

1 **Stratospheric Sulfate Geoengineering Could Enhance the Terrestrial Photosynthesis Rate**

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## Abstract

Stratospheric sulfate geoengineering could impact the terrestrial carbon cycle by enhancing the carbon sink. With an  $8 \text{ Tg yr}^{-1}$  injection of  $\text{SO}_2$  to produce a stratospheric aerosol cloud to balance anthropogenic radiative forcing from the Representative Concentration Pathway 6.0 (RCP6.0) scenario, we conducted climate model simulations with the Community Earth System Model - the Community Atmospheric Model 4 fully coupled to tropospheric and stratospheric chemistry (CAM4-chem). During the geoengineering period, as compared to RCP6.0, land-averaged downward visible (300-700 nm) diffuse radiation increased  $3.2 \text{ W/m}^2$  (11%). The enhanced diffuse radiation combined with the cooling increased plant photosynthesis by  $0.07 \pm 0.02 \mu\text{mol C m}^{-2} \text{ s}^{-1}$ , which could contribute to an additional  $3.8 \pm 1.1 \text{ Gt C yr}^{-1}$  global gross primary productivity without explicit nutrient limitation. This increase could potentially increase the land carbon sink. Suppressed plant and soil respiration due to the cooling would reduce natural land carbon emission and therefore further enhance the terrestrial carbon sink during the geoengineering period. This potentially beneficial impact of stratospheric sulfate geoengineering would need to be balanced by a large number of potential risks in any future decisions about the implementation of geoengineering.

**Keywords:** Geoengineering, Climate Engineering, Climate Intervention, Solar Radiation Management, GeoMIP, G4SSA, Diffuse Radiation, Photosynthesis Rate, Terrestrial Carbon Sink

## 47 **1 Introduction**

48 Stratospheric sulfate injection is one of the most discussed geoengineering strategies for  
49 manipulating the climate system to counteract anthropogenic global warming (e.g., Crutzen,  
50 2006; Wigley, 2006). Regularly injected sulfate aerosol precursors could produce aerosols that  
51 would stay in the stratosphere for 1-2 years depending on the particle size and emission rate  
52 (Rasch et al., 2008a; Niemeier et al., 2011). This would reduce incoming solar radiation and  
53 therefore reduce the temperature (e.g., Rasch et al., 2008a; Robock et al., 2008; Jones et al., 2010;  
54 Berdahl et al., 2014). As explained in the initial design of the Geoengineering Model  
55 Intercomparison Project (GeoMIP) experiment (Kravitz et al., 2011), reducing the solar constant  
56 is another way to simulate sulfate injection geoengineering, and is easier to implement in a  
57 climate model. It was used in earlier geoengineering simulations (e.g., Govindasamy and  
58 Caldeira, 2000), and also can be thought of as a model of satellites in space blocking sunlight, as  
59 proposed by Angel (2006). Although the two methods could both potentially cool the surface, if  
60 they could ever be implemented, they would produce different climate responses, including  
61 stratospheric ozone depletion, troposphere ozone change, downward ultraviolet radiation, and  
62 downward diffuse radiation (e.g., Niemeier et al., 2013; Kalidindi et al., 2015; Nowack et al.,  
63 2015). Climate changes due to sunshade geoengineering and sulfate injection geoengineering  
64 have been extensively studied (Rasch et al., 2008b; Robock, 2008; Robock et al., 2009),  
65 including enhanced stratospheric ozone depletion (Tilmes et al., 2008; Heckendorn et al., 2009;  
66 Pitari et al., 2014) and possible drought in summer monsoon regions (Robock et al., 2008; Bala  
67 et al., 2008; Jones et al., 2013; Tilmes et al., 2014). There are also a couple studies on its impact  
68 on the ecosystem – mainly focusing on the net primary productivity (Glienke et al., 2015;  
69 Kalidindi et al., 2015), the carbon cycle (Tjiputra et al., 2015), and on agriculture (Pongratz et al.,

70 2013; Xia et al., 2014). However, diffuse radiation perturbations and their biological  
71 consequences are only mentioned by a few previous studies (e.g., Robock, 2008; Robock et al.,  
72 2009; Glienke et al., 2015), and need to be comprehensively studied.

73 Volcanic eruptions as a natural analog of sulfate injection geoengineering provide  
74 evidence that sulfate aerosols in the stratosphere cool the surface and dramatically change the  
75 partitioning of downward direct and diffuse solar radiation (Robock, 2000, 2005). After the Mt.  
76 Pinatubo eruption in 1991 there was a sharp slowing of the CO<sub>2</sub> atmospheric concentration  
77 growth rate. This was mainly due to a strong terrestrial biosphere sink in the middle latitudes of  
78 the Northern Hemisphere that balanced the stronger oceanic CO<sub>2</sub> outgassing due to a  
79 simultaneous El Niño and increasing anthropogenic emission (Keeling et al., 1995; Ciais et al.,  
80 1995). Cooling due to volcanic eruptions (Robock, 2000) might be one explanation of the  
81 unusual biospheric sink, since the cooling benefits tropical plant growth and reduces the release  
82 of CO<sub>2</sub> by soil respiration and wildfires (Keeling et al., 1995; Nemani et al., 2003). On the other  
83 hand, increased diffuse radiation promotes plant productivity (Gu et al., 1999; Roderick et al.,  
84 2001; Cohan et al., 2002; Gu et al., 2002; Gu et al., 2003; Farquhar and Roderick 2003; Mercado  
85 et al., 2009). In total, in 1992 and 1993, an additional 1.2-1.5 Gt C yr<sup>-1</sup> was captured by  
86 terrestrial vegetation (Mercado et al., 2009). Global dimming (reduction of downward  
87 shortwave radiation due to tropospheric pollution after World War II) is another example of how  
88 diffuse radiation promotes terrestrial vegetation growth (e.g., Wild, 2009; Mercado et al., 2009).  
89 With the geographically varying changes in diffuse radiation fraction (0 to +30%) due to global  
90 dimming (1950-1980), the terrestrial carbon sink increased by 0.4 Gt C yr<sup>-1</sup> (Mercado et al.,  
91 2009). The most recent study also showed that Amazon fires of 1998-2007 increased the annual  
92 mean diffuse radiation by 3.4-6.8% due to biomass burning aerosols, which would benefit the net

93 primary productivity by 1.4-2.8% in the Amazonian forests and balance 33-65% of the annual  
94 carbon emissions from biomass burning (Rap et al., 2015). Long term sulfate injection  
95 geoengineering would produce a permanent sulfate aerosol cloud in the stratosphere, and this  
96 long-term diffuse radiation enhancement, together with the cooling effect, would likely play an  
97 important role in the terrestrial carbon budget.

