



Understanding OA
during CalNex using
CMAQ-VBS

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Understanding sources of organic aerosol during CalNex-2010 using the CMAQ-VBS

M. C. Woody¹, K. R. Baker¹, P. L. Hayes^{2,3,4}, J. L. Jimenez^{3,4}, B. Koo⁵, and H. O. T. Pye¹

¹U.S. Environmental Protection Agency, Research Triangle Park, NC, USA

²Université de Montréal, Department of Chemistry, Montreal, QC, Canada

³Cooperative Institute for Research in Environmental Sciences (CIRES), University of Colorado, Boulder, CO, USA

⁴Department of Chemistry and Biochemistry, University of Colorado, Boulder, CO, USA

⁵ENVIRON International Corporation, Novato, CA, USA

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Correspondence to: H. O. T. Pye (pye.havala@epa.gov)

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(70 % originating from volatile organic compounds (VOCs) and 20 % from intermediate volatility compounds (IVOCs)).

From source-apportioned model results, we found most of the CMAQ-VBS modeled POA at the Pasadena CalNex site was attributable to meat cooking emissions (48 %, and consistent with a substantial fraction of cooking OA in the observations), compared to 18 % from gasoline vehicle emissions, 13 % from biomass burning (in the form of residential wood combustion), and 8 % from diesel vehicle emissions. All “other” inventoried emission sources (e.g. industrial/point sources) comprised the final 13 %. The CMAQ-VBS semivolatile POA treatment underpredicted AMS hydrocarbon-like OA (HOA) + cooking-influenced OA (CIOA) at Pasadena by a factor of 1.8 ($1.16 \mu\text{g m}^{-3}$ modeled vs. $2.05 \mu\text{g m}^{-3}$ observed) compared to a factor of 1.4 overprediction of POA in CMAQ-AE6, but did well to capture the AMS diurnal profile of HOA and CIOA, with the exception of the midday peak. We estimated that using the National Emission Inventory (NEI) POA emissions without scaling to represent SVOCs underestimates SVOCs by $\sim 1.7 \times$.

1 Introduction

Organic matter is a ubiquitous component of $\text{PM}_{2.5}$. The Los Angeles South Coast Air Basin and San Joaquin Valley are designated as $\text{PM}_{2.5}$ nonattainment areas (<http://www.epa.gov/oaqps001/greenbk/ancl.html>), and major ground sites for the California at the Nexus of Air Quality and Climate Change (CalNex) campaign (Ryerson et al., 2013) were located within these basins at Pasadena and Bakersfield, respectively. Forty-one percent of the submicron aerosol mass at Pasadena was organic during CalNex (Hayes et al., 2013), and several complementary measurements of the organics including radiocarbon, secondary organic aerosol (SOA) tracers, OC/EC, organic aerosol (OA) composition, and volatile organic compounds (VOCs) (Zotter et al., 2014; Baker et al., 2015; Hayes et al., 2013) were collected.

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Even in urban areas, SOA is expected to be comparable or dominate over primary organic aerosol (POA) (Zhang et al., 2007). Average O : C ratios exceed 0.3 in southern California (Craven et al., 2013), and over 70 % of midday OA is estimated to be secondary in Riverside, CA (Docherty et al., 2008), Mexico City (Aiken et al., 2009), and Pasadena, CA (Hersey et al., 2011; Hayes et al., 2013). Slightly more than half of the OC during CalNex was non-fossil in origin (Zotter et al., 2014; Baker et al., 2015). The dominant component of daytime SOA, semivolatile oxygenated organic aerosol (SV-OOA), at the Pasadena site was found to be highly correlated with measurements of fossil OC and markers of gasoline combustion indicating that fossil precursor gases are major contributors to its formation, consistent with an estimated 71 % fossil fraction (Zotter et al., 2014).

Several other studies have indicated that SOA from gasoline vehicles dominates over SOA from diesel vehicles as deduced from weekly cycles of non-fossil vs. fossil carbon and OA (Zotter et al., 2014; Bahreini et al., 2012; Hayes et al., 2013) as well as the higher potential for gasoline exhaust to form SOA in chamber oxidation experiments (Jathar et al., 2014). Borbon et al. (2013) further show gasoline vehicle emissions dominate the hydrocarbon distribution in urban areas such as CalNex, though measurements were limited to VOCs and excluded SVOCs and IVOCs. However, an alternative analysis using a detailed characterization of organic emissions from diesel and gasoline vehicles and estimated SOA yields concluded that diesel is responsible for more than 65 % of vehicle-attributable SOA (Gentner et al., 2012). In contrast, Ensberg et al. (2014) conclude that either the SOA yields in the atmosphere are much larger than have been observed in chambers, or alternatively vehicles may not be the dominant source of anthropogenic fossil SOA in Los Angeles. Recent work also indicates that models underestimate SOA from both known and unknown VOC precursors (Ensberg et al., 2014; Jathar et al., 2014; Zhang et al., 2014).

The Community Multiscale Air Quality (CMAQ) model (Byun and Schere, 2006) is used for research and regulatory purposes. POA is normally treated as nonvolatile (Simon and Bhawe, 2012), and SOA forms mostly from gas-phase VOC oxidation to

input to CMAQ using the Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system (Houyoux et al., 2000).

Gridded meteorological variables used for input to CMAQ and SMOKE were generated using version 3.1 of the WRF model, Advanced Research WRF core (Skamarock and Klemp, 2008). Details regarding the WRF configuration and application are provided elsewhere (Baker et al., 2013). In general, surface meteorology and daytime mixing layer heights were well represented for this period in California. A 36 km CMAQ simulation covering the continental United States for the same time period was used to generate boundary conditions for this simulation. A global GEOS-CHEM (v8-03-02) (Bey et al., 2001) simulation provided boundary inflow for the 36 km continental scale CMAQ simulation (Henderson et al., 2014). Neither larger scale simulation included CMAQ-VBS OA species, though the impact is likely small as we assume most of the OA at Pasadena originates from local or regional sources located in our modeling domain.

