 match the SOA growth and offline filter measurements collected during the chamber experiments, we
29 derive estimates of the tracer formation reaction rate constants that have not yet been measured or
30 estimated for bulk solutions.

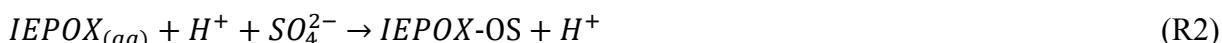
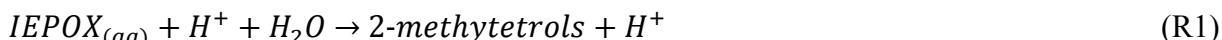
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32 **1 Introduction**

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34 The gas-phase photooxidation of isoprene (2-methyl-1,3-butadiene), the largest biogenic volatile
35 organic compound (VOC) emitted worldwide (Guenther et al., 2012), yields isomeric isoprene epoxydiols
36 (IEPOX) (Paulot et al., 2009). Subsequent acid-catalyzed multiphase chemistry of IEPOX is a significant
37 source of secondary organic aerosol (SOA) mass (Lin et al., 2012; Surratt et al., 2010). In recent field
38 studies, up to 50% of summertime aerosol mass loadings in the southeastern United States have been
39 attributed to SOA resulting from IEPOX heterogeneous reactions (Budisulistiorini et al., 2013;
40 Budisulistiorini et al., 2015; Lin et al., 2013b). Similar IEPOX-derived SOA influences are expected in
41 areas with large isoprene emissions, such as forests primarily composed of broad-leaf vegetation. As a
42 significant SOA precursor, IEPOX has implications regarding potential climate forcing due to the
43 scattering of incoming radiation and also impacts human health due to its large contribution to PM_{2.5}
44 (particulate matter <2.5 μm in diameter) mass (Chung and Seinfeld, 2002; Dockery et al., 1993).

45 Gas-phase IEPOX can partition to atmospheric aerosol surface area where it can react with aerosol
46 liquid water and aerosol-phase constituents, including sulfate, nitrate, and organics, to form a variety of
47 lower-volatility organic compounds that can remain in the aerosol and contribute to total aerosol mass.
48 Because their presence establishes IEPOX as the precursor, the particle-phase products are referred to as
49 IEPOX-SOA “tracers” (i.e., “molecular markers”). The efficiency of gas-phase IEPOX removal by
50 aerosol surface area is thought to be largely a function of aerosol acidity and concentration of nucleophiles
51 that can react with accommodated IEPOX by acid-catalyzed oxirane ring opening to yield the tracer
52 compounds (Eddingsaas et al., 2010; Gaston et al., 2014; Nguyen et al., 2014; Piletic et al., 2013; Riedel
53 et al., 2015; Surratt et al., 2007b). Products of the reactions have been proposed to include the 2-
54 methyltetrols (2-methylthreitol and 2-methylerythritol) from addition of water, and the corresponding
55 isomeric sulfate esters (IEPOX-OS) from sulfate addition (Reactions (R1) and (R2)) (Claeys et al., 2004;
56 Surratt et al., 2007a).



60
61 Products of nitrate addition, while observed less often, are also thought to be important in certain cases
62 (Darer et al., 2011; Lin et al., 2012). Additional condensed-phase reactions are thought to form IEPOX-
63 derived dimeric species (2-methyltetrol dimers, OS dimers), isomeric C₅-alkene triols, cyclodehydration
64 products (3-methyltetrahydrofuran-3,4-diols (3-MeTHF-3,4-diols)), and higher order oligomers which
65 have also been identified in field and chamber studies (Lin et al., 2012; Lin et al., 2014; Lin et al., 2013b;
66 Wang et al., 2005). In the aerosol phase, these oligomers or other high molecular weight aerosol species
67 may be in dynamic equilibrium with low molecular weight tracers (i.e., equilibrium between monomers
68 and oligomers) (Kolesar et al., 2015). The formation of unsaturated IEPOX-derived oligomers has been
69 linked to brown carbon formation and therefore potential radiative forcing (Lin et al., 2014). General
70 acids, such as bisulfate, can also serve as oxirane ring-opening catalysts, though rates for such reactions
71 tend to be significantly slower than rates for acid catalysis under the majority of aerosol conditions
72 (Eddingsaas et al., 2010; Gaston et al., 2014).

73 To date, only the formation of IEPOX-derived 2-methyltetrols and/or organosulfates have been
74 investigated through direct bulk kinetic measurements (Cole-Filipliak et al., 2010), the extension of bulk
75 kinetic measurements of surrogate epoxides (Eddingsaas et al., 2010), and computational estimates
76 (Piletic et al., 2013). While the tetrol and IEPOX-OS tracers are responsible for a sizeable fraction of

77 IEPOX-derived SOA (Lin et al., 2013a; Lin et al., 2013b), the remaining tracer formation reactions have
78 yet to be examined, and accurate estimates would benefit SOA modeling efforts (Karambelas et al., 2014;
79 McNeill et al., 2012; Pye et al., 2013). Here we present an approach that combines chamber experiments,
80 offline quantification of SOA tracers from filter samples using authentic standards, and modeling to
81 estimate the formation reaction rate constants of IEPOX-derived SOA tracers whose formation rates are
82 currently unknown. This has been done for a single seed aerosol system, acidified ammonium sulfate at
83 low relative humidity (RH), but the estimated rate coefficients are anticipated to be independent of the
84 seed aerosol used.

85

86 2 Methods

87

88 2.1 Chamber experiments

89

90 Experiments were conducted under dark conditions in an indoor 10-m³ Teflon smog chamber at
91 the University of North Carolina at Chapel Hill (UNC) (Lin et al., 2014; Riedel et al., 2015). Acidic
92 ammonium sulfate seed aerosol was injected into the dry (RH <5%) chamber using a custom-built
93 atomizer with an atomizing solution of 0.06 M (NH₄)₂SO₄ and 0.06 M H₂SO₄ until the desired total aerosol
94 mass concentration was achieved. After seed injection, the chamber was left static for at least 30 minutes
95 to ensure that the seed aerosol concentration was stable and uniformly mixed. IEPOX was then injected
96 into the chamber for 2 hours by passing ~4 L min⁻¹ of N_{2(g)} through a glass manifold heated at 60 °C
97 containing 50 – 300 µL of a 100 mg mL⁻¹ ethyl acetate solution of *trans*- β -IEPOX (Zhang et al., 2012),
98 the predominant IEPOX isomer (Bates et al., 2014). The majority of the SOA mass growth occurred
99 within the first hour of the injection period, and after 2 hours, significant SOA growth had ceased after
100 the majority of IEPOX was injected and reacted.

