

1 **Evaluating the skill of high resolution WRF-Chem**  
2 **simulations in describing drivers of aerosol direct climate**  
3 **forcing at the regional scale**

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12

13 **Abstract**

14 Assessing the ability of global and regional models to describe aerosol optical properties is  
15 essential to reducing uncertainty in aerosol direct radiative forcing in the contemporary climate  
16 and to improving confidence in future projections. Here we evaluate the performance of high-  
17 resolution simulations conducted using the Weather Research and Forecasting model with  
18 coupled chemistry (WRF-Chem) in capturing spatio-temporal variability of aerosol optical  
19 depth (AOD) and Ångström exponent (AE) by comparison with ground- and space- based  
20 remotely sensed observations. WRF-Chem is run over eastern North America at a resolution of  
21 12 km for a representative year (2008). A systematic positive bias in simulated AOD relative  
22 to observations is found (annual MFB=0.15 and 0.50 when comparing with MODIS and  
23 AERONET respectively), whereas the spatial variability is well captured during most months.  
24 The spatial correlation of observed and simulated AOD shows a clear seasonal cycle with  
25 highest correlation during summer months ( $r=0.5-0.7$ ) when the aerosol loading is large and  
26 more observations are available. The model is biased towards simulation of coarse mode  
27 aerosols (annual MFB for AE = -0.10 relative to MODIS and -0.59 for AERONET), but the  
28 spatial correlation for AE with observations is 0.3-0.5 during most months, despite the fact that

29 AE is retrieved with higher uncertainty from the remote sensing observations. WRF-Chem also  
30 exhibits high skill in identifying areas of extreme and non-extreme aerosol loading, and its  
31 ability to correctly simulate the location and relative intensity of extreme aerosol events (i.e.  
32  $AOD > 75^{\text{th}}$  percentile) varies between 30 and 70% during winter and summer months  
33 respectively.

34

## 35 **1. Introduction and Objectives**

36 Atmospheric aerosol particles (aerosols) play a major role in dictating Earth's climate by both  
37 directly interacting with solar radiation (direct effect) and acting as cloud condensation nuclei  
38 and thus changing cloud properties (indirect effect) (Boucher et al., 2013). The global mean  
39 aerosol direct effect is estimated to be  $-0.27$  (possible range of  $-0.77$  to  $+0.23$ )  $\text{W m}^{-2}$ , while the  
40 indirect effect is  $-0.55$  ( $-1.33$  to  $-0.06$ )  $\text{W m}^{-2}$  (Stocker et al., 2013). Therefore their combined  
41 radiative forcing is likely a significant fraction of the overall net anthropogenic climate forcing  
42 since pre-industrial times (i.e.  $1.13$ - $3.33$   $\text{W m}^{-2}$  (Stocker et al., 2013)) and a substantial source  
43 of uncertainty in quantifying anthropogenic radiative forcing.

44 Accurate quantification of direct aerosol radiative forcing is strongly dependent on aerosol  
45 precursor and primary aerosol emissions. Both have evolved over the past two decades in terms  
46 of their spatio-temporal distribution and absolute magnitude. Emissions have generally  
47 increased in emerging economies (Kurokawa et al., 2013), biogenic and anthropogenic  
48 emissions have altered in response to changing land use and land cover (Wu et al., 2012), and  
49 the implementation of pollution control strategies particularly in North America and Europe  
50 have resulted in declines in air pollutant emissions (Xing et al., 2015; Giannouli et al., 2011).  
51 Therefore there is evidence that aerosol burdens and thus direct climate forcing has varied  
52 markedly in the past and may change substantially in the future. Further, although best estimates  
53 of global anthropogenic radiative forcing from the aerosol direct and indirect effect are  $-0.27$   
54 and  $-0.55$   $\text{W m}^{-2}$  (Stocker et al., 2013) respectively, the short residence time and high spatio-  
55 temporal variability of aerosol populations mean their impact on regional climates can be much  
56 larger than the global mean but are even more uncertain.

57 Long-term measurements of aerosol properties are largely confined to aerosol mass (total,  $\text{PM}_{10}$   
58 or  $\text{PM}_{2.5}$ ) in the near-surface layer which may or may not be representative of either the total  
59 atmospheric burden (Ford and Heald, 2013; Alston et al., 2012), or radiation extinction and

60 hence climate forcing. Further, aerosol composition measurements are often a 24-hour  
61 integrated sample taken only 1 in 3 days and thus are subject to under sampling. Hence they  
62 provide an incomplete description of temporal variability and mean aerosol burdens for model  
63 performance evaluation. Columnar remote sensing measurements of aerosol optical properties  
64 are available from a range of ground-based and satellite-borne instrumentation, but have only  
65 a relatively short period of record, are subject to non-zero measurement uncertainty (and bias),  
66 and under-sample the range of atmospheric conditions due to cloud masking and infrequent  
67 satellite overpasses. Therefore, regional and global models are most commonly used to quantify  
68 historical and contemporary aerosol direct radiative forcing based on simulated properties such  
69 as the aerosol optical depth (AOD) and Ångström exponent (AE) (Boucher et al., 2013).

70 Most global models that include aerosol microphysics have been run at fairly coarse resolution  
71 (spatial resolution of the order of 1-2.5°) (Table 1) usually for periods of a few years. The  
72 resulting fields of AOD (and less frequently AE) have been evaluated relative to ground-based  
73 and satellite-borne remote sensing optical properties measurements (Table 1). However, aerosol  
74 populations (and dynamics) are known to exhibit higher spatial variability (and scales) than can  
75 be manifest in those models (Kovacs et al., 2006; Kulmala et al., 2011; Santese et al., 2007;  
76 Schutgens et al., 2013; Sinzuka and Redemann, 2011). Despite recent improvements in the  
77 sophistication of aerosol processes and properties within global models, there are still  
78 substantial regional and latitudinal discrepancies in both the magnitude of AOD and other  
79 aerosol properties which impact aerosol direct radiative forcing and the degree of model-to-  
80 model agreement (Myhre et al., 2013). Thus the skill of these models in reproducing the spatio-  
81 temporal variability in the aerosol size distribution, composition, concentration and radiative  
82 properties is incompletely characterized. Further large model-to-model variability both in the  
83 global mean direct aerosol forcing and in the spatial distribution thereof exists (Kulmala et al.,  
84 2011; Myhre et al., 2013) leading to high uncertainty in quantification of aerosol climate  
85 forcing. Although a direct comparison between the studies summarized in Table 1 is inherently  
86 very difficult due to the different performance metrics reported, and variations in both the model  
87 resolution and aerosol descriptions, there is a consistent finding of high spatial variability in  
88 model bias, both in sign and magnitude. Correlation coefficients of monthly and seasonal mean  
89 AOD from model simulations versus satellite-based measurements are typically in a range ~0.6-  
90 0.8 both in global (Colarco et al., 2010; Lee et al., 2015) and regional (Nabat et al., 2015)  
91 simulations. However, these correlations are largely reflective of the ability of the models to  
92 capture the seasonal cycle and columnar aerosol properties from remote sensing and thus ignore

93 substantial variability on the synoptic (Sullivan et al., 2015) and meso-scales (Anderson et al.,  
94 2003). A wider range of correlation coefficients are reported when comparisons are made to  
95 high frequency observations of AOD at the hourly/daily timescale both in global (Sič et al.,  
96 2015) and regional (Rea et al., 2015) simulations ( $r \sim 0.3-0.8$ ). The largest range of correlation  
97 coefficients ( $[-0.99, 0.9]$ ; Table 1) is reported when simulated AOD is compared with  
98 observations from the AErosol RObotic NETwork (AERONET), and appear to be function of  
99 temporal averaging, location of AERONET sites and model resolution. Correlations between  
100 time series of simulated AE versus AERONET observations are reported less frequently, and  
101 when conducted for monthly mean values range from  $\sim 0.4$  (Li et al., 2015) to  $\sim 0.8$  (Colarco et  
102 al., 2010).

103 At least some of the variability in model performance, as indicated by the mutual variability  
104 with observations described by correlation coefficients, and model-to-model agreement shown  
105 in AeroCom Phase II may be attributable to variations in model resolution, differences in gas  
106 and particle phase parameterizations and aerosol descriptions. However, there are also  
107 variations in the way in which model skill is evaluated and divergent opinions regarding  
108 prioritization of future research directions. The direct effect remains poorly quantified at the  
109 regional scale, due to uncertainty in aerosol loading, uncertainty and spatio-temporal variability  
110 in aerosol physical properties (Colarco et al., 2014) and a relative paucity of rigorous model  
111 verification and validation exercises. Confidence in projections of possible future aerosol  
112 radiative forcing requires detailed assessment of skill in the current climate, and the need for  
113 and benefits of regional downscaling and/or use of high-resolution global models requires  
114 careful quantification.

115 Regional models represent an opportunity to assess if running higher resolution simulations  
116 over specific regions of interest improves the characterization of aerosol optical properties of  
117 relevance to direct radiative forcing. Assessment of value added (or lack thereof) from high  
118 resolution regional versus global coarse resolution models has not been clearly quantified in  
119 previous studies (Table 1). Although high-resolution simulations, comparable to those  
120 presented herein, have been run, they are over a small temporal and spatial domain (e.g.  
121 (Tuccella et al., 2015)), or lack quantitative assessment of aerosol optical properties (e.g.  
122 (Tessum et al., 2014)). Thus, quantification of the skill of high-resolution modeling of aerosol  
123 optical properties is presented here along with a preliminary analysis of model performance as  
124 a function of spatial aggregation. Forthcoming work will include direct comparison to coarser

125 resolution simulations to quantify the value added (or lack thereof) from increased model  
126 resolution.

127 We evaluate the skill of state-of-the-art high-resolution regional model simulations of climate-  
128 relevant aerosol properties using a range of descriptive statistics and investigate possible  
129 sources of discrepancies with observations. The impact of aerosols on climate and human health  
130 are strengthened under conditions of enhanced aerosol concentrations, thus it is necessary to  
131 study and diagnose causes of ‘extreme aerosol events’ (Chu, 2004;Gkikas et al., 2012), and to  
132 evaluate the ability of numerical models to simulate their occurrence, intensity, spatial extent  
133 and location. Prior analyses of Level-3 (1° resolution) MODIS AOD over the eastern half of  
134 North America have indicated extreme AOD values (> local 90<sup>th</sup> percentile) are coherent over  
135 regional scales (~ 150 km) (Sullivan et al., 2015). Thus, our evaluation exercise also includes  
136 an analysis of the spatio-temporal coherence of extreme events.

137 We applied the Weather Research and Forecasting model with coupled Chemistry (WRF-Chem  
138 version 3.6.1) at high resolution (12×12 km) over eastern North America during the year 2008,  
139 in the context of a pseudo type-2 downscaling exercise in which the high-resolution model is  
140 nested within reanalysis boundary conditions (Castro et al., 2005). The choice of this spatial  
141 resolution is taken in part to match the resolution of North American Mesoscale Model that is  
142 used for the meteorological lateral boundary conditions and to ensure we capture some  
143 mesoscale variability while remaining computationally feasible.

144 Our evaluation is designed to investigate spatio-temporal variability of aerosol optical  
145 properties (i.e. AOD and AE) in their mean and extreme values. Thus, we conduct our  
146 evaluation of the simulations using:

- 147 (i) High-frequency, disjunct time series data from point measurements at AERONET  
148 stations.
- 149 (ii) Relatively high-resolution spatial data from lower frequency (once daily or lower)  
150 data from polar orbiting satellites (i.e. MODIS and MISR).

