

1 **Water vapour variability in the high-latitude upper** 2 **troposphere: 2. Impact of volcanic eruptions**

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14 **Abstract**

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17 The impact of volcanic eruptions on water vapour in the high latitude upper troposphere is
18 studied using deseasonalized time series based on observations by the Atmospheric Chemistry
19 Experiment (ACE) water vapour sensors, namely MAESTRO (Measurements of Aerosol
20 Extinction in the Stratosphere and Troposphere Retrieved by Occultation) and the Fourier
21 Transform Spectrometer (ACE-FTS). The two eruptions with the greatest impact on the high
22 latitude upper troposphere during the time frame of this satellite-based remote sensing mission
23 are chosen. The Puyehue-Cordón Caulle volcanic eruption in June 2011 was the most explosive
24 in the past 24 years and is shown to be able to account for the observed $(50\pm 12)\%$ increase in
25 water vapour in the southern high-latitude upper troposphere in July 2011 after a minor
26 adjustment for the simultaneous influence of the Antarctic oscillation. Eyjafjallajökull erupted in
27 the spring of 2010, increasing water vapour in the upper troposphere at northern high latitudes
28 significantly for a period of ~ 1 month. These findings imply that extratropical volcanic eruptions
29 in windy environments can lead to significant perturbations to high-latitude upper tropospheric
30 humidity mostly due to entrainment of lower tropospheric moisture by wind-blown plumes. The
31 Puyehue-Cordón Caulle eruption must be taken into account to properly determine the
32 magnitude of the trend in southern high-latitude upper tropospheric water vapour over the last
33 decade.

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1 Introduction

Water vapour in the tropopause region is particularly effective at trapping outgoing longwave radiation emitted by the surface (Solomon et al., 2010). Currently, trends in upper tropospheric water vapour (UTWV) are not known for high latitudes (Hartmann et al., 2013). The first step toward accurate trends is to improve our understanding of UTWV variability at high latitudes. The variability of upper tropospheric water vapour (UTWV) at high latitudes is dominated by dynamics (Sioris et al., 2016). In this companion paper, a second phenomenon is identified that contributes secondarily to the variability of UTWV: volcanic eruptions. The importance of volcanic eruptions relative to other dynamical and thermodynamic processes in this region on monthly timescales is an open question which motivates this study. Water vapour is the most abundant volcanic gas, comprising over 80% by volume (Pinto et al., 1989). UTWV was observed to decrease following the 1991 Pinatubo eruption due to global cooling below the tropopause and did not return to normal levels for two years (Soden et al., 2002). However, based on Microwave Limb Sounder observations, increases of up to 18% in water vapour in the southern hemispheric tropopause region (300-100 hPa) during April-December 1992 were attributed to the eruption of Pinatubo but could not be simulated (Forster and Collins, 2004). In the southern high-latitude tropopause region, increases of ~10% were observed over the same time period and are more relevant to this study. For volcanoes with an eruption height at or below tropopause, local warming by radiation-absorbing volcanic aerosols such as ash can lead to local increases in water vapour in response. The timescale of UTWV enhancement due to such a thermal mechanism would be controlled by rainout and fallout of the aerosol, which is on the order of ~1 month (Prospero, 1983; Pruppacher and Klett, 2010) for particles of intermediate size (~0.3 μm). Water vapour at the tropopause has a typical atmospheric residence time on the order of three weeks based on mid-latitude observations (Ehhalt, 1973) and is on the order of a month at high latitudes (Grewe and Stenke, 2008). Water vapour is mostly removed by precipitation (Junge, 1963). The residence time decreases to ~2 weeks at an altitude of 5 km (Ehhalt, 1973) which limits the distance over which UTWV enhancements can be advected.

Below we present the satellite-based observations of relatively large increases in UTWV in both high latitude regions following recent smaller volcanic eruptions. Also in contrast to Pinatubo-

1 related UTWV changes, the enhancements presented below occur in the month after eruption and
2 then diminish exponentially.

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4 **2 Methods**

5 SCISAT was launched in 2003 (Bernath et al., 2005) and the Atmospheric Chemistry
6 Experiment (ACE) datasets begin in February 2004. The satellite bears two limb sounders
7 measuring water vapour that both rely on the solar occultation technique: Measurements of
8 Aerosol Extinction in the Stratosphere and Troposphere Retrieved by Occultation (MAESTRO,
9 McElroy et al., 2007) and the Fourier Transform Spectrometer (ACE-FTS) as well as an Imager
10 (Bernath et al., 2005) which provides aerosol extinction measurements (e.g. Vanhellemont et al.,
11 2008) that can be directly compared with those retrieved from MAESTRO observations.
12 MAESTRO is currently the only satellite instrument capable of simultaneously measuring
13 vertical profiles of both water vapour and extinction by fine aerosols (Sioris et al., 2010b) down
14 to the mid-troposphere. The MAESTRO water vapour retrieval relies on the 940 nm absorption
15 band and is described by Sioris et al. (2010a) and updated recently (Sioris et al., 2016). The
16 water vapour profiles have ~1 km vertical resolution (Sioris et al., 2010). Figures 1-2 present the
17 validation of MAESTRO water vapour. MAESTRO is seen to have less scatter than ACE-FTS
18 below 6.5 km. Between 6.5 and 19.5 km, the median of the relative differences between
19 MAESTRO and ACE-FTS of their individual collocated profiles is < 20%, which is also true
20 only for MIPAS IMK data (Stiller et al., 2012) considering the other UTLS water vapour data
21 products compared in Fig. 2. However, due to the relatively large noise in the MAESTRO lower
22 stratospheric water vapour data (Fig. 2), the scatter in the relative differences between individual
23 coincident ACE-FTS and MAESTRO profiles of is on the order of ~35%, whereas those
24 between ACE-FTS and other atmospheric sounders are typically on the order of ~10% in this
25 region.

26 Sioris et al. (2010a) found a weak sensitivity of the water vapour retrieval to significant
27 perturbations in aerosol extinction. As discussed in Sioris et al. (2010a), the weaker sensitivity of
28 MAESTRO water vapour to aerosol extinction relative to other solar occultation instruments
29 which have used this absorption band, namely Polar Ozone and Aerosol Measurement (POAM)
30 III and SAGE II, is due to the availability of ‘off’ wavelengths (i.e. with minimal absorption by

1 water vapour) on both sides of the water vapour band, which neither of these other instruments
2 incorporated into their channel selection. This issue is also true for SAGE III (Thomason et al.,
3 2010) with neighbouring channels at 869 and 1021 nm, but to a lesser extent than for SAGE II.
4 ACE-FTS gridded version 3.5 water vapour profiles are used in the study (Boone et al., 2013)
5 and are assumed to have 3 km vertical resolution. This dataset has been validated as discussed in
6 the companion paper (Sioris et al., 2016). Over the microwindows used to retrieve water vapour
7 from ACE-FTS spectra (Boone et al., 2005), absorption by this trace gas is completely
8 uncorrelated with the spectrally smooth aerosol extinction signature. The insensitivity to aerosol
9 extinction of water vapour retrieved from high-resolution solar occultation spectra using
10 microwindows is well known (e.g. Rinsland et al., 1994; Michelsen et al., 2002; Steele et al.,
11 2006; Uemera et al., 2005). The use of a slope term in each microwindow accounts for the
12 smooth aerosol extinction (Boone et al., 2005). Over each microwindow used to retrieve water
13 vapour, no higher order baseline terms are necessary. The complete insensitivity to aerosol
14 extinction is an advantage of the microwindow technique relative to the band-integrated
15 approach used in the MAESTRO water vapour retrieval. This advantage is possible due to the
16 high spectral resolution of ACE-FTS which assists in separating the continuum level from the
17 deep absorption lines due to light, gas phase species such as water vapour.

18 Based on temperature profiles from the Global Environmental Multiscale (GEM) regional
19 weather forecast model (Laroche et al., 1999), the tropopause height is defined by the lower of
20 the lowest local minimum above 5 km or the lowest height above 5 km at which the lapse rate is
21 < 2 K/km. Further details are given in the companion paper (Sioris et al., 2016).

22 To obtain a water vapour relative anomaly time series for the UTLs, the method is described by
23 Sioris et al. (2015). The monthly climatology, used to deseasonalize the time series, is generated
24 by averaging the monthly medians over the populated years, with a minimum sample size of 20
25 observations per altitude bin in each individual month. Between 5.5 and 19.5 km using 1 km
26 vertical bins, climatological profiles are obtained for all calendar months except April, June,
27 August, and December at northern high latitudes (60-90°N) and all months except February,
28 June, October, and December at southern high-latitudes (60-90°S), as ACE does not sample
29 these regions in these months. For the case studies presented next, there are at least 65 profiles
30 measured by MAESTRO and by ACE-FTS for each month in the July-September 2011 period at

1 southern high latitudes (Puyehue-Cordón Caulle) and in May 2010 at northern high latitudes
2 (Eyjafjallajökull).

