Atmos. Chem. Phys. Discuss., 15, 26131–26189, 2015 www.atmos-chem-phys-discuss.net/15/26131/2015/ doi:10.5194/acpd-15-26131-2015 © Author(s) 2015. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

Using beryllium-7 to assess cross-tropopause transport in global models

H. Liu¹, D. B. Considine^{2,a}, L. W. Horowitz³, J. H. Crawford², J. M. Rodriguez⁴, S. E. Strahan^{4,5}, M. R. Damon^{4,6}, S. D. Steenrod^{4,5}, X. Xu⁷, J. Kouatchou^{4,6}, C. Carouge^{8,b}, and R. M. Yantosca⁸

¹National Institute of Aerospace, Hampton, VA, USA
 ²NASA Langley Research Center, Hampton, VA, USA
 ³NOAA Geophysical Fluid and Dynamics Laboratory, Princeton, NJ, USA
 ⁴NASA Goddard Space Flight Center, Greenbelt, MD, USA
 ⁵Universities Space Research Association, Columbia, MD, USA
 ⁶Science Systems and Applications, Inc., Lanham, MD, USA
 ⁷Science Systems and Applications, Inc., Hampton, VA, USA
 ⁸Harvard University, Cambridge, MA, USA
 ^anow at: NASA Headquarters, Washington, DC, USA
 ^bnow at: University of New South Wales, Sydney, Australia



Received: 26 July 2015 - Accepted: 27 August 2015 - Published: 25 September 2015

Correspondence to: H. Liu (hongyu.liu-1@nasa.gov)

Published by Copernicus Publications on behalf of the European Geosciences Union.



Abstract

We use the Global Modeling Initiative (GMI) modeling framework to assess the utility of cosmogenic beryllium-7 (⁷Be), a natural aerosol tracer, for evaluating cross-tropopause transport in global models. The GMI chemical transport model (CTM) was
⁵ used to simulate atmospheric ⁷Be distributions using four different meteorological data sets (GEOS1-STRAT DAS, GISS II' GCM, fvGCM, and GEOS4-DAS), featuring significantly different stratosphere–troposphere exchange (STE) characteristics. The simulations were compared with the upper troposphere/lower stratosphere (UT/LS) ⁷Be climatology constructed from ~ 25 years of aircraft and balloon data, as well as climatological records of surface concentrations and deposition fluxes. Comparison of the fraction of surface air of stratospheric origin estimated from the ⁷Be simulations with

- observationally-derived estimates indicates excessive cross-tropopause transport at middle latitudes in simulations using GEOS1-STRAT and at high latitudes using GISS II' meteorological data. These simulations also overestimate ⁷Be deposition fluxes at
- ¹⁵ middle latitudes (GEOS1-STRAT) and at high latitudes (GISS II'), respectively. We show that excessive cross-tropopause transport of ⁷Be corresponds to overestimated stratospheric contribution to tropospheric ozone. Our perspectives on STE in these meteorological fields based on ⁷Be simulations are consistent with previous modeling studies of tropospheric ozone using the same meteorological fields. We further applied to a strategy of the same meteorological fields.
- ²⁰ ply observational constraints to other global models including GFDL AM2 and GEOS-Chem (driven by GEOS3-DAS and GEOS5-DAS). We conclude that the observational constraints for ⁷Be and observed ⁷Be total deposition fluxes can be used routinely as a first-order assessment of cross-tropopause transport in global models.



1 Introduction

Stratosphere–troposphere exchange (STE) of air masses and chemical species occurs at small-, synoptic- and global-scales. It is typically associated with the occurrences of tropopause folding and cutoff cyclones and, more important, the global circulation of the atmosphere (Holton et al., 1995). While stratesphere to troposphere transport re-

- the atmosphere (Holton et al., 1995). While stratosphere-to-troposphere transport removes many chemical species from the stratosphere, it represents a significant source of ozone and other reactive species for the tropospheric chemical system (Stohl et al., 2003). Ozone is an important greenhouse gas, especially in the upper troposphere. It is a harmful pollutant near the surface. It is also the main precursor of hydroxyl radi-
- ¹⁰ cals (OH) and thus plays an essential role in the oxidizing capacity of the troposphere. In a warmer climate, the stratosphere may increase its contribution to tropospheric ozone levels due to a stronger residual circulation (Collin et al., 2003). Quantitative understanding and prediction of anthropogenic (vs. natural) perturbations to tropospheric ozone require the use of global 3-D models; correctly representing the STE flux in these
- ¹⁵ models is therefore critical. However, current models show large (30 %) uncertainty in predicted STE fluxes of ozone (Stevenson et al., 2006). Here we use the Global Modeling Initiative (GMI) modeling framework (Douglass et al., 1999; Rotman et al., 2001) to assess the utility of the aerosol tracer beryllium-7 (⁷Be) for evaluating cross-tropopause transport in global models.
- Beryllium-7 has a half-life of 53.3 days and is produced by cosmic ray spallation reactions in the stratosphere and upper troposphere. After production, it attaches immediately to ubiquitous submicron aerosols in the ambient air. The fate of ⁷Be then becomes that of those aerosols, which move with the air until scavenged by precipitation or deposited to the surface. ⁷Be is a useful aerosol tracer for testing wet deposition pro-200 areas and 200 areas areas and a second tracer for testing wet deposition pro-210 areas areas areas and a second tracer for testing wet deposition pro-210 areas a
- ²⁵ cesses in a global 3-D model and is often used in conjunction with the terrigenic ²¹⁰Pb aerosol tracer, as wet deposition is its principal sink and its sources are relatively well known (e.g., Brost et al., 1991; Koch et al., 1996; Liu et al., 2001). On the other hand, because of its source at high altitudes and the large concentration vertical gradient,



simulation of ⁷Be tests the model's capability to describe stratosphere-to-troposphere transport and subsidence in the troposphere (e.g., Liu et al., 2001; Allen et al., 2003).

Beryllium-7 has long been recognized as a tracer of downward transport from the stratosphere to the troposphere (e.g., Husain et al., 1977; Viezee and Singh, 1980;

- Sanak et al., 1985; Dibb et al., 1992, 1994; Rehfeld and Heimann, 1995). Husain et al. (1977) reported that pulses of high ⁷Be concentrations were often associated with air masses of stratospheric origin, as indicated by large potential vorticity. Viezee and Singh (1980) showed that the ⁷Be concentrations over North America show strong positive correlations with the occurrence of tropopause folding events over several lati-
- tude belts. ⁷Be has also been combined with other radionuclides (e.g., ¹⁰Be, ⁹⁰Sr) as an indicator of transport of stratospheric air to the troposphere (Raisbeck et al., 1981; Rehfeld and Heimann, 1995; Koch and Rind, 1998; Dibb et al., 1994; Jordan et al., 2003; Zanis et al., 2003; Heikkilä et al., 2008a, b). Dutkiewicz and Husain (1985, hereafter referred to as DH85) analyzed ⁷Be and ⁹⁰Sr concentrations measured simultaneously in the troposphere (Raisbeck et al., 2003).
- ¹⁵ in samples from NASA's Global Atmospheric Sampling Program (GASP) and showed that on an annual basis the stratosphere contributed ~ 25 % of the observed ⁷Be concentration at the northern mid-latitude surface (~ 40 % during late spring but only 10 % during fall).

Beryllium-7 is also a useful tracer for vertical mixing and subsidence in the tropo sphere. Feely et al. (1989) examined the factors that contribute to seasonal variations in ⁷Be concentrations in surface air. They found that the influences of variations both in the STE rate and in the tropospheric vertical mixing rate are evident in concentrations at most sites in middle latitudes. Convective transport carries surface air upward and brings down the ⁷Be at higher altitudes to the surface layer. This is also reflected by the ⁷Be / ²¹⁰Pb ratio that peaks at the surface in summer when convective activity is at its maximum (Koch et al., 1996). On the other hand, despite the UT/LS source of ⁷Be and the continental surface source of ²²²Rn (precursor of ²¹⁰Pb), ⁷Be concentrations have been reported to be positively correlated with ²¹⁰Pb concentrations, reflecting mixing



of subsiding middle- and upper-tropospheric air with continental lower-tropospheric air (Li et al., 2002; Dibb, 2007).

A number of observational studies have demonstrated the feasibility of using ⁷Be to infer the contribution of ozone-rich stratospheric air to ozone concentrations at ground level (e.g., Husain et al., 1977; Tsutsumi et al., 1998; Helmig et al., 2007) and in the

- free troposphere (e.g., Johnson and Viezee, 1981; Prospero et al., 1995; Graustein and Turekian, 1996; Kritz et al., 1991; Dibb et al., 2003). These studies are usually based on the correlations between concurrent measurements of ozone and ⁷Be (as well as other tracers such as water vapor and calculated potential vorticity), with positive ⁷Be-ozone
- ¹⁰ correlations indicating the presence of the upper-tropospheric or stratospheric air. For instance, Helmig et al. (2007) showed a year-round correlation of ozone with ⁷Be at Summit, Greenland and concluded that surface-layer photochemical ozone production does not appear to have a noticeable influence on surface ozone levels. However, it is important to note that under some circumstances the observed positive correlations
- of surface ozone with ⁷Be may simply reflect the common vertical trends of tropospheric ⁷Be and ozone and does not necessarily indicate the influence of stratospheric air (Li et al., 2002). Recent global modeling studies showed the models' capability to reproduce the observed ⁷Be-ozone relationships, providing useful constraints on the stratospheric (verus photochemical) contribution to tropospheric ozone in the model
 (Li et al., 2002; Allen et al., 2003; Liu et al., 2004).

