

Response to referee comments on “Modeling study of the 2010 regional haze event in the North China Plain”

We thank the reviewers for valuable comments. This document is organized as follows: the referees’ comments are in blue and our responses are in black.

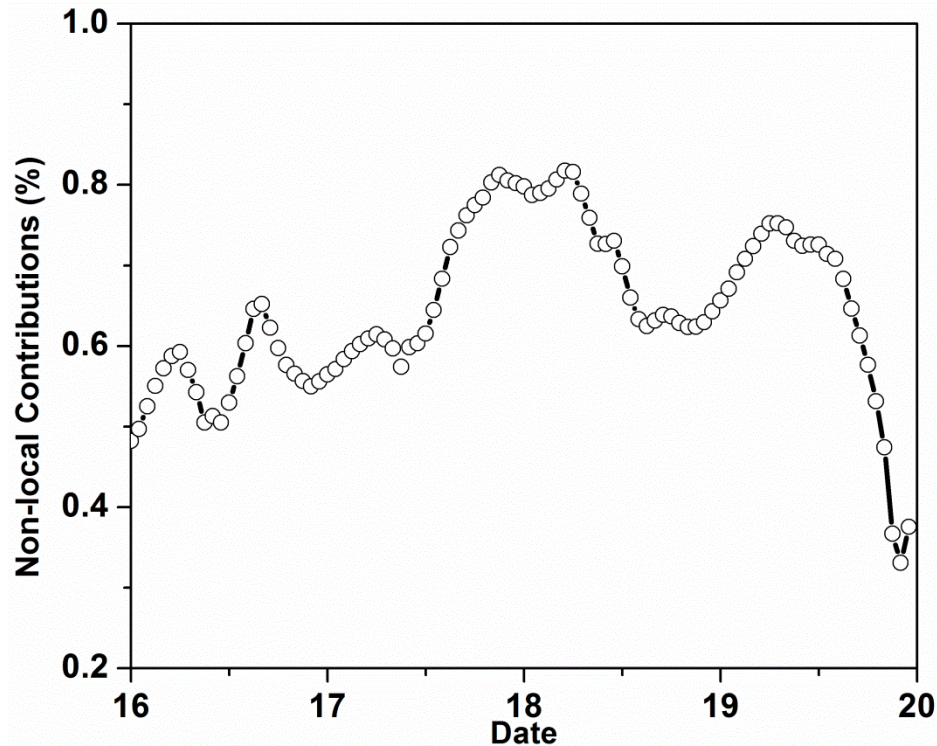
To Referee #1

This manuscript presents a fairly detailed modeling analysis of a haze event in North China in winter. It discusses the chemical composition, transport, and formation mechanism of the haze episode. Using the coupled meteorology-aerosol simulation with WRF-Chem, the paper also estimates the effect of aerosol feedback on meteorology. It concludes that BC contributes to 50% of the overall effect of aerosol feedback on PBL and surface PM_{2.5} concentrations. This paper confirms many findings from previous analysis of wintertime hazes in North China, including the importance of secondary inorganic aerosols, regional transport, and meteorological conditions. My main concerns are with the validity of using CO as a proxy of regional PM_{2.5} transport and with the uncertainty in BC simulation. Minor issues are with the presentation clarity and some technical details. I recommend publication after these issues are properly addressed.

Major comments:

1). In Section 4.3, the authors use CO to indicate the source regions of PM_{2.5} in Beijing. They did a sensitivity analysis by turning off CO emissions in Beijing and used the relative change in CO concentrations to denote the impact of surrounding regions on PM_{2.5} pollution in Beijing. Although they showed that CO and PM_{2.5} were highly correlated, CO has a much longer lifetime (~ 3 months) than PM_{2.5} in winter and also undergoes different loss mechanisms (e.g. CO is not water soluble or lost through deposition). As such the sensitivity simulation using CO may not be appropriate for the sensitivity of PM_{2.5}. Why didn’t the authors choose to conduct the sensitivity simulation using PM_{2.5} directly? They have a model at their disposal. They can turn off primary sources of PM_{2.5} as well as the emissions of its gaseous precursors over Beijing, the same approach as they did for the CO sensitivity simulation, to evaluate the impact of surrounding areas on Beijing.

Response: Following the referee’s suggestion, we have conducted the sensitivity simulation using PM_{2.5} directly. The temporal variations of non-local contributions are shown below. The average contribution is about 64.5% from January 16 to January 19. The text has been modified and the CO based results have been replaced with these PM_{2.5} based results.



2). A major conclusion of this paper is that BC is responsible for 50% of the aerosol feedback on meteorology which in turn influence surface concentrations of PM_{2.5}. That conclusion is obviously dependent on the ability of the WRF-Chem model to simulate BC concentrations correctly as well as its relative contribution to the overall PM_{2.5} composition. Figure 7 clearly shows that the model overestimates BC concentrations at the surface, for example by about a factor of two during the severe haze days (i.e. 18-19 January). Because the model underestimates OC and sulfate at the same time, this means the model has a significant overestimation of the fractional contribution of BC in total PM_{2.5}. These two factors in combination suggest that the simulated absorbing effect of BC on meteorology in this winter episode should be significantly overestimated because of (1) overestimate in BC absolute concentration and (2) underestimate the role of scattering aerosols. This is an important issue that needs to be acknowledged at a minimum, given the emphasis of this manuscript on the simulated role of BC. But the authors did not discuss or even mention any uncertainty of this point. This is a major shortcoming of this paper and should be addressed before acceptance by ACP.

Response: Thanks for this important suggestion. We have added one paragraph to discuss the uncertainty of the BC. We also added some sentences in summary section to mention this point.

The contribution of BC absorption in aerosol feedbacks depends on the model performance in simulating BC and scattering aerosols (sulfate, OC). As shown in Figure 7, BC was overestimated, and sulfate and OC were underestimated in Beijing. The overestimation could be as large as a factor by 2 in some days. As a result, the contribution of BC absorption in aerosol feedbacks may have been overestimated in this study. To explore the uncertainties of the BC

absorption contribution, we conducted simulations by reducing BC emissions by 50%. After this BC emission perturbation, the changes of PBLH and $PM_{2.5}$ concentrations at 2p.m. due to aerosol feedbacks and BC absorption are shown in Figure 14. The domain maximum increases of $PM_{2.5}$ concentrations because of aerosol feedbacks and BC absorption are $19.1\mu\text{g}/\text{m}^3$ and $10.21\mu\text{g}/\text{m}^3$, respectively. The domain maximum decreases of PBLH due to aerosol feedbacks and BC absorption are 235.7m and 114.2m, respectively. These numbers are smaller than before because BC emissions were reduced by 50%. Under these conditions of reduced BC concentrations, the contribution of absorption to the feedbacks was still large (50%) This number can be additionally reduced if OC and sulfate concentrations are simulated well. The underestimations of OC and sulfate were because some secondary formation pathways are missing in the current model. In the future, more accurate contribution of BC absorption in aerosol feedbacks can be estimated after the performances of the WRF-Chem model in simulating BC, OC and sulfate are improved.

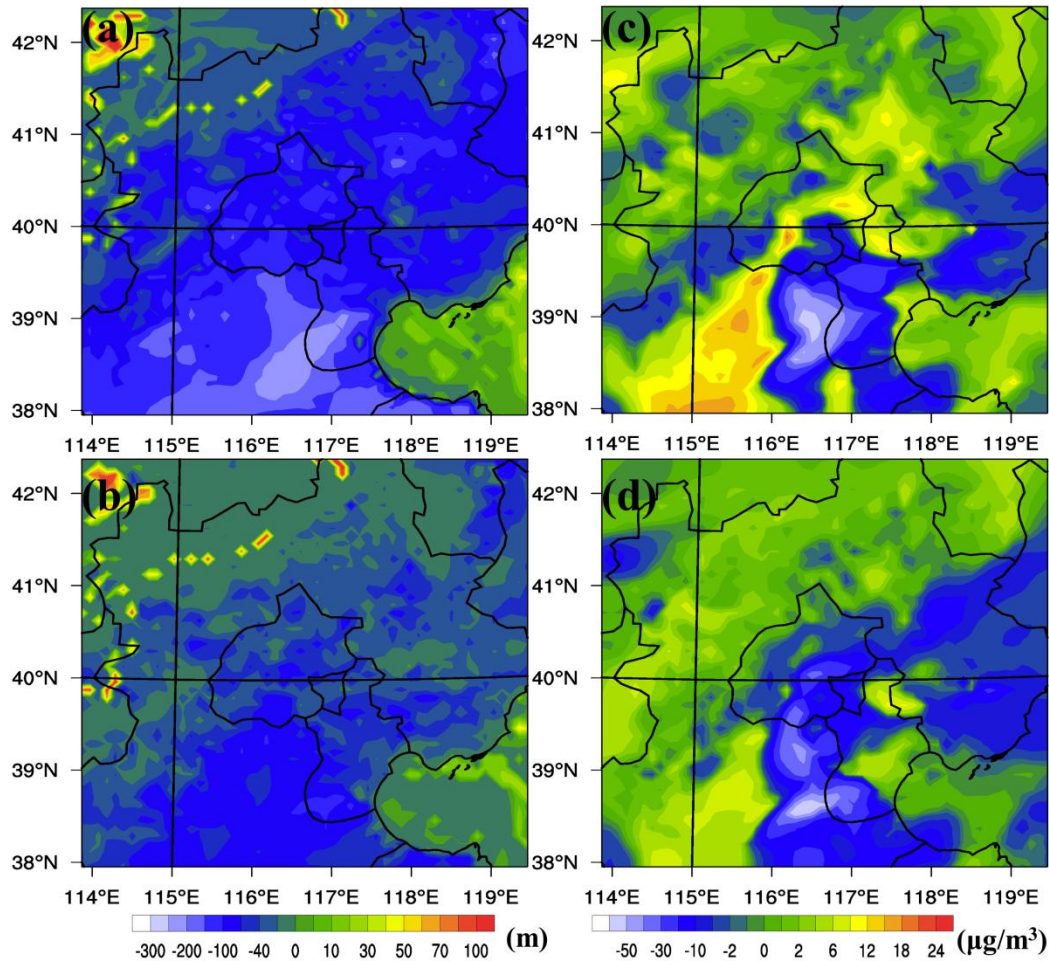


Figure 14. Differences of PBLH (unit: m) and $PM_{2.5}$ concentration (unit: $\mu\text{g}/\text{m}^3$) at 2p.m. between WF and NF scenarios (a, c) when BC emissions were reduced by half; differences of PBLH (unit: m) and $PM_{2.5}$ concentration (unit: $\mu\text{g}/\text{m}^3$) at 2p.m. between WF and NF scenarios (b, d) when BC emissions were reduced by half

Minor Comments

1) pg 22784, 13: add “the time period” before “from 2001 to 2001”.

Response: We have added it.

2) Section 4.1: are the analysis presented in this section based on model simulations or observations? It’s not clear to me.

Response: The analysis in section 4.1 is based on model simulations. “Simulated” can be found in those figure titles (figure 5, figure 6).

3) Pg 22791, 17: upwards should be northward, since the discussion is on a 2-D surface pattern.

Response: We have corrected it.

4) Pg 22791, 18-110: the discussion of the high pressure is confusing. First, there is no clear indication of existence of a high pressure on Figure 4. Figure 4 shows only winds, not pressure fields. Second, the authors suggested high pressure would act to disperse pollution and low pressure leads to pollution accumulation. This is contradictory to the common understanding that high pressure is not conducive for pollution dispersion because of the subsidence and stability, and low pressure (e.g. cyclones) usually acts to reduce pollution.

Response: Thanks for this suggestion. Firstly, we plotted the pressure system but did not put it in the paper. Zhao et al. (2013) discussed the pressure system during this haze episode, so we have added the reference in this section. Hope it is not confusing now. The pressure system can be found in Figure 2 of Zhao et al. (2013).

Zhao, X. J., Zhao, P. S., Xu, J., Meng, W., Pu, W. W., Dong, F., He, D., and Shi, Q. F.: Analysis of a winter regional haze event and its formation mechanism in the North China Plain, *Atmos. Chem. Phys.*, 13, 5685-5696, doi:10.5194/acp-13-5685-2013, 2013.

Secondly, thank you for pointing out this misleading sentence. We agree that high pressure is not conducive for pollution dispersion because of the subsidence and stability, and low pressure usually acts to reduce pollution, but this relationship depends on the relative location to NCP and magnitudes of the pressure system. Actually, this haze event was terminated by the Mongolia anticyclone, which is a high pressure system. We were trying to say that the low pressure during this episode is unfavorable for the dispersion of air pollutants because air flows converged at the surface. To avoid misunderstanding, we have revised this sentence to “The weak high pressure system was replaced by a low pressure system that lasted until January 20, and this weather condition was not conducive for dispersion of air pollutants (Zhao et al., 2013)”. Hope it is better now.

5) Pg 22793, 115-17: Remove the sentence “However, few modeling studies have”. There have been quite some modeling studies on secondary aerosols during winter haze in China.

Response: We have removed this sentence.

6) Pg 22793, line 24-26: Are these factors from model or observations? If from models, how do they compare with observed factors?

Response: Thanks for this question. I made a mistake in figure 7. The plotted PM2.5 components were hourly data, it should be daily mean. We have corrected the figure. Those factors were from model. We calculated the concentrations and factors again using daily mean. We also compared them with observed factors.

We added one sentence in the manuscript: “The increasing factors for observed primary aerosols and SIA are 2.9 and 6.9, which are close to those factors from simulations.”

Table 2. Primary Aerosol, SIA and SOA ($\mu\text{g}/\text{m}^3$) during Haze Days and Non-haze Days in Beijing

| | Primary | SIA | SOA |
|---------------|---------|------|-----|
| Haze days | 56.4 | 81.9 | 1.1 |
| Non-haze days | 14.2 | 10.8 | 0.3 |
| Ratio | 4.0 | 7.6 | 3.7 |

7) Pg 22797, line 23: add “and” before as a result

Response: We have added “and”.

8) Pg 22798, line 4: increase of temperature inversion should be changed to decrease of temperature gradient from surface to aloft, because Figure 10e shows only the difference in temperature between the two runs, not temperature profile.

Response: We have corrected.

9) Pg 22798, line 15-17: below Beijing should be changed to south of Beijing.

Response: We have corrected.

To Referee #2

General comments:

This manuscript, using the online coupled Weather Research and Forecasting-Chemistry (WRF-Chem) model, to investigate a haze event in NCP, the contributions of Secondary inorganic aerosols and transportation, particle composition, aerosols' feedback on the local meteorology and PM_{2.5} itself, and feedbacks associated to Black Carbon. The aim the study is meaningful. The model simulations are in certain agreement with observations. Each point of the paper discussed (cause of haze event, composition, transport, radiative feedback) is important and worth doing. Unfortunately the paper involves too many aspects, but could not concentrate on the one or two targets to study and discuss them in detail. I recommend its resubmission basically in a revision in accordance with the following comments:

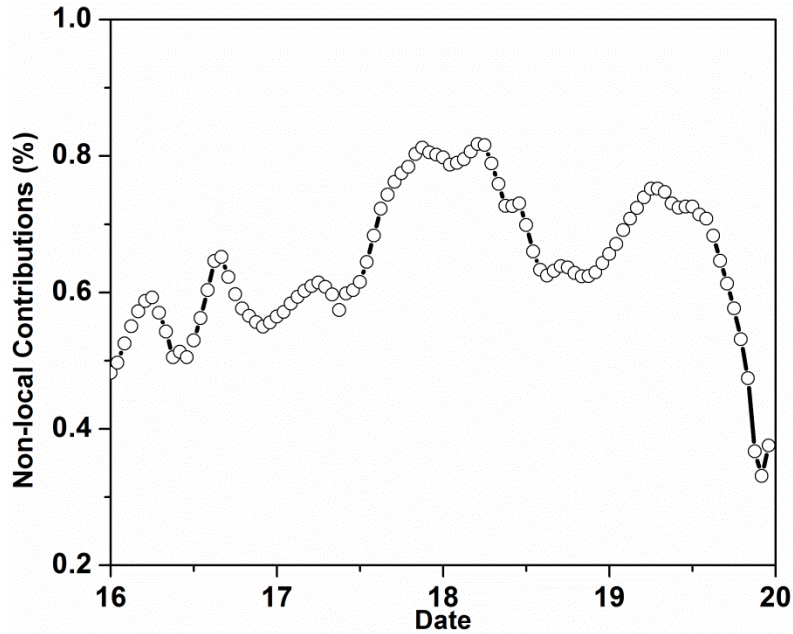
Major comments:

1. Table S1-1 and Figure S2-10 are all supplement files. The authors spend too much space to discuss them in the manuscript which could not be seen by readers in fact and this may lead to misunderstanding. I suggest if they are necessary, please put them into the formal figures and tables in the paper, otherwise reject them and the use texts in the manuscript.

