- 1 Decadal-Scale Relationship Between Measurements of Aerosols, Land-Use Change, and Fire over
- 2 Southeast Asia
- 3 Jason Blake Cohen1\*, Eve Lecoeur2, and Daniel Hui Loong Ng2
- 4 [1] School of Atmospheric Sciences, Sun Yat-Sen University, Guangzhou, China
- 5 [2] Tropical Marine Sciences Institute, National University of Singapore, Singapore
- 6 \* Corresponding Author: Jason Blake Cohen (email: jasonbc@alum.mit.edu)

## 7 Abstract.

A simultaneous analysis of 13 years of remotely sensed data of land cover, fires, 8 precipitation, and aerosols from the MODIS, TRMM, and MISR satellites and the AERONET 9 network over Southeast Asia is performed, leading to a set of robust relationships between land-use 10 change and fire being found on inter-annual and intra-annual scales over Southeast Asia, reflecting 11 the heavy amounts of anthropogenic influence over land use change and fires in this region of the 12 world. First, we find that fires occur annually, but with a considerable amount of variance in their 13 onset, duration, and intensity from year to year, and from two separate regions within Southeast 14 15 Asia from each other. Second, we show that a simple regression-model of the land-cover, fire, and 16 precipitation data can be used to recreate a robust representation of the timing and magnitude of measured AOD from multiple measurements sources of this region using either 8-day (better for 17 18 onset and duration) or monthly based (better for magnitude) measurements, but not daily 19 measurements. We find that the reconstructed AOD matches the timing and intensity from 20 AERONET measurements to within 70% to 90% and the timing and intensity of MISR 21 measurements from to within 50% to 95%. This is a unique finding in this part of the world, since 22 cloud-covered regions are large, yet the model is still robustly capable, including over regions 23 where no fires are observed and hence no emissions would be expected to contribute to AOD. Third, 24 we determine that while Southeast Asia is a source region of such intense smoke emissions, that 25 portions of it are also impacted by smoke transported from other regions. There are regions in 26 Northern Southeast Asia which have two annual AOD peaks, one during the local fire season, and 27 the second smaller peak corresponding to a combination of some local smoke sources as well as 28 transport of aerosols from fires in Southern Southeast Asia, and possibly even from anthropogenic 29 sources in South Asia. Overall, this study highlights the importance of taking into account a 30 simultaneous use of land-use, fire, and precipitation for understanding the impacts of fires on the 31 atmospheric loading and distribution of aerosols in Southeast Asia over both space and time.

- 32 Furthermore, it highlights that there are significant advantages using 8-day and monthly average
- values (instead of daily data), in order to better quantify the magnitude and timing of Southeast Asia

34 fires.

35

### 1 Introduction

Southeast Asia has been experiencing major haze events over the past three to five decades, 36 due to a combination of increased urbanization (Cohen and Wang, 2014; Cohen and Prinn, 2011) 37 and large-scale conversion of forests by fire (Cohen, 2014; van der Werf et al., 2008; Taylor, 2010; 38 Dennis, 2005). The underlying connections and mechanisms relating the sources and strength of 39 fire-based emissions and observed intra-annual, inter-annual, and inter-decadal variations of fire 40 events, with meteorology, land-use change, and anthropogenic driving factors are not well 41 understood (van der Werf et al., 2006; Giglio et al., 2006; Hansen et al., 2008; Field et al., 2009). 42 Moreover, recent studies have shown that the impacts these events have on the atmospheric loading 43 of aerosols and the larger climate are becoming greater in both absolute terms and frequency 44 (Langmann et al., 2009; Nakajima et al., 1999; Podgorny et al., 2003; Rosenfeld, 1999). Some of 45 the heaviest events, which previously in the literature were only associated only with strong El-46 Nino induced drying events, are now being found to occur in connection with other, less extreme 47 impacts on precipitation and even surface moisture, occurring at various scales including but not 48 limited to the Indian Ocean Dipole, the Madden-Julian Oscillation, the shifting of the Inter-Tropical 49 Conversion Zone, mountain induced waves, the land-sea breeze and localized convection (Fuller 50 and Murphy, 2006; Wooster et al., 2012; Natalia Hasler and Avissar, 2009; Reid et al., 2013). The 51 fact that so many factors are capable of influencing these large-scale events is likely to make 52 prediction much more challenging, as is seen by the fact that since 2000, there were extreme events, 53 of varying intensity, length, and duration, occurring in 2002, 2004, 2006, 2009, 2013, and 2014 in 54 Southern Southeast Asia, the region covering Indonesia, Malaysia, Singapore, and Brunei, and 55 every year except 2003 in Northern Southeast Asia, the region covering Thailand, Myanmar, 56 29 Cambodia, Vietnam, and Laos (Neale and Slingo, 2003; Chang et al., 2005; Aldrian et al., 2007; Cohen, 2014; Wooster et al., 2012). To date, other than (Cohen, 2014), there have been no other 57 studies that have looked at Southeast Asian fires both robustly and holistically, to the extent of being 58

able to reproduce both the extreme and low levels of aerosols at both the monthly and the decadal
scale. Furthermore, other than (Cohen, 2014; Cohen and Wang, 2014) there are no other works that
have been able to satisfactorily estimate the emissions of aerosols over this region of the world,
from the fundamentals and over the entire time period, without scaling or other statistical
enhancement techniques, to match with atmospheric column measurements, such as aerosol optical
depth (AOD) and absorbing aerosol optical depth (AAOD).

Knowledge of the spatial, temporal, and magnitude of the emissions and atmospheric 65 loadings is essential for our improved understanding of the environmental impacts of the fires. 66 Emissions of aerosols and gases from these fires, include significant sources of black carbon (BC), 67 organic carbon (OC), and ozone, and therefore contribute greatly towards impacting human health 68 (Afroz et al., 2003), atmospheric radiative forcing (Wang, 2007; Jacobson, 2001; Ming et al., 2010; 69 Ramanathan and Carmichael, 2008; Cohen et al., 2011), and cloud and precipitation properties 70 (Huang et al., 2006; Tao et al., 2012; Wang, 2013). Furthermore, given the general circulation of the 71 Earth, and the lack of precipitation during the dry season in the tropics, coupled with intense 72 localized convection, a large portion of the emitted pollutants will spread widely in space and time, 73 entering into the global-scale circulation patterns (Wang, 2007). Therefore, emissions from these 74 regions during these times of the year may have a significant impact on people and the environment 75 thousands of kilometers away from their source. 76

AOD can be used to quantify the emissions from the fires, since it is the non-dimensional vertical integral of the atmospheric extinction (the sum of scattering and absorbance) of solar radiation due to aerosols. AOD is useful since it can be measured by a combination of land-based and space-borne instruments (Holben et al., 1998; Petrenko et al., 2012; Dubovik et al., 2000). The extinction is in turn a function of the vertical aerosol mass and size distributions as well as chemical, physical, and optical properties. These values in turn are a function of the emissions and gasses from fires and other various anthropogenic sources, in-situ processing, washout from precipitation, and atmospheric transport. Hence, the emissions of primary BC and OC from these
fires, coupled with other secondary species, has a functional relationship with the change in the
AOD, which otherwise would not have occurred over these fire regions and downwind, at these
specific times, if the fires were not present.

This paper uses these relationships and goes one step further, to make the link between 88 measurements of land-use change and fires directly with the atmospheric column measurements, 89 with fires the intermediary step between the two. This is because rapid conversion of forests, 90 agricultural lands, and associated waste products by burning is one of the primary sources of 91 aerosols throughout Southeast Asia (Langmann et al., 2009; Miettinen et al., 2013). However, little 92 is known about the exact spatial and temporal distribution of these fires (Fu et al., 2012; Chen et al., 93 2016; Zhou et al., 2016). Furthermore, the inter-annual and intra-annual variability of biomass 94 burning and its associated underlying mechanisms are also not well understood or constrained by 95 measurements, leading to the current poor understanding of fires impact on the local and global 96 aerosol climatology (van der Werf et al., 2006). Furthermore, Southeastern Asia is often covered 97 with clouds, which further complicates detecting both fire and the pollution that comes from it 98 (Miettinen et al., 2013; Giglio et al., 2006; Remer et al., 2013). A few studies have looked at this 99 and give estimates that the emissions are underestimated, up to a factor of 4 times (Giglio et al., 100 2003, 2006; Petrenko et al., 2012; Cohen, 2014; Cohen and Wang, 2014). 101

Given that large-scale fires lead to abrupt and definitive changes in the vegetative properties, we employ a set of measures of land surface properties which have a long time-record, such as LAI (Leaf Area Index), NDVI (Normalized Difference Vegetation Index), and the number of 1km by 1km pixels with a measured fire (Fire Count). While we know that some changes may be masked, obscured, or otherwise missing, any observed abrupt changes in these variables or the land's properties itself must be linked at a minimum with any observed changes in the AOD itself.