## 98 **2 Model and Experiment Design**

99 We used the full tropospheric and stratospheric chemistry version of the Community  
100 Earth System Model – Community Atmospheric Model 4 (CESM CAM4-chem) with horizontal  
101 resolution of 0.9° x 1.25° lat-lon and 26 levels from the surface to about 40 km (3.5 mb)  
102 (Lamarque et al., 2012; Tilmes et al., 2015a, 2016) to simulate two solar radiation management  
103 schemes: a specific sulfate injection scenario and a solar constant reduction scenario. Since the  
104 experiments are branched from the Climate Chemistry Model Initiative (CCMI) runs in which  
105 CAM4-chem participates, we used the same configuration as the reference run. Therefore we  
106 used the Community Land Model (CLM) version 4.0 with prescribed satellite phenology  
107 (CLM4SP) instead of the version of CLM with a carbon-nitrogen cycle, coupled with CAM4-  
108 chem. This model calculates vegetation photosynthesis under the assumption of prescribed  
109 phenology and no explicit nutrient limitations (Bonan et al., 2011). With the satellite phenology  
110 option, although nitrogen limitation is not explicitly included, there is some inherent nitrogen  
111 limitation because nitrogen availability limits the leaf area index in the satellite measurements  
112 used in CLM4SP, and the model has been validated with gross primary productivity (GPP)  
113 observations. Dynamic vegetation is not turned on in this study. The ocean model does not  
114 include any biogeochemical calculations in this study.

115           The specific sulfate injection scenario is G4 Specified Stratospheric Aerosol (G4SSA),  
116 which uses a prescribed stratospheric aerosol distribution to simulate a continuous annual  
117 tropical emission into the stratosphere (at 60 mb) of 8 Tg SO<sub>2</sub> yr<sup>-1</sup> from 2020 to 2070, which  
118 produces a radiative forcing of about -2.5 W/m<sup>2</sup>. The steady-state aerosol surface area density  
119 has the highest value of 33.2 μm<sup>2</sup> cm<sup>-3</sup> in the tropics at 50-60 mb and gradually decreases to 10-  
120 12 μm<sup>2</sup> cm<sup>-3</sup> at the poles (Tilmes et al., 2015b). Starting on January 1, 2070 the sulfate injection  
121 reduces gradually to zero on December 31, 2071 (Tilmes et al., 2015b). The G4SSA simulation  
122 continues after the end of sulfate injection from 2072 to 2089 to study the termination effect.  
123 Using specified stratospheric aerosols, tropospheric aerosols are not changed, and therefore we  
124 cannot evaluate how the geoengineered stratospheric sulfate aerosols would be transported into  
125 the troposphere and affect tropospheric chemistry. Using a fixed stratospheric aerosol  
126 distribution to compare the effect of geoengineered stratospheric aerosols in different models is  
127 similar to what has been done to investigate the impact of volcanic eruptions in chemistry  
128 climate model comparison projects in the past. For more details on the prescription of  
129 stratospheric aerosols in CAM4-chem see Neely et al. (2015). The reference simulation is the  
130 Representative Concentration Pathway 6.0 (RCP6.0) (Meinshausen et al., 2011) from 2004 to  
131 2089. We have run three ensemble members for both G4SSA and RCP6.0.

132           The solar constant reduction scenario is G3 solar constant reduction (G3S) which reduces  
133 the solar constant to balance the forcing of the Representative Concentration Pathway 4.5  
134 (RCP4.5) (Meinshausen et al., 2011) and keeps the temperature close to 2020 values. That solar  
135 reduction geoengineering scenario is from 2020 to 2069, and its reference run is RCP4.5 from  
136 2004 to 2089. The reason we used different reference runs (RCP4.5 and RCP6.0) for the two  
137 experiments (G3S and G4SSA) is that they come from different phases of GeoMIP. G3S was

138 initiated before G4SSA when GeoMIP just started and the reference run for the first phase of  
139 GeoMIP was RCP4.5. G4SSA is participating in both GeoMIP and CCMI. Since RCP6.0 is the  
140 standard reference run for CCMI, to encourage more climate chemistry modeling groups to  
141 participate in G4SSA and generate robust understanding of how atmospheric chemistry  
142 responses to sulfate injection geoengineering, Tilmes et al. (2015) proposed that G4SSA be  
143 based on RCP6.0. Since the anthropogenic forcing is very similar for RCP4.5 and RCP6.0  
144 between 2020 and 2070, we expect very little difference between the two experiments. The  
145 basic principle, that solar dimming does not affect stratospheric ozone or produce diffuse  
146 radiation like stratospheric aerosols do, is well illustrated by the G3S results. Both G3S and  
147 RCP4.5 have only one ensemble member each.

## 148 **3 Results**

### 149 **3.1 Climate and radiation response**

150 Under the RCP6.0 scenario, the anthropogenic greenhouse gas radiative forcing increases  
151 global average surface air temperature from 288.5 K to 290.2 K during the period of 2004-2089  
152 (Fig. 1a). The higher temperature enhances the hydrological cycle, and therefore global  
153 precipitation as well as land average evaporation (Figs. 1b, 1g) increase. Global soil water  
154 content (10 cm, including liquid water and ice) slightly increase with global warming (Fig. 1i).  
155 The global surface downward solar radiation gradually decreases by about  $1 \text{ W/m}^2$  during the  
156 period 2004-2089 (Fig. 1d) as the total cloud coverage increases, particularly low cloud coverage,  
157 which increases by 0.7% (Fig. 1c). However, the land-average visible direct solar radiation  
158 shows an upward trend (Fig. 1e) due to the effects of gradual tropospheric aerosol reductions  
159 under RCP6.0. The downward total solar radiation averaged over land (not shown) also has a  
160 slight increasing trend from 2004 to 2089, which is opposite to the globally-averaged surface

161 solar radiation trend. There are two reasons for this: the reduction in aerosol emissions mainly  
162 affects the continents and the increase of cloud coverage is mainly over the ocean. Averaged  
163 visible diffuse radiation (300-700 nm) over land decreases in RCP6.0 (Fig. 1f) due to the  
164 decreasing of aerosol emission in the RCP6.0 scenario (Meinshausen et al., 2011). Under this  
165 global warming scenario, vegetated-land averaged canopy transpiration decreases mainly due to  
166 increasing CO<sub>2</sub> (Fig. 1h) (Reddy et al., 1995).

