Impact of chamber wall loss of gaseous organic compounds on secondary organic aerosol formation: explicit modeling of SOA formation from alkane and alkene oxidation

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16 I. Introduction

Secondary organic aerosols (SOA) represent a major fraction of the fine particulate matter mass 17 18 (e.g. Jimenez et al., 2009), thus contributing to the physicochemical properties of aerosols and to their impact on human health, climate and visibility. SOA are produced by condensation of 19 low volatile organic species formed during gaseous oxidation of emitted volatile and 20 intermediate volatility organic compounds (VOC and IVOC) (e.g. Kroll and Seinfeld, 2008). 21 22 Large uncertainties still exist in our understanding of processes leading to SOA formation. To assess and improve our knowledge of SOA formation, atmospheric chambers are widely used 23 24 to perform controlled experiments of SOA formation from various VOC and IVOC. These 25 experiments provide kinetic and thermodynamic data i.e. kinetic constants, branching ratios, partitioning coefficients (e.g. Atkinson and Arey, 2003; Aschmann et al., 2011) needed to design 26 27 deterministic SOA models. Experiments performed in atmospheric chambers also provide an ideal dataset for the evaluation of deterministic SOA formation models (e.g. Camredon et al., 28 2010; Valorso et al., 2011; Jenkin et al., 2015) and the development of SOA formation 29 30 parameterizations for chemical transport models (CTM) at regional and global scale (e.g. Zhang 31 and Seinfeld, 2013; Cappa and Wilson, 2012; Donahue et al., 2011; Santiago et al., 2012). The 32 presence of walls in atmospheric chambers constitutes however an artifact for the study of SOA 33 formation. Particles, and thus SOA, formed during an experiment are known to be deposed on chamber wall surfaces (e.g. McMurry and Grosjean, 1985). This loss of particles has been 34 shown to depend on the size and the concentration of the particle (Bowman et al., 1997). SOA 35 mass is usually corrected assuming that the loss rate during an experiment is a first order process 36 (e.g. Presto and Donahue, 2006; Pathak et al., 2007) possibly depending on particle size 37

distribution (e.g. Cocker et al., 2001). Recently, few studies have shown that low volatility
gaseous species can also be lost onto the chamber wall surfaces (e.g. Kokkola et al., 2014; Loza
et al., 2010; Matsunaga and Ziemann, 2010; Yeh and Ziemann, 2014, 2015; Zhang et al., 2014a,
2015). This loss of gaseous organic species on the chamber walls is however not yet well
characterized.

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Matsunaga and Ziemann (2010) have investigated the gas-wall partitioning of C₈-C₁₆ n-alkanes 44 and 1-alkenes, C8-C13 2-alcohols and 2-ketones in Teflon FEP chambers. They observed that 45 46 the gas/wall partitioning of organic species is a reversible process and that equilibrium can be 47 described by a condensation process. They proposed a parameterization to represent the 48 gas/wall partitioning in which (i) the sorption of gaseous organic species to the wall and their 49 desorption from the wall back to the gas phase are represented by a first order rate constant (called k_{gw} and k_{wg} respectively hereafter, see Fig.1) and (ii) the gas/wall partitioning 50 equilibrium follows the Raoult's law, walls being treated as a phase into which the organic 51 52 compounds can partition. The temporal variation of the concentration of a SVOC in the gas phase due to the gas/wall transfers is thus given by the following expression: 53

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$$\frac{d[SVOC(g)]}{dt}\Big]_{gas/wall} = -k_{gw}[SVOC(g)] + k_{wg}[SVOC(w)]$$
(1)

with [SVOC(g)] and [SVOC(w)] the concentration of the SVOC in the gas phase and on the
wall at a given time respectively. The rate constants are thus linked according to the following
equation:

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$$\frac{k_{gw}}{k_{wg}} = \frac{RT}{P^{vap}} \times \left(\frac{C_w}{M_w \gamma_w}\right)$$
 (2)

where R is the ideal gas constant, T is the temperature and P^{vap} is the vapor pressure of the 59 species. C_w and M_w are an equivalent organic aerosol mass concentration and an equivalent 60 organic aerosol mean molar weight associated with the Teflon film, and γ_w is the activity 61 coefficient of the species in the Teflon film. Values for $C_w/(M_w\gamma_w)$ are empirically derived from 62 chamber observations and Matsunaga and Ziemann (2010) reported values of 9, 20, 50 and 120 63 umole m⁻³ for n-alkanes, 1-alkenes, 2-alcohols and 2-ketones respectively. In these series of 64 experiments, the characteristic times to achieve gas/wall equilibrium ($\tau^*=1/(k_{gw}+k_{wg})$) ranged 65 from less than 8 min to 1 h. Additional measurements for this chamber showed values of τ^* up 66 to 100 min with large variability owing to measurement uncertainties (e.g. Yeh and Ziemann, 67 2015). For the studied species, the fraction remaining in the gas phase at equilibrium ranged 68 69 from 0.4 to 0.9, meaning that k_{wg} and k_{gw} are of the same order of magnitude (Eq. 2). In the

Matsunaga and Ziemann (2010) parameterization for gas/wall transfers, it is considered that the
gas transfers towards the walls are driven by the turbulence inside the chamber and interfacial
mass transport through the walls; k_{gw} is thus a constant, independent of the compound structure
(e.g. Yeh and Ziemann, 2015).

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This wall loss is in competition with gas/particle partitioning (see Fig. 1) and the distribution of organic compounds between the gas phase, the particle phase and the walls depends also on the characteristic times associated with the gas/particle mass transfer. Assuming this process described by two first order rate constants, the loss rate constant of gaseous organic species to the particle and the evaporation rate constant of condensed species are called k_{gp} and k_{pg} respectively. The temporal variation of the concentration of a SVOC in the gas phase due to the gas/particle transfers is thus given by the following expression:

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$$\left[\frac{d[SVOC(g)]}{dt}\right]_{gas/particle} = -k_{gp}[SVOC(g)] + k_{pg}[SVOC(p)] \quad (3)$$

with [SVOC(p)] the concentration of the SVOC in the particle phase. Assuming the sorption of
gaseous organic species to the particle to be limited by gas phase diffusion, the first order loss
rate constant of gaseous organic species to the particle, k_{gp}, can be expressed as:

$$86 \qquad k_{gp} = 4\pi D_g r_p C_p \tag{4}$$

87 where D_g is the species gas phase diffusivity, r_p the particle radius and C_p the number of particles 88 per unit volume of air (e.g. Seinfeld and Pandis, 2006). This value for k_{gp} is an upper limit if 89 the gas to particle transfers are limited by interfacial mass transfer and/or particle phase 90 diffusion (Shiraiwa and Seinfeld, 2012). The wall uptake could then be underestimated. At 91 thermodynamic equilibrium, the gas-particle partitioning is expected to follow the Raoult's law 92 (e.g. Pankow, 1994). The rate constants are thus linked according to the following equation:

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$$\frac{k_{gp}}{k_{pg}} = \frac{RT}{P^{vap}} \times \frac{C_{aer}}{M_{aer}\gamma_{aer}}$$
 (5)

94 where C_{aer} is the organic aerosol mass concentration, M_{aer} the organic aerosol mean molar 95 weight and γ_{aer} the activity coefficient of the species in the particle phase.

