

1 **Formation of highly oxidized multifunctional compounds:**  
2 ***Autoxidation* of peroxy radicals formed in the ozonolysis of**  
3 **alkenes – deduced from structure-product relationships**

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15  
16 **Abstract**

17 It has been postulated that secondary organic particulate matter plays a pivotal role in the  
18 early growth of newly formed particles in forest areas. The recently detected class of  
19 extremely low volatile organic compounds (ELVOC) provides the missing organic vapours  
20 and possibly contributes a significant fraction to atmospheric SOA. **The sequential**  
21 **rearrangement of peroxy radicals and subsequent O<sub>2</sub> addition results in ELVOC which are**  
22 **highly oxidized multifunctional molecules (HOM). Key for efficiency of such HOM in early**  
23 **particle growth is that their formation is induced by one attack of the oxidant (here O<sub>3</sub>),**  
24 **followed by an autoxidation process involving molecular oxygen.** Similar mechanisms were  
25 recently observed and predicted by quantum mechanical calculations e.g. for isoprene. To  
26 assess the atmospheric importance and therewith the potential generality, it is crucial to  
27 understand the formation pathway of HOM.

28 .

1 To elucidate the formation path of HOM as well as necessary and sufficient structural  
2 prerequisites of their formation we studied **homologous** series of cycloalkenes in comparison  
3 to two monoterpenes. We were able to directly observe highly oxidized multifunctional  
4 peroxy radicals with 8 or 10 O-atoms by an Atmospheric Pressure interface High Resolution  
5 Time of Flight Mass Spectrometer equipped with a  $\text{NO}_3^-$ -Chemical Ionization (CI) source. In  
6 case of  $\text{O}_3$  acting as oxidant the starting peroxy radical is formed on the so called  
7 vinylhydroperoxide path. HOM peroxy radicals and their termination reactions with other  
8 peroxy radicals, including dimerization, allowed for analysing the observed mass spectra and  
9 narrow down the likely formation path. As consequence we propose that HOM are  
10 multifunctional percarboxylic acids, with carbonyl-, hydroperoxy-, or hydroxy-groups arising  
11 from the termination steps. We figured that aldehyde groups facilitate the initial  
12 rearrangement steps. In simple molecules like **cycloalkenes** autoxidation was limited to both  
13 terminal C-atoms and two further C-atoms in the respective  $\alpha$ -positions. In more complex  
14 molecules containing tertiary H-atoms or small **constrained** rings even higher oxidation  
15 degree were possible, either by simple H-shift of the tertiary H-atom or by initialisation of  
16 complex ring-opening reactions.

17

## 18 **1 Introduction**

19 The formation of new particles is an important process in the natural and anthropogenically  
20 influenced atmosphere (Kerminen et al., 2005; Kuang et al., 2009; Hamed et al., 2007;  
21 Kulmala et al., 2004a; Kulmala et al., 2013; Spracklen et al., 2010). While it seems now clear  
22 that sulfuric acid molecules, eventually in interaction with amines and ammonia, form the  
23 first nuclei (Bzdek et al. 2013; Berndt et al. 2005; Kuang et al., 2008; Sipilä et al., 2010;  
24 Vuollekoski et al., 2010; Zhao et al., 2011; Kirkby et al., 2011; Almeida et al., 2013), the  
25 mechanisms of growth of such nuclei has been under a debate for a long time (Kulmala et al.,  
26 2004b; Kerminen et al., 2010; Riccobono et al., 2012; Kulmala et al., 2013). Since new  
27 particle formation is often observed in forest regions with relatively clean air, the amount of  
28 sulfuric acid is insufficient to explain the observed growth and it has always been proposed  
29 that organic vapors should be involved in particle growth (Zhang et al., 2004; Metzger et al.,  
30 2010; Paasonen et al., 2010; Riipinen et al., 2011; Riipinen et al., 2012; Ehn et al., 2012; Ehn  
31 et al., 2014; Riccobono et al., 2014; Schobesberger et al., 2013; Kulmala et al., 2013). The  
32 organic vapors were supposed to have very low vapor pressures and it was estimated that such

1 vapors could make up more than 50 % of the organic fraction (Riipinen, et al., 2011; Yli-Juuti  
2 et al., 2011). However, in the atmosphere volatile organic compounds (VOC) are emitted  
3 mainly as hydrocarbons or with low degree of oxidation, otherwise they would not be volatile.  
4 Organic molecules with the required degree of oxidation and functionalization to exhibit  
5 sufficiently low vapor pressures very often require several oxidation steps to be formed from  
6 VOC in the gas phase by OH radicals. Stepwise oxidation by OH radicals makes the overall  
7 oxidation process slow and/or would lead to a high degree of diversification of products, as  
8 OH is not a very specific oxidation agent. Such sequential oxidation is not suited to produce  
9 high supersaturations of organic vapors required for growing molecular size critical nuclei.

10 New instrumentation, namely the atmospheric pressure interface time-of-flight mass  
11 spectrometer (APi-TOF-MS, Junninen et al. 2010) enabled the direct measurement of natural  
12 ions in the atmosphere. By applying APi-TOF-MS in Hyytiälä, a forestry station in Southern  
13 Finland, Ehn et al. (2010) observed ions of organic compounds in mass ranges of 300-400 Da  
14 and 500-600 Da. They suggested at the time that these are highly oxidized organics, likely  
15 organic nitrates and their dimers. In a study in the Jülich Plant Atmosphere Chamber (JPAC)  
16 using APi-TOF-MS, Ehn et al. (2012) demonstrated that the organics observed in Hyytiälä  
17 mainly arise from  $\alpha$ -pinene ozonolysis; the mass spectrometric pattern derived for  $\beta$ -pinene  
18 and isoprene were different than that from  $\alpha$ -pinene. The JPAC is operated as continuously  
19 stirred flow reactor (Mentel et al., 2009) and the steady state can be conserved for an arbitrary  
20 duration. This allowed for long integration times and application of the low sensitivity but  
21 high resolution W-mode of APi-TOF-MS. This way Ehn et al. (2012) determined that the  
22 compounds seen in the mass spectra in JPAC and Hyytiälä are highly oxidized C<sub>10</sub>-  
23 compounds clustered with NO<sub>3</sub><sup>-</sup> and the respective dimers, also clustered with NO<sub>3</sub><sup>-</sup>. The C<sub>10</sub>  
24 compounds exhibit O/C ratios close to one or larger and a number of H-atoms similar to the  
25 **reactant**  $\alpha$ -pinene (H<sub>14</sub> or H<sub>16</sub>), resulting in molecular formulas C<sub>10</sub>H<sub>14,16</sub>O<sub>9-11</sub>. Similar organic  
26 molecules were observed independently in the CLOUD studies in cluster with sulfuric acid  
27 (Schobesberger et al., 2013).

28 The C<sub>10</sub> compounds in question are highly oxidized multifunctional molecules (HOM, Ehn et  
29 al., 2012) and thus must have very low vapor pressures. They have been also called extremely  
30 low volatile organic compounds (ELVOC, Schobesberger et al. 2013, Ehn et al., 2014) in  
31 order to account for their role in the early stage of new particle formation and to distinguish  
32 them from other volatility classes such as low volatile organic compounds (LVOC), semi

1 volatile organic compounds (SVOC), and intermediate volatile organic compounds (IVOC)  
2 which are discussed in atmospheric formation of secondary organic aerosol (SOA) (Donahue  
3 et al., 2012; Jimenez et al., 2009; Murphy et al., 2014). We focus here on the structure and  
4 chemistry of ELVOC and not so much on their atmospheric role in particle formation as  
5 extreme low volatility condensable organic vapors. We will therefore use the notation HOM  
6 (highly oxidized multifunctional molecules, Ehn et al., 2012) when referring to chemical  
7 structures and pathways and use the notation ELVOC, when referring to the impacts in the  
8 atmosphere.

9 By making use of the fact that ELVOC prefer to cluster with  $\text{NO}_3^-$ , Ehn et al. (2014) applied  
10  $\text{NO}_3^-$ -CI-API-TOF-MS (Jokinen et al., 2012) and demonstrated that the formation of ELVOC  
11 is significant with a branching ratio of  $7\% \pm 3.5\%$  of the turnover of  $\alpha$ -pinene with ozone.  
12 Moreover, it seems that endocyclic double bonds in monoterpenes like limonene are structural  
13 features that support ELVOC formation (Ehn et al. 2014; Jokinen et al., 2014). Further, it  
14 was suggested that a radical chain of peroxy radical formation and intramolecular H-shifts  
15 could be the path to ELVOC formation, resulting in multiple hydroperoxides with increasing  
16 oxygen content in steps of 32 Da (Ehn et al., 2014). H-migration to peroxy radicals is known  
17 at elevated temperatures and for specific atmospheric radicals ((Cox and Cole, 1985;  
18 Glowacki and Pilling, 2010, Jorand et al. 2003, Perrin et al. 1998) and the mechanism is  
19 commensurable with recent findings for the autoxidation of isoprene and related compounds  
20 (Crouse et al., 2012; Crouse et al., 2013; Crouse et al., 2011) and with quantum-  
21 mechanical calculations, regarding the oxidation of monoterpenes (Vereecken and Francisco,  
22 2012; Nguyen et al., 2010; Peeters et al., 2009; Vereecken et al., 2007). Jokinen et al., (2014)  
23 demonstrated HOM formation in detail for limonene, a monoterpene. The detailed chemistry  
24 of HOM formation from cyclohexene was elucidated by Rissanen et al. (2014). In this study  
25 we investigated experimentally which structural and functional elements in organic molecules  
26 favor HOM formation initiated by ozonolysis.

27 In the studies by Ehn et al. (2014, 2012) HOM with odd number of H-atoms were detected,  
28 suggesting that highly oxidized peroxy radicals were observed. This observation was recently  
29 confirmed by Rissanen et al. (2014) and Jokinen et al. (2014). By increasing NO in the system  
30 the peroxy radicals behaved as expected from classical atmospheric chemistry: their  
31 concentration decreased as did the concentration of dimer structures and in turn organic  
32 nitrates increased (Ehn et al., 2014). As we will show in the following chapters, the peroxy

1 radicals are indeed the pivotal point to understanding HOM formation. By comparing HOM  
2 formation of selected model and representative compounds with specific structural properties  
3 we will deduce routes to highly oxidized multifunctional molecules, making use of  
4 established features of ozonolysis and termination reactions of peroxy radicals, together with  
5 the rearrangement of peroxy radicals by H-shift from C-H to >COO<sup>•</sup> groups.

## 6 **2 Experimental**

7 All experiments were carried out in the Jülich Plant Atmospheric Chamber (JPAC). Details of  
8 the set-up are described in Mentel et al. (2009) and Ehn et al. (2014). The largest chamber,  
9 with a volume of 1450L, was used in the experiments presented here and it was operated as a  
10 continuously stirred flow reactor. Temperature (T= 17°C) and relative humidity (RH= 60%)  
11 were held constant during the experiments. Two changes were implemented in the 1450 l  
12 reactor since Mentel et al., (2009): the whole UV lamp assembly is now placed in a 100 mm  
13 diameter quartz tube flanged in across the chamber from wall to wall, in order to reduce direct  
14 contact of the reaction mixture with the warm UV-lamp surface. On top of the Teflon floor of  
15 the chamber a glass floor was placed on 10 mm spacers in order to reduce fluorinated  
16 compounds and memory effects of HNO<sub>3</sub> detected by Ehn et al. (2012). By pumping away the  
17 air in the space between Teflon plate and glass plate at a flow rate of 1.5 L min<sup>-1</sup> diffusion of  
18 fluorinated compounds into the chamber was diminished.

19 Supply air was pumped through the chamber at a total flow of 30-35 l min<sup>-1</sup> resulting in a  
20 residence time of 40-50 min. The supply flow was split in two different lines for the reactants  
21 in order to prevent reactions in the supply lines. Ozone and water vapor was added to one of  
22 the air streams entering the reaction chamber, while the other inlet stream contained the  
23 volatile organic compound (VOC) of interest. The individual VOC were taken from diffusion  
24 sources which are described in Heiden et al. (2003). The hydrocarbons investigated in this  
25 study are listed in Table 1 together with their molecular mass, purity and supply information.  
26 The steady state concentrations of the VOC during the experiments are given in Table 1.  
27 Independent of the VOC added to the chamber, the ozone flow into the reaction chamber was  
28 held constant. As a consequence the steady state O<sub>3</sub> concentrations varied depending on the  
29 reactivity of added hydrocarbons with respect to O<sub>3</sub> as given in Table 1. All experiments were  
30 performed under low NO (NO < 30ppt) and low NO<sub>2</sub> (NO<sub>2</sub> < 300ppt) conditions.

31 The central analytical instrument was an Atmospheric Pressure interface High Resolution  
32 Time of Flight Mass Spectrometer (APi-TOF-MS, Aerodyne Research Inc. & Tofwerk AG;

1 Junninen et al., 2010). The APi-TOF-MS was equipped with a  $\text{NO}_3^-$ -Chemical Ionization (CI)  
2 source (Eisele and Tanner, 1993; Jokinen et al., 2012; A70 CI-inlet, Airmodus Ltd) for the  
3 detection of highly oxidized organic compounds. The reagent ion  $^{15}\text{NO}_3^-$  for the CI was  
4 generated by using labeled  $\text{H}^{15}\text{NO}_3$  (~10N in  $\text{H}_2\text{O}$ , 98 atom %  $^{15}\text{N}$ , Aldrich Chemistry),  
5 ionized by an in-line  $^{241}\text{Am}$  foil. As was shown by Ehn et al. (2012) the anion  $\text{NO}_3^-$  is able to  
6 form a cluster with the expected highly oxidized organic compounds. The labeling with  
7  $^{15}\text{NO}_3^-$  enables to distinguish between  $^{15}\text{N}$  from the reagent and  $^{14}\text{N}$  that has been incorporated  
8 into the sample molecules, e.g. through reactions with  $^{14}\text{NO}$  in the reaction chamber.

9 The sampling flow from the reaction chamber into the CI source was 10 L/min. The flow into  
10 the APi-TOF-MS was thereafter reduced to 0.8 L/min by passing a critical orifice.  
11 Differential pumping by a scroll pump and a three-stage turbo pump sequentially decreased  
12 the pressure from  $10^3$  mbar in the CI region to  $10^{-6}$  mbar in the Time of Flight region. Once  
13 the ions are sampled into the APi-TOF region, they are guided by segmented quadrupole mass  
14 filters and electrical lenses in the TOF extraction region. Collisions between ions and gas  
15 molecules will take place, but the energies are tuned low enough that only weakly bound  
16 clusters (e.g. water clusters) will fragment. After extraction into the TOF the ions are  
17 separated by their different flight times depending on their mass to charge ratio.

18 The sensitivity of the APi-TOF operated as  $\text{NO}_3^-$ -CIMS is discussed in Ehn et al. (2014). We  
19 have indication that once a certain degree of functionalization is achieved (two  $-\text{OH}$  or  $-\text{OOH}$   
20 groups in addition to two carbonyl groups) the sensitivity is fairly the same for all HOM  
21 species (Mikael Ehn, Theo Kurten, personal communication). HOM with 6 or less C atoms  
22 and less than 6 atoms were not detected. However, we found hints that we may be able to  
23 detect HOM with less than 6 O-atoms in molecules with 7 or more C-atoms. Thus, the general  
24 polarizability of a molecule may play a role besides directed interactions of functional groups  
25 with the  $\text{NO}_3^-$  ion.

