# 1 Improved simulation of tropospheric ozone by a global-multi-regional two-way

# 2 coupling model system

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11 Short title: Two-way coupled simulation of tropospheric ozone

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### Abstract

Small-scale nonlinear chemical and physical processes over pollution source regions 14 affect the tropospheric ozone (O<sub>3</sub>), but these processes are not captured by current 15 global chemical transport models (CTMs) and chemistry-climate models that are 16 limited by coarse horizontal resolutions (100-500 km, typically 200 km). These 17 models tend to contain large (and mostly positive) tropospheric O<sub>3</sub> biases in the 18 Northern Hemisphere. Here we use a recently built two-way coupling system of the 19 GEOS-Chem CTM to simulate the regional and global tropospheric O<sub>3</sub> in 2009. The 20 system couples the global model (at 2.5 °long. x 2 °lat.) and its three nested models (at 21 0.667° long. x 0.5° lat.) covering Asia, North America and Europe, respectively. 22 23 Specifically, the nested models take lateral boundary conditions (LBCs) from the

global model, better capture small-scale processes, and feed back to modify the global

model simulation within the nested domains, with a subsequent effect on their LBCs.

Compared to the global model alone, the two-way coupled system better simulates the tropospheric O<sub>3</sub> both within and outside the nested domains, as found by evaluation against a suite of ground (1420 sites from WDCGG, GMD, EMEP, and AQS), aircraft (HIPPO and MOZAIC), and satellite measurements (two OMI products). The two-way coupled simulation enhances the correlation in day-to-day variation of afternoon mean surface O<sub>3</sub> with the ground measurements from 0.53 to 0.68, and it reduces the mean model bias from 10.8 to 6.7 ppb. Regionally, the coupled system reduces the bias by 4.6 ppb over Europe, 3.9 ppb over North America, and 3.1 ppb over other regions. The two-way coupling brings O<sub>3</sub> vertical profiles much closer to the HIPPO (for remote areas) and MOZAIC (for polluted regions) data, reducing the tropospheric (0–9 km) mean bias by 3–10 ppb at most MOZAIC sites and by 5.3 ppb for HIPPO profiles. The two-way coupled simulation also reduces the global

tropospheric column ozone by 3.0 DU (9.5%, annual mean), bringing them closer to

- the OMI data in all seasons. Additionally, the two-way coupled simulation also
- 2 reduces the global tropospheric mean hydroxyl radical by 5% with improved
- 3 estimates of methyl chloroform and methane lifetimes. Simulation improvements are
- 4 more significant in the Northern Hemisphere, and are mainly driven by improved
- 5 representation of spatial inhomogeneity in chemistry/emissions.
- 6 Within the nested domains, the two-way coupled simulation reduces surface ozone
- 7 biases relative to typical GEOS-Chem one-way nested simulations, due to much
- 8 improved LBCs. The bias reduction is 1–7 times the bias reduction from the global to
- 9 the one-way nested simulation. Improving model representations of small-scale
- 10 processes is important for understanding the global and regional tropospheric
- 11 chemistry.

### 1. Introduction

- Tropospheric ozone  $(O_3)$  is a critical pollutant and the primary source of the hydroxyl
- 14 radical (OH, the dominant atmospheric oxidant). Tropospheric ozone comes from
- stratosphere-troposphere exchange (STE) and photochemical production, and is
- destroyed by chemical loss and dry deposition to the ground. Current global chemical
- transport models (CTMs) and chemistry-climate models simulate the spatiotemporal
- variations of ozone and its precursors, facilitating a global-scale source attribution
- analysis to improve mitigation strategies (Lin et al., 2014; HTAP, 2010; Monks et al.,
- 20 2015). However, most global models are limited by coarse horizontal resolutions
- 21 (100-500 km, typically 200 km), and they cannot resolve the fine-scale processes
- 22 controlling the formation, transport and removal of ozone and its precursors. Many of
- these models tend to overestimate the tropospheric ozone in the Northern Hemisphere
- 24 (Lin et al., 2008; Stevenson et al., 2006; Fiore et al., 2009; Reidmiller et al., 2009;
- Young et al., 2013; Parrish et al., 2014). Previous studies have suggested various
- sources of model biases in emissions, chemical mechanisms, meteorological inputs,
- and model resolutions (Wild and Prather, 2006; Lin et al., 2008; Weaver et al., 2009;
- 28 Lin J.-T. et al., 2012; Doherty et al., 2013; Parrish et al., 2014; Fiore et al., 2014; Fu et
- 29 al., 2015; Monks et al., 2015). Lack of capability in representing small-scale
- 30 processes not resolved by the coarse-resolution global models may be an important
- factor for model biases, whereas the quantitative effect is much less clear, especially
- for the global effect of processes at scales below 100 km.
- 33 The coarse global models underrepresent many resolution-dependent processes.
- 34 Ozone simulations greatly depend on horizontal resolutions due to their nonlinear
- 35 dependence on concentrations of nitrogen oxides ( $NO_x = NO + NO_2$ ) and
- non-methane volatile organic compounds (NMVOCs) (Sillman et al., 1990). Natural
- 37 (biogenic and lightning) emissions are often calculated online by the models driven by
- 38 resolution-specific meteorological conditions. Coarse-resolution global models cannot
- resolve the strong chemical and emission contrasts between urban and surrounding
- areas (Wild and Prather, 2006; Yan et al., 2014). In particular, the ozone chemistry is

mostly NO<sub>x</sub>-saturated (or VOC-limited) in the urban areas but NO<sub>x</sub>-limited in the 1 surrounding rural regions, but the contrast is not resolved by the global model by 2 assuming a fully mixed grid box with no sub-grid variability. Vertical transport is also 3 resolution-dependent and not well resolved by global models by smoothing out the 4 nearby upward and downward motions. Chen et al. (2009) showed that the global 5 6 GEOS-Chem (at a ~ 200 km resolution) poorly represents the terrain-related circulation around the Tibetan Plateau as the topographical feature is smoothed out. 7 Lin M. et al. (2012a) showed that the simulated Asian influence to the US ozone is 8 stronger with an increase in model resolution. 9

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Several global high-resolution simulations have been conducted in part to enhance the representation of small-scale processes (Lin M. et al., 2012a,b; Emmons et al., 2010). For example, Lin M. et al. (2012a) used the GFDL AM3 model (at ~ 50 km resolution) to simulate the Asian pollution influence for the US in May-June 2010; the high-resolution simulation was performed for six months. Emmons et al. (2010) used the MOZART-4 simulation (at ~ 70 km) to simulate the Mexican air quality in March 2006. A global high-resolution simulation is often prohibitive due to much enhanced computational and data requirements. This is particularly true for a relatively long simulation (1 year or more) that is necessary to quantify the effect of small-scale processes in different seasons and to allow for a high-resolution model spin-up period. Many high-resolution regional models have also been developed that better simulate the small-scale processes in the targeted domains (e.g., Huang et al., 2008; Lin et al., 2010; Huang et al., 2010). Most of these regional models take the lateral boundary conditions (LBCs) of chemicals from a coarse-resolution global model without affecting the global model simulation, i.e., a typical "one-way" nesting setup. Thus, the improved representation of small-scale processes within the regional domain does not affect the global large-scale chemical background (simulated by the global model) that would otherwise have additional effects on the LBCs of regional models. Our previous study on carbon monoxide (CO) has demonstrated that accounting for these feedback processes enhances the simulated CO concentrations both within and outside the regional model domains, with a global average enhancement by 10% (equivalent to a 25% increase in global CO emissions) (Yan et al., 2014).

This study aims to address how the small-scale processes over the pollution source regions (not resolved by a typical global model at a ~ 200 km resolution) affect the tropospheric O<sub>3</sub> in the global domain, both inside and outside the source regions. For this purpose, we contrast the global tropospheric O<sub>3</sub> in 2009 simulated by a coarse-resolution global GEOS-Chem model (at 2.5 ° long. x 2 ° lat.) against the simulation by a recently built GEOS-Chem based global-multi-regional two-way coupling system (Yan et al., 2014). The system uses the PeKing University CouPLer (PKUCPL) to integrate the global GEOS-Chem model (at 2.5 °long. x 2 °lat.) and its three nested models (at 0.667 °long. x 0.5 °lat.) covering the major pollution source regions of Asia (Chen et al., 2009), North America (Zhang et al., 2011), and Europe (Vinken et al., 2014), respectively. See Fig. 1 for a visualized model domain

definition. In particular, the nested models provide results to modify the global model 1 simulation within respective nested domains, while taking the lateral boundary 2 conditions (LBCs) from the global model. The high-resolution nested models better 3 resolve atmospheric processes at scales smaller than 200 km over these pollution 4 source regions, and the "two-way" coupling allows for the improvements to have a 5 6 global impact, i.e., via feedbacks between the global and nested regional models. Note that our nested model resolution is still relatively coarse compared to some other 7 regional model studies (e.g., Huang et al., 2008; Lin et al., 2009; Kuhlmann et al., 8 2015; Terrenoire et al., 2015); our future studies will take advantage of the new 9 generation GEOS-Chem nested models at 0.3125° long. x 0.25° lat. to capture 10 smaller-scale processes not resolved on a 0.667 °long. x 0.5 °lat. grid. 11

