



Characterizing
tropospheric ozone
and CO

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Characterizing tropospheric ozone and CO around Frankfurt between 1994–2012 based on MOZAIC-IAGOS aircraft measurements

H. Petetin, V. Thouret, A. Fontaine, B. Sauvage, G. Athier, R. Blot, D. Boulanger, J.-M. Cousin, and P. Nedelec

CNRS and Université Paul Sabatier, Laboratoire d'Aérodologie, Toulouse, France

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Correspondence to: H. Petetin (herve.petetin@aero.obs-mip.fr)

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Abstract

In the framework of the MOZAIC-IAGOS program, ozone and carbon monoxide vertical profiles are available since 1994 and 2002, respectively. This study investigates the variability and trends of both species at several tropospheric layers above the Frankfurt and Munich airports where about 21 600 flights have been performed over the 1994–2012 period, which represents the densest dataset in the world (about 75 flights per month on average). Over that period, most mean ozone trends are positive but insignificant at a 95 % confidence level, except in winter where significant upward trends (around $+0.38 \pm 0.24$ ppb yr⁻¹) are found. Conversely, a significant increase of annual background ozone is highlighted, mostly during winter and autumn. Mean annual ozone trends increase with altitude, the largest increase being found in summer due to a noticeable decrease of highest ozone concentrations observed in the lower troposphere during the second half period. Over the 2002–2012 period, most mean CO trends are significantly negative, the decrease being higher in the lower troposphere compared to the mid- and upper troposphere with again, major differences in summer. Trends in the ozone seasonal cycle are also investigated, with a focus on the phase. Ozone maxima occur earlier and earlier with a shift around -10.6 ± 2.9 days decade⁻¹ in the lower troposphere, in agreement with previous studies. The analysis of other ozone datasets in Europe (including surface stations and ozone soundings) confirms this trend, but highlights strong heterogeneities in the phase change. Interestingly, this shift is shown to decrease with altitude, with trends of -4.3 ± 2.4 and -2.0 ± 1.7 days decade⁻¹ in the mid- and upper troposphere, respectively. The geographic origin of the air masses sampled by aircraft is analysed with FLEXPART backward simulations and suggests, together with trends and phase changes results, that an increase of the Asian contribution to ozone in the upper troposphere may compensate during summer the decrease of European and North American contributions associated to emission control over these two regions.

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1 Introduction

As one of the major sources of hydroxyl radicals (OH) that directly control the atmospheric lifetime of a large number of compounds, ozone plays a unique role in the oxydative capacity of the atmosphere. In the troposphere, it acts as a powerfull greenhouse gas with a positive radiative forcing (RF) of $0.40 \pm 0.20 \text{ W m}^{-2}$ that is not compensated by the RF of stratospheric ozone estimated at $-0.05 \pm 0.10 \text{ W m}^{-2}$ (IPCC, 2013). It also has well-kown adverse impacts on human health (Jerrett et al., 2009), vegetation (Ashmore, 2005; Paoletti, 2006) and agricultural crop yields (Van Dingenen et al., 2009).

Despite the considerable scientific achievements made during the last decades, the ozone budget remains difficult to quantify precisely, as attested at the global scale by the significant discrepancies from one model to the other, around a factor of 2 for the global ozone production (Wu et al., 2007). In the troposphere, a myriad of anthropogenic and natural precursors is implicated in the photochemical formation of ozone, including methane (CH_4), carbon monoxide (CO), nitrogen oxides (NO_x) and non-methane volatile organic compounds (NMVOC). Intrusions from the stratospheric reservoir represent the other noticeable source of ozone in troposphere (Holton et al., 1995; Stohl et al., 2003b; Zbinden et al., 2006). Conversely, several sinks are at stake in the troposphere, including the photolytic destruction (that leads to OH formation), the dry deposition at ground and the reactive uptake on aerosols (Moise and Rudich, 2000, 2002). Major uncertainties in the simulation of ozone appear related to lightning NO_x production, isoprene biogenic emissions and degradation chemistry, biomass burning emissions, water vapor concentrations and stratosphere–troposphere exchanges (Stevenson et al., 2006). Besides the high variability – both in space and time – of these sources and sinks, the ozone lifetime in troposphere (from days to weeks) appears high enough to allow its advection over long distances, fueling a substancial background at the regional to hemispheric scale (Derwent et al., 2004, 2007; Sudo and Akimoto, 2007). This leads to a large heterogeneity of ozone abundance and vari-

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trusions, transport regimes) and aims at linking the evolution of ozone seasonality to changes in the contribution of its various sources and sinks. At the Mace Head coastal site during the 1987–2003 period, Simmonds et al. (2004) found the highest ozone increase in both polluted continental (coming from Europe) and baseline (coming from the Atlantic Ocean) air masses during winter while baseline ozone levels increased noticeably less in summer, and do not show any significant trend in polluted air masses. Extending the analysis to the 1988–2012 period, Derwent et al. (2013) noticed that the still increasing baseline ozone levels do not extend to the European ozone load that levelled-off during the 2000s. These results thus suggest a possible compensation between a decrease of ozone local formation in Europe and an increase of ozone imports. At several remote or alpine sites in northern midlatitudes, Parrish et al. (2013) recently highlighted a noticeable shift in the ozone cycle at ground, the maximum daily ozone occurring between 3 and 6 days earlier each decade since the 1970s. Such a shift may reflect some changes in the contributions of the various ozone sources and sinks, e.g. transport pathways, precursors emissions, photochemistry or climate change impacts (Parrish et al., 2013). The present study aims at investigating changes in the variability and seasonality of tropospheric ozone in Central/Western Europe. Based on vertical profiles measured by commercial aircraft implicated in the MOZAIC-IAGOS (In-service Aircraft for a Global Observing System) program, it will focus on the free and upper troposphere in order to go beyond the more limited representativeness of measurements in the boundary layer measurements (close to precursors emissions and/or deposition sink) at the regional scale. However, results in boundary layer will be also presented to give a full picture of the troposphere.

This study will also investigate the variability and trends of carbon monoxide, the other chemical compound measured in the framework of the MOZAIC-IAGOS program. As a long lifetime (several weeks to several months) compound emitted by incomplete combustion processes, carbon monoxide represents an interesting pollution tracer able to provide useful informations on long-range transport at the hemispheric scale. Char-

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The new IAGOS instrumentation is extensively described in Nédélec et al. (2015). Briefly, the O₃ and CO measurements are based on the same technology used for MOZAIC, with the same estimated accuracy and the same data quality control. The nearly 4 years overlap of “old” MOZAIC and “new” IAGOS systems operations allowed to prove that new IAGOS systems provide the same data quality, which is especially important for trends analysis.

The present study will focus on the Frankfurt airport where the longest (from 1994 to 2012) and densest (18945 flights) record is available. In order to fill a large data gap in 2005, this dataset is combined to data from the Munich airport (2703 flights, mostly between 2002 and 2005), approximately 300 km south-east from Frankfurt, as done in several previous studies (Logan et al., 2012; Zbinden et al., 2006, 2013). Note also that no measurements are available during a part of 2010 due to instrumental problems. An illustration of the dataset density by month and year is shown in Fig. S1 and S2 in the Supplement.

