

## Response to review comments on acp-2015-586 from reviewer 2

The original comments are provided in black, our response is given below each comment in red.

**Thank you for the careful reading of our manuscript and your review.**

This paper evaluates one year of a high-resolution (i.e. 12 km grid-spacing) WRF-Chem simulation over North America with observations from MODIS Aqua and Terra as well as the ground networks AERONET and EPA. The remotely sensed observations include both AOT and AE. The authors collocate the simulated data to remotely sensed data and analyse the resulting spatial patterns on monthly and yearly time-scales. The topic of the paper is entirely in line with the interests of ACP, and so publication in ACP is possible. There appears to be a serious issue though with the remotely sensed data used in the analysis: MODIS and AERONET agree even less with each other than MODIS and WRF-Chem or AERONET and WRF-Chem (Table 3, AOT column). This suggests that at least one of these remotely sensed datasets is flawed and not appropriate for the evaluation of WRF-Chem. The authors merely list this statistic but draw no conclusions from it or offer explanations of it. This issue really needs to be resolved before publication.

**Thank you for your positive assessment. We have addressed the issue with the remotely sensed data in the comments below and in the manuscript.**

### General comments

While model evaluation with observations is very important, it is difficult to see what this paper adds besides a lot of statistics. In particular, the authors barely explore two interesting datasets: the EPA data and the Delaware gridded precip data. Some interesting questions come out of this study and addressing them might give the paper a bigger impact:

- does the model agreement with observations depend on scale? What are the length- and time-scales in the different datasets anyway? Does the model agree better after further aggregating the data over, say, 24, 48, 96 km? (Note that while pollution forecasts require spatio-temporally highly resolved simulations, forcing estimates probably can do with spatio-temporal averages)

**Thanks for the useful comment. Using very limited data, prior research indicated mesoscale variability (horizontal scales of 40–400 km and temporal scales of 2–48 h) is a common and perhaps universal feature of lower-tropospheric aerosol light extinction [Anderson *et al.*, 2003]. However, to our knowledge, no prior systematic attempt has been made to quantify and test the universality of aerosol scales of coherence over the contiguous US. We have conducted some additional analyses to test the dependence of MFB on the spatio-temporal scales by aggregating the 12km grid cells (both from WRF and MODIS) to coarser resolutions (see Figure 6). When looking at monthly aggregated data we only see a slight variation of MFB during cold months when the 12km data are aggregated to a coarser resolution, possibly indicating that those months are more sensitive to biases in the chemical composition, mostly associated with underestimation of sulfate aerosols (see response to reviewer 3) and possibly also as a result of the lower data availability.**

### Reference:

**Anderson, T. L., Charlson, R. J., Winker, D. M., Ogren, J. A., and Holmen, K.: Mesoscale variations of tropospheric aerosols, *Journal of the Atmospheric Sciences*, 60, 119-136, 10.1175/1520-0469(2003)060<0119:MVOTA>2.0.CO;2, 2003.**

We added the following text:

“Using very limited data, prior research indicated mesoscale variability (horizontal scales of 40–400 km and temporal scales of 2–48 h) is a common and perhaps universal feature of lower-tropospheric aerosol light extinction [Anderson et al., 2003]. However, we are not aware of prior systematic attempts to quantify and test the universality of AOD scales of coherence over the contiguous US. To test the sensitivity of the MFB in simulated AOD to spatial aggregation, we excluded the first 12 cells to the left and to the top of the simulated domain and averaged the remaining 12×12 km grid cells over the following scales: 24×24, 36×36, 48×48, 72×72, 96×96, 144×144, 192×192, 216×216, 288×288, 384×384, 432×432, 576×576, 864×864, 1152×1152, 1728×1728, 3456×3456 km. The last spatial average corresponds to a single grid cell encompassing the entire domain (excluding the outer 12 cells located to the West and North of the simulation domain). Each spatial average at a coarser resolution is computed as the mean of all valid 12×12 km grid cells within the averaging area. We then computed the MFB for the regridded WRF-Chem and MODIS data pair and found that, on a yearly basis, MFB is highest at 12km (0.14 for Aqua and 0.15 for Terra) and reaches a first minimum at 72 km for Aqua (MFB=0.13) and 384 km for Terra (MFB=0.13) (see Fig. 6). However, the MFB and hence systematic error in AOD relative to MODIS exhibits only a weak dependence on the level of spatial aggregation.”

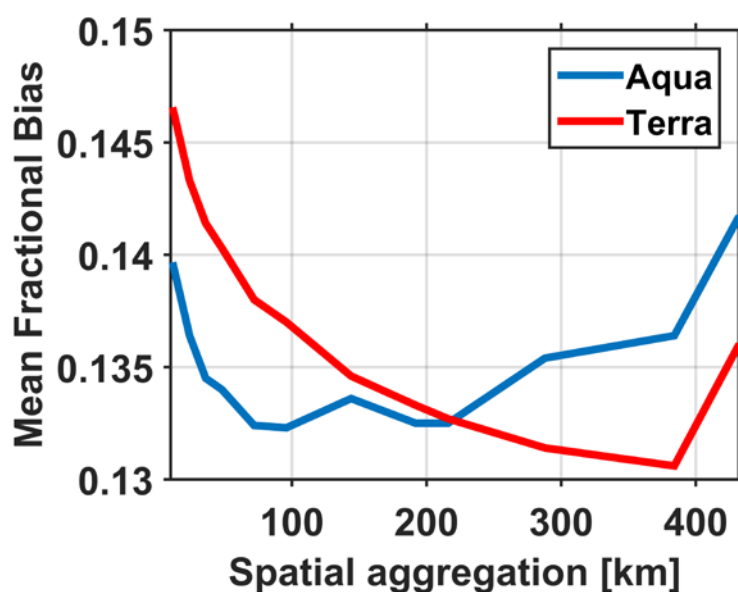


Figure 6. Mean fraction bias (MFB) on AOD from WRF-Chem as a function of spatial aggregation relative to observations from Terra (red line) and Aqua (blue line).

- Are model deviations from remotely sensed observations correlated with e.g. EPA differences or precip measurements? The paper only addresses this in the most cursory fashion. What can we learn from this about model deficiencies?

As we mentioned the AOD biases in the fall months (September and October) do appear to be linked to precipitation biases, and certainly are reflected in the near-surface PM<sub>2.5</sub> concentrations and composition (Fig. S4 and Fig. 4). We now elaborate on this a little further (lines 370-376; 418-420; Figures 4 and 8).

- Are AE differences somehow correlated with AOT differences (or vice versa)? Can this be used to understand model deficiencies?

As the reviewer will know AE is very difficult to derive from the MODIS measurements and the uncertainty in AE scales with AOD (AE is very uncertain at AOD < 0.2). This

and the fact that AE is derived from wavelength dependent AOD makes the uncertainties on the measurements certainly correlated. As indicated in Figure 7, for some AERONET sites there is evidence that positive bias in AOD is associated with high negative bias in AE, but this is not uniformly the case (e.g. for the site at 77.8W 55.3N WRF-Chem exhibits positive bias in AOD across the entire pdf while the simulated AE is negative biased, but the site at 84.28W 35.95N exhibits relative good accord for AOD but is negative biased in AE almost to the same amount as the northern station).

We also added the following comment at the end of Section 3.2:

“AE is very difficult to derive from the MODIS measurements and the uncertainty in AE scales with AOD (AE is very uncertain at  $AOD < 0.2$ ). Further, AE is derived from wavelength dependent AOD, thus the uncertainties on the measurements are certainly correlated. As indicated in Figure 7, for some AERONET sites there is evidence that positive bias in AOD is associated with high negative bias in AE, but this does not uniformly occur over eastern North America (e.g. for the site at 77.8W 55.3N WRF-Chem exhibits positive bias in AOD across the entire pdf while the simulated AE is negative biased, but the site at 84.28W 35.95N exhibits relative good accord for AOD but is negative biased in AE almost to the same amount as the northern station).”

- Why are only 12 AERONET sites used? Surely AERONET offers more over the continental USA? Possibly this is due to a very strict interpretation of Kinne et al. 2013 recommendations? We analysed data from 22 AERONET stations which are all stations collecting data during 2008 over our domain and satisfying the condition described in Section 2.2 for the comparison on a monthly basis:

“Where WRF-Chem output is compared with data from AERONET stations, a station is only included if there are at least 20 simultaneous estimates available.”

It is worthy of note that although a large number of sites in the US have seen deployment of AERONET instrumentation, relatively few have significant data availability for 2008 as shown by the figure below:

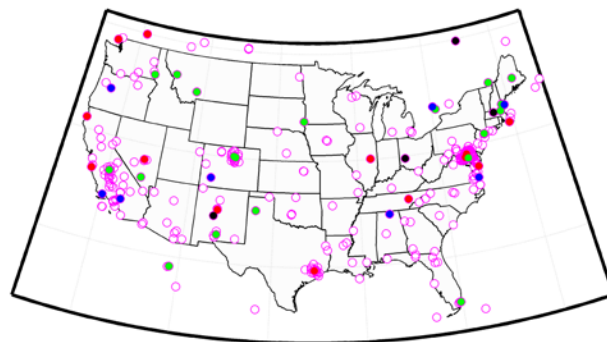


Figure. AERONET stations in/close to the contiguous US (magenta) that have been in operation as part of the network. Colors show the number of days at each station that in 2008 had > 1 observation of AOD at 440 nm (red>200, green=100-200, blue=50-100, black <50).

- Finally, the title of the paper is rather grand. A simple 'Evaluation of high-resolution WRF-Chem run over North America with remote sensing datasets' would do as well. The current title suggests a far broader canvas: multiple regional models for different domains using a set of complimentary observations beyond remote sensing data. Also, while remote sensing data

are of course appropriate for analysing forcing estimates from a model, they are by no means conclusive. The authors never really make the link to forcings.

**We modified the title as follows:**

**Evaluating the skill of high resolution WRF-Chem simulations in describing drivers of aerosol direct climate forcing at the regional scale**

**Specific comments**

**Abstract**

p 27312, l 10: MFB=0.5 is not a small bias. Even 0.17 is not a small bias, given that part of AOT is due to background and presumably constant in climate change/future predictions. Please strike 'small'.

**Done**

p 27312, l 15: "AE is retrieved with higher uncertainty from the remote sensing observations." does not belong here. Either strike or move one sentence.

**We rephrased as follows:**

**“The model is biased towards simulation of coarse mode aerosols (annual MFB for AE = -0.10 relative to MODIS and -0.59 for AERONET), but the spatial correlation for AE with observations is 0.3-0.5 during most months, despite AE is retrieved with higher uncertainty from the remote sensing observations.”**

**Introduction**

p 27313, l 27: this suggests that PM10 or PM2.5 measurements have no bias and zero measurement uncertainty. This is of course not true. Please rephrase. AFAIK, IMPROVE measurements are made every 3 days, so also with PM10, PM2.5 under sampling may be an issue.

**We have rephrased this:**

**“Long-term measurements of aerosol properties are largely confined to aerosol mass (total, PM<sub>10</sub> or PM<sub>2.5</sub>) in the near-surface layer which may or may not be representative of either the total atmospheric burden (Ford and Heald, 2013;Alston et al., 2012), or radiation extinction and hence climate forcing. Further, aerosol composition measurements are often a 24-hour integrated sample taken only 1 in 3 days and thus are subject to under sampling. Hence they provide an incomplete description of temporal variability and mean aerosol burdens for model performance evaluation.”**

p. 27314, l. 10: These are strange references here. E.g. Spracklen et al does not really discuss spatial scales in observed aerosol. There is quite a bit of literature on this though: Anderson et al JAS 2003; Kovacs et al JGR 2006; Santese et al JGR 2007; Sinzuka & Redemann ACP 2011; Schutgens et al AMT 2013. Several of these papers deal explicitly with spatial scales in remotely sensed properties.

**Thanks for the suggestions. We replaced the reference with the following:**

**“However, aerosol populations (and dynamics) are known to exhibit higher spatial variability (and scales) than can be manifest in those models (Kovacs et al.,2006;Kulmala et al., 2011;Santese et al., 2007; Schutgens et al., 2013;Sinzuka and Redemann, 2011).”**

p 27314, l 14: "The skill of these models in reproducing the spatio-temporal variability in the aerosol size distribution, composition, concentration and radiative properties is incompletely characterized. Accordingly, there is large model-to-model variability both in the global mean

direct aerosol forcing and in the spatial distribution". Skill characterisation and model-to-model variability are unrelated. Please rephrase as these sentences are confusing.

**We rephrased as follows:**

**“The skill of these models in reproducing the spatio-temporal variability in the aerosol size distribution, composition, concentration and radiative properties is incompletely characterized. Further large model-to-model variability both in the global mean direct aerosol forcing and in the spatial distribution thereof exists (Kulmala et al., 2011; Myhre et al., 2013) leading to high uncertainty in quantification of aerosol climate forcing.”**

p 27315, l 13: "However, there are also variations in the way in which model skill is evaluated leading to ambiguity in terms of prioritizing future research directions". Even if we all use the same metric, there would still be ambiguity over e.g. what is the best way to improve models. Arguably, this is far more important than the metric itself. Please rephrase.

**We rephrased as follows:**

**“However, there are also variations in the way in which model skill is evaluated and divergent opinions regarding prioritization of future research directions.”**

p 27315, l 23: "Assessment of value added (or lack thereof) from high resolution regional vs. global coarse resolution models is not quantifiable from prior studies alone." Which prior studies are referred to? What is meant by this sentence?

**We rephrased as follows:**

**“Assessment of value added (or lack thereof) from high resolution regional versus global coarse resolution models has not been clearly quantified in previous studies (Table 1).”**

p 27316, l 4: "inferential statistics". Descriptive statistics seem more appropriate here. I find little hypothesis testing or inference in this paper.

**Changed to “descriptive statistics”.**

p 27316, l 9: "Prior analyses of Level-3 10 (10 resolution) MODIS AOD over the eastern half of North America have indicated the frequency of co-occurrence of extreme AOD values (>local 90th percentile) decreases to below 50% at 150 km from a central grid cell located in southern Indiana, but is above that expected by random chance over almost all of eastern North America (Sullivan et al., 2015)." What central grid-cell? I guess the authors are referring to a particular model evaluation? What is the importance of the 150 km distance? Instead of going into a lot of detail, maybe you can just tell in one or two sentences what the relevance of Sullivan 2015 is to your work?

**We agree and rephrased as follows:**

**Prior analyses of Level-3 (1° resolution) MODIS AOD over the eastern half of North America have indicated extreme AOD values (> local 90<sup>th</sup> percentile) are coherent over regional scales (~ 150 km) (Sullivan et al., 2015). Thus, our evaluation exercise also includes an analysis of the spatio-temporal coherence of extreme events.**

p 27316, l 27: Strictly speaking, AERONET measurements are not columnar measurements. Standard AERONET product measures attenuation of direct sun-light and so actually measures aerosol along a slant path. However, final AOT values are corrected for this to represent the vertical column.

**Agree, we removed “columnar”.**

p 27317, l 12: It is customary to have a brief overview of the paper’s structure at this point.

**We added the following paragraph:**

**“This paper is structured as follows. We first describe the settings used in our WRF-Chem simulations and introduce the remote sensing and other data used for model evaluation (Sect. 2). A description of statistical metrics used for the evaluation is also provided. Section 3 presents results of the evaluation of simulated AOD and AE versus observations, as well as findings on extreme AOD values. In Section 4 we summarize our findings and draw conclusions.”**

p 27318, l 29: Don't the median diameters of MADE aerosol vary throughout the simulation, in both space and time? Or are they fixed (i.e. is a single moment scheme used, where mass only is considered)?

**Yes, diameters vary throughout the simulations (the values we reported refer to the initial diameter) whereas the standard deviations are fixed within each mode. We modified the text accordingly.**

p 27320, l 7: How does this official error estimate compare with Hyer et al AMT 2011? I believe official MODIS estimates are rather optimistic.

**Thanks for pointing this out. We included this reference for comparison.**

**“The L2 AOD uncertainty is  $\pm 0.05 \pm 0.15 \times \text{AOD}$  over land relative to global sun photometer measurements from AERONET; even when no spatiotemporal averaging is used in the comparison (i.e. all combinations of MODIS retrievals within 30 km of an AERONET site and all AERONET retrievals within 30 min of the satellite overpass), 71% of MODIS retrievals fall within a  $\pm 0.05 \pm 0.2 \times \text{AOD}$  envelope relative to AERONET over E. CONUS (Hyer et al., 2011).”**

p 27321, l 6-19: The exact procedure is not clear due to missing information and confusing sentences. The cloud screen (presumably from MODIS?) is applied to model data first and then only cells with 5 or more observations per month are retained? Cases with cloud fraction > 0 are discarded? In my experience that removes a lot of good observations as well. Which cloud screen do you use: the one that is part of the aerosol product MYD/MOD04 or another one? What do you do with MISR data or AERONET? Model data are not masked by observation availability in their case? AERONET is compared to the closest grid-cell or do you interpolate model data to the site? What about time of observations? You choose again nearest model time?

**We did not apply a cloud screen to the MODIS or MISR data, beyond what is already in the algorithms to remove cloud pixels. In the NASA products 'cloudy' pixels are identified and removed; then for the remaining pixels, the 50%/20% brightest/darkest pixels are also removed (assumed to be cloud contaminated), and the remaining pixels are averaged for the retrieval (Levy et al. 2013). So we do get good retrievals when cloud fraction > 0, but the cloud pixels are screened out.**

**We reworded the data section as follows:**

**“To avoid the discontinuity in the MODIS retrieval algorithm due to different assumed aerosol types (Levy et al., 2007), we confine our analyses of model skill to longitudes east of 98°W. Only WRF grid cells with cloud fraction = 0 during the satellite over pass of each grid cell are used in comparison to MODIS/MISR observations, and only grid cells with at least 5 valid observations (both from MODIS/MISR and cloud-screened WRF) during a given month are included in the analyses presented herein. It is worth noting that setting a threshold of 10 observations does not significantly affect the results. For a uniform assessment, L2 MODIS and L3 MISR data have been interpolated from their native grids (and resolutions of 10 km and  $0.5^\circ \times 0.5^\circ$ , respectively) to the WRF-Chem 12 km resolution grid by computing the mean of pixels with valid data within  $0.1^\circ/0.3^\circ$  for**



**MODIS/MISR from the model centroids. The choice of averaging over a slightly larger area than model resolution is dictated by the sparsity of valid satellite retrievals. For AERONET vs. MODIS comparison, we only use the nearest MODIS data (after regridding to WRF) to each site. Where hourly WRF-Chem output is compared with data from AERONET sites, a station is only included if there are at least 20 simultaneous estimates available, and each AERONET measurement is compared to the nearest WRF-Chem time step and to the grid cell containing the station.”**

**Reference:**

**Levy, R. C., Mattoo, S., Munchak, L. A., Remer, L. A., Sayer, A. M., & Hsu, N. C. (2013). The Collection 6 MODIS aerosol products over land and ocean. Atmos. Meas. Tech. Discuss, 6, 159-259.**

p 27321, l 23: While the use of MFB is warranted, its interpretation is less clear than (M-O)/O, please discuss this. Also, relative errors (like MFB) seem less appropriate than absolute errors in case of an intensive property like AE.

**As the reviewer suggests, there are a range of performance metrics one can use to evaluate models. We decided to compute the MFB instead of Normalized Mean Bias (NMB) since NMB is biased towards overestimations and assumes observations are without error, while MFB gives equal weight to underestimation and overestimation. We put a reference to this at line 285.**

p 27322, l 1-5: "Where MFB is reported for WRF-Chem vs. MODIS or MISR,  $C_m$  is the monthly mean AOD or AE simulated by WRF-Chem at a specific location,  $C_0$  refers to the same quantify from MODIS or MISR (Table 3) and  $N$  is the sample size. Where MFB is reported in comparisons of WRF-Chem with AERONET, the monthly average in the model grid cell containing the AERONET site is compared with monthly averaged observations ( $C_0$ )." So much text suggests there is a difference in how you treat MODIS and AERONET data, yet I see no difference?