12 Simulations by the coupled system and the global model alone are evaluated against a suite of ozone measurements within and outside the nested model domains from the 13 World Data Centre for Greenhouse Gases (WDCGG), the United States National 14 Oceanic and Atmospheric Administration (NOAA) Earth System Research 15 Laboratory Global Monitoring Division (GMD), the Chemical Coordination Centre of 16 European Monitoring and Evaluation Programme (EMEP), the United States 17 Environmental Protection Agency Air Quality System (AQS), the airborne 18 measurements from High-performance Instrumented Airborne Platform for 19 Environmental Research (HIAPER) Pole-to-Pole Observations (HIPPO) campaigns, 20 the MOZAIC aircraft program, and two satellite products retrieved from the Ozone 21 Monitoring Instrument (OMI). Surface ozone simulations are compared between the 22 two-way system and a traditional "one-way" nesting setup. Model evaluation reveals 23 important simulation improvements via the two-way coupling. 24

25 The rest of the paper is organized as follows. Section 2 describes the two-way coupled model system. Section 3 presents the ground, aircraft and OMI measurements. Section 26 4 compares the tropospheric budgets of ozone and related species between the 27 coupled system and the global CTM alone. The section also delineates the individual 28 effects of various chemical and non-chemical factors affecting the simulated ozone 29 differences. Section 5 compares the simulated tropospheric ozone with measurements, 30 focusing on daily, seasonal and vertical variability of ozone to demonstrate the 31 32 superiority of the coupled system over the global model alone and a traditional one-way nesting setup. Section 6 concludes the present study. 33

### 2. Two-way coupled GEOS-Chem model system

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The current global-multi-regional two-way coupled 35 model system (http://wiki.seas.harvard.edu/geos-chem/index.php/Two-way\_coupling\_between\_glob 36 al and nested GEOS-Chem models) is built on version 9-02 of GEOS-Chem. In this 37 system, both the global and three nested CTMs are driven by the GEOS-5 assimilated 38 meteorological fields from the National Aeronautic and Space Administration (NASA) 39 40 Global Modeling and Assimilation Office (GMAO). The GEOS-5 data on the native

1 0.667 °long. x 0.5 °lat. grid are used directly to drive the nested models. To drive the

2 global model, the meteorological data are regridded to a reduced resolution at 2.5°

long. x 2 °lat. All models have 47 vertical layers, with about 10 layers of  $\sim 0.13$  km

4 thickness below 850 hPa.

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5 In the coupling system, all global and nested models are run with the full Ox-NOx-VOC-CO-HOx gaseous chemistry (Mao et al., 2013), the Linoz 6 stratospheric ozone scheme (McLinden et al, 2000), and online aerosol calculations. 7 Based on Lin J.-T. et al. (2012), we have modified the chemical mechanism as 8 follows. The reaction constants for OH + NO<sub>2</sub> follow Mollner et al. (2010) for low-9 and high-pressure limits, i.e.,  $k_0 = 1.48 \times 10^{-30} \times (T/300)^{-3} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ , and  $k_{inf}$ 10  $= 2.58 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . Aerosol uptake of the hydroperoxyl radical (HO<sub>2</sub>) 11 accounts for its self-reaction in aqueous particles (Thornton et al., 2008). Over the 12 continental boundary layer, the uptake rate is fixed at 0.07 to account for catalysis by 13 transition metal ions (TMIs) (Thornton et al., 2008). Over China, however, the HO<sub>2</sub> 14 uptake rate is assumed to be at least 0.2 to account for the much higher fraction of 15 TMIs in Chinese aerosols (Lin J.-T. et al., 2012); the large uptake rate is supported by 16 recent observations (Taketani et al., 2012). The uptake of nitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) 17 on aerosols follows Evans and Jacob (2005) parameterization, but the uptake rate is 18 reduced by 10 times based on more recent estimates (Bertram et al., 2009). Vertical 19 20 mixing in the planetary boundary layer (PBL) employs a non-local scheme (Holtslag and Boville, 1993; Lin and McElroy, 2010). Model convection adopts the Relaxed 21

Arakawa-Schubert scheme (Rienecker et al., 2008).

We use the Linoz stratospheric ozone scheme (McLinden et al, 2000) that produces the stratospheric ozone with reasonable stratosphere-troposphere exchange (STE) of ozone on an annual basis (Zhang et al., 2014). A model with a full stratospheric chemistry (e.g., Lin M. et al., 2012b; Eastham et al., 2014) would better simulate the variability of stratospheric ozone and its STE. This variability is particularly important for understanding the episodic ozone events (Lin M. et al., 2012b, 2015). Nevertheless, here we aim to evaluate the effect of small-scale processes within the troposphere on the general annual and spatial pattern of tropospheric ozone. Thus a simulation with detailed stratospheric chemistry is out of the scope of this study. Also, for the STE of ozone within the nested domains, we adjust the nested model simulations to approximate the global model results by halving the Linoz ozone production rate in the stratosphere, as we focus on the processes that affect the tropospheric ozone. This adjustment does not affect the tropospheric radiation influx, TOMS/SBUV which is constrained by monthly ozone data (http://acdb-ext.gsfc.nasa.gov/Data\_services/merged/).

1 The two-way coupling system employs the PKUCPL coupler to integrate all models.

Yan et al. (2014) presents a detailed description and evaluation of the coupling

mechanism. Briefly, the coupler takes global model results for all chemical

4 concentrations to update the LBCs of nested models. The coupler simultaneously

5 replaces global model results in the troposphere within the nested domains by nested

6 model results, after a mass-conserved area-weighted grid conversion procedure. The

7 model information is exchanged every three hours; a higher exchange frequency at

8 one hour leads to similar results. All model simulations proceed in parallel under the

9 two-way coupling framework. The chemistry time step is 30 min in the global model

and 20 min in the nested models; and the transport time step is half of the chemistry

time step for all models. Chemical and transport processes are simulated in sequence:

transport + chemistry + transport, transport + chemistry + transport, and so forth.

For our focused analysis in 2009, both the two-way coupled system and the global

model alone are run from July 2008 through December 2009, allowing for a 6-month

spin-up period in 2008. Initial conditions of chemicals are regridded from a

simulation at 5° long. x 4° lat. conducted from 2005. All models in the two-way

coupling framework proceed in parallel with eight-core (Intel(R) Xeon(R) CPU

18 X7550 at 2.00 GHz) OpenMP parallelization for each model; a total of 32 cores are

used for the coupled system and eight for the global model alone. The wall-clock time

of the coupled system is slightly higher (by < 2%) than that of the slowest model, the

North American nested model, due to some overhead for data exchange. On this

relatively old and slow computer, it takes about 15 days for the coupled system to

23 finish one simulation year.

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### 2.1 Model emissions

- Table 1 summarizes the prescribed anthropogenic and biomass burning emissions.
- 26 Global anthropogenic emissions are taken from the Emission Database for Global
- 27 Atmospheric Research (EDGAR) v4.2 inventory for carbon monoxide (CO) and NO<sub>x</sub>.
- 28 Anthropogenic emissions of NMVOCs use as default the REanalysis of the
- 29 TROpospheric chemical composition (RETRO) monthly global inventory for 2000, as
- implemented by Hu et al. (2015). These global inventories are further replaced by
- 31 regional inventories over Asia, North America and Europe. Emission data include
- 32 monthly or seasonal variability.
- 33 Monthly biomass burning emissions are taken from the Global Fire Emissions
- Database version 3 (GFED3) (van der Werf et al., 2010). Other natural emissions
- 35 (lightning NOx, soil NOx, and biogenic NMVOCs) are parameterized and calculated
- 36 on-the-fly based on model meteorology; these emissions are thus
- 37 resolution-dependent. Soil NO<sub>x</sub> emissions follow Hudman et al. (2011). Lightning

- 1 NO<sub>x</sub> emissions follow the Price and Rind scheme with a further satellite-based
- 2 adjustment and a backward 'C-shape' vertical profile (Price and Rind, 1992; Ott et al.,
- 3 2010; Murray et al., 2012). Biogenic NMVOC emissions are calculated with the
- 4 MEGAN v2.1 (PECCA) model (Guenther et al., 2012) driven by monthly mean
- 5 MODIS leaf area index data.
- 6 Table 2 shows slight differences in global total emissions of ozone precursors (CO,
- 7 NO<sub>x</sub>, and NMVOCs) between the global model alone and the two-way coupled
- 8 system. In the coupled system, global emissions from all sources are about 878 Tg yr<sup>-1</sup>
- 9 for CO, 45.5 TgN yr<sup>-1</sup> for NO<sub>x</sub> and 723 TgC yr<sup>-1</sup> for NMVOCs. These values are
- larger than those in the global model by about 0.9%, 0.7% and 6.5%, respectively.
- 11 Greater emission differences are found for biogenic NMVOCs (by 6.9%) and
- 12 fertilizer soil NO<sub>x</sub> (by 25.4%), reflecting strong resolution dependence.
- Figure 2 shows the spatial distributions of annual NMVOCs and NO<sub>x</sub> emissions in the
- 14 nested models (first and third columns) and the global model (second and fourth
- columns). The nested and global models exhibit similar spatial patterns for NMVOCs
- emissions. Summed over a given nested domain, the nested models have higher
- emissions of NMVOCs than the global model by 16–48%, mainly a result of stronger
- isoprene emissions. The spatial patterns of NO<sub>x</sub> emissions differ greatly between the
- 19 nested and global models, with local emission spikes much more obvious in the
- 20 nested models, although the regional totals are similar (within 5%).
- The differences in model representation of  $NO_x$  and NMVOCs emissions affect the
- 22 simulated ozone chemistry. The difference in regional emission magnitude (mainly for
- biogenic NMVOC in summer) affects the surface ozone simulation within the nested
- domains (Sect. 5.1), but with a marginal effect on the global tropospheric ozone as a
- 25 whole (Sect. 4.3). The better resolved emission spatial variability and associated
- 26 chemical contrast by the nested models greatly affects both the surface (Sect. 5.1) and
- 27 the tropospheric ozone (Sects. 4.3, 5.2, and 5.3).