151 We also include intercomparison with daily mean PM<sub>2.5</sub> concentrations from 1230 surface  
152 stations and near-surface PM<sub>2.5</sub> composition using data from 123 IMPROVE sites. The PM<sub>2.5</sub>  
153 concentrations data for 2008 were obtained from the US Environmental Protection Agency  
154 (EPA) AirData web site and represent all available outdoor near-surface 24-hour mean PM<sub>2.5</sub>  
155 measurements in the model domain. Most of these stations report values on a 1 day in 3

156 schedule. Daily average PM<sub>2.5</sub> chemical compositions are also available on 1 day in 3 and were  
157 accessed online through the IMPROVE data wizard. We further evaluate the WRF-Chem  
158 simulations of a key meteorological parameter – precipitation – relative to observations from  
159 the Delaware gridded dataset (Matsuura and Willmott, 2009). This data set includes monthly  
160 accumulated precipitation data on a 0.5×0.5° grid which is estimated by interpolating station  
161 observations from the Global Historical Climatology Network using the spherical version of  
162 Shepard's distance-weighting method (Shepard, 1968;Willmott et al., 1985).

163 This paper is structured as follows. We first describe the settings used in our WRF-Chem  
164 simulations and introduce the remote sensing and other data used for model evaluation (Sect.  
165 2). A description of statistical metrics used for the evaluation is also provided. Section 3  
166 presents results of the evaluation of simulated AOD and AE versus observations, as well as  
167 findings on extreme AOD values. In Section 4 we summarize our findings and draw  
168 conclusions.

## 169 **2. Methods**

### 170 **2.1 WRF-Chem simulations**

171 The Weather Research and Forecasting Model with coupled chemistry (WRF-Chem, version  
172 3.6.1) (Grell et al., 2005;Fast et al., 2006) is used to simulate aerosol processes over eastern  
173 North America during the whole of 2008. The simulation domain comprises 300×300 grid  
174 points with 12 km resolution and is centered in southern Indiana (86°W, 39°N). The calendar  
175 year 2008 was selected because it is representative of average climate and aerosol conditions  
176 in the center of the model domain (near Indianapolis, IN). In 2008, mean T<sub>max</sub>, T<sub>min</sub>,  
177 precipitation, and wind speed as measured at the National Weather Service Automated Surface  
178 Observing Systems (NWS ASOS) station at Indianapolis International Airport are within ±0.25  
179 standard deviations ( $\sigma$ ) of the 2000-2013 seasonal means. Further, mean seasonal AOD from  
180 Level-3 MODIS retrievals is within ±0.2 $\sigma$  of 2000-2013 mean values. Additionally, choice of  
181 this year ensures availability of multiple sources of ground- and space-based measurements of  
182 aerosol properties for evaluation of the simulations.

183 Table 2 provides details of the WRF-Chem simulations. In brief, we used 32 vertical levels up  
184 to 50 hPa with telescoping to allow for a good vertical resolution in the boundary layer (i.e.  
185 approximately 10 layers below 1 km for non-mountainous regions). Meteorological lateral  
186 boundary conditions are provided every 6 hours from the North American Mesoscale Model

187 (NAM) applied at 12 km resolution. The initial and boundary chemical conditions are based on  
188 output from the offline global chemical transport model MOZART-4 (Model for Ozone and  
189 Related chemical Tracers, version 4), driven by meteorology from NCEP/NCAR-reanalysis  
190 (Pfister et al., 2011;Emmons et al., 2010). Anthropogenic emissions are from the POET  
191 (Precursors of Ozone and their Effects in the Troposphere) and the EDGAR (Emissions  
192 Database for Global Atmospheric Research) databases. The land cover is specified based on  
193 the USGS 24-category data at 3.7 km resolution (Anderson et al., 1976). Anthropogenic point  
194 and area emissions at 4 km resolution are input hourly from the U.S. National Emissions  
195 Inventory (NEI-05) (US-EPA, 2009) and specified for 19 vertical levels (see Fig. 1 for an  
196 overview of the primary aerosol emissions). Biogenic emissions of isoprene, monoterpenes,  
197 other biogenic VOC (OVOC), and nitrogen gas emissions from the soil are described as a  
198 function of simulated temperature and photosynthetic active radiation (for isoprene) using the  
199 model of Guenther (Guenther et al., 1993;Guenther et al., 1994;Simpson et al., 1995). Aerosol  
200 and gas phase chemistry are described using the second generation Regional Acid Deposition  
201 Model (RADM2) chemical mechanism (Stockwell et al., 1990) and the Modal Aerosol  
202 Dynamics Model for Europe (MADE) which incorporates the Secondary Organic Aerosol  
203 Model (SORGAM) (Ackermann et al., 1998;Schell et al., 2001). The correct characterization  
204 of aerosol optical properties is dependent on model skill in describing particle composition and  
205 mixing state (Li et al., 2015;Curci et al., 2014). With this in mind, it is worthy of note that  
206 aerosol components are assumed to be internally mixed within each mode (although the  
207 composition differs by mode). The standard deviation on the log-normal Aitken and  
208 accumulation modes the standard deviations are fixed at 1.6 and 2, respectively. The choice of  
209 a modal representation of aerosol size distribution is dictated by the high computational demand  
210 of more sophisticated approaches (e.g. sectional description of the aerosol size distribution) for  
211 long-term simulations. With the current settings, the 1-year run was completed without restart  
212 in 9.5 days (230 hours) on the Cray XE6/XK7 supercomputer (Big Red II) owned by Indiana  
213 University using 256 processors distributed on 8 nodes, thus indicating feasibility of this  
214 configuration for climate scale simulations. Aerosol, and gas phase concentrations and  
215 meteorological properties are saved once hourly. AE from the WRF-Chem simulations is  
216 computed using:

$$217 \quad AE = \frac{\ln \frac{AOD_{400nm}}{AOD_{600nm}}}{\ln \frac{600nm}{400nm}} \quad (1).$$

218 AOD at wavelengths ( $\lambda$ ) of 500 and 550 nm for comparison with MODIS and MISR  
 219 respectively, are derived using the Ångström power law:

$$220 \quad AOD_{\lambda} = AOD_{300} \times \frac{\lambda^{(-AE)}}{300} \quad (2).$$

221 We investigated the wavelength dependence on AE calculation using  $\lambda$  at 300 nm and 1000 nm  
 222 as proposed in (Kumar et al., 2014) and found that, although AOD estimates are independent  
 223 on the wavelength range selected,  $AE_{400-600nm}$  is systematically lower than  $AE_{300-1000nm}$ .  
 224 Analyses of AE reported in this study are obtained using  $\lambda = 400$  and 600 nm since they are  
 225 closer to those used in AE satellite retrievals.

## 226 **2.2 Remotely-sensed data**

227 Consistent with previous research (Sect. 1 and Table 1), we evaluate the WRF-Chem  
 228 simulations using four primary remote sensing products – three are drawn from instruments on  
 229 the Aqua and Terra satellites, while the fourth is from ground-based radiometers operated as  
 230 part of the AERONET network. The data sets are as follows:

231. The MODerate resolution Imaging Spectroradiometer (MODIS) instruments aboard the  
 232 polar-orbiting Terra (~1030 overpass local solar time (LST)) and Aqua (~1330 LST)  
 233 satellites. They have measured atmospheric aerosol optical properties since 2000 and 2002  
 234 respectively, with near-global daily coverage (Remer et al., 2005). Herein we use the Level  
 235 2 (L2; 10 km resolution) “dark-target” products of AOD at 550 nm and AE from 470 – 660  
 236 nm (Collection 5.1; (Levy et al., 2010)). The L2 AOD uncertainty is  $\pm 0.05 \pm 0.15 \times AOD$   
 237 over land relative to global sun photometer measurements from AERONET; even when no  
 238 spatiotemporal averaging is used in the comparison (i.e. all combinations of MODIS  
 239 retrievals within 30 km of an AERONET site and all AERONET retrievals within 30 min of  
 240 the satellite overpass), 71% of MODIS retrievals fall within a  $\pm 0.05 \pm 0.2 \times AOD$  envelope  
 241 relative to AERONET over E. CONUS (Hyer et al., 2011). AE is retrieved with higher  
 242 uncertainty, and tends to exhibit a bi-modality in retrieved values (Levy et al., 2010; Remer  
 243 et al., 2005) (see Fig. S1). For this reason where we compare WRF-Chem simulated AE with  
 244 values from MODIS we treat AE as a binary variable, wherein  $AE < 1$  is taken as representing



245 coarse mode dominated aerosol populations and  $AE > 1$  indicates fine mode dominated  
246 populations (Pereira et al., 2011; Valenzuela et al., 2014).

247 2. The Multi-angle Imaging Spectroradiometer (MISR) instrument is also aboard the Terra  
248 satellite, and measures radiances at four wavelengths from 446 – 886 nm at nine viewing  
249 angles from nadir to  $70.5^\circ$ . MISR (L2, 17.6 km resolution) retrieves AOD with lower  
250 uncertainty than MODIS ( $\pm 0.05 \times \text{AOD}$  relative to AERONET), but with lower temporal  
251 resolution (global coverage in  $\sim$  one week) (Kahn et al., 2010; Kahn et al., 2005). Herein, we  
252 use the  $0.5^\circ \times 0.5^\circ$  gridded Level 3 (Ver. 31) AOD (at 555 nm) and AE (calculated from AOD  
253 at 443 and 670 nm).

254 3. Ground-based sun-photometer measurements from 22 AErosol RObotic NETwork  
255 (AERONET) (Holben et al., 1998) stations are also used in this study (Fig. 1). This network  
256 is highly spatially inhomogeneous, but under cloud-free conditions the observations are  
257 available at multiple times during daylight hours. AOD is measured directly by the  
258 AERONET sun photometers at seven wavelengths (340, 380, 440, 500, 670, 870, and  
259 1020 nm) with high accuracy (i.e. AOD uncertainty of  $< 0.01$  for  $\lambda > 440$  nm (Holben et  
260 al., 2001)). The Ångström Exponent (AE) is calculated for all available wavelengths within  
261 the AOD range. The AE 870-440 nm includes the 870, 670, 500 and 440 nm AOD data.  
262 Level-2 aerosol products from AERONET (i.e. cloud screened and quality assured) have  
263 been used extensively in satellite and model validation studies (including many of those  
264 summarized in Table 1) and are used herein.

265 To avoid the discontinuity in the MODIS retrieval algorithm due to different assumed aerosol  
266 types (Levy et al., 2007), we confine our analyses of model skill to longitudes east of  $98^\circ\text{W}$ .  
267 Only WRF grid cells with cloud fraction = 0 during the satellite over pass of each grid cell are  
268 used in comparison to MODIS/MISR observations, and only grid cells with at least 5 valid  
269 observations (both from MODIS/MISR and cloud-screened WRF) during a given month are  
270 included in the analyses presented herein. It is worth noting that setting a threshold of 10  
271 observations does not significantly affect the results. For a uniform assessment, L2 MODIS and  
272 L3 MISR data have been interpolated from their native grids (and resolutions of 10 km and  
273  $0.5^\circ \times 0.5^\circ$ , respectively) to the WRF-Chem 12 km resolution grid by computing the mean of  
274 pixels with valid data within  $0.1^\circ/0.3^\circ$  for MODIS/MISR from the model centroids. The choice  
275 of averaging over a slightly larger area than model resolution is dictated by the sparsity of valid  
276 satellite retrievals. For AERONET vs. MODIS comparison, we only use the nearest MODIS

277 data (after regridding to WRF) to each site. Where hourly WRF-Chem output is compared with  
278 data from AERONET sites, a station is only included if there are at least 20 simultaneous  
279 estimates available, and each AERONET measurement is compared to the nearest WRF-Chem  
280 time step and to the grid cell containing the station.

