



Supplement of

Ozone variability in the troposphere and the stratosphere from the first six years of IASI observations (2008–2013)

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2 Sections 1 to 4 - Figures S1 to S3

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4 Introduction

5 This supporting information gives details on model-measurement comparisons. First, we 6 evaluate the variations in O_3 simulated with MOZART-4 (Emmons et al., 2010a) against IASI by 7 using the regression model described in the manuscript (Section 3). This statistical model is used 8 as a tool for understanding possible biases between MOZART-4 and IASI. Then, the 9 stratospheric influence as seen by IASI in the O_3 tropospheric column (Section 4 of the 10 manuscript) is estimated.

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12 S.1 MOZART-4 simulation set up

13 The simulations are performed after a 6 months spin-up and driven by offline meteorological 14 fields from the NASA Global Modeling and Assimilation Office (GMAO) Goddard Earth Observing System (GEOS-5) assimilation products (http://gmao.gsfc.nasa.gov/products/). 15 MOZART-4 was run with a horizontal resolution of 2.5°×1.9°, with 56 levels in the vertical and 16 with its standard chemical mechanism. In the stratosphere, MOZART-4 does not have a detailed 17 chemistry and O₃ is constrained to observations from satellite and ozonesondes (Horowitz et al., 18 19 2003). The emissions are the same as used in Wespes et al. (2012), with the constant in time D. Streets' ARCTAS anthropogenic emissions from inventory 20 (see http://www.cgrer.uiowa.edu/arctas/emission.html) and the fire emissions from the daily Fire 21 22 Inventory from NCAR (FINN, Wiedinmyer et al., 2011). Details on chemical mechanisms, parameterizations and emission sources can be found in Emmons et al. (2010a; 2012). 23 MOZART-4 simulations of numerous species (including O₃ and related tracers) have been 24 previously compared to in situ and satellite observations and used to track the intercontinental 25 transport of pollution (e.g., Emmons et al., 2010b; 2013; Pfister et al., 2006; 2008; Wespes et al., 26 2012). 27

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29 S.2 O₃ time series from MOZART-4 vs IASI

In Fig. S1, the seasonal cycles of ozone from MOZART-4 fitted regression model are compared 30 against IASI fit by taking into account its associated averaging kernels (see Section 2 of the 31 manuscript). In the stratosphere (US and MLS), despite the non-explicit representation of the 32 chemistry and the coarse vertical resolution in this layer, MOZART-4 reproduces the 33 observations in terms of ozone concentrations, amplitude of the seasonal cycle and timing of the 34 maximum. Differences between the fitted cycles associated with the simulations and the 35 observations are lower than 10%, except over the Southern polar region where they reach 30%. 36 In the UTLS region, while the amplitudes of the seasonal cycles and the timing of the maxima 37 are well captured in the model, we observe a systematic bias with an underestimation of O_3 38 concentrations in the model of around 30% over the high latitudes (north of 50°N and south of 39 50°S), possibly resulting from a misrepresentation of the STE processes. 40

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42 In the troposphere, for each 20-degree latitude band, the model shows, contrary to the upper layers, an overestimation of the ozone concentrations (reaching 25% in the equatorial belt) as 43 well as a mismatch in the timing of the maximum which occurs one to two months before the 44 observed spring peak. Especially in the N.H., the shift of the maximum from high to mid-45 46 latitudes observed by IASI (see Section 4.1 and Fig. 7 of the manuscript) is not reproduced by 47 MOZART-4 which shows a latitudinal independent maximum in April. This is explained by the 48 constant in time anthropogenic emissions used in MOZART-4. This finding gives further confidence to the ability of IASI to detect anthropogenic production of O₃. The mismatch in the 49 timing of the maximum in the troposphere is characterized by different regression coefficients 50 51 for the annual term from MOZART-4 and IASI. The annual component (Constant scaled a_1+b_1) decreases from Northern latitudes (from 5% to 10%) to high Southern latitudes (from -30% to 52 0%) with negative amplitudes south of 10°N and a maximum positive amplitude at 20°N (10%) 53 for MOZART-4, while IASI shows negative values both south of the equator (-20-0%) and north 54 of 30°N (-10-0%) and a similar maximum at 20°N (see Fig.8b of the manuscript). Note that this 55 mis-representation of MOZART-4 in the UTLS and in the troposphere is unlikely due to errors 56 in climatology values used in the stratosphere since the concentrations and the timing of the 57 maximum are well reproduced in that layer. 58

