

1 **A global simulation of brown carbon: Implications for**
2 **photochemistry and direct radiative effect**

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24 **Abstract**

25 Recent observations suggest that a certain fraction of organic carbon (OC) aerosol
26 effectively absorbs solar radiation, which is also known as brown carbon (BrC) aerosol.
27 Despite much observational evidence of its presence, very few global modeling studies have
28 been conducted because of poor understanding of global BrC emissions. Here we present an
29 explicit global simulation of BrC in a global 3-D chemical transport model (GEOS-Chem),
30 including global BrC emission estimates from primary (3.9 ± 1.7 and 3.0 ± 1.3 TgC yr⁻¹ from
31 biomass burning and biofuel) and secondary (5.7 TgC yr⁻¹ from aromatic oxidation) sources.
32 We evaluate the model by comparing the results with observed absorption by water-soluble
33 OC in surface air in the United States, and with single scattering albedo observations at
34 AERONET sites all over the globe. The model successfully reproduces the seasonal
35 variations of observed light absorption by water-soluble OC, but underestimates the
36 magnitudes, especially in regions with high secondary source contributions. Our global
37 simulations show that BrC accounts for 21% of the global mean surface OC concentration,
38 which is typically assumed to be scattering. We find that the global direct radiative effect of
39 BrC is nearly zero at the top of the atmosphere, and consequently decreases the direct
40 radiative cooling effect of OC by 16%. In addition, the BrC absorption leads to a general
41 reduction of NO₂ photolysis rates, whose maximum decreases occur in Asia up to -8% (-
42 17%) on an annual (spring) mean basis. The resulting decreases of annual (spring) mean
43 surface ozone concentrations are up to -6% (-13%) in Asia, indicating a non-negligible effect
44 of BrC on photochemistry in this region.

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46

47 1 Introduction

48 Carbonaceous aerosols (CAs) are one of the poorly understood aerosols (Goldstein
49 and Galbally, 2007; Park et al., 2003) and are divided into black carbon (BC) and organic
50 carbon (OC) aerosols. These two types of CAs are emitted together mainly by combustion
51 processes (except for secondary organic carbon, SOC). In the literature, BC is considered as
52 light-absorbing and OC as light-scattering aerosols until recently. Therefore, the climatic
53 effect of CAs depends on the relative contributions of BC to CAs. For example, the net direct
54 radiative forcing (DRF) of biomass burning is estimated to be negligible, whereas diesel use
55 causes climate warming although the first source is larger than the latter for CAs (Forster et
56 al., 2007).

57 Many field observations and chamber studies recently showed that a certain fraction
58 of OC could absorb solar radiation, especially for ultra-violet wavelengths (< 400 nm)
59 (Alexander et al., 2008; Hecobian et al., 2010; Kirchstetter and Thatcher, 2012; Kirchstetter
60 et al., 2004; Yang et al., 2009). This light-absorbing OC fraction is referred to as brown
61 carbon (BrC) aerosol (Andreae and Gelencser, 2006; Laskin et al., 2015). If BrC is prevalent,
62 and its DRF is significant, then previous estimates of the DRF of CAs need to be revised.

63 Recent studies showed that the solar absorption of BrC is not negligible, and is even
64 comparable to that of BC (Alexander et al., 2008; Chung et al., 2012; Kirchstetter and
65 Thatcher, 2012). Using residential wood smoke samples, Kirchstetter and Thatcher (2012)
66 calculated that BrC absorption accounts for 14% of total solar absorption by CA, and even
67 contributes 49% of solar absorption of CA at wavelengths below 400 nm. Chung et al. (2012)
68 found that OC contributes about 45% of CA absorption at 520 nm by analyzing observations
69 at the Gosan site in South Korea. Using aerosol optical property observations at Aerosol

70 Robotic Network (AERONET) sites, Bahadur et al. (2012) estimated that BrC absorption at
71 440 nm is about 40% of BC absorption at the same wavelength, whereas at 675 nm it is less
72 than 10% of BC absorption.

73 Several efforts have also been made to examine the chemical and physical properties
74 of BrC. Some studies showed that humic-like substances (HULIS) were related to BrC
75 (Hoffer et al., 2006; Kim and Paulson, 2013; Lukács et al., 2007) based on the high
76 absorption Ångström exponent (AAE) of HULIS in the range of 6–7, indicating that the
77 specific absorption increases substantially towards the shorter wavelengths (Hoffer et al.,
78 2006), although the sources and the dominating chromophores of HULIS have not clearly
79 been revealed yet (Moise et al., 2015; Graber and Rudich, 2006). Alexander et al. (2008)
80 observed individual BrC spheres in East Asian outflows, and showed that the characteristics
81 of BrC spheres (AAE of 1.5) were different from those of HULIS and also strongly
82 absorbing. On the other hand, several classes of compounds have been identified as potential
83 contributions to BrC - nitroaromatic compounds, such as nitrophenols, imidazole-based and
84 other N-heterocyclic compounds, and quinones (Laskin et al., 2015). Furthermore, SOC
85 produced from aromatic species has been found to absorb solar radiation, especially in high
86 NO_x conditions (Jaoui et al., 2008; Laskin et al., 2015; Lin et al., 2015; Liu et al., 2012;
87 Nakayama et al., 2010; Nakayama et al., 2013; Yu et al., 2014; Zhong and Jang, 2011).

88 Even though the chemical composition of BrC is not clearly understood yet,
89 observations strongly indicate possible important sources of BrC (Laskin et al., 2015). Using
90 the positive matrix factorization analysis of absorption at 365 nm over the southeastern
91 United States in 2007, Hecobian et al. (2010) showed that biomass burning was the most
92 dominant source of BrC (55%), followed by SOC (26-34%). Many other studies have also

93 suggested biomass burning as the most important BrC source (Chakrabarty et al., 2010;
94 Clarke et al., 2007; Favez et al., 2009; Hoffer et al., 2006; Kirchstetter and Thatcher, 2012;
95 Kirchstetter et al., 2004; McMeeking, 2008; Saleh et al., 2014). Several studies recently
96 proposed SOC as an additional BrC source, especially when it is aged in the atmosphere
97 (Bones et al., 2010; Flores et al., 2014; Hawkins et al., 2014; Jaoui et al., 2008; Laskin et al.,
98 2014; Laskin et al., 2010; Liu et al., 2014; Nakayama et al., 2010; Nakayama et al., 2013;
99 Nguyen et al., 2012; Updyke et al., 2012; Zhang et al., 2011; Zhong and Jang, 2011).

100 Despite the ample observational studies, very few modeling studies have been
101 conducted to simulate global and regional distributions of BrC and to further quantify its
102 radiative effect (Feng et al., 2013; Jacobson, 2001; Lin et al., 2014; Park et al., 2010; Wang et
103 al., 2014). Jacobson (2001) first assumed 10% of OC as a solar-absorbing aerosol in a model,
104 and this assumption resulted in an increase of the global DRF by 0.03–0.05 W m⁻². Park et al.
105 (2010) estimated BrC concentrations in East Asia using the mass ratio of BrC to BC, and the
106 resulting annual clear-sky DRF of BrC over East Asia was 0.05 W m⁻². Feng et al. (2013)
107 simulated global BrC concentrations by considering 92% of OC from biomass burning and
108 biofuel use as BrC, and estimated 0.09 W m⁻² for the global clear-sky DRF of BrC. Lin et al.
109 (2014) calculated the DRF of OC by assuming that all of the biomass burning and the biofuel
110 OC is BrC, and all of the SOC (as a high-absorbing case) as BrC. They estimated the global
111 clear-sky DRF of OC as -0.20 W m⁻².

112 In this study, we estimate global primary BrC emissions from open burning and
113 biofuel use based on a reported relationship between AAE and modified combustion
114 efficiency (MCE) (McMeeking, 2008). In addition to the primary source above, we also
115 consider SOC produced from aromatic oxidation as a secondary source of BrC (Hecobian et

116 al., 2010; Jaoui et al., 2008; Lin et al., 2015; Nakayama et al., 2010; Nakayama et al., 2013;
117 Zhong and Jang, 2011). Based on these sources, a global distribution of BrC concentrations is
118 explicitly simulated for the entire year of 2007 using a global 3-D chemical transport model
119 (GEOS-Chem). We evaluate the model by comparing its results with observations in the
120 United States and all over the globe. Using the best estimate of annual mean BrC
121 concentrations, we examine the global direct radiative effect (DRE) of BrC and its effect on
122 photochemistry.

123

124 **2 BrC emissions**

125 In this section, we discuss our method to estimate primary and secondary sources of
126 BrC, and provide explicit global BrC emissions. The primary and secondary sources include
127 biomass burning and biofuel use, and the production from aromatic volatile organic
128 compounds (VOCs), respectively. Estimated global emissions are used as input for GEOS-
129 Chem below to explicitly simulate spatial and temporal distributions of BrC concentrations.

130 **2.1 Primary sources**

131 Biomass burning is the largest source of CA aerosols globally (Bond et al., 2004).
132 OC is primarily emitted during the smoldering (low-temperature burning) phase of
133 combustion (Chakrabarty et al., 2010; Chakrabarty et al., 2014; Schnaiter et al., 2006),
134 whereas BC is preferentially emitted from the flaming (high-temperature burning) phase.
135 Therefore, BrC is also emitted largely during the smoldering phase of burning. Here we use
136 the relationship between the burning efficiency and the observed aerosol light absorption to
137 estimate the BrC emission from biomass burning.

138 Previous studies have suggested MCE defined in Eq. (1) below to provide

139 quantitative information of burning efficiencies that can be categorized into flaming versus
140 smoldering combustion (Kaufman et al., 1998; Ward et al., 1992; Ward and Hao, 1991). For
141 example, Reid et al. (2005) used a MCE value of 0.9 to differentiate between flaming (MCE
142 > 0.9) and smoldering combustion (MCE < 0.9).

$$143 \quad \text{MCE} = \frac{\Delta C_{\text{CO}_2}}{\Delta C_{\text{CO}_2} + \Delta C_{\text{CO}}}, \quad (1)$$

144 where ΔC is the change in species concentration in fire off-gas relative to clean air [molecules
145 m^{-3}].

146 McMeeking (2008) further found a linear relationship between the observed
147 attenuation Ångström exponents and the calculated MCE values from a number of biomass
148 burning samples, as shown in Eq. (2).

$$149 \quad \mathring{A} = -17.34 \times \text{MCE} + 18.20, \quad (2)$$

150 where \mathring{A} is the AAE of biomass burning samples.

151 The coefficient of determination (R^2) of the relationship in Eq. (2) is 0.39, so the
152 associated uncertainty appears to be significant. However, the negative relationship between
153 AAE and MCE in Eq. (2) is robust as identified by previous studies (Saleh et al., 2014;
154 Kirchstetter and Thatcher, 2012). For example, absorption of aerosols from biomass burning
155 can be contributed by either BC or BrC, or both (Moise et al., 2015). As discussed above, the
156 absorption of carbonaceous aerosols is mainly caused by BC at high MCE conditions (>0.9);
157 in contrast, the BC/CA ratio is almost zero at low MCE conditions (<0.8) (McMeeking,
158 2008). Using Eq. (2), we calculate AAE values of 0.86 and 4.3 at MCE values of 1.0 and 0.8,
159 respectively, and each calculated AAE is in good agreement with the observed BC (0.86) and
160 BrC AAE (5.0) from biomass burning samples measured by Kirchstetter and Thatcher (2012).

