

1 Dear editor, thanks very much for your comments. We would like to give our  
2 reply as following:

3  
4 Major concern: Authors are now attributing PM changes to “PM deposition on  
5 the wall” of the sampling tube (page 15 middle). This is basically an admission that  
6 the PM was incorrectly measured and the emission factor is low. If transmission  
7 efficiency is poor enough to affect relative emission factors, then the results cannot be  
8 used. Transmission efficiency should be measured or characterized in the sampling  
9 system.

10 Reply: Thanks very much for your comment. We have reconsidered the question  
11 carefully and revised the manuscript as following:

12 “HH had much higher PM emission factors than the other two vessels, the engine  
13 type was considered to be the most significant influence factor, which had a good  
14 agreement with NO<sub>x</sub> emission factors that effected significantly by the combustion  
15 temperature.”

16 We are sorry that we didn’t measure the sampling efficiency of PM during the  
17 sampling periods, and just inferred that PM deposition on the wall might be an  
18 influence factor for the lower PM emission factors. Here, in order to test our PM  
19 sampling efficiency, we compared the ratios of sulfur in PM that exists in the form of  
20 sulfate to the sulfur in the fuel in other studies (we assumed that most of sulfur in the  
21 fuel was oxidized to SO<sub>2</sub>, and a relatively stable part of sulfur in the fuel was oxidized  
22 to SO<sub>3</sub> and then transferred to sulfate in the PM, which could reflect the PM sampling  
23 efficiency indirectly). The results showed that about 0.06% to 4.77% of sulfur in the  
24 fuel could transfer to sulfate in the PM in previous studies, compared with 0.05% to  
25 4.2% in our study (shown in the following table 1). The ratios in our study had  
26 comparable values with the similar power engines in other studies (We could see that  
27 large power engine always had lower transfer ratio of sulfur to sulfate in PM, and had  
28 no relevance of the sulfur content in fuel.). Therefore, the PM deposition on the wall  
29 was not significant in our study, and the PM sampling efficiency could be considered  
30 reliable.

1 The reason for the significant differences of PM EFs among the test vessels in  
 2 our study was inferred as the difference of engine type, which also suggested that  
 3 more offshore vessels need to be measured to get more reliable emission data from  
 4 ships in China.

5 Table 1 Ratios of sulfur in PM that exists in form of sulfate to sulfur in the fuel

	Ratio (%)	Sulfur content in fuel (%m)	Engine power (kW)
HH	0.45-4.2	0.0798	350
DFH	0.05-0.69	0.0458	1600
XYH	0.6-4.0	0.130	600
(Agrawal et al., 2008)	0.06-0.11	2.05	50270
(Moldanova et al., 2013)	0.33-0.67	0.1-1.0	4440
(Petzold et al., 2010)	1.24-4.77	2.32	400

6

7 Major concern: Reviewer and editor requested more context, in comparison with  
 8 other studies. Authors added text on page 18, as well as Table S7. Authors also  
 9 provided additional entries in Table 4. However, I think that authors have  
 10 misunderstood the request for context. It is less interesting to compare ships with  
 11 other types of diesel engines and I think the Table S7 and the text describing it are not  
 12 necessary. The issue of interest is how emissions vary for different types of **ship**  
 13 engines. The table 4 in the MS is getting to this point. It could include the type of fuel,  
 14 sulfur content (if known) and engine size for each study. One could then compare how  
 15 the Chinese ships are different for similar engine types and situations. Or, if similar  
 16 engine types were not measured in other studies, this table would then show how the  
 17 current work fills gaps in the measurement database.

18 Reply: Thanks very much for your comment. Table S7 and the added text on  
 19 page 18 have been deleted. Fuel type and sulfur content in the fuel and more detailed  
 20 EFs in previous studies have been added in Table 4. Besides, new context about the  
 21 influence of fuel on EFs in Table 4 has been added in revised manuscript as following:

1 “It can be seen from Table 4 that most previous studies were focusing on heavy  
2 fuel oil of shipping emissions. Compared with diesel fuels, it always had relatively  
3 low CO emission factors and high PM emission factors from heavy fuel oil. However,  
4 the marine diesel consumption accounts for a large part of the total marine  
5 consumption in China (40% of the domestic marine fuel consumption and 25% of the  
6 total marine fuel consumption, seen in Table S2). This study could enrich the  
7 measurement database of diesel marine vessels, especially in China. Besides, among  
8 the test vessels in this study, emission factors for CO, NO<sub>x</sub> and PM had large  
9 differences (seen in Table 4), which suggested that engine type also had significant  
10 influence on shipping emissions. Therefore, much more measurement data for  
11 different vessels in China are still in urgent need.”

12 Grammatical comments: “Composition” and “matter” are used in English as  
13 singular, not plural. That is, one always says “composition” and never “compositions.”  
14 Please search your document for this usage.

15 Reply: Thank you very much for pointing out the wrong usage of “composition”  
16 and “matter”. We have revised all the improper words in the manuscript.

17 Page 6: Authors have addressed the opinion of Reviewer 2 to provide more  
18 discussion about regulations. However, English is poor in the added text. I have  
19 provided an edit below.

20 Reply: Thank you very much for pointing out the grammar mistakes and  
21 improper usage of some English words on page 6. We have revised all of them and the  
22 revised manuscript is showing as following:

23 “But because of the serious air pollution these years in China, emission limits for  
24 the main sources such as vehicle exhaust, coal combustion, biomass combustion and  
25 fugitive dust have become more and more stringent. A draft aimed to limit the  
26 emissions from marine engines set by Ministry of Environmental Protection is on  
27 soliciting opinions. It has set the limits of CO, HC, NO<sub>x</sub> and PM for different kinds of  
28 vessels, which are mainly based on the Directive 97/68/EC set by EU and 40 CFR  
29 part 1042 set by EPA. In addition, an implementation plan has been released by the  
30 Ministry of Transport of the People’s Republic of China in December 2015 aiming to

1 set shipping emission control areas to reduce SO<sub>2</sub> emissions in China (Ministry of  
2 Transport of the People's Republic of China, 2015). All the regulations were set  
3 mostly based on other directives and regulations. Detailed measurement data will  
4 assist with further policy making more appropriate to current situations of vessels.”

5 Page 8 Line 16: “account” should be “accounting”

6 Reply: Thank you very much for pointing out the wrong grammar, “account” has  
7 been revised as “accounting” in the manuscript.

8 Page 15 line 30: Non-dilution sampling: You do not know that this is the main  
9 reason for lower OC to EC. You can only say that non-dilution sampling would give  
10 lower estimates of OC to EC. I suggest this statement should be revised.

11 Reply: Thank you very much for your comment. The sentence has been revised  
12 in the manuscript as following:

13 “The usage of non-dilution sampling in this study was one possible reason for  
14 the lower OC to EC ratio.”

15

16 Agrawal, H., Malloy, Q. G. J., Welch, W. A., Miller, J. W., and Cocker, D. R.:  
17 In-use gaseous and particulate matter emissions from a modern ocean going container  
18 vessel, *Atmos. Environ.*, 42, 5504-5510, 10.1016/j.atmosenv.2008.02.053, 2008.

19 Moldanova, J., Fridell, E., Winnes, H., Holmin-Fridell, S., Boman, J., Jedynska,  
20 A., Tishkova, V., Demirdjian, B., Joulie, S., Bladt, H., Ivleva, N. P., and Niessner, R.:  
21 Physical and chemical characterisation of PM emissions from two ships operating in  
22 European Emission Control Areas, *Atmospheric Measurement Techniques*, 6,  
23 3577-3596, 10.5194/amt-6-3577-2013, 2013.

24 Petzold, A., Weingartner, E., Hasselbach, I., Lauer, P., Kurok, C., and Fleischer,  
25 F.: Physical Properties, Chemical Composition, and Cloud Forming Potential of  
26 Particulate Emissions from a Marine Diesel Engine at Various Load Conditions,  
27 *Environmental Science & Technology*, 44, 3800-3805, 10.1021/es903681z, 2010.

28

1 **Emissions factors for gaseous and particulate pollutants**  
2 **from offshore diesel engine vessels in China**

3

4 **Fan Zhang<sup>1,2, 4</sup>, Yingjun Chen<sup>1,2</sup>, Chongguo Tian<sup>2</sup>, Diming Lou<sup>3</sup>, Jun Li<sup>5</sup>, Gan**  
5 **Zhang<sup>5</sup>, Volker Matthias<sup>6</sup>**

6 [1] { Key Laboratory of Cities' Mitigation and Adaptation to Climate Change in Shanghai (China  
7 Meteorological Administration), College of Environmental Science and Engineering, Tongji  
8 University, Shanghai 200092, PR China }

9 [2] {Key Laboratory of Coastal Environmental Processes and Ecological Remediation, Yantai  
10 Institute of Coastal Zone Research, Chinese Academy of Sciences (CAS); Shandong Provincial  
11 Key Laboratory of Coastal Environmental Processes, YICCAS, Yantai, Shandong 264003, PR  
12 China }

13 [3] {School of Automobile Studies, Tongji University, Shanghai 201804, PR China }

14 [4] {University of Chinese Academy of Sciences, Beijing 100049, PR China }

15 [5] {State key Laboratory of Organic Geochemistry, Guangzhou Institute of Geochemistry,  
16 Chinese Academy of Sciences, Guangzhou Guangdong 510640, PR China }

17 [6] {Helmholtz-Zentrum Geesthacht, Institute of Coastal Research, Max-Planck-Stra ße 1, 21502  
18 Geesthacht, Germany }

19

20 Correspondence to: Yingjun Chen (yjchentj@tongji.edu.cn)

21 Chongguo Tian (cgtian@yic.ac.cn)

22

23 **Abstract.**

24 Shipping emissions have significant influence on atmospheric environment as well as  
25 human health, especially in coastal areas and the harbor districts. However, the  
26 contribution of shipping emissions on the environment in China still need to be  
27 clarified especially based on measurement data, with the large number ownership of  
28 vessels and the rapid developments of ports, international trade and shipbuilding

1 industry. Pollutants in the gaseous phase (carbon monoxide, sulfur dioxide, nitrogen  
2 oxides, total volatile organic compounds) and particle phase (particulate matter,  
3 organic carbon, elemental carbon, sulfates, nitrate, ammonia, metals) in the exhaust  
4 from three different diesel engine power offshore vessels in China were measured in  
5 this study. Concentrations, fuel-based and power-based emissions factors for various  
6 operating modes as well as the impact of engine speed on emissions were determined.  
7 Observed concentrations and emissions factors for carbon monoxide, nitrogen oxides,  
8 total volatile organic compounds, and particulate matter were higher for the low  
9 engine power vessel (HH) than for the two higher engine power vessels (XYH and  
10 DFH). Fuel-based average emissions factors for all pollutants except sulfur dioxide in  
11 the low engine power engineering vessel were significantly higher than that of the  
12 previous studies, while for the two higher engine power vessels, the fuel-based  
13 average emissions factors for all pollutants were comparable to the results of the  
14 previous studies, engine type was one of the most important influence factors for the  
15 differences. Fuel-based average emission factors for nitrogen oxides for the small  
16 engine power vessel was more than twice the International Maritime Organization  
17 standard, while those for the other two vessels were below the standard. Emissions  
18 factors for all three vessels were significantly different during different operating  
19 modes. Organic carbon and elemental carbon were the main components of  
20 particulate matter, while water-soluble ions and elements were present in trace  
21 amounts. Best-fit engine speeds during actual operation should be based on both  
22 emissions factors and economic costs.

23

## 24 **1 Introduction**

25 Gaseous and particulate pollutants emitted from vessels operating in the open ocean  
26 as well as in coastal areas and inland waterways have significant adverse impacts on  
27 human health, air quality, and climate change (Cappa et al., 2014;Righi et al.,  
28 2011;Marmer and Langmann, 2005;Winebrake et al., 2009). It has been estimated that  
29 87,000 premature deaths occurred in 2012 due to burning of marine fuels with high

1 sulfur content. Shipping-related particulate matter (PM) emissions have been reported  
2 to be responsible for approximately 60,000 cardiopulmonary and lung cancer deaths  
3 annually, with most cases occurring near coastlines in Europe (Viana et al., 2014),  
4 East Asia, and South Asia (Corbett et al., 2007). Approximately 9,200 and 5,200 t yr<sup>-1</sup>  
5 of PM are emitted from oceangoing and coastal ships, respectively, in the  
6 USA(Corbett, 2000), with most of which are fine or even ultrafine aerosols (Viana et  
7 al., 2009;Saxe and Larsen, 2004). Globally, about 15% of nitrogen oxides (NO<sub>x</sub>) and  
8 5–8% of sulfur oxides (SO<sub>x</sub>) emissions are attributable to oceangoing ships (Corbett,  
9 2000). Shipping emissions affect acid deposition and ozone concentrations,  
10 contributing more than 200 mg S m<sup>-2</sup> yr<sup>-1</sup> over the southwestern British Isles and  
11 Brittany as well as additional 6 ppb surface ozone during the summer over Ireland  
12 (Derwent et al., 2005). Moreover, aerosol emissions from international shipping also  
13 greatly impact the Earth's radiation budget, directly by scattering and absorbing solar  
14 radiation and indirectly by altering cloud properties (Righi et al., 2011). Besides,  
15 according to estimates from IMO (2014), total shipping emissions were  
16 approximately 938 million tonnes CO<sub>2</sub> and 961 million tonnes CO<sub>2</sub>e for GHGs  
17 combining CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O for the year 2012. International shipping emission  
18 accounts for approximately 2.2% and 2.1% of global CO<sub>2</sub> and GHG emissions on a  
19 CO<sub>2</sub> equivalent (CO<sub>2</sub>e) basis, respectively. Because nearly 70% of ship emissions are  
20 estimated to occur within 400 km of land (Endresen, 2003), ships have the potential to  
21 contribute significantly to air quality degradation in coastal areas. In addition, ports  
22 are always the most concentrated areas for ships to berth at, emission reduction  
23 measures such as switching heavy fuels to cleaner fuels are required when ships are  
24 close to ports or offshore areas, but not all of them can obey the regulations (De  
25 Meyer et al., 2008), which result in significant influence on atmospheric environment  
26 of port cities and regions.

