



The millennium water vapour drop in chemistry-climate model simulations

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Received: 11 August 2015 – Accepted: 25 August 2015 – Published: 14 September 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

This study investigates the abrupt and severe water vapour decline in the stratosphere beginning in year 2000 (the “millennium water vapour drop”) and other similar stratospheric water vapour drops by means of various simulations with the state-of-the-art Chemistry-Climate Model (CCM) EMAC (ECHAM/MESSy Atmospheric Chemistry Model). The CCM EMAC is able to reproduce the signature and pattern of the water vapour disturbances in agreement with those derived from satellite observations. Model data confirm that this extraordinary water vapour decline is in particular obvious in the tropical lower stratosphere. The starting point of the severe water vapour drop is identified in the tropical lower stratosphere and the start date is found to be in the early days of 2000. We show that the driving forces for this significant drop in water vapour mixing ratios are tropical sea surface temperature changes due to a preceding strong El Niño–Southern Oscillation event (1997/98), which was followed by a La Niña and supported by the prevailing western phase of the equatorial stratospheric quasi-biennial oscillation (QBO) at that time. This constellation of ENSO and QBO obviously lead to the outstanding anomalies in meteorological quantities which are identified in the equatorial atmosphere: (a) a distinct warming (up to 1 K) of the tropical upper troposphere (200 to 120 hPa) beginning in mid-1997 and lasting for about one and a half years, (b) a strong warming (up to 2.5 K) of the tropical lower stratosphere (100 to 50 hPa), beginning in early 1999 and ending in early 2000, and (c) a significantly enhanced upwelling at the tropopause in the late 1990s and an obviously reduced upwelling around the year 2000 followed by a period of enhanced upwelling again. These dynamically induced changes are unambiguously connected to the stratospheric water vapour anomaly. Similarly strong water vapour reductions are also found in other years, and seem to be a typical feature after strong combined El Niño/La Niña events, if the QBO west phase has prolonged down to the tropopause.

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1 Introduction

Since the early 1980s, balloon-borne stratospheric water vapour measurements (e.g., Hurst et al., 2011) and corresponding satellite measurements starting in the early 1990s (UARS/MLS, UARS HALOE, and SAGE II instruments; see for instance Solomon et al., 2010; Hartmann et al., 2013) an increase of stratospheric water vapour was long reported and climate model simulations uniformly predict a continuous increase of stratospheric water vapour concentrations in the future (SPARC CCMVal, 2010; Stenke and Grewe, 2005). However, if we look from the late 1980s/early 1990s to now, we actually find a decreasing trend from merged satellite observations in the lower stratosphere (see Hegglin et al., 2014). This has become the big conundrum now and there is a lot of discussion if Boulder balloon observations are representative or if there is an issue in the satellite data merging.

An increase in stratospheric water vapour with time is expected as a net result of global warming, including enhanced atmospheric concentrations of methane, which affect water vapour concentrations through methane oxidation. However, the multi-year data sets also show significant fluctuations on different time scales which make it difficult to assess robust trends.

In the year 2000, an extraordinary sudden drop of stratospheric water vapour content has been observed (e.g., Randel et al., 2006; Fueglistaler et al., 2005), which brought again into focus that temperature fluctuations have a large potential to significantly impact the amount of water vapour in the stratosphere. Randel and colleagues showed that the tropical tropopause temperatures were noticeably lower than normal after the drop due to an increase in tropical upwelling.

Since water vapour is the most prominent greenhouse gas, and therefore is an important contributor to variations and trends in climate, it is necessary to better understand its large variability. Stratospheric water vapour variations are connected with temperature changes in the tropical region, especially with the cold-point temperature (Randel et al., 2004; Fueglistaler, 2013). Changes of stratospheric water vapour levels

ACPD

15, 24909–24953, 2015

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greenhouse gases like ozone, which are important for the stratospheric temperature distribution. In the following section the CCM EMAC is briefly described and the investigated simulations are presented. In Sect. 3 model data are compared to observations. In Sect. 4 three long-term model simulations are analysed, differing mainly with respect to surface temperatures or applied nudging. An overall discussion of our findings is given in Sect. 5.

2 Method and data

2.1 Description of the model system

The ECHAM/MESSy Atmospheric Chemistry (EMAC) model is a numerical chemistry and climate simulation system that includes sub-models describing tropospheric and middle atmosphere processes and their interaction with oceans, land and human influences (Jöckel et al., 2010). It uses the second version of the Modular Earth Sub-model System (MESSy2) to link multi-institutional computer codes. The core atmospheric model is the 5th generation European Centre Hamburg general circulation model (ECHAM5, Roeckner et al., 2006). For the present study we analysed EMAC (ECHAM5 version 5.3.02, MESSy version 2.50) in the T42L90MA-resolution, i.e. with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approx. 2.8 by 2.8° in latitude and longitude) with 90 vertical hybrid pressure levels up to 0.01 hPa.

The multi-year simulation has been performed with the CCM EMAC in the framework of the ESCiMo project (Earth System Chemistry integrated Modelling, Jöckel et al., 2015). Within ESCiMo so-called reference (RC) simulations have been carried out, as defined by the IGAC/SPARC Chemistry-Climate Model Initiative (CCMI) and described in detail by Eyring and Lamarque (2012). The forcings of the two transient hindcast reference simulations in either free-running (RC1; from 1960 to 2011) or in a nudged mode (RC1SD; from 1980 to 2012) are similar. They are taken from observations or empirical data, including anthropogenic and natural forcings based on changes in trace

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moisture anomalies, likely due to the direct nudging of zonal mean temperature in the model to ERA-Interim.

We conducted an additional simulation similar to RC1SD, but without nudging of zonal mean temperature (RC1SDNT). The RC1SDNT simulation shows a cold point temperature anomaly time series similar to RC1SD, but the water vapour anomalies are by a factor of about 1/3 too small (not shown). The lower absolute values of the anomalies are likely caused by a mean tropical cold point tropopause temperature (189.4 K) which is lower than for RC1SD (192.1 K) within the 1992–2012 period. We know from model inter-comparison studies that it is a common feature of many models, that the cold point tropopause in the tropics has a cold bias (Gettelman et al., 2009). We conclude that in order to correctly simulate water vapour anomalies in time and amplitude, it is important not only to correctly reproduce the temperature anomaly, but also the mean temperature at the cold point tropopause.

There are expectations that the water vapour drop in observations exhibits different characteristics at different latitudes and altitudes with respect to the start date, the strength and the length of the anomaly. For example, Urban et al. (2014) show that in the tropics the significant reduction of water vapour started in the altitude range from 16.5 to 18.5 km (375–425 K) in early 2000, whereas between 25 and 30 km (625–825 K) it began in late 2001. Moreover, they demonstrate that the drop was more pronounced in the lower tropical stratosphere than in the middle stratosphere, i.e. -1.3 and -0.6 ppmv, respectively. The minimum water vapour mixing ratios were found in the lower stratosphere about one year, in the middle stratosphere almost two years after the onset of the drop.