26 To control whether or not peaks in the APi-TOF mass spectra originated from oxidation of the  
27 added VOC, blank experiments without VOC addition were performed. Ozone was left in the  
28 chamber in case of peaks originating from ozonolysis of impurities. Some of the peaks in the  
29 mass spectra were abundant also in absence of VOC and likely arise from fluorinated  
30 contaminants. All peaks observable without VOC addition were rejected from interpretation.

31 Ozonolysis of alkenes in the dark produces OH radicals. We did not use OH scavenger in  
32 most of our experiments. However, in some control experiments OH produced during alkene-

1 ozonolysis was scavenged by adding ~40ppm carbon monoxide (CO). Addition of CO did not  
2 change the majority of the patterns in the mass spectra indicating that ozonolysis was indeed  
3 the major pathway of HOM formation under the experimental conditions. Nevertheless, CO  
4 addition changed the abundance of certain HOM. This was used for to separate OH reactions  
5 as origin for these HOM.

### 6 **3 Methods**

7 The goal of this section is to derive an *a priori* expectation scheme for formation of highly  
8 oxidized molecules from ozonolysis of VOC with endocyclic double bonds, and to predict  
9 which intermediates and termination products should be formed according to classical  
10 understanding and recent mechanistic developments. As we will show, comparison of the  
11 expectations to the observed mass spectra (positive hits) will make it easier to identify and  
12 organize the observations. Of course the scheme was developed *a posteriori* but presenting it  
13 beforehand will help the reader to follow the argumentation.

14 Under our experimental conditions the ozonolysis is the major pathway of alkene oxidation.  
15 In case of alkenes with endocyclic double bonds ozonolysis leads to ring opening with a  
16 Criegee intermediate at one end of the carbon chain and a carbonyl group on the other end.  
17 The Criegee intermediate further reacts in several ways. One of these is the so-called  
18 vinylhydroperoxide pathway (R1-R3, see Sequence 1). The decomposition of the  
19 vinylhydroperoxides (R2) leads to a radical with mesomeric structures (S1, see Sequence 1).  
20 Importantly here, the peroxy radical S2 is formed by subsequent O<sub>2</sub> addition to the oxo-alkyl  
21 mesomeric structure (R3) (cf. reviews of Johnson and Marston, 2008 and Vereecken and  
22 Francisco, 2012).

23 Peroxy radical S2 is the starting point of the following considerations. As shown in Sequence  
24 2 in general, the reaction chain can be terminated by the known reactions of the peroxy  
25 radicals (denoted as RO<sub>2</sub>) with HO<sub>2</sub> (R4), with other peroxy radicals (R5, R8), or with NO  
26 (R7b) leading to termination products with hydroperoxy, carbonyl, or hydroxy groups,  
27 alkylperoxides, or organic nitrates. The chain can also be continued by peroxy-peroxy (R6a)  
28 and peroxy-NO (R7a) reactions via alkoxy intermediates. The latter form carbonyl  
29 compounds (R6b) or fragment into smaller units (e.g. Vereecken and Francisco, 2012). In  
30 addition, alkoxy radicals can undergo isomerization reactions like the H-shift with subsequent  
31 O<sub>2</sub> addition (see Sequence 3, Vereecken and Francisco, 2012; Vereecken and Peeters, 2010; ).  
32 Note, that the peroxy functionality is recycled in Sequence 3, generating OH-functionalized

1 peroxy radicals Hydroxy-peroxy radicals can be terminated in the usual way (Sequence 2).  
2 All these principle pathways are either known (e.g. Finlayson-Pitts and Pitts Jr., 2000) or have  
3 been recently discussed, based on either calculations (e.g. Vereecken and Francisco, 2012)  
4 and/or observations for C<sub>5</sub> VOC (e.g. Crouse, et al, 2013).

5 We will also allow for H-shifts from C-H bonds also in peroxy radicals (Sequence 4), leading  
6 to –OOH functionalized peroxy radicals (Crouse et al. 2013, Vereecken et al. 2007). This  
7 rearrangement was known for processes at elevated temperatures (Cox and Cole, 1985,  
8 Glowacki and Pilling, 2010, Jorand et al., 2003, Perrin et al., 1998), but has not been  
9 considered as important in gas-phase atmospheric chemistry until recently. In addition  
10 intramolecular termination R9c can occur, an H-shift from the C-H bond that carries the  
11 hydroperoxy group, leading to a split off of OH and a carbonyl termination product (Crouse  
12 et al. 2013; Rissanen et al. 2014). Sequence 4 explains the mass increase in steps of 32 Th in  
13 the type of HOM observed by Ehn et al. (2012, 2014) and investigated here. Since it requires  
14 only a single attack by the oxidant O<sub>3</sub> and then proceeds by itself under involvement of only  
15 molecular oxygen, it can be interpreted as an autoxidation process.

16 We will apply the known steps (R4 –R6, Sequence 2) and rearrangement and autoxidation of  
17 peroxy radicals (Sequences 4 and 3) to construct a pathway to form atmospheric HOM which  
18 is in accordance with both, our mass spectral observations and experimental findings as well  
19 as the quantum mechanical calculations by Rissanen et al. (2014). Since we were working at  
20 low NO<sub>x</sub> (< 300 ppt) and under conditions of negligible NO<sub>2</sub> photolysis we will neglect the  
21 NO pathways (R7, Sequence 2).

22 In this study we will focus on such pathways where the peroxy radicals and their termination  
23 products retain the carbon number of the reactants. These are the majority of the observed  
24 products. In addition, we will consider their dimers with twice the number of C-atoms (R8,  
25 Sequence 2).

26 As we will show, most of the observed HOM arise from the straight peroxy autoxidation path  
27 (Sequence 4), which we will denote as peroxy path. However, often a minor fraction of  
28 products arises from Sequence 3, which we will call the hydroxy-peroxy path. Peroxy radicals  
29 arising from the hydroxy-peroxy path are OH substituted and contain an *odd* number of O-  
30 atoms (like S3 in Sequence 3). The hydroxy-peroxy radical can carry on the autoxidation  
31 (Sequence 4) and terminate in usual ways (R4-R6 and R8 in Sequence 2).



1 Applying the principles outlined above to the example of cyclopentene, we may expect from  
2 the *peroxy path* the type of species in Table 2a; possible intermediates and products from  
3 *hydroxy-peroxy path* are shown in Table 2b. According to the vinylhydroperoxide path the  
4 *starting* peroxy radical in the series (upper left corner of Table 2a) is a C<sub>5</sub> chain with aldehyde  
5 functions on both ends. In addition a peroxy radical function is located at the C-atom in  $\alpha$ -  
6 position to one of the aldehyde groups: S2 with R= (CH<sub>2</sub>)<sub>2</sub> (see Sequence 1).

7 Radical S2 is the *starting* point for either further H-shift/O<sub>2</sub> addition (Table 2a, down the first  
8 column) or termination products (along the line). The scheme does not explain the relative  
9 importance of the pathways, only that they could be *a priori* possible. In reality, the  
10 abundances of stable termination products and postulated peroxy radicals are the result of  
11 detailed local molecular structure and a complex formation and destruction scheme as  
12 discussed below (individual lifetimes, cf. Rissanen et al., 2014). Moreover, our detection  
13 method may require a certain minimum degree of oxidation of the analyte molecules before  
14 they can be detected as nitrate clusters. The parent peroxy radicals with molecular mass  $m$  and  
15 their termination products form a repeated pattern  $m-17$  (carbonyl),  $m-15$  (hydroxy),  $m$ ,  $m+1$   
16 (hydroperoxy) in the mass spectra. This is indicated in second line of Table 2a

17 The first entrée in Table 2b is a hydroxy-peroxy radical of type S3 which is formed by  
18 Sequence 3 from the starting intermediate S2 in Table 2a. The hydroxy-peroxy radicals noted  
19 in the first column of Table 2b can be either formed by Sequence 3 from the corresponding  
20 peroxy radicals noted in the first column of Table 2a or in increments of O<sub>2</sub> by H-shift/O<sub>2</sub>  
21 addition (Sequence 4) of the previous hydroxy-peroxy radical.

22 It is evident from Table 2 that both peroxy and hydroxy-peroxy pathways generate  
23 progressions in the mass spectra with distance 32 Da (2xO). However, the two progressions  
24 are shifted by 16 (the O of the hydroxy group in the hydroxy-peroxy radical) with respect to  
25 each other. This can lead to isobaric overlap of hydroperoxides ( $m+1$ ) from the peroxide  $m$   
26 and hydroxy termination products from the corresponding hydroxy-peroxide at  $m+16$  ( $m+16$ -  
27 15, cf. column 4 in Table 2a and column 3 in Table 2b).

28 We investigated several compounds to detect structural prerequisites of the formation of  
29 HOM. The cyclic alkenes cyclopentene, cyclohexene, and cycloheptene were used to study  
30 the impact of chain length on HOM formation. 1-methyl-cyclohexene was used to study  
31 possible impacts of methyl-substitution of the double bond, with structural similarity to  $\alpha$ -  
32 pinene. In 3-methyl-cyclohexene and 4-methyl-cyclohexene the methyl substituent is moving

1 away from the endocyclic double bond, and they provide branched C<sub>7</sub> variations of  
2 cycloheptene.

3 Finally, we studied the formation of HOM from the functionalized linear alkenes (Z)-6-  
4 nonenal, (Z)-6-nonenol, (5)-hexen-2-one, and 1-heptene. These compounds were chosen  
5 because during ozonolysis they should produce a peroxy radical function located in  $\alpha$ -position  
6 to the forming aldehyde group (similar to S2, Sequence 1), but carry a different or none  
7 functional group at the other, the terminal or  $\omega$ -C-atom, end of the chain. The reason was to  
8 study the impact of a functionalization on atmospheric HOM formation. Two monoterpenes,  
9  $\alpha$ -pinene and  $\Delta$ -3-carene, both abundant in nature, serve as test cases for atmospherically  
10 relevant, complex bicyclic molecules.  $\alpha$ -pinene and  $\Delta$ -3-carene carry tertiary H-atoms, as do  
11 3-methyl-cyclohexene and 4-methyl-cyclohexene.

## 12 **4 Results**

13 Closed shell HOM and their peroxy intermediates were detected as clusters with one <sup>15</sup>NO<sub>3</sub><sup>-</sup>  
14 ion attached (Ehn et al., 2012; 2014). Note that the postulated peroxy radicals have odd  
15 molecular masses because of the missing hydrogen atom, but due to the use of <sup>15</sup>N labeled  
16 nitric acid to generate <sup>15</sup>NO<sub>3</sub><sup>-</sup> as reagent ion they will be detected as <sup>15</sup>NO<sub>3</sub><sup>-</sup>-cluster at even  
17 masses. In the same sense all closed shell molecules will be detected as <sup>15</sup>NO<sub>3</sub><sup>-</sup>-clusters at odd  
18 masses.

19 Figure 1 shows a typical mass spectrum observed for cyclopentene ozonolysis in range  
20 between 240 Th and 280 Th which is where the nitrate clusters of C<sub>5</sub>-HOM are expected. It  
21 shows that we indeed observe the set of termination products as developed in the Method  
22 section. In addition, we found a peak at 258 Th to which we attributed the molecular formula  
23 C<sub>5</sub>H<sub>7</sub>O<sub>8</sub>·NO<sub>3</sub><sup>-</sup>. This cluster has an odd number of H-atoms indicating that the organic moiety  
24 is not a closed shell molecule. As we will show in the following chapter, this indeed is the  
25 peroxy radical. The corresponding termination products are indicated by their mass difference  
26 to the peroxy radical at m=258 Th, as introduced in the Method section. The signal at 273 Th  
27 is the carbonyl termination product from the next progression shifted by 32 Th. The peak at  
28 255 Th is a cluster with a perfluorinated acid (chamber artefact).

29 Figure 2 shows the same mass spectrum in the region of dimer structures. The two largest  
30 peaks at 421 Th and 389 Th have organic moieties with molecular formulas C<sub>10</sub>H<sub>14</sub>O<sub>14</sub> and  
31 C<sub>10</sub>H<sub>14</sub>O<sub>12</sub>, which we will attribute to peroxides formed by recombination of peroxy radicals.  
32 The molecular formulas assigned to the peaks at 343 Th and 375 Th contain 16 H-atoms and

1 odd number of oxygen ( $C_{10}H_{16}O_9$ ,  $C_{10}H_{16}O_{11}$ ) and the compounds are obviously formed on a  
2 different formation path. As we did not **scavenge** OH radicals also formed in the vinyl  
3 hydroperoxide path, oxidation by OH may be involved in the formation of these compounds.

4 Similar mass spectrometric patterns were observed for all investigated compounds that form  
5 HOM and Table 3 gives the overview which of the compounds formed HOM in our  
6 ozonolysis experiments. In Table 3 we also list the functionalization at the  $\omega$ -C-atom, the  
7 opposite end of the initial peroxy radical, as explained by structures S2, S4a, S4b, and S5-S7  
8 in Figure 3. In case of methyl-substituted double bonds the symmetry is broken and the initial  
9 peroxy radical can be either formed at the unsubstituted site of the double bond, then the  $\omega$ -  
10 terminal group is **an** acetyl group (S5) or at the substituted site (S4a,b) then the  $\omega$ -terminal  
11 group is an aldehyde. In case of the linear alkenes, we consider only the product with the  
12 longer C-chain after ozonolysis of the double bond. The peroxy group resides in  $\alpha$ -position to  
13 the remaining C-atom of the double bond leaving the  $\omega$ -terminal group to whatever was at the  
14 other end of the parent molecule.

15 Efficient formation of highly oxidized molecules was found for the ozonolysis of all  
16 endocyclic alkenes, including  $\alpha$ -pinene and  $\Delta$ -3-carene, and from ozonolysis of (Z)-6-  
17 nonenal. In contrast ozonolysis of 1-heptene, (Z)-6-nonenol and 5-hexen-2-on did *not* lead to  
18 substantial formation of highly oxidized molecules. In all the positive cases the mass spectra  
19 were dominated by few peaks, analogous to Figures 1 and 2, and these are listed in Table 4 -  
20 10, 12, and 13. All these compounds have in common that the respective starting peroxy  
21 radicals of type S2 or S4a,b in Figure 3 can be formed.

22 The absence of highly oxidized molecules of 1-heptene, (Z)-6-nonenol, and especially 5-  
23 hexen-2-on suggests that an *aldehyde group* at the  $\omega$ -C-atom facilitate HOM formation. No  
24 functionality ( $CH_3$ -), a methyl-oxo group  $CH_3-C(=O)$ -, or an alcohol group  $HO-CH_2$ - at the  
25  $\omega$ -end of the molecule obviously do not strongly promote formation of HOM. The positive  
26 results for 1-methyl-cyclohexene, and both monoterpenes (MT) indicate that the peroxy  
27 radical group can be located either in  $\alpha$ -position to a keto- or an aldehyde group. Applying our  
28 scheme, this means that  $\omega$ -aldehyde functionality in peroxy radicals S2 and S4a,b in Figure 3  
29 favors H-shifts, while the other groups in S5-S7 do not.