27 Rapid developments of ports, international trade, and the shipbuilding industry in  
28 China have negatively affected the ambient air quality of the coastal zone due to  
29 shipping emissions. It was estimated that 8.4% of SO<sub>2</sub> and 11.3% of NO<sub>x</sub> were  
30 emitted from ships in China in 2013 with port cities were the worst effect areas

1 ([http://news.xinhuanet.com/politics/2015-06/08/c\\_127890195.htm](http://news.xinhuanet.com/politics/2015-06/08/c_127890195.htm)). In 2013, there  
2 were 0.18 million water transport vessels (Ministry of Transportation, 2013) active in  
3 Chinese waters, 8 ports in China were listed among the world's largest 10 ports, and  
4 11 container ports were listed among the world's largest 20 container ports. The  
5 number of ports with cargo handling capacity of more than 200 million t yr<sup>-1</sup> grew to  
6 16 (Ministry of Transportation, 2010). Rapid development of ports in China has  
7 resulted in increasingly serious pollution of ambient air, particularly in coastal zones  
8 and near ports. Only a few studies have focused on pollution from shipping emissions  
9 in China. Rough estimates of the influence of shipping emissions on ambient air in the  
10 port of Shanghai, the largest port in China (Zhao et al., 2013), and in the Bohai Rim  
11 (Zhang et al., 2014) that have been generated using empirical formulas. One case  
12 study of real-world emissions of inland vessels on the Grand Canal of China has been  
13 conducted (Fu et al., 2013). Other studies also have developed to the inventories in  
14 large ports or delta regions (Zheng et al., 2011;Zheng et al., 2009) by using EFs  
15 obtained from other countries or areas. However, there are no systematic studies of  
16 vessel emissions in the coastal zone or in ports, nor accurate estimates of shipping  
17 emissions to ambient air based on measured emission factors (EFs). Conditions in  
18 China differ substantially from those in other countries, such as in vessel types (more  
19 small motor vessels and the type composition of offshore vessels is shown in Table  
20 S1), different fuel standards compared with other countries (fuel meeting the GB/T  
21 17411-2012 standard with sulfur contents of less than 3.5% m/m; however, the ISO  
22 8217-2010 international standard has the maximum sulfur content according to the  
23 relevant statutory requirements that always have lower values, such as less than 0.1%  
24 in emission control areas), age of vessels (Chinese commercial vessels have an  
25 average age of 19.2 yr compared with 8.0 yr and 8.9 yr for Japan and Germany,  
26 respectively). Thus, experimentally determined EFs for vessels in other countries  
27 cannot be used directly to estimate shipping emissions and their contribution to  
28 ambient air quality in China. Systematical measurement EFs for different kinds of  
29 vessels in China is essential.

30 Numerous studies of shipping emissions based on experimental measurements have



1 been conducted since the International Maritime Organization (IMO) first began to  
2 address air pollution from vessels in 1996, particularly in developed countries. Most  
3 of these studies have been carried out by performing tests on-board from the exhaust  
4 pipe (Agrawal et al., 2008;Murphy et al., 2009;Fridell et al., 2008;Juwono et al.,  
5 2013;Moldanova et al., 2013) or by taking measurements within the exhaust plumes  
6 (Sinha et al., 2003;Chen et al., 2005;Lack et al., 2009;Murphy et al., 2009;Berg et al.,  
7 2012;Pirjola et al., 2014;Petzold et al., 2008). NO<sub>x</sub>, carbon monoxide (CO), sulfur  
8 dioxide (SO<sub>2</sub>), and PM are the main constituents of shipping emissions (Moldanova et  
9 al., 2009;Williams et al., 2009;Agrawal et al., 2008;Poplawski et al., 2011;Endresen,  
10 2003) that have been quantified. In addition, black carbon (BC) (Lack and Corbett,  
11 2012;Sinha et al., 2003;Moldanova et al., 2009;Corbett et al., 2010) and cloud  
12 condensation nuclei (CCN) (Sinha et al., 2003;Lack et al., 2011) also have been  
13 reported in some studies. Reported emissions factors for CO, SO<sub>2</sub>, NO<sub>x</sub>, PM, and BC  
14 are in the range of 0.5–16, 2.9–44, 22–109, 0.3–7.6, and 0.13–0.18 g kg<sup>-1</sup> fuel,  
15 respectively, and 0.2–6.2×10<sup>16</sup> particles kg<sup>-1</sup> fuel for CCN. Besides, characteristics of  
16 gaseous species and PM have attracted more attention recently (Anderson et al.,  
17 2015;Celo et al., 2015;Mueller et al., 2015;Reda et al., 2015).

18 The IMO has set the emission limits for NO<sub>x</sub> and SO<sub>x</sub> in the revised MARPOL  
19 (Maritime Agreement Regarding Oil Pollution) Annex VI rules (IMO, 1998). Ships  
20 operating in the emission control areas (ECAs) (the Baltic Sea, the North Sea, the  
21 North America and the Caribbean of US) should use fuels with sulfur less than 0.1%  
22 m/m since January 2015. Even more stringent limits have been laid down in some  
23 national or regional regulations. For example, in some EU ports, seagoing ships at  
24 berth are required to switch into fuels of under 0.1 % m/m sulfur since 2010 (The  
25 Council of the European Union, 1999); both marine gas oil and marine diesel oil used  
26 in water area within 24 nautical miles of coastline in California should have sulfur  
27 content less than 0.1 % m/m since 2014 (California Code of Regulation Titles 13 and  
28 17). Emission standard of Tier II for NO<sub>x</sub> set by MARPOL VI has been executed since  
29 January 2011 in ECAs, and more stringent rules of Tier III will be executed from  
30 January 2016. However, in China, no specific policy or limit for shipping emissions

1 has been implemented except in Hong Kong, which is making legislation about the  
2 limit of 0.5% sulfur content fuel used when berth in the port from 2015. But because  
3 of the serious air pollution these years in China, emission limits for the main sources  
4 such as vehicle exhaust, coal combustion, biomass combustion and ~~raise-fugitive~~ dust  
5 have becomeing more and more stringent. A draft aimed to limit the emissions from  
6 marine engines set by Ministry of Environmental Protection, ~~which is named Limits~~  
7 ~~and measurement methods for exhaust pollutants from marine compression ignition~~  
8 ~~engines (CHINA I, II)~~, is on soliciting opinions. It has set the limits of CO, HC, NO<sub>x</sub>  
9 and PM for different kinds of vessels, which are mainly based on the Directive  
10 97/68/EC set by EU and 40 CFR part 1042 set by EPA. ~~Besides~~In addition, an  
11 implementation plan has been released by the Ministry of Transport of the People's  
12 Republic of China in December 2015 aiming to set shipping emission control areas to  
13 reduce SO<sub>2</sub> emissions in China (Ministry of Transport of the People's Republic of  
14 China, 2015). All the regulations were set mostly based on other directives and  
15 regulations. ~~And therefor, d~~Detailed measurement data in China are in urgent need for  
16 ~~the will assist with~~ further policy making ~~that~~ more fit-appropriate to current situations  
17 of vessels.

18 Average EFs are often used for shipping emissions inventories on large scales or in  
19 regional areas (Tzannatos, 2010; Eyring V., 2005). However, to evaluate the effects of  
20 shipping emissions on air pollution in local areas such as near ports, various ship  
21 speeds and operating modes should be considered, including docking, berthing, and  
22 departing from ports etc. Previous studies have confirmed that EFs are significantly  
23 different under various load conditions (Petzold et al., 2010) or in different operating  
24 modes (Fu et al., 2013; Winnes and Fridell, 2010) for individual vessels. Therefore,  
25 more detailed measurements of EFs in different operating modes are necessary to  
26 better estimate the impacts of shipping emissions on the environment.

27 In this study, experimental data for three different diesel engine power vessels were  
28 collected. All pollutants were measured directly in the stack. Gaseous emissions and  
29 PM from the diesel engines were the main targets, including CO, carbon dioxide  
30 (CO<sub>2</sub>), SO<sub>2</sub>, NO<sub>x</sub>, total volatile organic compounds (TVOCs), and total suspended

1 particulates (TSP). Fuel-based EFs for the three vessels were calculated using the  
2 carbon balance method under different operating conditions. In addition, fuel-based  
3 average EFs as well as power-based average EFs to values reported in other studies  
4 and for other vessels were compared. Finally, the impacts of engine speed on the EFs  
5 of NO<sub>x</sub> were evaluated.

6

## 7 **2 Experimental**

### 8 **2.1 Test Vessels and Fuel Types**

9 Initially, it was hoped that the choice of measurement ships would reflect the shipping  
10 fleet in general, i.e. in terms of engine type (engine speed and power output), fuel  
11 used, engine age and mode of operation, with more than 10 vessels planned to test.  
12 However, consideration was given to the practicalities involved with the  
13 measurements, i.e. installation of sampling systems, external conditions, etc. Besides,  
14 time and economic constraints weighed heavily and only several shipowners willing  
15 to participate in the project. Thus, the chosen vessels of different engine powers with  
16 diesel used represent a compromise.

17 Three different diesel engine power offshore vessels, including one engineering vessel,  
18 Haohai 0007 (HH), with low power and high speed engine, one large research vessel,  
19 Dongfanghong 2 (DFH), with high power and medium speed engine, and another  
20 research vessel, Xiangyanghong 08 (XYH), with medium power and medium speed  
21 engine were selected for this study, whose technical parameters are shown in Table 1.  
22 High speed and medium speed engines are the predominant engines used in vessels of  
23 offshore and inland rivers in China, which always take light diesel as fuel.  
24 Engineering vessels are designed for construction activities such as building docks in  
25 port areas or waterways, dredging, etc. They are common vessels in coastal areas of  
26 China because of the heavy demand for oilfield construction and port expansion. The  
27 maintenance of engineering vessels is typically poorer than for other types of vessels  
28 and as a result, they may have relatively high emissions. On the other hand, research

1 vessels of DFH and XYH from universities and research institutes are generally well  
2 maintained and use high-quality diesel fuel but with different engine powers, which  
3 might have relatively low emission factors for pollutions. Therefore, these research  
4 vessels can reflect the impact of engine power on emissions and also can represent the  
5 lower end of expected EFs for Chinese vessels. In all, a general range of EFs for  
6 gaseous and PM pollutants emitted from different offshore vessels of China and their  
7 influence factors could be given through the on-board measurement.

8 The fuels used in all test vessels were common diesel fuels obtained from fueling  
9 stations near the ports. According to statistical data, the total oil consumption of  
10 vessels in China was 20.99 million tons in 2011, including 10.99 million tons bonded  
11 oil and 5.93 million tons domestic trade oil, with light fuel oil accounting for 40% of  
12 the domestic trade oil and 25% of the total consumption (shown in Table S2). (Zhu,  
13 2013) Results of fuel analyses are presented in Table 2. All of these fuels had  
14 relatively low sulfur contents ( $\leq 0.13\%$ ) and low metals concentrations (V, Al, Si, Pb,  
15 Zn, Mn, etc.).

## 16 **2.2 Test Operating Modes**

17 EFs are significantly different under differing load conditions and operating modes.  
18 Vessel speed is also an important influence factor for emissions which has reported by  
19 Starcrest Consulting Group, LLC (Starcrest Consulting Group, 2012) that 15-20% of  
20 fuel consumption could be reduced by reduce 10% of the vessel speed. In this study,  
21 vessel operating modes were classified according to actual sailing conditions. There  
22 were six modes of HH: low speed (4 knots), medium speed (8 knots), high speed (11  
23 knots), acceleration process, moderating process and idling, four modes of DFH:  
24 cruise (10 knots, medium speed for DFH), acceleration process, moderating process  
25 and idling, and five modes of XYH: low speed (3 knots), high speed (10 knots),  
26 acceleration process, moderating process and idling. Three to five sets of replicate  
27 samples were collected for each operating mode.