Though correct representation of STE is essential for simulating ⁷Be, ozone and other trace species in the troposphere, large variations exist among models. Stevenson et al. (2006) reported the average STE flux of ozone from 26 models of 552 ± 168 Tg year⁻¹. Observation-based estimates of STE fluxes of ozone into the troposphere are typically in the range of 400–600 Tg year⁻¹ (Murphy and Fahey, 1994). Some global models are able to produce STE fluxes of ozone in this range (e.g., Olsen et al., 2004; Hsu et al., 2005; Hsu and Prather, 2009). For those models with too fast (or rarely, too slow) cross-tropopause transport of ozone, one way to overcome the difficulty is to use the Synoz (synthetic ozone) method (McLinden et al., 2000). The Synoz



method involves constraining the global mean cross-tropopause ozone flux to match a prescribed value consistent with observations (e.g., Bey et al., 2001). But this method yields an unrealistic stratospheric ozone field and therefore does not allow for on-line calculations of total ozone columns and photolysis rates/heating rates (McLinden et al.,

- ⁵ 2000). By contrast, the other simple model for stratospheric ozone (linearized ozone or Linoz) developed by McLinden et al. (2000) enables these on-line calculations by linearizing the ozone tendency about the local ozone mixing ratio, temperature, and the overhead column ozone density. Linoz is computationally efficient and can be readily incorporated in climate models for long-term integrations. Nevertheless, using Linoz
- (or full stratospheric chemistry) in global CTMs or chemistry-climate models that focus on the troposphere requires a realistic model representation of net cross-tropopause total mass fluxes. In this context, ⁷Be tracer simulations may provide a simple way of evaluating cross-tropopause transport in these models.

The intermodel differences in the estimated intensity and frequency of STE have been attributed to different meteorological fields used to drive the models as well as different transport algorithms and chemistry processes (Cristofanelli et al., 2003). The GMI modeling framework faciliates the reduction of uncertainties of this kind. It is a modular CTM with the ability to incorporate different inputs and components (e.g., meteorological fields, emission inventories, chemical and microphysical mechanisms,

- and numerical schemes) that represent the different approaches of current models. One of the distinct features of the GMI CTM is the ability to be driven by different meteorological data sets (e.g., Douglass et al., 1999; Considine et al., 2005; Liu et al., 2007) while maintaining the same algorithms for transport, deposition, emission, chemistry and other pertinent processes. This allows us to isolate the uncertainties in the
- ²⁵ model simulations due to differences in the meteorological data sets alone. The number of factors that may contribute to differences in the simulations is thus reduced, as we previously showed using the GMI simulated ²²²Rn and ²¹⁰Pb radionuclide tracers (Considine et al., 2005).



In this paper, we present simulations of atmospheric ⁷Be distributions with the GMI CTM driven by four different meteorological data sets, including output from GEOS1-STRAT, GISS II' GCM, fvGCM, and GEOS4-DAS, each featuring significantly different STE characteristics. The reader is referred to Table 1 for a list of acronyms of mod-

- ⁵ els and their driving meteorological data sets. We use here not only the meteorological fields that are well known to have reasonably good represenations of STE (e.g., fvGCM) but also those with poor representations (e.g., GEOS1-STRAT). The variability in simulated STE allows us to examine and assess the utility of ⁷Be for evaluating STE in these (and other) global meteorological fields. We will illustrate the consequences of
- incorrect STE in terms of the simulation of tropospheric ⁷Be and show that ⁷Be concentrations and deposition fluxes may be used routinely as a first-order assessment for cross-tropopause transport in global models. We will discuss how the constraints on STE from ⁷Be are consistent with previous modeling studies of tropospheric ozone using the same meteorological fields. We will also apply the ⁷Be tracer to assess cross-
- tropopause transport in GFDL AM2 GCM and in other meteorological fields (GEOS3-DAS and GEOS5-DAS driving GEOS-Chem CTM).

The remainder of this paper is organized as follows. Section 2 gives a brief description of the GMI model, ⁷Be source and cross-tropopause flux, and ⁷Be and ozone observational datasets used for evaluating the model. Section 3 evaluates model results with UT/LS and surface ⁷Be data. Section 4 assesses cross-tropopause transport of ⁷Be in different meteorological fields. Section 5 compares the results with previous modeling studies. Section 6 assesses cross-tropopause transport of ⁷Be in a few other meteorological fields. Section 7 discusses the implications for the impact of STE on tropospheric ozone, followed by summary and conclusions in Sect. 8.

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2 Model and data

2.1 GMI CTM

simulations.

The GMI (http://gmi.gsfc.nasa.gov) CTM (Combo model) is a global 3-D composition model that includes a nearly full treatment of both stratospheric and tropospheric photochemical and physical processes. Details of the model are described in Duncan et al. (2007, 2008), Strahan et al. (2007), and Considine et al. (2008). There is also a tropospheric version of the model that includes only tropospheric chemistry processes and uses the Synoz (synthetic ozone) scheme (McLinden et al., 2000) to ensure a given value for the total flux of ozone into the troposphere. The latter adopts a cross-tropopause ozone flux of about 530–590 Tg year⁻¹ (Stevenson et al., 2006). In this study, we simulate ⁷Be using the GMI CTM without chemistry, similar to the Considine et al. (2005) study that simulated the radionuclides ²²²Rn and ²¹⁰Pb. We use both the full-chemistry CTM and the tropospheric version of the model for ozone

- ¹⁵ The simulations presented in this paper differ only in the meteorological data used to drive the model. The four input meteorological data sets are from: (1) the Goddard Space Flight Center Data Assimilation Office (now Global Modeling and Assimilation Office or GMAO) GEOS1-STRAT data assimilation system (GEOS1-STRAT DAS, March 1997–February 1998), (2) GISS II' GCM (Rind and Lerner, 1996), (3) the GMAO
- finite-volume GCM (fvGCM), and (4) GEOS4-DAS (February 2004–January 2005). The GISS II' GCM data set is used for ⁷Be simulations only. The two GCM data sets are intended to represent not any particular year but the contemporary climatological state of the Earth's atmosphere. Note that these data sets do not reflect the state-of-the-art, especially the first two. However, the choices are on purpose in order to see how
- ²⁵ a meteorological input with a poor representation of cross-tropopause transport affects the simulated tropospheric ⁷Be. Vertical levels, top pressure, near-tropopause resolution, and bottom layer depth for each data set are listed in Table 2. The simulations presented here use a degraded horizontal resolution (4° × 5°) for computational expe-



diency. Degraded horizontal resolution slightly increases cross-tropopause transport (Liu et al., 2001). Nevertheless, our objective is to assess cross-tropopause transport in meteorological data sets at the resolution used to drive the model, not necessarily at the original or finer resolution.

- ⁵ The model uses the flux-form semi-Lagrangian advection scheme and a convective transport algorithm adapted from the CONVTRAN routine in the NCAR CCM3 physics package. The wet deposition scheme is that of Liu et al. (2001) and includes scavenging in wet convective updrafts, and first-order rainout and washout from both convective anvils and large-scale precipitation. The gravitational settling effect of cloud ice parti-
- ¹⁰ cles included in Liu et al. (2001) is not considered here. Dry deposition of ⁷Be aerosols is computed using the resistance-in-series approach. The model tracks the bulk ⁷Be aerosol mass. For ⁷Be simulations, each simulation was run for six years, recycling the meteorological data for each year of the simulation; we use the sixth year output for analysis. For ozone simulations, the model was spun up for 10 years to remove the ef-
- fect of initial conditions. Interannual variability in STE of ⁷Be is not shown in this paper. However, model simulations driven by multi-year outputs from fvGCM (1994–1998) indicate that such interannual variability is much smaller than the differences due to using different meteorological data sets and does not affect the conclusions of this study.

The GMI CTM has been used previously to study the sensitivities of model simulations to different sets of meteorological input. Douglass et al. (1999) used chemical tracers in the GMI framework to assess three meteorological data sets, i.e., the NCAR Community Climate Model (CCM2), GEOS1-STRAT, and GISS II' GCM. They concluded that overall, CCM2 provides the best representation of the stratosphere. Considine et al. (2005) used the GMI model to simulate the radionuclides ²²²Rn and

²⁵ ²¹⁰Pb using three different sets of meteorological inputs (GEOS1-STRAT, GISS II', and CCM3) and to characterize the variability occurring in their simulations. Overall no simulation was found to be superior to the others when compared with the climatological observations of these radionuclides. The role played by convective transport and scavenging was found to differ substantially among the three meteorological data sets. Liu



et al. (2007) analyzed and quantified the differences and uncertainties in GMI aerosol simulations solely due to different meteorological fields (GEOS1-STRAT, GISS II' GCM, and fvGCM). They suggested that the differences in the precipitation, convective mass flux, and horizontal advection from the three meteorological data sets explain much of the large discrepancies in the model-calculated aerosol concentrations.