108 Moreover, since the onset and the offset on the Asian Monsoon controls the start and end of the fire

seasons by rapidly changing from relatively dry to intensely wet and visa versa (Hansen et al., 109 2008), large scale changes in the monthly-scale precipitation is a proxy for the ability of the fires to 110 occur, as well as washout of aerosols. Therefore, precipitation is also intimately linked with 111 measured AOD over Southeastern Asia. This is even more important given that there are only very 112 few studies that have been able to quantify emissions over this region successfully, over the decadal 113 scale, without resorting to statistical scaling, in relation to measured AOD and AAOD. Furthermore, 114 the few emissions datasets that have been made are not capable of working at a higher frequency 115 than monthly. Additionally, they have not been directly linked to the changes in the land surface 116 properties that should be driving them (Cohen and Wang, 2014; Cohen, 2014). 117

118

## 119 **2** Data and Methods

Several remotely sensed and surface measurements of the surface land properties (LAI and 120 NDVI), the number of active fires (Fire Count), aerosol (AOD), and precipitation (rainfall) are used 121 in this study. These are used in conjunction with advanced analytical procedures to determine the 122 regions which contribute the most to the variance of the impact of fires on the atmosphere loading 123 of aerosols as observed by the AOD. This analysis, in addition to its own results, leads to the 124 production of a simple statistical multi-year constrained model, which is shown to be capable of 125 reproducing the AOD as a function of the land use, fire, and precipitation measurements, even in 126 additional years, and even as tested against measurements of AOD from different sources. All of the 127 details of the measurements used, the procedures and methods employed, and the statistical and 128 analytical techniques employed are detailed below. 129

130

### 131 **2.1 Geography**

132 The domain of interest for this study is Southeast Asia, which we define here as the region

133 spreading from 90°E to 130°E in longitude, and from 14°S to 23°N in latitude (see Figure 1). The

subregion defined as Northern Southeast Asia is defined by a mostly large continental land masses
and a single Wet Season each year, and consists of Thailand, Myanmar, Cambodia, Laos, Vietnam,
and parts of Southern Greater China. The subregion defined as Southern Southeast Asia is defined
by a mixture of land and water and has two Wet Seasons each year, and consists of Malaysia,
Indonesia, Brunei, and Singapore. Maps of the fires in January 2013 and September 2013
respectively are given in Appendices C1 and C2.

140

# 141 **2.2 Measured Data**

For the basic remotely sensed measurements used in the analysis, model construction, and 142 results, we use remotely sensed variables from the MODIS instrument on both the TERRA and 143 AQUA satellites. Measurements of AOD (Levy et al., 2013) are from Collection 6, Level 2 product, 144 swath-by-swath at 0.55 micron, and consist of both over land and over ocean, cloud-cleared pixels, 145 measured daily with a spatial resolution of 10km by 10km at nadir. Each swath of only quality 146 controlled pixels of AOD data, from January 1, 2001 through December 31, 2013, has been 147 interpolated onto a consistent and standardized 0.1° by 0.1° square grid. 148 It has been shown that there is an slightly biased uncertainty in the measurement of AOD of 149 -0.02-0.10\*AOD +0.04+0.1\*AOD over the ocean and +/- 0.05+0.15\*AOD over the land (Levy et 150 al., 2013; Sayer et al., 2012). However, over this region, the magnitude of the "noisy floor" is small 151 compared to the linear term, given that the AOD in polluted regions goes as high as 1.5 to 2.0. And 152 while this linear term seem to not be too small, it is actually guite small compared to the difference 153 between the peaks and the troughs as obtained by the variance maximizing technique. Additionally, 154 as shown by (Cohen and Wang, 2014) and others, this error is sufficiently small as to not impact the 155 end results, especially when compared with the uncertainties in the current best-generation of 156 models and the dynamics of the atmosphere itself. In these cases, the models tend to be lucky to 157 obtain measurements within a 20% to 30% range of the measurements, and often perform more 158

poorly than this (e.g. Cohen and Prinn, 2011; Cohen and Wang 2014). AOD is a measure of the 159 vertical sum of the extinction of sunlight (scattering plus absorption) through the atmosphere due 160 to aerosol particles, and therefore is a function of the atmospheric loading of aerosols, washout 161 from precipitation, and the vertical, size, and optical properties of the aerosols. Hence, there is a 162 physical relationship between measured changes in AOD and the emissions and subsequent in-situ 163 atmospheric processing of aerosols. It has been shown that strong spatial and temporal variability in 164 AOD measurements over this part of the world are due to biomass burning from this region of the 165 world, while large measurements of AOD which mostly only co-vary only with precipitation 166 (washout) are more consistent with urban emissions (Cohen, 2014; Cohen and Wang, 2014). 167 To estimate the land-surface and fire responses we also use the measured values of LAI, 168 NDVI, and Fire Count from MODIS (Nightingale et al., 2008; Yang et al., 2006; Huete et al., 1999; 169 Giglio et al., 2003). Measurements of LAI and Fire Count (Collection 5.1, Level 2 product) are 170 made on an 8-day average basis at 1km by 1km horizontal resolution. While for NDVI the 171 measurements are on a 16-day average basis at 1km by 1km horizontal resolution. Each product is 172 then aggregated onto the same consistent and standardized 0.1° by 0.1° square grid used for the 173 AOD. All measurements only use data which has been quality assured to be cloud free. However, in 174 this region, there are some optically thin clouds that will not be picked up, and this may 175 significantly bias the measurements of Fire Count, which are inherently based on IR measurements, 176 but should not be as impacting on LAI and NDVI, which both depend mostly on measurements in 177 the visible bands. 178 LAI is chosen since it represents the amount of leaf material in an ecosystem and hence is 179

180 useful both for identifying if there was a sudden change in the amount of vegetation available and 181 its condition (Asner et al., 2003), such as expected after leaves are consumed in a fire. It is 182 geometrically defined as the total one-sided area of photosynthetic tissue per unit ground surface 183 area. LAI values range from 0 for bare ground, to the range of 1 to 4 for grassland and crops, to the

184 range of 5 to 9 for plantations, and as high as 10 for dense conifer forests. One of the large drawbacks of using LAI in this region of the world is that it is hard to analyze the variance in the 185 LAI over areas that are used for non-forest agriculture. This is because the LAI is considerably 186 lower than the tropical primary and secondary forests. Hence, after a burning event, the absolute 187 magnitude of the LAI and hence the amount of variance is lower. Yet, this is the more robust land 188 surface variable, given that it uses many of the wavebands from MODIS. For this reason, the 189 variance in the LAI is most helpful in determining deforestation from fire, particularly in regions 190 which are not found to have hotspots. 191

Fire Count determines how many of the pixels within the area have an active fire. It is based 192 on a two factors, first if there is a sufficient amount of infrared emissions to determine that there is 193 an absolute detection of a fire of sufficient strength. The second factor is whether the detected 194 surface temperature is sufficiently variable as compared to the surrounding pixels. Given the 195 complexity involved with using infrared and visible streams for the fire count, as well as the 196 possibility of thin clouds obstructing this measurement, we only use quality assured Fire Count 197 198 values, those with a value corresponding to 7 or more. In this study, it is found that the number of 199 Fire Count can vary from 0 to more than 5000 (with a corresponding value of 8) or more than 600 200 (with a corresponding value of 9) on a monthly basis.

NDVI is also chosen since it represents a measure of the health of the vegetation. NDVI is mathematically calculated from the visible (VIS) and near-infrared light reflected(NIR) by the vegetation as follows:  $NDVI = \frac{NIR - VIS}{NIR + VIS}$ . Healthy vegetation absorbs most of the visible light that hits it, and reflects a large portion of the near-infrared light. On the other hand, unhealthy or sparsely healthy vegetation, such as after being burned, reflects more visible light and less near-

 $_{207}$  infrared light. Given this formula, a value close to zero (-0.1 to 0.1) implies that there the land is

barren with respect to living and green vegetation, whereas values close to +1.0 correspond to the

highest density of healthy green leaves. NDVI is an ideal way to search for the ratio of the 209 magnitude of the variance to the absolute mean. This is because the variance in the change in the 210 health is actually proportionate to the initial value. In this case, while the overall variance is not too 211 much throughout the region, the ratio is considerably high in regions which undergo rapid change 212 such as from burning. However, such changes are not very useful for looking at small changes over 213 large periods of time, and more are useful at looking at changes occurring over short periods of 214 time. This is one way to overcome the issue of regeneration, either due to natural regrowth or due to 215 anthropogenic planting. 216

Furthermore, since the onset of the monsoon brings sufficiently large amounts of 217 precipitation that it usually leads to the end of the fire season (Cohen, 2014; Natalia Hasler and 218 Avissar, 2009), knowledge of the rainfall rate is important. For this, we use TRMM measurements 219 of precipitation, as generated by the 3B42 algorithm. This produces daily average precipitation 220 measurements at 0.25° by 0.25° spatial resolution over the areas of interest for this work. 221 To validate the results, we also use two additional measurement platforms for AOD from 222 AERONET and MISR. From AERONET (Holben et al., 1998) we either use available AOD at 0.55 223 microns or interpolate the surrounding wavelength-specific measurements to 0.55 microns, at 9 224 different stations located in the region of interest. We use all individual Level 2.0 data points, cloud 225 226 screened and validated, and then averaged to form a daily value, where a sufficient amount of data 227 is available. At the four stations where there is insufficient data, we use individual Level 1.5 data points. However, before forming the daily average value in the case of Level 1.5 data, we only 228 retain the AOD measurements when the corresponding Angstrom Exponent is larger than 0.2. 229 230 giving us reassurance that the product is relatively cloud-free. This has been tested by varying the sensitivity from 0.1 to 0.4 (the minimum physically acceptable value must be positive) and there is 231 232 little change in the end result. Although AERONET is the most precise measurement platform for 233 AOD, it is limited in spatial coverage. Therefore we also use measurements from MISR (Diner et

al., 1998; Kahn et al., 2010) of AOD at 0.55 microns, with a monthly temporal resolution and a 0.5° 234 by 0.5° spatial resolution. The reason for choosing MISR is that it has a smaller error with respect to 235 AERONET over this region of the world than any other satellite platform, (Petrenko and Ichoku, 236 2013) which allows us to provide spatially distributed validation. Although MISR has a more 237 narrow swath-width than MODIS, in this region of the world, there are actually more data points 238 that are retrieved at the AERONET stations and that the error is lower in comparison to the 239 AERONET Measurements. This is partially due to the fact that it is able to cloud process and clear 240 more efficiently than MODIS due to the spherical fraction. Additionally, the fact that MISR is able 241 to measure AOD levels greater than 2.0 allows it to actually obtain more pixels on a monthly basis 242 over this region than MODIS. However, the major downside is that only at a monthly average or 243 lower frequency is available, with the monthly dataset having from 4 to 8 data points per 244 measurement. It is therefore effective over this region at obtaining a spatial distribution upon which 245 to extend the more precise AERONET results. However, this helps quite a bit with the cloud 246 clearing statistics. Combining these together allows the use of the higher quality AERONET data as 247 an anchor, where it is available, to evaluate any errors in the magnitude between the model and the 248 measurements, even away from the source, so long as it is still in the same geographical region (as 249 described below). It also provides a means for investigating how error propagation between various 250 251 different measurement sources can be quantified.