### 2.3 Emissions Measurement System and Chemical Analysis of Particulate Matter.

A combined on-board emissions test system (Fig. 1) was used to measure emissions from the coastal vessels under actual operating conditions. There was no dilution in this test system with all the species measured directly from the exhaust and there were four main components of the system: a flue gas analyzer, three particulate samplers, an eight-stage particulate sampler, and a TVOCs analyzer. (see Supporting Information for more details). All analytes are also shown in Fig. 1: The flue gas analyzer (Photon II) is aimed to test instantaneous emissions of gaseous pollutants, including O<sub>2</sub>, NO<sub>2</sub>, NO, N<sub>2</sub>O, CO, CO<sub>2</sub> and SO<sub>2</sub> (Detection parameters for the gaseous ~~matters~~matter are shown in Table S3). Three particulate samplers are installed to collect PM using different filters at the same time, including quartz fiber filter, glass filter and polytetrafluoroethylene filter to analyze different chemical components of PM. And the portable TVOCs Analyzer is used to monitor the concentration of total VOCs with isobutylene as correction coefficient gas. Besides, a temperature sensor is installed near the smoke outlet to test the flue gas temperature. A total of 33 sets of samples for HH, 20 sets for DFH and 23 sets for XYH were collected, with 3 to 5 sets for each operating mode.

The OC and EC were measured on a 0.544 cm<sup>2</sup> quartz filter punched from each filter by thermal optical reflectance (TOR) following the IMPROVE protocol with a DRI Model 2001 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA). The measuring range of TOR was from 0.05 to 750 µg C cm<sup>-2</sup> with an error of less than 10%. Concentrations of water soluble ions in PM<sub>2.5</sub>, such as Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup>, were determined by Ion Chromatography (Dionex ICS3000, Dionex Ltd. America) based on the measurement method of Shahsavani et al. (Shahsavani et al., 2012). The detection limit was 10 ng ml<sup>-1</sup> with an error of less than 5%, and 1ml RbBr with concentration of 200 ppm was put in the solution as internal standard before sampling. The concentrations of 33 inorganic elements in PM<sub>2.5</sub> were estimated using Inductively Coupled Plasma coupled with Mass Spectrometer

1 (ICP-MS of ELAN DRC II type, PerkinElmer Ltd. Hong Kong) following the  
 2 standard method (Wang et al., 2006). The resolution of ICP-MS ranged from 0.3 to  
 3 3.0 amu with a detection limit lower than 0.01 ng ml<sup>-1</sup>, and the error was less than 5%.

#### 4 **2.4 Data Analysis**

5 Carbon balance formula was used to calculate the EFs for all exhaust gas components.  
 6 It was assumed that all carbon in the fuel was emitted as carbon-containing gases (CO,  
 7 CO<sub>2</sub>, and TVOC) and carbon-containing particulate matter. So there was a certain  
 8 equilibrium relationship between the carbon in the fuel and in the exhaust:

$$9 \quad C_F = R_{FG} \times (c(C_{CO}) + c(C_{CO_2}) + c(C_{PM}) + c(C_{TVOC})) \quad (1)$$

10 where  $C_F$  represents the mass of C in per kg diesel fuel (g C kg<sup>-1</sup> fuel);  $R_{FG}$  represents  
 11 the flue gas emissions rate (m<sup>3</sup> kg<sup>-1</sup> fuel); and  $c(C_{CO})$ ,  $c(C_{CO_2})$ ,  $c(C_{PM})$ , and  
 12  $c(C_{TVOC})$  represent the mass concentrations of carbon as CO, CO<sub>2</sub>, PM, and TVOC  
 13 (g C m<sup>-3</sup>) in the flue gas, respectively.

14 The EF for CO<sub>2</sub> was calculated as follows:

$$15 \quad EF_{CO_2} = R_{FG} \cdot c(CO_2) \cdot M_{CO_2} \quad (2)$$

16 where  $EF_{CO_2}$  is the EF for CO<sub>2</sub> (g kg<sup>-1</sup> fuel),  $c(CO_2)$  is the molar concentration of  
 17 CO<sub>2</sub> (mol m<sup>-3</sup>), and  $M_{CO_2}$  is the molecular weight of CO<sub>2</sub> (44 g mol<sup>-1</sup>).

18 The remaining EFs were calculated as follows:

$$19 \quad EF_X = \frac{\Delta X}{\Delta CO_2} \cdot \frac{M_X}{M_{CO_2}} \cdot EF_{CO_2} \quad (3)$$

20 where  $EF_X$  is the EF for species X (g kg<sup>-1</sup> fuel),  $\Delta X$  and  $\Delta CO_2$  represent the  
 21 concentrations of X and CO<sub>2</sub> with the background concentrations subtracted (mol m<sup>-3</sup>),  
 22 and  $M_X$  represents the molecular weight of species X (g mol<sup>-1</sup>).

23 In addition, average EFs for each vessel were calculated based on actual operating  
 24 conditions, as follows:

$$25 \quad EF_{X,A} = \sum_{X,i} EF_i \times P_i \quad (4)$$

26 where  $EF_{X,A}$  is the average EF for species X,  $EF_i$  is the EF for operating mode  $i$  for  
 27 species X, and  $P_i$  is the percentage of time spent in operating mode  $i$  during the  
 28 shipping cycle.

1 Power-based emission factors and fuel-based emission factors could be interconverted  
2 with the formula as following:

$$3 \quad EF_{X,P} = EF_X \cdot FCR \quad (5)$$

4 where  $EF_{X,P}$  is the power-based emission factor for species X ( $\text{g kW h}^{-1}$ ), FCR is fuel  
5 consumption rate for each vessel ( $\text{kg fuel (kW h)}^{-1}$ ).

### 6 **3 Results and discussion**

#### 7 **3.1 Concentrations in Shipping Emissions**

8 Concentrations of CO, NO<sub>x</sub>, SO<sub>2</sub>, TVOC, and PM from the three vessels are shown in  
9 Fig. S1. Nearly all of the concentrations measured in the exhaust of low engine power  
10 vessel HH were higher than those of the two higher engine power vessels.  
11 Concentrations of CO, SO<sub>2</sub>, and NO<sub>x</sub> from HH were 10.7–756, 5.34–33.1, and 87.8–  
12 1295 ppm, respectively, and 14.3–59.5 mg m<sup>-3</sup>PM. In contrast, concentrations of CO,  
13 SO<sub>2</sub>, NO<sub>x</sub>, and PM were 50.1–141, 5.27–16.9, 169–800 ppm and 7.06–21.8 mg m<sup>-3</sup>,  
14 respectively, for DFH and 36.0–224, 0.49–35.9, and 235–578 ppm and 0.56–6.31 mg  
15 m<sup>-3</sup>, respectively, for XYH.

16 A previous study demonstrated that concentrations of CO primarily depend on engine  
17 power, with higher CO emissions resulting from vessel engines with lower power  
18 (Sinha et al., 2003). There was a similar trend in this study with generally higher  
19 concentrations for HH and lower concentrations for DFH. The CO concentrations in  
20 the present study were similar but slightly lower than those of inland vessels (Fu et al.,  
21 2013), except in the idling mode of HH. In different operating modes, CO  
22 concentrations were significantly different. For example, the maximum value was  
23 observed in idling mode and the minimum value in medium speed mode for HH. All  
24 three ships had the lowest CO concentrations at their economic speeds (medium speed  
25 for HH, cruise mode for DFH, and high speed for XYH), demonstrating that their  
26 engines are optimized for the most common operating mode.

27 More than 80% of the NO<sub>x</sub> was NO in this study, with NO<sub>2</sub> and N<sub>2</sub>O accounting for  
28 <20% in all operating modes (Fig. S1). Again, nearly all of these concentrations were

1 higher in the exhaust gas of HH than in that of the two vessels. In high speed modes,  
2 all of the vessels had high concentrations of NO<sub>x</sub>. NO<sub>x</sub> emissions mainly depend on  
3 the combustion temperature of the engines. More powerful combustion systems  
4 operate at higher temperatures, thereby producing more NO<sub>x</sub> (Corbett, 1999).  
5 However, the NO<sub>x</sub> emissions were much lower than for the inland vessels studied by  
6 Fu et al. (Fu et al., 2013), particularly in cruise mode (NO<sub>x</sub> concentrations of ~1,000  
7 ppm).

8 SO<sub>2</sub> concentrations in the exhaust gas depend on the sulfur content of the fuel and the  
9 flow rate of the flue gas. There were significant differences among the three vessels in  
10 their flow rates, which could account for the different concentrations of one vessel in  
11 different operating modes. But because of the low-sulfur fuels used in these vessels,  
12 the SO<sub>2</sub> concentrations were low compared with those in other studies (Williams et al.,  
13 2009;Berg et al., 2012).

14 Much lower concentrations of PM in the exhaust gas were observed in the present  
15 study compared to those of inland ships in China (Fu et al., 2013). However, they  
16 were similar to those from ships at berth reported by Cooper et al (Cooper, 2003). HH  
17 had higher PM concentrations than the two vessels in the exhaust gas. There were  
18 significant differences among the different operating modes because of changes in the  
19 injection point of the engines (Sippula et al., 2014;Li et al., 2014).

### 20 **3.2 Fuel-based Emissions Factors**

21 Fuel-based EFs for the gaseous species CO<sub>2</sub>, CO, NO, NO<sub>2</sub>, N<sub>2</sub>O, and TVOCs and for  
22 PM based on the carbon balance method were determined. In addition, SO<sub>2</sub> was  
23 calculated based on the sulfur content of the fuels. Fuel-based EFs for the typical  
24 pollutants such as CO, PM and nitrogen oxides in different operating modes are  
25 shown in Fig. 2 (detailed EFs for all the gaseous pollutants are shown in Table S4 and  
26 | detailed EFs for PM and its chemical ~~compositions~~composition are shown in Table  
27 S5).

28 CO<sub>2</sub> emissions from vessels primarily depend on the carbon content of the fuel  
29 (Carlton et al., 1995). Accordingly, the EFs for CO<sub>2</sub> in the present study should



1 theoretically be 3177, 3168, and 3171 g kg<sup>-1</sup> fuel for complete combustion. Under  
2 actual conditions, CO<sub>2</sub> emissions were 2940–3106, 3121–3160, and 3102–3162 g kg<sup>-1</sup>  
3 fuel for HH, DFH and XYH, respectively, which means they had combustion  
4 efficiencies with 92.5–97.8%, 98.5–99.7% and 97.8–99.7% in terms of CO<sub>2</sub> for these  
5 three vessels.

6 CO emissions of HH were much higher than of XYH, followed by DFH. The power  
7 of their respective engines was 350, 600, and 1600 kW. In addition, there were large  
8 differences in CO emissions among different modes. All these three vessels had  
9 relatively high EFs for CO while accelerating compared with other modes, but the  
10 highest EFs were during the idling modes of HH and DFH, and the low-speed mode  
11 of XYH. Because CO emissions in diesel engines primarily depend on the excess air  
12 ratio (which determines the fuel-air mixture), combustion temperature, and uniformity  
13 of the fuel-air mixture in the combustion chamber (D, 2004), ship engines with lower  
14 power generally have higher CO emissions (Carlton et al., 1995). Localized hypoxia  
15 and incomplete combustion in cylinder were the main reasons for CO emission of  
16 diesel engine. CO emissions always had positive relationships with the air-fuel ratio.  
17 There was lower air fuel ratio when in low engine load, which resulted in lower CO  
18 emission, and vice versa (Ni, 1999).

19 Much higher NO<sub>x</sub> EFs were observed for HH than for the other two vessels. These  
20 results were inconsistent with those of Sinha et al. (Sinha et al., 2003), in which  
21 emissions of NO<sub>x</sub> increased with the power of the ship engine. With increasing vessel  
22 speed, NO<sub>x</sub> EFs for HH first increased and then decreased. XYH had lower EFs when  
23 operating at high speed than at low speed. Nitrogen oxides included NO, NO<sub>2</sub>, and  
24 N<sub>2</sub>O in the present study. More than 70% of the NO<sub>x</sub> was in the form of NO for all  
25 vessels, because most of the NO<sub>x</sub> emissions were generated through thermal NO  
26 formation (Haglund, 2008). The primary reasons that slow diesel engines such as the  
27 one in HH have higher NO<sub>x</sub> emissions include higher peak flame temperatures and the  
28 NO formation reactions being closer to their equilibrium state than in other engines  
29 (Haglund, 2008). NO<sub>x</sub> emissions from vessels are temperature-dependent (Sinha et al.,  
30 2003) and also are influenced by the oxygen concentration in the engine cylinder (Ni,

1 1999). In larger engines, the running speed is generally slower and the combustion  
2 process more adiabatic, resulting in higher combustion temperatures and more NO<sub>x</sub>.  
3 Besides, with the increasing of air-fuel ratios, concentration of NO<sub>x</sub> showed a  
4 tendency first to increase, then to decrease, which always had the maximum value in  
5 the operating mode that close to full load of engine because of the high temperature  
6 and oxygen in the engine cylinder (Ni, 1999). Furthermore, there were always higher  
7 EFs values in acceleration process and lower in moderating process in this study.  
8 When the engines were in transient operating conditions, such as acceleration process  
9 or moderating process, concentrations of NO<sub>x</sub> always had corresponding changes in  
10 the cylinder. Studies about diesel engines showed that when the rotational speed had a  
11 sudden increase, there would be a first increasing, then decreasing and last stable  
12 tendency for the NO<sub>x</sub> concentrations, and vice versa (Tan et al., 2012).