POA is treated as semivolatile (SVOCs) in CMAQ-VBS and allowed to partition between the gas and particle phase. CMAQ-VBS also includes a formation pathway of SOA from the oxidation of IVOC emissions, which represents additional SOA precursor mass introduced into the model relative to CMAQ-AE6. CMAQ-VBS internally estimates SVOC and IVOC emissions at runtime based on traditional POA emission inventories. In the configuration used here, SVOC emissions are equivalent to the POA emissions input, i.e. no scaling of POA is applied to calculate SVOC emissions based on the assumption that the POA emission inventory is reported before evaporation of semivolatile emissions (Robinson et al., 2007). Therefore, the total mass of SVOC (gas and particle phase) emissions are equal to traditional POA emissions. IVOC emissions are estimated as $1.5 \times$ SVOCs (Robinson et al., 2007), or $1.5 \times$ the traditional POA emission inventory. OH is artificially recycled (i.e. not depleted) in oxidation reactions of IVOCs and SVOCs (primary and secondary) to prevent double counting and impacts to the gas-phase chemistry of the underlying chemical mechanism. Although most modeling studies set IVOCs = $1.5 \times$ SVOCs, the total amount of material introduced into the

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model varies depending on the study and leads to varying importance of SOA from S/IVOCs and VOCs in different simulations. For example, in the box modeling studies of Dzepina et al. (2009) and Hayes et al. (2015) the POA was set equal to the measured HOA, then the SVOCs were calculated from equilibrium partitioning using the Robinson et al. (2007) volatility distribution, and then IVOC were set to $1.5 \times$ SVOC. In grid-based model studies examining Mexico City OA (Hodzic et al., 2010; Tsimpidi et al., 2010; Shrivastava et al., 2011), the POA emission inventory was assumed to represent the fraction of aerosol remaining after evaporation of semivolatile emissions based on comparisons with observations and therefore SVOC emissions were set to $3 \times$ traditional POA emissions and IVOC emissions were set to $1.5 \times$ SVOC emissions leading to a total of $S/IVOC = 7.5$ traditional POA.

The volatility split of SVOC emissions in CMAQ-VBS is provided in Table 1. By default, CMAQ-VBS assigns volatility distributions for POA emissions from gasoline vehicles (GV), diesel vehicles (DV), biomass burning (BB), nonvolatile sources (NV), and “other” sources (OP) (e.g. point/industrial sources). In the absence of source specific POA emissions, the “other” profile is used. In our application, a significant portion of POA was associated with meat cooking activities (Table 2), which thermodenuder data suggests is of lower volatility compared to the other CMAQ-VBS source specific POA categories (Huffman et al., 2009). We roughly approximated a new volatility distribution for meat cooking SVOC emissions (Table 1) by altering the CMAQ-VBS biomass burning SVOC volatility distribution based on comparisons of meat cooking and the MLAGRO average biomass burning thermodenuder measured volatility (Huffman et al., 2009). This is meant as a first approximation and represents an area where further research is needed. We note thermodenuder data provides some constraints on SVOCs but no constraints on IVOCs, therefore the IVOC emissions from meat cooking remained unchanged ($1.5 \times$ of meat cooking POA emissions).

After input into CMAQ-VBS, anthropogenic POA emission source specificity is lost as anthropogenic POA is lumped into a single basis set. In order to leverage our source specific emission inputs, in this study basis sets for POA from gasoline vehicles, diesel

was $3.1 \mu\text{g m}^{-3}$ (Fig. 1). In this region, OA was approximately 30–50 % of total modeled $\text{PM}_{2.5}$ and modeled OA was generally evenly split between primary and secondary (i.e. SOA comprised 40–60 % of OA). In contrast, CMAQ-AE6 predicted the majority (80–90 %) of OA was comprised of POA in LA. The shift from primary dominated to a more even primary/secondary split in CMAQ-VBS is due to both the semivolatile treatment of POA (lowering POA concentrations) and additional SOA formation pathways (SOA from IVOCs and SOA aging as discussed in Sect. 3.4.2).

Model performance comparisons at IMPROVE and CSN sites in California and Nevada indicated CMAQ-VBS generally underpredicted OC (Table 3). Model performance for OC was slightly degraded (i.e. greater underprediction) compared to CMAQ-AE6 predictions (see Table S3 and Fig. S9 of the Supplement for CMAQ-AE6 model performance). While CMAQ-VBS predicted higher concentrations of SOA due to additional SOA formation pathways (see Table S2 in the Supplement), including the introduction of IVOCs mass into the modeling system, the additional SOA production did not compensate enough for the evaporated POA or improve performance relative to routine network measurements.

The degraded OC model performance (with the exception of slightly improved error) was more evident at CSN sites, which are often located closer to anthropogenic emission sources. At those sites, CMAQ-AE6 OC normalized median bias (NMdnB) and error (NMdnE) were 9.9 and 43.9 % compared to –25.5 and 36.5 % in CMAQ-VBS. At IMPROVE sites, CMAQ-AE6 NMdnB and NMdnE (–55.7 and 57.6 %) were comparable to CMAQ-VBS values (–63.9 and 64.6 %), with the negative bias indicating both consistently underpredicted OC.

CMAQ-VBS also underpredicted OC compared to filter-based and AMS measurements at the Pasadena CalNex site, which were in reasonably good agreement with one another (Fig. 2). CMAQ-VBS OC predictions were approximately 2 to 3× lower than measured OC, with the largest gaps generally occurring during photochemically active periods (e.g. 4 to 7 June) when OOA concentrations were higher (Fig. 3), suggesting the model underpredicts SOA.

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3.2 Comparison against CalNex measurements at Pasadena

Figures 3 and 4 compare CMAQ-VBS results against AMS measured submicron OA PMF components, where CMAQ-VBS POA from meat cooking sources was compared against AMS CIOA, CMAQ-VBS POA from all other sources including motor vehicles was compared against AMS HOA, and CMAQ-VBS SOA was compared against AMS SV-OOA + LV-OOA. Additional AMS measurements of LOA and CMAQ-VBS biomass burning OA (BBOA) are included in Fig. 4 but these measurements/model results do not have a direct corresponding AMS/model value.

3.2.1 Meat cooking OA

CMAQ-VBS CIOA concentrations averaged $0.65 \mu\text{g m}^{-3}$ (28% of modeled OA) at Pasadena during the modeling period with a diurnal profile that was generally flat throughout the day and peaked at night. This is compared to an average AMS CIOA concentration of $1.22 \mu\text{g m}^{-3}$ (17% of measured OA) and a diurnal profile that peaked in the afternoon and at night, with peaks occurring slightly later than mealtimes and likely due to transport time (Hayes et al., 2013). The AMS diurnal profile is consistent with AMS measurements from several major urban areas, including Barcelona, Beijing, London, Manchester, New York City, and Paris (Allan et al., 2010; Huang et al., 2010; Sun et al., 2011; Mohr et al., 2012; Freutel et al., 2013). CMAQ-VBS generally compared well to AMS measurements in the morning but underpredicted the afternoon peak by 3.8× and evening peak by 2.8×.