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Figure 2 shows the molar fraction ξ_{phase} of organic compounds in the gas phase, the particle phase and the walls as a function of their volatility (with $\xi_{phase}=n_{phase}/n_{tot}$). A continuous distribution of species was considered, having volatilities in the $10^{-12}-10^{-2}$ atm range and assumed to be in the gas phase at t=0. The gas/wall transfer rate constants were calculated using the parameterization provided by Matsunaga and Ziemann (2010) for ketones. Here we used an

intermediate value for the characteristic time for gas to wall transfer ($\tau_{gw}=1/k_{gw}$) of 30 min to 102 examine the partitioning of the species reported in Fig. 2. Calculations were made using typical 103 104 conditions encountered in experiments performed in the chamber for which Matsunaga and 105 Ziemann (2010) designed the gas/wall parameterization (e.g. Lim and Ziemann, 2009a; Lim and Ziemann, 2009b; Matsunaga et al., 2009): T=298 K, Caer=2000 µg m⁻³, r_p=0.1 µm, 106 $C_p=7\times10^4$ cm⁻³. The distributions were computed using $D_g=0.03$ cm² s⁻¹, $M_{aer}=200$ g mol⁻¹ and 107 $\gamma_{aer}=1$. These conditions lead to the following mass transfer rate constants: $k_{gp}=2.6\times10^{-1}$ s⁻¹, 108 $k_{pg}=1.1\times10^{6} P^{vap} s^{-1} atm^{-1}, k_{gw}=5.6\times10^{-4} s^{-1}, k_{wg}=1.9\times10^{-4} P^{vap} s^{-1} atm^{-1}$. Results are shown at 109 various times: 6 min (time length of the alkene experiments presented by Matsunaga et al. 110 (2009)), 1 h (time length of the alkane experiments in the Lim and Ziemann (2009a, 2009b) 111 112 papers), 20 h (upper value for the length of a chamber experiment) and at equilibrium. At 6 min, species with volatility greater than 10^{-4} atm remain in the gas phase and those having volatility 113 less than 10⁻⁸ atm have totally partitioned into the particle phase. Between these two values of 114 saturation vapor pressure, the fraction of organic species lost into the wall is greater than 10%, 115 116 with a fraction in the wall reaching 15% for organic species having a saturation vapor pressure equal to 10⁻⁶ atm. At 1 h, wall losses increase substantially. The fraction of species lost on the 117 wall reaches at least 10% in the 10^{-8} -10⁻⁵ atm vapor pressure range. This fraction peaks at 65% 118 for species with vapor pressures around 10^{-6} atm. At 20 h, the volatility range showing a 119 substantial uptake by the wall spans 4 orders of magnitude, from 10⁻⁹ to 10⁻⁵ atm. At 120 121 equilibrium, the fraction lost to the wall exceeds 90% for species having a vapor pressure under 10^{-7} atm. For high volatility species, equilibrium is achieved on a short time scale (below 6 122 min): the gas/particle/wall partitioning is under a thermodynamic control. By contrast, for low 123 volatility species, the distributions change with time and the gas/particle/wall partitioning is 124 under kinetic control. Note that the range of SVOC+OH rate constants is between 10⁻¹² and 10⁻ 125 ¹⁰ molec⁻¹ cm³ s⁻¹. For typical experiments, OH concentrations lie between 10⁶-10⁷ molec cm⁻³. 126 The chemical loss rates due to OH oxidation therefore range between 10^{-6} to 10^{-3} s⁻¹. Gas to 127 wall transfer rate of a given SVOC could be of the same order of magnitude than the OH loss 128 rates (for $\tau_{gw}=1$ h, $k_{gw}=2.8\times10^{-4}$ s⁻¹); wall loss could thus be in competition with OH oxidation. 129 Wall loss is therefore expected to significantly impact SOA measurements, being in competition 130 131 with the gas/particle partitioning of SVOC and acting as a sink for gaseous intermediates that ultimately produce SOA contributors after additional oxidation steps. 132

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134 The objectives of this study are (i) to quantify the potential impact of chamber walls on the loss

of gaseous organics and therefore SOA formation, (ii) to explore the structure of organic species 135 mainly impacted by this loss and (iii) to assess our current understanding of SOA formation 136 137 from various structures of VOC and IVOC. The methodology used here consists of simulating 138 a selected set of experiments using an explicit description of SOA formation (i.e. gaseous 139 oxidation and gas/particle partitioning). The Generator for Explicit Chemistry and Kinetics of the Organics in the Atmosphere (GECKO-A) (e.g. Aumont et al., 2005; Camredon et al., 2007; 140 Valorso et al., 2011) has been used to generate the explicit gaseous chemical schemes and the 141 properties required to describe the gas/particle partitioning (i.e. the saturation vapor pressure of 142 143 all species). Alkanes and alkenes are an important class of compounds to study fundamental chemical reactions involved in atmospheric oxidation and the effects of molecular structure on 144 145 the formation of low volatility compounds which could form SOA. The set of smog chamber 146 experiments performed in the Ziemann lab at the UC-Riverside Air Pollution Research Center 147 (APRC) has been selected as it includes a large set of alkane and alkene structures (of varying carbon chain length and including cyclic, linear and branched species) (e.g. Lim and Ziemann, 148 149 2009a; Lim and Ziemann, 2009b; Matsunaga et al., 2009). Furthermore, the Matsunaga et al. parameterizations of gaseous organic species wall loss have been fitted for this specific chamber 150 151 (e.g. Matsunaga and Ziemann, 2010). Experimental conditions are described in Sect. 2. Section 152 3 is devoted to describing the modeling tool and setup. In Sect. 4, the results of the comparison 153 between the measured and simulated SOA yields are presented and the GECKO-A chemical 154 mechanisms are used to identify SOA products likely affected the by gas/wall partitioning 155 process.