13 TVOCs emissions from HH were much higher than from the other two vessels; the  
14 lowest emissions were observed for DFH. Previous studies (Sinha et al., 2003) have  
15 reported that hydrocarbon emissions from vessels depend on engine power, with  
16 low-power engines emitting more hydrocarbons. The present results were partially  
17 consistent with these previous studies. Besides, hydrocarbon emissions also depend  
18 on the percentage utilization of engine power (Sinha et al., 2003). As for various  
19 operating modes, TVOCs EFs had large differences. For example, HH had the highest  
20 TVOCs emissions in accelerating mode, which was almost three times the high of the  
21 lowest value in medium-speed mode. The EFs for SO<sub>2</sub> depended solely on the sulfur  
22 content of the fuels and were 1.6, 0.9, and 2.6 g kg<sup>-1</sup> fuel for HH, DFH, and XYH,  
23 respectively in this study. Hydrocarbon could be generated because of the incomplete  
24 combustion. For example, in diesel cylinders, there always exist air in wall regions  
25 and crevices, as well as when scavenging occurred during the aeration, which could  
26 cause the uneven mixing of air and fuel (Ni, 1999).

27 Fuel-based EFs for PM and its chemical components were shown in Table S5. OC and  
28 EC were the main components of PM, followed by SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, and NO<sub>3</sub><sup>-</sup>. Metals  
29 such as V, Ni, Cr, Fe, As, and Cd made up a proportionately small part of the total PM  
30 mass. However, other rare elements such as Tb, Er, Yb, and Lu had higher values than

1 did some of the common elements. PM was an in-process product during the  
2 combustion in cylinder, whose forming process included the molecular cracking,  
3 decomposition and polymerization results of lack of oxygen. High temperature and  
4 oxygen deficiency were the main reasons for the formation in diesel engines, which  
5 always had high concentration values in high load operating modes (Ni, 1999). HH  
6 had much higher PM emission factors than the other two vessels, the engine type was  
7 considered to be the most significant influence factor, which had a good agreement  
8 with NO<sub>x</sub> emission factors that effected significantly by the combustion temperature.  
9 ~~in addition to the influence of engine type, sampling condition would be another~~  
10 ~~influence factor according to our deduction. Vessel DFH and XYH had much higher~~  
11 ~~exhaust flue gas stack (10m and 6 m) than HH (2.5 m). Because non-dilution system~~  
12 ~~was used during the sampling, flue gas would through the sampling tube (See in~~  
13 ~~Figure S1) at low temperature and also low flow rate, which could cause the PM~~  
14 ~~deposition on the wall of the tube (Kong et al., 2011; Lee et al., 2004) which caused~~  
15 ~~more PM reduction for DFH and XYH.~~

16 EFs for OC and EC and the ratios between them are shown in Fig. 3. EFs for OC and  
17 EC for HH were higher than for the other two vessels. Organic matter (OM) is  
18 generally calculated as  $OC \times 1.2$  (Petzold et al., 2008) to account for the mass of  
19 elements other than carbon in the emitted molecules. OM EFs for individual vessels  
20 mainly depend on the engine type and the amount of unburned fuel, i.e., the efficiency  
21 of combustion. (Moldanova et al., 2013) BC emissions also depend heavily on the  
22 engine type (Lack et al., 2009). Therefore, the different types of engines and their  
23 levels of maintenance could account for the large differences in OC and EC EFs  
24 observed among the three vessels in this study. The ratios of OC to EC in the present  
25 study were much lower than those for large diesel ships reported previously (OC/EC  
26 = 12) (Moldanova et al., 2009) and also lower than that reported for a medium-speed  
27 vessel (Petzold et al., 2010). The usage of non-dilution sampling in this study was ~~the~~  
28 main reason of one possible reason for the lower OC to EC ratio ~~in this study~~. Besides,  
29 TOR was used to measure OC and EC in PM, which always had a lower OC content  
30 compared with other methods (such as TOT) because of the different definitions of

1 | OC and EC (Khan et al., 2012). Compared with other diesel engines, the ratios of OC  
2 | to EC in this study were higher than that of automobile diesel soot, in which EC  
3 | comprises 75–80 wt% of the total PM (Clague et al., 1999), and also higher than  
4 | heavy heavy-duty diesel trucks (HHDDT) with OC to EC ratios below unit for cruise  
5 | and transient modes even though higher in cold-start/idle and creep modes (Shah et al.,  
6 | 2004).

7 | Studies have shown that  $\text{SO}_4^{2-}$  formed from vessel-emitted  $\text{SO}_2$  is a major contributor  
8 | to CCN and ship track formation (Schreier et al., 2006;Lauer et al., 2007). Sulfate is  
9 | also an important component of PM emitted from vessels. In the present study, EFs  
10 | for  $\text{SO}_4^{2-}$  were much lower than previously reported (Petzold et al., 2008;Agrawal et  
11 | al., 2008), but similar to those detected by a high-resolution time-of-flight aerosol  
12 | mass spectrometer in a previous study (Lack et al., 2009). This may be because EFs  
13 | for  $\text{SO}_4^{2-}$  are mainly related to the sulfur content of the fuel;  $\text{SO}_4^{2-}$  is not generally  
14 | emitted directly from the engines, but forms after release from the stack (Lack et al.,  
15 | 2009). Because PM was collected directly from engine emissions in the present study,  
16 | the sulfur-to-sulfate ratios were low (<0.6% for vessels). Other ions such as  $\text{NO}_3^-$  and  
17 |  $\text{NH}_4^+$  accounted for a small percentage of the PM emitted from the vessels compared  
18 | with  $\text{SO}_4^{2-}$ , consistent with previous studies (Lack et al., 2009).  $\text{SO}_2$  is more easily  
19 | oxidized to  $\text{SO}_3$  in catalytic reaction cycles with metals commonly present in the  
20 | exhaust gas (V, Ni), while hydroxyl radicals are additional needed to convert  $\text{NO}_x$  to  
21 |  $\text{NO}_3^-$  (Moldanova et al., 2009).

22 |  $\text{Na}^+$  and  $\text{Cl}^-$  were considered to originate from marine air. Their concentrations were  
23 | highly correlated ( $r^2 = 0.78$ ); the differing air demands of the engines under different  
24 | conditions might have caused observed variations in the EFs relative to the fuel  
25 | demand.

26 | The elemental ~~compositions~~composition of PM in the present study differed from  
27 | previous studies showing high elemental contents of S, Ca, V, Fe, Cu, Ni, and Al  
28 | (Agrawal et al., 2008;Moldanova et al., 2009). V and Ni are typically associated with  
29 | combustion of heavy fuel oil (Almeida et al., 2005). In the present study, the  
30 | high-quality fuels resulted in low EFs for V and Ni. In our previous study, PM from

1 shipping emissions was estimated to account for 2.94% of the total PM<sub>2.5</sub> at Tuoji  
2 Island in China, using V as a tracer of shipping emissions (Zhang et al., 2014).  
3 Reconsidering the former results based on the EFs obtained in the present study, we  
4 determined that the contribution of vessels near Tuoji Island had been underestimated,  
5 because the estimate should have included both heavy and other types of fuels.  
6 However, some rare elements such as Tb, Er, Yb, and Lu had relatively high EFs  
7 compared with those of other elements in the present study, which may be related to  
8 the source of the fuels.

### 9 **3.3 Fuel-based Average Emissions Factors**

10 Based on actual operating conditions (Table S6), average EFs for the three vessels in  
11 the present study (according to formula (4)) along with EFs from previous studies are  
12 shown in Table 3. EFs for all of the pollutants except SO<sub>2</sub> were significantly higher  
13 for HH than for the other two vessels, potentially due to poor combustion conditions.  
14 Most of the EFs for DFH and XYH were within the range of emissions for other  
15 vessels due to having well maintained engines and the high quality of the fuels used.  
16 The EFs for NO<sub>x</sub>, PM, and SO<sub>2</sub> were much lower than reported in previous studies  
17 (other than NO<sub>x</sub> for ocean-going vessels). All the sulfur of the fuels in the present  
18 study were significantly below the emissions limit of 3.50% established by IMO in  
19 the revised MARPOL Annex VI rules, applicable since 2012 (IMO, 1998).

20 The IMO Tier I emissions limit for NO<sub>x</sub> is  $45.0 \times n^{-0.2}$  g kWh<sup>-1</sup> (n, rated speed, 130 <  
21  $n < 2000$  rpm). The rated speed and fuel consumption rate for each vessel are shown  
22 in Table 1. Thus, the emissions limits for HH, DFH, and XYH would be 54.5, 57.5,  
23 and 56.5 g kg<sup>-1</sup> fuel, respectively, calculating combined with formula (5). The average  
24 fuel-based EFs for NO<sub>x</sub> of ship HH was more than 100% above the IMO standard,  
25 while those of the other two ships were below the IMO standard (Table 3). PM  
26 emissions for HH were also higher than previously reported, but those for the two  
27 research vessels were much lower (Table 3). Fuel type is one of the most important  
28 influence factors on pollutant emissions, for example, sulfur content in the fuel not  
29 only influence the SO<sub>2</sub> emission directly, but also had impact on PM formation in the

1 flue gas stack with low sulfur content in fuels reduces PM formation (Lack et al.,  
2 2011). Vessels with higher sulfur content always had relatively higher PM emissions,  
3 which were also shown in Table 3. In addition, different engines and levels of  
4 maintenance have a significant impact on all combustion-dependent emissions.  
5 Emission reduction measures have been used in some vessels. For example, NO<sub>x</sub>  
6 emissions can be reduced by measures such as selective catalytic reduction (SCR) and  
7 direct water injection (DWI), which had been implemented on some vessels  
8 previously studied in a harbor in Finland (Pirjola et al., 2014). The results showed that  
9 SCR effectively reduced NO<sub>x</sub> emissions, while vessels with DWI had high PM  
10 emissions. ~~In order to compare the differences of emission factors from vessels in this  
11 study and other non-road diesel engine vehicles, fuel-based emission factors for CO,  
12 NO<sub>x</sub> and PM were given in Table S7, including military non-road heavy duty diesel  
13 vehicles, excavator and wheel loader and other diesel trucks. It could be deduced that  
14 engine types have significant impact on emission factors such as non-road heavy duty  
15 diesel vehicles always have much higher NO<sub>x</sub> emission factors compared with  
16 common diesel trucks. Besides, one interested thing should be mentioned that Chinese  
17 diesel engines always have higher NO<sub>x</sub> and PM emission factors which may be  
18 caused by the less strict emission standard applied for diesel vehicles in China.~~  
19 Similarly, ~~t~~The engine type might be an important cause of the different emissions,  
20 such as HH had much higher pollutants emissions with an engine produced in China  
21 and yet DFH's engine produced in Germany. Besides, emission test for a high-speed  
22 marine diesel engine with different kind of diesels showed that, diesel type had  
23 limited influence on emissions such as NO<sub>x</sub>, CO and CH, but a significant impact on  
24 PM emission (28.9-41.5%) because of the different sulfur content in fuel (Xu, 2008).

### 25 3.4 Power-based Emissions Factors

26 Based on the engine power and fuel consumption rates of the vessels, power-based  
27 EFs were calculated (according to formula (5)) and compared to results from previous  
28 studies (Table 4). The EFs for HH were much higher than those for the other two  
29 vessels, except for SO<sub>2</sub>. HH also had significantly higher EFs for CO and NO<sub>x</sub> than

1 previously reported values. On the other hand, most of the EFs for DFH and XYH  
2 were within the range of previously reported results. All of the EFs for SO<sub>2</sub> in the  
3 present study were lower than those in previous studies, because of the low sulfur  
4 content of the present fuels. Generally, PM emissions from marine diesel fuels are  
5 dependent on the fuel (sulfate and metal oxide ash constituents) and on combustion  
6 conditions (unburned hydrocarbons and carbon residue constituents) (Cooper, 2003).  
7 HH had the highest PM emissions, although there were almost no differences among  
8 the fuels (Table S5). Therefore, combustion conditions were likely the determining  
9 factor. The PM emissions observed in the present study were within the range  
10 previously reported, except for XYH, which had a much lower value.