101 Chamber aerosol number distributions, which were subsequently converted to total aerosol surface
102 area and volume concentrations, were measured by a scanning electrical mobility system (SEMS v5.0,
103 Brechtel Manufacturing Inc. – BMI) containing a differential mobility analyzer (DMA, BMI) coupled to
104 a mixing condensation particle counter (MCPC Model 1710, BMI). Total volume concentration of seed
105 aerosols was converted to total mass concentration assuming a density of 1.6 g mL⁻¹, in accord with
106 aerosol thermodynamic model outputs described in more detail below, and SOA total volume
107 concentration was converted to total mass concentrations assuming a density of 1.25 g mL⁻¹ (Kroll et al.,

108 2006). The chamber RH and temperature were monitored with a commercial RH/temperature probe (OM-
109 62, Omega Engineering Inc.).

110

111 **2.2 SOA tracer quantification**

112

113 On completion of IEPOX injection, a filter sample was collected for analysis of the chamber-
114 generated SOA. Aerosols were collected onto 46.2 mm Teflon filters (Part No.: SF17471, Tisch
115 Scientific) in a stainless steel filter holder for 2 hours at \sim 15 L min $^{-1}$ with a carbon strip denuder (Sunset
116 Labs) upstream of the filter holder. Filters were stored in 20 mL scintillation vials at -20 °C prior to
117 extraction and analysis. Denuder efficiency tests were performed by passing \sim 500 ppbv of IEPOX in N_{2(g)}
118 at low RH (<5%) through the denuder at 2 L min $^{-1}$. \sim 80% of IEPOX was removed from the sampling
119 stream under these conditions, as measured by an iodide-adduct high-resolution time-of-flight chemical
120 ionization mass spectrometer (HR-TOF-CIMS, Aerodyne Research Inc.) (Lee et al., 2014). The denuder
121 is expected to be less efficient at the higher flow velocities and shorter residence times during filter
122 collection.

123 As described in previous studies (Lin et al., 2012; Surratt et al., 2010), IEPOX-derived SOA
124 components were extracted from filters with high-purity methanol prior to analysis. Analysis was
125 performed on a gas chromatograph coupled to a mass spectrometer equipped with an electron ionization
126 source (GC/EI-MS, Hewlett-Packard 5890 Series II GC coupled to a Hewlett-Packard 5971A MS) and an
127 ultra-performance liquid chromatograph/high-resolution quadrupole time-of-flight mass spectrometer
128 equipped with electrospray ionization (UPLC/ESI-HR-QTOFMS, Agilent 6500 Series). 2-Methyltetrosols,
129 C₅-alkene triols, 3-MeTHF-3,4-diols, and the IEPOX-derived dimer were quantified by GC/EI-MS with
130 prior trimethylsilylation. GC/EI-MS calibrations were performed with authentic 2-methyltetrool and 3-
131 MeTHF-3,4-diol standards (Budisulistiorini et al., 2015; Zhang et al., 2012). In the absence of authentic
132 standards, the triols and dimer were assumed to have the same response factor as the 2-methyltetrosols (Lin
133 et al., 2012; Lin et al., 2013b). Aliquots of filter extracts were reconstituted in a 50:50 (v/v)
134 methanol:water mixture from which the IEPOX-OS and IEPOX-derived dimer organosulfate (IEPOX-
135 dimerOS) were quantified using UPLC/ESI-HR-QTOFMS operated in the negative ion mode. An
136 authentic IEPOX-OS standard was used for calibration, and IEPOX-dimerOS was assumed to have the
137 same response factor as the IEPOX-OS standard (Budisulistiorini et al., 2015).

138

139 **2.3 Model setup and evaluation**

140

141 Reaction kinetics of SOA generation were investigated with a zero-dimensional time-dependent
 142 chemical box model incorporating explicit aqueous-phase tracer formation. The model is initialized with
 143 the amount of *trans*- β -IEPOX added to the injection manifold and the measured seed aerosol total surface
 144 area and mass concentration. Estimates of the aqueous-phase molar concentrations of the inorganic seed
 145 aerosol species ($[H^+]$, $[H_2O]$, $[HSO_4^-]$, $[SO_4^{2-}]$) and the total volume of the aqueous phase were obtained
 146 from the Extended AIM Aerosol Thermodynamics Model III (AIM,
 147 <http://www.aim.env.uea.ac.uk/aim/aim.php>) (Clegg et al., 1998; Wexler and Clegg, 2002). The
 148 composition of the atomizer solution was used as the AIM inputs with a RH of 10%, as AIM does not
 149 allow RH inputs <10%. As is typical with aerosol thermodynamic model calculations, the aerosol
 150 components were treated as a metastable solution thereby suppressing the formation of solid-phase species
 151 (Hennigan et al., 2015). Given the low chamber RH and the composition of the atomizer solution, the
 152 seed aerosol was highly acidic, and this assumption is likely valid (Cziczo et al., 1997; Seinfeld and
 153 Pandis, 2006). While some gas-phase measurements might be used to constrain aerosol thermodynamic
 154 models like AIM, such measurements (e.g., gas-phase ammonia) were unavailable for this study.
 155 Furthermore, the actual state of aerosols at low RH is difficult to represent in such models. As a
 156 consequence, estimates presented here may be limited by the ability of so-called “reverse mode”
 157 thermodynamic aerosol model calculations to appropriately represent the aerosols in the chamber.

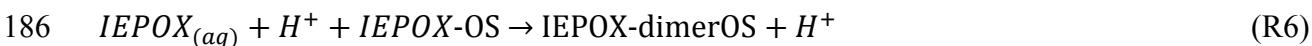
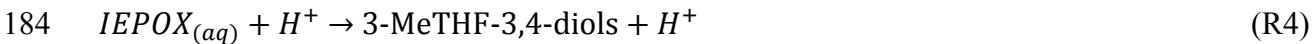
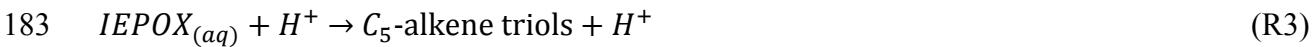
158 A constant IEPOX-aerosol reaction probability (γ) of 0.021 was assumed over the course of
 159 modeled experiments, which is consistent with that measured for similar seed aerosol systems (Gaston et
 160 al., 2014; Riedel et al., 2015). The resulting pseudo-first order heterogeneous uptake rate coefficient (k_{het})
 161 of IEPOX to the aerosol phase was then calculated by Eq. (1),
 162

$$163 \quad k_{het} = \frac{\gamma S_a \omega}{4} \quad (1)$$

164 where S_a is the total seed aerosol surface area concentration and ω is the mean molecular speed of gas-
 165 phase IEPOX. This approach neglects gas-phase diffusion – the effects of which are expected to be minor
 166 for the γ and particles sizes involved here (Gaston et al., 2014; Thornton et al., 2003). Aerosol-phase
 167 diffusion, adsorption/desorption of aerosol components, and other potential limitations that, while
 168 uncertain, have been explored in 1-D model studies for other systems are also not considered (Roldin et
 169 al., 2014; Shiraiwa et al., 2013; Wilson et al., 2012). Once IEPOX has partitioned to the particle phase