161 Saleh et al. (2015) also showed that the BC to OC ratio (proportional to MCE) has negative
162 relationship with AAE.

163 In addition, we are able to obtain the BrC/BC absorption ratio using AAE. In
164 Appendix A, we present a detailed description of our method for estimating the relationship
165 between the BrC/BC absorption ratio and AAE. Our method assumes external mixing, and
166 this assumption can cause uncertainties when particles are internally mixed (such as coating
167 effect). For uncertainty analysis, we calculate three BrC/BC absorption cases as shown in
168 Figure 1, which shows the estimated BrC/BC absorption ratio at 550 nm as a function of
169 MCE. Different lines indicate different AAEs of BC and BrC according to the Table 1 of
170 Kirchstetter and Thatcher (2012). They calculated BC AAE and BrC AAE using 115 wood
171 smoke samples. For the calculation of BrC AAE, BC AAE had to be decided, and they
172 assumed three different BC AAEs (0.86, 1.00, 1.15) based on their smoke samples and
173 previous studies. Resulting BrC AAEs were 5.00, 5.48, and 6.19. We conduct three
174 simulations according to the Figure 1, as described later in this section. For high MCE
175 conditions (>0.95), the BrC contribution to the CA absorption is negligible, whereas it
176 becomes significant for low MCE conditions (<0.85).

177 We calculate the MCE of biomass burning based on the Fire Inventory from NCAR
178 (FINN) (Wiedinmyer et al., 2011) with vegetation dependent emission factors of CO₂ and CO
179 using Eq. (3) as follows:

$$180 \quad \text{MCE} = \frac{\Delta C_{\text{CO}_2}}{\Delta C_{\text{CO}_2} + \Delta C_{\text{CO}}} = \frac{\text{EF}_{\text{CO}_2} / \text{MW}_{\text{CO}_2}}{\text{EF}_{\text{CO}_2} / \text{MW}_{\text{CO}_2} + \text{EF}_{\text{CO}} / \text{MW}_{\text{CO}}}, \quad (3)$$

181 where EF is the emission factor [g-species kg-dry matter⁻¹] and MW is the molecular weight
182 [g-species mole⁻¹].

183 Finally, mass absorption efficiency (MAE), which is used for converting light
184 absorption to mass concentration, is needed to obtain the BrC/BC mass ratio from the
185 BrC/BC absorption ratio. For the fresh BC MAE at 550 nm, we use the value of $7.5 \text{ m}^2 \text{ g}^{-1}$
186 recommended by Bond and Bergstrom (2006) (Nakayama et al., 2013; Park et al., 2010). For
187 BrC, a large range of MAE values ($0.09\text{-}4.1 \text{ m}^2 \text{ g}^{-1}$ at $550 \pm 30 \text{ nm}$) has been reported
188 (Alexander et al., 2008; Cheng et al., 2011; Chung et al., 2012; Clarke et al., 2007; Favez et
189 al., 2009; Hecobian et al., 2010; Hoffer et al., 2006; Kirchstetter et al., 2004; McMeeking,
190 2008; Yang et al., 2009). The highest MAE ($3.6\text{-}4.1 \text{ m}^2 \text{ g}^{-1}$ at 550 nm) was observed by
191 Alexander et al. (2008), who used transmission electron microscopy to identify the optical
192 properties of individual BrC particles in the atmosphere. Generally, low MAEs were reported
193 when analyzing water soluble organic carbon (WSOC) from water extracts (Cheng et al.,
194 2011; Hecobian et al., 2010; Srinivas and Sarin, 2014), indicating that WSOC may include
195 both BrC and colorless OC. Intermediate MAEs mostly came from optical measurements
196 (Chung et al., 2012; Favez et al., 2009; Yang et al., 2009). For the primary BrC MAE, we use
197 $1.0 \text{ m}^2 \text{ g}^{-1}$ at 550 nm based on McMeeking (2008), who conducted a number of MAE
198 measurements of biomass burning samples (~ 30 unique fuels tested in ~ 230 burns) using both
199 filter-based and optical-based methods. In brief, we use the MAE values of $7.5 \text{ m}^2 \text{ g}^{-1}$ and 1.0
200 $\text{m}^2 \text{ g}^{-1}$ at 550 nm for BC and primary BrC, respectively. But at a shorter wavelength, higher
201 MAE value was used for primary BrC (e.g., $5.3 \text{ m}^2 \text{ g}^{-1}$ at 365 nm as discussed in Section 4).

202 Using the results in Figure 1 with Eq. (3), we calculate the EF (mass) ratio of BrC to
203 OC as summarized in Table 1. The EF ratio of BrC to OC differs for each vegetation type and
204 assumed BC AAE (0.86-1.15). Among different vegetation types, cropland burning shows the
205 highest BrC to OC mass ratio, driven by the low MCE and the highest ratio of BC to OC EF.

206 Because we calculate the BrC to OC EF ratio by multiplying the BrC to BC EF ratio by the
207 BC to OC ratio, the high BC to OC ratio can lead to a high BrC to OC ratio. Although Table 1
208 shows the highest BrC/OC ratio from cropland burning, its contribution to the global BrC
209 emission is small because the OC emission from the cropland is the lowest (Wiedinmyer et
210 al., 2011). Instead, the tropical forest burning is the highest, and the resulting total BrC
211 emission from biomass burning is $3.9 \pm 1.7 \text{ TgC yr}^{-1}$, which contributes about $17 \pm 7\%$ of
212 total OC emission from biomass burning (22.7 TgC yr^{-1}) (Wiedinmyer et al., 2011).

213 Our method of estimating BrC emissions from biofuel use is similar to that of
214 estimating emissions from biomass burning. We estimate BrC/OC ratio using the MCE and
215 BC to OC ratio in the same way as the biomass burning estimates. The only difference is that
216 the biofuel emission of each sector is not known (the biomass burning emission is known for
217 each vegetation type). Therefore, we first estimate OC biofuel emissions from each biofuel
218 category with the information given by previous studies (Bond et al., 2007; Bond et al., 2004;
219 Fernandes et al., 2007). Because there is no clear evidence that BrC is emitted by dung,
220 charcoal, and the industrial sector, here we consider only fuelwood and agricultural residue as
221 BrC sources. Fuelwood burning is the largest contributor to biofuel BrC emission. Our
222 estimate of BrC/OC mass ratio is 0.271 - 0.663 from biofuel use. Overall results are
223 summarized in Table 2. Note that base year of Table 2 is 2000 because previous studies
224 reported their values based on 2000. We scale up the emission for 2007 as described in
225 Section 3.2. Resulting BrC emission from biofuel use is $3.0 \pm 1.3 \text{ TgC yr}^{-1}$, which is
226 comparable to BrC emission from biomass burning.

227 **2.2 Secondary source**

228 We consider SOC as a source of BrC in the model based on the observed optical

229 characteristic of SOC, depending on its chemical formation, as follows: 1) anthropogenic
230 (aromatic) SOCs tend to absorb solar radiation more efficiently than biogenic SOCs
231 (Jacobson, 1999; Nakayama et al., 2010; Zhong and Jang, 2011; Zhong et al., 2012); 2) the
232 solar absorption efficiency increases as SOCs undergo atmospheric aging processes (Bones et
233 al., 2010; Lambe et al., 2013; Laskin et al., 2015; Laskin et al., 2010; Updyke et al., 2012); 3)
234 SOCs formed in inorganic seeds have a darker color than others (Jaoui et al., 2008;
235 Nakayama et al., 2013; Zhong and Jang, 2011; Zhong et al., 2012); moreover, SOCs become
236 darker when they undergo aging in the presence of nitrogen-containing inorganic gases and
237 aerosols (Bones et al., 2010; Laskin et al., 2010; Liu et al., 2012).

238 Among those factors, the first two are more important than the last. For example, the
239 absorbance of aged biogenic SOCs produced in inorganic seeds is much lower than that of
240 fresh anthropogenic SOCs under no-seed conditions (Zhong and Jang, 2011). Furthermore,
241 Lambe et al. (2013) suggested that the effect of NO_x on SOC light absorption is small under
242 typical ranges of VOC/NO_x. Therefore, here we consider the first two factors for BrC
243 simulations in the model. We assume anthropogenic (aromatic) SOCs with high atmospheric
244 aging as BrC in the model. Atmospheric aging is calculated using the volatility basis set
245 (VBS) approach with six bins in the model (Jo et al., 2013), where SOC concentrations of the
246 first two bins are considered as BrC. However, we note that some brown SOCs can be
247 bleached when they undergo photodissociation (Zhong and Jang, 2011; Sareen et al., 2013).
248 Furthermore, browning reactions can be accelerated by cloud and fog processing of aerosols
249 (Moise et al., 2015), which are not considered in this study. More detailed treatments of the
250 chemical aging of BrC are needed in future BrC models.

251 BrC from anthropogenic SOC has different optical properties (i.e, MAE, imaginary

252 refractive index) compared with BrC from wood burning. Therefore, we apply different
253 optical parameters for the model evaluation (Section 4) such as $5.3 \text{ m}^2 \text{ g}^{-1}$ (McMeeking,
254 2008) for primary BrC and $1.5 \text{ m}^2 \text{ g}^{-1}$ (Nakayama et al., 2010) for secondary BrC at 365 nm
255 (note that the MAE of primary BrC at 550 nm is $1.0 \text{ m}^2 \text{ g}^{-1}$ as discussed in Section 2.1). The
256 estimated annual source of secondary BrC is 5.7 TgC yr^{-1} , which contributes 45% of total
257 BrC sources.

258

259 **3 Model description**

260 **3.1 General**

261 We use the GEOS-Chem (version 9.1.2) global 3-D chemical transport model (Bey et
262 al., 2001) to simulate BrC for 2007. The model is driven by Modern Era Retrospective-
263 analysis for Research and Applications (MERRA) assimilated meteorological data from the
264 Global Modeling and Assimilation Office Goddard Earth Observing System (Rienecker et al.,
265 2011). The data include winds, precipitation, temperature, boundary layer height, and other
266 meteorological variables at $0.5^\circ \times 0.667^\circ$ horizontal resolutions, but are degraded to $2^\circ \times 2.5^\circ$
267 for computational efficiency.

268 We conduct a fully coupled oxidant-aerosol simulation, including SO_4^{2-} - NO_3^- -
269 NH_4^+ , soil dust, and sea salt aerosols. The simulation of carbonaceous aerosols in the GEOS-
270 Chem is based on Park et al. (2003; 2006). The model carries BC and POC, with a
271 hydrophobic and hydrophilic fraction for each. We assume that 80% of BC and 50% of POC
272 are emitted as hydrophobic (the rest is hydrophilic), then hydrophobic aerosols become
273 hydrophilic with an e-folding time of 1.15 days (Cooke et al., 1999). For the SOC simulation,
274 we use the VBS approach based on Jo et al. (2013). All SOC is considered as hydrophilic,

275 and more details are described in previous SOC studies (Chung and Seinfeld, 2002; Henze
276 and Seinfeld, 2006; Henze et al., 2008; Jo et al., 2013; Liao et al., 2007). Note that we
277 consider only the carbon mass of OC including BrC as discussed below, to avoid
278 uncertainties involved in converting organic carbon to organic matter concentrations, which
279 is typically done by multiplying a constant ratio (e.g, 1.4-2.1) (Aiken et al., 2008; Turpin and
280 Lim, 2001).