All of the data used has been taken from from January 2001 through December 2013. In the case of remotely sensed data, it was first interpolated (in the case of AOD) or aggregated (in the case of Fire Count, NDVI, and EVI) onto a 0.1° by 0.1° square grid, using only quality assured data. These gridded, data sets, were then aggregated or interpolated respectively to the temporal resolution used, either 1-day, 8-day, or monthly average temporal resolution, to make them consistent. AERONET measurements have also been taken using whatever data was available over the same respective 1-day, 8-day, and monthly periods, and have been considered to be representative of the entire corresponding 0.1° by 0.1° box in which they are located. One of the
significant advances of using this approach is the ability to analyze how the results are improved by
using data with different temporal variability.

262

**263 2.3 Variance Maximizing Technique** 

Aerosol emissions and resulting changes in AOD in the Southeastern Asia region mainly 264 comes from two types of sources: urban/anthropogenic and fires. Emissions of aerosols from urban/ 265 anthropogenic include those from cities, transportation, and industrial processes, which generally 266 include temporally and geographically regular combustion of coal, oil, and natural gas throughout 267 the year. On the other hand, emissions of aerosols from fires, which include clearing of forests, 268 agriculture, peat, and rubbish, are more highly irregular over space and time, preferentially 269 occurring under certain economic conditions as well as during periods of dryness, due to either 270 changes in irrigation or under the influence of various meteorological/climatological conditions 271 (Cohen, 2014). As the ultimate goal of this study is to develop an understanding and constraint on 272 the absolute source of aerosol emissions, and since fire is the most uncertain contribution in this 273 region, therefore the analytical technique must target the large amount of variance in the measured 274 fields of the AOD. Those regions which both contribute the most to the variance of the AOD field as 275 276 well as correspond to a large annual amount of AOD on an absolute basis, are the regions which 277 which are most likely fires. A simple check of the geography has been performed to eliminate any false positives that are known to be urban or industrial regions, of which there are at least 3 in the 278 279 regions under study: in Vietnam, Indonesia, and Malaysia. However, it is possible that rapidly 280 developing industrial uses of the land, such as new large mill-towns in Indonesia (as witnessed by 281 the author), were not fully identified. Further, observed land-use changes were considered to be 282 reasonable if they corresponded to reasonable changes in the values of NDVI and LAI.

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284 To achieve these goals, we first employ the Empirical Orthogonal Functions/Principal Component Analysis technique (EOF/PCA) on the 8-day average AOD product. This is one of the 285 beautiful things about using the EOF approach: patterns in the variance of the data search for the set 286 of the relative maxima. Therefore, since the process searches for the highest and lowest values and 287 gradients in space and time, any unbiased error in the measurements, will not significantly impact 288 the result. Furthermore, the 8-day average product was chosen, so that it could take full advantage 289 of the higher frequency of the MODIS data, when compared with the MISR data. Additionally, the 290 lifetime of the aerosol plume is roughly on order of this period of time, given the low amount of 291 precipitation and the high amount of aerosols lofted into the atmosphere due to the heat from the 292 fires, making source/sink and overall statistical properties robust (Cohen, 2014; Lin et al., 2009; Lin 293 et al., 2014). 294

The specific EOF/PCA analysis decomposes the 8-day AOD data F into subcomponents. 295 Each subcomponent is orthogonal to the whole, and can be ordered based on the overall 296 contribution to the fractional amount of the overall variability (Bjornsson and Venegas, 1997). This 297 is done by decomposing the measurements into independent (orthogonal) spatial/geographic modes 298 Si and their associated temporal/time modes Ti, as explained in EQUATIONS 1-5, where aij are 299 the individual measurements (i is the marker indicating the ordered number in latitude/longitude, 300 301 and j is the individual marker indicating the marker in time), and ci and yi which are the 302 corresponding decomposed values of the spatial and temporal maps accordingly.

$$F = \begin{pmatrix} a_{11} & \cdots & a_{1M} \\ \vdots & \ddots & \vdots \\ a_{N1} & \cdots & a_{NM} \end{pmatrix}$$
(1)

 $F^{T}F = CY^{T}YC^{T}$ <sup>(2)</sup>

$$S_{i} = \begin{pmatrix} c_{1i} \\ \vdots \\ c_{Mi} \end{pmatrix}$$
(3)

$$T_{i} = \begin{pmatrix} y_{1i} \\ \vdots \\ y_{Ni} \end{pmatrix}$$
(4)

$$F = YC^{T} = T_{1}S_{1}^{T} + \dots + T_{N}S_{N}^{T}$$
(5)

303

### 304 2.4 Regression-Fit Model Connecting Land Use Change to AOD

Along with the analysis, we also employ a simple multi-variable linear regression model to 305 predict AOD from measured land-use and meteorological variables. This approach is adapted 306 because of the physical nature of the relationship between these variables. Fires lead to a direct drop 307 in LAI in currently growing vegetation through the combustion process. In the case of agriculture 308 309 which has already been harvested, the LAI would have previously dropped, while the dried products are left to burn. Similarly if there is a change in the vegetation/agricultural state after the fire, this 310 311 should show up by a restored LAI, although at a different magnitude. NDVI would similarly be 312 impacted, as the chlorophyll is combusted along with the plant material that is associated with it. 313 Furthermore, the hypothesized loss of efficiency of the land surface associated with the fires would 314 show up as a lower-frequency change in the NDVI. Due to these reasons, there may be a lag 315 expected between the occurrence of the fire and the change in the land-use variable. However, given 316 the rapid rate of regrowth over this region, and the high degree of cloud-cover, it is found that day-317 to-day passes or information are not very reliable. It is uncertain how much lag would be expected 318 in weekly-averaged or two-weekly averaged products. Although our results have determined that in

fact the relationship which is based on no lag produces the best fit. Hence, one of the objectives is
 to quantify the impacts of different averaging kernels applied to the measurements.

There is also evidence that in regions where dryness is an issue, which it certainly is during 322 the extremes of the dry season throughout Southeast Asia, that NDVI recovers slower than LAI. 323 This would certainly be the case in regions in which peat is being drained or has recently been 324 drained, such as the Southern Southeast Asia Region, or in regions where there is little to no 325 irrigation, such as the Northern Southeast Asian Region (Hope et al, 2007; ; Morawitz et al., 2006; 326 Cuevas et al., 2008). The clear indication here is that the rate of greenness regrowth, as observed by 327 the change in the NDVI may not relate to the canopy and soil moisture regrowth, which is more 328 related to the LAI due to the additional bands in the NIR. However, in regions in the topics that are 329 either managed or are fed by the arrival of the monsoon, this is not expected to be a significant 330 issue, and hence a possible reason why little lag is actually observed. 331

During the dry season, based on how dry it is, will impact the amount, intensity, and 332 duration of the fires as a whole. In practice, years with wetter dry season or a drier dry season 333 should have a reduction in the intensity of the fires as well as their geographic spread, although it 334 will not necessarily lead to them being altogether suppressed. This relationship is slightly more 335 complex, since there are cases where anthropogenic water due to irrigation, burning occurring on 336 very wet peat, or fast-moving thunderstorms, can make the ground quite wet, but still continue to 337 burn, thereby leading to an increase in emitted aerosol, and hence AOD, due to a switch of the type 338 of fire from flaming to smoldering (Field et al., 2009; Saatchi et al., 2013). However, these cases are 339 over and beyond the approach taken here, and are still not yet fully understood. It is thought that 340 surface wetness is critical for this switch, although in theory this is partially a function of the LAI, 341 342 NDVI, and precipitation, and hence could be approximated to first order using the approach employed here, with the physical variable itself at least being partially captured (Fisher et al., 2009; 343 Phillips et al., 2010; Wohl et al., 2012). Another advantage is that low-temperature fires, which may 344

otherwise go undetected, can still be represented, since they still impact changes in terms of AOD,
LAI, and NDVI.