171 (IEPOX_(aq)) it is allowed to react with the aerosol constituents to form the SOA tracer species. In addition
172 to Reactions (R1) and (R2), the model incorporates acid-catalyzed reactions to form C₅-alkene triols, 3-
173 MeTHF-3,4-diols, IEPOX-dimer, and IEPOX-dimerOS – Reactions (R3) – (R6) below. The model also
174 tracks the formation of “other SOA” – defined as the difference between the chamber-measured SOA
175 mass and the sum of the quantified tracer mass loadings – comprised of unidentified SOA products, most
176 likely from acid-catalysis, as is the case for the other IEPOX tracers. Species comprising “other SOA”
177 may be oligomers formed by acid-catalyzed coupling of tetrols or IEPOX-OS with IEPOX concomitant
178 with reactive uptake (Lin et al., 2014). Since we are unable to differentiate the extent to which the “other
179 SOA” is formed from these two, or other, pathways, the model assumes all of the “other SOA” is formed
180 from reactions of IEPOX-OS with IEPOX (Reaction (R7)) and has a molecular weight of 334 g mole⁻¹,
181 the same as that of IEPOX-dimerOS.

182



188

189 The coupled differential equations corresponding to the production and/or loss of IEPOX_(g),
190 IEPOX_(aq), 2-methyltetrols, IEPOX-OS, C₅-alkene triols, 3-MeTHF-3,4-diols, IEPOX-dimer, IEPOX-
191 dimerOS, “other SOA”, HSO₄⁻, and SO₄²⁻ are integrated over the entire IEPOX injection duration (2
192 hours) or until the observed chamber SOA mass concentration had reached a maximum. [H⁺] and [H₂O]
193 are held constant over the course of a model run. Under the assumption that HSO₄⁻ is converted to SO₄²⁻
194 as SO₄²⁻ forms IEPOX-OS, the equilibrium ratio ($R_{SO_4} \equiv SO_4^{2-}/HSO_4^-$) is held constant. Additionally, a
195 general first-order loss, Reaction (R8) from the formation of volatile products that do not contribute to
196 the overall SOA mass, is applied to IEPOX_(aq).

197



199

200 This reaction lowers the molar SOA yield (ϕ_{SOA}) below unity. First-order wall-losses estimated for the
201 chamber from a previous study are also applied to gas-phase IEPOX ($k_{wall} = 9.4 \times 10^{-5} \text{ s}^{-1}$) and all aerosol-

202 phase species ($k_{wall-aerosol} = 1 \times 10^{-5} \text{ s}^{-1}$) (Riedel et al., 2015). The rate of IEPOX injection into the chamber
 203 is simulated in the model by an exponential decay of IEPOX in the injection manifold. The decay constant
 204 (λ) was varied between $1 \times 10^{-3} \text{ s}^{-1} - 2 \times 10^{-3} \text{ s}^{-1}$ as a fitting parameter to better match the timescale of
 205 observed SOA growth. However, over the 2-hour duration of the experiment, the value of the decay
 206 constant had a negligible effect on the final model-predicted SOA growth.

207 The complete set of differential equations used to track each individual species in the model is
 208 provided in Eq. 2 – 12.

209

210
$$\frac{d[IEPOX_{(g)}]}{dt} = \lambda[IEPOX_{(manifold)}] - k_{het}[IEPOX_{(g)}] - k_{wall}[IEPOX_{(g)}] \quad (2)$$

211
$$\begin{aligned} \frac{d[IEPOX_{(aq)}]}{dt} = & k_{het}[IEPOX_{(g)}] - k_{R1}[IEPOX_{(aq)}][H_2O][H^+] - k_{R2}[IEPOX_{(aq)}][SO_4^{2-}][H^+] - \\ 212 & k_{R3}[IEPOX_{(aq)}][H^+] - k_{R4}[IEPOX_{(aq)}][H^+] - k_{R5}[IEPOX_{(aq)}][H^+][tetrol] - \\ 213 & k_{R6}[IEPOX_{(aq)}][H^+][IEPOX-OS] - k_{R7}[IEPOX_{(aq)}][H^+][IEPOX-OS] - k_{R8}[IEPOX_{(aq)}] - \\ 214 & k_{wall-aerosol}[IEPOX_{(aq)}] \end{aligned} \quad (3)$$

215
$$\frac{d[tetrol]}{dt} = k_{R1}[IEPOX_{(aq)}][H_2O][H^+] - k_{wall-aerosol}[tetrol] \quad (4)$$

216
$$\frac{d[IEPOX-OS]}{dt} = k_{R2}[IEPOX_{(aq)}][SO_4^{2-}][H^+] - k_{wall-aerosol}[IEPOX-OS] \quad (5)$$

217
$$\frac{d[triol]}{dt} = k_{R3}[IEPOX_{(aq)}][H^+] - k_{wall-aerosol}[triol] \quad (6)$$

218
$$\frac{d[diolTHF]}{dt} = k_{R4}[IEPOX_{(aq)}][H^+] - k_{wall-aerosol}[diolTHF] \quad (7)$$

219
$$\frac{d[dimer]}{dt} = k_{R5}[IEPOX_{(aq)}][H^+][tetrol] - k_{wall-aerosol}[dimer] \quad (8)$$

220
$$\frac{d[dimerOS]}{dt} = k_{R6}[IEPOX_{(aq)}][H^+][IEPOX-OS] - k_{wall-aerosol}[dimerOS] \quad (9)$$

221
$$\frac{d[other]}{dt} = k_{R7}[IEPOX_{(aq)}][H^+][IEPOX-OS] - k_{wall-aerosol}[other] \quad (10)$$

222
$$\frac{d[HSO_4^-]}{dt} = -k_{R2}[IEPOX_{(aq)}][H^+][HSO_4^-]R_{SO_4} - k_{wall-aerosol}[HSO_4^-] \quad (11)$$

223
$$\frac{d[SO_4^{2-}]}{dt} = k_{R2}[IEPOX_{(aq)}][H^+][HSO_4^-]R_{SO_4} - k_{R2}[IEPOX_{(aq)}][H^+][SO_4^{2-}] - k_{wall-aerosol}[SO_4^{2-}] \quad (12)$$

224

225 Rate constants (k) for Reactions (R1) – (R8) were systematically varied until model output closely
 226 matched the offline tracer measurements. Initial values were assigned to $k_{R1} - k_{R8}$, and the model run in a
 227 continuous loop, varying each rate constant to minimize the sum of the squares of the differences between
 228 the filter measurements and model output, under the constraint that all $k > 0$. Functions available in

229 MATLAB's Optimization Toolbox were used to perform the minimization. Implicitly, this approach
230 assumes that tracer quantitations are robust, a correct representation of IEPOX-derived SOA speciation
231 and mass loading, and that the filter collection and extraction efficiency are 100%.