281 **3.2 Emissions**

282 We use fossil fuel and biofuel emissions of CAs for 2000 with no monthly variations
283 from Bond et al. (2007). However, domestic wood burning for heating has strong seasonal
284 dependency, so we additionally use the Monitoring Atmospheric Composition &
285 Climate/City Zen (MACCity) emission inventory (Diehl et al., 2012; Granier et al., 2011) to
286 obtain seasonal variations of global biofuel emissions and to scale up for 2007. For this, we
287 divide the whole globe into regions with similar seasonality according to the Atmospheric
288 Chemistry and Climate Model Intercomparison Project (ACCMIP) (Lamarque et al., 2010),
289 which is the basis of the MACCity inventory. We apply the annual trend of each ACCMIP
290 region to the emissions from Bond et al. (2007). The emissions for each region and trends are
291 listed in Table S1 and Table S2.

292 We use biomass burning emissions from FINN version 1.0 (Wiedinmyer et al., 2011),
293 which provides global daily estimates of trace gases and aerosols at 1 km horizontal
294 resolution for 2002-2012 (<http://bai.acd.ucar.edu/Data/fire/>). However, the FINN version 1.0
295 inventory does not include aromatic VOCs (benzene, toluene, and xylene), the emissions of
296 which are estimated by multiplying dry burned matter by emission factors from Akagi et al.
297 (2011) and Andreae and Merlet (2001).

298 **4 Model Evaluation**

299 We conduct a model evaluation using the observed light absorption of WSOC
300 measured by Hecobian et al. (2010) and Zhang et al. (2011; 2013) in the United States. The
301 model evaluation allows us not only to validate simulated BrC concentrations but also to
302 examine each source contribution to BrC in the United States. We also use the global single
303 scattering albedo (SSA) observations from the AERONET to evaluate the effect of including
304 BrC on light absorption by aerosols over the globe.

305 **4.1 United States**

306 Prior to evaluating BrC simulations, we first focus on BC and OC aerosols in the
307 model to examine the general model performance in simulating carbonaceous aerosol
308 concentrations in the United States. We use BC and OC observations from the Interagency
309 Monitoring of Protected Visual Environments (IMPROVE) network for 2007 (Malm et al.,
310 1994). Most sites were situated in rural regions, measuring background concentrations of BC
311 and OC. The data were available every three days; more than 20,000 samples were used for
312 our comparison. For comparison with the model results, we computed the observed monthly
313 mean concentrations of BC and OC averaged on the $2^\circ \times 2.5^\circ$ model grid.

314 Figure 2 shows scatterplot comparisons of the observed and simulated monthly mean
315 BC and OC concentrations in the United States. The model slightly underestimates both BC
316 and OC over the United States, consistent with similar comparisons in Huang et al. (2013).
317 We calculate the annual mean concentrations of the model using the simulated values of
318 model grid boxes corresponding to the IMPROVE network sites. The simulated annual mean
319 BC concentration is $0.22 \mu\text{gC m}^{-3}$, which is 12% lower than the observed mean value (0.25
320 $\mu\text{gC m}^{-3}$). However, the bias in the model is larger for OC by 30% (1.16 and $0.81 \mu\text{gC m}^{-3}$ for

321 observed and simulated OC concentrations, respectively), which is additionally due to the
322 underestimation of SOC in the model (Jo et al., 2013). This low bias for SOC can be reflected
323 in the simulated BrC concentrations, which is discussed later in this section.

324 We use the light absorption observations of WSOC measured using a UV-Vis
325 spectrophotometer and Long-Path absorption Cell by Hecobian et al. (2010), and compare
326 them with the light absorption by BrC in the model. Absorption coefficients of WSOC at 365
327 nm were measured at 15 sites in the southeastern United States in 2007. Among them, eight
328 sites are in urban areas, and the others are in rural regions. Detailed descriptions of the
329 measurements are available in Hecobian et al. (2010).

330 Because light absorption observations are measured only for water soluble fractions
331 of OC, and do not include water insoluble components, we separate BrC in the model into
332 water soluble and water insoluble components. The model divides OC (or BrC) into
333 hydrophilic and hydrophobic components. For the comparison, we do not use the simulated
334 hydrophilic fraction, but instead use an observed WSOC/OC ratio because the assumed
335 division of hydrophobic and hydrophilic fractions of OC and their conversion can be
336 applicable in a global sense, but in a regional sense, it may cause a significant discrepancy.
337 For example, the observed water soluble fraction of the total OC is generally low (on the
338 order of 25%) in the Los Angeles basin (Zhang et al., 2013), on the other hand, the model
339 simulates a high water-soluble fraction of the total OC (63-74%) in this region. For this
340 reason, we decide to use the observed WSOC/OC ratio for the evaluations. In the
341 southeastern United States, the observed WSOC/OC ratio is about 0.58 (Weber et al., 2007;
342 Zhang et al., 2013), which is also used to estimate the water soluble BrC concentrations from
343 the total BrC concentrations in the model.

344 Because the model simulates a mass concentration of BrC, a conversion from the
345 mass concentration to light absorption is carried out by multiplying MAE values. For BrC
346 from wood burning, we use the MAE value of $5.3 \text{ m}^2 \text{ g}^{-1}$ at 365 nm measured by McMeeking
347 (2008) in order to retain the consistency between our emission estimates and the evaluation.
348 For BrC from SOC, we select the MAE of $1.5 \text{ m}^2 \text{ g}^{-1}$ at 365 nm calculated by Nakayama et al.
349 (2010) (see Figure 4 in their paper).

350 Figure 3 shows monthly mean simulated and observed light absorption coefficients of
351 BrC at 365 nm averaged over all sites in the southeastern United States for 2007. Black
352 circles and colored bars indicate the observed and simulated BrC absorption at 365 nm,
353 respectively, and different colors in the bar show contributions from different sources. Each
354 panel represents different model simulations with each case for AAE selections as shown in
355 Figure 1 and Table 1.

356 In winter months (November through March), the observed light absorptions were
357 generally high and reached a peak in March. These high absorptions were highly correlated
358 with levoglucosan, which is a marker for biomass burning (Hecobian et al., 2010). During the
359 summer, the observed light absorptions decreased substantially.

360 The model generally captures the observed seasonal variation with high absorption in
361 the winter, having a peak in March and low absorption in the summer (R of 0.93). On an
362 annual mean basis, we find that the model is too high by 46% for case 1, and is too low by -
363 31% for case 3, relative to the observations, respectively. The model for case 2 is in the best
364 agreement with the observations (4%) on an annual mean basis.

365 The BrC source contribution in the model is similar to the observed source
366 contribution. Hecobian et al. (2010) showed that biomass burning was the main contributor

367 for the winter season, whereas the SOC contribution increased during the summer season.
368 The simulated seasonal variation is consistent with the observation, as shown in Figure 3. The
369 annual mass contribution of SOC to BrC is 38% (in case 2), which is in good agreement with
370 the observed contribution of 32% (Hecobian et al., 2010). Based on the results in Figure 3,
371 the model for the case 2 yields best estimates of BrC emissions.

372 In addition to the observation by Hecobian et al. (2010), we use the light absorption
373 observations by Zhang et al. (2011; 2013). Measurements were carried out in Atlanta, GA
374 (33.778427N, 84.396181W), Pasadena, CA (34.140528N, 118.122455W), and Riverside, CA
375 (33.97185N, 117.32266W) for a month or less. As discussed above, we apply the observed
376 WSOC/OC ratio to the model BrC concentrations: 26% for the Los Angeles basin (Pasadena
377 and Riverside) (Zhang et al., 2013) and 58% for Atlanta (Weber et al., 2007; Zhang et al.,
378 2013).

379 Figure 4 shows the daily mean observed and simulated light absorption coefficients
380 from the best model (case 2) for Atlanta, Pasadena, and Riverside for 2010. The upper panel
381 shows the comparison of the observed versus simulated light absorption for Atlanta. The
382 highest observed daily absorption occurred on August 24, but the model fails to reproduce it.
383 Furthermore, the model generally overestimates the observed absorption by 44%, and the
384 large discrepancies mainly occur in September. This large discrepancy in September is
385 similar to the result shown in Figure 3(b) for 2007.

386 The middle and lower panels show the comparisons at the Los Angeles basin sites in
387 May and June. The observed mean light absorptions at these sites (0.81 and 0.98 Mm^{-1} for
388 Pasadena and Riverside, respectively) are higher than the observed mean light absorption
389 (0.56 Mm^{-1}) for Atlanta. However, the model underestimates the observations by 38%

390 (Pasadena) and 48% (Riverside). Zhang et al. (2013) showed that the main sources of BrC at
391 these sites were SOC from anthropogenic emissions. The model also shows a high
392 contribution (85%) of the secondary source to the total BrC mass concentrations, but the
393 magnitudes are generally lower than the observations, and this low bias is likely related to
394 underestimation of the simulated SOC concentrations using the 1-D VBS (Jo et al., 2013).

395 We find from the model evaluation over the United States that the model generally
396 captures the observed mean absorption and its seasonal variability in the region where
397 primary sources are dominant. On the other hand, the model underestimates the observed
398 mean absorption in the region with the dominant secondary sources. The low bias is partly
399 explained by the SOC underestimation in the model. However, the underestimations of BrC
400 from SOC (38-48%) are higher than those of SOC (18%), indicating the importance of
401 additional secondary BrC sources that we did not include in the model.

402 A MAE value for secondary BrC could be another possible reason for the bias in the
403 model. Although chamber studies suggested weak absorbing characteristics of BrC from SOC
404 (Nakayama et al., 2010; Nakayama et al., 2013; Zhong and Jang, 2011), some field
405 observations speculated the existence of strongly-absorbing BrC from SOC (Alexander et al.,
406 2008; Chung et al., 2012). For example, applying the MAE value of $3.5 \text{ m}^2 \text{ g}^{-1}$ at 365 nm (a
407 half of the MAE at 365 nm from Alexander et al. (2008)) for secondary BrC yields a similar
408 mean absorption value to the observation over LA basin. Extensive observations of optical
409 characteristics of BrC depending on the formation mechanisms would be needed to reduce
410 the associated uncertainties and to improve the model.

411 **4.2 Evaluation against global AERONET observations**

412 No global observation of BrC is available yet. Here we use the observed SSA at

413 AERONET sites to evaluate the model by focusing on the effect of BrC on the simulated
414 aerosol absorption. We also use observed aerosol optical depth (AOD) to evaluate the model
415 capability to simulate aerosol mass concentrations.

416 For comparisons of AOD and SSA between the model and observations, we use
417 FlexAOD (<http://pumpkin.aquila.infn.it/flexaod/>), which calculates AOD and SSA using
418 simulated aerosol mass concentrations from GEOS-Chem with the Mie algorithm
419 (Mischenko et al., 2002; Curci et al., 2015).

420 For optical properties of BrC, we use imaginary refractive indices of BrC from
421 McMeeking (2008) for wood burning sources, and from Nakayama et al. (2010) for SOC
422 sources. Detailed description of the values used in AOD and SSA calculation are provided in
423 Section 6, where we discuss the DRE of BrC.