Response: Thanks for this suggestion. We have moved some important figures, like figure S4, figure S7 and S11 from supplement files to manuscript. Although the tables and figures in supplement files are not as important as those in the manuscript, they are still helpful to understand this haze event, so we did not discard them and put them in the supplement files. If the readers are interested in those materials, they can download the supplement file.

2. Though there is a high correlation between CO and PM_{2.5} concentrations, it is groundless to use the CO transportation contribution as that of PM_{2.5} directly. It is not difficult to calculate the transportation amount outside Beijing by model output parameters directly.

Response: Following the referee's suggestion, we have conducted the sensitivity simulation using PM_{2.5} directly. The temporal variations of non-local contributions are shown below. The average contribution is about 64.5% from January 16 to January 19. The text has been modified and the CO based results have been replaced with these PM_{2.5} based results.



3. AOD is a basic parameter to calculate aerosols direct radiative feedback. The AOD difference between model results and observation (CALIPSO) is obvious (Figure 3) and the model AOD is not good enough to support the aerosols radiative feedback calculation. Further AOD evaluations are needed (MODIS, etc.) for modeling the aerosols radiative feedback reasonably.

Response: Thanks for this suggestion. The difficulty is that during these heavy haze events there is little data available. We analyzed the CALIPSO data as well as AOD from surface sites (Figure S5). The surface sites did not report data during the heavy haze period, but the model well predicted the values before and after. Actually, we did download MODIS data, but most are missing during the study period due to extremely high aerosol loadings. If anything we have probably underestimated the feedback effects due to our underprediction of PM_{2.5} and AOD based on CALIPSO.

Minor comments

1. Please examine the quota format in the manuscript carefully (different quota format appears in the paper).

Response: We have checked the format and they look fine. The publishing assistant also checked it before publication in ACPD, and I corrected following her instructions.

2. Figure1, 3, 4, 11,12 are not clear and need to be redrawn.

Response: We redrew figure 1,3,4,11 and 12. Hope they are clear now.

3. Figure 4 and its related content (page 22791), wind vectors in the figure needs legend explanation. Pressure system is explained on line 1-10, but it is not drawn in the figure. Please examine the similar questions in other figures (Figure 4, 5, 7, etc.)

Response: Thanks for this suggestion. Firstly, we plotted the pressure system but did not put it in the paper. Zhao et al. (2013) discussed the pressure system during this haze episode, so we have added the reference in this section. Hope it is not confusing now. The pressure system can be found in Figure 2 of Zhao et al. (2013).

Zhao, X. J., Zhao, P. S., Xu, J., Meng, W., Pu, W. W., Dong, F., He, D., and Shi, Q. F.: Analysis of a winter regional haze event and its formation mechanism in the North China Plain, *Atmos. Chem. Phys.*, 13, 5685-5696, doi:10.5194/acp-13-5685-2013, 2013.

4. Please examine the figure captions under the figure and the explanation in the manuscript. They are not same for some figure.

Response: Thanks for this comment. I have checked all figure titles and made corrections. Although some expressions are different, they denote the same meaning.

1 **Modeling study of the 2010 regional haze event in the North**
2 **China Plain**

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1 **Abstract**

2 The online coupled Weather Research and Forecasting-Chemistry (WRF-Chem) model was
3 applied to simulate a haze event that happened in January 2010 in the North China Plain (NCP),
4 and was validated against various types of measurements. The evaluations indicate that WRF-
5 Chem provides reliable simulations for the 2010 haze event in the NCP. This haze event ~~was~~
6 mainly caused by high emissions of air pollutants in the NCP and stable weather conditions in
7 winter. Secondary inorganic aerosols also played an important role and cloud chemistry had
8 important contributions. Air pollutants outside Beijing contributed about ~~47.864.5%~~
9 levels in Beijing during this haze event, and most of them are from south Hebei, ~~Tianjin city,~~
10 Shandong and Henan provinces. In addition, aerosol feedback has important impacts on surface
11 temperature, Relative Humidity (RH) and wind speeds, and these meteorological variables affect
12 aerosol distribution and formation in turn. In Shijiazhuang, Planetary Boundary Layer (PBL)
13 decreased about ~~278.2m and PM_{2.5} increased more than 20µg/m³ due to aerosol feedback.~~
14 Feedbacks associated to Black Carbon (BC) account for about 65.7 % of the PM_{2.5} increases and
15 59.9% of the PBL decreases in Shijiazhuang, indicating more attention should be paid to BC
16 from both air pollution control and climate change perspectives. This contribution decreased
17 from about 60% to 50% after decreasing BC emissions by 50% and the uncertainty can be
18 additionally reduced by improving the model performance in simulating sulfate and OC. ~~300m~~
19 ~~and PM_{2.5} increased more than 20µg/m³ due to aerosol feedback. Feedbacks associated to Black~~
20 ~~Carbon (BC) account for about 50 % of the PM_{2.5} increases and 50% of the PBL decreases in~~
21 ~~Shijiazhuang, indicating more attention should be paid to BC from both air pollution control and~~
22 ~~climate change perspectives.~~

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5 **1 Introduction**

6 The North China Plain (NCP) is one of the most densely populated areas in the world and it has
7 been the Chinese center of culture and politics since early times. Beijing, the capital of China,
8 Tianjin, Shijiazhuang and other big cities with active economic developments are located in the
9 NCP. This region is experiencing heavy haze pollution with record-breaking high concentrations
10 of particulate matters (Wang et al., 2014b). Haze is defined as an air pollution phenomenon
11 where horizontal visibility is less than 10 km caused by aerosol particles, such as dust and Black
12 Carbon (BC), suspended in the atmosphere (Tao et al., 2012). Its formation is highly related to
13 meteorological conditions, emissions of pollutants and gas-to-particle conversion (Sun et al.,
14 2006; Watson, 2002). Haze has attracted much attention for its adverse impacts on visibility and
15 human health. During haze periods, reduced visibility affects land, sea and air traffic safety and
16 the fine particles can directly enter the human body and adhere to lungs to cause respiratory and
17 cardiovascular diseases (Liu et al., 2013). Moreover, haze affects climate and ecosystems via
18 aerosol-cloud-radiation interactions (Sun, et al., 2006; Liu et al., 2013).

19
20 Because haze influences visibility, human health and climate (Gao et al., 2015), numerous
21 studies have used multiple methods to investigate physical, chemical and seasonal characteristics
22 of aerosols during haze. The increase of secondary inorganic aerosols is considered to be an
23 attribute of the haze pollution in east China (Tan et al., 2009; Zhao et al., 2013). Tan et al. (2009)
24 studied the characteristics of aerosols in non-haze and haze days in Guangzhou, China and found
25 that secondary pollutants (OC, SO_4^{2-} , NO_3^- and NH_4^+) were the major components of haze
26 aerosols and they showed a remarkable increase from non-haze to haze days. Similar conclusions
27 were drawn by Zhao et al. (2013) after studying the chemical characteristics of haze aerosols in
28 the NCP. Secondary Organic Aerosol (SOA) formation can also be significant during haze (Tan

1 et al., 2009; Zhao et al., 2013). Studies of aerosol optical properties show that fine-mode aerosols
2 were dominant during haze (Yu et al., 2011; Li et al., 2013). In addition, contributions of diverse
3 factors to haze formation, such as biomass burning and regional transport, have been investigated.
4 Chen et al. (2007) used MM5-CMAQ to reproduce the haze pollution in September 2004 in the
5 Pearl Region Delta (PRD) region and discovered that sea-land breeze played an important role.
6 Wang et al. (2009) discovered that almost 30-90 percent of the organics during the haze
7 happened in June 2007 in Nanjing were from wheat straw burning. Cheng et al. (2014)
8 concluded that biomass burning could cause haze issues and they found biomass burning
9 contributed 37% of $PM_{2.5}$, 70% of Organic Carbon (OC) and 61% of Elemental Carbon (EC)
10 based upon both modeling and measurement results of case study in summer 2011 in the
11 Yangtze River Delta (YRD) region. These biomass burning events mainly occurred in summer
12 and autumn in east and south China (Cheng et al., 2013; Cheng et al., 2014; Li et al., 2010;
13 Wang et al., 2007; Wang et al., 2009). To evaluate regional contributors to the haze in southern
14 Hebei, Wang et al. (2012) simulated [the time period](#) from 2001 to 2010 and concluded that
15 Shanxi province and the northern Hebei were two major contributors, and winter was the worst
16 season, followed by autumn and summer. Han et al. (2014b) pointed out that the haze formation
17 mechanism in winter in Beijing was different from that in summer and mass concentrations of
18 $PM_{2.5}$ in winter were relatively higher and the compositions were different than in summer. The
19 extreme winter haze in the NCP has attracted enormous scientific interests. It has been found the
20 stagnant meteorological conditions (weak surface wind speed and low Planetary Boundary Layer
21 (PBL) height) and secondary aerosol formation are the main causes of winter haze formation
22 (Han et al., 2014a; He et al., 2014b; Huang et al., 2014; Sun et al., 2014; Wang et al., 2014c;
23 Zhao et al., 2013; Zheng et al., 2014a; Zheng et al., 2014b). Other causes proposed include high
24 local emissions (He et al., 2014b; Zheng et al., 2014b), enhanced coal combustion in winter
25 (Huang et al., 2014; Sun et al., 2014), heterogeneous chemistry (He et al., 2014a; Huang et al.,
26 2014b; Quan et al., 2014; Wang et al., 2014c; Wang et al., 2014d; Zheng et al., 2014a; Zheng et
27 al., 2014b) and regional transport (Tao et al., 2014; Sun et al., 2014; Wang et al., 2014b; Wang et
28 al., 2014e; Zheng et al., 2014b). It was also pointed out that fog processing (Huang et al., 2014),
29 aerosol-radiation interactions (Wang et al., 2014a; Wang et al., 2014e; Zhang et al., 2015a) and
30 nucleation events (Guo et al., 2014) may play important roles in winter haze formation.

1 ~~Although previous studies have revealed characteristics and possible causes of winter haze in~~
2 ~~China, The~~ complex haze formation mechanisms ~~still~~ need further studies. Li et al. (2015)
3 emphasized that regional transport of PM_{2.5} is a major cause of severe haze in Beijing, but Zhang
4 et al. (2015b) pointed out that the evidence provided by Li et al. (2015) is insufficient and
5 regional transport should be evaluated using chemical transport models. Furthermore, the
6 contribution of aerosol feedbacks to PM_{2.5} levels ~~is controversial~~ remains unquantified. Therefore,
7 the roles of regional transport and aerosol-radiation interactions in haze events need to be better
8 understood. In this study, the online coupled model WRF-Chem, which is capable of simulating
9 aerosols' effects on meteorology and climate, is used to reproduce the severe haze event that
10 happened in the NCP from 16 to 19 January 2010. During this haze event, the highest hourly
11 PM_{2.5} concentration reached 445.6 and 318.1 μg/m³ in Beijing and Tianjin and the areas with low
12 visibility covered most eastern China regions (Zhao et al., 2013). In this study, we address the
13 following important questions: (1) what is the performance of the model configurations in
14 representing the meteorological variables, and the physical and chemical characteristics of the
15 aerosols during the selected study period?; (2) How does the haze build up and dissipate?; (3)
16 How do the chemical species of PM_{2.5} change during haze period?; (4) Does regional transport
17 play an import role in the 2010 haze event in Beijing?; (5) What is the contribution of aerosol
18 feedback mechanisms to PM_{2.5} levels during the haze event?; and (6) What is the role of BC
19 absorption in the feedback mechanism? In section 2, we describe the model we use and model
20 configuration, including emissions and used parameterization schemes. In section 3, surface
21 meteorological, chemical observations, atmospheric sounding products, as well as remote
22 sensing products are used to evaluate the model performance. In section 4, questions from (2) to
23 (6) are answered in detail. Conclusions are provided in the section 5.

24

25 **2 Model description and configuration**

26 The WRF-Chem model version 3.5.1 was employed to simulate the 2010 haze event in the NCP
27 region and aerosol-radiation interactions were included (Chapman et al., 2006; Fast et al., 2006).
28 Domain settings are the same as those of Jing-Jin-Ji modeled area of Yu et al. (2012). As shown
29 in Figure S1 (see supporting information), Tthree domains with two-way nesting were used and
30 grid resolutions were 81km × 81km (domain 1), 27km × 27km (domain 2) and 9km × 9km

1 | (domain 3) ([see supporting information Figure S1](#)). The number of vertical grids used was 27
2 | and the number of horizontal grids was 81×57 , 49×49 , and 55×55 , respectively. The first domain
3 | covers most areas of the East Asia region, including China, Korea, Japan and Mongolia. Beijing
4 | was set to be the center of the innermost nested domain. The chemical and aerosol mechanism
5 | used was gas-phase chemical mechanism CBMZ (Zaveri and Peters, 1999) coupled with the 8-
6 | bin sectional MOSAIC model with aqueous chemistry (Zaveri et al., 2008). MOSAIC treats all
7 | the important aerosol species, including sulfate, nitrate, chloride, ammonium, sodium, BC,
8 | primary organic mass, liquid water and other inorganic mass (Zaveri et al., 2008). Some of the
9 | physics configuration options include Lin cloud-microphysics (Lin et al., 1983), RRTM long
10 | wave radiation (Mlawer et al., 1997), Goddard short wave radiation (Chou et al., 1998), Noah
11 | land surface model, and the Yonsei University planetary boundary layer parameterization (Hong
12 | et al., 2006).

13 |
14 | Emissions are key factors in the accuracy of air quality modeling results. [The mMonthly 2010](#)
15 | [Multi-resolution Emission Inventory for China \(MEIC\)](#) (<http://www.meicmodel.org/>) was used
16 | as the anthropogenic emissions. This inventory includes emissions of sulfur dioxide (SO_2),
17 | nitrogen oxides (NO_x), Carbon Monoxide (CO), non-methane volatile organic compounds
18 | (NMVOC), NH_3 , BC, organic carbon (OC), $\text{PM}_{2.5}$, PM_{10} , and carbon dioxide (CO_2) by several
19 | sectors (power generation, industry, residential, transportation, etc.). Biogenic emissions were
20 | calculated on an online way by the MEGAN model (Guenther et al., 2006). Meteorological
21 | initial and boundary conditions were obtained from the National Centers for Environmental
22 | Prediction (NCEP) Final Analysis (FNL) data set. Chemical initial and boundary conditions were
23 | taken from MOZART-4 forecasts (Emmons et al., 2010). The period from 11 to 24 January 2010
24 | was chosen as the modeling period, covering the 2010 NCP haze period (from 16 to 19 January
25 | 2010). To overcome the impacts of initial conditions, three days were simulated and considered
26 | as spin-up time.