**Correct, there is no big difference in the way we treat AERONET, so we reworded as follows:**

**“Where MFB is reported for WRF-Chem versus MODIS/ MISR/AERONET,  $C_m$  is the monthly mean AOD or AE simulated by WRF-Chem at a specific location,  $C_0$  refers to the same quantify from remote sensing data (Table 3) and  $N$  is the sample size.”**

p 27323, l 10: What is type i? Which rows and columns do you refer to? Maybe it is easier to simply mention these metrics (incl EQQ and Taylor plot) and then refer to papers, books that discuss them in more detail.

**We simplified the text and removed the formula. We preferred to keep some brief explanations of the methodologies applied for clarity.**

p. 27323, l 25: So ME, WN and MN are frequencies of occurrence? Occurrence itself is not a metric.

**Replaced with “frequency”.**

p 27324, l 10: Why are these extra metrics HR & TS useful? What do they tell you that Accuracy does not tell you? Instead of giving the functional forms (which readers can look up in books anyway) it is more useful to explain the meaning of the various metrics.

**We preferred to maintain the functional forms for easier reference in the result and discussion sections. However we included a more detailed description as follows:**

**“The Accuracy describes the fraction of grid cells co-identified as exceeding  $p_{75}$  or not in MODIS and WRF-Chem, and thus equally weights event and non-event conditions. Since the Accuracy quantifies model skill in correctly identifying both extreme and non-extreme aerosol loadings, it is thus indicative of model performance in capturing the overall AOD spatial variability.”**

**Interpretation of the three metrics is also included in section 3.3 (first paragraph) and in the Table 6 caption.**

p 27324, l 16: Why is this done for a single reference location only? Wouldn't it make more sense to use a reference location on the East coast where more pollution exists anyway?

**We chose the center of WRF-Chem simulated domain as reference location for several reasons:**

- 1) to be comparable to Sullivan et al. (2015) where it is also shown that moving the centroid did not greatly impact the coherence estimates**
- 2) to represent a grid cell that closely represents the center of gravity of the domain**

**We added the following to support our choice:**

**“The reference location represents the center of gravity of the domain and was previously used by Sullivan et al. (2015) for assessing scales of coherence. In that work they also found the spatial scales of coherence are not sensitive to the precise choice of reference location.”**

p 27325, l 5: Table 3 shows that largest non-zero MFB occurs when MODIS Terra is compared to AERONET AOT. Doesn't this suggest that either Terra is really wrong (and not suited to evaluate WRF) or AERONET is already unrepresentative for scales like the 10 km MODIS pixel (unlikely)?

**Thanks for this comment. We clarified in the text and Table 3 that the MFB of MODIS vs. AERONET is strongly affected by some outlier sites and the MFB decreases when we remove the three most biased sites. Further, the number of co-samples between MODIS is quite limited, thus those MFB may be not very representative. We added the following comment:**

**“When MODIS is compared to the 22 AERONET stations the MFB is -1.23 suggesting an underestimation of AERONET relative to MODIS. The large bias can be explained noting that the number of co-samples between MODIS is quite small and that MFB is strongly impacted by a few outliers. When we remove the three most biased sites (one land site in the North and two sites along the East coast) the MFB decreases to -0.91.”**

p 27326, l 6: "because WRF-Chem simulates high AOD and aerosol nitrate and sulfate concentrations". This is a sweeping statement with no evidence to support it. Please remove or elaborate.

**We included more analyses on the chemical composition comparison and modified the text accordingly. Please see detailed response to reviewer 3.**

p 27326, l 21: "occupy much of the same parameter space". This sentence is confusing. How can WRF-Chem comparisons with AERONET (M-O) be compared to AERONET or MODIS observations (O)?



The comparison between WRF-Chem and MODIS is done by gridding L2-MODIS data to 12km to match model grid, whereas comparison between WRF/MODIS and AERONET is done by comparing hours with simultaneous data in the grid cell including each AERONET station. We modified the manuscript accordingly in the data section as discussed before.

p 27326, l 23: "model simulations reproduce the range and probability of low uncertainty AERONET measured AOD nearly as well as MODIS." But the times and locations can be way off. It is important to comment on this aspect. EQQ plots can only take you so far.

**We agree. The EQQ plots do not necessarily simultaneously compare the same MODIS-AERONET and WRF-Chem-AERONET pairs. We rephrased as follows:**

**“However, it is worthy of note that WRF-Chem comparisons with AERONET observations occupy much of the same observational range as simultaneous MODIS and AERONET at those sites (Fig. 9a), although the EQQ plot does not necessarily compare the same MODIS-AERONET and WRF-Chem-AERONET data pairs (i.e. the sample used to compare AERONET and MODIS may differ from that used to compare WRF-Chem and AERONET due to the cloud screening procedure).”**

p 27326, l 27: "Nevertheless,". Why nevertheless? These correlations seem very low to me. Maybe that is due to observational error but I doubt it. AE MFB WRF-Chem AERONET = -0.59, so a substantial bias (note that AERONET AE have been averaged over 20 individual measurements during a month reducing measurement errors), so WRF-Chem probably has an issue in correctly simulating AE anyway.

**We rephrased as follows:**

**Despite the low confidence in AE retrievals from MODIS, the comparison of WRF-Chem with the remote sensing estimates indicates some degree of agreement. The overall MFB of WRF-Chem vs MODIS Terra is -0.09 (-0.11 vs. Aqua) and the correlation between WRF-Chem and MODIS monthly mean AE seems to be independent of season and lies between 0.20 and 0.54 for all months except April, May and November when it is lower, whereas  $r$  is always  $< 0.14$  when comparing with MISR (Fig. 7b).**

p 27327, l 14: "After cloud screening". Why after cloud screening? I thought all model data used in comparison with observations are cloud-screened to start with?

**Yes, it's correct. We removed "after cloud screening" to avoid confusion.**

p 27328, l 12: the threshold for extreme AOT events (p75) is different for WRF-Chem and MODIS. How different is it?

**Given we already focused on the quantification of the bias in AOD magnitude, now we are analysing differences in distribution and in spatial patterns. As an example, for Aqua, the p75 threshold varies by a minimum of 7% larger for WRF-Chem relative to MODIS in July to up a three times larger during the month of October when we already know the model has a larger bias in AOD due to the underestimation of precipitation.**

p 27330, l 12: AOD=0.22 is a domain-average for clear grid-cells. So the orbit of MODIS was not taken into account? The MFB is thus calculated from two datasets with different spatial sampling? If so, that would be plain wrong.

**No, we are still considering data over the same grid for hours of satellite overpass time. We rephrased for clarity as follows:**

**“After grid cells with any cloud presence are removed and considering only overpass hours, the domain averaged simulated mean AOD is 0.22.”**

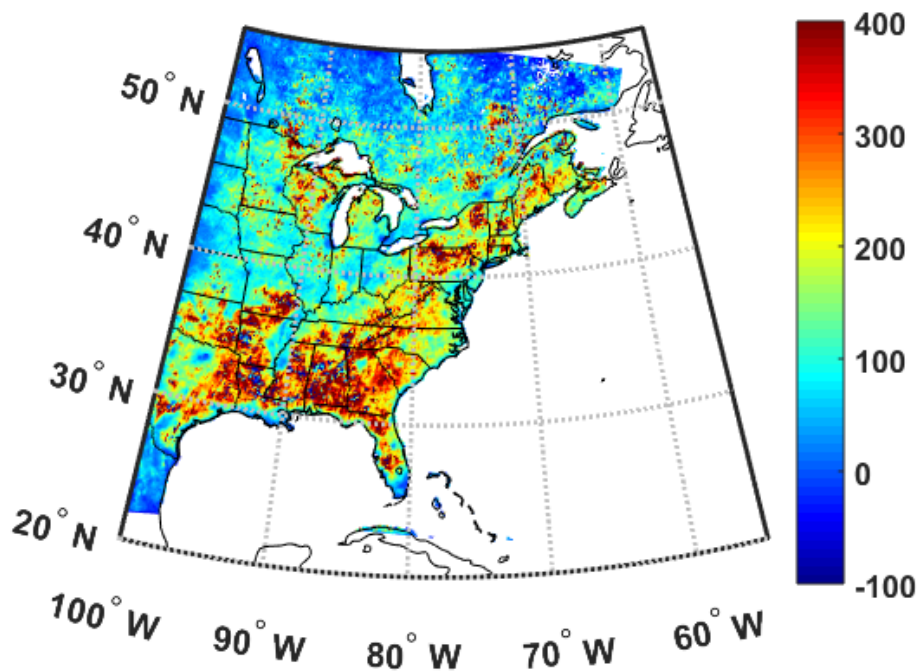
p 27330, l 18: AERONET MFB=0.5 according to Table 1

**Thanks, fixed.**

p 27330, l 22: Please also discuss/mention clear north-south gradient in AOT bias vs Terra (Fig 6). Maybe relative errors do not show a gradient? Does this gradient also exist in yearly precip errors (like Fig S3)?

**The figure below shows that the N-S gradient is still present when we use NMB to evaluate model performance. We explicitly note this pattern in the text:**

**“A clear North-South gradient in AOD bias vs MODIS is also observed.”**



**Figure. Normalized Mean Bias of AOD from WRF-Chem and Terra on a yearly basis.**

p 27331, l 6: Table 3 suggests AE MFD vs AERONET is -0.59

**Thanks, fixed.**

p 27331, l 9: "the bias relative to AERONET is consistent with prior research (Table 1) and is symptomatic of relatively poor model performance for this metric." A non-zero bias is not symptomatic of poor model performance, it is one of the most important metrics by which we judge model performance.

**We rephrased as follows:**

**“the large bias relative to AERONET is consistent with prior research (Table 1), and is symptomatic of substantial systematic error.”**

p 27331, l 22: "central tendency" -> mean or average

**Changed with “mean AOD values”.**

p 27331, l 23: Not 'maximized' but 'greater'. After all, you talk about high loadings, not the highest loadings

**Done**

p 27348: Larger symbols for AERONET sites would be useful

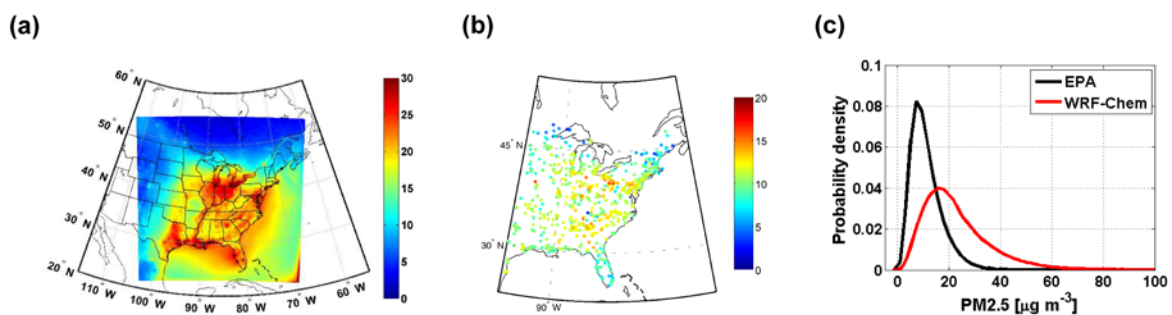
**We modified Figure 1 making larger symbols and including the MFB for AOD at AERONET locations (previously in Figure 2).**

p 27349: Numbers in plot hard to read and not very useful anyway because exact location of site not clear and lot of fine structure in underlying MODIS data. Consider removing AERONET data.

**We removed the numbers and included those relative to AOD in Figure 1 to save the information regarding the spatial variability in model performance.**

p 27350: the lack of spatial variation in the observations is striking. Is this simply because of the colorbar scale? Or does WRF-Chem show more variation?

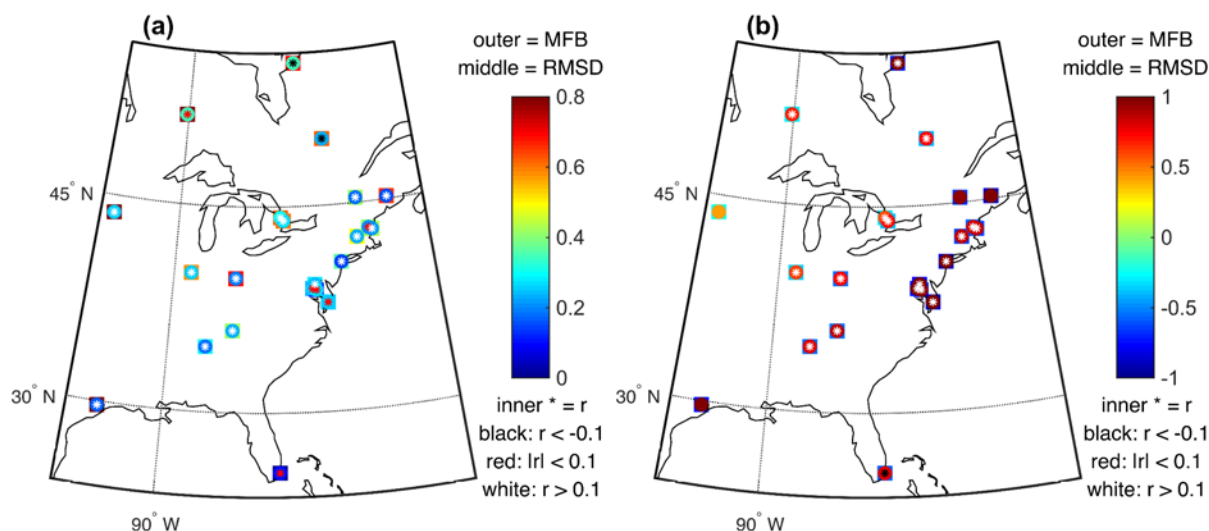
**We remade the figure setting a different colorbar scale for WRF-Chem and EPA for easier visualization of the spatial variability in the observations.**



**Figure 3. Mean daily PM<sub>2.5</sub> concentrations [ $\mu\text{g m}^{-3}$ ] during 2008 as (a) simulated by WRF-Chem in the layer closest to the surface and (b) observed at 1230 EPA sites (note the different colorbar). Panel (c) shows the probability distribution of daily mean PM<sub>2.5</sub> concentrations observed (black line) and simulated (red line) at the measurement stations.**

p 27351: While an interesting attempt at presenting a lot of information concisely, I find it difficult to easily separate the different coloured rings. Rather, one might try to use color (MFB, blue-red scale), symbol size (correlation) and symbol (RMSD, clearly this requires the RMSD to be binned in to 5 or so range bins) to denote the same information.

**We remade this figure.**

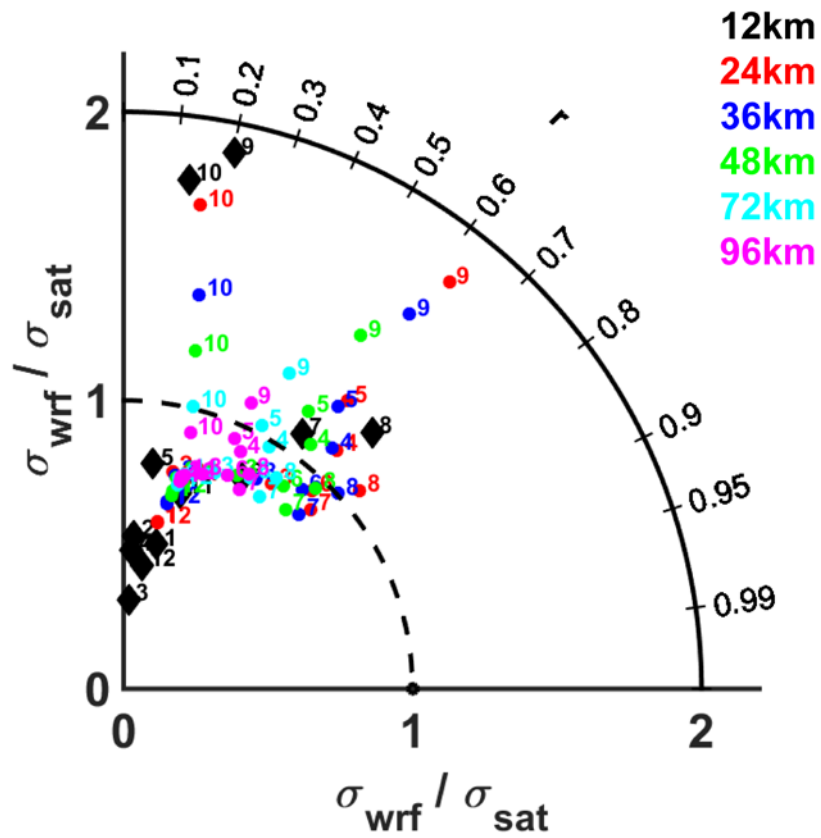


**Figure 5. Summary statistics of comparisons of WRF-Chem simulations of (a) AOD and (b) AE relative to simultaneous observations at the AERONET sites. For a location to be included in this analysis at least 20 coincident observations and simulations must be available. The symbols at each AERONET station report MFB (outer square), root mean squared difference (RMSD, outer circle) and correlation coefficient ( $r$ , inner \*). Note the different colorbar for MFB and RMSD between the two frames. The correlation coefficient is displayed with different colors according with 3 classes:  $r < -0.1$  (black),  $|r| < 0.1$  (red) and  $r > 0.1$  (white).**

p 27352: It would be very interesting to see if these Taylor plots change when data is spatially aggregated first, i.e. what if model+obs are averaged over 12, 24, 48, 96 km before Taylor plots are made?

**We performed this analysis and included a figure in the Supplementary Materials. The text was changed as follows:**

**“We also examined the impact of spatial aggregation (at 12, 24, 36, 48, 72 and 96 km) on the seasonality of model performance. For AOD the spatial correlations are largest for most months when data are aggregated to a resolution of  $24 \times 24$  km and the ratio of spatial standard deviation is also closer to 1 when AOD are spatially aggregated, possibly indicating that the spatial patterns simulated by WRF-Chem at a fine scale do not always match those observed by MODIS (Fig. 8). For AE both spatial correlations and ratio of standard deviations do not vary significantly when data are aggregated to a coarser resolution (Fig. S5).”**



**Figure 8. Taylor diagrams for AOD when MODIS observations and WRF-Chem simulations at 12 km are spatially aggregated to 24, 36, 48, 72 and 96 km. Numbers next to the colored dots/diamonds indicate different months.**

### **Response to review comments on acp-2015-586 from reviewer 3**

**The original comments are provided in black, our response is given below each comment in red.**

**Thank you for the careful reading of our manuscript and your review.**

This manuscript presents the evaluation of high-resolution WRF-Chem simulations over North America. The model skill in reconstructing the Aerosol Optical Depth and Angstrom Exponent is investigated by comparing model results with observations from MODIS Aqua and Terra as well as the ground networks AERONET and EPA. The research topic is certainly within the scope of the ACP. The article is well written and the methodology is clearly described. Moreover, aerosol optical properties are generally poorly constrained in modelling evaluation, especially at high-resolution resolution. For these reasons, I consider that such work should be published in ACP, but only after some revisions. It would have been worth to treat some aspects into more details, and to clarify some points of the discussion. I think that the authors should consider all the corrections of Anonymous Referee #2. In addition to his/her recommendations, I would propose some further corrections in the following.

**Thank you for your positive assessment. We have indeed addressed all of the comments of the other reviewer.**

#### **General remarks:**

- A major concern is that authors never make the connection to aerosol climate forcing, although the title suggests this kind of analysis. A thorough discussion on aerosol climate forcing is necessary. Otherwise the authors should modify the title.