424 Figure 5 shows comparisons of monthly mean simulated versus observed AOD at 500
425 nm and SSA at 440 nm. We find that the model captures the observed AOD quite well with a
426 regression slope of 0.86 and a R of 0.88. However, the model tends to overestimate the
427 observed SSA, implying that the simulated aerosol concentrations appear to have too large a
428 fraction of scattering aerosols. We find that the inclusion of BrC in the model reduces the
429 high bias of simulated SSA by 33% and 23% (lower left and lower right panel of Figure 5),
430 indicating a considerable contribution of BrC to aerosol absorption. Although the statistics
431 suggest a greater improvement with the case 1 in terms of the bias, simulated SSA values at
432 sites in Africa with high BrC concentrations, are too low apart from the regression line
433 (discrepancy > 0.1). This result also supports our selection of the case 2 as the best model for
434 BrC emission estimates.

435 Despite a decrease of simulated SSA with BrC, the model is still too high relative to

436 the observations. The overestimation might be partly caused by the underestimation of BC
437 emissions from biomass burning (Bond et al., 2013). This is also supported by the fact that
438 the discrepancy gets larger for biomass burning regions, where a difference between the
439 model and AERONET SSA is 40% higher than that in regions with high anthropogenic
440 emissions. Emission factors of BC used in this study are 0.2-0.69 g kg⁻¹ (Wiedinmyer et al.,
441 2011), which are lower than the value of 1 g kg⁻¹ used by Chin et al. (2009), who found no
442 significant bias in their model compared with the AERONET SSA. Lin et al. (2014) also
443 reported a small bias in their model compared with AERONET SSA using 4.7 Tg yr⁻¹ of
444 global annual biomass burning BC emissions, which is about two times higher than 2.2 Tg yr⁻¹
445 of this study.

446 In addition to the biomass burning emission of BC, the anthropogenic emission of BC
447 could also contribute to the simulated SSA bias. Cohen and Wang (2014) showed that a
448 global top-down emission of BC is twice as large as the bottom-up estimates of BC based on
449 the Kalman Filter approach. They suggested that BC emissions in East Asia, Southeast Asia,
450 and Eastern Europe are significantly underestimated in current bottom-up emission
451 inventories. This issue is critically important, and possibly has an important implication for
452 climate. However, an investigation of BC emissions for the SSA discrepancy above is beyond
453 the scope of our work, and will be conducted in future studies.

454 Light absorption enhancement of aged BC could also be one of the reasons for the
455 SSA overestimation in the model. Here we use the same optical parameters for all BC in the
456 model. However, Bond et al. (2006) suggested that the absorption of aged BC is about 1.5
457 times greater than that of fresh BC. BC aging occurs as it is mixed internally with other
458 aerosols. If we assume hydrophilic BC as aged BC in the model and its absorption

459 enhancement by a factor of 1.5 relative to hydrophobic BC, the high bias of simulated SSA is
460 additionally reduced by about 20% (not shown).

461 We further compare the model against AERONET AAE as shown in Figure S1. We
462 find that the model overestimates the observed AAE after including BrC, in part, because the
463 model underestimates BC emissions as discussed above. However, the simulated AAE will be
464 decreased if we increase BC emissions as suggested by the top-down estimate (Cohen and
465 Wang, 2014). For example, for regions (North America, Central America, South America,
466 Southeast Asia, and Australia) where the difference between our BC emission and the top-
467 down estimate is within a factor of 2, we find that the model with BrC shows a better
468 agreement with the observed AAE (Figure S2) and with the observed SSA (Figure S3).

469 Considering all these uncertainties, our evaluation above indicates that the model for
470 the case 2 results in the best estimates of simulated BrC concentrations, which will be used
471 for examining BrC effects on climate and photochemistry, below and other two cases are
472 considered as upper and lower limits of our estimates.

473

474 **5 Global budgets**

475 **5.1 Annual surface concentration**

476 Figure 6 shows our best estimates of annual mean concentrations of BrC and each
477 source contribution in surface air for 2007. Values are high in regions where biomass burning
478 (Southeast Asia and South America) and biofuel (East Asia and Northeast India) sources are
479 dominant. These primary sources account for 77% of BrC concentrations in surface air. On
480 the other hand, secondary sources are relatively minor in the surface, but their contribution
481 increases in the free troposphere, as discussed in Section 5.2.

482 Figure 7 shows BrC to BC and OC ratios in surface air in the model. The BrC to BC
483 ratio is highest over the eastern North Pacific and the North Atlantic. This high ratio over the
484 ocean reflects a secondary chemical production, which contributes to BrC but not to BC.
485 Over the continents, the ratio is generally higher in heavy biomass burning regions (South
486 America and Africa) than in industrialized regions (East Asia, Europe, and the eastern United
487 States) because more BrC than BC is emitted from biomass burning.

488 Similarly, the BrC to OC ratio is also high over the oceans because of secondary BrC,
489 the concentrations of which increase with atmospheric aging. Over the continents, the ratio is
490 smaller reflecting relatively fresh emissions of OC from anthropogenic sources that do not
491 directly contribute to BrC. We find that the BrC to OC ratio is relatively high in regions with
492 large biofuel use (North India and Central Asia). Although China is one of the largest
493 emission source regions for BrC (Figure 6), both BrC to BC and BrC to OC ratios are
494 relatively low because of high concentrations of BC and OC. Our global mean BrC to BC and
495 BrC to OC ratios at the surface are 1.24 and 0.21, respectively and are lower than the ratio
496 (3.4 of BrC to BC ratio and 0.43 of BrC to OC ratio in terms of burden) of Feng et al. (2013),
497 but higher than the ratio (1.0 of BrC to BC ratio) used in Park et al. (2010).

498 **5.2 Tropospheric budget of BrC**

499 Table 3 summarizes our best estimates of the global tropospheric budgets of BrC,
500 along with BC and OC. The global BrC source is $12.5 \pm 3.0 \text{ TgC yr}^{-1}$, which accounts for
501 27% of OC sources. Although the biofuel emission (6.5 TgC yr^{-1}) is three times lower than
502 the biomass burning emission (22.7 TgC yr^{-1}) for OC, the biofuel emission ($3.0 \pm 1.3 \text{ TgC yr}^{-1}$)
503 becomes significant for BrC, contributing about 43% of primary sources. The secondary
504 source of BrC is 5.7 TgC yr^{-1} , and is comparable to the primary sources ($6.8 \pm 3.0 \text{ TgC yr}^{-1}$).

505 Wet deposition is the main removal process for BrC, and accounts for 86 % of total
506 removal processes. The remaining loss is due to dry deposition. The contribution of wet
507 deposition to total deposition of BrC is similar to that of OC (82%), because we treat BrC
508 scavenging similarly to that of OC. Because secondary BrC is produced all over the
509 troposphere (not only at the surface) and is hydrophilic, most secondary BrC is removed by
510 wet deposition processes (92%).

511 The global burden of BrC shows the highest contribution from secondary BrC (50 %)
512 compared to primary contributions from biomass burning (30 %) and biofuel (20 %). This
513 result is opposite to the source contributions in surface air shown in Figure 6. The
514 contribution of secondary BrC to the atmospheric burden is twice as high as the contribution
515 of secondary BrC to the surface concentration (23%), reflecting a relatively large production
516 of BrC in the free troposphere as well as limited export of primary BrC from the surface to
517 the free troposphere.

518 Our BrC lifetime is 5.8 days, which is lower than that of OC (7.9 days) because of
519 different contributions of the secondary sources for BrC and OC. The latter species includes a
520 larger fraction of secondary species (52%), the lifetime of which is usually longer than that of
521 POC especially for not aged biogenic SOC (Jo et al., 2013). No significant difference
522 between the lifetimes of BrC and BC exists because BrC, which is more hydrophilic than BC,
523 is more prone to wet scavenging than BC.

524

525 **6 Direct radiative effect of BrC**

526 We use imaginary refractive indices of BrC as a function of wavelength for radiative
527 transfer calculations to account for the wavelength dependency of the BrC absorption.

528 Imaginary refractive indices in the literature have a wide range of values, even from the same
529 sources, such as wood burning (Chakrabarty et al., 2010; Kirchstetter et al., 2004;
530 McMeeking, 2008). In order to maintain the consistency with BrC emission estimates from
531 primary sources, we use the imaginary refractive indices reported by McMeeking (2008),
532 which are 0.18, 0.14, and 0.10 at 370, 405, and 532 nm, respectively. The values are
533 interpolated with the AAE at every 50 nm wavelength interval for the radiative transfer
534 calculations. For secondary BrC, values from Nakayama et al. (2010) are used with 0.047 and
535 0.007 at 355 and 532 nm, respectively, based on the measurements for SOC from toluene.

536 We calculate AOD, SSA, and asymmetry parameter using FlexAOD, which is
537 described in Section 4.2. Note that we calculate DRE rather than DRF. DRE is the
538 instantaneous radiative impact of all atmospheric particles on the Earth's energy balance, and
539 DRF is the change in DRE from pre-industrial to present-day (Heald et al., 2014). We use the
540 Rapid Radiative Transfer Model for GCMs (RRTMG) (Iacono et al., 2008) for DRE
541 calculations. Wavelengths used for the calculation are 300, 304, 393, 533, 702, 1010, 1270,
542 1462, 1784, 2046, 2325, 2788, 3462, and 8021 nm. MERRA reanalysis data are used for
543 albedo and other meteorological variables.

544 Figures 8(a) and 8(b) show the clear sky DRE values of primary and secondary BrC
545 concentrations. Because the imaginary refractive indices of BrC are between those of
546 strongly absorbing BC and scattering OC, the global mean DRE of BrC is close to zero, as
547 shown in (a) and (b).

548 Although the DRE of BrC at the top of the atmosphere is nearly zero, the increased
549 DRE of OC after considering BrC absorption (usually considered as scattering OC) is 0.11 W
550 m⁻², as shown in Figure 8(c). The DRE of OC without BrC absorption is -0.69 W m⁻² (Figure

551 8(d)), and this value is increased to -0.58 W m^{-2} after considering BrC absorption.

552 Consequently, the cooling effect of OC is reduced by 16%.

553 Despite the negligible effect of BrC on DRE or DRF, its significance manifests for
554 OC DRF estimates, which have been conducted based on the assumption of scattering OC.
555 For example, AeroCom phase II simulations calculated -0.03 W m^{-2} as the global mean DRF
556 of POC from fossil fuel and biofuel, and -0.06 W m^{-2} for that of SOC (Myhre et al., 2013).
557 Because the biofuel emission is about twice as large as the fossil fuel emission (Bond et al.,
558 2007), and one-half of OC from biofuel is BrC, one-third of the POC from fossil fuel and
559 biofuel is BrC. Therefore, one-third of DRF (-0.01 W m^{-2}) of POC in AeroCom is related to
560 BrC, whose DRF is close to zero. For SOC, because the pre-industrial biogenic SOC
561 concentration is similar to present-day conditions, almost all DRF of SOC is from
562 anthropogenic SOC. Based on previous SOC studies (Henze et al., 2008; Jo et al., 2013;
563 Murphy and Pandis, 2010), approximately one-third of anthropogenic SOC is highly aged,
564 and can thus be assumed to be BrC in this simple estimation. As a result, one-third of DRF ($-$
565 0.02 W m^{-2}) of SOC in AeroCom is related to BrC. The total DRF of BrC that was assumed
566 to be scattering OC in the AeroCom study is -0.03 W m^{-2} . Because DRF of BrC is almost
567 negligible, the negative DRF of OC (-0.09 W m^{-2}) in AeroCom could likely be overestimated
568 by 50%. We think, however, the warming effect of BrC on the negative DRF or DRE of OC
569 would be a low-end value because our best model likely underestimates BrC concentrations
570 especially from the secondary source.