A more comprehensive and novel analysis is provided in Fig. 3. The characteristics of the water vapour drop with respect to strength, drop length and drop date are shown as a function of latitude and altitude. The amplitude of the drop maximises in the tropical lower stratosphere consistently in observations and the RC1SD simulation. However the amplitude in the tropics is 50 % larger in the observations. Towards higher latitudes and altitudes up to about 20 hPa the drop amplitude typically decreases. Above

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(Fig. 5), which points to a shift in the incidence of temperature relevant processes: El Niño and corresponding large-scale upwelling, the radiative effect due to the local ozone distribution and the QBO (Randel and Jensen, 2013).

5 Other “drops” of moisture anomalies in the lower stratosphere and their relation to preceding El Niño/La Niña events

The millennium drop in water vapour 2000/01 after the strong 1997/98 El Niño event is followed by an unusual long time period of relatively low water vapour values (Fig. 1). Since Solomon et al. (2010) found that these anomalous low water vapour values in the lower stratosphere caused a reduced trend in global surface temperatures over the years 2000–2009 by about 25 %, we wonder, if this millennium drop is unique or if we can expect that such a drop is a more or less typical feature of stratospheric water vapour variability? Is there a relation to preceding El Niño/La Niña events? The El Niño Southern Oscillation is an ocean–atmosphere feedback that occurs every 2–5 years and propagates throughout the troposphere into the lower stratosphere. Therefore El Niño/La Niña events have the potential to couple the surface temperature with the stratosphere.

We have analysed the time evolution of water vapour anomalies for the RC1SD and RC1 simulations at 80 hPa (Fig. 6) for the full time period available for the respective simulations. In the RC1 simulation we found 5 and in the RC1SD simulation 3 relatively large water vapour drops marked by a red asterisk, which are comparable to the millennium drop amplitude in the respective simulation. An additional asterisk marks a smaller water vapour drop after the 1986/87 El Niño in RC1SD, which additionally was examined.

Because the amplitudes in the RC1 simulation are generally smaller than in RC1SD, we define a “large drop” in the simulations differently: RC1SD: drop > 0.5 ppmv, and for RC1: drop > 0.2 ppmv.

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The QBO appears as a reversal of the tropical zonal wind direction with a mean period of about 28 months (ranging from 22 to 34 months) and is a primarily wave-driven stratospheric phenomenon. In the tropical lower stratosphere the QBO is the dominant dynamic feature.

As mentioned above (Sect. 2), in all three EMAC simulations the QBO is nudged to zonal mean winds with respect to the amplitude and phase. Therefore the signature of the QBO in the temperature anomaly (Fig. 8b, RC1 as representative for all simulations) propagating downwards to the TTL is present in all three EMAC simulations.

It is well-known (Rosenlof and Reid, 2008) that the QBO phase contributes to the extraordinary temperature fluctuation in the tropical tropopause region around year 2000 due to an unusual long QBO-phase: strong east-winds in the equatorial lower stratosphere (around 30 hPa) were persistently detected for nearly two years (2000/01); the downward propagation of the zero-wind line (change from east- to west-wind direction) stopped for one year (from mid-2000 to mid-2001) at about 40 hPa.

Around a strong El Niño event (black vertical lines, Fig. 8) we find a positive moisture and temperature anomaly throughout the troposphere up to about 100 hPa. Above, in a narrow layer between 100 and 50 hPa (marked with dashed black lines) a negative temperature anomaly occurs, except for the 1982/83 El Niño, where a positive QBO phase with warming probably masks this feature. For the 1997/98 and the 2009/10 El Niño the cooling is not pronounced, but also visible. Positive and negative temperature anomalies in the narrow layer are related to a large part by changes in upwelling, which directly modifies tropopause temperatures through lifting of air masses and corresponding advection of ozone anomalies into the TTL. A positive upwelling anomaly (cooling) is accompanied by a negative ozone anomaly (cooling). Therefore, upwelling anomaly and ozone anomaly are highly anti-correlated with a Pearson's correlation coefficient $R = -0.6$ at 70 hPa for both RC1 and RC1SD (Table 1). Tropical upwelling is calculated from the model data in terms of the residual vertical velocity w^* as introduced in the transformed Eulerian mean (TEM) equations (e.g. Holton, 2004). As expected temperature and large-scale upwelling are also strongly anti-correlated with a Pear-

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son's correlation coefficient $R = -0.7$ (70 hPa) for RC1SD (RC1: $R = -0.58$) (Table 1). Likewise temperature and QBO are positively correlated with $R = 0.5$ (RC1) ($R = 0.4$ for RC1SD) at 70 hPa. The correlation coefficients decrease towards 90 hPa because the effect of the QBO on temperature decreases.

5 In the TTL positive temperature anomalies result in positive water vapour anomalies propagating upward into the stratosphere (Fig. 8a). They are an indicator of the regional dynamical properties (Mote et al., 1996; Randel et al., 2004). The traveling time for water vapour in the lower stratosphere calculated from the maximum correlation between temperature at 100 hPa and water vapour at 82 hPa is 2 months according to
10 Rosenlof and Reid (2008).

We find a similar result only for RC1SD, but RC1 and RC2 exhibit the maximum correlation for lag = 0. Consistently, upwelling is smallest in the RC1SD and largest in the RC1 simulation leading to a faster transport of water vapour through the TTL in RC1. Accordingly, the correlation between temperature and moisture at 70 hPa is
15 stronger for RC1 ($R = 0.8$) than for RC1SD ($R = 0.4$).

We use this connection to analyse the conditions under which large temperature drops occur, in order to understand the origin of large water vapour drops. In doing so, we disregard other processes that may contribute to the water vapour distribution and its variability in the TTL such as convective and large-scale water vapour transports,
20 ice supersaturated regions and cirrus development.

Every El Niño event is generally accompanied by a strong positive upwelling anomaly (Fig. 9) followed by a period with reduced upwelling and thus positive temperature anomalies in the TTL. Many of these positive temperature anomalies mark the onset of strong drops in temperature and water vapour. Note the double maximum in the temperature anomaly after the 1972/73 (no 2) El Niño (Fig. 8b), which is related to the reduced upwelling in Fig. 9. This confirms that upwelling plays the other important
25 role in generating temperature anomalies around 100–60 hPa beside the QBO, directly through adiabatic cooling.