### 281 **2.3 Statistical methods used in the model evaluation**

282 The primary error metric of overall model performance used herein is the Mean Fractional Bias  
283 (Boylan and Russell, 2006):

$$284 \quad MFB = \frac{1}{N} \sum_1^N \frac{C_m - C_0}{\frac{C_m + C_0}{2}} \quad (3).$$

285 MFB is a useful model performance indicator since it equally weights positive and negative  
286 biases. It varies between +2 and -2 and has a value of zero for an ideal model. Where MFB is  
287 reported for WRF-Chem versus MODIS/ MISR/AERONET,  $C_m$  is the monthly mean AOD or  
288 AE simulated by WRF-Chem at a specific location,  $C_0$  refers to the same quantify from remote  
289 sensing data (Table 3) and  $N$  is the sample size.

290 The evaluation of WRF-Chem simulations of AOD and AE relative to satellite retrievals  
291 (MODIS and MISR) is also summarized using Taylor diagrams (Taylor, 2001) produced from  
292 the monthly means for the grid cells with simultaneous data availability. Taylor diagrams  
293 synthesize three aspects of model skill focused on evaluations of the spatial fields of the  
294 parameter of interest. The correlation coefficient of the modeled vs. observed field which is  
295 expressed by the azimuthal position, the root mean squared difference which is proportional to  
296 the distance between a point and the reference point on the x-axis (at 1, 0), and the ratio of  
297 simulated and observed spatial standard deviation which is proportional to the radial distance  
298 from the origin.

299 To investigate model performance at given locations through time, empirical quantile-quantile  
300 (EQQ) plots are constructed using high frequency realizations of AOD and AE at individual  
301 locations (AERONET sites) relative to WRF-Chem values simulated in the grid cell containing  
302 the measurement site. EQQ plots are thus generated for each of the AERONET stations using  
303 all hours when there are simultaneous estimates available from the direct observations and from  
304 the numerical simulations. The advantage of EQQ plots is that they make no assumptions

305 regarding the underlying form of the data, and can be readily used to determine which parts of  
306 the modeled distribution deviate from the observations (and thus fall away from a 1:1 line).

307 The validity of AE estimates is a function of both the absolute magnitude of AOD and the  
308 uncertainty in the wavelength dependent AOD. AE provides information regarding the relative  
309 abundance of fine to coarse particles. Thus, here we quantify the model skill in reproducing  
310 spatial patterns of fine and coarse mode particles observed by MODIS (Terra) by comparing  
311 the frequency distribution of AE lower and higher than 1 to distinguish populations dominated  
312 by coarse and fine aerosols respectively in WRF-Chem and MODIS (Valenzuela et al.,  
313 2014;Pereira et al., 2011). The choice of this threshold reflects the AE distribution. AE  
314 simulated by WRF-Chem generally conforms to a single normal distribution centered on 1  
315 during January-April and on 1.3 from May-June to December; AERONET time series also tend  
316 to conform to a single mode, while MODIS estimates typically are bimodally distributed (see  
317 Fig. S1). We therefore consider the data in the form of a contingency table (Table 4) and  
318 compute a  $\chi^2$ -test to assess if the frequency distribution of fine and coarse particles is the same  
319 between MODIS and WRF-Chem. The  $\chi^2$  statistic is applied with one degree of freedom and a  
320 99% confidence limit.

321 As described above, the impact of aerosols on climate and human health are strengthened under  
322 conditions of enhanced aerosol concentrations, thus two analyses were undertaken to evaluate  
323 the ability of the WRF-Chem simulations to represent extreme AOD values:

324 1. Evaluation of the spatial patterns of extreme events. Using daily estimates of AOD in  
325 each grid cell and month we identified the 75<sup>th</sup> percentile value across space (i.e.  $p_{75}$ )  
326 as threshold for extreme AOD for WRF-Chem and MODIS separately. Grid cells with  
327 AOD exceeding that threshold were classified as exhibiting extreme values. The  
328 consistency in the spatial distribution of extreme values as simulated by WRF-Chem  
329 relative to MODIS are quantified using three skill statistics: the Accuracy, Hit Rate ( $HR$ )  
330 and Threat Score ( $TS$ ) defined in equations 4-6. In these equations,  $WE$ ,  $ME$ ,  $WN$  and  
331  $MN$  correspond to frequency of extreme conditions in WRF-Chem ( $WE$ ) or MODIS  
332 ( $ME$ ) or not ( $WN$  or  $MN$ ):

$$333 \text{Accuracy} = \frac{WE / ME + WN / MN}{WE / ME + WE / MN + WN / ME + WN / MN} \quad (4)$$

$$334 \text{HR} = \frac{WE / ME}{WE / ME + WN / ME} \quad (5)$$

$$TS = \frac{WE / ME}{WE / ME + WE / MN + WN / ME} \quad (6)$$

336 The Accuracy describes the fraction of grid cells co-identified as exceeding  $p75$  or not  
 337 in MODIS and WRF-Chem, and thus equally weights event and non-event conditions.  
 338 Since the Accuracy quantifies model skill in correctly identifying both extreme and non-  
 339 extreme aerosol loadings, it is thus indicative of model performance in capturing the  
 340 overall AOD spatial variability. In this application, where extreme is identified as the  
 341 75<sup>th</sup> percentile, a value of 0.5 would indicate none of the grid cells experiencing extreme  
 342 events were reproduced by the model, while 1 would indicate perfect identification of  
 343 events and non-events. The  $HR$  and  $TS$  metrics give ‘credit’ only those grid cells  
 344 identified as ‘extreme’. For these metrics, a value of 0 indicates no correct identification  
 345 of grid cells with extreme values, while a perfect model would exhibit a value of 1.

346 2. Evaluation of the scales of coherence of extreme AOD. For each day during the overpass  
 347 time and hours of clear sky conditions, we determine if AOD simulated at our reference  
 348 location (i.e. the center of the domain, in Southern Indiana) is equal or larger than the  
 349 local  $p75$  for that grid cell and season and then identify all grid cells in the domain that  
 350 also satisfy the condition of  $AOD \geq \text{local } p75$ . The reference location represents the  
 351 center of gravity of the domain and was previously used by Sullivan et al. (2015) for  
 352 assessing scales of coherence. In that work they also found the spatial scales of  
 353 coherence are not sensitive to the precise choice of reference location. For each season,  
 354 we thus compute the probability of extreme AOD co-occurrence at our reference site  
 355 and any other grid cell as the frequency of co-occurrence divided by the number of  
 356 extreme occurrences at the reference location. The spatial scales of extreme AOD are  
 357 then estimated by binning the radial distance of each grid cell centroid from the domain  
 358 center into 100 km distance classes. An analogous procedure is applied to L2 MODIS  
 359 data to compare with simulations.

### 360 **3. Results**

#### 361 **3.1 Evaluation of AOD**

362 Overall WRF-Chem is positively biased relative to remotely-sensed AOD. The spatial MFB is  
 363 0.15 (0.14) when computed using all available MODIS measurements from Terra (Aqua) and  
 364 0.50 relative to data from the AERONET stations (Table 3). The sign of this bias is consistent  
 365 across the entire simulation domain (Fig. 2). These results agree with findings from previous

366 regional studies that have also shown an overestimation of AOD by WRF-Chem over eastern  
367 North America and Europe (i.e. regions dominated by sulfate aerosols), and underestimation in  
368 western US and most of the rest of the globe (Zhang et al., 2012; Colarco et al., 2010; Curci et  
369 al., 2014) (Table 1). Higher biases of WRF-Chem simulated annual mean AOD are found in  
370 the southern portion of the domain (Fig. 2) where the model also exhibits a positive bias in  
371 daily mean near-surface  $PM_{2.5}$  relative to observations from 1230 US EPA sites (see Fig. 3 and  
372 Fig. S2). We further investigated the bias in  $PM_{2.5}$  by comparing WRF-Chem simulations with  
373 ground-based measurements of particle composition at 123 IMPROVE sites over our domain.  
374 We computed the MFB on a seasonal basis between sulfate and nitrate concentrations in fine  
375 mode particles (i.e. Aitken and accumulation mode) versus observations (Fig. 4) and found  
376 sulfate concentrations are underestimated almost over the entire domain during winter, whereas  
377 a positive bias is present in the other seasons. Conversely, nitrates tend to be overestimated  
378 during winter and fall at most sites, whereas they are underestimated during summer. Thus the  
379 positive bias in AOD and  $PM_{2.5}$  mass particularly during the summer appears to be associated  
380 with excess sulfate concentrations.

381 The MFB of WRF-Chem relative to MODIS estimates of AOD is lower than the MFB relative  
382 to most of the AERONET stations except for a few sites located along the coast, one polluted  
383 site in the northeast and a few land sites in the North/North-West (Fig. 1 and 5a). This is  
384 possibly a result of an inability of the model to capture variations in aerosol optical properties  
385 occurring at a local scale (below the resolution of 12 km). However, the evaluation statistics  
386 for WRF-Chem relative to AERONET did not vary consistently with the classification of  
387 AERONET stations. Indeed, the mean MFB for AOD in coastal, polluted and land sites varies  
388 between 0.26 (coastal) and 0.67 (land), whereas for AE it varies between -0.72 (coastal) and -  
389 0.50 (land). When MODIS is compared to the 22 AERONET stations the MFB is -1.23  
390 suggesting an underestimation of AOD from AERONET relative to MODIS. The large bias can  
391 be explained noting that the number of co-samples between MODIS is quite small and that  
392 MFB is strongly impacted by a few outliers. When we remove the three most biased sites (one  
393 land site in the North and two sites along the East coast) the MFB decreases to -0.91.