232

233 **3 Results and discussion**

234

235 **3.1 Model output and comparison to chamber data**

236

237 Five chamber experiments were performed with the low RH $(\text{NH}_4)_2\text{SO}_4 + \text{H}_2\text{SO}_4$ seed aerosol
238 system. Table 1 lists initial chamber conditions, including seed aerosol surface area and mass loading and
239 the mass of IEPOX placed in the injection manifold. Figure 1 shows aerosol mass data and the
240 corresponding model simulation for one experiment (Exp. No. 1). The initial seed aerosol mass loading
241 is $113 \mu\text{g m}^{-3}$, and IEPOX injection is initiated at experiment time (t) = 0. SOA mass growth is most rapid
242 for 30 minutes post injection and slows thereafter, reaching a maximum total aerosol mass concentration
243 of $\sim 275 \mu\text{g m}^{-3}$ at $t \approx 90$ minutes. The timescale of SOA growth for other experiments was similar to that
244 in Figure 1. Figure 2 shows the model-predicted aqueous-phase IEPOX concentration for Exp. No. 1.
245 Despite the large amount of IEPOX injected into the chamber, the maximum predicted aqueous-phase
246 IEPOX concentration reaches only $0.92 \text{ moles L}^{-1}$ due to rapid formation of the SOA products. For all
247 simulated experiments, the model reproduced the SOA growth well, both the rate and the maximum mass
248 loading. Nevertheless, caution is necessary in interpreting the significance of this agreement since the
249 model parameters are adjusted to maximize the agreement.

250 Figure 3 compares the modeled evolution of the SOA tracers in Exp. No. 1 to offline measurements
251 of the corresponding tracers. Measured tracer mass loadings for all experiments are provided in Table 2.
252 The tracer concentrations predicted by the model agree well with the filter measurements, differing by
253 $< 5\%$ for all tracers.

254 The model also predicts significant titration of total aqueous inorganic sulfate species ($[\text{SO}_4^{2-}] +$
255 $[\text{HSO}_4^-]$) over the course of each experiment due to the formation of IEPOX-OS, IEPOX-dimerOS, and
256 "other SOA". Sulfate loadings were predicted to drop 36%, 28%, and 27% for the 30 mg, 15 mg, and 5
257 mg IEPOX injections, respectively. Figure 4 shows the model-predicted sulfate titration for Exp. No. 1 in
258 which sulfate loading drops from an initial value of $\sim 95 \mu\text{g m}^{-3}$ to $\sim 60 \mu\text{g m}^{-3}$ at the conclusion of the
259 model run. These titration levels closely match those reported in Surratt et al. (2007a) for a low- NO_x
260 isoprene oxidation experiment with acidified ammonium sulfate seed aerosol.

261

262 **3.2 Model-predicted tracer formation kinetics**

263

264 The model-predicted tracer formation rate constants for Reactions (R1) – (R7) are given in Table
 265 3. These are averaged over all experiments and the listed errors correspond to one standard deviation.
 266 While the aerosols are not *a priori* ideal solutions, comparison of the rate constants obtained in this study
 267 to those estimated from prior studies provides useful insights. Eddingsaas et al. (2010) determined the
 268 pseudo second-order formation constants for bulk solutions of *cis*-2,3-epoxybutane-1,4-diol and used the
 269 relationship between 2-methyl-2,3-epoxybutane and 2,3-epoxybutane reaction rate constants to estimate
 270 those for 2-methyl-2,3-epoxybutane-1,4-diol (β -IEPOX). For 2-methyltetrol formation, Pye et al. (2013)
 271 used the β -IEPOX value from Eddingsaas et al. (2010) and assumed a water concentration of 55 M to
 272 derive a third-order rate constant with an explicit water dependence. The resulting rate constants are $9 \times$
 273 $10^{-4} \text{ M}^{-2} \text{ s}^{-1}$ for the overall formation reaction of 2-methyltetrol (Reaction (R1)) and $2 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$ for
 274 the overall formation reaction of IEPOX-OS (Reaction (R2)). A similar treatment can be applied to the
 275 pseudo second-order hydrolysis rate constant (2-methyltetrol formation) for a mixture of *cis*- and *trans*-
 276 β -IEPOX from Cole-Filipiak et al. (2010) to obtain a rate constant of $6.5 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$. Purely
 277 computational estimates of $5.3 \times 10^{-2} \text{ M}^{-2} \text{ s}^{-1}$ and $5.2 \times 10^{-1} \text{ M}^{-2} \text{ s}^{-1}$ for 2-methyltetrol and IEPOX-OS,
 278 respectively, are also available for comparison (Piletic et al., 2013). Apart from the computational study,
 279 these rate constants are of the same order as those predicted by the model, $3.4 \pm 3.2 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$ for 2-
 280 methyltetrols and $4.8 \pm 3.4 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$ for IEPOX-OS, indicating that the model gives a reasonable
 281 representation of the kinetics of the multiphase process in light of the low RH, non-ideal conditions in the
 282 highly concentrated chamber aerosols.

283 Epoxide ring-opening reactions by general acids (i.e., bisulfate) have not been explicitly included
 284 in the model. The contribution is expected to be negligible as the branching ratio between the bisulfate
 285 and H^+ -catalyzed reaction channels is likely to heavily favor the H^+ channel. For example, in Exp. No. 1,
 286 ~98% of the epoxide ring-opening is predicted to proceed through the H^+ -catalyzed channel compared to
 287 that of bisulfate.

288 Aerosol surface area was held constant at initial seed aerosol levels over the course of a model
 289 run, and thus k_{het} is insensitive to additional surface area resulting from IEPOX-derived SOA (Riedel et
 290 al., 2015). However, the presence of organics such as polyethylene glycol have been shown to lower γ
 291 and therefore k_{het} (Gaston et al., 2014), and it is unclear whether the presence of IEPOX-derived SOA

292 components would have a similar effect. A consequence of the constant surface area is that the model
293 does not account for any possible slowing of the uptake rate resulting from increased aerosol organic
294 content. Measurements of γ on mixed and pure IEPOX-SOA would be required to resolve this question.