11 It can be seen from Table 4 that most previous studies were focusing on heavy fuel oil  
12 of shipping emissions. Compared with diesel fuels, it always had relatively low CO  
13 emission factors and high PM emission factors from heavy fuel oil. However, the  
14 marine diesel consumption accounts for a large part of the total marine consumption  
15 in China (40% of the domestic marine fuel consumption and 25% of the total marine  
16 fuel consumption, seen in Table S2). This study could enrich the measurement  
17 database of diesel marine vessels, especially in China. Besides, among the test vessels  
18 in this study, emission factors for CO, NO<sub>x</sub> and PM had large differences (seen in  
19 Table 4), which suggested that engine type also had significant influence on shipping  
20 emissions. Therefore, much more measurement data for different vessels in China are  
21 still in urgent need.

### 22 3.5 Impact of Engine Speed on NO<sub>x</sub> Emissions Factors

23 NO<sub>x</sub> is formed in the combustion chamber by a combination of atmospheric nitrogen  
24 and oxygen under high-pressure and high-temperature conditions. Many factors affect  
25 NO<sub>x</sub> formation, including engine temperature, injection point, and fuel quality. The  
26 IMO emissions limit for NO<sub>x</sub> is determined by the rated speed of the engine; however,  
27 other factors must also be considered to reduce NO<sub>x</sub> emissions.

28 The NO<sub>x</sub> EFs for the test vessels at various engine speeds are shown in Fig. 4. The  
29 rated speeds of the vessels were 1200, 900, and 1000 rpm for HH, DFH, and XYH,

1 respectively. The actual engine speeds of HH were much lower than the rated speed,  
2 while the two larger engine power vessels operated close to their rated speeds, except  
3 during one operating mode of DFH. The NO<sub>x</sub> EFs for HH differed significantly in  
4 different operating modes, ranging from 39.1 to 143 g kg<sup>-1</sup> fuel. The NO<sub>x</sub> EF was  
5 highest when the engine speed reached ~750 rpm (Fig. 4). At lower engine speeds, the  
6 NO<sub>x</sub> EFs had fluctuating but lower values. At higher engine speeds closer to the rated  
7 speed of 1200 rpm, the NO<sub>x</sub> EFs were much lower. The NO<sub>x</sub> EFs for the two larger  
8 engine power vessels changed slightly with engine speed, but also had lowest values  
9 when their engine speeds approached their rated speeds. Combined with the diesel  
10 propulsion characteristic curve, there were large increases in the fuel consumption  
11 rate when the engine speed increased. Therefore, a best-fit engine speed should be  
12 determined based on both EFs and economic costs.

13 Engineering approaches for reducing the NO<sub>x</sub> emissions of marine engines may be  
14 applied before, during, or after the combustion process (Verschaeren et al.,  
15 2014;Habib et al., 2014). In the present study, the NO<sub>x</sub> EFs of the two research vessels  
16 were below the IMO Tier I emissions limits. However, for EMS, measures should be  
17 taken to meet the IMO emissions limit, including increasing the engine speed and  
18 applying engineering technologies during or after combustion, such as exhaust gas  
19 recirculation (EGR), selective non-catalytic reduction (SNCR), or SCR.

20

## 21 **4 Conclusions**

22 Three offshore vessels with different engine power were chosen in this study to  
23 collect measured data of gaseous species and particulate matter, including NO<sub>2</sub>, NO,  
24 N<sub>2</sub>O, CO, CO<sub>2</sub>, TVOCs, SO<sub>2</sub> and the total suspended particulate. Besides, chemical  
25 compositionscomposition of the PM were also analyzed to give detailed EFs for OC,  
26 EC, water soluble ions and metal elements. Concentrations, fuel-based EFs,  
27 fuel-based average EFs as well as power-based average EFs for species of offshore  
28 vessels in China were given. Furthermore, impact of engine speed on NO<sub>x</sub> EFs was  
29 also discussed.



1 There were higher concentrations of pollutants for low engine power vessel HH than  
2 for the other two vessels. CO concentrations for offshore vessels were slightly lower  
3 than inland vessels in China, and all the three vessels had the lowest CO  
4 concentrations at their economic speeds (the speed of the least vessel operating  
5 expenditures during one voyage, they were high speed mode, cruise mode and high  
6 speed mode for HH, DFH and XYH, respectively). More than 80% of the NO<sub>x</sub> was  
7 NO, and all the offshore vessels had higher NO<sub>x</sub> concentrations in high speed modes.  
8 Because of the low-sulfur fuels used in this study, SO<sub>2</sub> concentrations of these three  
9 offshore vessels were lower than that in the literatures. And the PM concentrations  
10 were much lower than inland vessels while showing significant differences among  
11 different operating modes.

12 Fuel-based EFs for gaseous species and PM were given based on the carbon balance  
13 method. EFs for CO<sub>2</sub> were 2940–3106, 3121–3160, and 3102–3162 g kg<sup>-1</sup> fuel for HH,  
14 DFH and XYH. Because of the combustion conditions such as excess air ratio,  
15 combustion temperature and uniformity of the fuel-air mixture, EFs for CO showed  
16 high values in idling mode, but low values in economic speed. All the offshore vessels  
17 had high NO<sub>x</sub> EFs in low speed than in high speed, but showed higher values when in  
18 acceleration process. EFs for SO<sub>2</sub> were 1.6, 0.9 and 2.6 g kg<sup>-1</sup> fuel for HH, DFH and  
19 XYH based on sulfur content of the fuels. OC and EC were the main components of  
20 PM, with low OC to EC ratios that were lower than 0.1, followed by SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, and  
21 NO<sub>3</sub><sup>-</sup>. Metals such as V, Ni, Cr, Fe, As, and Cd made up a proportionately small part  
22 of the total PM mass.

23 Fuel-based average EFs as well as power-based EFs for the three different engine  
24 power vessels were given. EFs for most gaseous species and PM of HH were much  
25 higher compared with the other higher engine power vessels, which was also >100%  
26 above the IMO standard for NO<sub>x</sub>. Average PM EF of the low engine power vessel,  
27 HH was also much higher than that in the literatures. However, average EFs for most  
28 species of the two larger engine power vessels were within the range of previously  
29 reported results. Engine type was inferred as one of the most influence factors for the  
30 differences of emission factors.

1 The impact of engine speed on EFs for NO<sub>x</sub> showed that when the engine speed was  
2 close to the rated speed, there would be lower NO<sub>x</sub> EFs values. However, combined  
3 with the high fuel consumption rate, an optimal engine speed should be determined  
4 based on both EFs and economic costs. Emission reduction measures for NO<sub>x</sub> for  
5 some of the offshore vessels in China are still essential to meet the IMO emission  
6 limit.

7 Given the limits of vessel types and numbers, this study substantially gives the EFs  
8 for gaseous species and PM of three different diesel engine power offshore vessels.  
9 However, as the development of ports in China, emissions from cargo ships and  
10 container ships with large engine power have becoming one of the most important air  
11 pollution sources in port cities and regions. Systematical EFs of all kinds of offshore  
12 vessels in China are essential in order to give the accurate emission inventory of  
13 ships.

#### 14 **Supporting Information**

15 Supporting Information includes the details of the real-world measurement system for  
16 vessels (Fig. S1), the concentrations of main gaseous ~~mattersmatter~~ and PM of  
17 shipping emissions (Fig. S2), the types composition of offshore vessels in China  
18 (Table S1), the Chinese market consumption of marine oil in 2011 (Table S2), the  
19 detection parameters for gaseous ~~mattersmatter~~ (Table S3), the fuel-based EFs for the  
20 gaseous pollutants (Table S4), PM and the chemical ~~compositionscomposition~~ in PM  
21 for different operating (Table S5) modes and the actual operating conditions of vessels  
22 (Table S6) ~~and the emission factors of pollutants from diesel engine vehicles (Table~~  
23 ~~S7).~~

#### 24 **Acknowledgements**

25 This study was supported by the CAS Strategic Priority Research Program (No.  
26 XDB05030303), the Natural Scientific Foundation of China (Nos. 41273135 and  
27 41473091) and the Shanghai science and Technology Commission project (No.  
28 15DZ1205401). The authors would like to express their gratitude to the vessel owners,  
29 Haohai Ocean Engineering Limited Liability Company for vessel Haohai 0007,

1 Ocean University of China for vessel Dongfanghong 02, and North China Sea Branch  
2 of the State Oceanic Administration for vessel Xiangyanghong 08, for making their  
3 vessels available for the shipping emissions study. The views expressed in this  
4 document are solely those of the authors, and the funding agencies do not endorse any  
5 products or commercial services mentioned in this publication.  
6

## 1 **References**

- 2 Agrawal, H., Malloy, Q. G. J., Welch, W. A., Miller, J. W., and Cocker, D. R.: In-use gaseous and  
3 particulate matter emissions from a modern ocean going container vessel, *Atmos. Environ.*, 42,  
4 5504-5510, 10.1016/j.atmosenv.2008.02.053, 2008.
- 5 Agrawal, H., Welch, W. A., Miller, J. W., and Cocker, D. R.: Emission measurements from a crude oil  
6 tanker at sea, *Environmental Science & Technology*, 42, 7098-7103, 10.1021/es703102y, 2008.
- 7 Almeida, S. M., Pio, C. A., Freitas, M. C., Reis, M. A., and Trancoso, M. A.: Source apportionment of  
8 fine and coarse particulate matter in a sub-urban area at the Western European Coast, *Atmos. Environ.*,  
9 39, 3127-3138, 10.1016/j.atmosenv.2005.01.048, 2005.
- 10 Anderson, M., Salo, K., Hallquist, A. M., and Fridell, E.: Characterization of particles from a marine  
11 engine operating at low loads, *Atmos. Environ.*, 101, 65-71, 10.1016/j.atmosenv.2014.11.009, 2015.
- 12 Berg, N., Mellqvist, J., Jalkanen, J. P., and Balzani, J.: Ship emissions of SO<sub>2</sub> and NO<sub>2</sub>: DOAS  
13 measurements from airborne platforms, *Atmospheric Measurement Techniques*, 5, 1085-1098,  
14 10.5194/amt-5-1085-2012, 2012.
- 15 California Code of Regulation Titles 13 and 17  
16 [https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?transitionType=Default&contextData=\(sc.Default\)](https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?transitionType=Default&contextData=(sc.Default))  
17
- 18 Cappa, C. D., Williams, E. J., Lack, D. A., Buffaloe, G. M., Coffman, D., Hayden, K. L., Herndon, S.  
19 C., Lerner, B. M., Li, S. M., Massoli, P., McLaren, R., Nuaaman, I., Onasch, T. B., and Quinn, P. K.: A  
20 case study into the measurement of ship emissions from plume intercepts of the NOAA ship Miller  
21 Freeman, *Atmospheric Chemistry and Physics*, 14, 1337-1352, 10.5194/acp-14-1337-2014, 2014.
- 22 Carlton, J., Danton, S., Gawen, R., Lavender, K., Mathieson, N., Newell, A., Reynolds, G., Webster, A.,  
23 Wills, C., and Wright, A.: Marine exhaust emissions research programme, Lloyd's Register Engineering  
24 Services, London, 63, 1995.
- 25 Celso, V., Dabek-Zlotorzynska, E., and McCurdy, M.: Chemical Characterization of Exhaust Emissions  
26 from Selected Canadian Marine Vessels: The Case of Trace Metals and Lanthanoids, *Environmental  
27 Science & Technology*, 49, 5220-5226, 10.1021/acs.est.5b00127, 2015.
- 28 Chen, G., Huey, L. G., Trainer, M., Nicks, D., Corbett, J., Ryerson, T., Parrish, D., Neuman, J. A.,  
29 Nowak, J., Tanner, D., Holloway, J., Brock, C., Crawford, J., Olson, J. R., Sullivan, A., Weber, R.,  
30 Schaufli, S., Donnelly, S., Atlas, E., Roberts, J., Flocke, F., Hubler, G., and Fehsenfeld, F.: An  
31 investigation of the chemistry of ship emission plumes during ITCT 2002, *J. Geophys. Res.-Atmos.*,  
32 110, 10.1029/2004jd005236, 2005.
- 33 Clague, A. D. H., Donnet, J., Wang, T. K., and Peng, J. C. M.: A comparison of diesel engine soot with  
34 carbon black, *Carbon*, 37, 1553-1565, 10.1016/s0008-6223(99)00035-4, 1999.
- 35 Cooper, D. A.: Exhaust emissions from ships at berth, *Atmos. Environ.*, 37, 3817-3830,  
36 10.1016/s1352-2310(03)00446-1, 2003.
- 37 Corbett, J. J., Fischbeck, P. S., and Pandis, S. N.: Global nitrogen and sulfur inventories for oceangoing  
38 ships, *Journal of Geophysical Research: Atmospheres* (1984–2012), 104, 3457-3470, 1999.
- 39 Corbett, J. J., Winebrake, J. J., Green, E. H., Kasibhatla, P., Eyring, V., and Lauer, A.: Mortality from  
40 ship emissions: A global assessment, *Environmental Science & Technology*, 41, 8512-8518,  
41 10.1021/es071686z, 2007.
- 42 Corbett, J. J., Lack, D. A., Winebrake, J. J., Harder, S., Silberman, J. A., and Gold, M.: Arctic shipping  
43 emissions inventories and future scenarios, *Atmospheric Chemistry and Physics*, 10, 9689-9704,  
44 10.5194/acp-10-9689-2010, 2010.