157 II. Experimental conditions

158 The experimental dataset includes linear, branched and cyclic alkanes, linear and 2-methyl 1-alkenes and internal alkenes with a number of carbon atoms ranging from 7 to 17. All the 159 experiments were performed in the UC-Riverside APRC chamber under high NO_X conditions 160 (5-10 ppm), dry conditions (RH < 1%) and at ambient temperature. The CH₃ONO photolysis 161 was used to produce OH radicals. Organic seeds were introduced to enhance gas-to-particle 162 163 partitioning. Experiments were typically carried out with 1 ppm of hydrocarbon (0.5 ppm for precursors with 17 carbon atoms), 5 or 10 ppm each of CH₃ONO and NO and 200–400 µg m⁻³ 164 of DOS (dioctylsebacate) as organic seeds. The precursors and initial seeds were introduced 165 166 into the chamber and left in the dark to achieve gas/wall partitioning equilibrium. The oxidation starts when the black light lamps are turned on to initiate the CH₃ONO photolysis. The temporal 167 168 evolutions of the concentration of precursor, NO_X or O₃ during the experiment are not available. The quantity of reacted precursor, ΔHC , is equal to: 169

$$\Delta HC = [HC]_{tot}^{i} - [HC]_{tot}^{f}$$

where $[HC]_{tot}^{i}$ and $[HC]_{tot}^{f}$ are the total mass concentration of hydrocarbon in the chamber at the beginning (i.e. the injected amount) and the end (i.e. the sum of the amount in the gas phase, particle phase and on the wall) of the experiment respectively. Δ HC was calculated experimentally using the initial (before turning the light on, $[HC]_{gas}^{on}$) and final (after turning the lamps off, $[HC]_{gas}^{off}$) measured concentrations of the precursor in the gas phase once the gas/aerosol/wall equilibrium has been achieved as:

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$$\Delta HC = (1 - \frac{[HC]_{gas}^{off}}{[HC]_{gas}^{on}})[HC]_{tot}^{i}$$

The experimental ΔHC are reported in Fig. 6 for all the experiments. A detailed description of
these experiments can be found in Lim and Ziemann (2009b), Matsunaga (2009) and Matsunaga
et al. (2009). Measured injected concentrations and environmental conditions for each
experiment are summarized in Table 1.

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183 III. Model description and simulation setup

184 3.1. Gaseous and condensed phase chemical schemes

An explicit description of long chain hydrocarbon oxidation processes up to the final production
of CO₂ involves millions of reactions (e.g. Aumont et al., 2005). Such explicit chemical
schemes are too large to be written manually. The GECKO-A computer program has been

developed to overcome this difficulty. This tool is used here to generate explicit chemicalschemes for the long chain hydrocarbons.

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191 The GECKO-A tool generates chemical schemes for a given precursor on the basis of a 192 prescribed protocol. Reaction pathways and kinetic data are assigned from experimental data if 193 available or structure activity relationships (SAR) if not. The protocol implemented in GECKO-A is described in Aumont et al. (2005), with chemistry updates performed by Aumont et al. 194 (2012, 2013). The evolution of alkoxy radicals through decomposition, isomerization or 195 196 stabilization chemical pathways characterizes the functionalization or the fragmentation of an organic species during its oxidation. Therefore, the fate of alkoxy radicals determines SOA 197 198 formation. The SAR developed by Atkinson (2007) is used in GECKO-A to estimate the rate 199 coefficients for alkoxy radical isomerization based on experimental data. Vereecken and Peeters 200 (2009, 2010) have recently developed SARs based on quantum chemical calculations to 201 estimate the rate coefficient of both alkoxy radical decomposition and H-migration. These new 202 SARs for alkoxy radical decomposition and isomerization have also been implemented in 203 GECKO-A.

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205 No reactions were previously assumed in the condensed phase. Dihydrofurans (DHF) have been 206 found in equilibrium with 1,4-hydroxycarbonyls (1,4HC) in the gas phase (Cavalli et al., 2000; 207 Martin et al., 2002). These oxidation products of DHF have been shown to contribute largely 208 to the composition of SOA formed during alkane and alkene oxidation (e.g. Gong et al., 2005; Lim and Ziemann, 2009a; Zhang et al., 2014). DHF are produced by the heterogeneous 209 210 cyclization of 1,4HC into cyclic hemiacetals (CHA) that will dehydrate to form DHF (e.g. Martin et al., 2002; Atkinson et al., 2008). DHF formation is expected to be significant during 211 212 SOA formation from alkane and alkene oxidation as 1,4HC are produced at high yields during 213 the first gaseous oxidation step of these precursors. The formation of DHF is therefore expected to significantly influence the SOA mass and composition. The heterogeneous formation of DHF 214 215 has therefore been added to the GECKO-A protocol.

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The DHF formation protocol is designed based on the Lim and Ziemann (2009c) mechanism which considers (i) the cyclization of the condensed 1,4HC into CHA and (ii) the dehydration of the CHA forming the DHF with (iii) hydration allowed for the reverse reaction (see Fig. 3). Lim and Ziemman (2009c) provided an effective uptake coefficient of 0.5 for the 1,4HC(g) \rightarrow CHA(p) cyclization meaning that the rate of the transformation is collision limited. 222 Here, we considered explicitly the $1,4HC(g)\leftrightarrow 1,4HC(p)$ mass transfers and assumed that the 223 $1,4HC(p) \rightarrow CHA(p)$ cyclization is fast (see Fig. 3). This model configuration is an upper value 224 for the cyclization process of 1,4HC. Furthermore, Lim and Ziemann (2009c) optimized a value of 10^{-3} s⁻¹ for the dehydration rate constant and values between 0.002 and 0.15 s⁻¹ for the 225 hydration rate constant. The rate constant for the hydration reaction is set in GECKO-A protocol 226 227 to the higher value obtained for the set of n-alkane experiments (that for tetradecane under dry 228 conditions), $k_{H2O}=0.15 \text{ s}^{-1}$. This process has been shown experimentally to occur in the organic particle phase but also on Teflon wall surfaces (Atkinson et al., 2008). The DHF formation 229 230 mechanism was thus considered in the GECKO-A protocol in the particle phase but also on the 231 walls. Gas/particle and gas/wall mass transfers and gaseous chemistry of 1,4HC, CHA and DHF 232 were treated automatically with the GECKO-A protocol (see Fig. 3).