To ensure that the impact of fires is physically as expected on AOD, in which an increase in 347 fire should lead to an increase in emissions and hence AOD, we employ two different regression 348 equations. Both equations use LAI, NDVI and Precipitation as predictive variables R2 (Equation 349 350 7), while only one R1 (Equation 6) also uses Fire Count. The regression coefficients  $\alpha i$ ,  $\beta i$ ,  $\gamma i$ , and 351 δi are computed by minimizing the root-mean-square errors of the equations EQUATIONS 6,7. 352 Using these constrained values, the AOD can be approximated during different seasons or over 353 different areas, such as those which are cloud-covered and hence do not show measurements. These 354 reconstructed values are generated and specifically compared against AOD values from other 355 measurement platforms, specifically MISR and AERONET. 356  $AOD_{MODIS R1} = \alpha_1 * LAI + \beta_1 * NDVI + \gamma_1 * RAIN + \delta_1 * FireCount$ (6)

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$$AOD_{MODIS,R2} = \alpha_2 * LAI + \beta_2 * NDVI + \gamma_2 * RAIN$$
<sup>(7)</sup>

Since the nature of the land-use change, the amount of precipitation, the state of native 359 vegetation, and the strengths and timing of the AOD signal are different over the two regions S1 and 360 S2, we compute the fitting for AOD over reach region separately. This helps us better quantify and 361 understand the functional relationships between these variables under the different land use types, 362 land-use management practices, and climatologies, when the fires actually do occur. This is 363 especially important in Southern Southeast Asia, where there is stronger year-to-year variability, the 364 issue of cloud-cover is much more pronounced close to the equator, there are only very few ground 365 station measurement sites, vastly different sets of anthropogenic land use policies in different 366 regions, and different magnitudes of fire emissions. 367

To test separately only the fire-occurring seasons, we define fire activity periods over each region as the days during which which T1 and T2 respectively are above a certain threshold

 $\tau$ North and  $\tau$ South. Different thresholds for T1 and T2 are tested, based on the percentile **P** of the 370 time series beneath the point  $\tau$  if the time series were to be regrouped and sorted. We hence use the 371 points  $P = \{0.90, 0.835, 0.75\}$ . Since this method is testing for the extreme values in the AOD 372 variance, or when the fires are occurring, this method proves to be methodologically suitable, as it is 373 further providing a constraint on the more extreme conditions, and when the pattern is most 374 significant. The values chosen are not arbitrary, as they are based on the statistical robustness of the 375 magnitude of the fields Si\*Ti. However, the point of the sensitivity analysis is to quantify at what 376 point the errors in the analytical technique are no longer able to statistically retrieve the maximum 377 378 contributions to the variance of signal, as compared to just picking up the variance induced by the 379 unbiased errors in the measurements themselves.

380

### **381 3. Results**

# 382 3.1 Decadal-Scale Analysis of Remotely Sensed Measurements of AOD and Land Surface 383 Properties

384 The subsequent analysis performed using the variance maximizing analytical technique only retains those modes Si, Ti that explain at least 5% of the total variability. This is to ensure that any 385 386 signal found is larger than the uncertainty in the measurements themselves, and hence should be physically relevant. Specifically, since the MODIS AOD uncertainty is 5%, therefore we need at 387 388 least 5% of the variance for a mode to represent something useful (Bjornsson and Venegas, 1997). Using this constraint, there are two modes  $i = \{1,2\}$  that explain the variability in the 8-day AOD 389 390 measurements (see Figure 2). 38% of the variability in the AOD field maps to region i = 1 as shown in Figure 2a, and which we will hence refer to as Northern Southeast Asia. 13% of the variability in 392 393 the AOD field maps to region i=2 as shown in Figure 2b, which we will hence refer to as Southern Southeast Asia. The next largest mode contributes less than 5% to the total variance in the AOD 394 field, and therefore is indistinguishable from other sources of variability and error, such as non-395

linear effects of El-Nino, planetary dynamical events such as the MJO, regional dynamical events, 396 small-scale perturbations, short-term anthropogenic events, un-accounted for variations in cloud-397 398 cover, bias in the data, new urbanization around the edges of the growing megacities, and such. 399 The physical relevance of these mathematical modes is established by correlating the computed measured average AOD over the respective regions Si, as a time series, with the 400 respective Principal Component Ti. The modes are found to be highly correlated with both the AOD 401 over Northern Southeast Asia (R2=0.86, p<0.01) and the AOD over Southern Southeast Asia 402 403 (R2=0.86, p<0.01), as shown in Figures 2c and 2d.

404 Over Northern Southeast Asia there is a partially bi-annual peak, with some years having a 405 single peak and others have two peaks. The major peak, which is the more pronounced or sole peak, occurs every year in the measured AOD averaged over T1 during the latter part of the local dry 406 407 season (from mid-February to late-April). Looking at the average value of the time series of the 408 AOD measurements over S1, it is found that the AOD peaks at the same time as T1 peaks, and that the average AOD ranges from 0.46 to 0.86, depending on the year. The smaller peak occurs in 409 August and September as shown in T1 in most of the years (but not in 2008, 2010, and 2011). 410 Similarly, the average of the measured AOD over the region S1 during the same months and years 411 412 has a corresponding peak ranging from 0.40 to 0.63 during the years when the second peak occurs. 413 The only disagreement between T1 and the measured time series of averaged AOD over S1 occurs 414 during 2003, which has already been noted previously by (Cohen, 2014), although none of the 415 variables used in this study can explain why.

Over Southern Southeast Asia, there is a one-to-one agreement between the peaks in T2 and the peaks in the averaged measurements of AOD over S2, with the peaks occurring in 6 years (2001, 2002, 2004, 2006, 2009, and 2012) and not occurring in the other 7 years. The measured peak in the average AOD ranges from 0.5 to 1.2, indicating that when these events occur, their impact on the aerosol loading is larger than in Northern Southeast Asia. The timing of the peaks is also wider and less well constrained than in Northern Southeast Asia, corresponding to most of the
entire dry season, from early-August to the end of October. Furthermore, there is no observed
second or smaller peak.

424 However, the issue of cloud cover leading to missed positives is observed in Southern Southeast Asia. While this method was able to pick up the high haze and pollution years of 2002, 425 2004, the El-Nino in 2006, and 2009, two additional high haze and pollution years of 2010 and 426 2013 were not captured. As already shown in (Cohen, 2014), which was capable of capturing 2010 427 428 and 2013, the likely cause is cloud cover. We have confirmed that the MODIS cloud cover is in fact 429 the culprit, with there being fewer than 10% of pixels containing measurements of AOD over the 430 regions given by S2. In fact, the only time during these years that the results are found for these years is in Si where i is greater than 2, and thus are under the threshold used for statistical 431 432 robustness. This reconfirms the afore mentioned results that MISR is in fact better at dealing with 433 cloudiness over this region.

Careful consideration of T1 (see Figure 2c) shows that it is considerably more noisy than T2 434 435 (see Figure 2c), and there are three explanations for this. First is because part because the emissions from the region are more complex. In addition to the fires, there are large urban sources from three 436 437 megacities: Bangkok, Ho Chi Minh City, Hanoi, as well as many highly populated and inhabited 438 areas outside of these cities throughout the countryside. The emissions from these cities is 439 consistent throughout the year, and therefore the high frequency noise in these emissions, such as day/night differences, weekday/weekend differences, etc. tends to make the signal slightly more 440 441 noisy. Secondly is that the fires in this region are due to combination of a few factors, which occur on different scales and have various different size holdings in each case, meaning that small 442 443 differences in timing, intensity, and duration are to be expected from when the people decide to burn and how long they decide to burn for (Taylor, 2010). There is agricultural/straw burning in 444 Thailand, subsistence burning in Cambodia, forest clearing in Myanmar and Laos, and urban and 445

agricultural expansion in Vietnam, with some of these agricultural regions, especially related to rice, 446 447 have 2 crops a year, and hence the possibility of being burned more than once (Dennis, 2005; 448 Tipayarom and Oanh, 2007). Thirdly, the dry season here tends to be extremely dry, without even 449 occasional rainstorms. Therefore, any emitted particles tend to have a very long lifetime. Hence, the impact of secondary chemistry is important. This chemistry tends to be very sensitive to the 450 emissions ratios, to clouds, and to any non-linearly emitted secondary species from urban areas as 451 452 the plums proceeds downwind. On the other hand, in Southern Southeast Asia, the population is 453 also large, but in many of the places in Indonesia and Malaysia that are source regions, the cities are 454 large and well contained, while the countryside is still relatively empty. Secondly, in this region, the 455 major cause of burning is the clearing of primary forests, and much of this is done by a smaller number of large-land holders, further reducing the variability. This is especially so on a year-to-year 456 457 basis, during some years which there is relatively little burning at all. Finally, even during the dry 458 season, there is still a considerable amount of small scale convective precipitation and day/night sea/land breezes and rain. Hence, the lifetime of the particles and secondary precursors tends to be 459 460 slightly shorter, and the impacts of non-linear secondary processing is also reduced. Hence, the fact that Southern Southeast Asia often has an even higher average AOD, means that the emissions must 461 462 be considerably larger in terms of magnitude from year to year, although not necessarily more 463 variable within each year, as also found in (Cohen, 2014).

These results are clearly consistent with the time-averaged values of the land-use measurements of LAI and NDVI when averaged over regions **S1** and **S2** respectively (Figure 2). Over **S1**, we can clearly see that much of the region either has an average LAI which is far too low to correspond to native of secondary forest, implying that the land is now agriculture. In other cases, there is still a high average LAI value with a corresponding reduction in NDVI, implying that primary forest is being deforested in exchange for some type of commercial agricultural tree crop, such as palm oil, rubber, or wood for paper. However, the region over which this second category is

occurring is smaller in size than the first region with the simultaneous decrease in both LAI and 471 NDVI (Huete et al., 2002; Myneni et al., 2002, 2007). On the other hand, over the region S2 we find 472 473 that the LAI is still generally quite high throughout the region of interest, while the average NDVI is falling at an even faster rate than the drop over the smaller region in S1 in which a similar type of 474 condition is occurring. This is completely consistent with the known large-scale deforestation 475 occurring throughout Indonesia and Malaysia where mostly primary forest is burned and replaced 476 with large-scale agricultural tree-based crops (Dennis, 2005; Phillips et al., 2010; Taylor, 2010; 477 478 Wooster et al., 2012; Field et al., 2009).