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# 3. Ground, aircraft and OMI measurements

### 3.1 Ground measurements from WDCGG, GMD, EMEP and AQS

- We employ four measurement networks to evaluate the modeled ground-level ozone
- mixing ratios in 2009. As shown in Fig. 1, these networks contain hourly ozone
- measurements from a total of 1420 urban, suburban or remote sites from WDCGG (64
- sites, http://ds.data.jma.go.jp/gmd/wdcgg/cgi-bin/wdcgg/catalogue.cgi), GMD (12
- 34 sites, http://www.esrl.noaa.gov/gmd/), EMEP (130 sites,
- 35 http://www.nilu.no/projects/ccc/emepdata.html), and AQS (1214 sites,
- 36 http://aqsdr1.epa.gov/aqsweb/aqstmp/airdata/download\_files.html). For model
- evaluation, we derive the afternoon (12:00–18:00 LT, local time) mean ozone mixing
- 38 ratios from the hourly data. Modeled afternoon ozone is sampled from the lowest
- layer (centered at  $\sim 0.065$  km) in grid cells covering the ground sites, and are sampled

- from the hourly outputs coincident with available measurements. The afternoon mean
- 2 ozone is close to the maximum 8-hour average ozone in both measurements (36.1 ppb
- 3 versus 39.3 ppb averaged over the 1420 sites) and model simulations (46.8 ppb versus
- 4 48.4 ppb for the global model alone; 42.6 ppb versus 44.5 ppb for the two-way
- 5 coupled system). Models also capture the diurnal cycle of measured ozone fairly well,
- 6 although with positive biases in both daytime and nighttime (not shown), consistent
- 7 with our previous work (Lin and McElroy, 2010).

#### 8 3.2 Aircraft measurements from MOZAIC and HIPPO

- 9 We take ozone vertical profiles in 2009 at 11 sites of the MOZAIC program (pink
- squares in Fig. 1; data available at http://www.iagos.fr/web/) to evaluate the modeled
- vertical and seasonal distributions of tropospheric ozone. Located in major cities,
- these sites are representative of the polluted environment. Since 1994, the MOZAIC
- program has employed five commercial aircrafts to measure ozone concentrations
- throughout the troposphere and lower stratosphere (Marenco et al., 1998). Ozone is
- measured with an accuracy estimated at  $\pm (2 \text{ ppbv} + 2\%)$  and a 4-sec time response (<
- 50m vertical resolution) (Thouret et al., 1998). We use measurements taken during
- both take-off and landing of the aircrafts to represent the vertical profiles over the
- associated airports (Zbinden et al., 2013). Each of the 11 sites chosen here has at least
- 40 profiles in 2009. Measurements are available from the ground level (0.075 km) to
- 20 the upper troposphere and lower stratosphere (UTLS) at 0.15-km intervals. Model
- 21 results are sampled at times and locations consistent with the measurements.
- 22 For model evaluation in the remote areas, we use 282 ozone vertical profiles over the
- 23 Pacific Ocean from two HIPPO (HIPPO-1 and HIPPO-2) aircraft campaigns
- conducted in 2009. The HIPPO campaigns were conducted in the remote troposphere
- over the Pacific, Arctic, and near-Antarctic regions to facilitate atmospheric chemistry
- analysis (Wofsy, 2011). During HIPPO, ozone was measured by the NOAA O<sub>3</sub>
- 27 photometer using direct absorption at 254 nm (Proffitt and McLaughlin, 1983; Kort et
- al., 2012). We use the merged dataset that has a vertical resolution of 0.1 km (data
- available at http://hippo.ornl.gov/data\_access/). To ensure spatiotemporal consistency
- 30 with the HIPPO data, model ozone is sampled at the times and locations of the
- 31 measurements.

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### 3.3 Two OMI products for tropospheric column ozone

- We use two monthly OMI tropospheric column ozone (TCO) products that have been
- used to study the tropospheric ozone variability and sources (Ziemke et al., 2011; Kim
- et al., 2013). The first product is based on an optimal estimation technique by Liu et al.
- 36 (2010a,b) with modifications as described in Kim et al. (2013), and is referred to as
- 37 OMI/LIU hereafter. For OMI/LIU, errors for individual TCO retrievals are typically
- 38 2–5 DU (Liu et al., 2010). Validation against ozonesonde data show that mean
- 39 OMI/LIU TCO agrees with ozonesonde data to within 2 DU for both the tropics
- 40 (30 S-30 N) and northern mid-latitudes (30 N-60 N), but with season-dependent

- biases, varying from -0.8 DU in summer (JJA) to 2.1 DU in winter (DJF) for 30 S-
- 2 30 N, and varying from -0.1 DU in JJA to 3 DU in DJF for 30 N-60 N (X. Liu,
- personal communication). The second product is the OMI/MLS dataset that subtracts
- 4 the OMI total column ozone by the MLS stratospheric ozone (Ziemke et al., 2011).
- 5 Ziemke et al. (2011) validated the OMI/MLS data against the Southern Hemisphere
- 6 Additional OZonesondes (SHADOZ) and the World Ozone and Ultraviolet radiation
- 7 Data Center (WOUDC) ozonesonde measurements. They found that, on average, the
- 8 monthly mean OMI/MLS tropospheric ozone mixing ratio is smaller than the
- 9 ozonesonde data by about 1 ppb (2%), with large seasonal dependence and a root
- mean square error at 6–8 ppb. For the present analysis, we average these two
- independent TCO datasets to reduce data uncertainties; this leads to a third dataset
- referred to as OMI MEAN.
- We use the monthly mean OMI products for 2009. The OMI/LIU dataset is on a 2.5 °
- long. x 2 °lat. grid. The OMI/MLS product provides data at 1.25 °long. x 1 °lat. from
- 15 60 \$\text{S}\$ to 60 \$\text{N}\$. We calculate the OMI MEAN TCO after re-gridding the OMI/MLS
- data to match OMI/LIU. Data polarward of 60° are discarded due to higher
- uncertainty. Modeled monthly mean TCO is calculated from all daily data at the OMI
- overpass time (13:00–15:00) applied with the monthly mean OMI/LIU averaging
- kernel; daily averaging kernel data are not available, and the modeled global annual
- average TCO with and without applying the averaging kernel differ by 0.6%. These
- 21 OMI products and model simulations differ between each other in definitions of
- tropopause height and days of valid data, whose effects are found to be small. To
- examine the effect of different tropopause heights, we re-calculated in a test analysis
- the OMI/LIU, OMI\_MEAN and model TCO by applying the OMI/MLS tropopause.
- 25 The resulting bias of the global model relative to OMI\_MEAN (2.8 DU, 8.9%) is
- similar to the bias without adjusting the tropopause (2.9 DU, 9.2%). The differences
- 27 in days of valid data also have a marginal effect, as confirmed by examining the TCO
- 28 difference between OMI/MLS and global model simulation sampled from days with
- valid OMI/MLS data (note that the OMI/MLS product also provides daily data for
- such analysis). The calculated TCO difference (3.9 DU; 12.8%) is close to the
- 31 difference (4.0 DU; 13.1%) without sampling model results.

### 4. Effects of two-way coupling on simulated tropospheric budgets of ozone and

# 33 related species

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- 34 This section examines the effect of two-way coupling on the simulated tropospheric
- ozone budget in 2009 (Sect. 4.1), with additional discussions on NO<sub>x</sub>, CO, NMVOCs,
- OH, and lifetimes of methane and methyl chloroform (MCF) (Sect 4.2). In Sect. 4.3,
- we delineate the chemical and non-chemical factors driving the differences between
- 38 the two-way system and the global model alone.

### 4.1 Tropospheric ozone budget

Table 3 contrasts the global tropospheric O<sub>3</sub> budgets in 2009 simulated by the

1 two-way coupled system against those by the global model alone. The chemical

- production and loss are calculated for the odd oxygen family  $(O_x = O_3 + O + NO_2 + O_3)$
- $3 \quad 2NO_3 + 3N_2O_5 + PANs + HNO_3 + HNO_4$ ), following Wu et al. (2007). The chemical
- 4 production of O<sub>x</sub> is mainly driven by reactions of NO with peroxy radicals, and the
- 5 chemical loss is mostly due to the  $O(^{1}D) + H_{2}O$  reaction and reactions of ozone with
- 6 OH and  $HO_2$ . The coupled system produces slightly higher (by  $\sim 1.0\%$ ) chemical loss
- 7 and production of O<sub>x</sub> than the global model alone. Ozone dry deposition in the
- 8 coupled system (867 Tg) is smaller by 1.7% than the global model alone (882 Tg).
- 9 The STE of ozone in the coupled simulation (478 Tg) is also lower than the global
- model alone (488 Tg) by 2.0%, partly compensating for the weaker deposition. This
- small difference in STE affects the simulated global tropospheric mean ozone by 1.1%
- 12 (see Sect. 4.3).

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- Table 3 shows that the coupled system produces a tropospheric ozone burden at 348
- Tg, about 9.5% lower than the burden simulated by the global model alone (384 Tg).
- 15 Correspondingly, the lifetime of tropospheric ozone in the coupled system (burden
- divided by sink = 23.5 days) is shorter than that in the global model (26.1 days) by
- 17 9.9%. The large reduction in ozone burden and lifetime, despite the small change in
- chemical production and loss of O<sub>x</sub>, reflects a faster chemical evolution of ozone on a
- 19 per molecule basis. Although both lifetimes calculated here are broadly consistent
- with previous studies (19.9–25.5 days from ACCMIP (Young et al., 2013) and 17.3–
- 25.9 days from ACCENT (Stevenson et al., 2006)), the reduction due to our model
- coupling indicates a significant effect of small-scale processes resolved by the finer
- 23 resolution, especially the fine-scale spatial variability of emissions and associated
- 24 chemistry.