## 1 5 Discussion

### 2 5.1 Unsubstituted cycloalkenes, peroxy radicals, and (Z)-6-nonenal

3 Table 4, Table 5, and Table 6 list the molecular masses of the organic moieties that were  
4 attributed to highly oxidized molecules, derived from the mass spectra for the cases of  
5 cyclopentene, cyclohexene, and cycloheptene. The mass of the nitrate ion was subtracted and  
6 termination products of peroxy radicals with mass  $m$  were classified as by  $m-17$  (carbonyl),  
7  $m-15$  (hydroxy) and  $m+1$  (hydroperoxy), as in Table 2 of the Method section. Only such  
8 molecular structures that were indeed observed are noted, together with their molecular mass  
9 and the precise  $m/z$  at which the molecules were detected as cluster with  $\text{NO}_3^-$ . Clearly, we did  
10 not find all possible intermediates and termination products derived in the Method section.

11 As shown already in Figure 1, we often observed quite strong peaks at such odd masses  $m$   
12 where we would expect the peroxy radicals. From the molecular formula alone, which is  
13 assessable by APi-TOF-MS, their chemical character as alkyl-, alkoxy- or peroxy radicals  
14 cannot be distinguished. Alkoxy and alkyl radicals react with the  $\text{O}_2$  in air, while peroxy  
15 radicals react mainly with other peroxy radicals or  $\text{NO}$ , the latter being low in our  
16 experiments. We can exclude alkyl and alkoxy radicals, as their lifetime is too short to allow  
17 for formation in measurable amounts (and to survive in the APi-TOF-MS). Other candidates  
18 would be organic nitrates, which we exclude by the observed mass defects and because of our  
19 low  $\text{NO}_x$  conditions. Moreover, highly oxidized nitrates would be expected at  $m+30$ , so they  
20 cannot interfere with  $\text{O}$  or  $\text{O}_2$  progressions of  $m$ . A contribution of  $^{13}\text{C}$  isotope can be  
21 excluded if there is no strong signal at  $m-1$ . We conclude that the strong peaks at  $m$  are  
22 peroxy radicals. It is known that peroxy radicals can have lifetimes of minutes (e.g.,  
23 Finlayson-Pitts and Pitts Jr, 2000, Section 6.D.2.e), so they can be built up in high enough  
24 concentrations and obviously survive in our APi-TOF-MS. HOM peroxy radicals were also  
25 observed in previous studies (Ehn et al. 2014; Jokinen et al., 2014; Rissanen et al., 2014).  
26 However, in case of a significant contribution of the hydroxy-peroxy path leading to hydroxy-  
27 peroxy radicals at  $m+16$  the corresponding carbonyl termination product resides at the  $m-1$   
28 ( $m+16-17$ ) position. In these cases the  $^{13}\text{C}$  contribution of the carbonyl termination product at  
29  $m$  must be considered and corrected.

30 According to the scheme in Table 2, the starting point for formation of highly oxidized  
31 molecules is the peroxy radical of type S2 (Sequence 1) with  $\text{R} = (\text{CH}_2)_{2-4}$  and four O-atoms.

1 The first detected peroxy radicals were  $C_5H_7O_8$ ,  $C_6H_9O_8$ , and  $C_7H_{11}O_8$  and the most oxidized  
2 were the  $O_{10}$ -analogues (Tables 4-6). Peroxy radicals with odd oxygen numbers likely involve  
3 alkoxy rearrangement Sequence 3 at one step. We will discuss both findings later in detail.

4 The next columns in Tables 4-6 list the stable HOM produced in termination reactions from  
5 the peroxy radical in the first column. All intensities were normalized to the strongest signal.  
6 For cyclohexene and cycloheptene this is the  $O_9$ -carbonyl termination product (m-17) which  
7 is formed from the peroxy radical carrying ten O-atoms either via R5, R6 (Sequence 2) or, as  
8 shown by Rissanen et al. (2014), via R9c (Sequence 4). The corresponding peak is second  
9 largest for cyclopentene; here the  $O_7$ -carbonyl termination product from the precursor peroxy  
10 radical with eight O-atoms is about a factor of two larger (Table 4). The analogous product  
11 appeared also for cyclohexene, however contributing only 20% of the largest carbonyl  
12 termination product (Table 5), and it is unimportant for cycloheptene (Table 6). In general  
13 carbonyl termination products (m-17) arising from R5 and R6 are expected to be the major  
14 products at typical atmospheric concentrations of  $NO$ ,  $HO_2$ , and  $RO_2$ .

15 Compared to carbonyl termination products, hydroxy (m-15) and hydroperoxy termination  
16 products (m+1) are less important termination products and only for cyclopentene we find  
17 significant contribution of hydroxy and hydroperoxy termination products of 10% - 20%.  
18 Their contribution is decreasing with increasing chain length, and their contribution in case of  
19 cycloheptene is less than 1 %. Increasing chain length may make the geometry of the H-shift  
20 more favourable (i.e. 1,6 instead of 1,5 or 1,4 etc). Thus, the H-shifts of longer-chain peroxy  
21 radicals become faster, while bimolecular reactions are more or less unchanged, thus giving  
22 more carbonyl termination products in relation to hydroxy and hydroperoxy termination  
23 products. The detailed product distribution must be also dependent on the reaction conditions,  
24 i.e. reactant and oxidant concentrations, temperature etc. For example the formation of  
25 hydroperoxy groups is controlled by  $HO_2/RO_2$  ratio and we did not take specific measures to  
26 hold this ratio constant.

27 For cyclopentene the hydroperoxide  $C_5H_8O_8$  provides a substantial contribution of 19% under  
28 the given conditions.  $C_5H_8O_8$  can be either the hydroperoxy termination product from  $C_5H_7O_8$   
29 +  $HO_2$  (R4) or an hydroxy termination product formed in reaction (R5, Sequence 2) including  
30 the hydroxy-peroxy radical  $C_5H_7O_9$ . Both lead to same isobaric mass (Table 4). Since the  
31 corresponding carbonyl termination product is missing and the precursor  $C_5H_7O_9$  of the  
32 hydroxy termination product is much less abundant than that of the hydroperoxy product

1 C<sub>5</sub>H<sub>7</sub>O<sub>8</sub>, we suggest that the main fraction of the peak observed for C<sub>5</sub>H<sub>8</sub>O<sub>8</sub> is the  
2 hydroperoxy termination product.

3 As is obvious from Tables 4 and 5, for cyclopentene and cyclohexene, only molecules with  
4 more than seven oxygen atoms were detected. However, as can be seen in Table 6 for  
5 cycloheptene, five or less O-atoms can be detected for C<sub>7</sub> compounds, but only in traces. This  
6 is corroborated by Tables 7 for (Z)-6-nonenal and Tables 8 and 9 for the methyl-  
7 cyclohexenes.

8 We can already deduce some rules for formation of HOM from the results of cyclopentene,  
9 cyclohexene and cycloheptene and construct a mechanistic scheme as shown in Figure 4 (cf.  
10 Rissanen et al., 2014). The most abundant peaks in the monomer range of HOM can be  
11 attributed to products preserving the C-atom number of the precursor. They form regular  
12 patterns in the mass spectra, which can be explained by expected termination products of RO<sub>2</sub>  
13 termination reactions Sequence 2 and the intramolecular termination R9c (Rissanen et al.,  
14 2014), either directly via the peroxy path Sequence 4 or via an alternative, the hydroxy-  
15 peroxy path, involving alkoxy rearrangement Sequence 3 as intermediate step.

16 Stable, closed shell termination products are most abundant. Carbonyl termination products  
17 (S8, S11) are more abundant than hydroperoxy (S10, S13) and hydroxy termination products  
18 (S9, S12, S14) and all together they are more abundant than the peroxy radicals. Products of  
19 the hydroxy-peroxy path gain importance with increasing chain length, but remain sparse and  
20 less abundant than products from the peroxy path. Independent of the chain length the  
21 maximum number of oxygen atoms observed in peroxy radicals and hydroperoxides is ten, or  
22 nine for corresponding carbonyl and hydroxy termination products, because here one O atom  
23 is lost in termination reactions R5 and R6a (Sequence 2). The starting radicals S2 have 4 O-  
24 atoms - two carbonyl end groups (2 x O) and a peroxy functionality (1 x OO<sup>\*</sup>) in  $\alpha$ -position to  
25 one of the end groups. Therefore, the H-shift/O<sub>2</sub>-addition mechanism of peroxy radicals can  
26 operate up to 3 times introducing up to 6 further O-atoms. This together with the sensitivity of  
27 formation of highly oxidized molecules to an aldehyde  $\omega$ -terminal group (Table 3) suggest  
28 that **H-shifts, which are competitive at the experimental peroxy radical lifetimes, are** limited  
29 to the two terminal C-atoms and the two C-atoms in  $\alpha$ -position to them. The H-atoms of the  
30 aldehyde groups are relative weakly bound (Rissanen et al., 2014) and so it is not surprising  
31 that they are preferably attacked as shown in the first steps on the left hand side in Figure 4.  
32 (Additional constraints are the steric availability of the H-atoms.) In general the binding

1 energy of an H-atom to a carbon atom depends on the functional group added to the  
2 respective C-atom. Low binding energies certainly will favor H-shifts (given a suited  
3 geometry) and therefore favor the autoxidation mechanism (e.g. Glowacki and Pilling, 2010).

4 Attack on aldehyde H-atom leads to peroxy radicals of type  $-C(=O)OO^{\bullet}$  and after further H-  
5 shift to percarboxylic acid groups  $-C(=O)OOH$  (Figure 4). We suggest that percarboxylic  
6 acid groups **are able** to activate the H-atoms at their neighbor C-atom in  $\alpha$ -position. This will  
7 support one more autoxidation step. Termination here can lead to the dominant carbonyl  
8 termination products. From these observations we deduce that a highly oxidized carbonyl  
9 compound should have the structure S11 in Figure 4, i.e. a di-percarboxylic acid with  
10 hydroperoxide group and keto group, both in  $\alpha$ -position to the percarboxylic acid groups, at  
11 least in the case of the plain cycloalkenes discussed here. Assuming that a percarboxylic acid  
12 group is required for H-shift activation at its neighboring  $\alpha$ -C-atom the corresponding  
13 hydroperoxy and hydroxy termination products should look like structures S12 and S10 in  
14 Figure 4.

15 If the percarboxylic group is able to activate the H-atoms at its  $\alpha$ -C-atom to be competitive  
16 with a shift of an aldehyde-H, the final attack could also occur at the aldehyde group. This  
17 would lead to either S13 under hydroperoxy termination or to the structure S14, a mixed  
18 carboxyl percarboxylic di-acid with hydroperoxide groups at the C-atoms in  $\alpha$ -position of the  
19 acid functions, isobaric to S12 in Figure 4.

20 Our interpretations are corroborated by the findings for (Z)-6-nonenal. Ozonolysis of (Z)-6-  
21 nonenal leads to either a  $C_3$ -Criegee intermediate and a  $C_6$ -dialdehyde, or propanal and a  $C_6$ -  
22 Criegee intermediate. Via the vinylhydroperoxide path the latter forms the same starting  
23 peroxy radical as the ring opening of cyclohexene. Indeed, two peroxy radicals were detected  
24 for (Z)-6-nonenal and the dominant peak is the carbonyl termination product from the  $O_{10}$ -  
25 peroxy radical (Table 7).

## 26 **5.2 Methyl substitution of cyclohexene**

27 In order to support the suggested reaction path to HOM in Figure 4, we now will investigate  
28 the effect of methyl substitution of the double bond. This is also one step further towards  $\alpha$ -  
29 pinene, the most abundant MT, which forms ELVOC in the atmosphere (Ehn et al., 2012,  
30 2014). Table 8 lists the results for 1-methyl-cyclohexene. Here the largest peak is the carbonyl  
31 termination product  $C_7H_{10}O_7$  arising from the peroxy radical  $C_7H_{11}O_8$ . The corresponding  $O_7$ -

1 hydroxy and O<sub>7</sub>-hydroperoxy termination products can be also identified. As in the case of  
2 cycloheptene, highly oxidized molecules with less than seven O-atoms are detectable, but in  
3 very small amounts only. Compared to cycloheptene (and cyclohexene) the HOM arising  
4 from the O<sub>8</sub> peroxy radical dominate while termination products from the O<sub>10</sub> peroxy radical  
5 are sparse.

6 The methyl substitution at the double bond introduces asymmetry, leading to three different  
7 vinylhydroperoxides and three different starting peroxy radicals S15-S17 (Figure 5). The  
8 peroxy radical S17 in Figure 5 has a methyl-oxo and *not* an aldehyde group as ω-terminal  
9 group. In case of 5-hexen-2-on only the S17 analog, S5, (Figure 3) is formed and 5-hexen-2-  
10 on did not undergo HOM formation. Peroxy radicals in S15 and S16 (Figure 5) can rearrange  
11 under H-shift from the ω-aldehyde group and subsequent O<sub>2</sub> addition. S15 and S16 are similar  
12 with only the hydroperoxide group at different positions. According to the scheme developed  
13 here this should lead to the same set of isobaric products, and for clarity we will only follow  
14 the fate of peroxy radical S15. In case of S15 the autoxidation mechanism would lead to  
15 peroxy radical S18 and in a further step to peroxy radical S19. S19 terminates in the usual  
16 way or intramolecular (R9c) to either ketones (S20, S21) or a hydroxy product (S22), or a  
17 hydroperoxide (S23). The isobaric carbonyl termination products (S20, S21) are by far the  
18 largest contribution in the spectrum, as hydroxy and hydroperoxy termination products  
19 contribute only about 1-2% in total. It is notable that the maximum oxidation is indeed limited  
20 to 7/8 O-atoms, two less compared to the major termination products of cycloheptene.

21 The products of 3- and 4-methyl-cyclohexene (Table 10, 11), as well as cycloheptene (Table  
22 6) show similar patterns. Methyl substitution leads only to minor variations of the  
23 cycloheptene HOM pattern with the 3-methyl-hexene being little more deviant. This could  
24 indicate steric effects, which fade if the methyl group moves away from the double bond, i.e.  
25 away from the molecule ends of the ring opening products susceptible to H-shifts.

26 Table 9 compares the HOM of all C<sub>7</sub> cycloalkenes investigated. As discussed, 1-methyl  
27 substitution leads to unique HOM pattern wherein the highest oxidation step is only very  
28 weakly expressed. This is likely caused by the fact that the ring opening for 1-methyl  
29 cyclohexene leads only to one aldehyde group, instead of two as for cycloheptene, 3-methyl-  
30 cyclohexene, and 4-methyl-cyclohexene.

31 In case of 4-methyl cyclohexene (Table 11) we find small contributions of C<sub>7</sub>H<sub>10</sub>O<sub>11</sub>  
32 indicating that in complex molecules higher degrees of oxidation may be achieved. We



1 hypothesize, that the tertiary H-atom at the methyl branching may be susceptible to H-shift of  
2 peroxy groups. The observation of the O<sub>11</sub>-carbonyl termination products suggests that the  
3 attack on the tertiary H-atom is not necessarily the final step, as tertiary peroxy radicals  
4 cannot stabilize into ketones. If several H-atoms are susceptible to H-shift of peroxy groups -  
5 with different rates – permutation of pathways will occur according to the respective rate  
6 coefficients. For 3-methyl-cyclohexene the effect of the tertiary peroxy radicals is not so  
7 distinct, because the methyl group resides on the  $\alpha$ -C-atom next to an aldehyde group from  
8 which we expect H-shift anyhow.

