Overview of VOC emissions and chemistry from PTR-TOF-MS measurements during the SusKat-ABC campaign: high acetaldehyde, isoprene and isocyanic acid in wintertime air of the Kathmandu Valley

by C. Sarkar et al., 2015 (ACPD)

Dear Dr. Roberts,

Thank you very much for your helpful editorial comments and suggestions.

Please find below our replies and corrections made for the newly revised manuscript (in blue) after the editorial comment (in black).

We hope that the new revised submission has addressed the remaining technical corrections and can be considered for final publication in ACP.

Thank you once again for your great editorial support, which along with reviewer #1 's comments were very helpful for improving the clarity of our work.

On behalf of all authors,

Vinayak Sinha

Assessment of Responses to Reviewer's Comments

Pg 5 –Gilman et al., 2015 measured pentadienes and cyclopentene, and they totaled about 20% of isoprene.

Thank you for pointing out the relevant paper by Gilman et al., 2015. Gilman et al. reported that the contributions of pentadienes and cyclopentene (which were measured by GC-MS) to isoprene concentrations measured using a PTR-QMS can be quite significant in direct fire/smoke plumes from prescribed burns of selected biomass fuels, which are common in southeastern, southwestern or northern US.

In the Kathmandu Valley, during daytime average isoprene concentrations greater than 1 ppb were observed due to strong contribution from biogenic sources. During evening, nighttime or early morning when combustion emissions were stronger in intensity and more widespread, we agree that contributions from compounds such as pentadienes and cyclopentene to the isoprene concentrations measured using the PTR-TOF-MS could be significant.

To clarify this point in the new version we have added the reference to Gilman et al., 2015 and replaced the previous text on P26, L7-15 which was:

"The non-biogenic sources of isoprene acquire greater significance in the evening, nighttime or early morning when combustion emissions are more widespread and can accumulate under shallow inversions. What is remarkable is that in contrast to wintertime measurements of isoprene from sites elsewhere in the world due to the strong contribution from biogenic sources in the Kathmandu Valley, average wintertime concentrations of isoprene in Kathmandu were observed to be above 1 ppb (see Figure 5). To emphasize that the daytime isoprene concentrations were primarily controlled by biogenic emissions, we show real time data from a day (18 January 2013) where clear co-variation of the daytime isoprene concentration (Figure 8 b)."

by

"Recently, Gilman et al., (2015) showed that in direct fire/smoke plumes from prescribed burns of selected biomass fuels common for the southeastern, southwestern or northern US, the contributions of pentadienes and cyclopentene to isoprene concentrations measured using a PTR-QMS can be quite significant. Therefore, contributions from compounds such as pentadienes and cyclopentene to the isoprene concentrations measured in the Kathmandu Valley during evening, nighttime or early morning

may be significant. The non-biogenic sources of isoprene acquire greater significance in the evening, nighttime or early morning when combustion emissions are more widespread and can accumulate under shallow inversions. What is remarkable is that in contrast to wintertime measurements of isoprene from sites elsewhere in the world due to the strong contribution from biogenic sources in the Kathmandu Valley, average wintertime concentrations of isoprene in Kathmandu were observed to be above 1 ppb (see Figure 5). To emphasize that the daytime isoprene concentrations were primarily controlled by biogenic emissions, we show real time data from a day (18 January 2013) where clear co-variation of the daytime isoprene concentrations occurred with changes in the solar radiation (Figure 8 b)."

Pg 28. Your response to the comment that asked about the phrase "largely conserved" was not very helpful. Use another term or just say "the diel profiles were similar".

Noted and corrected.

The term "largely conserved" has now been replaced by "similar" in the revised submission. Thus, the previous text on P19, L27-28 which was:

"In general, for both period 1 and period 2, the features of diel profiles in terms of rise and fall of concentrations are largely conserved for all the VOCs shown in Figure 6."

has now been replaced by:

"In general, for both period 1 and period 2, the features of diel profiles in terms of rise and fall of concentrations are similar for all the VOCs shown in Figure 6."

The next few lines in the revised paper (Pg 19, lines 29-30) say emissions due to brick kilns pick up, but in this response and elsewhere you say brick kilns operate around the clock. You need to be consistent.

Apologies, this escaped our attention. This has now been corrected.

Thus the previous text on P19, L29-31 which was:

"The diel profiles of all VOCs also reveal at about 06:00 local time (LT), emission activities pertaining to cooking (use of biofuel and fossil fuel such as LPG), traffic and brick kilns pick up and the diel peaks for almost all VOCs occurs at around 08:00-09:00 LT."

has now been replaced by:

"The diel profiles of all VOCs also reveal at about 06:00 local time (LT), emission activities pertaining to cooking (use of biofuel and fossil fuel such as LPG) and traffic pick up, which in combination with the brick kilns emissions appears to drive the diel peaks observed for almost all VOCs at around 08:00-09:00 LT."

Pg 38. It is trivial to eliminate O_3 as an important oxidant, but NO_3 needs to be considered, especially for Acetaldehyde and DMS, since NO_3 can be several tens of pptv, the product $k_{NO3}[NO_3]$ is of similar magnitude or larger than $k_{OH}[OH]$ for DMS. The broader point the reviewer is making is that the system could be NO_x sensitive, in which case VOC controls would not solve the problem. I think the reviewer is just looking for one or two sentences acknowledging this.

We thank you for helping us understand the context of the reviewer's comment. We agree that NO₃ can be an important oxidant for DMS during nighttime as $k_{DMS+NO_3} \approx k_{DMS+OH}$ (k_{DMS+NO_3} and k_{DMS+OH} are 1.1 x 10⁻¹² cm³ molec⁻¹ s⁻¹ and 5.1 x 10⁻¹² cm³ molec⁻¹ s⁻¹, respectively; at

T = 281.8 K). We note however that for acetaldehyde $k_{CH_3CHO+NO_3} \ll k_{CH_3CHO+OH} (k_{CH_3CHO+NO_3} \text{ is} 2.4 \times 10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ while $k_{CH_3CHO+OH}$ is 1.5 x 10⁻¹¹ cm³ molec⁻¹ s⁻¹, at T = 281.8 K; (Atkinson et al., 2006; <u>kinetics.nist.gov/kinetics</u>). Therefore, the loss of acetaldehyde due to reaction with NO₃ radicals at night is not expected to be of major consequence for removal of acetaldehyde at night.

To clarify these important points, we have we have modified the previous text on P27, L1 which was:

"For the VOCs reported in this work and the typical maximum ozone concentrations observed during winter in the Kathmandu Valley (~ 60 -70 ppb; (Putero et al., 2015)), the daytime oxidation with hydroxyl radicals is much faster relative to daytime oxidation with ozone and nighttime oxidation with nitrate radicals as $k_{VOC+OH} > k_{VOC+NO3} > 10^3 - 10^{10}$ times k_{VOC+O3} (Atkinson et al., 2006; kinetics.nist.gov/kinetics)."

by

"For *most of* the VOCs reported in this work and the typical maximum ozone concentrations observed during winter in the Kathmandu Valley (~ 60 -70 ppb; (Putero et al., 2015)), the daytime oxidation

with hydroxyl radicals is much faster relative to daytime oxidation with ozone and nighttime oxidation with nitrate radicals as $k_{VOC+OH} > k_{VOC+NO3} > 10^3 - 10^{10}$ times k_{VOC+O3} (Atkinson et al., 2006; kinetics.nist.gov/kinetics)."

We have also added the following sentence to the new version (P27, after L5):

"For dimethyl sulfide, nighttime oxidation with nitrate radical $(N\dot{O}_3)$ can be as important as daytime oxidation with OH as $k_{DMS+NO_3} \approx k_{DMS+OH}$ (k_{DMS+NO_3} and k_{DMS+OH} are 1.1 x 10⁻¹² cm³ molec⁻¹ s⁻¹ and 5.1 x 10⁻¹² cm³ molec⁻¹ s⁻¹, respectively; at T = 281.8 K)."

Finally to address the broader point about NO_x sensitivity on ozone production efficiency we have added the following text at P28, L5:

"For quantifying the importance of VOC and NO_x controls on the ozone production efficiency in the Kathmandu Valley, simultaneous measurements of both VOCs and NO_x are necessary."

Atkinson, R., Baulch, D. L., Cox, R. A., Crowley, J. N., Hampson, R. F., Hynes, R. G., Jenkin, M. E., Rossi, M. J., Troe, J., and Subcommittee, I.: Evaluated kinetic and photochemical data for atmospheric chemistry: Volume II - gas phase reactions of organic species, Atmos. Chem. Phys., 6, 3625-4055, 10.5194/acp-6-3625-2006, 2006.

Comments on Revised Manuscript

Pg. 11, lines 8-25. You made a nice point in your response that absolute humidity didn't change much, but you didn't explain that here.

Thanks for this point. We have now added the following explanation at P10, L21 of the new version:

"Moreover, as reported in de Gouw and Warneke (2007) and Sinha et al. (2010), it is the absolute humidity content of the sampled air rather than the RH, which is responsible for changes in detection sensitivity of certain VOCs within the PTR-MS. The sensitivity dependence has been reported in numerous studies as function of RH because RH is more frequently used in meteorology and for no changes/small changes in temperature, RH is a good proxy of the absolute humidity. We note that during the Kathmandu deployment, while the RH variability was large (35% - 100%) most of the RH change was on account of changes in the ambient temperature rather than changes in absolute humidity of sampled air. The variability in the absolute humidity was only in the range of 20% between 19 December 2012 and 30 January 2013."

Pg 15, line 1, 'comprised' should be 'composed' Corrected.

Pg 17, line 14, This should be Figure 5. Thank you, corrected.

Pg. 24, line 19. 'quarters' Corrected.

Pg. 26. Lines 27-32. You should consider loss of both acetaldehyde and DMS due to NO₃ reactions as a reason for similar diel profiles, with low values at night.

Good point, thank you. In the new version we have included the following text at P24, L11:

"The oxidation of DMS with nitrate radicals at night would account atleast in part for its lower nighttime concentrations of circa 0.2 ppb."

Pg. 27. Line 17. 'pyrolysis' Corrected.

References

Gilman, J.B., et al., Atmos Chem Phys., 15, 13915, 2015.

Added to new revised version.

We also corrected the reference Sinha et al. 2009 and added an acknowledgement to anonymous referee 1 and the editor.

1	Overview	of VOC	emissions and	chemistry	from PTR-TOF
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2 MS measurements during the SusKat-ABC campaign: high

acetaldehyde, isoprene and isocyanic acid in wintertime air

4 of the Kathmandu Valley

5	C. Sarkar ¹ , V. Sinha ¹ *, V. Kumar ¹ , M. Rupakheti ^{2,3} , A. Panday ⁴ , K. S. Mahata ² ,
6	D.Rupakheti ⁵ , B. Kathayat ³ , and M. G. Lawrence ²
7	¹ Department of Earth and Environmental Sciences, Indian Institute of Science Education and
8	Research (IISER) Mohali, Sector 81, S. A. S. Nagar, Manauli PO, Punjab, 140306, India
9	² Institute for Advanced Sustainability Studies (IASS), Berliner Str. 130, 14467 Potsdam,
10	Germany
11	³ Himalayan Sustainability Institute (HIMSI), Kathmandu, Nepal
12	⁴ International Centre for Integrated Mountain Development (ICIMOD), Khumaltar, Lalitpur,
13	Nepal
14	⁵ Key Laboratory of Tibetan Environment Changes and Land Surface Processes, Institute of
15	Tibetan Plateau Research, Chinese Academy of Sciences, Beijing, 100101, China
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17	*Corresponding author: V. Sinha (vsinha@iisermohali.ac.in)
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1 Abstract

2 The Kathmandu Valley in Nepal suffers from severe wintertime air pollution. Volatile organic compounds (VOCs) are key constituents of air pollution, though their specific role in 3 the Valley is poorly understood due to insufficient data. During the SusKat-ABC (Sustainable 4 Atmosphere for the Kathmandu Valley-Atmospheric Brown Clouds) field campaign 5 conducted in Nepal in the winter of 2012-2013, a comprehensive study was carried out to 6 7 characterize the chemical composition of ambient Kathmandu air, including the determination of speciated VOCs by deploying a Proton Transfer Reaction Time of Flight 8 9 Mass Spectrometer (PTR-TOF-MS) - the first such deployment in South Asia. 71 ion peaks 10 (for which measured ambient concentrations exceeded the 2σ detection limit) were detected in the PTR-TOF-MS mass scan data, highlighting the chemical complexity of ambient air in 11 12 the Valley. Of the 71 species, 37 were found to have campaign average concentrations greater than 200 ppt and were identified based on their spectral characteristics, ambient diel profiles 13 14 and correlation with specific emission tracers as a result of the high mass resolution (m/ Δm > 4200) and temporal resolution (1 minute) of the PTR-TOF-MS. The concentration ranking in 15 16 the average VOC mixing ratios during our wintertime deployment was acetaldehyde (8.8 ppb) > methanol (7.4 ppb) > acetone + propanal (4.2 ppb) > benzene (2.7 ppb) > toluene (1.5 17 ppb) > isoprene (1.1 ppb) > acetonitrile (1.1 ppb) > C8-aromatics (~1 ppb) > furan (~0.5 ppb) 18 19 > C9-aromatics (0.4 ppb). Distinct diel profiles were observed for the nominal isobaric compounds isoprene (m/z = 69.070) and furan (m/z = 69.033). Comparison with wintertime 20 measurements from several locations elsewhere in the world showed mixing ratios of 21 acetaldehyde (~9 ppb), acetonitrile (~1 ppb) and isoprene (~1 ppb) to be among the highest 22 reported till date. Two "new" ambient compounds namely, formamide (m/z = 46.029) and 23 24 acetamide (m/z = 60.051), which can photochemically produce isocyanic acid in the 25 atmosphere, are reported in this study along with nitromethane (a tracer for diesel exhaust), 26 which has only recently been detected in ambient studies. Two distinct periods were selected 27 during the campaign for detailed analysis: the first was associated with high wintertime 28 emissions of biogenic isoprene, and the second with elevated levels of ambient acetonitrile, 29 benzene and isocyanic acid, from biomass burning activities. Emissions from biomass burning and biomass co-fired brick kilns were found to be the dominant sources for 30 compounds such as propyne, propene, benzene and propanenitrile which correlated strongly 31 with acetonitrile $(r^2 > 0.7)$, a chemical tracer for biomass burning. The calculated total VOC 32 OH reactivity was dominated by acetaldehyde (24.0%), isoprene (20.2%) and propene 33