2.2 Comparison of cloud and precipitation fields between meteorological data sets

Cloud and precipitation play a critical role in the transport and scavenging of ⁷Be aerosols and thus in determining the lifetime, burden, and distribution of ⁷Be in the troposphere. Figs. 1 and 2 compare the annual surface total precipitation and convective mass fluxes in the GEOS1-STRAT, GISS II' GCM, fvGCM and GEOS4-DAS meteorological data sets, respectively, following Liu et al. (2007). Also shown in Fig. 1 is the satellite climatology of surface total precipitation (1979–2009) from the Global Precipitation Climatology Project (GPCP) (Adler et al., 2003). The global mean precipitation rates are 1.9, 2.2, 2.6, 2.3 and 2.2 mm day⁻¹ for GEOS1-STRAT, GISS II' GCM, fvGCM, 15 GEOS4-DAS and GPCP, respectively, with lightest precipitation in GEOS1-STRAT and heaviest in fvGCM. Compared to GPCP, GEOS1-STRAT and GEOS4-DAS significantly underestimate the precipitation in the mid-latitude storm track regions, while GISS II' GCM, fvGCM and GEOS4-DAS largely overestimate the observations in the tropics or subtropics. GISS II' GCM also underestimates the precipitation south of 50° S and north of 40° N. There are significant differences in the convective mass fluxes among the four meteorological data sets (Fig. 2). Consistent with the precipitation, GEOS1-

STRAT shows the weakest convection except in the tropical middle and upper troposphere, whereas fvGCM features the strongest convection in the boundary layer at 30–60° S. The effects of the above differences in convection and precipitation between meteorological data sets on the results of this study will be examined through model sensitivity experiments.



2.3 ⁷Be source

There is a large discrepancy in the published estimates of ⁷Be production rates (Lal and Peters, 1967; O'Brien et al., 1991; Masarik and Reedy, 1995; Masarik and Beer, 1999; Usoskin and Kovaltsov, 2008). Global mean column production rates over an average solar cycle range from $0.035 \text{ atoms cm}^{-2} \text{ s}^{-1}$ (Masarik and Beer, 5 1999), 0.063 atoms cm⁻² s⁻¹ (O'Brien et al., 1991), to 0.081 atoms cm⁻² s⁻¹ (LP67). The Masarik and Beer (1999) production function is smaller than other estimates by a factor of 2 or more. It may have underestimated the rate of ⁷Be production and slightly overestimated changes in the production rate due to variations in geomagnetic and solar magnetic field strength (Koch et al., 2006; Field et al., 2006). The rates of ⁷Be production recently reported by Usoskin and Kovaltsov (2008) broadly agree with those of LP67 with slightly (about 25%) lower global production rate. We use in the model the Lal and Peters (1967) source for 1958 (solar maximum year). About 2/3 of atmospheric ⁷Be is generated in the stratosphere and 1/3 in the troposphere. The ⁷Be production rate correlates inversely with solar activity. At higher solar activity, cos-15 mic rays are deflected away from the solar system and the ⁷Be production rate is thus lower.

2.4 Constraint on stratospheric contribution to ⁷Be at the surface

Cross-tropopause transport is important for simulating ⁷Be in the troposphere. A useful constraint on the stratospheric contribution to tropospheric ⁷Be is DH85's analysis of the observed ⁷Be / ⁹⁰Sr ratio in the stratosphere and ⁹⁰Sr concentrations at the surface. The presence of fissiogenic ⁹⁰Sr in the troposphere is due entirely to downward transport from the stratosphere, except for a few weeks right after a nuclear detonation. Both ⁷Be and ⁹⁰Sr are associated with submicron particles; their fates during transport from the stratosphere are expected to be similar (no differential removal is expected). The stratospheric ⁷Be component in surface air can therefore be determined as the product of the stratospheric ⁷Be / ⁹⁰Sr ratio and the surface ⁹⁰Sr concentration (DH85).



By this procedure, DH85 showed that annually 23–27 % (or about 25 % on average) of the ⁷Be in surface air at northern mid-latitudes is of stratospheric origin. To use this constraint, we diagnose stratospheric contribution to ⁷Be concentrations in the troposphere by transporting separately in the model the ⁷Be produced in the stratosphere, as we previously applied in GEOS-Chem with GEOS1-DAS meteorological data (Liu

- et al., 2001). Since wet deposition removes both the stratospheric and tropospheric components of ⁷Be at the same rate within each model gridbox, the diagnosed stratospheric fraction of ⁷Be concentrations in the troposphere does not significantly depend on the rate of wet removal.
- ¹⁰ In the stratosphere, the production of ⁷Be (source) is balanced by radioactive decay and net STE fluxes of ⁷Be into the troposphere (sinks), i.e.,

source $(^{7}Be) = decay (^{7}Be) + STE (^{7}Be)$.

Both terms on the right hand side are proportional to the stratospheric ⁷Be concentration, which is therefore proportional to the stratospheric ⁷Be source (the left hand side). Since the time scale for downward transport from the stratosphere to troposphere 15 $(\sim 1-2 \text{ years})$ is much longer than that for radioactive decay (half-life 53.3 days), the radioactive decay term is much larger than the STE flux term. Nevertheless, the STE term becomes more important for a model atmosphere where STE is too fast. On the other hand, the STE fluxes of ⁷Be to the troposphere are proportional to the STE fluxes of air mass and the stratospheric ⁷Be concentrations. Therefore, for the simulation of 20 tropospheric (not stratospheric) ⁷Be, the stratospheric influx to the troposphere may be adjusted by artificially scaling down (in the case of excessive STE) or up (in the case of too slow STE) the stratospheric ⁷Be source. The extent to which 'Be cross-tropopause transport is excessive or too slow in the model can be indicated by a scaling factor A, which is defined as the ratio of model to "real" STE fluxes of⁷Be. We derive the scaling 25 factor A as follows.

According to the DH85 observational constraint, we have

 $[^{7}\text{Be}]_{T,G} / [^{7}\text{Be}]_{S,G} = (1 - 0.25) / 0.25 = 3$



(1)

(2)

where the left-hand side denotes the ratio of the tropospheric ($[^7Be]_{T,G}$) to stratospheric ($[^7Be]_{S,G}$) component of annual mean ⁷Be concentrations in ground air at NH midlatitudes. If a global model correctly simulates the tropospheric contribution to surface ⁷Be concentrations, i.e.,

5 $[^{7}Be]'_{T,G} = [^{7}Be]_{T,G}$

where the prime denotes the model value, but incorrectly represents the cross-tropopause transport efficiency, then

$$[^{7}Be]'_{T,G} / [^{7}Be]'_{S,G} = (1 - F) / F$$
(4)

where $[^{7}Be]'_{T,G}$ and $[^{7}Be]'_{S,G}$ are the model tropospheric and stratospheric components of annual mean ^{7}Be concentrations in surface air at NH mid-latitudes, respectively, and

F is the corresponding fraction of surface air of stratospheric origin in the model. Our focus here is on the effects of cross-tropopause transport on surface ⁷Be concentrations in model simulations driven by different meteorological input data. The assumption Eq. (3) allows us to isolate such effects.

¹⁵ In the troposphere, the amount of the stratospheric ⁷Be tracer present is determined by a balance between downward transport from the stratosphere and its sink (dry and wet deposition and radioactive decay). The total sink is roughly in proportion to the average stratospheric ⁷Be tracer concentration in the troposphere; the latter is therefore about proportional to the STE fluxes. The scaling factor A may then be written as

²⁰
$$A \equiv F'_{\text{STE}}/F_{\text{STE}} \approx [^7\text{Be}]'_{\text{S,T}}/[^7\text{Be}]_{\text{S,T}}$$

where F'_{STE} and F_{STE} are the STE fluxes of ⁷Be into the troposphere for the model and the observation, respectively; [⁷Be]'_{S,T} and [⁷Be]_{S,T} are the annual mean stratospheric ⁷Be tracer concentrations in the troposphere for the model and the observation, respectively. Assuming that the model reasonably represents the vertical transport and

(3)

(5)

wet scavenging processes in the troposphere, we have

 $[^{7}\text{Be}]'_{S,T}/[^{7}\text{Be}]'_{S,G} \approx [^{7}\text{Be}]_{S,T}/[^{7}\text{Be}]_{S,G}.$

Combining Eqs. (2)-(6), we obtain the scaling factor

 $A \approx [^{7}\text{Be}]'_{S,G} / [^{7}\text{Be}]_{S,G} \approx 3F/(1-F).$

⁵ We will discuss the sensitivity of *F* and *A* to the assumptions with respect to convective transport and scavenging processess in Sect. 4. The validity of Eq. (7) will also be evaluated with actual model calculations in that section. Unless otherwise specified, ⁷Be cross-tropopause fluxes in the model calculations presented in this paper are not adjusted. However, we will use the scaling factor *A* as one of the metrics for comparing the STE characteristics of different meteorological data sets.