1 Corbett, J. J. F., P. S.: Emissions from waterborne commerce vessels in United States continental and  
2 inland waterways, *Environ. Sci. Technol*, 3254–3260, 2000.

3 D, W.: *Pounder's marine diesel engines and gas turbines*, Elsevier Ltd, 8th ed. Oxford, UK, 2004.

4 De Meyer, P., Maes, F., and Volckaert, A.: Emissions from international shipping in the Belgian part of  
5 the North Sea and the Belgian seaports, *Atmos. Environ.*, 42, 196-206,  
6 10.1016/j.atmosenv.2007.06.059, 2008.

7 Derwent, R. G., Stevenson, D. S., Doherty, R. M., Collins, W. J., Sanderson, M. G., Johnson, C. E.,  
8 Cofala, J., Mechler, R., Amann, M., and Dentener, F. J.: The contribution from shipping emissions to  
9 air quality and acid deposition in Europe, *Ambio*, 34, 54-59,  
10 10.1639/0044-7447(2005)034[0054:tcfset]2.0.co;2, 2005.

11 Diesch, J. M., Drewnick, F., Klimach, T., and Borrmann, S.: Investigation of gaseous and particulate  
12 emissions from various marine vessel types measured on the banks of the Elbe in Northern Germany,  
13 *Atmospheric Chemistry and Physics*, 13, 3603-3618, 10.5194/acp-13-3603-2013, 2013.

14 Endresen, Ø.: Emission from international sea transportation and environmental impact, *Journal of*  
15 *Geophysical Research*, 108, 10.1029/2002jd002898, 2003.

16 European Union : DIRECTIVE 2012/33/EU, available at:  
17 <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:327:0001:0013:EN:PDF>, 2012.

18 Eyring V., K. H. W., van Aardenne J., et al. : Emission from international shipping: 1. the last 50 years,  
19 *Geophys. Res.*, 110, 2005.

20 Fridell, E., Steen, E., and Peterson, K.: Primary particles in ship emissions, *Atmos. Environ.*, 42,  
21 1160-1168, 2008.

22 Fu, M., Ding, Y., Ge, Y., Yu, L., Yin, H., Ye, W., and Liang, B.: Real-world emissions of inland ships on  
23 the Grand Canal, China, *Atmos. Environ.*, 81, 222-229, 10.1016/j.atmosenv.2013.08.046, 2013.

24 Habib, H. A., Basner, R., Brandenburg, R., Armbruster, U., and Martin, A.: Selective Catalytic  
25 Reduction of NO<sub>x</sub> of Ship Diesel Engine Exhaust Gas with C<sub>3</sub>H<sub>6</sub> over Cu/Y Zeolite, *ACS Catalysis*, 4,  
26 2479-2491, 2014.

27 Haglund, F.: A review on the use of gas and steam turbine combined cycles as prime movers for large  
28 ships. Part III: Fuels and emissions, *Energy Conversion and Management*, 49, 3476-3482,  
29 10.1016/j.enconman.2008.08.003, 2008.

30 IMO: International Maritime Organization: Regulations for the prevention of air pollution from ships  
31 and NO<sub>x</sub> technical code, Annex VI of the MARPOL convention 73/78 London, 1998.

32 IMO, <http://www.imo.org/en/OurWork/Environment/PollutionPrevention/AirPollution/Pages/Greenhouse-Gas-Studies-2014.aspx>.

33

34 Juwono, A. M., Johnson, G., Mazaheri, M., Morawska, L., Roux, F., and Kitchen, B.: Investigation of  
35 the airborne submicrometer particles emitted by dredging vessels using a plume capture method, *Atmos.*  
36 *Environ.*, 73, 112-123, 2013.

37 Khan, B., Hays, M. D., Geron, C., and Jetter, J.: Differences in the OC/EC Ratios that Characterize  
38 Ambient and Source Aerosols due to Thermal-Optical Analysis, *Aerosol Science and Technology*, 46,  
39 127-137, 10.1080/02786826.2011.609194, 2012.

40 Khan, M. Y., Ranganathan, S., Agrawal, H., Welch, W. A., Laroo, C., Miller, J. W., and Cocker, D. R.,  
41 III: Measuring in-use ship emissions with international and US federal methods, *Journal of the Air &*  
42 *Waste Management Association*, 63, 284-291, 10.1080/10962247.2012.744370, 2013.

43 ~~Kong, S., Bai, Z., Lu, B., Han, B., and Guo, G.: Progress on Sampling Methods for Particulate Matter~~  
44 ~~from Stationary Sources, *Environmental Science & Technology (Chinese)*, 34, 88-94, 2011.~~

1 Lack, D. A., Corbett, J. J., Onasch, T., Lerner, B., Massoli, P., Quinn, P. K., Bates, T. S., Covert, D. S.,  
2 Coffman, D., Sierau, B., Herndon, S., Allan, J., Baynard, T., Lovejoy, E., Ravishankara, A. R., and  
3 Williams, E.: Particulate emissions from commercial shipping: Chemical, physical, and optical  
4 properties, *J. Geophys. Res.-Atmos.*, 114, D00f04  
5 10.1029/2008jd011300, 2009.

6 Lack, D. A., Cappa, C. D., Langridge, J., Bahreini, R., Buffaloe, G., Brock, C., Cerully, K., Coffman,  
7 D., Hayden, K., Holloway, J., Lerner, B., Massoli, P., Li, S.-M., McLaren, R., Middlebrook, A. M.,  
8 Moore, R., Nenes, A., Nuaaman, I., Onasch, T. B., Peischl, J., Perring, A., Quinn, P. K., Ryerson, T.,  
9 Schwartz, J. P., Spackman, R., Wofsy, S. C., Worsnop, D., Xiang, B., and Williams, E.: Impact of Fuel  
10 Quality Regulation and Speed Reductions on Shipping Emissions: Implications for Climate and Air  
11 Quality, *Environmental Science & Technology*, 45, 9052-9060, 10.1021/es2013424, 2011.

12 Lack, D. A., and Corbett, J. J.: Black carbon from ships: a review of the effects of ship speed, fuel  
13 quality and exhaust gas scrubbing, *Atmospheric Chemistry and Physics*, 12, 3985-4000,  
14 10.5194/acp-12-3985-2012, 2012.

15 Lauer, A., Eyring, V., Hendricks, J., Joeckel, P., and Lohmann, U.: Global model simulations of the  
16 impact of ocean-going ships on aerosols, clouds, and the radiation budget, *Atmospheric Chemistry and  
17 Physics*, 7, 5061-5079, 2007.

18 ~~Lee, S. W., He, I., and Young, B.: Important aspects in source PM2.5 emissions measurement and  
19 characterization from stationary combustion systems, *Fuel Process. Technol.*, 85, 687-699,  
20 10.1016/j.fuproc.2003.11.014, 2004.~~

21 Li, X., Xu, Z., Guan, C., and Huang, Z.: Effect of injection timing on particle size distribution from a  
22 diesel engine, *Fuel*, 134, 189-195, 2014.

23 Marmer, E., and Langmann, B.: Impact of ship emissions on the Mediterranean summertime pollution  
24 and climate: A regional model study, *Atmos. Environ.*, 39, 4659-4669, 10.1016/j.atmosenv.2005.04.014,  
25 2005.

26 Ministry of Transportation.: *China Statistical Yearbook of 2013: Part XVI: Transport, Post and  
27 Telecommunication Services*, China Communications Press, 2013.

28 Ministry of Transportation.: *Report on China Shipping Development of 2010: Part III: Port Service*,  
29 China Communications Press, 2010.

30 Ministry of Transport of the People's Republic of China, Implementation plan of shipping emission  
31 control areas of the Pearl River Delta, Yangtze River Delta and Bohai Sea Region ( Beijing - Tianjin -  
32 Hebei ) waters, 2015,  
33 <http://www.mot.gov.cn/xiazaizhongxin/ziliao/xiazai/201512/P020151231359179618188.doc>.

34 Moldanova, J., Fridell, E., Popovicheva, O., Demirdjian, B., Tishkova, V., Faccinnetto, A., and Focsa, C.:  
35 Characterisation of particulate matter and gaseous emissions from a large ship diesel engine, *Atmos.  
36 Environ.*, 43, 2632-2641, 10.1016/j.atmosenv.2009.02.008, 2009.

37 Moldanova, J., Fridell, E., Winnes, H., Holmin-Fridell, S., Boman, J., Jedynska, A., Tishkova, V.,  
38 Demirdjian, B., Joulie, S., Bladt, H., Ivleva, N. P., and Niessner, R.: Physical and chemical  
39 characterisation of PM emissions from two ships operating in European Emission Control Areas,  
40 *Atmospheric Measurement Techniques*, 6, 3577-3596, 10.5194/amt-6-3577-2013, 2013.

41 Mueller, L., Jakobi, G., Czech, H., Stengel, B., Orasche, J., Arteaga-Salas, J. M., Karg, E., Elsasser, M.,  
42 Sippula, O., Streibel, T., Slowik, J. G., Prevot, A. S. H., Jokiniemi, J., Rabe, R., Harndorf, H., Michalke,  
43 B., Schnelle-Kreis, J., and Zimmermann, R.: Characteristics and temporal evolution of particulate  
44 emissions from a ship diesel engine, *ApEn*, 155, 204-217, 10.1016/j.apenergy.2015.05.115, 2015.

1 Murphy, S. M., Agrawal, H., Sorooshian, A., Padró L. T., Gates, H., Hersey, S., Welch, W., Jung, H.,  
2 Miller, J., and Cocker III, D. R.: Comprehensive simultaneous shipboard and airborne characterization  
3 of exhaust from a modern container ship at sea, *Environmental science & technology*, 43, 4626-4640,  
4 2009.

5 Ni, J.: Principles of Automotive Internal Combustion Engine, Shanghai: Tongji University Press, 1999.  
6 (in Chinese)

7 Petzold, A., Hasselbach, J., Lauer, P., Baumann, R., Franke, K., Gurk, C., Schlager, H., and  
8 Weingartner, E.: Experimental studies on particle emissions from cruising ship, their characteristic  
9 properties, transformation and atmospheric lifetime in the marine boundary layer, *Atmospheric*  
10 *Chemistry and Physics*, 8, 2387-2403, 2008.

11 Petzold, A., Weingartner, E., Hasselbach, I., Lauer, P., Kurok, C., and Fleischer, F.: Physical Properties,  
12 Chemical Composition, and Cloud Forming Potential of Particulate Emissions from a Marine Diesel  
13 Engine at Various Load Conditions, *Environmental Science & Technology*, 44, 3800-3805,  
14 10.1021/es903681z, 2010.

15 Pirjola, L., Pajunoja, A., Walden, J., Jalkanen, J. P., Ronkko, T., Kousa, A., and Koskentalo, T.: Mobile  
16 measurements of ship emissions in two harbour areas in Finland, *Atmospheric Measurement*  
17 *Techniques*, 7, 149-161, 10.5194/amt-7-149-2014, 2014.

18 Poplawski, K., Setton, E., McEwen, B., Hrebenyk, D., Graham, M., and Keller, P.: Impact of cruise  
19 ship emissions in Victoria, BC, Canada, *Atmos. Environ.*, 45, 824-833,  
20 10.1016/j.atmosenv.2010.11.029, 2011.

21 Reda, A. A., Schnelle-Kreis, J., Orasche, J., Abbaszade, G., Lintelmann, J., Arteaga-Salas, J. M.,  
22 Stengel, B., Rabe, R., Harndorf, H., Sippula, O., Streibel, T., and Zimmermann, R.: Gas phase carbonyl  
23 compounds in ship emissions: Differences between diesel fuel and heavy fuel oil operation (vol 94, pg  
24 467, 2014), *Atmos. Environ.*, 112, 369-380, 10.1016/j.atmosenv.2015.03.058, 2015.

25 Righi, M., Klinger, C., Eyring, V., Hendricks, J., Lauer, A., and Petzold, A.: Climate Impact of Biofuels  
26 in Shipping: Global Model Studies of the Aerosol Indirect Effect, *Environmental Science &*  
27 *Technology*, 45, 3519-3525, 10.1021/es1036157, 2011.