We examined the theoretical partitioning of modeled CIOA using AMS measured OA (in place of modeled OA) to determine if partitioning alone explained the low bias in modeled midday CIOA concentrations. This scenario only increased modeled CIOA by approximately 10% in the afternoon. If instead the modeled CIOA was treated as nonvolatile (100% of emissions located in the particle phase), model concentrations increased by 30–40% and generally improved model performance (−32% normalized median bias compared to −51% in the semivolatile treatment). However, the modeled

diurnal pattern (Fig. S12, higher in the morning and evening, with a minimum in the afternoon) was opposite the AMS measurements (lower in the morning and evening, peaked in the afternoon).

While neither POA volatility treatment captured the afternoon peak in measured POA, the semivolatile treatment predictions during morning and evening hours suggest it to be the more appropriate model representation of the two. However, further considerations are needed to better account for the AMS measured midday peak in POA. The measured HOA peak followed a similar pattern to OOA both in the diurnal profile (Fig. 4) and on an hourly basis (Fig. 3), which may suggest that photochemistry served a role in the measured HOA peak as additional OA mass attributed to photochemistry could promote partitioning of semivolatile HOA to the particle phase. However, photochemical age and CO are correlated at this location due to the arrival of downtown LA plume in the early afternoon, so the observed correlation should not be over-interpreted. Alternative aging schemes to the Robinson et al. (2007) approach used in CMAQ-VBS, such as those proposed by Grieshop et al. (2009) and Pye and Seinfeld (2010) generally produce more OA mass than the Robinson et al. (2007) scheme and could better represent the POA midday peak (Hayes et al., 2015). These alternative aging schemes may also degrade the morning and evening performance, though Hayes et al. (2015) found the Grieshop et al. (2009) scheme performed reasonably well throughout the day.

Average CMAQ-VBS POA concentrations were approximately a factor of 1.6 lower than AMS measured HOA values at Pasadena (0.51 vs. $0.83 \mu\text{g m}^{-3}$). Increasing the CMAQ-VBS POA emissions by a factor of 1.5 produced average modeled POA concentrations ($0.78 \mu\text{g m}^{-3}$) comparable to the AMS-measured HOA, though the model overpredicted HOA in the morning and evening and underpredicted HOA in the afternoon (Fig. S15). These results suggest the NEI underestimates non-cooking related SVOCs by $\sim 1.5\times$ and cooking related SVOCs by $\sim 2\times$ and our SVOCs emissions are approximately 1.5 to 2 \times lower than those estimated using measured HOA at Pasadena in Hayes et al. (2015).

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chemical age, too much dispersion or too little transport of emissions to the Pasadena site in the model, or low intrinsic SOA production efficiency.

Comparisons of modeled and measured CO normalized for background CO (ΔCO , where $\Delta\text{CO} = \text{CO} - \text{CO}_{\text{background}}$ and modeled $\text{CO}_{\text{background}} = 75$ ppb, see Hayes et al. (2013) for CO background measurements) show 300 ppb measured ΔCO vs. 150 ppb modeled ΔCO . This observation suggests CMAQ anthropogenic CO emissions, which are often used as a proxy for anthropogenic emissions, may be a factor of two too low, or alternatively that too high dispersion and/or too low transport of emissions to Pasadena in the model results in the lower modeled CO. Baker et al. (2015), who also used the 2011 NEI, reported a similar model underprediction (approximately a factor of two) for total VOCs at Pasadena. However, Baker et al. (2015) reported the 2011 NEI based SOA precursor concentrations were in relatively good agreement with measured values, though xylene and toluene were generally overpredicted which could be attributed to underpredictions in photochemical age leading to insufficient xylene oxidation (e.g. at 0.1 day photochemical age, $\sim 75\%$ of emitted xylene would remain, but at actual ambient photochemical age a larger fraction would have reacted). CMAQ SOA precursor concentrations were a factor of 1.2 too low compared to 3 h measurements and a factor of 1.1 too high compared to 1 h measurements, suggesting the SOA precursor to CO emission ratio was incorrect by a factor of 2. Comparisons of the ratio of xylene and toluene emissions to CO emissions in LA and Orange Counties against observed xylene and toluene extrapolated to zero photochemical age (to account for photochemistry) to observed CO support this, as the emissions ratio (0.030) is approximately twice the observed ratio (0.014).

The role of photochemical age in SOA underpredictions was explored at Pasadena by examining SOA formed (plotted as $\text{SOA}/\Delta\text{CO}$ to approximately correct for differences in emissions and dilution between times) in CMAQ-VBS vs. photochemical age (estimated using $-\log(\text{NO}_x/\text{NO}_y)$; Kleinman et al., 2008) (Fig. 5). The slope of the best fit line ($66 \mu\text{g m}^{-3} \text{ppm}^{-1}$) was low by $\sim 1.6 \times$ compared to the measured value of $108 \mu\text{g m}^{-3} \text{ppm}^{-1}$ (Hayes et al., 2015). However, when the lower ΔCO in CMAQ

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is accounted for, the best estimate for the underprediction is $3.2\times$. Compared to the measured photochemical age (estimated by $-\log(\text{NO}_x/\text{NO}_y)$), the photochemical age component of CMAQ-VBS SOA was low by $\sim 1.5\times$, which helps explain part of the underprediction in SOA concentrations (Figs. 3 and 4) but not on SOA production efficiency (Fig. 5). For reference, Fig. 5 also includes the slope for CMAQ-AE6 predictions ($8\ \mu\text{g m}^{-3}\ \text{ppm}^{-1}$), which was much lower than the slope for CMAQ-VBS and also much lower than observations for multiple urban areas (De Gouw and Jimenez, 2009).

Examining modeled SOA vs. odd oxygen ($\text{O}_x \equiv \text{O}_3 + \text{NO}_2$) (Herndon et al., 2008; Wood et al., 2010), which leverages high measured correlations of SOA and O_x with generally good model performance of O_x (true for Pasadena during CalNex; Kelly et al., 2014), the slope for CMAQ-VBS was $72\ \mu\text{g m}^{-3}\ \text{ppb V}^{-1}$ (Fig. 5). This is approximately a factor of 2 lower than observations at Pasadena ($146\ \mu\text{g m}^{-3}\ \text{ppb V}^{-1}$) (Hayes et al., 2013), where measurements were comparable to other urban areas (Wood et al., 2010; Morino et al., 2014; Zhang et al., 2015). In comparison, CMAQ-AE6 (which has identical O_x concentrations to CMAQ-VBS) underpredicted the metric by a factor of 16, again suggesting that while CMAQ-VBS underpredicts SOA, it does considerably better than the traditional CMAQ-AE6 SOA treatment. Note, in CMAQ-VBS sensitivity simulations without aging reactions (Sect. 3.4.2) the slope of SOA vs. O_x ($11\ \mu\text{g m}^{-3}\ \text{ppb V}^{-1}$) was nearly equivalent to the slope of CMAQ-AE6 ($9\ \mu\text{g m}^{-3}\ \text{ppb V}^{-1}$). This indicates most of the CMAQ-VBS SOA mass was produced as a result of aging of SVOCs and is further discussed in Sect. 3.4.2.