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3.2. Dynamic representation of mass transfers

235 In the previous version of GECKO-A, the partitioning between the gaseous and the aerosol 236 phases was described assuming equilibrium (e.g. Camredon et al., 2007; Lee-Taylor et al., 237 2015). In order to take into account the competition between the aerosols and walls for the condensation of gaseous organic compounds, the partitioning has now been implemented 238 239 dynamically. The gas/particle transfer is considered limited by the gas phase diffusion. Equation 240 (4) is used to represent the rate constant of the gas/particle mass transfer, k_{gp} . The species gas phase diffusivity, D_g , is derived using the kinetic theory of gases, $D_g = \langle c_i \rangle \lambda/2$ with $\langle c_i \rangle$ the 241 mean velocity of gaseous species i and λ the mean free path (Seinfeld and Pandis, 2006). During 242 243 the experiments, SOA mass formed from nucleation process accounts for less than 10% to the 244 total measured SOA mass (see Lim and Ziemann, 2005). We thus considered here that (i) the particle number C_p remains unchanged during the course of an experiment and equal to the 245 number of initial DOS seeds and (ii) the particle radius r_p was growing because of the aerosol 246 mass increase, rp being calculated assuming spherical particles and a particle density of 1 g cm⁻ 247 248 ³ (Lim and Ziemann, 2009b and Matsunaga et al., 2009). The particle/gas rate constant k_{pg} was 249 calculated according to Eq. (5). Vapor pressures of organic species were estimated using the 250 Nannoolal et al. (2008) method combined with the Nannoolal et al. (2004) method for boiling point estimates. Particles were considered as a homogeneous and ideal phase (i.e. $\gamma_i=1$). 251

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253 Gas/wall partitioning was implemented in the GECKO-A protocol according to the 254 parameterization of Matsunaga and Ziemann (2010) (see Eq. (2)). Sensitivity tests were performed on both τ_{gw} and $C_w/M_w\gamma_w$ values. Three sets of simulations were therefore performed using for τ_{gw} 10 min, 30 min and 60 min to encompass the observed values. Similarly, two sets of simulations were performed using for the $C_w/M_w\gamma_w$ parameter either the values given in Matsunaga and Ziemann (2010) for ketones (120 µmole m⁻³) or 2-alcohol (50 µmole m⁻³) for all oxygenated species. For the parent hydrocarbon, the values of 9 and 20 µmole m⁻³ were used for alkanes and alkenes respectively.

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262 3.3. Reduction of chemical schemes

The size of the explicit oxidation scheme generated by the GECKO-A tool rises exponentially with the carbon number of the precursor (Aumont et al., 2005). Solving fully explicit chemical schemes requires excessive computer resources. The chemical schemes were therefore shrunk using the simplifications discussed below.

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Experiments were carried out under high NO_X levels (\sim 10 ppm). The reaction of peroxy radicals 268 269 with NO is dominant compared to those with HO₂ and RO₂. The reactions of RO₂ with HO₂ and with other RO₂ were therefore ignored in the chemical schemes. The length of experiments 270 271 was short (6 min for alkenes or 1 h for alkanes). The contribution of organic species formed 272 after several oxidation steps can thus be neglected. The generated chemical schemes include 273 the description of the formation of organic species up to 5 generations. Moreover organic 274 species having a low vapor pressure can be considered as mainly present in the condensed 275 phases. The inclusion of their gaseous chemistry in the chemical schemes is thus not needed. 276 Gaseous reactions were not considered in the chemical schemes for species having a vapor pressure lower than 10⁻¹³ atm, being negligible in the gaseous phase under these experimental 277 conditions (Caer varying between 100 and 6000 µg m⁻³). Gas/particle and gas/wall mass 278 279 transfers were considered for stable (i.e. non radical) species only. Figure 4 shows the number 280 of stable and total organic species in these reduced chemical schemes as a function of the number of carbon atoms in the parent compound for the studied precursor's families. 281

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283 3.4. Simulation setup

The explicit chemical schemes generated by GECKO-A were implemented in a box model. Time integration of chemical schemes and mass transfers is solved using the two-step solver (Verwer et al., 1994, 1996). Photolysis frequencies for inorganic and organic species for a black light spectrum were calculated using cross section and quantum yields described in Aumont et al. (2005). The photolysis of methyl nitrite was calculated using a quantum yield equal to 0.33 (Heicklen, 1988) and the absorption cross section measured by Taylor et al. (1986). All the photolysis frequencies were scaled based on the measured J_{NO2} . Simulations were initialized with the measured concentrations listed in Table 1. Similar to the experimental conditions, a 60 min period in the dark is first simulated to enforce gas/wall equilibrium for the parent hydrocarbon before starting the oxidation.

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Simulated temporal evolutions of the decays of n-alkane in the gas phase are represented in Fig. 295 5 with and without considering gas/wall partitioning. Linear alkanes having more than 13 296 297 carbon atoms show a drop in the gaseous concentration early in the simulation mainly due to the chamber wall partitioning. As expected, this drop increases with the chain length due to the 298 299 decrease of vapor pressure. Similar trends are observed for the other series of precursors. These 300 behaviors indicate that a significant fraction of the initial hydrocarbon load can be removed into 301 the wall for IVOC precursors. Besides it is noteworthy that for these species the gas/wall partitioning equilibrium is achieved within a few minutes, a timescale short enough to not 302 303 observe that process during most of the chamber experiments.

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305 The measured mass of reacted hydrocarbon ΔHC was used to assess the simulation setup. 306 Figure 6 shows the comparison between the measured and simulated Δ HC mass. The values are 307 displayed by chemical family and as a function of carbon chain length or number of methyl 308 groups on the carbon backbone. Within each family, the amount of reacted compound typically 309 grows with the size of the carbon skeleton. This behavior is consistent with the corresponding 310 increase of the hydrocarbon+OH rate constant with the size of the carbon skeleton (e.g. Kwok 311 and Atkinson, 1995). For the whole set of simulations, Δ HC are well reproduced by the model. 312 Hence, the simulation setups are considered suitable to represent experimental conditions. 313

315 IV. Results and discussions

316 4.1. Comparison between measured and simulated SOA yields

SOA yield is defined as the ratio of SOA mass produced (ΔM) to the mass of reacted 317 318 hydrocarbon (Δ HC). A comparison of the experimental and the simulated final SOA yields is presented in Fig. 7 (i.e. after 1 h of experiment for alkane and 6 min for alkene). Results are 319 320 reported as a function of the number of carbon atoms or branching in the parent hydrocarbon. Experimental data are displayed as given in the experimental papers by Lim and Ziemann 321 (2009b), Matsunaga (2009) and Matsunaga et al. (2009). These published SOA yields were 322 corrected from aerosol wall loss, considering a first order process and no interaction between 323 324 the gas and the wall deposited particles.

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326 Simulations were performed with and without wall loss taken into account for the organic vapors (see Fig. 7). Simulations reported in Fig. 7 were obtained using $C_w/(M_w\gamma_w)=120 \mu mole$ 327 328 m⁻³ for all secondary species and using for τ_{gw} 10 min, 30 min and 60 min. Similar results were obtained for the simulations performed with $C_w/(M_w\gamma_w)=50 \mu mole m^{-3}$ (see Fig. S1 in the 329 330 Supplement). Simulations presented in Fig. 7 were carried out using the SAR developed by 331 Atkinson (2007) to describe the alkoxy chemistry (hereafter denoted ATK simulations). A set 332 of simulations was also performed using the Vereecken and Peeters SARs for the estimation of 333 the alkoxy decomposition rates (2009) and the alkoxy isomerization rates (2010) (hereafter denoted VER simulations). Figure S2 in the Supplement shows the comparison of the results 334 335 obtained with ATK and VER, which is discussed below.