479 A spatial mapping of the climatological mean and standard deviations of LAI and NDVI 480 over Southeastern Asia are displayed in Figure 3. First, it is observed that the LAI is smaller in average over Northern Southeast Asia (LAI=2.3) then over Southern Southeast Asia (LAI=3.5). 481 482 Similarly for NDVI, the average value over Northern Southeast Asia is (NDVI=0.61) while it over 483 Southern Southeast Asia it is (NDVI=0.70). This is consistent with the knowledge that in Northern Southeast Asia, the land has been more altered from its base tropical rainforest state (Natalia Hasler 484 and Avissar, 2009; Taylor, 2010). In fact, there is a considerable amount of rice and other agriculture 485 which has completely replaced trees with crops. Also, the pace of forest clearing is quite rapid in 486 those regions which still retain a considerable amount of native forest. The only considerably 487 488 widespread regions of native forests are left only in Laos and and at the frontier regions near the 489 intersection of Laos, Thailand, and Myanmar.

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### 491 **3.2 Influence of Measured Fires**

To look at the impacts of measured fires, we fit the relationships between LAI, NDVI, Precipitation and AOD in two cases, both with and without the inclusion of the Fire Count variable using REG1 and REG2. This is done separately over both the Northern and Southern regions with the corresponding different thresholds. A comparison of the time series of the region averaged AOD from each EOF region, the 4 model predicted AOD values, and the measured averaged AOD is made. The average statistical error and average statistical correlation (coefficient of determination, R2) between the datasets and the regression-fit model predicted AOD used to determine which threshold  $\tau$  is ultimately used for the purpose of determining the best fit coefficients for  $\alpha$ i,  $\beta$ i,  $\gamma$ i, and  $\delta$ i. The resulting statistics are displayed in Table 1.

As expected, including the Fire Count variable significantly increases the performance of 501 the algorithm in terms of correlations: on average the correlation increases from 70% to 79% in the 502 503 Northern region, and from 66% to 75% in the Southern region. However, there is no improvement 504 in the mean error between the reconstructed data and the original measured AOD. This means that if 505 there is a hotspot measurement available, it will improve the ability to predict the spatial and temporal distribution of the fires, but provides no help in terms of estimating the AOD or emissions. 506 507 This is physically consistent, since the actual emissions should be a more complex function of the 508 type of burning, the material burned, and the conditions under it was burned, not just the existence of a fire. Additionally, this is consistent because the Fire Count product only quantifies the 509 510 likelihood of a fire occurring within the given pixel, but provides no information on the intensity of the fire. Furthermore, the results of the fitting of the regression coefficient associated to Fire Count 511 512 (Figure 4) show that the coefficient is strongly positive over the regions where fire are the most 513 important and AOD variability the strongest (regions within the dots). Thus, the results are found to 514 be consistent with what is understood, that Fire Count is a reasonable predictor of emissions of aerosols from fires, but that this factor is only useful as a predictor of the effect, not as a means of 515 516 understanding the magnitude of the effect.

517 The best fit regression coefficients associated with NDVI make more physical sense in the 518 case where the Fire Count predictor is used REG1 (Figure A2a) than in the case where it is not 519 REG2 (Figure A2b). In all cases, the timing is based on the 8-day period in the year, from day 1 to 8 520 being the first data point, from day 9 to 16 being the second data point, etc. In this way, multi-year variances in the climatology can be rigorously analyzed. In general a negative coefficient is found, which implies that regions will lose NDVI as a result of an increase in AOD, which is consistent with the health of the land decreasing during a fire. A similar gain is also found in terms of the best fit coefficients for LAI in the regions which are not rice dominant (rice has a significantly low LAI so that the signal to noise ratio from the satellite product is too low to produce a statistically significant result over these regions). The regression coefficients are thus consistent and for this reason, we only refer to REG1 from this point forward.

528 Making comparisons between the regression constructed AOD and the measured 529 AODMODIS over Northern Southeast Asia leads to the determination that in average, using  $\tau$ North 530 = P75(PC1) as the threshold of fire activity leads to the best results, as shown in Table 1. This leads 531 to the reasonable conclusion that in order to represent the AOD during the fire season well, there 532 must be greater access to data, while to represent the AOD during the non-burning or low-burning 533 seasons, that less data is required. This is consistent with the variability being considerably larger 534 during the burning season in both space and time over the region of interest.

535 On the other hand, for Southern Southeast Asia, using a very small value of  $\tau$ South = P12.5(PC2) gives the best statistics. This means that using less data improves the fit during the fire 536 537 season as compared to the use of more data which better constrains the fit over the whole year. This 538 is not intuitive and is only consistent with the case that either (a) the data is more likely to be of low 539 quality during the burning season (i.e. the data is corrupted by clouds), or that there is a 540 considerable amount of data missing during the burning season (which is also possible due to the 541 widespread distribution of clouds over much of both Borneo and Sumatra). This view is also consistent with the year-to-year and decadal scale of variability, wherein some years will have little 542 543 to no fire, and hence data is required over a considerably longer period of time, including both highand low-fire years in order to properly reproduce the observed patterns. For the remaining of this 544 analysis, we only consider (1) that the reconstructed data set of AOD over the Northern region has 545

546 been computed by using  $\tau$ North = P75(PC1) as fire threshold, and (2) that the reconstructed data set 547 of AOD over the Southern region has been computed by using  $\tau$ South = P12.5(PC2) as fire 548 threshold. These two data sets will be referred as AODNorth,REC and AODSouth,REC.

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### 550 3.3 Comparing AERONET measurements over Northern Southeast Asia

Seven stations from AERONET are situated within the Northern region (Chiang Mai, Pimai, 551 Bac Giang, Nghia Do, Vientiane, Mukdahan, and Ubon Ratchathani) and four stations are located 552 inside the Southern region (Jambi, Kuching, Palangkaraya, and Singapore). The location of those 553 554 stations is displayed in Figure 3 and Table D. Of these stations, three are urban sites located 555 downwind from burning regions: Singapore, Bac Giang and Nghia Do, while the remaining sites are located directly in or adjacent to burning areas. Figure 5 displays the temporal series of the 556 557 AERONET AOD (black curve) and regression-fit modeled AOD (blue curve) at the seven stations 558 situated within the Northern region. Table 4 displays the statistics of the goodness of fit between the measured AOD and the reconstructed AOD respectively, AODMODIS and AODNorth, in terms of 559 560 reproducing the AERONET measured AOD signal. The first general observation is that all AERONET stations in Northern Southeast Asia have 561

an annual peak in their AOD which occurs during the fire season, from February through April each

563 year. Additionally, each station has a smaller second peak over many of the years, but not annually,

564 occurring in August or September. At the two remote stations: Pimai (Figure 5c) and Ubon

565 Ratchathani (Figure 5d), AOD reaches its maximum value of over 0.5 during the fire season, while

566 generally the values are clean throughout the rest of the year except for 2001 to 2006, and 2009,

567 with a second local maximum of around 0.46 in September and October. At Pimai, the AERONET

568 data shows high pollution during the fire season every year from 2003 to 2008. The model captures

569 all these events correctly in terms of duration, with the onset and end times slightly off, leading to a

570 correlation of 43%, with an intensity mean error of-0.12. At Ubon Ratchathani, the AERONET data

shows high pollution events during the fire season of the years 2010 to 2012. The model captures all 571 these events in terms of duration (correlation of 80%) but underestimates the intensity by a slightly 572 larger mean error of -0.22. A large peak of high AOD can been seen in September 2012 573 corresponding to a high-pollution event also observed in Singapore. This peak, which has a 574 maximum AOD value of 0.6, is captured by the model. During the common years of data between 575 AERONET and AODNorth (2008 to 2013), we calculate that the model captures the fire season and 576 the pollution that is generated by itin terms of duration (correlation is 64%) and less well in terms of 577 intensity (mean error of -0.26). Although the error in the intensity is not insignificant, it is still 578 579 significantly better than most other errors from model studies over heavily biomass burning 580 influenced areas of the world, the mean error is still quite good, since most admit to requiring a scaling factor from 1.7 to as much as 5 (e.g.: Wu et al., 2011; Cohen and Wang, 2014; Hodnebrog et 581 582 al., 2014).

There are three stations which are situated at medium-sized urban sites which are also 583 adjacent to or directly upwind from fire burning regions: Chiang Mai, (Figure 5e), Mukdahan 584 (Figure 5f), and Vientiane (Figure 5g). All show a strong annual peak during the fire season from 585 February to April. At Chiang Mai and Mukdahan, which are nearer to the agricultural fires, the 586 587 maximum value of AOD is around 0.5, while it is around 0.6 at Vientiane, which is located further 588 downwind and hence able to undergo additional secondary processing. Figures 5e, 5f, and 5g also 589 show smaller peaks during other parts of the year: from September to October for the years 2001 to 2006 at Chiang Mai, with a maximum AOD value of 0.4; from July/August and to October/ 590 November (depending on the years) for the years 2001 to 2007, 2009, and 2010 at Mukdahan, with 591 a maximum AOD value of 0.44; and from September to October for the years 2001 to 2007, and 592 2009 at Vientiane, with a maximum AOD value of 0.59. The nature of these secondary peaks are 593 not annual in occurrence. At Mukdahan, the AERONET data demonstrates the fire season peak for 594 every year the data exists: 2004, 2006, 2007, 2008, and 2009. The regression-fit model reproduces 595

the high pollution every year (R2=0.69), while also reproducing the intensity correctly in 2007 and
2009. While there is only very sparse AERONET data at Vientiane, the regression-fit model
reproduces the signal well (R2=0.64 and RMS=-0.07) (see Table 4). Finally, the model also captures
the high pollution events measured in March, April, and September 2012.