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4 **3 Results**

5 Upper tropospheric time series of water vapour VMR observed by MAESTRO over the full
6 mission to date are shown in Fig. 3. This high-latitude UTWV time series is novel in terms of the
7 spatial coverage only achievable by a satellite-borne instrument, the length of the data record,
8 and the vertical resolution down to the mid-troposphere. Previous studies by Hegglin et al.
9 (2013; 2014) provided water vapour time series (2004-2010) from ACE-FTS and other limb
10 sounders for pressures as large as 300 or 100 hPa, respectively, with a focus on the stratosphere.

11 **3.1 Puyehue Cordón Caulle**

12 The Puyehue-Cordón Caulle volcano (40.59°S, 72.12°W) erupted explosively in early June of
13 2011. The volcanic explosivity index (VEI, Newhall and Self, 1982) was 5
14 (<http://www.volcano.si.edu/volcano.cfm?vn=357150>). Figure 3 shows MAESTRO time series in
15 the UT region, indicating an anomalous increase in water vapour mixing ratio in July 2011,
16 increasing relative to May 2011 at 7.5-9.5 km, whereas in a typical year, the mixing ratio can be
17 seen to decrease from May to September as part of the strong seasonal cycle. Note that the upper
18 troposphere is not warmer in July 2011 than in May 2011 according to GEM model analysis
19 temperatures (Laroche et al., 1999) sampled at the locations of ACE observations. In fact, there
20 is a steady, seasonal decrease in temperature at these altitudes, with a drop of 7 K at 9.5 km in
21 this time period. Figure 4 is a deseasonalized version of Fig. 3, illustrating a large, sudden
22 increase in high latitude UTWV in July 2011 that significantly biases (at the 1σ level) the
23 inferred decadal trend at 8.5 km.

24 To connect the clearly enhanced UTWV at southern high latitudes to the eruption of Puyehue-
25 Cordón Caulle (Cordón Caulle hereafter), ACE UTWV profiles in the 40-60°S band, which
26 contains the latitude of this volcano, were contrasted between July 2011 and July 2012 (a normal
27 July). Figure 5 shows a statistically significant increase in zonal median UTWV in the 40-60°S
28 latitude band as well for July 2011 relative to July 2012, with a sharp peak at ~8 km and no
29 significant increase above 11 km or below 7 km. ACE samples the 40-60°S band in the first 12

1 days of the month and then samples the 60-90°S band (actually 60-66°S) for the remainder of the
2 month. Note that the spatiotemporal sampling repeats annually for ACE as illustrated by Randel
3 et al. (2012). The large increase in water vapour at 8 km in July 2011 is coherent between
4 latitude bands (Fig. 5), providing evidence of the poleward transport of water in the tropopause
5 region emitted by the Cordón Caulle eruption. Previous observational studies (e.g. Theys et al.,
6 2013; Clarisse et al., 2013) indicate that the plume from the eruption of Cordón Caulle reached
7 as far south as 80°S while circling the globe.

8 The anomalous, sharp peak in monthly median aerosol extinction in the southern high-latitude
9 tropopause region observed by MAESTRO (not shown) and ACE-Imager (Fig. 6) confirms
10 Cordón Caulle aerosol observations by other instruments (Vernier et al., 2013; Theys et al.,
11 2014; Nakamae et al., 2014) and corroborates the volcanic origin of the water vapour
12 enhancement. In Fig. 6, the median and the mean aerosol extinction in the tropopause region are
13 nearly equal because the Cordón Caulle aerosol layer has spread across all longitudes by July
14 2011 (Vernier et al., 2013; Clarisse et al., 2013).

15 The southern high latitude upper troposphere can be quite cold in austral winter and local
16 condensation is known to occur (Randel et al., 2012). However, the widespread layer in Fig. 6 is
17 unlikely to be due to homogeneously nucleated cirrus given that that the monthly median relative
18 humidity (RH) in 1 July 2011 is only ~60% at the. RH profiles (Fig. 7) are used to emphasize
19 that most of the volcanic water vapour enhancement will tend to remain in the vapour phase as it
20 descends into the southern high-latitude upper troposphere. At southern mid latitudes (40-60°S),
21 the earliest available ACE-Imager aerosol extinction profile observations (i.e. early July 2011)
22 indicate a fine plume peaking at 9.5 km (not shown). Relative humidity in the 40-60°S band
23 obtained using MAESTRO water vapour peaks at $41 \pm 14\%$ at 8.5 km (Fig. 7), establishing that
24 the upper troposphere in this mid-latitude band was not saturated one month after the eruption.
25 Both the mid-latitude and high-latitude RH profiles in July 2011 peak at 8.5 km with slightly
26 higher relative humidity at high latitudes where the volcanic UTWV enhancement encountered
27 cooler ambient air at altitudes between 7.5 and 9.5 km.

28 Considering both the ACE-FTS (Bernath et al., 2005) and MAESTRO measurements, the largest
29 relative enhancements in water vapour in July 2011 occur at 7.5-9.5 km, where a doubling is
30 observed relative to normal mixing ratios for that month (see Fig. 8). In August 2011, the relative

1 anomaly remains of similar magnitude throughout the upper troposphere, and is statistically
2 significant (1σ) at 7.5-8.5 km (seen by both instruments), whereas in September 2011, the
3 UTWV enhancement is statistically insignificant. Part of the August 2011 enhancement may be
4 unrelated to the volcanic eruption since there is an unusual warming of ~ 3 K at 7.5 and 8.5 km,
5 the largest positive anomaly in the entire southern high-latitude temperature record (2004-2012)
6 at these altitudes. This enhancement is unlikely to be a radiative feedback of volcanically
7 enhanced UTWV since the July 2011 temperatures are within $+0.4$ K of the July climatology.
8 The observed decrease over these austral winter months is consistent with the lifetime of water
9 vapour in the upper troposphere (Ehhalt, 1973; Grewe and Stenke, 2008) within measurement
10 uncertainties. In July 2011, relative humidity of 100% with respect to ice (see Murray, 1967) was
11 reached in some profile observations in the southern high-latitude upper troposphere with the
12 corresponding MAESTRO aerosol extinction observations indicating a vertically thin plume of
13 fine particles. Thus, ice-coated tropospheric aerosols are inferred to be present for these cases.

14 **3.2 Eyjafjallajökull**

15 Eyjafjallajökull (63.63°N , 19.62°E) began erupting on 14 April 2010 beneath 210 m of glacial
16 ice (Magnússon et al., 2012), reaching an altitude of 10 km (Gudmundsson et al., 2012). This
17 was followed by a second eruption on 05 May 2010 that also reached ~ 10.0 km (Gudmundsson
18 et al., 2012). ACE does not cover northern high latitudes in April, but in May 2010, MAESTRO
19 and ACE-FTS both see statistically significant enhancements in water vapour at 8.5-9.5 km (Fig.
20 9). In fact, at 9.5 km, the $(69\pm 10)\%$ anomaly in May 2010 is the largest anomaly at this altitude
21 in any of the 63 months that sample northern high latitudes in either dataset. The stated statistical
22 significance accounts for the interannual variability for the month of May and the relative
23 standard error for May 2010 for each dataset, respectively. The monthly mean tropopause height
24 in May 2010 is 10.5 km but some individual observations have a tropopause height as high as
25 11.5 km. The peak of the Eyjafjallajökull aerosol layer is at 7.5 km approximately one month
26 after eruption (Fig. 10). Figure 10 reveals an upper tropospheric aerosol layer that is not
27 homogeneously spread throughout northern high latitudes based on differences between
28 MAESTRO 560 nm May 2010 mean and median aerosol extinction profiles and the fact that
29 both peak at 7.5 km. The ACE-Imager NIR data at northern high latitudes in May 2010 confirm
30 an aerosol layer at 7.5 ± 0.5 km (not shown). The Arctic oscillation would be expected to increase

1 water vapour by $< 8\%$ at 8.5-9.5 km in May 2010 according to the regression using year-round
2 monthly-sampled data as determined in the companion paper (Sioris et al., 2016) and is thus
3 insufficient to explain the increase. Also, although dehydrated and rehydrated layers were
4 observed in the 2010 winter (Khaykin et al., 2013), water vapour in the upper troposphere and
5 lower stratosphere (UTLS, 5-20 km) in the northern high latitude region in March 2010 was
6 normal according to both MAESTRO and ACE-FTS. ACE does not sample northern high
7 latitudes in June. In July 2010, enhanced UTWV is observed by both instruments only at the
8 local tropopause (11.5 km), but for MAESTRO, this enhancement is not statistically significant.

