

1 **Aerosol properties, source identification, and cloud**
2 **processing in orographic clouds measured by single**
3 **particle mass spectrometry on a Central European**
4 **mountain site during HCCT-2010**

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15
16 **Abstract**

17 Cloud residues and out-of-cloud aerosol particles with diameters between 150 and 900 nm
18 were analysed by on-line single particle aerosol mass spectrometry during the six-week study
19 HCCT-2010 in September/October 2010. The measurement location was the mountain
20 Schmücke (937 m a.s.l.) in Central Germany. More than 160 000 bipolar mass spectra from
21 out-of-cloud aerosol particles and more than 13 000 bipolar mass spectra from cloud residual
22 particles were obtained and were classified using a fuzzy c-means clustering algorithm.
23 Analysis of the uncertainty of the sorting algorithm was conducted on a subset of the data by
24 comparing the clustering output with particle-by-particle inspection and classification by the
25 operator. This analysis yielded a false classification probability between 13% and 48%.
26 Additionally, particle types were identified by specific marker ions.

27 The results from the ambient aerosol analysis show that 63% of the analysed particles belong
28 to clusters having a diurnal variation, suggesting that local or regional sources dominate the
29 aerosol, especially for particles containing soot and biomass burning particles. In the cloud

30 residues the relative percentage of large soot-containing particles and particles containing
31 amines was found to be increased compared to the out-of-cloud aerosol, while in general
32 organic particles were less abundant in the cloud residues. In the case of amines this can be
33 explained by the high solubility of the amines, while the large soot-containing particles were
34 found to be internally mixed with inorganics, which explains their activation as cloud
35 condensation nuclei. Furthermore, the results show that during cloud processing, both
36 sulphate and nitrate are added to the residual particles, thereby changing the mixing state and
37 increasing the fraction of particles with nitrate and/or sulphate. This is expected to lead to
38 higher hygroscopicity after cloud evaporation, and therefore to an increase of the particles'
39 ability to act as cloud condensation nuclei after their cloud passage.

40

41 **1. Introduction**

42 The interaction of aerosol particles and cloud droplets has several aspects: On one hand, the
43 presence of a cloud condensation nucleus (CCN) is an essential prerequisite for the formation
44 of a cloud droplet, and the size and chemical composition of the aerosol particle determines
45 whether a particle acts at a certain temperature and supersaturation as a CCN or not (e.g.,
46 Dusek et al., 2006b; Gunthe et al., 2009). On the other hand, cloud processing alters the
47 chemical composition of the cloud droplet such that after evaporation of the cloud droplet the
48 remaining aerosol particle is of different composition than the original CCN. The uptake of
49 nitric acid in the aqueous phase of cloud droplets has been observed (Hayden et al., 2008), but
50 also sulphate is known to be produced by oxidation of SO₂ in the cloud phase, either by
51 reaction with O₃ (Bower et al., 1991), H₂O₂ (Bower et al., 1997; Laj et al., 1997a) or by
52 transition metal induced oxidation (Harris et al., 2014). Both effects lead to a higher content
53 of water soluble inorganic material in the aerosol which is expected to enhance the cloud
54 formation potential of the particles.

55 Cloud particle sampling and separation from the not activated interstitial aerosol can be
56 achieved by applying a counterflow virtual impactor (CVI, Ogren et al., 1985; Mertes et al.,
57 2005b; Wendisch and Brenguier, 2013). This technique has been coupled with on-line aerosol
58 mass spectrometry before, such that the composition of cloud droplets can be measured with
59 high time resolution (Drewnick et al., 2007; Allan et al., 2008; Hayden et al., 2008) . The use
60 of single particle mass spectrometry for single cloud residual particle analysis (e.g., Gieray et
61 al., 1997; Kamphus et al., 2010; Pratt et al., 2010; Zelenyuk et al., 2010), gives not only the

62 composition of the bulk residues but also the mixing state of the cloud residues. Comparison
63 with the aerosol observed shortly before cloud formation can give information on the possible
64 addition of chemical compounds in the cloud phase and thereby evidence for cloud
65 processing.

66 Several previous hill cap cloud experiments - considering the clouds as "stationary flow
67 processors" - have been conducted and results have been reported in the literature. One of
68 these is the FEBUKO experiment (Herrmann et al., 2005) which took place at the same field
69 site as the HCCT-2010 experiment reported here. The results from FEBUKO have shown a
70 measurable increase of sulphate and ammonium, but only in 2 of 3 investigated cases, and
71 only in the smallest particle size range (up to 140 nm; Brüggemann et al., 2005). The mass
72 production in the clouds was about 5% of upwind aerosol mass (in a size range between 60
73 and 300 nm, Mertes et al., 2005a). Tilgner et al. (2005) found from model calculations for the
74 same experiment that the mass increase is mainly due to HNO₃ uptake and only to a lesser
75 degree due to SO₂ oxidation.

76 The Kleiner Feldberg Cloud Experiment (Fuzzi et al., 1994; Wobrock et al., 1994) was
77 conducted in 1990. Off-line single particle analyses of cloud residues sampled via a
78 counterflow virtual impactor during this experiment are reported by Hallberg et al. (1994). It
79 could be shown that the majority of cloud residues contained soluble compounds whereas
80 insoluble particles remained in the interstitial air. Fuzzi et al. (1994) report from the Feldberg
81 Cloud Experiment that a general lack of gaseous NH₃ was observed in the cloud systems.
82 Thus, the clouds were acidic either by uptake of HNO₃ or by oxidation of NO₂ via O₃ in the
83 aqueous phase. SO₂ oxidation in these clouds was inhibited by a lack of H₂O₂ and by the low
84 pH-values, such that the observed sulphate in the cloud water derived most likely from pre-
85 existing aerosol.

86 During the Great Dun Fell experiment which took place in 1993 an increased sulphate
87 concentration of the aerosol was observed after cloud passing (Laj et al., 1997b). Thereby also
88 the ammonium concentration increased based on the neutralization reaction with ammonia.
89 The increased sulphate concentration could be attributed mainly to SO₂ oxidation by H₂O₂ in
90 the cloud water and to a lesser extent by O₃ (Bower et al., 1997; Laj et al., 1997a).
91 Furthermore, even though the concentrations of iron and copper were low, an influence of
92 these elements on formation and depletion of photo-oxidants could be recognized (Sedlak et
93 al., 1997).

94 Here we report the results obtained from individual particle chemical analysis by on-line
95 single particle laser ablation mass spectrometry during the hill cloud experiment HCCT-2010,
96 which was conducted on the mountain site Schmücke in September and October 2010 in
97 Central Germany. The analysis includes cloud residual particles that were sampled from the
98 cloud using a CVI and aerosol particles that were measured during cloud-free periods.

99

100 **2. Experiments and data evaluation procedures**

101 **2.1. Measurement site and instrumentation**

102 The Hill Cap Cloud Thuringia (HCCT) 2010 experiment took place between 13 September
103 2010 and 25 October 2010, at the mountain ridge "Thüringer Wald" in Central Germany. The
104 same measurement sites were used as during two previous experiments (FEBUKO 2001 and
105 2002, Herrmann et al., 2005): (1.) an upwind site (Goldlauter, 605 m a.s.l., 10°45'20" E,
106 50°38'28" N), (2.) a summit site (Schmücke, 937 m a.s.l., 10°46'15" E, 50°39'19" N), and (3.)
107 a downwind site (Gehlberg, 732 m a.s.l., 10°47'32" E, 50°40'21" N). A map of the
108 surroundings of the measurement site along with a table giving the population number of the
109 cities within a radius of approximately 50 km around the site can be found in the
110 supplementary material (Figure S1 and Table S1). At the summit site Schmücke, two particle
111 inlets were installed facing south-west in separate windows at the height of 15 m of a 3-story
112 building that hosts a field station of the German Environmental Protection Agency
113 (*Umweltbundesamt*). The aerosol inlet was used to sample aerosol particles with aerodynamic
114 diameters (d_{aero}) smaller than 5 μm under cloud free conditions. During cloudy periods the
115 CVI (Mertes et al., 2005b) was additionally deployed to sample cloud droplets with diameters
116 larger than 5 μm . The single particle aerosol mass spectrometer ALABAMA (Aircraft-based
117 Laser Ablation Aerosol Mass Spectrometer) was operated while manually alternating between
118 these two inlets. We did not attempt to detect interstitial aerosol because the ALABAMA size
119 range (starting at 150 nm, see below) does not permit detection of small, unactivated particles.

120 A detailed description of the mass spectrometer ALABAMA can be found in Brands et al.
121 (2011). The particles enter the vacuum chamber via a Liu-type aerodynamic lens (Liu et al.,
122 1995a, b) and are focused to a narrow particle beam. Due to the pressure drop the particles are
123 accelerated when exiting the aerodynamic lens. The final particle velocity depends on their
124 size, shape and density. The particles are detected by the scattered light of two orthogonal

125 continuous wave Nd:VO₄ laser beams ($\lambda = 532$ nm). The optical detection of the particles
126 limits the particle size range for smaller particles to about 150 - 200 nm. The particle size
127 given as the vacuum aerodynamic diameter (d_{va}) can be derived from the time difference
128 between the two scattering signals by means of calibration with particles of known size. In
129 addition the time difference is used to calculate the time at which a particle will arrive at the
130 ionization region of the mass spectrometer. There the particle is evaporated and ionized in one
131 step by laser ablation with a pulsed Nd:YAG laser ($\lambda = 266$ nm). The resulting positive and
132 negative ions are detected by a bipolar time-of-flight mass spectrometer. The laser ablation
133 method is a qualitative method, such that it is not possible to relate the peak height to a mass
134 concentration of a certain compound (e.g., Middlebrook et al., 2003).

135 Figure 1 shows the measurement set-up and additionally operated instruments at the summit
136 site Schmücke. Besides the ALABAMA, an optical particle counter (OPC, Grimm, model
137 1.109, time resolution 6 s) as well as an Compact Time-of-Flight Aerosol Mass Spectrometer
138 (C-ToF-AMS, Aerodyne Research Inc., Drewnick et al., 2005) were run simultaneously.
139 Furthermore a High Resolution Time-of-Flight AMS (HR-ToF-AMS, Aerodyne Research
140 Inc., DeCarlo et al., 2006) and a multi-angle absorption photometer (MAAP, Thermo
141 Scientific, model 5012, time resolution 1 min) were operated continuously at the aerosol inlet.
142 The MAAP determines the mass concentration of equivalent black carbon (EBC, Petzold et
143 al., 2013) based on the absorption of particles sampled on a filter. The results from the C-
144 ToF-AMS and HR-ToF-AMS measurements are presented in an accompanying paper
145 (Schneider et al., 2015). Outside of the building, a particle volume monitor (PVM, Gerber
146 Scientific Inc., model 100, time resolution 1 min) for investigation of the liquid water content
147 (LWC) and a weather station (Davis Vantage Pro) for meteorological parameters were
148 installed. Additionally, Caltech active strand cloud water collectors (one stage, three stage,
149 and five stage) were mounted. The pH-value as well as the content of organic compounds of
150 cloud water were analysed by off-line methods at the Leibniz Institute for Tropospheric
151 Research. Furthermore, aliphatic amines were analysed from filtrated cloud water samples
152 (0.45 μ m syringe filters, Acrodisc 13, Pall, Dreieich, Germany) using an ion chromatography
153 method adopted from Facchini et al. (2008). Details of the method are given elsewhere (van
154 Pinxteren et al., 2015).