Thus our analysis suggests that the SOA production efficiency in CMAQ-VBS is too low by 1.6 to $2\times$; photochemical age low by a factor of $1.5\times$, and the remaining underprediction (1.6 to $2.3\times$) attributed to other factors (emissions, transport, etc.). Combining both underestimates of the SOA/ ΔCO ($1.5\times$ and $3.2\times$) implies that SOA concentrations should be too low by $4.8\times$, which agrees with the $5.2\times$ underprediction of SOA compared to AMS OOA.

One possible reason for the underestimate of SOA production efficiency in CMAQ-VBS (and CMAQ-AE6) is that CMAQ SOA yields do not account for SVOC wall loss,

which Zhang et al. (2014) indicated reduce SOA production by 2 to 4×. However, the factor of 4 is for alkane systems (speciated long alkanes are not considered SOA precursors in CB05) and toluene and specific to the smog chamber used in Zhang et al. (2014). Therefore, SVOC wall loss does not likely account for the entire underestimate of SOA production efficiency.

Another possibility for the underprediction of SOA in CMAQ-VBS is SOA formed from missing or mischaracterized (as unspeciated VOCs) IVOC emissions. There is significant uncertainty currently associated with IVOC emissions and their SOA yields. Current CMAQ-VBS IVOC emissions are scaled to primary SVOC emissions (1.5×) based on the results of a diesel generator (Robinson et al., 2007). Jathar et al. (2014) recently published updated IVOC emission factors for unspeciated compounds and SOA yield parameterizations for diesel vehicles, gasoline vehicles, and biomass burning based on more recent source specific smog chamber results. Using the results of Jathar et al. (2014) to update the IVOC emissions and parameterization in CMAQ-VBS could help to bridge the gap between model and measurements, but likely would not account for the entire missing SOA mass as CMAQ-VBS simulations where S/IVOC emissions were increased by 3.75 × (SVOC = 1.5 × POA to match HOA, IVOC = 1.5 × SVOC), 5 × (SVOC = 2 × POA to match CIOA, IVOC = 1.5 × SVOC) and 7.5 × (SVOC = 3 × POA, IVOC = 1.5 × SVOC) continued to underpredict both average (by factors of 4.4×, 3.7×, and 2.9×) and daily peak (by factors of 4.6×, 3.9×, and 2.8×) measured OOA. When the factor of 7.5× is used, the model is in approximate agreement with the observations once the lower model photochemical age and low emissions/high dispersion are taken into account, which is consistent with previous modeling efforts for CalNex and elsewhere (Dzepina et al., 2009; Hodzic and Jimenez, 2011; Hayes et al., 2015). However, the approximate quantitative agreement may be for the wrong reasons and should not be over-interpreted as direct evidence of the presence and SOA formation efficiency of S/IVOCs.

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3.3 Non-fossil vs. fossil carbon

In addition to tracking POA from meat cooking activities separately in CMAQ-VBS, we also added the ability to track POA from gasoline vehicles, diesel vehicles, and “other” sources separately. Tracking POA from various sources provided the opportunity to compare CMAQ-VBS non-fossil vs. fossil carbon contributions against filter-based measurements collected at Pasadena (Fig. 6) (Baker et al., 2015). Measurements indicated, on average, a near even split of non-fossil (48%) and fossil (52%) carbonaceous mass (Baker et al., 2015), and the non-fossil measurements were consistent with other collocated ^{14}C measurements collected during the same time period (51% non-fossil) (Zotter et al., 2014).

On 6 days the measured non-fossil fraction was > 1 and therefore measurements on these days were excluded from our analysis as outliers. We believe these outliers were due to a plume from a nearby medical waste incinerator passing directly by the measurement site. Other results were likely also influenced by the incinerator, though to a lesser extent, biasing the non-fossil carbon fraction high.

For the purposes of the comparison, we assumed non-fossil carbon was comprised of biogenic SOC, biomass burning POC, and all meat cooking POC (measurements suggest $\sim 75\%$ of meat cooking carbon is non-fossil but are likely biased due to imperfections of the PMF analysis; Hayes et al., 2013; Zotter et al., 2014). We assumed fossil carbon was comprised of EC, anthropogenic SOC, POC from gasoline and diesel vehicles, and all POC from “other” emission sources. Non-fossil carbon was always underpredicted in CMAQ-VBS (average predictions of $0.61 \mu\text{g C m}^{-3}$ vs. average observation of $1.86 \mu\text{g C m}^{-3}$) and the model predicted it to be dominated by meat cooking emissions. This suggests missing SOA formation pathways, low model SOA yields, or missing emission sources of non-fossil carbon at or upwind of Pasadena, including the substantial likely underestimate of cooking POA discussed above. Higher SOA formation from cooking emissions than parameterized here (Hayes et al., 2015) could account for some of the discrepancy, although this source is poorly characterized. In-

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basin biogenic SOA (e.g. formed from VOCs emitted within the LA basin) and advection of marine OA are estimated to be very small (Hayes et al., 2015), and are unlikely to account for the noted discrepancy. Not enough formation and/or advection of biogenic SOA from the North may account for some of the missing non-fossil SOA as well (Hayes et al., 2015).

Contrastingly, CMAQ-VBS did a reasonably good job of predicting fossil carbon at Pasadena (average predictions of $1.81 \mu\text{gCm}^{-3}$ vs. average observation of $1.97 \mu\text{gCm}^{-3}$), though the model tended to underpredict fossil carbon during days with higher measured OOA (e.g. 4 to 10 June, Fig. 3). Fossil carbon was generally dominated by EC and anthropogenic secondary organic carbon (ASOC). Comparisons of CMAQ-VBS EC (which has an identical treatment in CMAQ-AE6) concentrations (average of $1.01 \mu\text{gCm}^{-3}$) against CalNex filter-based measurements at Pasadena ($0.51 \mu\text{gCm}^{-3}$) suggest that CMAQ-VBS (and CMAQ-AE6) overpredicted EC and therefore over emphasizes its contribution to total carbon. Excluding EC, CMAQ-VBS predicted considerably less non-EC fossil carbon (average of $0.80 \mu\text{gCm}^{-3}$) compared to observed ($1.46 \mu\text{gCm}^{-3}$) (Fig. S17). Additional details regarding the filter-based measurements and the EC / OC split in the NEI are reported in Baker et al. (2015).