As expected, there is a considerable amount of variability at stations which are in or near 600 large urban areas (Megacities), due to the combination of both the fire signal as well as local 601 602 emissions and in-situ secondary processing. In particular, the signals at the two stations near to the rapidly growing urban megacity of Hanoi: Bac Giang (Figure 5a) and Nghia Do (Figure 5b) are 603 604 very similar. These stations have a much higher annual average AOD than the other stations in the 605 region, with the daily average value as well as long-term mean measured AOD being frequently larger than 0.4, while the annual high AOD peak has a yearly maximum of at least 0.9 at both of 606 607 these stations (see Table 3). Figures 5a and 5b also show smaller AOD peaks (maximum value of 608 around 0.7) during other parts of the year (from July through November depending on the year). During the fire seasons in 2004 and 2007 at Bac Giang, the timing of the high pollution events are 609 well-captured by the regression-fit model, in terms of onset, duration, and end time, although the 610 model intensity is underestimated. 611

612 In 2006, the Southern Southeast Asian fire season produced an extensive and massive 613 amount of emissions T2 due to extremely dry and warm conditions brought on by the El-Nino 614 conditions. Various models and measurements have shown that the fires from these emissions have spread from S2 throughout the Indian and Pacific Oceans (Podgorny et al., 2003). However, we 615 616 have also found that the signal is clearly present at all of the stations located in S1. At Chiang Mai, Mukdahan, and Pimai both the intensity of the 2006 season as well as its onset, duration, and 617 618 conclusion are all well reproduced in both the AERONET measurements and the regression-fit model. Even at the urban megacities Bac Giang and Nghia Do the AERONET measurements also 619 display a high pollution peak (AOD=1.2) around September 2006, while the regression models at 620

both of these stations capture the measured onset, duration, and ending of this event. The only issue
is that the magnitude of the regression-fit model AOD underestimates the measured value by as
much as 33% at Bac Giang.

Given the intimate connection between fires and the ensuing rapid changes of the land 624 surface which occur at the same time, as expected, LAI and NDVI have changed at the same 625 locations as the AERONET stations. First, they show a correspondingly higher value during the 626 second, localized peak, than at the major annual peak, with a maximum value of around 0.9 at these 627 628 stations (see Table 3). Figures 5a and 5b also show smaller AOD peaks (maximum value of around 629 0.7) during other parts of the year (July through November depending on the year), see Tables D 630 and 3. This is indicative that the second peak, which does not occur year- to-year, may not be attributed to large-scale local burning, unless the local fires are much less extensive and thus do not 631 632 lead to significant change in the land surface, but happen to just be upwind of these measurement 633 stations in these given years, or that the local fires are much more polluting per unit of land use change, and hence still contribute to the AOD to some extent. The other possible explanations are 634 that the pollution during these times is actually transported from other place, or are intensified due 635 to some sort of secondary processing. However, it is also found that these changes in the year-to-636 637 vear LAI and NDVI values do not vary in a one-to-one manner with T2, which has some covariance during the big fire years of 2002, 2004, 2006, and 2009, but not during other years in which the 638 639 peak occurs, such as 2001, 2003, 2005, and 2007.

Overall, we find that the annual peak in AOD throughout **S1** is clearly due to fires, and that this is true for both urban, partially urban, and remote sites. Further, during these fire events, the dominant source contributing to the peak in AOD is from the burning itself, even in urban areas where it may be one of two dominant sources. Additionally, there is a second peak found at these stations, which is both smaller in magnitude, and only occurs in certain years. This secondary peak is very likely not due to local burning, and instead it is shown that a significant number of these years co-vary with analyzed large-scale fires from region S2, indicative of long-range transport.
However, since there are a few years during which this is also not the case, it is possible that other
sources of long-range transport or secondary production of aerosols, such as from South Asia.

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### 650 3.4 Comparing AERONET measurements over Southern Southeast Asia

In Southern Southeast Asia, S2, the majority of the emissions come from a small number of 651 well-defined major urban centers, transport lines through the waterways, and wide-spread sources 652 from fires, with much of the region still continuing primary forest or dense secondary forest. As a 653 654 consequence, the major source of the variation in the AOD is a combination of the emissions from 655 fires and precipitation (as it is the major source of the aerosols removal from the atmosphere). This is demonstrated in Figure 6, demonstrating a smoother and less variable set of measurements during 656 657 the wet season than at sites over Northern Southeast Asia, 5 and 6. Consequently, the AERONET 658 site in Singapore, the sole large urban area in S2, is very different from the other stations of this 659 subregion.

660 Unlike in Northern Southeast Asia, in general, the AOD signal in Southern Southeast Asia tends to only peak once a year (except for in 2009 and 2014, which are special cases to be discussed 661 662 later that had 2 peaks due to primary fire emissions). This primary peak, as shown in T2 always 663 occurs during the local fire season from August through October/November, without any additional 664 second peak occurring during a non-burning period, as in T1. Effectively, this implies that emissions from S1 are not contributing to the variance in the measured AOD over S2 and that long-665 range transport from Northern Southeast Asia is not efficient in contributing to the high peaks in 666 AOD found over S2. 667

668 Additionally, Southern Southeast Asia has an important source of uncertainty and bias in the 669 measurements over the region. Specifically, the impact of intense cloud cover is also determined to

670

be very important, in terms of being able to capture all of the known large-scale fire based events. 671 672 We observe that in a few special cases where known large-scale pollution events have occurred over 673 S2 as measured both on the ground and by MISR measurements of AOD (Cohen, 2014), that MODIS was not able to successfully capture the events (for example: June 2013). A careful 674 examination of the cloud cover fields and Fire Count measurements show that this is clearly the 675 case, at least for June 2013; the region S2 was almost completely masked by clouds (over 80% of 676 all pixels) in the day-to-day tracks, with more than 90% of pixels in the 8-day average fields over 677 this period of time being masked. 678

679 The AERONET station in Singapore, is located in a highly urban environment, with 680 sizable sources of aerosol emissions related to shipping, a high energy using population, and refineries. It is clear that there are no wild-fires occurring within Singapore. At the Singapore 681 682 station, we observe an annual signal except for every year, although during the years 2008 and 683 2010, the signal is less intense than in the other measured years. There is a considerable amount of variation in the magnitude, the onset, and the duration of the peak, as well as a considerable amount 684 685 of noise. However, the maximum measured AOD here on an 8-day average basis, ranges from a low year of 0.55 to a high year of 0.81 in 2006. Even though the fires were quite distant, it is clearly 686 687 observed that then most intense event in 2006 is readily captured here, further supporting that even 688 in an urban environment, Singapore offers a reasonable downwind signal site for observing the 689 impacts of the fires.

690 On the other hand, the other AERONET stations in this region, including in Kuching, Jambi, 691 and Palangkaraya, are situated in remote and mostly heavily jungle/forested regions of Borneo and 692 Sumatra islands (see Table D). These sites are all located close-by to where the fire sources 693 originate, in the jungles and forests of Borneo and Sumatra. The AERONET station in Jambi, 694 situated on Sumatra Island, has an annual signal of high AOD occurring once a year, every year, 695 except in 2010 (where there were no measurements). However, the magnitude, onset, and duration

of these high pollution events is highly variable from year to year. The AOD maximum value ranges 696 from a low of 0.67 (in 2007) to a high of 1.49 (in 2006) (see Table 5). The AERONET station in 697 Kuching has an annual peak signal in AOD every year that measurements are available (there were 698 699 no measurements during the corresponding peak times in 2008, 2010, and 2013). The magnitude, start, and duration of this peak is again highly variable from year to year, with the maximum in 700 measured AOD ranging from a low of 0.68 in 2007 to a maximum of 1.36 in 2006. At 701 Palangkaraya, which is situated in Western Borneo in Indonesia, there is also a single high peak 702 703 occurring every year, except for 2010 (which did not have any measurements). Similar to the other 704 stations, the intensity, onset, and duration of the high AOD signal was very variable from year to 705 year.

706 The regressive-fit model based on the MODIS measurements at each of the remote sites in 707 Southern Southeast Asia: Jambi, Kuching, and Palangkaraya, is capable of reproducing the major 708 heavily polluted years as found in the measurements, such 2002 (max AOD of 1.24 in Jambi, 1.0 in Kuching, and 1.94 in Palangkaraya), 2004 (max AOD of 0.99 in Jambi, 0.85 in Kuching, and 1.18 709 in Palangkaraya), 2006 (max AOD of 1.49 in Jambi, 1.4 in Kuching, and 1.98 in Palangkaraya), 710 and 2009 (max AOD of 0.95 in Jambi, 0.87 in Kuching, and 1.02 in Palangkaraya). At Jambi and 711 712 Palangkaraya, the regressive-fit model reproduces the high AOD event of late 2012 well, with a 713 better correlation with the AERONET measurements (R2=76% at Jambi and R2=74% at 714 Palangkaraya) than MODIS AOD at the same grid point (R2=51% at Jambi and R2=71% at Palangkaraya), as given in Table 6, although the intensity in these years is slightly low. On the other 715 716 hand, the regressive-fit model reproduces the AOD well in terms of intensity, onset, and duration at Kuching (RMS error of 0.13, R2=66%) (see Table 6). However, the regressive-fit model is still 717 basically constrained by the cloud cover issue. It is for this reason that the know high values of 718 aerosols in the atmosphere over Singapore in June of 2013 (as based on surface measurements and 719 personal observation) is not captured in AERONET measurements, MODIS measurements, or the 720

regressive-fit model. In addition to June 2013, we also find that MODIS AOD and the regressive fit model are both not capable of capturing the 2010 fire season peak either. However, the issues of cloud cover seem to be less important in other years, and we find the onset, duration, and intensity are all well matched between the regressive-fit model and AERONET measurements at Singapore during the fire seasons of the years 2007, 2008, 2009, 2011, and 2012 (see Table 6 for statistics).