27 |

28 | **3 Model Evaluation**

29 | **3.1 Observation data sets and evaluation metrics**

1 Model evaluation was conducted in terms of both temporal variation and spatial distribution.
2 Table S1 (see supporting information) gives a summary of the observation data and variables
3 used in the model evaluation. The meteorological variables, including 2 meter temperature (T2),
4 2 meter relative humidity (RH2) and 10 meter wind speed (WS10), at four stations (Beijing,
5 Tianjin, Baoding and Chengde) were used. Surface concentrations of PM_{2.5}, NO₂, SO₂ at three
6 sites (Beijing, Tianjin and Xianghe, shown in Figure S1), and Aerosol Optical Depth (AOD) at
7 four sites (Beijing city, Beijing forest, Baoding city, Cangzhou city) were also used in the
8 evaluation against measurements. PM_{2.5} and AOD are typical variables to represent severity of
9 haze pollution. To evaluate how model performs in simulating horizontal and vertical
10 distributions of meteorological and chemical variables, soundings of temperature and RH at
11 Beijing, and AODs derived from CALIPSO were used in this study. The statistical metrics
12 calculated include correlation coefficient R, mean bias (MB), mean error (ME), the root mean
13 square error (RMSE), the normalized mean bias (NMB), the normalized mean error (NME), the
14 mean fractional bias (MFB) and the mean fractional error (MFE). The definitions of these
15 metrics can be found in Morris et al. (2005) and Willmott and Matsuura (2005).

16

17 **3.2 Meteorology simulations**

18 Figure 1 shows the temporal variations of simulated and observed 24-h average temperature (a-
19 d), relative humidity (e-h) and wind speed (i-l) at Beijing, Tianjin, Baoding and Chengde stations.
20 These observations were collected from the China Meteorological Data Sharing Service System
21 (CMDSSS) data set. From normal days to haze days (gray shaded), temperature and relative
22 humidity increased and wind speeds decreased. Generally, the variations of surface temperature,
23 RH and wind speeds are captured by model, although overestimations of wind speed occur at the
24 Chengde station throughout the whole period. Model mean, observation mean, MB, ME and
25 RMSE were calculated and summarized in Table S2. The MB and RMSE for surface
26 temperature vary from -2.0 to 2.0 K and from 1.5 to 3.2 K, respectively. The model
27 underestimates temperature at Beijing, Tianjin and Baoding stations, and overestimates
28 temperature at the Chengde station. RH agrees well with observations, with MB varying from -
29 4.4% to 8.1% and RMSE varying from 6.4% to 11.1%. The magnitudes of MB and RMSE are
30 comparable with those of Wang et al. (2014b). The model shows good performance in simulating

1 wind speed, with RMSE ranging from 1.1 to 1.6 m/s at Beijing, Tianjin and Baoding stations,
2 below the level of “good” model performance criteria for wind speed prediction proposed by
3 Emery et al. (2001). Wind speeds at the Chengde station were overestimated, with RMSE larger
4 than the proposed criteria (2m/s).

5
6 Figure S2 (see supporting information) compares simulated and observed vertical temperature
7 profiles at 0800 and 2000 (CST) from January 15 to January 20 at Beijing city. These
8 atmospheric sounding data are from the NCAR Earth observing laboratory atmospheric sounding
9 data set. The model captures the vertical profiles of temperature well. Obvious strong
10 temperature inversions existed during the haze period (from 01/16 08:00 to 01/19 20:00) and the
11 lapse rate during this period was about 5-15°C/km, indicating unfavorable conditions for
12 diffusion of pollutants. Figure S3 (see supporting information) shows the vertical profiles of RH.
13 The model captures the general vertical profiles of RH, although the performance is not as good
14 as for temperature (see supporting information Figure S2). Simulated RH deviates largely away
15 from observations on January 18 and 19, when RH was high near the surface.

16
17 ~~Figure S43(a-c) compares simulated and observed hourly temperature, RH and wind speed at~~
18 ~~SDZ station (shown in Figure S1) using observations from Zhao et al. (2013). Simulated~~
19 ~~variations of meteorological variables agree well with observations, despite RH was~~
20 ~~overestimated on January 19 and 20 (Figure S43(b)) and wind speed was overestimated on~~
21 ~~January 22 (Figure S43(c)), which is similar to the comparisons shown in Figure 1.~~

22
23 **3.3 Chemical simulations**
24 Figure S43(d-f) shows variations of simulated and observed hourly PM_{2.5}, NO₂ and CO at the
25 SDZ station. The haze event started from 16 January with rapid increase of PM_{2.5}, NO₂, and CO
26 concentrations and ended on 20 January. The relationships between meteorological condition and
27 pollution levels are clearly shown. Both the observation and the model show that temperature
28 and relative humidity increase, wind speeds are low, and pollution levels build up (Figure 3). The
29 magnitudes and trends over time of the simulated PM_{2.5}, NO₂ and CO are generally consistent

1 with measurements, although overestimation of PM_{2.5} and underestimations of NO₂ and CO exist
2 during the haze days. Figure 2-4 shows the temporal variations of the simulated and observed
3 PM_{2.5}, NO₂ and SO₂ at Beijing (a-c), Tianjin (d-f) and Xianghe (g-i) stations. The observations
4 and the model predictions show that the buildups of pollution during the haze event were similar
5 at these three sites, occurring over a large geographical region at the same time. SO₂ was
6 overestimated in Beijing, but other simulations agree well with observations, especially for PM_{2.5}.
7 Observation mean, model mean, MB, ME, NMB, NME, MFB, and MFE were calculated for 24-
8 h average simulated and observed PM_{2.5} at these three stations and summarized in Table 4-3. As
9 shown in Table 4-3, the model underestimates PM_{2.5} concentrations at all stations. NMBs for
10 PM_{2.5} are -8.5%, -26.9% and -39.1% at Beijing, Tianjin and Xianghe, respectively. MFBs at
11 these three stations range from -21.8% to 0.4% and MFEs range from 26.3% to 50.7%. They are
12 all within the criteria proposed by Boylan et al. (2006) that model performance is “satisfactory”
13 when MFB is within ±60% and MFE is below 75%. Although the model performance for PM_{2.5}
14 is satisfactory, biases still exist, especially during severe haze days. Reasons for the biases might
15 be errors in meteorological variables, large uncertainties of emission inventory, effects of
16 horizontal and vertical resolutions, and incomplete treatments of atmospheric chemistry. Many
17 atmospheric chemistry reactions have been and are being proposed for PM formation in winter
18 haze. For example, He et al. (2014a) proposed that mineral dust and NO_x could promote the
19 formation of sulfate in heavy pollution days. The sensitivity of the simulations to some of these
20 factors will be discussed in future studies.

21

22 3.4 Simulations of optical properties

23 In WRF-Chem, aerosol optical properties are calculated at four specific wavelengths, 300nm,
24 400nm, 600nm, and 1000nm, while AOD observations from CSHNET, CALIPSO are not at
25 these four wavelengths. To evaluate model performance of simulating AOD, we derived AOD at
26 observation wavelengths based on Angstrom exponent relation (Schuster et al., 2006). ~~Figure S5~~
27 ~~(see supporting information) compares simulated and observed AOD at 500nm in Beijing city (a),~~
28 ~~Beijing forest (b), Baoding city (c) and Cangzhou city (d).~~ In severe haze days, AOD could not
29 be retrieved, so the observed AOD data in some days are missing. At all four stations, Mm
30 agrees very well with surface AOD observations at all four stations (supporting informaiton

1 [Figure S3](#)). ~~Figure S5 (see supporting information) compares simulated and observed AOD at~~
2 ~~500nm in Beijing city (a), Beijing forest (b), Baoding city (c) and Cangzhou city (d).~~

3
4 CALIPSO retrievals provide vertical curtains of aerosol and clouds. Figure [3-5](#) shows paths of
5 the CALIPSO satellite, simulated extinction coefficient and observed plume top, and simulated
6 AOD and CALIPSO retrieved AOD at 532nm at three moments: January 14 12:00(CST) (a-c),
7 January 21 02:00(CST) (d-f), and January 21 12:00(CST) (g-i), respectively. There were no
8 retrievals in the NCP during haze days. Figure [35](#)(a), (d) and (g) show that [the](#) CALIPSO
9 satellite passed over the NCP region at these three moments. Simulated extinction coefficient
10 matches observed plume top (Figure [35](#)(b), (e) and (h)), indicating that the model captures the
11 vertical distributions of aerosols. The model also has good performance in simulating AOD at
12 532nm, although underestimations happen around latitude 36°N (Figure [35](#)(c), (f) and (i)).

13
14 The model is shown to be capable of simulating the major meteorological and chemical
15 evolution of this haze event. As spatial and vertical profiles of the haze period are incomplete or
16 missing in the satellite retrievals and ground stations only provide point estimates, we can use the
17 model to understand the haze spatial, vertical and temporal evolution, as discussed in the
18 following sections.

19

20 **4 Results and Discussions**

21 **4.1 Meteorological conditions and evolution of air pollutants**

22 The evolution of the spatial distributions of the haze event is shown in Figure [46](#), where the
23 horizontal distributions of PM_{2.5} and wind vectors are plotted every 12 hours from January 14
24 00:00 to January 21 00:00. In the second plot (January 14 12:00), [air flows converged at the](#) NCP
25 surface areas ~~were controlled by a low pressure system and air flows converged~~, resulting in a
26 small increase of PM_{2.5} concentration. From January 14 00:00 to January 16 00:00, PM_{2.5}
27 concentration over the NCP was generally below 120µg/m³. From January 16 to January 18,
28 Beijing and surrounding areas were controlled by a weak high pressure system ([Zhao et al.](#),

1 | [2013](#)). During this period, large amounts of emissions in the NCP accumulated and the persistent
2 | southerly winds brought some air pollutants ~~upwards-northward~~ to Beijing and southern Hebei
3 | areas. The weak high pressure system was replaced by a low pressure system that lasted until
4 | January 20, ~~and this weather condition was not conducive for dispersion of air pollutants (Zhao~~
5 | ~~et al., 2013). The weak high pressure system was too weak to disperse air pollutants and the~~
6 | ~~replaced low pressure system aggravated the accumulation of air pollutants.~~ On January 19, the
7 | NCP haze was in the worst state, with $PM_{2.5}$ concentrations above $350\mu g/m^3$ in south NCP. From
8 | January 20, strong northerly winds dispersed the accumulated air pollutants and the haze ended.

9 |
10 | To illustrate the vertical structure of the haze, vertical cross sections of $PM_{2.5}$ concentration and
11 | clouds are presented in Figure [S7-7](#) (~~see supporting information~~). The cross section location is
12 | ~~diagonally cuts the region with the lower left corner of 34N, 110E to the upper corner at 44N,~~
13 | ~~122E (see supporting information Figure S4), shown in Figure S6 S4 (see supporting~~
14 | ~~information)~~. There were two highly polluted points (around latitudes 35 and 39) and they started
15 | merging as one from January 18 12:00 (Figure [S77](#)). At that time, southerly winds blew air
16 | pollutants northwards (Figure [46](#)) and the polluted region was expanded. On January 19, there
17 | were fog and/or clouds near the surface and the impacts of fog and/or clouds will be discussed in
18 | section 4.2.

19 |
20 | Further details of the evolution of the haze are shown in the temporal variations of $PM_{2.5}$
21 | concentrations in Shijiazhuang, Tianjin and Chengde (marked in Figure S1) in Figure [S8](#) (~~see~~
22 | ~~supporting information~~). All three sites show similar temporal variations. Around noon of
23 | January 15, $PM_{2.5}$ concentrations in Shijiazhuang, Chengde and Beijing increased at nearly the
24 | same time, labeled by red arrow in Figure [S8](#). Air pollutants started accumulating when the NCP
25 | was controlled by the weak and stable weather conditions. Compared to Shijiazhuang and
26 | Beijing, the capital city of Hebei province and the capital of China, $PM_{2.5}$ concentrations in
27 | Chengde were lower (Figure [S8](#)). It was estimated that there are more than 8100 coal-fired
28 | boilers and industrial kilns in Shijiazhuang city (Peng et al., 2002), resulting in high intensity of
29 | emissions in Shijiazhuang. On January 20, Chengde was the first to show sharp decrease of

1 PM_{2.5} concentrations, followed by Beijing and Shijiazhuang, corresponding to the northerly wind
2 impacts discussed above.

3
4 To better understand the relationships between meteorological factors and pollution levels, time
5 series of different pairs of variables are shown in Figure 59. CO shows very high correlation with
6 PM_{2.5} (Figure 59(a)), which is consistent with the observation and modeling results in Santiago,
7 Chile (Perez et al., 2004; Saide et al., 2011), and shows the large contribution of primary sources
8 (including gaseous precursors) to PM_{2.5}. Secondary aerosol formation also plays a role as PM_{2.5}
9 peaks on the 19th while CO peaks on the 18th. RH and wind speed are two important factors
10 affecting the concentrations of aerosols. RH has similar variations as PM_{2.5} concentration (shown
11 in Figure 59(a) and 5(b)). The NCP is close to the sea and under the slow southerly flows,
12 temperature and RH increase along with PM_{2.5}. During the haze event, RH values were generally
13 above 40% and wind speeds were below 2 m/s (Figure 59(b)). Low wind speed is unfavorable
14 for the dilution of air pollutants and high RH would accelerate the formation of secondary
15 species, such as sulfate and nitrate, to aggravate the pollution level (Sun et al., 2006). NO_x
16 concentrations show similar variations as PM_{2.5}, indicating the buildup of concentrations during
17 the wind speed stagnation. Ozone shows lower concentrations during haze event (Figure 59(c))
18 because high aerosol loadings produce low photochemical activity due to decrease in UV
19 radiation. The concentrations have an inverse relationship with PBL Height (PBLH) as shown in
20 Figure 59(d). Diurnal maximums of PBLHs were mostly below 400m and PBL collapsed at
21 night during the haze event, indicating aerosols were trapped near the surface. On January 21 and
22 22, PBLHs were between 800 and 1000 meters, which helped diffuse and dilute the air pollutants,
23 resulting in a decrease in concentration. The relationships between these variables are further
24 discussed with respect to the influences of aerosol feedback mechanism in section 4.4.

25
26 Figure 6-10 shows the temporal variations of vertical profiles of simulated PM_{2.5} concentration
27 (a), temperature (b), RH (c) and wind speeds (d) at the Beijing site. PM_{2.5} was accumulated
28 below 500m and concentrations reached peak values around January 18 00:00 (Figure 610(a)),
29 when a strong temperature inversion happened over Beijing (Figure 610(b)), which inhibited
30 vertical atmospheric mixing. A strong temperature inversion also happened on January 19

1 (Figure 610(b)). From January 16 to 19, RH was mostly higher than 50% and reached a peak on
2 the night of January 19 (Figure 610(c)). As a result, air pollutants released into the atmosphere
3 were trapped in the moist atmosphere and accumulated as near surface horizontal winds were
4 very weak (below 1.5m/s) during the haze period (Figure 610(d)). As mentioned above, the high
5 RH enhances the formation of secondary species, which will be discussed in the following
6 section.