167         With 1.6 W/m<sup>2</sup> less total surface solar radiation (Fig. 1d), G4SSA successfully cools the  
168 surface by 0.8 ± 0.2 K as compared to RCP6.0 (Fig. 1a). This cooling slows down the hydrology  
169 cycle with less average precipitation (−0.07 mm/day (−2.5%)) (Fig. 1b), less ground evaporation  
170 (Fig. 1g) and less global low cloud coverage (Fig. 1c), which is consistent with previous studies  
171 (e.g., Niemeier et al., 2013; Tilmes et al., 2013; Jones et al., 2013; Kalidindi et al., 2015). And  
172 there is no change in the soil water content under G4SSA and RCP6.0 scenarios (Fig. 1i).  
173 Visible diffuse radiation over the land increases significantly (Fig. 1f) as the sulfate aerosols in  
174 the stratosphere (3.0 Tg S equilibrium loading (Tilmes et al., 2015b)) scatter solar radiation.  
175 Therefore, while the total surface solar radiation reduces by 1.6 W/m<sup>2</sup>, the visible diffuse solar  
176 radiation increases by 3.2 W/m<sup>2</sup> over the land under all sky conditions. Kalidindi et al. (2015)  
177 showed that with a 20 Tg sulfate aerosol (SO<sub>4</sub>) stratospheric loading to balance the radiative  
178 forcing of 2xCO<sub>2</sub>, broadband diffuse radiation would increase by 11.2 W/m<sup>2</sup> compared with the  
179 reference run. However they used a very unrealistic stratospheric aerosol distribution, with very  
180 small effective radius of 0.17 μm and uniform geographical distribution. Three months after the  
181 eruption of Mt. Pinatubo in 1991, broadband diffuse radiation increased from 40 W/m<sup>2</sup> to 140  
182 W/m<sup>2</sup> under clear sky conditions at the Mauna Loa observatory (Robock, 2005), but only the  
183 edge of the Pinatubo cloud was over Mauna Loa, and the maximum effect was even greater. The



184 photosynthesis rate of a northern hardwood forest (Harvard Forest) increased 23% in 1992  
185 compared with an unperturbed year (1997) (Gu et al., 2003). Therefore, under this sulfate  
186 injection geoengineering scenario, which is equivalent to one 1991 Pinatubo eruption every 2.5  
187 years (Bluth et al., 1992) with the assumption that all sulfate aerosol will reach the stratosphere,  
188 diffuse radiation enhancement is expected to enhance the terrestrial photosynthesis rate and  
189 potentially increase the land carbon sink. Furthermore, the drier, cooler, and more diffuse  
190 radiation environment under G4SSA reduces the canopy transpiration comparing with RCP6.0  
191 (Fig. 1h) (Kanniah et al., 2012), which may indicate that less CO<sub>2</sub> is released back to the  
192 atmosphere by plant respiration.

193         Solar constant reduction climate intervention (G3S) efficiently cools the surface as well.  
194 Since there is less radiative forcing reduction due to the experiment design, the annual global  
195 averaged temperature reduction (gradually from 0°C to 0.8°C) is less than the reduction in  
196 G4SSA. Precipitation and ground evaporation also reduce under G3S. However, G3S has no  
197 effect on diffuse radiation compared with RCP4.5 since there are no additional aerosols injected  
198 into the atmosphere. The overall trend of surface visible diffuse radiation in both G3S and  
199 RCP4.5 slowly decreases because of decreasing emissions (the tropospheric aerosol removal  
200 effect in RCP4.5, not shown). Although the two experiments have different radiative forcing  
201 reductions: 2.5 W/m<sup>2</sup> for G4SSA and 0-1.5 W/m<sup>2</sup> for G3S, we expect linear changes in  
202 temperature and precipitation corresponding to the radiative forcing change (Irvine et al., 2010;  
203 Kravitz et al., 2014). We focus on the diffuse radiation effect in this study, which is included in  
204 G4SSA and excluded in G3S due to the experiment design. Therefore, it is reasonable to  
205 compare the two experiments as to their diffuse radiation effect on photosynthesis.

## 206 **3.2 Diffuse radiation and climate change impacts on vegetation photosynthesis rate**

207 Diffuse radiation is more advantageous for plant productivity than direct radiation (e.g.,  
208 Gu et al., 2002) because diffuse radiation provides more homogeneous distribution of radiation  
209 within the canopy and more light can be absorbed by shaded leaves without exceeding the  
210 photosynthetic capacity of the plants. Increased diffuse radiation within a certain range will  
211 promote plant net production productivity and therefore enhance the carbon sink (Niyogi et al.,  
212 2004; Misson et al., 2005; Oliveira et al., 2007). However, if the aerosol load exceeds a certain  
213 level it will suppress photosynthesis (Chameides et al., 1999; Cohan et al., 2002). Knohl and  
214 Baldocchi (2009) and Mercado et al. (2009) estimated that the tipping point of the diffuse  
215 radiation effect is a ratio of 0.40-0.45 between diffuse radiation and total solar radiation, this is  
216 the maximum ratio with a positive effect on plant photosynthesis. Under our sulfate injection  
217 climate intervention scenario, the ratio of diffuse radiation and total solar radiation increases  
218 from 0.296 to 0.333. Therefore the increase of diffuse radiation in our study would have a  
219 positive impact on plant photosynthesis.

220 Without explicit nutrient limitation, simulated land average photosynthesis would  
221 continuously increase in the future due to the stronger CO<sub>2</sub> fertilization effect as the CO<sub>2</sub>  
222 concentration increases from 377 ppm (2004) to 632 ppm (2089) (Fig. 2a) (e.g., Allen et al.,  
223 1987; Leakey et al., 2009). However, this model-simulated increase may not be realistic, since  
224 the actual photosynthesis rate is limited by the amount of soil nutrients such as nitrogen and  
225 phosphorus (e.g., Vitousek and Howarth, 1991; Davidson et al., 2004; Elser et al., 2007). Under  
226 the G4SSA scenario, global averaged photosynthesis increases  $0.07 \pm 0.02 \mu\text{mol C m}^{-2} \text{ s}^{-1}$   
227 compared with that in the RCP6.0 scenario (Fig. 2a). This enhancement is due to the  
228 combination of the climate changes, such as cooling, and diffuse radiation enhancement.