295 As a sensitivity test to the choice of 334 g mole⁻¹ for the molecular weight of the “other SOA”,
296 individual model runs were also performed assuming a molecular weight of 100 and 600 g mole⁻¹. As
297 expected, these tests had the most pronounced effect on the rate constants extracted from simulations with
298 the largest “other SOA” loadings, Exp. No. 1 and 2 (see Table 2). For the 100 g mole⁻¹ case, the resulting
299 adjustment to the rate constants presented in Table 3 was at most a factor of 2.4 increase for IEPOX-OS
300 and a 23% decrease, on average, across the remaining rate constants. For the 600 g mole⁻¹ case, all of the
301 rate constants were decreased by 25% on average. Apart from the IEPOX-OS rate constant under the 100
302 g mole⁻¹ case, which was within 2 σ , all of the rate constants resulting from these sensitivity tests fell
303 within the stated 1 σ uncertainties given in Table 3.

304 Given the estimates of the tracer formation rate constants, the calculated k_{het} , and the model output,
305 the molar SOA yield (ϕ_{SOA}) can be estimated as the ratio of the sum of the tracer production rates over the
306 IEPOX_(g) heterogeneous loss rate (Riedel et al., 2015). Averaged over the five experiments, $\phi_{SOA} = 0.078$
307 ± 0.025 (1 σ), with the largest ϕ_{SOA} from the 5 mg IEPOX injections and the smallest ϕ_{SOA} from the 30 mg
308 injections. The drop in ϕ_{SOA} with increased IEPOX injection mass is a function of the increased amount
309 of “other SOA” measured in these experiments. The higher molecular weight assumed for the oligomeric
310 products relative to the molecular weight of the tracers requires less IEPOX to be reacted in order to match
311 the total SOA mass loadings, thus driving down ϕ_{SOA} . As described by Matsunaga and Ziemann (2010)
312 and Zhang et al. (2014), wall-losses of VOC and SOA material can effectively decrease calculated ϕ_{SOA}
313 for chamber studies. Considering the IEPOX and aerosol wall-loss rate constants provided above, the
314 corrections for these experiments are minor (<2% change to ϕ_{SOA}). In general, ϕ_{SOA} should mainly be a
315 function of the availability of nucleophiles, provided there is ample time for uptake and tracer formation
316 (Riedel et al., 2015). $\phi_{SOA} = 0.078$ is similar to that predicted from an independent modeling approach
317 which estimated the ϕ_{SOA} for this aerosol system at 0.1 – 0.12 (Riedel et al., 2015). These results indicate
318 that the molar yield of SOA from IEPOX heterogeneous reactions is likely to be significantly <1 for the
319 majority of atmospheric conditions where aerosols are likely to contain more water and be less acidic than
320 in this study.

321

322 **3.3 Atmospheric implications**

323

324 Figure 5 shows the model output after 6 hours processing time, using as inputs the rate constants
 325 from Table 3 and initial atmospheric conditions which might be representative of a daytime summer
 326 urban/rural mixed air mass: 50% RH, ~500 pptv gas-phase IEPOX, and $250 \mu\text{m}^2 \text{ cm}^{-3}$ of ammonium
 327 bisulfate aerosol surface area, corresponding to an aerosol mass loading of $\sim 10 \mu\text{g m}^{-3}$. The model predicts
 328 $0.37 \mu\text{g m}^{-3}$ of total SOA with the bulk (77%) being 2-methyltetrols, and minor amounts of IEPOX-OS
 329 (14%), C₅-alkene triols (7%), and 3-MeTHF-3,4-diols (2%). The remaining tracers – IEPOX-dimer,
 330 IEPOX-dimerOS, and “other SOA” – are predicted to form in small amounts ($< 0.6 \text{ ng m}^{-3}$). At the
 331 increased RH and associated increase in aerosol liquid water, the 2-methyltetrols represent the majority
 332 of the formed tracers (see Eq. 4). With the lack of wall-losses and the minor contribution of “other SOA”,
 333 which lowers ϕ_{SOA} as described above, ϕ_{SOA} will be larger ($\phi_{SOA} = 0.125$) for this atmospheric case
 334 compared to the chamber simulations. Additionally, this simulation predicted no appreciable titration of
 335 total aqueous inorganic sulfate, suggesting that titration is unlikely to occur in atmospheric sulfate-
 336 containing aerosols given expected IEPOX mixing ratios on the order of 1 ppbv.

337 Keeping in mind that we cannot hope to capture two field studies perfectly for such a general
 338 model case, the model total IEPOX tracer loading predictions are in relatively close correspondence to
 339 recent measurements in the southeastern United States. Analysis of tracers in ambient PM_{2.5} collected by
 340 high-volume sampling during summer 2010 in Yorkville, GA, determined that 2-methyltetrols (330 ng m^{-3}),
 341 C₅-alkene triols (290 ng m^{-3}), and IEPOX-OS (72 ng m^{-3}) were major constituents, with minor
 342 amounts of 3-MeTHF-3,4-diols (27 ng m^{-3}), IEPOX-dimerOS (5 ng m^{-3}), and IEPOX-dimer (0.5 ng m^{-3})
 343 (Lin et al., 2012). IEPOX tracer mass loadings from analysis of high-volume PM_{2.5} samples collected at
 344 Look Rock, TN, in summer 2013 as part of the Southern Oxidant and Aerosol Study (SOAS) were also
 345 dominated by IEPOX-OS (169.5 ng m^{-3}), 2-methyltetrols (163.1 ng m^{-3}), and C₅-alkene triols (144.4 ng m^{-3}),
 346 whereas 3-MeTHF-3,4-diols (4.4 ng m^{-3}) and IEPOX-dimerOS (1.4 ng m^{-3}) made only minor
 347 contributions (Budisulistiorini et al., 2015).

348

349 4 Concluding remarks

350

351 Attempts to replicate the chamber experiments at higher RH (50%) resulted in large positive
 352 deviations (1.2 – 2.3-fold) in total IEPOX tracer mass loadings compared to measured total aerosol mass
 353 loadings by the SEMS-MCPC. This result precluded the extension of these kinetic studies to include

354 humid conditions. A possible explanation for the enhancement of filter mass loadings could be subsequent
355 reactions at the Teflon filter surface; however, appropriate controls are required to confirm such effects.
356 The deviation in mass loadings at higher RH indicate that artifacts may be introduced into field and
357 chamber measurements during filter collection even when sampling through a carbon strip denuder.

358 Low molecular weight tracers with significant vapor pressures may be detected as a result of
359 decomposition of SOA products. Such a possibility would dictate caution in adopting the kinetic estimates
360 presented here. The sum of these formation rates would likely represent an upper limit to the formation
361 of such SOA species under the assumption that more than one tracer could potentially be formed from the
362 degradation of these products. However, in the absence of evidence to the contrary, there is general
363 agreement that tracers constitute a large fraction of IEPOX-SOA, and additional investigations are
364 required prior to the proposal that certain SOA tracers represent decomposition products.