#### 727 **3.5** Comparisons versus measurements from the MISR satellite

728 MISR satellite measurements of AOD are at lower spatial and temporal resolution than 729 MODIS and AERONET measurements, and thus to use them as a basis for comparison, the values 730 from MODIS and AERONET will be averaged to a monthly-basis as well as at 0.5°x0.5°. Over Northern Southeast Asia, the time series of the regression-fit model AOD compares very well with 731 732 the time series of the average MISR AOD over the same region (R2=0.77 over S1, and R2=0.85 733 over the region of highest variability). While there is some underestimation of the absolute AOD as 734 compared to the MISR measurements, that underestimation is always less than 0.1, and therefore is 735 not far from the order of magnitude of the error in the measurements themselves. One of the important reasons why the agreement is so good is that this region is generally cloud-free during the 736 737 dry season when the fires occur, and hence there is a quite large and representatively similar 738 sampling size between MODIS, MISR, and AERONET during the fire periods in this region. This 739 establishes that indeed the MODIS based regression-fit model matches well against MISR, and is 740 able to reproduce the variability and magnitude of the AOD over Northern Southeast Asia (Figure 741 7).

Not surprisingly, when fitting the results of the MODIS regression-fit model using 8-day average data, the overall fits are less good when comparing against MISR. Part of the issue is the additional variability, but more importantly is the lack of sufficient data due to cloud coverage. Specifically, over the region **S**1, the correlation rises from R2=0.66 to R2=0.81 when increasing from 8-day to monthly averaging. Similarly, the comparison between the AERONET data and MISR AOD also increases from R2=0.59 to R2=0.79 when comparing 8-day averages and monthly averages respectively. Overall, the regression-fit model is able to reproduce the variation of AOD at all the stations in Northern Southeast Asia, both in terms of duration and intensity concerning high pollution events (see Figures B3 and B4).

As expected, the spatial comparison between MISR and the regression-fit model over 751 Southern Southeast Asia is less good. The first thing to note is that the spatial extent of the region 752 from MODIS, given with the relatively level of high certainty by S2, is considerably smaller than a 753 754 similar spatial distribution of the smoke extent over this same region, when analyzed in the same 755 way using data from MISR measurements (Cohen, 2014). This is explained in part due to the larger 756 cloud-covered fraction in the MODIS measurements when compared with MISR, as well as the 757 shorter averaging period with the MODIS measurements, leading to a situation where there is 758 insufficient information at each averaging time step over much of the region. It is found that the RMS error between MISR and the regression-fit model ranges from a minor and relatively 759 760 insignificant (as compared to the measurement errors) model overestimate of 0.1 in AOD, to a substantial and significant model underestimate in the AOD of up to 0.5. This regression-fit model 761 762 underestimates as compared to MISR measurements is significantly larger than the AERONET and 763 MISR disagreement over this region, which is less than 0.3 (Cohen, 2014; Shi et al., 2011) and 764 further, this error occurs especially and exclusively during the intense fire-burning years. On the 765 other hand, the overall temporal correlation between the regression-fit model and the time-average 766 AOD from MISR is R2=0.72 over all and is as high as R2=0.79 over the region of highest AOD variability. This means that the inter-annual and intra-annual variation is relatively captured by the 767 768 MODIS measurements and the resulting regression-fit model.

769

770 4. Conclusions

An in-depth analysis of multiple measurements from MODIS, MISR, TRMM, and 771 AERONET measurements has been performed over a 13-year period over Southeast Asia. Using 772 773 MODIS AOD, the spatial and temporal patterns of the contribution of fires to the atmospheric loading of aerosols was established. Two distinct regions, with vastly different properties were 774 observed: one in Northern Southeast Asia, which had a strong annual signal with some inter-annual 775 variability, and another in Southern Southeast Asia, which had a strong signal with inter-annual and 776 intra-annual variability. Northern Southeast Asia shows an annual high AOD during the fire season 777 778 (varying roughly from February through April), with a smaller nearly annual peak occurring during 779 the exact timing when Southern Southeast Asia has its fire season. Southern Southeast Asia is 780 affected every year by their own fires (from roughly August through October), without any observed secondary peak except for during two exceptionally dry years during the second very short 781 782 dry season in February 2009 and the very end of 2013 (which would up maximizing in February 783 2014, although it is beyond the end of the data analyzed in this paper). The representation in terms of the timing of the fires of Northern Southeast Asia was consistently good in terms of start time, 784 length of the burning season, cessation of the burning, when compared against AERONET and 785 MISR measurements. The representation in terms of timing over Southern Southeast Asia was not 786 787 as good, but still quite acceptable when compared against AERONET and MISR measurements, with the duration of the fire season well captured in strong fire years, and the strongest part of the 788 789 fire season captured in low fire years.

Bringing in different simultaneous measurements of land-surface variables, fires,
precipitation, and column aerosol measurements, allows us to confirm that these patterns exist and

are consistent with land-use burning. Given the difference in the timing and durations of the major

793 monsoon seasons over these regions, the results are consistent. From this point, a simple regression-

fit model was established to predict the AOD from measurements of land-use change variables,

fires, and precipitation, which should be the basis upon which fires start in the environment. These

simple regression-fit models (based on MODIS and TRMM) reproduced the onset, duration, and
magnitude of the measured AOD from other measured sources (MISR and AERONET) well over
Northern Southeast Asia. The results of this regression-fit model demonstrate the ability to predict
the AOD as observed by AERONET and MISR, using only measurements of land-use change
variables and fires from MODIS, and precipitation from TRMM, measurements of some of the
important and fundamental underlying factors controlling the fires.

These simple regression-fit models reproduced the onset, duration, cessation, and even the 802 803 magnitude of the measured AOD from AERONET and MISR very well in Northern Southeast Asia. 804 These simple regression-fit models also reproduced the onset, duration, and cessation, of the 805 measured AOD from AERONET and MISR well well in Southern Southeast Asia, especially during 806 the more intense burning years. The main issue in Southern Southeast Asia, however, was that the 807 magnitude over this region was strongly underestimated. These results still underestimate the 808 column loading, but by a magnitude of 30% or less, which is far better than the typical scaling factors applied of 1.7 (70%) or more, and consistent with the results in Cohen and Wang (2014) and 809 810 Cohen (2014) which show that there is an underestimate in both the overall magnitude as well as in the fire magnitude, and that correcting for the former leads to an underestimate in the latter of 20% 811 812 to 30%, The result is not only larger in magnitude than the GFED emissions products, but include 813 regions which are considered to have zero emissions in the GFED data set, a worrying conclusion, 814 since a value of 0 cannot be scaled up by a scaling factor. Some reasons for this include emissions sources which are more variable in space and time, such as the clearing of primary forests, peat 815 816 burning, and rapid development; and other limiting reasons such as increased cloud cover reducing the number of available measurements over large portions of this region by a significant amount. 817 818 Further, the inter-seasonal periods in Southern Southeast Asia tend to be both more rainy and more cloud-covered than in Northern Southeast Asia, due to large scale convection and other regional 819 disturbances like the MJO and the IOD. 820

There is a strong and consistent change in the land use variables occurring during the local 821 822 fire season over both Northern and Southern Southeast Asia, although these relationships, as expected, are different over the two regions due to different types of land-use change. The 823 relationships between burning of primary forests, grasslands or crops, and peat should all be 824 different. Additionally, there is an important secondary use for these relationships, determining 825 whether the observed smoke is locally produced of transported from far upwind. For example, it is 826 clearly noted that the land-use changes are much smaller during the second non-annually occurring 827 828 peak in Northern Southeast Asia, implying that while there may be some contribution from local 829 sources, that there is also a large amount of smoke which is transported from other regions. This 830 comes from the idea that if the land itself did not change very much, then the emissions of smoke produced must have been considerably lower. The timing of this smaller peak matches the timing of 831 832 the fire occurrence over Southern Southeast Asia with a very high level of correlation. Additionally, 833 it also cannot be ruled out that the smoke could be urban pollution from South Asia. On the other hand, there is no evidence that any of the smoke in Southern Southeast Asia originates from any 834 835 region other than its own sources.