155

156 **2.2. Definition of Full Cloud Events (FCE)**

157 During the campaign a measurement period was considered as a “full cloud event” (FCE) if
158 the following criteria were fulfilled: liquid water content (LWC) of the summit site cloud
159 above 0.1 gm^{-3} , wind direction from the southwest ($200\text{--}250^\circ$ sector), wind speed at the
160 Schmücke site between 2 ms^{-1} and 12 ms^{-1} , no fog at the two valley sites, no precipitation at
161 any site, and air temperature above 0°C . In the course of the data analysis, only those FCEs
162 were chosen that fulfilled connected flow conditions which were inferred using cross-
163 correlations and coefficient of divergence (COD) for O_3 , particle number concentration in the
164 Aitken mode (49 nm) and in the accumulation mode (217 nm). For details see Tilgner et al.
165 (2014). Overall, 14 FCEs were identified and evaluated (Table 1). In this study we present the
166 data from all cloud residue measurements behind the CVI, but additionally the official FCEs
167 are analysed separately, thereby facilitating comparison with other data from HCCT-2010.
168 FCEs showing insufficient number of mass spectra for statistical evaluations (FCE2.1,
169 FCE4.1, FCE5.1 and FCE 26.2) are not considered in the following data analysis. Detailed
170 information on cloud type and meteorological conditions of the individual FCEs can be found
171 in the supplement to Tilgner et al. (2014), a brief description of the cloud conditions is
172 included in Table 1.

173

174 **2.3. Back trajectory calculation**

175 Back trajectories for the air masses encountered during HCCT-2010 were calculated using
176 HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectory, Draxler and Rolph
177 (2012)). The air mass origin was determined 96 h before arriving at Mt. Schmücke with a
178 time resolution of 1 h. The coordinates of the Schmücke at a height of 500 m above the
179 ground were used as endpoint in the model. 500 m were chosen because the model orography
180 can't resolve a small scale mountain range like the Thüringer Wald with sufficient detail. The
181 back trajectories for the whole HCCT-2010 campaign can be found in the supplement (Figure
182 S2). Back trajectories for the FCE are discussed in section 3.3.

183

184 **2.4. Analysis of single particle mass spectra**

185 During the whole HCCT-2010 campaign the ALABAMA sampled over 286 000 single
186 particle mass spectra. The mass spectra were distinguished between out-of-cloud aerosol and
187 cloud residual particles according to the inlets and the LWC. For the analysis of out-of-cloud
188 aerosol only measurement periods with an LWC < 0.05 g m⁻³ were considered (402 h) while
189 data sampled behind the CVI were only examined for an LWC > 0.1 g m⁻³ (228 h, 106 h
190 during FCEs). Upon inspection of the data set, a certain number of mass spectra were found to
191 contain ions of only one polarity. The appearance of such mono polar mass spectra was also
192 observed in other single particle mass spectrometer measurements (Bein et al., 2005;
193 Sodeman et al., 2005; Shields et al., 2007; Pratt et al., 2010) resulting either from technical
194 issues (e.g. tuning of high voltages) or from ion formation of only one polarity (Sodeman et
195 al., 2005). Negative ion mass spectra provide better information on secondary organic and
196 inorganic compounds (nitrate and sulphate) and thereby also on the mixing state of the
197 particles. However, in order to constrain the analysis to a consistent data set, monopolar mass
198 spectra were excluded and only mass spectra of both polarities were considered for the data
199 analysis presented here. Out of the remaining 177 752 bipolar single particle mass spectra
200 164 595 were obtained while sampling out-of-cloud aerosol and 13 157 while sampling cloud
201 residues (out of these 4 400 were obtained during FCEs).

202 **2.4.1. Clustering by fuzzy c-means algorithm**

203 The analysis method that is widely used and has become a standard method for single particle
204 mass spectra data is the clustering of the data set by similarities of the mass spectra (e.g., Hinz
205 et al., 1999; Silva and Prather, 2000; Murphy et al., 2003; Hinz et al., 2006; Zelenyuk et al.,
206 2006; Hinz and Spengler, 2007; Zelenyuk et al., 2008; Zhao et al., 2008; Dall'Osto et al.,
207 2009). The analysis presented here was conducted using the software tool CRISP (version
208 1.127, 64 bit) that was recently developed at the Max Planck Institute for Chemistry
209 (Klimach, 2012). It is based on the programming software IGOR Pro (version 6.3,
210 WaveMetrics). CRISP facilitates processing and management of large data sets. Data
211 processing includes mass calibration of the time-of-flight spectra, peak area integration, and
212 either automated clustering by one of the implemented algorithms (k-means or fuzzy c-
213 means), or manual clustering by inspection of every mass spectrum. Furthermore particle
214 spectra can be selected by specified criteria or according to additional external data sets. Here
215 the clustering was done using the fuzzy c-means algorithm (Bezdek, 1981; Bezdek et al.,

1984; Hinz et al., 1999). The main reason for choosing the fuzzy c-means algorithm was that in a test with two distinct particle types from laboratory data the fuzzy c-means yielded the best results (Roth, 2014). Furthermore, the fuzzy c-means accounts for mass spectra that don't fit to any cluster by creating one additional group of spectra ("others"). These mass spectra can then be treated separately by searching for certain marker peaks (see sections 2.4.3 and 2.4.4). All 177 752 bipolar mass spectra were first pre-processed separately by calculating the square root of the peak intensity for every peak in order to reduce peak intensity differences. Afterwards the mass spectra were normalized (positive and negative polarities separately) to the sum of the peak intensities. After concatenation of both polarities the entire mass spectrum was normalized again. In the algorithm the starting reference mass spectra for the clustering are chosen as follows: The first mass spectrum of the data set is chosen as the first reference. Hereupon the distance between the first reference and all further mass spectra is calculated sequentially. If the Pearson correlation coefficient between the reference and the actual mass spectrum is smaller than a threshold (chosen here: 0.8), the latter is regarded as being significantly different from the first reference spectrum and is added as a further start cluster reference. This procedure is repeated until the desired number of start clusters (here: 200) is obtained. The membership coefficient m_{ik} for every particle spectrum i to a cluster reference k is calculated by:

$$m_{ik} = \frac{1}{\sum_{j=1}^c \left(\frac{d_{ik}}{d_{ij}}\right)^{\frac{2}{f-1}}} \quad (1)$$

with the number of clusters c , the "fuzzifier" f and the distance d_{ij} between mass spectrum i and reference j . The sum of all membership coefficients equals 1. The fuzzifier ($1 \leq f \leq \infty$, originally introduced as "weighting exponent" by Bezdek (1981)) represents the fuzziness (blurring, defocusing) of the classification. The fuzzifier value of 1.7 applied here was chosen empirically on the basis of test data sets with known particle types and particle numbers. The distance d_{ij} is calculated here based on the Pearson correlation coefficient r_{ij} ($0 \leq r_{ij} \leq 1$) between the particle spectrum i and the cluster reference j via:

$$d_{ij} = 1 - r_{ij} \quad (2).$$

Every mass spectrum is compared to the start clusters, calculating correlation coefficient, distance and membership coefficient. Afterwards a mean mass spectrum of every cluster is calculated under consideration of the membership coefficients. The new mean cluster

246 spectrum serves as reference for the following run. Again correlation, distance and
247 memberships are calculated for every mass spectrum to the new cluster references. This
248 procedure is repeated until the membership difference of two consecutive iterations is smaller
249 than a termination threshold (here: 10^{-4}). Now every mass spectrum is assigned to that cluster
250 for which the Pearson correlation coefficient r_{ij} is highest, but only if r_{ij} is larger than a certain
251 threshold (here: 0.7). Mass spectra showing smaller correlation coefficients than this
252 threshold are sorted out and assigned to an additional cluster ("others").

253 The clustering of the current data set resulted in 159 clusters. This number is smaller than the
254 starting value of 200 clusters, confirming that the chosen number of 200 starting cluster was
255 large enough and that no particle types that significantly differ from the others were missed
256 by the algorithm. About 9% of all mass spectra were sorted out being represented by the
257 fraction "others". According to the fragmentation pattern considering characteristic peaks for
258 certain particle types, their combination (e.g. Hinz et al., 1999; Trimborn et al., 2002; Vogt et
259 al., 2003; Dall'Osto and Harrison, 2006; Pratt and Prather, 2010; Corbin et al., 2012) and
260 relative peak intensities, every cluster was assigned manually to a certain particle type.
261 Afterwards the number of obtained clusters was reduced by combining clusters of the same
262 particle type, if two mean cluster spectra j and k showed a Pearson correlation coefficient r_{jk}
263 larger than 0.7. In this way 65 cluster types remained which were further grouped into 19
264 different fragmentation types (plus "others") representing 11 distinguished particle types (plus
265 "others"), according to the criteria given in Table 3 (A-K). All cluster mean mass spectra as
266 well as further details on the separation of different clusters are shown in the supplement
267 (Figures S3-S6; Table S2). Further particle types were determined by searching for specific
268 marker peaks, see section 2.4.3.

269 **2.4.2. Uncertainties of clustering by the fuzzy c-means algorithm**

270 By manual inspection of the cluster algorithm results it was found that occasionally mass
271 spectra were classified falsely by the algorithm, depending on cluster number and particle
272 type. To take into account uncertainties of the resulting particle type fractions, the
273 uncertainties were estimated by means of a reduced, representative data set of the HCCT-
274 2010 campaign. For this, 1377 single particle mass spectra were clustered by fuzzy c-means
275 using the same parameters as described above. The resulting clusters were assigned to particle
276 types based on their averaged mass spectrum. Afterwards the individual mass spectra of every
277 particle type were reviewed manually. For example, 274 particle spectra were sorted into the

278 particle type "org, K". The number of mass spectra being assigned falsely to the considered
279 particle type was determined ($\Delta_{\text{false positive}}$). In case of "org, K", 51 mass spectra of this
280 particle type belonged to a different particle type. The number of mass spectra being classified
281 falsely to another particle type was also determined ($\Delta_{\text{false negative}}$). In order to do this, all
282 other clusters were inspected and the number of mass spectra belonging to "org, K" was
283 counted. In this example, 43 mass spectra of the particle type "org, K" were assigned to
284 clusters of other particle types by the algorithm. Since both false classifications are not
285 dependent on each other, we chose to apply Gaussian error propagation for the determined
286 uncertainty of a particle type ($\Delta_{\text{particle type}}$):

$$287 \quad \Delta_{\text{particle type}} = \sqrt{\Delta_{\text{false positive}}^2 + \Delta_{\text{false negative}}^2} \quad (3).$$

288 In case of "org, K", $\Delta_{\text{particle type}} = 67$, meaning that the error is about 24% of the absolute
289 number of mass spectra of this cluster. This reduced test set contained no particles of the type
290 "mineral dust" and "Ca". The uncertainty for the particle type "Ca" was therefore estimated by
291 averaging the uncertainties of the other particles types, while the particle type "mineral dust"
292 was further refined by the marker method and the uncertainty was inferred as explained in
293 section 2.4.3. Table 2 shows the details of the test data set along with the resulting
294 uncertainties for the different particle types. In this test case, a total of 16% of all mass spectra
295 were assigned to a wrong particle type. In relation to the absolute number of each particle
296 type, $\Delta_{\text{particle type}}$ ranges between 13% and 50%. The largest uncertainties are found for the
297 particle types "diesel exhaust" (50%), "amines" (35%), "soot and org" (31%) and "K" (30%).
298 The large error bar of the fraction "others" can be explained by the exclusion of mass spectra
299 that would have been assigned manually to an existing particle type. The determined
300 uncertainties were adopted for the clustering of the total data set.

301 **2.4.3. Particle type identification by marker peaks**

302 In addition to the clustering method that compares the whole mass spectra of the individual
303 particles, it is also useful to search for certain marker peaks, especially in cases when these
304 peaks are of small intensity such that they do not influence the correlation of two mass spectra
305 and therefor do not show up in the clustering results. A typical example would be looking for
306 metals (e.g., lead) or rarely appearing particle types (Dall'Osto et al., 2004; Tolocka et al.,
307 2004; Snyder et al., 2009). By the marker method it was possible to identify two different

308 particle types characterized by the abundance of iron, namely "mineral dust" and "Fe, V" (iron
309 internally mixed with vanadium). Indicators for mineral dust are besides Fe^+ also Na^+
310 (m/z 23) and K^+ (m/z 39) (Silva et al., 2000; Hinz et al., 2006; Dall'Osto et al., 2010), while
311 Vanadium (V^+ , m/z 51) originates rather from fuel combustion (Tolocka et al., 2004; Korn et
312 al., 2007; Ault et al., 2010) and industrial sources like refineries (Dall'Osto et al., 2004; Ault
313 et al., 2009) than from mineral dust. Details on the classification of iron containing particles
314 can be found in the supplementary material (Section 4, Figure S7).