365 In summary, this study is a first approach at placing kinetic constraints on the formation of species
366 that have been quantified in laboratory and field measurements but lack directly measured experimental
367 rate constraints. While bulk solution rate constant estimates are desirable, such measurements pose a
368 challenge when authentic standards are unavailable or when surrogates do not adequately represent the
369 true compounds. Additionally, it is unclear that bulk-phase kinetics can approximate aerosol-phase
370 reactions where non-ideal conditions likely play a role. The flexible approach described here may readily
371 be extended to other SOA production systems known to have atmospheric importance.

372 This study approximates tracer branching ratios for the currently proposed SOA tracers resulting
373 from IEPOX uptake, a necessary step to predict isoprene-derived SOA production in regional models that
374 guide policy decisions. Additional laboratory studies to identify SOA products and elucidate formation
375 mechanisms are important to ensure that both chamber and field measurements accurately reflect
376 atmospheric processes. Modeling developed on the basis of such experimental systems can then be
377 extended to large-scale models.

378

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380

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390 **Figure and Table Captions**

391

392 **Figure 1.** Aerosol mass loadings from IEPOX-SOA Exp. No. 1 and corresponding model output. IEPOX
393 injection starts at experiment time $t = 0$ minutes.

394

395 **Figure 2.** Model output of aqueous-phase IEPOX concentrations during Exp. No. 1 simulation.

396

397 **Figure 3.** Model output of IEPOX-SOA tracers (left panel) and the associated filter-based tracer
398 measurements (right panel) for Exp. No. 1. The “other SOA” is calculated as the difference between the
399 chamber-measured aerosol mass loadings and the sum of the filter-based tracer loadings.

400

401 **Figure 4.** Model output of predicted titration of total inorganic aerosol sulfate ($[\text{SO}_4^{2-}] + [\text{HSO}_4^-]$) due to
402 sulfated tracer formation during Exp. No. 1 simulation.

403

404 **Figure 5.** Model-predicted IEPOX-SOA tracer distribution and loadings for atmospherically relevant
405 initial conditions.

406

407

408

409

410 **Table 1.** Summary of conditions for each chamber SOA experiment.

411

412 **Table 2.** Tracer mass loadings for each chamber SOA experiment.

413

414 **Table 3.** Model-predicted formation reaction rate constants for IEPOX-SOA tracers.