8 4.2 Evolution of aerosol composition during haze

9 As shown above, during haze events, aerosols build up due to low mixing heights and low wind
10 speeds. An important question is what is the role of secondary aerosol formation during such
11 events? Previous measurement studies have found that the increase of secondary inorganic
12 pollutants could be considered as a common property of haze pollution in East China (Zhao et al.,
13 2013). ~~However, few modeling studies have focused on the chemical characteristics, especially~~
14 ~~the secondary aerosol formation during haze.~~ The observed and simulated chemical species of
15 PM_{2.5} in Beijing are shown in Figure 711(a) and 711(b), respectively. Observed secondary
16 inorganic aerosols (SIA) (NH₄⁺, SO₄²⁻, NO₃⁻) increased significantly during the haze episode and
17 accounted for 37.7% of PM_{2.5} mass concentration (Zhao et al., 2013). Primary OC, BC, sulfate,
18 nitrate and ammonium accounted for the major parts of the simulated PM_{2.5} during haze. Table 2
19 4 summarizes the mean concentrations of primary aerosols (primary OC and BC) and SIA (NH₄⁺,
20 SO₄²⁻, NO₃⁻) in non-haze days, and in the most serious haze day. The primary aerosols
21 increased by a factor of 11.84.0 from non-haze days to haze days. The SIA also increased from
22 non-haze days to haze days, which agrees with the observation (Tan et al., 2009; Zhao et al.,
23 2013). The SIA increased by a factor of 33.47.6 from non-haze days to haze days. The increasing
24 factors for observed primary aerosols and SIA are 2.9 and 6.9, which are close to those factors
25 from simulations. However, the amounts of sulfate are underestimated by WRF-Chem, compared
26 with the observation in Figure 711(a) from Zhao et al. (2013). Tuccella et al. (2012) pointed out
27 that the underestimation of simulated sulfate could be due to the underestimation of SO₂ gas
28 phase oxidation, errors in nighttime boundary layer height predicted by WRF-Chem, and/or the
29 uncertainties in aqueous-phase chemistry. It could also be caused by the missing heterogeneous
30 sulfate formation in current model (He et al., 2014a; Wang et al., 2014d; Zheng et al., 2014a). As

1 discussed earlier, the SO₂ gas phase concentrations at this site were overestimated. Adding
2 reaction pathways to produce sulfate aerosol would improve both the predictions of sulfate
3 (increase) and SO₂ (decrease) (He et al., 2014a; Wang et al., 2014c; Zheng et al., 2014a).

4
5 We investigated the role of aqueous phase chemistry during the haze event. ~~Figure S9 (see~~
6 ~~supporting information) shows the contribution of aqueous chemistry to PM_{2.5} (calculated as the~~
7 ~~difference between with and without cloud chemistry scenarios).~~ The aqueous phase pathway
8 can reach a level of over 50 µg/m³ around the Beijing area, accounting for a significant part
9 (about 14.3%) of total PM_{2.5} concentration (see supporting information Figure S5). As shown in
10 Figure S7, fog/clouds existed near the surface on January 19 and this corresponds to the PM_{2.5}
11 difference on that day in Figure S9 due to aqueous phase pathway. The sulfate production in
12 aqueous phase may be higher than shown ~~here in this study due to~~ after adding missing aqueous-
13 phase reactions. The impacts of heterogeneous reactions on sulfate production will be
14 investigated in future studies.

15 As shown in Figure 711(a) and 7(b), the model underestimates OC. To evaluate the formation of
16 Secondary Organic Aerosol (SOA) during the haze event, the RADM2/MADE-SORGAM model
17 was used. The CBMZ/MOSAIC version used is not capable of simulating SOA formation
18 because CBMZ was hard-wired with a numerical solver in WRF-Chem and thus SOA
19 condensable precursors could not be directly added into it (Zhang et al., 2012). RADM2 is an
20 upgrade of RADMI and it gives more realistic predictions of H₂O₂ (Stockwell et al., 1990), and
21 Schell et al., (2001) incorporated SOA into the Modal Aerosol Dynamics Model for Europe
22 (MADE) (Ackermann et al., 1998) by means of the Secondary Organic Aerosol Model
23 (SORGAM). SORGAM treats anthropogenic and biogenic aerosol precursors separately and
24 eight SOA compounds are considered, of which four are anthropogenic and the other four are
25 biogenic (Schell et al., 2001). Predicted Anthropogenic SOA (ASOA), biogenic SOA (BSOA)
26 and Primary Organic Aerosol (POA) in Beijing are shown in Figure 711(c). SOA indeed shows a
27 marked increase from non-haze days to haze days, but the amount of SOA is very small
28 compared with POA. The highest SOA concentrations in China are usually found in summer and
29 in Central China (Jiang et al., 2012). In addition, almost all of the simulated SOA are ASOA.
30 Jiang et al. (2012) also concluded that in winter, the fractions of ASOA are larger than 90% in

1 north China. Biogenic emissions are usually controlled by solar radiation and temperature, and
2 solar radiation is weaker and temperature is lower in winter compared with summer. Moreover,
3 the high isoprene, API (α-pinene and other cyclic terpenes with one double bond) and LIM
4 (limonene and other cyclic diene terpenes) emissions are located below 30 °N and in Northeast
5 China (Jiang et al., 2012), not in the NCP, so the SOA concentrations are not high in this winter
6 haze event period in the NCP. As shown in Table 24, the mean SOA concentration in non-haze
7 days is 0.15 μg/m³ and in the most serious haze day is 8.2 μg/m³. The factor increase of SOA from
8 non-haze days to haze day is 8.2, which is lower than that of primary aerosols and much lower
9 than that of SIA. The SOA formation in winter has not been well studied and it might be
10 underestimated by the model as it could have missing pathways to SOA formation. Further work
11 is needed to improve the underestimation of SOA formation in the winter.

12

13 4.3 Impacts of surrounding areas on haze in Beijing

14 Previous studies found that both local emissions and regional transport have significant
15 contributions to the high fine particle levels in Beijing (Yang et al., 2011). ~~In section 4.1, we~~
16 ~~mentioned that there exists a high correlation between CO and PM_{2.5} concentrations. Figure S10~~
17 ~~(see supporting information) shows the correlations of PM_{2.5} and CO concentrations in Beijing (a)~~
18 ~~and SDZ (b). The correlation coefficients are 0.91 and 0.96, respectively. According to these~~
19 ~~high correlations, we can use CO transport to represent PM_{2.5} transport to quantify the local and~~
20 ~~regional contributions to the Beijing haze. CO tracer tests~~ Two A sensitivity simulations were was
21 conducted in two simulations to quantify the contributions of surrounding areas to haze in
22 Beijing: one with when Beijing local CO emissions on and the other one with Beijing local
23 emissions were turned off. The ratio of PM_{2.5} CO in Beijing when Beijing emissions are turned
24 off to PM_{2.5} CO in Beijing when Beijing emissions are on represents the non-local contributions.
25 It can reach above 87% during haze (see supporting information Figure ~~S4+S6~~) and the average
26 contribution is about 64.547.8% from January 16 to January 19. ~~These contribution values can be~~
27 ~~used to represent the non-local contributions to the PM_{2.5} levels in Beijing during haze.~~

28 To figure out the dominant transport paths, FLEXPART-WRF (Stohl et al., 1998; Fast and
29 Easter, 2006) was used to generate 72-hour backward dispersions around the Beijing area. 50000
30 particles were released backwards from a box (1 degree×1 degree×400m), the center of which is

1 Beijing urban area, from January 19 00:00. The number concentrations of particles were plotted
2 at 6 hours before, 12 hours before, 24 hours before and 48 hours before the released time (Figure
3 [812](#)). For 12 hours, Beijing was influenced by sources to the south, including sources from south
4 Hebei, [Tianjin](#) and Shandong. For 2 days, more sources contributed to the haze buildup in
5 Beijing, including sources from Henan and Inner Mongolia. A number of coal mines are located
6 in Hebei, Shandong and Henan provinces and Inner Mongolia areas have high emissions of
7 primary aerosols.

8

9 **4.4 The impact of aerosol feedback**

10 Aerosols affect weather and climate through many pathways, including reducing downward
11 solar radiation through absorption and scattering (direct effect), changing temperature, wind
12 speed, RH and atmospheric stability due to absorption by absorbing aerosols (semi-direct effect),
13 serving as cloud condensation nuclei (CCN) and thus impacting optical properties of clouds (first
14 indirect effect), and affecting cloud coverage, lifetime of clouds and precipitation (second
15 indirect effect) (Zhang et al., 2010; Forkel et al., 2012). The feedback mechanisms are complex
16 and many aspects of them are not well understood. Although previous studies have investigated
17 aerosol-radiation-meteorology interactions (Y. Zhang et al., 2010; R. Forkel et al., 2012), the
18 studies on short time scale events with high aerosol loadings, such as haze events, are limited.
19 This section focuses on evaluating the impacts of aerosol feedback mechanism on meteorology
20 and air quality. The feedback discussed in this paper only includes aerosols' direct and semi-
21 direct effects.

22

23 **4.4.1 Impact of feedback on meteorology and PM_{2.5} distribution**

24 Figure [913](#)(a) shows the observed daily maximum surface solar radiation and simulated surface
25 solar radiation ~~in-for the~~ with feedback (WF) and without feedback (NF) scenarios in Beijing.
26 Simulated daily surface maximum surface shortwave radiation ~~values in-for the~~ NF feedback
27 scenario are higher than observations and the overestimations are reduced by implementing
28 aerosol feedback (Figure [913](#)(a)). ~~In-For the NF scenario case~~, the correlation coefficient R
29 between simulated and observed daily maximum surface shortwave radiation is 0.84 in Beijing;

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1 ~~for the WF scenario, the correlation coefficient increased to $R=0.93$, and the haze reduced the~~
2 ~~shortwave radiation values by 30 to 80%. In WF scenario, simulated and observed surface~~
3 ~~shortwave radiation are highly correlated, with 0.93 R value in Beijing, proving that high~~
4 ~~concentrations of aerosols can significantly affect radiative transfer. Ding et al. (2013)~~
5 ~~investigated the influence of aerosols on weather during biomass burning episodes using~~
6 ~~radiation measurements and drew similar conclusions. However, their study did not include the~~
7 ~~evaluation of aerosol feedbacks from a modeling perspective.~~

8
9 The changes in radiation have impacts on the environment. Simulated PBLH and $PM_{2.5}$
10 concentration at Shijiazhuang ~~in for the~~ WF and NF scenarios are shown in Figure 913(b) and
11 913(c). In non-haze days, PBLH differences between the two scenarios are negligible due to low
12 aerosol loadings. In haze days, PBLHs in the WF scenario are generally lower (by up to 60%)
13 than in the NF scenario. As shown in Figure 913(c), $PM_{2.5}$ concentration at Shijiazhuang in WF
14 scenario is higher than it in the NF scenario and the difference reaches about $50\mu\text{g}/\text{m}^3$ on January
15 19. Aerosols affect PBLHs in two ways: (1) radiation is scattered back to sky and absorbed, and
16 as a result, radiation reaching the surface is reduced (Figure 913(a)) and so ~~is~~ temperature ~~is~~
17 ~~lowered~~; and (2) suspended aerosols like BC absorb radiation to heat the upper PBL (Ding et al.,
18 2013). Both of these ways increase temperature inversion and atmospheric stability, and thus
19 exacerbate $PM_{2.5}$ pollution.

20 Figure 40-14 shows temporal variations of vertical profiles of (a) $PM_{2.5}$ (c) RH (e) temperature (g)
21 wind speeds differences in Beijing between WF and NF scenarios. When aerosol feedback is
22 included, $PM_{2.5}$ concentrations near Beijing surface are mostly increased, except on the morning
23 of January 17, on the afternoon of January 18 and on January 19 (Figure 4014(a)). The increases
24 of $PM_{2.5}$ are caused by the above mentioned ~~increases of temperature inversion~~ ~~decrease of~~
25 ~~temperature gradient from surface to aloft~~ (shown in Figure 4014(e)) and atmospheric stability.
26 Apart from these, $PM_{2.5}$ concentrations are also affected by RH and wind speeds. In WF scenario,
27 RH is generally increased near ~~the~~ surface, especially on January 19 (Figure. 4014(c)), while
28 horizontal wind speeds are also increased on January 19, which is the main cause of decreases of
29 $PM_{2.5}$ concentrations in Beijing.

1 To evaluate the impact of aerosol feedback on horizontal meteorological fields and PM_{2.5}
2 distributions, averaged differences of PM_{2.5} concentrations, temperature, PBLHs and horizontal
3 winds between WF and NF scenarios at 2p.m. and 2a.m. in haze days (from January 16 to 19)
4 were calculated and are shown in Figure ~~44~~15. Figure ~~44~~15(c) shows that PBLHs are reduced in
5 almost all NCP areas when aerosol feedbacks are considered at 2p.m.. At 2p.m., PM_{2.5}
6 concentrations are increased about 20μg/m³ at Shijiazhuang (114.53°E, 38.03°N). In a few
7 locations (the areas ~~below to the south of~~ Beijing (Figure ~~44~~15(a))), PM levels are decreased,
8 although PBLHs are suppressed in those areas. The decreases of PM_{2.5} concentrations in the
9 areas ~~below south of~~ Beijing are due to big horizontal wind changes, shown in Figure ~~44~~15(g).
10 When aerosol feedback is included, surface temperature is reduced in areas where there are high
11 aerosol loadings (Figure ~~44~~15(e)). Figure ~~44~~15(d) shows that PBLHs are enhanced in east and
12 southwest NCP areas at 2a.m. with aerosol feedback. Aerosol feedback mechanism at night time
13 is more complex compared to it at day time. At night, there is no incoming shortwave radiation
14 from the sun and major radiation is the long wave radiation emitted from the earth. The presence
15 of clouds and some kinds of aerosols can trap outgoing long wave radiation, and as a result, the
16 surface atmosphere is warmed. Different aerosols show different effects on long wave radiation.
17 Greenhouse gases (GHGs) absorb long wave radiation, while large particles like dust scatter long
18 wave radiation. As a result, the upper atmosphere temperature is likely to be warmer or cooler
19 than surface atmosphere temperature. If the upper atmosphere is warmer than the surface, a
20 stable PBL will form. This can explain why aerosol feedbacks increase PBL heights in some
21 regions and decrease in some other regions of NCP. Changes of PM_{2.5} concentrations at 2a.m. are
22 mainly caused by changed PBLHs (Figure ~~44~~15(b)), showing decreasing trends in areas where
23 PBLHs are enhanced, because changes of winds are relatively small (Figure- ~~44~~15(h)).
24 Temperature changes at 2a.m. are similar to it at 2p.m., but the magnitudes are smaller.

25

26 **4.4.2 Impact of BC absorption on meteorology and PM_{2.5} distribution**

27 To investigate BC's influence on meteorology and air quality, sensitivity tests were conducted by
28 removing BC absorption in WRF-Chem (i.e., imaginary refractive index set to zero). Figure ~~40~~
29 ~~14~~ shows temporal variations of vertical profiles of (b) PM_{2.5} (d) RH (f) temperature and (h)
30 wind speeds differences in Beijing between WF and NBCA scenarios. The differences between

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1 WF and NBCA can be used to represent impacts of BC absorption since in WF scenario both
2 scattering and absorbing are considered while in the NBCA scenario only scattering is
3 considered. It is obvious from Figure ~~10~~14(f) that the upper atmosphere is heated by BC,
4 especially at 1.5km, which increases temperature inversion and atmospheric stability. BC
5 absorption's impacts on PM_{2.5}, RH and wind speeds are similar to the impacts of both scattering
6 and absorption, but the magnitudes are smaller (Figure ~~10~~14(b), (d) and (g)).