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Although the SSTs of the RC1SD and RC1 simulation are similar, the period with a positive upwelling anomaly after the year 2001, leading to the observed low tropopause temperatures and low water vapour values in the lower stratosphere (Randel et al., 2006) is not adequately simulated in the RC1 simulation. Interestingly after 2001, where tropical SSTs only exhibit a small but long lasting positive anomaly in both, RC1 and RC1SD, upwelling already shows a positive anomaly, stronger in RC1SD than in RC1. This might be related to an enhanced momentum flux convergence in the subtropical region (Randel et al., 2006), but a detailed analysis of our simulations regarding this topic is beyond the scope of this study.

If a strong El Niño plus La Niña event is typically followed by a large temperature/water vapour drop we might expect that typical conditions exist that favour these large variations. We performed an episode analysis for the previously selected 4 (RC1SD) and 5 (RC1) strong El Niño events, followed by a La Niña event (Fig. 7), respectively. The onset of the individual temperature drops at 80 hPa (Fig. 10) is placed at month 0, so that the periods before the drop and afterwards can be consistently analysed. We selected the start of the temperature drop (rather than the drop in water vapour), where temperature is at its maximum, for the definition of the corresponding event, because QBO, upwelling and ozone have a direct effect on temperature. Water vapour anomalies follow temperature anomalies directly or with a time lag.

The onset of the millennium water vapour drop (Fig. 11, green dashed line) is phase shifted by 3 to 4 months and the 2009/10 water vapour drop about 2 months after the temperature maximum of the respective drops (Fig. 10). For the other drops in RC1SD and all drops in RC1, we find no time lag.

All onsets of the temperature drops of RC1SD and RC1 are associated with a minimum in the large-scale upwelling anomaly (Fig. 12), accompanied by a maximum in ozone anomaly (Fig. 13) and for RC1SD only, a west-phase of the QBO (Fig. 14). Accordingly, the minima of the drops show maxima in upwelling, minima in ozone and an east-phase of the QBO (for RC1SD only).

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vapour fluctuations occur regularly. Natural changes that affect the stratospheric water vapour content are modified by climate change itself, may impact future climate. This demonstrates that robust climate predictions need realistic fluctuations of SSTs and an adequate representation of the QBO to reproduce the observed stratospheric water vapour fluctuations. Obviously severe changes can have a “memory” effect, impacting climate change on a decadal time scale (Solomon et al., 2010).

The variability of tropopause temperatures is dominated on an inter-annual period by modulations of the El Niño–Southern Oscillation, the tropical upwelling, and the stratospheric QBO. Variations in ozone amplify the impact of those drivers. In our analysis this relationship seems to be sufficient to show the connection between large water vapour drops, QBO phases, and preceding El Niños. While this part is understood (Randel et al., 2006, 2009; Fueglistaler and Haynes, 2005; Jones et al., 2009; Urban et al., 2012; Fueglistaler et al., 2013; Randel and Jensen, 2013), the connection between temperature and moisture is far more complicated.

From Urban et al., 2014 we know that a period exists, where the variability of lower stratospheric water vapour is not simply explainable by the course in mean zonal temperature (2008–2011). Here, we omitted to analyse this period, because it is beyond the scope of this paper.

We further neglected any possible changes in the transport of water vapour into the TTL, the presence of supersaturated regions or cirrus clouds in the TTL. Since temperature and water vapour are non-linearly dependent, a monthly mean temperature does not give any information about the actual frequency distribution of saturation values of water vapour. In our simulations, the actual water vapour values are generally lower than the saturation values. It points to a lack of certain processes important for the budget of water vapour in the lower stratosphere (for instance convective overshooting). This is a topic of further research.

between 7.09 and 12.57 μm providing data from 10 km up to the lower mesosphere. For the observations with high spectral resolution retrieval version 20, for the low resolution time period version 220 is used. Detailed information on these data sets can be found in Schieferdecker (2015) and Hegglin et al. (2013).

5 **A3 Data set combination**

The combination is based on monthly zonal mean time series from the individual data sets. In the overlap period a time-independent shift is determined that minimises the offset between the time series in a root mean square sense. This shift is derived for every altitude level and latitude bin considered and subsequently applied to the MIPAS
10 time series. Applications of the combined HALOE-MIPAS time series can be found in Eichinger et al. (2014) or Schieferdecker et al. (2015).

A4 Analysis approach

The basic data for the analysis are monthly zonal mean data covering the time period from July 1998 to December 2005. The HALOE-MIPAS data set is interpolated in time
15 to fill a few gaps. The data are averaged over a latitude range of 20° using a 10° latitude grid. The rather wide average in latitude aims to handle some of the sparseness of the HALOE observations. For the simulations this would not be necessary but for reasons of compatibility and comparability the same handling is applied. In the vertical the data sets extend from 100 to about 7 hPa and are interpolated on a regular grid using 16
20 levels per pressure decade.

The analysis is performed separately for every pressure level and latitude bin using the steps listed below. Figure A1 shows an example.

In a first step we calculate a running average over one year. In Fig. A1 the averaged time series is given by the black line. Based on that time series we calculate in the next
25 step the gradient in water vapour along every data point.

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Table 1. Correlation of anomalies (de-trended, de-seasonalised) for RC1SD, RC1 and RC2 at 90 and 70 hPa, respectively.

Correlation of anomalies	1980–2012	1960–2011	1960–2030	1980–2012	1960–2011	1960–2030
	RC1SD 70 hPa	RC1 70 hPa	RC2 70 hPa	RC1SD 90 hPa	RC1 90 hPa	RC2 90 hPa
Temperature-ozone	0.69	0.92	0.88	0.60	0.70	0.41
Temperature-upwelling	−0.70	−0.55	−0.44	−0.64	−0.61	−0.39
Temperature-QBO	0.42	0.52	0.47	0.25	−0.25	−0.12
Ozone-upwelling	−0.56	−0.62	−0.54	−0.54	−0.65	−0.45
Ozone-QBO	0.51	0.57	0.50	0.23	−0.38	−0.14
Temperature-moisture	0.37	0.84	0.80	0.86	0.94	0.90