415

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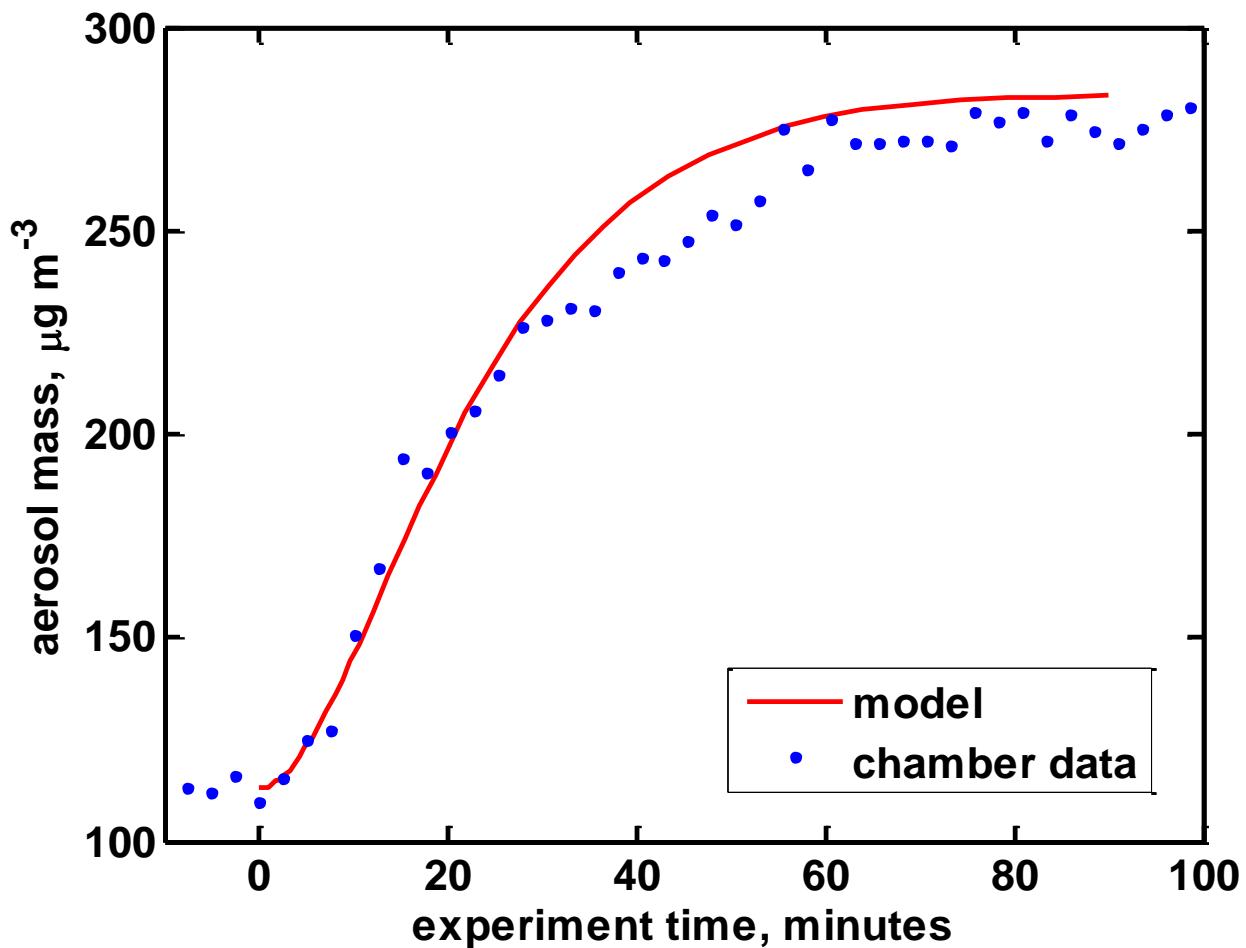
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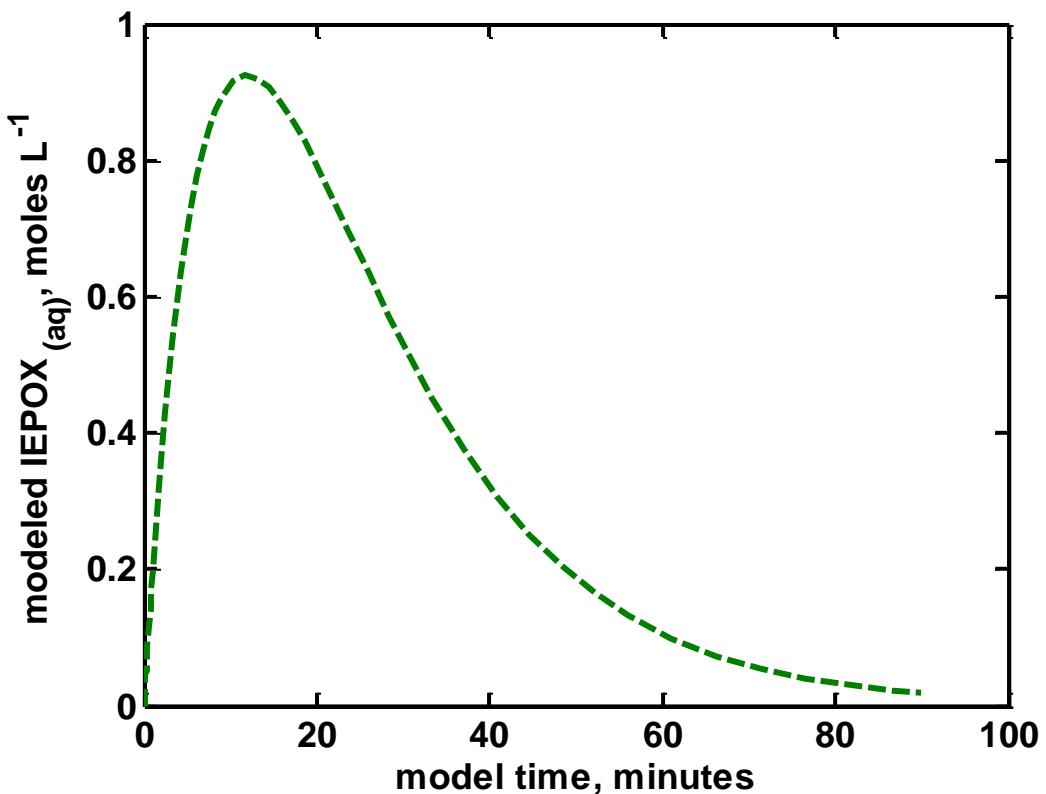
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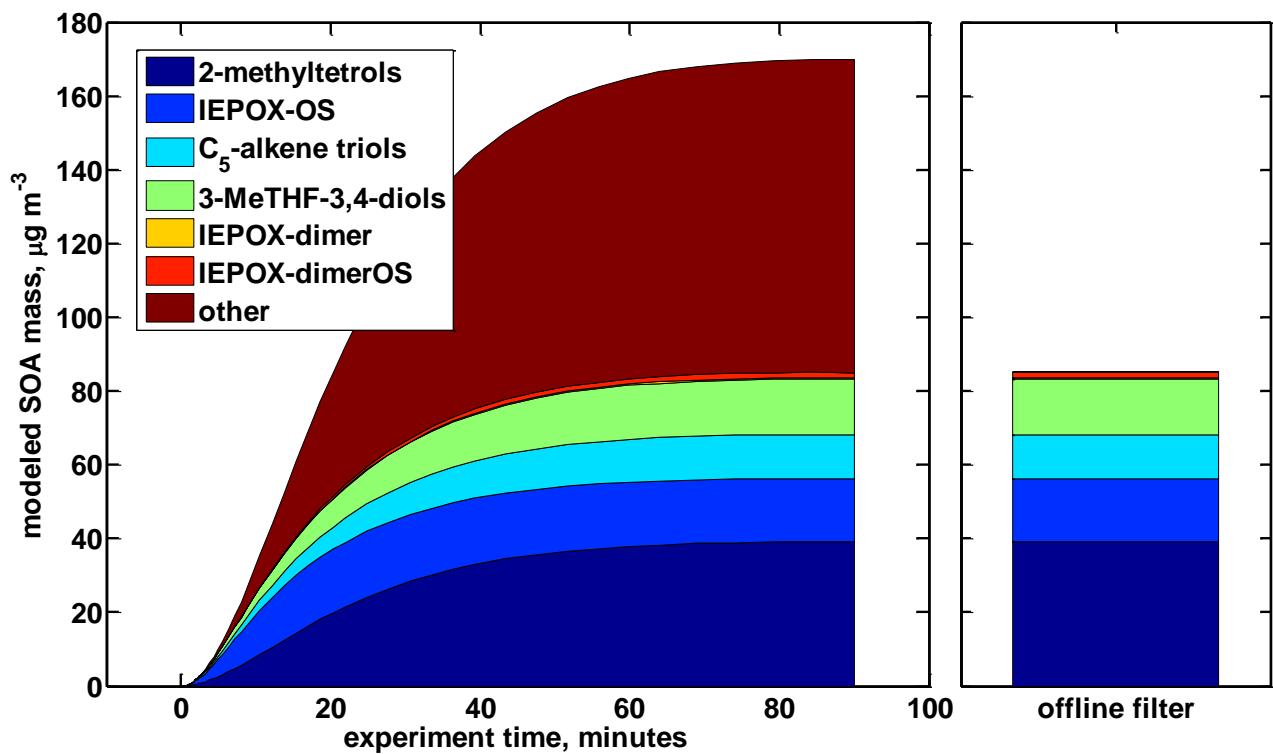