394 Using very limited data, prior research indicated mesoscale variability (horizontal scales of 40–  
395 400 km and temporal scales of 2–48 h) is a common and perhaps universal feature of lower-  
396 tropospheric aerosol light extinction [Anderson et al., 2003]. However, we are not aware of  
397 prior systematic attempts to quantify and test the universality of AOD scales of coherence over  
398 the contiguous US. To test the sensitivity of the MFB in simulated AOD to spatial aggregation,

399 we excluded the first 12 cells to the left and to the top of the simulated domain and averaged  
400 the remaining 12×12 km grid cells over the following scales: 24×24, 36×36, 48×48, 72×72,  
401 96×96, 144×144, 192×192, 216×216, 288×288, 384×384, 432×432, 576×576, 864×864,  
402 1152×1152, 1728×1728, 3456×3456 km. The last spatial average corresponds to a single grid  
403 cell encompassing the entire domain (excluding the outer 12 cells located to the West and North  
404 of the simulation domain). Each spatial average at a coarser resolution is computed as the mean  
405 of all valid 12×12 km grid cells within the averaging area. We then computed the MFB for the  
406 regridded WRF-Chem and MODIS data pair and found that, on a yearly basis, MFB is highest  
407 at 12km (0.14 for Aqua and 0.15 for Terra) and reaches a first minimum at 72 km for Aqua  
408 (MFB=0.13) and 384 km for Terra (MFB=0.13) (see Fig. 6). However, the MFB and hence  
409 systematic error in AOD relative to MODIS exhibits only a weak dependence on the level of  
410 spatial aggregation. Spatial patterns of monthly mean AOD show largest differences relative to  
411 MODIS during winter months in the southern states and near the coastlines, which show MFB  
412 up to 0.7, and lower spatial correlation (see Fig. 7a). This may be due to the larger uncertainty  
413 in MODIS retrievals near the coast (Anderson et al., 2013), the smaller sample size in the  
414 observations (particularly at high latitudes) during December to March or the lower overall  
415 AOD. Conversely, the spatial correlation is maximized during the summer ( $r=0.5-0.7$ ) for  
416 MODIS and August for MISR, when most data are available. The spatial variability of monthly  
417 mean AOD fields is also well simulated by WRF-Chem during the warm season (months May-  
418 August), as indicated by the ratio of the spatial standard deviation which is close to 1. However,  
419  $\sigma(\text{AOD})$  is usually higher in MODIS and/or MISR than in WRF-Chem. The RMSD is largest  
420 and the spatial correlation is lowest during September and October, when MFB is also  $> 0.4$  in  
421 part because WRF-Chem simulates high AOD and aerosol nitrate and sulfate concentrations  
422 over large regions in eastern North America (Fig. S3 and Fig. 4). The high positive bias in these  
423 months is also reflected in the near-surface  $\text{PM}_{2.5}$  concentrations and its composition (Fig. S2  
424 and Fig. 4). A possible explanation for the relatively poor model performance during September  
425 and October may derive from the simulation of precipitation. During the majority of calendar  
426 months, domain averaged precipitation as simulated by WRF-Chem is slightly positively biased  
427 relative to the gridded observational data. However, during September and October, the model  
428 exhibits a negative bias (of 8-10% relative to observations) and substantial underestimation of  
429 precipitation in regions of typically high AOD such as the Ohio River valley and along the east  
430 coast (Fig. S4). We also examined the impact of spatial aggregation (at 12, 24, 36, 48, 72 and  
431 96 km resolution) on the seasonality of model performance. For AOD the spatial correlations

432 are largest for most months when data are aggregated to a resolution of 24×24 km and the ratio  
433 of spatial standard deviation is also closer to 1 when AOD are spatially aggregated, possibly  
434 indicating that the spatial patterns simulated by WRF-Chem at a fine scale do not always match  
435 those observed by MODIS (Fig. 8). For AE both spatial correlations and ratio of standard  
436 deviations do not vary significantly when data are aggregated to a coarser resolution (Fig. S5).  
437 Empirical quantile-quantile plots of AOD at AERONET stations computed for both  
438 simultaneous MODIS observations and WRF-Chem with AERONET observations indicate that  
439 the positive bias in WRF-Chem simulated values of AOD is evident across much of the  
440 probability distribution (5<sup>th</sup> to 95<sup>th</sup> percentile values) at most AERONET stations. However, it  
441 is worthy of note that WRF-Chem comparisons with AERONET observations occupy much of  
442 the same observational range as simultaneous MODIS and AERONET at those sites (Fig. 9a),  
443 although the EQQ plot does not necessarily compare the same MODIS-AERONET and WRF-  
444 Chem-AERONET data pairs (i.e. the sample used to compare AERONET and MODIS may  
445 differ from that used to compare WRF-Chem and AERONET due to the cloud screening  
446 procedure). Thus, model simulations reproduce the range and probability of low-uncertainty  
447 AERONET measured AOD nearly as well as MODIS.

### 448 **3.2 Evaluation of AE**

449 Despite the low confidence in AE retrievals from MODIS, the comparison of WRF-Chem with  
450 the remote sensing estimates indicates some degree of agreement. The overall MFB of WRF-  
451 Chem vs MODIS Terra is -0.09 (-0.11 vs. Aqua) and the correlation between WRF-Chem and  
452 MODIS monthly mean AE seems to be independent of season and lies between 0.20 and 0.54  
453 for all months except April, May and November when it is lower, whereas  $r$  is always  $< 0.14$   
454 when comparing with MISR (Fig. 7b). The AE RMSD relative to MODIS or MISR does not  
455 exhibit a clear seasonal pattern and the ratio of spatial standard deviations in the AE fields is  
456 always lower than 1, indicating more spatial variability in the satellite retrievals than in WRF-  
457 Chem. The degree to which these results are symptomatic of the difficulties in retrieving AE  
458 from the remote sensing observations is unclear. When the AE values are treated as binary  
459 samples (AE  $< 1$  indicating coarse mode aerosols dominate, while AE  $> 1$  indicating a  
460 dominance of the fine mode) and presented as a contingency table, WRF-Chem and MODIS  
461 simultaneously identify coarse mode dominance (i.e. AE  $< 1$ ) in 18% of grid cells (Table 5).  
462 WRF-Chem simulates 31% of grid cells as exhibiting annual mean AE  $> 1$ , while MODIS  
463 indicates a larger fraction of grid cells with AE  $> 1$  (80%, Table 5). Both WRF-Chem and  
464 MODIS indicate the highest prevalence of fine mode particles during the warm months with

465 highest agreement for co-identification (above 50%) during June-September. Co-identification  
466 of coarse mode particles is highest in the winter and spring months (above 20% during  
467 February-May and December, Table 5). However, when a  $\chi^2$  test is applied to the frequency of  
468 fine and coarse particles identified by WRF-Chem and MODIS, for all months except January  
469 and April, the p-value is  $<0.01$ , thus we reject the null hypothesis of equal distribution of fine  
470 and coarse mode particles identified by MODIS and WRF-Chem. The two data sets agree on  
471 29% of the cases when trying to identify fine mode particles and approximately 53% of the  
472 cells are misclassified with MODIS usually identifying a high prevalence of fine aerosols than  
473 WRF-Chem. AE from WRF-Chem is also negatively biased relative to AERONET  
474 observations, with MFB = -0.59 indicating a greater prevalence of coarse mode aerosols in the  
475 simulations (Table 3, Fig. 2).

476 EQQ plots for all sites show good accord between WRF-Chem and AERONET observations,  
477 as indicated by the relatively consistent fractional error across the entire range of simulated and  
478 observed AE (Fig. 9b). Simulations from previous studies have also shown a systematic  
479 negative bias of simulated AE versus MODIS observations. AE is very difficult to derive from  
480 the MODIS measurements and the uncertainty in AE scales with AOD (AE is very uncertain at  
481  $AOD < 0.2$ ). Further, AE is derived from wavelength dependent AOD, thus the uncertainties  
482 on the measurements are certainly correlated. As indicated in Figure 5, for some AERONET  
483 sites there is evidence that positive bias in AOD is associated with high negative bias in AE,  
484 but this does not uniformly occur over eastern North America (e.g. for the site at 77.8W 55.3N  
485 WRF-Chem exhibits positive bias in AOD across the entire pdf while the simulated AE is  
486 negative biased, but the site at 84.28W 35.95N exhibits relative good accord for AOD but is  
487 negative biased in AE almost to the same amount as the northern station). Highest biases have  
488 been noted in regions dominated by dust aerosols or when the model overestimates the dust  
489 loading, since aerosol population mean diameter is inversely proportional to AE (Colarco et al.,  
490 2014; Balzarini et al., 2014). Sources of the biases in our study, include the simplified treatment  
491 of the size distribution, weaknesses in the emission inventory or uncertainties in meteorological  
492 variables affecting particle growth (e.g. temperature and relative humidity). Future work will  
493 focus on examining these sensitivities.

### 494 **3.3 AOD Extremes**

495 Averaged across the entire simulation period, WRF-Chem correctly identifies 70% of locations  
496 with extreme and non-extreme AOD in the MODIS observations (i.e. the Accuracy = 70%,



497 Table 6). The overall *TS* and *HR* also indicate the geographic location of extreme AOD is  
498 similar between the model and satellite retrievals. The annual mean *HR*, which is defined as the  
499 proportion of grid cells with extreme AOD co-identified by WRF-Chem and MODIS relative  
500 to MODIS extremes, is 41%. The annual mean *TS*, which also takes into account false alarms,  
501 is 27% (Table 6).

502 For each month, the *HR* is significantly higher than the probability of co-identification of

503 extremes by random chance (i.e.  $p_0 = 0.25^2 = 0.0625$ ), since the test statistic  $\frac{HR - p_0^2}{\sqrt{\frac{p_0 \times (1 - p_0)}{N}}}$  is

504 always larger than the critical value at 1% (i.e. 2.575). *HR* and *TS* vary seasonally, with highest  
505 skill during summer months (*HR* up to 70% and *TS* up to 54%), and lowest skill during winter  
506 and early spring (minimum *HR*=29% and minimum *TS*=17%) (Table 6 and Fig. 10). The  
507 relatively low skill in identifying the spatial occurrence of high AOD during winter and spring  
508 may reflect the relatively low AOD and low spatial variability during this season, which means  
509 ‘extreme’ AOD may differ only marginally from the ‘non-extreme’ areas (see Fig. S6 for  
510 monthly comparisons of extreme area identification).

511 The spatial distribution of extreme AOD also displays some seasonality with areas of AOD >  
512  $p_{75}$  concentrated over coastal regions and the southern states during summer months and  
513 smaller areas during winter and early spring (Fig. 10). Despite the relatively low simultaneous  
514 identification of extremes during cold seasons, the location of extremes moves from the coast  
515 to the Great Lakes region and Midwest states in both the model and MODIS (see Fig. S6).  
516 During winter and spring months WRF-Chem simulates more areas with extreme AOD over  
517 coastal regions, whereas MODIS shows more spatial variability and predicts higher AOD in  
518 the Great Lakes area and in the states west of Illinois. Conversely, WRF-Chem underestimates  
519 areas of extreme AOD relative to MODIS in the northern regions of the domain, possibly due  
520 to the underestimation of sulfate-aerosol. These two observations may be explained by noting  
521 that the mass fraction of aerosol nitrate in the accumulation and coarse mode predicted by WRF-  
522 Chem during most of fall and winter months dominates the sulfate fraction over virtually all of  
523 the domain (see Fig. S3), whereas point observations indicate aerosol nitrate mass fraction is  
524 dominant only over the Central Great Plains (Hand et al., 2012). This may be related to an  
525 overestimation of aerosol-nitrate in winter and fall (Fig. 4) as a result of the impact of air  
526 temperature and relative humidity on aerosol ammonium nitrate ( $\text{NH}_4\text{NO}_3$ ) stability

527 (Aksoyoglu et al., 2011), as well as an underestimation of aerosol sulfate, mostly during winter  
528 (Fig. 4), likely due to underestimation of the rate of SO<sub>2</sub> gaseous and aqueous (missing)  
529 oxidation, or underestimation of the nighttime boundary layer height which impacts sulfate  
530 formation near the surface (Tuccella et al., 2012). Localized negative biases in the model over  
531 the coast may be associated with the higher uncertainties in MODIS retrievals at coastlines  
532 (Anderson et al., 2013).

533 Extreme AOD exhibits relatively large spatial scales of coherence in both the WRF-Chem  
534 simulations and MODIS L2 observations (Fig. 11). Consistent with prior analyses of L3  
535 MODIS data (Sullivan et al., 2015), the largest scales of coherence are found in fall. In all  
536 seasons except winter the probability of co-occurrence of extremes at the domain center and  
537 any other grid cell in the simulation domain is > 0.5 up to a distance of 300 km. The simulated  
538 mean seasonal scales of extreme coherence are comparable to L2 MODIS AOD (Fig. 11),  
539 despite the larger variability in the MODIS data due to the limited retrievals with simultaneous  
540 extreme AOD at the reference location and each other grid cell. Thus, consistent with prior  
541 research this analysis indicates the occurrence of extreme AOD occurs on large spatial scales  
542 and therefore may significantly impact regional climate.