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337 For the alkane series, the experimental trends are well captured by the model. In particular, both 338 the simulated and observed SOA yields (i) increase with the carbon number of the precursor, 339 (ii) are higher for a cyclic compared to a linear structure and (iii) decrease with the number of methyl groups on the carbon skeleton. This behavior is mostly driven by the fate of alkoxy 340 341 radicals. While decomposition (i.e. C-C bond breaking) pathways of linear alkoxy radicals lead to molecules with smaller carbon backbone (see Fig. 9), the decomposition of cyclic alkoxy 342 343 radicals preserves the size of the carbon backbone. Similarly, decomposition is enhanced for branched alkoxy radicals (e.g. Lim and Ziemann et al., 2009a). This effect is well represented 344 345 in the simulations, as discussed in Aumont et al. (2013). Figure 7 shows that the SOA yields simulated without wall loss taken into account are systematically overestimated. A better 346 347 agreement is obtained when the partitioning of organic species to the wall is considered in the 348 model. Decreasing characteristic time of the gas to wall mass transfer decreases the simulated SOA yields (see Fig. 7). Best agreement is obtained for the lower value of τ_{gw} (10 min) with 349 SOA yield reductions ranging from a factor 1.25 to 2. Note that a change in gas/wall equilibrium 350 value does not affect these results (see Fig. S1). Besides, using the lower value of $C_w/M_w\gamma_w$ (50 351 352 µmole m⁻³) increases SOA yield by less than 15% (see Fig. S1). As discussed above, for a 353 simulation lasting 1 h, the gas/particle partitioning outweighs the gas/wall partitioning for low volatility species, leading to a rather low sensitivity to the wall properties. Partitioning is 354 355 therefore rather under the kinetic control than thermodynamic control.

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357 The experimental trends are also well captured by the model for the alkene series. Simulations 358 and experimental observations show that SOA yields (i) increase with the number of carbon 359 atom in the parent hydrocarbon and (ii) are higher for 1-alkenes than for internal alkenes and 360 2-methyl-1-alkene. These trends are largely controlled by the fate of the β -hydroxyalkoxy radicals resulting from OH addition on the C=C bond. In particular, typically one carbon atom 361 362 is lost from the backbone during the fragmentation of alkoxy radicals produced from 1-alkenes, while in the case of internal alkenes the carbon skeleton is broken in the middle of the molecule, 363 364 ultimately leading to volatile products and therefore lower SOA yields. For the simulations with 365 $C_{<13}$ alkene precursors, the simulated SOA yields are consistent with experimental data with 366 mean bias of less than 30%. However, the model/observation agreements for larger parent 367 hydrocarbons depends on the considered alkene series: for 1-alkenes, the simulated SOA yields are overestimated and the discrepancies rise with the size of the precursors; for internal alkenes 368 369 the simulated SOA yields are relatively constant while the experimental yields show a 370 significant growth with the carbon chain length; and for 2-methyl-1-alkenes, the model 371 underestimates SOA yields. For these 6 min experiments, simulation results appear to be rather 372 insensitive to the wall loss of organic vapors (see Fig. 7), even for simulations performed using 373 the lowest value of τ_{gw} (10 min) with SOA yield reductions less than a factor of 1.1.

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375 A comparison of the ATK and VER simulation results is shown in Fig. S2. The VER 376 configuration of the model produces lower SOA yields compared to the ATK configuration. For 377 the alkane simulations, the differences are small, with both SAR predicting similar branching 378 ratios for the simple alkoxy chemical structures produced during the first generations. A larger 379 effect is however observed in the simulated SOA yields for alkenes, reaching for instance a 380 factor of 2 for the C_{11} 1-alkene. This effect is in particular due to different predictions of the 381 branching ratios of β -hydroxyalkoxy radicals, a key chemical structure produced from OH 382 addition to the C=C bond. For the conditions examined in this study, the reaction of β -383 hydroxyalkoxy radicals with O₂ is negligible compared to the isomerization and decomposition 384 routes (Atkinson, 2007). The branching ratios predicted for these two pathways according to 385 the ATK and VER configurations are reported in Table 2 for selected generic structures 386 produced from the oxidation of terminal, internal and 2-methyl alkenes. The VER configuration 387 leads to a larger fraction of decomposition than the ATK configuration, which is consistent with a lower simulated SOA yields for the VER configuration compared to the ATK one. Table 2 388 also reports experimentally derived branching ratios from measurements of the oxidation 389 390 products (Matsunaga et al., 2009; Aschmann et al., 2010; Ziemann, 2011). These experimental 391 results suggest that the isomerization routes are underestimated in both the ATK and VER 392 configurations for OH+alkene systems. The implementation of organic vapor wall loss or the 393 use of a different structure activity relationship to estimate the fate of the alkoxy radicals does 394 not fully explain the discrepancies between the model and the observations for the alkene series. 395 Some chemical pathways are clearly not well represented or missing in the GECKO-A 396 mechanism (e.g. autoxidation processes, dimers formation and/or heterogeneous oxidation 397 processes in the particle phase...). The measurement of final SOA yields does not provide 398 enough insights to identify the source of the model/measure discrepancies; additional 399 information on SOA composition would be needed.

400

401 4.2. Gas/wall partitioning impacts on SOA composition

402 Simulations performed with the GECKO-A mechanisms were used to examine which species 403 are prone to partition with the chamber walls. Figure 8 presents the distribution of the top fifteen species in the gas, particles and wall (i.e. Teflon) phases for various experiments. Simulated 404 405 results are reported at the end of the experiments in mass concentrations (in $\mu g m^{-3}$ of air or equivalent for the Teflon phase) for both cases: without (left panel) and with partitioning to the 406 407 wall using $\tau_{gw}=10$ min and $C_w/(M_w\gamma_w)=120$ µmole m⁻³ (right panel). Results in Fig. 8 are reported for n-octane (C_8), n-dodecane (C_{12}) and n-hexadecane (C_{16}) to examine the effects of 408 409 chain length on mass distribution.

410

In Fig. 8, species are categorized based on organic moieties (isomers having identical functional groups are lumped together), and whether the species are produced by functionalization (with number of generation) or by decomposition routes. The letter code given in Fig. 9 for each category denotes the functional groups on the carbon backbones (N=nitrate, O=alcohol, K=ketone, D=aldehyde, E=ether, P=peroxyacetyl nitrate, S=ester U=carbon double bond) or
characteristics of the carbon backbone (T=cyclic structure, C=saturated aliphatic hydrocarbon
- here the parent compound). A generic chemical mechanism leading to this set of species is
presented in Fig. 9, with the codes corresponding to the various chemical structures.