572

573 **Figure 2**

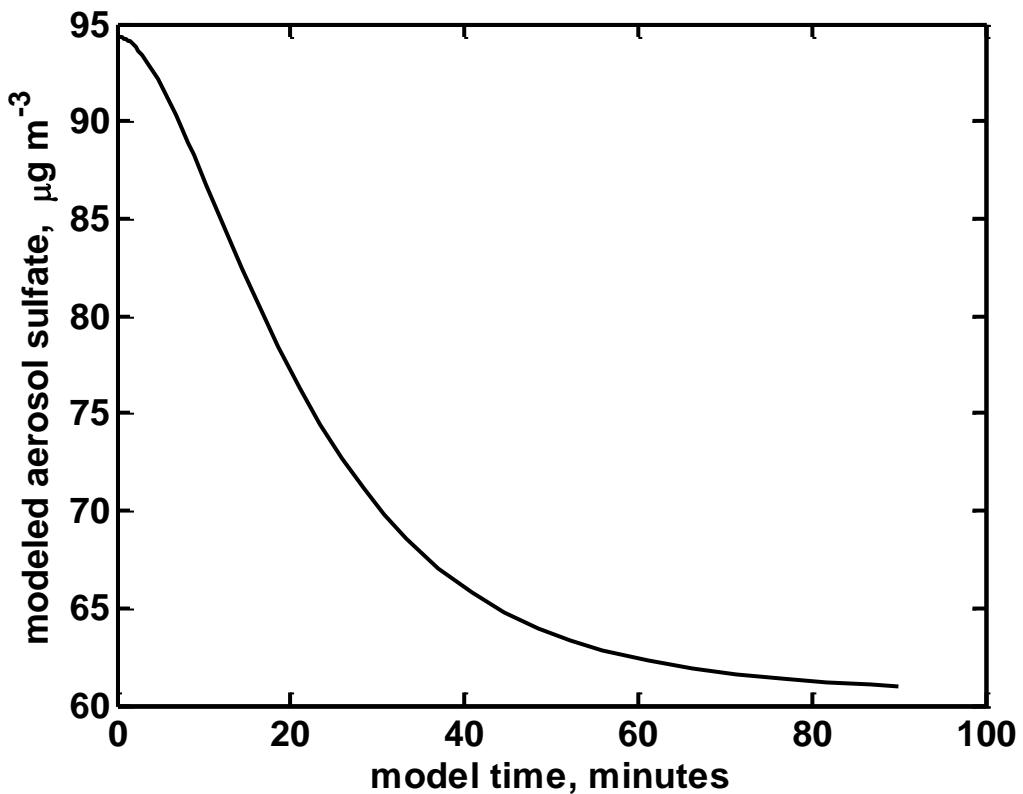
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576 **Figure 3**

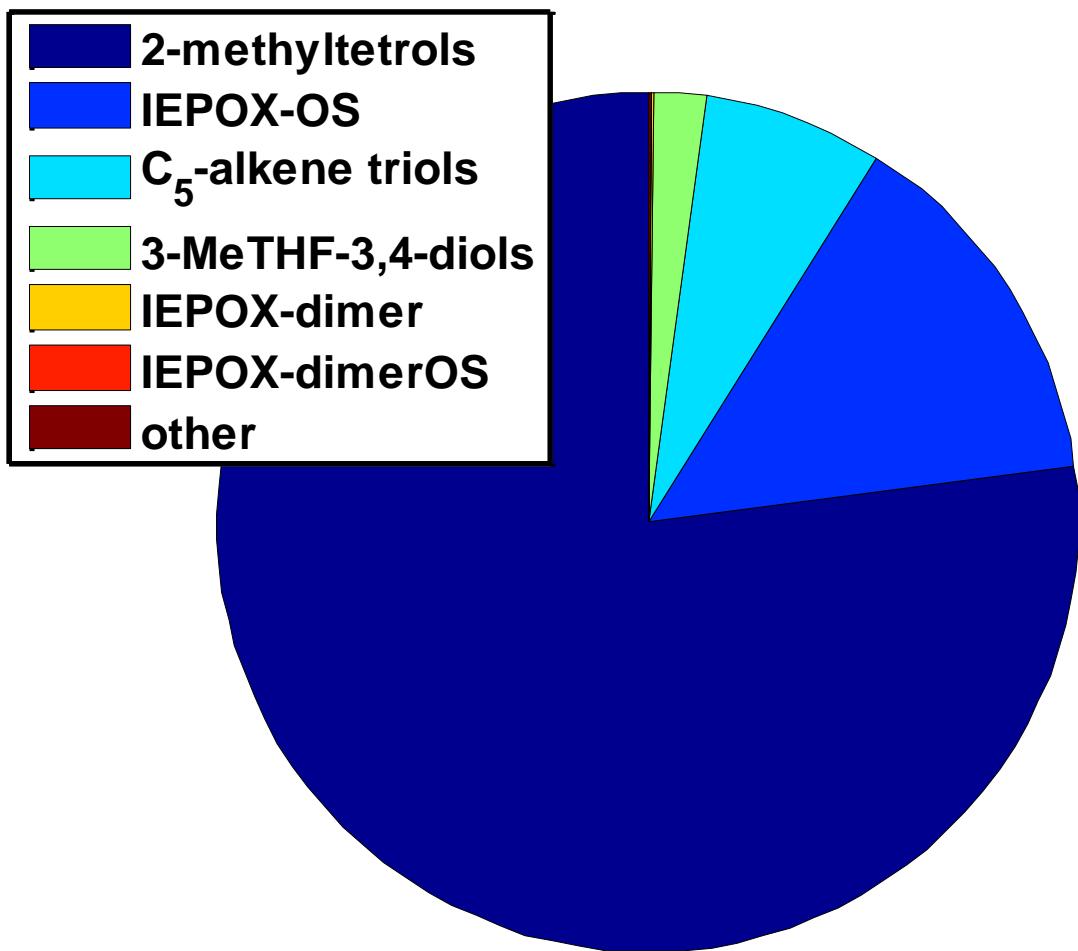
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Figure 4

580



Total predicted SOA mass = 0.37 $\mu\text{g m}^{-3}$

581

582 **Figure 5**

583

Exp.No.	IEPOX injected/mg	seed surface area/ $\mu\text{m}^2\text{ cm}^{-3}$	seed mass/ $\mu\text{g m}^{-3}$
1	30	1480	113
2	30	1660	125
3	15	1200	76
4	5	800	59
5	5	800	57

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586

Table 1

Exp. No.	Loading/ $\mu\text{g m}^{-3}$							
	total SOA	2-methyltetros	IEPOX-OS	C ₅ -alkene triols	3-MeTHF-3,4-diols	IEPOX-dimer	IEPOX-dimerOS	other SOA
1	170.00	39.13	16.97	12.01	15.05	0.40	1.45	84.99
2	185.00	41.35	23.69	12.17	13.67	0.70	3.01	90.41
3	131.00	34.01	13.25	35.31	3.68	3.59	4.01	37.15
4	60.99	3.72	27.13	18.42	0.04	0.27	10.51	0.90
5	63.00	3.97	27.44	19.36	0.10	0.25	9.05	2.83

587

588 **Table 2**

SOA tracer formed	k	reaction
2-methyltetrols	$3.4 \pm 3.2 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$	(R1)
IEPOX-OS	$4.8 \pm 3.4 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$	(R2)
C ₅ -alkene triols	$8.8 \pm 3.8 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$	(R3)
3-MeTHF-3,4-diols	$2.6 \pm 3.5 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$	(R4)
IEPOX-dimer	$1.3 \pm 0.7 \times 10^{-5} \text{ M}^{-2} \text{ s}^{-1}$	(R5)
IEPOX-dimerOS	$6.8 \pm 4.6 \times 10^{-5} \text{ M}^{-2} \text{ s}^{-1}$	(R6)
other SOA	$5.7 \pm 6.9 \times 10^{-4} \text{ M}^{-2} \text{ s}^{-1}$	(R7)