#### 543 **4. Discussion and concluding remarks**

544 Aerosol direct and indirect radiative forcing on the climate system are highly uncertain. A  
545 systematic assessment of the ability of global and regional models to reproduce aerosol optical  
546 properties in the contemporary climate is essential to increasing confidence in future  
547 projections. We contribute to this growing literature by presenting high resolution (12 km)  
548 simulations from WRF-Chem conducted over eastern North America during a year  
549 representative of average meteorological and aerosol conditions. We evaluate the simulations  
550 relative to daily MODIS and MISR observations, high frequency AERONET measurements of  
551 AOD and AE and near-surface PM<sub>2.5</sub> mass and composition measurements. Results from this  
552 study show:

- 553 • After grid cells with any cloud presence are removed and considering only overpass  
554 hours, the domain averaged simulated mean AOD is 0.22. Simulated AOD is positively  
555 biased relative to observations, with MFB=0.14 when comparing with MODIS-Aqua  
556 and 0.50 relative to AERONET (Fig. 1 and 2). A clear north-south gradient in AOD  
557 bias vs. MODIS is also observed. This positive bias is consistent across the entire  
558 probability distribution at most AERONET stations (Fig. 9), and is also evident in

559 comparison of modeled near-surface PM<sub>2.5</sub> mass relative to daily mean observations  
560 distributed at 1230 stations across the domain (Fig. 3).

- 561 • Model skill in reproducing the spatial fields of monthly mean AOD as measured by the  
562 spatial correlation and ratio of the spatial variability with MODIS is maximized during  
563 the summer months ( $r \sim 0.5-0.7$ , and ratio of  $\sigma \sim 0.8$  to 1.2). During this season observed  
564 AOD is higher and more observations are available (Fig. 7). Lowest model-observations  
565 agreement is found in September and October and is at least partially attributable to a  
566 dry bias in precipitation from WRF-Chem (Fig. S4).
- 567 • In part because of the difficulties in retrieving robust estimates of AE, few previous  
568 studies have evaluated model simulated AE values. We show that AE as simulated by  
569 WRF-Chem over eastern North America is negatively biased relative to MODIS  
570 (MFB=-0.10) and AERONET (MFB=-0.59). This bias indicates WRF-Chem simulates  
571 a larger fraction of coarse mode particles than is evident in the remote sensing  
572 observations (see Table 3 and 5). While some of the bias relative to MODIS may reflect  
573 high observational uncertainty, the large bias relative to AERONET is consistent with  
574 prior research (Table 1), and is symptomatic of substantial systematic error in the  
575 aerosol size distribution.
- 576 • Causes of the model error may include insufficiently detailed treatment of size  
577 distribution or inaccurate representation of aerosol composition and mixing state which  
578 affect the simulated size distribution and thus AE (Li et al., 2015;Curci et al., 2014)).  
579 Further, weaknesses in the emission inventory (e.g. size resolution of primary  
580 emissions), as suggested by the systematic bias in simulated PM<sub>2.5</sub> concentrations  
581 relative to ground-based observations, and/or biases in the representation of  
582 meteorological conditions critical to determining aerosol nitrate concentrations may  
583 also affect model performance. Currently it is not possible to fully attribute the relative  
584 importance of these error sources.
- 585 • The majority of prior model evaluation exercises have tended to focus on mean AOD  
586 values. However, the climate and health impacts of aerosols are greater under high  
587 aerosol loadings. We demonstrate that WRF-Chem exhibits some skill in capturing the  
588 spatial patterns of extreme aerosol loading, especially during summer months. During  
589 this season, the Hit Rate for AOD >  $p_{75}$  reaches 70%. Largest biases are found during  
590 winter months and near the coastlines where AOD from MODIS also exhibits largest  
591 retrieval uncertainty.

592 Despite the encouraging performance of WRF-Chem both in terms of simulation efficiency and  
593 in reproducing AOD (mean and extreme values) and the partial skill in reproducing AE over  
594 eastern North America, further investigations are needed to properly quantify the value added  
595 by running high-resolution simulations by direct comparison with analogous runs at coarser  
596 resolution. Future simulations will also involve assessment of accuracy of different aerosol  
597 schemes (i.e. sectional vs. modal approaches) to represent the size distribution. The inclusion  
598 of a direct description of new particle formation processes within WRF-Chem may also  
599 improve estimates of ultrafine particle concentrations and thus of simulated aerosol optical  
600 properties.

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617 conditions from the North American Mesoscale model were obtained from the NOAA  
618 Operational Model Archive and Distribution System:  
619 [ftp://nomads.ncdc.noaa.gov/NAM/analysis\\_only/](ftp://nomads.ncdc.noaa.gov/NAM/analysis_only/).

620

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888 **Tables**

889 Table 1. Synthesis of some recent prior studies comparing simulated aerosol optical properties from global or regional model simulations with  
 890 remote sensing products. The first column summarizes the model used, the second the domain and the time period simulated and the third shows  
 891 the model resolution and summarizes the description of the aerosol size distribution. Columns 4 to 9 summarize the evaluation statistics in terms  
 892 of the overall correlation coefficient (R), bias (as described using the mean fractional error (MFE)) and root mean square error (RMSE) or mean  
 893 absolute error (MAE) relative to satellite or AERONET observations as reported in the references shown in column 10.

Model	Domain, Time	Resolution, Aerosol Approach	R AOD vs. Satellite	bias AOD vs. Satellite	R AOD vs. AERONET	bias AOD vs. AERONET	R AE vs. AERONE T	RMSE, MAE AE vs. AERONET	Ref
TOMAS in GISS	Global, 2000-2003	2°x2.5°, Sectional: 15 bins from 3 nm-10 µm	0.63 (average of monthly from 2004-2006, MODIS), 0.73 average of monthly from 2004-2006, MISR)	MFE: -29% (average of monthly from 2004- 2006, MODIS), -34% (average of monthly from 2004-2006, MISR)	-0.7-0.99 (monthly, 28)	-77-72% (monthly, 28)	N/A	N/A	(Lee et al., 2015)
GOCART with GEOS DAS	CONUS, 2006-2009	1°x1.25°, not specified	N/A	N/A	0.5 (2 hr. average at MISR overpass, 32)	N/A	0.43 (2 hr. average at MISR overpass, 32)	N/A	(Li et al., 2015)

GEMS/MACC aerosol module in CNRM-GAME and CERFACS	Global, 1993-2012	1.4°, Sectional, 12 bins	N/A	Mean relative bias -41-(-52)% (monthly, MISR)	<0-0.9 (monthly, 166)	N/A	N/A	N/A	(Michou et al., 2015)
CNRM-RCSM5	Mediterr., Summer 2012	50 km, Sectional, 12 bins	0.64 (seasonal, MODIS), 0.77 (seasonal, MISR), 0.65 (seasonal SEVIRI)	N/A	0.7 (daily, 30)	RMSE~1.75 (daily, 30)	N/A	N/A	(Nabat et al., 2015)
CHIMERE chemical transport model with WRF meteorology	Europe, Mediter. -10°-40°E, 30°-55°N, Summer 2012	50 km, Sectional: 5 bins, 40 nm-40 μm	0.35-0.75 (hourly, MODIS)	RMSE: 0.04-0.1 (hourly, MODIS)	0.44-0.73 (hourly, 65)	RMSE: 0.8-0.11 (hourly, 65)	N/A	N/A	(Rea et al., 2015)
MOCAGE	Global, 2007	2°x2°, Sectional: 6 bins per species	0.322 (daily MODIS)	normalized mean bias 0.098 (daily MODIS)	N/A	N/A	N/A	N/A	(Sič et al., 2015)
WRF-Chem	0°-10°E, 50°-55°N; -10°-15°E, 46°-57°N; -15°-30°E,	nested 2 - 30 km, modal	N/A	0.38±0.12 and 0.42±0.10 domain average AOD from MODIS and model respectively	N/A	N/A	N/A	N/A	(Tuccella et al., 2015)

	36°-62°N, 14-30 May 2008								
GOCART in GEOS	Global, 2000-2006	1°x1.25°, dust (8 bins 0.1-10 µm), sea salt (5 bins 0.03-10 µm), carbonaceous/sulfate (modal)	0.747, 0.72 E.US (monthly, MODIS)	N/A	0.707 (monthly, 53)	rms: 0.133 (monthly, 53)	0.81 (monthly, 53)	rms: 0.285 (monthly, 53)	(Colarco et al., 2010)
EMAC	Global, Year 2006	1.1°x1.1°, modal	N/A	Negative (North America)	0.27-0.60 (North America)	RMSE=0.1-0.2	>0.5 (Europe)	N/A	(de Meij et al., 2012)
GEOS-Chem	N. America, 06 July - 14 Aug 2004	2°x2.5°, modal	N/A	N/A	0.87 (study period mean, 24)	N/A	N/A	N/A	(Drury et al., 2010)
WRF-Chem	Europe and N. Africa, Year 2010	23 km, Modal and sectional (4 bins: 0.04-10 µm)	N/A	N/A	0.52 (mod) 0.51 (sect)	NMB=- 0.06(mod) NMB=-0.21 (sect) (daily, 12 stations)	N/A	N/A	(Balzarini et al., 2014)
RegCM4	South Asia,	50 km,	N/A	N/A	0.47-0.71	N/A	N/A	N/A	(Nair et al., 2012)

	2005-2007	Sectional (4 bins: 0.01-20 $\mu\text{m}$ )			Monthly, 6				
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895 Table 2. Physical and chemical schemes adopted in the WRF-Chem simulations presented  
 896 herein.

<b>Simulation settings</b>	<b>Values</b>
Domain size	300 × 300 cells
Horizontal resolution	12 km
Vertical resolution	32 levels up to 50 hPa
Timestep for physics	72 s
Timestep for chemistry	5 s
<b>Physics option</b>	<b>Adopted scheme</b>
Microphysics	WRF Single-Moment 5-class
Longwave Radiation	Rapid Radiative Transfer Model (RRTM)
Shortwave Radiation	Goddard
Surface layer	Monin Obhukov similarity
Land Surface	Noah Land Surface Model
Planetary boundary layer	Mellor-Yamada-Janjich
Cumulus parameterizations	Grell 3
<b>Chemistry option</b>	<b>Adopted scheme</b>
Photolysis	Fast J
Gas-phase chemistry	RADM2
Aerosols	MADE/SORGAM
Anthropogenic emissions	NEI (2005)
Biogenic emissions	Guenther, from USGS land use classification

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898



899 Table 3. Spatial Mean Fractional Bias (MFB) over the entire year. Recall

900 
$$MFB = \frac{1}{N} \sum_1^N \frac{C_m - C_0}{\frac{C_m + C_0}{2}}$$
, where  $C_m$  is the monthly mean AOD or AE simulated by WRF-Chem

901 at a specific location and  $C_0$  refers to the same quantity from MODIS/MISR/AERONET. Thus  
 902 a negative value indicates the model is negatively biased relative to the observations. The total  
 903 sample size  $N$  is 358,048 and 359,633 when comparing WRF-Chem with MODIS onboard  
 904 Terra and Aqua respectively. The comparison between MODIS and AERONET is affected by  
 905 a few outlier sites, so in parenthesis is the MFB when the three most biased sites are removed.  
 906 The mean domain averaged AOD and AE from WRF-Chem (after applying the cloud screen  
 907 and selecting only MODIS overpass hours) are 0.222 and 1.089, respectively.