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- 25 Table 4 shows the seasonal dependence of ozone burden and O<sub>x</sub> chemical loss and
- production. The global model alone produces the largest chemical loss in the northern
- 27 hemisphere (NH) summer (1252 Tg) and the smallest loss in winter (1036 Tg). The
- coupled model reduces the chemical loss by 1.2% (to 1237 Tg) in NH summer, due to
- a lower ozone abundance overcompensating for a higher per-molecule loss rate. In
- winter, the coupled model enhances the loss by 2.3% (to 1060 Tg), because a higher
- 31 per-molecule loss rate from reactions with  $NO_x$  more than offsets a lower ozone
- 32 abundance. By comparison, the coupled model slightly increases the chemical
- production by 0.3–1.3% in all seasons.

### 4.2 NO<sub>x</sub>, CO, NMVOCs, OH, methane lifetime, and MCF lifetime

- Table 3 shows that the two-way coupling also significantly affects the tropospheric
- burdens of ozone-related species. Burdens of NMVOCs (10.2 TgC, see footnote of
- Table 3 for species included), NO<sub>x</sub> (0.176 TgN) and CO (398 Tg) in 2009 are higher
- than those simulated by the global model alone by 1.0%, 4.1% and 10.8%,
- 39 respectively. Table 3 also shows that the global annual mean air-mass weighted
- 40 tropospheric OH simulated by the two-way coupled system is lower by 5.0% than that

- simulated by the global model alone (1.12 versus 1.18 x 10<sup>6</sup> cm<sup>-3</sup>). The sensitivity of
- 2 OH to model resolution is broadly consistent with previous studies (Yan et al., 2014;
- Wild and Prather, 2006; Valin et al., 2011). In particular, Yan et al. (2014) showed a
- 4 similar OH reduction by 4% via the two-way coupling based on an earlier version of
- 5 GEOS-Chem (v8-3-02).
- 6 Table 3 further presents methane and MCF lifetimes due to reactions with the
- 7 tropospheric OH. The lifetime calculation follows the formulae used by Yan et al.
- 8 (2014); it accounts for the grid-box specific air mass, temperature-dependent reaction
- 9 constant, OH content, and vertical gradients of methane and MCF with an adjustment
- 10 coefficient of 0.97 for methane (Predoi-Cross et al., 2006) and 0.92 for MCF (Prather
- et al., 2012). The coupled system leads to longer lifetimes than the global model alone,
- by about 5.2% (from 5.58 to 5.87 yr) for MCF and 5.1% (from 9.63 to 10.12 yr) for
- methane. These results are closer to the observation-based estimates of MCF lifetime
- 14 (6.0  $\pm$  0.4 yr from Prinn et al., 2005; 6.3  $\pm$  0.4 yr from Prather et al., 2012) and
- methane lifetime (10.2  $\pm$  0.8 yr from Prinn et al., 2005; 11.2  $\pm$  1.3 yr from Prather et
- 16 al., 2012).

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# 4.3 Delineating the factors driving the difference between the two-way system and the global model alone

- 19 Compared to the global model alone, the two-way coupled system produces lower
- 20 global tropospheric mean ozone by 9.5% (Table 3). This difference is driven by four
- 21 factors including the sub-coarse-grid chemical variability resolved by nested
- resolution (i.e., emission spatial variability and associated chemical contrast), the
- sub-coarse-grid variability of non-chemical factors (such as topography), a slight
- 24 difference in the magnitude of natural emissions (mainly for biogenic NMVOC
- emissions, Sect. 2.1), and a slight difference in the magnitude of STE (Sect. 4.1). To
- 26 delineate the individual effects of these factors, we conducted three additional
- sensitivity simulations from July 2008 through December 2009 as follows. Results are
- summarized in Table 5.
- 29 The first test simulation was conducted with the global model alone, by adopting at
- 30 each time step the emissions outputted from the two-way system. Here the global
- 31 model has the same emission magnitude as the two-way model, which is slightly
- larger than the original global model simulation (Sect. 2.1). As a result, the simulated
- 33 global tropospheric mean ozone were enhanced by 1.1% relative to the original global
- model simulation. By linear subtraction, we determine that factors other than emission
- magnitude leads to an ozone reduction by 10.6% from the global model alone to the
- 36 two-way system.
- 37 The second test is the counterpart of the first test, by re-running the two-way system
- and adopting the emissions outputted from the global model simulation. Here the
- 39 actual resolution of emissions is 2.5° long. x 2° lat., thus the sub-coarse-grid

- 1 variability of emissions and associated chemical contrast is not resolved. The resulting
- 2 tropospheric ozone is lower than the original global model by 2.0%. This difference
- 3 represents the combined effect of the difference in the magnitude of STE and the
- 4 sub-coarse-grid variability in non-chemical factors.
- 5 The third test addresses the slight difference in STE. The test re-run the global model
- but with a reduction in the STE by 1.0%, by scaling down the Linoz stratospheric
- 7 ozone production rate. As a result, the global tropospheric mean ozone is reduced by
- 8 0.55%. By linear scaling, we determine that a 2.0% reduction in STE from the global
- 9 model to the two-way system (Table 3) would lead to a 1.1% reduction in the global
- tropospheric mean ozone. Combining the result here and from the second test implies
- that the sub-coarse-grid non-chemical processes would reduce the global tropospheric
- mean ozone by 0.9% from the global model alone to the two-way system.
- 13 In summary, of the -9.5% tropospheric mean ozone change from the global model to
- the two-way coupled simulation, -0.9% is related to sub-coarse-grid non-chemical
- processes, -1.1% is related to the lowered STE, +1.1% is associated with the increased
- natural emission magnitude, and the remaining -8.6% represents the effect of
- 17 sub-coarse-grid emission-chemical variability. Thus the small-scale nonlinear
- chemical processes (resolved by the nested resolution but not by the coarse resolution)
- is the dominant driver of the overall ozone difference.

# 20 5. Evaluation of modeled tropospheric ozone against ground, aircraft and

### satellite measurements

# 22 5.1 Surface ozone

- As shown in Fig. 1, most ground measurement sites are located in the U.S. (1214 sites
- 24 from AQS) and Europe (130 sites from EMEP). Averaged over the U.S. AQS sites, the
- measured annual mean afternoon (12:00–18:00 local time) mean ozone is 35.8 ppb in
- 26 2009. The afternoon ozone is slightly higher over Europe, about 37.7 ppb averaged
- over the EMEP sites. The ozone level is highest over Asia, with a value of 43.1 ppb
- averaged over the seven WDCGG sites. The afternoon ozone from the 17 WDCGG
- sites worldwide is about 33.8 ppb on average.
- Figure 3 shows the horizontal distributions of annual mean afternoon ozone biases
- simulated by the global model alone (Figs. 3a, c and e) and by the two-way coupled
- system (Figs. 3b, d and f), relative to the four ground networks. All model results are
- derived from the global model component, i.e., from the 2.5 °long. x 2 °lat. grid cell
- 34 covering a given site. The global model tends to overestimate the ozone
- concentrations (biases range from -5 to 25 ppb), with a mean bias at +10.8 ppb
- globally, +10.5 ppb over the U.S., and +12.1 ppb over Europe. The positive biases
- exceed 15 ppb at several high-elevation sites of the western U.S. (Fig. 3c) and some
- coastal sites of Europe (Fig. 3e). These results are broadly consistent with previous

multi-model evaluation for the HTAP (Reidmiller et al., 2009) and ACCENT 1 (Dentener et al., 2006) projects that showed an ensemble mean positive bias at 10–20 2 ppb over the summertime eastern U.S. and 15–20 ppb over South Asia, respectively. 3 Similar model biases are also found from our previous evaluation of MOZART and 4 GEOS-Chem over the U.S. (Lin et al., 2008; Lin and McElroy, 2010). Compared to 5 6 the global model alone, the two-way coupled system generally reduces the ozone bias worldwide (Figs. 3b, d, and f). The positive bias is reduced to 6.7 ppb globally, 6.6 7 ppb over the U.S., and 7.5 ppb over Europe. The bias reduction is apparent at several 8 WDCGG sites over the North Pacific and North Atlantic (comparing Figs. 3a and b) 9 and over the eastern U.S. (comparing Figs. 3c and d). The two-way simulation biases 10 against the EMEP measurements are larger than those for the EMEP/MSC-W regional 11 CTM at a horizontal resolution of 50 km x 50 km driven by year-specific emissions 12 13 (within 10%) (http://emep.int/publ/reports/2014/sup\_Status\_Report\_1\_2014.pdf). Our higher biases are partly because the 2005 EMEP NO<sub>x</sub> emissions used here are higher 14 than those 2009 25.3% 15 by (http://webdab.umweltbundesamt.at/official country trend.html). 16

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Figure 4 compares the modeled and measured day-to-day time series of regional mean afternoon ozone in 2009 for six regions in the U.S. (from AQS) and two regions in Europe (from EMEP). The regions are defined in Figs. 3c-f, as separated by blue lines. In general, the measured ozone levels are highest in spring and summer (Fig. 4, black lines), due to stronger STE and/or higher chemical production. Both the global model and the two-way coupled system capture the seasonal variation of measured ozone (Fig. 4, blue and red lines). The global model alone tends to overestimate the observations; the annual mean bias is 9–15 ppb for any given region. Seasonally, the overestimate is largest in winter over the western U.S. (Figs. 4a and b), in summer over the eastern U.S. and northern Europe (Figs. 4c-g), and in fall over southern Europe (Fig. 4h). The two-way coupled simulation reduces the ozone biases in most days and regions (Fig. 4, red lines). On a seasonal mean basis, the largest reductions occur in winter (2-8 ppb for individual regions), due mainly to much enhanced titration by  $NO_x$  (not shown). The bias reductions are smallest in summer (< 3 ppb). It is partly because the enhanced ozone production from the increased natural precursor emissions (Table 2) compensate to some extent for a stronger chemical ozone loss; a sensitivity global model simulation adopting emissions in the two-way system produces more summertime ozone than the original global model by 1.7 ppb over the eastern U.S. (100-70 W, 30-50 N) and by 2.1 ppb over Europe (10 W-30 E, 35-70 N). Furthermore, although the nested models reduce the net chemical production of ground-level ozone (Sect. 4.3), the effect is partly offset by stronger vertical transport that brings more high-ozone air aloft down to the ground (Roelofs et al, 2003; Lin M. et al., 2012b). The persistent large summertime bias may also be due to some non-resolution-dependent factors such as isoprene nitrate chemistry and dry deposition (Lin et al., 2008; Fiore et al., 2014; Monks et al., 2015). Although the two-way coupling leads to a relatively small improvement in summertime ground-level ozone simulations over the U.S. and Europe (Fig. 4), the coupling results in large error reductions for tropospheric ozone (see Sects. 5.2 and 5.3 below).