571

572 **7 Effect on ozone photochemistry**

573 BrC absorption, particularly at UV wavelengths, has an important implication for

574 ozone photochemistry. Here we examine the effect of BrC absorption on photochemistry by
575 updating photolysis rate calculations in GEOS-Chem following Martin et al. (2003). Table 4
576 shows the calculated extinction efficiency and SSA of important aerosols at 0.4 μm , which
577 affect UV extinction, and thus photolysis rate calculations, in the model. Values of OC, BC, and
578 inorganic aerosols are from GEOS-Chem, in which we update aerosol optical properties by
579 adding those of BrC. We include optical properties of primary and secondary BrC separately
580 because they differ substantially. For example, SSA values of primary BrC are smaller than
581 those of secondary BrC, and thus have a greater impact on UV radiation. Compared with
582 other aerosols, SSA values of BrC are generally lower than those of OC and inorganic
583 aerosols, but higher than those of BC.

584 Martin et al. (2003) showed that the effects of aerosols on photolysis rates increased
585 CO by 5-15 ppbv in the remote Northern Hemisphere (annual mean concentrations less than
586 140 ppbv). This increase resulted in an improved model agreement with observations, but
587 there was a still gap between the model and the observations. In our simulation with BrC, CO
588 concentration is further increased by 0.2-1.9 ppbv in remote Northern Hemisphere regions
589 (annual mean concentrations less than 140 ppbv in the model). On the other hand, OH
590 concentrations are decreased by 0-10% in the boundary layer over the Northern Hemisphere
591 (maximum decreases occur in regions with high BrC concentrations, shown in Figure 6). The
592 change of OH owing to BrC is about one-third of the OH change according to the overall
593 aerosol effects from Martin et al. (2003). Therefore, the inclusion of BrC significantly affects
594 tropospheric chemistry, especially for regions with heavy biomass burning and biofuel
595 emissions.

596 Finally, we quantify the effects of BrC on global NO_2 photolysis rates and ozone

597 concentrations at the surface. Figure 9 shows changes in annual NO₂ photolysis rates and O₃
598 concentrations in surface air owing to BrC absorption. Although BrC absorption is included,
599 there are no significant changes (less than 1%) of the global mean NO₂ photolysis rate and O₃
600 concentration in surface air. However, the effect of BrC appears to be important for regions
601 with high BrC concentrations. We find a maximum decrease of the annual mean NO₂
602 photolysis rate by 8% in surface air over Asia where the resulting reduction of O₃
603 concentration is up to -2 ppbv (6% of annual mean surface O₃ concentration). We also find
604 that the BrC effect has a strong seasonal variation such that it is maximized in the spring
605 when surface O₃ concentration is decreased up to -13% in Asia because of high BrC
606 concentration (55 μgC m⁻³). This maximum O₃ decrease by BrC (-13%) is similar to the O₃
607 decrease (15%) by fire aerosols in Jiang et al. (2012).

608

609 **8 Conclusion**

610 OC has been considered to be a scattering aerosol, but emerging evidence has shown
611 that some OC can efficiently absorb solar radiation. This absorbing OC is called BrC. With
612 increasing recognition of its importance, especially for solar absorption at UV and short
613 visible wavelengths, quantification of its spatial and temporal distribution is much needed for
614 the study of climate and air quality issues. Here we conducted an explicit global BrC
615 simulation for the full year of 2007 using a global 3-D chemical transport model (GEOS-
616 Chem), and examined its implication for climate and O₃ photochemistry.

617 We first estimated primary BrC emissions from biomass burning and biofuel use
618 based on the relationship between AAE and MCE. Our estimates of primary BrC emissions
619 are 3.9 ± 1.7 and 3.0 ± 1.3 TgC yr⁻¹ from biomass burning and biofuel use, respectively. The

620 secondary BrC source is estimated to be 5.7 TgC yr^{-1} from the aromatic oxidation.

621 With explicit BrC emissions, a coupled oxidant-aerosol simulation was conducted for
622 2007 to obtain the spatial and temporal distributions of BrC concentrations. We first
623 evaluated the model by comparing the simulated versus observed BrC absorption in the
624 United States and found that the model successfully reproduced the observed seasonal
625 variation of light absorption by WSOC in the southeastern United States, whereas the model
626 significantly underestimated secondary BrC over the Los Angeles basin.

627 Our budget analysis showed that BrC from primary sources are dominant (77%) in
628 surface air, but BrC from secondary sources becomes important with increasing altitudes. For
629 example, BrC from secondary sources accounts for the 50% of the tropospheric BrC burden,
630 which is higher than its 23% contribution to surface BrC concentrations. Our global mean
631 value of the BrC to BC ratio is 1.83 for the whole atmosphere, and 1.24 for the surface, which
632 significantly differs from the values used in previous studies.

633 Using our best results, we estimated the DRE of BrC to be close to zero at the top of
634 the atmosphere because the imaginary refractive indices of BrC are in the midpoint between
635 those of BC and OC. Despite a negligible contribution to DRE, the inclusion of BrC
636 absorption in the model offsets the negative radiative effect of OC by 0.11 W m^{-2} (16%).

637 Finally, we included BrC absorption in photolysis rate calculations in the model. We
638 found that the NO_2 photolysis rate is decreased up to 8%, especially for Asia, where BrC
639 concentration is high. Resulting annual surface O_3 concentrations are decreased up to -2 ppbv
640 (6%). This effect is more important in the spring, when a typical O_3 maximum occurs in Asia,
641 where the effect of BrC decreases the surface O_3 concentration by up to -13%.

642 Many chemical transport models and air quality models have included the effect of

643 aerosols on photolysis rate calculations, but have not considered the BrC effect. Based on our
 644 analysis, BrC absorption could have a significant direct impact on regional air quality by
 645 being involved in O₃ photochemical formation. Its significance, however, can be expanded to
 646 the globe by its effect on the atmospheric oxidation capacity, which has an indirect but
 647 important implication for global air quality and climate.

648

649 **Appendix A**

650 **A1 Relationship between BrC/BC absorption ratio and AAE**

651 In this section we describe a procedure for obtaining the relationship between the
 652 BrC/BC absorption ratio and AAE. Assuming no internal mixing and dust influence, total
 653 absorption at a certain wavelength (λ) can be expressed as:

$$654 \quad \alpha_{\lambda,CA} = \alpha_{\lambda,BrC} + \alpha_{\lambda,BC} \quad (A1)$$

655 Rewriting Eq. (A1) using AAE:

$$656 \quad \alpha_{\lambda_0,CA} \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{CA}} = \alpha_{\lambda_0,BrC} \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \alpha_{\lambda_0,BC} \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}} \quad (A2)$$

657 Dividing each side of Eq. (A2) by $\alpha_{\lambda_0,BC}$:

$$658 \quad (1 + F) \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{CA}} = F \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}} \quad (A3)$$

659 where F is the BrC/BC absorption ratio at λ_0 .

660 We can solve Eq. (A3) analytically, and the procedure is described in Appendix A2.

661 We do not use the analytical relationship because it uses only three wavelengths for the
 662 calculations. The Ångström relationship is based on empirical fitting. AAE varies in different
 663 wavelength regions, even if we use the same samples. For example, Chung et al. (2012)

664 showed that CA AAE is about 1.2 when the first four wavelengths (370, 470, 520, 590 nm)
 665 are used, while the CA AAE is 1.35 with the last four wavelengths (590, 660, 880, 950 nm).
 666 This discrepancy is much increased in the case of BrC AAE. Liu et al. (2014) showed that
 667 BrC AAE varies by approximately 20%, depending on wavelength pairs. Furthermore, if we
 668 calculate AAE of BrC using the MAE of Kirchstetter et al. (2004), AAE of BrC in all
 669 wavelengths (from 350 to 650 nm, 7 values) is fitted to 5.9 with a R² of 0.96. However, the
 670 AAE of BrC using just two wavelengths is 4.1 for the 350-440 nm and 8.0 for the 550-600
 671 nm, respectively.

672 Therefore, we calculate the relationship between MCE and F by regression using
 673 multiple wavelengths: [300, 350, 400, 450, 500, 550, 600, 650, 700, 750, 800, 850, 900 nm].
 674 If we rewrite Eq. (A3) for the regression form,

$$675 \quad \overset{\circ}{A}_{CA} \log(\lambda) + C = -\log \left[F \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}} \right] \quad (A4)$$

676 where the residual term C is

$$677 \quad C = -\overset{\circ}{A}_{CA} \log(\lambda_0) - \log(1 + F) \quad (A5)$$

678 The left side of Eq. (A4) has the shape of Ax+B. Therefore, by linear regression analysis, we
 679 can obtain $\overset{\circ}{A}_{CA}$ (the slope of the regression) as varying F on the right side. For example,
 680 Figure S4 shows the linear regression case for F=4.0. In this case, R² is 0.99 and Angstrom
 681 exponent of CA is 4.44. Y-intercept of the numerical fitting is -29.81, which is consistent with
 682 Y-intercept (-29.64) from Eq. (A5). The difference between two Y-intercept values are always
 683 within 1%, which shows the numerical fitting with Eq. (A4) satisfies both the slope (A) and
 684 the intercept (B) at the same time within 1% error. We choose an $\overset{\circ}{A}_{BrC}$ values of {5.0, 5.48,

685 6.19} and an $\overset{\circ}{A}_{BC}$ values of {0.86, 1.00, 1.15}, following Kirchstetter and Thatcher (2012),
 686 who estimated mean $\overset{\circ}{A}_{BrC}$ using several wood samples (87 samples) over the 360 to 700 nm
 687 spectrum range. We assign a λ_0 value of 550 nm. The coefficient of determination (R^2) is
 688 greater than 0.98 in all the regression analyses. The calculated relationship between MCE and
 689 F is plotted in Figure 1. As expected, emissions of BrC are increased when MCE is
 690 decreased.

691

692 **A2 Analytical derivation of Eq. (A3)**

693 Here we describe the procedure to obtain the analytical relationship between MCE
 694 and F. First, substituting λ_1 and λ_2 in Eq. (A3),

$$695 \quad (1 + F) \left(\frac{\lambda_1}{\lambda_0} \right)^{-\overset{\circ}{A}_{CA}} = F \left(\frac{\lambda_1}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_1}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}} \quad (A6)$$

$$696 \quad (1 + F) \left(\frac{\lambda_2}{\lambda_0} \right)^{-\overset{\circ}{A}_{CA}} = F \left(\frac{\lambda_2}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_2}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}} \quad (A7)$$

697 Assuming AAE between λ_0 and λ_1 is equal to AAE between λ_0 and λ_2 , divide Eq. (A6) by Eq.
 698 (A7), and rearrange terms:

$$699 \quad \left(\frac{\lambda_1}{\lambda_2} \right)^{-\overset{\circ}{A}_{CA}} = \frac{F \left(\frac{\lambda_1}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_1}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}}}{F \left(\frac{\lambda_2}{\lambda_0} \right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_2}{\lambda_0} \right)^{-\overset{\circ}{A}_{BC}}} \quad (A8)$$

700 Taking the logarithm of both sides:

701
$$\overset{\circ}{A}_{CA} = -\log \left(\frac{F\left(\frac{\lambda_1}{\lambda_0}\right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_1}{\lambda_0}\right)^{-\overset{\circ}{A}_{BC}}}{F\left(\frac{\lambda_2}{\lambda_0}\right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_2}{\lambda_0}\right)^{-\overset{\circ}{A}_{BC}}} \right) / \log\left(\frac{\lambda_1}{\lambda_2}\right) \quad (\text{A9})$$

702 Substituting Eq. (2) into Eq. (A9) gives:

703
$$\text{MCE} = \left[18.2 + \log \left(\frac{F\left(\frac{\lambda_1}{\lambda_0}\right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_1}{\lambda_0}\right)^{-\overset{\circ}{A}_{BC}}}{F\left(\frac{\lambda_2}{\lambda_0}\right)^{-\overset{\circ}{A}_{BrC}} + \left(\frac{\lambda_2}{\lambda_0}\right)^{-\overset{\circ}{A}_{BC}}} \right) / \log\left(\frac{\lambda_1}{\lambda_2}\right) \right] / 17.34 \quad (\text{A10})$$

704 After assigning $\overset{\circ}{A}_{BrC}$, $\overset{\circ}{A}_{BC}$, and the corresponding three wavelengths (λ_0 , λ_1 and λ_2) in Eq.
 705 (A10), we obtain the relationship between MCE and F analytically.