**We have modified the title to read:**

**“Evaluating the skill of high resolution WRF-Chem simulations in describing drivers of aerosol direct climate forcing at the regional scale”**

- In many occasions, authors try to explain model biases in AOD estimations with an overestimation/underestimation of aerosol-nitrate and aerosol-sulfate, but no evidence are shown in the text to support this.

**We thank the reviewer for raising this issue. In addition to the comparison of nitrate/sulfate ratios presented in the Supplementary Materials we added a further analyses using chemical composition data at 123 IMPROVE sites as explained further in the following points.**

#### **Technical corrections and comments:**

Page 27316, line 9-14: This sentence is a bit confusing. Please restructure it.

**We rephrased as follows:**

**Prior analyses of Level-3 (1° resolution) MODIS AOD over the eastern half of North America have indicated extreme AOD values (> local 90<sup>th</sup> percentile) are coherent over regional scales (~ 150 km) (Sullivan et al., 2015). Thus, our evaluation exercise also includes an analysis of the spatio-temporal coherence of extreme events.**

Page 27322, line 3: Table 3 shows that MODIS and AERONET data are poorly correlated. In this section it is important to explain the reasons of this disagreement and the effects on the model evaluation.

**Thanks for this comment. We clarified in the text and Table 3 that the MFB of MODIS vs. AERONET is strongly affected by some outlier sites and the MFB decreases when we**



remove the three most biased sites. Further, the number of co-samples between MODIS is quite limited, thus those MFB may be not very representative. We added the following comment:

“When MODIS is compared to the 22 AERONET stations the MFB is -1.23 suggesting an underestimation of AERONET relative to MODIS. The large bias can be explained noting that the number of co-samples between MODIS is quite small and that MFB is strongly impacted by a few outliers. When we remove the three most biased sites (one land site in the North and two sites along the East coast) the MFB decreases to -0.91.”

Page 27323, line 9: What is i?

We changed the paragraph on  $\chi^2$  according to recommendation of reviewer 2.

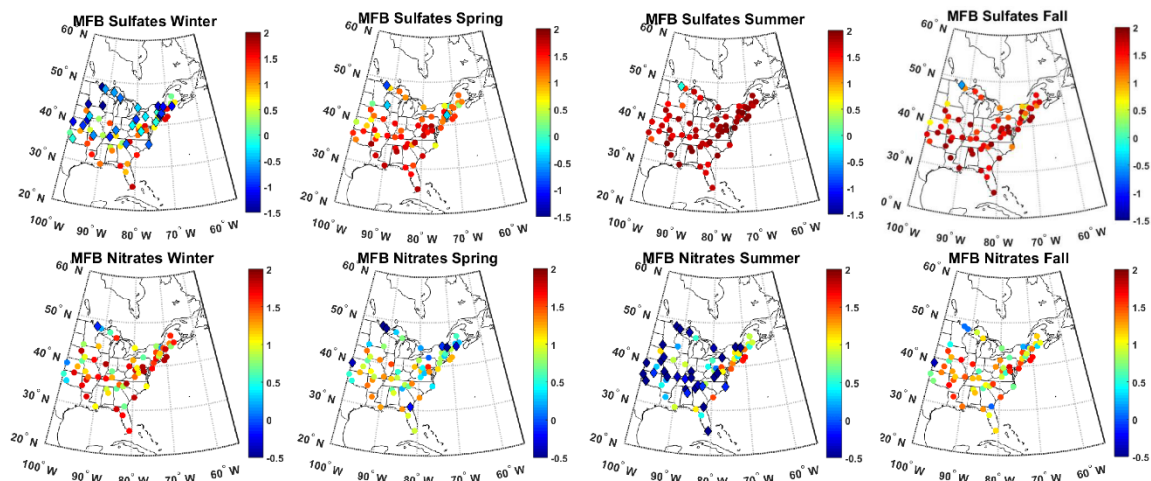
Page 27325, line 6-9: Did your results suggest the same? Did you compare AOD biases with sulfate biases? Did you find a correlation between aerosol-sulfate and AOD estimations?

We added the following details in the Introduction:

“We also include intercomparison with daily mean PM<sub>2.5</sub> concentrations from 1230 surface stations and near-surface PM<sub>2.5</sub> composition using data from 123 IMPROVE sites. The PM<sub>2.5</sub> concentration data for 2008 were obtained from the US Environmental Protection Agency (EPA) AirData web site and represent all available outdoor near-surface 24-hour mean PM<sub>2.5</sub> measurements in the model domain. Most of these stations report values on a 1 day in 3 schedule. Daily average PM<sub>2.5</sub> chemical composition are also available on 1 day in 3 and were accessed online through the IMPROVE data wizard.”

We added the following analysis and description in section 3.1:

“We further investigated the bias in PM<sub>2.5</sub> by comparing WRF-Chem simulations with ground-based composition measurements at 123 IMPROVE sites in our domain. We computed the MFB on a seasonal basis between near-surface sulfate and nitrate concentrations in fine mode particles (i.e. Aitken and accumulation mode) (Fig. 4) and found sulfate concentrations are underestimated over almost the entire domain during winter, whereas a positive bias is present in the other seasons. Conversely, PM<sub>2.5</sub> nitrate tends to be overestimated during winter and fall in the WRF-Chem simulations and underestimated during summer. Thus the positive bias in AOD and PM<sub>2.5</sub> mass particularly during the summer appears to be associated with excess sulfate concentrations.”



**Figure 4. Mean fraction bias (MFB) of near-surface daily mean sulfate (first line) and nitrate (second line) concentrations in fine aerosol particles as simulated by WRF-Chem and observed in PM<sub>2.5</sub> measurements at 123 IMPROVE sites in different seasons. A positive MFB indicates WRF-Chem overestimates the observations. Note the scales differ between the frames shown for sulfate and nitrate and dots/diamonds refer to positive/negative MFB.**

Page 27326, line 5-8: Do you have evidence about this? You should support di statement with some elaborations.

**Thanks for pointing this out. We added explicit reference to Figure S3 in the Supplementary Material and the new Figure 4 showing the MFB analysis.**

Page 27329, line 17-23: One more time, you only did some hypothesis but no evidence to support these statements. Please, show some elaborations that include particle composition evaluation.

**We added explicit references to the new analyses on composition discussed above.**

Page 27329, line 23-24: Why higher uncertainties at coastlines? Do you have some previous studies to cite in order to support this?

**We added the following reference to support our statement:**

**Anderson, J. C., Wang, J., Zeng, J., Leptoukh, G., Petrenko, M., Ichoku, C., and Hu, C.: Long-term statistical assessment of Aqua-MODIS aerosol optical depth over coastal regions: bias characteristics and uncertainty sources, Tellus Series B-Chemical and Physical Meteorology, 65, 10.3402/tellusb.v65i0.20805, 2013**

Page 27330, line 18: Table 3 suggests that AERONET MFB is 0.5

**Thanks, fixed.**

Page 27331, line 6: AERONET MFB is -0.59 according to Table 3

**Thanks, fixed.**

1 Evaluating the skill of high resolution WRF-Chem  
2 simulations in describing drivers of aerosol direct climate  
3 forcing at the regional scale

4 ~~How skillfully can we simulate drivers of aerosol direct~~  
5 ~~climate forcing at the regional scale?~~

6  
7 **P. Crippa<sup>1</sup>, R. C. Sullivan<sup>2</sup>, A. Thota<sup>3</sup>, S. C. Pryor<sup>2,3</sup>**

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14  
15 **Abstract**

16 Assessing the ability of global and regional models to describe aerosol optical properties is  
17 essential to reducing uncertainty in aerosol direct radiative forcing in the contemporary climate  
18 and to improving confidence in future projections. Here we evaluate the skill-performance of  
19 high-resolution simulations conducted using the Weather Research and Forecasting model with  
20 coupled chemistry (WRF-Chem) in capturing spatio-temporal variability of aerosol optical  
21 depth (AOD) and Ångström exponent (AE) by comparison with ground- and space- based  
22 remotely sensed observations. WRF-Chem is run over eastern North America at a resolution of  
23 12 km for a representative year (2008). A ~~small~~-systematic positive bias in simulated AOD  
24 relative to observations is found (annual MFB=0.175 and 0.50 when comparing with MODIS  
25 and AERONET respectively), whereas the spatial variability is well captured during most  
26 months. The spatial correlation of observed and simulated AOD shows a clear seasonal cycle  
27 with highest correlation during summer months ( $r=0.5-0.7$ ) when the aerosol loading is large

28 and more observations are available. ~~AE is retrieved with higher uncertainty from the remote~~  
29 ~~sensing observations.~~ The model is biased towards simulation of coarse mode aerosols (annual  
30 MFB for AE = -0.10 relative to MODIS and -0.59 for AERONET), but the spatial correlation  
31 for AE with observations is 0.3-0.5 during most months, despite the fact that AE is retrieved  
32 with higher uncertainty from the remote sensing observations. WRF-Chem also exhibits high  
33 skill in identifying areas of extreme and non-extreme aerosol loading, and its ability to correctly  
34 simulate the location and relative intensity of ~~an~~ extreme aerosol events (i.e. AOD>75<sup>th</sup>  
35 percentile) varies between 30 and 70% during winter and summer months respectively.

36

## 37 1. Introduction and Objectives

38 Atmospheric aerosol particles (aerosols) play a major role in dictating Earth's climate by both  
39 directly interacting with solar radiation (direct effect) and acting as cloud condensation nuclei  
40 and thus changing cloud properties (indirect effect) (Boucher et al., 2013). The global mean  
41 aerosol direct effect is estimated to be -0.27 (possible range of -0.77 to +0.23) W m<sup>-2</sup>, while the  
42 indirect effect is -0.55 (-1.33 to -0.06) W m<sup>-2</sup> (Stocker et al., 2013). Therefore their combined  
43 radiative forcing is likely a significant fraction of the overall net anthropogenic climate forcing  
44 since pre-industrial times (i.e. 1.13-3.33 W m<sup>-2</sup> (Stocker et al., 2013)) and a substantial source  
45 of uncertainty in quantifying anthropogenic radiative forcing.

46 Accurate quantification of direct aerosol radiative forcing is strongly dependent on aerosol  
47 precursor and primary aerosol emissions. Both have evolved over the past two decades in terms  
48 of their spatio-temporal distribution and absolute magnitude. Emissions have generally  
49 increased in emerging economies (Kurokawa et al., 2013), biogenic and anthropogenic  
50 emissions have altered in response to changing land use and land cover (Wu et al., 2012), and  
51 the implementation of pollution control strategies particularly in North America and Europe  
52 have resulted in declines in air pollutant emissions (Xing et al., 2015; Giannouli et al., 2011).  
53 Therefore there is evidence that aerosol burdens and thus direct climate forcing has varied  
54 markedly in the past and may change substantially in the future. Further, although best estimates  
55 of global anthropogenic radiative forcing from the aerosol direct and indirect effect are -0.27  
56 and -0.55 W m<sup>-2</sup> (Stocker et al., 2013) respectively, the short residence time and high spatio-  
57 temporal variability of aerosol populations mean their impact on regional climates can be much  
58 larger than the global mean but are even more uncertain.

59 Long-term measurements of aerosol properties are largely confined to aerosol mass (total, PM<sub>10</sub>  
60 or PM<sub>2.5</sub>) in the near-surface layer which may or may not be representative of either the total  
61 atmospheric burden (Ford and Heald, 2013;Alston et al., 2012), or radiation extinction and  
62 hence climate forcing. Further, aerosol composition measurements are often a 24-hour  
63 integrated sample taken only 1 in 3 days and thus are subject to under sampling. Hence they  
64 provide an incomplete description of temporal variability and mean aerosol burdens for model  
65 performance evaluation. Long-term continuous and high-precision measurements of aerosol  
66 properties are largely confined to aerosol mass (total, PM<sub>10</sub> or PM<sub>2.5</sub>) in the near-surface layer  
67 which may or may not be representative of either the total atmospheric burden (Ford and Heald,  
68 2013;Alston et al., 2012), or radiation extinction and hence climate forcing. Columnar remote  
69 sensing measurements of aerosol optical properties are available from a range of ground-based  
70 and satellite-borne instrumentation, but have only a relatively short period of record, are subject  
71 to non-zero measurement uncertainty (and bias), and under-sample the range of atmospheric  
72 conditions due to cloud masking and infrequent satellite overpasses. Therefore, regional and  
73 global models are most commonly used to quantify historical and contemporary aerosol direct  
74 radiative forcing based on simulated properties such as the aerosol optical depth (AOD) and  
75 Ångström exponent (AE) (Boucher et al., 2013).

76 Most global models that include aerosol microphysics have been run at fairly coarse resolution  
77 (spatial resolution of the order of 1-2.5°) (Table 1) usually for periods of a few years. The  
78 resulting fields of AOD (and less frequently AE) have been evaluated relative to ground-based  
79 and satellite-borne remote sensing optical properties measurements (Table 1). However, aerosol  
80 populations (and dynamics) are known to exhibit higher spatial variability (and scales) than can  
81 be manifest in those models (Kovacs et al.,2006;Kulmala et al., 2011;Santese et al., 2007;  
82 Schutgens et al., 2013;Sinzuka and Redemann, 2011). ~~However, aerosol populations (and~~  
83 ~~dynamics) are known to exhibit higher spatial variability (and scales) than can be manifest in~~  
84 ~~those models (Kulmala et al., 2011;Spracklen et al., 2010).~~ Despite recent improvements in the  
85 sophistication of aerosol processes and properties within global models, there are still  
86 substantial regional and latitudinal discrepancies in both the magnitude of AOD and other  
87 aerosol properties which impact aerosol direct radiative forcing and the degree of model-to-  
88 model agreement (Myhre et al., 2013). Thus the skill of these models in reproducing the spatio-  
89 temporal variability in the aerosol size distribution, composition, concentration and radiative  
90 properties is incompletely characterized. Further large model-to-model variability both in the  
91 global mean direct aerosol forcing and in the spatial distribution thereof exists (Kulmala et al.,

92 ~~2011;Myhre et al., 2013) leading to high uncertainty in quantification of aerosol climate~~  
93 ~~forcing. The skill of these models in reproducing the spatio-temporal variability in the aerosol~~  
94 ~~size distribution, composition, concentration and radiative properties is incompletely~~  
95 ~~characterized. Accordingly, there is large model to model variability both in the global mean~~  
96 ~~direct aerosol forcing and in the spatial distribution thereof (Kulmala et al., 2011;Myhre et al.,~~  
97 ~~2013).~~ Although a direct comparison between the studies summarized in Table 1 is inherently  
98 very difficult due to the different performance metrics reported, and variations in both the model  
99 resolution and aerosol descriptions, there is a consistent finding of high spatial variability in  
100 model bias, both in sign and magnitude. Correlation coefficients of monthly and seasonal mean  
101 AOD from model simulations versus satellite-based measurements are typically in a range ~0.6-  
102 0.8 both in global (Colarco et al., 2010;Lee et al., 2015) and regional (Nabat et al., 2015)  
103 simulations. However, these correlations are largely reflective of the ability of the models to  
104 capture the seasonal cycle and columnar aerosol properties from remote sensing and thus ignore  
105 substantial variability on the synoptic (Sullivan et al., 2015) and meso-scales (Anderson et al.,  
106 2003). A wider range of correlation coefficients are reported when comparisons are made to  
107 high frequency observations of AOD at the hourly/daily timescale both in global (Sič et al.,  
108 2015) and regional (Rea et al., 2015) simulations ( $r \sim 0.3-0.8$ ). The largest range of correlation  
109 coefficients ( $[-0.99, 0.9]$ ; Table 1) is reported when simulated AOD is compared with  
110 observations from the AERosol RObotic NETwork (AERONET), and appear to be function of  
111 temporal averaging, location of AERONET sites and model resolution. Correlations between  
112 time series of simulated AE versus AERONET observations are reported less frequently, and  
113 when conducted for monthly mean values range from ~0.4 (Li et al., 2015) to ~0.8 (Colarco et  
114 al., 2010).

115 At least some of the variability in model skill performance, as indicated by the mutual variability  
116 with observations described by correlation coefficients, and model-to-model agreement shown  
117 in AeroCom Phase II may be attributable to variations in model resolution, differences in gas  
118 and particle phase parameterizations and aerosol descriptions. However, there are also  
119 variations in the way in which model skill is evaluated and divergent opinions regarding  
120 prioritization of future research directions.~~However, there are also variations in the way in~~  
121 ~~which model skill is evaluated leading to ambiguity in terms of prioritizing future research~~  
122 ~~directions.~~ The direct effect remains poorly quantified at the regional scale, due to uncertainty  
123 in aerosol loading, uncertainty and spatio-temporal variability in aerosol physical properties  
124 (Colarco et al., 2014) and a relative paucity of rigorous model verification and validation



125 exercises. Confidence in projections of possible future aerosol radiative forcing requires  
126 detailed assessment of skill in the current climate, and the need for and benefits of regional  
127 downscaling and/or use of high-resolution global models requires careful quantification.

128 Regional models represent an opportunity to assess if running higher resolution simulations  
129 over specific regions of interest improves the characterization of aerosol optical properties of  
130 relevance to direct radiative forcing. Assessment of value added (or lack thereof) from high  
131 resolution regional versus global coarse resolution models has not been clearly quantified in  
132 previous studies (Table 1).~~Assessment of value added (or lack thereof) from high resolution~~  
133 ~~regional versus global coarse resolution models is not quantifiable from prior studies alone.~~  
134 Although high-resolution simulations, comparable to those presented herein, have been run,  
135 they are over a small temporal and spatial domain (e.g. (Tuccella et al., 2015)), or lack  
136 quantitative assessment of aerosol optical properties (e.g. (Tessum et al., 2014)). Thus,  
137 quantification of the skill of high-resolution modeling of aerosol optical properties is presented  
138 here along with a preliminary analysis of model performance as a function of spatial  
139 aggregation. Forthcoming work will include direct comparison to coarser resolution  
140 simulations to quantify the value added (or lack thereof) from increased model resolution.

141 We evaluate the skill of state-of-the-art high-resolution regional model simulations of climate-  
142 relevant aerosol properties using a range of ~~inferential-descriptive~~ statistics and investigate  
143 possible sources of discrepancies with observations. The impact of aerosols on climate and  
144 human health are strengthened under conditions of enhanced aerosol concentrations, thus it is  
145 necessary to study and diagnose causes of ‘extreme aerosol events’ (Chu, 2004;Gkikas et al.,  
146 2012), and to evaluate the ability of numerical models to simulate their occurrence, intensity,  
147 spatial extent and location. Prior analyses of Level-3 (1° resolution) MODIS AOD over the  
148 eastern half of North America have indicated ~~the frequency of co-occurrence of~~ extreme AOD  
149 values (> local 90<sup>th</sup> percentile) are coherent over decreases to below 50% at regional scales (~  
150 150 km) from a central grid cell located in southern Indiana, but is above that expected by  
151 ~~random chance over almost all of eastern North America~~ (Sullivan et al., 2015). Thus, our  
152 evaluation exercise also includes an analysis of the spatio-temporal coherence of extreme  
153 events.

154 We applied the Weather Research and Forecasting model with coupled Chemistry (WRF-Chem  
155 version 3.6.1) at high resolution (12×12 km) over eastern North America during the year 2008,  
156 in the context of a pseudo type-2 downscaling exercise in which the high-resolution model is

157 nested within reanalysis boundary conditions (Castro et al., 2005). The choice of this spatial  
158 resolution is taken in part to match the resolution of North American Mesoscale Model that is  
159 used for the meteorological lateral boundary conditions and to ensure we capture some  
160 mesoscale variability while remaining computationally feasible.

161 Our evaluation is designed to investigate spatio-temporal variability of aerosol optical  
162 properties (i.e. AOD and AE) in their mean and extreme values. Thus, we conduct our  
163 evaluation of the simulations using:

- 164 (i) High-frequency, disjunct time series data from ~~columnar~~ point measurements at  
165 AERONET stations.
- 166 (ii) Relatively high-resolution spatial data from lower frequency (once daily or lower)  
167 data from polar orbiting satellites (i.e. MODIS and MISR).