2.2 Tropopause altitude and tropospheric sublayers

This paper focuses on the analysis of tropospheric vertical profiles obtained over Europe (Germany) during both ascent and descent. As tropospheric air masses are subject to very different constraints depending on their altitude (e.g. distance from surface emissions or stratosphere), the troposphere is divided here in three sublayers: the lower troposphere (LT), the mid-troposphere (MT) and the upper troposphere (UT). The UT is defined here as the 60 hPa-width layer below tropopause plus 15 hPa, as in Thouret et al. (2006). The MT is delimited by the UT lower boundary and an arbitrarily fixed altitude of 2 km. Data collected in the 1–2 km layer are finally assigned to the LT, the first kilometer above surface being ignored to limit the representativeness degradation induced by emissions over the airport area (confirmed by significant enhancements of CO concentrations near the ground in most vertical profiles).

The tropopause altitude can be estimated by several approaches, e.g. thermal, dynamic, chemical criteria (Thouret et al., 2006) or a combination of them (Stohl et al.,

3 Climatology and trends of ozone and carbon monoxide results

Climatological vertical profiles of ozone and carbon monoxide around Frankfurt/Munich are described in Sect. 3.1. The variability of both compounds is analysed in Sect. 3.2, while annual and seasonal trends are investigated in Sect. 3.3.

3.1 Climatological vertical profiles

Annual and seasonal ozone and CO climatological vertical profiles are calculated over the whole period (1994–2012 for ozone, 2002–2012 for CO) and shown in Fig. 2. Average ozone mixing ratios in the tropospheric column range between 25–70 ppb, with a strong seasonal variability showing minimum values in winter (below 50 ppb) and maximum ones in spring/summer (up to 80 ppb). The ozone abundance clearly increases with altitude. Highest vertical gradients are found close to the surface all along the year (dry deposition and titration by NO) and at the vicinity of tropopause during spring and summer (exchanges with the stratospheric reservoir). The inflexion of vertical gradients at about 1 km a.g.l. has already been mentioned in Chevalier et al. (2007).

In free troposphere, vertical gradients are very low in winter and to a lesser extent in autumn, but significantly enhanced during spring and summer where concentrations quickly increase with altitude. The variability (expressed as the standard deviation normalized of the climatological profile) is about 15–30 % in free troposphere, with a minimum around 3 km whatever the season, but reaches much higher values in the first kilometer (30–80 %) and close to tropopause where it is likely driven by intense shallow and transient exchanges.

Carbon monoxide climatological vertical profiles show mixing ratios around 150 ppb at 1 km and 80 ppb high in altitude. As previously mentioned, mixing ratios in the first kilometer strongly increase as one moves closer to surface emissions, first and foremost emissions from the airport area (including aircraft emissions on runways) and potentially emissions from the neighbouring agglomeration. High seasonal variations are observed close to the surface, with concentrations in the first kilometer ranging

sphere (above 60 ppb, the 99th percentile) are observed in August 1995, May 1998, August 2003, July 2006 (Fig. 4). The spring 1998 anomaly has been discussed by Koumoutsaris et al. (2008) and is related to the 1997 El Niño that have enhanced stratospheric–tropospheric exchanges (Ordóñez et al., 2007) and pollution export from Asia (higher convective activity and strengthening of the subtropical jet stream) and North America. Anomalies in summer 2003 and 2006 are related to the severe heat waves that struck a large part of Europe (Ordóñez et al., 2005; Solberg et al., 2008; Struzewska and Kaminski, 2008; see also Tressol et al. (2008) for a detailed analysis of the 2003 heat wave with MOZAIC measurements). Most of these ozone anomalies in the lower troposphere are not always distinguishable in the mid-troposphere, where highest concentrations (above 68 ppb, the 99th percentile) are encountered in summer 2002, 2004, 2006 and 2008. Similarly, highest monthly concentrations do not always coincide between the mid- and upper troposphere (see for instance the significant ozone mixing ratio observed in the UT during 2011 and 2012 summers). Nevertheless, on a yearly average, a very similar interannual variability is found between the three tropospheric sublayers as illustrated by high correlations ($R = 0.87$, 0.75 and 0.94 between the LT/MT, LT/UT and MT/UT, respectively).

3.2.2 Carbon monoxide variability

Carbon monoxide average mixing ratios of 143, 115 and 101 ppb are found in the LT, MT and UT, respectively. The CO enhancement in the European lower troposphere represents about half of the CO concentrations observed higher in altitude, which illustrates the high contribution of the CO background at the hemispheric scale. In comparison with ozone, the daily CO variability at the monthly scale is lower and similar in the three tropospheric sublayers (around 14–16%). As shown in Fig. 3, the CO seasonal cycle is characterized by maximum mixing ratios in late winter/early spring in the whole troposphere. Minimum ones are encountered in summer/early autumn in the lower troposphere and are slightly shifted to late summer/early autumn higher in altitude. Such a seasonal pattern is rather consistent with maximum emissions and minimum photo-

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chemical activity usually occurring around winter combined with a maximum secondary formation in summer. A rather similar seasonal pattern is observed with the CO 5th and 95th percentiles except that the peak is sharper (February–March) in the lower troposphere for the 95th percentile (see Fig. S4 and S5 in the Supplement).

3.3 Trends

Ozone trends are here investigated through linear regression calculations on 10-years moving periods in order to highlight dynamical changes in trends. Besides mean ozone concentrations, we also investigate trends on 5th and 95th percentiles that are taken as representative of baseline and peak ozone concentrations, respectively. Note that more detailed statistical approaches have been proposed for trend analysis, but as they are still widely used in the literature, linear regressions more easily allow comparisons with other published results. Ozone trends are reported in Table 1, and 10-years moving trends of mean ozone concentrations are shown in Fig. 5 (see Fig. S6 and S7 in the Supplement for the corresponding figures with 5th and 95th percentiles).

3.3.1 Ozone trends

Considering mean ozone concentrations over the whole 1994–2012 period, annual trends are positive in all layers and increase with altitude, but the statistical significance at a 95% confidence level is almost reached only in the upper troposphere ($+0.22 \pm 0.23$ ppbyr⁻¹, against $+0.11 \pm 0.21$ and $+0.13 \pm 0.18$ ppb yr⁻¹ in the LT and MT, respectively). In most tropospheric layers, these positive trends appear driven by increasing concentrations in winter ($+0.38 \pm 0.24$, $+0.31 \pm 0.24$ and $+0.36 \pm 0.31$ ppbyr⁻¹ in the LT, MT and UT, respectively) and at a lesser extent in autumn. At lowest altitudes, such an increase in winter has already been observed at several sites in Europe and North America (Cooper et al., 2012; Derwent et al., 2013; Logan et al., 2012; Parrish et al., 2012; Wilson et al., 2012) and is mainly attributed to a reduced O₃ titration by NO due to decreasing NO_x emissions (e.g. Ordóñez et al.,