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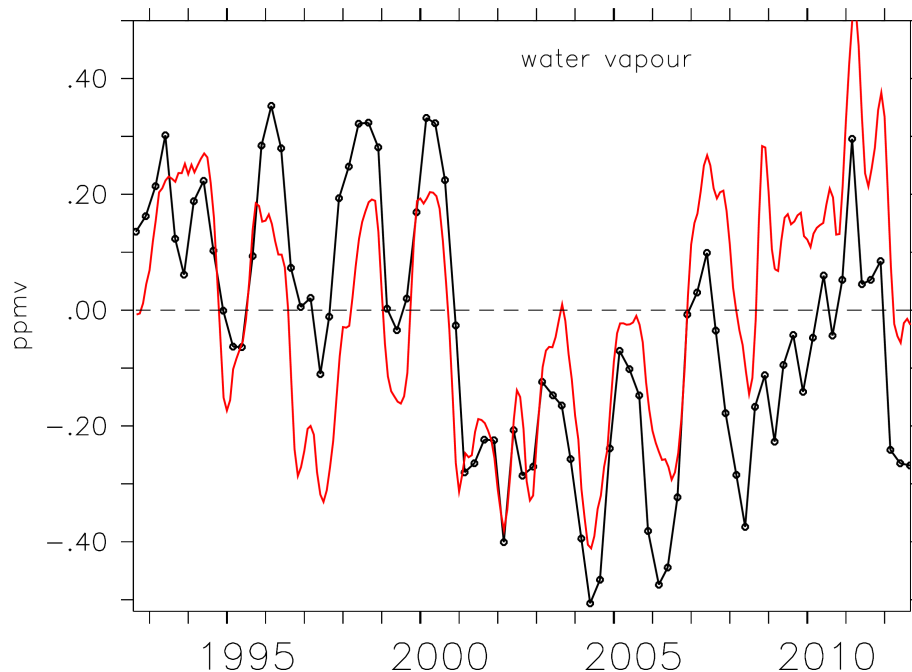


Figure 1. Interannual changes of the near-global mean (60° S–60° N) stratospheric water vapour mixing ratios (in ppmv) at 83 hPa. The black line is the data derived from satellite observations (combined HALOE and Aura/MLS satellite measurements, de-seasonalised, 3 month running mean), which was published by Randel and Jensen (2013) in their Fig. 5a (upper graph). The red line is the RC1SD simulation (de-seasonalised, 3 month running mean).

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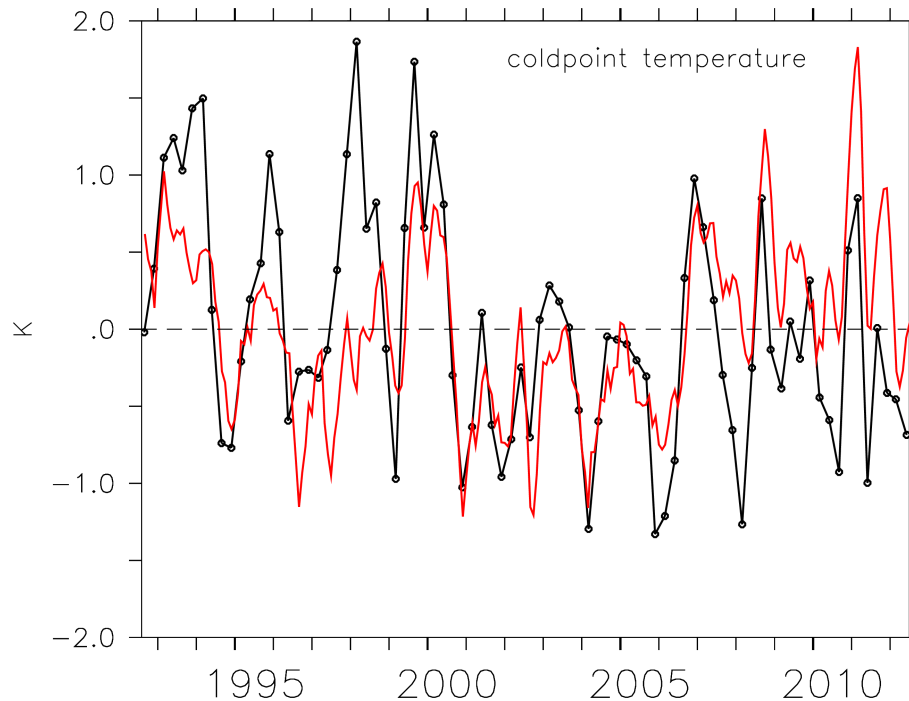


Figure 2. Cold point temperatures in the tropics (20°S – 20°N) derived from radiosonde data (black line). The data was already published by Randel and Jensen (2013) in their Fig. 5a (lower graph). The red line is the RC1SD simulation (de-seasonalised, 3 month running mean).

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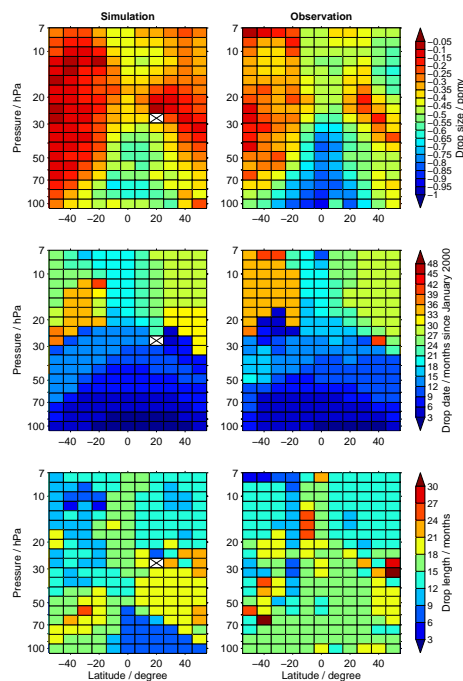


Figure 3. Characteristics of the millennium water vapour drop with respect to height (hPa). RIGHT: Satellite observations. LEFT: RC1SD simulation. TOP: Drop strength (unit: ppmv), MIDDLE: drop date (months since January 2000). BOTTOM: drop length (unit: months). White boxes with crosses indicate that the analysis failed to find a water vapour decrease that fulfilled the criteria listed in the Appendix.

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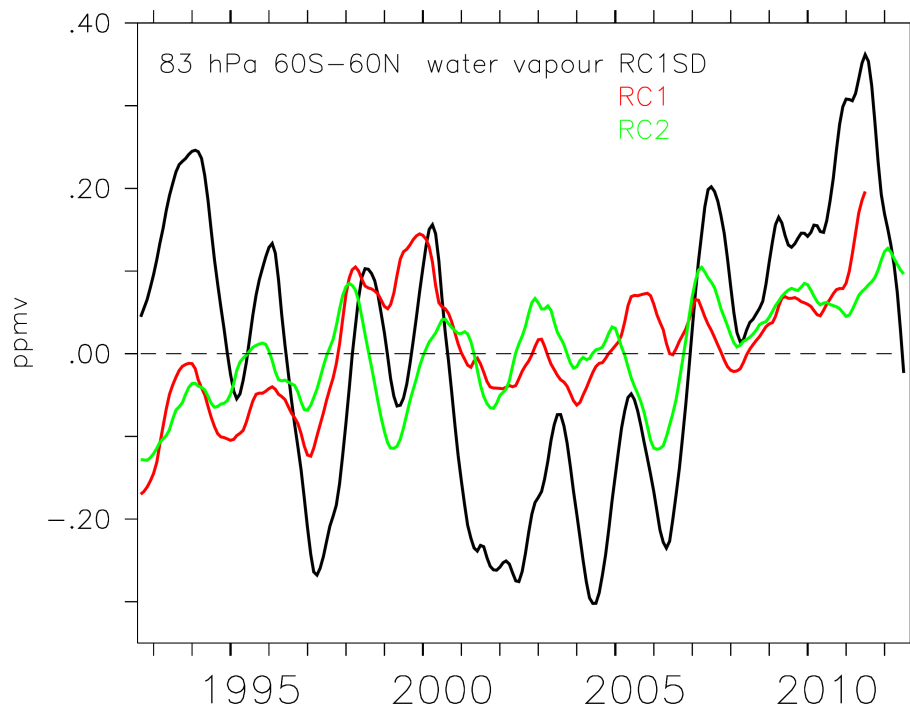