1 (18.7%), while oxygenated VOCs and isoprene collectively contributed to more than 68% of 2 the total ozone production potential. Based on known SOA yields and measured ambient concentrations in the Kathmandu Valley, the relative SOA production potential of VOCs 3 were: benzene > naphthalene > toluene > xylenes > monoterpenes > trimethylbenzenes > 4 5 styrene > isoprene. The first ambient measurements from any site in South Asia of compounds with significant health effects such as isocyanic acid, formamide, acetamide, 6 7 naphthalene and nitromethane have been reported in this study. Our results suggest that 8 mitigation of intense wintertime biomass burning activities, in particular point sources such 9 biomass co-fired brick kilns, would be important to reduce the emission and formation of toxic VOCs (such as benzene and isocyanic acid) in the Kathmandu Valley. 10

11 **1 Introduction**

The Kathmandu Valley is a bowl-shaped basin at an altitude of ~1300 m that is surrounded 12 by the Shivapuri, Phulchowki, Nagarjun and Chandragiri mountains which have an altitude 13 range of 2000-2800 m above mean sea level and is prone to poor air quality and air pollution 14 episodes (Panday et al., 2009). In particular during the winter mornings, due to the 15 16 combination of suppressed mixing, katabatic wind flows and the topography of the basin, pollutants remain trapped under an inversion layer close to the surface of the Valley (Kitada 17 and Regmi, 2003; Regmi et al., 2003). Previous studies in similar Valley sites such as 18 Santiago de Chile and Mexico City have investigated the coupling of topography, 19 20 meteorology, atmospheric dynamics, emissions and chemical processes in exacerbating air 21 pollution episodes and suggested ways to mitigate the air pollution and improve air quality (Molina et al., 2007; de Foy et al., 2006; Schmitz, 2005; Rappenglück et al., 2005). In contrast, 22 23 only few such studies have been carried out within the Kathmandu Valley.

Previous studies in the Kathmandu Valley have examined pollution in relation to carbon
monoxide (CO), nitrogen oxides (NO_x), sulfur dioxide (SO₂), ozone (O₃) (Panday and Prinn,

26 2009;Larssen et al., 1997;Yu et al., 2009;Ramana et al., 2004;Pudasainee et al., 2006) and

27 particulate matter (Gurung and Bell, 2012;Sharma et al., 2012). An early study by Davidson

et al. (1986) reported ambient average concentrations of 2 ppm CO during the winter season

of 1982-1983. Offline measurements of nitrogen dioxide (NO₂) and sulphur dioxide (SO₂)

30 performed by Larssen et al. (1997) examined the average pollution exposure in different

- regions of the Valley and found that the brick kiln region south-east of the Valley and cities
- 32 were most severely affected. With regard to quantification of volatile organic compounds in

1 downtown Kathmandu and a rural site in Nagarkot, data pertaining to light C2-C6 2 compounds was obtained in a study in November 1998 using thirty-eight whole air samples analyzed offline with a GC-FID (Sharma et al., 2000). Subsequently Yu et al. (2008) 3 measured mixing ratios of seven monocyclic aromatic hydrocarbons, using long path 4 5 differential optical absorption spectroscopy (DOAS) at a suburban site in Kathmandu during January–February, 2003. All these initial studies highlighted that traffic sources were major 6 7 contributors to air pollution in the Kathmandu Valley (Yu et al., 2008). In the time since 8 these studies, due to rapid urbanization and population growth over the last decade, the 9 wintertime air quality has deteriorated severely. Yet very little information is currently available with regard to the emissions and chemistry of volatile organic compounds in the 10 Kathmandu Valley. Except for a handful of species, most of which were measured only 11 periodically using offline sampling methods, virtually no in-situ data is available from the 12 13 region with regard to the concentration and speciation of several important volatile organic compounds. 14

Volatile organic compounds (VOCs), in particular the reactive ones, have atmospheric 15 16 lifetimes ranging from minutes to days (Atkinson, 2000) and exert a profound influence on regional air quality through their participation in chemical reactions leading to the formation 17 18 of secondary pollutants such as tropospheric ozone and secondary organic aerosol (SOA). 19 Both tropospheric ozone and secondary organic aerosol are important from the standpoint of air quality and climate due to their impact on health and the radiative forcing of the 20 atmosphere (IPCC, 2013). Further, through reactions with the hydroxyl radicals (the 21 detergent of the atmosphere (Lelieveld et al., 2004)), photodissociation reactions and radical 22 23 recycling reactions, VOCs strongly influence ambient OH reactivity and the budget of HO_x (OH, HO₂) radicals which control the removal rates of gaseous pollutants, including most 24 25 greenhouse gases from the atmosphere. Inhalation of certain VOCs present in air also 26 produces direct adverse health effects. For example, benzene and nitromethane are reported 27 to be human carcinogens by the World Health Organization (WHO, 2010).

In order to address gaps in our scientific understanding of the air pollution in the Kathmandu
Valley, a large scale scientific experiment called the Sustainable Atmosphere for the
Kathmandu Valley-Atmospheric Brown Clouds (SusKat-ABC) campaign was carried out in
the winter of 2012-2013 by an international team of scientists. An overview of the campaign
objectives, measurement suite and sites will be presented in the overview paper (Rupakheti et

al., 2016) while an overview of the meteorology and pollution transport processes will be
presented in a second overview paper (Panday et al., 2016). We present here results derived
from in-situ measurements of speciated VOCs using a proton transfer reaction time of flight
mass spectrometer (PTR-TOF-MS), the first such deployment in South Asia. Another version
of this type of instrument, which has lower mass resolution, namely a proton transfer reaction
quadrupole mass spectrometer (PTR-Q-MS) has been previously deployed in the North-West
Indo-Gangetic Plain in Mohali, India (Sarkar et al., 2013;Sinha et al., 2014).

8 With a mass resolving power (m/ Δ m) of more than 4200, PTR-TOF-MS measurements 9 enable identification of several compounds based on their exact monoisotopic mass 10 (molecular formula) and have fast time response (~1 minute). These attributes were leveraged to quantify a suite of ambient VOCs at a suburban site (Bode; 27.689^oN, 85.395^oE, 1345 11 12 amsl) in the Kathmandu Valley during December 2012-January 2013. Oxygenated VOCs such as methanol, acetaldehyde, sum of acetone and propanal, aromatic VOCs such as 13 14 benzene, toluene, sum of C8-aromatics and sum of C9-aromatics, isoprene, furan and acetonitrile were quantified every minute and their diel emission profiles analyzed to 15 16 constrain major sources. Careful analysis of the ambient mass spectra from 21-210 Th was undertaken to identify several "new" or rarely quantified VOCs based on their monoisotopic 17 18 masses (and therefore molecular formula), spectral characteristics observed at a particular 19 m/z in a 0.005 amu bin relative to the ion peak, ambient diel profiles and correlation with specific emission tracer molecules such as acetonitrile (a tracer for biomass burning). By 20 contrasting periods in the chemical dataset based on the dominance of biogenic emission 21 sources and emissions from brick kilns co-fired with biomass, respectively, VOCs emitted 22 23 from the brick kilns were constrained. The measured VOC concentrations in the Kathmandu Valley were compared with previous wintertime measurements from other urban/suburban 24 25 sites and megacities. The diel profiles of rarely detected and measured VOCs such as 26 nitromethane and isocyanic acid were correlated with tracer VOCs. The major VOC 27 contributors to the total measured reactive carbon, the VOC OH reactivity, ozone production 28 potential and secondary organic aerosol formation potential were elucidated through detailed 29 analyses. Finally, information pertaining to direct health impacts of some of the quantified VOCs detected in this complex chemical environment are discussed with conclusions and 30 31 outlook for future VOC studies in the region.

32 2 Experimental

1 2.1 Site Description and prevalent meteorology

The Kathmandu Valley is a bowl-shaped basin in the Himalayan foothills. The average
altitude of the Valley is 1300 m above mean sea level (amsl). It is encircled by a ring of
mountains that range from 2000-2800 m (amsl), with about five mountain passes
approximately1500–1550 m amsl (Panday et al., 2009). VOC measurements during this study
were performed in the winter season from 19 December 2012 till 30 January 2013 at Bode
(27.689⁰N, 85.395⁰E; 1345 amsl), which is a suburban site located in the westerly outflow of
Kathmandu city.

9 Figure 1 shows a zoomed view of the land use in the vicinity of the measurement site (Bode; 10 red circle; image derived using Google Earth on 22 May 2015 at 14:55 LT) in relation to the surrounding cities - Kathmandu (~10 km to the west; brown circle), Patan (~12 km south-11 12 west of the site; blue circle) and Bhaktapur (~5 km south-east of the site; pink circle), major point sources and forested areas. Most of the agricultural fields near the site have unpaved 13 tracks and were sold as small plots, and are largely uncultivated. At some distance, there are 14 agricultural fields on which rice is sown in the summer and either potatoes/vegetables or 15 wheat is sown in winter. The major road (Bhaktapur road) is about 1 km south of the site. In 16 2011, the total population of Kathmandu, Patan and Bhaktapur cities were: 1,003,285 17 (population density: 20,289 km^{-2}), 226,728 (population density: 14,966 km^{-2}) and 83,658 18 (population density: 12,753 km⁻²), respectively according to Central Bureau of Statistics, 19 Nepal (2011). The region east of the site was usually downwind but it is important to note 20 21 that several brick kilns (white marker in Figure 1; around 10 brick kilns) were located southeast of the site at about 1 km distance. Major industries (yellow triangles in Figure 1) were 22 23 concentrated primarily in the cities of Kathmandu (Balaju industrial area) and Patan (Patan industrial area), while Bhaktapur industrial area was located in the south-east direction within 24 25 2 km of the measurement site. About 20 small industries, mainly pharmaceuticals, plastic, tin, 26 electronics and fabrics were located in this industrial area. Also a few plastic, electronics, 27 wood, aluminium and iron industries were located within 3 km from the Bode site in the south-east direction. The Tribhuvan international airport was located west of the site (~4 km 28 29 from Bode).

30 The region north of the site has a small forested area (Nilbarahi Jungle in Figure 1; $\sim 0.5 \text{ km}^2$

- area) and a reserve forest (Gokarna Reserve Forest in Figure 1; \sim 1.8 km² area) at
- 32 approximately 1.5 km and 7 km from the measurement site, respectively. Other nearby forest

1 areas were located adjacent to the international airport (Mrigasthali and Bhandarkhal Jungle; 2 8-10 km from the site). The forests in the Kathmandu Valley consist of broad-leaved evergreen mixed forest of Schima Castanopsis at the base (up to 1,800 m asl), oak-laurel 3 forest in the middle (1,800 m to 2,400 m), and *oak* forest at the top, while the conifer tree 4 5 species Pinus roxiburghii (Khote Salla) and Pinus wallichianna (Gobre Salla) are also found (Department of Plant Resources, Nepal, 2015). Other major tree species are Melia azedarach 6 7 (Bakaino), Schima wallichii (Chilaune), Castanopsis indica (Dhale Katus), Piptanthus nepalensis (Suga Phul), Persia bombycina (Kaulo), Madhuca longifolia (Mauwa), Celtis 8 9 australis (Khari), <u>Quercus semecarpifolia</u> (Khasru), and <u>Cryptomeria japonica</u> (Dhupi salla) (Department of Plant Resources, Nepal, 2015). The general meteorological conditions within 10 the Kathmandu Valley remain fairly similar throughout the winter season (Regmi et al., 11 2003;Panday and Prinn, 2009) and it is worth mentioning that the winter of 2012-2013 was 12 not anomalous. Conditions were calm during the mornings with shallow boundary layer and 13 therefore what we see in the morning hours are emissions from the previous night and 14 emissions from morning activities around the measurement site within a radius of few km, 15 rather than regional emissions. Cold pooling of air at night resulting in dilution of pollution 16 17 was observed in the diel profiles of VOCs for period 1 when the 24/7 brick kilns were largely 18 un-operational (for e.g. between midnight and 05:00 LT). Shortly after sunrise, the surface air mixes in with air that was aloft. Finally during the afternoon (10:00–15:00 LT), westerly 19 20 winds sweep the valley from west to east at wind speeds of 3-4 m/s advecting the emissions, some of which may get transported across the mountain passes (Kitada and Regmi, 21

22 2003;Regmi et al., 2003;Panday and Prinn, 2009).