### 9 **5.3 Monoterpenes and tertiary H-atoms**

10 Table 12 and Table 13 show the result for two bicyclic MT,  $\alpha$ -pinene (cf. Ehn et al., 2014)  
11 and  $\Delta$ -3-carene. Both MT contain the same methyl-substituted 6-ring structure as 1-methyl  
12 cyclohexene. In case of 1-methyl cyclohexene we are quite confident that the highest peroxy  
13 radical should look like S19 in Figure 5 and 6. If we *construct* the analogous peroxy radicals  
14 for  $\alpha$ -pinene and  $\Delta$ -3-carene they should look like S24 and S25 in Figure 6, so the maximum  
15 oxidation degree in analogy to the cycloalkenes should be limited as for 1-methyl-  
16 cyclohexene, i.e. either bimolecular or intramolecular termination.

17 Comparison shows that for the MT higher oxidation degrees were achieved (Tables 12 and  
18 13). While for 1-methyl cyclohexene the major termination product is a ketone with seven O-  
19 atoms,  $\alpha$ -pinene and  $\Delta$ -3-carene generate substantial amounts of ketones with nine ( $\alpha$ -pinene,  
20  $\Delta$ -3-carene) or even 11 ( $\alpha$ -pinene) O-atoms. While for simple cycloalkenes carbonyl  
21 termination products dominate (Table 4-8), the major termination products of  $\alpha$ -pinene and 3-  
22 carene appear at the  $m/z$  of the corresponding to hydroxy termination with nine O-atoms  
23 (presumably four OOH groups), and  $\alpha$ -pinene also generates the next higher hydroxy  
24 termination product with 11 O-atoms (see Tables 12 and 13).

25 As already indicated for 4-methyl cyclohexene, which shows HOM with 11 O-atoms, H-shift  
26 from tertiary C-atoms can obviously lead to a spread of formation routes (tertiary H-atoms  
27 shown in Figure 6). So far, MT molecules are too complex to guess the pathways only from  
28 the observed mass spectra. However, the fact that the dominant MT termination products are  
29 hydroxy rather than carbonyl compounds indicates that alkoxy involving steps maybe more  
30 important for MT than for the simpler alkenes and that ring opening of the cyclobutyl/propyl  
31 rings is involved in HOM formation (Rissanen et al., 2015). A relative gain in hydroxy and

1 hydroperoxy termination products is also commensurable with a higher number of peroxy  
2 radicals at tertiary C-atoms, which cannot form ketones via H-abstraction by air oxygen.  
3 Please note, that although the oxidation degree is higher than to be expected from our  
4 formation scheme for plain C<sub>5</sub>-C<sub>7</sub> cycloalkenes, the mass spectrometric pattern of peroxy-  
5 radical with  $m/z = m$ , carbonyl  $m-17$ , hydroxy  $m-15$ , hydroperoxy  $m+1$  still applies and helps  
6 to order the analysis of the mass spectra. We conclude that the routes to HOM for simple  
7 molecules proposed by us are basic but not sufficient to explain HOM formation in complex  
8 molecules.

#### 9 **5.4 Dimers and peroxyradicals**

10 Besides HOM with the same number of C-atoms as the precursor, we observe also HOM  
11 molecules with twice the C-atom numbers of the precursors, thus having dimer character.  
12 Table 14 lists the detected and assigned HOM dimers from cyclopentene, which had the  
13 highest chemical turnover (due to the fastest rate coefficient and the largest O<sub>3</sub> concentration).  
14 The peak intensities were normalized to the dominant dimer. The two most abundant dimers  
15 contain even number of O-atoms and 14 H-atoms. But we found also dimers with 16 H-atoms  
16 and odd number of O-atoms. **The molecular compositions discussed here are not**  
17 **commensurable with peroxyhemiacetals (proposed by Tobias and Ziemann, 2000) that would**  
18 **have been formed by reaction of HOM peroxy radicals and HOM carbonyl compounds and**  
19 **should have an odd number of O-atoms but 14 H-atoms.**

20 Since we observe the peroxy radicals directly it is suggestive to test if the dimers are  
21 peroxides and arise from recombination of two peroxy radicals according to R8 (Sequence 2).  
22 We assume that two peroxy radicals recombine to a peroxide under elimination of O<sub>2</sub>. Table  
23 15 lists the dimers expected for cyclopentene by simply permuting all observed and some  
24 additional peroxy radicals (those with less O-substitution, which we expect but probably are  
25 not detectable for cyclopentene). The molecular formulas of dimers which were observed are  
26 marked in bold face. The most abundant, identified peroxy radicals (compare Table 4) are  
27 also marked in bold face.

28 The dimer with the largest signal has the molecular formula C<sub>10</sub>H<sub>14</sub>O<sub>14</sub>, and it can be formed  
29 by reaction of two C<sub>5</sub>H<sub>7</sub>O<sub>8</sub>, the dominant peroxy radical (cf. Table 4). But it is also clear that  
30 several combinations of peroxy radical pairs can lead to dimers with the same molecular  
31 mass. Formulas in Table 15 set in bold face and italic indicate dimers which involve the two

1 most abundant peroxy radicals. We also detect dimers which comprise the involvement of low  
2 O precursors (Table 15 first line, normal face). This is indicative of their existence, although  
3 due to instrument limitations we probably are not able to detect them. Not all combinations  
4 are of the same likelihood. For example,  $C_{10}H_{14}O_{16}$  is less likely formed by dimerization of  
5  $C_5H_7O_9$ , which would arise from the minor hydroxy-peroxy path, but more likely by  
6 recombination of  $C_5H_7O_8$  and  $C_5H_7O_{10}$ . Of course each combination of suited peroxy radical  
7 pairs may contribute somewhat to the observed dimer. The findings of  $C_{10}H_{14}O_{12,14,16}$  dimers  
8 in Table 14 mutually support our assignments of peroxy radicals as well as our assignments of  
9 dimers. It overall supports the basic formation schemes developed in the Method section.

10 Notably, there are still the two dimers in Table 14 with odd numbers of oxygen atoms which  
11 contain 16 H-atoms. Due to the 16 H-atoms these dimers cannot be simply formed by any  
12 combination of the peroxy radicals detected during cyclopentene ozonolysis, which carry only  
13 seven H-atoms. However, the  $C_{10}H_{16}O_9$  dimer may be formed as a re-combination of the most  
14 abundant  $C_5H_7O_8$  peroxy radical and a peroxy radical with the molecular formula  $C_5H_9O_3$ . In  
15 the same way  $C_{10}H_{16}O_{11}$  dimers could be formed by the observed  $C_5H_7O_{10}$  peroxy radical and  
16 the  $C_5H_9O_3$  peroxy radical.  $C_5H_9O_3$  is the molecular formula of the first peroxy radical in the  
17 oxidation chain of cyclopentene by OH. Production of the  $C_5H_9O_3$  peroxy radical from  
18 cyclopentene occurs via OH addition to one site of the double bond and addition of  $O_2$  at the  
19 other site, which is an alkyl radical site. Reactions with OH are possible since we did not  
20 routinely quench dark OH in the ozonolysis experiments.

21 As first peroxy radical in the OH-oxidation chain of cyclopentene, the  $C_5H_9O_3$  peroxy radical  
22 should be quite abundant. Due to the low number of O atoms in this radical it is not detectable  
23 with our APi-TOF-MS scheme. To test the hypothesis of OH reactions being involved in the  
24 formation of these dimers, CO was added as OH scavenger (~ 40 ppm) in a cyclopentene  
25 ozonolysis control experiment. Figure 7 shows the overlay of the dimer spectra from  
26 cyclopentene ozonolysis with and without CO addition.

27 CO addition led indeed to a decrease in the abundance of both  $H_{16}$ -HOM dimers detected at  
28 343 Th and 375 Th, suspected to arise from the  $C_5H_9O_3$  peroxy radical. Furthermore, the  
29 abundance of  $C_{10}H_{14}O_{12}$  (detected at 389 Th) and that of  $C_{10}H_{14}O_{14}$  (detected at 421 Th)  
30 increased. This is in accordance with suppression of the competition by  $C_5H_9O_3$  from  
31 cyclopentene + OH reaction. After suppression of OH more ozonolysis products in general  
32 and more dimers by ozonolysis-only products are formed, e.g.  $C_5H_7O_8 + C_5H_7O_8$ .

1 Moreover, there are more peaks which decrease by CO addition. This means, that these  
2 molecules likely involve oxidation by OH radicals at some step. It's noticeable that all peaks  
3 that decrease can be attributed to dimer structures containing an odd number of oxygen atoms  
4 (the reagent ion  $^{15}\text{NO}_3^-$  subtracted). In contrast dimer structures which contain an even  
5 number of oxygen atoms increase under CO addition, indicating that their formation involve  
6 ozonolysis-only products.

7 In the monomer region, the addition of CO should increase the relative contribution of  
8 hydroperoxide termination products since quenching with CO converts OH to  $\text{HO}_2$  molecules,  
9 thus increasing the  $\text{HO}_2$  concentration. Docherty and Ziemann (2005) discussed such an effect  
10 of increasing  $\text{HO}_2$  concentration by scavenging OH in the dark on the formation of  
11 hydroperoxides (R4) in competition to dimer formation by  $\text{RO}_2+\text{RO}_2$  reactions. This would be  
12 a further support of our assignment of peroxy radicals and their termination products but  
13 deserves more detailed investigations.

## 14 **5.5 Role of the hydroxy peroxy path**

15 As can be seen from the Tables (4-10, 12, 13), peroxy radicals with even numbers of O-atoms  
16 were often observed. In contrast peroxy radicals with odd numbers of O atoms as well as their  
17 termination products were only rarely found. We hypothesize, that peroxy radicals with odd  
18 numbers of O-atoms can be formed from alkoxy radicals that undergo an H-shift (Vereecken  
19 and Peeters, 2010), and thereby form the alkyl radical to which the  $\text{O}_2$  is added. Their low  
20 abundance can be understood applying basic steady state considerations. H-shifts of alkoxy  
21 radicals (R6c) formed in reaction R6a, and subsequent  $\text{O}_2$  addition (R6d), has to compete with  
22 the termination reaction R6b, if the alkoxy C-atom carries an H-atom. As  $\text{O}_2$  concentrations  
23 are very high, the chemical lifetime of an alkoxy radical is much shorter than that of a peroxy  
24 radical. This also presupposes that the consecutive addition of molecular oxygen after H-  
25 shifts in peroxy radicals is highly efficient.

## 26 **6 Summary and conclusions**

27 A key to our analysis was the direct observation of highly oxidized peroxy radicals in  
28 oxidations initiated by ozone. As to be expected in atmospheric oxidation processes, peroxy  
29 radicals were the pivotal point to elucidate the pathways of HOM formation and therewith the  
30 pathways to atmospherically relevant ELVOC. Peroxy radicals are formed in ozonolysis via  
31 the vinylhydroperoxide pathway, and are sequentially oxidized by rearrangement (H-shift)

1 and subsequent addition of molecular oxygen, renewing the peroxy radical at the next level of  
2 oxidation (Ehn et al. 2014, Rissanen et al., 2014). Since only a single initial oxidation step by  
3 ozone is required and thereafter oxidation proceeds perpetuating a peroxy radical under  
4 addition of air oxygen alone, this process can be conceived as autoxidation of the peroxy  
5 radicals.

6 By our experimental studies of HOM formation using selected molecules with systematically  
7 varying structural properties, we deduced important steps on the route to HOM formation  
8 during ozonolysis. Peroxy radicals are formed via the vinylhydroperoxide path. Initially,  
9 aldehyde functionality facilitates the shift of an H-atom from a C-H bond to a peroxy radical  
10 group  $>COO^{\bullet}$ . As a consequence peroxy-carboxyl radicals are formed, which on further H-  
11 shift reactions form percarboxylic acid groups. These are able to activate the H-atoms on their  
12 neighbor  $\alpha$ -C atom. Thus, in the ozonolysis of simple endocyclic alkenes up to 10 O-atoms  
13 can be incorporated in a peroxy radical, of those 6 O-atoms by the sequential autoxidation  
14 mechanism. We conclude that intermediates with two aldehyde end groups form di-  
15 percarboxylic acids with further carbonyl, hydroxy or hydroperoxide functionalities. We  
16 observed that presence of tertiary H-atoms by methyl substitution or constraint ring structures,  
17 like for  $\alpha$ -pinene and  $\Delta$ -3-carene, leads to more options for the autoxidation mechanism to  
18 proceed. This is allowing for addition of more than six O-atoms (here eight O-atoms) and a  
19 widening of the termination product spectrum.

20 An aldehyde group at the  $\omega$ -end of the initial peroxy radical S2 favors the achievement of the  
21 highest oxidation degree. In the cases investigated here, methyl, hydroxy and keto groups are  
22 not efficient in promoting H-shift of C-H bonds at neighboring  $\alpha$ -C-atoms to peroxy groups.  
23 The 1,4 H-shift from the aldehyde group to the peroxy radical in those molecules (S5, S6, S7)  
24 may lead to a hydroperoxide, percarboxylic acid or hydroperoxy carboxylic acid, but then  
25 likely the autoxidation stops. If such molecules are formed, we may not be able to detect them  
26 with the  $NO_3^-$ -APi-TOF-MS. A key finding for the role of  $\omega$ -substitution is that (Z)-6-nonenal  
27 is forming the same major HOM as cyclohexene (Rissanen et al., 2014).

28 In the first few steps, as long as H-atoms susceptible to H-shift are available, autoxidation can  
29 compete with the termination reactions. At later stages termination reactions become more  
30 important and carbonyl, hydroxy, and hydroperoxy termination products are formed in our  
31 NO poor system. Self-reactions of the HOM peroxy radicals lead to another class of dimer  
32 termination products, very likely peroxides. The elemental composition and relative

1 abundance of the dimer structures indicate involvement of the monomer peroxy radicals of all  
2 oxidation stages in their formation. The most abundant dimers always involve the most  
3 abundant peroxy radicals. In addition we found dimers from the most abundant HOM peroxy  
4 radicals with the O<sub>3</sub>-peroxy radicals formed in the first step after attack addition of OH to the  
5 double bond. These disappeared when OH was quenched by CO. In general quenching with  
6 CO suppresses the OH pathways and shifts termination towards HOO, as would be expected.  
7 Overall the mass spectrometric patterns of termination products and dimer formation support  
8 the pivotal role of highly oxidized peroxy radicals and that we indeed observe them directly.

9 We observe peroxy radicals with an odd number of oxygen, however, during ozonolysis their  
10 concentration were minor. The observations of peroxy radicals with an odd number of oxygen  
11 can be explained by the same concepts if we allow for a side pathway involving one  
12 intermediate step of alkoxy rearrangement (H-shift in an alkoxy radical, thereby formation of  
13 an alkyl radical and O<sub>2</sub> addition).