Figure 5 compares the day-to-day time series of modeled afternoon ozone against the 2 observations at 12 background sites from WDCGG (Panels a-b for Europe, c-d for 3 U.S., e-g for Asia, h for North Pole, i for Mauna Loa in the North Pacific, and j for the 4 5 Southern Hemisphere). Each observation site provides a nearly complete hourly dataset for model evaluation. Although the global model alone and the two-way 6 coupled system generally overestimate the observed ozone, both simulations 7 reproduce the observed temporal patterns fairly well. At 11 sites, the correlation 8 between modeled and observed ozone time series exceeds 0.61 and 0.55 for the 9 coupled system and the global model, respectively. At 6 sites, the correlation exceeds 10 0.75 and 0.71, respectively. Compared to the global model alone, the coupled 11 simulation is closer to the observations with a lower bias and higher correlation. At 12 MLO (outside the nested domains, Fig. 5i), the coupled system produces a positive 13 bias of 3.0 ppb with a correlation coefficient at 0.61, compared to the values at 8.2 14 ppb and 0.59 for the global model alone. Over the three Asian sites (Figs. 5e-g), the 15 coupled system reduces the biases by 7.1 ppb, 5.1 ppb and 6.1 ppb, respectively. 16 Although both simulations capture the observed temporal variability at the two 17 tropical Asian sites (Figs. 5e and f) with correlation coefficients exceeding 0.66, their 18 performances are poorer at the mid-latitude mountain site (Fig. 5g) due to large 19 overestimates in the cold seasons and much smaller biases in the warm seasons. 20 Nevertheless, the spring-summer high values at these Asian sites are captured fairly 21 well by both simulations. The spring-summer peaks are also found for other Asian 22 regions (Lin et al., 2009; Wang et al., 2011). 23

Figure 6 further presents for individual sites the day-to-day correlation and mean bias of simulated afternoon ozone relative to the observations. Figure 6a presents the results for all 1420 sites. It shows that compared to the global model alone, the two-way coupled simulation increases the correlation for 1179 sites and decreases the bias for 1221 sites. Averaged over all sites, the correlation is increased from 0.53 to 0.68, and the bias is reduced from 10.8 to 6.7 ppb. Figure 6b further shows the evaluation results at the 25 sites outside the nested domains from WDCGG and GMD. The two-way coupled simulation results are within 5 ppb of the observations at 21 sites, compared to 17 sites for the global model alone. Averaged across all the 25 sites, the coupled simulation has a mean bias at 2.2 ppb and correlation at 0.74, compared to the global model bias at 4.6 ppb and correlation at 0.61. These results again indicate the improvement by the two-way coupling for ozone simulations both within and outside the nested domains.

# 5.1.1 Improvement of "two-way" coupling upon "one-way" nesting

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Within the nested domains, the two-way coupled simulation improves upon the traditional "one-way" nested simulations, because of the improved ozone simulation at the global scale that in turn affects the LBCs of the nested models. To illustrate this

1 feedback effect, we conducted additional nested model simulations between July 2008

and December 2009 in a "one-way" nesting mode. Here the nested models take the

- 3 LBCs from the global model without affecting the global model simulation, with
- 4 other model setups the same as the nested models in the two-way coupled system.
- 5 Results are regridded to 2.5 °long. x 2 °lat. for consistency with the two-way and the
- 6 global model results; we note that for the comparison in Sect. 5.1.1, the effect of this
- 7 regridding is negligible.
- 8 The green lines in Fig. 4 show the regional average one-way nested simulation results
- 9 over eight regions of the U.S. and Europe. Compared to the global model alone (blue
- 10 lines), the one-way models produce lower biases on an annual mean basis and for
- almost all seasons, reflecting the effect of finer resolution prior to accounting for the
- improved LBCs, broadly consistent with previous regional model studies (Fiore et al.,
- 2003; Huang et al., 2008; Emery et al., 2012). The improvements are most obvious in
- fall and winter, by up to 1–2 ppb on a seasonal mean basis. The smallest differences in
- summer are a result of better resolved chemical regimes compensated by higher
- natural emissions and stronger vertical transport (see above discussion for two-way
- versus global). The two-way coupled system (red lines) produces much smaller biases
- than the one-way nested simulations due to improved LBCs. For any of these eight
- regions, on a regional annual mean basis, the amount of bias reduction (1.0–4.0 ppb)
- 20 from the one-way nesting to the two-way coupling is larger than the reduction (0.4–
- 0.9 ppb) from the global modeling to the one-way nesting by a factor of 1-7. The
- large influence of LBCs on the one-way nested modeling was also found by previous
- studies (e.g., Huang et al., 2008). Our results suggest that the improved LBCs through
- 24 two-way coupling are very beneficial for the nested models.
- 25 Figures 5a-g contrasts the one-way simulated ozone time series (green lines) at the
- seven background sites within the nested domains against the simulations of the
- 27 two-way system (red lines) and the global model alone (blue lines). At any site, the
- one-way nested model produces lower ozone than the global model alone on average,
- 29 with a difference by up to 10 ppb in some days. This leads to a lower bias against the
- 30 observations, consistent with previous regional model studies (Fiore et al., 2003;
- Huang et al., 2008; Emery et al., 2012). Furthermore, the two-way coupled simulation
- 32 produces lower ozone than the one-way nested model, leading to a lower bias and
- 33 higher correlation against the observations. This again indicates an important
- additional effect by accounting for improved LBCs via the two-way coupling.

### 5.2 Tropospheric ozone profile

- The black lines in Fig. 7a-k show the measured vertical profiles of tropospheric ozone
- averaged over 2009 at individual MOZAIC sites. In general, the measured ozone
- increases with height, from 20–40 ppb in the lower troposphere to 40–70 ppb at 5 km,
- and to larger values in the upper troposphere. For the HIPPO campaigns (black line in
- 40 Fig. 7l), the average ozone mixing ratio is between 20 and 50 ppb below 9 km.

- 1 The red and blue lines in Fig. 7 show the ozone profiles simulated by the two-way
- 2 coupled system and the global model alone, respectively. Here the model evaluation is
- focused on ozone biases below 9 km, the mean tropopause height. Both simulations
- 4 capture the general vertical structures of MOZAIC and HIPPO ozone. Below 9 km,
- 5 the global model generally overestimates the measured ozone, with a positive bias by
- 6 10.4 ppb averaged vertically and across all profiles. This overestimate is consistent
- 7 with the positive bias, especially north of 30 N, reported from the ACCENT and
- 8 ACCMIP model ensemble evaluation against ozonesonde data (Stevenson at al., 2006;
- 9 Young et al., 2013). The coupled system produces lower ozone concentrations in the
- troposphere (0–9 km) than the global model alone. This translates to ozone bias
- reductions by 3–11 ppb at most MOZAIC sites (in the polluted areas) and by 5.3 ppb
- for HIPPO profiles (in the remote areas), averaged over 0–9 km. These improvements
- are a result of interactions between improved ozone simulations over pollution source
- regions and improved simulations of background ozone, as initially driven by a higher
- resolution over the source regions.
- 16 Figure 7 shows that for the MOZAIC sites, the observed ozone variability at a
- particular height of the profile is much larger than the modeled variability. This is
- because the observation is sampled at every 0.15 km vertically, at a much finer
- 19 resolution than the vertical resolution of the model. When the observations are
- 20 mapped to the vertical resolution of the model, the observed variability is greatly
- reduced to a level comparable to the modeled variability (not shown).
- Figure 8 further shows the ozone profiles in individual seasons of 2009 at Frankfort.
- With several hundred profiles in each season, this site allows for a detailed seasonal
- analysis. Again, although both the two-way coupled system and the global model
- alone capture the general vertical distribution of ozone in any given season, the
- coupled system leads to much lower biases below 9 km.