315 The method is also suitable for investigating the particle mixing state when looking at the
316 abundance of, e.g., nitrate and sulphate independent of the particle type. Uncertainties for
317 particle types derived by the marker method were estimated using counting statistics (square
318 root of absolute number of counted particles).

319 **2.4.4. Combined analysis using clustering and marker peaks**

320 In order to optimize the data analysis and as a consequence of the two preceding sections, we
321 chose to apply a combined method of clustering and marker peaks: After the clustering, the
322 fraction "others" has been additionally investigated by marker peaks of lead, nickel,
323 vanadium, and iron. Also the particle type characterized by iron inferred from the clustering
324 method was analysed further using the marker peak method, resulting in two particle types:
325 one interpreted as mineral dust and the other consisting of iron internally mixed with
326 vanadium ("Fe, V"), belonging probably to an industrial source. Using the combined method
327 of clustering and marker peak analysis, a total of 14 particle types plus "others" were
328 identified. A summary of the resulting particle types, the applied method and their
329 characteristic signals for identification as well as the corresponding chemical composition in
330 the mass spectra are listed in Table 3. Due to the fact that all particle types were internally
331 mixed with secondary inorganic compounds like nitrate and sulphate, these compounds are
332 not explicitly mentioned in the legend. The mean positive and negative mass spectra of the 14
333 particle types plus the averaged remaining mass spectra ("others") are shown in Figure 2. An
334 overview of all cluster types obtained by the clustering method can be found in the
335 supplement (Figures S3-S6).

336

337 3. Results

338 3.1. Size resolved aerosol composition and identification of local sources

339 Figure 3 shows the size resolved particle composition for all particles (not separated for out-
340 of-cloud aerosol and cloud residues). The relative fraction of all particles in the specific size
341 class is given in order to eliminate the size dependent detection efficiency of the ALABAMA
342 (Brands et al., 2011). The total number of analysed particles per size bin is given by the grey
343 line. The maximum of the analysed particles lies in the size range between 500 and 550 nm,
344 due to the best detection and ablation efficiency of the ALABAMA in this size range. The
345 particle types shown in Figure 3 refer only to the results obtained by the fuzzy c-means
346 clustering, thus the particle types "Fe, V", "Ni", and "Pb" are contained in the type "others".
347 The most abundant particle types are "org, K", "biomass burning" and "soot" (see also Table
348 4). The particle types "diesel exhaust" as well as "soot, org" only appear significantly at small
349 vacuum aerodynamic diameters between 200 and 450 nm. This indicates that these particles
350 were recently emitted and had no time to grow by condensation or coagulation. In contrast, the
351 particle types "org", "amines" and "soot", are observed only with diameters larger than 450
352 nm. This agrees with the observation that all analysed soot particles were internally mixed
353 with nitrate and sulphate (see section 3.4) and indicates that these soot particles were aged and
354 have been processed and coated. Particles of the type "biomass burning" and "org, K" are
355 found in all size classes, although the type "org, K" has a clear maximum between 400 and
356 500 nm.

357 Figure 4 shows the number of detected particles as a function of the local wind direction at
358 the Schmücke. Panel a) gives the standard wind rose for the whole time period. The
359 dominating wind direction was southwest, with about 50% probability for wind directions
360 between 200 and 270°. This direction corresponds to the requirements for cloud events. The
361 absolute number of detected particles is given in Panel b), showing that the majority of the
362 detected particles were measured when the wind came from southwest. However, as shown in
363 Panel c), per unit of time more particles were detected when the local wind direction was
364 between 0 and 90°. In these directions lie several larger cities (Erfurt, Weimar, Jena, see map
365 in Figure S1) such that in general a higher pollution level may be expected.

366 Several particle types show a distinct diurnal pattern, indicating a source with a specific
367 emission pattern. The fact that the emission pattern is detectable at the measurement site

368 suggests that the source is not too far away, such that the diurnal pattern is not smoothed by
369 different air mass transport velocities and different wind directions. An example is shown in
370 Figure 5. The figure shows the complete time series for the particle type "diesel exhaust"
371 (upper panel) and the averaged diurnal pattern (lower panel). The diurnal pattern shows the
372 increased occurrence of particles of this type between 9:00 and 24:00 and a decrease of this
373 particle type during the night. This indicates the contribution of traffic emissions from within
374 one or two hours from the measurement site (local traffic typically starts around 7:00 in the
375 morning). All clusters contained in each particle type were inspected for such a diurnal trend.
376 From this the amount of particle influences by local or regional sources were obtained. Table
377 4 shows the relative abundance of the particle types during HCCT-2010 along with the
378 percentage of clusters showing diurnal variations and those not showing a diurnal trend. In
379 total, about 63% of the analysed particles belong to clusters indicating a diurnal variation.
380 This finding implies that the aerosol composition during HCCT-2010 is mainly influenced by
381 local and regional sources.

382 **3.2. Comparison of out-of-cloud aerosol and cloud residues**

383 One of the main objectives of this study was the analysis of cloud residues and the
384 comparison to the aerosol composition under cloud-free conditions. Figure 6 shows the
385 average aerosol particle composition for all out-of-cloud aerosol particles and all cloud
386 residues measured during HCCT-2010, not restricted to the full cloud events. It has to be
387 noted that measurements of cloud residues and out-of-cloud aerosol can by definition not be
388 made simultaneously, such that differences in the meteorological condition influences such a
389 comparison. In the following we will compare the relative abundance of the individual
390 particle types between cloud residues and out-of-cloud aerosol:

391 **3.2.1. Organic particle types**

392 For both organic particle types ("org, K" and "org") the relative abundance in cloud residues
393 is smaller than in the out-of-cloud aerosol. This observation may partly be in contradiction
394 with previous measurements reported in the literature. For example, measurements of cloud
395 residue composition by Drewnick et al. (2007) using an Aerodyne AMS reported increased
396 organic mass fractions in cloud residues. However, these measurements are hard to compare
397 because AMS data are based on average aerosol mass while the ALABAMA data are based
398 on single particle analysis. Furthermore, Drewnick et al. (2007) did not consider refractory

399 species in the aerosol composition and did not separate the organic mass into different
400 subgroups. For example, in our study the particle types "soot" and "biomass burning" reveal a
401 significant fraction of the aerosol composition (see below), and aerosol originating from
402 biomass burning can be a significant fraction of the "organic" aerosol mass reported by the
403 AMS (e.g., Lanz et al., 2010; Crippa et al., 2013; Crippa et al., 2014). The AMS data from the
404 HCCT-2010 campaign that are presented in a companion paper (Schneider et al., 2015) show
405 a slightly lower scavenging efficiency for organics than for nitrate and sulphate. In-cloud
406 scavenging of organic particles depends on the solubility of the organic compounds (Limbeck
407 and Puxbaum, 2000). The slightly lower scavenging efficiency may therefore be explained by
408 the lower solubility of hydrophobic organic compounds like aromatics whose fragments were
409 frequently observed our single particle mass spectra ($C_4H_3^+$ (m/z 51), $C_6H_5^+$ (m/z 77), $C_9H_7^+$
410 (m/z 115), see mass spectrum "org, K_2" in Figure S3).

411 **3.2.2. Amine-containing particles**

412 Several characteristic peaks for amines have been reported in the literature, the most common
413 appear to be m/z 59 ($(CH_3)_3N^+$) and m/z 74 ($(C_2H_5)_2NH_2^+$) (Angelino et al., 2001; Pratt et al.,
414 2009; Rehbein et al., 2011; Zhang et al., 2012; Healy et al., 2014a). We observed a particle
415 type with the abundance of m/z 59 and to a lesser degree also m/z 74 (Figure 2) that we
416 interpret as organic particle containing amines. In addition, the fragmentation patterns of
417 several single particle mass spectra show peaks at m/z 86 ($(C_2H_5)_2NCH_2^+$) and m/z 101
418 (molecular peak of trimethylamine, TMA) indicating detection of TMA (Angelino et al.,
419 2001). Interestingly, the relative fraction of mass spectra containing signatures for amines is
420 increased in the cloud residues (Figure 6). Thus, the time series of the characteristic marker
421 peak m/z 59 was compared to the concentrations of TMA, dimethylamine (DMA) and
422 methylamine (MA) measured in the cloud water samples by ion chromatography (Figure 7).
423 Caution is required because cobalt is an isobar to the amine fragment $(CH_3)_3N^+$ at m/z 59. But
424 since cobalt was detected during HCCT-2010 only in very low concentration in the cloud
425 water samples (Fomba et al., 2015), we ascribe the signal at m/z 59 to amine compounds. Due
426 to the fact that cloud water sampling was not done continuously, the time series of
427 ALABAMA and the amine species are only partly comparable. A reasonably good agreement
428 is observed between the number of amine containing mass spectra per hour and the mass
429 concentration of TMA (Pearson's $r = 0.60$) except for the disagreement around 17:00. Amine
430 compounds in the atmosphere can originate from various sources (Ge et al., 2011). Besides

431 from animal husbandry and biomass burning (Schade and Crutzen, 1995), TMA, DMA and
432 MA can originate from, e.g., industrial processes (Ge et al., 2011) and have been observed in
433 ambient air (Chang et al., 2003; Sellegri et al., 2005). An enhanced partitioning for gas phase
434 trimethylamine (TMA) on pre-existing particles coated by an aqueous layer was observed at
435 high relative humidity and low temperature (Rehbein et al., 2011; Zhang et al., 2012), thus the
436 generally increased abundance of amines in cloud water may not be surprising. Whether
437 amines remain in the aerosol phase after cloud droplet evaporation remains unclear, but may
438 play an important role in cloud processing of aerosol particles.

439 **3.2.3. Biomass burning and soot**

440 The results also show an increased fraction of the particle type "soot" in cloud residues.
441 Freshly emitted soot particles are hydrophobic and do not serve as CCN at realistic
442 supersaturations (Dusek et al., 2006a; Koehler et al., 2009). Nevertheless, it was observed in
443 several studies that soot is more efficiently activated than organic particles (Hitzenberger et
444 al., 2000; Sellegri et al., 2003). The size resolved aerosol composition (see Figure 3) shows
445 that the observed "soot" particles were mainly larger than 450 nm, leading to the conclusion
446 that mostly aged soot particles were analysed by the mass spectrometer. Furthermore, the
447 "soot" clusters reveal internal mixtures with soluble inorganic compounds like nitrate or
448 sulphate, which is presumably leading to activation of these particles at lower critical
449 supersaturation (Dusek et al., 2006a; Henning et al., 2010). Internally mixed particles can
450 either develop from condensation of secondary compounds on pre-existing particles or from
451 coagulation with hygroscopic particles respectively cloud droplets. Due to the fact that even
452 the out-of-cloud aerosol particles that contain soot are internally mixed with secondary
453 inorganic compounds, the increased fraction of soot particles in cloud residues can rather be
454 explained by a good CCN activity of hygroscopic soot particles than caused by in-cloud
455 impaction scavenging. Aging of atmospheric soot particles by coating with sulphate and
456 nitrate has been observed using single particle mass spectrometry by Pratt and Prather (2010)
457 as well as by Moffet and Prather (2009). The authors concluded that such processing of soot
458 particles in the urban environment of Mexico City takes about three hours. Although in a
459 cleaner environment than Mexico City coating by nitrate and sulphate will likely be slower, it
460 appears to be a reasonable explanation for the findings that soot-containing particles internally
461 mixed with nitrate and sulphate are efficiently activated as CCN and are therefore enhanced in
462 cloud residues. Similar findings have been reported for growth factors of coated black carbon

463 particles measured in Paris using a hygroscopic tandem differential mobility analyser and a
464 single particle mass spectrometer (Healy et al., 2014b). The mass based scavenging efficiency
465 of soot particles in our study was found to be markedly lower than that of sulphate or nitrate
466 (Schneider et al., 2015), confirming the assumption that the large soot-containing particles (>
467 450 nm) found in the cloud residues contain soot only as a minor mass fraction.