168 We also include intercomparison with daily mean PM<sub>2.5</sub> concentrations from 1230 surface  
169 stations and near-surface PM<sub>2.5</sub> composition using data from 123 IMPROVE sites. The PM<sub>2.5</sub>  
170 concentrations ~~se~~-data for 2008 were obtained from the US Environmental Protection Agency  
171 (EPA) AirData web site and represent all available outdoor near-surface 24-hour mean PM<sub>2.5</sub>  
172 measurements in the model domain. Most of these stations report values on a 1 day in 3  
173 schedule.- Daily average PM<sub>2.5</sub> chemical compositions are also available on 1 day in 3 and were  
174 accessed online through the IMPROVE data wizard. We further evaluate the WRF-Chem  
175 simulations of a key meteorological parameter – precipitation – relative to observations from  
176 the Delaware gridded dataset (Matsuura and Willmott, 2009). This data set includes monthly  
177 accumulated precipitation data on a 0.5×0.5° grid which is estimated by interpolating station  
178 observations from the Global Historical Climatology Network using the spherical version of  
179 Shepard's distance-weighting method (Shepard, 1968; Willmott et al., 1985).

180 This paper is structured as follows. We first describe the settings used in our WRF-Chem  
181 simulations and introduce the remote sensing and other data used for model evaluation (Sect.  
182 2). A description of statistical metrics used for the evaluation is also provided. Section 3  
183 presents results of the evaluation of simulated AOD and AE versus observations, as well as  
184 findings on extreme AOD values. In Section 4 we summarize our findings and draw  
185 conclusions.

## 186 **2. Methods**

### 187 **2.1 WRF-Chem simulations**

188 The Weather Research and Forecasting Model with coupled chemistry (WRF-Chem, version  
189 3.6.1) (Grell et al., 2005;Fast et al., 2006) is used to simulate aerosol processes over eastern  
190 North America during the whole of 2008. The simulation domain comprises 300×300 grid  
191 points with 12 km resolution and is centered in southern Indiana (86°W, 39°N). The calendar  
192 year 2008 was selected because it is representative of average climate and aerosol conditions  
193 in the center of the model domain (near Indianapolis, IN). In 2008, mean  $T_{\max}$ ,  $T_{\min}$ ,  
194 precipitation, and wind speed as measured at the National Weather Service Automated Surface  
195 Observing Systems (NWS ASOS) station at Indianapolis International Airport are within  $\pm 0.25$   
196 standard deviations ( $\sigma$ ) of the 2000-2013 seasonal means. Further, mean seasonal AOD from  
197 Level-3 MODIS retrievals is within  $\pm 0.2\sigma$  of 2000-2013 mean values. Additionally, choice of  
198 this year ensures availability of multiple sources of ground- and space-based measurements of  
199 aerosol properties for evaluation of the simulations.

200 Table 2 provides details of the WRF-Chem simulations. In brief, we used 32 vertical levels up  
201 to 50 hPa with telescoping to allow for a good vertical resolution in the boundary layer (i.e.  
202 approximately 10 layers below 1 km for non-mountainous regions). Meteorological lateral  
203 boundary conditions are provided every 6 hours from the North American Mesoscale Model  
204 (NAM) applied at 12 km resolution. The initial and boundary chemical conditions are based on  
205 output from the offline global chemical transport model MOZART-4 (Model for Ozone and  
206 Related chemical Tracers, version 4), driven by meteorology from NCEP/NCAR-reanalysis  
207 (Pfister et al., 2011;Emmons et al., 2010). Anthropogenic emissions are from the POET  
208 (Precursors of Ozone and their Effects in the Troposphere) and the EDGAR (Emissions  
209 Database for Global Atmospheric Research) databases. The land cover is specified based on  
210 the USGS 24-category data at 3.7 km resolution (Anderson et al., 1976). Anthropogenic point  
211 and area emissions at 4 km resolution are input hourly from the U.S. National Emissions  
212 Inventory (NEI-05) (US-EPA, 2009) and specified for 19 vertical levels (see Fig. 1 for an  
213 overview of the primary aerosol emissions). Biogenic emissions of isoprene, monoterpenes,  
214 other biogenic VOC (OVOC), and nitrogen gas emissions from the soil are described as a  
215 function of simulated temperature and photosynthetic active radiation (for isoprene) using the  
216 model of Guenther (Guenther et al., 1993;Guenther et al., 1994;Simpson et al., 1995). Aerosol  
217 and gas phase chemistry are described using the second generation Regional Acid Deposition

218 Model (RADM2) chemical mechanism (Stockwell et al., 1990) and the Modal Aerosol  
 219 Dynamics Model for Europe (MADE) which incorporates the Secondary Organic Aerosol  
 220 Model (SORGAM) (Ackermann et al., 1998;Schell et al., 2001). The correct characterization  
 221 of aerosol optical properties is ~~strongly related to~~dependent on model skill in describing particle  
 222 composition and mixing state (Li et al., 2015;Curci et al., 2014). With this in mind, it is worthy  
 223 of note that aerosol components are assumed to be internally mixed within each mode (although  
 224 the composition differs by mode). ~~For the~~The standard deviation on the log-normal Aitken and  
 225 accumulation modes the ~~median diameters are 10 nm and 70 nm with~~ standard deviations ~~of~~  
 226 are fixed at 1.6 and 2, respectively. The choice of a modal representation of aerosol size  
 227 distribution is dictated by the high computational demand of more sophisticated approaches  
 228 (e.g. sectional description of the aerosol size distribution) for long-term simulations. With the  
 229 current settings, the 1-year run was completed without restart in 9.5 days (230 hours) on the  
 230 Cray XE6/XK7 supercomputer (Big Red II) owned by Indiana University using 256 processors  
 231 distributed on 8 nodes, thus indicating feasibility of this configuration for climate scale  
 232 simulations. Aerosol, and gas phase concentrations and meteorological properties are saved  
 233 once hourly. AE from the WRF-Chem simulations is computed using:

$$234 \quad AE = \frac{\ln \frac{AOD_{400nm}}{AOD_{600nm}}}{\ln \frac{600nm}{400nm}} \quad \text{_____} (1).$$

235 AOD at wavelengths ( $\lambda$ ) of 500 and 550 nm for comparison with MODIS and MISR  
 236 respectively, are derived using the Ångström power law:

$$237 \quad AOD_{\lambda} = AOD_{300} \times \frac{\lambda^{(-AE)}}{300} \quad \text{_____} (2).$$

238 We investigated the wavelength dependence on AE calculation using  $\lambda$  at 300 nm and 1000 nm  
 239 as proposed in (Kumar et al., 2014) and found that, although AOD estimates are independent  
 240 on the wavelength range selected,  $AE_{400-600nm}$  is systematically lower than  $AE_{300-1000nm}$ .  
 241 Analyses of AE reported in this study are obtained using  ~~$\lambda =$~~ wavelengths at 400 and 600 nm  
 242 since they are closer to those used in AE satellite retrievals.

## 243 **2.2 Remotely-sensed data**

244 Consistent with previous research (Sect. 1 and Table 1), we evaluate the WRF-Chem  
 245 simulations using four primary remote sensing products – three are drawn from instruments on

246 the Aqua and Terra satellites, while the fourth is from ground-based radiometers operated as  
247 part of the AERONET network. The data sets are as follows:

248. The MODerate resolution Imaging Spectroradiometer (MODIS) instruments aboard the  
249 polar-orbiting Terra (~1030 overpass local solar time (LST)) and Aqua (~1330 LST)  
250 satellites. They have measured atmospheric aerosol optical properties since 2000 and 2002  
251 respectively, with near-global daily coverage (Remer et al., 2005). Herein we use the Level  
252 2 (L2; 10 km resolution) “dark-target” products of AOD at 550 nm and AE from 470 – 660  
253 nm (Collection 5.1; (Levy et al., 2010)). The L2 AOD uncertainty is  $\pm 0.05 \pm 0.15 \times \text{AOD}$   
254 over land relative to global sun photometer measurements from AERONET; even when no  
255 spatiotemporal averaging is used in the comparison (i.e. all combinations of MODIS  
256 retrievals within 30 km of an AERONET site and all AERONET retrievals within 30 min of  
257 the satellite overpass), 71% of MODIS retrievals fall within a  $\pm 0.05 \pm 0.2 \times \text{AOD}$  envelope  
258 relative to AERONET over E. CONUS (Hyer et al., 2011). ~~The L2 AOD uncertainty is  $\pm$~~   
259  ~~$0.05 \pm 0.15 \times \text{AOD}$  over land relative to global sun photometer measurements from~~  
260 ~~AERONET.~~ AE is retrieved with higher uncertainty, and tends to exhibit a bi-modality in  
261 retrieved values (Levy et al., 2010; Remer et al., 2005) (see ~~SM~~ Fig. S1). For this reason  
262 where we compare WRF-Chem simulated AE with values from MODIS we treat AE as a  
263 binary variable, wherein  $\text{AE} < 1$  is taken as representing coarse mode dominated aerosol  
264 populations and  $\text{AE} > 1$  indicates fine mode dominated populations (Pereira et al.,  
265 2011; Valenzuela et al., 2014).

266 2. The Multi-angle Imaging Spectroradiometer (MISR) instrument is also aboard the Terra  
267 satellite, and measures radiances at four wavelengths from 446 – 886 nm at nine viewing  
268 angles from nadir to  $70.5^\circ$ . MISR (L2, 17.6 km resolution) retrieves AOD with lower  
269 uncertainty than MODIS ( $\pm 0.05 \times \text{AOD}$  relative to AERONET), but with lower temporal  
270 resolution (global coverage in ~ one week) (Kahn et al., 2010; Kahn et al., 2005). Herein, we  
271 use the  $0.5^\circ \times 0.5^\circ$  gridded Level 3 (Ver. 31) AOD (at 555 nm) and AE (calculated from  
272 AOD at 443 and 670 nm).

273 3. Ground-based sun-photometer measurements from 22 AErosol RObotic NETwork  
274 (AERONET) (Holben et al., 1998) stations are also used in this study (Fig. 1). This network  
275 is highly spatially inhomogeneous, but under cloud-free conditions the observations are  
276 available at multiple times during daylight hours. AOD is measured directly by the  
277 AERONET sun photometers at seven wavelengths (340, 380, 440, 500, 670, 870, and

278 1020 nm) with high accuracy (i.e. AOD uncertainty of  $< 0.01$  for  $\lambda > 440$  nm (Holben et  
279 al., 2001)). The Ångström Exponent (AE) is calculated for all available wavelengths within  
280 the AOD range. The AE 870-440 nm includes the 870, 670, 500 and 440 nm AOD data.  
281 Level-2 aerosol products from AERONET (i.e. cloud screened and quality assured) have  
282 been used extensively in satellite and model validation studies (including many of those  
283 summarized in Table 1) and are used herein.

284 To avoid the discontinuity in the MODIS retrieval algorithm due to different assumed aerosol  
285 types (Levy et al., 2007), we confine our analyses of model skill to longitudes east of 98°W.  
286 Only WRF grid cells with cloud fraction = 0 during the satellite over pass of each grid cell are  
287 used in comparison to MODIS/MISR observations, and only grid cells with at least 5 valid  
288 observations (both from MODIS/MISR and cloud-screened WRF) during a given month are  
289 included in the analyses presented herein. ~~To avoid the discontinuity in the MODIS retrieval~~  
290 ~~algorithm due to different assumed aerosol types (Levy et al., 2007), we confine our analyses~~  
291 ~~of model skill to longitudes east of 98°W. All comparisons of modeled aerosol optical~~  
292 ~~properties relative to MODIS observations (e.g. monthly mean values) only include grid cells~~  
293 ~~for which at least 5 valid coincident observations are available during a given month after~~  
294 ~~applying a cloud screen for overpass hours with cloud fraction larger than zero.~~ It is worth  
295 noting that setting a threshold of 10 observations does not significantly affect the results. For a  
296 uniform assessment, L2 MODIS and L3 MISR data have been interpolated from their native  
297 grids (and resolutions of 10 km and  $0.5^\circ \times 0.5^\circ$ , respectively) to the WRF-Chem 12 km resolution  
298 grid by computing the mean of pixels with valid data within 0.1°/0.3° for MODIS/MISR from  
299 the model centroids~~0.1° (-20 km) from the model centroids~~. The choice of averaging over a  
300 slightly larger area than model resolution is dictated by the sparsity of valid ~~MODIS satellite~~  
301 retrievals. For AERONET vs. MODIS comparison, we only use the nearest MODIS data (after  
302 regridding to WRF) to each site. Where hourly WRF-Chem output is compared with data from  
303 AERONET ~~stations~~sites, a station is only included if there are at least 20 simultaneous estimates  
304 available, -and each AERONET measurement is compared to the nearest WRF-Chem time step  
305 and to the grid cell containing the station.

### 306 **2.3 Statistical methods used in the model evaluation**

307 The primary error metric of overall model performance used herein is the Mean Fractional Bias  
308 (Boylan and Russell, 2006):



$$MFB = \frac{1}{N} \sum_1^N \frac{C_m - C_0}{\frac{C_m + C_0}{2}} \quad (3).$$

310 MFB is a useful model performance indicator since it equally weights positive and negative  
 311 biases. It varies between +2 and -2 and has a value of zero for an ideal model. Where MFB is  
 312 reported for WRF-Chem versus MODIS-~~or~~/ MISR/AERONET,  $C_m$  is the monthly mean AOD  
 313 or AE simulated by WRF-Chem at a specific location,  $C_0$  refers to the same quantify from  
 314 MODIS-~~or~~ MISR remote sensing data (Table 3) and  $N$  is the sample size. ~~Where MFB is~~  
 315 ~~reported in comparisons of WRF-Chem with AERONET, the monthly average in the model~~  
 316 ~~grid cell containing the AERONET site is compared with monthly averaged observations ( $C_0$ ).~~

317 The evaluation of WRF-Chem simulations of AOD and AE relative to satellite retrievals  
 318 (MODIS and MISR) is also summarized using Taylor diagrams (Taylor, 2001) produced from  
 319 the monthly means for the grid cells with simultaneous data availability. Taylor diagrams  
 320 synthesize three aspects of model skill focused on evaluations of the spatial fields of the  
 321 parameter of interest. The correlation coefficient of the modeled vs. observed field which is  
 322 expressed by the azimuthal position, the root mean squared difference which is proportional to  
 323 the distance between a point and the reference point on the x-axis (at 1, 0), and the ratio of  
 324 simulated and observed spatial standard deviation which is proportional to the radial distance  
 325 from the origin.

326 To investigate model performance at given locations through time, empirical quantile-quantile  
 327 (EQQ) plots are constructed using high frequency realizations of AOD and AE at individual  
 328 locations (AERONET sites) relative to WRF-Chem values simulated in the grid cell containing  
 329 the measurement site. EQQ plots are thus generated for each of the AERONET stations using  
 330 all hours when there are simultaneous estimates available from the direct observations and from  
 331 the numerical simulations. The advantage of EQQ plots is that they make no assumptions  
 332 regarding the underlying form of the data, and can be readily used to determine which parts of  
 333 the modeled distribution deviate from the observations (and thus fall away from a 1:1 line).

334 The validity of AE estimates is a function of both the absolute magnitude of AOD and the  
 335 uncertainty in the wavelength dependent AOD. AE provides information regarding the relative  
 336 abundance of fine to coarse particles. Thus, here we quantify the model skill in reproducing  
 337 spatial patterns of fine and coarse mode particles observed by MODIS (Terra) by comparing  
 338 the frequency distribution of AE lower and higher than 1 to distinguish populations dominated

339 by coarse and fine aerosols respectively in WRF-Chem and MODIS (Valenzuela et al.,  
 340 2014;Pereira et al., 2011). The choice of this threshold reflects the AE distribution. AE  
 341 simulated by WRF-Chem generally conforms to a single normal distribution centered on 1  
 342 during January-April and on 1.3 from May-June to December; AERONET time series also tend  
 343 to conform to a single mode, while MODIS estimates typically are bimodally distributed (see  
 344 ~~SM-Fig. S1). A  $\chi^2$ -test is applied to assess if the frequency distribution of fine and coarse~~  
 345 ~~partieles is the same between MODIS and WRF-Chem.~~ We therefore consider the data in the  
 346 form of a contingency table (Table 4) and compute ~~the a  $\chi^2$ -test to assess if the frequency~~  
 347 ~~distribution of fine and coarse particles is the same between MODIS and WRF-Chem. The  $\chi^2$~~   
 348 ~~statistic is applied with~~ ~~with~~ one degree of freedom ~~from:~~

$$\chi^2 = \sum_{i=1}^N \frac{(O_i - E_i)^2}{E_i} \quad (4)$$

349  
 350 ~~where  $O_i$  is the frequency of observations of type  $i$  and  $E_i$  is the expected frequency of type  $i$~~   
 351 ~~which is computed as the product of the row total with the column total, divided by the total~~  
 352 ~~number of observations. Herein we apply and aa 99% confidence limit to assess significance of~~  
 353 ~~the  $\chi^2$  statistic.~~

354 As described above, the impact of aerosols on climate and human health are strengthened under  
 355 conditions of enhanced aerosol concentrations, thus two analyses were undertaken to evaluate  
 356 the ability of the WRF-Chem simulations to represent extreme AOD values:

- 357 1. Evaluation of the spatial patterns of extreme events. Using daily estimates of AOD in  
 358 each grid cell and month we identified the 75<sup>th</sup> percentile value across space (i.e.  $p75$ )  
 359 as threshold for extreme AOD for WRF-Chem and MODIS separately. Grid cells with  
 360 AOD exceeding that threshold were classified as exhibiting extreme values. The  
 361 consistency in the spatial distribution of extreme values as simulated by WRF-Chem  
 362 relative to MODIS are quantified using three skill statistics: the Accuracy, Hit Rate ( $HR$ )  
 363 and Threat Score ( $TS$ ) defined in equations 5-74-6. In these equations,  $WE$ ,  $ME$ ,  $WN$   
 364 and  $MN$  correspond to ~~occurrence frequency~~ of extreme conditions in WRF-Chem ( $WE$ )  
 365 or MODIS ( $ME$ ) or not ( $WN$  or  $MN$ ):

$$Accuracy = \frac{WE / ME + WN / MN}{WE / ME + WE / MN + WN / ME + WN / MN} \quad (54)$$

$$HR = \frac{WE / ME}{WE / ME + WN / ME} \quad (65)$$

$$TS = \frac{WE / ME}{WE / ME + WE / MN + WN / ME} \quad (76)$$

The Accuracy describes the fraction of grid cells co-identified as exceeding  $p75$  or not in MODIS and WRF-Chem, and thus equally weights event and non-event conditions. Since the Accuracy quantifies model skill in correctly identifying both extreme and non-extreme aerosol loadings, it is thus indicative of model performance in capturing the overall AOD spatial variability. In this application, where extreme is identified as the 75<sup>th</sup> percentile, a value of 0.5 would indicate none of the grid cells experiencing extreme events were reproduced by the model, while 1 would indicate perfect identification of events and non-events. The  $HR$  and  $TS$  metrics give ‘credit’ only those grid cells identified as ‘extreme’. For these metrics, a value of 0 indicates no correct identification of grid cells with extreme values, while a perfect model would exhibit a value of 1.

2. Evaluation of the scales of coherence of extreme AOD. For each day during the overpass time and hours of clear sky conditions, we determine if AOD simulated at our reference location (i.e. the center of the domain, in Southern Indiana) is equal or larger than the local  $p75$  for that grid cell and season and then identify all grid cells in the domain that also satisfy the condition of  $AOD \geq \text{local } p75$ . The reference location represents the center of gravity of the domain and was previously used by Sullivan et al. (2015) for assessing scales of coherence. In that work they also found the spatial scales of coherence are not sensitive to the precise choice of reference location. For each season, we thus compute the probability of extreme AOD co-occurrence at our reference site and any other grid cell as the frequency of co-occurrence divided by the number of extreme occurrences at the reference location. The spatial scales of extreme AOD are then estimated by binning the radial distance of each grid cell centroid from the domain center into 100 km distance classes. An analogous procedure is applied to L2 MODIS data to compare with simulations.