2.5 ⁷Be and ozone observational data

⁷Be. We estimate an average solar year value simply by averaging the long-term records of ⁷Be observations multiplied by 0.72 to correct to the 1958 solar maximum source (Koch et al., 1996). The ⁷Be deposition flux observations are from the compilation of Koch et al. (1996) and there are about 25 northern mid-latitude sites with available long-term ⁷Be observations. The ⁷Be surface concentration observations are from the data archive of the US Department of Energy (DOE) Environmental Measurements Laboratory (EML, now part of the Department of Homeland Security) Surface Air Sampling Program (SASP) beginning in the 1980s. We also use the long-term climatological data of ⁷Be concentrations in the UT/LS constructed from ~ 25 years of aircraft and balloon observations. Between the late 1950s and the early 1980s, EML collected tropospheric and stratospheric aircraft and balloon measurements of numerous radionuclides as part of the DOE High Altitude Sampling Program (HASP). The data was compiled into a database in 1997 by R. Leifer and N. Chan



(6)

(7)

of EML, called RAdioNuclide DAtaBase (RANDAB). The reader is referred to Considine et al. (2005) for a brief description of the RANDAB database. This database is available at the Oak Ridge National laboratory's Carbon Dioxide Information Analysis Center (http://cdiac.esd.ornl.gov/ndps/db1019.html).

- Ozone. We use tropospheric ozone column (TOC) determined with the tropopheric ozone residual method by subtracting measurements of MLS stratospheric column ozone (SCO) from OMI total column ozone (Ziemke et al., 2006; http://acdb-ext.gsfc. nasa.gov/Data_services/cloud_slice) or using the TOMS and Solar Backscatter Ultraviolet (SBUV) combination (Fishman et al., 2003; http://science.larc.nasa.gov/TOR).
- The OMI/MLS TOCs are from October 2004–July 2008, and the TOMS/SBUV TOCs are from 1979–2005. We use climatological monthly average ozone profiles from 23 ozonesonde stations as constructed by Considine et al. (2008), based on Logan (1999) and Thompson et al. (2003). The ozonesonde data record is from 1985–2000 for extratropical stations, and from all available data prior to 2005 for tropical stations. The number of sondes at each station is adequate for defining monthly means used to eva-
- lute the accuracy of the model results (Considine et al., 2008). Surface ozone data are taken from Logan (1999).

3 Model evaluation with UT/LS and surface ⁷Be data

In this section, we present model results of ⁷Be simulations driven by four meteorolog ical archives and evalute them against long-term measurements at the surface and in the UT/LS. Figure 3 shows the annual zonal mean concentrations of ⁷Be in the four radionculide simulations using GMI CTM. All four simulations overall show a similar pattern of tropospheric distribution. The highest concentrations are seen in the dry subsiding subtropics. Lowest ⁷Be concentrations in surface air are found in the South ern Hemisphere mid-latitudes owing to scavenging by frequent large-scale precipitation (Fig. 1). Low ⁷Be concentrations are also associated with ITCZ, which is characterized by strong convergence and convective precipitation. It appears, however, that among



all four simulations the GEOS1-STRAT simulation gives the highest concentrations in the subtropics and the GISS II' simulation shows the highest concentrations in the high latitudes. This is partly attributed to the differences in the latitudinal distribution of total precipitations in these meteorological archives (Fig. 1).

- Figure 4 compares four ⁷Be simulations in the upper troposphere/lower stratosphere (UT/LS) with climatological distributions constructed from the ⁷Be data contained in the RANDAB database, following Considine et al. (2005) who previously made a similar comparison for ²¹⁰Pb. Model output are sampled at the months, longitudes, latitudes, and altitudes of the ⁷Be observations. Fig. 4a compares the meridional distribution of ⁷Be measurements made in the 12–16 km altitude range with the four GMI simulations. Figure 4b shows the same comparison, but for the 16–20 km altitude range. The 12–16 km (about 200–100 hPa) range lies within the upper troposphere in the tropics and the lower stratosphere at mid to high latitudes. The 16–20 km (about 100–50 hPa) range lies within the stratosphere at all latitudes.
- At 12–16 km (Fig. 4a), the observations indicate comparatively low tropical upper tropospheric values of ~ 35 mBq SCM⁻¹, with increasing trends toward high latitudes. The distribution is nearly symmetric about the equator, with more observations available in NH high latitudes. This latitudinal distribution of ⁷Be concentrations reflects a larger production of ⁷Be in the lower stratosphere at high latitudes and precipitation scav enging associated with deep convection in the tropics. All four simulations capture the observations at 12–16 km reasonably well. The differences between the four simulated ⁷Be concentrations are comparable or smaller than the error limits.

At 16–20 km (Fig. 4b), the observations show a tropical minimum of ~ 150 mBq SCM⁻¹, with increasing concentrations toward high latitudes in both hemi-²⁵ spheres. In the tropics and the SH, the four ⁷Be simulations indicate small differences. In the NH, the four ⁷Be simulations reveal large differences and bracket the observations. In particular, the GMI/GEOS1-STRAT simulation gives the lowest ⁷Be concentrations among the four simulations and is lower than the observations. This appears to be due to excessive cross-tropopause transport in GEOS1-STRAT, as further discussed



below. On the other hand, as we will also discuss later, the fvGCM and GEOS4-DAS meteorological fields have reasonable cross-tropopause transport. In the latter case, stratospheric ⁷Be concentrations are primarily determined by a balance between production and radioactive decay in the stratosphere. Therefore the slightly overestimated

⁵ ⁷Be at 16–20 km suggests a slightly overestimated global production rate of ⁷Be in the Lal and Peters (1967) source. The Usoskin and Kovaltsov (2008) source, which is about 25 % lower than the Lal and Peters (1967) source, would probably yield better agreements with the ⁷Be observations in the lower stratosphere.

Figure 5a compares the simulated and observed annual average concentrations of ⁷Be near the surface as a function of latitude. Observed data are from the EML SASP database and are averaged into 10° latitude bins. Observations from sites with elevation higher than 500 m are not included because of uncertainties involved in sampling coarse-resolution models at high elevation sites. Model results are sampled at observation locations and month. Fig. 5b shows the annual zonal mean surface ⁷Be

- ¹⁵ concetrations in the model to indicate the global representativeness of the averages over the sampling sites. The observations indicate concentration maxima in the subtropics associated with subsidence and minima in the tropics. The tropical minimum reflects rapid scavenging within the ITCZ. Low ⁷Be concentrations are also observed at mid-latitudes due to efficient scavenging in the mid-latitude storm tracks. Latitudi-
- nal trends (i.e., minima and maxima) of ⁷Be concentrations are well simulated with all meteorological fields except GISS II'. The GMI/GISS simulation shows too high ⁷Be concentrations at high latitudes; this is because of the well-known excessive cross-tropopause transport at high latitudes in the GISS II' meteorological fields (e.g., Koch and Rind, 1998; McLinden et al., 2000; Shindell et al., 2003). The overall positive bi-
- ases in all simulations are partly due to our correction of the long-term records of ⁷Be observations (by a factor of 0.72) to the 1958 solar maximum source (Sect. 2.5). We find that without this correction, the biases would be significantly reduced.

Figure 5c compares the model-simulated annual mean total depositon fluxes of ⁷Be at 25 northern mid-latitude sites from which long-term records of observations



are available. The ⁷Be deposition flux observations are from the compilation of Koch et al. (1996), previously used in Liu et al. (2001). The data from individual sites are averaged over 4° latitude bins. The model is sampled at observation locations. Figure 5d shows the annual zonal mean total deposition fluxes of ⁷Be in the model to indicate the global representativeness of the sites. The observations show a maximum (~ 2100 Bg m⁻² year⁻¹) in the subtropics (~ 30° N) and the fluxes fall off with increasing latitude. The four ⁷Be simulations show large discrepancies especially in the subtropics (~ 30° N). Overall, the GMI/fvGCM simulation agrees better with the magnitude of the observed fluxes while the GMI/GEOS4 simulation yields better latitudinal trends. GMI/GEOS4 simulates best the observations at the latitudes of 45- 60° N, but overestimates the observations by ~ 50 % at 20–40° N. The GMI/GISS simulation overestimates the observations at higher latitudes (45-60° N) by a factor of \sim 2. The GMI/GEOS1-STRAT simulation overestimates the observed ⁷Be deposition fluxes at subtropical latitudes by up to a factor of 2.5 (30° N). As with the above modelobservation comparison of surface ⁷Be concentrations, the overall positive biases in 15 model total deposition fluxes would be lower without the correction of ⁷Be observations (by a factor of 0.72) to the 1958 solar maximum source (Sect. 2.5). However, we will show in the next section that these overestimated ⁷Be deposition fluxes are largely due

20 GISS II' meteorological fields.

25

4 Assessment of cross-tropopause transport of ⁷Be in different meteorological archives

The above results indicate different levels of success with four meteorological fields in reproducing long-term records of surface and UT/LS ⁷Be concentrations as well as total deposition fluxes. In this section, we quantify the contribution of ⁷Be produced in the stratosphere to tropospheric ⁷Be concentrations and deposition fluxes, followed by an assessment of cross-tropopause transport of ⁷Be in the meteorological fields used.

to model excessive cross-tropopause transport, especially with the GEOS1-STRAT and



Figure 6a shows the stratospheric fraction (%) of annual zonal mean tropospheric ⁷Be concentrations (i.e., fraction of tropospheric ⁷Be produced in the stratosphere) in the standard model simulations as a function of latitude and pressure. With GEOS1-STRAT, stratospheric contribution to lower-tropospheric ⁷Be concentrations maximizes

- at 25–50° N (35–45%) and 25–40° S (30–35%). The tropical middle and upper troposphere show the minimum in stratospheric impact (< 30%). With GISS II', the stratospheric contribution to lower-tropospheric ⁷Be concentrations peaks (30–40%) at high latitudes while it is quite small (≲10–20%) in the tropical middle and upper troposphere. The strong gradients in the subtropics suggest that the tropics are strongly isolated
- from the middle latitudes in the GISS II' meteorological field. fvGCM and GEOS4-DAS show similar pattern of stratospheric influence on the troposphere; both indicate maximum contribution from stratosphere near 30–35° N (~ 25 %) and 25–30° S (~ 20–25 %) in the lower troposphere. However, GEOS4-DAS shows larger contributions from the stratosphere to the troposphere than fvGCM does by a few percent, consistent with the overestimated deposition fluxes at 20–40° N by GEOS4-DAS (Fig. 5c). The area of
- minimal stratospheric influence in the tropics is also narrower in GEOS4-DAS.