7 Figure ~~12~~16 is similar to Figure ~~11~~15 except that the differences are between WF and NBCA
8 scenarios. At 2p.m., PM_{2.5} concentration is increased about 10µg/m³ in Shijiazhuang (114.53°E,
9 38.03°N), accounting for about 50% of PM_{2.5} changes due to the total aerosol feedback (Figure
10 ~~12~~16(a)). At 2p.m., PBL heights are decreased about 40-150m (Figure ~~12~~16(c)), accounting for
11 about 50% of those changes in Figure ~~11~~15(c). At 2p.m., surface temperature in high aerosol
12 loading areas are decreased about 0-2 °C (Figure ~~12~~16(e)), while the temperature decreases in
13 the same areas are above 2°C in Figure ~~12~~16(e). At 2a.m., changes of PM_{2.5}, PBLHs, surface
14 temperature and wind speeds are similar to Figure ~~11~~15, with smaller magnitudes.

15 The contribution of BC absorption in aerosol feedbacks depend on the model performance in
16 simulating BC and scattering aerosols (sulfate, OC). As shown in Figure 711, BC was
17 overestimated, and sulfate and OC were underestimated in Beijing. The overestimation could be
18 as large as a factor by 2 in some days. As a result, the contributions of BC absorption in aerosol
19 feedbacks ~~may have been overestimated in this study~~ are uncertain. To explore the uncertainties
20 of the BC absorption contribution, we conducted a simulations by reducing BC emissions by
21 50%. The changes of PBLH and PM_{2.5} concentrations at 2p.m. due to aerosol feedbacks and BC
22 absorption after BC emission changes are shown in Figure 137. The domain maximum increases
23 of PM_{2.5} concentrations because of aerosol feedbacks and BC absorption are 19.1µg/m³ and
24 10.21µg/m³, respectively. The domain maximum decreases of PBLH due to aerosol feedbacks
25 and BC absorption are 235.7m and 114.2m, respectively for the base and 50% BC emission cases.
26 These numbers are smaller than before because BC emissions were reduced by 50%. Due to 50%
27 perturbation in BC emissions, the contribution of BC absorption in aerosol feedbacks decreased
28 from about 60% to 50%. This number can be additionally reduced if OC and sulfate
29 concentrations are simulated well. ~~The underestimations of OC and sulfate were because some~~
30 ~~secondary formation pathways are missing in the current model.~~ In the future, we can get more

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1 | [accurate estimations of BC absorption in aerosol feedbacks after the performances of simulating](#)
2 | [BC, OC and sulfate are improved.](#)

3
4

5 | **5 Conclusions**

6 | In this study, the online coupled WRF-Chem model was used to reproduce the haze event
7 | happened in January, 2010 in the NCP. The model was evaluated against multiple observations,
8 | including surface observations of meteorological variables and air pollutants, atmospheric
9 | sounding products, surface AOD measurements, and satellite AOD measurements. The
10 | correlation coefficients between simulated and observed PM_{2.5} concentrations in Beijing, Tianjin
11 | and Xianghe stations are 0.77, 0.75 and 0.69, indicating that WRF-Chem provides reliable
12 | representation for the 2010 haze event in the NCP.

13

14 | This haze event is mainly caused by high emissions of air pollutants in the NCP region and
15 | stable weather conditions in winter. The haze built up almost simultaneously in major cities in
16 | the NCP and dissipated from north to south. During haze days, horizontal wind speeds and
17 | mixing heights were low, temperature inversion happened above surface and RH values were
18 | above 40%. Photochemistry was not significant during haze days due to weak UV radiation. In
19 | addition, secondary inorganic aerosols played an important role in the haze event. The role of
20 | cloud chemistry in this haze event cannot be ignored.

21

22 | ~~The contribution of non-local sources to PM_{2.5} in Beijing was also studied. CO was used to~~
23 | ~~represent PM_{2.5} to quantify non-local contributions to PM_{2.5} in Beijing based on high correlations~~
24 | ~~between them.~~ The average contribution is about 47.864.5% in haze days. The FLEXPART
25 | model was implemented to investigate the sources of the non-local contributions and results
26 | show that air pollutants from south Hebei, Tianjin city, Shandong and Henan provinces are the
27 | major contributors to the PM_{2.5} in Beijing.

28

1 Impacts of high aerosols in haze days on radiation, boundary layer heights and PM_{2.5} have been
2 demonstrated. When aerosol feedback is considered, simulated surface radiation agrees well with
3 observations. In haze days, aerosol feedback has important impacts on surface temperature, RH
4 and wind speeds, and these meteorological variables affect aerosol distribution and formation in
5 turn. The role of BC in aerosol feedback loop has also been investigated. It can account for about
6 as high as 65.7% of the PM_{2.5} increases, and 59.9% of the PBLH decreases in Shijiazhuang.
7 More attention should be paid to BC from both air pollution control and climate change
8 perspectives. Due to the underestimation of sulfate and OC, and overestimation of BC in the
9 current model, the contribution of BC absorption in aerosol feedbacks may have been
10 overestimated. We decreased the BC emission by 50%, and found that the contribution decreased
11 from about 60% to 50%. The uncertainty of this contribution can be additionally reduced by
12 improving the model performance in simulating sulfate and OC.

13 ~~50 % of the PM_{2.5} increases and 50% of the PBLH decreases in Shijiazhuang. More attention~~
14 ~~should be paid to BC from both air pollution control and climate change perspectives.~~

15
16 ~~This study still has some limitations. First, underestimation of sulfate and OC is a problem of~~
17 ~~WRF-Chem model. Further studies are needed to improve the simulation of sulfate and organic~~
18 ~~aerosols. Second, emissions have large uncertainties in Asia, which affect air quality simulations~~
19 ~~determinedly. Some advanced techniques, such as data assimilation, can be applied to reduce~~
20 ~~uncertainties in the future.~~

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29 emission inventory data are obtained from <http://www.meicmodel.org/>. The MOZART-4

1 chemical data are available at <http://www.acd.ucar.edu/wrf-chem/mozart.shtml>. Contact M. Gao
2 (meng-gao@uiowa.edu) or G.R. Carmichael (gcarmich@engineering.uiowa.edu) for data
3 requests.

4

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Table 1. Observation Data and Variables Used in This Study.

| <u>Data sets</u> ^a | <u>Variables</u> ^b | <u>Data frequency</u> | <u>Number of sites used</u> | <u>Data sources</u> |
|-------------------------------|--|-----------------------|-----------------------------|--|
| <u>CMDSSS</u> | <u>T2, RH2, WS10</u> | <u>Daily</u> | <u>4</u> | <u>http://cdc.cma.gov.cn/home.do</u> |
| <u>Atmospheric Sounding</u> | <u>T, RH</u> | <u>12 hours</u> | <u>1</u> | <u>http://weather.uwyo.edu/uppe_rair/sounding.html</u> |
| <u>CARE-China</u> | <u>PM_{2.5}, NO₂, SO₂</u> | <u>Hourly</u> | <u>3</u> | |
| <u>CSHNET</u> | <u>AOD</u> | <u>Hourly</u> | <u>4</u> | |
| <u>SDZ</u> | <u>T1.5, RH1.5, WS10, PM_{2.5}, NO₂, CO</u> | <u>Hourly</u> | <u>1</u> | <u>Zhao et al. (2013)</u> |
| <u>CALIPSO</u> | <u>AOD</u> | <u>N/A</u> | <u>N/A</u> | <u>http://www-calipso.larc.nasa.gov/</u> |
| <u>MODIS</u> | <u>AOD</u> | <u>Daily</u> | <u>N/A</u> | <u>http://ladsweb.nascom.nasa.gov/data/search.html</u> |

^aCMDSSS—China Meteorological Data Sharing Service System; CARE-China—Campaign on the atmospheric

Aerosol Research network of China; CSHNET—Chinese Sun Hazemeter Network; SDZ—Observation data at

1 [Shangdianzi site are extracted from paper Zhao et al. \(2013\); CALIPSO—The Cloud-Aerosol Lidar and Infrared](#)
 2 [Pathfinder Satellite Observation; MODIS—the Moderate Resolution Imaging Spectroradiometer. ^bT2— temperature](#)
 3 [at 2m; RH2—relative humidity at 2m; WS10—wind speed at 10m; T1.5—temperature at 1.5m; RH1.5—relative](#)
 4 [humidity at 1.5m; AOD—Aerosol Optical Depth.](#)

5
6 **Table 2. Performance Statistics for Meteorological Variables.**

| Variables | <u>Beijing</u> | | | | | <u>Tianjin</u> | | | | | <u>Baoding</u> | | | | | <u>Chengde</u> | | | | |
|------------------|----------------|--------------|-------------|------------|-------------|----------------|--------------|-------------|------------|-------------|----------------|--------------|-------------|------------|-------------|----------------|--------------|-------------|------------|-------------|
| | <u>Obs.</u> | <u>Mod.</u> | <u>MB</u> | <u>ME</u> | <u>RMSE</u> | <u>Obs.</u> | <u>Mod.</u> | <u>MB</u> | <u>ME</u> | <u>RMSE</u> | <u>Obs.</u> | <u>Mod.</u> | <u>MB</u> | <u>ME</u> | <u>RMSE</u> | <u>Obs.</u> | <u>Mod.</u> | <u>MB</u> | <u>ME</u> | <u>RMSE</u> |
| <u>T2(K)</u> | <u>269.5</u> | <u>267.6</u> | <u>-1.9</u> | <u>2.0</u> | <u>2.5</u> | <u>269.3</u> | <u>268.1</u> | <u>-1.1</u> | <u>1.2</u> | <u>1.5</u> | <u>270.4</u> | <u>268.5</u> | <u>-2.0</u> | <u>2.0</u> | <u>2.3</u> | <u>262.5</u> | <u>264.5</u> | <u>2.0</u> | <u>2.4</u> | <u>3.2</u> |
| <u>RH2 (%)</u> | <u>46.9</u> | <u>53.4</u> | <u>6.6</u> | <u>7.2</u> | <u>11.1</u> | <u>61.5</u> | <u>58.4</u> | <u>-3.1</u> | <u>5.9</u> | <u>6.4</u> | <u>44.4</u> | <u>52.5</u> | <u>8.1</u> | <u>8.1</u> | <u>10.4</u> | <u>59.4</u> | <u>55.0</u> | <u>-4.4</u> | <u>8.0</u> | <u>8.8</u> |
| <u>WS10(m/s)</u> | <u>2.1</u> | <u>3.4</u> | <u>1.3</u> | <u>1.3</u> | <u>1.6</u> | <u>2.8</u> | <u>3.2</u> | <u>0.4</u> | <u>1.0</u> | <u>1.1</u> | <u>1.4</u> | <u>2.8</u> | <u>1.4</u> | <u>1.4</u> | <u>2.1</u> | <u>1.4</u> | <u>2.9</u> | <u>1.5</u> | <u>1.5</u> | <u>1.8</u> |

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11 **Table 43. Performance Statistics of PM_{2.5}**

| | <u>Obs.</u> | <u>Model</u> | <u>R</u> | <u>MB</u> | <u>ME</u> | <u>NMB</u> | <u>NME</u> | <u>MFB</u> | <u>MFE</u> |
|----------------|---------------------------|---------------------------|-------------|---------------------------|---------------------------|--------------|-------------|--------------|-------------|
| | <u>(µg/m³)</u> | <u>(µg/m³)</u> | | <u>(µg/m³)</u> | <u>(µg/m³)</u> | <u>(%)</u> | <u>(%)</u> | <u>(%)</u> | <u>(%)</u> |
| <u>Beijing</u> | <u>111.7</u> | <u>122.1</u> | <u>0.77</u> | <u>-10.4</u> | <u>30.4</u> | <u>-8.5</u> | <u>24.9</u> | <u>0.4</u> | <u>26.3</u> |
| <u>Tianjin</u> | <u>103.3</u> | <u>141.2</u> | <u>0.75</u> | <u>-37.9</u> | <u>56.1</u> | <u>-26.9</u> | <u>39.7</u> | <u>-7.8</u> | <u>49.6</u> |
| <u>Xianghe</u> | <u>93.0</u> | <u>152.6</u> | <u>0.69</u> | <u>-59.7</u> | <u>68.0</u> | <u>-39.1</u> | <u>44.5</u> | <u>-21.8</u> | <u>50.7</u> |

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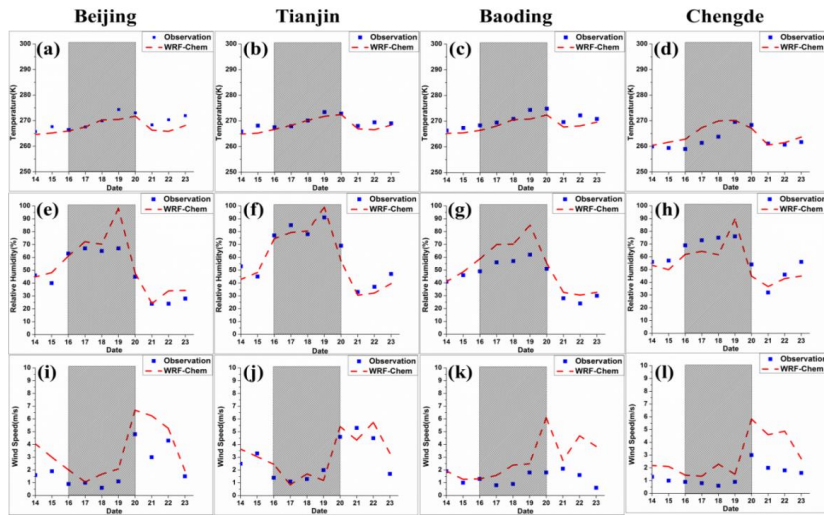
Table 24. Primary Aerosol, SIA and SOA ($\mu\text{g}/\text{m}^3$) during Haze Days and Non-haze Days in Beijing

| | Primary | SIA | SOA |
|---------------|-----------------|------------------|----------------|
| Haze days | <u>56.481.3</u> | <u>137.681.9</u> | <u>1.231.1</u> |
| Non-haze days | <u>14.26.9</u> | <u>4.110.8</u> | <u>0.150.3</u> |
| Ratio | <u>4.011.8</u> | <u>33.47.6</u> | <u>8.23.7</u> |

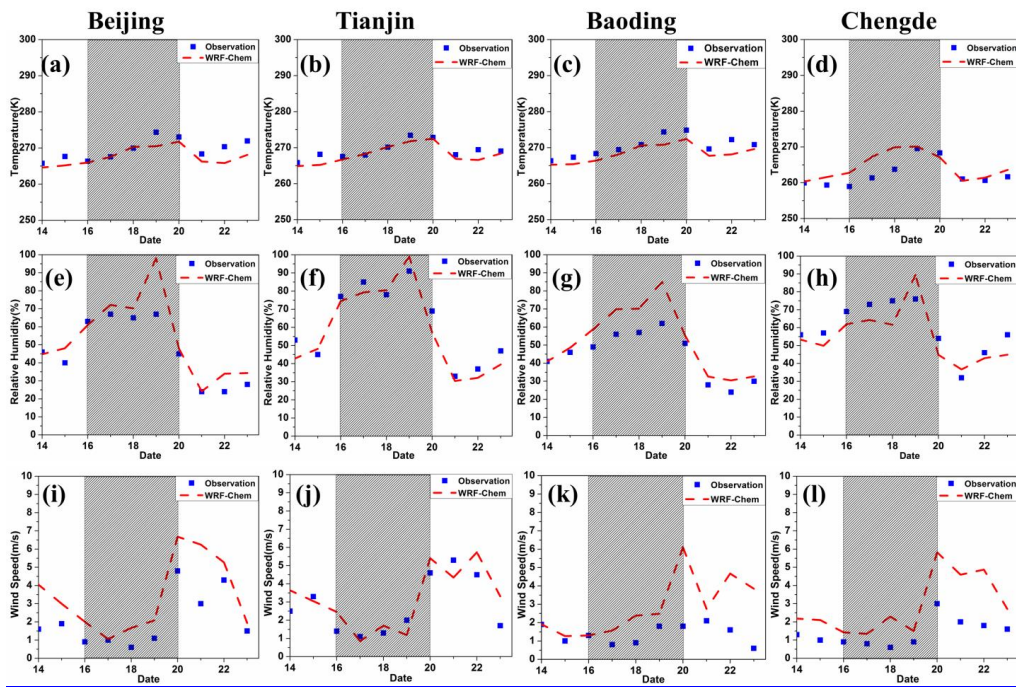
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 2 Figure 1. The temporal variations of observed and simulated 24-h average temperature (a-d),
 3 relative humidity (e-h) and wind speed (i-l) in the Beijing, Tianjin, Baoding, and Chengde
 4 stations.