### 3. Results

#### 3.1 Evaluation of AOD

Overall WRF-Chem is positively biased relative to remotely-sensed AOD. The spatial MFB is 0.1520 (0.14) when computed using all available MODIS measurements from Terra (Aqua)

397 and 0.50 relative to data from the AERONET stations (Table 3). The sign of this bias is  
398 consistent across the entire simulation domain (Fig. 2). These results agree with findings from  
399 previous regional studies that have also shown an overestimation of AOD by WRF-Chem over  
400 eastern North America and Europe (i.e. regions dominated by sulfate aerosols), and  
401 underestimation in western US and most of the rest of the globe (Zhang et al., 2012; Colarco et  
402 al., 2010; Curci et al., 2014) (Table 1). Higher biases of WRF-Chem simulated annual mean  
403 AOD are found in the southern portion of the domain (Fig. 2) where the model also exhibits a  
404 positive bias in daily mean near-surface PM<sub>2.5</sub> relative to observations from 1230 US EPA sites  
405 (see Fig. 3 and SM-Fig. S2). We further investigated the bias in PM<sub>2.5</sub> by comparing WRF-  
406 Chem simulations with ground-based measurements of particle composition at 123 IMPROVE  
407 sites over our domain. We computed the MFB on a seasonal basis between sulfate and nitrate  
408 concentrations in fine mode particles (i.e. Aitken and accumulation mode) versus observations  
409 (Fig. 4) and found sulfate concentrations are underestimated almost over the entire domain  
410 during winter, whereas a positive bias is present in the other seasons. Conversely, nitrates tend  
411 to be overestimated during winter and fall at most sites, whereas they are underestimated during  
412 summer. Thus the positive bias in AOD and PM<sub>2.5</sub> mass particularly during the summer appears  
413 to be associated with excess sulfate concentrations.

414 The MFB of WRF-Chem relative to MODIS estimates of AOD is lower than the MFB relative  
415 to most of the AERONET stations except for a few sites located along the coast, one polluted  
416 site in the northeast and a few land sites in the North/North-West (Fig. 2e-1 and 45a). This is  
417 possibly a result of an inability of the model to capture variations in aerosol optical properties  
418 occurring at a local scale (below the resolution of 12 km). However, the evaluation statistics  
419 for WRF-Chem relative to AERONET did not vary consistently with the classification of  
420 AERONET stations. Indeed, the mean MFB for AOD in coastal, polluted and land sites varies  
421 between 0.26 (coastal) and 0.67 (land), whereas for AE it varies between -0.72 (coastal) and -  
422 0.50 (land). When MODIS is compared to the 22 AERONET stations the MFB is -1.23  
423 suggesting an underestimation of AOD from AERONET relative to MODIS. The large bias can  
424 be explained noting that the number of co-samples between MODIS is quite small and that  
425 MFB is strongly impacted by a few outliers. When we remove the three most biased sites (one  
426 land site in the North and two sites along the East coast) the MFB decreases to -0.91.  
427 Using very limited data, prior research indicated mesoscale variability (horizontal scales of 40–  
428 400 km and temporal scales of 2–48 h) is a common and perhaps universal feature of lower-  
429 tropospheric aerosol light extinction [Anderson et al., 2003]. However, we are not aware of

430 prior systematic attempts to quantify and test the universality of AOD scales of coherence over  
431 the contiguous US. To test the sensitivity of the MFB in simulated AOD to spatial aggregation,  
432 we excluded the first 12 cells to the left and to the top of the simulated domain and averaged  
433 the remaining 12×12 km grid cells over the following scales: 24×24, 36×36, 48×48, 72×72,  
434 96×96, 144×144, 192×192, 216×216, 288×288, 384×384, 432×432, 576×576, 864×864,  
435 1152×1152, 1728×1728, 3456×3456 km. The last spatial average corresponds to a single grid  
436 cell encompassing the entire domain (excluding the outer 12 cells located to the West and North  
437 of the simulation domain). Each spatial average at a coarser resolution is computed as the mean  
438 of all valid 12×12 km grid cells within the averaging area. We then computed the MFB for the  
439 regridded WRF-Chem and MODIS data pair and found that, on a yearly basis, MFB is highest  
440 at 12km (0.14 for Aqua and 0.15 for Terra) and reaches a first minimum at 72 km for Aqua  
441 (MFB=0.13) and 384 km for Terra (MFB=0.13) (see Fig. 6). However, the MFB and hence  
442 systematic error in AOD relative to MODIS exhibits only a weak dependence on the level of  
443 spatial aggregation.

444 Spatial patterns of monthly mean AOD show largest differences relative to MODIS during  
445 winter months in the southern states and near the coastlines, which show MFB up to 0.7, and  
446 lower spatial correlation (see Fig. 5a7a). This may be due to the larger uncertainty in MODIS  
447 retrievals near the coast (Anderson et al., 2013), the smaller sample size in the observations  
448 (particularly at high latitudes) during December to March or the lower overall AOD.  
449 Conversely, the spatial correlation is maximized ~~over~~ during the summer (r=0.5-0.7) for  
450 MODIS and August for MISR, when most data are available. The spatial variability of monthly  
451 mean AOD fields is also well simulated by WRF-Chem during the warm season (months May-  
452 August), as indicated by the ratio of the spatial standard deviation which is close to 1. However,  
453  $\sigma(\text{AOD})$  ~~it~~ is usually higher in MODIS and/or MISR than in WRF-Chem. The RMSD is largest  
454 and the spatial correlation is lowest during September and October, when MFB is also > 0.4 in  
455 part because WRF-Chem simulates high AOD and aerosol nitrate and sulfate concentrations  
456 over large regions in eastern North America (Fig. S3 and Fig. 4). The high positive bias in these  
457 months is also reflected in the near-surface PM<sub>2.5</sub> concentrations and its composition (SM-Fig.  
458 S2 and Fig. 4). A possible explanation for the relatively poor model performance during  
459 September and October may derive from the simulation of precipitation. During the majority  
460 of calendar months, domain averaged precipitation as simulated by WRF-Chem is slightly  
461 positively biased relative to the gridded observational data. However, during September and  
462 October, the model exhibits a negative bias (of 8-10% relative to observations) and substantial

463 underestimation of precipitation in regions of typically high AOD such as the Ohio River valley  
464 and along the east coast (SM Fig. 3S4). We also examined the impact of spatial aggregation  
465 (at 12, 24, 36, 48, 72 and 96 km resolution) on the seasonality of model performance. For AOD  
466 the spatial correlations are largest for most months when data are aggregated to a resolution of  
467 24×24 km and the ratio of spatial standard deviation is also closer to 1 when AOD are spatially  
468 aggregated, possibly indicating that the spatial patterns simulated by WRF-Chem at a fine scale  
469 do not always match those observed by MODIS (Fig. 8). For AE both spatial correlations and  
470 ratio of standard deviations do not vary significantly when data are aggregated to a coarser  
471 resolution (Fig. S5).

472 Empirical quantile-quantile plots of AOD at AERONET stations computed for both  
473 simultaneous MODIS observations and WRF-Chem with AERONET observations indicate that  
474 the positive bias in WRF-Chem simulated values of AOD is evident across much of the  
475 probability distribution (5<sup>th</sup> to 95<sup>th</sup> percentile values) at most AERONET stations. However, it  
476 is worthy of note that WRF-Chem comparisons with AERONET observations occupy much of  
477 the same observational range as simultaneous MODIS and AERONET at those sites (Fig. 9a),  
478 although the EQQ plot does not necessarily compare the same MODIS-AERONET and WRF-  
479 Chem-AERONET data pairs (i.e. the sample used to compare AERONET and MODIS may  
480 differ from that used to compare WRF-Chem and AERONET due to the cloud screening  
481 procedure) same parameter space as simultaneous MODIS and AERONET observations at  
482 those sites (Fig. 6a). Thus, model simulations reproduce the range and probability of low-  
483 uncertainty AERONET measured AOD nearly as well as MODIS.

### 484 3.2 Evaluation of AE

485 Despite the low confidence in AE retrievals from MODIS, the comparison of WRF-Chem with  
486 the remote sensing estimates indicates some degree of agreement. The overall MFB of WRF-  
487 Chem vs MODIS Terra is -0.09 (-0.11 vs. Aqua) and the correlation between WRF-Chem and  
488 MODIS monthly mean AE seems to be independent of season and lies between 0.20 and 0.54  
489 for all months except April, May and November when it is lower, whereas  $r$  is always  $< 0.14$   
490 when comparing with MISR (Fig. 7b). ~~As described above, AE is retrieved with much lower~~  
491 ~~confidence than AOD from the MODIS measurements. Nevertheless, the correlation between~~  
492 ~~WRF-Chem and MODIS monthly mean AE seems to be independent of season and lies between~~  
493 ~~0.28 and 0.52 for all months except April, May and November when it is lower, whereas  $r$  is~~  
494 ~~always  $< 0.25$  when comparing with MISR (Fig. 5b).~~ ~~As for AOD, we computed the~~



495 ~~Spearman's rank correlation coefficient to reduce the possible bias due to few outliers and the~~  
496 ~~smaller sample size in MISR data ( $N$  varies between 2300–5500 depending on the month and is~~  
497 ~~approximately 5 times smaller than the sample size for MODIS).~~ The AE RMSD relative to  
498 MODIS or MISR does not exhibit a clear seasonal pattern and the ratio of spatial standard  
499 deviations in the AE fields is always lower than 1, indicating more spatial variability in the  
500 satellite retrievals than in WRF-Chem. The degree to which these results are symptomatic of  
501 the difficulties in retrieving AE from the remote sensing observations is unclear. When the AE  
502 values are treated as binary samples ( $AE < 1$  indicating coarse mode aerosols dominate, while  
503  $AE > 1$  indicating a dominance of the fine mode) and presented as a contingency table, WRF-  
504 Chem and MODIS simultaneously identify coarse mode dominance (i.e.  $AE < -1$ ) in 18% of  
505 grid cells (Table 5). ~~After cloud screening,~~ WRF-Chem simulates 31% of grid cells as  
506 exhibiting annual mean  $AE > 1$ , while MODIS indicates a larger fraction of grid cells with  $AE$   
507  $> 1$  (80%, Table 5). Both WRF-Chem and MODIS indicate the highest prevalence of fine mode  
508 particles during the warm months with highest agreement for co-identification (above 50%)  
509 during June-September. Co-identification of coarse mode particles is highest in the winter and  
510 spring months (above 20% during February-May and December, Table 5). However, when a  $\chi^2$   
511 test is applied to the frequency of fine and coarse particles identified by WRF-Chem and  
512 MODIS, for all months except January and April, the p-value is  $< 0.01$ , thus we reject the null  
513 hypothesis of equal distribution of fine and coarse mode particles identified by MODIS and  
514 WRF-Chem. The two data sets agree on 29% of the cases when trying to identify fine mode  
515 particles and approximately 53% of the cells are misclassified with MODIS usually identifying  
516 a high prevalence of fine aerosols than WRF-Chem. AE from WRF-Chem is also negatively  
517 biased relative to AERONET observations, with MFB = -0.59 indicating ~~WRF-Chem is~~  
518 ~~simulating~~ a greater prevalence of coarse mode aerosols in the simulations (Table 3, Fig. 2 ~~and~~  
519 ~~Fig. 4b~~).  
520 EQQ plots for all sites show good accord between WRF-Chem and AERONET observations,  
521 as indicated by the relatively consistent fractional error across the entire range of simulated and  
522 observed AE (Fig. ~~6b9b~~). Simulations from previous studies have also shown a systematic  
523 negative bias of simulated AE versus MODIS observations. AE is very difficult to derive from  
524 the MODIS measurements and the uncertainty in AE scales with AOD (AE is very uncertain at  
525 AOD < 0.2). Further, AE is derived from wavelength dependent AOD, thus the uncertainties  
526 on the measurements are certainly correlated. As indicated in Figure 5, for some AERONET  
527 sites there is evidence that positive bias in AOD is associated with high negative bias in AE,

528 but this does not uniformly occur over eastern North America (e.g. for the site at 77.8W 55.3N  
529 WRF-Chem exhibits positive bias in AOD across the entire pdf while the simulated AE is  
530 negative biased, but the site at 84.28W 35.95N exhibits relative good accord for AOD but is  
531 negative biased in AE almost to the same amount as the northern station). -Highest biases have  
532 been noted in regions dominated by dust aerosols or when the model overestimates the dust  
533 loading, since aerosol population mean diameter is inversely proportional to AE (Colarco et al.,  
534 2014; Balzarini et al., 2014). Sources of the biases in our study, include the simplified treatment  
535 of the size distribution, weaknesses in the emission inventory or uncertainties in meteorological  
536 variables affecting particle growth (e.g. temperature and relative humidity). Future work will  
537 focus on examining these sensitivities.

### 538 **3.3 AOD Extremes**

539 Averaged across the entire simulation period, WRF-Chem correctly identifies 70% of locations  
540 with extreme and non-extreme AOD in the MODIS observations (i.e. the Accuracy = 70%,  
541 Table 6). The overall *TS* and *HR* also indicate the geographic location of extreme AOD is  
542 similar between the model and satellite retrievals. The annual mean *HR*, which is defined as the  
543 proportion of grid cells with extreme AOD co-identified by WRF-Chem and MODIS relative  
544 to MODIS extremes, is 41%. The annual mean *TS*, which also takes into account false alarms,  
545 is 27% (Table 6).

546 For each month, the *HR* is significantly higher than the probability of co-identification of

547 extremes by random chance (i.e.  $p_0 = 0.25^2 = 0.0625$ ), since the test statistic  $\frac{HR - p_0^2}{\sqrt{\frac{p_0 \times (1 - p_0)}{N}}}$  is

548 always larger than the critical value at 1% (i.e. 2.575). *HR* and *TS* vary seasonally, with highest  
549 skill during summer months (*HR* up to 70% and *TS* up to 54%), and lowest skill during winter  
550 and early spring (minimum *HR*=29% and minimum *TS*=17%) (Table 6 and Fig. 710). The  
551 relatively low skill in identifying the spatial occurrence of high AOD during winter and spring  
552 may reflect the relatively low AOD and low spatial variability during this season, which means  
553 ‘extreme’ AOD may differ only marginally from the ‘non-extreme’ areas (see SM-Fig. 4S6 for  
554 monthly comparisons of extreme area identification).

555 The spatial distribution of extreme AOD also displays some seasonality with areas of AOD >  
556  $p_{75}$  concentrated over coastal regions and the southern states during summer months and

557 smaller areas during winter and early spring (Fig. 710). Despite the relatively low simultaneous  
558 identification of extremes during cold seasons, the location of extremes moves from the coast  
559 to the Great Lakes region and Midwest states in both the model and MODIS (see SM-Fig. 3S6).  
560 During winter and spring months WRF-Chem simulates more areas with extreme AOD over  
561 coastal regions, whereas MODIS shows more spatial variability and predicts higher AOD in  
562 the Great Lakes area and in the states west of Illinois. Conversely, WRF-Chem underestimates  
563 areas of extreme AOD relative to MODIS in the northern regions of the domain, possibly due  
564 to the underestimation of sulfate-aerosol. These two observations may be explained by noting  
565 that the mass fraction of aerosol nitrate in the accumulation and coarse mode predicted by WRF-  
566 Chem during most of fall and winter months dominates the sulfate fraction over virtually all of  
567 the domain (see SM-Fig. 5S3), whereas point observations indicate aerosol nitrate mass fraction  
568 is dominant only over the Central Great Plains (Hand et al., 2012). This may be related to an  
569 overestimation of aerosol-nitrate in winter and fall (Fig. 4) as a result of the impact of air  
570 temperature and relative humidity on aerosol ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) stability  
571 (Aksoyoglu et al., 2011), as well as an underestimation of aerosol sulfate, mostly during winter  
572 (Fig. 4), likely due to underestimation of the rate of SO<sub>2</sub> gaseous and aqueous (missing)  
573 oxidation, or underestimation of the nighttime boundary layer height which impacts sulfate  
574 formation near the surface (Tuccella et al., 2012). Localized negative biases in the model over  
575 the coast may be associated with the higher uncertainties in MODIS retrievals at coastlines  
576 (Anderson et al., 2013).

577 Extreme AOD exhibits relatively large spatial scales of coherence in both the WRF-Chem  
578 simulations and MODIS L2 observations (Fig. 811). Consistent with prior analyses of L3  
579 MODIS data (Sullivan et al., 2015), the largest scales of coherence are found in fall. In all  
580 seasons except ~~for~~ winter the probability of co-occurrence of extremes at the domain center and  
581 any other grid cell in the simulation domain is > 0.5 up to a distance of 300 km. The simulated  
582 mean seasonal scales of extreme coherence are comparable to L2 MODIS AOD (Fig. 811),  
583 despite the larger variability in the MODIS data due to the limited retrievals with simultaneous  
584 extreme AOD at the reference location and each other grid cell. Thus, consistent with prior  
585 research this analysis indicates the occurrence of extreme AOD occurs on large spatial scales  
586 and therefore may significantly impact regional climate.

#### 587 4. Discussion and concluding remarks

588 Aerosol direct and indirect radiative forcing on the climate system are highly uncertain. A  
589 systematic assessment of the ability of global and regional models to reproduce aerosol optical  
590 properties in the contemporary climate is essential to increasing confidence in future  
591 projections. We contribute to this growing literature by presenting high resolution (12 km)  
592 simulations from WRF-Chem conducted over eastern North America during a year  
593 representative of average meteorological and aerosol conditions. We evaluate the simulations  
594 relative to, and compare the results with daily MODIS and MISR observations, highas well as  
595 with high frequency AERONET measurements of AOD and AE and near-surface PM<sub>2.5</sub> mass  
596 and composition measurements. -Results from this study show:

597 • After grid cells with any cloud presence are removed and considering only overpass  
598 hours, the domain averaged simulated mean AOD is 0.22. Simulated AOD is positively  
599 biased relative to observations, with MFB=0.14 when comparing with MODIS-Aqua  
600 and 0.39-50 relative to AERONET (Fig. 2-1 and 42). A clear north-south gradient in  
601 AOD bias vs. MODIS is also observed. This positive bias is consistent across the entire  
602 probability distribution at most AERONET stations (Fig. 69), and is also evident in  
603 comparison of modeled near-surface PM<sub>2.5</sub> mass relative to daily mean observations  
604 distributed at 1230 stations across the domain (Fig. 3).

605 —Model skill in reproducing the spatial fields of monthly mean AOD as measured by the  
606 spatial correlation and ratio of the spatial variability with MODIS is maximized during  
607 the summer months ( $r \sim 0.5-0.7$ , and ratio of  $\sigma \sim 0.8$  to 1.2). During this season observed  
608 AOD is higher and more observations are available (Fig. 57). Lowest model-  
609 observations agreement is found in September and October and is at least partially  
610 attributable to a dry bias in precipitation from WRF-Chem (~~SM~~Fig. 3S4).

611 •  
612 • In part because of the difficulties in retrieving robust estimates of AE, few previous  
613 studies have evaluated model simulated AE values. We show that AE as simulated by  
614 WRF-Chem over eastern North America is negatively biased relative to MODIS  
615 (MFB=-0.10) and AERONET (MFB=-0.6459). This bias indicates WRF-Chem  
616 simulates a larger fraction of coarse mode particles than is evident in the remote sensing  
617 observations (see Table 3 and 5). While some of the bias relative to MODIS may reflect  
618 high observational uncertainty, the- large bias relative to AERONET is consistent with

619 prior research (Table 1), and is symptomatic of substantial systematic error in the  
620 aerosol size distribution.