14 Considering the degree of oxidation as well as the functional groups in HOM, monomers and  
15 even more so dimers must have very low vapor pressures. Thus, HOM must play as ELVOC  
16 an important role in particle formation and SOA condensation (Ehn et al., 2014). The  
17 estimated molecular yields of ELVOC for  $\alpha$ -pinene of 7% (Ehn et al. 2014) and cyclohexene  
18 of 4% (Rissanen et al., 2014) indicate that ELVOC formation is in any case a minor part from  
19 the viewpoint of gas-phase chemistry. However, considering molar yields of a few percent  
20 and the high degree of oxidation, a substantial part of atmospheric SOA mass should be  
21 formed from ELVOC. The organic fraction of particles at early stages of formation should  
22 consist nearly exclusively of ELVOC (Ehn et al., 2014).

23 Actually, in JPAC we earlier observed linear growth curves in SOA formation, which we did  
24 not understand at the time. A characteristic of those experiments was that particle formation  
25 was induced based on relatively low BVOC input and in presence of the BVOC-ozonolysis  
26 products (Mentel et al., 2009; Lang Yona et al., 2010). SOA growth curves of quasi non-  
27 volatile reaction products should be linear as Raoult's law does not apply and everything  
28 condenses. (The SOA yield curves were still curved as there was threshold before particle  
29 formation started (Mentel et al. 2009).)

30 An open question is the fate of HOM in the particulate phase. It seems obvious that the  
31 multifunctional HOM will not survive but undergo condensation reactions. The products of  
32 those are probably not retrievable by thermo-evaporation methods. It also raises the question

1 how HOM based SOA relates to recently discussed glassy state of SOA particles (Koop et al.,  
2 2011;Shiraiwa et al., 2013;Virtanen et al., 2010;Zobrist et al., 2008).

3 We are confident that we deduced the main route of atmospheric oxidation that leads to  
4 “quasi” instantaneous formation of highly oxidized organic molecules. We are also confident  
5 that we deduced the major functionalization of HOM. Of course in our deductions there are  
6 still positive gaps (observations which we cannot explain with our current concepts) and  
7 negative gaps (missing structures that we would expect). But even at that level it is evident  
8 that formation of HOM is likely a general phenomenon, which was overlooked until very  
9 recently. To fully explore the general impact of HOM we need also to understand the role of  
10 OH oxidation and how the chemical systems behave at reasonably high NO<sub>x</sub> concentrations.  
11 CI-APi-TOF-MS constitutes an enormous progress as it allows for unambiguous  
12 determination of the molecular formulas of HOM in laboratory experiments. However, for  
13 detailed mechanism development one would need also structural information and  
14 quantification of (all) intermediates and products.

15

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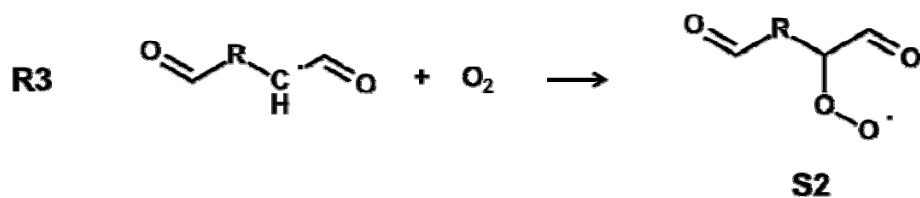
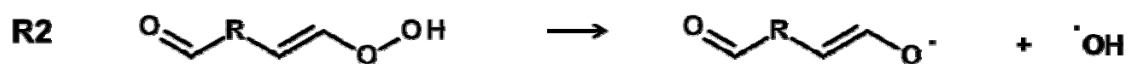
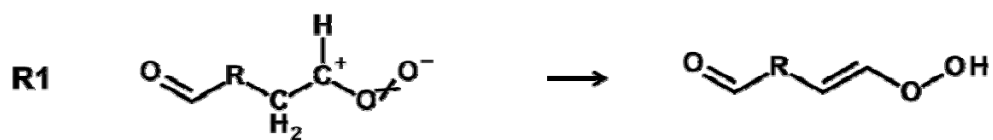
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1 Reactions, schemes and molecular structures

2 Sequence 1: vinylhydroperoxide path in ozonolysis

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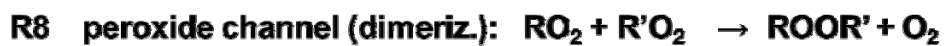
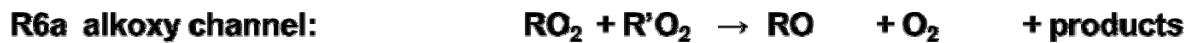


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2 **Sequence 2: general RO<sub>2</sub> reactions**



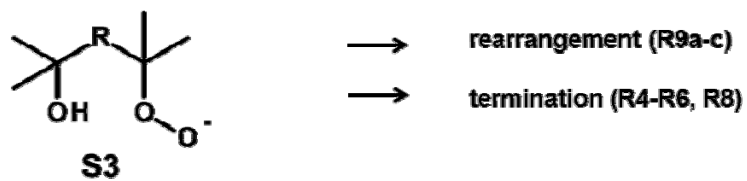
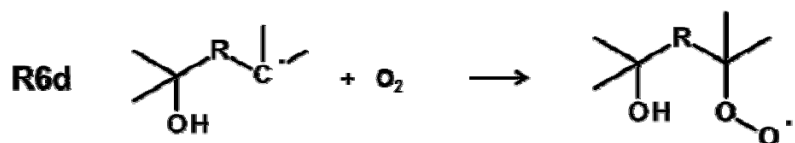
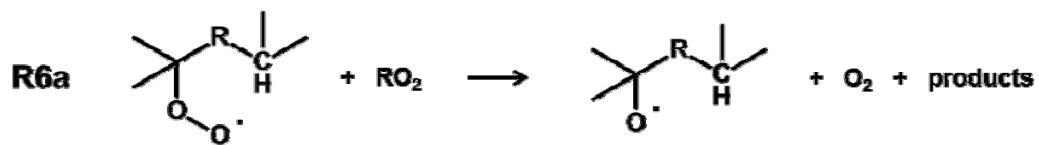
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1 Sequence 3: hydroxy-peroxy path via alkoxy channel

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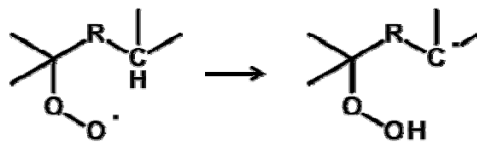
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1 Sequence 4: peroxy path

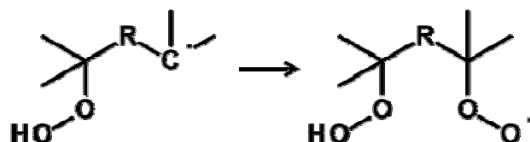
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*auto-oxidation pathway*

R9a H-shift:

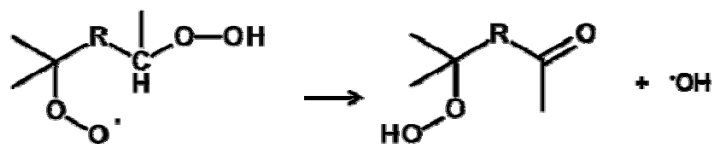


R9b O<sub>2</sub>-addition:



*intramolecular termination*

R9c carbonyl termination:



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1 **Table 1.** VOC investigated in this study, steady state mixing ratio of VOC and O<sub>3</sub> during the  
 2 ozonolysis experiments, rate coefficient for the VOC + O<sub>3</sub> reactions at 298 K.

VOC	Molecular formula	Molar mass [g/mol]	Purity [%]	[VOC] <sub>SS</sub> [ppb]	[O <sub>3</sub> ] <sub>SS</sub> [ppb]	k <sub>O<sub>3</sub>+VOC</sub> <sup>5</sup> [cm <sup>3</sup> ·s <sup>-1</sup> ]
cyclopentene <sup>2</sup>	C <sub>5</sub> H <sub>8</sub>	68.12	96	81	90	6.5·10 <sup>-16</sup>
cyclohexene <sup>1</sup>	C <sub>6</sub> H <sub>10</sub>	82.14	>99	136	65	8·10 <sup>-17</sup>
1-methyl-cyclohexene <sup>1</sup>	C <sub>7</sub> H <sub>12</sub>	96.17	97	50	75	1.5·10 <sup>-16</sup>
3-methyl-cyclohexene <sup>2</sup>	C <sub>7</sub> H <sub>12</sub>	96.17	>93	26	84	5.5·10 <sup>-17</sup>
4-methyl-cyclohexene <sup>2</sup>	C <sub>7</sub> H <sub>12</sub>	96.17	98	88	70	7·10 <sup>-17</sup>
cycloheptene <sup>1</sup>	C <sub>7</sub> H <sub>12</sub>	96.16	97	40	80	2.5·10 <sup>-16</sup>
1-heptene <sup>1</sup>	C <sub>7</sub> H <sub>14</sub>	98.19	97	33	105	1.5·10 <sup>-17</sup>
(Z)-6-nonenal <sup>1</sup>	C <sub>9</sub> H <sub>16</sub> O	140.22	92	44	90	
(Z)-6-nonen-(1)-ol <sup>3</sup>	C <sub>9</sub> H <sub>18</sub> O	142.4	≥95	1.4	80	
5-hexen-2-one <sup>1</sup>	C <sub>6</sub> H <sub>10</sub> O	98.14	99	33	90	
α-pinene <sup>1</sup>	C <sub>10</sub> H <sub>16</sub>	136.24	>99	10	100	9·10 <sup>-17</sup>
Δ-3-carene <sup>4</sup>	C <sub>10</sub> H <sub>16</sub>	136.24	≥98.5	10	100	4·10 <sup>-17</sup>

3 <sup>1</sup> Aldrich

4 <sup>2</sup> TCI

5 <sup>3</sup> SAFC

6 <sup>4</sup> Fluka

7 <sup>5</sup> NIST Gas Phase Kinetic Data Base (<http://kinetics.nist.gov/kinetics/>)

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1 **Table 2.** a) Possible peroxy radicals from cyclopentene and products of their reactions with  
 2 peroxy radicals (cf. Sequence 2). b) Analogous scheme for the hydroxy-peroxy path. The first  
 3 peroxy radical in Table 2b arise from the first peroxy radical in Table 2a by reaction with  
 4 another peroxy radical (or NO).

	Peroxy radical	Carbonyl	Hydroxy	Hydroperoxy
<b>A</b>	m	m-17	m-15	m+1
	C <sub>5</sub> H <sub>7</sub> O <sub>4</sub> 131 Da	C <sub>5</sub> H <sub>6</sub> O <sub>3</sub> 114 Da	C <sub>5</sub> H <sub>8</sub> O <sub>3</sub> 116 Da	C <sub>5</sub> H <sub>8</sub> O <sub>4</sub> 132 Da
↑ autoxidation	C <sub>5</sub> H <sub>7</sub> O <sub>6</sub> 163 Da	C <sub>5</sub> H <sub>6</sub> O <sub>5</sub> 146 Da	C <sub>5</sub> H <sub>8</sub> O <sub>5</sub> 148 Da	C <sub>5</sub> H <sub>8</sub> O <sub>6</sub> 164 Da
↓	C <sub>5</sub> H <sub>7</sub> O <sub>8</sub> 195 Da	C <sub>5</sub> H <sub>6</sub> O <sub>7</sub> 178 Da	C <sub>5</sub> H <sub>8</sub> O <sub>7</sub> 180 Da	C <sub>5</sub> H <sub>8</sub> O <sub>8</sub> 196 Da
	C <sub>5</sub> H <sub>7</sub> O <sub>10</sub> 227 Da	C <sub>5</sub> H <sub>6</sub> O <sub>9</sub> 210 Da	C <sub>5</sub> H <sub>8</sub> O <sub>9</sub> 212 Da	C <sub>5</sub> H <sub>8</sub> O <sub>10</sub> 228 Da
	termination →			
<b>B</b>	m	m-17	m-15	m+1
	C <sub>5</sub> H <sub>7</sub> O <sub>5</sub> 147 Da	C <sub>5</sub> H <sub>6</sub> O <sub>4</sub> 130 Da	C <sub>5</sub> H <sub>8</sub> O <sub>4</sub> 132 Da	C <sub>5</sub> H <sub>8</sub> O <sub>5</sub> 148 Da
↑ autoxidation after Sequence 3	C <sub>5</sub> H <sub>7</sub> O <sub>7</sub> 179 Da	C <sub>5</sub> H <sub>6</sub> O <sub>6</sub> 162 Da	C <sub>5</sub> H <sub>8</sub> O <sub>6</sub> 164 Da	C <sub>5</sub> H <sub>8</sub> O <sub>7</sub> 180 Da
↓	C <sub>5</sub> H <sub>7</sub> O <sub>9</sub> 211 Da	C <sub>5</sub> H <sub>6</sub> O <sub>8</sub> 194 Da	C <sub>5</sub> H <sub>8</sub> O <sub>8</sub> 196 Da	C <sub>5</sub> H <sub>8</sub> O <sub>9</sub> 212 Da
	termination →			

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2 **Table 3.** Observation of HOM formation as function of compound and functionalization

<b>Compound</b>	<b>Formula</b>	<b>HOM products</b>	<b><math>\omega</math>-Terminal group<sup>1</sup></b>
<b>Cyclic alkenes</b>			
cyclopentene	C <sub>5</sub> H <sub>8</sub>	yes	aldehyde
cyclohexene	C <sub>6</sub> H <sub>10</sub>	yes	aldehyde
cycloheptene	C <sub>7</sub> H <sub>12</sub>	yes	aldehyde
1-methyl-cyclohexene	C <sub>7</sub> H <sub>12</sub>	yes	ketone / aldehyde
3-methyl-cyclohexene	C <sub>7</sub> H <sub>12</sub>	yes	aldehyde
4-methyl-cyclohexene	C <sub>7</sub> H <sub>12</sub>	yes	aldehyde
<b>Linear alkene</b>			
1-heptene	C <sub>7</sub> H <sub>14</sub>	no	methyl
<b>Linear alkenes with additional functional group</b>			
(Z)-6-nonenal	C <sub>9</sub> H <sub>16</sub> O	yes	aldehyde
(Z)-6-nonenol	C <sub>9</sub> H <sub>17</sub> OH	no	alcohol
5-hexen-2-on	C <sub>6</sub> H <sub>10</sub> O	no	ketone
<b>Monoterpenes</b>			
$\alpha$ -pinene	C <sub>10</sub> H <sub>16</sub>	yes	ketone / aldehyde
$\Delta$ -3-carene	C <sub>10</sub> H <sub>16</sub>	yes	ketone / aldehyde

3 <sup>1</sup> at the opposite end to the oxoic radical groups in Figure 3

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**Table 4.** HOM observed during ozonolysis of cyclopentene. The second header line shows at which molar masses the termination products are expected relative to the peroxy radical with molar mass *m* (unit masses). Filled cells indicate that these compounds were detected with given elemental composition and relative intensity (second line in the same cell). Relative intensities were normalized to the largest HOM signal. The third line in the cell gives the molar mass [Da] in unit mass resolution and the precise *m/z* [Th] at which the molecule was detected as cluster with <sup>15</sup>NO<sub>3</sub><sup>-</sup>.