468 The relative percentage of biomass burning particles occurring in the out-of-cloud aerosol
469 does not differ much from that in the cloud residues. In agreement with previous observations
470 (Ross et al., 2003; de Villiers et al., 2010) this implies that aerosol from biomass burning is an
471 effective CCN, resulting from a high content of soluble organic and inorganic compounds in
472 the particles (Silva et al., 1999; Posfai et al., 2003; Andreae and Rosenfeld, 2008; Pratt et al.,
473 2011).

474 The high percentage of particles originating from combustion processes ("soot", "biomass
475 burning", "diesel exhaust") of about 43 % (Figure 6) is investigated more closely in the
476 following. Figure 8 shows the time series of the particle types "soot" (blue, Figure 8b) and the
477 sum of all particle types containing elemental carbon (brown, Figure 8c) observed by
478 ALABAMA, along with temperature, concentration of equivalent black carbon (EBC)
479 measured by the MAAP, and the biomass burning aerosol inferred from AMS data (green,
480 Figure 8d). The latter was estimated from the AMS data based on the marker peak at m/z 60
481 for Levoglucosan which is an indicator for biomass burning (Simoneit et al., 1999; Schneider
482 et al., 2006; Alfarra et al., 2007). Conversion of f_{60} (fraction of m/z 60 in the total organic
483 signal) into mass concentration was demonstrated by Weimer (2008) and Crippa et al. (2014).
484 The time series of the "soot" particle type agrees only partly with the time series of EBC. A
485 better agreement ($r = 0.47$) is reached if the time series of all clusters containing elemental
486 carbon in the mean mass spectra ("soot", "diesel exhaust", "biomass burning") is compared to
487 EBC. The three data sets (MAAP, ALABAMA, AMS) allow for the attribution of the events
488 shown in Figure 8 with enhanced EBC concentrations to different particle types: The event on
489 24 September 2010 (blue frame) is not caused by biomass burning but from combustion of
490 other (most likely fossil) fuel (like coal, oil, diesel), because the biomass burning marker
491 remains low. In contrast, the events from 10 October 2010 to 15 October 2010 and from 17
492 October 2010 to 19 October 2010 (green frame) can be mainly attributed to biomass burning.
493 The short data gap on 17 October is due to technical issues. Furthermore the EBC
494 concentration and the percentage of biomass burning particles rise with decreasing

495 temperature (Figure 8a). This can be attributed to the beginning of heating period and
496 consequently increased heating after 1 October 2010 leading to increased emission of from
497 local and regional residential heating in the city Suhl and other smaller cities and villages in
498 south-westerly direction (compare also Section 3.3).

499

500 **3.2.4. Mineral dust and metals**

501 Except for the particle type "Ca", the number fractions of mineral dust and metallic particle
502 types ("Fe, V", "Ni" and "Pb") are markedly enhanced in the cloud residues. All these
503 particles contain nitrate and sulphate, too (see Figure 2), thus the good activation or
504 scavenging efficiency is likely caused by the soluble compounds in these particles. The
505 presence of metals in cloud droplets has important implications for the oxidation of sulphur
506 containing species in the aqueous phase. Catalytic oxidation of SO₂ to sulphate by transition
507 metals as Fe and Mn (Calvert et al., 1985), but also Ti (Harris et al., 2013) and V (Ault et al.,
508 2010) is a process that has long been recognized (Calvert et al., 1985; Bradbury et al., 1999),
509 but data obtained during HCCT2010 have shown that this process is of higher importance
510 than previously thought (Harris et al., 2013). In marine environments, dimethyl sulphide can
511 be catalytically oxidized by vanadium to methanesulphonic acid (Gaston et al., 2010).
512 Enrichment of these transition metals in cloud droplets may be explained by cloud processing:
513 Transition metal-catalysed sulphate production in the cloud droplets leads to a higher sulphate
514 content of the metal-containing aerosol particles remaining after cloud evaporation and
515 thereby to a better activation of these particles in the next cloud formation process.

516

517 **3.3. Cloud residue analysis for the full cloud events (FCE)**

518 In the following the selected full cloud events (see Table 1) will be analysed in more detail.
519 These cloud events represent a subset of all cloud measurements and are referring to certain
520 conditions that were given in detail in Tilgner et al. (2014). The composition of the cloud
521 residual particles measured during the individual FCE during HCCT-2010 are shown in
522 Figure 9. Also given are the number of analysed mass spectra and the averaged mass
523 concentration of equivalent black carbon measured in the interstitial aerosol during the events.
524 Only FCE with sufficient (> 100) number of mass spectra are considered. These individual
525 events show large event-to-event variability, especially in the fraction of particles of the type

526 "soot". Four FCE are characterized by a markedly higher fraction of "soot" particles, namely
527 FCE 7.1, 11.2, 11.3 and 13.3, all of them between 24 September and 06 October. These
528 events are also characterised by a high absolute mass concentration of EBC, especially FCE
529 11.2 and FCE 13.3 with about 300 ng m^{-3} of EBC. To further investigate this finding, the
530 origin of the air masses encountered during these FCE is inspected by means of back
531 trajectories. Figure 10 displays the 96h-back trajectories, separated for the "low-soot FCE"
532 (left) and the "high-soot FCE" (right). It becomes clear that the air masses encountered during
533 the "low-soot FCE" arrive mainly from west/north west and have spent a considerable amount
534 of the 96 hours prior to the measurement over the Atlantic Ocean (see also Figure S1 in the
535 supplementary material to Tilgner et al. (2014)). These air masses had less opportunity to
536 accumulate pollution particles over the continent. In contrast, the "high-soot" air masses
537 arrive more from south/south-west and have travelled slower, therefore having spent more
538 time of the previous 96 hours of land, leading to higher accumulation of anthropogenic
539 emitted particles like soot. An exceptional case is FCE 22.0 (included in the left graph of
540 Figure 10), where the air masses arrive from east/north-east, although the local wind direction
541 was south-west (as a prerequisite for an FCE). This air mass contained the highest fraction of
542 biomass burning particles of all FCE and the highest number of mass spectra per event and
543 time (1561 spectra in only 7.2 hours, see Table 1). It has to be noted here that the full cloud
544 events may not be representative for the general situation for clouds at the Schmücke, because
545 of the selected data set. The composition data for the FCE are based on 4400 mass spectra,
546 while for the composition of all cloud residues (Figure 6) more than 13000 mass spectra were
547 analysed. For example, the required prerequisite that the local wind direction for an FCE has
548 to be south-west, air masses of all FCE pass the city of Suhl located south-west of the
549 Schmücke, which may lead to a higher relative amount of anthropogenic particles and a
550 higher influence of local and regional emissions in the full cloud events compared to the
551 general case. On the other hand, the analysis of the diurnal cycles in section 3.1 has shown
552 that in general local and regional sources have a high influence on the aerosol particle
553 abundance.

554 **3.4. Change of particle mixing state by cloud processing**

555 As mentioned before, all identified particle types indicate internal mixtures with nitrate,
556 sulphate or both species. Therefore the clustering algorithm can't provide information about
557 the particle mixing state from out-of-cloud to inside of the cloud. Therefore, the mixing state

558 of the particles with nitrate and sulphate was investigated by means of the characteristic
559 marker peaks m/z -62 (NO_3^-) and m/z -97 (HSO_4^-).

560 To compare cloud residues and out-of-cloud aerosol, we selected air masses with comparable
561 origins based on HYSPLIT back trajectories for in-cloud and out-of-cloud conditions. As an
562 additional criterion it was required that the local wind direction at the Schmücke was
563 constant. The listed events "I" and "II" in Table 5 fulfilled these criteria. These events differ
564 slightly from the defined FCEs because the criteria for the FCEs were not taken into account
565 here. The cloud sampling phase of event "I" corresponds mostly to FCE1.1, while that of
566 event "II" is a part of FCE24.0. During event "I" the air masses for in-cloud and out-of-cloud
567 conditions both arrived from France, while air masses for both conditions during event "II"
568 passed over England.

569 The characteristic marker peaks m/z -62 and m/z -97 in the single particle mass spectra of the
570 events "I" and "II" show that only less than 1% of the out-of-cloud aerosol particles contained
571 neither nitrate nor sulphate for both events. Thus, 99% of the out-of-cloud aerosol particles
572 were already internally mixed with secondary inorganic compounds before passing the cloud.
573 Such a high percentage of particles being internally mixed with secondary inorganic
574 compounds were also found by single particle mass spectrometry during other studies in
575 California (Cahill et al., 2012) or Harrow (Jeong et al., 2011). However, we observed an
576 increase of the particle fraction containing nitrate in the cloud residues compared to the out-
577 of-cloud aerosol, and the same finding holds for sulphate (Figure 11, left). This can be
578 explained by a more detailed analysis of the particle mixing state, distinguishing between
579 particles containing only nitrate, only sulphate or both nitrate and sulphate (Figure 11, right).
580 Particles internally mixed only with sulphate (i.e. containing no nitrate) represent in general a
581 minor fraction ($< 3\%$). For both analysed events the percentage of particles containing only
582 nitrate and only sulphate was smaller in case of cloud residues compared to the out-of-cloud
583 aerosol while the percentage of particles with nitrate and sulphate was increased. This
584 observed increase indicates a sulphate addition to those particles that contained only nitrate,
585 but also a nitrate addition to those particles that contained only sulphate. In the cloud residues,
586 particles not containing nitrate can almost not be found. It must be noted that relative
587 percentages of out-of-cloud aerosol particles internally mixed with secondary inorganics
588 added for both events are less than the 99% inferred above, due to the different threshold
589 values that had to be used for the definition whether a peak is present in a mass spectrum or

590 not (see supplement, chapter 3). This addition of sulphate and nitrate (and possibly also of
591 organic components as amines, see 3.2.2) by cloud processing can also be observed in a size
592 shift of the analysed particles. Figure 12 shows the size histograms of the particles analysed
593 by ALABAMA during event I and II. In both cases a shift in the histogram to larger sizes is
594 observed. The activation of CCN to cloud droplets is usually occurring at much smaller sizes
595 (activation diameters upwind of the Schmücke have been observed to range between 123 and
596 194 nm (Henning et al., 2014)), such that the observed size shift by ALABAMA can very
597 likely be attributed to the uptake of gaseous species by the cloud droplets, leading to a size
598 increase of the residual particles.

599 These two case studies demonstrate the change of the particle mixing state by chemical
600 processes inside the cloud liquid phase. Similar observations were found earlier in numerous
601 studies (e.g., Laj et al., 1997b; Sellegri et al., 2003; Brüggemann et al., 2005; McFiggans et
602 al., 2006; Hayden et al., 2008; Zelenyuk et al., 2010). The enrichment of nitrate was also
603 observed by simultaneous measurements with an AMS providing evidence of an increased
604 mass concentration of nitrate in cloud residues compared to interstitial and out-of-cloud
605 aerosol (Schneider et al., 2015). Such an enhancement of nitrate in cloud droplets can be
606 explained by the uptake of gaseous nitric acid into the cloud droplets (Tilgner et al., 2005;
607 Hayden et al., 2008). Enrichment of sulphate in cloud droplets can occur via different
608 pathways. Besides the uptake of gaseous H_2SO_4 and the scavenging of ultrafine particulate
609 matter also the uptake of SO_2 with subsequent oxidation plays a role (Harris et al., 2014).
610 Furthermore Harris et al. (2013) could prove that besides the known SO_2 oxidation by H_2O_2
611 also the oxidation with O_2 catalysed by transition metals plays a significant role for the
612 sulphate production. Despite the low number concentration of activated mineral dust particles
613 in general, it became apparent that SO_2 oxidation was mainly catalysed by dissolved transition
614 metals during HCCT-2010. As it was shown in this study, transition metals were also detected
615 by the ALABAMA (see Table 2).

616 Furthermore the aerosol hygroscopicity was investigated in the same field experiment before
617 and after cloud formation at the valley sites. In agreement with the described results, the
618 hygroscopicity of the particles was found to be increased after passing the cloud (up to 50%,
619 see Henning et al. (2014)). By means of the above described processes water-soluble material
620 is enriched inside the particles while being processed by the cloud. After evaporation of the
621 cloud the water-soluble material it is likely to remain in the particles, thereby increasing their

622 hygroscopicity. This process will occur in all cloud droplets formed from all CCN sizes, and
623 therefore also influence the CCN properties of aerosol particles smaller than analysed here.
624 For small aerosol particles that are in the size range of the activation diameter for a specific
625 supersaturation the chemical composition plays an important role for the activation.