706

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719 **References**

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1029 Table 1. Emission factors (EFs) and calculated parameters used for primary BrC emission
 1030 estimates. Biomass burning emission is classified for six vegetation types based on the FINN
 1031 inventory. Here BrC/OC is the mass ratio of BrC to OC emitted from biomass burning and
 1032 biofuel use.

Source Type	CO ₂ EF [g kg ⁻¹]	CO EF [g kg ⁻¹]	MCE	OC EF [g kg ⁻¹]	BC EF [g kg ⁻¹]	BrC/OC		
Biomass burning						case1	case2	case3
Boreal Forest	1514	118	0.891	7.8	0.20	0.135	0.093	0.057
Cropland	1537	111	0.898	3.3	0.69	0.946	0.652	0.400
Savanna/Grassland	1692	59	0.948	2.6	0.37	0.189	0.123	0.067
Temperate Forest	1630	102	0.910	9.2	0.56	0.211	0.145	0.088
Tropical Forest	1643	92	0.919	4.7	0.52	0.312	0.213	0.128
Woody Savannah/Shrubland	1716	68	0.941	6.6	0.50	0.123	0.081	0.046
Biofuel ¹⁾						0.663	0.452	0.271

1) Detailed information is given in Table 2

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1049 Table 2. Global biofuel consumption estimates, EFs of OC, and OC biofuel emission estimates
 1050 for each biofuel category. Base year is 2000.

	Fuelwood	Crop Residues	Dung	Charcoal	Industrial	Total
Biofuel Consumption ¹⁾ [Tg]	1351	495	75	39	498	2457
EF [g/kg] ²⁾	2.97 ³⁾	3.3	1.8	1.3	0.91 ³⁾	2.6
OC emission [Gg]	4010.3	1633.5	135	50.7	453.6	6281 ⁴⁾
BrC/OC	case1	0.653	0.946	0.000	0.000	0.000
	case2	0.442	0.652	0.000	0.000	0.000
	case3	0.261	0.400	0.000	0.000	0.000

1051 1) From Fernandes et al. (2007)

1052 2) From Bond et al. (2004)

1053 3) Global mean value is estimated from Bond et al. (2004)

1054 4) From GEOS-Chem biofuel OC inventory (carbon_200909) by Bond et al. (2007)

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1071 Table 3. Global tropospheric budgets of BrC compared to those of OC and BC. Uncertainties
 1072 are indicated in the parentheses.

Unit :		BrC	OC	BC	BrC/OC	BrC/BC
[GgC]						
Sources	Biomass burning	3857 (±1689)				
	Biofuel	2965 (±1281)			0.27	1.83
	Anthropogenic SOC	5690			(±0.06)	(±0.43)
	Total	12512 (±2970)	46929	6847		
Wet Deposition	Biomass burning	3169 (±1389)				
	Biofuel	2358 (±1018)			0.28	1.97
	Anthropogenic SOC	5244			(±0.07)	(±0.45)
	Total	10771 (±2407)	38681	5458		
Dry Deposition	Biomass burning	688 (±301)				
	Biofuel	607 (±263)			0.21	1.25
	Anthropogenic SOC	445			(±0.07)	(±0.41)
	Total	1740 (±564)	8272	1397		
Burden	Biomass burning	59 (±26)				
	Biofuel	40 (±18)			0.19	1.84
	Anthropogenic SOC	98			(±0.05)	(±0.41)
	Total	197 (±44)	1021	107		
Lifetime [days]	Biomass burning	5.6 (±0.0)				
	Biofuel	5.0 (±0.0)				
	Anthropogenic SOC	6.3				
	Total	5.8 (±0.1)	7.9	5.7		

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1081 Table 4. Extinction efficiencies and SSAs of selected aerosols at 0.4 μm used for calculating
 1082 photolysis rates in GEOS-Chem. SNA indicates inorganic salt comprised of sulfate, nitrate and
 1083 ammonium aerosols.

0.4 μm	RH	BrC (Primary)	BrC (Secondary)	OC	BC	SNA
Extinction Efficiency	0%	1.4644	1.2922	1.3933	0.6229	1.2147
	50%	1.6995	1.5645	1.4967	0.6229	1.6566
	70%	1.7873	1.6781	1.5815	0.6229	1.8440
	90%	1.8386	1.7474	1.8485	0.4607	2.2568
	99%	2.2696	2.4390	2.5870	0.4181	2.9655
Single Scattering Albedo	0%	0.5621	0.8683	0.9735	0.1935	1.0000
	50%	0.5474	0.8584	0.9841	0.1935	1.0000
	70%	0.5422	0.8540	0.9873	0.1935	1.0000
	90%	0.5342	0.8480	0.9927	0.3004	1.0000
	99%	0.5412	0.8372	0.9977	0.5233	1.0000

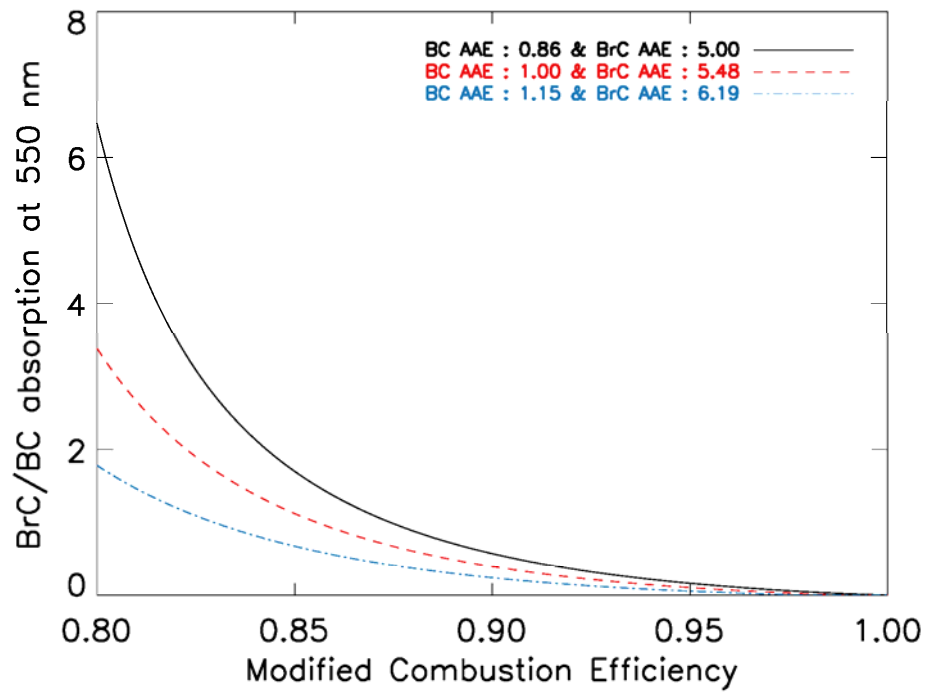
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1090 Figure 1. Estimated absorption ratios of BrC to BC at 550 nm as a function of MCE. We assume
 1091 that the CA absorption is only contributed by BC and BrC absorption. Black solid line indicates
 1092 case 1, red dashed line represents case 2, and blue dotted line shows case 3.

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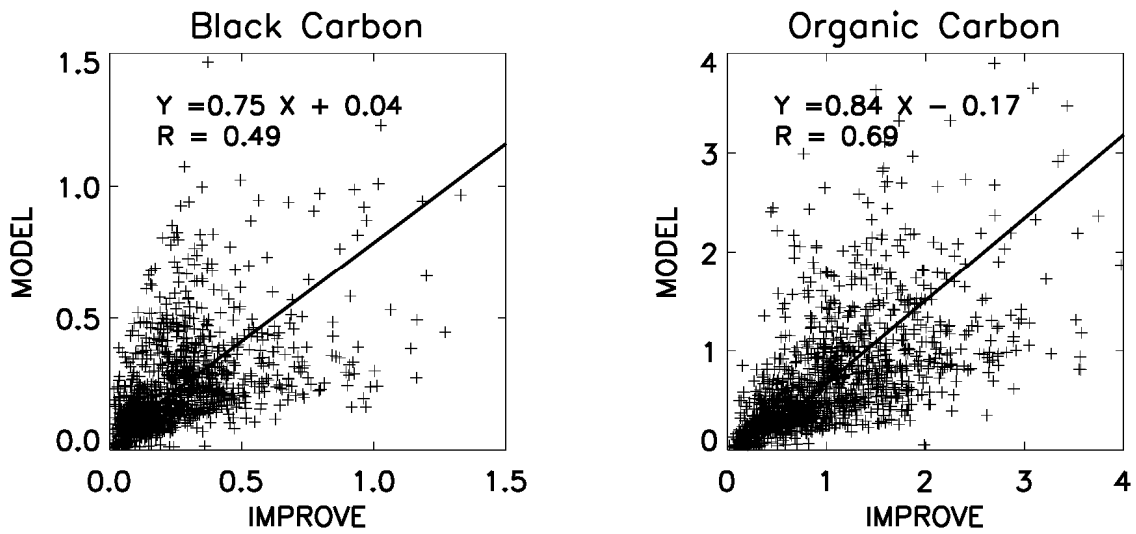
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1103 Figure 2. Scatterplot of simulated versus observed BC concentrations (left) and OC
 1104 concentrations (right). Unit is $\mu\text{gC m}^{-3}$. Values are monthly means for 2007. Regression
 1105 equations and correlations are shown inset. Regression is computed with reduced major axis
 1106 (RMA) method.