<b>Peroxy radical</b>	<b>Carbonyl</b>	<b>Hydroxy</b>	<b>Hydroperoxy</b>
<i>m</i>	<i>m</i> -17	<i>m</i> -15	<i>m</i> +1
<b>C<sub>5</sub>H<sub>7</sub>O<sub>8</sub></b> 54 % 195 / 257.9995	<b>C<sub>5</sub>H<sub>6</sub>O<sub>7</sub></b> 100 % 178 / 240.996	<b>C<sub>5</sub>H<sub>8</sub>O<sub>7</sub></b> 14 % 180 / 243.0124	<b>C<sub>5</sub>H<sub>8</sub>O<sub>8</sub></b> 19 % <sup>2</sup> 196 / 259.0073
<b>C<sub>5</sub>H<sub>7</sub>O<sub>9</sub></b> <sup>1</sup> 1 % 211 / 273.9944		<b>C<sub>5</sub>H<sub>8</sub>O<sub>8</sub></b> 19 % <sup>2</sup> 196 / 259.0073	
<b>C<sub>5</sub>H<sub>7</sub>O<sub>10</sub></b> 6 % 227 / 289.9893	<b>C<sub>5</sub>H<sub>6</sub>O<sub>9</sub></b> 43 % 210 / 272.9866	<b>C<sub>5</sub>H<sub>8</sub>O<sub>9</sub></b> 11 % 212 / 275.0022	

9 <sup>1</sup> hydroxy-peroxy path Sequence 3  
10 <sup>2</sup> C<sub>5</sub>H<sub>7</sub>O<sub>8</sub> + HO<sub>2</sub> → C<sub>5</sub>H<sub>8</sub>O<sub>8</sub> or C<sub>5</sub>H<sub>7</sub>O<sub>9</sub>+RO<sub>2</sub> → C<sub>5</sub>H<sub>8</sub>O<sub>8</sub>  
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1 **Table 5.** HOM observed during ozonolysis of cyclohexene. The second header line shows at  
 2 which molar masses the termination products are expected relative to the peroxy radical with  
 3 molar mass  $m$  (unit masses). Filled cells indicate that these compounds were detected with  
 4 given elemental composition and relative intensity (second line in the same cell). Relative  
 5 intensities were normalized to the largest HOM signal. The third line in the cell gives the  
 6 molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which the molecule was  
 7 detected as cluster with  $^{15}\text{NO}_3^-$ .

<b>Peroxy radical</b>	<b>Carbonyl</b>	<b>Hydroxy</b>	<b>Hydroperoxy</b>
$m$	$m-17$	$m-15$	$m+1$
<b>C<sub>6</sub>H<sub>9</sub>O<sub>8</sub></b> 7 % 209 / 272.01453	<b>C<sub>6</sub>H<sub>8</sub>O<sub>7</sub></b> 24 % 192 / 255.01270	<b>C<sub>6</sub>H<sub>10</sub>O<sub>7</sub></b> 1 % 194 / 257.02523	<b>C<sub>6</sub>H<sub>10</sub>O<sub>8</sub></b> 5 % 210 / 273.0230
	<b>C<sub>6</sub>H<sub>8</sub>O<sub>8</sub><sup>1</sup></b> 14 % 208 / 271.00724		
<b>C<sub>6</sub>H<sub>9</sub>O<sub>10</sub></b> < 1 % 241 / 304.00582	<b>C<sub>6</sub>H<sub>8</sub>O<sub>9</sub></b> 100 % 224 / 287.0024		<b>C<sub>6</sub>H<sub>10</sub>O<sub>10</sub></b> < 1 % 242 / 305.00889

8 <sup>1</sup> hydroxy-peroxy path Sequence 3

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1 **Table 6.** HOM observed during ozonolysis of cycloheptene. The second header line shows at  
 2 which molar masses the termination products are expected relative to the peroxy radical with  
 3 molar mass  $m$  (unit masses). Filled cells indicate that these compounds were detected with  
 4 given elemental composition and relative intensity (second line in the same cell). Relative  
 5 intensities were normalized to the largest HOM signal. The third line in the cell gives the  
 6 molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which the molecule was  
 7 detected as cluster with  $^{15}\text{NO}_3^-$ .

Peroxy radical	Carbonyl	Hydroxy	Hydroperoxy
$m$	$m-17$	$m-15$	$m+1$
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>5</sub></b> < 1 % 174 / 237.0395		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>7</sub><sup>1</sup></b> < 1 % 206 / 269.0462			
<b>C<sub>7</sub>H<sub>11</sub>O<sub>8</sub></b> 2 % 223 / 286.02945	<b>C<sub>7</sub>H<sub>10</sub>O<sub>7</sub></b> 3 % 206 / 269.02775	<b>C<sub>7</sub>H<sub>12</sub>O<sub>7</sub></b> < 1 % 208 / 271.0409	
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>8</sub><sup>1</sup></b> 8 % 222 / 285.02306		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>10</sub></b> 1 % 255 / 318.02027	<b>C<sub>7</sub>H<sub>10</sub>O<sub>9</sub></b> 100 % 238 / 301.01758		<b>C<sub>7</sub>H<sub>12</sub>O<sub>10</sub></b> < 1 % 256 / 319.02544
<b>C<sub>7</sub>H<sub>11</sub>O<sub>11</sub><sup>1</sup></b> < 1 % 271 / 334.01508	<b>C<sub>7</sub>H<sub>10</sub>O<sub>10</sub><sup>1</sup></b> < 1 % 254 / 317.0141		

8 <sup>1</sup> hydroxy-peroxy path Sequence 3

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2 **Table 7.** HOM observed during ozonolysis of (Z)-6-nonenal. The second header line shows at  
 3 which molar masses the termination products are expected relative to the peroxy radical with  
 4 molar mass  $m$  (unit masses). Filled cells indicate that these compounds were detected with  
 5 given elemental composition and relative intensity (second line in the same cell). Relative  
 6 intensities were normalized to the largest HOM signal. The third line in the cell gives the  
 7 molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which the molecule was  
 8 detected as cluster with  $^{15}\text{NO}_3^-$ .

<b>Peroxy radical</b>	<b>Carbonyl</b>	<b>Hxdroxy</b>	<b>Hydroperoxy</b>
$m$	$m-17$	$m-15$	$m+1$
<b>C<sub>6</sub>H<sub>9</sub>O<sub>8</sub></b> 10 % 209 / 272.0151			
<b>C<sub>6</sub>H<sub>9</sub>O<sub>9</sub><sup>1</sup></b> 3 % 225 / 288.0101			
<b>C<sub>6</sub>H<sub>8</sub>O<sub>10</sub></b> 5 % 241 / 304.0050	<b>C<sub>6</sub>H<sub>8</sub>O<sub>9</sub></b> 100 % 224 / 287.0022		

9 <sup>1</sup> hydroxy-peroxy path Sequence 3

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1 **Table 8.** HOM observed during ozonolysis of 1-methylcyclohexene. The second header line  
 2 shows at which molar masses the termination products are expected relative to the peroxy  
 3 radical with molar mass *m* (unit masses). Filled cells indicate that these compounds were  
 4 detected with given elemental composition and relative intensity (second line in the same  
 5 cell). Relative intensities were normalized to the largest HOM signal. The third line in the cell  
 6 gives the molar mass [Da] in unit mass resolution and the precise *m/z* [Th] at which the  
 7 molecule was detected as cluster with <sup>15</sup>NO<sub>3</sub><sup>-</sup>.

<b>Peroxy radical</b>	<b>Carbonyl</b>	<b>Hydroxy</b>	<b>Hydroperoxy</b>
<i>m</i>	<i>m</i> -17	<i>m</i> -15	<i>m</i> +1
<b>C<sub>7</sub>H<sub>11</sub>O<sub>6</sub></b> < 1 % 191 / 254.03857	<b>C<sub>7</sub>H<sub>10</sub>O<sub>5</sub></b> < 1 % 174 / 237.03855		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>7</sub><sup>1</sup></b> < 1 % 207 / 270.03179	<b>C<sub>7</sub>H<sub>10</sub>O<sub>6</sub><sup>1</sup></b> 1 % 190 / 253.0331		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>8</sub></b> 2 % 223 / 286.03086	<b>C<sub>7</sub>H<sub>10</sub>O<sub>7</sub></b> 100 % 206 / 269.02829	<b>C<sub>7</sub>H<sub>12</sub>O<sub>7</sub></b> 2 % 208 / 271.3858	<b>C<sub>7</sub>H<sub>12</sub>O<sub>8</sub></b> 1 % 224 / 287.04046
<b>C<sub>7</sub>H<sub>11</sub>O<sub>9</sub><sup>1</sup></b> < 1 % 239 / 302.02700			
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>9</sub></b> < 1 % 238 / 301.01326	<b>C<sub>7</sub>H<sub>12</sub>O<sub>9</sub></b> < 1 % 240 / 303.03521	

8 <sup>1</sup> hydroxy-peroxy path sequence 3  
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1 **Table 9.** HOM products observed during ozonolysis of 3-methylcyclohexene. The second  
 2 header line shows at which molar masses the termination products are expected relative to the  
 3 peroxy radical with molar mass  $m$  (unit masses). Filled cells indicate that these compounds  
 4 were detected with given elemental composition and relative intensity (second line in the  
 5 same cell). Relative intensities were normalized to the largest HOM signal. The third line in  
 6 the cell gives the molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which  
 7 the molecule was detected as cluster with  $^{15}\text{NO}_3^-$ .

Peroxy radical	Carbonyl	Hydroxy	Hydroperoxy
$m$	$m-17$	$m-15$	$m+1$
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>5</sub></b> < 1 % 174 / 237.0382		
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>6</sub><sup>1</sup></b> < 1 % 190 / 253.0331		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>8</sub></b> 12 % 223 / 286.0308	<b>C<sub>7</sub>H<sub>10</sub>O<sub>7</sub></b> 25 % 206 / 269.0281		<b>C<sub>7</sub>H<sub>12</sub>O<sub>8</sub></b> 6 % 224 / 287.0386
<b>C<sub>7</sub>H<sub>11</sub>O<sub>9</sub><sup>1</sup></b> 5 % 239 / 302.0257	<b>C<sub>7</sub>H<sub>10</sub>O<sub>8</sub><sup>1</sup></b> 19 % 222 / 285.0230		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>10</sub></b> 9 % 255 / 318.0206	<b>C<sub>7</sub>H<sub>10</sub>O<sub>9</sub></b> 100 % 238 / 301.0179	<b>C<sub>7</sub>H<sub>12</sub>O<sub>9</sub></b> 13 % 240 / 303.0335	

8 <sup>1</sup> hydroxy-peroxy path sequence 3

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1 **Table 10.** HOM products observed during ozonolysis of 4-methyl-cyclohexene. The second  
 2 header line shows at which molar masses the termination products are expected relative to the  
 3 peroxy radical with molar mass *m* (unit masses). Filled cells indicate that these compounds  
 4 were detected with given elemental composition and relative intensity (second line in the  
 5 same cell). Relative intensities were normalized to the largest HOM signal. The third line in  
 6 the cell gives the molar mass [Da] in unit mass resolution and the precise *m/z* [Th] at which  
 7 the molecule was detected as cluster with <sup>15</sup>NO<sub>3</sub><sup>-</sup>.

Peroxy radical	Carbonyl	Hydroxy	Hydroperoxy
<i>m</i>	<i>m</i> -17	<i>m</i> -15	<i>m</i> +1
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>5</sub></b> < 1 % 174 / 237.03635		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>7</sub><sup>1</sup></b> < 1 % 207 / 270.0359	<b>C<sub>7</sub>H<sub>10</sub>O<sub>6</sub><sup>1</sup></b> < 1 % 190 / 253.03390		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>8</sub></b> < 1 % 223 / 286.02825	<b>C<sub>7</sub>H<sub>10</sub>O<sub>7</sub></b> 2 % 206 / 269.0281	<b>C<sub>7</sub>H<sub>12</sub>O<sub>7</sub></b> < 1 % 208 / 271.0437	<b>C<sub>7</sub>H<sub>12</sub>O<sub>8</sub></b> < 1 % 224 / 287.03770
<b>C<sub>7</sub>H<sub>11</sub>O<sub>9</sub><sup>1</sup></b> < 1 % 239 / 302.0224	<b>C<sub>7</sub>H<sub>10</sub>O<sub>8</sub><sup>1</sup></b> 5 % 222 / 285.02215		
<b>C<sub>7</sub>H<sub>11</sub>O<sub>10</sub></b> 2 % 255 / 318.02114	<b>C<sub>7</sub>H<sub>10</sub>O<sub>9</sub></b> 100 % 238 / 301.01848		<b>C<sub>7</sub>H<sub>12</sub>O<sub>10</sub></b> < 1 % 256 / 319.02566
<b>C<sub>7</sub>H<sub>11</sub>O<sub>11</sub><sup>1</sup></b> < 1 % 271 / 334.01626	<b>C<sub>7</sub>H<sub>10</sub>O<sub>10</sub><sup>1</sup></b> 1 % 254 / 317.01331		
	<b>C<sub>7</sub>H<sub>10</sub>O<sub>11</sub></b> < 1 % 270 / 333.01163	<b>C<sub>7</sub>H<sub>12</sub>O<sub>11</sub></b> < 1 % 272 / 335.02524	

8 <sup>1</sup> hydroxy-peroxy path sequence 3

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1 **Table 11.** Comparison of HOM products resulting from C<sub>7</sub>H<sub>14</sub> compounds.

	Cycloheptene	1-MCH	3-MCH	4-MCH
<b>Peroxy radical</b>				
C <sub>7</sub> H <sub>11</sub> O <sub>6</sub>	--	X	--	--
C <sub>7</sub> H <sub>11</sub> O <sub>8</sub>	<b>X</b>	<b>X</b>	<b>X</b>	X
C <sub>7</sub> H <sub>11</sub> O <sub>10</sub>	<b>X</b>	--	<b>X</b>	<b>X</b>
<b>Carbonyl</b>				
C <sub>7</sub> H <sub>10</sub> O <sub>5</sub>	X	X	X	X
C <sub>7</sub> H <sub>10</sub> O <sub>7</sub>	X	<b>X</b>	X	X
C <sub>7</sub> H <sub>10</sub> O <sub>9</sub>	<b>X</b>	X	<b>X</b>	<b>X</b>
C <sub>7</sub> H <sub>10</sub> O <sub>11</sub>	--	--	--	X
<b>Hydroxy</b>				
C <sub>7</sub> H <sub>12</sub> O <sub>7</sub>	X	<b>X</b>	--	X
C <sub>7</sub> H <sub>12</sub> O <sub>9</sub>	--	X	<b>X</b>	--
C <sub>7</sub> H <sub>12</sub> O <sub>11</sub>	--	--	--	X
<b>Hydroperoxy</b>				
C <sub>7</sub> H <sub>12</sub> O <sub>6</sub>	--	interference	--	--
C <sub>7</sub> H <sub>12</sub> O <sub>8</sub>	--	<b>X</b>	<b>X</b>	X
C <sub>7</sub> H <sub>12</sub> O <sub>10</sub>	X	--	--	X
<b>Hydroxy-peroxy radical</b>				
C <sub>7</sub> H <sub>11</sub> O <sub>7</sub>	X	X	--	X
C <sub>7</sub> H <sub>11</sub> O <sub>9</sub>	--	X	X	X
C <sub>7</sub> H <sub>11</sub> O <sub>11</sub>	X	--	--	X
<b>Hydroxy-peroxy path carbonyl</b>				
C <sub>7</sub> H <sub>10</sub> O <sub>6</sub>	--	X	X	X
C <sub>7</sub> H <sub>10</sub> O <sub>8</sub>	X	--	X	X
C <sub>7</sub> H <sub>10</sub> O <sub>10</sub>	X	--	--	X

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**Table 12.** HOM products observed during ozonolysis of  $\alpha$ -pinene. The second header line shows at which molar masses the termination products are expected relative to the peroxy radical with molar mass  $m$  (unit masses). Filled cells indicate that these compounds were detected with given elemental composition and relative intensity (second line in the same cell). Relative intensities were normalized to the largest HOM signal. The third line in the cell gives the molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which the molecule was detected as cluster with  $^{15}\text{NO}_3^-$ .