Figure 6b shows the stratospheric fraction (%) of annual zonal mean surface ⁷Be concentrations and ⁷Be total deposition fluxes ($Bqm^{-2}year^{-1}$) in the standard simulation. With all meteorological fields except GISS II', maximum stratospheric contribu-

- tion to total deposition fluxes (vs. surface ⁷Be concentrations) is shifted toward higher latitudes, reflecting scavenging by frequent mid-latitude precipitation and the dry subsidence in the subtropics. Stratospheric fractions of surface ⁷Be concentrations at NH mid-latitude are about 38% (GEOS1-STRAT), 33% (GISS II'), and 23–24% (fvGCM and GEOS4-DAS). As discussed in Sect. 2.4, the observed ⁷Be / ⁹⁰Sr ratio suggests
- that 23–27 % of the ⁷Be in surface air at northern mid-latitudes is of stratospheric origin (DH85). According to this constraint, cross-tropopause transport of ⁷Be and subsequent transport to the surface in the GEOS1-STRAT and GISS II' meteorological fields is excessive. On the other hand, it should be noted that the fvGCM and GEOS4-DAS simulations show results remarkably consistent with the DH85 constraint, suggesting



that cross-tropopause transport of ⁷Be in these two meteorological fields are reasonable. However, DH85 did not provide constraints on latitudinal variation of stratospheric influence on surface ⁷Be. Of the four meteorological fields, GEOS1-STRAT, fvGCM and GEOS4-DAS show very similar latitudinal distribution of stratospheric influence at the surface (i.e., peak in the subtropics and valley in the tropics or polar regions). By con-

trast, GISS II' shows the largest impact of the stratosphere at high latitudes.

Similarly, as shown above, the model overestimates the long-term records of ⁷Be deposition flux observations at middle latitudes (and subtropics) with GEOS1-STRAT and at high latitudes with GISS II⁷ (Fig. 5c). Interestingly, the fvGCM (and to a lesser extent GEOS4-DAS) simulation yields ⁷Be deposition fluxes close to the observations.

- extent GEOS4-DAS) simulation yields 'Be deposition fluxes close to the observations. This suggests that the DH85 constraint and observed ⁷Be deposition fluxes are two complementary constraints on cross-tropopause transport of ⁷Be. We therefore use the DH85 constraint to assess the cross-tropopause transport of ⁷Be in the meteorological fields.
- ¹⁵ Using the approach described in Sect. 2.4 (i.e., reduced cross-tropopause transport flux by artificially scaling down the stratospheric ⁷Be source in the simulation of tropospheric ⁷Be), we determine the scaling factors for GEOS1-STRAT and GISS II' to be 1.92 and 1.35, respectively. With the adjustment of ⁷Be cross-tropopause fluxes for GEOS1-STRAT and GISS II', the model calculated stratospheric fraction of ⁷Be
- ²⁰ concentrations in surface air at NH mid-latitudes are indeed close to 25 % (i.e., agree with the DH85 constraint) (Fig. 7), thus supporting the validity of Eq. (7). With the adjustment, some simulations also simulate better surface ⁷Be concentrations and total deposition fluxes at the subtropics (GEOS1-STRAT) and at high latitudes (GISS II') (Fig. 8 vs. Fig. 5). The improvement is clearer for total deposition fluxes than for surface concentrations. As discussed below, on a global scale total deposition fluxes are sensitive to STE fluxes of ⁷Be into the troposphere, while surface concentrations are principally dependent on the overall wet removal rate.

Table 3 shows the annual average global budgets of tropospheric ⁷Be in the four GMI simulations. With an adjustment of ⁷Be cross-tropopause fluxes, the global burdens



and residence times of tropospheric ⁷Be in GMI/GEOS1-STRAT and GMI/GISS are reduced. In GMI/GEOS1-STRAT the source and sink terms become much closer to that in fvGCM and GEOS4-DAS. A reduction of global ⁷Be STE fluxes of 0.04 gday⁻¹ results in a decrease of total deposition fluxes of 0.03 gday⁻¹ and radioactive decay

- of 0.01 g day⁻¹. In GMI/GISS the changes in the budget terms are relatively small due to the smaller adjustment of ⁷Be cross-tropopause fluxes. Nevertheless, a reduction of global ⁷Be STE fluxes of 0.01 g day⁻¹ results in a decrease of total deposition fluxes of 0.01 g day⁻¹. These calculations indicate that globally the ⁷Be total deposition fluxes are sensitive to STE fluxes of ⁷Be into the troposphere.
- ¹⁰ The model calculated stratospheric fraction of ⁷Be in the troposphere may be sensitive to the model diagnosed location of the tropopause, for which there is some uncertainty. For instance, Stajner et al. (2008) used four different definitions of the tropopause on the basis of temperature lapse rate (World Meteorological Organization or WMO definition), potential vorticity (PV), and isentropic surfaces or ozone surfaces. They
- ¹⁵ found that the WMO tropopause was about 0.7–1 km (in the northern mid-latitude) or 0.5–1 km (in the tropics) higher than the ozone or PV determined tropopause. We examine the sensitivity of model diagnosed stratospheric fraction of tropospheric ⁷Be concentrations to the location of tropopause (not shown) by lowering tropopause height by one model level (approximately 1.2, 1.7, 1.1, and 1.1 km for GEOS1-STRAT, GISS
- II', fvGCM and GEOS4-DAS, respectively). Results indicate that stratospheric fractions of surface ⁷Be concentrations increase by 5–10%, thus requiring larger adjustments of cross-tropopause transport of ⁷Be in the meteorological fields in order to meet the DH85 constraint. This also suggests that using the DH85 constraint requires relatively high vertical resolution near tropopause in the model.
- ²⁵ While the model diagnosed stratospheric fraction of tropospheric ⁷Be concentrations is mainly determined by the STE processes in the UT/LS, it may also be sensitive to precipitation scavenging and convective transport in the troposphere. Fig. 9 shows the latitude-pressure cross sections of the differences in the stratospheric fraction (%) of annual zonal mean tropospheric ⁷Be concentrations between the standard simulation



and a simulation where precipitation scavenging is turned off. Also shown are the corresponding differences near the surface. The stratospheric fraction of tropospheric ⁷Be is found to be only weakly dependent on precipitation scavenging, with < 5% change in most of the troposphere and < 2.5% change near the mid-latitude surface. Fig. 10

shows a similar plot, except that convective transport and scavenging are turned off in the sensitivity simulation. Similarly, the stratospheric fraction of tropospheric ⁷Be is not sensitive to convective transport and scavenging processes, with < 1 % changes near the mid-latitude surface.

5 Comparison with previous modeling studies

- In this section we compare the GMI CTM results for cross-tropopause transport of ⁷Be with previous modeling studies based on the same or similar meteorological fields. Liu et al. (2001) found that STE flux of ⁷Be was overestimated with the GEOS1-STRAT fields in the GEOS-Chem model, consistent with this study using GMI CTM. However, Liu et al. (2001) found that the reduction required to match the DH85 constraint is a factor of 3.5 for the GEOS1-STRAT archive with 4° × 5° resolution, compared to a factor of 2.5 in the present study. The larger reduction in the former reflects the inclusion of ice particle gravitational settling effect, which results in increased transport from the upper to lower troposphere, as well as the inclusion of the diagnosed tropopause model layer as part of the stratosphere (vs. the troposphere). Interestingly, when energifying agence apprentiations in the lawer stratosphere (70 hPa) and latting
- when specifying ozone concentrations in the lower stratosphere (70 hPa) and letting the model (GEOS-Chem) transport this ozone as an inert tracer into the troposphere, Bey et al. (2001) found a similar overestimate in an ozone simulation with the GEOS-1 data, as diagnosed by the simulation of tropospheric ozone concentrations at high latitudes in winter where transport from the stratosphere is a major source. This indicates
- that the simulation's deficiency in cross-tropopause transport as diagnosed using 'Be tracers has similar consequences for cross-tropopause transport of ozone.