Comparisons of the CMAQ-VBS diurnal profiles for non-fossil and fossil carbon at Pasadena against measurements made by Zotter et al. (2014) indicated the model did well to capture the overall pattern of the measurements (higher non-fossil carbon in the morning and evening with the minimum occurring in the afternoon) but was biased towards fossil carbon (see Fig. S18 of the Supplement). The fact that the model represented the measured diurnal pattern well but was biased suggests that it was missing both non-fossil (in the morning and evening) and fossil sources (in the afternoon). This is consistent with model underpredictions of meat cooking POA (non-fossil) in the morning/evening, minimal contributions from model SOA (non-fossil) throughout the day, and underpredictions of the afternoon peak in anthropogenic SOA (fossil).

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3.4 CMAQ-VBS sensitivity analysis

3.4.1 POA source apportionment

Higher CMAQ-VBS predictions of POA from gasoline vehicles compared to diesel vehicles was true throughout southern California (Fig. 7). Most POA was comprised of meat cooking POA, followed by POA from gasoline vehicles, “other” sources, and finally diesel vehicles. Note that the diesel vehicle panel in Fig. 7 required a scale an order of magnitude lower than the other sources. At Pasadena, the breakdown of POA was as follows: 48 % meat cooking, 18 % gasoline vehicles, 13 % biomass burning (in the form of residential wood combustion), 13 % “other”, and 8 % diesel vehicles. This further emphasizes the relative importance of meat cooking activities relative to mobile sources as well as gasoline vehicle emissions compared to diesel vehicle emissions. We note that the predicted urban POA has larger non-fossil than fossil fraction.

Of note was the limited contributions of gasoline and diesel vehicle POC emissions to total carbon at Pasadena, where fossil OC was dominated by ASOC (Fig. 6). This result, coupled with the fact that the majority of ASOC precursor emissions originated from gasoline vehicles and point sources, suggest that gasoline vehicles dominated mobile source OC contributions (Bahreini et al., 2012; Gentner et al., 2012; Ensberg et al., 2014; Hayes et al., 2015).

3.4.2 Contributions from CMAQ-VBS SOA formation pathways

As a sensitivity study, the aging of secondary biogenic SVOCs was turned on using the same oxidation pathways used for the aging of secondary anthropogenic SVOCs in CMAQ-VBS. That is, secondary biogenic SVOCs were aged by reactions with OH in the gas-phase using a rate constant of $2 \times 10^{-11} \text{ cm}^3 \text{ molec.}^{-1} \text{ s}^{-1}$ and each aging step reduced the volatility by an order of magnitude. The aging of biogenics produced more SOA at Pasadena ($\sim 0.5 \mu\text{g m}^{-3}$ throughout the day) (Fig. 8). The diurnal profile indicates aged biogenic SOA concentrations were essentially constant throughout the

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day, which is the same pattern as AMS LV-OOA. A scenario where LA basin biogenic SOA precursor emissions were zeroed out indicated almost all (95 %) of the predicted biogenic SOA originated from outside the basin, which is consistent with Hayes et al. (2015).

The additional non-fossil carbon mass from biogenic SOA would help to close the gap in the modeled vs. measured non-fossil carbon at Pasadena. Furthermore, the additional SOA mass improved overall OC model performance at routine monitoring network sites (Table 4) comparable to, if not better, than CMAQ-AE6 model performance. Monoterpene emissions were underestimated at Pasadena (Baker et al., 2015), although biogenic VOCs emitted in the LA basin make a very small contribution to SOA in Pasadena. Rather biogenic emitted in the Central Valley and surrounding mountains are thought to contribute most of the biogenic SOA observed in the basin (Hayes et al., 2015). CMAQ-VBS could potentially overestimate biogenic SOA if the underprediction of monoterpenes applies to other areas of California. Further evaluation of the impacts of biogenic SOA aging are needed, particularly in areas dominated by biogenic SOA, such as in the southeastern US.

Figure 8 also provides the contribution for the three standard SOA formation pathways in CMAQ-VBS (VOCs, IVOCs, and aging) at Pasadena. These were estimated using sensitivity simulations without IVOCs, aging, or both and then taking the difference between results from the various scenarios. The results indicate the majority of SOA was formed from aging, representing a technique to increase model SOA yields similar to the 4× increase in SOA yields proposed by Zhang et al. (2014) and used with CMAQ-AE6 in Baker et al. (2015). Additionally, CMAQ-VBS predicted comparable SOA (considering first generation only) from VOCs to CMAQ-AE6, which one would expect given that they utilize comparable SOA yields (see the Supplement for SOA yield curves). However, the inclusion of higher volatility semivolatile products (C^* of 100 and 1000) provides high yielding points along the yield curve missing in Odum 2-product framework of CMAQ-AE6. Thus, CMAQ-VBS transfers more mass from VOC precursor

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to semivolatile oxidation product but requires the aging process to lower the volatility of the semivolatile product to the point of condensing to form SOA.

3.4.3 Simplified SOA parameterization

A simplified SOA parameterization (SIMPLE) has been presented (Hodzic and Jimenez, 2011; Hayes et al., 2015) and applied here in CMAQ to provide an alternative SOA modeling budget for comparison with AE6 and VBS. SIMPLE was originally developed by Hodzic and Jimenez (2011) and recently shown to perform well in predicting anthropogenic SOA at Pasadena (Hayes et al., 2015). A key goal of the parameterization is to provide a quick way to estimate the amount of anthropogenic SOA formed from pollution sources, especially for studies in which mechanistic SOA formation description is not the goal, but when having the correct amount of aerosol present is important for the results of the simulation. It can also serve as a simple-to-implement benchmark to compare more complex parameterizations across different models, when many other parameters are also changing. The parameterization uses a single SOA precursor (VOC^*) scaled to CO emissions and which reacts with OH. The oxidation product is treated as nonvolatile. However, it will likely need to be re-fitted to ambient data in the future, when emission control strategies change the ratio of VOC precursors to CO, or their average SOA yield.

Hayes et al. (2015) found that the SIMPLE parameterization compared favorably to measurements and VBS box model results at Pasadena. In our implementation in CMAQ-VBS, we use an emission rate of $0.069 \text{ g } VOC^* \text{ g}^{-1} \text{ CO}$ and a $k_{OH} = 1.25 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$, based on the optimum values for Pasadena reported in Hayes et al. (2015).