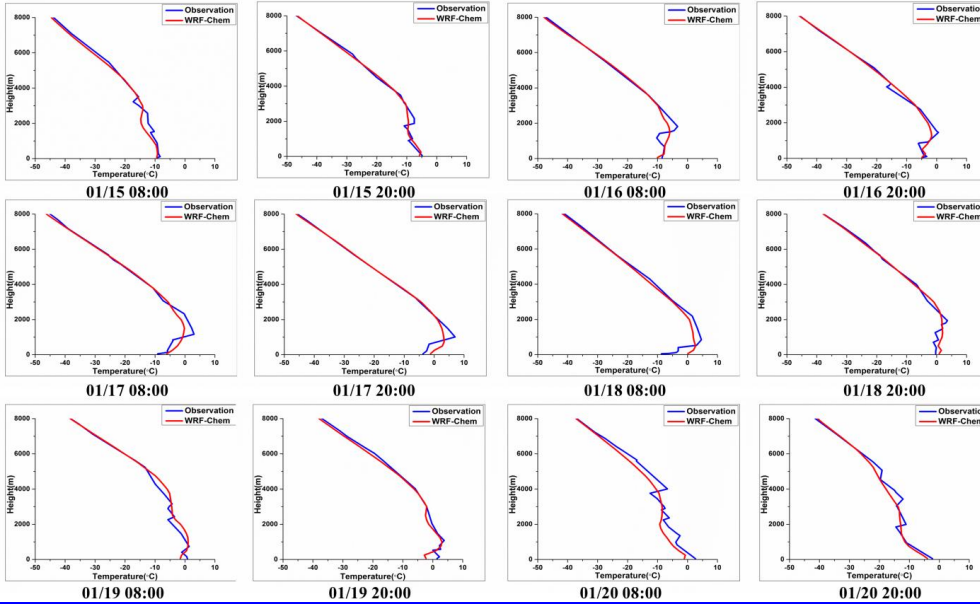


Figure 2. Simulated and observed vertical temperature profiles at 0800 and 2000 (China Standard Time, CST) from 15 January to 20 January.

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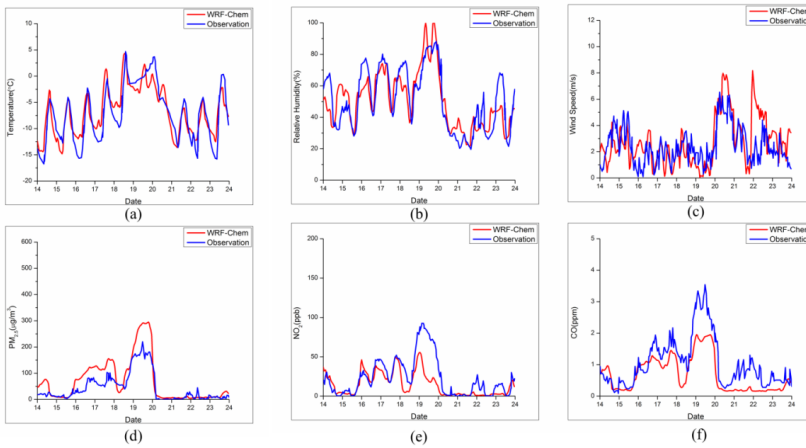
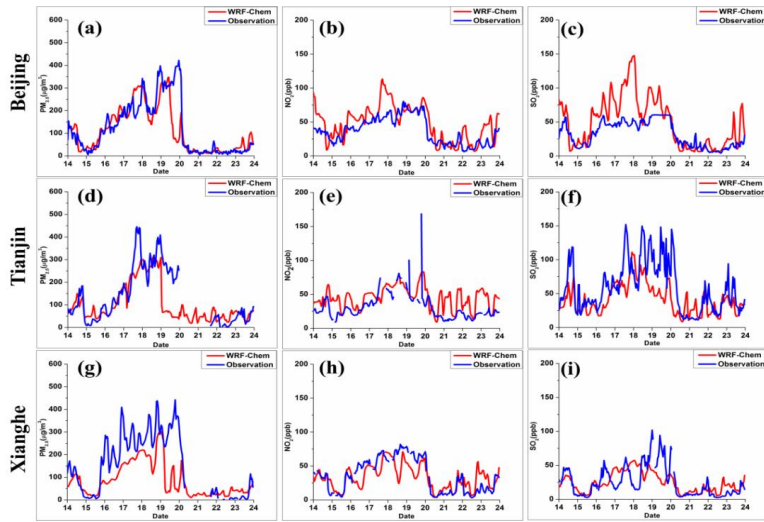


Figure 3. Simulated and observed hourly temperature, RH, wind speed, $PM_{2.5}$, NO_2 and CO in the Shangdianzi (SDZ) station.

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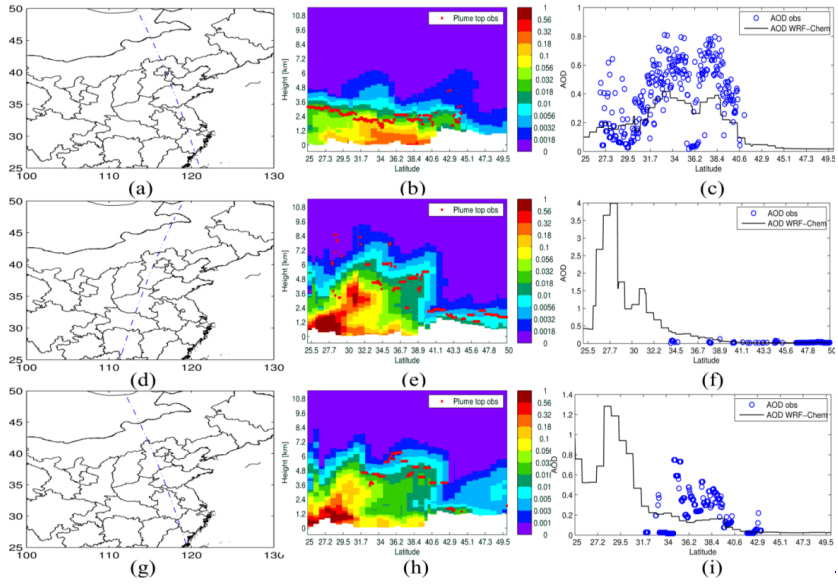
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Figure 24. Temporal variations of the simulated and observed $PM_{2.5}$, NO_2 and SO_2 at Beijing (a-c), Tianjin (d-f) and Xianghe (g-i) stations.

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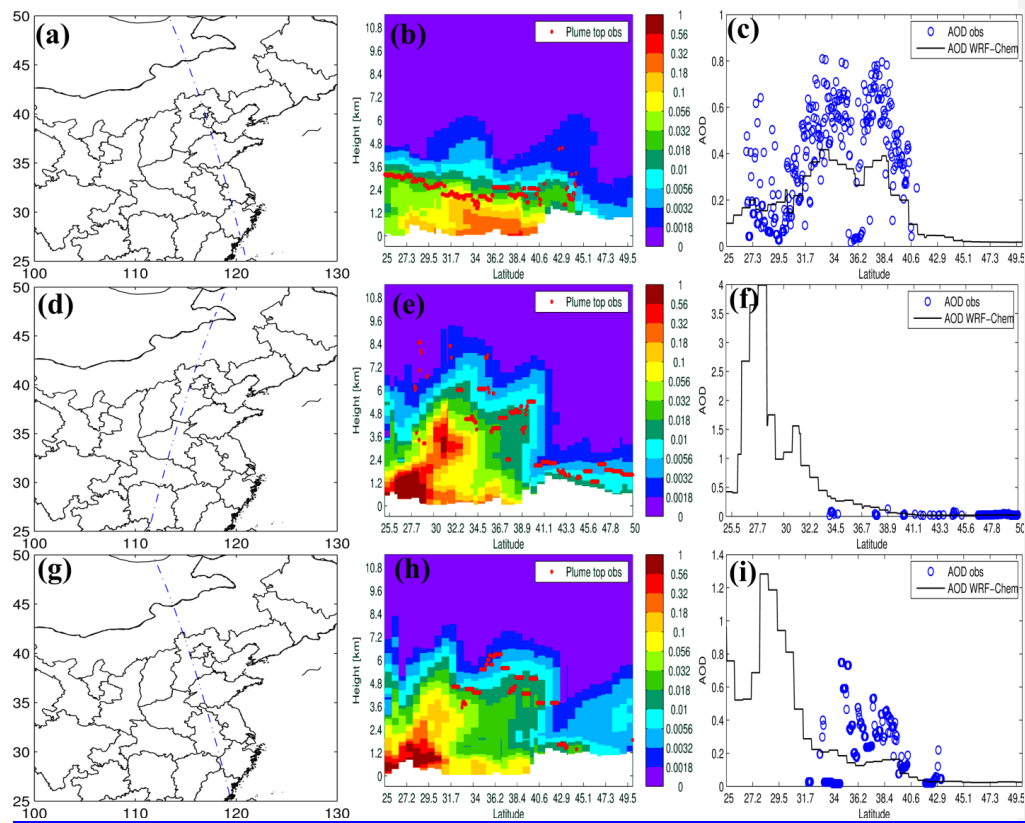
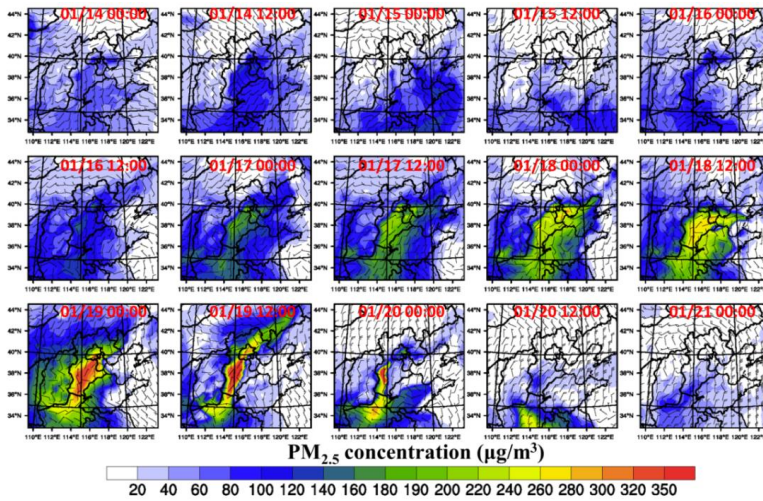
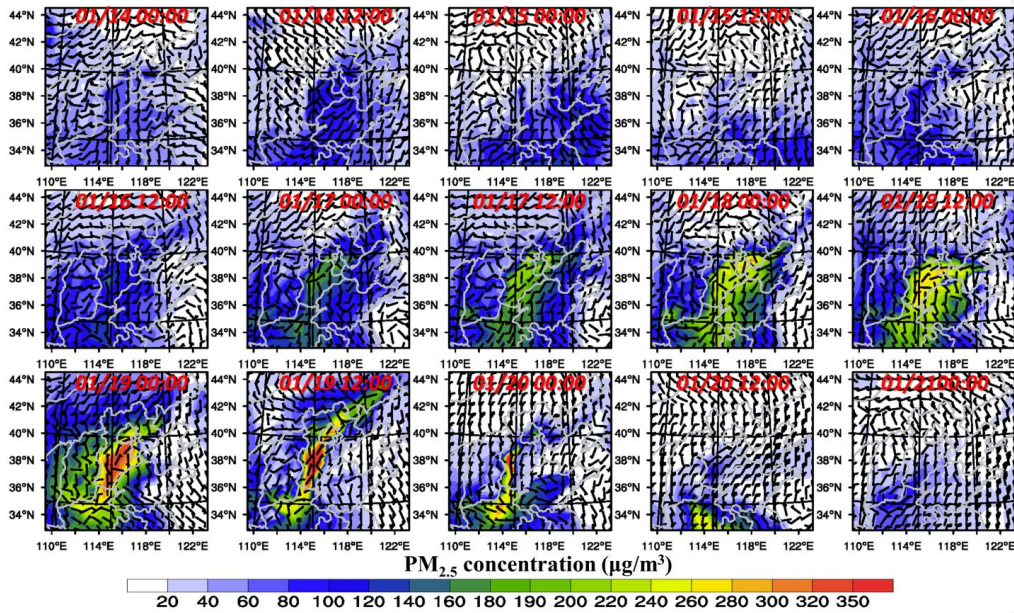


Figure 35. Routes of CALIPSO satellite, simulated extinction coefficient and observed plume top, and simulated AOD and CALIPSO retrieved AOD at 532nm at three moments: January 14 12:00(CST) (a-c), January 21 02:00(CST) (d-f), and January 21 12:00(CST) (g-i).

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3 Figure 64. $PM_{2.5}$ concentration from 14 January 00:00 to 21 00:00 January, plotted every 12
 4 hours.

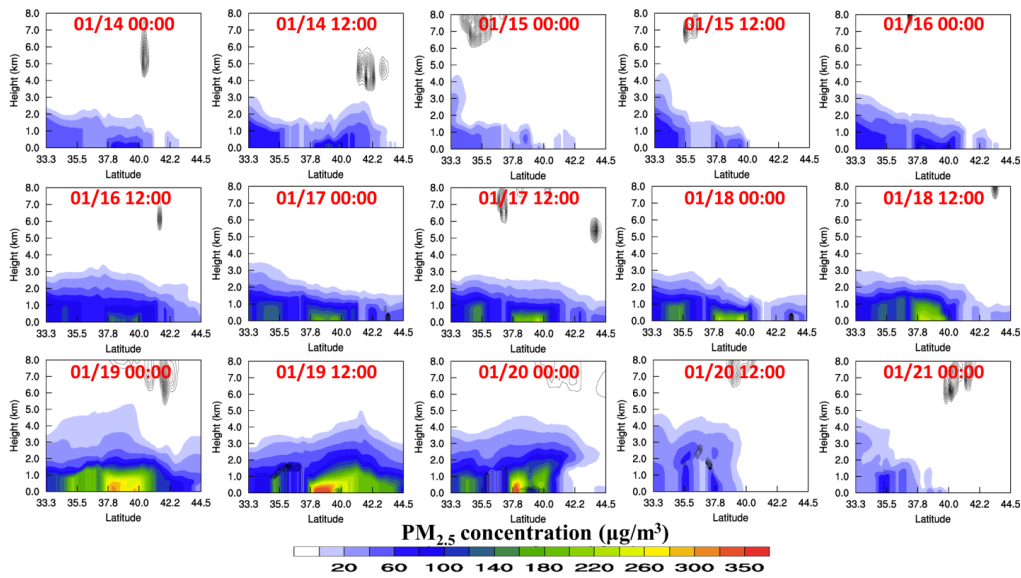


Figure 7. Cross section plots of $PM_{2.5}$ concentration and clouds from 14 January 00:00 to 21 00:00 January every 12 hours.

