

Responses to Reviewer #1

Dear Reviewer,

We sincerely thank you for your positive and constructive comments and suggestions on our work; your comments substantially improved this paper. Our responses follow the “>>” signs in the response file and are marked in the revised manuscript with the “track changes” mode in word.

1. The abstract is not only an overview; some important conclusions should be listed in this section.

>>Answer: Well, we added some important conclusions in the revised abstract, such as “In the raw (unfiltered) correlations, the correlation coefficients between the six indices and the winter visibility (number of hazy days) varied from 0.57 (0.47) to 0.76 (0.6) with an average of 0.65 (0.54); in the high-frequency (<10 yr) correlations, the coefficients varied from 0.62 (0.58) to 0.8 (0.69) with an average of 0.69 (0.64). The six circulation indices together can explain 77.7% (78.7%) and 61.7% (69.1%) variances of the winter visibility and number of hazy days in the year-to-year (inter-annual) variability, respectively”. Moreover, the possible linkage mechanism between the winter hazy pollutions and the circulations were also summarized in the revised abstract, such as “The increase of I_c (a comprehensive index derived from the six individual circulation indices) can cause a shallowing of the East Asian trough at the middle troposphere and a weakening of the Siberian high pressure field at sea level, and then accompanied by a reduction (increase) of horizontal advection and vertical convection (relative humidity) in the lowest troposphere and a reduced boundary layer height in BTH and its neighboring areas, which are favorable for the formation of hazy pollutions in BTH winter, and vice versa”.

2. In this paper, the statistical relationship between the atmospheric circulations and visibility (hazy days) was discussed, which is significant for the pollution potential prediction. What is the authors' opinion about the long-term statistical forecasting of pollution? On the basis of the present research work, how to consider the long-term quantitative forecast of visibility and hazy days?

>>Answer: Well, the significantly statistic results derived from the long-term records showed that the links between the winter visibility (hazy days) in BTH and the circulations indices are stable over the past three decades. The diagnostic analysis results just suggested the findings can be used to predict or evaluate the winter hazy

pollutions in BTH. Theoretically this should be fine since the common atmospheric circulation variables (including SLP, U850, V850, H500, U200 and T200 in this research) can be available from a variety of climate prediction modes, such as the seasonal climate predictions from the NCEP coupled forecast system model. According to the predicted circulation indices and the statistical models, we can quantitatively evaluate the possible changes of the future winter visibility and number of hazy days in this area. However, there are a lot of works need to be done before running in the forecast operations. We need to choose the most suitable climate prediction mode for the given areas, to evaluate the stability and reliability of climate prediction data in describing the variability of the circulation indices in the history. Generally, the improvement of the level of climate predictions is very helpful to advance the prediction level of winter hazy pollutions.

3. The atmospheric circulation and pollutant emissions are the two major factors influenced visibility and haze days. A series of changes have taken place in the emission discharge from 1980 to now. How to consider the impact of the atmospheric circulation with removal of emissions?

>>Answer: Yes we think the pollutant emissions (such as particles, SO₂, and NO_x) varied more or less year to year over the BTH and its neighboring areas during the last several decades. According to the records in statistical yearbooks of China and Beijing, the annual total energy consumption (million tons of standard coal) of Beijing, Tianjin and Hebei were shown in Figure S1, respectively. It can be seen that the total energy consumption in BTH regions increased distinctly during the last three decades. In view of the improvement of energy utilization technology, the increase of the total energy consumption does not mean an increase of pollutant emission. As shown in Figure S2, the total SO₂ emissions in Beijing increased gradually in the 1980s and 1990s, but it decreased significantly during the last decades. The total soot emissions are similar to variations of SO₂ emissions. The total industrial dust emissions decreased generally except an abrupt increase around 1998. Although there is no accurate emission data in winter in the BTH region, we guess the emissions in winter are similar to the annual changes. However, the mean winter visibility (number of hazy days) did not decrease (increase) obviously or decrease (increase) first and then increase (decrease). Thus we speculate the inter-annual variability of the hazy pollutions (visibility and hazy days) may be more dependent on the meteorological conditions. On the other hand, due to the lack of enough awareness about environmental issues during the rapid economic growth and urbanization, the regional or even national emissions control was very rare

during the past time, especially before the 2008 Olympic Games in Beijing. According to the government's announcements and the related literatures (An et al., 2007; Zhang et al., 2010; Gao et al., 2011), we know the pollutant emissions over northern China around 2008 were controlled widely and strictly by the Chinese government in order to host the 2008 Olympic Games in Beijing, and then a similar control was carried out for hosting APEC 2014 in Beijing. During these periods, except the strict controls in Beijing, a lot of factories, constructions and traffics around the BTH region or even the whole northern China were temporary closed or limited. In view of this point, the winter pollutant emissions in BTH or even northern China over the last three decades may be roughly stable during the last three decades.