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To better evaluate the sources of the discrepancies between model and measurement, we 60 compare the constant terms from MOZART-4 time series with IASI (Fig.S2, also Fig.8a of the 61 manuscript) using the regression procedure (Section 3 of the manuscript). The comparison 62 indicates that MOZART-4 has a good climatology in the US and MLS (differences < 10%). The 63 biases of MOZART-4 in the UTLS and in the troposphere reported above are highlighted in the 64 fitted constant with, in UTLS, underestimations of ~35% and ~15% over the high Southern and 65 Northern latitudes, respectively, and, in the troposphere, an overestimation of ~25% in the 66 tropics. The latter could possibly point out issues with horizontal transport in the model or 67 overestimated ozone production efficiency at these latitudes. 68

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70 S.3 Stratospheric influences as seen by IASI

71 After verifying above the agreement between the O₃ time series from IASI and from MOZART-72 4, we can investigate to what extent the stratosphere could influence the O_3 variations seen by IASI in the troposphere. To this end, we focus hereafter on variations in the MLT, using a 73 "tagging" method to track all tropospheric odd nitrogen sources (the "tagged" nitrogen species) 74 producing ozone $(O_3^{tagged_NOx})$ through the tropospheric photochemical reactions (Emmons et al., 75 76 2012). This method allows isolating the portion of the stratosphere to the tropospheric O_3 . Since 77 the method is fully linear, this contribution is simply calculated as the difference between the total simulated O_3 and the $O_3^{tagged_NOx}$ (Emmons et al., 2012; Wespes et al., 2012). Fig.S3 (a) 78 presents, for each 20-degree latitude band, the averaged seasonal cycles in the MLT for total O₃ 79 (line) and O₃^{tagged_NOx} (dashed lines) from fitted MOZART-4 time series accounting for the IASI 80 averaging kernels. The difference between total O_3 and $O_3{}^{tagged_NOx}$ represents the stratospheric 81 part as seen by IASI in the troposphere. It is expressed in Fig.S3 (b) as a percentage of the total 82 O₃. The stratospheric contribution ranges between 30 and 65% depending on latitude and season. 83 The largest contributions are calculated for the highest latitudes in winter-spring, and they are 84 attributed to descent of stratospheric air mass into the polar vortex and to less IASI sensitivity. 85 Exception is found over the South polar region which shows a minimum (~25%) due to ozone 86 hole depletion. The smallest stratospheric contributions are calculated in the lower latitudes. As 87 expected from the IASI vertical sensitivity (see Section 2 of the manuscript), the *a priori* 88 contribution is anti-correlated with the stratospheric contribution to some extent and it ranges 89

between ~10% and ~35%. These results suggest that the variability of ozone in the troposphere
observed by IASI (Section 4.3.2 of the manuscript) may partly be masked by the *a priori*information and significantly be driven by the variability in the stratosphere.

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To further characterize the stratospheric influence, the constant factors associated with the 94 O₃^{tagged_NOx} fitting time series in the troposphere are superimposed in Fig.S2 (dashed grey line). 95 They represent between 40 and 60% of the constant factors derived from the total O₃ fitting. The 96 north-south gradient for the $O_3^{tagged_NOx}$ is smaller than for the total O_3 , with maximum over the 97 low latitudes of the N.H. instead of over the high latitudes. From Fig.S3 (a), we see in the N.H. 98 that the differences between the variability of total O_3 and that of $O_3^{tagged_NOx}$ mainly rely on the 99 timing of the maximum with a shift of 2-3 months (maximum in spring for the total O₃ vs 100 maximum in summer for the $O_3^{tagged_NOx}$). That shift is characterized by a positive annual 101 component (Constant scaled a1+b1) for the total O₃ (~10%) and a negative one for the 102 $O_3^{tagged_NOx}$ (~ -20%). In the S.H., we observe a same timing of the maximum between the two 103 104 runs.