<b>Peroxy radical</b>	<b>Carbonyl</b>	<b>Hydroxy</b>	<b>Hydroperoxy</b>
$m$	$m-17$	$m-15$	$m+1$
<b>C<sub>10</sub>H<sub>15</sub>O<sub>8</sub></b> 46 % 263 / 326.0621	<b>C<sub>10</sub>H<sub>14</sub>O<sub>7</sub></b> 88 % 264 / 309.0594		
<b>C<sub>10</sub>H<sub>15</sub>O<sub>9</sub><sup>1</sup></b> 5 % 279 / 342.0570	<b>C<sub>10</sub>H<sub>14</sub>O<sub>8</sub><sup>1</sup></b> 14 % 262 / 325.0543		
<b>C<sub>10</sub>H<sub>15</sub>O<sub>10</sub></b> 40 % 295 / 358.0519	<b>C<sub>10</sub>H<sub>14</sub>O<sub>9</sub></b> 83 % 326 / 341.0492	<b>C<sub>10</sub>H<sub>16</sub>O<sub>9</sub></b> 100 % 280 / 343.0648	<b>C<sub>10</sub>H<sub>16</sub>O<sub>10</sub></b> 37 % 296 / 359.0597
	<b>C<sub>10</sub>H<sub>14</sub>O<sub>11</sub><sup>1</sup></b> 69 % 327 / 373.0390	<b>C<sub>10</sub>H<sub>16</sub>O<sub>11</sub><sup>1</sup></b> 29 % 312 / 375.0547	

9 <sup>1</sup> hydroxy-peroxy path sequence 3  
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2 **Table 13:** HOM products observed during ozonolysis of  $\Delta$ -3-carene. The second header line  
 3 shows at which molar masses the termination products are expected relative to the peroxy  
 4 radical with molar mass  $m$  (unit masses). Filled cells indicate that these compounds were  
 5 detected with given elemental composition and relative intensity (second line in the same  
 6 cell). Relative intensities were normalized to the largest HOM signal. The third line in the cell  
 7 gives the molar mass [Da] in unit mass resolution and the precise  $m/z$  [Th] at which the  
 8 molecule was detected as cluster with  $^{15}\text{NO}_3^-$ .

Peroxy radical	Carbonyl	Hydroxy	Hydroperoxy
$m$	$m-17$	$m-15$	$m+1$
<b><math>\text{C}_{10}\text{H}_{15}\text{O}_8</math></b> 10 % 263 / 326.0621			
<b><math>\text{C}_{10}\text{H}_{15}\text{O}_{10}</math></b> 94 % 295 / 358.0519	<b><math>\text{C}_{10}\text{H}_{14}\text{O}_9</math></b> 47 % 278 / 341.0492	<b><math>\text{C}_{10}\text{H}_{16}\text{O}_9</math></b> 100 % 280 / 343.0648	

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2 Table 14: Detected and identified dimers observed during ozonolysis of cyclopentene.

<i>m/z</i> [Th]	Formula	Intensity [%]
389.0339	$\text{C}_{10}\text{H}_{14}\text{O}_{12}\cdot^{15}\text{NO}_3^-$	44
421.0238	$\text{C}_{10}\text{H}_{14}\text{O}_{14}\cdot^{15}\text{NO}_3^-$	100
453.0136	$\text{C}_{10}\text{H}_{14}\text{O}_{16}\cdot^{15}\text{NO}_3^-$	2
343.0648	$\text{C}_{10}\text{H}_{16}\text{O}_9\cdot^{15}\text{NO}_3^-$	24
375.0547	$\text{C}_{10}\text{H}_{16}\text{O}_{11}\cdot^{15}\text{NO}_3^-$	6

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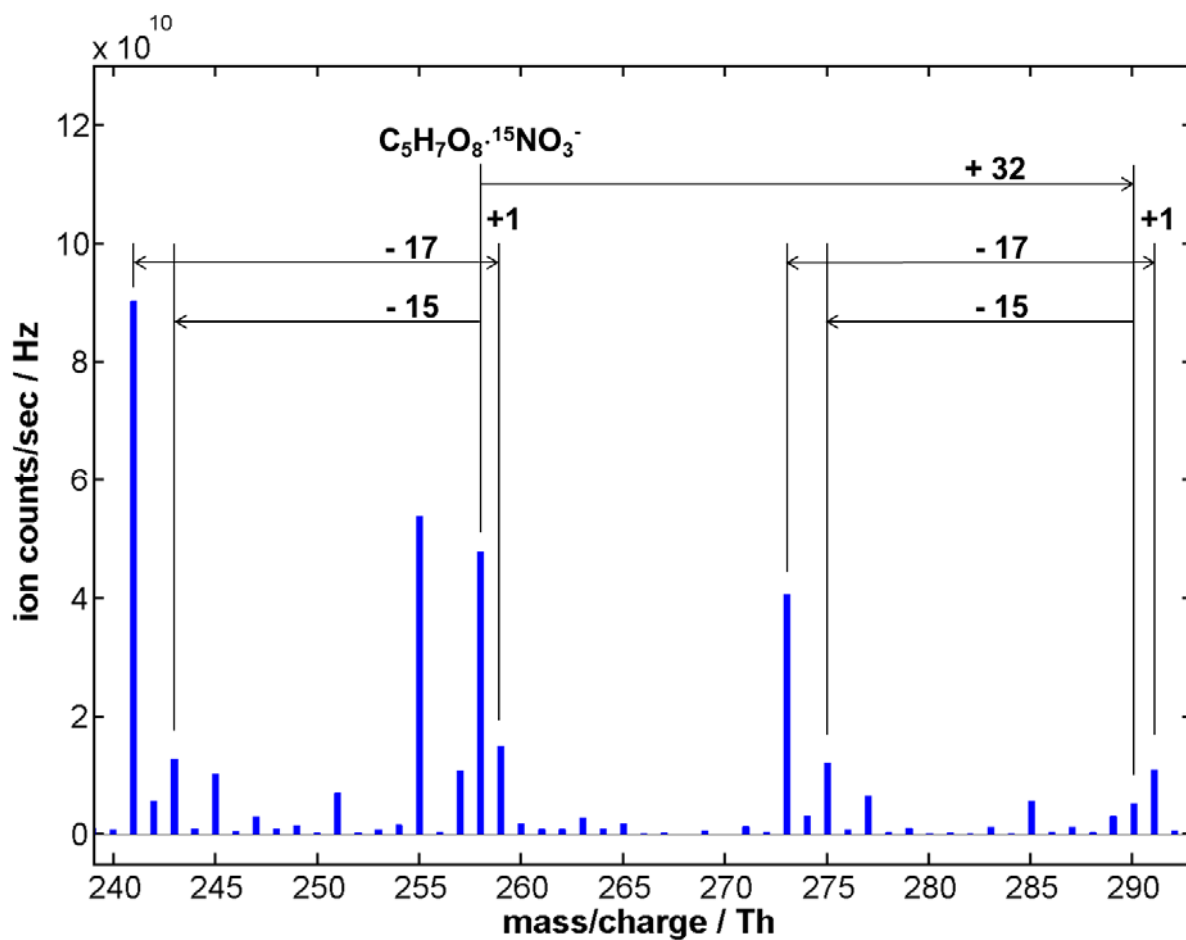
1 Table 15: Possible dimers produced by permutations reactions of the monomer peroxy  
 2 radicals of cyclopentene. Bold face: entities were detected. Italic: dimers were detected and  
 3 arise from two most abundant peroxy radicals.

	$C_5H_7O_6$	$C_5H_7O_7$	<b><math>C_5H_7O_8</math></b>	<b><math>C_5H_7O_9</math></b>	<b><math>C_5H_7O_{10}</math></b>
$C_5H_7O_6$	$C_{10}H_{14}O_{10}$	$C_{10}H_{14}O_{11}$	<b><math>C_{10}H_{14}O_{12}</math></b>	$C_{10}H_{14}O_{13}$	<b><math>C_{10}H_{14}O_{14}</math></b>
$C_5H_7O_7$		<b><math>C_{10}H_{14}O_{12}</math></b>	$C_{10}H_{14}O_{13}$	<b><math>C_{10}H_{14}O_{14}</math></b>	$C_{10}H_{14}O_{15}$
<b><math>C_5H_7O_8</math></b>			<i><math>C_{10}H_{14}O_{14}</math></i>	$C_{10}H_{14}O_{15}$	<i><math>C_{10}H_{14}O_{16}</math></i>
<b><math>C_5H_7O_9</math></b>				<b><math>C_{10}H_{14}O_{16}</math></b>	$C_{10}H_{14}O_{17}$
<b><math>C_5H_7O_{10}</math></b>					$C_{10}H_{14}O_{18}$

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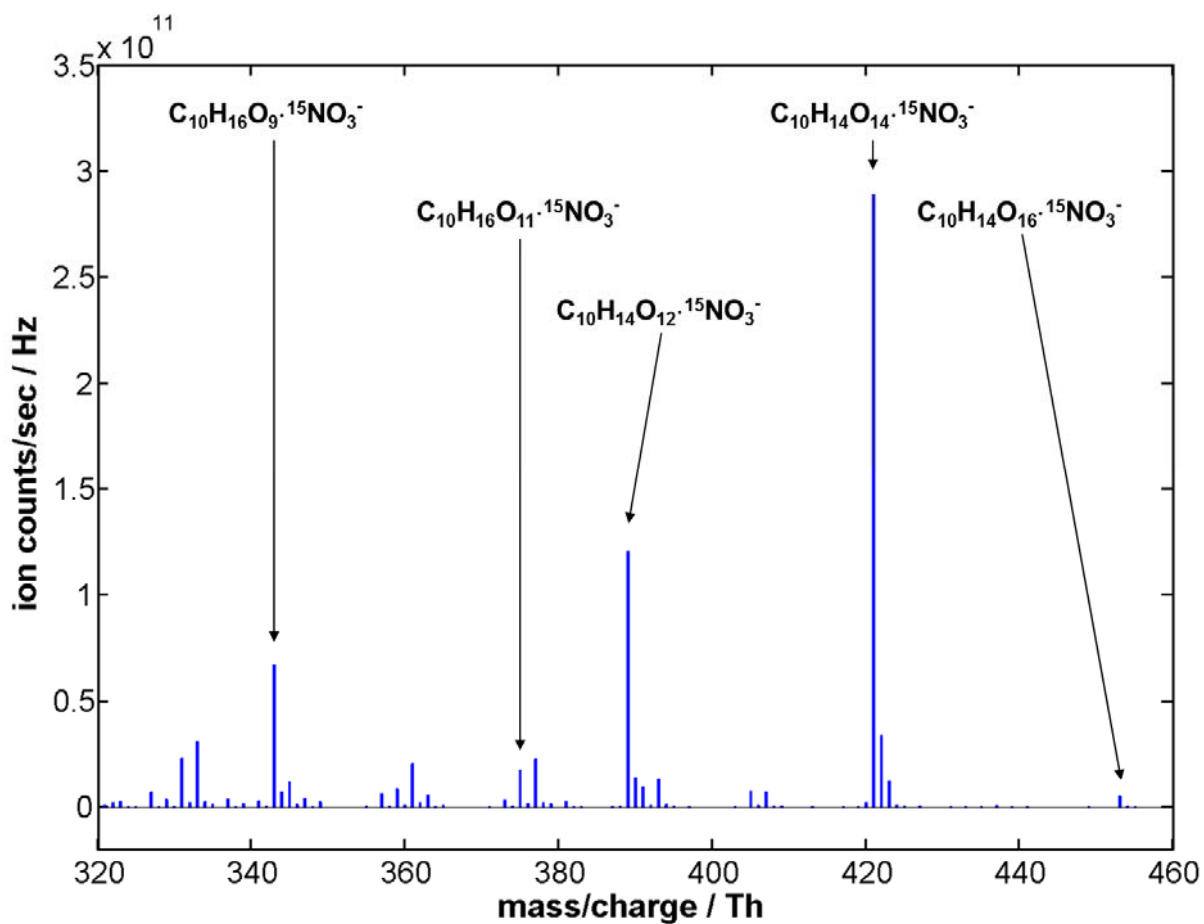
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5 **Figure 1:** Spectrum of ozonolysis products of cyclopentene. The most abundant peroxy  
6 radical  $C_5H_7O_8 \cdot ^{15}NO_3^-$  and its termination products are marked as well as the next higher  
7 peroxy radical (+32 Th) and termination products. The  $m/z$  differences in [Th] are indicated.

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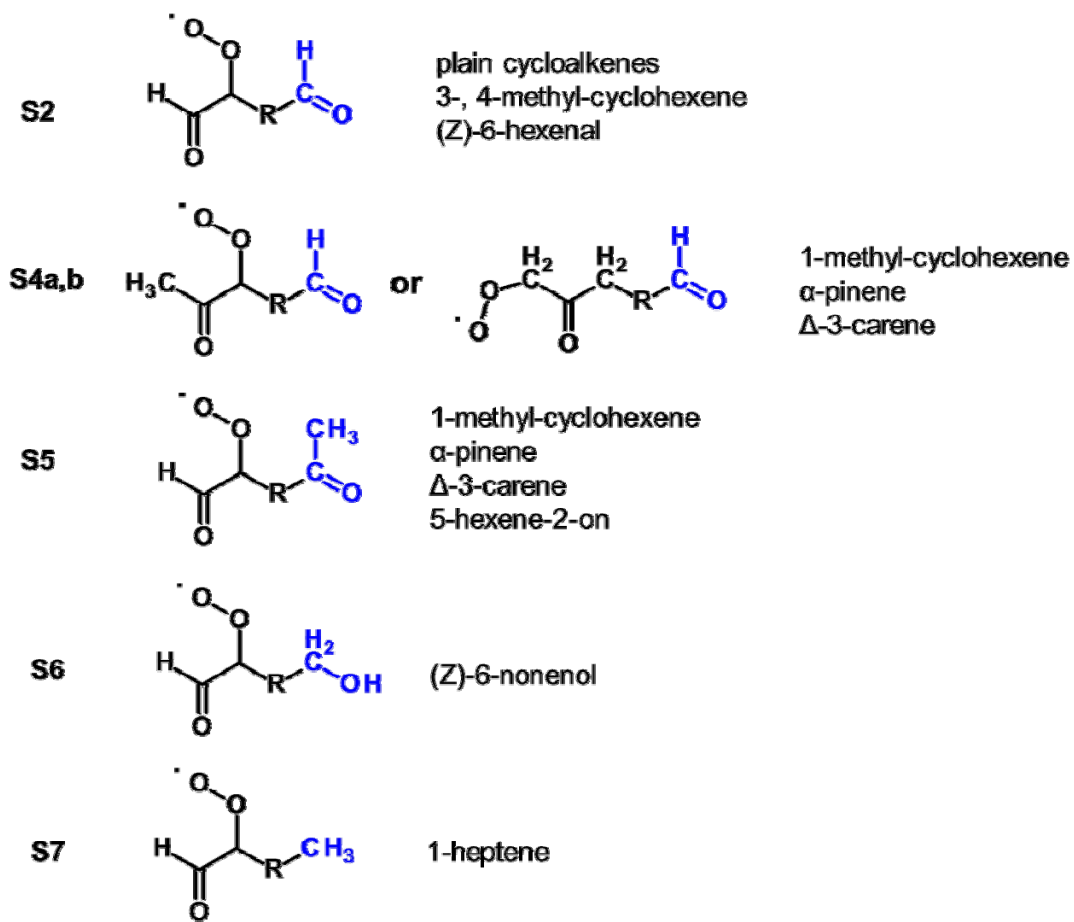
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4 **Figure 2:** Spectrum of ozonolysis products of cyclopentene with dimer character. The  
5 detected elemental compositions are indicated (cf. Section 5.4).