626 **4. Summary and Conclusions**

627 During the HCCT-2010 campaign, more than 170 000 aerosol particles and more than 14 000
628 cloud residual particles were analysed by single particle mass spectrometry. The data
629 evaluation was done by a combination of the clustering algorithm fuzzy c-means and the
630 marker peak method, resulting in 14 different particle types. From the diurnal trends of the
631 occurrence of these particle types, the influence of local and regional sources on the aerosol
632 composition was estimated to be about 63%. Especially the particle types "soot" and "biomass
633 burning" could be allocated to local or regional sources. The single particle data further
634 allowed for a better attribution of equivalent black carbon to different sources, as fossil fuel
635 burning or biomass burning. Important local sources are the city Suhl in the predominant
636 wind direction, together with the beginning of the heating period in October, leading to
637 increased biomass burning signatures.

638 Analysis of the cloud residues revealed that the relative percentage of soot and amines is
639 increased compared to out-of-cloud aerosol. Analysis of cloud water samples by ion
640 chromatography showed that amines were mainly found in the form of trimethylamine. The
641 increased fraction of soot can be explained by processing of soot particles leading to coating
642 by nitrate and sulphate which is known to occur in a few hours. In addition the size resolved
643 aerosol composition reveals that the detected particles containing soot are larger than 450 nm.
644 Both facts suggest that such processed soot particles are good cloud condensation nuclei.

645 All observed particle types show internal mixtures with the secondary inorganic compounds
646 nitrate and/or sulphate. By means of the characteristic marker peaks m/z -62 and m/z -97 for
647 nitrate respectively sulphate, the change of the particle mixing state from out-of-cloud to in-
648 cloud was investigated in two case studies. In both cases the addition of nitrate and sulphate
649 to the cloud droplets was observed. This finding is most likely due to the uptake of HNO_3
650 from the gas phase and sulphate production by the oxidation of SO_2 by transition metals and
651 H_2O_2 inside the cloud droplets (Harris et al., 2014), besides the uptake of H_2SO_4 .

652 Such a cloud processing of aerosol particles has important implications for the hygroscopic
653 properties of the aerosol particles after cloud passage. An increase of soluble compounds in

654 the particles, together with the involved growth of the particle size, will lead to an enhanced
655 number of CCN that are available in the air mass after evaporation of the cloud. Additionally
656 the modified chemical composition can lead to altered radiation properties concerning light
657 scattering and absorption. Especially internal mixed soot particles indicate a higher absorption
658 than pure soot particles (Jacobson, 2001) and could therefore counteract the cooling effect of
659 clouds.

660

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667

668

669 **References**

670

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1087 **Tables**

1088

1089 **Table 1.** Overview of the defined FCEs after Tilgner et al. (2014) during HCCT-2010 and the number of obtained single particle mass spectra
1090 by ALABAMA. FCE2.1, FCE4.1, FCE5.1 and FCE26.2 are statistically not significant.

Full cloud event	Start (CEST)	End (CEST)	Duration/h	Cloud information	Number of mass spectra (cloud residues)
FCE1.1	14-09-2010 11:00	15-09-2010 01:50	14.8	No pure orographic cloud, area covered by high clouds, stable stratification	1351
FCE1.2	15-09-2010 03:00	15-09-2010 06:20	3.3	Slight precipitation, slight stable thermal stratification	128
FCE2.1	15-09-2010 23:00	16-09-2010 02:00	3	No pure orographic cloud, no precipitation, stable stratification	-
FCE4.1	16-09-2010 13:10	16-09-2010 15:00	1.8	Slight precipitation, unstable thermal stratification	5
FCE5.1	16-09-2010 21:40	16-09-2010 23:50	2.2	No precipitation, slight stable stratification	56
FCE7.1	24-09-2010 21:10	25-09-2010 00:50	3.7	Stable thermal stratification, orographic cloud, no precipitation	238
FCE11.2	01-10-2010 20:50	02-10-2010 03:10	6.3	No precipitation, occlusion-related cloud	117
FCE11.3	02-10-2010 07:10	03-10-2010 00:30	17.3	Slight precipitation at beginning of event, partly orographic cloud, higher clouds occurred, stable thermal stratification	974
FCE13.3	06-10-2010 06:50	07-10-2010 01:00	18.2	Rather stable thermal stratification, orographic cloud	1131
FCE22.0	19-10-2010	19-10-2010	7.2	T < 0°C, rather stable thermal stratification, occlusion-related cloud	1561

	01:50	09:00			
FCE22.1	19-10-2010 21:10	20-10-2010 02:30	5.3	Slightly stable thermal stratification, lower stratiform cloudiness	248
FCE24.0	21-10-2010 22:10	22-10-2010 10:00	11.8	T < 0°C, quite stable thermal stratification, orographic cloud pattern, slight precipitation	588
FCE26.1	23-10-2010 23:40	24-10-2010 07:20	7.7	less stable thermal stratification, no pure orographic cloud, light precipitation	356
FCE26.2	24-10-2010 08:40	24-10-2010 12:20	3.7	Similar to FCE26.1, light postfrontal precipitation	30

1091

1092 **Table 2.** Overview of particle types and the corresponding determined uncertainties of the
 1093 clustering by the fuzzy c-means algorithm. The particle types “mineral dust” and “Ca” were
 1094 not included in the reduced test data set.

Particle type	number MS	$\Delta_{\text{false positive}}$	$\Delta_{\text{false negative}}$	$\Delta_{\text{particle type}}$	$\Delta_{\text{particle type}} (\%)$
org, K	274	51	43	67	24
Org	162	21	1	21	13
amines	162	14	54	56	35
Soot	125	4	19	19	15
soot and org	120	12	35	37	31
diesel exhaust	18	8	3	9	50
biomass burning	223	14	36	39	17
K	106	31	8	32	30
sea salt	23	3	5	6	26
others	164	68	22	72	44

1095

1096

1097 **Table 3.** Overview of identified particle types and the characteristic peaks used for the assignment of clusters to a particle type. Additionally
 1098 the observed chemical composition of the particle types and the denotation used in the following (legend) are listed. Secondary inorganic
 1099 compounds like nitrate and sulphate were present in every particle type and have therefore not been used as characteristic signals for the
 1100 separation of particle types.

category	legend	method	characteristic signals	corresponding chemical composition
A	org, K	clustering	m/z 27 (C ₂ H ₃ ⁺), 39 (K ⁺), 43 (C ₃ H ₅ ⁺ /CH ₃ CO ⁺), 51(C ₄ H ₃ ⁺), organics, potassium, nitrate, sulfate 63 (C ₅ H ₃ ⁺), 77 (C ₆ H ₅ ⁺); m/z -59 (C ₃ H ₇ O ⁻ / C ₂ H ₃ O ₂ ⁻), -73 (C ₄ H ₉ O ⁻ /C ₃ H ₅ O ₂ ⁻) m/z 12 (C ⁺), 18 (NH ₄ ⁺), 27 (C ₂ H ₃ ⁺), 36 (C ₃ ⁺), 39 (K ⁺), 43 (C ₃ H ₅ ⁺ / CH ₃ CO ⁺), 48 (C ₄ ⁺)	organics, potassium, nitrate, sulfate
B	org	clustering	m/z 27 (C ₂ H ₃ ⁺), 43 (C ₃ H ₅ ⁺ / CH ₃ CO ⁺), C ₁₋₅ ⁺	organics, nitrate, sulfate
C	amines	clustering	m/z 18 (NH ₄ ⁺), 59 (N(CH ₃) ₃ ⁺)	amines, organics, nitrate, sulfate
D	soot	clustering	C _n ⁺ ; C _n ⁻	soot, nitrate, sulfate
E	soot and org	clustering	m/z 18 (NH ₄ ⁺), 27 (C ₂ H ₃ ⁺), 43 (C ₃ H ₅ ⁺ /CH ₃ CO ⁺), C _n ⁺ ; C _n ⁻	soot, organics, nitrate, sulfate
F	diesel exhaust	clustering	m/z 23 (Na ⁺), 40 (Ca ⁺), C _n ⁺ ; C _n ⁻	soot, sodium, calcium, nitrate
G	biomass burning	clustering	m/z 39 (K ⁺), C _n ⁺ ; C _n ⁻ m/z 23 (Na ⁺), 39 (K ⁺), 43 (C ₃ H ₅ ⁺ / CH ₃ CO ⁺), 51(C ₄ H ₃ ⁺), C _n ⁺ ; C _n ⁻ m/z 39 (K ⁺); m/z -26 (CN ⁻)	biomass burning, nitrate, sulfate
H	K	clustering	m/z 39 (K ⁺); m/z -46 (NO ₂ ⁻), -62 (NO ₃ ⁻), -97 (HSO ₄ ⁻)	potassium, nitrate, sulfate
I	sea salt	clustering	m/z 23 (Na ⁺), 39 (K ⁺); m/z -46 (NO ₂ ⁻), -62 (NO ₃ ⁻), -97 (HSO ₄ ⁻)	sodium, potassium, nitrate, sulfate (aged sea salt)
J	Ca	clustering	m/z 40 (Ca ⁺), 57 (CaOH ⁺)	calcium, soot, nitrate, sulfate
K	mineral dust	clustering & marker peak	m/z 56 (Fe ⁺)	Iron, sodium, potassium, calcium, nitrate, sulfate, phosphate
L	Fe, V	marker peak	m/z 56, m/z 51, m/z 67	vanadium, iron, nitrate, sulfate
N	Ni	marker peak	m/z 58	nickel, iron, vanadium, nitrate, sulfate
M	Pb	marker peak	m/z 208	lead, sodium, nitrate, sulfate
O	Others			

1101

1102 **Table 4.** Absolute and relative particle numbers detected by ALABAMA during the HCCT-
 1103 2010 campaign. The percentage of each particle type is subdivided into the fraction revealing
 1104 a diurnal trend and into the fraction without diurnal trend. All percentages refer to the total
 1105 number of 177752 analysed particles.

Particle type	Total number	Percentage	Number in clusters with diurnal trend	Percentage with diurnal trend	Number in clusters w/o diurnal trend	Percentage w/o diurnal trend
org, K	57163	32.2	27344	15.4	0	16.8
org	6295	3.54	6290	3.54	0	0.00281
amines	4910	2.76	4910	2.76	0	0
soot	25981	14.6	23546	13.2	0	1.37
soot, org	3931	2.21	2878	1.62	0	0.592
diesel exhaust	994	0.559	994	0.559	0	0
biomass burning	49873	28.1	37505	21.1	0	6.96
K	10052	5.66	9035	5.08	0	0.572
sea salt	1927	1.08	0	0	1927	1.08
others	13967	7.86	0	0	13967	7.86
Ca	263	0.148	0	0	263	0.148
mineral dust	756	0.425	0	0	756	0.425
Fe, V	569	0.242	0	0	569	0.32
Ni	641	0.361	0	0	641	0.361
Pb	430	0.242	0	0	430	0.242
Total	177752	100	112502	63.3	65250	36.7

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1107

1108 **Table 5.** Cloud and out-of-cloud periods (local time) used for the investigation of the particle
 1109 mixing state.

event	Out-of-cloud aerosol			cloud residues		
	start	end	mass spectra	start	end	mass spectra
I	15-09-2010 11:00	15-09-2010 23:30	1732	14-09-2010 11:00	15-09-2010 02:00	1351
II	21-10-2010 14:15	21-10-2010 22:15	1410	21-10-2010 23:24	22-10-2010 09:29	577