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2005). Similar positive trends are found higher in altitude, which suggests that ozone is increasing at a large scale (if not hemispheric). Trend results on 10-years moving windows (Fig. 5) indicate that in the lower and mid-troposphere, the increase during these two seasons mainly occurs in the 1990s (visible in both background and high concentration episodes) before a stagnation in the 2000s. Interestingly, in the upper troposphere, the increase of wintertime concentrations mostly occurs in the 2000s (through increasing high concentrations) while no significant trend is found in autumn. Ozone trends do not appear related to altitude in winter and autumn, which is not the case for summer and spring that show increasing trends with altitude. For instance, albeit non significant (at a 95 % confidence interval), the mean ozone trend in summer moves from negative in the LT (-0.21 ± 0.34 ppbyr $^{-1}$) to near-zero in the MT (-0.01 ± 0.23 ppbyr $^{-1}$) and positive in the UT ($+0.21 \pm 0.31$ ppbyr $^{-1}$). The summertime decrease in the lower troposphere is associated to a decrease of high concentration episodes (-0.40 ± 0.51 ppbyr $^{-1}$ for the ozone 95th percentile, thus significant at only one sigma) starting from the 2000s (in agreement with Ordóñez et al., 2005, and Sicard et al., 2013), while the increase in the upper troposphere appears more linked to an increase of baseline ozone ($+0.30 \pm 0.30$ ppbyr $^{-1}$ for the ozone 5th percentile). A slight increase of mean ozone trends with altitude is also displayed in spring, but the negative trend of 95th percentile in the lower troposphere is much reduced while the positive trend of 5th percentile spreads to the whole troposphere. Seasonal trend results thus strongly vary from one period to the other. During the last decade (2003–2012), the only significant trend is the decrease of summertime mean ozone (and annual ozone 95th percentile) in the LT.

Investigating ozone trends between 1995–2008 at various altitudes in troposphere above Frankfurt/Munich based on MOZAIC data, Logan et al. (2012) found statistically significant positive trends up to 8 km, with values around $+0.2$ ppbyr $^{-1}$ up to 4 km and values between $+0.4$ and $+0.6$ ppbyr $^{-1}$ between 4 and 8 km. Over the same period, our trends in the free and upper troposphere are also positive, but noticeably lower and statistically insignificant (around $+0.1 \pm 0.3$ ppbyr $^{-1}$) and without any major differ-

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reason would be consistent with the emission trends given by MACC anthropogenic and GFAS biomass burning emission inventories that indicate between 2002 and 2012 a decrease of -41% of CO emissions from both sources in Europe against only -1% over the whole Northern Hemisphere (for details, see Table S1 in the Supplement).

5 The higher emissions decrease of United States (-47%) compensated by an increase of emissions in the rest of the Northern Hemisphere (in particular Asia) underlines the significant contribution of these other regions to CO levels in the European troposphere.

Albeit not always statistically significant, negative trends are found in troposphere during all seasons. The aforementioned decrease of the trend with altitude is observed 10 whatever the season, except in winter. The difference is much more pronounced in summer (-3.06 ± 1.70 ppbyr $^{-1}$ in the LT and trend 3.1 times lower in the UT) during which trends turn insignificant in the UT (similar strong differences are observed in autumn, with a factor of 2.7 between trends in the LT and UT, but trends all remains insignificant at a 95 % confidence level). During winter, trends are rather similar 15 in the lower and mid-troposphere. Surprisingly, the wintertime trend in the LT is not statistically significant (-2.01 ± 2.33 ppbyr $^{-1}$). Observed mixing ratios show a high interannual variability, with maximum values (in 2003, 2006 and 2010) ranging between 166 and 180 ppb and minimum ones (in 2008, 2009 and 2012) remaining below 150 ppb. This interannual variability is mainly driven by the high CO episodes, as shown 20 by the strong trend uncertainty of the 95th percentile (-1.15 ± 6.34 ppbyr $^{-1}$ against -1.72 ± 1.92 ppbyr $^{-1}$ for the 5th percentile). This may be partly due to a persistent influence of aircraft emissions – on tarmac and/or during the takeoff/landing phases (in case the MOZAIC-IAGOS aircraft closely follows other aircraft) – above 1 km, which may add some variability depending on the local dispersion conditions. But actually, 25 the interannual variability found here in the LT with MOZAIC-IAGOS vertical profiles appears consistent with CO surface measurements obtained at four German stations from the WMO Global Atmosphere Watch (GAW) database (see Fig. S8 in the Supplement). Based on wintertime averages, the correlation with CO concentrations measured at the Ochsenkopf site (50.0° N, 11.8° W) is 0.64. It ranges between 0.75 and 0.85 at the

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three other sites – Hohenpeissenberg (47.8° N, 11.0° W, 960 m; at 50 km south-west from Munich), Neuglobsow (53.1° N, 13.0° W), Schauinsland (47.9° N, 7.9° W) – which highlights the regional dimension of that interannual variability. The large variability of CO concentrations has already been noticed in other studies and may be linked to the variability of biomass burning emissions (Wotawa et al., 2001). Based on satellite measurements, a high positive anomaly on CO total columns in Europe and more generally in North Hemisphere has been reported by Worden et al. (2013) during most of the 2003 year, notably due to intense boreal fires. Conversely, the decline in 2008 may be due to lower global fire emissions (in particular in Equatorial Asia), potentially combined to the economic crisis effects (Worden et al., 2013).

4 Ozone seasonality changes

In the previous section, some differences in ozone trends have been highlighted depending on the season and the tropospheric sublayer. Here, we describe their impact on the ozone seasonal cycle above Frankfurt/Munich (Sect. 4.1), and compare results with observations from surface stations and ozone soundings (Sect. 4.2). In order to better understand differences obtained between tropospheric sublayers, results from the FLEXPART model are used to investigate the geographical origin of air masses sampled by MOZAIC-IAGOS aircraft (Sect. 4.3). In the light of this, ozone seasonal changes results are discussed in Sect. 4.4.

4.1 Evolution of the seasonal cycle at Frankfurt/Munich

The previous section has highlighted significant differences of trend depending on the season and the altitude above Frankfurt/Munich. This section now investigates how these different trends affect the ozone seasonal cycle in the troposphere. Assuming that this seasonal cycle follows a sinusoid, it can be fully characterized by three parameters: a baseline value defined here as the average over one or several years, an amplitude,

4.1.2 Amplitude

Conversely, results show a decrease (around 1–2 ppb) of the amplitude of the ozone seasonal cycle in the three tropospheric sublayers along the 1995–2011 period. The negative trend is the most obvious in the lower troposphere where it continuously decreases from 19.1 ± 2.1 ppb in 1995–2004 to 15.8 ± 2.1 ppb in 2003–2012, this change being significant only at one sigma. The decrease is smaller in the mid- and upper troposphere and is statistically significant at one sigma only in the MT. Such a decrease of the seasonal amplitude is consistent with seasonal trends described in Sect. 3.3 where highest discrepancies between summer and winter trends are found in the lower troposphere (overall trends of -0.21 ± 0.34 and $+0.38 \pm 0.24$ ppb yr⁻¹, respectively).