SIMPLE predicted more anthropogenic SOA mass than CMAQ-VBS (2.5× more at the afternoon peak) (Fig. 9) with the right diurnal cycle. However, it still underpredicted the AMS measured SV-OOA by a factor of 2.3× at the afternoon peak. The slope of $SOA/\Delta CO$ vs. $-\log(NO_x/NO_y)$ for SIMPLE was $113 \mu\text{g m}^{-3} \text{ ppm}^{-1}$, which was slightly

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observations, we apportioned the SOA underprediction from CMAQ-VBS to too slow photochemical oxidation based on $\text{NO}_x : \text{NO}_y$ ($1.5\times$ lower than observed at Pasadena), too low intrinsic SOA efficiency (1.6 to $2\times$ too low for Pasadena), and too low emissions/high dispersion for the Pasadena site (1.6 to $2.3\times$ too low/high). Individually, none of the recently proposed updates for SOA predictions (SVOC wall loss (Zhang et al., 2014), unspciated IVOCs (Jathar et al., 2014), aging of biogenic SOA (Donahue et al., 2012), and aging of SVOCs/IVOCs) can resolve the model/measurement discrepancy, but a combination of the factors may.

POA at the Pasadena CalNex site was found to be mostly from meat cooking emissions (48%) and to lesser extents from gasoline vehicle emissions (18%), diesel vehicle emissions (8%), biomass burning (13%), and “other” emissions (13%), and interestingly more than 50% non-fossil. Furthermore, the semivolatile treatment of POA better represented the measured AMS diurnal profile of HOA than nonvolatile POA, particularly during morning and evening hours. Using sensitivity simulations, we estimated the NEI POA captures approximately 50% of meat cooking SVOCs and approximately 66% from all other sources. However, CMAQ-VBS underpredictions of POA may also be attributed to the volatility distribution applied to emissions or missing/mischaracterized POA oxidation and increasing CMAQ-VBS emissions by 1.5 to $2\times$ would degrade POA model performance in the morning and evening.

Regarding which OA treatment is more appropriate, CMAQ-VBS or CMAQ-AE6, depends on the user’s modeling needs and goals. The traditional treatment more accurately predicts total OA measured at routine monitoring networks (though due to possible compensating model biases, particularly at sites located in urban areas which are more influenced by POA). Conversely, CMAQ-VBS better represents the total SOA mass and the POA/SOA split at Pasadena. Due to the difference in SOA/POA splits, the two CMAQ configurations may respond differently to VOC and/or NO_x emission reductions, which should be examined in future work.

A future extension of this work includes enhancements to SOA from IVOCs in CMAQ. IVOC emissions are currently scaled to POA. Recent results published by Jathar et al.

(2014) provide new insights in how to better estimate IVOC emissions from gasoline and diesel vehicles and biomass burning. With updated IVOC emissions and parameterizations, coupled with comparisons of IVOC measurements made during CalNex (Zhao et al., 2014), CMAQ-VBS predictions may be able to close the gap between measured and modeled SOA and provide additional certainty in both IVOCs and the SOA formed from IVOCs.

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Table 1. CMAQ-VBS volatility distribution of POA emissions from gasoline vehicles, diesel vehicles, biomass burning, nonvolatile (e.g. fugitive dust), meat cooking, and “other” sources.

| Source | Non-Vol ^a | 10 ⁰ | 10 ¹ | 10 ² | 10 ³ |
|-----------------------------------|----------------------|-----------------|-----------------|-----------------|-----------------|
| Gas Vehicles (GV) ^b | 0.27 | 0.15 | 0.26 | 0.15 | 0.17 |
| Diesel Vehicles (DV) ^c | 0.03 | 0.25 | 0.37 | 0.24 | 0.11 |
| Biomass Burning (BB) ^d | 0.20 | 0.10 | 0.10 | 0.20 | 0.40 |
| Nonvolatile (NV) | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Meat Cooking (MC) ^e | 0.35 | 0.35 | 0.10 | 0.10 | 0.10 |
| Other (OP) ^f | 0.09 | 0.09 | 0.14 | 0.18 | 0.50 |

^a Nonvolatile bin represents C^* values of 10^{-2} and $10^{-1} \mu\text{g m}^{-3}$.

^b May et al. (2013b).

^c May et al. (2013c).

^d May et al. (2013a).

^e Estimated from Huffman et al. (2009).

^f Robinson et al. (2007).

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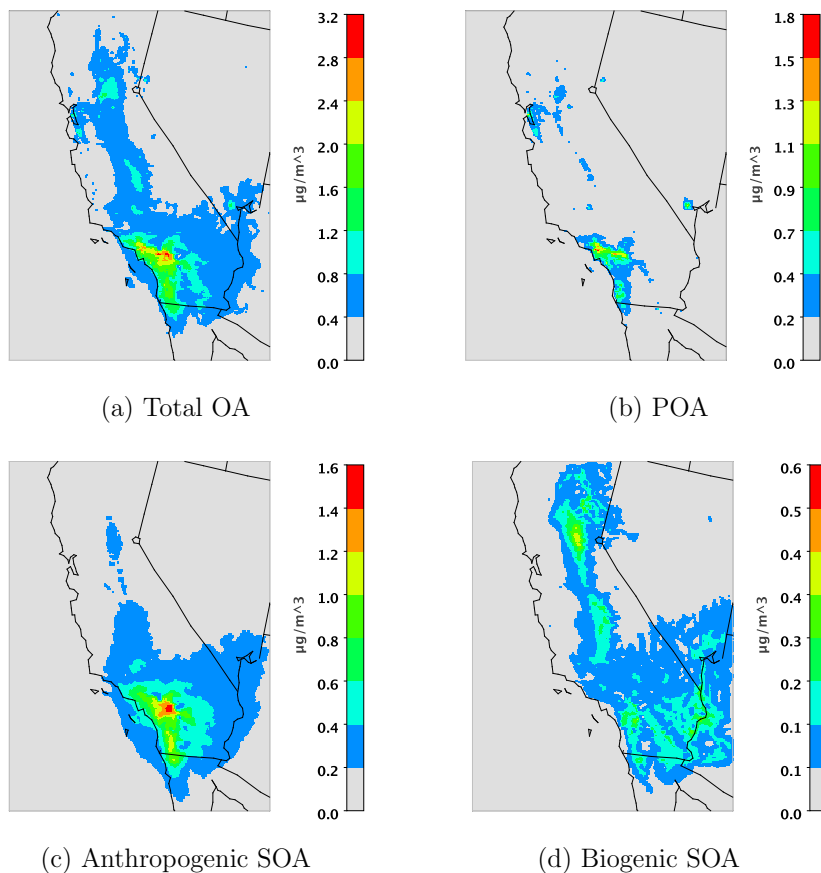


Figure 1. CMAQ-VBS modeling period average (15 May to 30 June 2010) concentrations of total OA (a), primary organics (b), anthropogenic SOA (c), and biogenic SOA (d). Note each plot uses a unique scale.