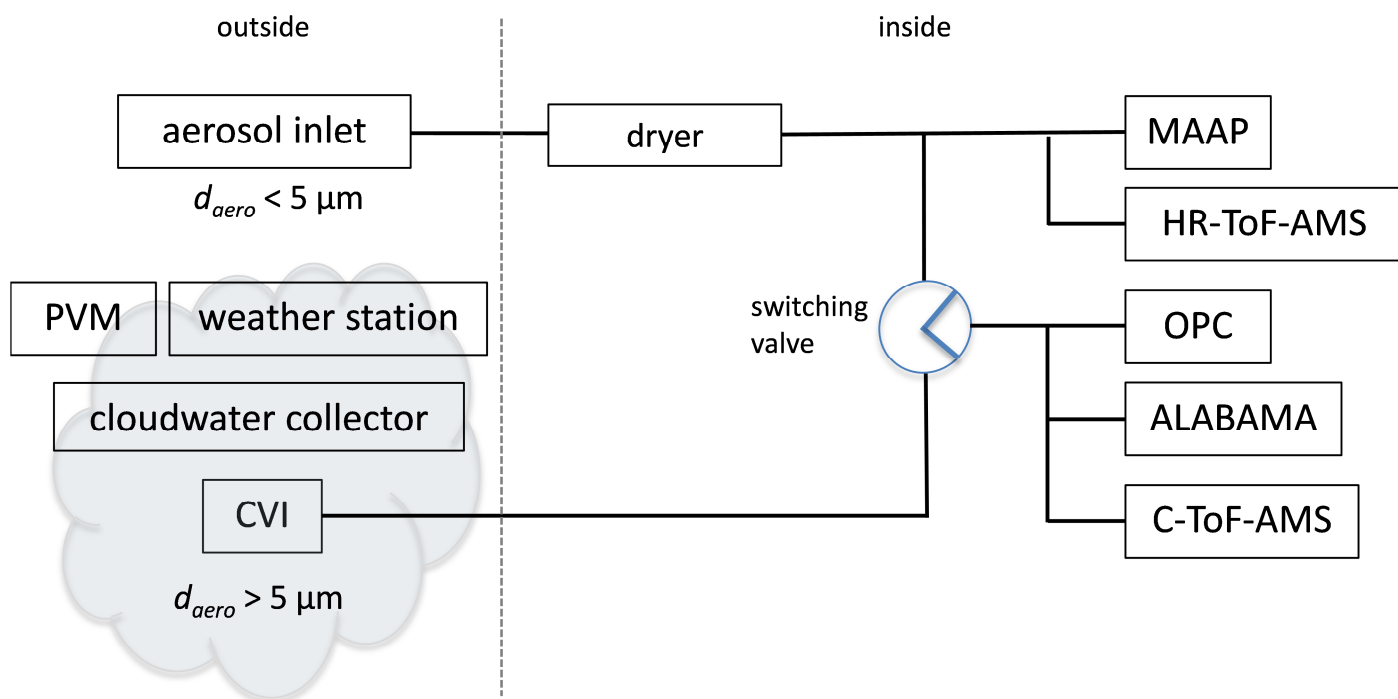
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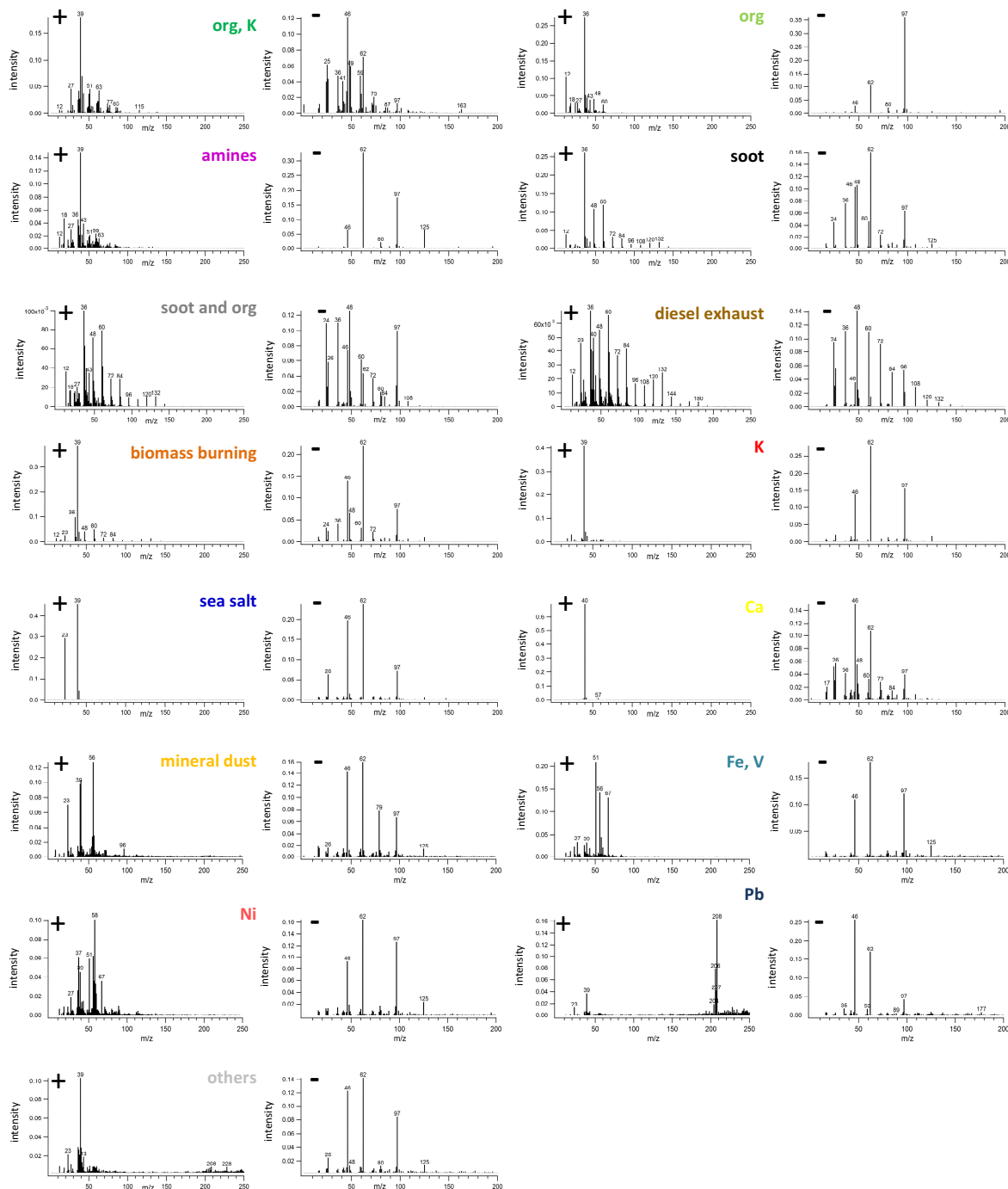
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Figures



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Figure 1. Measurement set-up and further operated instruments at the summit site Schmücke. Out-of-cloud aerosol was investigated by sampling through the aerosol inlet during cloud free periods while cloud residues were investigated by sampling through the CVI during cloud episodes.

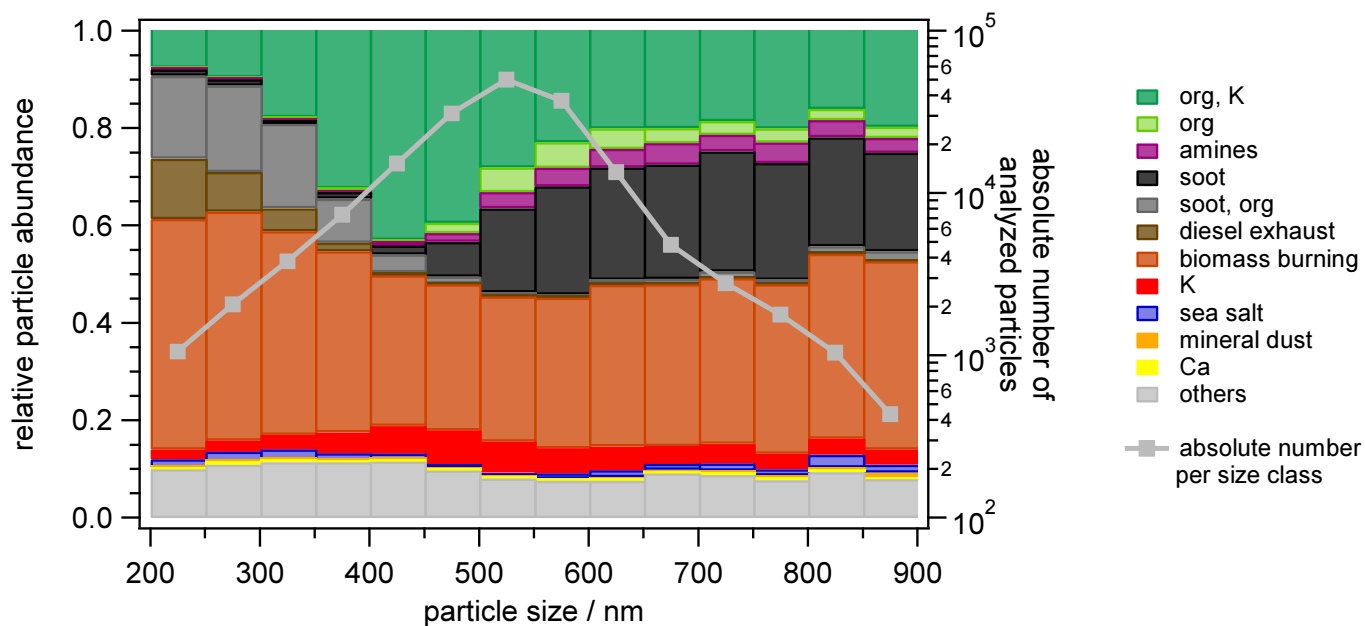


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1130 **Figure 2.** Mean positive (left) and negative (right) mass spectra representative of the particle
 1131 types “org, K”, “org”, “amines”, “soot”, “soot and org”, “diesel exhaust”, “biomass burning”,
 1132 “K”, “sea salt” and “Ca”, “mineral dust”, “Fe,V”, “Ni”, “Pb” (with the separation of the Pb
 1133 isotopes clearly visible) and “others”.

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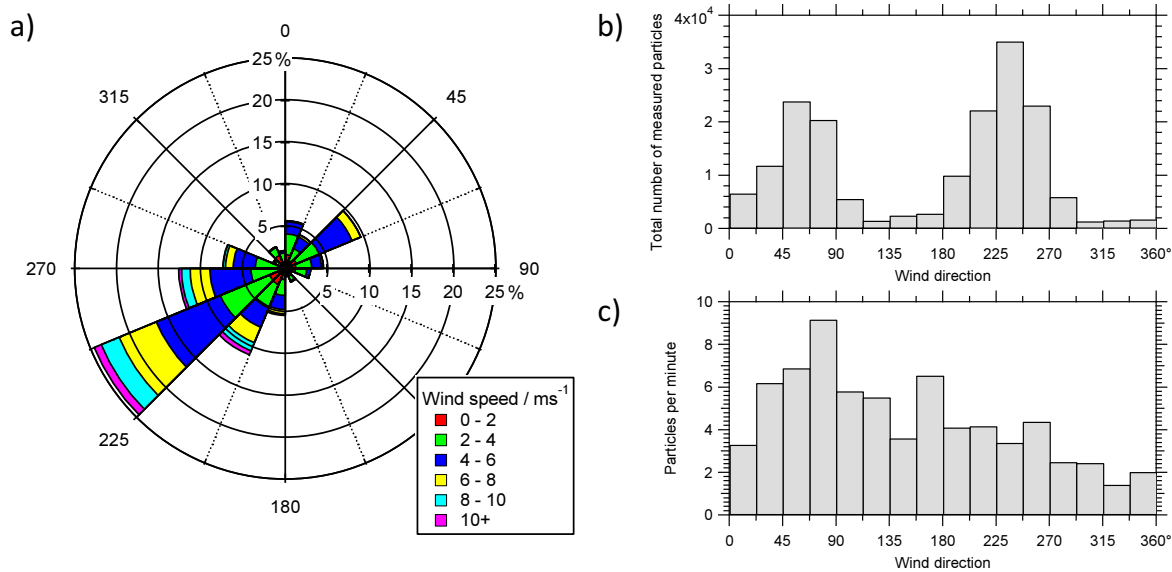
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1137 **Figure 3.** Size resolved aerosol composition of the resulting particle types detected by the
1138 ALABAMA, binned into 50 nm size intervals. The absolute number of analysed particles per
1139 size class is given by the grey line.