9

10 **4 Discussion**

11 In the time span of 14 months (April 2010 to June 2011), two extratropical eruptions with VEI
12 ≥ 4 occurred that were followed by significantly enhanced UTWV at high latitudes in the
13 hemisphere of the eruption. Monthly median UTWV VMR increases of up to 50% were
14 observed and the duration of each volcanic enhancement was ~ 1 month. While both of these
15 eruptions impacted the high-latitude upper troposphere in the hemisphere of the eruption, one of
16 these volcanoes, namely Cordón Caulle, is located at southern mid-latitudes.

17 During poleward transport, air parcels follow isentropes typically to higher altitudes. Such
18 transport involves adiabatic cooling which can lead to saturation. However, saturation does not
19 necessarily imply complete removal from the atmosphere or even the upper troposphere. The
20 small ice crystals that typically form in the upper troposphere (e.g. Wang, 2008) would likely
21 evaporate before falling (Prospero et al., 1983) but if growth in size were sufficient for fallout,
22 vaporization could occur very quickly given the warmer temperatures below. Thus, the large
23 majority of water would tend to remain in the vapour phase and in the upper troposphere during
24 poleward transport on an upward sloping isentrope in surrounding dry air (see Fig. 7).

25 Next, relying heavily on several previous observational and theoretical studies, we attempt to
26 estimate the mass of water vapour attributable to each volcanic eruption using a bottom-up
27 approach and compare this to the “top-down” UTWV mass anomaly derived from the satellite
28 observations. In general, magmatic water and entrained lower tropospheric humidity are the two
29 volcanogenic sources of UTWV (Glaze et al., 1997; Durant and Rose, 2009). For

1 Eyjafjallajökull, a third significant source of UTWV is from the vaporization of ice over the
2 vents which would be carried up in the eruption column. According to Sigmundsson et al.
3 (2010), the interaction of ice and magma initially augmented the explosive activity of the
4 Eyjafjallajökull eruption.

5 The estimate of magmatic water mass is based on the petrographic method (e.g. Durant and
6 Rose, 2009). An alternative method based on the ratio of water vapour to SO₂ and the known
7 mass of emitted SO₂ would give a low bias for the magmatic water mass for these two studied
8 eruptions because the emitted mass of SO₂ from these eruptions was unusually low (Pumphrey et
9 al., 2015; Vernier et al., 2013; Nakamae et al., 2014; Sears et al., 2013). Volcanic emissions are
10 known to be more variable in terms of SO₂ than water vapour (Pinto et al., 1989). Following
11 Durant and Rose (2009), the mass of magmatic water vapour ($M_{v,m}$) is the product of the total
12 erupted mass and the mass fraction of water vapour in magma. The latter factor is assumed to be
13 6% for both Cordón Caulle and Eyjafjallajökull, which is within 1 standard deviation (1.6%) of
14 the Eyjafjallajökull mean value of 4.6% (Table 6 of Woodhouse et al., 2013, assuming 80%
15 water vapour based on Pinto et al., 1989) and within the typical range of 4-6% (Grove et al.,
16 2009). The total erupted mass for Cordón Caulle is determined by multiplying a total erupted
17 volume of 1.9 km³ (Dr. Elizabeth Cottrelle, Global Volcanism Program, Smithsonian Institution,
18 pers. commun.) by an ash density of 2300 kg/m³ appropriate for glass, since most of the erupted
19 mass was glass (Bertrand et al., 2014). Bonadonna et al. (2015) estimated the total erupted
20 volume to be 1.1±0.2 km³, while Bertrand et al. (2014) imply >3 km³. The total erupted mass for
21 Eyjafjallajökull is 480±120 Mt (Gudmundsson et al., 2012). The mass of entrained lower
22 tropospheric water vapour ($M_{v,e}$) consists of the radial and wind entrainment terms (Degruyter
23 and Bonadonna, 2012). The total mass of water vapour ($M_{v,t}$) is, in general, given by:

$$24 \quad M_{v,t} = M_{v,m} + M_{v,e} \quad (1)$$

25 Eyjafjallajökull (Schmidt et al., 2014) and Cordón Caulle
26 (<http://volcano.si.edu/volcano.cfm?vn=357150#June2011>) were different from many recent
27 extratropical volcanic eruptions with VEI of 4 such as Grímsvötn, Kasatochi, and Sarychev Peak
28 in that, for both volcanoes studied here, the eruptive phase spanned more than five weeks with an
29 eruption height of 7 km above sea level attained on several (≥5) days during this time period.
30 The mass flux rate remained less than 0.017 Mt/s during the entire eruptive phase for both

1 studied volcanoes (Gudmundsson et al, 2012; Bonadonna et al., 2015) and thus all of the
2 repeated eruptions were considered as “smaller”, meaning that Table 2 of Glaze et al. (1997)
3 would be applicable to determine radial entrainment of tropospheric water vapour.
4 Eyjafjallajökull is assumed to have erupted through a wet atmosphere based on Fig. S5 of
5 Degruyter and Bonadonna (2012) with moderate condensation (2%, Glaze et al., 1997), and
6 Cordón Caulle is assumed to have erupted through a dry atmosphere with moderate
7 condensation. To include the wind entrainment term, the mass of radially entrained tropospheric
8 water vapour is scaled by $1+1/\Pi$, where Π is the scaling parameter of Degruyter and Bonadonna
9 (2012). This increases the entrained mass by a factor of 11 and 6.6 for Cordón Caulle and
10 Eyjafjallajökull, respectively, as both erupted under windy conditions (Bonadonna et al., 2015;
11 Petersen et al., 2012) and were observed as bent-over plumes, whereas Grímsvötn erupted during
12 low wind speed conditions and consequently wind entrainment was a minor factor (Woodhouse
13 et al., 2015). The Π value for Cordón Caulle is appropriate for the early part of its eruptive phase
14 (5-14 June 2011) when most of the mass of volcanic material erupted (Fig. 6 of Bonadonna et al.,
15 2015) and for Eyjafjallajökull, the maximum Π value of 0.18 is conservatively assumed
16 (Degruyter and Bonadonna, 2012).

17 For Eyjafjallajökull, there is the additional contribution by the vaporization of the glacial ice
18 covering the three active vents. Each vent is assumed to have a 150 m radius (upper limit based
19 on Bursik et al., 2012) and have 200 metres of overlying ice (Magnússon et al., 2012) that
20 instantaneously vaporized and was carried upward within the eruption column. A much larger
21 mass of ice in the surrounding area melted or vaporized during the eruptive phase (Gudmundsson
22 et al., 2012) but it was assumed that this did not affect the upper troposphere. Table 1 provides
23 estimates of the contributions by ice vaporization, wind and radial entrainment, and magmatic
24 water as well as key inputs.

25 The observed UTWV anomaly is converted to a mass by assuming a latitude range (Table 1)
26 over which the zonal median water vapour VMR enhancement profile is assumed to be latitude-
27 independent. For Cordón Caulle, the plume is assumed to span 50-80°S based on Theys et al.
28 (2013). For Eyjafjallajökull, several studies show that a reasonable latitude band for the plume is
29 50-70°N (e.g., Gudmundsson et al., 2012; Clarisse et al., 2010; Schmidt et al., 2014; Schumann
30 et al., 2011; Sears et al., 2013; Thomas and Prata, 2011).

1 The water vapour mass anomaly calculation also requires integration over the altitude range for
2 which ACE sensors detect a significant positive anomaly during the calendar month following
3 the initial eruption: 8.0-10.0 km in May 2010 for Eyjafjallajökull and 6.0-10.0 km in July 2011
4 for Cordón Caulle (although only MAESTRO has a sufficient sample size between 6.0 and 7.0
5 km for the latter month). The smaller of the MAESTRO and ACE-FTS water vapour anomalies
6 is used at each 1 km vertical level within these altitude ranges.

7 The anomaly is adjusted for the altitude-dependent response of the local annular mode (AM)
8 using Figs. 8-9 of Sioris et al., 2016 and monthly AM indices
9 (<http://www.cpc.noaa.gov/products/precip/CWlink/>). This adjustment is applied over the
10 assumed latitude range of the anomaly (Table 1), even though the response was determined for
11 latitudes poleward of 60°. Finally, the ~1 month residence of water vapour in the high-latitude
12 upper troposphere (Grewe and Stenke, 2008) is taken into account using an exponential decay.
13 For Cordón Caulle, eruption heights (<http://volcano.si.edu/volcano.cfm?vn=357150#June2011>)
14 and HYSPLIT (Stein et al., 2015) forward trajectories (not shown) are used to determine, for
15 each of several eruptions reaching the upper troposphere, whether volcanic material at the top of
16 the eruption column maintained its altitude and headed south of 50°S during the four subsequent
17 days. On this basis, the latest eruptions are rejected as likely contributors to the observed UTWV
18 anomaly and thus, removal is taken into account using 13 June 2011 and 18 July 2011 as the start
19 date of the exponential decay in the 8.0-10.0 and 6.0-8.0 km ranges, respectively. By accounting
20 for the residence time, the observed mass anomaly at the start date is derived and can be directly
21 compared to the bottom-up mass estimate. A mass of 1000 Mt is derived for Cordón Caulle, in
22 good agreement with the combined mass from entrainment terms and magmatic water (Table 1).