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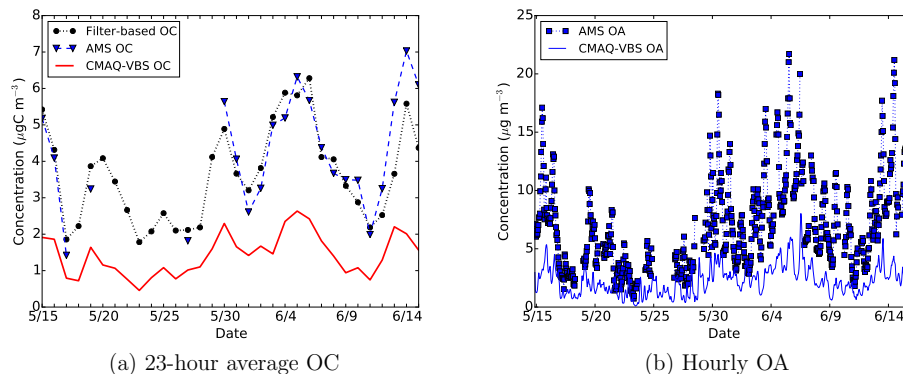


Figure 2. (a) 23 h average modeled and measured (EPA filter-based and AMS) OC and (b) hourly modeled and AMS measured OA at Pasadena. AMS measurements in (a) include only days with > 16 hourly measurements.

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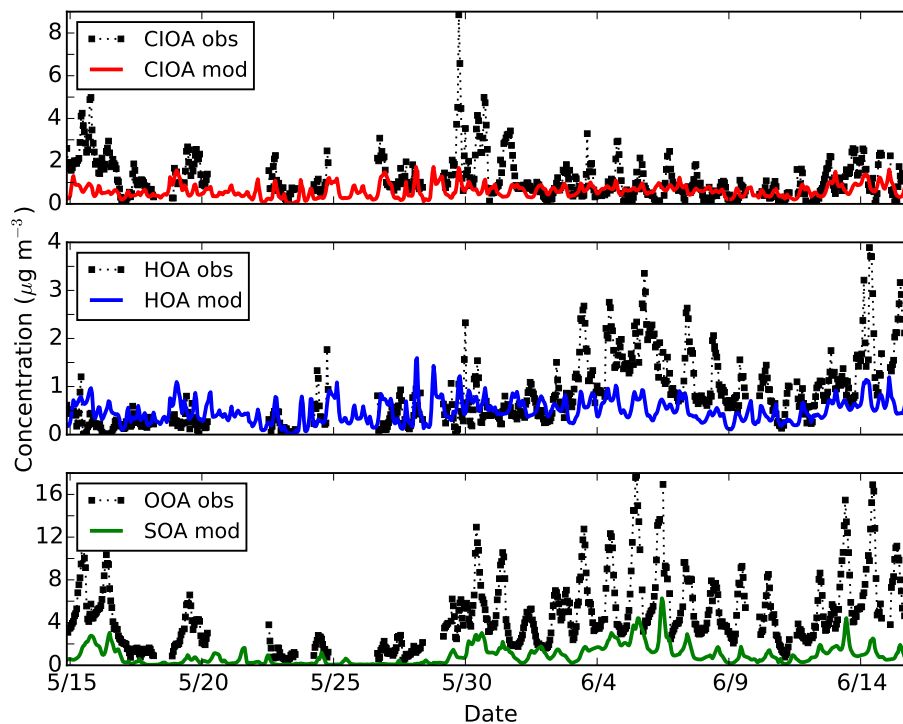


Figure 3. Hourly AMS measured (CIOA obs) and CMAQ-VBS predicted (CIOA mod) meat cooking POA (top), hydrocarbon-like OA (HOA) and POA (middle), and OOA (SV-OOA + LV-OOA) and SOA (bottom) at Pasadena.

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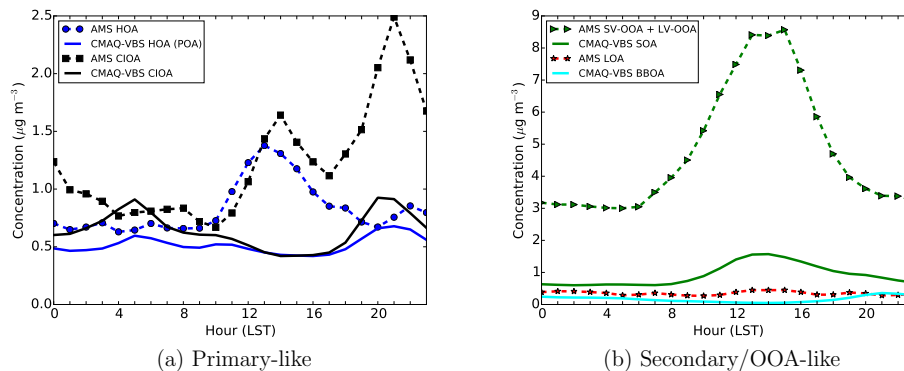


Figure 4. Diurnal profile of CMAQ-VBS modeled and AMS measured PMF OA components at Pasadena.

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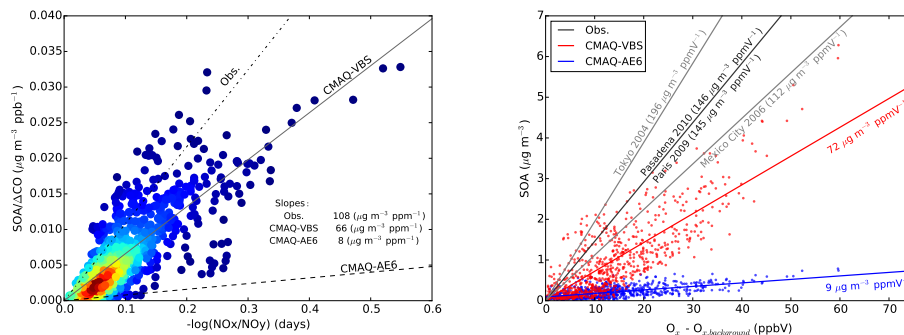


Figure 5. Left: CMAQ-VBS modeled SOA/ Δ CO vs. photochemical age $[-\log(\text{NO}_x/\text{NO}_y)]$ at Pasadena. Colors indicate the relative density of points determined using the Gaussian density kernel estimate (red corresponds to high density and blue corresponds to low density). Also indicated are the slopes of the best fit lines for the same metric for observations (Hayes et al., 2015), CMAQ-VBS, and traditional CMAQ (CMAQ-AE6). Right: CMAQ-VBS and CMAQ-AE6 SOA vs. O_x ($\text{O}_3 + \text{NO}_2$) minus O_x background at Pasadena. Also plotted are the slopes of the best fit line for the same metric for observations made from a number of urban areas, including Pasadena.