419

For these experimental conditions, a large fraction of the parent hydrocarbon (denoted C in Fig.
8) persists after 1 h of oxidation (see Fig. 5). The simulated fraction of n-octane and n-dodecane
in the condensed phase is negligible. However, the partitioning of n-hexadecane to the particles
and the walls is significant.

424

425 Major first generation products are alkylnitrates (N), 1,4-hydroxynitrates (NO), cyclic 426 hemiacetals (denoted TOE and TOOE in Fig. 8) and dihydrofurans (denoted TUE in Fig. 8) 427 produced from the fast cyclization of 1,4-hydroxyketones and 1,7-dihydroxy-4-ketones in the 428 condensed phases assumed in this model configuration. Reaction sequences leading to the first 429 generation products are repeated at other positions on the carbon backbones, leading to many 430 second generation products (see Fig. 9). For example, alkyl nitrates react with OH, producing 431 dinitrate species (NN), hydroxyketonitrates (NKO) and their corresponding cyclized form 432 (TNOE). Oxidation of the hydroxyl moiety is significant as well. For example, the yields of the 433 second generation ketonitrate (NK) are essentially explained by the oxidation of the first 434 generation hydroxynitrate (NO). Figure S3 in the Supplement displays the fraction of DHF reacting with OH radical, NO₃ radical and O₃ by addition to the C=C double bond, during the 435 436 course of a typical alkane simulation. As expected, DHF oxidation is mainly driven by OH and 437 leads to one of the major products, the carbonylesters (DS). However, DHF oxidation by O₃ 438 and NO₃ appears to be also substantial (see Fig. S3) and explains the formation of acid 439 carboxylic esters (AS) and cyclic dinitrate ethers (TNNE). Interestingly, the GECKO-A 440 mechanisms lead to the production of lactones (TS) for short chain alkanes. These species are suspected to form oligomers (Kjaergaard et al., 2012). The model suggests that these lactones 441 442 are produced in the gas phase from the OH radical oxidation of the cyclic hemiacetal structures 443 via the abstraction of the hydrogen atom in the beta position relative to the hydroxyl group ultimately leading to a specific alkoxy radical which forms the lactone by decomposition. 444

445

Figure 8 shows that wall uptake affects particular categories of species, depending on the chain
length of the parent compound. As already discussed in the introduction, the partitioning of a
species in the various phases is driven by its volatility. Similar chemical reactions are involved

in the oxidation of long chain alkanes, leading to the same families of species but with decreasing volatility when the size of the carbon skeleton increases. The vapor pressures of the major chemical families simulated during the alkane experiments are given as a function of the number of carbon atom (n_c) in the backbone in Fig. 10. The shaded grey area corresponds to the volatility domain where significant partitioning to the walls is expected after 1 h (i.e. 10^{-8} – 10^{-5} atm, see introduction).

455

The location of a given family in this volatility/nC framework largely explains the wall effect 456 457 highlighted in Fig. 8. For example for the alkyl nitrate family (N), a first generation product, (i) 458 C₈ species appear to be too volatile to partition to the condensed particle phase (those are located 459 on the right side of the grey area), (ii) C_{12} species are volatile enough to partition to the wall but 460 not enough to substantially partition to the particles (even if no wall is considered) and (iii) C_{16} 461 species are mostly found in the condensed phase but the volatility is not low enough to prevent a substantial partitioning to the wall (those are still on the volatility domain where the walls 462 463 substantially impact the species distributions). The mass reductions due to gas/wall partitioning for C₈, C₁₂ and C₁₆ alkyl nitrates are respectively -2%, -40% and -7% in the gas phase and -464 465 63% and -26% in the particle phase for C₁₂ and C₁₆ species, respectively. Compared to the 466 nitrate family, the volatility of the hydroxynitrates (NO), also first generation products, is 467 shifted toward lower volatility (typically by a factor of 30). For that NO family, C₈ species 468 partition to the walls to more than 50% (but not into the particles) affecting gas composition 469 while C₁₆ species are almost exclusively found in the particle phase, owing to their low volatility 470 and the resulting kinetic control of the partitioning (see introduction). For C_{12} hydroxynitrates, 471 50% of the mass is lost into the walls modifying both gas and particle concentrations. The behavior of the first generation of cyclic hemiacetals (TOE) is similar to the nitrate family but 472 473 most second generation species behave rather like the hydroxynitrate family, e.g. the dinitrates 474 (NN) or the DHF oxidation products (TNOE, DS). The deposition of vapor to the walls during 475 the experiments therefore splits a specific family of compounds and substantially alters both 476 the simulated SOA yields and compositions.

477 V. Conclusion

In this study, simulations performed with the GECKO-A chemical mechanisms were assessed against 41 smog chamber experiments aimed at examining SOA formation during the OH oxidation of alkanes and alkenes under high NO_X conditions. Various homologous series of species were examined containing hydrocarbons with 7 to 17 carbon atoms. The set of parent hydrocarbons includes linear, cyclic and C₁₂-branched alkanes and terminal, internal and 2-methyl alkenes. Wall loss of organic vapors was parameterized in the GECKO-A modeling tool to investigate the sensitivity of SOA formation to gas/wall partitioning.

485 Simulated trends match the experimental trends observed within and between homologous 486 series. In particular, (i) SOA yield increases with the carbon skeleton size of the parent 487 hydrocarbon, (ii) SOA yield increases from branched to linear to cyclic chemical structures (iii) SOA yield decreases with the number of branches in the carbon backbone and (iv) SOA yield 488 489 decreases from internal to terminal alkene isomers. The loss of organic vapors to the chamber 490 wall is found to affect final SOA yields, with reductions ranging from a factor 1.1 to 2. The 491 extent of this process depends on the rate of gas/wall mass transfer and the vapor pressure of 492 the species. As a result, the simulations of the alkene series (lasting 6 min) appear insensitive 493 to wall uptake, unlike the simulations for alkane series (lasting 1 hour).

494 Accounting for wall losses in the simulations of the alkane series improves significantly the 495 agreement of the model results with the observations, the overall best agreement being obtained 496 when a fast gas to wall mass transfer rate is assumed. The composition of the gas and the particle 497 phases is also impacted by wall losses. For the alkane series, both phases are mostly composed 498 of first generation species produced along functionalization routes such as alkyl nitrates, 1,4-499 hydroxynitrates, cyclic hemiacetals and dihydrofurans coming from the fast cyclization of 1,4-500 hydroxycarbonyls in the particle. Second generation species are also found to be substantial 501 contributors to SOA. These species are produced from OH oxidation and lead to additional 502 functional groups (mostly nitrate, alcohol or carbonyl) on the carbon backbone. The presence 503 of acid carboxylic esters (AS) and cyclic dinitrate ethers (TNNE) among the major simulated 504 products suggests that DHF oxidation by O₃ and NO₃ can compete with OH oxidation during 505 these experiments. Simulated distributions of the species in the various phases suggest that 506 substantial amounts of nitrates, hydroxynitrates and carbonylesters could be lost onto the walls.