Koch and Rind (1998) used a 31-layer version of the GISS II' GCM to simulate ⁷Be and ¹⁰Be and used tropospheric ¹⁰Be / ⁷Be as indicator of STE. Based on limited observations, they suggested that leakage into the troposphere is somewhat excessive in the model, particularly at high latitudes. Using the GISS II' GCM, McLinden et al. (2000) ⁵ found that a large fraction of the cross-tropopause transport of ozone occurs at the poles which is inconsistent with the current understanding of stratosphere–troposphere exchange, despite that the global stratosphere–troposphere exchange fluxes of ozone compare well with their best estimate of 475 ± 120 Tg year⁻¹ based on measurements and tracer-tracer correlation. Shindell et al. (2003) presented an updated version of the

- ¹⁰ GISS II' climate model which still overestimates ozone in the middle troposphere at high latitudes, likely reflecting deficiencies in the model's downward transport of stratospheric air. Our conclusions about cross-tropopause transport of ⁷Be in GISS II' in this work are consistent with these previous studies. Overestimated STE fluxes of ⁷Be as diagnosed in GMI/GISS based on the DH85 constraint simply reflect the incorrect
- ¹⁵ latitudinal distribution of cross-tropopause transport, that is, too fast STE at higher latitudes and too slow STE at lower latitudes. The DH85 constraint was only applicable and applied for NH mid-latitude surface and thus does not provide constraint on the model global STE flux of ⁷Be if the latitudinal distribution of STE is incorrect.

The large-scale stratospheric transport (Brewer–Dobson circulation) in fvGCM has been shown to be realistic (Douglass et al., 2003) and mean age of stratospheric air is similar to observations (Strahan and Douglass, 2004; Douglass et al., 2008; Strahan et al., 2009). This suggests credible cross-tropopause transport of mass and ozone in fvGCM because the large-scale exchange between the stratosphere and troposphere is largely tied to the Brewer–Dobson circulation through the overworld wave

²⁵ driving (Holton et al., 1995; Olsen et al., 2004). Based on this finding, the meteorological data from fvGCM was used to drive GMI CTM by several authors to study tropospheric ozone. Considine et al. (2008) evaluated near-tropopause ozone distributions with ozonesonde data. Terao et al. (2008) examined the role of variability in the input of stratospheric ozone on the interannual variability of tropospheric ozone in



the northern extratropics. Liang et al. (2009) investigated the impact of stratosphereto-troposphere transport on tropospheric ozone and NO_x chemistry over the Arctic. By contrast, GEOS4-DAS tends to have too strong of a residual circulation, and the age of air is too young as compared to observations (Schoeberl et al., 2003; Schoeberl, 2004; Douglass et al., 2008). A GMI CTM simulation driven with the GEOS4-DAS meteorological fields showed the model's inadequancy in simulating upper-tropospheric ozone (Liang et al., 2009). These findings are consistent with what we illustrated in this study from a perspective of ⁷Be tracers. That is, GEOS4-DAS features larger impact of STE on the troposphere (especially UT) than fvGCM does, while the latter has more

¹⁰ credible cross-tropopause transport as constrained by observed ⁷Be deposition fluxes

(Fig. 5c) and the DH85 criterion (Fig. 6).

6 Application to other meteorological fields

In previous sections, we have established ⁷Be as a useful utility for testing the cross-tropopause transport in global models. In practical applications, such as the develop ment and evaluations of new global models, the DH85 constraint may be used routinely as a first-order assessment of cross-tropopause transport. These models can be either online (e.g., GCMs) or offline (e.g., CTMs driven with archived meteorological data). In this section, we illustrate such applications by applying the DH85 constraint to assess cross-tropopause transport of ⁷Be in a few other meteorological fields, including those
 from the GFDL global atmosphere model AM2, GEOS3-DAS and GEOS5-DAS. Model simulations are conducted with AM2 and GEOS-Chem CTM (driven by a series of GEOS-DAS meteorological data), respectively.

The GFDL coupled chemistry-climate model is developed by implementing a tropospheric chemistry package from the global MOZART-2 model (Horowitz et al., 2003) ²⁵ within the AM2 climate model (GFDL GAMDT, 2004). Built on this framework, we have made the model capable of simulating both ²¹⁰Pb and ⁷Be aerosol tracers by implementing their sources and sinks, i.e., dry and wet deposition, and radioactive decay



(Liu et al., 2006, Lead-210 and beryllium-7 simulations with the new GFDL global atmosphere model AM2, Technical Report, UCAR Visiting Scientist Program, Boulder, CO). The model has $2^{\circ} \times 2.5^{\circ}$ horizontal resolution with 24 vertical levels in a hybrid sigma/pressure coordinate with the interface at 250 hPa. There are 19 levels in the troposphere, including 9 in the boundary layer. The upper troposphere has ~ 2 km resolution. There are five levels in the stratosphere, with top level at about 3 hPa. We use the Lal and Peters (1967) ⁷Be source for 1958, and the Harvard wet deposition scheme for the rainout (in-cloud scavenging) and washout (below-cloud scavenging) due to stratiform precipitation (Liu et al., 2001). Convective scavenging of aerosols was coupled with the Relaxed Arakawa–Schubert (RAS) cumulus parameterization.

- was coupled with the Relaxed Arakawa–Schubert (RAS) cumulus parameterization. We conduct model integrations for six years (1982–1987) forced with observed sea surface temperature and use the year 1987 for analysis. Interannual variability does not significantly affect our results.
- When the model vertical grid level containing the tropopause is included as part of the troposphere, the AM2-Chem diagnosed stratospheric fraction of surface ⁷Be at NH mid-latitudes ($\sim 25-30$ %) qualitatively agrees with the DH85 criterion (Fig. 11). However, when it is included as part of the stratosphere, the corresponding fraction would dramatically increase to ~ 45 % (not shown), reflecting the very coarse resolution (~ 2 km) near the tropopause.
- We previously assessed the cross-tropopause transport of ⁷Be in GEOS1-DAS and GEOS1-STRAT-DAS with the GEOS-Chem model (Liu et al., 2001). We extend the assessment to other meteorological fields that drive GEOS-Chem, including GEOS3-DAS (2001), GEOS4-DAS (2004) and GEOS5-DAS (2004). GEOS4-DAS has been assessed earlier for cross-tropopause transport of ⁷Be with GMI CTM but is included here for comparison purposes. In particular, GEOS5-DAS is a relatively newer version of the GEOS series of assimilated meteorological dateset available at NASA GMAO.
- It is widely used in tropospheric chemistry modeling studies, for which characterizing cross-tropopause transport in GEOS5-DAS has important implications. Figure 12 shows stratospheric fraction (%) of annual zonal mean tropospheric ⁷Be concentra-



tions as a function of latitude and pressure as simulated by GEOS-Chem driven with GEOS3-DAS, GEOS4-DAS and GEOS5-DAS, respectively. Slower cross-tropopause transport is seen in GEOS3-DAS than in GEOS4-DAS and GEOS5-DAS. This may partly explain the low ⁷Be bias in the lower troposphere in a CTM driven with GEOS3-

- ⁵ DAS (Allen et al., 2003). Overall, both GEOS4-DAS and GEOS5-DAS reasonably represent the impact of cross-tropopause transport on surface ⁷Be concentrations on the basis of the DH85 constraint. This suggests that models which utilize either of these fields could use the "Linoz" ozone scheme and expect satisfactory representation of the stratospheric influence on tropospheric ozone on a global scale. Nevertheless,
- GEOS5-DAS shows smaller STE influence in the middle troposphere than GEOS4-DAS and is more consistent with fvGCM (Fig. 12 vs. Fig. 6a). Since fvGCM has more credible cross-tropopause transport than GEOS4-DAS (Sect. 5), this suggests that GEOS5-DAS improves the impact of cross-tropopause transport on the upper and middle troposphere relative to GEOS4-DAS.

15 7 Implications for cross-tropopause transport of ozone

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In this section we discuss the implications of different characteristics of crosstropopause transport of ⁷Be for stratospheric influence on tropospheric ozone in different meteorological fields. At the time of this study, the GMI full-chemistry model can be driven with GEOS1-STRAT, fvGCM and GEOS4-DAS, but not GISS II' meteorological fields. This allows us to examine any potential relationship between the cross-tropopause transport of ⁷Be and ozone when these fields are used to drive the model.