Figure 4. Near-global mean (60°S – 60°N) water vapour anomalies (de-seasonalised, note, these anomalies are a 12 month running mean and therefore slightly different compared to RC1SD in Fig. 1) derived from RC1SD, RC1 and RC2 simulations.

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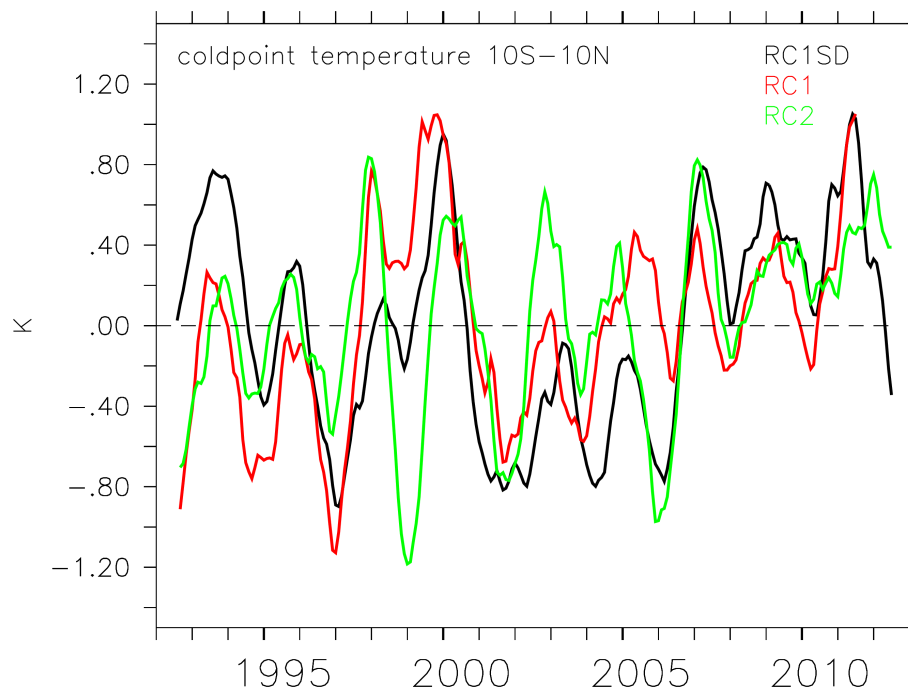


Figure 5. Cold point temperature anomalies (de-seasonalised, 12 month running mean) derived from RC1SD, RC1 and RC2 simulations.

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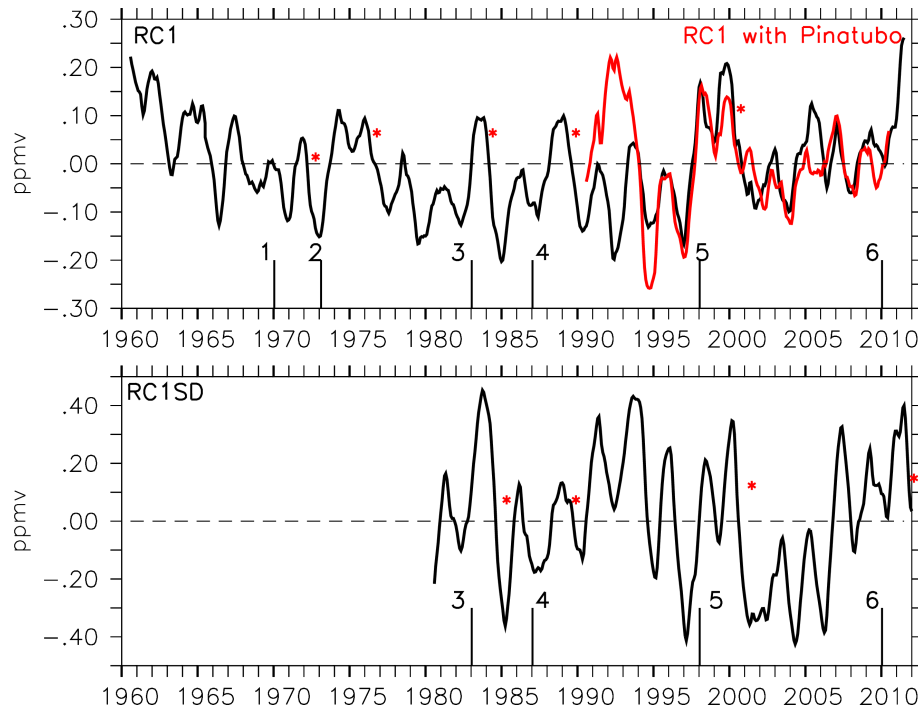


Figure 6. Moisture anomalies in ppmv (detrended, de-seasonalised, 12-months running mean) derived from RC1SD and RC1 simulations at 80 hPa. Black vertical lines mark El Niño events and red asterisks mark the respective subsequent water vapour drop.