- 621 • ~~the bias relative to AERONET is consistent with prior research (Table 1) and is~~  
622 ~~symptomatic of relatively poor model performance for this metric.~~ Causes of the model  
623 error may include insufficiently detailed treatment of size distribution or inaccurate  
624 representation of aerosol composition and mixing state which affect the simulated size  
625 distribution and thus AE (Li et al., 2015;Curci et al., 2014)). Further, weaknesses in the  
626 emission inventory (e.g. size resolution of primary emissions), as suggested by the  
627 systematic bias in simulated PM<sub>2.5</sub> concentrations relative to ground-based observations,  
628 and/or biases in the representation of meteorological conditions critical to determining  
629 aerosol nitrate concentrations may also affect model performance. Currently it is not  
630 possible to fully attribute the relative importance of these error sources.
- 631 • The majority of prior model evaluation exercises have tended to focus on mean AOD  
632 values~~the central tendency of the AOD probability distribution~~. However, the climate  
633 and health impacts of aerosols are maximized-greater under high aerosol loadings. We  
634 demonstrate that WRF-Chem exhibits some skill in capturing the spatial patterns of  
635 extreme aerosol loading, especially during summer months. During this season, the Hit  
636 Rate for AOD > p75 reaches 70%. Largest biases are found during winter months and  
637 near the coastlines where AOD from MODIS also exhibits largest retrieval uncertainty.

638 Despite the encouraging performance of WRF-Chem both in terms of simulation efficiency and  
639 in reproducing AOD (mean and extreme values) and the partial skill in reproducing AE over  
640 eastern North America, further investigations are needed to properly quantify the value added  
641 by running high-resolution simulations by direct comparison with analogous runs at coarser  
642 resolution. Future simulations will also involve assessment of accuracy of different aerosol  
643 schemes (i.e. sectional vs. modal approaches) to represent the size distribution. The inclusion  
644 of a direct description of new particle formation processes within WRF-Chem may also  
645 improve estimates of ultrafine particle concentrations and thus of simulated aerosol optical  
646 properties.

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664 Operational Model Archive and Distribution System:  
665 [ftp://nomads.ncdc.noaa.gov/NAM/analysis\\_only/](ftp://nomads.ncdc.noaa.gov/NAM/analysis_only/).

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937 **Tables**

938 Table 1. Synthesis of some recent prior studies comparing simulated aerosol optical properties from global or regional model simulations with  
 939 remote sensing products. The first column summarizes the model used, the second the domain and the time period simulated and the third shows  
 940 the model resolution and summarizes the description of the aerosol size distribution. Columns 4 to 9 summarize the evaluation statistics in terms  
 941 of the overall correlation coefficient (R), bias (as described using the mean fractional error (MFE)) and root mean square error (RMSE) or mean  
 942 absolute error (MAE) relative to satellite or AERONET observations as reported in the references shown in column 10.

Model	Domain, Time	Resolution, Aerosol Approach	R AOD vs. Satellite	bias AOD vs. Satellite	R AOD vs. AERONET	bias AOD vs. AERONET	R AE vs. AERONE T	RMSE, MAE AE vs. AERONET	Ref
TOMAS in GISS	Global, 2000-2003	2°x2.5°, Sectional: 15 bins from 3 nm-10 µm	0.63 (average of monthly from 2004-2006, MODIS), 0.73 average of monthly from 2004-2006, MISR)	MFE: -29% (average of monthly from 2004- 2006, MODIS), -34% (average of monthly from 2004-2006, MISR)	-0.7-0.99 (monthly, 28)	-77-72% (monthly, 28)	N/A	N/A	(Lee et al., 2015)
GOCART with GEOS DAS	CONUS, 2006-2009	1°x1.25°, not specified	N/A	N/A	0.5 (2 hr. average at MISR overpass, 32)	N/A	0.43 (2 hr. average at MISR overpass, 32)	N/A	(Li et al., 2015)

GEMS/MACC aerosol module in CNRM-GAME and CERFACS	Global, 1993-2012	1.4°, Sectional, 12 bins	N/A	Mean relative bias -41-(-52)% (monthly, MISR)	<0-0.9 (monthly, 166)	N/A	N/A	N/A	(Michou et al., 2015)
CNRM-RCSM5	Mediterr., Summer 2012	50 km, Sectional, 12 bins	0.64 (seasonal, MODIS), 0.77 (seasonal, MISR), 0.65 (seasonal SEVIRI)	N/A	0.7 (daily, 30)	RMSE~1.75 (daily, 30)	N/A	N/A	(Nabat et al., 2015)
CHIMERE chemical transport model with WRF meteorology	Europe, Mediter. -10°-40°E, 30°-55°N, Summer 2012	50 km, Sectional: 5 bins 40 nm-40 µm	0.35-0.75 (hourly, MODIS)	RMSE: 0.04-0.1 (hourly, MODIS)	0.44-0.73 (hourly, 65)	RMSE: 0.8-0.11 (hourly, 65)	N/A	N/A	(Rea et al., 2015)
MOCAGE	Global, 2007	2°x2°, Sectional: 6 bins per species	0.322 (daily MODIS)	normalized mean bias 0.098 (daily MODIS)	N/A	N/A	N/A	N/A	(Sič et al., 2015)
WRF-Chem	0°-10°E, 50°-55°N; -10°-15°E, 46°-57°N; -15°-30°E,	nested 2 - 30 km, modal	N/A	0.38±0.12 and 0.42±0.10 domain average AOD from MODIS and model respectively	N/A	N/A	N/A	N/A	(Tuccella et al., 2015)

	36°-62°N, 14-30 May 2008								
GOCART in GEOS	Global, 2000-2006	1°x1.25°, dust (8 bins 0.1-10 µm), sea salt (5 bins 0.03-10 µm), carbonaceous/sulfate (modal)	0.747, 0.72 E.US (monthly, MODIS)	N/A	0.707 (monthly, 53)	rms: 0.133 (monthly, 53)	0.81 (monthly, 53)	rms: 0.285 (monthly, 53)	(Colarco et al., 2010)
EMAC	Global, Year 2006	1.1°x1.1°, modal	N/A	Negative (North America)	0.27-0.60 (North America)	RMSE=0.1-0.2	>0.5 (Europe)	N/A	(de Meij et al., 2012)
GEOS-Chem	N. America, 06 July - 14 Aug 2004	2°x2.5°, modal	N/A	N/A	0.87 (study period mean, 24)	N/A	N/A	N/A	(Drury et al., 2010)
WRF-Chem	Europe and N. Africa, Year 2010	23 km, Modal and sectional (4 bins: 0.04-10 µm)	N/A	N/A	0.52 (mod) 0.51 (sect)	NMB=- 0.06(mod) NMB=-0.21 (sect) (daily, 12 stations)	N/A	N/A	(Balzarini et al., 2014)
RegCM4	South Asia,	50 km,	N/A	N/A	0.47-0.71	N/A	N/A	N/A	(Nair et al., 2012)

	2005-2007	Sectional (4 bins: 0.01-20 $\mu\text{m}$ )			Monthly, 6				
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944 Table 2. Physical and chemical schemes adopted in the WRF-Chem simulations presented  
 945 herein.

<b>Simulation settings</b>	<b>Values</b>
Domain size	300 × 300 cells
Horizontal resolution	12 km
Vertical resolution	32 levels up to 50 hPa
Timestep for physics	72 s
Timestep for chemistry	5 s
<b>Physics option</b>	<b>Adopted scheme</b>
Microphysics	WRF Single-Moment 5-class
Longwave Radiation	Rapid Radiative Transfer Model (RRTM)
Shortwave Radiation	Goddard
Surface layer	Monin Obhukov similarity
Land Surface	Noah Land Surface Model
Planetary boundary layer	Mellor-Yamada-Janjich
Cumulus parameterizations	Grell 3
<b>Chemistry option</b>	<b>Adopted scheme</b>
Photolysis	Fast J
Gas-phase chemistry	RADM2
Aerosols	MADE/SORGAM
Anthropogenic emissions	NEI (2005)
Biogenic emissions	Guenther, from USGS land use classification

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948 Table 3. Spatial Mean Fractional Bias (MFB) over the entire year. Recall

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$$MFB = \frac{1}{N} \sum_1^N \frac{C_m - C_0}{\frac{C_m + C_0}{2}},$$
 where  $C_m$  is the monthly mean AOD or AE simulated by WRF-Chem

950 at a specific location and  $C_0$  refers to the same quantity from MODIS/MISR/AERONET. Thus  
 951 a negative value indicates the model is negatively biased relative to the observations. The total  
 952 sample size  $N$  is 358,048 and 359,633 when comparing WRF-Chem with MODIS onboard  
 953 Terra and Aqua respectively. The comparison between MODIS and AERONET is affected by  
 954 a few outlier sites, so in parenthesis is the MFB when the three most biased sites are removed.  
 955 The mean domain averaged AOD and AE from WRF-Chem (after applying the cloud screen  
 956 and selecting only MODIS overpass hours) are 0.222 and 1.089, respectively.

Comparisons	MFB AOD	MFB AE
WRF-MODIS (Terra)	0. <del>20</del> <u>15</u>	-0.09
WRF-MODIS (Aqua)	0.14	-0.11
WRF-MISR (Terra)	0.16	-0.11
WRF-AERONET	0.50	-0.59
MODIS (Terra)-AERONET	-1.23 <u>(-0.91)</u>	-0.13 <u>(-0.11)</u>

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960 Table 4. Contingency table used to compare the fraction of grid cells classified as fine ( $AE >$   
961  $1$ ) and coarse ( $AE < 1$ ) by MODIS and WRF-Chem.

		MODIS	
		Fine	Coarse
WRF-Chem	Fine	WF/MF	WF/MC
	Coarse	WC/MF	WC/MC

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964 Table 5. Contingency table showing the fraction of grid cells simultaneously identified as fine  
 965 (WF/MF) or coarse (WC/MC) mode particles by WRF-Chem and MODIS, as well as cells with  
 966 different classification (columns 4 and 5). Recall a threshold of AE = 1 is used to define fine  
 967 (AE>1) and coarse mode (AE<1) dominance. Months in bold indicate the distribution of  
 968 observed and simulated fine/coarse mode fractions are significantly different (p-value < 0.01)  
 969 according to the  $\chi^2$  test described in Sect. 2.3.

Month	WF/MF	WC/MC	WF/MC	WC/MF
1	0.025	0.176	0.007	0.792
<b>2</b>	0.030	0.241	0.004	0.725
<b>3</b>	0.005	0.297	0.001	0.697
4	0.013	0.230	0.004	0.753
<b>5</b>	0.141	0.204	0.028	0.628
<b>6</b>	0.541	0.122	0.055	0.283
<b>7</b>	0.623	0.094	0.030	0.252
<b>8</b>	0.520	0.061	0.017	0.402
<b>9</b>	0.561	0.118	0.032	0.288
<b>10</b>	0.486	0.145	0.088	0.281
<b>11</b>	0.321	0.179	0.058	0.442
<b>12</b>	0.164	0.248	0.015	0.573
mean	0.286	0.176	0.028	0.510

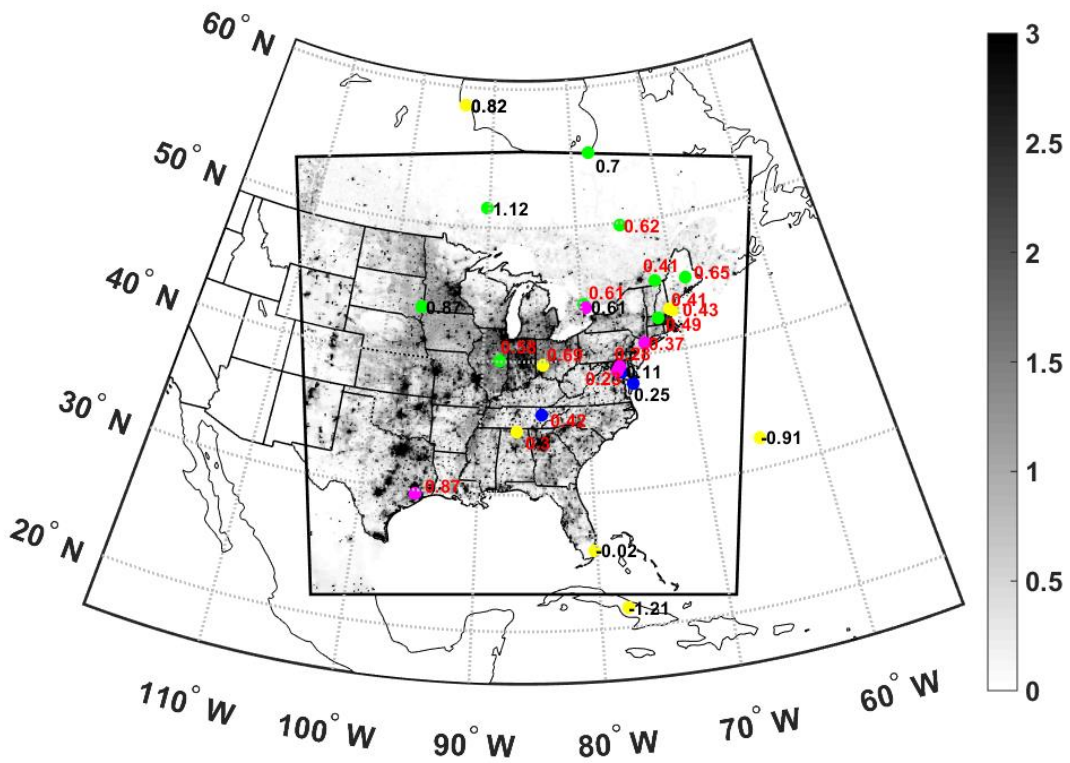
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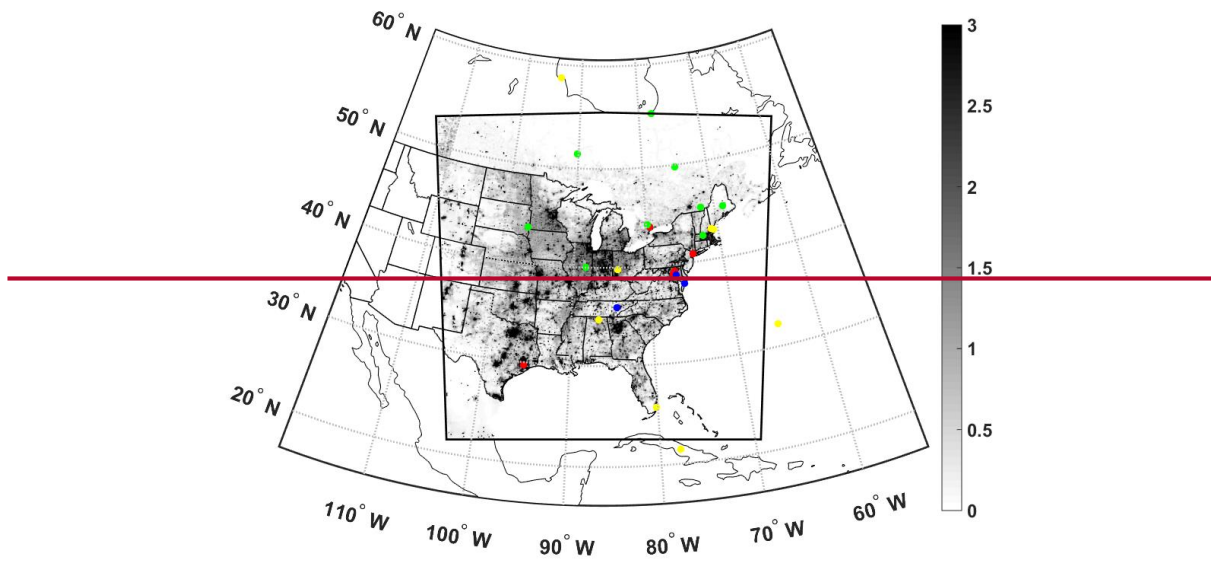
972 Table 6. Synthesis of the skill with which WRF-Chem identifies the spatial distribution and  
 973 location of extreme AOD values. Cells with extreme AOD are identified as exceeding the 75<sup>th</sup>  
 974 percentile computed on a monthly basis across space from monthly averaged daily means. The  
 975 second column reports the Accuracy, which indicates the spatial coherence of extremes and  
 976 non-extremes between WRF-Chem and MODIS. The Accuracy metric is computed as the sum  
 977 of cells co-identified as exceeding the 75<sup>th</sup> percentile and not exceeding that threshold by WRF-  
 978 Chem and MODIS (Terra) relative to the total number of cells with valid data (fifth column,  
 979 *N*). The third column reports the Threat Score (*TS*) which indicates the probability of correctly  
 980 forecasting extreme AOD conditional upon either forecasting or observing extremes. The fourth  
 981 column shows the Hit Rate (*HR*) (i.e. probability of correct forecast), which is the proportion  
 982 of cells correctly identified as extremes by WRF-Chem relative to MODIS extremes. Values in  
 983 parenthesis refer to the same metrics when comparing WRF-Chem and MODIS onboard the  
 984 Aqua satellite.