Figure 2b shows the box and whisker plots for the meteorological parameters measured at 23 Bode from 16-30 January 2013 derived from the one minute temporal resolution data 24 acquired using meteorological sensors (Campbell Scientific Loghborough, UK) installed on 25 the rooftop (~ 25 m above ground and ~ 5 m away from the instrument inlet). Daytime (08:00 26 -17:00 LT) average ambient temperature for the measurement period was observed to be 27 12.2 ± 4.5 ^oC. It is worth mentioning that most mornings were associated with dense fog 28 (average ambient RH > 90% with visibility < 100 m) whereas the afternoons were associated 29 with high speed westerly winds (> 4 m s⁻¹). Wind speeds from other wind sectors were 30 generally lower (average wind speeds $\sim 1 \text{ m s}^{-1}$). The early morning wind flow was normally 31 from the south-east wind sector which comprised of several brick kilns and Bhaktapur city. 32 33 Evening hours were also associated with dense fog and the relative humidity (RH) was

- 1 generally greater than 90% throughout the night. Minimum RH levels (~35%) were observed
- 2 during midday. The sunrise typically occurred between 07:00 08:00 and sunset timings
- 3 were around 17:00 Nepal Standard Time (NST), respectively. The range of atmospheric
- 4 pressure during the campaign was 856-866 hPa.

5 2.2 VOC measurements using PTR-TOF-MS

6 Volatile organic compounds (VOCs) over the mass range (21-210 amu) were measured using a commercial high sensitivity proton transfer reaction time of flight mass spectrometer 7 8 (Model PTR-TOF-MS 8000; Ionicon Analytic GmbH, Innsbruck, Austria). This instrument 9 has been described in detail by Jordan et al. (2009) and is a more recent development of the 10 PTR technique (Lindinger et al., 1998) that enables higher mass resolution at ppt level detection limit (Ruuskanen et al., 2011;Müller et al., 2010;Park et al., 2013;Stockwell et al., 11 2015). Briefly, the instrument consists of a hollow cathode ion source which produces a pure 12 flow of the H_3O^+ reagent ions, a drift tube where analyte VOCs undergo ionization and an 13 orthogonal acceleration reflectron time of flight mass analyzer and multi-channel plate 14 detector. The instrument was operated at a drift tube pressure of 2.2 mbar, drift tube 15 temperature of 60° C and drift tube voltage of 600 V, resulting in an operating E/N ratio of 16 ~135 Td (1 Td = 10^{-17} V cm⁻² (de Gouw and Warneke, 2007)). The high mass resolution of 17 the instrument (m/ Δ m > 4200 at m/z 21.022 and > 4800 at m/z 205.195) and detection limit 18 19 of few tens of ppt permitted identification of several rarely measured or previously 20 unmeasured compounds based on their monoisotopic masses. 21 The PTR-TOF-MS 8000 used in this work was installed in a room on the second floor of a building at the suburban measurement site in Bode, Kathmandu. Ambient air was sampled 22 23 continuously from the rooftop (~20 m above ground) through a Teflon inlet line that was protected with a Teflon membrane particle filter to ensure that dust and debris did not enter 24 25 the sampling inlet. Teflon membrane particle filters similar to the ones used in the 26 Kathmandu study have been used without issues in several previous PTR-MS VOC studies 27 by some of the authors (e.g. Sinha et al. (2010)), including at another South Asian site in Mohali, India (Sinha et al., 2014). The filters were changed on seven occasions during the 40 28 29 day long deployment from 19 December 2012 to 30 January 2013. The inlet lines used in Kathmandu were prepared prior to deployment by continuous purging at different flow rates 30 in the laboratory at Mohali for more than three days and zero air was sampled through these 31 lines. After purging, the background signals were always comparable to background signals 32

1 observed during direct injection of zero air without a long inlet line for the m/z ion peaks 2 reported in this work. Bearing in mind that the ambient air (range of ambient temperature: 5-15°C) was drawn in under 25 seconds (residence + sampling time; determined by spiking the 3 inlet with sesquiterpenes emitted from an orange peel) into the PTR-TOF-MS, the probability 4 5 of inlet effects for sticky compounds is not high. In any case, the part of the inlet line that was indoors was well insulated and heated to 40°C all the time to ensure there were no 6 7 condensation effects. Instrumental background checks using zero air were performed at 8 intervals of 3-4 days during the campaign.

9 Data acquisition of mass spectra was accomplished using the TofDaq software (version 1.89; 10 Tofwerk AG, Switzerland). This software controls the timing of the pulser (used to pulse the ions produced in the drift tube and channel them into the time-of-flight region) and stores the 11 12 raw data as a series of mass spectra in HDF5 format along with relevant instrumental metadata. The raw mass spectral data was then analyzed using the PTRMS-viewer software 13 14 (version 3.1; Ionicon Analytic GmbH, Innsbruck, Austria) enabling peak search, peak fits and mass assignments. Mass axis calibration was accomplished using the following intrinsic ions: 15 $H_3^{18}O^+$ (monoisotopic mass 21.022) and $H_3^{18}O^+$.(H₂O) (monoisotopic mass 39.033). In 16 addition the transmission values of benzene (m/z = 79.054), toluene (m/z = 93.070), xylenes 17 18 (m/z = 107.086), trimethylbenzenes (m/z = 121.101), dichlorobenzene (m/z = 146.976) and 19 trichlorobenzene (m/z = 180.937) were employed. Further analysis of the dataset was carried

20 out using the IGOR Pro software (version 6.0; WaveMetrics, Inc.).

The instrument was calibrated twice (10 January 2013 and 15 January 2013) during the field

22 deployment by dynamic dilution of VOCs using a 17-component VOC gas standard (Ionimed

Analytik GmbH, Austria at ~1 ppm; stated accuracy better than 8%). Calibration for these

seventeen VOCs namely formaldehyde, methanol, acetonitrile, acetaldehyde, ethanol,

acrolein, acetone, isoprene, methacrolein, 2-butanone, benzene, toluene, o-xylene,

26 chlorobenzene, α -pinene, 1, 2-dichlorobenzene and 1, 2, 4-trichlorobenzene were carried out

in the range of 2-10 ppb at various relative humidities (RH = 60%, 75% and 90%). RH was

controlled as per the details provided in Kumar and Sinha (2014). In order to determine the

29 instrumental background at all relevant m/z channels, VOC free zero air was produced by

30 passing ambient air through an activated charcoal scrubber (Supelpure HC, Supelco,

Bellemonte, USA) and a VOC scrubber catalyst maintained at 350^oC (GCU-s 0703, Ionimed

32 Analytik GmbH, Innsbruck, Austria). Following the procedure of Stockwell et al. (2015), the

1 measured ion signals were normalized to H_3O^+ (m/z = 19) primary ions according to the 2 following equation:

$$ncps = \frac{I(RH^{+}) \times 10^{6}}{I(H_{3}O^{+})} \times \frac{2}{P_{drift}} \times \frac{T_{drift}}{298.15} \qquad \dots (1)$$

3

The two calibration experiments made during the course of the campaign did not change the 4 VOC sensitivities (values were within the precision error) as instrumental operational 5 6 conditions were not changed. Usually, the sensitivity response of VOCs in a PTR-MS remain 7 remarkably stable if instrumental operational conditions are not changed. This is borne by 8 several previous studies (de Gouw and Warneke, 2007) including our own group's recent 9 studies in the South Asian environment which involved a three year study (Chandra and 10 Sinha, 2016) and a month long study (Sinha et al., 2014). Large changes in ambient humidity are known to affect the sensitivity of some VOCs (e.g. benzene, methanol). This occurs due 11 to a change in the abundance and ratio of the primary reagent ions (H_3O^+ ; m/z = 19) and the 12 hydrated hydronium ions (H_3O^+ , H_2O ; m/z = 37) within the drift tube (de Gouw et al., 2003). 13 It assumes importance when ambient RH has large variability (e.g. very dry < 20% RH to 14 15 very humid > 80% RH) during the measurements (e.g. during airborne measurements) and when the ratio of m37/m19 in the drift tube is typically more than 10%. For the 16 17 measurements reported in this study, the ratio of the hydrated hydronium ions (H_3O^+ , H_2O ; nominal m/z = 37) to the primary ions (H₃O⁺; nominal m/z = 19) was lower than 10%, for 18 19 more than 92% of the dataset and the ratio never exceeded 16%. For the conditions during the 20 campaign, our calibration experiments did not reveal significant humidity dependence for the VOC sensitivities (ncps ppb⁻¹). Moreover, as reported in de Gouw and Warneke (2007) and 21 Sinha et al. (2009), it is the absolute humidity content of the sampled air rather than the RH, 22 which is responsible for changes in detection sensitivity of certain VOCs within the PTR-MS. 23 24 The sensitivity dependence has been reported in numerous studies as function of RH because 25 RH is more frequently used in meteorology and for no changes/small changes in temperature, RH is a good proxy of the absolute humidity. We note that during the Kathmandu 26 deployment, while the RH variability was large (35% - 100%) most of the RH change was on 27 account of changes in the ambient temperature rather than changes in absolute humidity of 28 sampled air. The variability in the absolute humidity was only in the range of 20% between 29 <u>19 December 2012 and 30 January 2013</u>. Figure 3 shows the sensitivities (ncps ppb⁻¹) for 30 acetonitrile (a biomass burning tracer), acetaldehyde (an oxygenated compound), isoprene (a 31 32 biogenic tracer) and benzene (an aromatic compound) at different RH regimes (60%, 75%

and 90%) during the calibration experiments. In all cases an excellent linearity (r² = 0.99)
was observed. Hence the sensitivity (ncps ppb⁻¹) derived at 90% RH was applied for
converting the measured normalized counts per second to ppb and no further humidity
corrections were deemed necessary.

5 In order to derive the sensitivity for the sum of monoterpenes, which have a molecular ion 6 peak at m/z = 137.132 and for which fragmentation results in ion signals at $m/z \ 81.070$ and 7 $m/z \ 137.132$, the signal measured at $m/z \ 137.132$ was scaled by 2.63, as calibrations at the 8 instrumental settings employed in the study clearly showed that 38% of the total molecular 9 ion signal for monoterpene was detected at m/z = 137.132, consistent with fragmentation 10 patterns reported previously by Tani et al. (2004) for similar reaction conditions in the drift 11 tube.

12 Variable background concentrations were observed for both formaldehyde and methanol while sampling zero air during the calibration experiments. Hence for these two compounds 13 and all the other compounds not present in the 17 component VOC gas standard but reported 14 in this work, sensitivity factors were determined following the example of Stockwell et al. 15 (2015), wherein calculated mass-dependent calibration factors based on linearly 16 approximated transmission curve fits for oxygenated VOCs and hydrocarbons were 17 18 employed. Figure S1 a shows the linearly fitted mass-dependent transmission curve (black markers and dotted line) overlaid with the sensitivity factors of the calibrated compounds. 19 20 Using linear approximations, mass-dependent calibration factors were determined separately 21 for oxygenates (Figure S1 b) and hydrocarbons (Figure S1 c) in keeping with their different mass dependent behavior. For masses with heteroatoms other than oxygen, mass-dependent 22 23 sensitivity factors were determined based on approximations used for the oxygenated compounds. For acetic acid, the sum of the signals at m/z 61.207 (parent ion peak) and m/z24 25 43.018 (fragment of the parent ion), were used to derive an upper limit for its ambient 26 concentration.

The limit of detection was defined to be 2σ of the measured normalized signal (ncps) while measuring VOC free zero air divided by the sensitivity expressed in ncps ppb⁻¹ (Sinha et al., 2014). The total uncertainty for calibrated compounds was calculated using the root mean square propagation of the accuracy error of the VOC standard, the mass flow controller's flow fluctuations during the calibration and the instrumental precision error (2σ while measuring 2 ppb of the compound). Using this approach, all calibrated VOCs had a total

- 1 uncertainty of < 20% (e.g., acetaldehyde 9.9%, acetone 9.6%, isoprene 15.4%, benzene 9.4%
- 2 and toluene 8.9%) whereas for the other compounds reported in this work that could not be
- 3 calibrated, we estimate an overall uncertainty of ~50% as also proposed by Stockwell et al.
- 4 (2015) using a similar approach for quantification.