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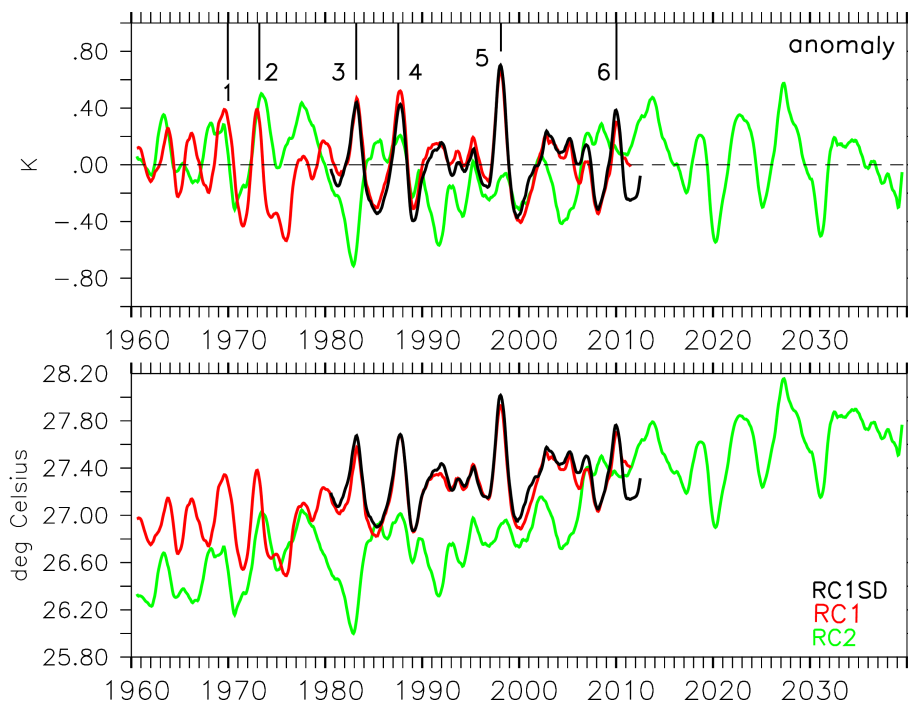


Figure 7. (a) Surface temperature anomaly in the tropical region (10° S– 10° N) (de-trended, de-seasonalised, 12-point running mean) for RC1SD (black), RC1 (red) and RC2 (green). Strong El Niño/La-Niña events are labeled. **(b)** Surface temperature (degree Celsius) for RC1SD, RC1 and RC2 (12-point running mean).

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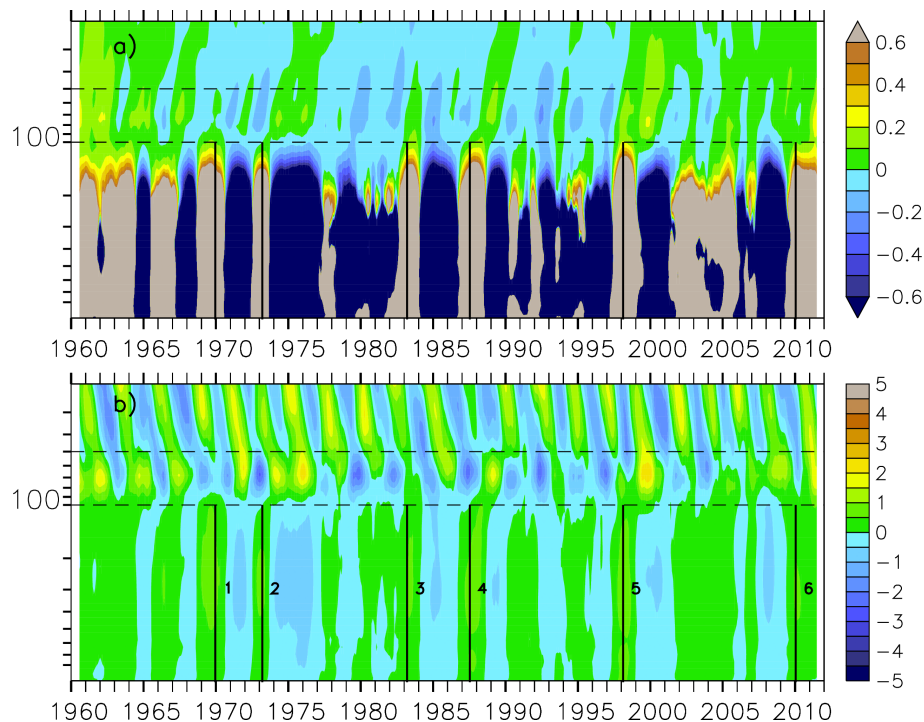


Figure 8. (a) Temporal evolution of moisture anomalies (ppmv). (b) Temporal evolution of temperature anomalies (K) in the tropical UTLS region (12 month running mean), derived from the RC1 simulation. Strong El Niño events are labelled. The altitude range covers the pressure levels from 900 to 30 hPa. The dashed lines mark the region between 100 and 50 hPa.

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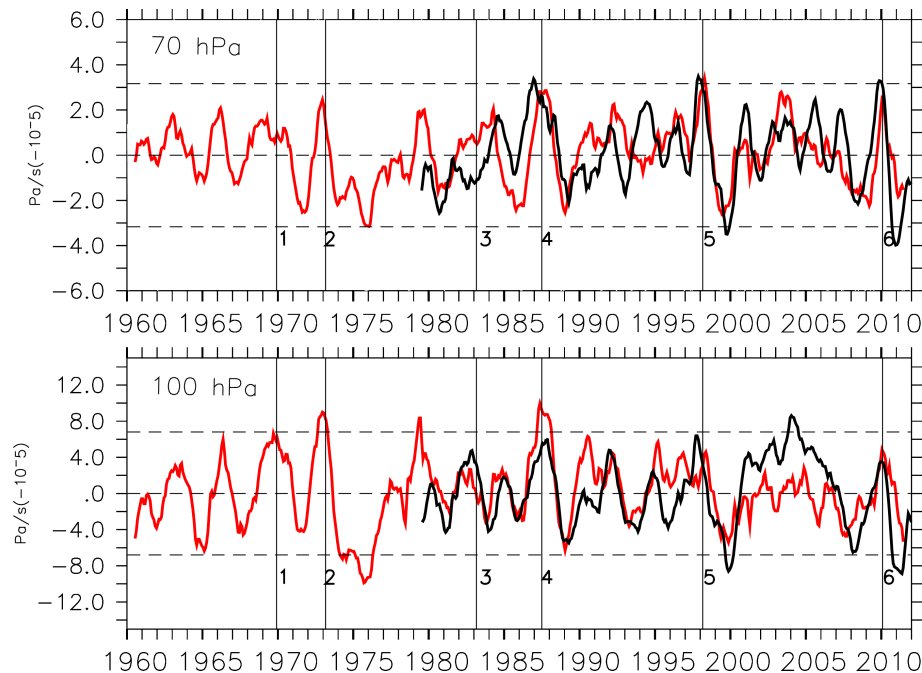


Figure 9. Temporal evolution of tropical upwelling anomalies in the tropics (20°S – 20°N) (de-seasonalised and detrended) at 70 and 100 hPa (running mean). Red lines indicate data derived from RC1, black lines from RC1SD. Black dashed lines mark one standard deviation from the unsmoothed RC1SD monthly mean upwelling anomaly values. Black solid vertical lines mark El Niño events.