229 Different types of plants show maximum photosynthesis rates at certain optimal temperature  
230 depending on CO<sub>2</sub> concentrations (e.g., Sage and Kubien, 2007). Fig. 3 shows that the  
231 photosynthesis rate in different regions responds to G4SSA differently and temperature plays an  
232 important role. In general, the cooling effect from solar radiation management would increase  
233 photosynthesis in tropical regions where there is likely to be extreme heat stress under the global  
234 warming scenario, and slow down photosynthesis in high latitude regions, since the temperature  
235 has not exceeded the optimal temperature even under the global warming scenario. In the  
236 Tropics, the photosynthesis rate change has an increasing trend (Fig. 3), because the cooling  
237 effect of G4SSA benefits photosynthesis more when global warming gets severe. And the large  
238 variation of the photosynthesis rate change in the Tropics (Fig. 3) might be related to the strong  
239 sensitivity of tropical forest to precipitation change (Phillips et al., 2009; Tjiputra et al., 2015).

240 Fig. 2b shows the photosynthesis rates in G3S and RCP4.5. Without the diffuse radiation  
241 effect, the land averaged photosynthesis rate has no significant change under solar radiation  
242 management (G3S). The cooling effect on photosynthesis has been cancelled out by combining  
243 increases in tropical regions and decreases in temperate regions (Fig. 4b). Therefore, the  
244 increase of the photosynthesis rate in Fig. 2a under the G4SSA scenario is primarily caused by  
245 the enhancement of diffuse radiation.

246 Without explicit nutrient limitation, the increase of the photosynthesis rate is almost  
247 entirely over vegetated land during year 2030-2069 of G4SSA compared with RCP6.0 (Fig. 4a)  
248 as a combination impact of climate factors controlling plant photosynthesis (Fig. 5). The  
249 strongest increase is in the Amazon rainforest with a value of 1.42  $\mu\text{mol C m}^{-2} \text{s}^{-1}$  (26.3%) (Fig.  
250 4a), where multiple layers of the canopy, especially the tallest canopy, would receive more  
251 diffuse radiation, and the cooling helps plant growth during the entire year. Those two positive

252 impacts of diffuse radiation and surface temperature changes from G4SSA are countered by the  
253 negative impacts from the regional reductions of soil water content (not shown here) and the  
254 global reduction of total solar radiation (Figs. 5b and 5c). In a previous study, precipitation was  
255 found to be the largest climate factor controlling GPP during 1998-2005 (Beer et al., 2010).  
256 Considering that the global forest carbon sink was  $2.41 \pm 0.42 \text{ Gt C yr}^{-1}$  during the period of 1990-  
257 2007, and the Amazon rainforest contributes  $\sim 25\%$  (Pan et al., 2011), increasing its  
258 photosynthesis rate by  $4.2 \pm 5.9\%$  would potentially help to bring more carbon out of the  
259 atmosphere. Since in reality, most Amazonian soils are highly weathered and relatively nutrient  
260 poor, this simulated increase might be overestimated (Davison et al., 2004). However, in our  
261 study, the prescribed plant phenology has some inherent nutrient limitation, and therefore the  
262 overestimation should not be substantial. In high latitude and high altitude regions, although  
263 increasing diffuse radiation still increases the photosynthesis rate, temperature reduction has a  
264 negative impact on photosynthesis (Fig. 5a), which is consistent with a previous study (Glienke  
265 et al., 2015), and the stronger temperature reduction in high latitude regions would reduce the  
266 photosynthesis rate (Fig. 4a). Over high altitude regions, such as the Rocky Mountains and the  
267 Himalayas, increased snow cover (not shown here) contributes to the reduction of photosynthesis  
268 under G4SSA as well. The expected reduction in stratospheric ozone column in high latitudes,  
269 due to increased heterogeneous reactions promoting ozone-destroying cycles, increases UV  
270 radiation (e.g., Pitari et al., 2014), which is not further investigated in this study. Furthermore,  
271 changes in tropospheric chemistry and stratosphere troposphere exchange due to G4SSA could  
272 modify the surface ozone concentration regionally, which may be another potential impact on  
273 photosynthesis rate. Further investigation of those issues is needed.

274 Without the diffuse radiation effect, the photosynthesis rate differences between G3S and  
275 RCP4.5 are not significant in more regions (Fig. 4b) than for the differences between G4SSA  
276 and RCP6.0. The Amazon rainforest still has the largest photosynthesis increase, with a  
277 maximum value of  $1.24 \mu\text{mol C m}^{-2} \text{ s}^{-1}$ , but the average photosynthesis change in the Amazon  
278 region is only  $0.7 \pm 5.7\%$ . The two climate interventions (G4SSA and G3S) have different  
279 assumptions and different reference runs (RCP6.0 and RCP4.5) and they have different levels of  
280 cooling, different precipitation changes, and different  $\text{CO}_2$  concentrations. We cannot, therefore,  
281 evaluate how much the enhancement of diffuse radiation contributes to the increase of  
282 photosynthesis. When comparing the global averaged photosynthesis change (Fig. 2) with the  
283 cooling effect, the diffuse radiation change does increase the carbon uptake significantly with a  
284  $p$ -value less than 0.002.

### 285 **3.3 Diffuse radiation and climate change impacts on the terrestrial carbon sink**

286 We have calculated the additional carbon sink due to the increase of photosynthesis.  
287 Using the land area ( $1.5 \times 10^8 \text{ km}^2$ ) in CLM, for G4SSA, the global land average photosynthesis  
288 rate increases  $0.07 \pm 0.02 \mu\text{mol C m}^{-2} \text{ s}^{-1}$  compared with RCP6.0. Therefore the increase of the  
289 photosynthesis rate without explicit nutrient limitation would increase GPP by  $3.8 \pm 1.1 \text{ Gt C yr}^{-1}$   
290 from terrestrial vegetation. Mercado et al. (2009) estimated that after the 1991 eruption of Mt.  
291 Pinatubo the land carbon sink increased by  $1.13 \text{ Gt C yr}^{-1}$  in 1992 and  $1.53 \text{ Gt C yr}^{-1}$  in 1993,  
292 which was the result of both diffuse radiation and the cooling effect. The diffuse radiation effect  
293 was the dominant factor in 1992 ( $1.18 \text{ Gt C yr}^{-1}$ ), while it was much less significant in 1993  
294 ( $0.04 \text{ Gt C yr}^{-1}$ ).