5 3 Results and Discussions

6 3.1 Identification of VOCs present in ambient air using PTR-TOF-MS mass

- 7 scans
- 8 The PTR-TOF-MS deployed in this study was operated over the range of 21-210 Th, with a
- 9 mass resolution (m/ Δ m > 4200 at m/z 21.022 and > 4800 at m/z 205.195) sufficient to
- 10 identify several compounds based on their monoisotopic masses. A maximum of 71 ion peaks
- 11 (m/z) were observed in the mass spectra during the measurement period for which the
- 12 measured ambient concentrations exceeded the detection limit. Among these 71 species, 37
- 13 compounds/species had an average concentration greater than or equal to 200 ppt during the
- 14 study period. The molecular formula of compounds/species corresponding to these 37 ion
- 15 peaks are listed in Table S1 of the supplement. Additionally Table S1 also provides: 1)
- 16 identity of plausible organic/fragment ions (e.g. NO_2^+ due to C1-C5 alkyl nitrates), 2)
- 17 sensitivity, 3) limit of detection, and 4) average $\pm 1 \sigma$ variability of ambient mixing ratios
- 18 observed during the study period.
- 19 In order to minimize ambiguity arising due to multiple species or fragment ions contributing
- 20 to ion peaks at a given m/z ratio, the following quality control measures were employed for
- 21 attribution of mass identifications to the observed ion peaks: 1) Ion peaks for which the
- 22 observed mass spectra had competing/major shoulder peaks in a mass bin width of 0.005 amu
- centred at the relevant monoisotopic ion peak were excluded from exclusive mass
- assignments 2) Next, the ambient time series of the observed ion peak assigned after step 1,
- 25 was carefully examined and cases where the concentration profile was completely
- 26 flat/showed no ambient variability were also excluded from mass assignments 3) Thirdly, the
- 27 concentration profiles of the ion peaks ascribed to rarely reported or new compounds after
- step 1 and step 2, were compared to the ambient time series and diel profiles of more
- 29 frequently/regularly quantified VOCs, such as acetonitrile, isoprene, benzene, toluene,
- 30 acetone and acetaldehyde as their diel profiles would likely indicate the driving processes and
- emission sources of the compounds. During the PTR-TOF-MS field deployment,

instrumental background checks revealed backgrounds as high as 170 ppt at certain m/z
 channels (e.g. m/z 125.958, m/z 90.947, m/z 108.957). Therefore, the 200 ppt cut off was

- 3 chosen as an additional quality control measure so as to ensure attribution of ion peaks in the
- 4 mass spectra only to the compounds present in the ambient air and not due to instrumental
- 5 reasons. The 37 compounds that were identified accounted for 86.7 % of the total mass due to
- 6 all 71 ion peaks detected in the mass spectra.
- 7 In cases, where the contributions of isotopologues were significant (e.g., acetic acid m/z =8 61.027 and nitromethane m/z = 62.026), the signal at the concerned m/z was duly corrected (Inomata et al., 2014). Potential interferences due to isotopic contributions (e.g. ¹³C) were 9 10 also corrected whenever applicable. Out of these 37 ions which were identified with reasonable confidence, 8 contained nitrogen, 15 were oxygenated compounds, 13 were 11 12 hydrocarbons and one contained sulfur. Two ion peaks (m/z = 51.044 and m/z = 56.060) could not be identified based on the exact protonated monoisotopic m/z and for these, the 13 14 closest contenders namely 1,3-butadiyne (m/z = 51.023) and propanenitrile (m/z = 56.050), were tentatively assigned. Two "new" compounds, which to the best of our knowledge have 15 16 not been reported in any previous study, namely formamide (CH₃NO; protonated m/z =46.029) and acetamide (C_2H_5NO ; protonated m/z = 60.051) were also detected. We discuss 17 18 their diel variability and potential sources in Section 3.5 along with some of the other rarely 19 reported compounds in ambient air. Figure S2 of the supplement shows an illustrative mass spectra for isocyanic acid which demonstrates that it is the major contributor in the relevant 20 mass bins. Considering that some loss of isocyanic acid can occur due to hydrolysis in the 21 drift tube, our measurements maybe a lower limit of the ambient concentrations of isocyanic 22 acid. 23

The total reactive carbon calculated as the sum of the average mixing ratios of all the 37 24 25 compounds reported in this study was 175.8 ppbC, of which fifteen compounds alone 26 contributed 145.4 ppbC (83% of the total) and are listed in Table S2 of the supplement. 27 Propyne, acetaldehyde, benzene, acetic acid, acetone, propene and toluene collectively comprised composed more than 60 % of the measured reactive carbon. In Section 3.2 and 28 29 3.4, we examine the general trends and diel concentration profiles of the most abundant VOCs to gain more detailed insights into the emission sources and chemistry of VOCs in 30 wintertime air of the Kathmandu Valley. 31

32 **3.2** General trends in VOC concentrations during the SusKat-ABC campaign

Figure 4 shows the general trends in VOC mixing ratios (as 1 minute temporal resolution 1 2 data) during the period of study from 19 December 2012 – 30 January 2013. While the top panel represents the time series in mixing ratios of oxygenated VOCs namely methanol, 3 acetaldehyde and the sum of acetone and propanal, the second and third panels show mixing 4 5 ratios of isoprene, acetonitrile and furan, respectively. The bottom panel shows the mixing ratios of benzene, toluene, sum of C8-aromatics (xylenes and ethylbenzene), and sum of C9-6 7 aromatics (trimethylbenzenes and propylbenzenes). All these compounds collectively 8 accounted for about 50% (total 85.4 ppbC) of the total reactive carbon and are amongst the 9 most abundant VOCs known to be present in air influenced by urban emissions.

10 It can be seen that the time series was characterized by two contrasting periods in terms of chemical emission signatures: period 1 (shaded in pale yellow in Figure 4; 19 December 2012 11 12 - 2 January 2013) and period 2 (shaded in grey in Figure 4; 4 – 18 January 2013). period 1 was characterized by high mixing ratios of isoprene (> 3 ppb) during the daytime and low 13 14 acetonitrile mixing ratios relative to the remainder of the measurement period (generally < 2ppb except for a plume on 28 December 2015 which was suspected to be due to garbage 15 16 burning in the local vicinity), while period 2 was marked by a decrease in the amplitude of daytime isoprene and a significant increase in mixing ratios of acetonitrile (typically > 3 ppb) 17 18 and benzene (typically > 10 ppb). The global budget of isoprene is dominated by emission from vegetation (500 Tg a⁻¹; (Guenther et al., 2006)), in most cases as a function of 19 photosynthetic active radiation (PAR) and temperature. Clearly, in the early part of this 20 winter campaign, conditions were favourable for significant isoprene emissions from 21 vegetation. It is worth mentioning that oak and Melia azedarach were present in the forested 22 regions upwind of the site and are high isoprene emitters (www.es.lancs.ac.uk/cnhgroup/iso-23 24 emissions.pdf). As the ambient temperature and radiation decreased and early morning fog became frequent during the first half of January, biogenic emissions reduced in intensity (< 2 25 ppb). Leaf fall in deciduous trees by the end of December and more frequent leaf litter 26 burning were likely important contributors to reduced isoprene and increased acetonitrile 27 28 emission in the second half of the campaign. While the highest isoprene concentrations were 29 driven by biogenic sources, biomass burning sources also emitted isoprene, a finding 30 consistent with reports from another South Asian site at Mohali, India (Sinha et al., 2014). 31 All the brick kilns in the Kathmandu Valley are Fixed Chimney Bull Trench Brick Kilns 32 (FCBTK), except for one Vertical Shaft Brick Kiln (VSBK) and two Hoffmann design brick 33 kilns. FCBTKs are operated around the clock, from the first week of January to mid-April

according to our survey. Thus, our deployment fortuitously was able to contrast the periods 1 2 marked by the presence and almost complete absence of operational brick kilns in the fetch region of our measurement site. Most open burning and cooking activities remain similar in 3 December and January. Due to reduced leaf fall in January (leaf fall of deciduous trees picks 4 up in November and peaks in December) the open burning of leaf litter with other waste is 5 generally less in January. With regard to increased open biomass burning from other sources 6 7 in January, the first week of January was the coldest period of the deployment, so one could 8 hypothesize that the higher emissions in this period were due to more open fires being lit to 9 keep warm. However as can be seen in Figure 4, the biomass burning emissions were much higher for most of January (including a rain event during 18 January 21:00 LT – 19 January 10 01:00 LT). Thus, the brick kiln activity is the singular feature that is prominently different 11 between both the periods. To the best of our knowledge and survey, the fuel burnt in the brick 12 kilns does not differ much between the brick kilns though the type of bio-fuel employed 13 during different times in a year can vary depending on the availability and abundance of 14 15 certain types of bio-fuel. One common biofuel used in the brick kilns is the seed of the lapsi 16 fruit (*Choerospondias axillaris*). This emission activity appears to have been captured quite well in the time series profile of acetonitrile, for which the major emission source is biomass 17 18 burning (Holzinger et al., 1999;Sarkar et al., 2013;Sinha et al., 2014). It was also interesting to note the similarities in the time series of furan (~1 ppb), another combustion tracer, with 19 20 acetonitrile. Biomass burning and biofuel use contribute to half of the global budget of benzene (Henze et al., 2008; Andreae and Merlet, 2001; Sarkar et al., 2013) and it appears that 21 22 brick kilns in Kathmandu being co-fired with biomass as fuel were a major source of benzene. A recent study by Al-Naiema et al. (2015) reported reduction in emissions (~40% 23 24 reduction of fossil CO_2 , particulate matter and heavy metal emissions) when co-firing of oat hull biomass with coal was carried out for generation of electricity in the United States. We 25 26 note that the case study did not investigate co-emission of toxic VOCs such as isocyanic acid, formamide, acetamide, nitromethane and naphthalene which were associated with emissions 27 from biomass co-fired brick kilns in the Kathmandu Valley. A study conducted by Clean 28 Energy Nepal (Raut, 2003) showed that 8 h averaged concentrations of air pollutants such as 29 Total Suspended Particulate (TSP), PM₁₀, SO₂ and NO_x were three times higher during the 30 brick kiln operating period relative to the period they were not operational at the same 31 32 location. Significant differences exist between the electricity generation unit studied by Al-Naiema et al. (2015) and the typical biomass co-fired brick kilns that dot the Kathmandu 33 Valley in terms of design, combustion efficiency, biomass fuel being co-fired and the end 34

application. Thus though the same word "co-firing" is used colloquially, these are really
different from an operational and environmental standpoint, with one being an efficient
closed unit set up that employs good scrubbers whereas the other has numerous vents and
combustion characteristics that are hardly comparable.

5 It is also worth mentioning that the hotspot and regional haze imagery obtained using MODIS 6 Terra satellite (at a spatial resolution of 500 m and time resolution of 5 minutes at 05:00-7 06:00 Local Time) between 19 December 2012-30 January 2013 (data accessed at NASA worldview; https://worldview.earthdata.nasa.gov/) were similar except for a 6 day period (12-8 9 17 January 2013), wherein the regional haze was stronger. We note that calmer 10 meteorological conditions could be a potential contributory factor for stronger haze in this 11 period. The MODIS satellite image did not detect any active fire counts (at greater than 85% confidence limit) over the Kathmandu Valley (latitude 27.7°N) during the whole campaign 12 13 period (19 December 2012-30 January 2013). Thus, the higher chemical concentrations 14 observed from 4-18 January and even later, appear to be linked to the re-start of the biomass 15 co-fired brick kilns and cannot be explained by linkages with regional haze or increased open burning of biomass, considering the available evidence. We analyze the diel profiles for the 16 17 two contrasting periods and some of these aspects in detail in Section 3.4.

In the time series it can also be seen that 19 January 2013 was characterized by the lowest 18 VOC concentrations because of an intense rain event during the previous night. When 19 20 considering the entire study period, high concentrations of OVOCs were typically observed 21 in the early morning hours between 08:00-10:00 LT and surprisingly acetaldehyde, which is 22 the most reactive VOC among the OVOCs, frequently reached concentrations as high as 40 ppb. In contrast methanol and the sum of acetone and propanal were generally below 20 ppb 23 and 10 ppb, respectively. Peak acetaldehyde concentrations of about 30-40 ppb were 24 25 observed in the time series. These often correlated with peaks in the concentrations of acetonitrile and furan (chemical tracers for combustion) between 4 January 2013 and 30 26 27 January 2013 and occasionally with peaks in daytime isoprene concentrations before 2 January 2013. Biomass burning sources and photo oxidation of precursor compounds co-28 emitted from the biomass burning appear to contribute significantly to the high 29 concentrations of oxygenated VOCs. This points to the fact that the major sources of 30 oxygenated VOCs during the wintertime in Kathmandu are different from what are generally 31 considered to be the most important sources based on studies conducted in several other 32

1 regions of the world, where photo oxidation and industrial sources dominate and has large 2 implications for wintertime oxidation chemistry in the Valley, as these species play a key role in radical chemistry (Singh et al., 1995). For example, the observed ranking in oxygenated 3 VOCs is different from the ranking observed during wintertime in megacities like Paris and 4 5 London (methanol > acetaldehyde > acetone) (Dolgorouky et al., 2012;Langford et al., 2010). Furthermore the ranking observed for aromatic VOCs during this study (benzene > toluene > 6 7 C8-aromatics > C9-aromatics) was in contrast to the ranking (toluene > benzene > C8-8 aromatics > C9-aromatics) observed in several urban sites such as Paris, London and Tokyo 9 (Dolgorouky et al., 2012;Langford et al., 2010;Yoshino et al., 2012). This exemplifies that the nature and strength of emission sources for oxygenated and aromatic VOCs in the 10 Kathmandu Valley differ from several urban areas in other parts of the world. Biomass 11 burning sources and the manner in which regulation of benzene occurs, are likely the major 12 causes for the observed differences. 13

14 **3.3 Comparison with wintertime VOC mixing ratios elsewhere**

Figure 5 provides a comparison of average VOC mixing ratios measured in the Kathmandu 15 Valley with VOC mixing ratios reported at other urban or urban influenced environments 16 including megacities, during the winter season. The concentration ranking in the average 17 18 VOC mixing ratios during our wintertime deployment was acetaldehyde (8.8 ppb) > 19 methanol (7.4 ppb) > acetone + propanal (4.2 ppb) > benzene (2.7 ppb) > toluene (1.5 ppb) > isoprene (1.1 ppb) > acetonitrile (1.1 ppb) > C8-aromatics (\sim 1 ppb) > furan (\sim 0.5 ppb) > C9-20 21 aromatics (0.4 ppb). As can be seen from Figure 54, when compared to average wintertime mixing ratios reported from several sites elsewhere in the world, the mixing ratios of 22 23 acetaldehyde (~9 ppb), acetonitrile (~1 ppb) and isoprene (~1 ppb) in the Kathmandu Valley are among the highest measured anywhere in the world. In contrast, Kathmandu had lower 24 25 methanol mixing ratios than measured in London and Tokyo (Langford et al., 2010; Yoshino 26 et al., 2012) during winter 2006 and 2007 (19.4 ppb and 12.4 ppb, respectively) as well as 27 lower acetone mixing ratios than what was measured in Barcelona (8 ppb) (Filella and 28 Peñuelas, 2006). Apart from the contribution of biogenic sources during daytime, which was 29 major, isoprene was also emitted by biomass combustion sources in the Kathmandu Valley. Borbon et al. (2001) have previously reported that due to traffic emissions alone, isoprene 30 mixing ratios can reach as high as 1.8 ppb in urban areas. The Bode site was located in the 31 outflow of Kathmandu metropolitan city and Lalitpur sub-metropolitan city and therefore the 32