Ozonesonde, surface and satellite observations provide useful constraints on the stratospheric contribution to tropospheric ozone (e.g., Rind et al., 2007; Lin et al., 2012). Figure 13 shows comparisons of model tropospheric ozone profiles with annual mean ozonesonde observations for a range of latitudes (Considine et al., 2008). These results are typical of other stations at similar latitudes. The GMI/GEOS1-STRAT



simulation produces excessive ozone throughout the troposphere at all latitudes except in the tropics while the GMI/fvGCM and GMI/GEOS4-DAS simulations are generally in agreement with the observations (with slightly overpredicted ozone in the mid-latitude uppper troposphere). The GEOS1-STRAT simulation has the greatest overestimate

- of O₃ in spring. We also compared model surface ozone concentrations with the Logan (1999) surface ozone dataset (not shown). Among the three GMI simulations, the GMI/GEOS1-STRAT simulation shows the largest errors in surface ozone concentrations during winter and spring when stratospheric contribution is at its peak. These are in line with the relative magnitudes of cross-tropopause transport efficiencies of ⁷Be in
- the three meteorological fields (i.e., too fast STE in GEOS1-STRAT), discussed in previous sections. Indeed, the tropospheric version of the GMI/GEOS1-STRAT model with constrained STE flux of ozone using the Synoz approach (about 579 Tgyear⁻¹) simulates ozonesonde observations of tropospheric ozone reasonably well (dotted line, Fig. 13).
- ¹⁵ Figure 14 shows GMI simulated annual zonal mean tropospheric ozone column (TOC), in Dobson Units, compared with observed climatologies from TOMS/SBUV (1979–2005; Fishman et al., 2003) and OMI/MLS (October 2004–July 2008; Ziemke et al., 2006). The WMO definition of thermal tropopause is used to calcuate the model TOC. While the GMI/fvGCM and GMI/GEOS4-DAS simulations are similar and over ²⁰ estimate TOC by up to ~ 20 DU, the GMI/GEOS1-STRAT simulation overestimates
- TOC by as much as ~ 40 DU. The excessive O_3 in the GMI/GEOS1-STRAT simulation with maxima at 30° N and 30° S suggests downward transport of ozone from the stratosphere is too fast. The tropospheric version of the GMI/GEOS1-STRAT model with constrained STE flux of ozone provides a much better simulation of global
- TOCs (red dashed line, Fig. 14), which are comparable to those from GMI/fvGCM and GMI/GEOS4-DAS simulations. However, model TOCs are still ~ 10–14 DU larger than satellite observations in the subtropics and mid-latitudes. Previously, Ziemke et al. (2006) considered uncertainties in both model and observations and subjectively interpreted model-OMI/MLS TOC differences of 10 DU and higher as signifi-



cant. As Stajner et al. (2008) noted, a low extratropical tropopause used by Ziemke et al. (2006) may have played an important role in the underestimation of OMI/MLS TOC. Yang et al. (2010) also found that their OMI/MLS potential vorticity mapped TOCs are smaller than ozonesonde TOCs by 5.9 DU with a standard deviation of the differ-

ences of 8.4 DU. On the other hand, the GMI/fvGCM simulation tends to overestimate ozone just below the tropopause at mid-latitudes (Fig. 13); these biases do not appear to be due to excessive stratospheric influence (Considine et al., 2008). Current global models also tend to overpredict surface ozone during summer and early fall over the eastern US and Japan (Fiore et al., 2009). Therefore the simulated TOCs are very
 likely biased high.

We further examine the relationship between the cross-tropopause transport of ⁷Be and ozone with the GEOS1-STRAT meteorological fields, in which case STE is known to be too fast. Figure 15a shows the latitudinal variations of annual zonal mean tropospheric ⁷Be column overestimate (Δ^7 Be) and TOC overestimate (Δ TOC) ¹⁵ in the GMI/GEOS1-STRAT simulation. Δ^7 Be is obtained by subtraction of the STE-flux-adjusted simulation (Sect. 2.4) from the standard simulation. Δ TOC is obtained by subtracting the GMI tropospheric model simulation (with STE flux of ozone about 579 Tg year⁻¹) from the GMI full-chemistry model simulation. Figure 15b shows the correlation between the global distributions of Δ^7 Be and Δ TOC. The lines of best fit are calculated using the reduced-major-axis (RMA) method (Hirsch and Gilroy, 1984). Standard errors for the intercept and the slope are computed as described by Miller and Kahn (1962). Overall, the location of overestimated ozone follows that of overest-

- timated ⁷Be, with both maxima near 30° N and 30° S. The strong correlation between Δ⁷Be and ΔTOC implies that ⁷Be is a good indicator of cross-tropopause transport of
 ozone. These support our conclusion that ⁷Be is a useful utility for assessing cross-tropopause transport of ozone in global models.
- **Discussion** Paper **ACPD** 15, 26131-26189, 2015 Using ⁷Be to assess cross-tropopause transport in global **Discussion** Paper models H. Liu et al. **Title Page** Introduction Abstract Discussion Paper Conclusions References Figures Tables Back Close **Discussion** Paper Full Screen / Esc **Printer-friendly Version** Interactive Discussion

8 Summary and conclusions

We have assessed the ability of the Global Modeling Initiative (GMI) chemical transport model (CTM) using different meteorological data sets to simulate the atmospheric distributions of ⁷Be, a natural aerosol tracer originating from the upper troposphere/lower

- stratosphere and removed from the troposphere primarily by wet deposition. The model was driven by four meteorological data sets (GEOS1-STRAT, GISS-II', fvGCM, GEOS4-DAS) which feature significantly different cross-tropopause transport characteristics. The GMI modeling framework was configured such that the variability between the simulations mainly reflects the use of different meteorological data. Our goal was
 to assess the utility of ⁷Be as a tracer of cross-tropopause transport in global models
- and develop a methodology to exploit such a utility. We have also discussed the implications of excessive cross-tropopause transport as revealed by ⁷Be simulations for the modeling of tropospheric ozone.

We evaluated the four simulations of ⁷Be with RANDAB, a unique database of up-¹⁵ per atmosphere radionuclide climatological observations compiled by the DOE (now DHS) Environmental Measurement Laboratory, as well as long-term measurements at the surface. Model simulations capture the UT/LS observations with respect to latitudes. The GMI/GEOS1-STRAT simulation shows the lowest ⁷Be concentrations among the four simulations in the lower stratosphere, and underestimates the obser-²⁰ vations. This reflects the well-known highly overestimated cross-tropopause transport in GEOS1-STRAT DAS. At the surface, GMI/GISS reproduces the observed latitudinal trends of ⁷Be concentrations, but shows too high concentrations at high latitudes. The

- GMI/fvGCM simulated ⁷Be deposition fluxes are the closest to the observations, while the GMI/GEOS1-STRAT overestimates the observed ⁷Be deposition fluxes at subtropical latitudes by up to a factor of 2.5 (30°N) and the GMI/GISS simulations at high latitudes (45–60°N) are too high by a factor of 2. We were able to show that the ob-
- served ⁷Be deposition fluxes offer a strong constraint on stratosphere-to-troposphere transport in global models.



We examined the observational constraint from Dutkiewicz and Husain (1985) (DH85) on the stratospheric contribution to tropospheric ⁷Be using the GMI modeling framework. DH85 analyzed the observed ⁷Be/⁹⁰Sr ratio, which suggests that 23-27% of the ⁷Be in surface air at northern mid-latitudes is of stratospheric origin. This 5 constraint offers a sensitive test of cross-tropopause transport in global models. Comparison of the fraction of surface air of stratospheric origin estimated from the 'Be simulations with the DH85 constraint indicates excessive cross-tropopause transport at mid-latitudes with the GEOS1-STRAT meteorological fields and at high latitudes with the GISS II' fields. Interestingly, these simulations also overestimate observed ⁷Be deposition fluxes at middle and high latitudes, respectively. With a correction to 10 cross-tropopause flux, the model simulates better surface ⁷Be concentrations and total deposition fluxes. By contrast, the fvGCM meteorological data yield the most reasonable cross-tropopause transport of ⁷Be according to the DH85 constraint, consistent with the fact that the GMI/fvGCM simulated ⁷Be deposition fluxes are closest to the observations. These results illustrate that the GMI framework is very useful for charac-15 terizing and helping reduce uncertainties in the processes such as cross-tropopause transport in the meteorological fields that are used to drive chemical transport mod-

els. Note that since wet deposition removes both the stratospheric and tropospheric components of ⁷Be nondiscriminatively, the model diagnosed fraction of ⁷Be of stratospheric origin does not significantly depend on the rate of wet removal.

The model diagnosed stratospheric fraction of ⁷Be in surface air is sensitive to the diagnosed location of tropopause, in particular when the model vertical resolution is relatively coarse (> 1–1.5 km) near the tropopause region. This suggests that stratospheric fraction of ⁷Be is a more useful diagostic when the model has sufficient vertical resolution (< 1–1.5 km) so that the tropopause can be well defined. We used the WMO definition of thermal tropopause and include the diagnosed tropopause model layer as part of the troposphere (vs. the stratosphere). As such our assessment of crosstropopause transport of ⁷Be in the four meteorological data sets in the GMI CTM is



consisent with previous modeling studies of stratospheric influence on tropospheric ozone.

We further applied the DH85 constraint to assess cross-tropopause transport of ⁷Be in other meteorological data sets or models, including GFDL AM2 GCM (via online simulation), GEOS3-DAS and GEOS5-DAS (via offline GEOS-Chem model simulation). The diagnosed stratospheric fraction of surface ⁷Be at NH mid-latitudes in AM2 qualitatively agrees with the DH85 constraint. However, this diagnostic has a large uncertainty due to the coarse resolution near the tropopause region in AM2. Slower cross-tropopause transport is seen in GEOS3-DAS than in GEOS4-DAS and GEOS5-DAS;
 the latter two meteorological fields represent the impact of cross-tropopause transport

- on surface ⁷Be concentrations reasonably well. One of the implications is that it would be appropriate to implement "Linoz" ozone (McLinden et al., 2000) in a chemical transport model driven with GEOS4-DAS or GEOS5-DAS. On the other hand, similar to fvGCM, GEOS5-DAS appears to show a smaller impact of cross-tropopause transport
- on the upper and middle troposphere, which is improved relative to GEOS4-DAS. Incorrect cross-tropopause transport of ⁷Be implies misrepresented downward influx of stratospheric ozone to the troposphere in a model. We demonstrated this by examining the relationship between the cross-tropopause transport of ⁷Be and ozone as simulated by GMI CTM driven with GEOS1-STRAT, fvGCM and GEOS4-DAS meteoro-
- ²⁰ logical fields. We found that excessive cross-tropopause transport of ⁷Be corresponds to overestimated stratospheric contribution to tropospheric ozone, as constrained by ozonesonde, surface and satellite observations.