507 For the alkene series, the simulated SOA yields exhibit a strong dependence on the structure 508 activity relationship used to estimate the fate of the alkoxy radicals. The gas/wall partitioning process cannot fully explain the discrepancies between the model and the observations. Some chemical pathways are clearly missing in the GECKO-A mechanism, e.g. dimers formation and/or heterogeneous oxidation in the particle phase. Thus, further investigations of SOA composition are required.

This work suggests that SOA yields inferred from chamber experiments could be substantially underestimated due to the loss of organic vapors to the wall of the chamber. This process also likely alters the inferred SOA composition. The gas/wall partitioning of organic compounds needs to be routinely characterized for smog chamber data and derived SOA yields. These characterizations appear to be a critical issue to support the development of SOA parameterizations for air quality and climate models based on experimental observations in atmospheric chambers.

520

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Exp.	[HC] ₀ (ppm)	[NO] ₀ (ppm)	[CH ₃ ONO] ₀ (ppm)	[DOS seeds] (µg m ⁻³)	J _{NO2} (min ⁻¹) / Time exposure (min)
Linear alkanes ^a (C7-C17)	1 0.5	10	10	90-400	0.38/60
Cyclic alkanes ^a (C ₆ -C ₁₅)	1	10	10	150-300	0.38/60
Branched alkanes ^a (C ₁₂)	1	10	10	100-200	0.38/60
1-Alkenes ^b (C ₈ -C ₁₇)	1 0.5	5	5	200	0.14/6
Internal alkenes ^b (C ₁₄ -C ₁₇)	1 0.3	5	5	200	0.14/6
2-Methyl-1-alkenes ^c (C9-C15)	1	5	5	200	0.14/6

Table 1. Measured injected concentrations and environmental conditions of the experiments.

^aLim and Ziemann (2009a), ^bMatsunaga et al. (2009) and ^cMatsunaga (2009).

699Table 2. Decomposition and isomerization branching ratios for selected generic β-hydroxyalkoxy700radicals produced from the oxidation of terminal, internal and 2-methyl alkenes as estimated by the ATK701and VER configurations of the model and experimentally derived values by Matsunaga et al. (2009),702Aschmann et al. (2010), and Ziemann (2011).

	Decomposition / Isomerization 703				
	branching ratio				
	ATK	VER	Experimental data		
	configuration	configuration	Experimental data ^a		
1-alkenes					
R O. OH	0.67/0.33	0.79/0.21	0.45/0.55		
Internal alkenes					
R O. OH R	1.00/0.00	1.00/0.00	0.67/0.33		
2-Methyl-1-alkenes					
R O. OH	0.93/0.07	1.00/0.00	0.59/0.41		

^a reported in Ziemann, 2011.

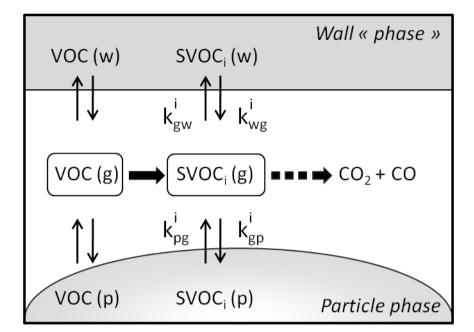
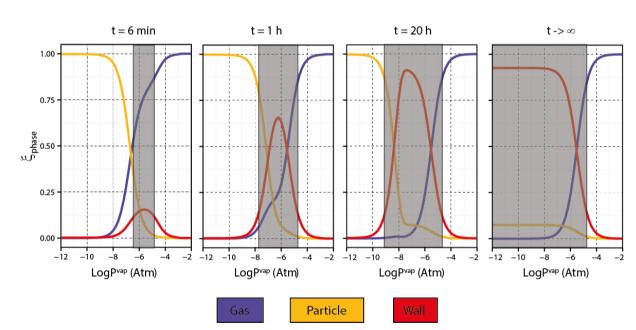
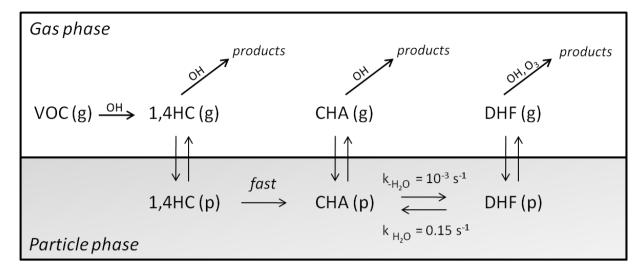


Figure 1. Schematic representation of gas/particle and gas/wall mass transfers of a semi-volatile organiccompound (SVOC) produced during gaseous oxidation of VOC.



- Figure 2. Time evolution of the fraction in the gas, particle and wall phases as a function of the vapor
 pressure for a continuous distribution of species considered to be initially only in the gas phase. Grey
- 713 zones represent the volatility range impacted by wall losses at a molar fraction higher than 10%.







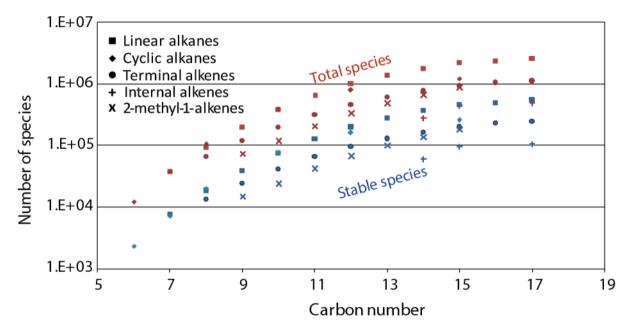


Figure 4. Number of stable (blue) and total organic species (red) in the reduced chemical schemes
 generated with GECKO-A as a function of the number of carbons in the parent compound for the studied
 precursor's families.

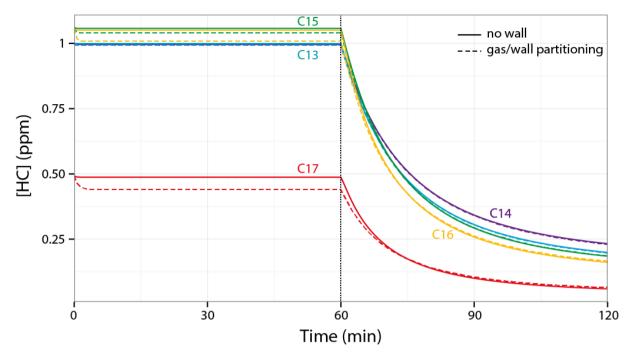


Figure 5. Simulated temporal evolutions of the decays of n-alkanes in the gas phase without (solid line)
and with (dashed line) gas/wall partitioning. The dotted line at 60 min corresponds to the time at which
the lights are turned on.