Comparisons	MFB AOD	MFB AE
WRF-MODIS (Terra)	0.15	-0.09
WRF-MODIS (Aqua)	0.14	-0.11
WRF-MISR (Terra)	0.16	-0.11
WRF-AERONET	0.50	-0.59
MODIS (Terra)-AERONET	-1.23 (-0.91)	-0.13 (-0.11)

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910

911 Table 4. Contingency table used to compare the fraction of grid cells classified as fine ( $AE >$   
912  $1$ ) and coarse ( $AE < 1$ ) by MODIS and WRF-Chem.

		MODIS	
		Fine	Coarse
WRF-Chem	Fine	WF/MF	WF/MC
	Coarse	WC/MF	WC/MC

913

914

915 Table 5. Contingency table showing the fraction of grid cells simultaneously identified as fine  
 916 (WF/MF) or coarse (WC/MC) mode particles by WRF-Chem and MODIS, as well as cells with  
 917 different classification (columns 4 and 5). Recall a threshold of AE = 1 is used to define fine  
 918 (AE>1) and coarse mode (AE<1) dominance. Months in bold indicate the distribution of  
 919 observed and simulated fine/coarse mode fractions are significantly different (p-value < 0.01)  
 920 according to the  $\chi^2$  test described in Sect. 2.3.

Month	WF/MF	WC/MC	WF/MC	WC/MF
1	0.025	0.176	0.007	0.792
<b>2</b>	0.030	0.241	0.004	0.725
<b>3</b>	0.005	0.297	0.001	0.697
4	0.013	0.230	0.004	0.753
<b>5</b>	0.141	0.204	0.028	0.628
<b>6</b>	0.541	0.122	0.055	0.283
<b>7</b>	0.623	0.094	0.030	0.252
<b>8</b>	0.520	0.061	0.017	0.402
<b>9</b>	0.561	0.118	0.032	0.288
<b>10</b>	0.486	0.145	0.088	0.281
<b>11</b>	0.321	0.179	0.058	0.442
<b>12</b>	0.164	0.248	0.015	0.573
mean	0.286	0.176	0.028	0.510

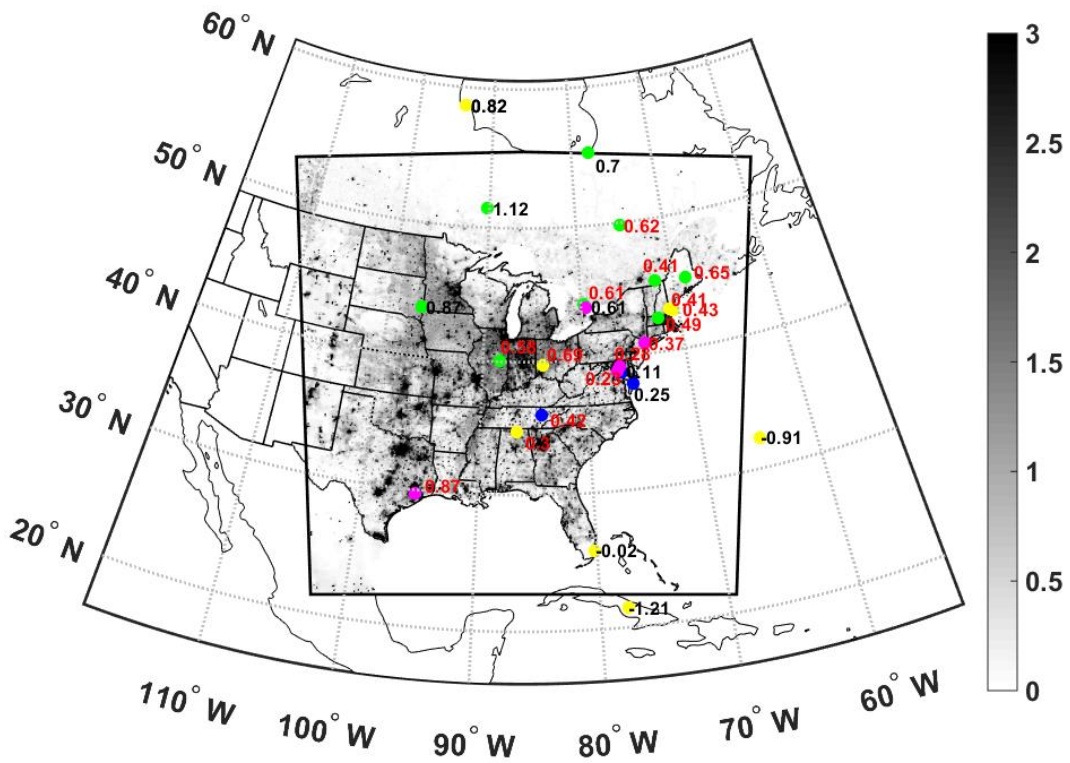
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923 Table 6. Synthesis of the skill with which WRF-Chem identifies the spatial distribution and  
 924 location of extreme AOD values. Cells with extreme AOD are identified as exceeding the 75<sup>th</sup>  
 925 percentile computed on a monthly basis across space from monthly averaged daily means. The  
 926 second column reports the Accuracy, which indicates the spatial coherence of extremes and  
 927 non-extremes between WRF-Chem and MODIS. The Accuracy metric is computed as the sum  
 928 of cells co-identified as exceeding the 75<sup>th</sup> percentile and not exceeding that threshold by WRF-  
 929 Chem and MODIS (Terra) relative to the total number of cells with valid data (fifth column,  
 930 *N*). The third column reports the Threat Score (*TS*) which indicates the probability of correctly  
 931 forecasting extreme AOD conditional upon either forecasting or observing extremes. The fourth  
 932 column shows the Hit Rate (*HR*) (i.e. probability of correct forecast), which is the proportion  
 933 of cells correctly identified as extremes by WRF-Chem relative to MODIS extremes. Values in  
 934 parenthesis refer to the same metrics when comparing WRF-Chem and MODIS onboard the  
 935 Aqua satellite.

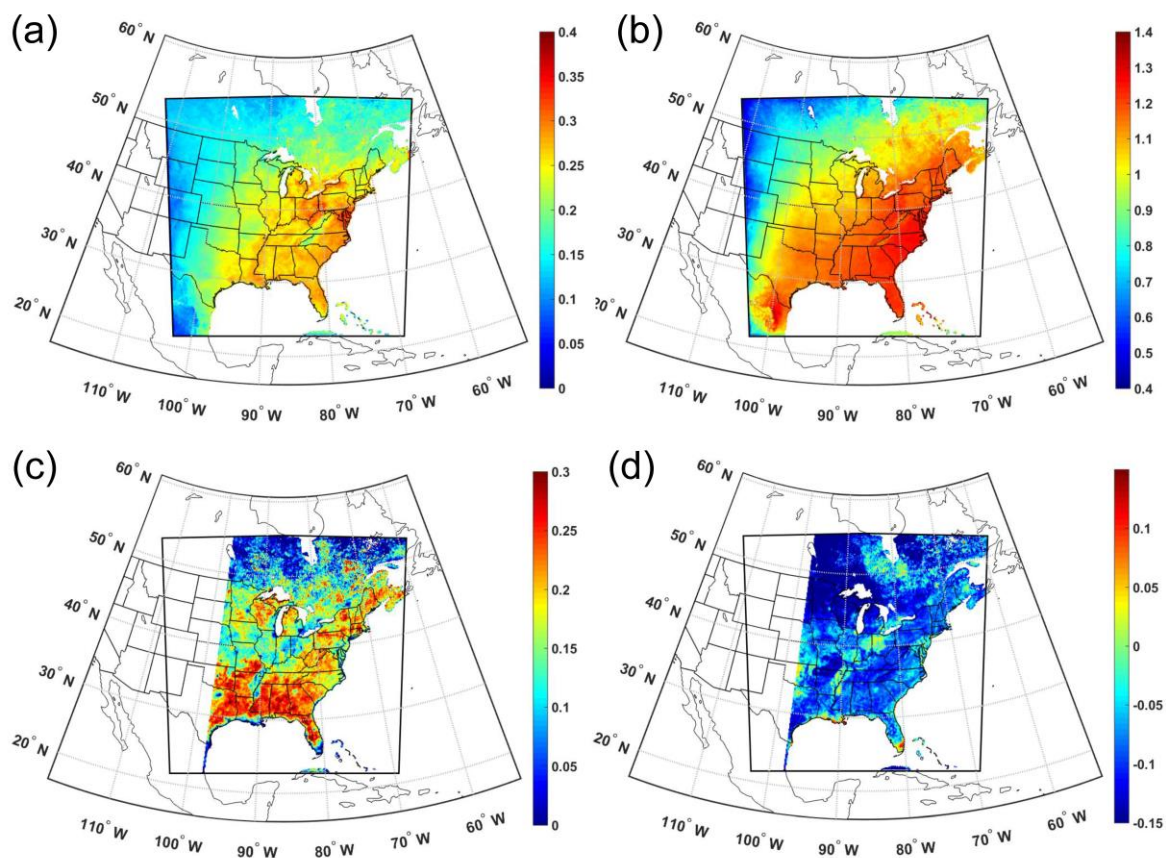
Month	Accuracy	TS	HR	N
Jan	0.664 (0.651)	0.196 (0.178)	0.328 (0.302)	14899 (15051)
Feb	0.654 (0.583)	0.182 (0.091)	0.308 (0.167)	13721 (13643)
Mar	0.656 (0.647)	0.185 (0.173)	0.312 (0.295)	16641 (16541)
Apr	0.645 (0.680)	0.169 (0.219)	0.289 (0.360)	25265 (24974)
May	0.664 (0.699)	0.196 (0.248)	0.327 (0.397)	32770 (31239)
Jun	0.796 (0.800)	0.420 (0.428)	0.592 (0.600)	36148 (34654)
Jul	0.850 (0.823)	0.538 (0.477)	0.700 (0.646)	36055 (35480)
Aug	0.834 (0.832)	0.500 (0.496)	0.667 (0.663)	39173 (39130)
Sep	0.667 (0.665)	0.200 (0.197)	0.333 (0.329)	35883 (35081)
Oct	0.656 (0.665)	0.185 (0.198)	0.311 (0.330)	29662 (26456)
Nov	0.703 (0.696)	0.254 (0.245)	0.405 (0.393)	21630 (19538)
Dec	0.648 (0.653)	0.173 (0.181)	0.295 (0.306)	14914 (14527)
Mean	0.703 (0.699)	0.266 (0.261)	0.406 (0.399)	26397 (25526)

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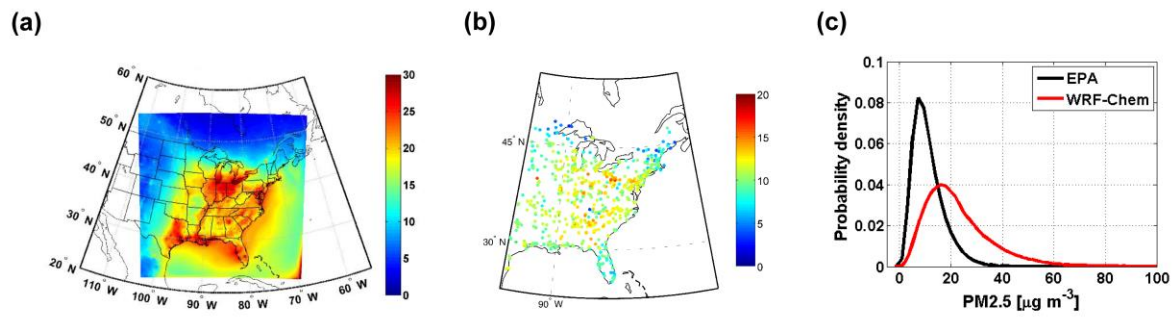
939 Figure 1. Location of the AERONET stations (colored dots) used in this study and mean daily  
 940 PM<sub>2.5</sub> emissions [ $\text{mg m}^{-2} \text{day}^{-1}$ ] during 2008 (gray shading). Colors indicate the AERONET site  
 941 classification based on (Kinne et al., 2013): polluted (magenta), land (green), coastal (blue), un-  
 942 classified (yellow). The numbers are MFB for WRF-Chem vs. AERONET stations (red  
 943 numbers indicate WRF-Chem vs. AERONET has a larger MFB than WRF-Chem vs. MODIS  
 944 whereas black numbers indicate a lower bias in the comparison with AERONET).