In summary, the ⁷Be simulation, which is computationally cheap and technically simple, in combination with the DH85 ⁷Be observational constraint and observed ⁷Be deposition fluxes may be used routinely to assess cross-tropopause transport in global models. We recommend separate transport of the ⁷Be produced in the stratosphere (⁷Be-strat) to evaluate the ratio of ⁷Be-strat to total ⁷Be (i.e., beryllium-7 produced in both the stratosphere and the troposphere) in surface air against the DH85 constraint. This can serve as a first-order assessment of cross-tropopause transport in the mete-

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orological fields and therefore help determine whether either "Synoz" or "Linoz" ozone should be used for the stratosphere in the studies that focus on the troposphere. While this study uses ⁷Be alone, future work will include using ¹⁰Be/⁷Be, a more sensitive indicator of STE (Rehfeld and Heimann, 1995; Koch and Rind, 1998; Jordan et al., 2003), within the GMI modeling framework.

Acknowledgements. This work was supported by the NASA Modeling, Analysis and Prediction (MAP) program, Atmospheric Composition Modeling and Analysis Program (ACMAP), Atmospheric Composition Campaign Data Analysis and Modeling (ACCDAM) program, and by NOAA Geophysical Fluid and Dynamics Laboratory (GFDL). We thank Bryan Duncan for his contribution to the GMI model development. The GMI core team at NASA GSFC is acknowledged for programming support. NASA Center for Computational Sciences (NCCS) and GFDL provided supercomputing resources. The GEOS-Chem model is managed by the Atmospheric Chemistry Modeling Group at Harvard University with support from ACMAP and MAP.

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 Table 1. Acronyms of models and driving meteorological data sets.

Model/Data Set	Acronym
GMI CTM	Global Modeling Initiative Chemical Transport Model
GEOS1-STRAT DAS	Goddard Earth Observing System Data Assimilation System – version 1 in support of the Stratospheric Tracers of Atmospheric Transport mission
GISS II' GCM	Goddard Institute for Space Studies General Circulation Model – version II'
fvGCM	Global Modeling and Assimilation Office (GMAO) finite-volume GCM
GEOS4-DAS	GEOS Data Assimilation System – version 4
GFDL AM2	Geophyiscal Fluid Dynamics Laboratory Global Atmosphere Model
GEOS-Chem CTM	GEOS–Chem Chemical Transport Model
GEOS3-DAS	GEOS DAS – version 3
GEOS4-DAS	GEOS DAS – version 4
GEOS5-DAS	GEOS DAS – version 5



Table 2. Characteristics of meteorological data sets used to drive the GMI CTM.

Data Set	Number of levels	Top Pressure (hPa)	Vertical Coordinate	Interface Pressure (hPa) ^a	Near-tropopause Resolution (km)	Bottom layer depth (hPa, m)	Update Period (h)
GEOS1-STRAT	46	0.1	σ	N/A	~ 1.0	~ 12.13 hPa, ~ 100 m	6
GISS II'	23	0.002	<i>σ</i> –Ρ	150	~ 1.8–2.5	~ 24.46 hPa, ~ 200 m	3
fvGCM	42 (55 ^b)	0.9 (0.01 ^b)	$\sigma - P$	200	~ 1.0	~ 14.89 hPa, ~ 130 m	3
GEOS4	42 (55 ^b)	0.9 (0.01 ^b)	$\sigma - P$	200	~ 1.0	$\sim 14.89hPa, \sim 130m$	3

^a The hybrid vertical coordinate consists of sigma (σ) levels below the interface pressure and constant pressure (P) levels above.

^b The total number of vertical levels and top level pressure in the original meteorological data set.

Table 3. Annual average global budget of ⁷Be in the model troposphere. The GMI model was driven by the GEOS1-STRAT, GISS II', fvGCM, and GEOS4-DAS meteorological data sets, respectively.

	IVGCIVI	GEOS4-DAS
(3.64) ^b	4.31	4.05
31 (30)	35	31
8 (0.17)	0.18	0.19
5 (0.04)	0.04	0.05
3 (0.13)	0.14	0.14
8 (0.17)	0.18	0.19
1 (0.01)	0.01	0.02
2 (0.11)	0.11	0.12
5 (0.05)	0.06	0.05
	3 (0.17) 5 (0.04) 3 (0.13) 3 (0.17) 1 (0.01) 2 (0.11) 5 (0.05)	$\begin{array}{cccc} 33 & (0.17) & 0.18 \\ 5 & (0.04) & 0.04 \\ 3 & (0.13) & 0.14 \\ 3 & (0.17) & 0.18 \\ 1 & (0.01) & 0.01 \\ 2 & (0.11) & 0.11 \\ 5 & (0.05) & 0.06 \end{array}$

^a Against deposition only. The tropopause was determined in the model using a criterion of 2 °C km⁻¹ lapse rate as defined by World Meteorological Organization. The diagnosed tropopause model layer was included as part of the troposphere.

^b The numbers in the brackets indicate the values when ⁷Be cross-tropopause fluxes were adjusted for GMI/GEOS1-STRAT and GMI/GISS. See text for details.







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Figure 2. Annual zonal mean convective mass fluxes (kg $m^{-2} s^{-1}$) in the GEOS1-STRAT, GISS II' GCM, fvGCM, and GEOS4-DAS meteorological data sets.





and pressure (altitude), as simulated by the standard GMI CTM. The white lines indicate the annual average thermal tropopause height. Contour levels are 2, 5, 10, 20, 50, 100, 200, $500 \,\mathrm{mBg}\,\mathrm{SCM}^{-1}$.



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Figure 4. Observed and simulated latitudinal distributions of ⁷Be in the **(a)** 12–16 km and **(b)** 16–20 km regions. Observed data from the EML RANDAB database are averaged into 10° bins, following Considine et al. (2005). Error bars represent ±2 times the standard error of the averages. Model results are sampled at observation locations and month. Also shown as dashed lines are model zonal mean ⁷Be concentrations to show the global representativeness of the averages constructed from sampling the simulations at the observation locations.





Figure 5. (a) Observed and simulated latitudinal distributions of ⁷Be concentrations (mBqSCM⁻¹) near the surface. ⁷Be cross-tropopause fluxes were not adjusted for the GMI/GEOS1-STRAT and GMI/GISS simulations (see Sect. 3). Observed data from the EML Surface Air Sampling Program (SASP) database are averaged into 10° bins. Those sites with elevation higher than 500 m are not included. Error bars represent ±2 times the standard error of the averages. Model results are sampled at observation locations and month. (b) GMI simulated annual zonal mean concentrations of ⁷Be (mBqSCM⁻¹) near the surface. (c) Observed (black) and GMI simulated (color) annual mean total deposition fluxes (Bqm⁻² year⁻¹) of ⁷Be (at 25 sites) as a function of latitude. The data from individual sites are averaged over 4° latitude bins. The model is sampled at observation locations. (d) GMI simulated annual zonal mean total deposition fluxes (Bqm⁻² year⁻¹) of ⁷Be.





Figure 6. (a) Stratospheric fraction (%) of zonal mean tropospheric ⁷Be concentrations in the standard model simulations as a function of latitude and pressure. Values are annual averages. The white lines indicate thermal tropopause height. Contour levels are 5, 10, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90 %. **(b)** Stratospheric fraction of zonal mean surface ⁷Be concentrations (solid lines) and ⁷Be total deposition fluxes (dashed lines) in the standard simulation. Values are annual averages.











Figure 8. Same as Fig. 5, except that ⁷Be cross-tropopause fluxes have been adjusted for GMI/GEOS1-STRAT and GMI/GISS.







Figure 9. Same as Fig. 6a and b, except for the differences in the stratospheric fraction (%) of zonal mean tropospheric ⁷Be concentrations between the standard simulation and a simulation where wet scavenging is turned off. Contour levels are -8, -6, -4, -2, 0, 2, 4, 6, 8%.



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Figure 10. Same as Fig. 6a and b, except for the differences in the stratospheric fraction (%) of zonal mean tropospheric ⁷Be concentrations between the standard simulation and a simulation where convective transport and scavenging are turned off. Contour levels are -4, -3, -2, -1, 0, 1, 2, 3, 4%.



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Figure 12. Same as Fig. 6a, except that the GEOS-Chem model was driven with the GEOS3-DAS (2001), GEOS4-DAS (2004), and GEOS5-DAS (2004) meteorological fields.





Figure 13. Comparisons of GMI simulated tropospheric ozone profiles (color lines) with ozonesonde observations (black line) for a range of latitudes. Values are annual averages. Solid color lines indicate the GMI simulations. Also shown as dotted lines are tropospheric ozone profiles as simulated by the GMI tropospheric model driven by the GEOS1-STRAT meteorological field. The horizontal grey line indicates the approximate location of tropopause (i.e., the pressure level corresponding to 100 ppbv ozone concentrations in the ozonesonde observations).