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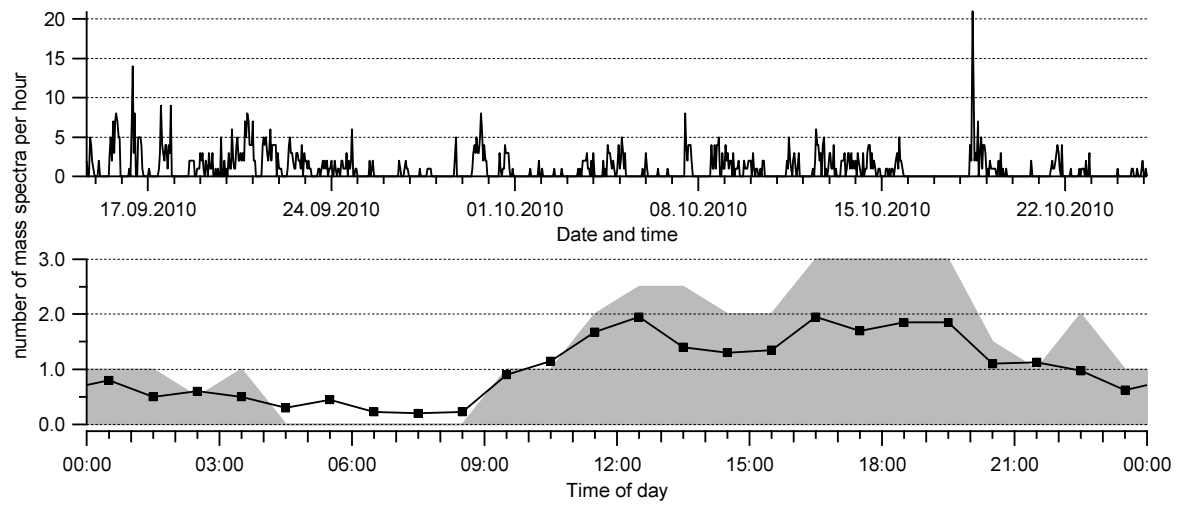
1145 **Figure 4.** a) Wind rose showing wind speed and direction for the whole time period. b)
1146 Absolute number of analyzed particles per wind direction. c) Same as b) but normalized to the
1147 measurement time per wind direction.

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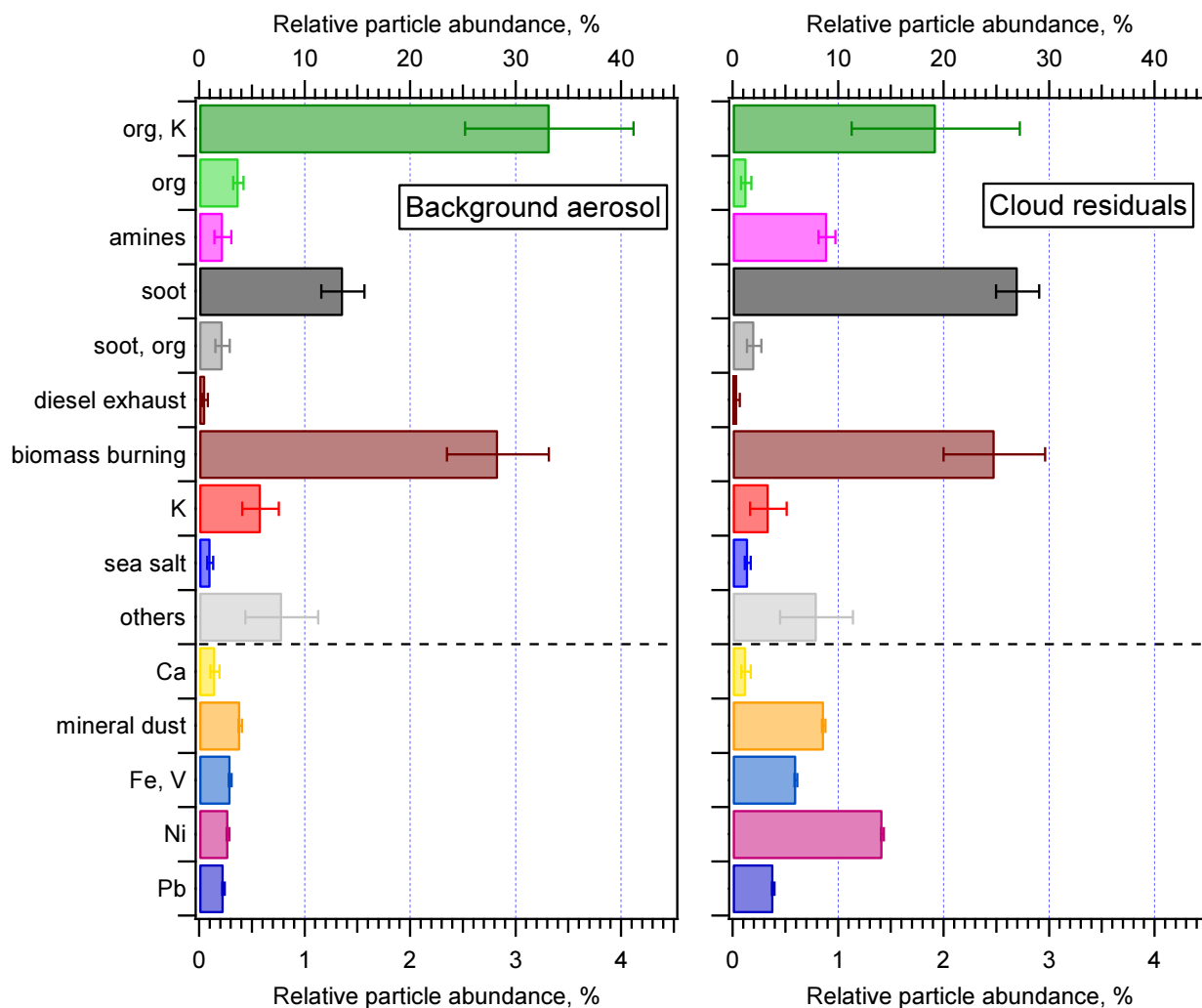


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1154 **Figure 5.** Time series (top) and diurnal variations (bottom, LT) of the particle type “diesel
1155 exhaust” during HCCT-2010. Markers denote the mean values, the grey shaded area
1156 represents the upper quartile.

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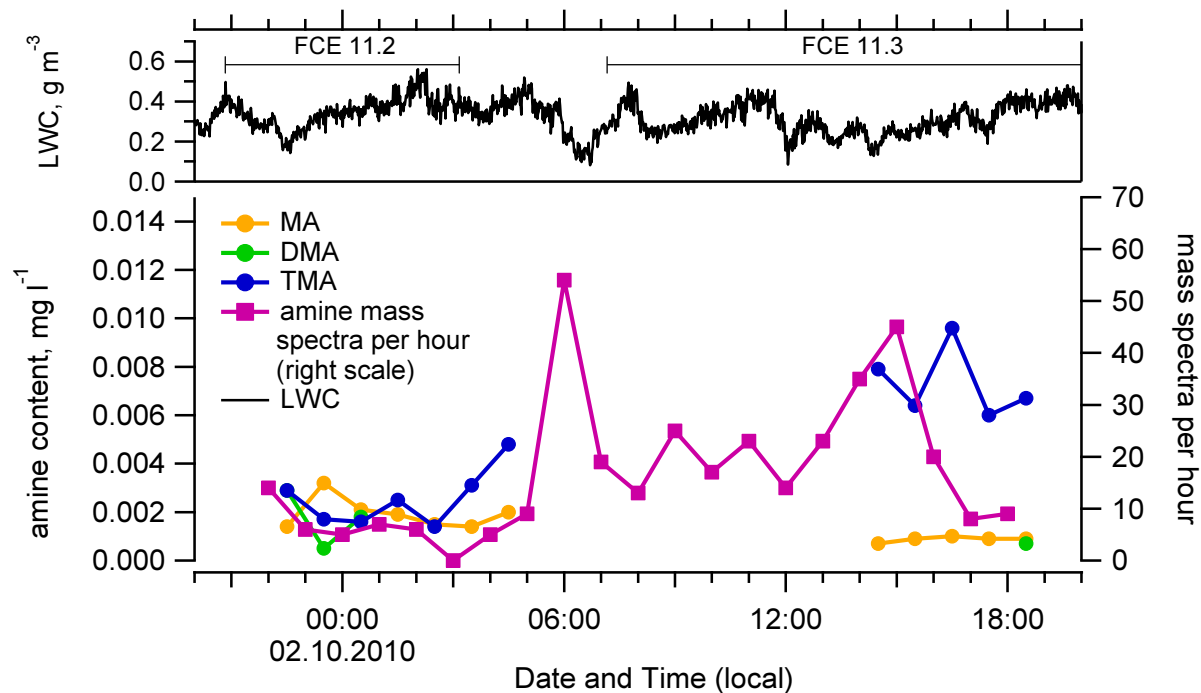


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1160 **Figure 6.** Aerosol composition of out-of-cloud aerosol (left) and cloud residual particles
 1161 (right) for the entire HCCT-2010 campaign. Uncertainties of the clustering were estimated
 1162 according to Section 2.4.2. In case of particle types determined by the marker peak method
 1163 (Section 2.4.3) uncertainties are based on Poisson statistic. Number of analysed particles: out-
 1164 of-cloud aerosol: 164595, cloud residues: 13157. Note that the scale is expanded by a factor
 1165 of 10 below the dashed line (bottom axis), particle abundances above the dashed line refer to
 1166 the top axis.

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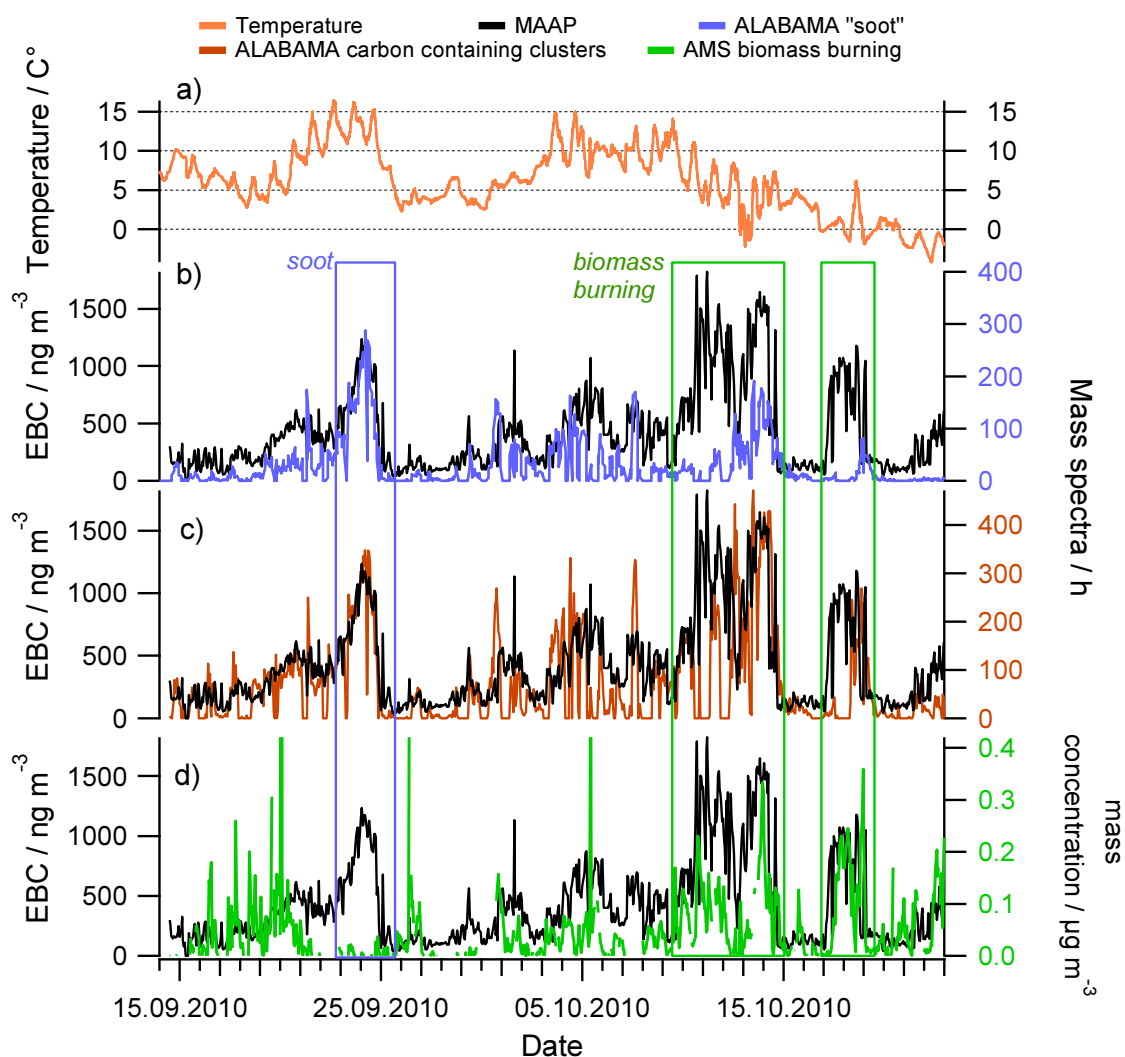


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1171 **Figure 7.** Time series (LT) of the amine compounds methylamine (MA), dimethylamine
 1172 (DMA) and trimethylamine (TMA) from cloud water samples on 02 October 2010 (FCE11.2
 1173 and FCE 11.3) compared to the time series (number of mass spectra per hour) of amine-
 1174 containing cloud residues. The upper panel shows the liquid water content (LWC) and the
 1175 FCE times.