### 5.3 Tropospheric column ozone

- 28 Figure 9 presents the horizontal distributions of TCO in individual seasons from
- OMI/MLS, OMI/LIU, their average OMI\_MEAN, the two-way coupled system, and
- the global model alone. OMI/MLS and OMI/LIU produce similar seasonal and spatial
- 31 distributions of TCO, with lower values in the tropics but higher values in the
- northern mid-latitudes (especially in the Northern Hemisphere (NH) summer and fall)
- and near 30 °S. In general, OMI/LIU produces higher TCO values than OMI/MLS by
- 34 0.8 DU (2.8%), 1.6 DU (5.3%), 3.8 DU (11.9%) and 2.8 DU (9.0%) in NH spring,
- summer, fall and winter, respectively. These differences are broadly consistent with
- the uncertainties in OMI/MLS and OMI/LIU discussed in Sect. 3.3. We thus use their
- average, OMI\_MEAN, for model evaluation.
- Figure 9 shows that both the global model alone and the coupled system reproduce the
- 39 general seasonal and spatial structures of OMI MEAN TCO. The global model tends

to overestimate the seasonal TCO in OMI\_MEAN, with a global mean bias of 4.4 DU 1 (15.2%), 3.4 DU (10.9%), 2.2 DU (6.5%), and 1.6 DU (4.9%) in NH spring, summer, 2 fall, and winter, respectively. The positive bias is more significant in the NH (annual 3 mean bias = 3.6 DU) than in the Southern Hemisphere (SH, bias = 2.2 DU). The large 4 NH overestimate was found also for the ACCMIP model ensemble (Young et al., 5 6 2013). Compared to the global model alone, the coupled system reduces the annual average TCO by 3.0 DU (9.5%) globally, by 3.8 DU in the NH, and by 2.1 DU in the 7 SH. The coupled system also leads to TCO values closer to OMI\_MEAN, with a 8 global mean bias of 1.2 DU (4.1%) in NH spring, 0.1 DU (0.3%) in summer, -0.7 DU 9 (-2.1%) in fall and -0.7 DU (-2.2%) in winter. The model improvements are more 10 significant in the NH. 11

### 6. Conclusions

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This study evaluates the effects on the global tropospheric ozone of nonlinear 13 small-scale chemical and physical processes over the three major pollution source 14 regions (Asia, North America, and Europe) not resolved by a typical global model (at 15 a ~ 200 km resolution). For this purpose, we simulate the tropospheric ozone in 2009 16 simulated by a two-way coupled system integrating the global GEOS-Chem CTM (at 17 2.5 °long. x 2 °lat.) and its three fine-resolution nested models (at 0.667 °long. x 0.5 ° 18 lat.) covering Asia, North America and Europe. The nested models better capture 19 nonlinear small-scale processes within the nested domains; and the two-way coupling 20 allows such improvements to have a global impact, which in turn improves the LBCs 21 of the nested models. 22

The coupled system is compared against the coarse global model alone, by employing a suite of ozone measurements in 2009 from four ground networks (WDCGG, GMD, AQS in the U.S., and EMEP in Europe, with 1420 sites), MOZAIC and HIPPO aircraft campaigns, and two OMI TCO products. Model evaluation clearly indicates the superiority of the two-way coupled system. Compared to the global model alone, the coupled system produces afternoon (12:00-18:00 local time) mean ground-level ozone much closer to the measurements. On an annual mean basis, the model bias is reduced by 4.1 ppb (from 10.8 to 6.7 ppb) globally, by 3.9 ppb (from 10.5 to 6.6 ppb) over the U.S., and by 4.6 ppb (from 12.1 to 7.5 ppb) over Europe. The coupled system also enhances the correlation to the measurements in day-to-day ozone variability from 0.53 to 0.68, averaged over the 1420 sites. Although both the global model alone and the coupled system capture the vertical distributions of ozone measured from MOZAIC and HIPPO, the coupled system produces lower ozone values. This leads to bias reductions by 3-10 ppb at most MOZAIC sites and by 5.3 ppb for HIPPO profiles (for ozone averaged over 0-9 km). The coupled system also produces lower TCO values than the global model alone, with a global annual mean reduction by 3.0 DU (9.5%), leading to better agreement with OMI data in all seasons. These model improvements are mainly driven by better representation of spatially inhomogeneous nonlinear ozone chemistry associated with sub-coarse-grid spatial variability of

- 1 precursor emissions.
- 2 Within the nested domains, the two-way coupling also leads to smaller surface ozone
- 3 biases than a traditional "one-way" nested model setup. This is because the two-way
- 4 coupling improves the ozone simulation in the global domain, which in turn improves
- 5 the LBCs of the nested models. On a regional annual mean basis, the bias reduction
- 6 from the one-way nesting to the two-way coupling is larger than the reduction from
- 7 the global modeling to the one-way nesting by a factor of 1–7 over the U.S. and
- 8 Europe. This result has important implications for nested/regional model studies of
- 9 surface air quality.
- 10 Compared to the global model alone, the two-way coupled system also reduces the
- global tropospheric mean OH by 5.0%, with corresponding enhancements in methane
- lifetime (by 5.1%), MCF lifetime (by 5.2%) and CO burden (by 10.8%). The
- improved quantities are closer to observation-based estimates (Prinn et al., 2005;
- Prather et al., 2012; Yan et al., 2014). These results are consistent with our previous
- analysis (Yan et al., 2014), and they point to the importance of small-scale processes
- to the global chemistry. Similar simulations with other global models would further
- test the importance of small-scale chemical variability for the global ozone chemistry.
- At last, we note that the coupled system requires an amount of computational resource
- affordable for most users, i.e., 32 cores compared to eight cores for the global model
- 20 alone for a similar wall-clock time. As a global high-resolution simulation is often
- 21 prohibited by large computational costs, we suggest a low-cost two-way coupled
- 22 system integrating global and nested CTMs, like ours, to be a viable choice for most
- 23 researchers.

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**AQS** 

- 29 (<a href="http://www.nilu.no/projects/ccc/emepdata.html">http://www.nilu.no/projects/ccc/emepdata.html</a>),
- 30 (http://aqsdr1.epa.gov/aqsweb/aqstmp/airdata/download\_files.html),
- 31 MOZAIC-IAGOS (<a href="http://www.iagos.fr/web/">http://www.iagos.fr/web/</a>), HIPPO
- 32 (http://hippo.ornl.gov/dataaccess), OMI/MLS (http://ozoneaq.gsfc.nasa.gov/), and
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# Table 1. Anthropogenic and biomass burning emission inventories used by GEOS-Chem

Region	Dataset	Resolution <sup>1</sup>	Year	Species	References and Notes			
Anthropogenic (fossil + biofuel) emissions								
Global	EDGAR	0.1 °x 0.1 °, seasonal	2008	CO, NO <sub>x</sub> , SO <sub>2</sub>	Janssens-Maenhout et			
	v4.2				al., 2010			
Global	RETRO	0.5 °x 0.5 °, monthly	2000	NMVOCs <sup>2</sup>	http://accent.aero.jussieu			
					.fr/RETRO_metadata.ph			
					p			
Global	GEIA	1 % 1 °, seasonal	1990	NH <sub>3</sub>	Bouwman et al., 1997			
Global	T. Bond	1 % 1°, monthly	2000	BC, OC	Bond et al., 2007			
Global	AEIC	1 % 1 °, annual	2005	CO, NO <sub>x</sub> , NMVOCs <sup>2</sup> ,	Simone et al., 2013			
	(aircraft)			SO <sub>2</sub> , BC, OC				
Asia	INTEX-B	0.5 °x 0.5 °, monthly	2006	CO, NO <sub>x</sub> , NMVOCs <sup>2</sup> ,	Zhang et al., 2009			
				SO <sub>2</sub> , BC, OC				
Asia	D. Streets	1 % 1°, monthly	2000	NH <sub>3</sub>	Streets et al., 2003			
China	MEIC	0.25 °x 0.25 °, monthly	2008 3	CO, NO <sub>x</sub> , NMVOCs <sup>2</sup> ,	Lin et al., 2015,			
				NH <sub>3</sub> , SO <sub>2</sub>	Huang et al., 2012;			
					http://www.meicmodel.o			
					rg/			
U.S.	NEI05	4 km x 4 km, monthly	2005 3	CO, NO <sub>x</sub> , NMVOCs,	ftp://aftp.fsl.noaa.gov/di			
		and weekend/weekday		NH <sub>3</sub> , SO <sub>2</sub>	visions/taq/emissions_da			
					ta_2005			
Canada	CAC	1 °x 1 °, annual	2005 3	CO, NO <sub>x</sub> , NH <sub>3</sub> , SO <sub>2</sub>	http://www.ec.gc.ca/pdb/			
					cac/cac_home_e.cfm			
Mexico	BRAVO	1 °x 1 °, annual	1999	CO, NO <sub>x</sub> , SO <sub>2</sub>	Kuhns et al., 2003			
Europe	EMEP	0.5 °x 0.5 °, monthly	2005	CO, NO <sub>x</sub> , NMVOCs <sup>2</sup> ,	Auvray and Bey, 2005			
				NH <sub>3</sub> , SO <sub>2</sub>				
Biomass	Biomass burning emissions							
Global	GFED3	0.5 °x 0.5 °, monthly	2009	CO, NMVOCs, NO <sub>x</sub> ,	van der Werf et al., 2010			
				NH <sub>3</sub> , SO <sub>2</sub> , BC, OC				

- 3 1. Before re-gridded to model horizontal resolutions. For more information, see http://wiki.seas.harvard.edu/geos-chem/index.php/Anthropogenic\_emissions.
- 2. RETRO includes PRPE, C<sub>3</sub>H<sub>8</sub>, ALK<sub>4</sub>, ALD<sub>2</sub>, CH<sub>2</sub>O, and MEK; in the CTM, MEK emissions are further allocated to MEK (25%) and ACET (75%). AEIC, INTEX-B and MEIC include PRPE, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, ALK<sub>4</sub>, ALD<sub>2</sub>, CH<sub>2</sub>O, MEK, and ACET. NEI05 includes PRPE, C<sub>3</sub>H<sub>8</sub>, ALK<sub>4</sub>, CH<sub>2</sub>O, MEK, and ACET. EMEP includes PRPE, ALK<sub>4</sub>, ALD<sub>2</sub>, and MEK. Emissions of C<sub>2</sub>H<sub>6</sub> outside Asia are from Xiao et al. (2008).
- 3. Over China, emissions of NO<sub>x</sub> are further scaled to 2009 based on the tropospheric NO<sub>2</sub> columns from OMI measurements (Lin, et al., 2015). Over the U.S. and Canada, emissions of CO, NO<sub>x</sub> and SO<sub>2</sub> are scaled to 2009 (<a href="http://wiki.seas.harvard.edu/geos-chem/index.php/Scale factors for anthropogen">http://wiki.seas.harvard.edu/geos-chem/index.php/Scale factors for anthropogen ic\_emissions</a>).