945

946 Figure 2. Mean (a) AOD and (b) AE simulated by WRF-Chem during the year 2008. The mean  
 947 values are computed after applying a cloud mask and are for the Terra overpass time. Mean  
 948 Fractional Bias (MFB) for (c) AOD and (d) AE for WRF-Chem relative to MODIS (Terra)  
 949 (similar results are found for Aqua). The inner black frame indicates the entire model domain,  
 950 while as stated in the text model evaluation is only undertaken for longitudes east of 98°W.

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953 Figure 3. Mean daily  $\text{PM}_{2.5}$  concentrations [ $\mu\text{g m}^{-3}$ ] during 2008 as (a) simulated by WRF-  
 954 Chem in the layer closest to the surface and (b) observed at 1230 EPA sites (note the different  
 955 colorbar). Panel (c) shows the probability distribution of daily mean  $\text{PM}_{2.5}$  concentrations  
 956 observed (black line) and simulated (red line) at the measurement stations.

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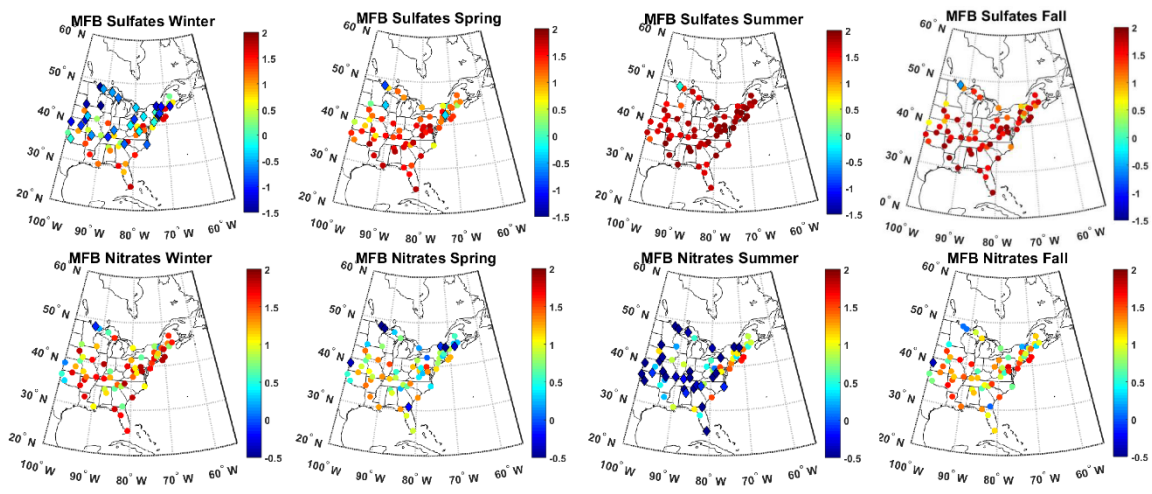
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969 Figure 4. Mean fraction bias (MFB) of near-surface daily mean sulfate (first line) and nitrate  
 970 (second line) concentrations in fine aerosol particles as simulated by WRF-Chem and observed  
 971 in PM<sub>2.5</sub> measurements at 123 IMPROVE sites in different seasons. A positive MFB indicates  
 972 WRF-Chem overestimates the observations. Note the scales differ between the frames shown  
 973 for sulfate and nitrate MFB and dots/diamonds refer to positive/negative MFB.

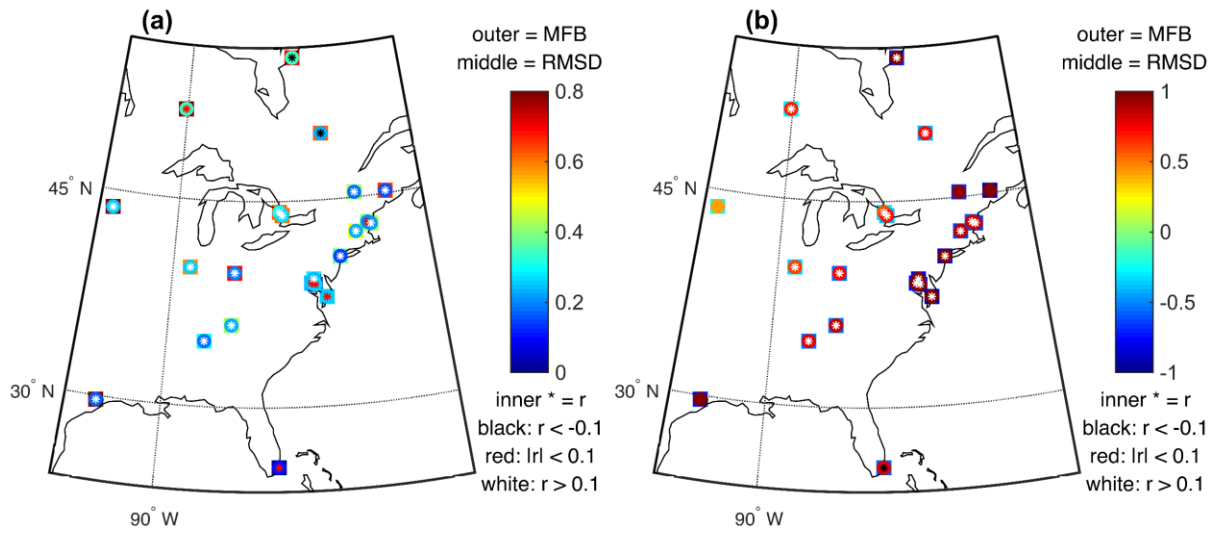
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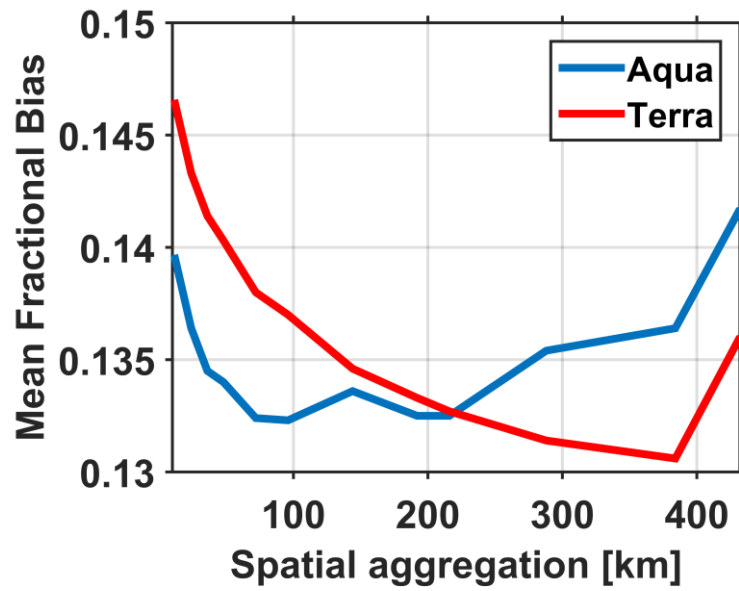
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979 Figure 5. Summary statistics of comparisons of WRF-Chem simulations of (a) AOD and (b)  
980 AE relative to simultaneous observations at the AERONET sites. For a location to be included  
981 in this analysis at least 20 coincident observations and simulations must be available. The  
982 symbols at each AERONET station report MFB (outer square), root mean squared difference  
983 (RMSD, inner circle) and correlation coefficient ( $r$ , inner \*). Note the different colorbar for  
984 MFB and RMSD between the two frames. The correlation coefficient is displayed with different  
985 colors according with 3 classes:  $r < -0.1$  (black),  $|r| < 0.1$  (red) and  $r > 0.1$  (white).

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988 Figure 6. Mean Fractional Bias (MFB) on AOD from WRF-Chem as a function of spatial  
 989 aggregation relative to observations from Terra (red line) and Aqua (blue line).