1 evening time increase in isoprene can also be partially due to traffic emissions during the 2 evening rush hour. The average benzene concentrations in Kathmandu (~3 ppb) were notably higher than those reported in other cities except for the city of Karachi (Barletta et al., 2002). 3 The average toluene and sum of C8 – aromatics mixing ratios, however were lower than in 4 5 other urban areas like Tokyo (Yoshino et al., 2012), Barcelona (Filella and Peñuelas, 2006), Karachi (Barletta et al., 2002), Hong Kong (Guo et al., 2007) and Guangzhou (Liu et al., 6 7 2008), where industrial and traffic sources are much larger than in Kathmandu. Whereas benzene is emitted in almost equal proportion from fossil fuel and biomass combustion 8 9 sources (Henze et al., 2008), fossil fuel combustion and industrial processes contribute a much larger fraction to the global budgets of toluene and sum of C-8 and C-9 aromatics. The 10 observed trend in concentrations of some of the aromatic compounds made using the PTR-11 TOF-MS in this study differs from the trend reported in a previous study by Yu et al. (2008) 12 who employed the long path differential optical absorption spectroscopy (DOAS) technique 13 to make measurements of monocyclic VOCs in Kathmandu during winter 2003. In that study, 14 xylene (a C-8 aromatic compound) concentrations were reported to be the highest followed 15 by toluene and benzene respectively. We think two reasons are responsible for differences 16 from that study. The first reason is that the measurements by Yu et al. (2008) were carried out 17 18 near a fairly busy road (Chabahil-Bouddha-Jorpati road) and a traffic intersection (Chabahil-Ringroad intersection) and consequently the aromatic VOC concentrations were primarily 19 20 influenced by traffic sources. Secondly, VOC measurements using the long path DOAS technique are reported to have potentially large interferences due to ambient ozone and 21 22 suspended particles (Yu et al., 2008).

Despite much larger population and more industries compared to Kathmandu, wintertime
measurements in the megacities of London (Langford et al., 2010) and Paris (Dolgorouky et
al., 2012) suggest that the air is much cleaner for many of the VOCs shown in Figure 5. The
combination of the topography of Kathmandu (which results in suppressed ventilation) and
the anthropogenic and biogenic emissions within the Valley appear to cause high ambient
wintertime concentrations for several VOCs (e.g. acetonitrile, acetaldehyde and benzene and
isoprene).

30 **3.4 Diel profiles as a tool to constrain emission sources: VOCs emitted from**

biomass burning activities in the Kathmandu Valley

1 In order to contrast the role of diverse emission sources during period 1 and period 2, we

2 analyzed the diel profiles of a number of VOCs. These are shown in Figure 6 as box and

3 whisker plots for period 1 (derived from total number of measurements > 20500) and period

4 2 (derived from total number of measurements > 21500) for methanol, acetaldehyde, sum of

acetone and propanal, isoprene, furan, isocyanic acid, acetonitrile, benzene and toluene. The
time stamp is the start time of respective hourly data bin (e.g. 9 for data averaged between 9

7 and 10).

8 We note that the concentrations of acetonitrile (a chemical tracer for biomass combustion),

9 methanol, benzene and isocyanic acid are significantly higher in period 2 relative to period 1,

10 indicating that for all of them, the biomass co-fired brick kilns that became operational in the

11 first week of January and other forms of biomass burning (e.g. leaves and branches, garden

12 waste and garbage) were major contributory sources. In contrast, isoprene and toluene

13 concentrations were markedly higher during period 1 as compared to period 2. Acetaldehyde

14 and furan did not differ much between period 1 and period 2. The high acetaldehyde

15 concentrations suggest the possibility of high levels of peroxy acetyl nitrate (PAN). The

16 campaign average concentration of 1.08 ppb observed at m/z 45.990 and attributed to NO_2^+

17 (Table S1), which is a fragment ion of C1-C5 alkyl nitrates (Aoki et al., 2007), appears to be

18 consistent with the presence of a large pool of gaseous organic nitrate species too. Except for

19 isoprene, isocyanic acid and acetaldehyde, which did not show a marked bimodal profile

20 (morning and evening maxima), all the other VOCs shown in Figure 6 exhibited bimodal diel

21 profiles to some degree, indicating the common influence of urban emission activities and

22 biomass combustion sources for these compounds. Bimodal profiles for VOCs have

23 previously been reported from several sites influenced by urban emissions (Staehelin et al.,

24 1998;Stemmler et al., 2005), including our recent work in Mohali, India (Sinha et al., 2014),

another site in South Asia that is somewhat closer to Kathmandu in terms of emission

sources. Such bimodal profiles typically arise because morning and evening emissions get

27 mixed into a shallow boundary layer, while the afternoon emissions are diluted under a

rapidly growing boundary layer due to the surface heat flux, giving rise to a daytime minima

in the diel profile. This holds for VOCs which are not formed photochemically or emitted

anomalously during the daytime in large measure. Thus, bimodal diel profiles were not

31 observed for isoprene which is emitted by terrestrial vegetation during daytime and

32 acetaldehyde and isocyanic acid which are known to be emitted from biomass fires and

produced photochemically from precursor compounds (Millet et al., 2010;Roberts et al.,

2014). In general, for both period 1 and period 2, the features of diel profiles in terms of rise

1 and fall of concentrations are largely conserved for all the VOCs shown in Figure 6. The diel profiles of all VOCs also reveal at about 06:00 local time (LT), emission activities pertaining 2 to cooking (use of biofuel and fossil fuel such as LPG), traffic and brick kilns pick up and the 3 diel peaks for almost all VOCs occurs at around 08:00-09:00 LT. In general, for both period 1 4 5 and period 2, the features of diel profiles in terms of rise and fall of concentrations are similar for all the VOCs shown in Figure 6. The diel profiles of all VOCs also reveal at about 06:00 6 7 local time (LT), emission activities pertaining to cooking (use of biofuel and fossil fuel such as LPG) and traffic pick up, which in combination with the brick kilns emissions appears to 8 9 drive the diel peaks observed for almost all VOCs at around 08:00-09:00 LT.

10 In addition to the typical boundary layer dynamics and emissions driving concentration profiles, the mountain meteorology appears to play a key role in the concentration peaks 11 12 observed after sunrise around 08:00-09:00 LT. If one examines the diel pattern for wind speed, wind direction, temperature and solar radiation data (available for part of the study and 13 14 shown in Figure 2 b, it is clear that the diel meteorological conditions (e.g. surface wind flow, direction, temperature, RH) were very consistent even on different days as there is a very 15 16 narrow spread in the values for each hour and the average and median always converged. The wind speeds were typically lower than 1 ms⁻¹ for almost all hours of the day except between 17 18 10:00-16:00 LT, when westerly winds from the mountain passes lying west of the site swept across the Valley attaining wind speeds of 3-4 ms⁻¹, causing rapid venting and dilution. The 19 wind direction was very consistent on daily timescales. During the evening and at night, the 20 horizontal wind flow was mainly from the south-east direction, which changed to a westerly 21 flow during the day. Down-slope mountain winds during nighttime result in pooling of 22 23 cleaner cold air. Then shortly after sunrise, convective mixing of surface air with residual air commences the growth of the well mixed daytime boundary layer (Panday and Prinn, 2009). 24

25 The highest mixing ratios for acetaldehyde (average value of ~25 ppb for both period 1 and 26 period 2) and acetone (average value of ~7 ppb for both period 1 and period 2) and indeed for 27 most of the other VOCs were observed during morning hours about one hour after sunrise 28 (09:00 - 10:00 LT). The breaking of the nocturnal boundary layer and entrainment of air 29 masses rich in accumulated oxygenated VOCs, which were displaced by cold air from the mountain slopes after midnight contribute towards the peaks observed in all VOCs between 30 09:00-10:00 LT. In mountain basins such as the Kathmandu Valley, at nighttime, katabatic 31 winds are generated due to radiative cooling of mountains that lead to pooling of cold air to 32

1 the Valley bottom (Figure 2 a). Due to this katabatic flow, less cold and less dense air parcels 2 in the Valley bottom containing the entire Valley's surface emissions rise upward during nighttime, while relatively clean cold air parcels flow underneath. After sunrise, downward 3 mixing of the uplifted accumulated VOCs occurs with new surface emissions, as a growing 4 5 mixed layer entrains the elevated layers of the polluted air. Therefore during morning hours, mixing of oxygenated VOCs and their precursors that had accumulated during nighttime and 6 7 a kick start to their photochemical production after sunrise, contributes to sharp peaks (e.g. for acetaldehyde and acetone). It should also be noted that the majority of the population in 8 9 Kathmandu Valley cook their major meals in the morning and evening hours using fuel such as liquefied petroleum gas (LPG), kerosene and firewood (Panday et al., 2009). 10

11 Contributions from biogenic sources and oxidation of alkenes to acetaldehyde are also

12 important. Reaction of oxygenated VOCs like ethanol and methyl ethyl ketone (MEK) with

hydroxyl (OH) radicals and the reaction of tropospheric ozone (O_3) with alkenes can

significantly contribute to photochemical formation of acetaldehyde (Sommariva et al.,

15 2011;Grosjean et al., 1994). After the morning peak (09:00 – 10:00 LT), a sharp decrease was

16 observed in the average acetaldehyde mixing ratios (from ~ 25 ppb to ~ 8 ppb during 10:00 –

17 13:00 LT) relative to methanol and acetone, which is not surprising considering its much

18 higher OH reactivity.

19 The highest isoprene concentrations were observed during daytime for both period 1 and 20 period 2 but the average concentrations were much higher during period 1 when ambient 21 temperature and solar radiation were comparatively higher and deciduous trees had not shed much of their leaves. This clearly points to daytime biogenic emission sources of isoprene in 22 23 the Kathmandu Valley. In Section 3.6 we investigate the spatial and temporal location of the biogenic sources. We note that while the isoprene emission profile was dominated by 24 25 biogenic sources, biomass burning (Christian et al., 2003;Andreae and Merlet, 2001;Warneke 26 et al., 2011) and traffic (Borbon et al., 2001) also contributed to the ambient isoprene as can 27 be seen from the nighttime peaks and discussed in previous sections. Thus, the contribution 28 of both biogenic and anthropogenic sources resulted in high isoprene even in winter in the 29 Kathmandu Valley which is different from what has been observed at high latitude sites in winter (Seco et al., 2011). 30

Apart from the biomass burning practices typical of developing regions of the world, the
brick kilns in the Kathmandu Valley do not only burn coal, but also burn large quantities of

wood and crop residues, ca. 90 tons per month per brick factory (Stone et al., 2010;Rupakheti
et al., 2016), which can emit acetonitrile and benzene (Sarkar et al., 2013). Both acetonitrile
and benzene levels were much higher during nighttime and morning hours in period 2 as
compared to period 1 due to more intense biomass burning in period 2. During 08:00–09:00
LT (when highest ambient acetonitrile and benzene were observed) average mixing ratios
were approximately 1 ppb and 2 ppb higher for acetonitrile and benzene, respectively during
period 2 relative to period 1.