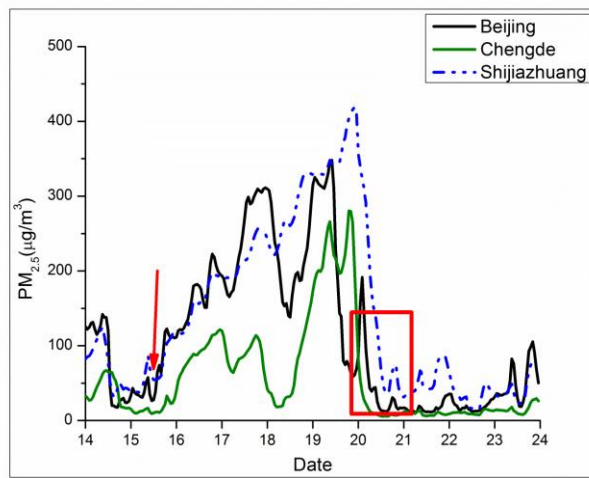
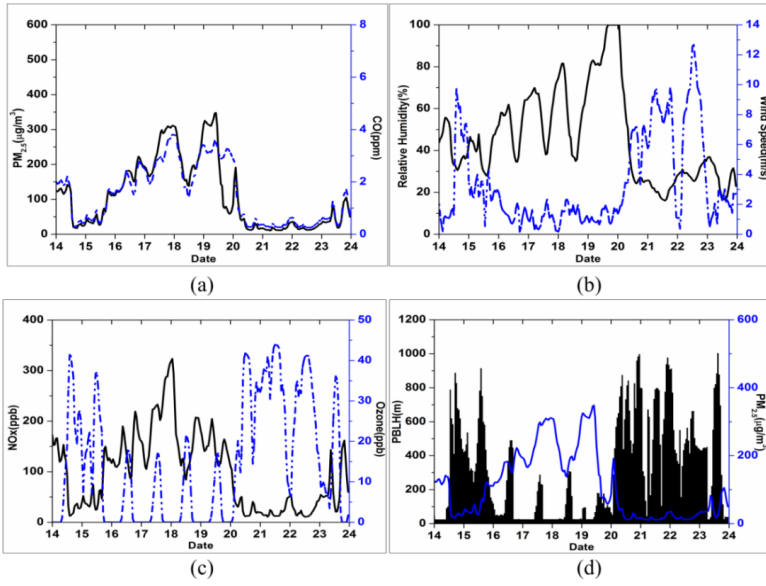


Figure 8. Temporal variations of simulated $PM_{2.5}$ at Shijiazhuang, Beijing and Chengde.

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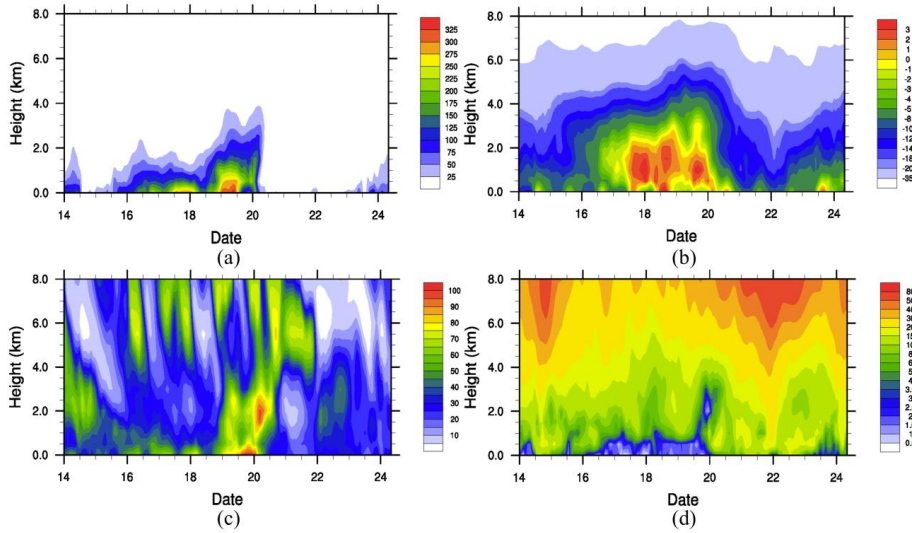
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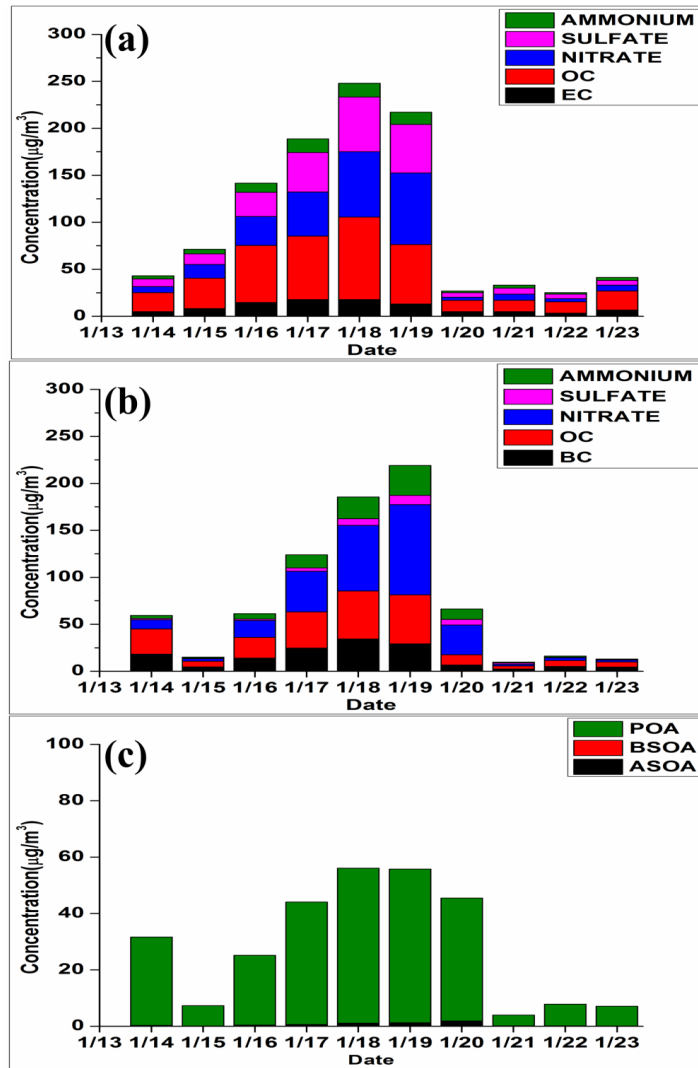
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Figure S9. Simulated temporal variations of meteorological and chemical variables in Beijing.



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1 | Figure 610. Temporal variations of vertical profiles of simulated (a) PM_{2.5} (unit: $\mu\text{g}/\text{m}^3$) (b)
 2 | temperature (unit: $^{\circ}\text{C}$) (c) RH (unit: %) (d) wind speeds (unit: m/s) in Beijing.



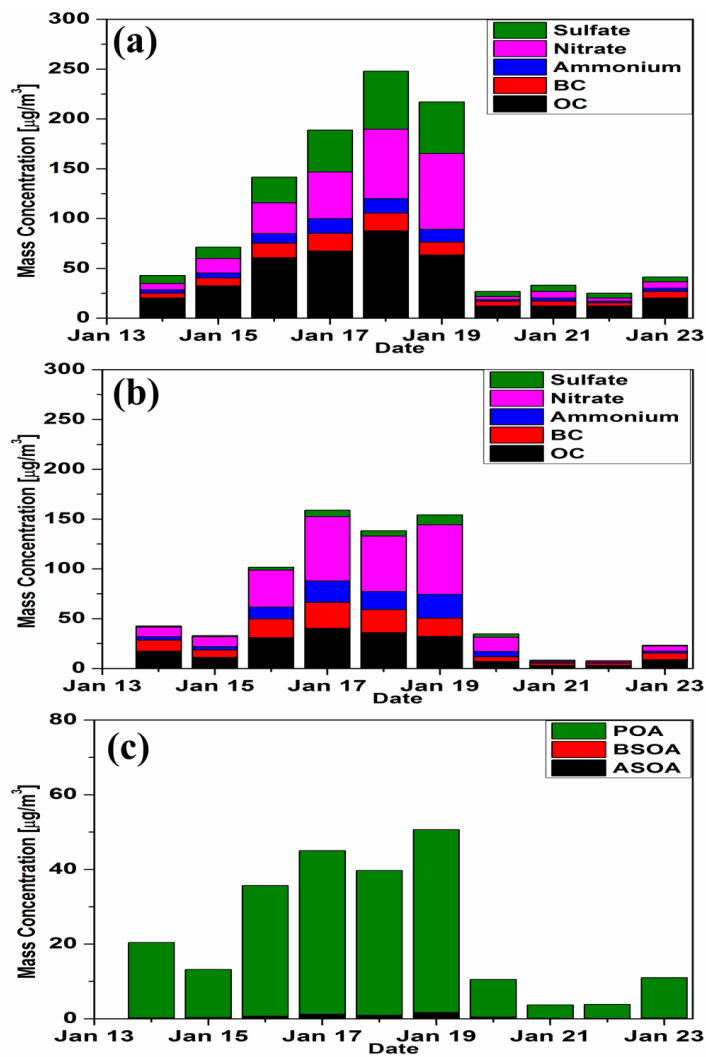
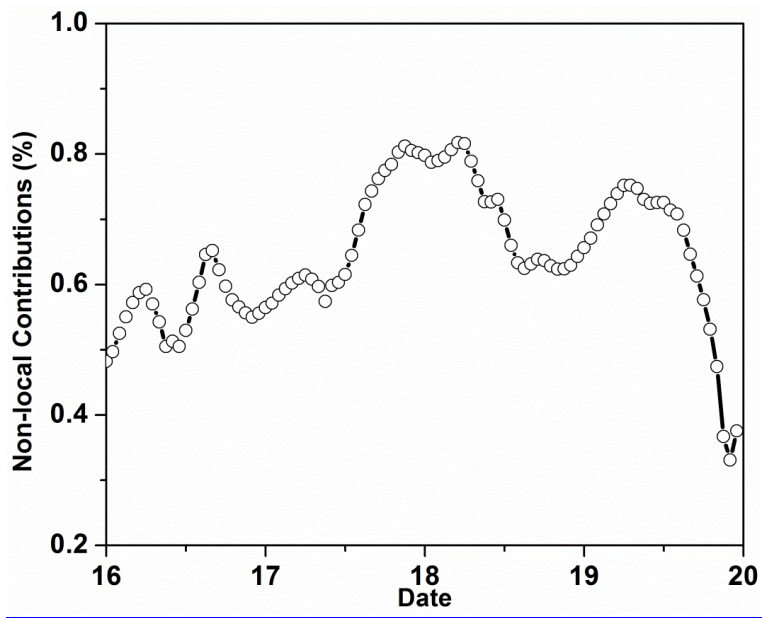


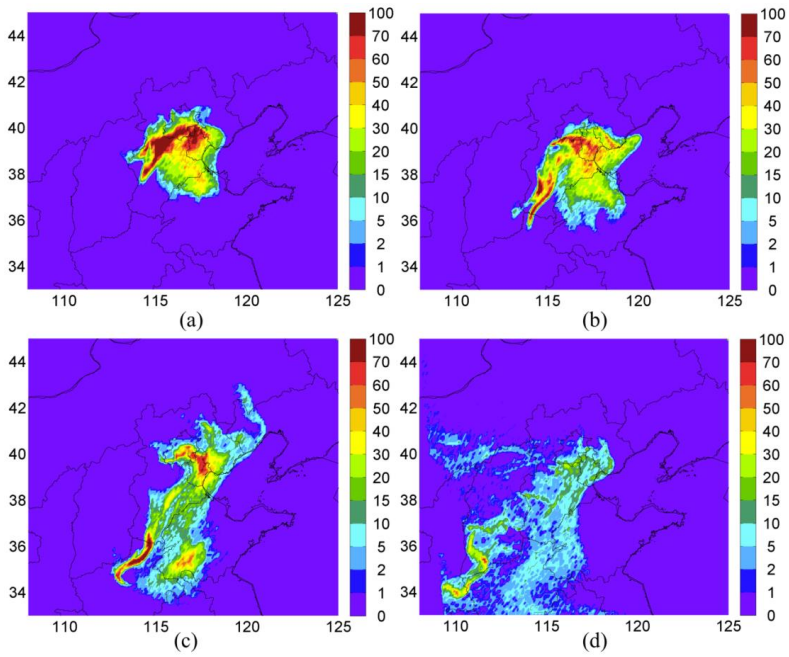
Figure 711. Observed (a) and simulated (b) chemical species of PM_{2.5} and simulated SOA (c) in the Beijing site.

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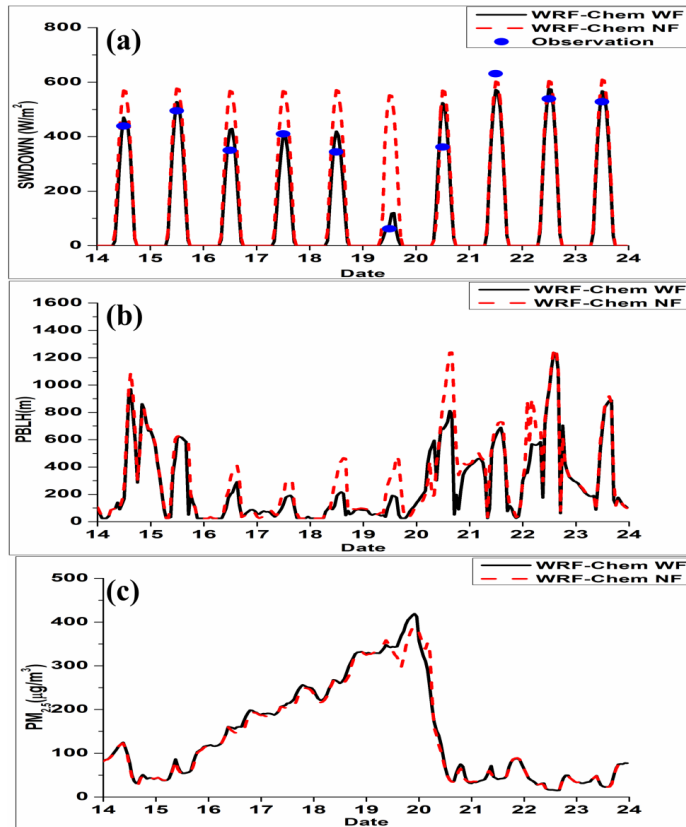
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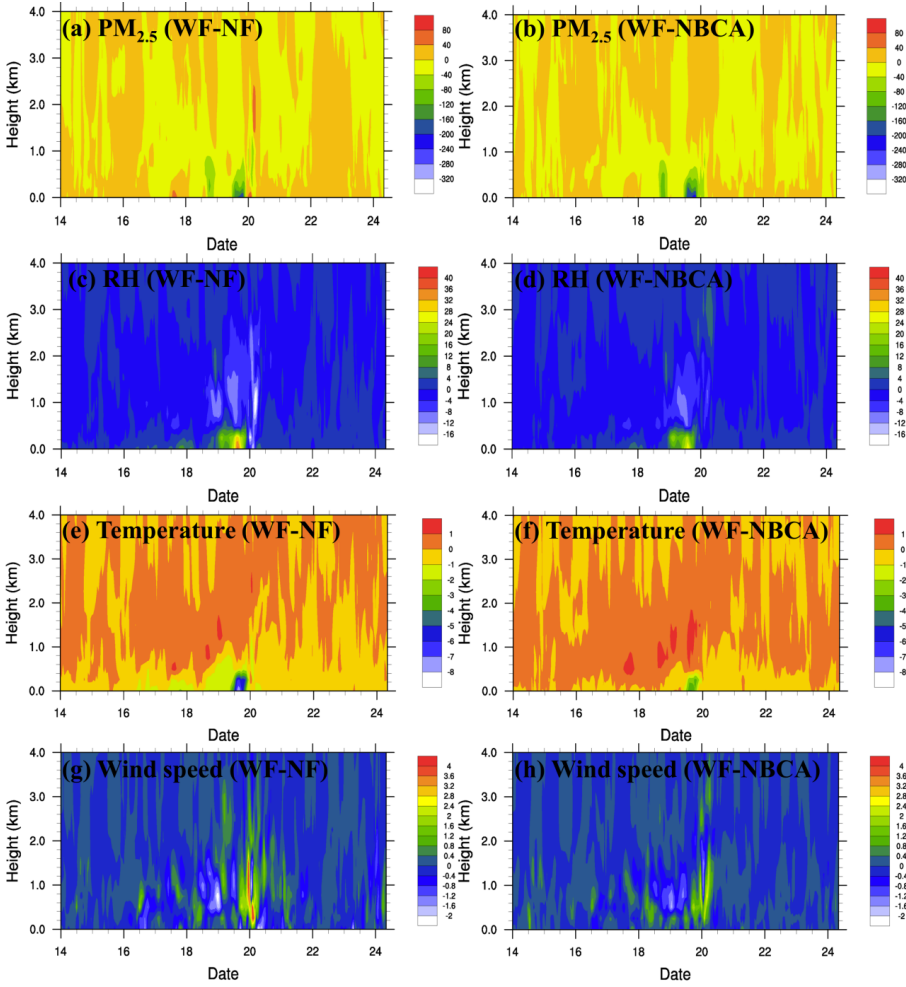
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1 | Figure 812. Backward dispersion of particles released on January 19 00:00, plotted 6, 12, 24, and
2 | 48 hours before being released (unit: number/grid cell).