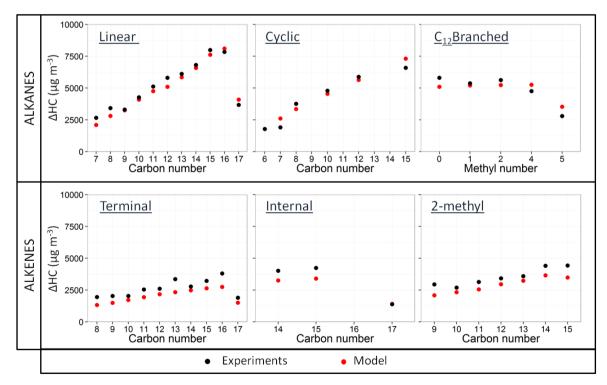
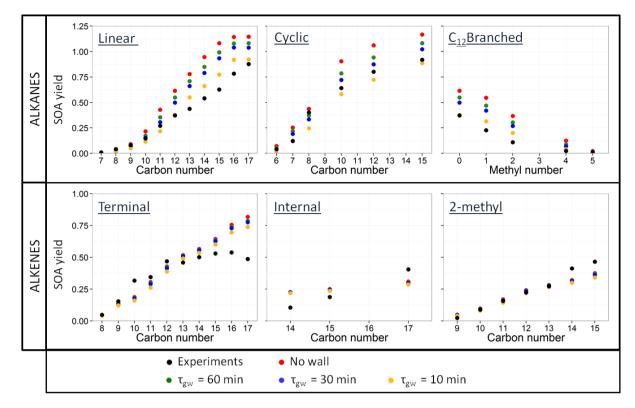


Figure 6. Comparison between the measured (black) and the simulated (red) ΔHC mass for the different
chemical families as a function of carbon chain length or number of methyl groups of the carbon
backbone.



736Figure 7. Comparison between measured (black) and simulated SOA yields for the different chemical737families as a function of carbon chain length or presence of methyl groups on the carbon backbone.738Simulations are shown without wall loss (red) and with wall loss using a value of $C_w/(M_w\gamma_w)=120 \ \mu mole$

739 m⁻³ for all secondary species and a τ_{gw} of 10 min (yellow), 30 min (blue) or 60 min (green).

740

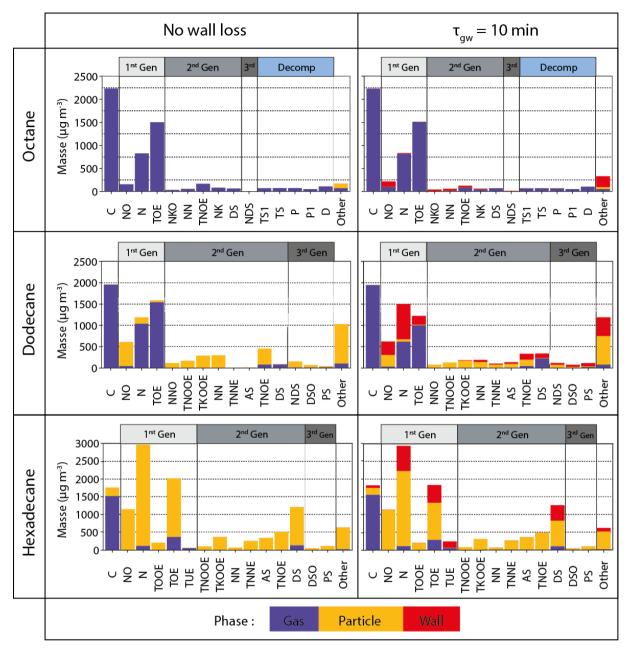
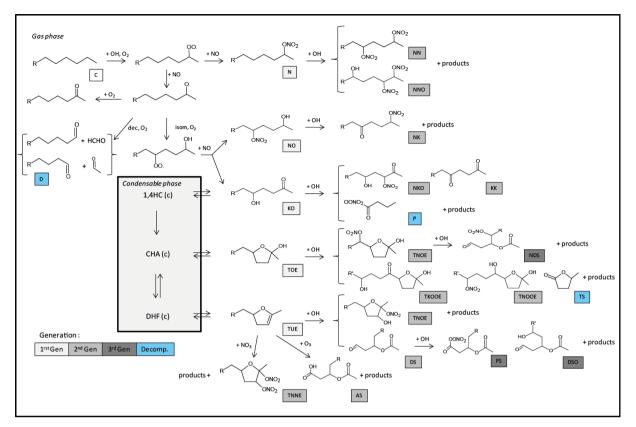
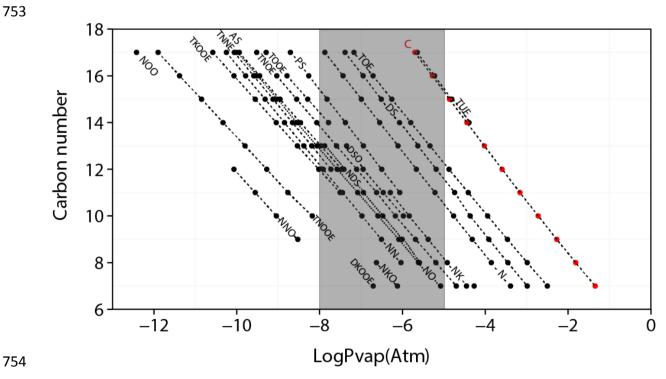


Figure 8. Distribution of the top fifteen species between the gas phase (purple), the particle phase (yellow) and the walls (red) at the end of the simulations for n-octane (C_8), n-dodecane (C_{12}) and n-hexadecane (C_{16}). Simulated results are reported in mass concentrations (in µg m⁻³ of air or equivalent for the Teflon phase) for simulations conducted without wall loss (left panel) and with partitioning to the wall using τ_{gw} =10 min and $C_w/(M_w\gamma_w)$ =120 µmole m⁻³ (right panel).

748



751 Figure 9. Generic GECKO-A chemical mechanism leading to the major products formed during n-alkane 752 gaseous oxidation under high-NO_X conditions.



754

755 Figure 10. Saturation vapor pressure of the major products from functionalization routes simulated 756 during n-alkane gaseous oxidation under high-NO_X conditions as a function of the number of carbon 757 atoms in the backbone. Dashed segments link two species with increasing carbon numbers within a 758 chemical family to facilitate the reading of the figure. The grey zone represents the volatility range likely 759 affected by gas/wall partitioning for a 1 h experiment (see text).