28 Saxe, H., and Larsen, T.: Air pollution from ships in three Danish ports, *Atmos. Environ.*, 38,  
29 4057-4067, 2004.

30 Schreier, M., Kokhanovsky, A. A., Eyring, V., Bugliaro, L., Mannstein, H., Mayer, B., Bovensmann, H.,  
31 and Burrows, J. P.: Impact of ship emissions on the microphysical, optical and radiative properties of  
32 marine stratus: a case study, *Atmospheric Chemistry and Physics*, 6, 4925-4942, 2006.

33 Shah, S. D., Cocker, D. R., Miller, J. W., and Norbeck, J. M.: Emission Rates of Particulate Matter and  
34 Elemental and Organic Carbon from In-Use Diesel Engines, *Environmental Science & Technology*, 38,  
35 2544-2550, 10.1021/es0350583, 2004.

36 Shahsavani, A., Naddafi, K., Haghifard, N. J., Mesdaghinia, A., Yunesian, M., Nabizadeh, R.,  
37 Arhami, M., Yarahmadi, M., Sowlat, M. H., Ghani, M., Jafari, A. J., Alimohamadi, M., Motevalian, S.  
38 A., and Soleimani, Z.: Characterization of ionic composition of TSP and PM10 during the Middle  
39 Eastern Dust (MED) storms in Ahvaz, Iran, *Environmental Monitoring and Assessment*, 184,  
40 6683-6692, 10.1007/s10661-011-2451-6, 2012.

41 Sinha, P., Hobbs, P. V., Yokelson, R. J., Christian, T. J., Kirchstetter, T. W., and Brintjes, R.: Emissions  
42 of trace gases and particles from two ships in the southern Atlantic Ocean, *Atmos. Environ.*, 37,  
43 2139-2148, 10.1016/s1352-2310(03)00080-3, 2003.

44 Sippula, O., Stengel, B., Sklorz, M., Streibel, T., Rabe, R., Orasche, J., Lintelmann, J., Michalke, B.,

1 Abbaszade, G., Radischat, C., Groeger, T., Schnelle-Kreis, J., Harndorf, H., and Zimmermann, R.:  
2 Particle Emissions from a Marine Engine: Chemical Composition and Aromatic Emission Profiles  
3 under Various Operating Conditions, *Environmental Science & Technology*, 48, 11721-11729,  
4 10.1021/es502484z, 2014.

5 Starcrest Consulting Group, L.: Developing Port Clean Air Programs,  
6 [http://theicct.org/sites/default/files/ICCT\\_SCG\\_Developing-Clean-Air-Programs\\_June2012.pdf](http://theicct.org/sites/default/files/ICCT_SCG_Developing-Clean-Air-Programs_June2012.pdf), 2012.

7 Tan, P., Feng, Q., Hu, Z., and Lou, D.: Emissions From a Vehicle Diesel Engine During Transient  
8 OPerating Conditions, *Journal of Engineering Thermophysics*, 33, 1819-1822, 2012. (in Chinese)

9 Tzannatos, E.: Ship emissions and their externalities for Greece, *Atmos. Environ.*, 44, 2194-2202,  
10 10.1016/j.atmosenv.2010.03.018, 2010.

11 The Council of the European Union: Council Directive 1999/32/EC of 26 April 1999, Official Journal  
12 of the European Communities, 13-18,  
13 [http://publications.europa.eu/en/publication-detail/-/publication/a093e44c-bf78-434b-92c7-d726dc5ad0](http://publications.europa.eu/en/publication-detail/-/publication/a093e44c-bf78-434b-92c7-d726dc5ad012/language-en/format-PDF/source-5133251)  
14 [12/language-en/format-PDF/source-5133251](http://publications.europa.eu/en/publication-detail/-/publication/a093e44c-bf78-434b-92c7-d726dc5ad012/language-en/format-PDF/source-5133251), 1999.

15 U.S. Environmental Protection Agency. Current Methodologies in Preparing Mobile Source  
16 Port-Related Emission Inventories. Final Report. (April 2009)  
17 <http://archive.epa.gov/sectors/web/pdf/ports-emission-inv-april09.pdf>

18 Verschaeren, R., Schaepdryver, W., Serruys, T., Bastiaen, M., Vervaeke, L., and Verhelst, S.:  
19 Experimental study of NO<sub>x</sub> reduction on a medium speed heavy duty diesel engine by the application  
20 of EGR (exhaust gas recirculation) and Miller timing, *Energy*, 76, 614-621,  
21 10.1016/j.energy.2014.08.059, 2014.

22 Viana, M., Amato, F., Alastuey, A., Querol, X., Moreno, T., Garcia Dos Santos, S., Dolores Herce, M.,  
23 and Fernandez-Patier, R.: Chemical Tracers of Particulate Emissions from Commercial Shipping,  
24 *Environmental Science & Technology*, 43, 7472-7477, 10.1021/es901558t, 2009.

25 Viana, M., Hammingh, P., Colette, A., Querol, X., Degraeuwe, B., de Vlieger, I., and van Aardenne, J.:  
26 Impact of maritime transport emissions on coastal air quality in Europe, *Atmos. Environ.*, 90, 96-105,  
27 10.1016/j.atmosenv.2014.03.046, 2014.

28 Wang, X., Bi, X., Sheng, G., and Fu, H.: Hospital indoor PM<sub>10</sub>/PM<sub>2.5</sub> and associated trace elements in  
29 Guangzhou, China, *Sci. Total Environ.*, 366, 124-135, 10.1016/j.scitotenv.2005.09.004, 2006.

30 Williams, E. J., Lerner, B. M., Murphy, P. C., Herndon, S. C., and Zahniser, M. S.: Emissions of NO<sub>x</sub>,  
31 SO<sub>2</sub>, CO, and HCHO from commercial marine shipping during Texas Air Quality Study (TexAQS)  
32 2006, *Journal of Geophysical Research*, 114, 10.1029/2009jd012094, 2009.

33 Winebrake, J. J., Corbett, J. J., Green, E. H., Lauer, A., and Eyring, V.: Mitigating the Health Impacts of  
34 Pollution from Oceangoing Shipping: An Assessment of Low-Sulfur Fuel Mandates, *Environmental*  
35 *Science & Technology*, 43, 4776-4782, 10.1021/es803224q, 2009.

36 Winnes, H., and Fridell, E.: Emissions of NO<sub>x</sub> and particles from manoeuvring ships, *Transportation*  
37 *Research Part D-Transport and Environment*, 15, 204-211, 10.1016/j.trd.2010.02.003, 2010.

38 Xu, J.: Study on emission of high-speed marine diesel engine, Wuhan University of Technology, 2008.

39 Zhang, F., Chen, Y., Tian, C., Wang, X., Huang, G., Fang, Y., and Zong, Z.: Identification and  
40 quantification of shipping emissions in Bohai Rim, China, *The Science of the total environment*,  
41 497-498, 570-577, 10.1016/j.scitotenv.2014.08.016, 2014.

42 Zhao, M., Zhang, Y., Ma, W., Fu, Q., Yang, X., Li, C., Zhou, B., Yu, Q., and Chen, L.: Characteristics  
43 and ship traffic source identification of air pollutants in China's largest port, *Atmos. Environ.*, 64,  
44 277-286, 10.1016/j.atmosenv.2012.10.007, 2013.



1 Zheng, J., Zhang, L., Che, W., Zheng, Z., and Yin, S.: A highly resolved temporal and spatial air  
2 pollutant emission inventory for the Pearl River Delta region, China and its uncertainty assessment,  
3 Atmos. Environ., 43, 5112-5122, 10.1016/j.atmosenv.2009.04.060, 2009.

4 Zheng, M., Cheng, Y., Zeng, L., and Zhang, Y.: Developing chemical signatures of particulate air  
5 pollution in the Pearl River Delta region, China, Journal of Environmental Sciences-China, 23,  
6 1143-1149, 10.1016/s1001-0742(10)60526-8, 2011.

7 Zhu, H.: Sinopec marine fuel oil business development plan reaearch, Beijing University of Chemical  
8 Technology, 2013.

9

1 Table 1. Technical parameters of test vessels

Vessel ID	Vessel type	Displacement (ton)	Ship length ×width (m)	Engine power (kw)	Vessel age (year)	Rated speed (rpm)	Fuel consumption rate (g/KWh)
HH	Engineering vessel	307	44×13	350×2	4	1200	200
DFH	Research vessel	3235	96×15	1600×2	18	900	200
XYH	Research vessel	602	55×9	600	5	1000	200

2

1 Table 2 Results from the fuel analysis (diesels)

	Units	HH	DFH	XYH
Total calorific value	MJ kg <sup>-1</sup>	45.44	45.40	45.50
Net calorific value	MJ kg <sup>-1</sup>	42.51	42.48	42.55
Ash content	%m	0.001	<0.001	<0.001
Sulfur (S)	%m	0.0798	0.0458	0.130
Carbon (C)	%m	86.66	86.40	86.49
Hydrogen (H)	%m	13.32	13.22	13.44
Nitrogen (N)	%m	<0.2	<0.2	<0.2
Oxygen (O)	%m	<0.4	<0.4	<0.4

1 Table 3 Fuel-based average EFs in the present study and previous studies (g kg<sup>-1</sup> fuel)

Vessel ID	CO <sub>2</sub>	CO	NO	NO <sub>2</sub>	N <sub>2</sub> O	NO <sub>x</sub>	TVOCs	PM	SO <sub>2</sub>	S content (%m)
HH	3071±1565	30.2±16.2	98.2±37.2	15.5±5.45	1.28±1.70	115±44.3	23.7±21.0	9.40±2.13	1.60	0.08
DFH	3153±176	6.93±1.00	30.2±1.60	5.09±0.42	0.38±0.18	35.7±2.20	1.24±0.04	0.72±0.33	0.92	0.05
XYH	3151±175	9.20±2.95	26.6±1.63	4.71±0.42	0.30±0.15	31.6±2.20	4.18±0.15	0.16±0.07	2.60	0.13
Commercial vessel (Williams et al., 2009)	3170	7-16	-	-	-	60-87	-	-	6-30	
Cargo vessel (Moldanova et al., 2009)	3441	2.17	-	-	-	73.4	-	5.3	39.3	1.9
Diesel engine (Haglund, 2008)	-	7.4	-	-	-	87	-	7.6	54	2.7
Ocean-going ships (Sinha et al., 2003)	3135	19.5	-	-	-	22.3	-	-	2.9	0.1
Ocean-going ships (Sinha et al., 2003)	3176	3.0	-	-	-	65.5	-	-	52.2	2.4
Cargo and passenger ships(Endresen, 2003)	3170	7.4	-	-	0.08	57-87	2.4	1.2-7.6	10-54	0.5-2.7
Ships operating in harbor areas(Pirjola et al., 2014)	-	-	42-72	-	-	65-86	-	-	4.6-9.8	NONE
	-	-	16-49	-	-	25-79	-	-	5.4-17.0	SCR
Ships operating in Port(Diesch et al., 2013)	-	-	16	37	-	53	-	-	7.7	

2 (NONE=No treatment of emissions, SCR=Selective catalytic reduction)

1 Table 4. Power-based EFs in present study and previous studies (g kWh<sup>-1</sup>)

Vessel ID	CO <sub>2</sub>	CO	NO	NO <sub>2</sub>	N <sub>2</sub> O	NO <sub>x</sub>	TVOCs	PM	SO <sub>2</sub>	Fuel type and sulfur content (wt %)
HH	699±352	7.38±3.76	22.0±8.4 1	3.45±1.2 4	0.30±0.3 9	25.8±10.0	5.44±4.8 4	2.09±0.48	0.36	
DFH	631±35.2	1.39±0.20	6.04±0.3 2	1.02±0.0 8	0.08±0.0 4	7.14±0.44	0.17±0.0 1	0.14±0.07	0.18 -	
XYH	697±38.5	2.01±0.65	5.87±0.3 6	1.04±0.0 9	0.07±0.0 3	6.97±0.48	0.92± -	0.04±0.01	0.57	
Tanker(Winnes and Fridell, 2010)	-	1.61	-	-	-	7.82	-	0.58	-	HFO, <u>6</u>
Berthed ships(Cooper, 2003)	<del>653-699</del> <u>653-768</u>	<del>0.33-1.71</del> <u>0.33-0.97</u>	-	-	-	<del>9.6-20.2</del> <u>14.2-20.2</u>	-	<del>0.14-0.54</del> <u>0.14-0.45</u>	<del>0.4-9.6</del> <u>0.26-5.3</u>	MGO, <u>0.06-1.2</u> RO, <u>0.53-2.2</u>
Crude Oil Tanker(Agrawal et al., 2008)	588-660	0.77-1.78	-	-	-	15.8-21.0	-	1.10-1.78	7.66-8.60	HFO, <u>2.85</u>
Cruise	-	-	-	14.0	-	-	-	2.91	4.20	