Table 2. Global emissions of CO, NO<sub>x</sub> and NMVOCs in GEOS-Chem for 2009

Total emissions <sup>1</sup>	Global model	Two-way model	Percentage difference
CO emissions (Tg)	869.9	877.8	0.9%
Fossil + Biofuel	500.5	504.3	0.8%
Biomass burning	327.6	327.3	-0.1%
NO <sub>x</sub> emissions (TgN)	45.2	45.5	0.7%
Fossil + Biofuel	27.5	27.5	0
Lightning	6.08	6.18	1.7%
Natural Soil	5.81	5.86	0.9%
Fertilizer Soil	0.71	0.89	25.4%
Biomass burning	4.55	4.54	-0.2%
Aircraft	0.51	0.51	0
NMVOCs emissions (TgC) <sup>2</sup>	678.4	722.7	6.5%
Fossil + Biofuel	27.8	28.1	1.1%
Biogenic NMVOCs	640	684	6.9%
Biomass burning	10.6	10.6	0

- 1. Slight differences may exist between the two model frameworks in the prescribed anthropogenic (fossil + biofuel) and biomass burning emissions, as a result of the combination of and regridding from various inventories. The consequent impacts on model simulations are negligible.
- 2. Emitted NMVOCs include ISOP, PRPE, C<sub>3</sub>H<sub>8</sub>, ALK<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, ALD<sub>2</sub>, CH<sub>2</sub>O, ACET, and MEK.

Table 3. Global tropospheric budgets of ozone and related species for 2009

	Global model	Two-way model	Percentage	
			difference	
Tropospheric budget of ozone <sup>1</sup>				
Chemical loss of $O_x$ (Tg)	4491	4537	1.0%	
Chemical production of O <sub>x</sub> (Tg)	4885	4928	0.9%	
Dry deposition of $O_x$ (Tg)	909	894	-1.7%	
STE of $O_x$ (Tg) $^2$	515	503	-2.3%	
Dry deposition of O <sub>3</sub> (Tg)	882	867	-1.7%	
STE of $O_3$ (Tg) $^2$	488	478	-2.0%	
O <sub>3</sub> burden (Tg)	384	348	-9.5%	
Mean TCO (DU)	34.5	31.5	-8.7%	
O <sub>3</sub> lifetime (days)	26.1	23.5	-9.9%	
Tropospheric burdens and lifetimes of other sp	pecies			
NO <sub>x</sub> burden (TgN)	0.169	0.176	4.1%	
NMVOCs burden (TgC) <sup>3</sup>	10.1	10.2	1.0%	
CO burden (Tg)	359	398	10.8%	
OH number concentration (10 <sup>6</sup> cm <sup>-3</sup> )	1.18	1.12	-5.0%	
OH-related MCF lifetime (yr) <sup>4</sup>	5.58	5.87	5.2%	
OH-related methane lifetime (yr) <sup>4</sup>	9.63	10.12	5.1%	

- 1. Chemical production and loss rates are calculated for the odd oxygen family  $(O_x =$ 2 3  $O_3 + O + NO_2 + 2NO_3 + 3N_2O_5 + PANs + HNO_3 + HNO_4$ , Wu et al., 2007), to 4 exclude recycling reactions between O<sub>3</sub> and other O<sub>x</sub> species. We note that O<sub>3</sub> accounts for over 95% of the mass of O<sub>x</sub>. The tropopause is defined in GEOS-5 as 5 at the pressure where the function  $[0.03T - log_{10}P]$  reaches its first minimum 6 7 above the surface (http://acmg.seas.harvard.edu/geos/wiki\_docs/geos5/GEOS-5.2.0-File\_Specificati 8 on.pdf). 9
- 2. Stratosphere-troposphere exchange is inferred from mass balance:  $O_x$  STE =  $O_x$  chemical loss +  $O_x$  dry deposition  $O_x$  chemical production, and  $O_3$  STE =  $O_x$  chemical loss +  $O_3$  dry deposition  $O_x$  chemical production.
- NMVOCs for burden calculation include the emitted species only: ISOP, PRPE,
  C<sub>3</sub>H<sub>8</sub>, ALK<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, ALD<sub>2</sub>, CH<sub>2</sub>O, ACET, and MEK.
- 4. Observation-based estimates are 10.2 ± 0.8 (Prinn et al., 2005) or 11.2 ± 1.3
  (Prather et al., 2012) years for OH-related methane lifetime, and 6.0 ± 0.4 (Prinn et al., 2005) or 6.3 ± 0.4 (Prather et al., 2012) years for OH-related MCF lifetime.

# Table 4. Global tropospheric ozone burden and $O_x$ chemical production and loss in individual seasons of 2009

	MAM			JJA		SON		DJF				
	GB	TW	Diff. (%)									
Chemical loss of O <sub>x</sub> (Tg)	1087	1099	1.1%	1252	1237	-1.2%	1116	1141	2.2%	1036	1060	2.3%
Chemical production of $O_x$	1197	1213	1.3%	1446	1460	1.0%	1199	1211	1.0%	1042	1045	0.3%
(Tg)	1197	1213	1.3%	1440	1400	1.0%	1199	1211	1.0%	1042	1043	0.3%
O <sub>3</sub> burden (Tg)	374	340	-9.1%	394	362	-8.0%	370	339	-8.4%	399	352	-11.7%
Lifetime against chemical	31.4	28.3	-9.8%	28.7	26.7	-6.9%	30.3	27.1	-10.5%	35.1	30.3	-13.6%
$loss (O_3 \ burden \ / \ O_x \ loss)$	31.4	26.3	-9.070	20.7	20.7	-0.9%	30.3	21.1	-10.5%	33.1	50.5	-13.0%

Table 5. Contributions of chemical and non-chemical factors to the change in 2009 tropospheric ozone from the global model alone to the two-way coupled system

Factors	% contribution
All factors	-9.5%
A. Higher emission magnitude (mainly related to biogenic NMVOC)	+1.1%
B. Lower STE	-1.1%
C. Nonlinear processes within the troposphere	-9.5%
C1. Small-scale chemical contrast associated with sub-coarse-grid	-8.6%
variability in emissions of NOx, NMVOC, CO, etc.	
C2. Non-chemical small-scale (sub-coarse-grid) processes	-0.9%

- A. Obtained by contrasting simulations of the global model with versus without adopting the nested model emissions at individual time steps; emissions are regridded from the nested to coarse resolution.
- 6 B. Obtained by perturbing the STE in the global model.
- 7 C. Residual of 'All factors' subtracting A and B.

- C1. Residual of C subtracting C2, as driven by small-scale horizontal distributions of emissions resolved on the nested grid but not on the coarse global grid.
  - C2. Obtained by 1) contrasting simulations of the two-way coupled model with versus without adopting the global model emissions at individual time steps [here emissions are regridded from the coarse to nested resolution, and are thus resolved only at the scale of the coarse grid], and then 2) subtracting B from the result of 1).

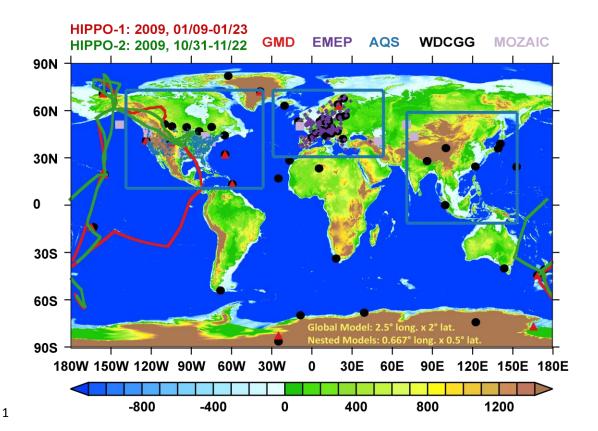


Figure 1. Dark green squares bounding the domains of three nested models covering Asia (70-150 E, 11-55 N), North America (140-40 W, 10-70 N) and Europe (30 W-50 E, 30-70 E). Also shown are sites of ground-level ozone measurements from WDCGG (black circle), GMD (red triangle), AQS (blue triangle) and EMEP (purple diamond); airports in the MOZAIC program for the tropospheric ozone profiles (pink square); and aircraft flight tracks in the HIPPO campaigns (red line for HIPPO-1, and green line for HIPPO-2). The overlaid map is the surface elevation (m) from a 2-minute Gridded Global Relief Data (ETOPO2v2) available at NGDC Marine Trackline Geophysical database (http://www.ngdc.noaa.gov/mgg/global/etopo2.html).