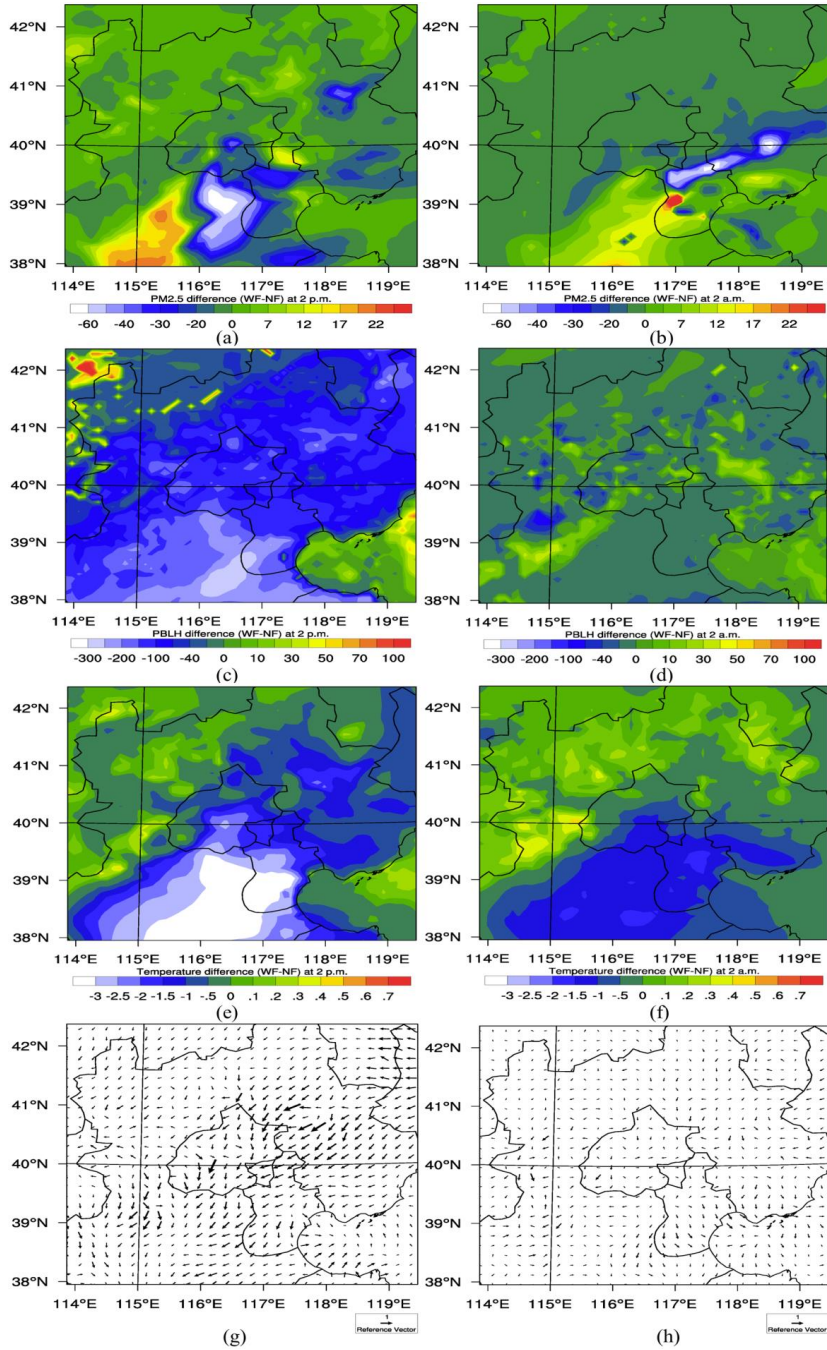


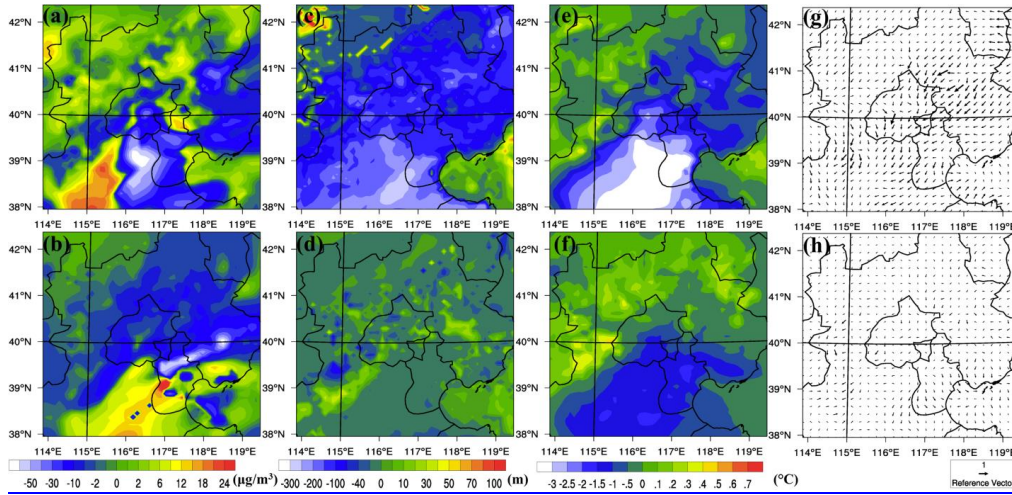
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4 | Figure 913. Observed daily maximum surface solar radiation and simulated surface shortwave
5 | radiation in with feedback (WF) and without feedback (NF) scenarios in Beijing (a), simulated
6 | PBLH (b) in WF and NF scenarios at Shijiazhuang, and simulated PM_{2.5} concentration (c) in WF
7 | and NF scenarios at Shijiazhuang.

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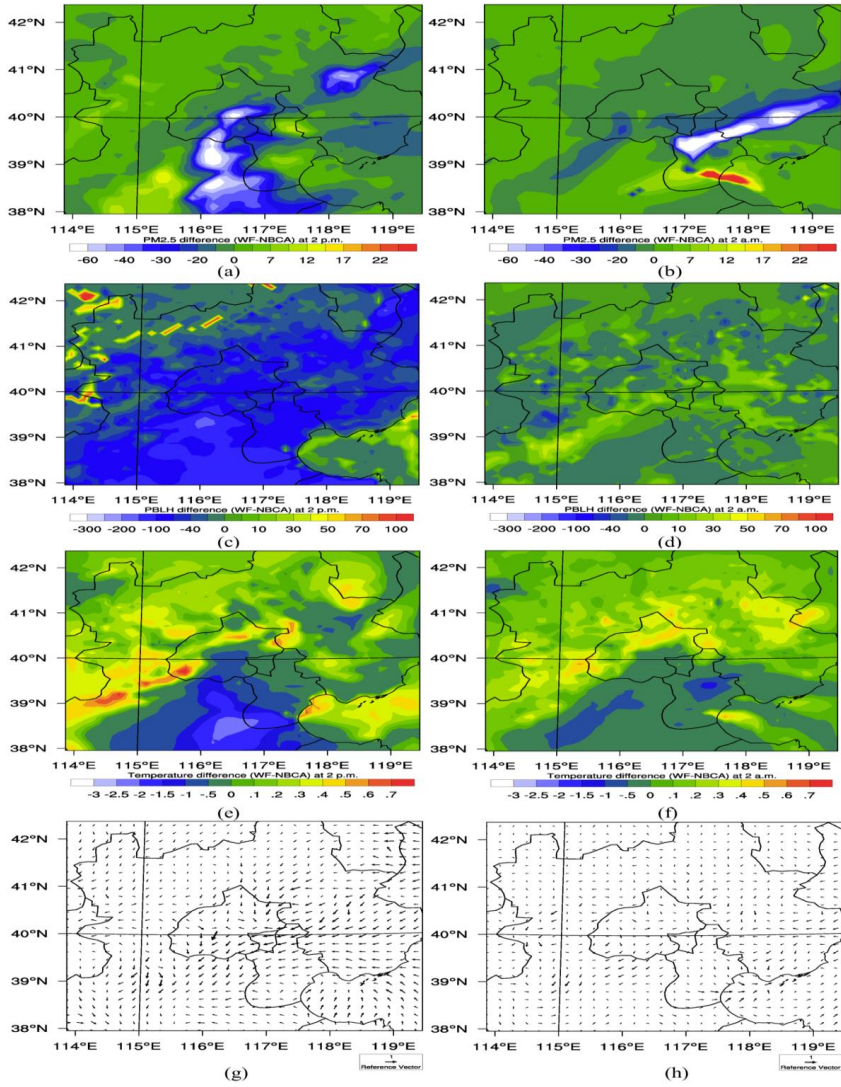


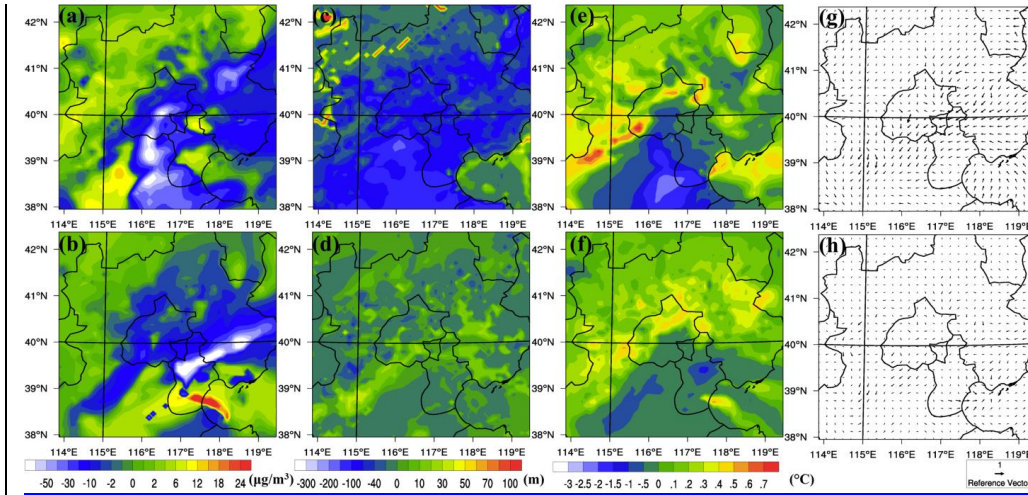
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 2 | Figure 1014. Temporal variations of vertical profiles of (a) PM_{2.5} (unit: μg/m³) (c) RH (unit: %)
 3 | (e) temperature (unit: °C) (g) wind speeds (unit: m/s) differences in Beijing between WF and NF
 4 | scenarios; (b), (d), (f) and (h) are PM_{2.5}, RH, temperature and wind speeds differences in Beijing
 5 | between WF and NBCA (BC absorptions are teased out) scenarios.



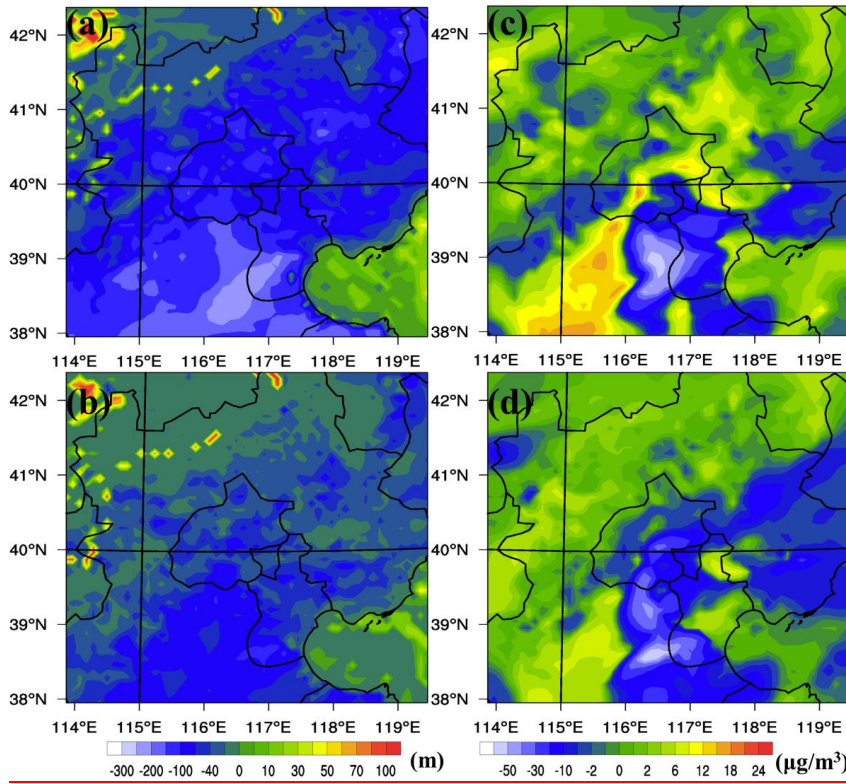


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 2 Figure 4-15. Differences of $PM_{2.5}$ concentration (unit: $\mu g/m^3$), temperature (unit: $^{\circ}C$), PBLH
 3 (unit: m) and horizontal wind (unit: m/s) at 2p.m. (a, c, e, g) and 2a.m. (b, d, f, h) between WF
 4 and NF scenarios.





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 2 Figure 1216. Differences of $PM_{2.5}$ concentration (unit: $\mu g/m^3$), temperature (unit: $^{\circ}C$), PBLH
 3 (unit: m) and horizontal wind (unit: m/s) at 2p.m. (a, c, e, g) and 2a.m. (b, d, f, h) between WF
 4 and NBCA scenarios.
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2 Figure 17. Differences of PBLH (unit: m) and PM_{2.5} concentration (unit: µg/m³) at 2p.m.
3 between WF and NF scenarios (a, c) when BC emissions were reduced by half; differences of
4 PBLH (unit: m) and PM_{2.5} concentration (unit: µg/m³) at 2p.m. between WF and NF scenarios (b,
5 d) when BC emissions were reduced by half