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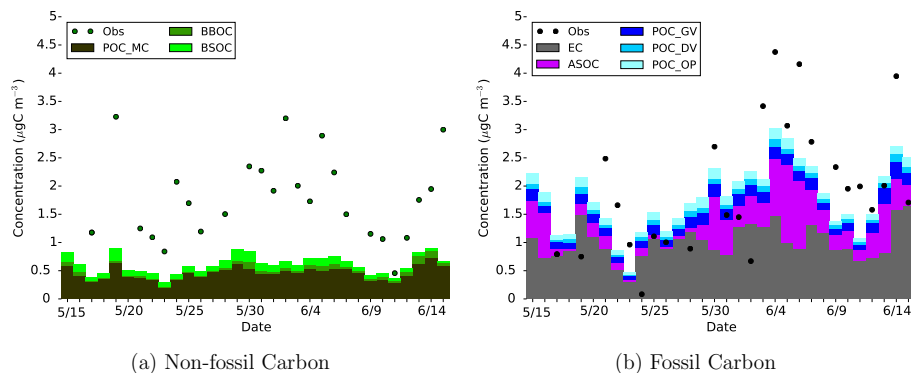


Figure 6. Daily average CMAQ-VBS (a) non-fossil and (b) fossil carbon at Pasadena. Non-fossil carbon model species include primary organic carbon from meat cooking (POC_MC), biomass burning OC (BBOC), and biogenic secondary OC (BSOC) while fossil carbon model species include elemental carbon (EC), anthropogenic secondary OC (ASOC), and primary organic carbon from gasoline vehicles (POC_GV), diesel vehicles (POC_DV), and other sources (POC_OP).

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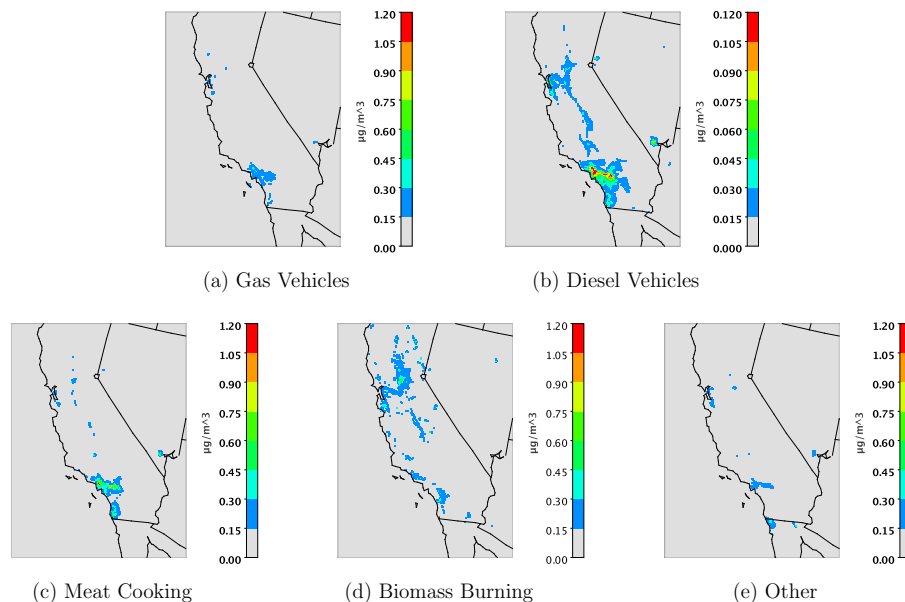


Figure 7. CMAQ-VBS modeled primary OA concentrations from gasoline vehicles (a), diesel vehicles (b), meat cooking (c), biomass burning (d), and “other” sources (e). Note the scale for diesel vehicles is an order of magnitude lower than for other sources.

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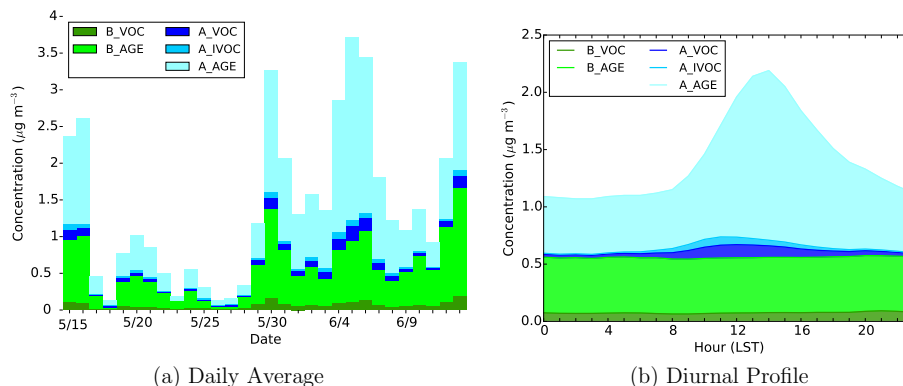


Figure 8. Model contributions of anthropogenic and biogenic VOCs (A_VOC, B_VOC), anthropogenic and biogenic IVOCs (A_IVOC, B_IVOC), and aging reactions of anthropogenic and biogenic SVOCs (A_AGE, B_AGE) (originating from both VOCs and IVOCs) to SOA at Pasadena. Note, the aging of biogenic SVOCs was turned on only during sensitivity simulations.

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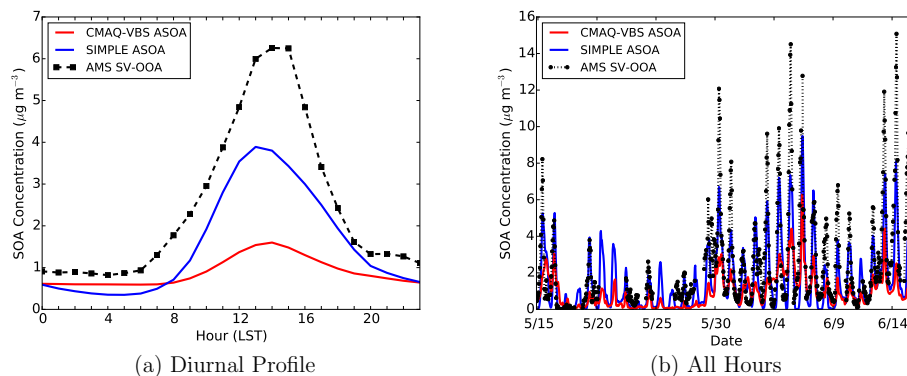


Figure 9. Comparison of the SIMPLE SOA parameterization in CMAQ to CMAQ-VBS SOA and AMS OOA **(a)** diurnal cycle and **(b)** all hours at the Pasadena CalNex site.

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