4.1.3 Phase

Concerning the seasonal cycle phase, statistical significant differences are found between the different tropospheric sublayers with a peak occurring earlier in the LT compared to both the MT and UT. In 1995–2004, results indicate a maximum of the sinusoid in late spring in the lower troposphere (27 May) and early summer in the mid- and upper troposphere (6–7 June). It is worthwhile noting that it is rather consistent with averaged monthly profiles only in the LT, but not in the MT and UT where maximum O₃ concentrations are encountered in July, although June values are only slightly lower (–1.6 and –3.7 %, respectively). This is due to the fact that the ozone seasonal pattern does not follow exactly a sinusoid. This July maximum is driven by several high episodes during this month (with a high interannual variability). However, compared to the rest of the year, the weight of this July month is minor and thus it does not influence so much the fitted sinusoid that gives a maximum earlier in the year. In addition, this is not so problematic since we are here more interested in relative changes of the seasonal pattern than in the seasonal pattern itself.

In terms of trend along the 1995–2012 period, ozone peaks tend to occur earlier over the years. The tendency is more obvious in the lower troposphere and progres-

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sively slips away higher in altitude. Based on these values of seasonal shift, linear trend results over the 1995–2012 period show that the highest and most statistically significant seasonal shift is observed in the LT with -10.6 ± 2.9 days decade⁻¹ (at a 95% confidence level, $p < 0.001$), more than two times higher than in the MT (-4.3 ± 2.4 days decade⁻¹, $p = 0.004$) and five times higher than in the UT (-2.0 ± 1.7 days decade⁻¹, $p = 0.03$). Note that the same analysis performed on 5th and 95th percentiles (not shown) rather than mean ozone concentrations shows a much stronger seasonal shift on the 5th percentile than on the 95th one, which means that the changes of phase mainly concern background concentrations. It is also worthwhile noting that consistent results are obtained, at least qualitatively, when the procedure is applied to the daily rather than monthly time series (not shown), the seasonal shifts being -13.4 ± 3.1 , -5.2 ± 2.6 and -2.5 ± 2.1 days decade⁻¹ for the LT, MT and UT, respectively.

4.2 Comparison with ozonesondes and surface observations

In this section, we apply the same procedure to ozone soundings (NDACC database) and surface measurements (GAW database) in Europe during the same 1995–2012 period. Only monitoring sites having observations all along the period are retained (12 surface sites and 3 ozonesonde sites; see Table 2 for informations on these sites).

4.2.1 Ground O₃ measurements

Most ground monitoring sites considered here are located in or close to Germany, which provides an overall picture of seasonal changes in that region. Shifts of the ozone seasonal pattern are shown in Fig. 7. Again, negative values indicate a shift toward earlier ozone maximum. For all sites except at Vindeln in Sweden, they are in the same direction than those obtained above Frankfurt/Munich, i.e. toward earlier ozone maximum. Values are highly variable from one site to the other, ranging between -14.0 and $+5.1$ days decade⁻¹, with different levels of statistical significance. The high-

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est shifts are found in North-East Germany at Neuglobsow (-14.0 ± 2.6 days decade $^{-1}$) and Zingst (-11.0 ± 1.8), and the lowest ones at Westerland (-4.0 ± 2.3), Schauinsland (-2.6 ± 2.4) and Payerne (-5.3 ± 1.2). Explaining such discrepancies appears somewhat difficult. The extent of these shifts does not appear to be linked to levels of ozone concentration, as illustrated by the Kosetice site (Czech Republic) that shows all along the year twice as high O₃ concentrations compared to Waldhof (in the middle of Germany), but a very close seasonal shift (about 8–9 days decade $^{-1}$). Similarly, no clear relation between shifts and altitude arises from our results, as illustrated by the Sonnblick mountain site (Austria) that shows the same shift than the Kollumerwaard coastal site (the Netherlands). However, it is interesting to notice the decreasing shift with altitude between Rigi (1031 m a.s.l.) and Jungfrauoch (3580 m) sites that are distant by only 50 km. But considering the high spatial heterogeneity of seasonal shifts obtained here, it remains tricky to assert that this decrease with altitude corroborates our results obtained above Frankfurt/Munich.

In comparison, Parrish et al. (2013) have reported at four ground sites in Europe (two alpine sites including Jungfrauoch, the low elevation Hohenpeissenberg site and the Mace Head coastal site) shifts of the ozone seasonal cycle in the same direction (i.e. toward earlier O₃ maximum), but with lower rates than found here in the lower troposphere. At the three continental sites, rates of shift are statistically significant at the 95 % confidence limit and range between -5 and -7 days decade $^{-1}$ since 1970s. At the Mace Head coastal site, the rate (-3 ± 3.7 days decade $^{-1}$) is lower and remains in the uncertainty range. Discrepancies are likely due to the fact that studied periods are different. As a faster phase change is found between 2005 and 2008 (their 3 last years) (see Fig. 2 in Parrish et al., 2013), restricting their analysis to our shorter period would likely lead to a higher seasonal shift (i.e. closer to our values).

4.2.2 Ozone soundings

Vertical profiles obtained by ozonesonde are more interesting for a comparison with our results above Frankfurt/Munich. We investigate seasonal changes at four tropospheric levels, delimited by the following pressures: 900, 800, 600, 400 and 300 hPa. Seasonal shifts between 1995–2004 and 2003–2012 are reported in Table 3. Seasonal shifts are statistically significant at Hohenpeissenberg but values do not monotonically decrease with altitude. Conversely, results at De Bilt show a high and significant (at a 95 % confidence level) shift in the lower troposphere that progressively decreases with altitude up to an insignificant shift in the 300–400 hPa layer. A rather similar behavior is found at Uccle, except that the shift goes in the opposite direction in the highest level. It is to be noted that due to a much lower number of vertical profiles compared to MOZAIC-
5 IAGOS (on average: 11, 4 and 12 ozonesondes per month at Hohenpeissenberg, De Bilt and Uccle, respectively), these results may be less reliable than at Frankfurt/Munich (Saunois et al., 2012).

4.3 Long-range transport and regional influences

Results obtained in the previous sections indicate that the ozone seasonal shift clearly depends on altitude. Therefore, in order to better characterize the geographical origin of air masses sampled by MOZAIC-IAGOS aircraft in the different tropospheric sublayers, we now investigate the density-normalized residence times (so-called residence times thereafter, for convenience) calculated with FLEXPART. We focus on residence times
15 in the first kilometer above the surface (where air masses can potentially be loaded by local anthropogenic emissions) averaged over the 20 past days, but we will also discuss residence times at higher levels and over shorter time periods.

Footprints of 20 days average residence times between 0–1 km are presented in
25 Fig. 8. These residence times are summed for different regions of the world, and Fig. 9 shows the monthly profile (averaged over the period 1994–2012) of their relative contribution.

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4.3.1 Ocean vs. continents

In the Northern Hemisphere, air masses spend at surface approximately the same time above oceans and continents (not shown). The North Atlantic predominantly influences the lower troposphere above Frankfurt while the North Pacific contribution progressively increases at higher tropospheric levels. Interestingly, the oceanic influence on the mid- and upper troposphere is lower in summer compared to winter, and residence times at higher layers (than 0–1 km) do not show any compensation (the oceanic contribution being rather constant all along the year). It may thus induce a relatively lower dilution with clean marine air masses during summer, which should favor pollution transport toward Europe.