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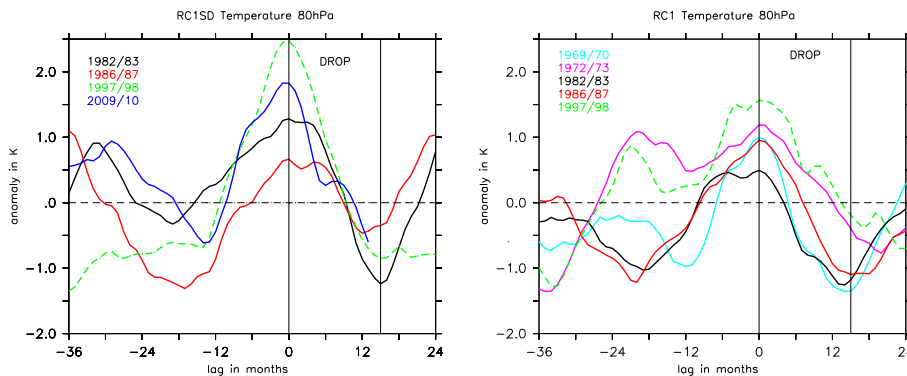


Figure 10. Episode analysis of the zonal mean temperature anomaly at 80 hPa, tropical mean (10° S– 10° N), de-seasonalized, de-trended, 12-point running mean, related to 4 different El Niño events in the RC1SD (left) and the RC1 (right) simulation. All episodes are referenced to the beginning of the respective temperature drop.

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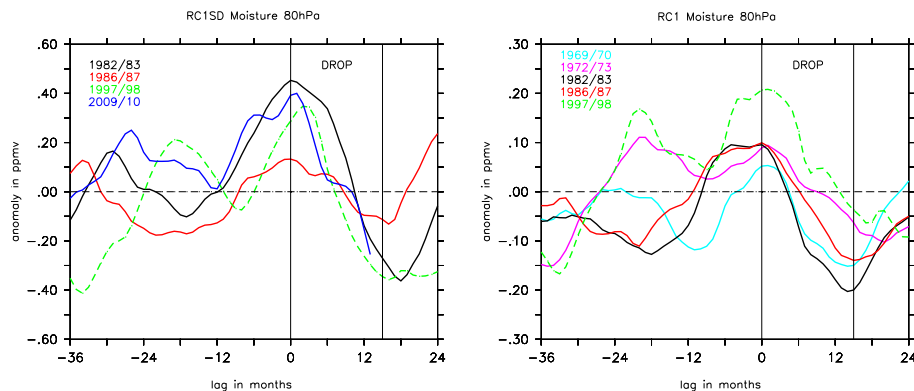


Figure 11. Same as Fig. 10, but for the water vapour anomaly. Note that the vertical axis is smaller in the right figure.

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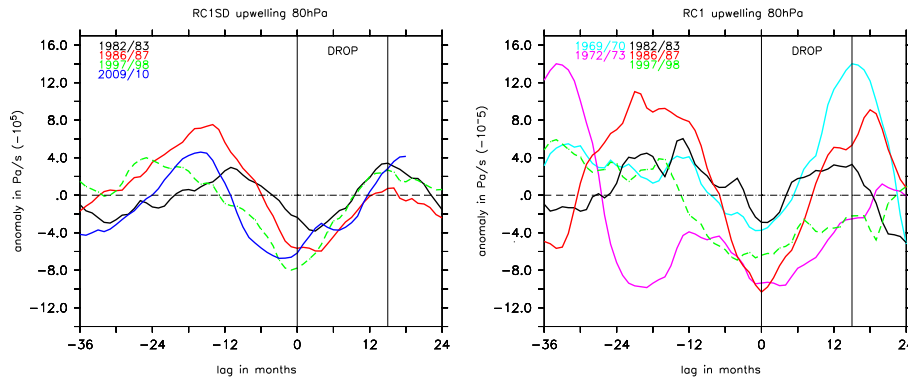


Figure 12. Same as Fig. 10, but for tropical upwelling anomaly.

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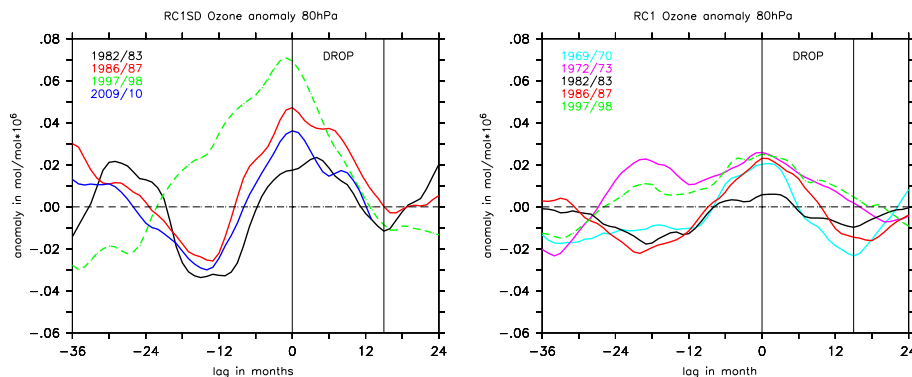


Figure 13. Same as Fig. 10, but for the ozone anomaly.

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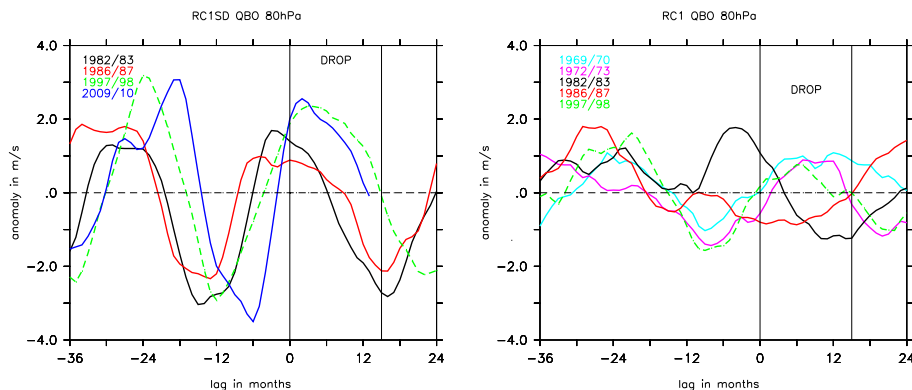


Figure 14. Same as Fig. 10, but for the QBO anomaly. The QBO is represented through the zonal wind anomaly.