23 For Eyjafjallajökull, the total erupted mass removal is assumed to be altitude-independent and a
24 period of 18.5 days is used, meaning that the eruption on 5 May 2010 reaching an altitude of 10
25 km (e.g. Gudmundsson et al., 2012) is assumed to contribute significantly. An initial mass of 500
26 Mt of water vapour is derived from the ACE observations, which is also in agreement with the
27 estimated contributions by magmatic water, entrainment, and vaporized ice (Table 1). The
28 uncertainties in both the bottom-up and top-down estimates are large in number and magnitude.

29 Bearing in mind previous work that considered the effect of water vapour in the tropical
30 tropopause region on the overall radiation balance of the troposphere (e.g. Solomon et al., 2010),

1 cooling rate calculations were performed to determine the impact on the temperature at the
2 Antarctic surface (see Appendix A for details of the method). The large enhancement in UTWV
3 at southern high latitudes in July 2011 leads to a cooling rate difference of -0.003K/day at the
4 surface, which is equivalent to a warming of 0.1 K per month.

5 As noted above, considering the VEI values of 4 and 5 for Eyjafjallajökull and Cordón Caulle,
6 respectively, their SO_2 emissions were small, thereby reducing the probability of water uptake by
7 the resulting sulphate aerosol. However, this is a minor factor in understanding the large anomaly
8 in UTWV in May 2010, relative to wind entrainment. Besides the eruption of Eyjafjallajökull,
9 the only high-latitude eruption with VEI of 4 during the ACE time frame is Grímsvötn in late
10 May of 2011 and would be the most likely to enhance UTWV at high latitudes. Unfortunately,
11 ACE did not measure at northern high-latitudes in June 2011, the month which would be most
12 likely to be perturbed in terms of UTWV.

13

14 **5 Conclusions**

15 Due to the sporadic nature of volcanic eruptions, the UTWV variability explained by these short-
16 lived perturbations at high latitudes over decadal timescales is much less than is attributable to
17 the relevant annular mode of internal variability. However, this study shows that volcanic
18 eruptions can lead to UTWV increases on a monthly timescale of $\sim 50\%$, comparable to the
19 UTWV increases observed during the largest annular mode negative events (Sioris et al., 2016).
20 While the climatic impact of enhanced water vapour due to the Cordón Caulle eruption is shown
21 to be minor, particularly given the short period of this volcanic enhancement, such increases are
22 relevant for UTWV trend studies, particularly if an eruption occurs near the start or end of the
23 period under consideration.

24 Volcanic UTWV enhancements in the extratropics during the cold season are more readily
25 detected in monthly zonal median data because of the low background VMR of water vapour in
26 this region and season. Thus, the timing and location of the Cordón Caulle eruption were
27 favourable for detecting its water vapour enhancement at southern high latitudes. In contrast, the
28 six extratropical eruptions with VEI of 4 in the 2004-2013 time frame occurred in late spring
29 (e.g. Sarychev Peak), summer (Kasatochi, Okmok), or early autumn (Chaitén).

1 Entrainment of lower tropospheric humidity by wind-blown plumes is critical in explaining the
2 observed UTWV mass anomalies generated by the two studied eruptions. The available wind
3 entrainment information is consistent with the observed UTWV enhancements. Furthermore, the
4 wind has a major role in limiting the eruption height (e.g. Bonadonna et al., 2015), thereby
5 confining more of the emitted and entrained water vapour in the upper troposphere. The height of
6 the eruption column for Cordón Caulle was anomalously low for an eruption with VEI of 5
7 (Table 1 of Newhall and Self, 1982) and this discrepancy can be largely explained by the strong
8 winds (Bonadonna et al., 2015) and the duration of the eruptive phase. Using Eq. 3 of
9 Bonadonna et al. (2015) and a Π value of 0.18 (Degruyter and Bonadonna, 2012, see Sect. 4
10 above), it is concluded that the height of the eruption column for Eyjafjallajökull was also
11 limited to the upper troposphere because of strong winds.

12 Finally, MAESTRO, a solar occultation instrument operating visible and near-infrared
13 wavelengths, has the unique capability among current space-borne instruments to simultaneously
14 observe vertical profiles of aerosol extinction and water vapour in the UTLS to provide an
15 understanding of the impact of volcanic eruptions on the water vapour budget and trends in water
16 vapour.

17

18 **Appendix A: Cooling rate differences**

19 In order to investigate the impact on volcanic UTWV enhancements on surface temperature,
20 cooling rate vertical profiles are calculated for July 2011 using MODTRAN5.2 (e.g. Bernstein et
21 al., 1996) assuming an Antarctic surface altitude of 2.5 km, the tropospheric monthly median
22 profile of the GEM analysis temperatures (to the surface), aerosol extinction profiles from
23 MAESTRO at 560 nm down to 5 km and two water vapour cases:

- 24 1) using MAESTRO July climatological median water vapour between 6.5 and 9.5 km, and
- 25 2) with the increase in water vapour over this altitude range due to the Cordón Caulle eruption
26 determined by multiple linear regression with the Antarctic oscillation index (Mo, 2000)
27 (<http://www.cpc.ncep.noaa.gov/products/precip/CWlink/>) plus a constant being the other basis
28 functions. A monthly timestep is used with the Cordón Caulle eruption basis function having a

1 value of 1 for July-August 2011 and 0 in all other months for the purpose of the regression
2 analysis.

3 The use of a multiple linear regression adjusts for a minor contribution by the Antarctic
4 oscillation to the July 2011 UTWV enhancement.

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8 simulations for the Cordón Caulle eruption. The authors appreciate the availability of the AO and
9 AAO indices from the National Oceanic and Atmospheric Administration (NOAA). The authors
10 gratefully acknowledge the NOAA Air Resources Laboratory for the provision of the HYSPLIT
11 transport and dispersion model used in this publication.

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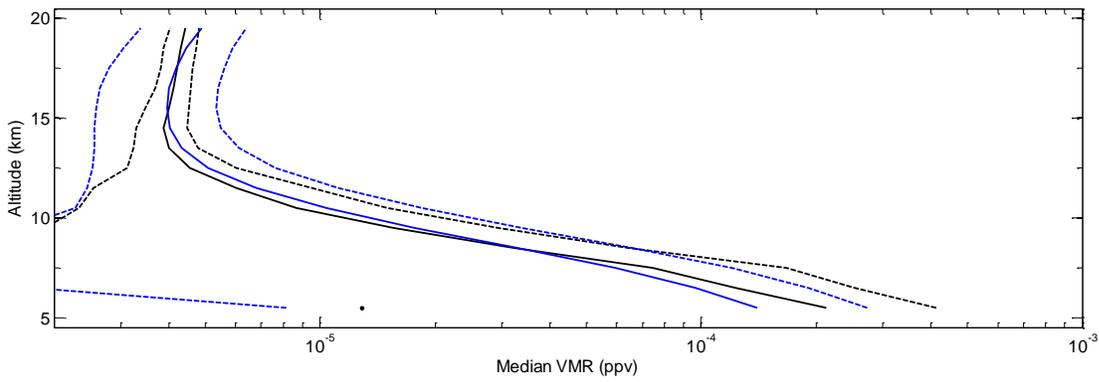
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Table 1 – Inputs and outputs of volcanic water vapour mass derived from bottom-up and top-down approaches

Quantity	Cordón Caulle	Eyjafjallajökull
Total erupted mass (Mt)	4400	480
Mass of magmatic water vapour (Mt)	300	30
Mass of water vapour entrained radially (Mt)	100	70
Mass of water vapour entrained by wind (Mt)	900	400
Mass of water vapour from vaporized ice (Mt)	0	40
Lower tropospheric humidity	dry	wet
Extent of condensation	moderate	moderate
Latitude band of observed UTWV mass anomaly	50-80°S	50-70°N
Vertical range of significant UTWV anomaly	6.0-10.0 km	8.0-10.0 km
Month of observed UTWV mass anomaly	July 2011	May 2010
Annular mode index in this month	AAO index: -1.38	AO index: -0.919
UTWV mass anomaly (Mt) at time of volcanic injection, adjusting for annular mode response	1000	500