4.3.2 Europe and mid-Eurasia

In terms of residence times at surface, the influence of Europe is largely dominant in the lower troposphere (about 50 %). It is reduced by a factor of 3 in the mid-troposphere compared to the lower troposphere, but remains important regarding other regions. Conversely, the influence of Europe is minor in the upper troposphere (a factor of 10 lower than in the lower troposphere). Whatever the tropospheric sublayer, higher residence times in continental surface are found during summer. This is consistent with the fact that convective transport is the most efficient during that season (Auvray and Bey, 2005; Morel and Senesi, 2002), which likely explains this seasonal pattern, added to the fact that Germany is in Europe one of the major area of deep convection, often associated to thunderstorms (Duncan and Bey, 2004).

Residence times at the surface of mid-Eurasia contribute noticeably in the lower troposphere (about 10 %) and progressively decrease in the mid- and upper troposphere. As for Europe, such a decrease with altitude demonstrates that mid-Eurasia is here a local source region (i.e. air masses do not travel eastward all around the Northern Hemisphere), actually favoured by surface winds from south, south-east and east

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sometimes induced by anticyclonic (cyclonic) conditions over Russia (Central Europe) (Aasa et al., 2004; Kozuchowski and Marciniak, 1988).

4.3.3 North and Central America

Boreal and temperate NA represent a major origin region for air masses sampled above Frankfurt, whatever the tropospheric sublayer. In the lower troposphere, boreal NA contributes about 20 % to the total residence time, compared to 5–10 % for temperate NA. The contribution of this last region is significantly enhanced in the mid-troposphere, where it is equivalent to boreal NA (about 20–30%), and in the upper troposphere where it clearly dominates all the other regions of the world with a contribution around 30–40 %. Negligible in the lower troposphere, the contribution of Central America progressively increases at higher altitudes, up to about 10 % in the upper troposphere. Comparing results in the mid- and upper troposphere, it is interesting to notice the southward shift as one moves toward higher altitudes, with a decreasing contribution of boreal NA and an increasing contribution of temperate NA and Central America. In contrast to these two last regions, (absolute) residence times at the surface of boreal NA are higher in air masses sampled in the lower troposphere than in the upper troposphere.

A wide literature has been published on transatlantic transport. Through a fast uplifting of boundary layer air masses up to the mid- and upper troposphere where strong westerlies efficiently advect air masses toward downwind regions, warm conveyor belts (WCB) ahead of cold fronts are known to be one of the major pollution export pathway from NA to Europe (Cooper et al., 2002, 2004; Liang et al., 2004; Stohl and Trickl, 1999). This pathway is particularly important along the NA south-eastern coast where most WCB start (Stohl, 2001) and is maximum during winter when WCB are the strongest and the most frequent – about one order of magnitude more frequent than in summer according to the 15-years climatology of Eckhardt et al. (2004) – and jet stream wind speeds the highest – around a factor of 2 higher than in summer according to ERA-40 reanalysis between 1979 and 2001 (Archer and Caldeira, 2008).

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up to 20 and 5–10%, respectively in the upper troposphere. The clearest seasonal pattern is given by South-East Asia that shows highest values in winter/early spring and lowest ones in summer. A similar seasonality is found in the lower troposphere for boreal and Central Asia. However, for these two regions, the seasonal pattern is less clear in the mid- and upper troposphere, where results show some still high residence times in summer.

During summer, a major role is played by the East Asia Summer Monsoon (EASM) that is characterized by intense deep convection mechanisms that favor the upward transport in the upper troposphere. At these altitudes, the easterly flow induced by the Tibetan anticyclone dominates and efficiently carries polluted air masses eastward, toward Middle East, while at lower altitudes in the mid-troposphere, the Tibetan anticyclone has a lower intensity and the flow is governed by weak westerlies (Liu et al., 2003; Park et al., 2009; Ricaud et al., 2014). At surface, the Pacific High also plays an important role through the associated southeasterly winds that bring clean marine air masses toward the continent (Stohl, 2004). This likely explains the minimum residence times over South-East Asia obtained during that season. Northward from the monsoon area, both deep convection and transport associated to frontal systems participate to pollution exports (Liang et al., 2004), which likely explains the still high residence times over Central and boreal Asia in summer (in contrast to South-East Asia). In autumn, a cold high-pressure system known as the Siberia-Mongolia High (SMH) starts to develop and extend over the continent (Chang et al., 2006). It reaches its maximum in winter, leading to prevailing anticyclonic conditions over China that trap pollution in the boundary layer, confining northwesterly continental outflows close to the surface (Stohl, 2004). The east coast of Asia is a favoured region for cyclogenesis and WCB can then transport air masses across the Pacific, which may explain the still high residence times over Central Asia obtained during that season. Strong northeasterly winds blow at surface in the South China Sea (Chang et al., 2005), which may send back air masses toward South-East Asia. The higher wintertime jet streams likely considerably help continental outflows reach remote regions as Europe (Archer and Caldeira, 2008). During

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(i) a progressive shift from positive to near-zero trends in spring and (ii) a shift from positive to strongly negative trends in summer (associated to a progressive reduction of high concentration episodes); i.e. a relatively higher decrease in summer compared to spring. While a rather similar behavior is observed higher in the troposphere during spring, the main difference concerns summertime during which no significant negative trend is found in high concentration episodes. Note however that over the whole period, the (positive) trend in spring is higher than in summer in all tropospheric sublayers, but discrepancies between both seasons decrease with altitude, which means that the ozone seasonal cycle is also changing in the same direction in highest tropospheric levels but at a much lower rate.

Parrish et al. (2013) exhaustively discussed several reasons that may explain this changing phase at surface in Europe, including changes in downward transport of stratospheric O₃, long-range transport, O₃ precursor's emissions and their spatial distribution, photochemical production and the potential influence of climate change. Our study does not provide an unambiguous explanation to either the seasonal trends discrepancies or the subsequent seasonal shifts (which would ideally require the use of global models able to correctly reconstitute both ozone seasonal patterns and trends throughout the troposphere). In the lower troposphere, the decrease of high ozone summertime episodes is likely mainly due to the precursor emissions control in Europe (the main origin region of air masses sampled by aircraft, see Sect. 4.3). A similar situation is observed at many surface sites in North America (the second major origin region) where emissions are also decreasing (Cooper et al., 2012; Hogrefe et al., 2011), but it is not clear how high is the impact of such summertime changes on the European lower troposphere as Auvray and Bey (2005) showed a North America ozone contribution in Europe peaking in spring at the surface (and summer in free troposphere) according to the GEOS-Chem model. Higher in the troposphere, our long-range transport analysis demonstrates an increasing influence of air masses coming from North America and Asia. In contrast with the surface, the ozone contributions from Europe, North America and Asia in free troposphere (more or less sharply) peak in summer

study, we investigate the climatology, variability and trends of ozone and CO observations obtained above the Frankfurt and Munich airports whose combination represents the densest and longest MOZAIC-IAGOS dataset. We focus on troposphere, each vertical profile being subdivided in three tropospheric sublayers – the lower, mid- and upper troposphere (LT, MT and UT, respectively) – based on the potential vorticity extracted from ECMWF meteorological data. Main results are given below (all trends are given with uncertainties at a 95 % confidence level):