## 295 4 Discussion

296 Our result of increasing of gross primary productivity due to enhanced stratospheric  
297 aerosols has uncertainties and needs to be further evaluated with new experiments using multiple  
298 Earth System Models. Since the carbon-nitrogen cycle in CLM4 is turned off, leaf area index  
299 (LAI) cannot be diagnosed by the climate changes due to G4SSA and hence the photosynthesis  
300 response may be biased. However, even if we use CLM4CN with the carbon-nitrogen cycle  
301 modeled, the photosynthesis response would still be imperfectly modeled, since there are a high  
302 bias in the LAI simulation and structural errors in the leaf photosynthesis process (Lawrence et  
303 al., 2012). Also, without dynamic vegetation, our study keeps a prescribed plant functional type  
304 during the whole simulation, and cannot simulate plant type change under a different climate.

305 Another source of uncertainty is the use of only one climate model. Jones et al. (2013)  
306 and Glienke et al. (2015) showed that there is a large range of simulated net primary productivity  
307 (NPP) changes as the CO<sub>2</sub> concentration increases or under solar reduction geoengineering using  
308 different land models, which is mainly due to the availability of a nitrogen cycle. With a  
309 nitrogen cycle, there is a much smaller CO<sub>2</sub> fertilization effect on plant growth. We expect that  
310 with the carbon-nitrogen cycle turned on, the upward trend of the photosynthesis rate under both  
311 G4SSA and RCP6.0 in Fig. 2a will be reduced. Furthermore, models respond to different  
312 climates at the same atmospheric CO<sub>2</sub> concentration differently. Eight models participating in  
313 the GeoMIP G1 (instantaneously quadrupling of the CO<sub>2</sub> concentration (abrupt4xCO<sub>2</sub>) while  
314 simultaneously reducing the solar constant to balance the forcing) (Kravitz et al., 2011) showed  
315 different and even opposite trends of NPP changes between abrupt4xCO<sub>2</sub> and G1 because of  
316 different behaviors in GPP and respiration (Glienke et al., 2015). In G1, GPP as well as NPP  
317 reduced under G1 compared with abrupt4xCO<sub>2</sub> using CCSM4 (CAM4 coupled with CLM4CN).

318 However, G1 has a much stronger temperature reduction and no diffuse radiation change.  
319 Considering the inconsistent responses of models to geoengineering induced climate changes  
320 even with the same CO<sub>2</sub> concentration, multiple model study is necessary to better understand  
321 how photosynthesis and NPP would change under sulfate injection geoengineering.

322 Sulfate injection geoengineering could potentially change the terrestrial carbon sink since  
323 it might increase GPP compared with a global warming scenario due to the diffuse radiation and  
324 other climate changes. However, to further investigate this issue, we need to consider other  
325 mechanisms that sulfate injection geoengineering would trigger. The cooling effect would also  
326 suppress plant and soil respiration. After the eruption of Mt. Pinatubo, the terrestrial carbon sink  
327 increased due to both the cooling effect (Ciais et al., 1995; Keeling et al., 1995) and the diffuse  
328 radiation fertilization effect (Jones and Cox, 2001; Lucht et al., 2002). Mercado et al. (2009)  
329 estimated that the cooling effect and diffuse radiation equally contributed to the enhancement of  
330 the terrestrial net primary productivity changes in 1992, since the cooling effect suppresses soil  
331 respiration and reduces carbon emissions. In 1993, the cooling effect actually enhances the land  
332 carbon sink more than the diffuse radiation. Furthermore, respiration of terrestrial ecosystems,  
333 such as the decomposition of soil organic carbon is not included in our study, which might be  
334 more sensitive to temperature change than to GPP (Jenkinson et al., 1991) and add another  
335 additional terrestrial carbon sink under sulfate injection geoengineering (Tjiputra et al., 2015).  
336 Therefore, if we include the reduction of heterotrophic respiration due to the cooling effect, land  
337 processes would capture even more carbon in sulfate injection geoengineering scenarios.  
338 However, current land models tend to simulate soil organic carbon decomposition under climate  
339 changes in a simple way, which might not be able to accurately predict the temperature

340 sensitivity of global soil organic carbon decomposition as well as the terrestrial carbon cycle  
341 change under future climate changes (Davidson and Janssens, 2006).

342 In our simulations, the CO<sub>2</sub> concentration is prescribed in both G4SSA and RCP6.0, but  
343 we expect that the CO<sub>2</sub> concentration of G4SSA might be lower than the global warming  
344 scenario due to the diffuse radiation and the cooling effects because this CO<sub>2</sub> concentration  
345 change has been observed after volcanic eruptions due to enhanced land carbon sinks (Keeling et  
346 al., 1995; Ciais et al., 1995). The predicted CO<sub>2</sub> concentration increase rate based on industrial  
347 emissions in the early 1990s was 1.7% yr<sup>-1</sup>, but the observed CO<sub>2</sub> concentration after 1991  
348 declined instead of increasing. However, the atmospheric CO<sub>2</sub> concentration is also highly  
349 impacted by another carbon reservoir, the ocean. The ocean covers most of Earth, and CO<sub>2</sub>  
350 feedbacks from geoengineering will also occur in the ocean, including responses dependent on  
351 the ocean surface temperature, ocean biological processes, and changing ocean dynamics  
352 (Tjiputra et al., 2015). For example, an El Niño will cause the ocean to temporarily emit more  
353 CO<sub>2</sub> to the atmosphere. Although idealized geoengineering experiments have not shown any  
354 significant effect on El Niño (Gabriel and Robock, 2015), a longer period of geoengineering  
355 might impact ocean circulation. The ocean model we used simulates dynamical and temperature  
356 responses, but does not include a biochemical and carbon cycle. Such responses will need to be  
357 included for an integrated assessment of the impacts of geoengineering on the global carbon  
358 budget.

359 Although there have been many reasons to be hesitant about the implementation of  
360 geoengineering (Robock, 2012; Robock, 2014), sulfate injection climate intervention may have a  
361 great potential to increase land GPP, reduce the terrestrial carbon source, and change the ocean  
362 carbon cycle. More studies are needed to further understand the details of each process.



363 **5 Conclusions**

364 With our experimental design, simulated stratospheric sulfate geoengineering with 8 Tg  
365 yr<sup>-1</sup> injection of SO<sub>2</sub> would change the partitioning of solar radiation with an increase of surface  
366 diffuse radiation about 3.2 W/m<sup>2</sup> in visible wavelengths over land. This enhanced diffuse  
367 radiation combining with other climate changes, such as cooling, soil water content change, and  
368 total solar radiation reduction increase plant photosynthesis rates significantly in temperate and  
369 tropical regions, and reduce the photosynthesis rate in high latitude and mountain regions.  
370 Overall, the increase of the land-averaged photosynthesis rate is 0.07 ± 0.02 μmol C m<sup>-2</sup> s<sup>-1</sup>,  
371 which could contribute to an additional 3.8 ± 1.1 Gt C yr<sup>-1</sup> global carbon sink. These results are  
372 affected by the experimental design, since the carbon-nitrogen cycle and dynamic vegetation are  
373 not included. Further investigation is needed to fully understand the contribution of enhanced  
374 diffuse radiation due to sulfate geoengineering on the terrestrial carbon sink.