8 Unlike acetonitrile and benzene, toluene concentrations were higher during period 1 in 9 comparison to period 2. Despite the dilution effect of cold air descending from the mountain 10 slopes, benzene concentrations increased during the night in period 2, whereas toluene concentrations did not show any increase during the night in both period 1 and period 2, 11 suggesting that biofuel and biomass burning sources (including the brick kilns co-fired with 12 biomass) and not traffic were the driving factors responsible for nighttime increase in 13 14 benzene during period 2 probably due to varied forms of biomass combustion, including the biomass co-fired brick kilns. The emission ratios of benzene/toluene from previous studies 15 16 show that, for a wide variety of commonly occurring fuels, the emission of benzene can be more than twice as high as the emission of toluene (Tsai et al., 2003;Lemieux et al., 17 18 2004;Stockwell et al., 2015). The use of large number of diesel generators as an alternative 19 power source in the Kathmandu Valley which suffers from scheduled daily power outages in 20 some quarter quarters of the city, could also have significant contributions to the observed high mixing ratios for aromatic VOCs. 21

To our knowledge, this paper reports the first measurements of isocyanic acid from any site 22 23 in South Asia. Isocyanic acid has only recently been measured in ambient air using novel mass spectrometric methods (Roberts et al., 2011) and much remains to be understood 24 25 regarding its sources and sinks in different environments. The high isocyanic acid 26 concentrations observed during the daytime suggest a strong photochemical source from 27 hydroxyl radical initiated oxidation of alkyl amines and amides with hydroxyl radicals 28 (Roberts et al., 2014;Roberts et al., 2011;Zhao et al., 2014). Isocyanic acid has also been 29 detected in diesel exhaust (Wentzell et al., 2013), tobacco smoke and wild fires and in emissions from low temperature combustion of coal (Nelson et al., 1996). From the diel 30 profile, it is clear that around evening time there are primary emissions too but overall the 31 secondary source dominates the ambient concentrations of isocyanic acid in this environment. 32

1 Currently, global models of isocyanic acid (Young et al., 2012) do not incorporate a 2 photochemical source. The recent model-based estimates of isocyanic acid (HNCO) by Young et al. (2012) showed annual mean concentrations of HNCO over the Indo Gangetic 3 Plain and Nepal to be in the range of 0.2-0.5 ppb (values read from Figure 4 of Young et al. 4 5 (2012). The average concentrations measured during winter in Kathmandu and in the post monsoon season in Mohali were ~ 1 ppb (Chandra and Sinha, 2016) with clear daytime 6 7 maxima. This suggests that inclusion of isocyanic acid's photochemical sources are necessary 8 for deriving better estimates of the global isocyanic acid budget, as these are likely 9 significant over South Asia where biomass burning and agricultural activities can also emit precursor compounds of isocyanic acid such as alkyl amines, formamide and acetamide 10 Roberts et al. (2011). Our in-situ field data from the Kathmandu Valley suggests that 11 inclusion of isocyanic acid's photochemical sources is necessary for deriving better estimates 12 of the global isocyanic acid budget, as these are likely to be significant over South Asia 13 where biomass burning and agricultural activities can emit alkyl amines. Serious health 14 15 impairments can occur upon exposure to isocyanic acid at concentrations greater than 1 ppb, which occurred during our study for several hours during period 2. These health impacts have 16 been previously mentioned in Roberts et al. (2011) and are also discussed in Section 3.9. 17

3.5 Diel profiles of rarely measured VOCs and correlation with emission tracer VOC compounds for constraining their sources

20 Figures 7 a, b and c show the diel profiles of propyne (m/z = 41.039), propene (m/z = 43.055) 21 and propanenitrile (m/z = 56.060) alongside acetonitrile (an excellent tracer for biomass combustion), respectively. These diel profiles correspond to data for the entire measurement 22 period. Strong correlation ($r^2 \ge 0.7$ for the hourly averages) with acetonitrile clearly indicates 23 that during our wintertime study in the Kathmandu Valley, all these compounds were 24 25 primarily emitted from biomass burning, despite having multiple sources (Hao et al., 26 1996; Akagi et al., 2011; Andreae and Merlet, 2001; Karl et al., 2003) Both propene and 27 propyne participate in important chemical reactions in the troposphere. While propene is a 28 source of OH radicals when it undergoes ozonolysis, propyne has been reported to produce 29 methylglyoxal, formic acid and acetic acid in multistep reactions (Lockhart et al., 2013; Warneck and Williams, 2012). Propanenitrile has been previously detected in biomass 30 smoke during laboratory studies (Akagi et al., 2013; Akagi et al., 2011; Yokelson et al., 31 2013;Karl et al., 2003;Karl et al., 2007). Average propanenitrile mixing ratios observed 32

1 during the measurement period were ~0.21 ppb. Propanenitrile reacts very slowly with 2 hydroxyl radicals in the atmosphere (k_{OH} is of the order of 10^{-13} cm³ molecule⁻¹ s⁻¹) but the 3 oxidation of propanenitrile with OH radicals can produce nitrogen compounds in the

4 troposphere and hence could contribute to the reactive nitrogen budget.

5 Figures 7 d, e and f show the diel profiles for nitromethane, dimethyl sulfide (DMS) and styrene alongside methanol, acetaldehyde and acetonitrile. Nitromethane (measured at m/z 6 7 ratio 62.026) concentrations correlated strongly with the hourly average concentrations of methanol ($r^2 = 0.81$). Nitromethane is known to be present in diesel exhaust (Inomata et al., 8 9 2014;Inomata et al., 2013;Sekimoto et al., 2013) and biomass burning plumes (Akagi et al., 10 2013). The maximum nitromethane mixing ratios observed in the Kathmandu Valley were ~1.2 ppb which falls within the range of ambient nitromethane mixing ratios (1-9 ppb) 11 12 reported previously in urban environments (Grosjean et al., 1998; Yassaa et al., 2001; Inomata et al., 2014). In the Kathmandu Valley, a large number of diesel power generators are used to 13 14 supplement the main power supply. Ambient nitromethane observed in the Kathmandu Valley is therefore also likely from a combination of biomass burning sources and diesel 15 16 exhaust emissions. The major sink of nitromethane in the atmosphere is its photodissociation (photodissociation lifetime of ~10h), which produces methyl radicals and NO₂ (Taylor et al., 17 18 1980). Therefore nitromethane can act as a NO_x source in the atmosphere and could 19 contribute to surface ozone production.

Dimethyl sulfide measured (at m/z ratio 63.026) in the Kathmandu Valley showed good 20 correlation with the diel profile of acetaldehyde (correlation of hourly averages: $r^2 = 0.8$). 21 Average concentrations in the morning (09:00-10:00 LT) reached 0.4 ppb. The oxidation of 22 23 DMS with nitrate radicals at night would account atleast in part for its lower nighttime concentrations of circa 0.2 ppb. Although marine phytoplankton emissions are known to be 24 25 the major source of DMS in the atmosphere (Andreae and Raemdonck, 1983;Sinha et al., 2007), ambient mixing ratios up to 160 ppt have been recently reported in the Amazon 26 27 rainforest, which were attributed to biogenic soil emissions (Jardine et al., 2015). Certain 28 lichens, mosses, grasses and plant leaves can emit acetaldehyde (Kesselmeier et al., 29 1997;Kesselmeier and Staudt, 1999). Therefore the possibility of such vegetation on the mountain slopes and the soil acting as sources of DMS and acetaldehyde, respectively, is 30 plausible. Inefficient combustion of sulfur rich biofuel/biomass are also potential sources of 31 32 DMS (Jardine et al., 2015).

1 Figures 7 g and h show the diel profiles of formamide and acetamide alongside isoprene 2 while Figure 7 i shows the diel profile of naphthalene and benzene. During the measurement period, daytime maximum average values of ~ 1 ppb and ~ 0.5 ppb were observed for 3 formamide and acetamide, respectively. Although formamide and acetamide correlate 4 strongly with isoprene (hourly average $r^2 \ge 0.8$), to our knowledge, biogenic sources of 5 formamide and acetamide have not been reported previously. On the other hand short chain 6 7 amides such as formamide and acetamide can be produced as a result of photochemical oxidation of alkyl amines with hydroxyl radicals and nitrogen oxides (NO_x) (Roberts et al., 8 9 2014). The presence of formamide in ambient air at concentrations as high as 1 ppb is consistent with the photochemical source of isocyanic acid discussed in the previous section. 10 Also it is reported that both formamide and acetamide could be emitted from tobacco smoke 11 and hence likely from pyrolsis pyrolysis of biomass (Ge et al., 2011). The good correlation of 12 formamide and acetamide with isoprene's diel concentration profile suggests that the 13 photochemical source arising from oxidation of amines dominates over any primary emission 14 sources of amides. 15

The ion peak detected at m/z ratio of 129.070 in the PTR-TOF-MS spectra was attributed to naphthalene. Naphthalene is the most volatile and abundant polycyclic aromatic hydrocarbon (PAH) present in the atmosphere. Previously Martinez et al. (2004) have reported vehicle exhaust and residential heating in the urban environments as major sources of naphthalene. The similarity in diel profiles of naphthalene and benzene and their strong correlation with each other (hourly average $r^2 = 0.79$), suggests that biomass burning and traffic sources dominated emissions of naphthalene in the Kathmandu Valley.

3.6 High isoprene in the Kathmandu Valley: a daytime biogenic source and contributions from combustion sources

Figure 8 shows a polar annulus plot of the hourly mean isoprene mixing ratio during the 25 period 16-30 January 2013 (unfortunately, meteorological data for other periods of the study 26 27 are unavailable). The polar annulus plot is a method of visualizing the temporal aspects of a 28 specie's concentration with respect to wind direction. In the polar annulus plots, measured concentrations are averaged in separate wind speed and wind direction bins and then further 29 interpolation using Kriging technique are applied for conversion to polar coordinates 30 (Ropkins and Carslaw, 2012). Such plots reveal important spatio-temporal information 31 regarding emission sources. In Figure 8 it can be seen that isoprene had highest ambient 32

1 mixing ratios (~1 ppb isoprene) during the daytime (07:00-14:00 LT) which is an indication 2 of biogenic sources. Significant isoprene concentrations (~0.5 ppb) could also be observed during evening and nighttime which are likely from biomass combustion and traffic emission 3 sources. Furan contributed a maximum of only ~40% (during nighttime) to the sum of 4 5 ambient isoprene and furan. This is a very important finding as previous studies using proton 6 transfer reaction mass spectrometers equipped with quadrupole mass analyzers, which cannot 7 distinguish between furan and isoprene peaks due to their lower mass resolution and detect the two compounds collectively at a nominal m/z = 69, tend to attribute the evening and 8 9 nighttime concentrations to furan and not isoprene. Using a novel VOC-OHM chemical kinetics reactor (Kumar and Sinha, 2014), which constrained the rate coefficient of the 10 isobaric contributor at nominal m/z = 69, it has been demonstrated at another South Asian 11 site in the north-west Indo Gangetic Plain (Mohali), that isoprene is the major contributor to 12 m/z = 69. Thus it appears that isoprene has significant contributions from both vegetation and 13 biomass/biofuel burning sources in South Asia, which has large implications for the 14 atmospheric oxidation in this part of the world, as discussed also in Sinha et al. (2014). While 15 16 several previous studies have reported significant contributions from anthropogenic sources to isoprene in urban areas elsewhere, especially in winter (Borbon et al., 2001;Barletta et al., 17 18 2005;Hellén et al., 2012), the in-situ measurements from Mohali and Kathmandu suggest that the magnitude of the isoprene source from anthropogenic sources may be quite important 19 regionally in South Asia. The non-biogenic sources of isoprene acquire greater significance 20 in the evening, nighttime or early morning when combustion emissions are more widespread 21 and can accumulate under shallow inversions. What is remarkable is that in contrast to 22 wintertime measurements of isoprene from sites elsewhere in the world due to the strong 23 24 contribution from biogenic sources in the Kathmandu Valley, average wintertime concentrations of isoprene in Kathmandu were observed to be above 1 ppb (see Figure 5). To 25 26 emphasize that the daytime isoprene concentrations were primarily controlled by biogenic 27 emissions, we show real time data from a day (18 January 2013) where clear co-variation of the daytime isoprene concentrations occurred with changes in the solar radiation (Figure 8 b). 28 Recently, Gilman et al. (2015) showed that in direct fire/smoke plumes from prescribed burns 29 of selected biomass fuels common for the southeastern, southwestern or northern US, the 30 contributions of pentadienes and cyclopentene to isoprene concentrations measured using a 31 PTR-QMS can be quite significant. Therefore, contributions from compounds such as 32 pentadienes and cyclopentene to the isoprene concentrations measured in the Kathmandu 33 Valley during evening, nighttime or early morning may be significant. The non-biogenic 34

sources of isoprene acquire greater significance in the evening, nighttime or early morning
 when combustion emissions are more widespread and can accumulate under shallow
 inversions.

What is remarkable is that in contrast to wintertime measurements of isoprene from sites 4 elsewhere in the world due to the strong contribution from biogenic sources in the 5 Kathmandu Valley, average wintertime concentrations of isoprene in Kathmandu were 6 observed to be above 1 ppb (see Figure 5). To emphasize that the daytime isoprene 7 concentrations were primarily controlled by biogenic emissions, we show real time data from 8 9 a day (18 January 2013) where clear co-variation of the daytime isoprene concentrations 10 occurred with changes in the solar radiation (Figure 8 b). High values of isoprene were generally observed from the west and northern sector (N.N.E where Nilbarahi Jungle and 11 12 Gokarna Reserve Forest are located) and at appreciable wind speeds (> 3 m/s). The advection of air across the Valley in the afternoon as they flow through the mountain passes from west 13 14 to east due to the high speed westerly winds has been previously described by Panday and Prinn (2009). The forest areas on the mountain slopes appear to contribute to the high 15 16 ambient isoprene concentrations measured during the afternoon hours. The average daytime (08:00 – 17:00 LT) isoprene concentrations observed during SusKat-ABC campaign (1.35 17 ppb) are comparable to the concentrations measured in south-east Asian tropical rainforest 18 19 sites (Bryan et al., 2012; Jones et al., 2011). As mentioned in the site description section, the forested areas contained tree species like oak and Melia azedarach, which have significant 20 isoprene emission potentials (350 μ g g⁻¹ dry leaf h⁻¹ and 4.7 μ g g⁻¹ dry leaf h⁻¹ respectively 21 (Simon et al., 2005;Padhy and Varshney, 2005)). In the Section 3.7 we examine the 22 importance of VOCs measured during this study in terms of their OH reactivity contributions 23 and ozone formation potential. 24

3.7 OH reactivity and Ozone Production Potential of VOCs

The oxidation of VOCs (and consequently their removal rate) depends on the reactivity of VOCs with both ozone and hydroxyl radicals during daytime and the nitrate radical during nighttime. For the VOCs reported in this work-For most of the VOCs reported in this work and the typical maximum ozone concentrations observed during winter in the Kathmandu Valley (~ 60 -70 ppb; (Putero et al., 2015)), the daytime oxidation with hydroxyl radicals is much faster relative to daytime oxidation with ozone and nighttime oxidation with nitrate radicals as $k_{VOC+OH} > k_{VOC+NO_3} > 10^3 \cdot 10^{10}$ times k_{VOC+O_3} (Atkinson et al., 2006;

1 kinetics.nist.gov/kinetics). For dimethyl sulfide, nighttime oxidation with nitrate radical

2 ($N\dot{O}_3$) can be as important as daytime oxidation with OH as $k_{DMS+NO_3} \approx k_{DMS+OH}$

3 $(k_{DMS+NO_3} \text{ and } k_{DMS+OH} \text{ are } 1.1 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1} \text{ and } 5.1 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1},$

4 <u>respectively; at T = 281.8 K</u>). The hydroxyl radical reactivity of an air mass reflects the total

5 reactive pollutant loading of the air mass and can be used to infer its ozone formation

6 potential (Sinha et al., 2012). While direct total OH reactivity measurements were not

7 performed during the SusKat-ABC campaign, it is still instructive to examine the diel profile

8 of the OH reactivity due to the suite of measured VOCs and assess the relative contributions

9 of individual VOCs. For this analysis, we considered thirty three out of the thirty seven

10 species that were observed at average ambient concentrations greater than 200 ppt, for which

11 the rate coefficients with the hydroxyl radical are known. Thus, out of the thirty seven

species, four namely, the nitronium ion (m/z = 45.990), isocyanic acid, and assorted

hydrocarbons detected at m/z ratios of 83.085 and 97.102, respectively, were excluded from

14 this analysis.