Further, we explored the added value of using higher temporal resolution data, which is 836 837 usually thought to add improved value. Due to the large amount of cloudiness encountered, there 838 was a much reduced number of measurements available over Southern Southeast Asia during the 839 fire season using 1-day average values as compared to 8-day average values, leading to less 840 statistical relevance. In the end, it was not possible to have a reasonable reproduction of the measured AERONET and MISR values of the onset, duration, and ending of the fires using 1-day 841 average MODIS and TRMM data as compared to when using 8-day average MODIS and TRMM 842 measurements to develop the regression-fit relationships. Even with the 8-day average data and the 843 associated regression-fit relationships, the magnitude of AOD during Southern Southeast Asia's fire 844 season is significantly too low, although in Northern Southeast Asia, it is low but not more than the 845

magnitude of the uncertainty of the input measurements themselves. The correlation between the 846 regression-fit model AOD and AERONET stations over the entire decadal time period, using 8-day 847 average MODIS data, ranges from R2=0.42 to R2=0.75. While monthly-average data from MODIS 848 does not provide as fine resolution for the duration, onset, and end times of the fires, it provides the 849 best match in terms of the magnitude of the AOD measurements from AERONET and MISR. 850 However, when using MODIS data on a monthly average basis, the regression-fit model AOD gives 851 a better performance with the correlation coefficient between AOD and AERONET stations ranging 852 853 from R2=0.70 to R2=0.90. Furthermore, the correlation over the regions of interest S1 and S2 854 between the regression-fit model and MISR measurements of AOD ranges from R2=0.57 to 855 R2=0.81. This is due partially to less under-representation of very high short-term peaks, as well as additional data points being available in the MODIS fire and land use products at longer average 856 857 time durations. This is a counter-intuitive result, with many in the community stressing the added 858 value of higher frequency measurements, but one which is consistent with the fact that such spaceborn measurements are severely limited by clouds over this region of the world during the fire 859 860 season. MISR has shown to represent the magnitude of the AOD well, with the measurements from monthly-average MISR measurements and monthly-average AERONET measurements being 861 862 basically the same. Therefore, the ability of the regression-fit model to capture the monthly-average 863 AOD from both MISR and AERONET, in terms of both the inter-annual and intra-annual variability 864 in the fire seasons, is significant, and shows that indeed the changes in the land surface and the impacts of precipitation are what are driving the atmospheric loading of AOD and hence the impact 865 866 of the fires over this region on the decadal scale. Further, as it is widely known, peat can burn and smolder for am extended period of time after any measured fire has gone away, and therefore, by 867 extending the average value for the fire, it allows for a better matching with the total emissions, 868 which will continue to often be produced for weeks after any visible flame or surface heat is 869 observed. Thusly, one of the important findings is to examine the most ideal temporal resolution at 870

which to use the data, whether it be daily, weekly, or monthly. While most of the published
literature leans towards using high frequency daily data (or individual swath-by-swath data, where
available), we determine and validate that using weekly or monthly average data leads to a better
ability to accurate reproduce the measured values, explain why that is the case, and then quantify
some of the impacts and limitations of this result.

This study highlights the importance of taking into account land-use variable and 876 precipitation for estimating AOD correctly both in time and magnitude, even if magnitude remains 877 hard to capture on a 8-day basis. One significant bias in the magnitude of the results must be due to 878 879 problems of the relationships over the region being not properly captured, such as the different 880 anthropogenic driving forces of the land-clearing being significantly different over the two regions. A second significant bias in the magnitude is due to the fact that there is a significantly more cloud 881 882 cover over the two regions during their local burning seasons(Giglio et al., 2003). These results 883 support the efficacy of the approach introduced here: that it is appropriate to use measured changes in the land, precipitation, and active fires from MODIS and TRMM to reproduce a working model 884 of the atmospheric aerosol loading. Furthermore, other than (Cohen, 2014; Cohen and Wang, 2014) 885 there are no other works that have been able to satisfactorily estimate the loadings of or AOD 886 associated with emissions aerosols over this region of the world, without using some type of 887 888 scaling. This method is able to reproduce the magnitudes by introducing physical parameterizations 889 of scaling, and doing so based on a more fundamental driver-based approach. This allows us to 890 improve our understanding of the relationships, both in terms of how they vary over space and time, 891 on one hand, and in terms of physical drivers, on the other.

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**Table 1.** Average error and correlation between AOD at 0.55 microns from MODIS and reconstructed AOD with different thresholds :  $\tau = P90(PC)$ ,  $\tau = P87.5(PC)$ ,  $\tau = P83.5(PC)$ , and  $\tau = P75(PC)$  on the Northern and Southern regions, obtained by using REG1 and REG2.

Region	t = P90(PC)	t = P87:5(PC)	t = P83:5(PC)	t = P75(PC)
	Err/Corr(%)	Err/Corr(%)	Err/Corr(%)	Err/Corr(%)
North (w/ Fire Count)	-0.02/76	-0.02/78	-0.02/80	-0.01/83
North (w/o Fire Count)	-0.02/69	-0.02/70	-0.02/71	-0.02/71
South (w Fire Count)	-0.01/77	-0.01/78	-0.01/75	0.01/69
South (w/o Fire Count)	-0.01/71	-0.01/70	-0.01/66	-0.01/57

**Table 2.** Statistics over the respective Northern and Southern regions compared to the AERONET stations. Overlapped periods between the reconstructed AOD AODNorth/South and AERONET are stated in parenthesis. Fire denotes data analyzed only during the fire season, while All denotes the entire data set.

Stations	AODMODIS	AOD <sub>North</sub>	AODSouth
	Err/Corr (%)	Err/Corr (%)	
Chiang Mai All (218/598)	-0.1/83	-0.1/75	
Bac Giang All (154/598)	-0.03/74	-0.06/42	
Mukdahan All (238/598)	-0.01/79	-0.01/69	
Nghia Do All (79/598)	-0.12/74	-0.14/42	
Pimai All (120/598)	0.0/77	-0.01/57	
Ubon Ratchathani All (99/598)	-0.01/88	-0.02/61	
Vientiane All (36/598)	-0.08/83	-0.07/64	
Chiang Mai Fire (62/151)	-0.26/91	-0.26/64	
Bac Giang Fire (46/151)	-0.07/75	-0.24/33	
Mukdahan Fire (74/151)	-0.08/86	-0.15/49	
Nghia Do Fire (15/151)	-0.08/75	-0.5/62	
Pimai Fire (45/151)	-0.03/75	-0.12/43	
Ubon Ratchathani Fire (23/151)	-0.07/88	-0.22/80	
Vientiane Fire (8/151)	-0.14/93	-0.33/92	
Jambi All (64/598)	-0.12/51		-0.26/76
Kuching All (91/598)	0.06/75		0.13/66
Palangkaraya All (65/598)	-0.11/71		-0.11/74
Singapore All (279/598)	-0.02/29		0.01/44
Jambi Fire (6/74)	-0.51/80*		-0.54/71*
Kuching Fire (10/74)	-0.28/80		-0.03/-9*
Palangkaraya Fire (6/74)	-0.5/85		-0.45/31*
Singapore Fire (24/74)	-0.23/-21*		-0.09/8*

\* not statistically significant at the p = 0.05 level.

**Table 3.** Average values of maximum AOD and average LAI and NDVI during the two annualAOD peaks over the Northern region for the 2001-2013 period.

Stations	Maximum AOD	Average LAI	Average NDVI
	1st Peak (2nd Peak)	1st Peak (2nd Peak)	1st Peak (2nd Peak)
Bac Giang	0.89/0.74	0.44/1.1	0.37/0.58
Chiang Mai	0.5/0.4	2.3/2.97	0.56/0.7
Mukdahan	0.53/0.44	0.96/1.62	0.45/0.67
Nghia Do	0.9/0.71	0.87/1.45	0.39/0.54
Pimai	0.5/0.46	0.54/1.22	0.42/0.61
Ubon Ratchani	0.51/0.46	1.09/1.14	0.48/0.55
Vientiane	0.62/0.59	2.13/2.39	0.52/0.63
Jambi	0.98	2.92	0.68
Kuching	0.66	4.16	0.75
Palangkaraya	1.05	3.72	0.68
Singapore	0.87	1.71	0.4

**Figure 1.** Domain with the two EOF regions highlighted and the location of the AERONET stations.



**Figure 2.** First line: EOF1 (38.2% of variance) (a) and EOF2 (13.3% of variance) (b) of the AOD (2001-2013). Regions of highest AOD variability are delineated by black dots. Second line: PC1 (cutoff 0.006, PC on the left hand axis, AOD on the right hand axis) (c) (red curve) and their associated AOD (green curve) averaged on the region. Third line: PC2 , (cutoff 0.01, PC on the left hand axis) (d) (red curve) and their associated AOD (green curve) averaged on the region.



**Figure 3.** Climatological values of LAI (first column) and NDVI (second column) for the 2001-2013 period. Average values are displayed on the first line, while the standard deviation is displayed on the second line.



**Figure 4.** Regression Coefficients( $\delta$ 1) associated to Fire Count for REG1. Regions of highest AOD variability from the EOF analysis are delineated by black dots.





**Figure 5.** Temporal series of 8-day AERONET AOD (black) and AODNorth (blue) at Bac Giang (a1), Nghia Do (b1), Pimai (c1), Ubon Ratchathani (d1), Chiang Mai (a2), Mukdahan (b2), and Vientiane (c2) (2001-2013). All x-axes are the time coordinate from Jan 2001 through Dec 2013. All y-axes are the AOD.





(c) Vientiane





**Figure 7.** Basic statistics between MISR and AODNorth (a,b) and AODSouth (a,b) on a monthly basis (2001-2013). Regions of highest AOD variability from the EOF analysis are delineated by black dots. Within the Northern Region the mean correlation is 84.8% and the average is 77.3% (mean error is 0.06), while in the Southern Region the mean correlation is 79.2% and the average is 72.4% (mean error is 0.08). The mean errors are given on the left hand side, while the correlations are given on the right hand side.