375

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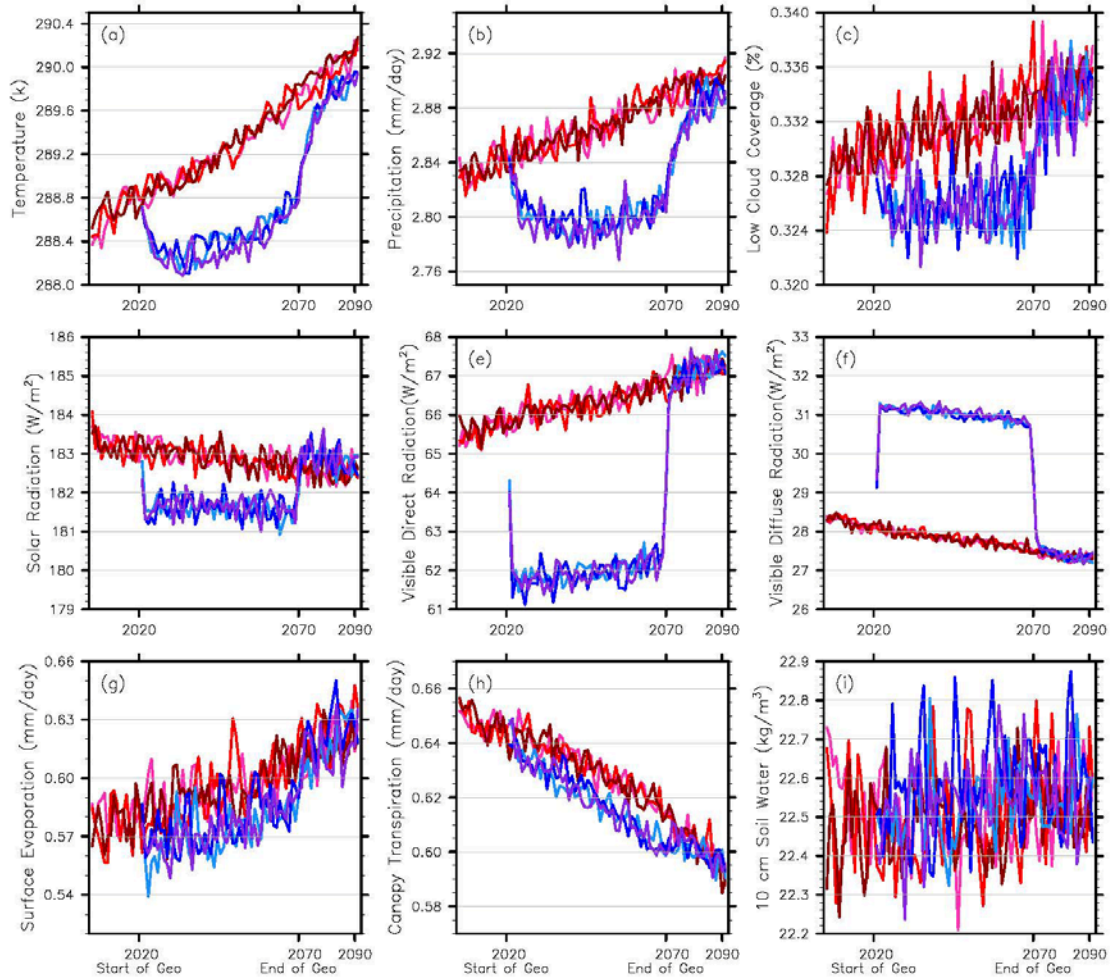
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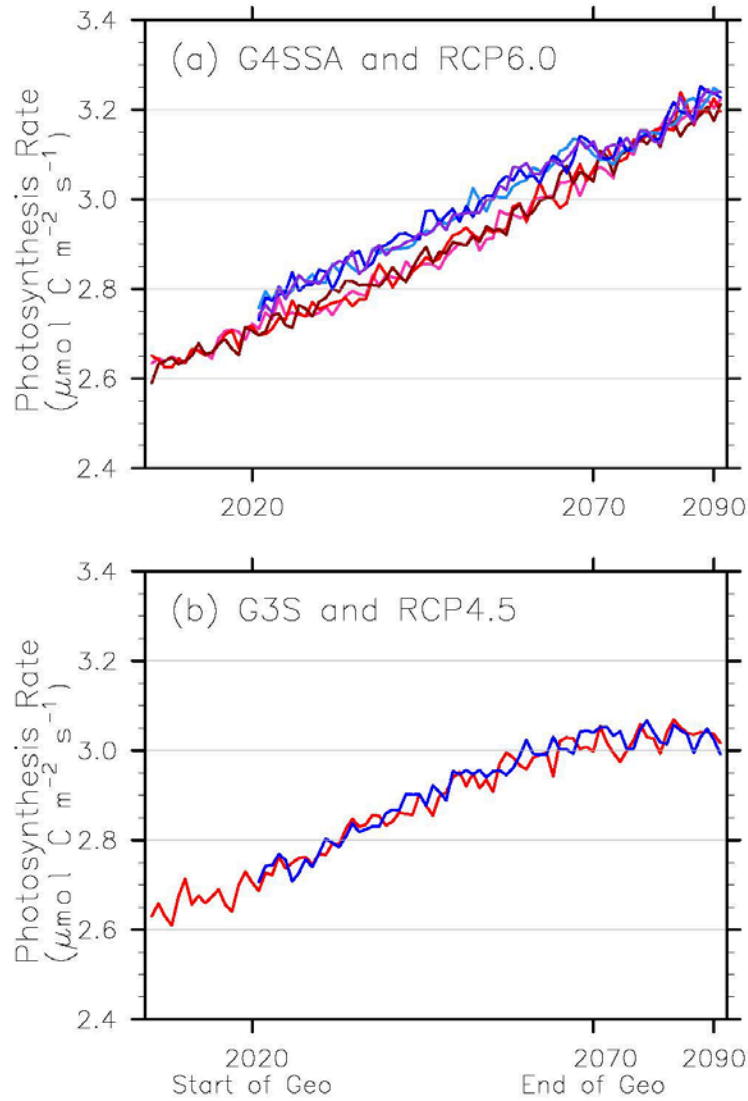
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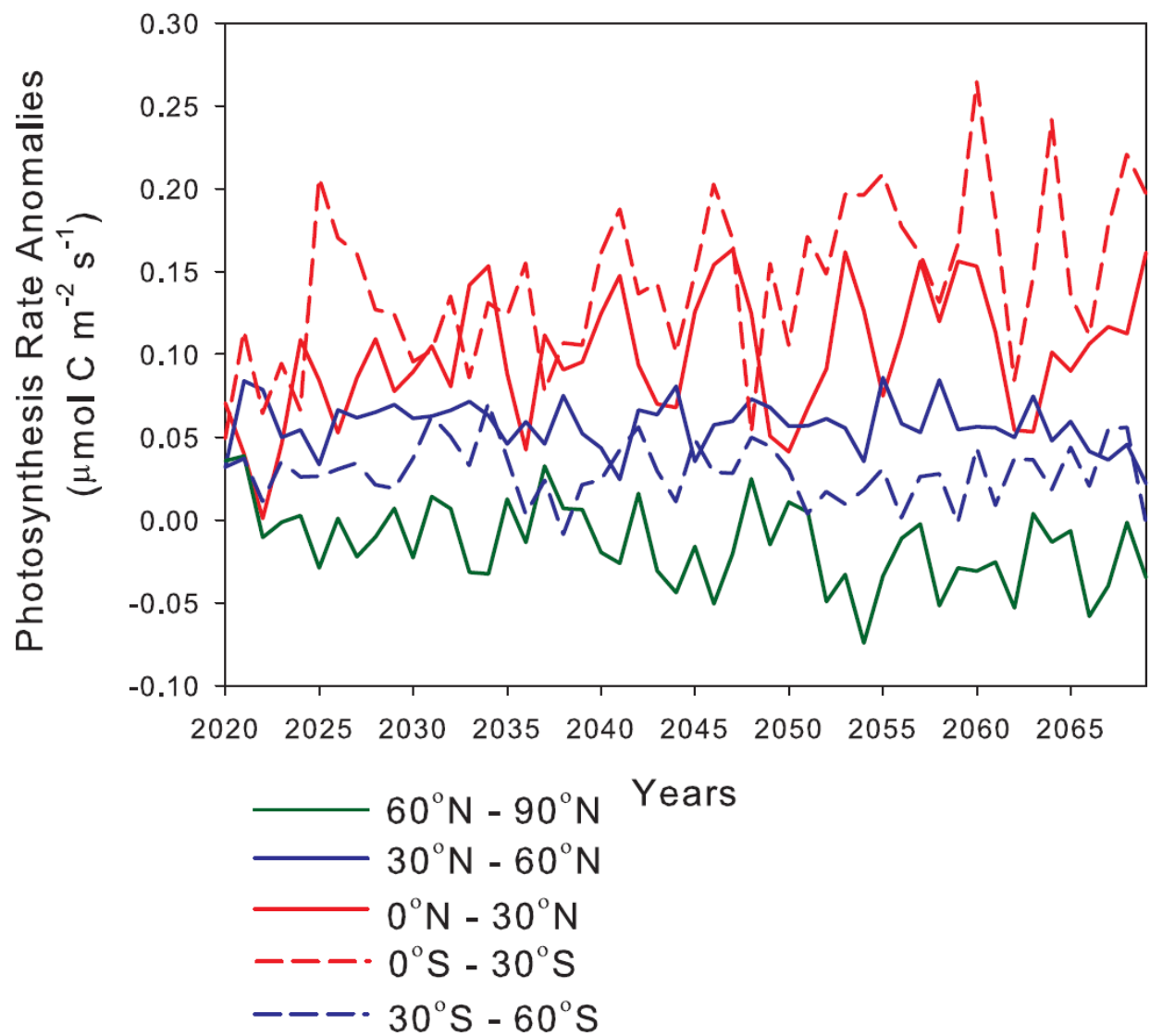
596 **Fig. 1.** Global-average (a) temperature, (b) precipitation, (c) low cloud coverage, and (d) surface  
 597 downward solar radiation under G4SSA sulfate injection geoengineering (blue lines) and under  
 598 RCP6.0 (red lines). Land-average (e) surface downward visible direct radiation, (f) diffuse  
 599 radiation, (g) surface evaporation, (h) canopy transpiration and (i) vegetated land top 10 cm soil  
 600 water (liquid water and ice) content under G4SSA (blue lines) and RCP 6.0 (red lines). The  
 601 three red lines and blue lines indicate three ensemble members of RCP6.0 and G4SSA. Sulfate  
 602 injection starts at 2020 and ends at 2070.