15 The total VOC OH Reactivity was calculated as follows (Sinha et al., 2012):

16

$$Total VOC OH reactivity = \Sigma k_{(voc_i+OH)}[VOC_i] \qquad \dots (2)$$

17 where $k_{(voc_i+OH)}$ is the first order rate coefficient for the reaction of VOC_i with OH radicals

and $[VOC_i]$ is the measured concentration of VOC_i. The rate coefficients were taken from

19 Atkinson et al. (2006), and from the NIST chemical kinetics database

20 (kinetics.nist.gov/kinetics) and Barnes et al. (2010) when they were unavailable in Atkinson
21 et al. (2006).

Figure 9 shows the diel profile of the average sum of VOC OH reactivity due to 33 ambient VOCs, along with the diel profiles of the hourly averaged OH reactivity due to the top three contributors. The grey shaded region in Figure 9 represents the 10th and 90th percentiles of the

sum of VOC OH reactivities due to the 33 ambient VOCs. The average diel profile is

bimodal in nature with peaks of ca. 21 s⁻¹ at 09:00 LT and ca.13 s⁻¹ at 18:00 LT. Interestingly,

the nighttime value (22:00-06:00 LT) remains rather constant at ca. 12 s^{-1} . The top three

28 contributing compounds to the total calculated VOC OH reactivity due to all 33 compounds

were: acetaldehyde (24.0%) > isoprene (20.2%) > propene (18.7%). These three VOCs

30 collectively accounted for ca. 63% of the campaign averaged total VOC OH reactivity of 12.3

 s^{-1} . Table S3 of the supplement lists the top ten VOC contributors to the total VOC OH

reactivity, many of which are emitted strongly from biomass combustion sources. The
 influence of south easterly winds advecting primary emissions from biomass co-fired brick

- 3 kilns in the morning hours is clearly discernible on the ambient OH reactivity profile.
- 4 For quantifying the importance of VOC and NO_x controls on the ozone production efficiency

5 in the Kathmandu Valley, simultaneous measurements of both VOCs and NO_x are necessary.

6 The relative ozone formation potential of VOCs can be derived as described by the following

7 equation (Sinha et al. (2012):

$$Ozone \ production \ potential = \left(\Sigma k_{(voc_i+0H)}[VOC_i]\right) \times [OH] \times n \qquad \dots (3)$$

For the ozone production potential calculation, the average hydroxyl radical concentration 8 was assumed to be $[OH] = 1 \times 10^6$ molecules cm⁻³ with n = 2 and only data pertaining to the 9 mid-daytime period was considered (11:00 - 14:00 LT). The temporal context of the analyses 10 performed using VOC data acquired during the afternoon (11:00-14:00 LT; period using 11 which ozone production potentials were calculated) is quite relevant considering the recently 12 13 published work of Putero et al. (2015), which highlighted that hourly average concentrations of > 60 ppb are often observed during winter afternoons in the Kathmandu Valley (refer 14 Figure 9 of (Putero et al., 2015)). This shows that regional photochemistry is strong even 15 during winter and formation of secondary pollutants contributes to hourly ozone 16 17 concentrations in excess of 60 ppb. Figures 10 a and b summarize the results in the form of 18 pie charts for period 1 (when most brick kilns were inactive but daytime biogenic emissions of isoprene dominated) and period 2 (when brick kilns became operational, isoprene 19 20 emissions were lower and biomass burning was stronger in intensity). To ascertain the contribution of different chemical classes of compounds (e.g. OVOCs, benzenoids, isoprene) 21 22 to total ozone formation potential, the 33 compounds were further divided into 5 chemical subgroups as shown. It was found that for both period 1 and period 2, oxygenated VOCs and 23 isoprene collectively accounted for more than 68% (72% for period 1 and 68% for period 2) 24 of the total ozone production potential. This is not surprising given that acetaldehyde and 25 isoprene were among the highest contributors to the VOC OH reactivity. This analysis puts in 26 perspective the relative ranking of individual VOCs and classes of VOCs to the ozone 27 production potential in the Kathmandu Valley for potential mitigation efforts. 28

3.8 SOA formation potential of VOCs in the Kathmandu Valley

1 Apart from ground level ozone formation, secondary organic aerosols (SOA) can also be formed as a result of atmospheric oxidation of VOCs. The 71 detected ions collectively 2 summed up to a total mass concentration of ~160.4 μ g/m³, out of which the 37 identified ions 3 and VOCs reported in this work, accounted for ~139.1 μ g/m³ (or 86.7%). Pudasainee et al. 4 (2006) previously reported NO_x rich conditions in the Kathmandu Valley and based on the 5 SOA yields reported in the literature (e.g., 5%-10% for toluene and xylenes and ~28% for 6 7 benzene; (Ng et al., 2007)) and the ambient concentrations of these VOCs measured during 8 the period of study, we estimate the order of SOA production potential for these VOCs to be 9 benzene > naphthalene > toluene > xylenes > monoterpenes > trimethylbenzenes > styrene > isoprene. Although the average ambient concentrations of the sum of monoterpenes (0.17 \pm 10 0.16 ppb) were below 200 ppt, it contributed significantly to the total SOA formation due to 11 its higher SOA yield under high NOx conditions (Lee et al., 2006). 12

3.9 VOCs with direct health implications

Several VOCs detected in the Kathmandu Valley have consequences for human health, often 14 at concentrations of documented concern. Benzene and formaldehyde are considered human 15 carcinogens (Group A and B1, respectively) by WHO (2010). Among other rarely quantified 16 ambient VOCs, short-chain amides, such as formamide and acetamide can have several 17 18 health effects (Ge et al., 2011). While acetamide is considered a Group 2B human carcinogen by the International Agency for Research on Cancer (http://www.iarc.fr/). nitromethane is 19 20 also a Group 2B carcinogen and has been reported as a possible carcinogen to humans 21 (Inomata et al., 2014). Some gases can result in formation of toxic secondary VOCs. For example, naphthalene is not considered to be a human carcinogen, but it can form mutagenic 22 23 nitronaphthalenes by OH and NO₃ initiated reactions (Sasaki et al., 1997;Zhang et al., 2012). Atmospheric oxidation of amide compounds such as formamide and acetamide with hydroxyl 24 25 radicals also contributes to the formation of isocyanic acid (Barnes et al., 2010). Ambient 26 isocyanic acid was present at exposure levels of documented concern that can enhance human 27 health risks for cataracts, cardiovascular diseases and rheumatoid arthritis via protein 28 carbamylation (Wang et al., 2007; Roberts et al., 2011). Thus long term monitoring of these 29 gases (isocyanic acid, formamide, acetamide, benzene, formaldehyde, nitromethane, naphthalene), which can cause adverse effects upon sustained exposure even at 30 concentrations of few ppb is warranted for establishing exposure and assessing health risks 31 32 due to these VOCs in the Kathmandu Valley.

1 4 Conclusions

2 This study has comprehensively characterized the chemical composition of air in the Kathmandu Valley in terms of speciated volatile organic compounds during the SusKat-ABC 3 wintertime campaign. The measurements performed at high time resolution (every minute) 4 and high mass resolution (m/ Δ m > 4200) enabled us to identify a multitude of compounds 5 based on their monoisotopic masses and hence exact molecular formula. Novel insights could 6 7 be acquired regarding chemical processes related to ozone and secondary organic aerosol 8 formation in a complex chemical environment affected by mountain meteorology and both 9 anthropogenic and biogenic sources (even in winter).

10 A total of 71 ion peaks were observed in the mass spectra of PTR-TOF-MS that were above the detection limit of the instrument. Out of these, 37 species that had average ambient 11 concentrations greater than 200 ppt during the campaign could be identified with reasonable 12 confidence based on 1) spectral characteristics observed at a particular m/z in a 0.005 amu 13 bin relative to the ion peak, 2) ambient diel profiles and 3) correlation with specific emission 14 tracer molecules such as acetonitrile (a biomass burning tracer). 200 ppt cut off was also 15 chosen as an additional quality control measure so as to ensure attribution of ion peaks in the 16 mass spectra only to the compounds present in the ambient air and not due to instrumental 17 reasons. Among these 37 species, 8 contained nitrogen, 15 contained oxygen, 13 were 18 hydrocarbons and one contained sulfur. Based on chemical signatures of tracer compounds 19 20 such as acetonitrile and isoprene, two periods with contrasting emission influences were 21 identified during the campaign and investigated in detail. Period 1 (19 December 2012 - 2January 2013) was characterized by high daytime biogenic emissions of isoprene (average 22 23 isoprene concentrations in period 1 and period 2 were 1.66 ppb and 0.97 ppb, respectively) and the absence of operational brick kilns (and hence their emissions); while period 2 (4 24 25 January 2013 – 18 January 2013) was marked by high acetonitrile (average concentration 26 during this period was 1.34 ppb), benzene (3.46 ppb) and isocyanic acid (1.03 ppb) due to 27 emissions from the biomass co-fired brick kilns and other biofuel/biomass burning activities. 28 A clear distinction of isoprene from furan, which had distinct emission profiles, highlighted 29 the importance of deploying a PTR-TOF-MS for VOC measurements in the Kathmandu Valley. 30

Two "new" compounds which have not been reported in any previous ambient study namely, formamide (CH₃NO; protonated m/z = 46.029; campaign average 0.76 ppb) and acetamide

1 (C_2H_5NO ; protonated m/z = 60.051; campaign average 0.39 ppb) and are involved in 2 photochemical formation of isocyanic acid were also detected. The average total reactive carbon (sum of the average mixing ratios of all the 37 species reported in this study) was 3 175.8 ppbC to which propyne, acetaldehyde, benzene, acetic acid acetone, propene and 4 5 toluene collectively contributed more than 60%. Isoprene concentrations as high as 3 ppb were observed frequently during the daytime, in the early part of the campaign (December 6 7 2012) and could be traced to biogenic emissions from vegetation in fetch regions upwind of 8 the site.

The concentration ranking in the average VOC mixing ratios during our wintertime 9 10 deployment was acetaldehyde (8.8 ppb) > methanol (7.4 ppb) > acetone + propanal (4.2 ppb) > benzene (2.7 ppb) > toluene (1.5 ppb) > isoprene (1.1 ppb) > acetonitrile (1.1 ppb) > C8-11 12 aromatics (~1 ppb) > furan (~0.5 ppb) > C9-aromatics (0.4 ppb). The results suggest that the emission sources of oxygenated and aromatic VOCs in the Kathmandu Valley are different 13 14 compared to several cities such as Paris and London, likely due to the emissions from biomass co-fired brick kilns, open burning of biomass (e.g. garden waste, agro-residue 15 16 burning and garbage burning) and extensive use of diesel generators. In comparison to wintertime mixing ratios reported from several sites elsewhere in the world, the mixing ratios 17 18 of acetaldehyde (~9 ppb), acetonitrile (~1 ppb) and isoprene (~1 ppb) in the Kathmandu 19 Valley are among the highest measured anywhere in the world. The major sources of propyne, propene, benzene, and propanenitrile in the Valley appeared to be biomass burning 20 21 as concentrations of all these compounds correlated well with the biomass burning tracer acetonitrile $(r^2 > 0.7)$ and had diel emission profiles similar to that of acetonitrile. 22

23 The top three contributing compounds to the total calculated VOC OH reactivity due to 33 compounds were: acetaldehyde (24.0%), isoprene (20.2%), and propene (18.7%), which 24 25 collectively accounted for ca. 63% of the campaign averaged total VOC OH reactivity of 12.3 s^{-1} . Oxygenated VOCs and isoprene collectively accounted for more than 68% (72% for 26 27 period 1 and 68% for period 2) of the total ozone production potential. Based on known SOA 28 yields of compounds and the ambient concentrations measured in the Kathmandu Valley, it 29 was estimated that the relative SOA production potential of VOCs was in the following order: benzene > naphthalene > toluene > xylenes > monoterpenes > trimethylbenzenes > styrene > 30 isoprene. Several VOCs known to enhance health risks for cancer, cataract and pulmonary 31 diseases were detected in the ambient air. The synergistic effect of these VOCs on air toxicity 32

1 is difficult to quantify but likely significant. The prominent ones were: isocyanic acid,

2 formamide, acetamide, naphthalene and nitromethane for which this study presents the first

3 measurements in ambient air from South Asia along with benzene, a human carcinogen.