Month	Accuracy	TS	HR	N
Jan	0.664 (0.651)	0.196 (0.178)	0.328 (0.302)	14899 (15051)
Feb	0.654 (0.583)	0.182 (0.091)	0.308 (0.167)	13721 (13643)
Mar	0.656 (0.647)	0.185 (0.173)	0.312 (0.295)	16641 (16541)
Apr	0.645 (0.680)	0.169 (0.219)	0.289 (0.360)	25265 (24974)
May	0.664 (0.699)	0.196 (0.248)	0.327 (0.397)	32770 (31239)
Jun	0.796 (0.800)	0.420 (0.428)	0.592 (0.600)	36148 (34654)
Jul	0.850 (0.823)	0.538 (0.477)	0.700 (0.646)	36055 (35480)
Aug	0.834 (0.832)	0.500 (0.496)	0.667 (0.663)	39173 (39130)
Sep	0.667 (0.665)	0.200 (0.197)	0.333 (0.329)	35883 (35081)
Oct	0.656 (0.665)	0.185 (0.198)	0.311 (0.330)	29662 (26456)
Nov	0.703 (0.696)	0.254 (0.245)	0.405 (0.393)	21630 (19538)
Dec	0.648 (0.653)	0.173 (0.181)	0.295 (0.306)	14914 (14527)
Mean	0.703 (0.699)	0.266 (0.261)	0.406 (0.399)	26397 (25526)

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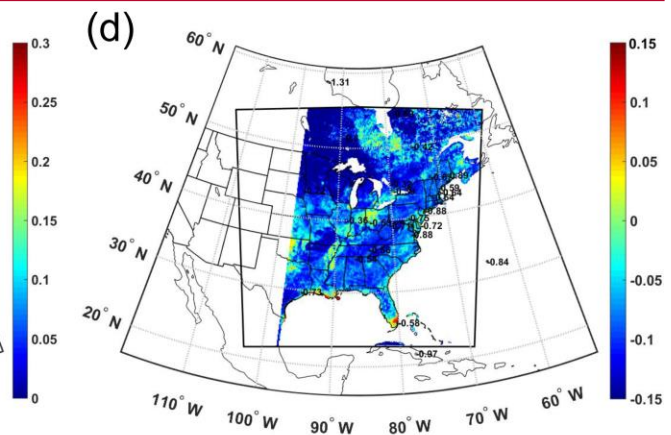
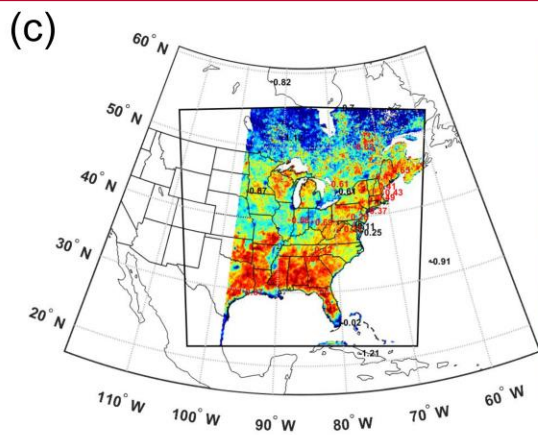
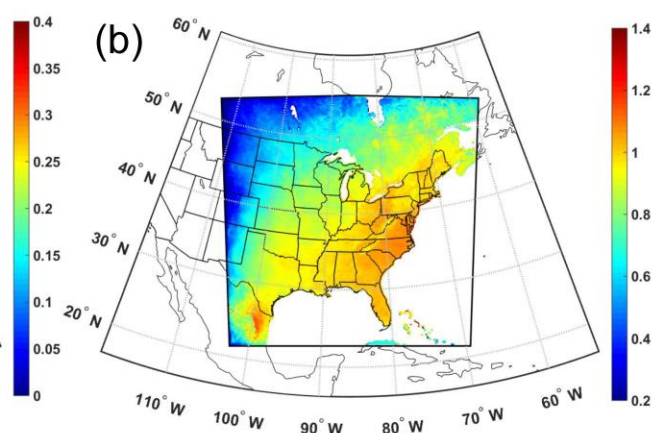
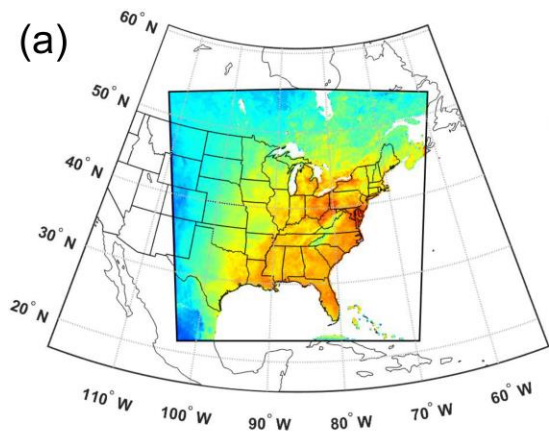


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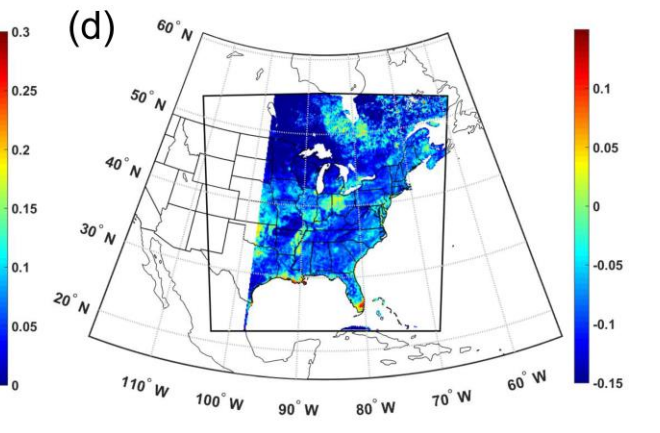
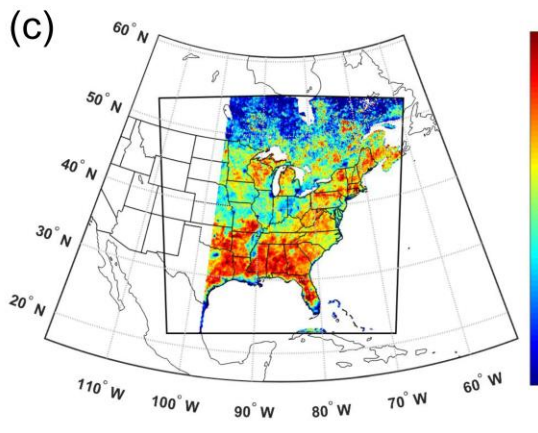
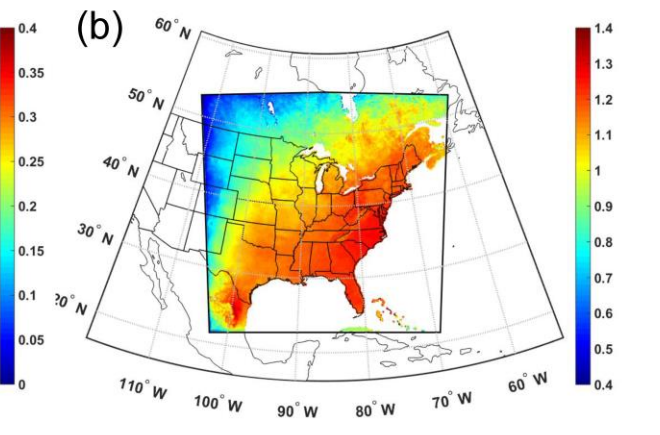
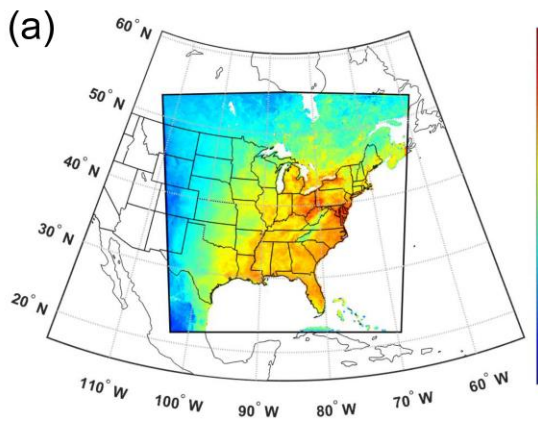
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990 Figure 1. Location of the AERONET stations (colored dots) used in this study and mean daily  
 991 PM<sub>2.5</sub> emissions [ $\text{mg m}^{-2} \text{day}^{-1}$ ] during 2008 (gray shading). Colors indicate the AERONET site  
 992 classification based on (Kinne et al., 2013): polluted (red)magenta), land (green), coastal (blue),  
 993 un-classified (yellow). The numbers in panels c-d are MFB for WRF-Chem vs. AERONET

994 stations (red numbers indicate WRF-Chem vs. AERONET has a larger MFB than WRF-Chem  
995 vs. MODIS whereas black numbers indicate a lower bias in the comparison with AERONET).



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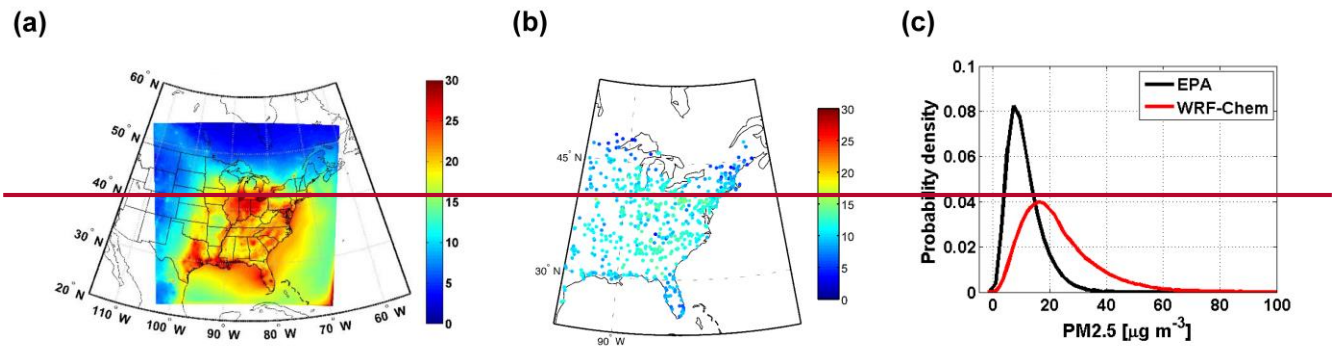
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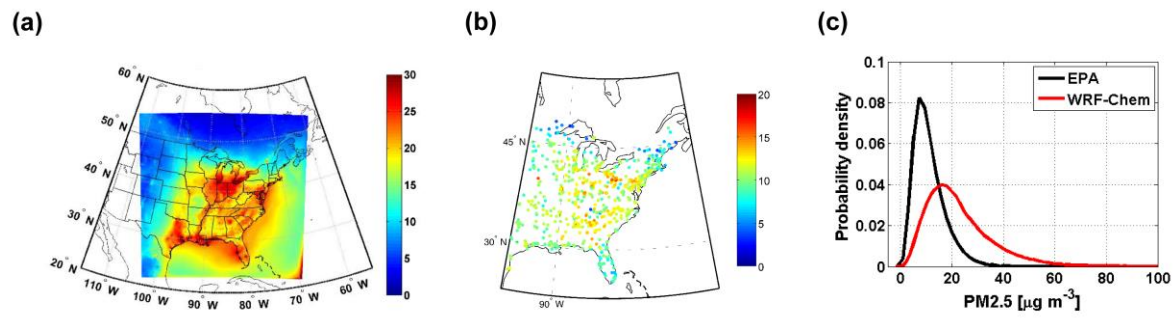
999 Figure 2. Mean (a) AOD and (b) AE simulated by WRF-Chem during the year 2008. The mean  
1000 values are computed after applying a cloud mask and are for the Terra overpass time. Mean  
1001 Fractional Bias (MFB) for (c) AOD and (d) AE for WRF-Chem relative to MODIS (Terra)  
1002 (similar results are found for Aqua). ~~The numbers in panels c-d are MFB for WRF-Chem vs~~  
1003 ~~AERONET stations (red numbers indicate WRF-Chem vs. AERONET has a larger MFB than~~  
1004 ~~WRF-Chem vs. MODIS whereas black numbers indicate a lower bias in the comparison with~~  
1005 ~~AERONET).~~ The inner black frame indicates the entire model domain, while as stated in the  
1006 text model evaluation is only undertaken for longitudes east of 98°W.

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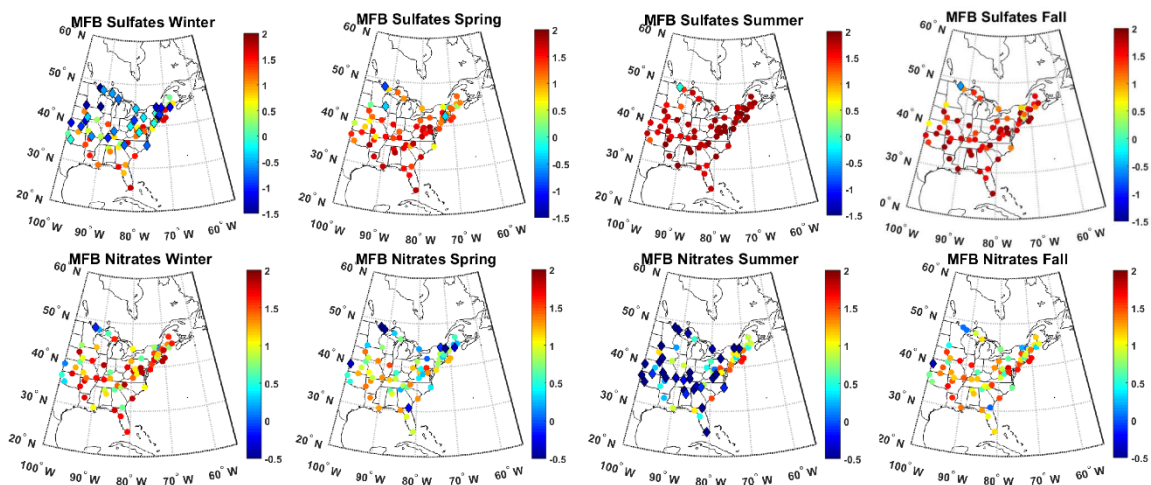
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1011 Figure 3. Mean daily  $\text{PM}_{2.5}$  concentrations [ $\mu\text{g m}^{-3}$ ] during 2008 as (a) simulated by WRF-  
 1012 Chem in the layer closest to the surface and (b) observed at 1230 EPA sites (note the different  
 1013 colorbar). Panel (c) shows the probability distribution of daily mean  $\text{PM}_{2.5}$  concentrations  
 1014 observed (black line) and simulated (red line) at the measurement stations.

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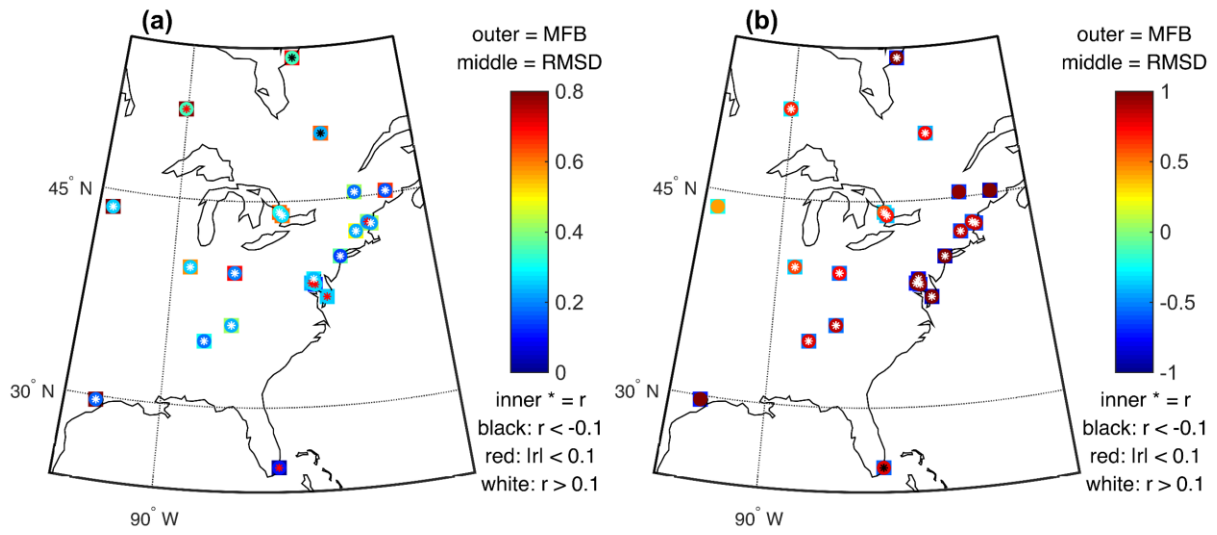


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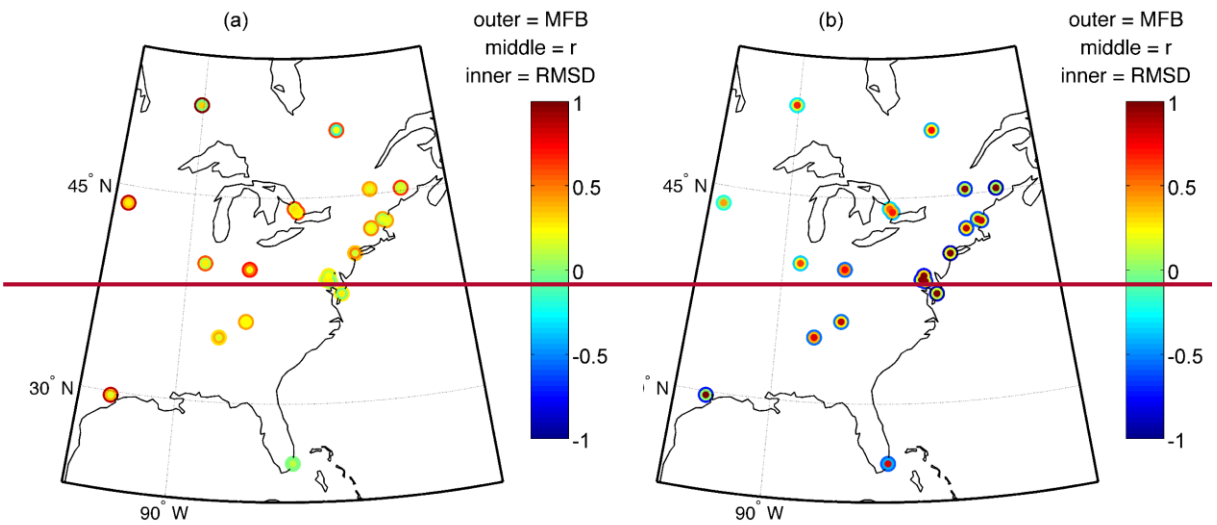
Figure 4. Mean fraction bias (MFB) of near-surface daily mean sulfate (first line) and nitrate (second line) concentrations in fine aerosol particles as simulated by WRF-Chem and observed in PM<sub>2.5</sub> measurements at 123 IMPROVE sites in different seasons. A positive MFB indicates WRF-Chem overestimates the observations. Note the scales differ between the frames shown for sulfate and nitrate MFB and dots/diamonds refer to positive/negative MFB.

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1040 Figure 45. Summary statistics of comparisons of WRF-Chem simulations of (a) AOD and (b)  
 1041 AE relative to simultaneous observations at the AERONET sites. For a location to be included  
 1042 in this analysis at least 20 coincident observations and simulations must be available. The  
 1043 symbols at each AERONET station report MFB (outer ~~circle~~ square), root mean squared  
 1044 difference (RMSD, ~~correlation coefficient (r)~~ (middle ~~inner~~ circle)) and correlation coefficient  
 1045 (~~r~~, ~~root mean squared difference (RMSD)~~ (~~r~~ inner \*). Note the different colorbar for MFB and  
 1046 RMSD between the two frames. The correlation coefficient is displayed with different colors

1047 according with 3 classes:  $r < -0.1$  (black),  $|r| < 0.1$  (red) and  $r > 0.1$  (white). ~~Note: For a location~~  
1048 ~~to be included in this analysis at least 20 coincident observations and simulations must be~~  
1049 ~~available.~~  
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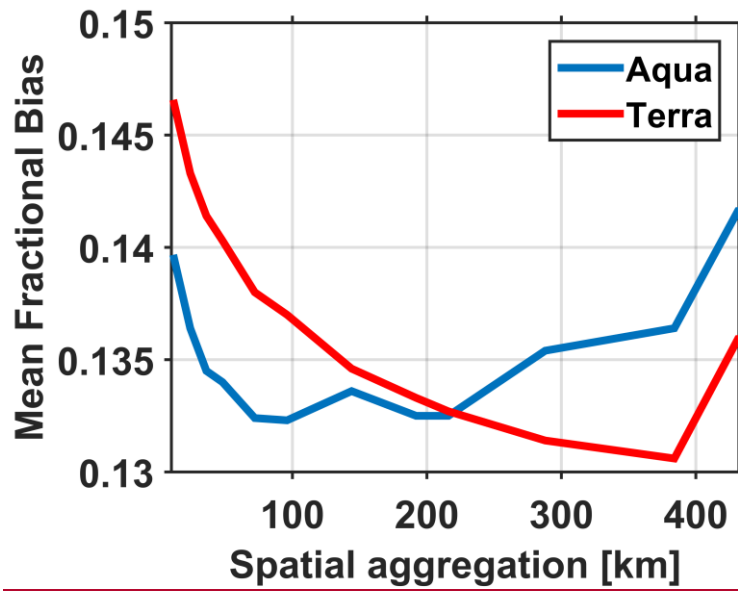
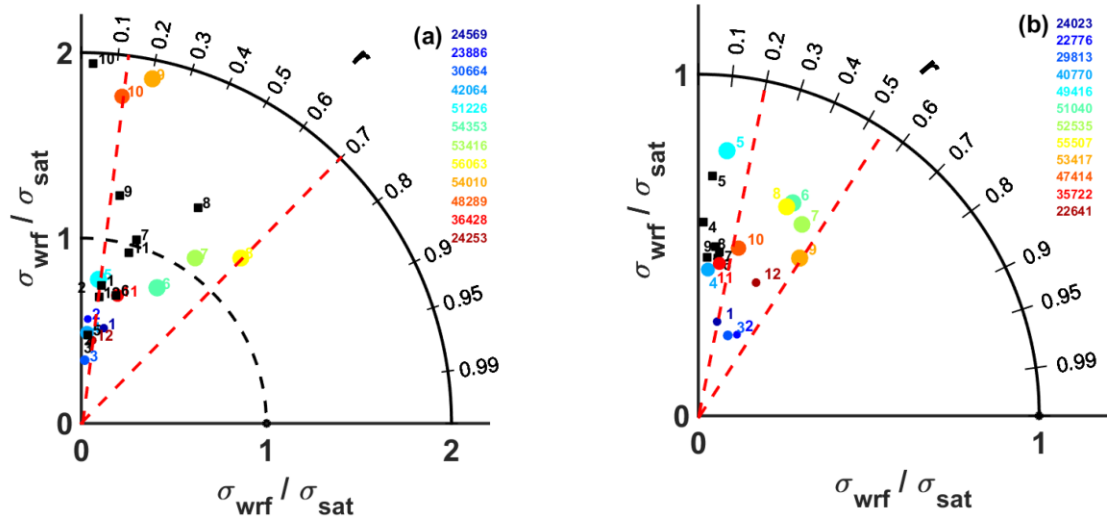


Figure 6. Mean Fractional Bias (MFB) on AOD from WRF-Chem as a function of spatial aggregation relative to observations from Terra (red line) and Aqua (blue line).