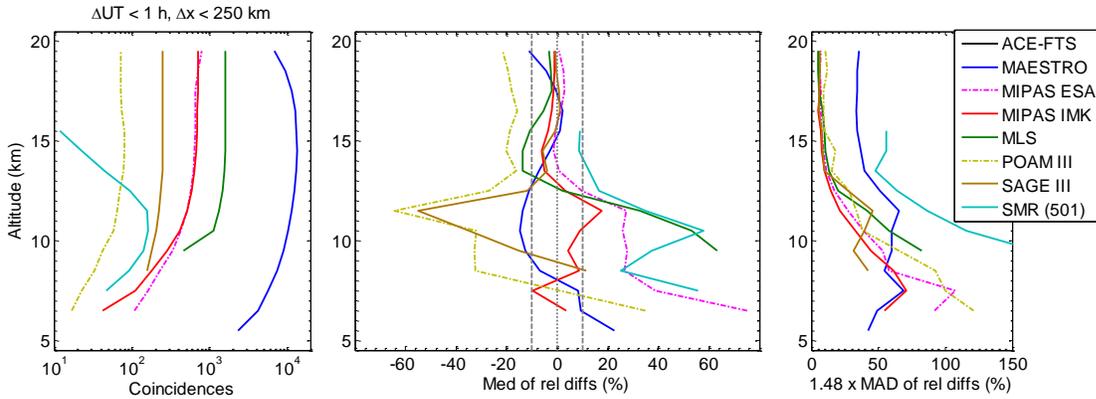
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8 Figure 1. Comparison of global median water vapour VMRs from MAESTRO (blue) and ACE-
9 FTS (black) (N=15000). The solid lines are the median profiles while the dashed lines bracket
10 ± 1.48 median absolute deviations (MAD) about the median.

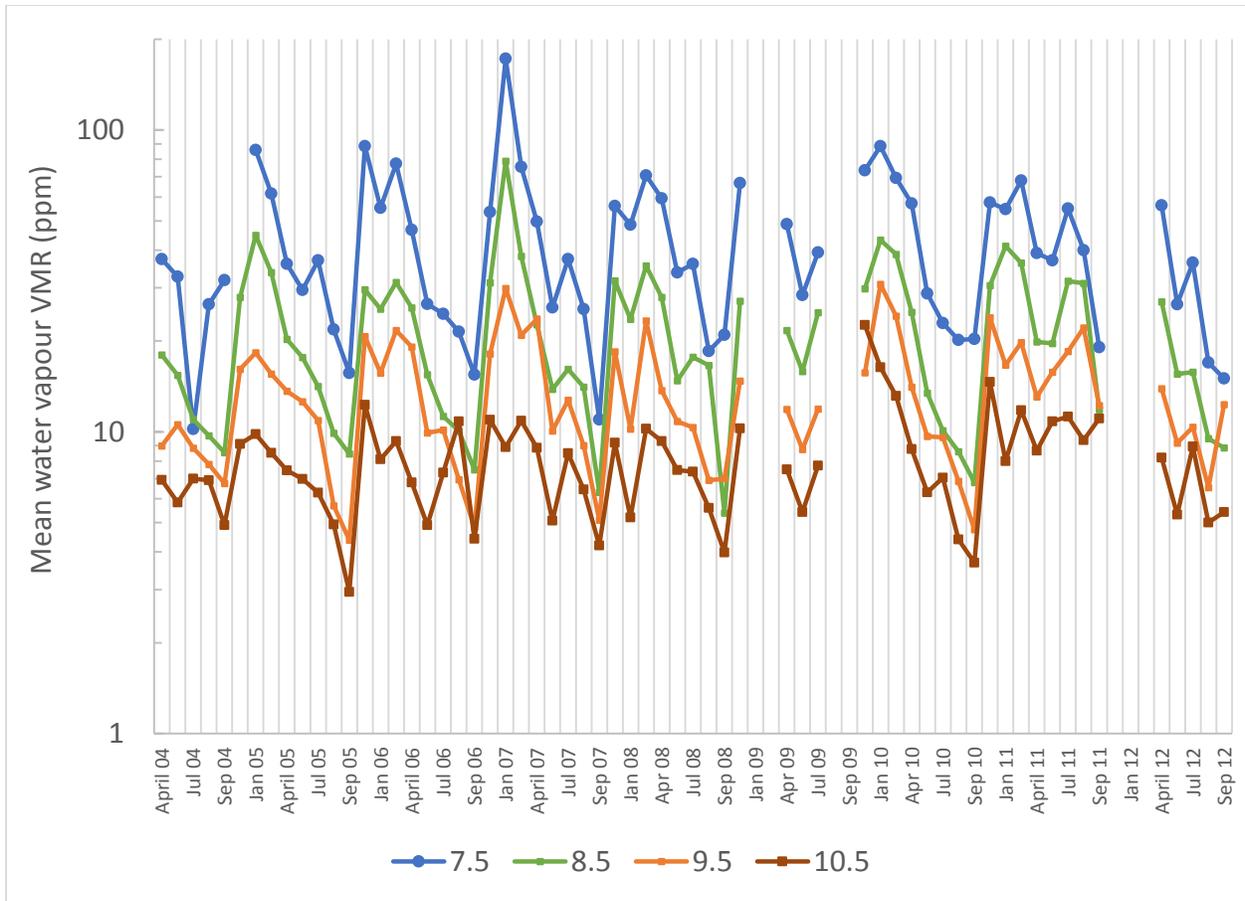
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2 Figure 2. (left) Number of coincidences globally as a function of altitude between ACE-FTS and
 3 various limb sounders that measured water vapour in the ACE time period. The coincidence
 4 criteria are < 1 hour in time and within 250 km. (centre) Median of relative differences in water
 5 vapour versus ACE-FTS (the minuend). The profiles from the instrument with the coarser
 6 vertical resolution are smoothed to account for the difference in resolution between ACE-FTS
 7 and the correlative instrument. ACE-FTS has coarser vertical resolution than most of the chosen
 8 instruments. (right) Variability of the relative differences. SAGE is the Stratospheric Aerosol and
 9 Gas Experiment. MIPAS IMK is the Michelson Interferometer for Passive Atmospheric
 10 Sounding water vapour product developed at the Institut für Meteorologie und Klimaforschung
 11 (IMK). The MIPAS water vapour product from the European Space Agency (ESA) is also
 12 illustrated. SMR is the sub-mm radiometer on Odin and Aura MLS (Microwave Limb Sounder)
 13 is used.