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106 4 Conclusions

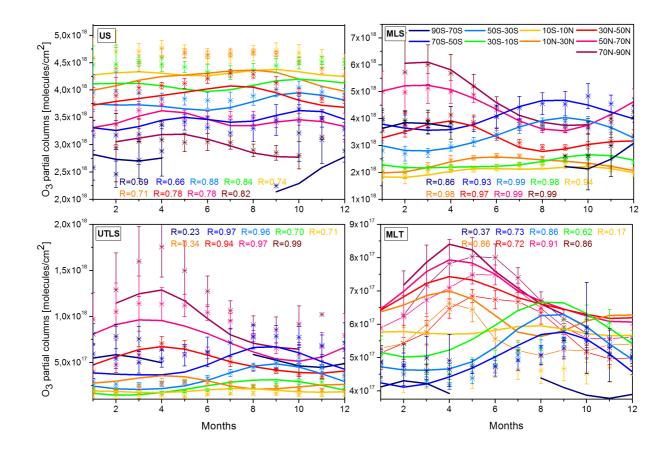
107 Two important results can be derived from MOZART-4 vs IASI time series:

108 1- By comparing the fitted O₃ variations and regression coefficients using the same regression model, systematic biases are found in the troposphere and can be attributed to specific model 109 limitations (no-interannual variability in the anthropogenic emissions, errors in the transport, 110 111 coarse spatial and vertical resolution of the model and overestimation of ozone production efficiency). In particular, the fact that the MOZART-4 model settings used constant 112 anthropogenic emissions tends to strengthen the ability of IASI to detect anthropogenic 113 production of O₃ and to highlight the need for developing long term continuous anthropogenic 114 emissions inventories (including seasonal and inter-annual variations) for better estimating the 115 116 impact of anthropogenic pollution changes on tropospheric ozone levels.

2- Our results suggest that the apparent negative trend in the troposphere observed by IASI in the
N.H. summer (see Tables 2 and 3 in Section 4.3.2 of the manuscript) is largely attenuated by the
influence of the stratosphere (through STE processes and medium vertical sensitivity of IASI in

120	the troposphere). In other words, the decrease of tropospheric O_3 , which could be attributed to
121	decline of O ₃ precursor emissions, is probably much more important than what we estimate from
122	IASI. This opens perspectives to further comprehensive studies for investigating the influence of
123	stratospheric O_3 recovery on the apparent decrease of O_3 in the troposphere.
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- 171 Figure captions
- 172



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Figure S1: Same as Figure 7 of the manuscript, but for the fit of MOZART-4 simulations (line) smoothed according to the averaging kernels of the IASI observations. The IASI O₃ columns observations (stars) are indicated for the sake of comparison. In the N.H. for the MLT, they are plotted with lines and symbols for clarity. Correlation coefficients (R) between the daily median fitting of IASI and of the smoothed MOZART-4 are also indicated. Note that the scales are different.

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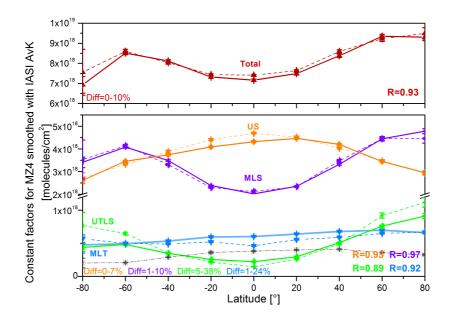
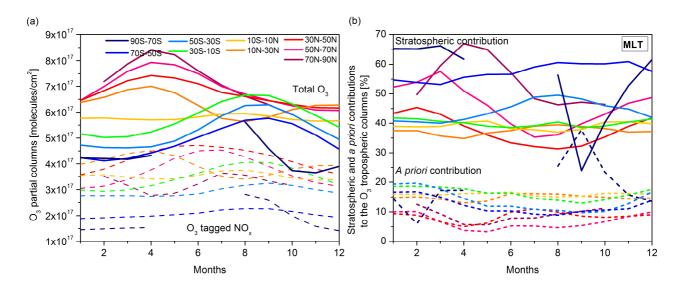


Figure S2. Same as Fig.8 (a) of the manuscript but for the MOZART-4 O₃ time series, smoothed according to the averaging kernels of IASI. Correlation coefficient (R) and relative differences between the Constant factors in the IASI fitting time series (dashed line) and in the MOZART-4 fitting time series (full line) are also indicated. For the troposphere, the Constant factors in the MOZART-4 O₃^{tagged_NOx} fitting time series are also represented (dashed grey).



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Figure S3: (a) Same as Figure 7 of the manuscript in the MLT layer, but for the fit of MOZART-4 O_3 (full line) and of $O_3^{tagged_NOx}$ time series (dashed line) accounting for the IASI sensitivity. (b) Stratospheric (full line) and *a priori* contributions (dashed line) to the MLT columns simulated by MOZART-4 accounting for the IASI sensitivity (%).