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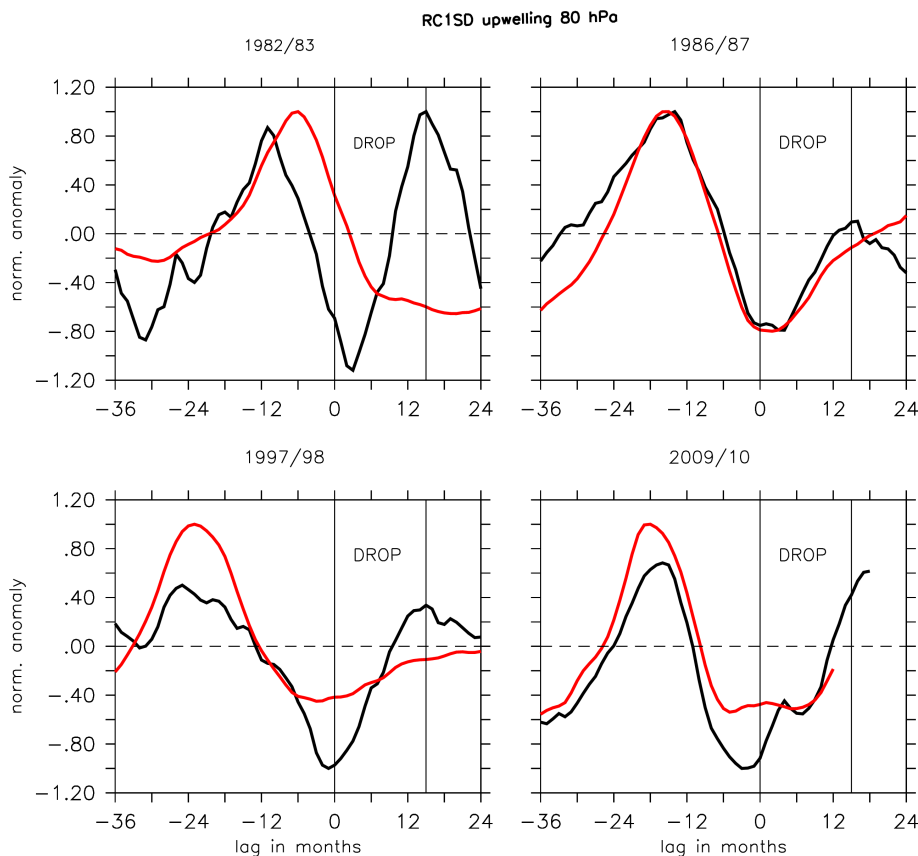


Figure 15. Episode analysis for the normalised (with respect to the maximum absolute value) upwelling anomaly (black) for (10° N– 10° S) in relation to the max-normalised SST anomaly for the El Niño index 3.4 region. All episodes are referenced to the beginning of the temperature drop. The drop onsets are accompanied by a negative upwelling anomaly.

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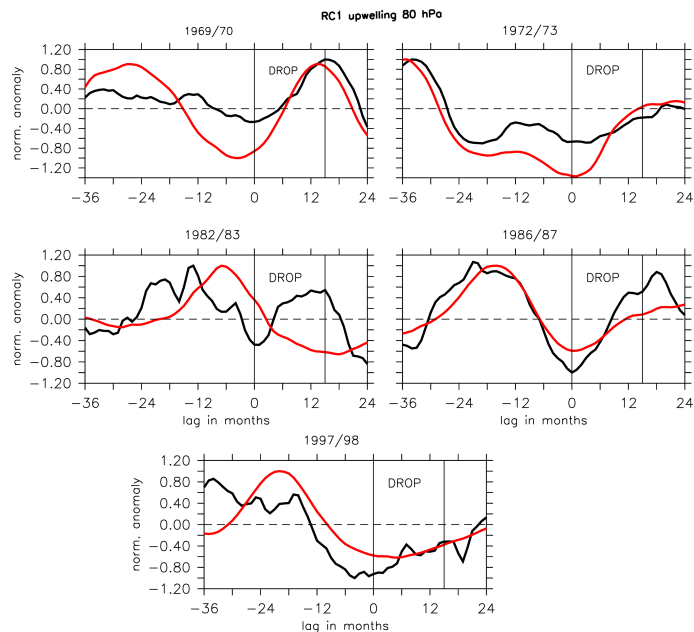


Figure 16. Episode analysis for the normalised (with respect to the maximum absolute value) upwelling anomaly (black) for (10° S– 10° N) in relation to the max-normalised SST anomaly for the El Niño index 3.4 region. All episodes are referenced to the beginning of the temperature drop. The drop onsets are accompanied by a negative upwelling anomaly. The El Niño event in 1972/73 (red line) starts already before the month -36 . This event has the largest delay of the drop after the surface temperature maximum for all analysed events.

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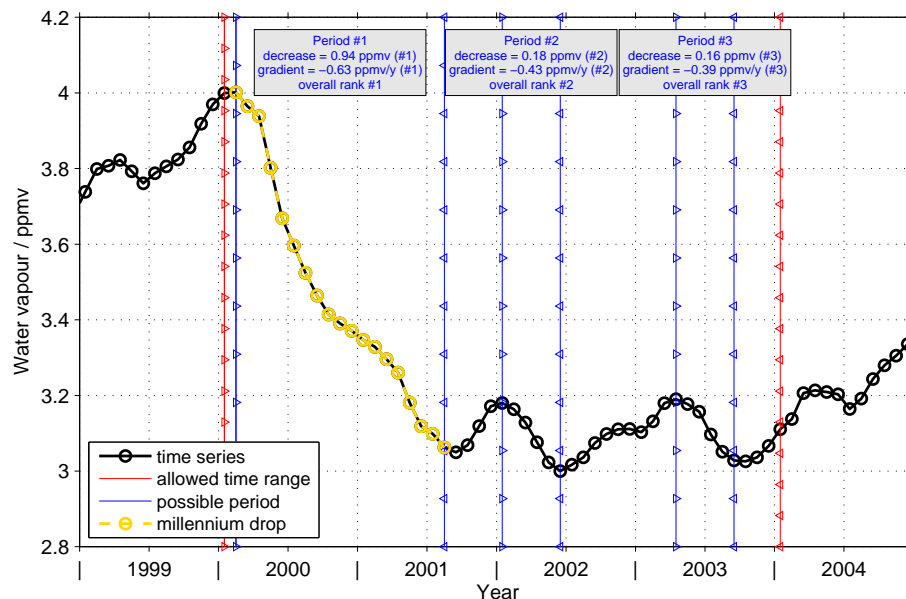


Figure A1. An example of the millennium drop characteristics analysis considering the HALOE/MIPAS time series at 100 hPa at the Equator. The time series is given in black and represents a running mean over one year. The red lines indicate the general time interval where a water vapour drop will be considered. Within this period three periods can be found where water vapour is decreasing. The first period from February 2000 to August 2001 (overplotted in yellow) exhibits both the largest decrease and absolute gradient and is therefore selected as the representative period for the millennium drop.