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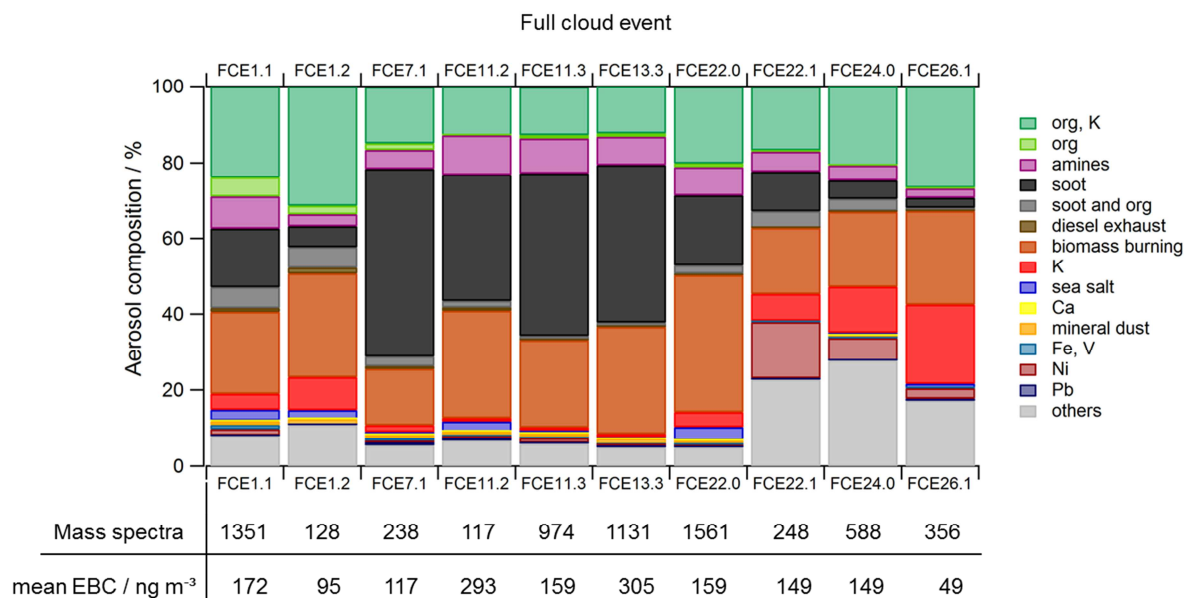


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1180 **Figure 8.** Time series (local time) of combustion related parameters observed during HCCT-
 1181 2010. a) Temperature, b) Equivalent black carbon (EBC) together with the particle type "soot"
 1182 (blue), c) EBC along with the particle type biomass burning (brown), d) EBC along with the
 1183 biomass burning aerosol inferred from AMS data (green).

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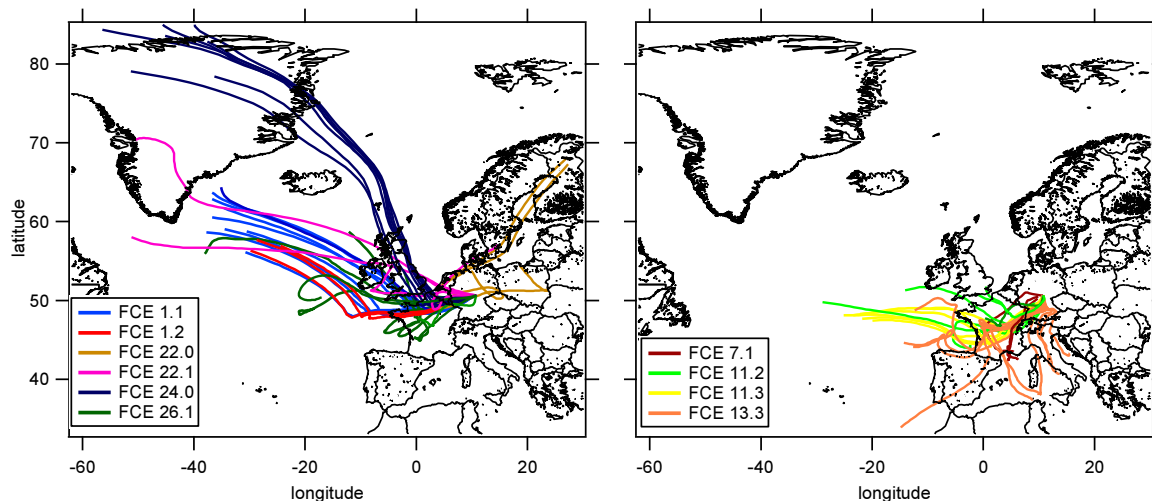
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1188 **Figure 9.** Cloud residue composition during full cloud events (FCE). The number of obtained
 1189 single particle mass spectra and the mean EBC concentration per event is given below the
 1190 graph. Only FCE with more than 100 mass spectra are included (see Table 1).

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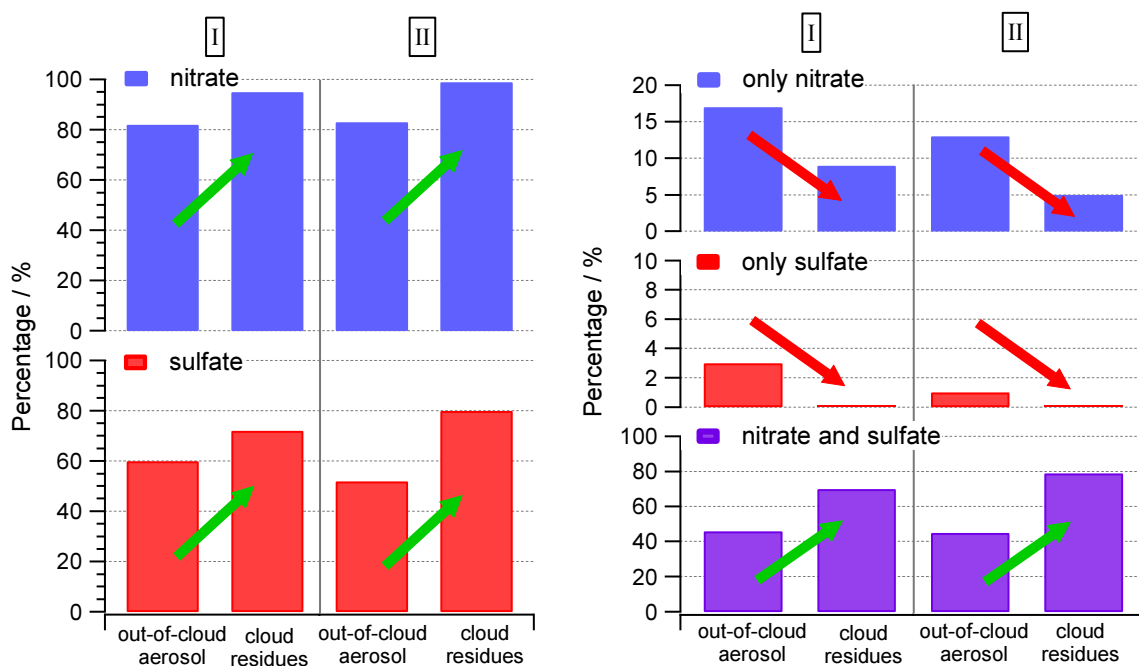
1196 **Figure 10.** HYSPLIT back trajectories (96 hours) for air masses encountered during the FCE
1197 displayed in Figure 9. Left: FCE with low soot particle abundance; right: FCE with high soot
1198 particle abundance. Trajectory end point: Schmäcke (10°46'15" E, 50°39'19" N, 500 m above
1199 model ground level). Temporal difference between successive trajectories: 2 hours.

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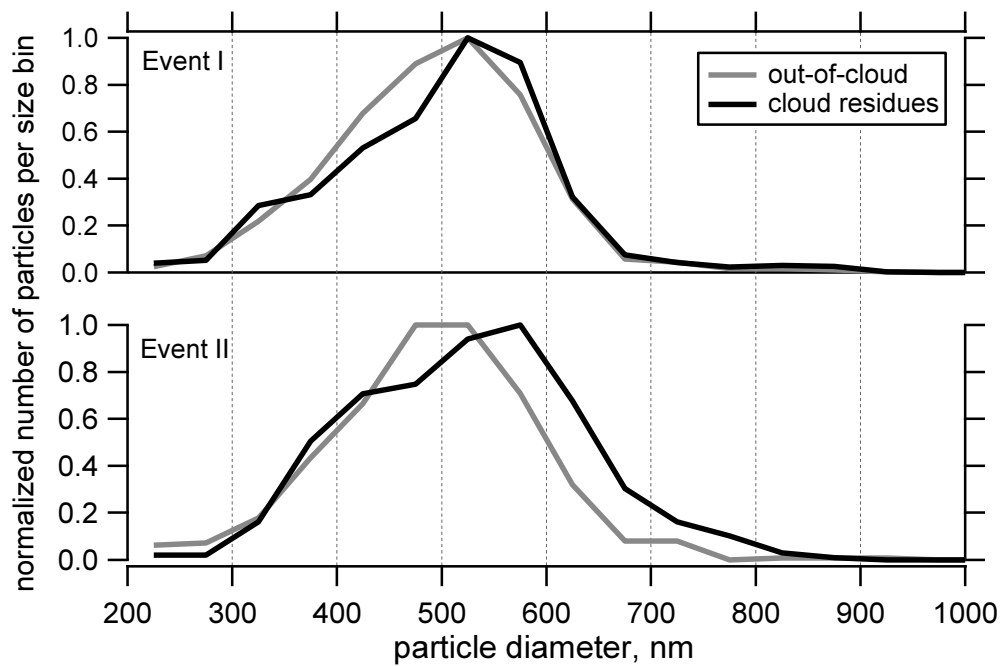


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1205 **Figure 11.** Indications for a change of particle mixing state in the cloud (for details of event I
1206 and II see Table 5). Left: Percentage of out-of-cloud aerosol particles and cloud residues
1207 containing either nitrate (blue) or sulphate (red). Right: Particles containing only nitrate but
1208 no sulphate (blue), only sulphate but no nitrate (red), and particles containing both nitrate and
1209 sulphate (purple).

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1214 **Figure 12.** Histograms of particles analysed by ALABAMA during event I and event II
 1215 (Table 5). In both cases the histograms are shifted to larger sizes for the cloud residues,
 1216 indicating the uptake of gaseous compounds by the cloud droplets leading to an increased size
 1217 of the cloud residual particles compared to the out-of-cloud particles measured shortly before
 1218 cloud formation.