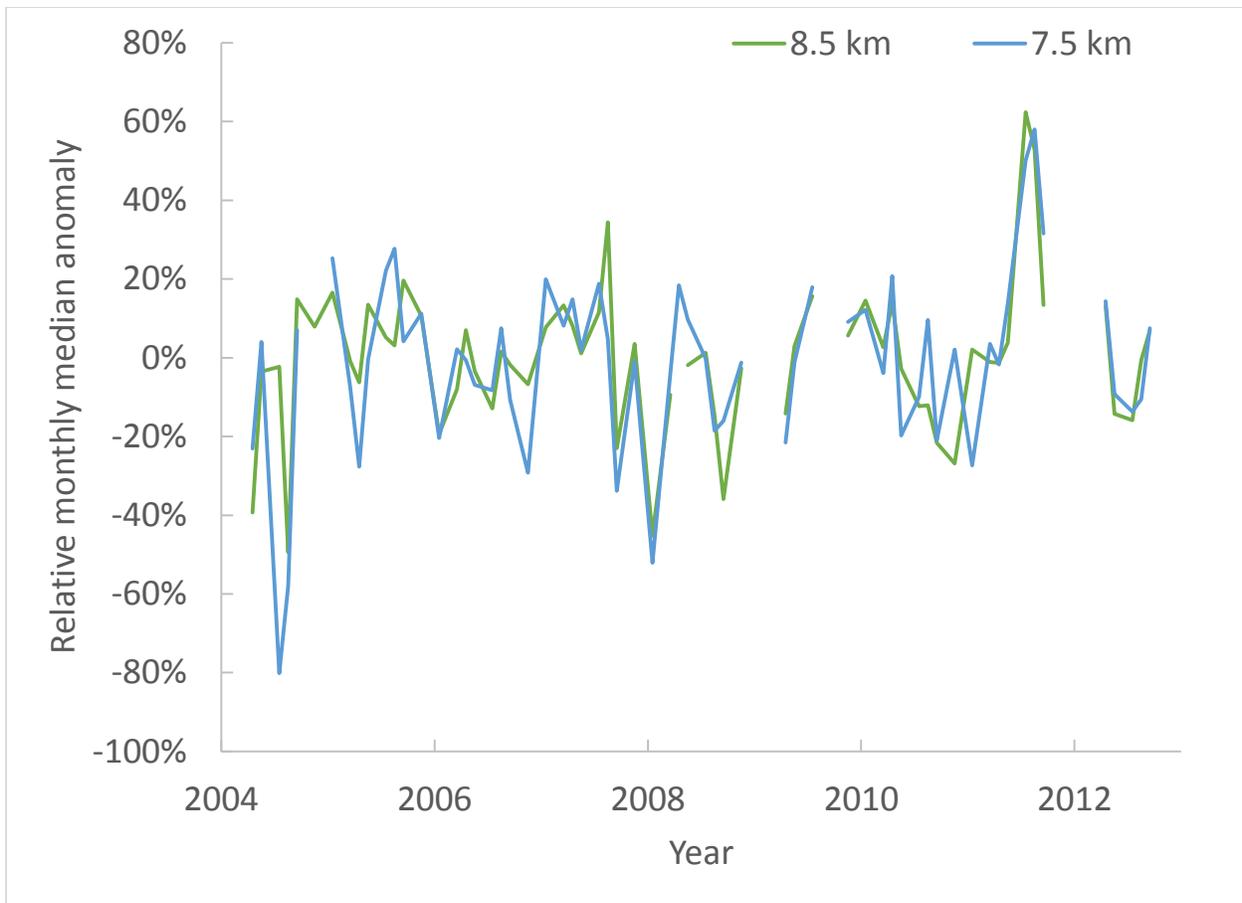
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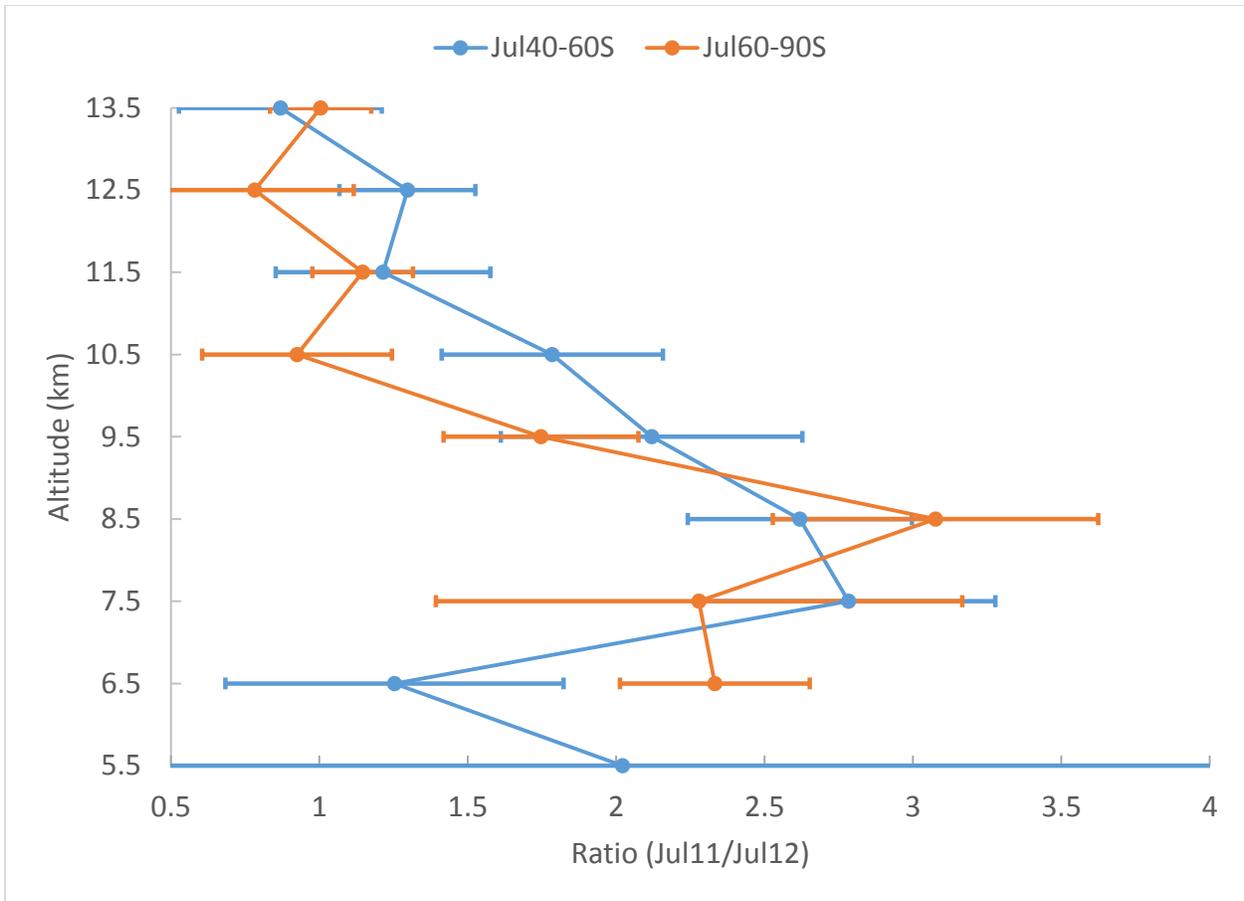
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2 Figure 3. Monthly mean time series of MAESTRO water vapour mixing ratio at different heights
 3 (indicated in legend, in km) in the southern high-latitude tropopause region. Months of February,
 4 June, October, December are not included as ACE does not sample in this region during those
 5 months. Discontinuities indicate insufficient data during the other eight calendar months. A
 6 logarithmic scale is used for the y-axis.

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2 Figure 4. MAESTRO relative monthly median water vapour anomalies at 7.5 and 8.5 km at
3 southern high-latitudes (60-90°S).

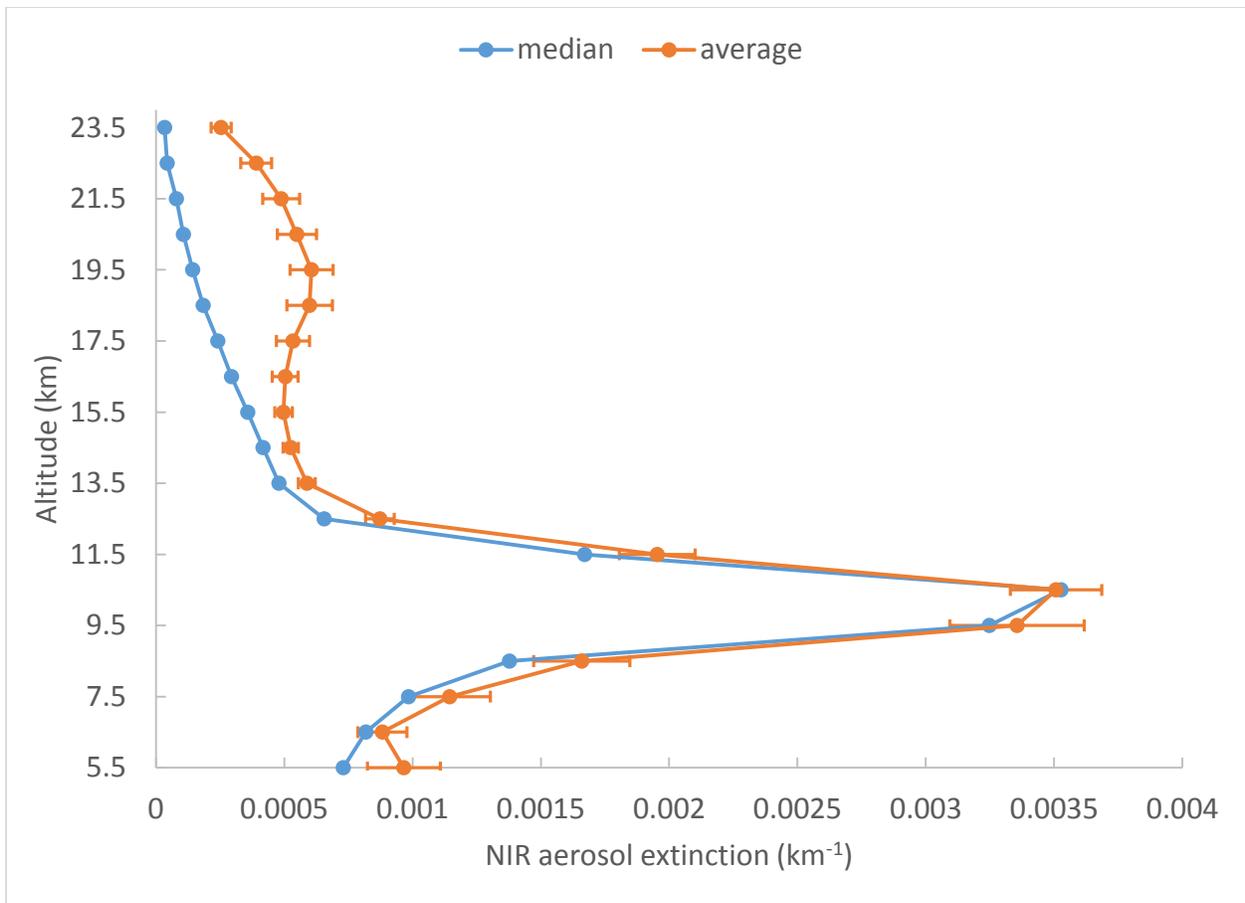


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2 Figure 5. Enhancement factor for water vapour mixing ratio in July 2011 in the 40-60°S band
 3 (July 1-July 12, N=78) and the 60-66°S band (July 13-July 31, N=181), relative to July 2012.