Although like all urban environment, contribution of traffic sources to ambient VOCs is 4 significant in the Kathmandu Valley, another anthropogenic source which occupies central 5 importance in the Kathmandu Valley (due to inefficient combustion) are the biomass co-fired 6 7 brick kilns. While we did not measure particulate matter emissions from the biomass co-fired brick kilns in the Kathmandu Valley during our deployment, previous studies by Pariyar et al. 8 9 (2013) and Raut (2003) have reported and documented massive increases in PM₁₀ and TSP 10 for periods marked by the operation of the brick kilns relative to periods when they were not operational. The study conducted by Clean Energy Nepal (Raut, 2003) showed that 8 h 11 12 averaged concentrations of air pollutants such as Total Suspended Particulate (TSP), PM₁₀, SO_2 and NO_x were three times higher during the brick kiln operating period relative to the 13 period they were not operational at the same location. The mass concentration of PM_{10} 14 increased from 218 μ g m⁻³ to 603 μ g m⁻³ while TSP increased from 265 μ g m⁻³ to 634 μ g m⁻³. 15 Note that these were primarily Fixed Chimney Bull Trench Brick Kilns, similar to the ones 16 that impacted our measurements. The Bull Trench kilns are an old and inefficient 17 18 technology, which have been banned even in their place of origin, India, but continue to 19 dominate the Kathmandu Valley landscape. Thus a major conclusion of this study is that replacing the existing brick kiln technology with cleaner and more efficient brick kiln 20 technology would aid air pollution mitigation efforts significantly. While much has been 21 learnt about wintertime VOC speciation in Kathmandu from this study, and the first 22 23 comprehensive dataset has been acquired, long term measurements and further field intensives are required. 24

25 Future studies need to focus on what happens in the Valley on seasonal and inter-annual 26 timescales. Of particular interest would be assessing the concentrations of isoprene and 27 acetaldehyde in summer and their atmospheric chemistry. Assessment of source specific 28 emission ratios (inter VOC) for the major sources (brick kilns, diesel generator exhaust, leaf 29 litter fires etc.) and improvement of existing emission inventories using the in-situ data should be undertaken. The comparison and estimation of the fraction of isoprene from 30 vegetation and combustion will also be presented in a companion paper (Sarkar et al., 2016) 31 in which source apportionment of VOCs will be performed using a positive matrix 32

1	factorization (PMF) model. Comprehensive air quality and policy recommendations based on
2	all the data acquired during the SusKat-ABC study and from other sites in the Kathmandu
3	Valley will be summarized in future submissions to this Special Issue ((Rupakheti et al.,
4	2016) and (Panday et al., 2016).

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Table 1. Principal operational settings for PTR-TOF-MS parameters

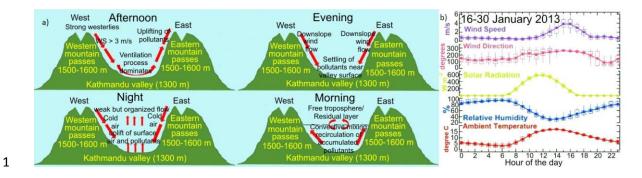
	Parameter	Value	
	Overall drift voltage (U _{drift})	600 V	
	Temperature at drift tube (T _{drift})	60^{0} C	
	Pressure at drift tube (P _{drift})	2.2 mbar	
	Length of the drift tube (L _{drift})	9.3 cm	
	Reaction time (t)	92 µs	
	Field strength of drift tube (E/N)*	135 Td	
4 5	* E is the electric field strength (V cm ⁻¹) and N is the gas number density (molecule cm ⁻³). $1Td = 10^{-17} V$ cm ² molecule ⁻¹		
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1

- 2 Figure 1. Location of the measurement site (Bode; red circle) along with surrounding cities
- 3 (Kathmandu, brown circle; Patan, blue circle and Bhaktapur, pink circle), brick kilns (white
- 4 markers), major industries (yellow triangles), forest areas (green tree symbols), airport (blue
- 5 marker) and major river path (sky blue path) in the Google Earth image of the Kathmandu
- 6 Valley (obtained on 22 May 2015 at 14:55 LT)

7



- 2 Figure 2. a) Schematic of wind flow during different times of the day in the Kathmandu
- 3 Valley. b) Box and whisker plots of the measured meteorological parameters (wind speed,
- 4 wind direction, solar radiation, relative humidity and ambient temperature) at the Bode site
- 5 (16 30 January 2013)

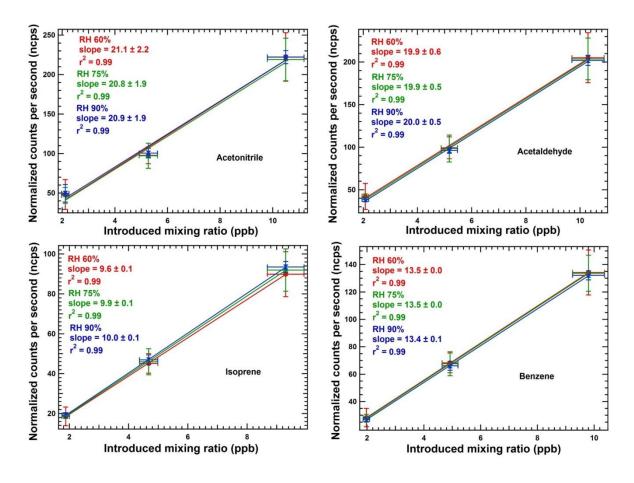
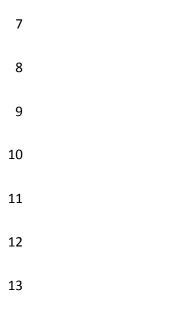
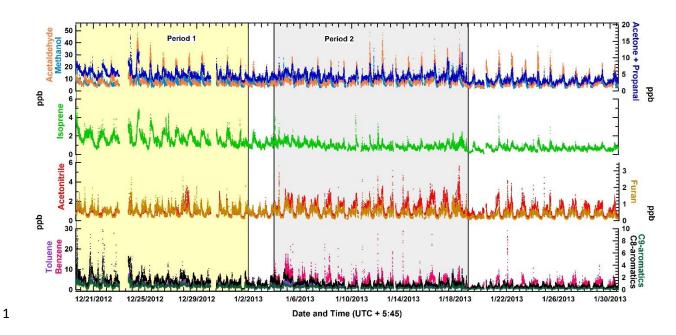
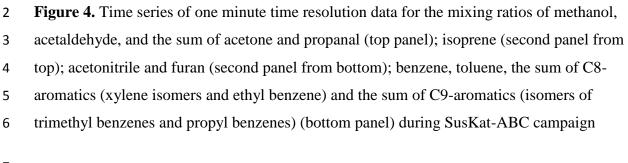


Figure 3. Compound specific normalized sensitivities at different relative humidities (RH
60%, 75% and 90%) for acetonitrile, acetaldehyde, isoprene and benzene during the
calibration experiment performed on 10 January 2013. Horizontal bars reflect the error due to
the MFC flows and the accuracy of the VOC gas standard whereas vertical bars reflect the
precision error (2σ) of the measurements.









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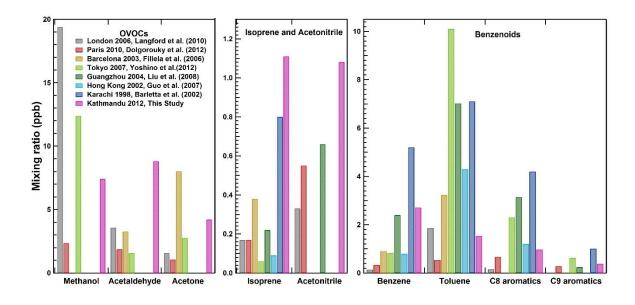




Figure 5. Comparison of wintertime VOC mixing ratios measured in the Kathmandu Valley

- 3 with wintertime VOC mixing ratios at select urban sites elsewhere in the world

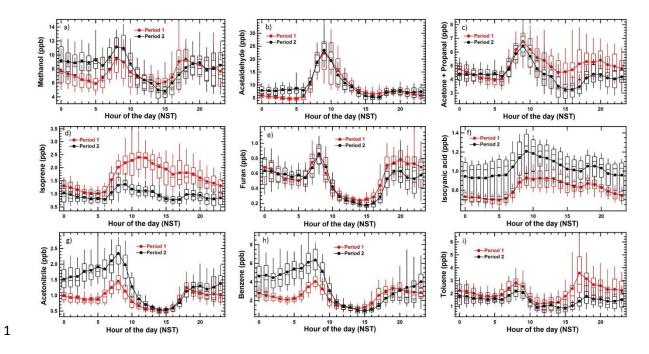
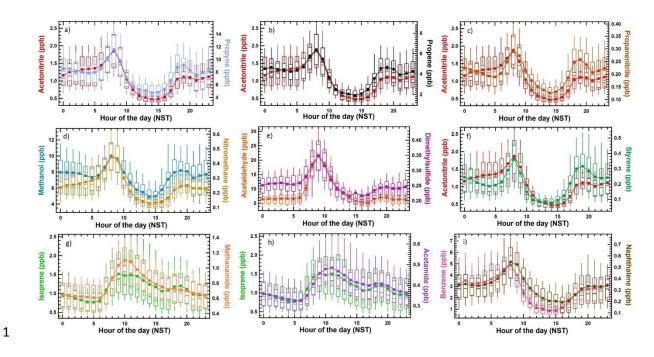
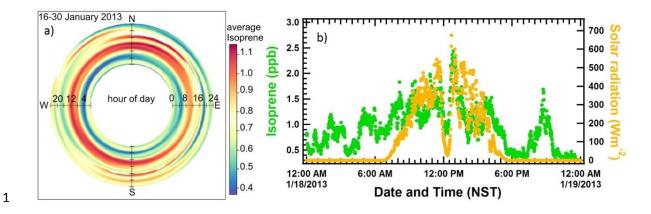


Figure 6. Box and whisker plots showing average, median and variability (10th, 25th, 75th and
90th percentile) for some major VOCs in the Kathmandu Valley during period 1 and period 2



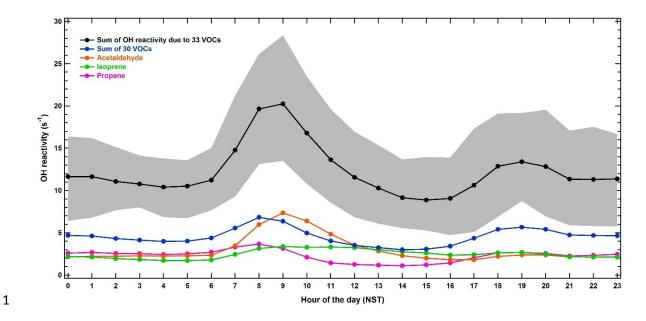
2 Figure 7. Comparison of diel box and whisker profiles of several rarely measured or

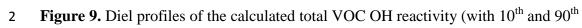
3 previously unreported ambient VOCs with more frequently measured VOCs/emission tracers



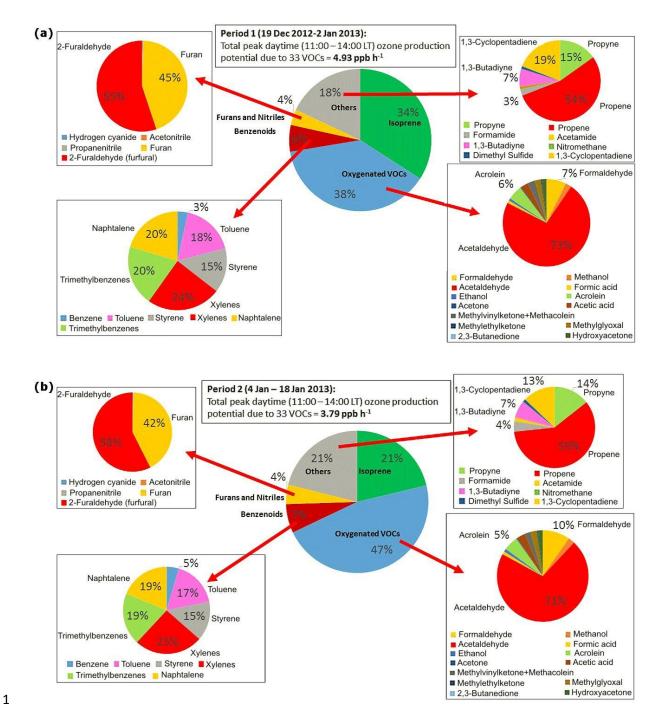
2 Figure 8. a) Polar annulus plot of isoprene for the period 16-30 January 2013 highlighting the

- 3 spatio-temporal variation of isoprene and its biogenic sources; b) co-variation of daytime
- 4 isoprene concentrations with solar radiation on 18 January, 2013





- 3 percentile contributions represented by the grey shaded region) and the major VOC
- 4 contributors



- **Figure 10.** Pie charts showing contribution of different class of compounds to total ozone
- 3 formation potential for a) period 1 (19 December 2012 2 January 2013) and b) period 2 (4-
- 4 18 January 2013)