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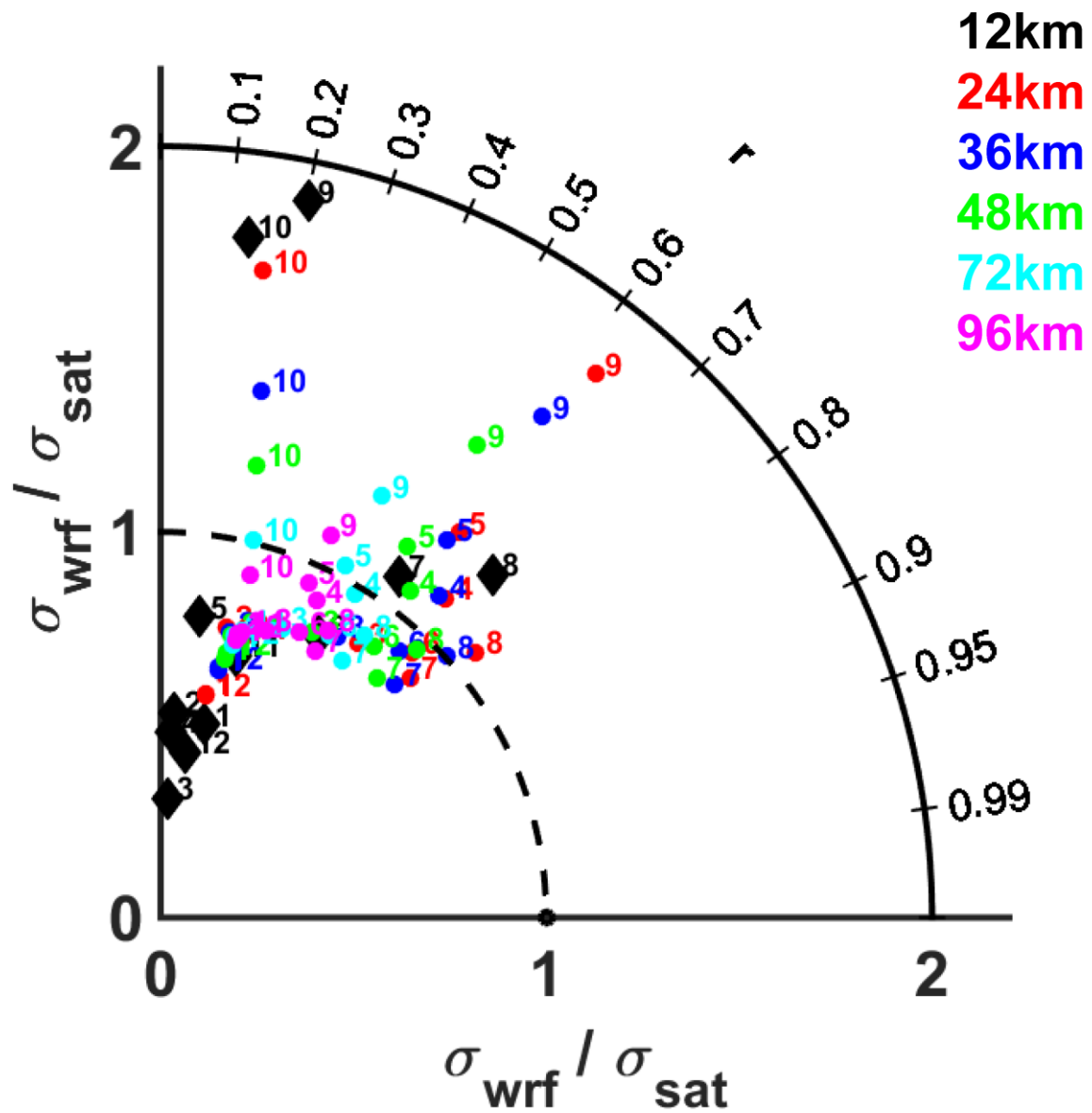


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1072 Figure 7. Taylor diagrams comparing the spatial fields of monthly mean (a) AOD and (b) AE  
 1073 from WRF-Chem vs MODIS-Terra (color dots) or MISR (black squares). The numbers shown  
 1074 in the frames denote the month (e.g. 1 = Jan). The numbers shown in the legend indicate  
 1075 the sample size of WRF-Chem data used for computing the monthly mean and the scale of the dots  
 1076 is proportional to the sample size. Note the change in scale for the ratio of standard deviations  
 1077 between the frames. The red dashed lines define the sector with Pearson correlation coefficient  
 1078 between (a) 0.12-0.70 for AOD and (b) 0.20-0.54 for AE which comprise at least two thirds of  
 1079 the months. Each dot/square summarizes the statistics (i.e. RMSD, ratio of standard deviations  
 1080 and correlation coefficient) of the WRF-Chem vs MODIS/MISR comparison for a single  
 1081 month.

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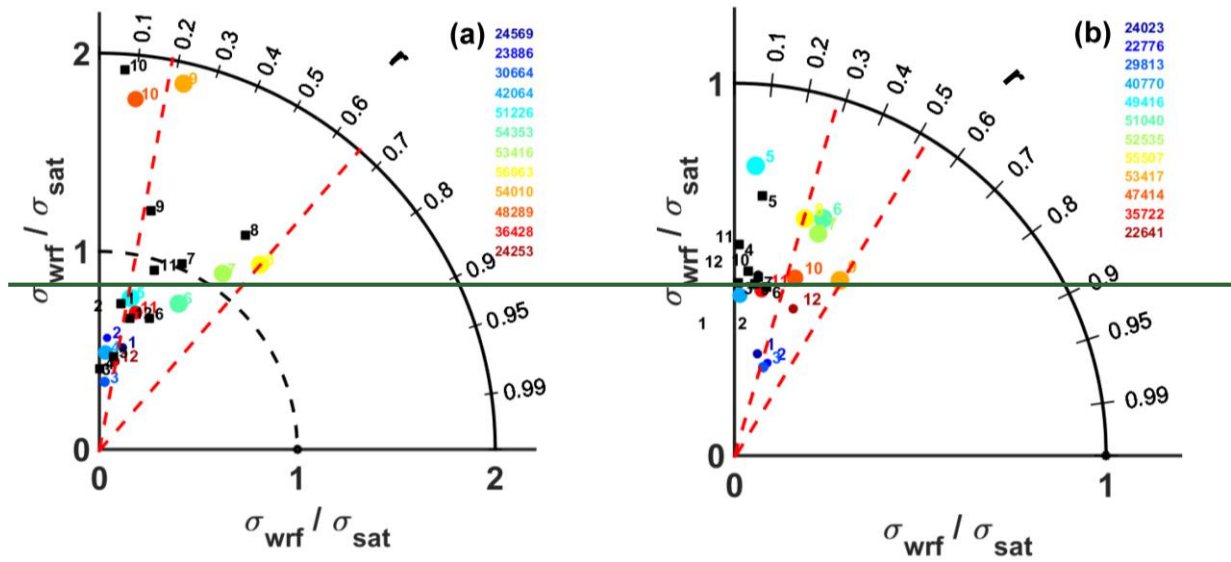
1084 Figure 8. Taylor diagrams for AOD when MODIS observations and WRF-Chem simulations

1085 at 12 km are spatially aggregated to 24, 36, 48, 72 and 96 km. Numbers next to the colored

1086 dots/diamonds indicate different months (e.g. 1 = Jan).

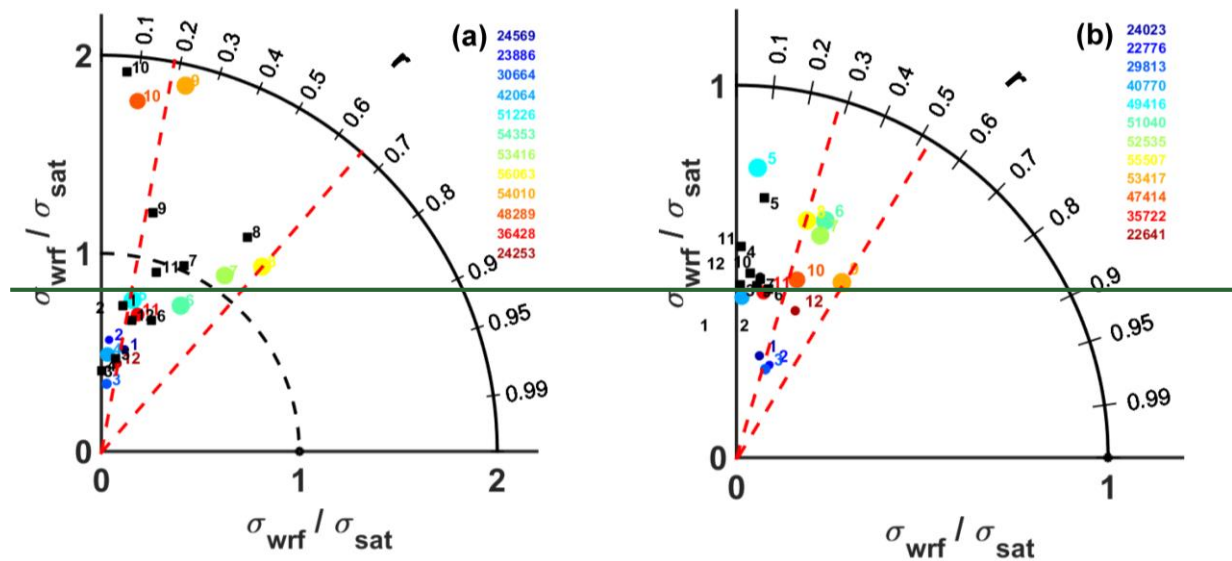
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~~Figure 5. Taylor diagrams comparing the spatial fields of monthly mean (a) AOD and (b) AE from WRF-Chem vs MODIS-Terra (color dots) or MISR (black squares). The numbers shown in the frames denote the month (e.g. 1 = Jan). The numbers shown in the legend indicate the sample size of WRF-Chem data used for computing the monthly mean and the scale of the dots is proportional to the sample size. Note the change in scale for the ratio of standard deviations between the frames. The red dashed lines define the sector with Spearman's rank correlation coefficient between (a) 0.18-0.66 for AOD and (b) 0.28-0.52 for AE which comprise at least two thirds of the months. Each dot/square summarizes the statistics (i.e. RMSD, ratio of standard deviations and correlation coefficient) of the WRF-Chem vs MODIS/MISR comparison for a single month.~~

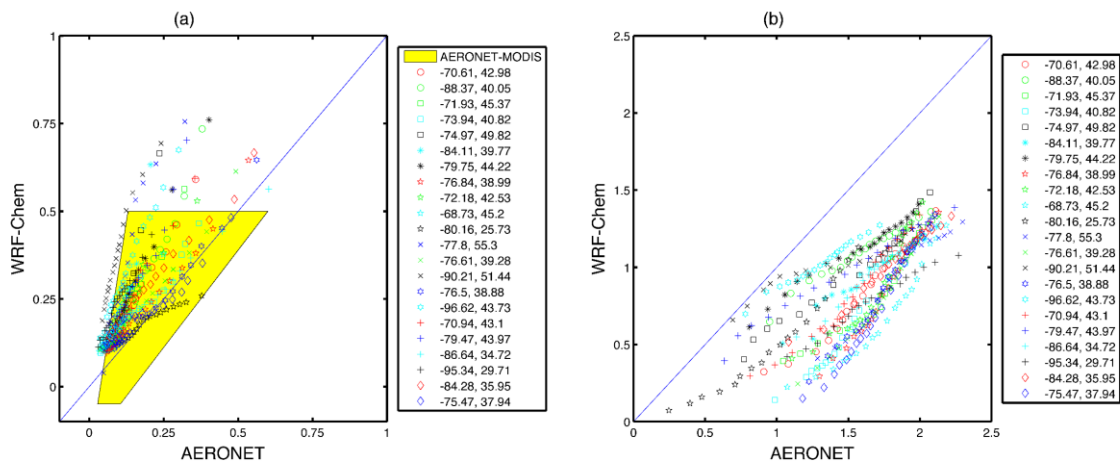


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1106 Figure 5. Taylor diagrams comparing the spatial fields of monthly mean (a) AOD and (b) AE  
 1107 from WRF-Chem vs MODIS-Terra (color dots) or MISR (black squares). The numbers shown  
 1108 in the frames denote the month (e.g. 1 = Jan). The numbers shown in the legend indicate the  
 1109 sample size of WRF-Chem data used for computing the monthly mean and the scale of the dots  
 1110 is proportional to the sample size. Note the change in scale for the ratio of standard deviations  
 1111 between the frames. The red dashed lines define the sector with Spearman's rank correlation  
 1112 coefficient between (a) 0.18-0.66 for AOD and (b) 0.28-0.52 for AE which comprise at least  
 1113 two thirds of the months. Each dot/square summarizes the statistics (i.e. RMSD, ratio of  
 1114 standard deviations and correlation coefficient) of the WRF-Chem vs MODIS/MISR  
 1115 comparison for a single month.

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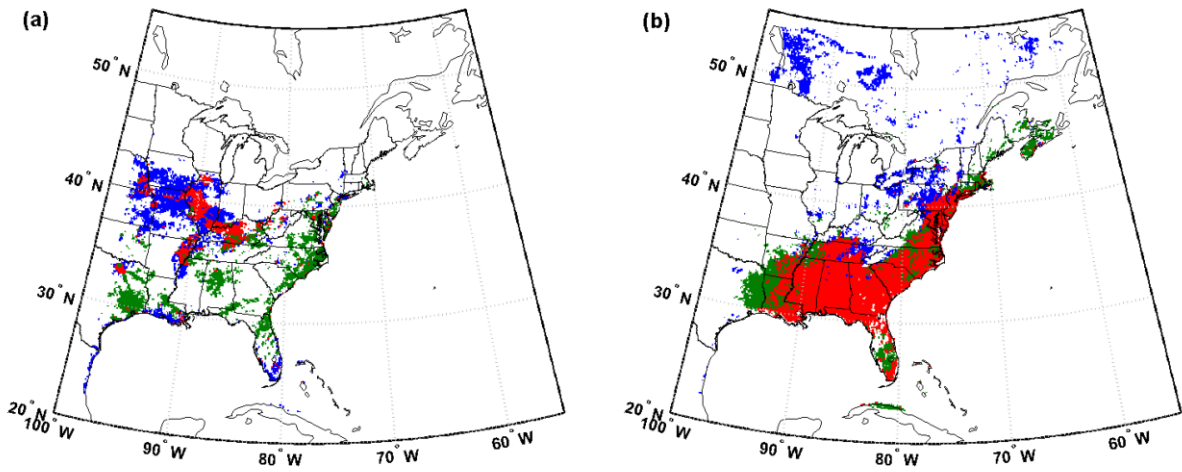


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1120 Figure 69. Empirical quantile-quantile (EQQ) plots of (a) AOD and (b) AE of the 5<sup>th</sup> to 95<sup>th</sup>  
 1121 percentile as simulated by WRF-Chem relative to 22 AERONET stations (their longitude (E)  
 1122 and latitude (N) is reported in the legend). The yellow shading shows the data envelope for  
 1123 EQQ plots of AERONET and MODIS. For inclusion in the analysis a location must have at  
 1124 least 20 coincident observations and simulations in the grid cell containing the AERONET  
 1125 station. Note MODIS uncertainty in the retrievals ( $\pm 0.05$ ) in near zero AOD conditions may  
 1126 lead to negative AOD values which are considered valid. The parameter space for MODIS-  
 1127 AERONET comparisons of AE are not shown because AE from the MODIS L2 data product  
 1128 are strongly bimodal (see examples given in Fig. 1 in the Supplementary Materials).

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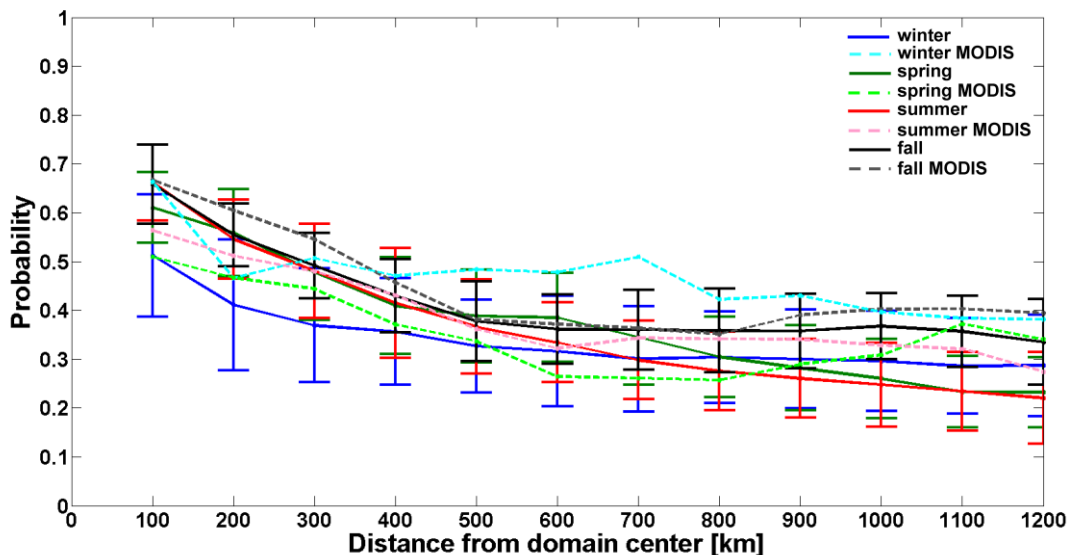


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1132 Figure 710. Spatial coherence in extreme AOD (i.e. the occurrence of AOD above the 75<sup>th</sup>  
 1133 percentile value) from WRF-Chem and MODIS Terra during (a) March (03/2008) and (b) July  
 1134 (07/2008). Green areas denote grid cells defined as experiencing extreme AOD only in the  
 1135 WRF-Chem simulations, blue pixels indicate extreme values as diagnosed using MODIS, while  
 1136 red pixels indicate areas where the occurrence of extreme values is indicated by both the WRF-  
 1137 Chem simulations and the MODIS observations.

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1142 Figure 811. Mean and error bars ( $\pm 1$  standard deviation from the mean) of the probability of  
 1143 co-occurrence of extreme AOD (i.e. AOD > 75<sup>th</sup> percentile) at the reference location (i.e.  
 1144 domain center) and any other simulated grid cell during different seasons. The distance between  
 1145 the reference point and each grid cell centroid was binned using 100 km distance classes. Solid  
 1146 lines indicate mean seasonal spatial scales simulated by WRF-Chem, whereas dashed lines are  
 1147 observed means from L2 MODIS data (only the mean of the coherence ratios is plotted for the  
 1148 MODIS data).

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