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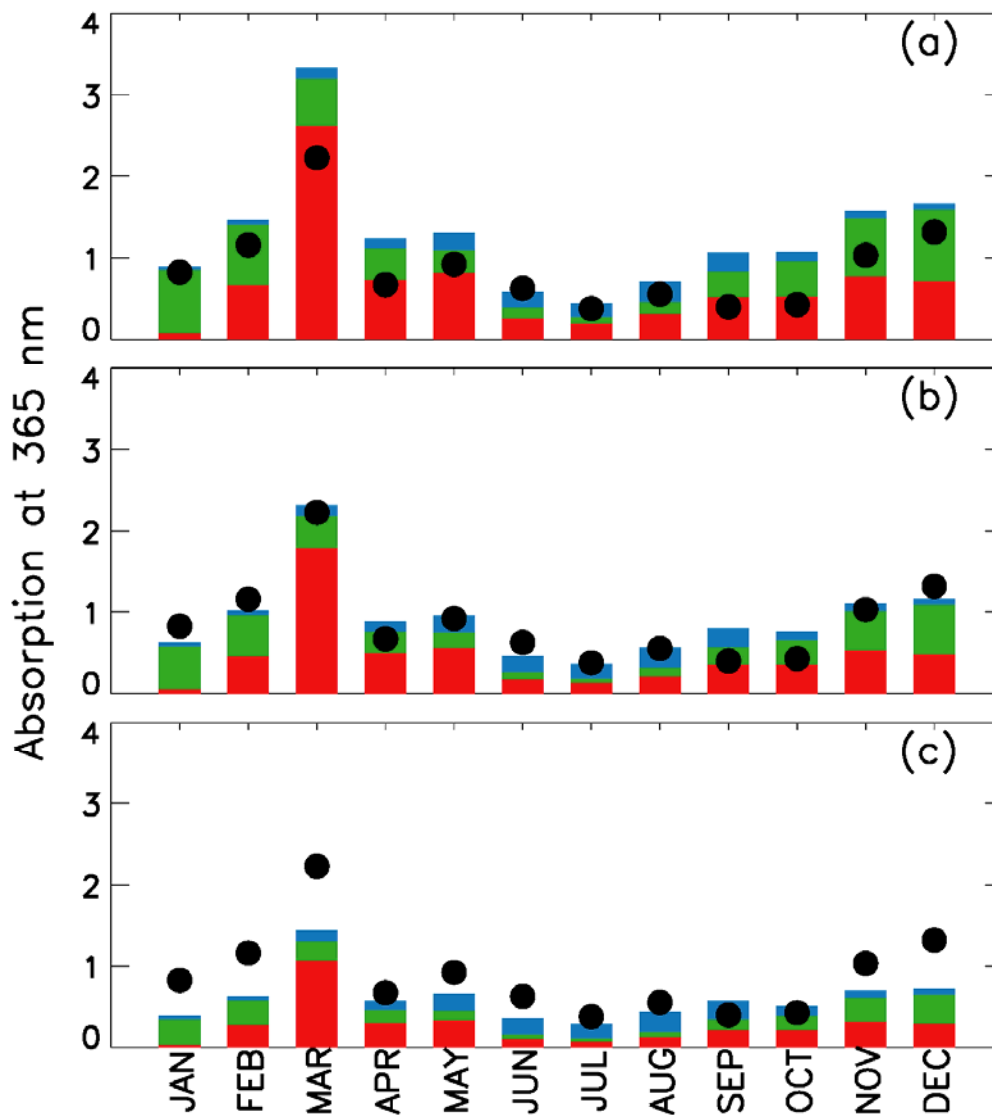
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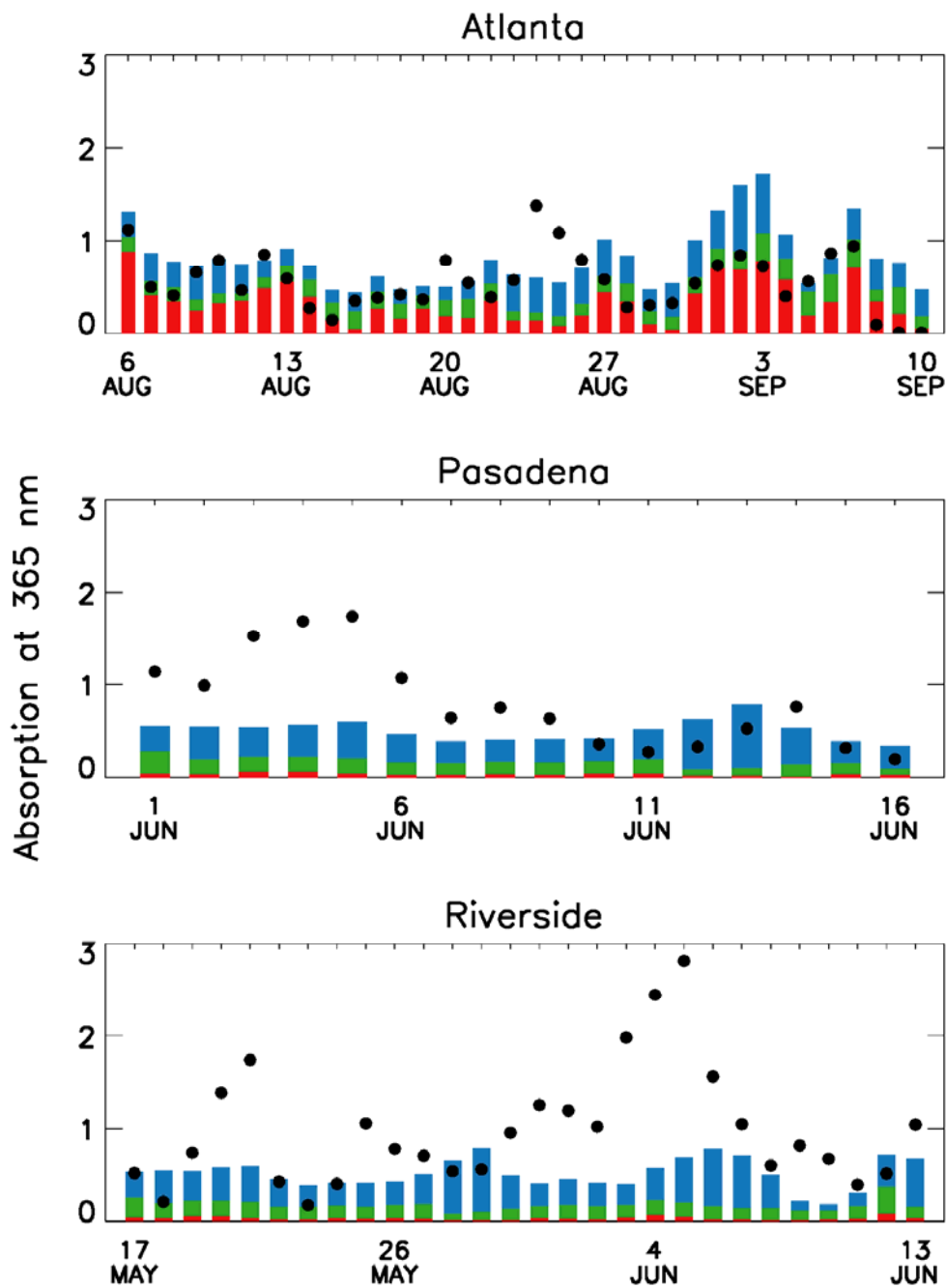
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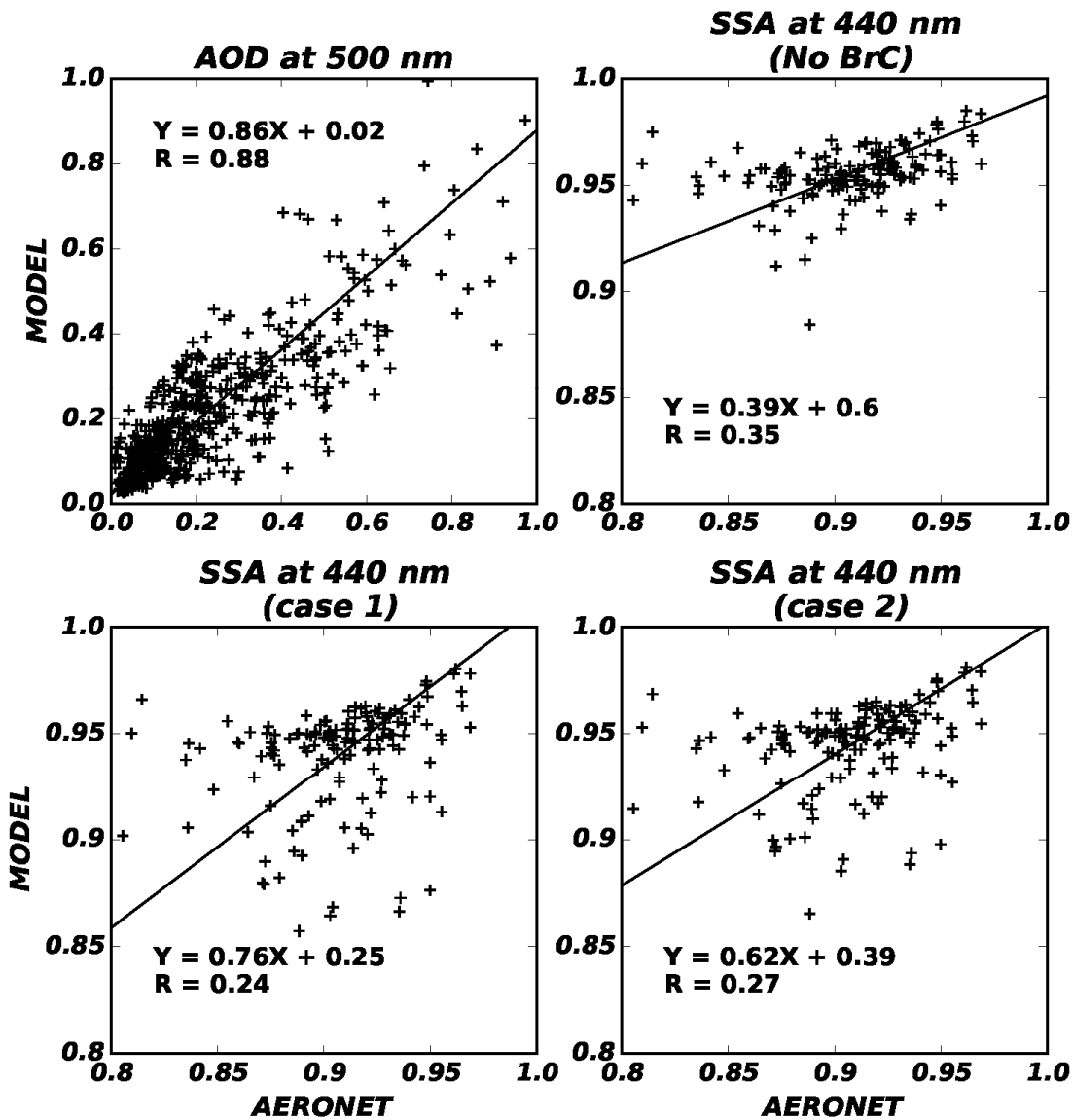
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1117 Figure 3. Simulated versus observed monthly mean light absorption at 365 nm by water soluble
 1118 BrC over the southeastern US in 2007. Unit is Mm^{-1} . Black circles denote observations, and
 1119 bar graphs indicate model results for each source: biomass burning (red), biofuel (green), and
 1120 SOA (blue). Each panel shows the comparisons with different emission estimate cases – (a)
 1121 case 1, (b) case 2, and (c) case 3.