1. Seasonal variations: Ozone monthly mean profiles in all tropospheric sublayers show a minimum in December while the maxima vary from May in the lower troposphere to July in the mid- and upper troposphere. Carbon monoxide monthly mean profiles peak in March/April in the whole troposphere, and reach a broad minima in July–October in the lower troposphere, refined to September/October in the mid- and upper troposphere.
2. Annual and seasonal ozone trends: On an annual basis over the 1994–2012 period, tropospheric ozone shows increasing upward trends with altitude, from $+0.11 \pm 0.21$ and $+0.13 \pm 0.18$ ppbyr⁻¹ in the lower and mid-troposphere to $+0.22 \pm 0.23$ ppbyr⁻¹ in the upper troposphere (significant at only one sigma), these positive trends being mainly driven by an increase of ozone concentrations in the 1990s. On a seasonal basis, highest ozone upward trends are found in winter (around $+0.38 \pm 0.24$ ppbyr⁻¹). Seasonal trend results also indicate that discrepancies between the lower and upper troposphere are mainly due to the summertime trend (that goes from -0.21 ± 0.34 to $+0.21 \pm 0.31$ ppbyr⁻¹, respectively) and at a lesser extent the spring trend ($+0.14 \pm 0.25$ and $+0.26 \pm 0.30$ ppbyr⁻¹, respectively). The summertime decrease in the lower troposphere appears mainly driven by a reduction of high ozone episodes.
3. Annual and seasonal CO trends: Over the 2002–2012 period, carbon monoxide is decreasing whatever the tropospheric sublayer and the season. As for ozone, trends decrease with altitude, from -2.62 ± 1.22 ppbyr⁻¹ in the lower troposphere

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to -1.16 ± 0.88 ppbyr⁻¹ in the upper troposphere, with major differences in summer (-3.06 ± 1.70 and -0.99 ± 1.21 ppbyr⁻¹, respectively).

This study also investigates the changes in the ozone seasonal cycle (by fitting sinusoides over 10-years moving windows) with a focus on the phase. Results highlight a statistically significant change of the phase in the lower troposphere, ozone maxima occurring earlier by -10.6 ± 2.9 daysdecade⁻¹ on average (at a 95% confidence level), in general agreement with previous results from the literature (Parrish et al., 2013). Observations at most surface stations in Central Europe show seasonal shifts in the same direction (toward earlier maxima), but with a strong variability from one station to the other. A major contribution of this study concerns the dependence on altitude of this seasonal shift, as it is found to decrease by a factor of two in the mid-troposphere (-4.3 ± 2.4 daysdecade⁻¹) and five in the upper troposphere (-2.0 ± 1.7 daysdecade⁻¹). Qualitatively, a similar dependence on altitude is obtained with ozonesonde observations at two among three sites in Europe.

The FLEXPART Lagrangian particle dispersion model is used to investigate the geographical origin of air masses sampled at the different tropospheric sublayers. Results show a high influence of Europe in the lower troposphere that noticeably decreases as one moves toward higher tropospheric levels for the benefit of North America and Asia. Some strong seasonal variations are also highlighted for most regions. Combined with the seasonal trend and phase changes results, it suggests that the Asian contribution to ozone in the upper troposphere may compensate during summer the decrease associated to the emission control in place in Europe and North America. Further studies are obviously required to quantitatively assess such a scenario.

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Table 1. Annual and seasonal linear trends of mean ozone concentrations, 5th and 95th percentiles, and uncertainties at the 95% confidence level. Trends with p value below 0.05 are indicated in bold.

Season	Layer	O ₃ trend (ppbyr ⁻¹) (1994–2012)			CO trend (ppbyr ⁻¹) (2002–2012)		
		Mean	5th	95th	Mean	5th	95th
Year	UT	+0.22 ± 0.23	+0.25 ± 0.20	+0.10 ± 0.33	-1.16 ± 0.88	-0.70 ± 1.32	-1.97 ± 1.11
	MT	+0.13 ± 0.18	+0.21 ± 0.15	+0.10 ± 0.24	-1.87 ± 0.92	-1.16 ± 1.12	-2.72 ± 1.02
	LT	+0.11 ± 0.21	+0.35 ± 0.15	-0.27 ± 0.39	-2.62 ± 1.22	-1.91 ± 1.40	-4.41 ± 1.34
Winter	UT	+0.36 ± 0.31	+0.41 ± 0.37	+0.51 ± 0.45	-1.96 ± 1.15	-1.56 ± 1.28	-2.49 ± 1.23
	MT	+0.31 ± 0.24	+0.32 ± 0.25	+0.30 ± 0.29	-2.04 ± 1.32	-1.42 ± 1.41	-2.30 ± 1.56
	LT	+0.38 ± 0.24	+0.43 ± 0.33	+0.42 ± 0.30	-2.01 ± 2.33	-1.72 ± 1.92	-1.15 ± 6.35
Spring	UT	+0.26 ± 0.30	+0.26 ± 0.29	-0.13 ± 0.74	-2.15 ± 1.23	-1.55 ± 1.22	-2.74 ± 1.81
	MT	+0.19 ± 0.22	+0.18 ± 0.20	+0.21 ± 0.32	-2.81 ± 1.49	-2.42 ± 1.84	-3.44 ± 1.65
	LT	+0.14 ± 0.25	+0.25 ± 0.29	-0.09 ± 0.33	-3.05 ± 1.60	-2.36 ± 1.99	-5.53 ± 2.95
Summer	UT	+0.21 ± 0.31	+0.30 ± 0.30	+0.02 ± 0.45	-0.99 ± 1.21	-0.74 ± 1.54	-1.62 ± 1.36
	MT	-0.01 ± 0.23	+0.05 ± 0.20	+0.02 ± 0.42	-1.72 ± 1.23	-1.01 ± 1.26	-2.98 ± 1.68
	LT	-0.21 ± 0.34	+0.13 ± 0.27	-0.40 ± 0.51	-3.06 ± 1.70	-2.18 ± 1.18	-4.54 ± 2.44
Autumn	UT	+0.21 ± 0.25	+0.32 ± 0.25	-0.01 ± 0.46	-0.98 ± 1.67	-0.64 ± 1.49	-1.51 ± 2.04
	MT	+0.19 ± 0.19	+0.28 ± 0.13	+0.24 ± 0.25	-1.76 ± 2.02	-1.11 ± 1.32	-2.38 ± 2.72
	LT	+0.29 ± 0.20	+0.47 ± 0.22	+0.38 ± 0.32	-2.67 ± 2.87	-1.70 ± 2.20	-5.54 ± 4.57