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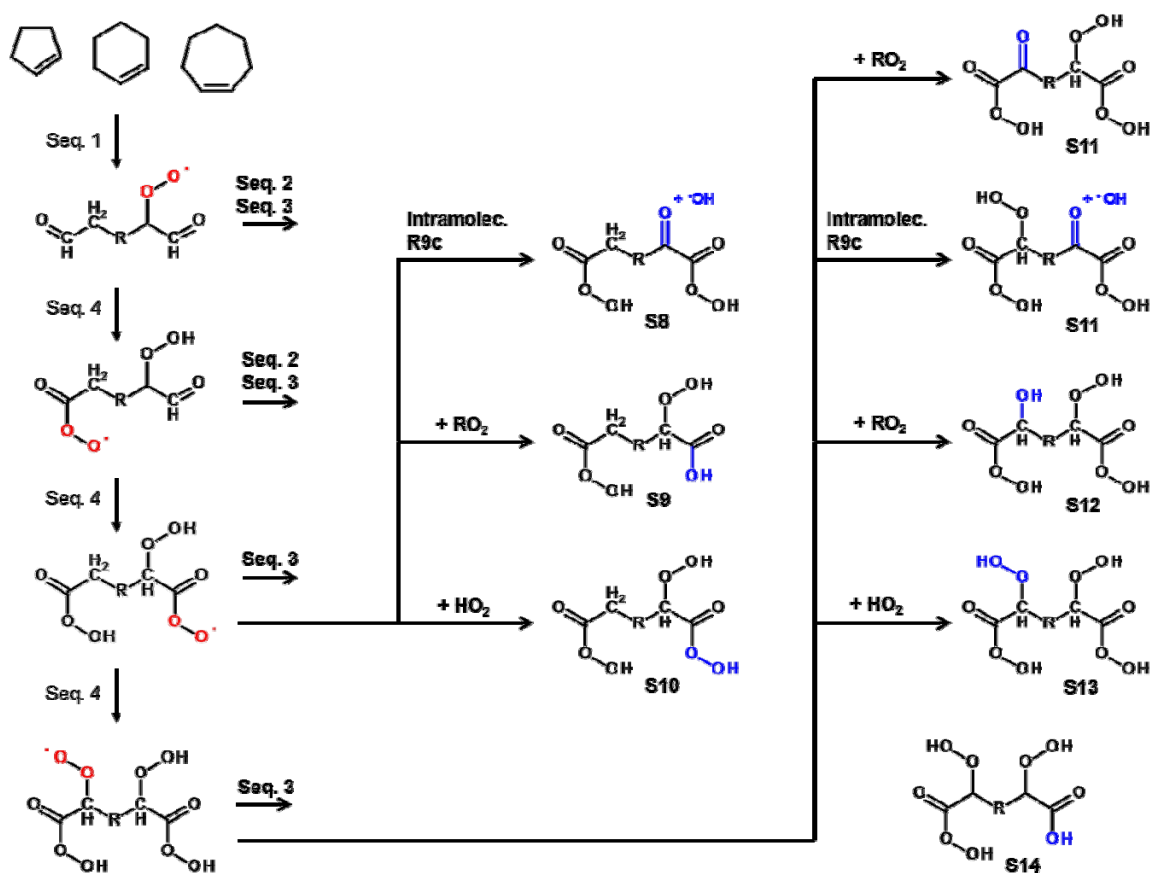
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5 **Figure 3:** Peroxy radicals of the investigated VOC as expected from the vinylhydroperoxide  
6 path. Position of the peroxy group and functionality at the  $\omega$ -terminal end.

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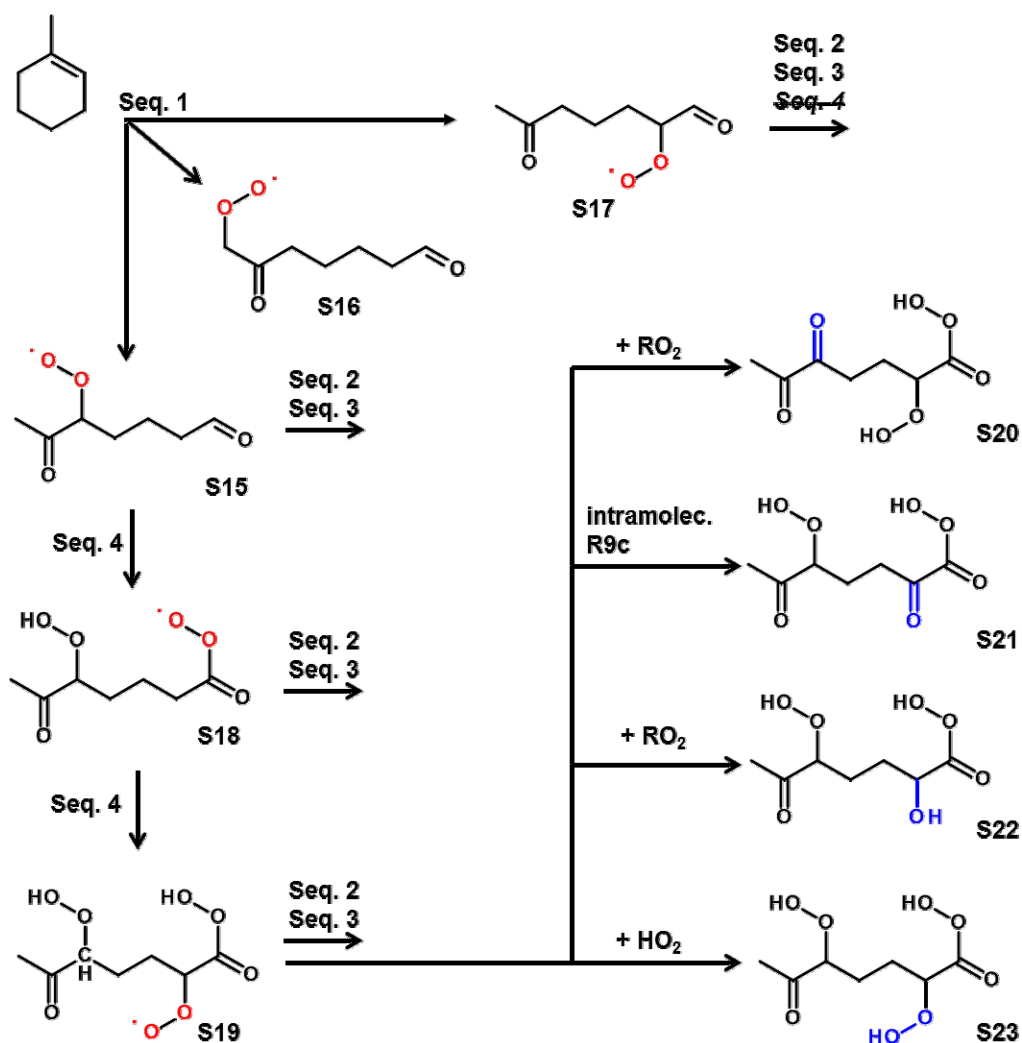
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4 **Figure 4:** Exemplaric mechanistic scheme in accordance with the results of the ozonolysis of  
 5 cycloalkenes. Cyclopentene: R = (CH<sub>2</sub>), cyclohexene: R = (CH<sub>2</sub>)<sub>2</sub>, cycloheptene: R = (CH<sub>2</sub>)<sub>3</sub>.  
 6 The peroxy radicals with increasing number of O-atoms ( $m/z = m$ ) on the left hand side are  
 7 formed by autoxidation (Sequence 4). They can undergo either termination reactions in  
 8 Sequence 2 or follow the hydroxy-peroxy path (Sequence 3). The carbonyl (m-17), hydroxy  
 9 (m-15) and hydroperoxy (m+1) termination products are shown for the O<sub>8</sub>-peroxy radical (S8-  
 10 S10) and the O<sub>10</sub>-peroxy radical (S11-S12) in the middle and right hand column, respectively.  
 11 The functional groups formed by the termination reactions are shown in blue. The products  
 12 S8 and S11 from the intramolecular termination R9c are the same as for the intermolecular  
 13 termination reactions R5a and R6b. Note that in principle the series of rearrangements can be  
 14 also permuted. If the H-atoms at the C-atom in  $\alpha$ -position to the second aldehyde group are  
 15 subject to H-shift before attack on the aldehyde group itself, structures like S14 could be  
 16 formed, isobaric to S12.

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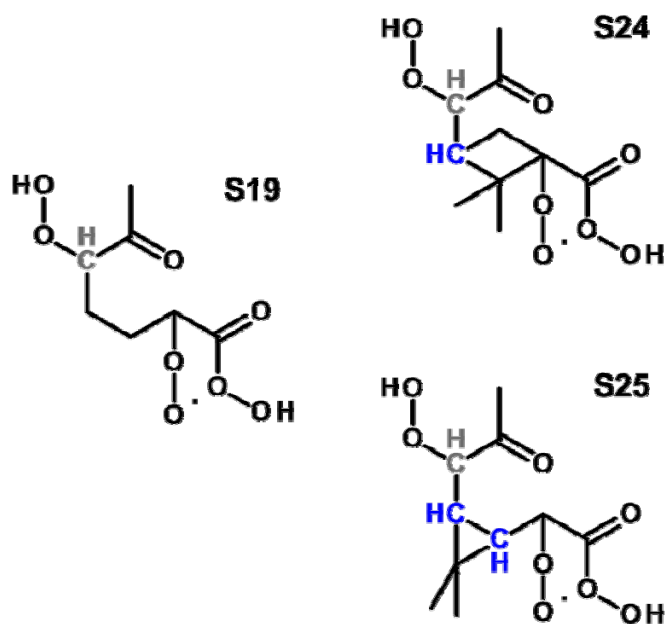
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5 **Figure 5:** Schematics of HOM formation of 1-methyl cyclohexene. The major products are  
 6 carbonyl termination products, either S20 or S21. Higher oxidation products are minor.  
 7 Peroxy radical S17 has no terminal  $\omega$ -aldehyde group. Peroxy radical S16 will produce  
 8 isobaric products analogous to S15.

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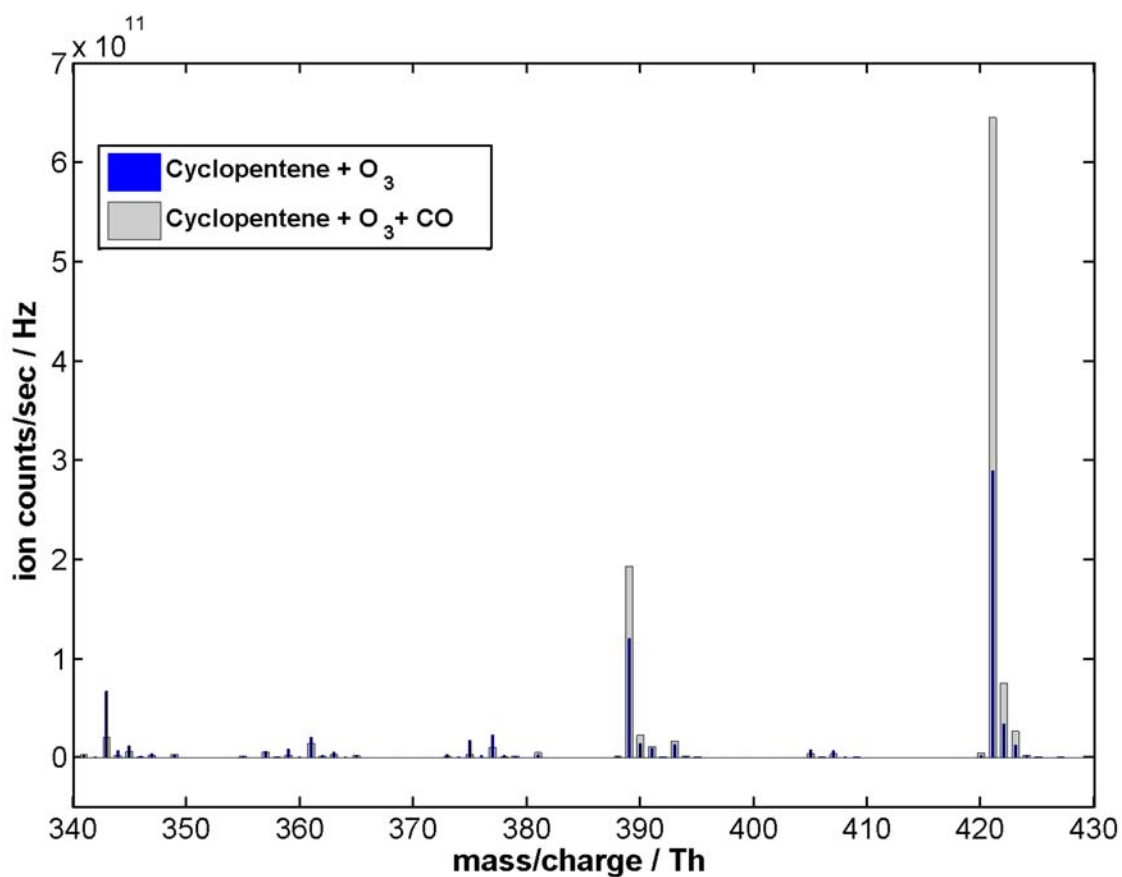
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4 **Figure 6:** Comparison of *deduced* highest oxidized O<sub>8</sub>-peroxy radical of 1-methyl  
5 cyclohexene (S19) to analogously *constructed* O<sub>8</sub>-peroxy radicals of α-pinene (S24) and Δ-3-  
6 carene (S25). Tertiary H-atoms in blue are explicitly shown. The H at the carbon atom  
7 carrying the hydroperoxy group, which is shifted in R9c is shown in grey. In an isomeric  
8 modification the hydroperoxide group at C<sub>3</sub> could be located also at C<sub>1</sub>.

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3 **Figure 7:** Comparison of the dimer HOM spectra resulting from ozonolysis of cyclopentene  
4 with CO addition (grey) and without CO addition (blue). The fraction of dimers which  
5 involve the O<sub>3</sub>-peroxy radical from the addition of OH to the double bond of cyclopentene are  
6 reduced.