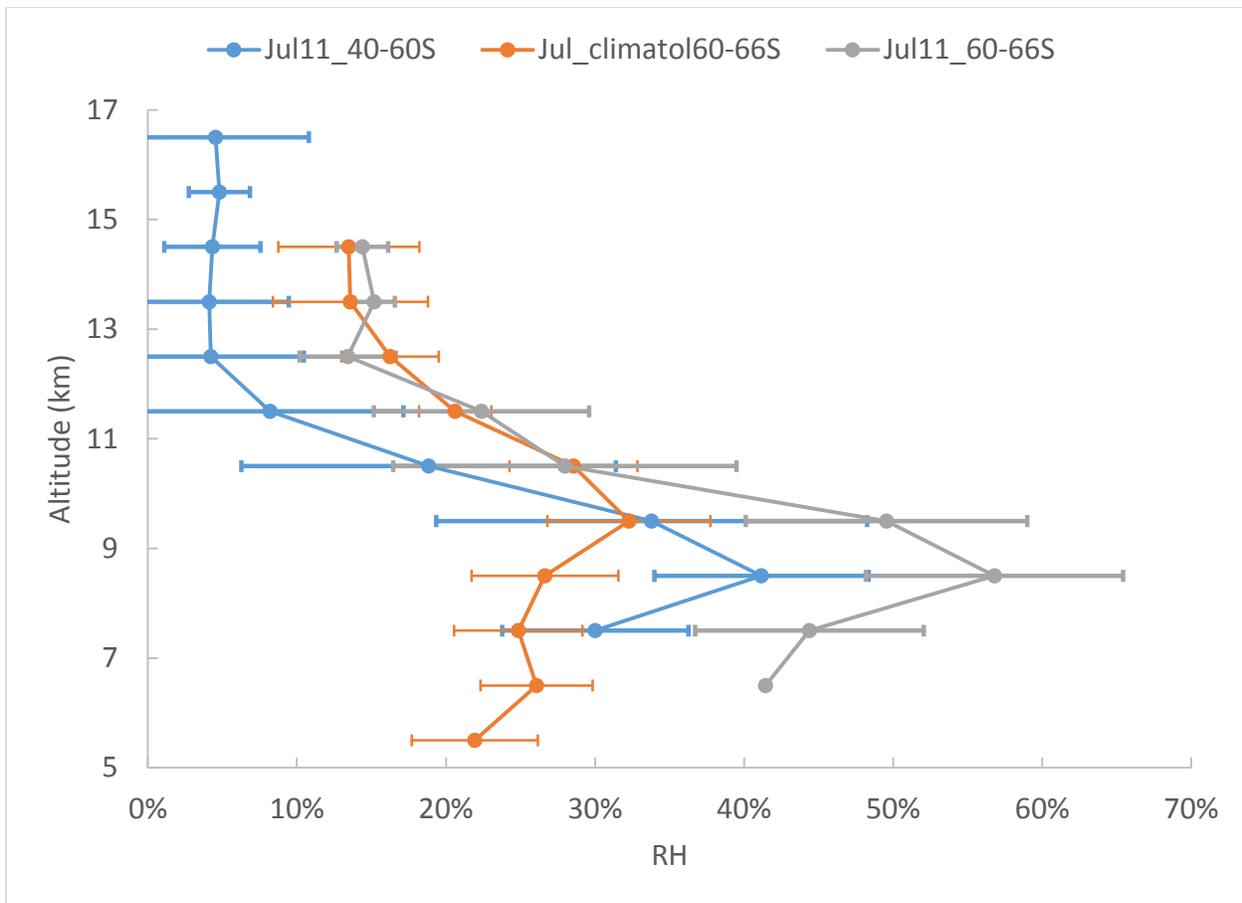
4 The error bar on the ratio profiles account for 1 standard error of the MAESTRO monthly mean
 5 for both years, combined in quadrature.

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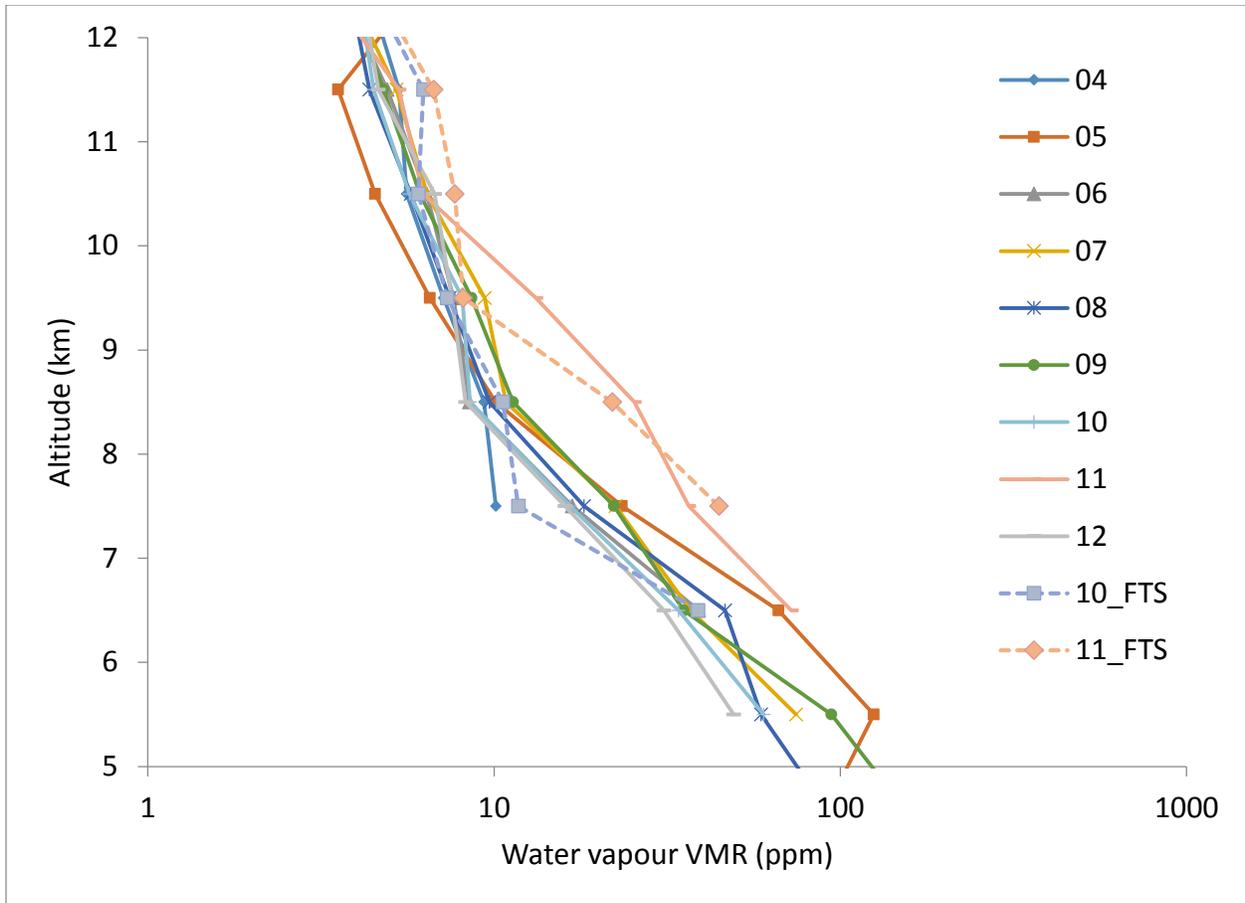


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2 Figure 6. ACE-Imager median and average near-infrared (NIR, 1.02 μm) aerosol extinction
 3 profiles for July 2011 at southern high latitudes (N=163). The error bar is ± 1 standard error of
 4 the monthly mean. The tropopause for this month and latitude band is typically at 9.5 km.