603

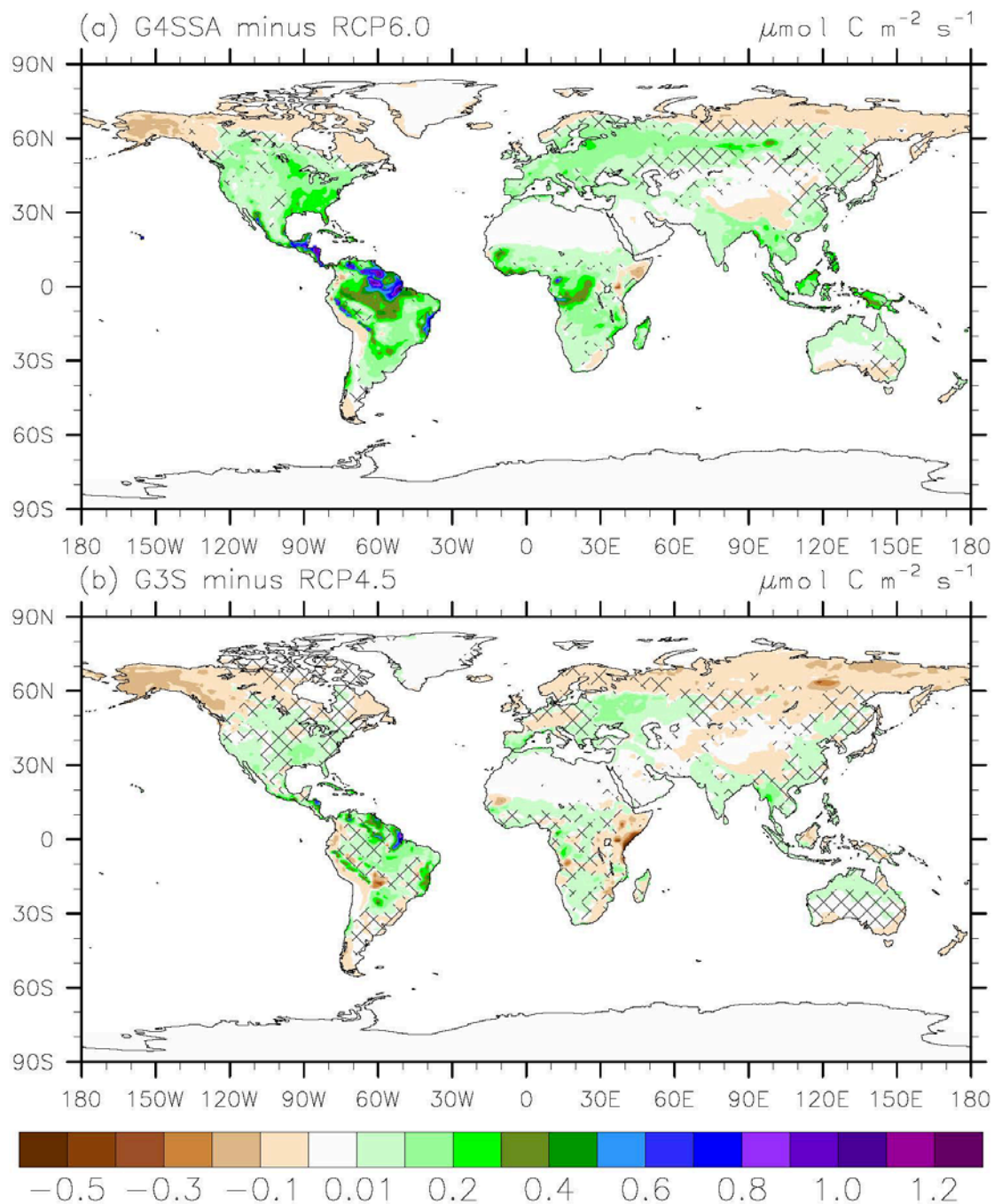
604 **Fig. 2.** Land average photosynthesis rate without explicit nutrient limitation (a) under sulfate  
 605 injection geoengineering (G4SSA) (blue lines) and RCP6.0 (red lines) and (b) under solar  
 606 constant reduction geoengineering (G3S) (blue line) and RCP4.5 (red line).

607



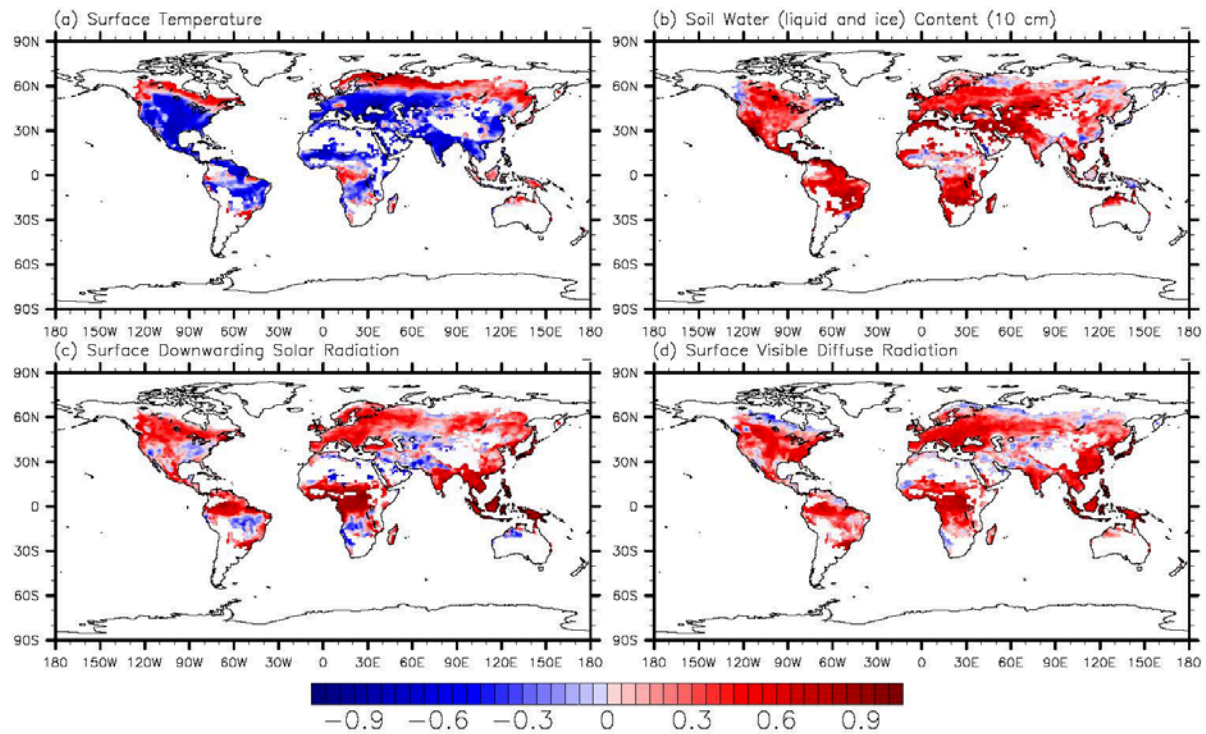
608

609 **Fig. 3.** Regional averaged annual photosynthesis rate difference of G4SSA minus RCP6.0 from  
 610 2020 to 2069 when sulfate injection geoengineering applied.



611  
 612 **Fig. 4.** (a) Photosynthesis rate differences between G4SSA and RCP6.0 during years 2030-2069  
 613 (sulfate injection period, excluding the first 10 years). (b) Photosynthesis rate anomaly between  
 614 G3S and RCP4.5 year 2030-2069 of solar reduction. Hatched regions are areas with  $p > 0.05$   
 615 (where changes are not statistically significant based on a paired t-test).

616



617

618 **Fig. 5.** Correlation coefficient of the monthly photosynthesis rate anomalies in JJA during year  
 619 2030-2069 (G4SSA minus RCP6.0, Fig. 3a) and (a) surface temperature anomalies, (b) top 10  
 620 cm soil water (including liquid water and ice) anomalies, (c) surface downward solar radiation  
 621 anomalies, and (d) surface visible diffuse radiation anomalies during year 2030-2069.

622