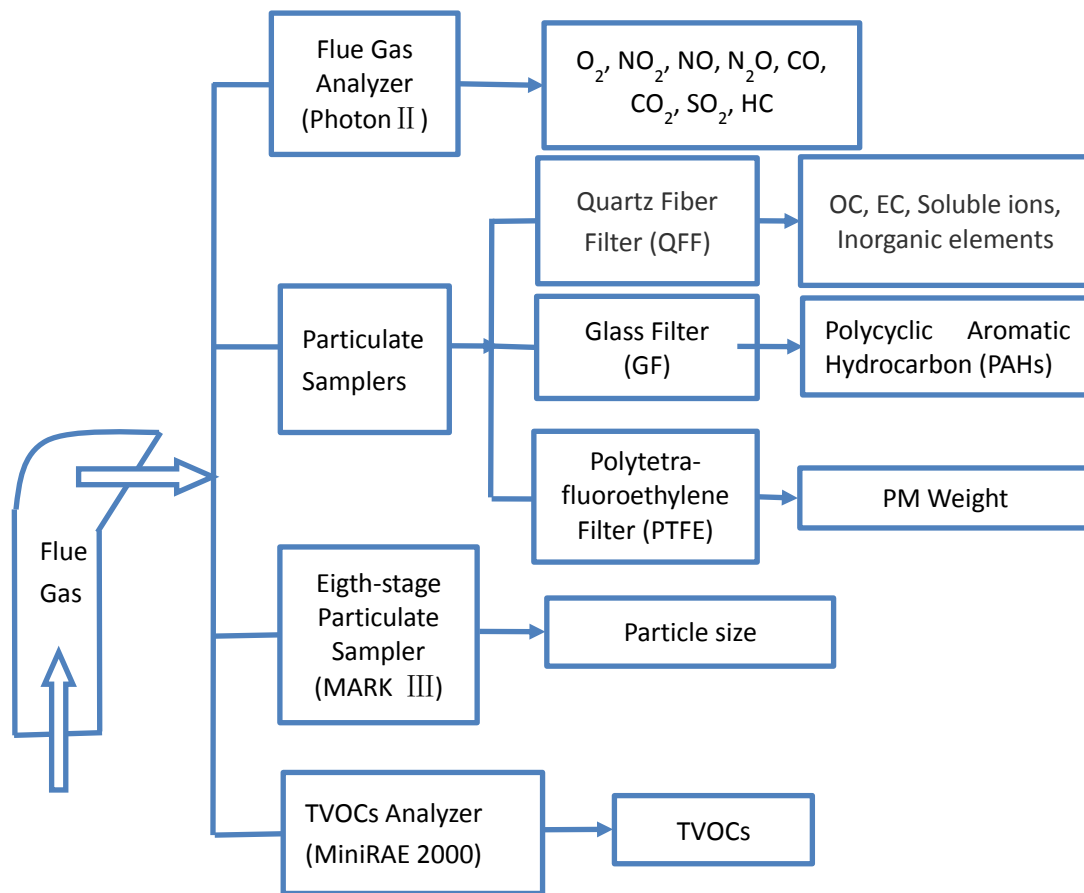
ships(Poplawski et al., 2011)

US EPA	621	1.4	-	-	-	18.1	-	1.31	10.3	
Marine Engine(Sippula et al., 2014)	-	1.2-11.4	<del>-11.3-29.</del> <u>5</u>	-	-	11.4-30.9	<del>-0-9.5</del>	<del>0.7283-1.96.36</del>	-	<u>HFO,</u> <u>2.7</u>
Large marine ships(Khan et al., 2013)	<del>600±2533-612</del>	<u>0.35-0.60</u>	<del>-</del> <u>5.69-25.</u> <u>8</u>	-	-	<del>16.46±0.1-20.6</del>	<u>0.83-19.</u> <u>7</u>	<u>0.15-0.93</u>	<del>9.447.2-11.4</del>	<u>HFO,</u> <u>2.15-3.1</u> <u>4</u>
Ocean going container vessel(Agrawal et al., 2008)	<del>658588-660</del>	<u>0.77-1.81</u>	-	-	-	<del>18.2±15.8-21.0</del>	-	<del>1.42±0.040.91-2.19</del> <u>1.641.09-1.76</u>	<del>8.397.66-8.6</del> <u>0</u>	<u>HFO,</u> <u>2.05</u>
Large cargo vessel(Moldanova et al., 2009)	667	0.42	-	-	-	14.22	-	1.03	10.3	<u>HFO,</u> <u>1.9</u>
Ocean going cargo vessel(Celo et al., 2015)	614-628 609±1 605±1 622±1	0.26-0.83 1.31±0.02 0.00 1.22±0.02	- - - -	- - - -	- - - -	11.3-16.3 8.4±0.03 16.7±0.1 10.7±0.04	- - - -	0.81-1.51 0.37±0.01 2.2±0.2 0.30±0.03	5.8-8.7 4.7±0.01 10.3±0.03 0.47±0.1	IFO180 IFO60 IFO380 MDO

1 HFO, heavy fuel oil; MGO, marine gasoil; RO, residual oil; HDO, heavy diesel oil.

2

1

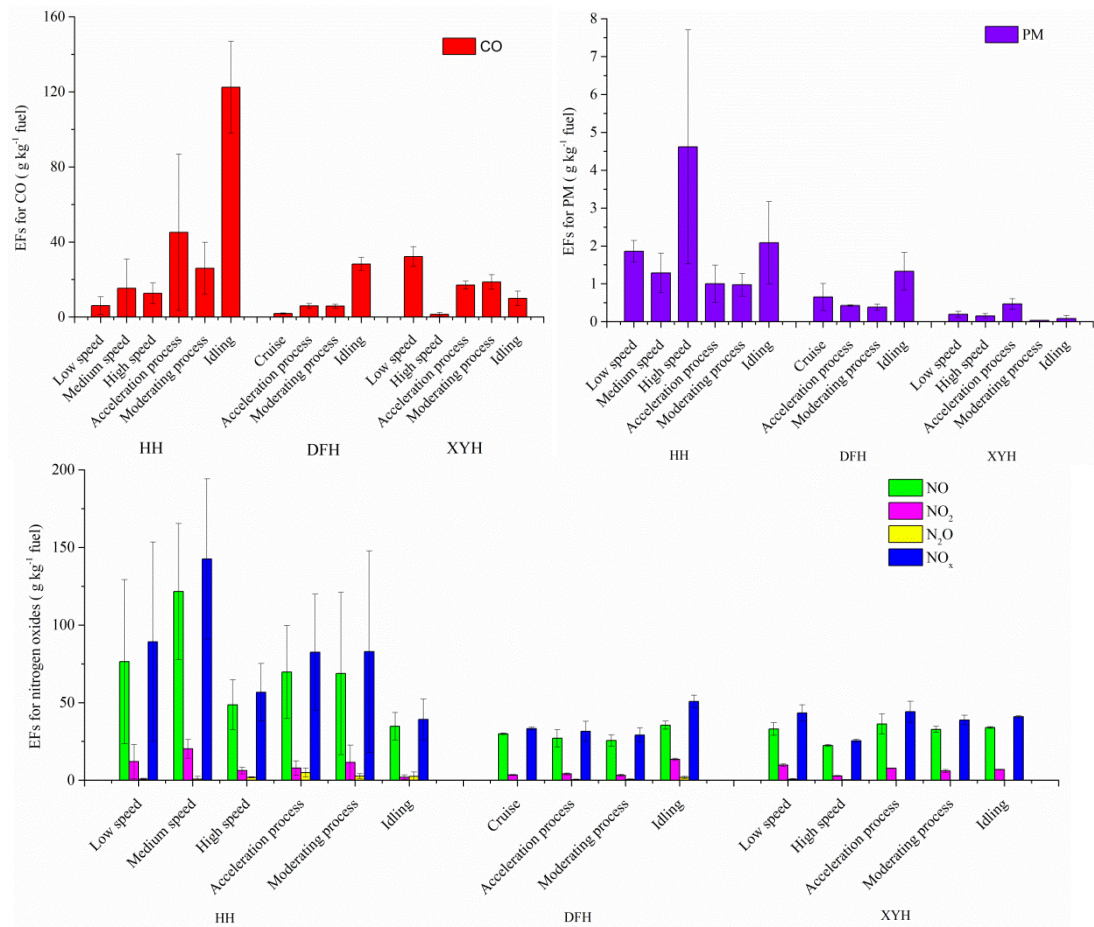


2

3

4 Figure 1. On-board emissions test system and measured analytes.

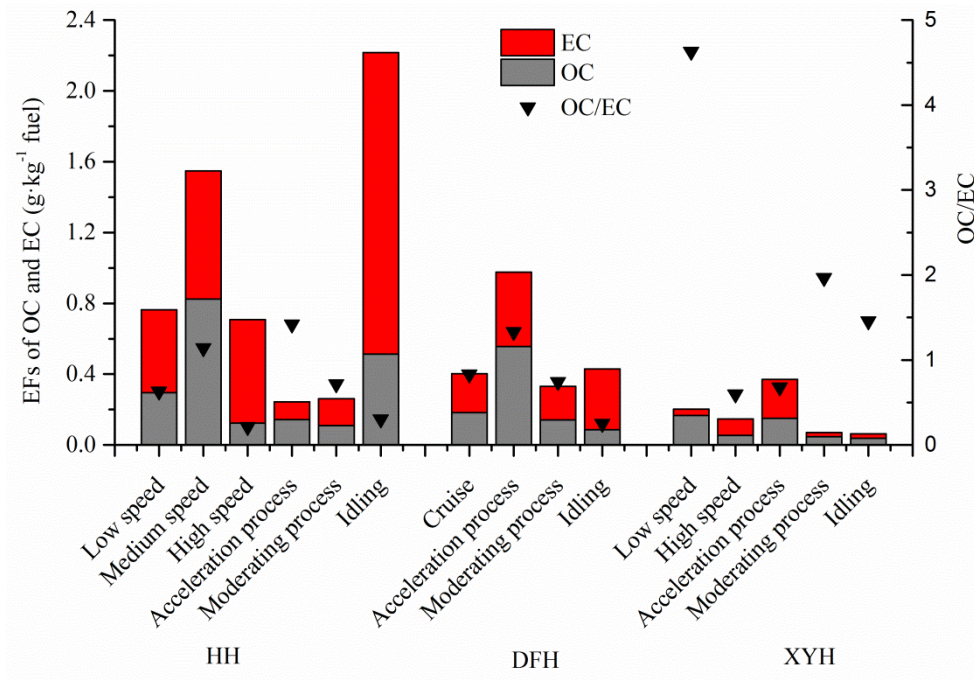
5



1  
2  
3  
4

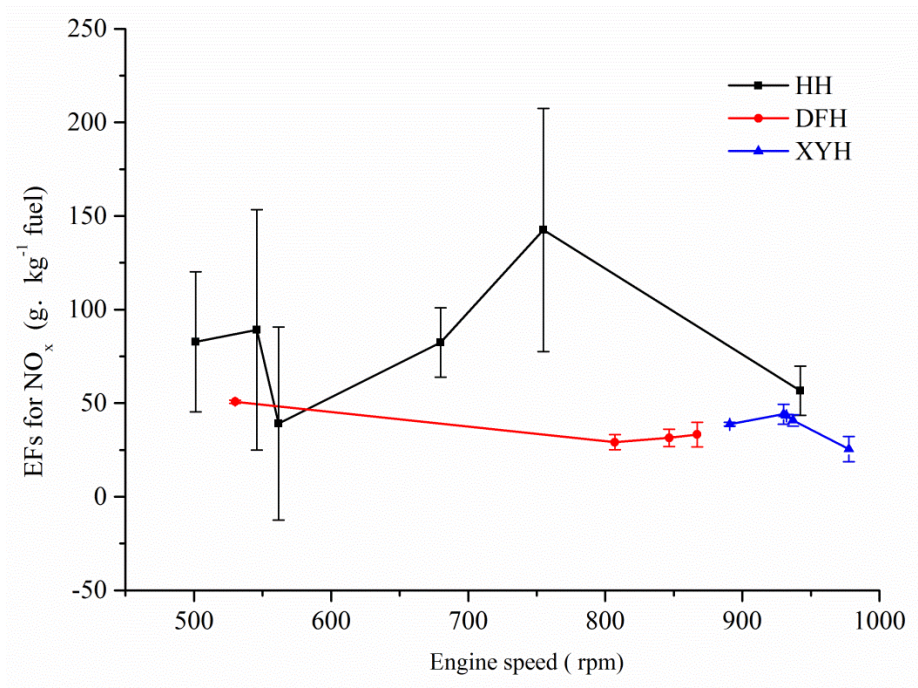
Figure 2. EFs for the typical pollutants in different operating modes





1  
2  
3  
4

Figure 3. EFs for OC and EC and the ratios between them



1  
2  
3  
4

Figure 4. Emissions factors for NO<sub>x</sub> at different engine speeds