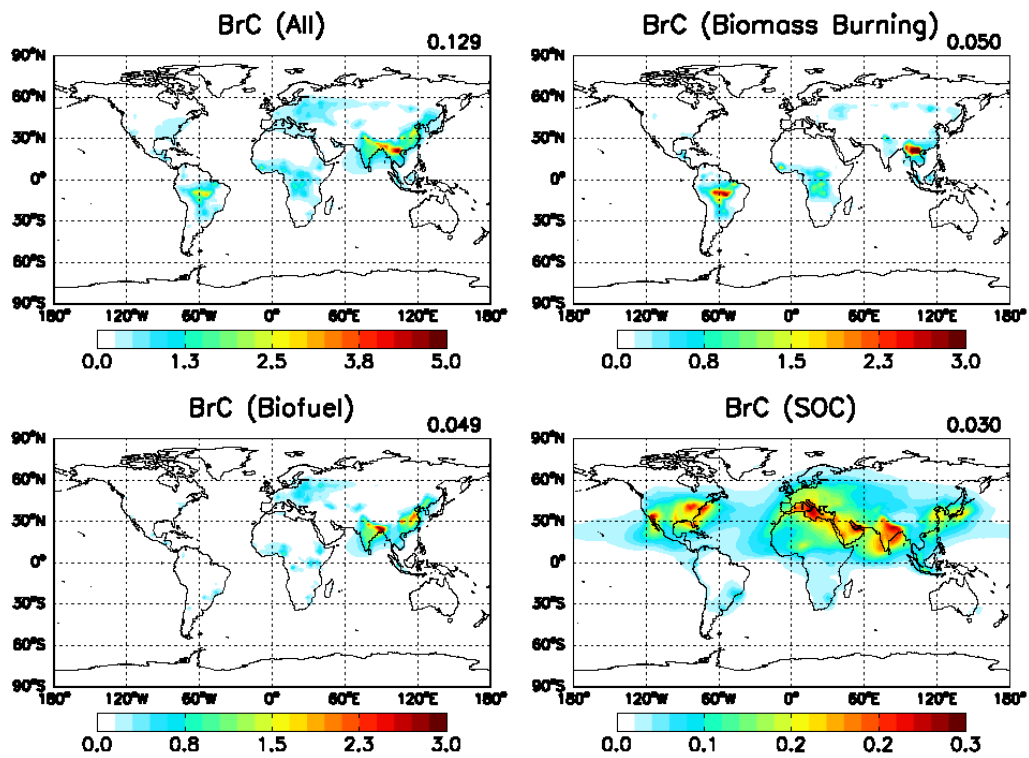
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1123 Figure 4. Simulated versus observed daily mean light absorption at 365 nm by water soluble
 1124 BrC over the US in 2010. Unit is Mm^{-1} . Black circles denote observations and bar graphs
 1125 indicate model results for each source – biomass burning (red), biofuel (green), and SOA
 1126 (blue).



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1128 Figure 5. Scatterplots of simulated versus observed AOD at 500 nm (upper left), SSA at 440
 1129 nm without BrC (upper right), SSA at 440 nm with BrC of case 1 (lower left), and SSA at 440
 1130 nm with BrC of case 2 (lower right) for 2007. Reduced major axis regression is shown along
 1131 with the regression equation and R. Each point indicates monthly averaged AOD or SSA when
 1132 the number of observation is greater than 10 days.



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1134 Figure 6. Annual surface map of total BrC (top left) and BrC from three source categories:
 1135 biomass burning (top right), biofuel (bottom left), and SOC (bottom right). Mean values are
 1136 presented in the upper right corner of each panel. Unit is $\mu\text{gC m}^{-3}$.

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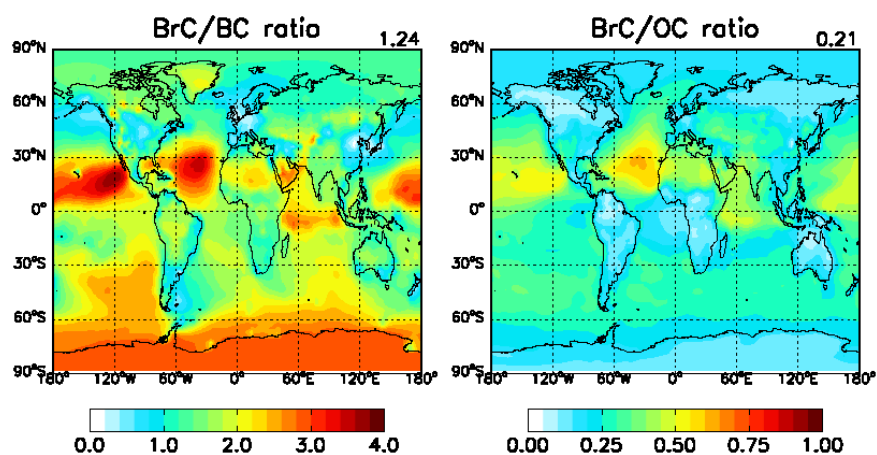
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1146 Figure 7. Annual mean ratios of BrC to BC (left) and OC (right) in surface air. Global mean
 1147 values are presented in the upper right corner of each panel.

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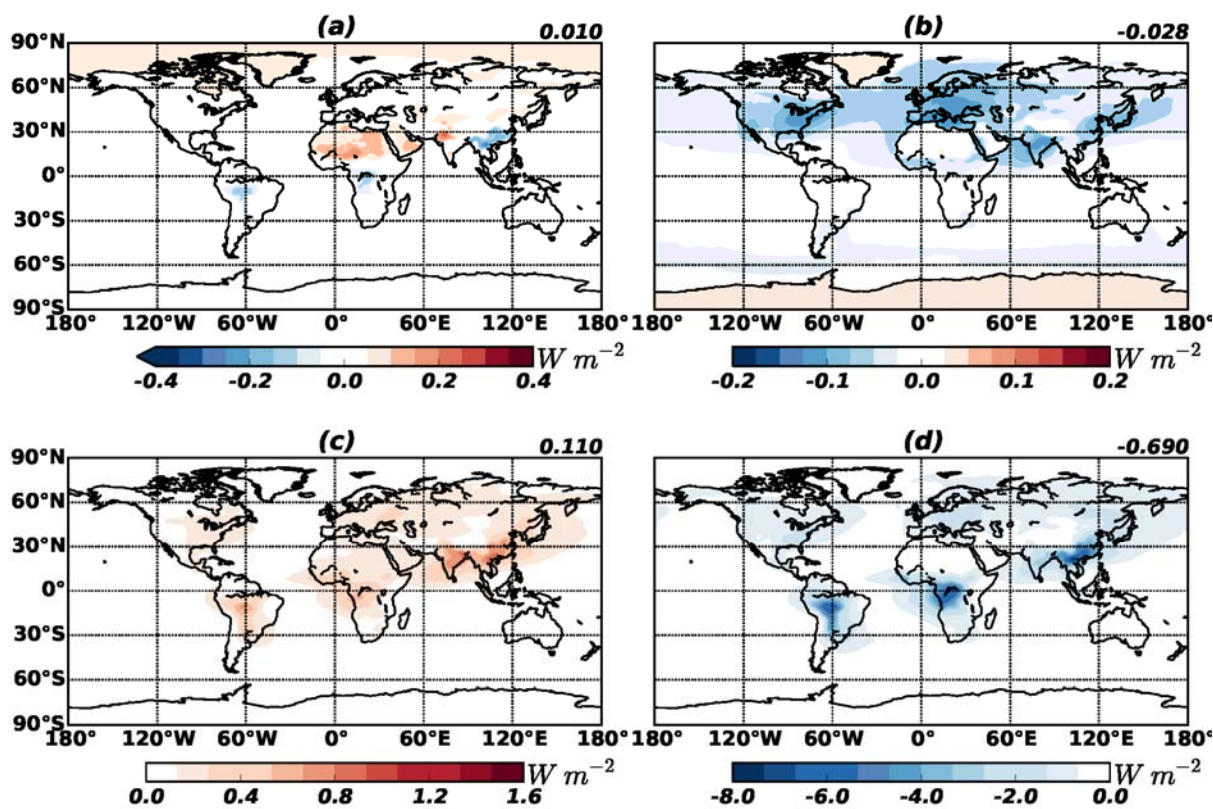
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1161 Figure 8. DRE of BrC at the top of the atmosphere. Upper panels are for radiative effect of
 1162 BrC from primary sources (a) and from secondary sources (b). The DRE increase of OC
 1163 owing to the absorption of BrC is shown in (c) (i.e. the DRE of OC with absorbing BrC
 1164 minus the DRE of OC including BrC as scattering OC, which is typically assumed in
 1165 previous studies). Radiative effect of total OC (BrC is assumed to be scattering OC) is
 1166 represented in (d). The 70°S–70°N averages are shown in the upper right corner of each
 1167 panel.

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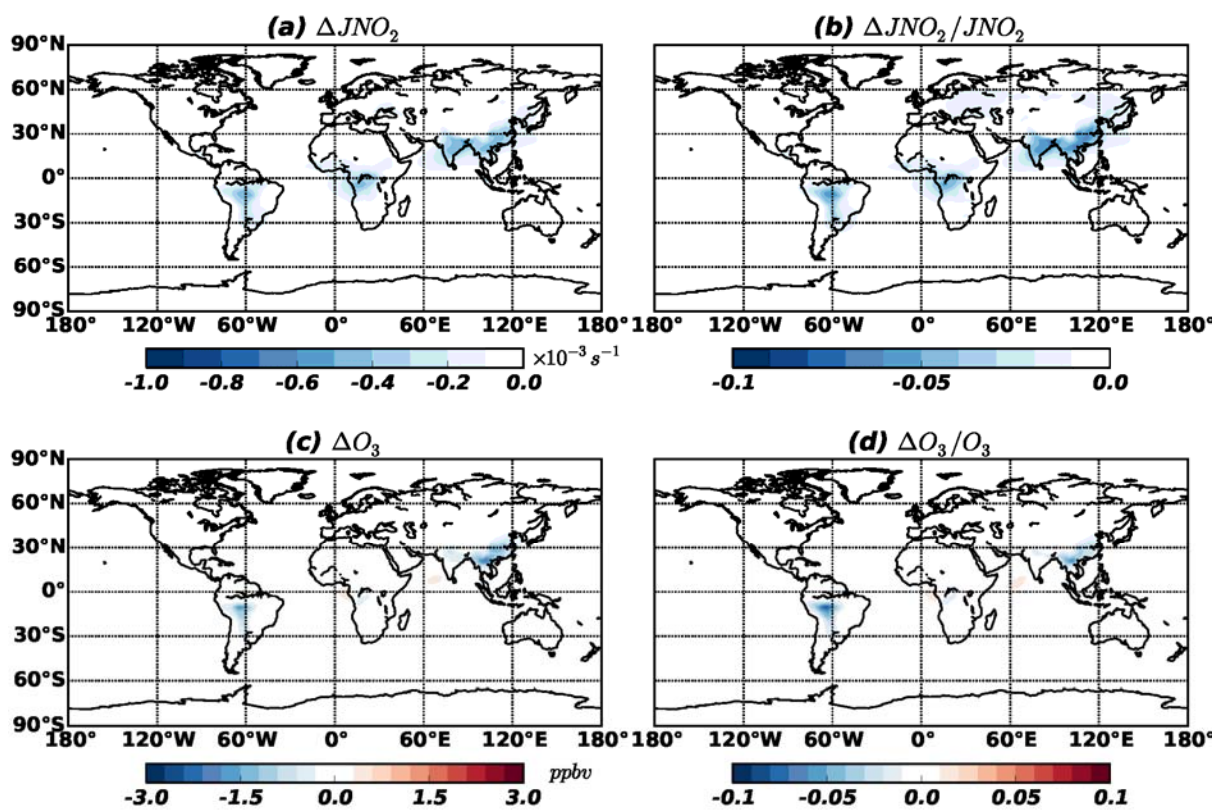
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1177 Figure 9. Changes in annual NO₂ photolysis rate (a,b) and O₃ concentration (c,d)
1178 due to BrC absorption.

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