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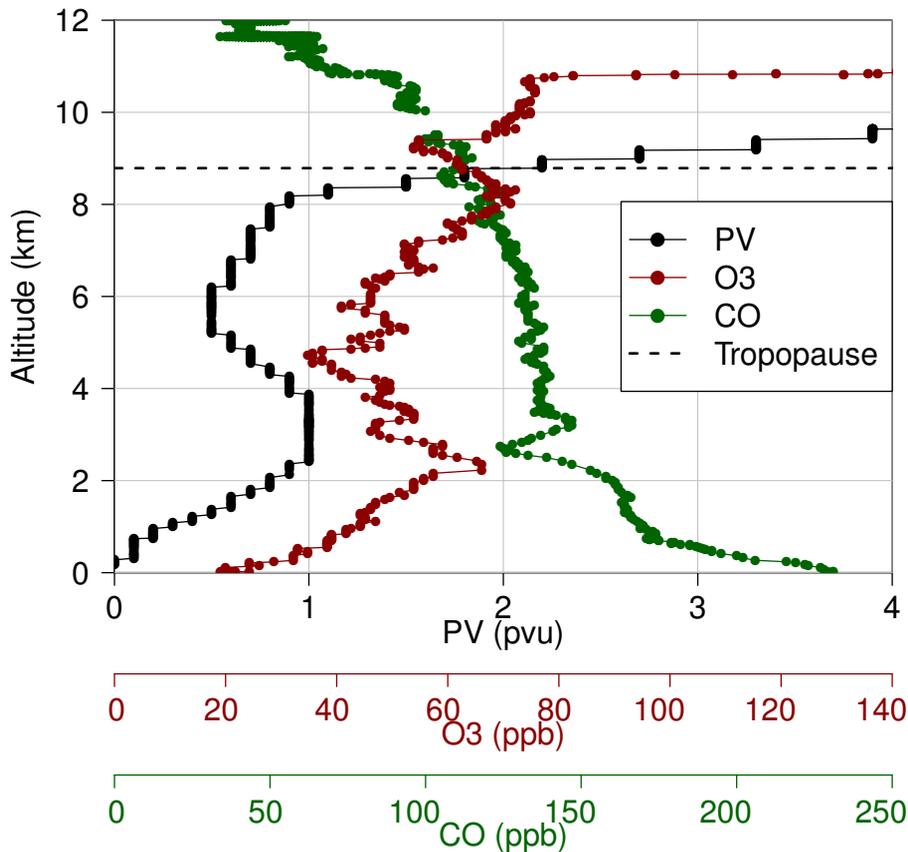



Figure 1. Vertical profile of potential vorticity (PV), O₃ and CO concentrations during the Frankfurt–Boston flight (19 March 2002) takeoff. The tropopause altitude (dotted black line) is estimated based on PV (see text for the methodology).

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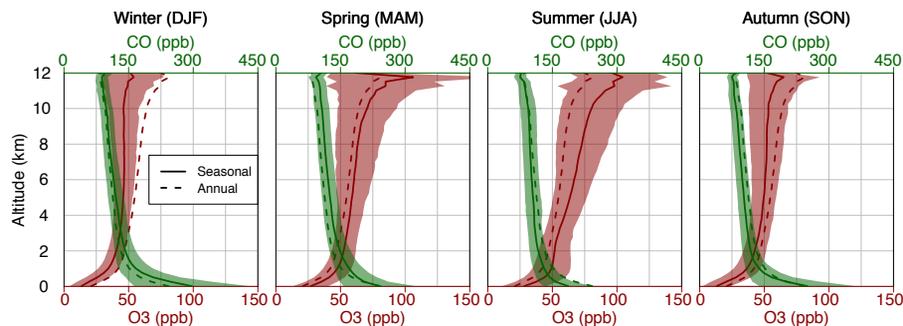


Figure 2. Climatological vertical profiles of ozone and carbon monoxide above Frankfurt per season (continuous lines). Standard deviation is also indicated (filled contour), as well as the overall climatological profile considering all seasons (dotted line).

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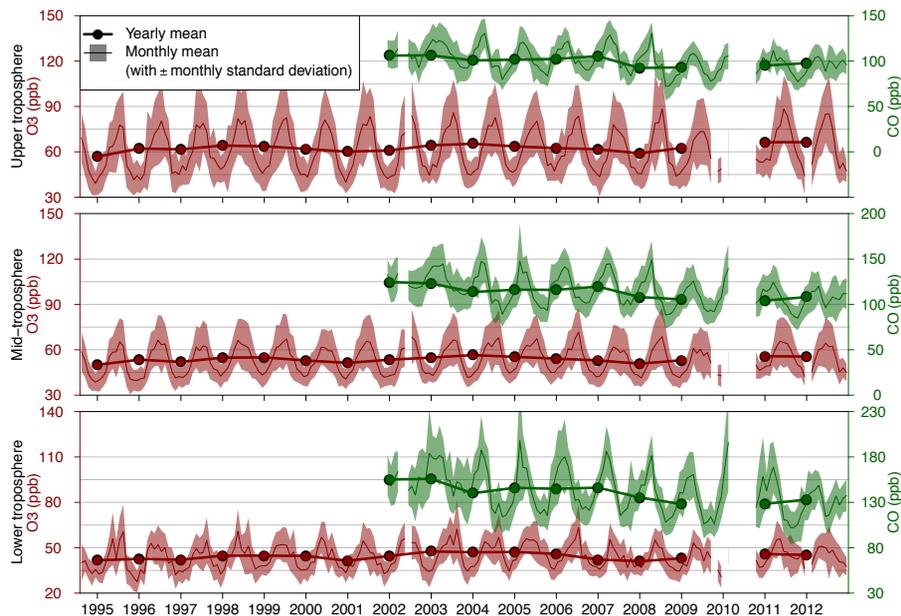


Figure 4. Monthly and yearly mean O₃ and CO concentrations of combined Frankfurt and Munich vertical profiles, in the lower (bottom panel), mid- (middle panel) and upper troposphere (top panel) between 1994 and 2012.

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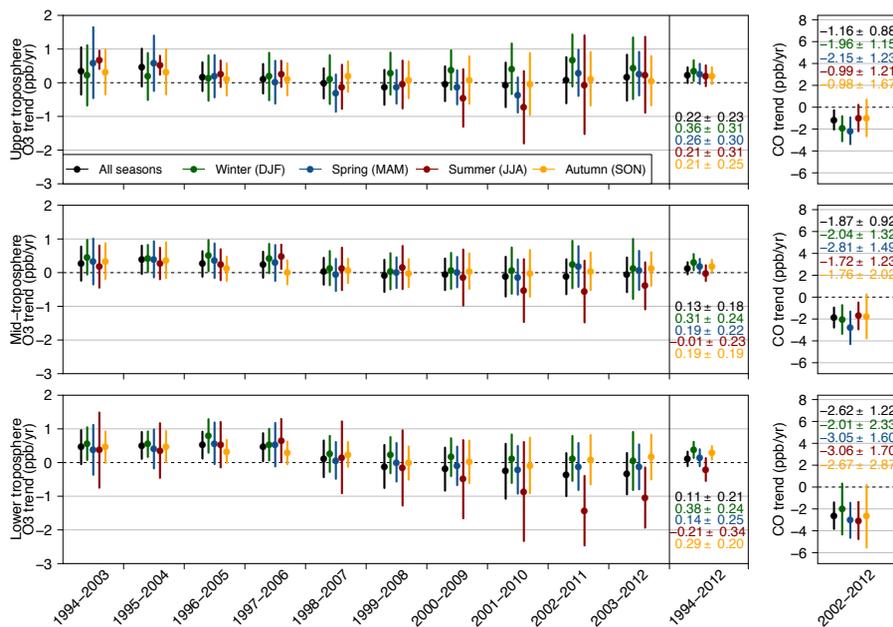


Figure 5. Annual and seasonal ozone (left panel) and CO (right panel) linear trends on moving 10-years periods (for ozone only) and along the whole period. Uncertainties (vertical bars) are indicated at the 95 % confidence interval. Trends and uncertainties over the 1994–2012 period are also indicated.