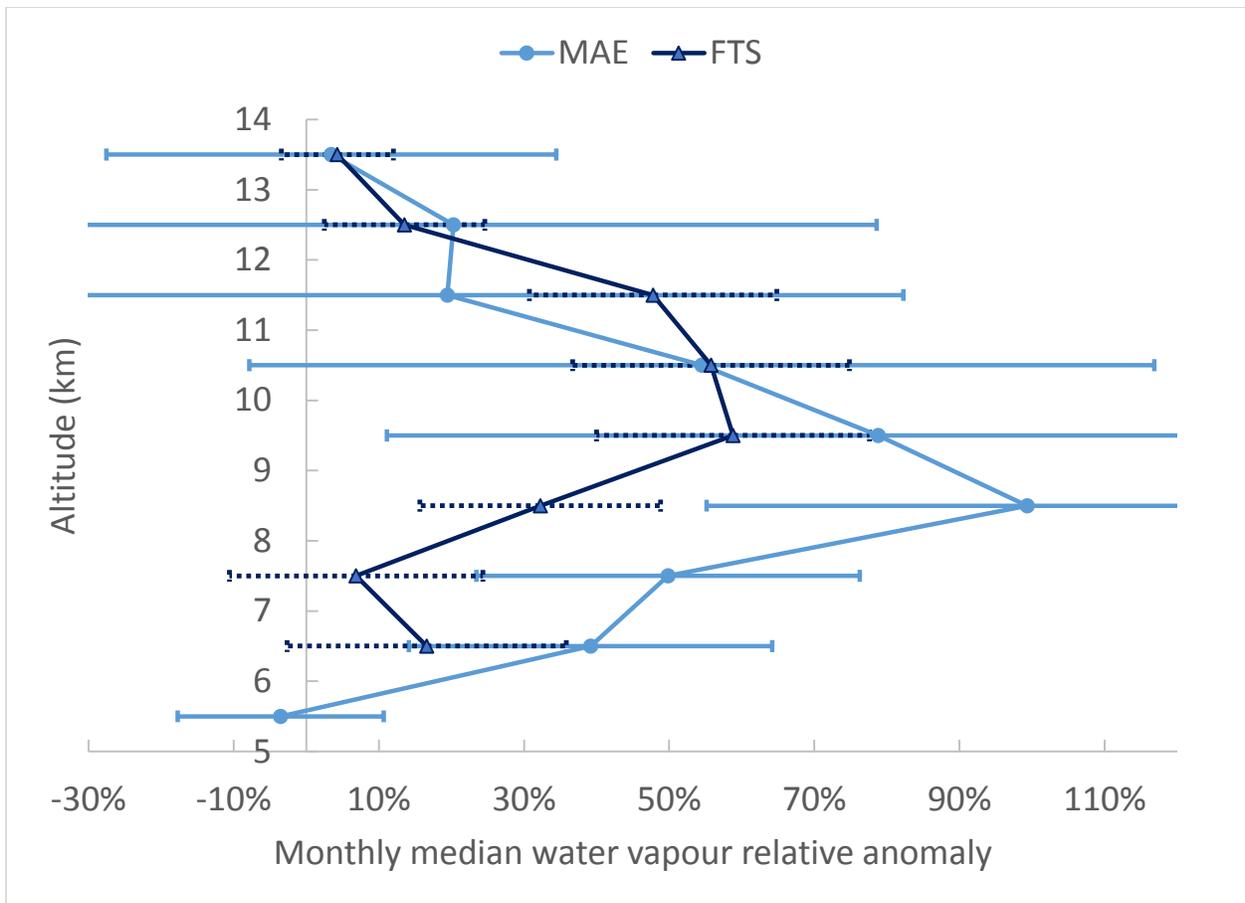


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 2 Figure 7. Relative humidity for July 2011 (40-60°S, N=52) and (60-66°S, N=111) and
 3 climatology (60-66°S, July for every year, except 2011 between 6.5 and 9.5 km, N=865)
 4 determined from MAESTRO water vapour and co-located GEM analysis temperature and
 5 pressure (Laroche et al., 1999). The uncertainty on the climatologic RH accounts for interannual
 6 variability in water vapour and saturated water vapour mixing ratio, combined in quadrature. The
 7 error bars on the July 2011 RH profiles only account for the standard error of the monthly mean
 8 water vapour.

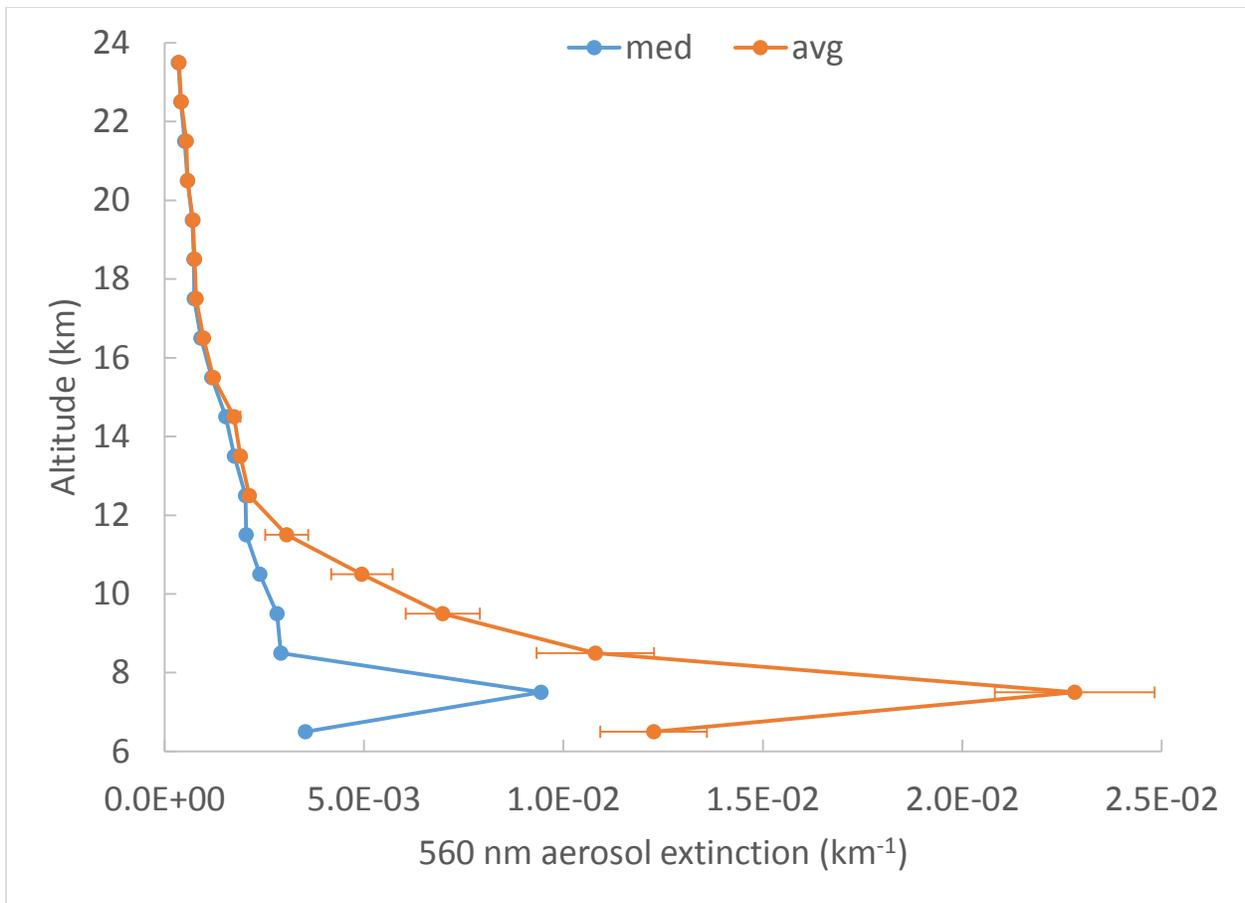


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 2 Figure 8. Southern high-latitude (60-90°S) monthly median water vapour profiles in July for
 3 different years, MAESTRO: 2004-2012, ACE-FTS: 2010 (N=169) and 2011 (N=176). A
 4 logarithmic scale is used for the x-axis. The number of July profiles (60-90°S) for MAESTRO is
 5 96 per year on average.

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 2 Figure 9. Water vapour relative anomaly in May 2010 at northern high latitudes following the
 3 Eyjafjallajökull eruption. The uncertainty accounts for the interannual standard deviation for
 4 May (2005-2012) and the relative standard error of individual profiles from the month of May
 5 2010, combined in quadrature (N = 132, 178 for MAESTRO and ACE-FTS, respectively).



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2 Figure 10. Median and average aerosol extinction observed by MAESTRO at 560 nm in May
 3 2010 at northern high latitudes (N=167).

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