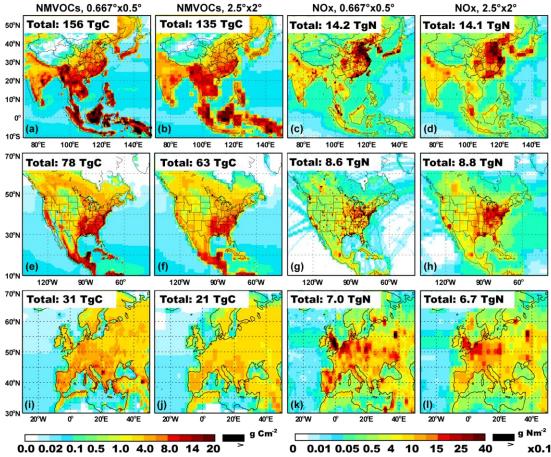


Figure 2. Total (anthropogenic + natural) emissions of NMVOCs and  $NO_x$  over Asia, North America and Europe in 2009, as represented in the nested models (0.667 ° x 0.5 °) and the global model (2.5 ° x 2 °). Values outside the upper bound of color intervals are shown in black. Color intervals are nonlinear to better present the data range; an interval without labeling represents the mean of adjacent two intervals. Also depicted in each panel is the regional total.

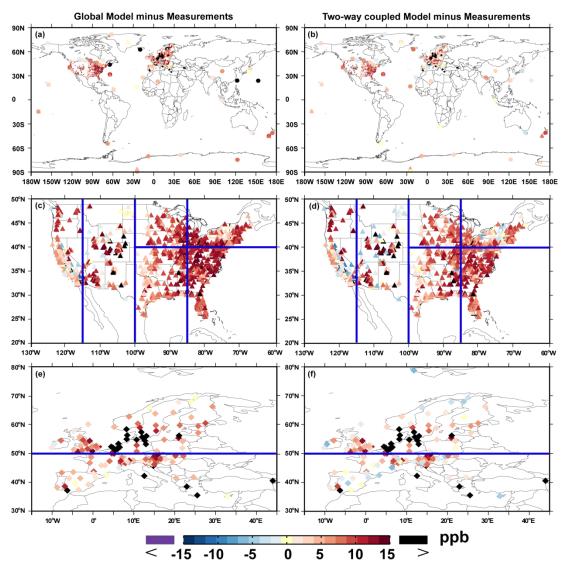


Figure 3. Anneal mean model biases in afternoon (12:00-18:00 local time) mean ground-level ozone for the global model alone (a) and the two-way coupled model (b), with respect to measurements from WDCGG, GMD, AQS and EMEP. The symbol shapes differentiate measurement networks, consistent with Fig. 1 (circle for WDCGG, large triangle for GMD, small triangle for AQS, and diamond for EMEP). The U.S. and European domains are enlarged in (c-f) to better show spatial distributions. Blue lines separate the regions presented in Fig. 4.

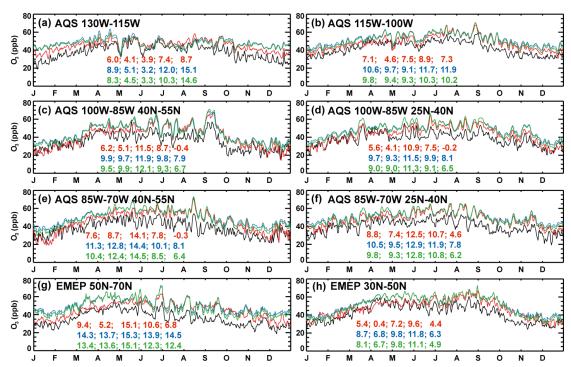


Figure 4. Day-to-day time series of afternoon (12:00–18:00 local time) mean ground-level ozone from AQS and EMEP measurements (black lines) and from model simulations (red lines for two-way coupled system, blue lines for global model alone, and green lines for one-way nested models). Data are averaged over individual triangular regions indicated in Fig. 3. Numbers shown in each panel are mean model biases for annul mean, spring (MAM), summer (JJA), fall (SON), and winter (DJF).

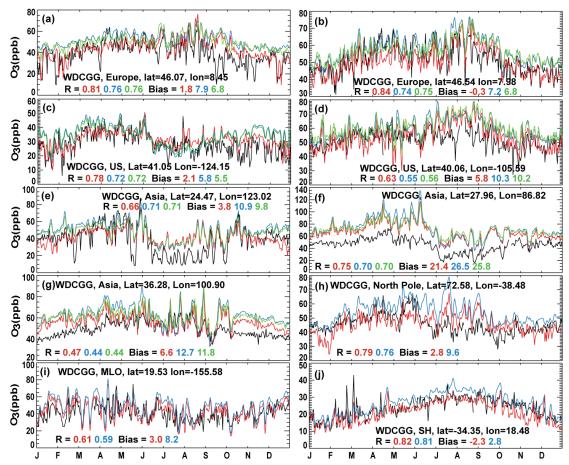


Figure 5. Day-to-day time series of afternoon (12:00–18:00 local time) mean surface ozone observed at 12 background sites from WDCGG (black lines) and coincident model simulations (red lines for two-way simulations, blue lines for global model alone, and green lines for one-way nested simulations). Also shown in each panel are latitude, longitude, and model correlation with and bias against the observations.

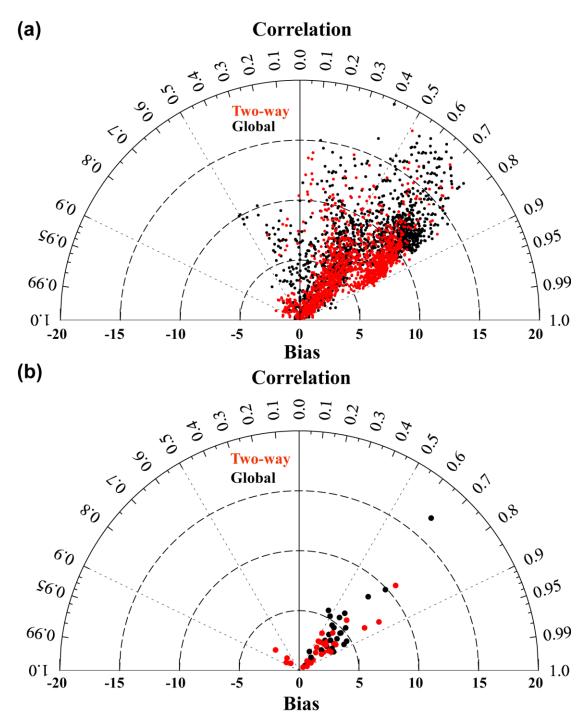


Figure 6. (a) Mean bias and day-to-day correlation of afternoon (12:00-18:00 local time) mean ground-level ozone for model simulations with respect to measurements from WDCGG, GMD, EMEP and AQS (a total of 1420 sites). (b) Similar to (a) but with respect to measurements outside the three nested domains from WDCGG and GMD (a total of 25 sites).

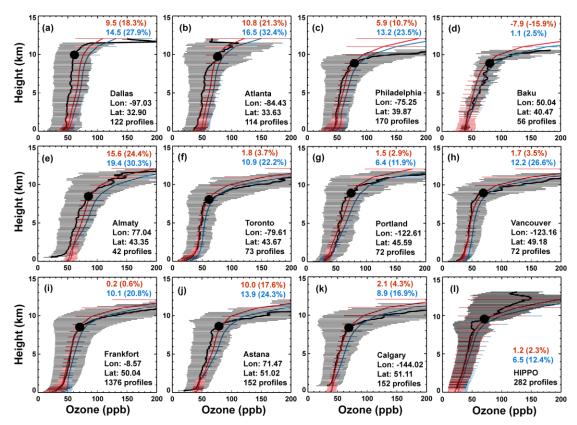


Figure 7. Measured (black) and simulated (red for two-way coupled model and blue for global model alone) vertical profiles of ozone in 2009 for the MOZAIC (a-k) and HIPPO campaigns (l). MOZAIC measurements are from the ground level (0.075 km) to the UTLS at 0.15 km intervals, as averaged over all profiles. HIPPO data are averaged over all profiles at 0.1 km intervals. Model results are sampled at times and locations coincident to the measurements, except that the model vertical layers are kept for clarity. Horizontal lines indicate one standard deviation. Also shown in each panel are the city name, longitude, latitude, number of profiles and mean model biases below 9 km (the mean tropopause height). The black dot in each panel depicts the average tropopause height calculated from the two-way coupled model.

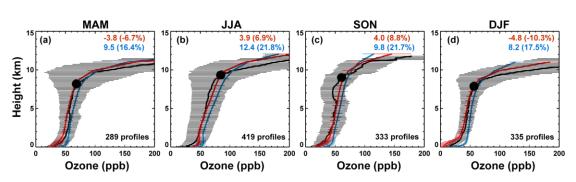


Figure 8. Similar to Fig. 7 but for seasonal profiles at Frankfurt from the MOZAIC program.

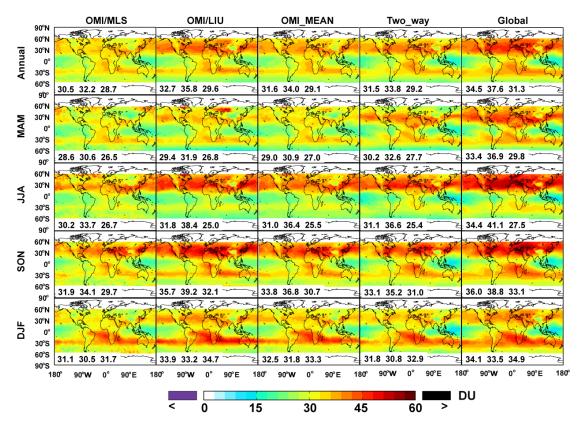


Figure 9. Measured and modeled annual and seasonal mean tropospheric ozone columns from  $60\,$ °S to  $60\,$ °N in 2009: (from left to right) OMI/MLS, OMI retrieval by Liu et al. (2010), average of the two satellite datasets, simulation of the two-way coupled system, and simulation of the global model alone. Also shown in each panel are global, NH, and SH means.