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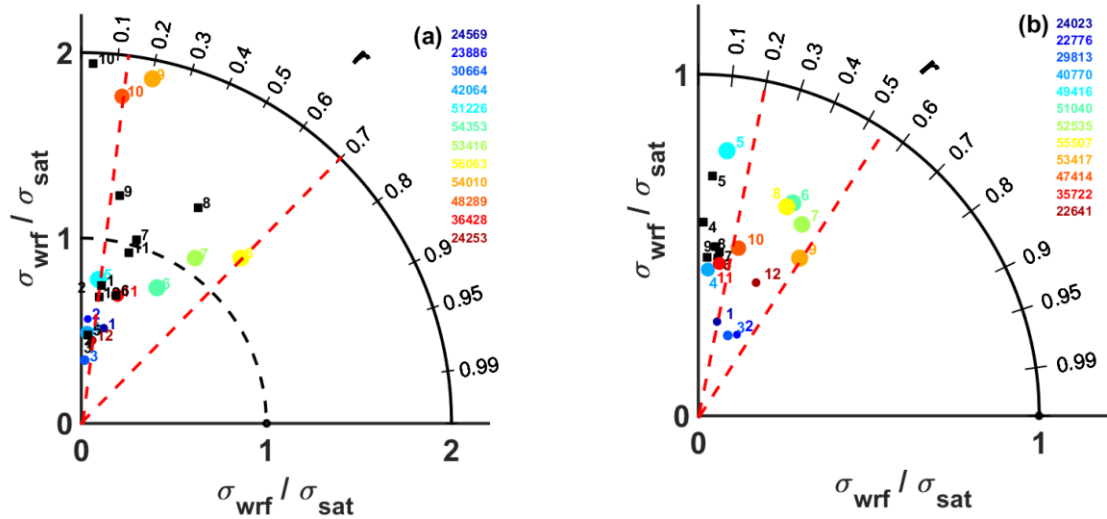
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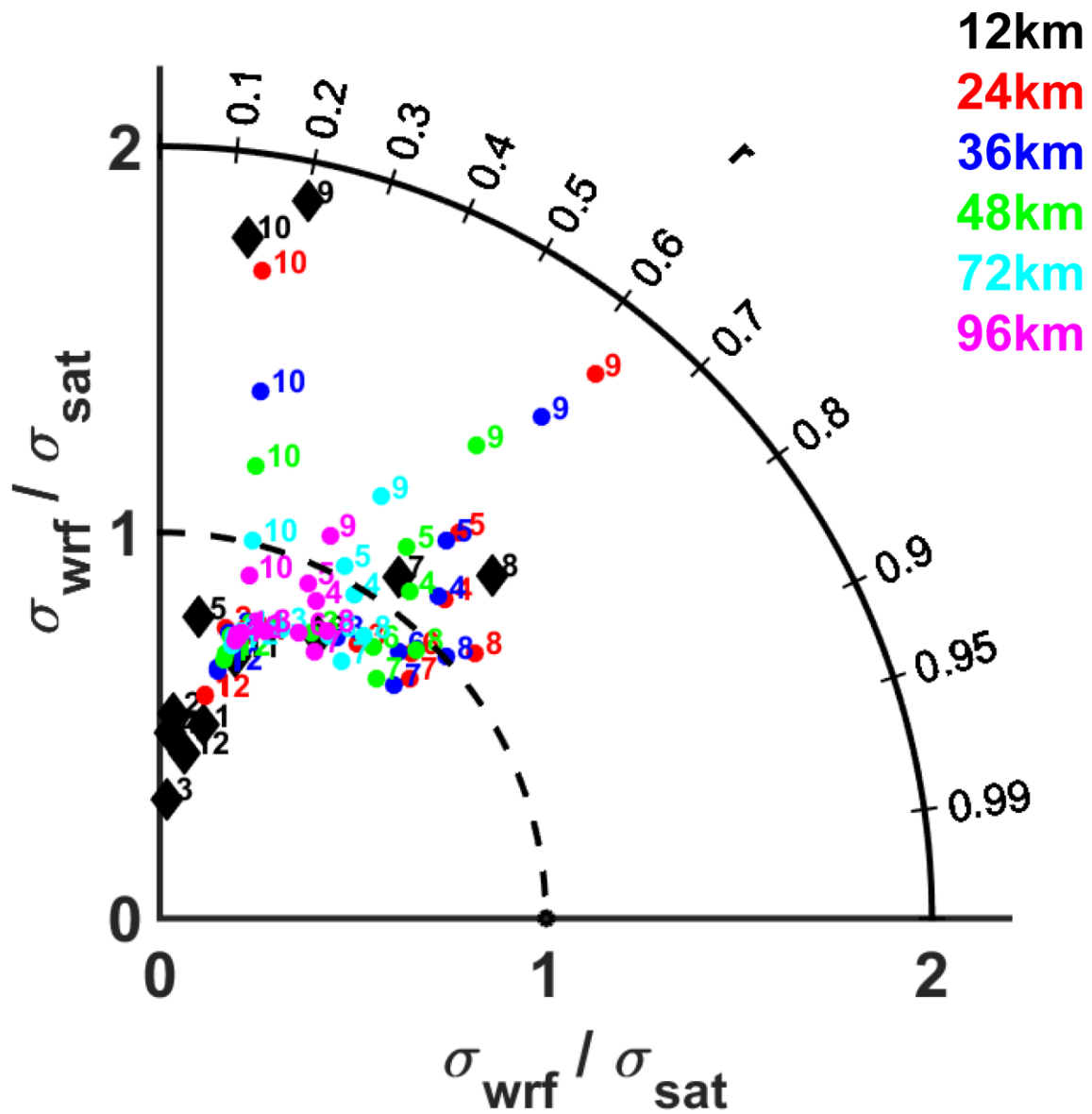
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1006 Figure 7. Taylor diagrams comparing the spatial fields of monthly mean (a) AOD and (b) AE  
 1007 from WRF-Chem vs MODIS-Terra (color dots) or MISR (black squares). The numbers shown  
 1008 in the frames denote the month (e.g. 1 = Jan). The numbers shown in the legend indicate the  
 1009 sample size of WRF-Chem data used for computing the monthly mean and the scale of the dots  
 1010 is proportional to the sample size. Note the change in scale for the ratio of standard deviations  
 1011 between the frames. The red dashed lines define the sector with Pearson correlation coefficient  
 1012 between (a) 0.12-0.70 for AOD and (b) 0.20-0.54 for AE which comprise at least two thirds of  
 1013 the months. Each dot/square summarizes the statistics (i.e. RMSD, ratio of standard deviations  
 1014 and correlation coefficient) of the WRF-Chem vs MODIS/MISR comparison for a single  
 1015 month.

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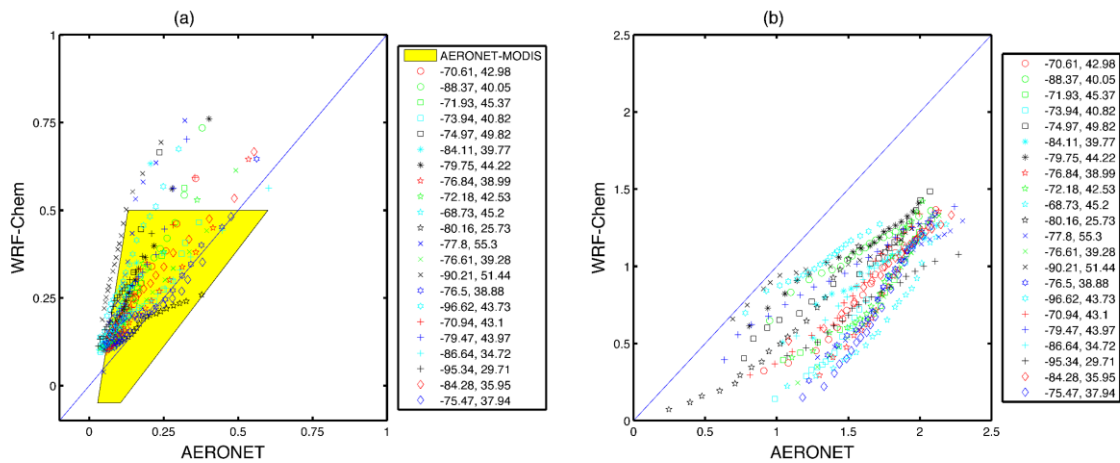
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1018 Figure 8. Taylor diagrams for AOD when MODIS observations and WRF-Chem simulations

1019 at 12 km are spatially aggregated to 24, 36, 48, 72 and 96 km. Numbers next to the colored

1020 dots/diamonds indicate different months (e.g. 1 = Jan).

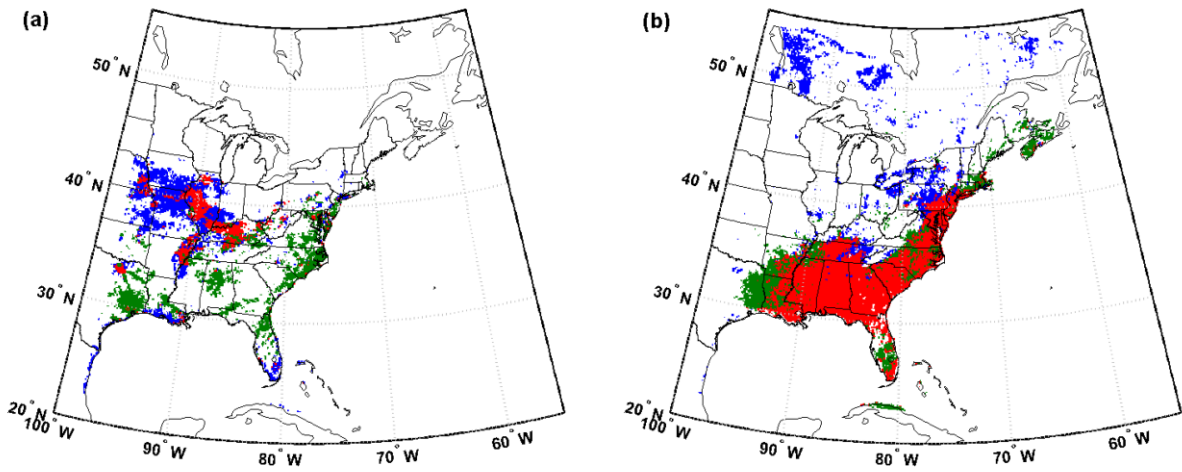
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1023 Figure 9. Empirical quantile-quantile (EQQ) plots of (a) AOD and (b) AE of the 5<sup>th</sup> to 95<sup>th</sup>  
 1024 percentile as simulated by WRF-Chem relative to 22 AERONET stations (their longitude (E)  
 1025 and latitude (N) is reported in the legend). The yellow shading shows the data envelope for  
 1026 EQQ plots of AERONET and MODIS. For inclusion in the analysis a location must have at  
 1027 least 20 coincident observations and simulations in the grid cell containing the AERONET  
 1028 station. Note MODIS uncertainty in the retrievals ( $\pm 0.05$ ) in near zero AOD conditions may  
 1029 lead to negative AOD values which are considered valid. The parameter space for MODIS-  
 1030 AERONET comparisons of AE are not shown because AE from the MODIS L2 data product  
 1031 are strongly bimodal (see examples given in Fig. 1 in the Supplementary Materials).

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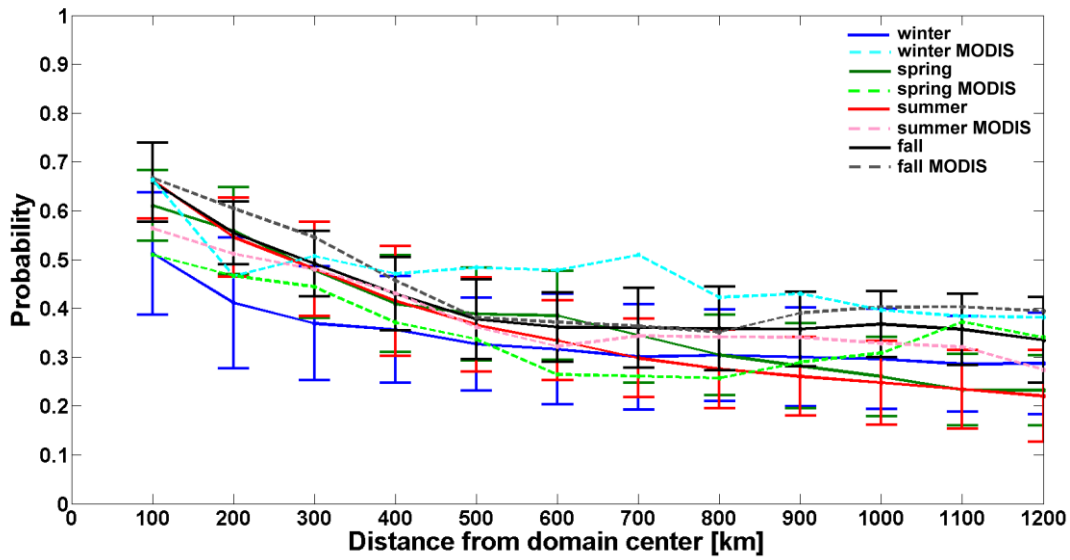


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1034 Figure 10. Spatial coherence in extreme AOD (i.e. the occurrence of AOD above the 75<sup>th</sup>  
 1035 percentile value) from WRF-Chem and MODIS Terra during (a) March (03/2008) and (b) July  
 1036 (07/2008). Green areas denote grid cells defined as experiencing extreme AOD only in the  
 1037 WRF-Chem simulations, blue pixels indicate extreme values as diagnosed using MODIS, while  
 1038 red pixels indicate areas where the occurrence of extreme values is indicated by both the WRF-  
 1039 Chem simulations and the MODIS observations.

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1043 Figure 11. Mean and error bars ( $\pm 1$  standard deviation from the mean) of the probability of co-  
1044 occurrence of extreme AOD (i.e. AOD  $> 75^{\text{th}}$  percentile) at the reference location (i.e. domain  
1045 center) and any other simulated grid cell during different seasons. The distance between the  
1046 reference point and each grid cell centroid was binned using 100 km distance classes. Solid  
1047 lines indicate mean seasonal spatial scales simulated by WRF-Chem, whereas dashed lines are  
1048 observed means from L2 MODIS data (only the mean of the coherence ratios is plotted for the  
1049 MODIS data).

1050