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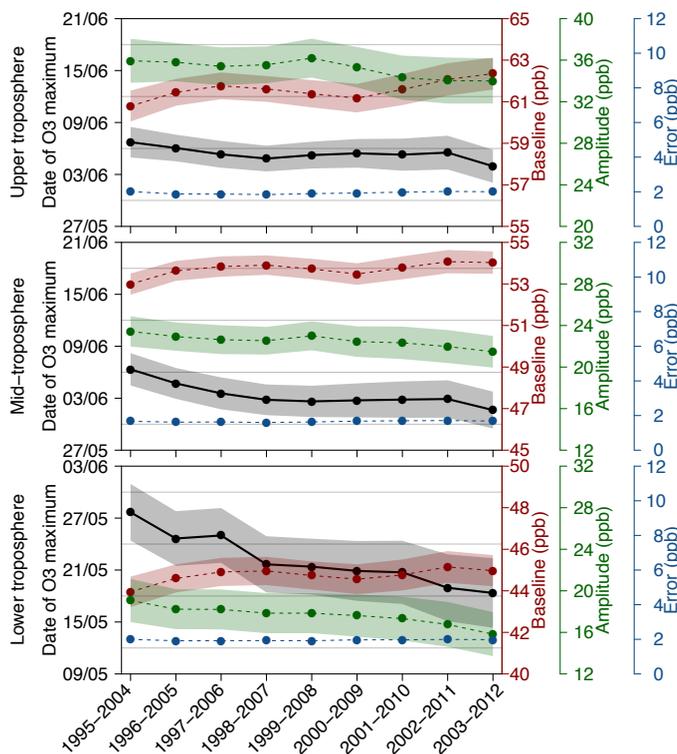


Figure 6. Date of O₃ maximum (in black), baseline (in red) and amplitude (in green) of the ozone seasonal cycle, and error (in blue) on moving periods of 10 years. For the three first parameters, 95 % confidence intervals are indicated. Layers: upper troposphere (top), mid-troposphere (middle), lower troposphere (bottom). Note that the same scale (but different offsets) is used for both the baseline and the amplitude in all sublayers, so that trends and confidence intervals are thus directly comparable.

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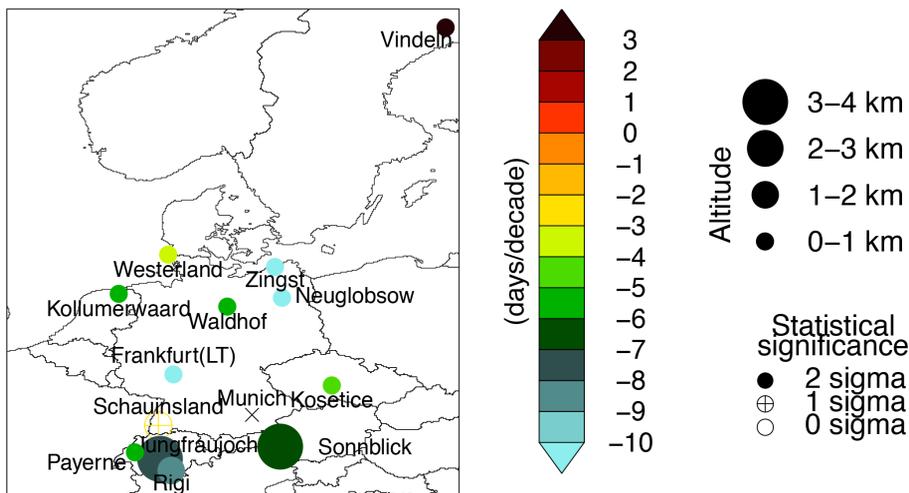


Figure 7. Phase shift trends over the 1994–2012 period at GAW monitoring sites (days decade^{-1}). Calculations are performed using the same procedure than for MOZAIC-IAGOS vertical profiles. Indications on the stations altitude and the statistical significance of trends are also given.

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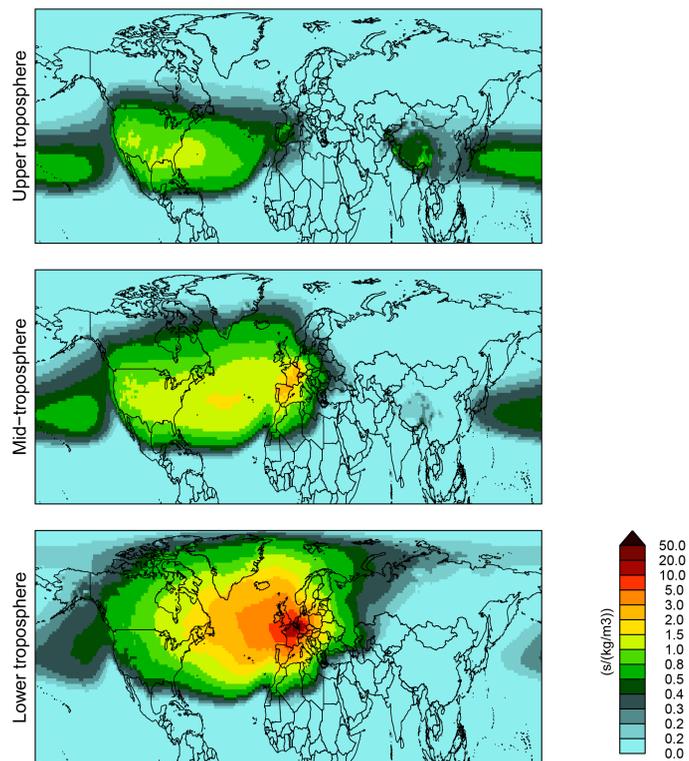


Figure 8. Average residence time (in the first kilometer) of air masses sampled in the three tropospheric sublayers around Frankfurt between 1994 and 2012. Note the irregular scale.

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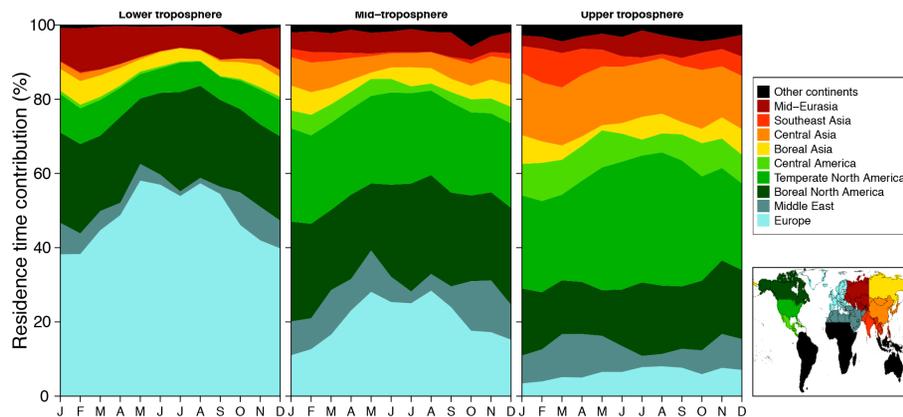


Figure 9. Averaged monthly profile of regional contributions to residence times (in the first kilometer) of air masses sampled in lower, mid- and upper troposphere over the 1994–2012 period.

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