In the view of the long-term statistic models, the influences of the possible minor changes of pollutant emissions may be secondary. Moreover, if there are dramatic changes in emissions caused by policy or other important events, we should split the entire periods into different stages and then build the different statistical models in operations. Assuming the pollutant emissions are same as usual in the future winter, an anomalous high I_c may warn that a severe hazy pollution is likely to happen. However, the coming hazy pollution will be alleviated partly if the government to take actions in controlling pollutants discharge. In this sense, the circulation indices are more indicating the winter meteorological conditions over the BTH region, which are conducive to the accumulation of pollutants and the formation of hazy pollutions or not.

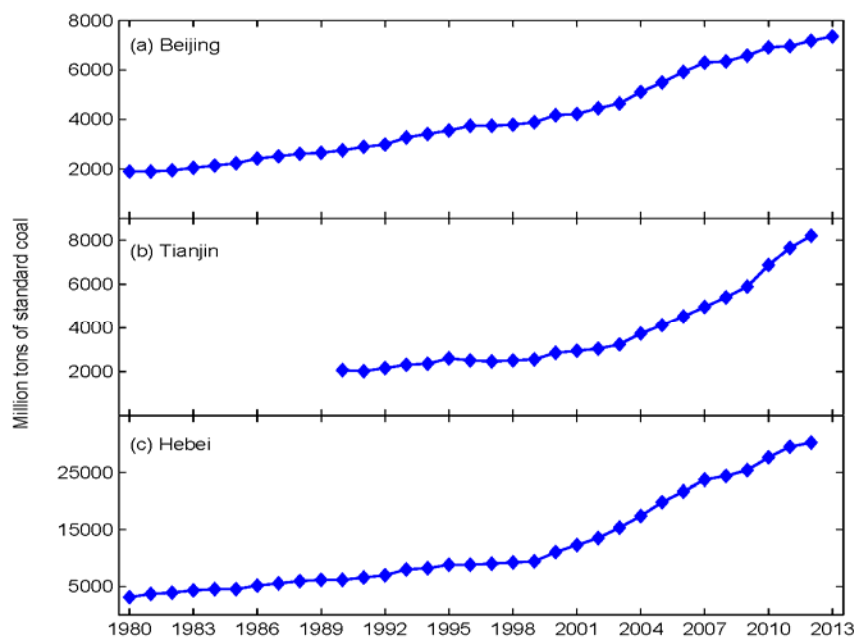


Figure S1. Curves of the annual total energy consumption (million tons of standard coal) in Beijing (a), Tianjin (b) and Hebei province (c)

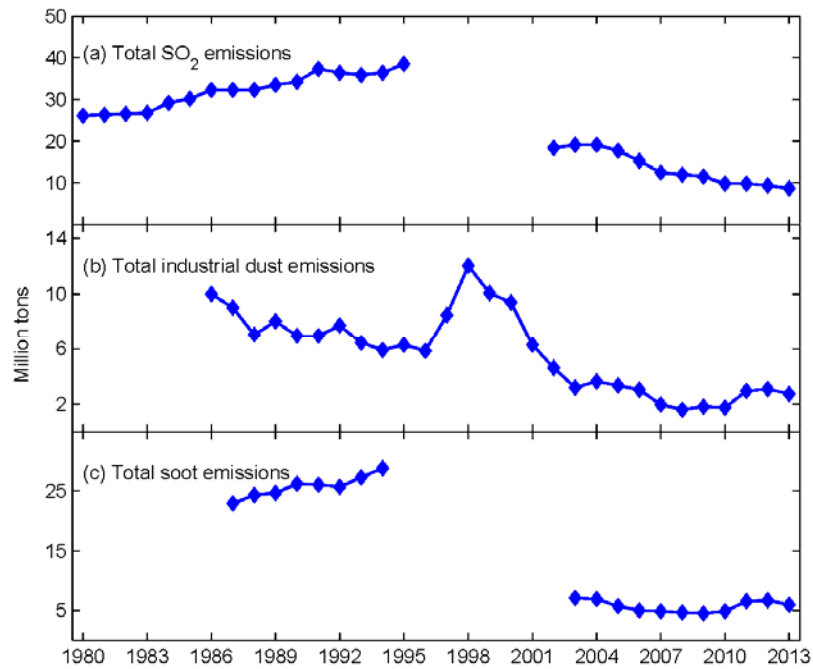


Figure S2. Curves of the annual total SO₂ (a), industrial dust (b) and soot emissions (c) in Beijing

4. The number mark in the Fig.3, 4, and 6 is not very clear.

>>Answer: We re-plotted the Fig.3, 4, and 6, increased the font size and the intervals. Moreover, the Fig.3 (e) in the raw Fig.3 should be removed, and it has been corrected in the revised figures.

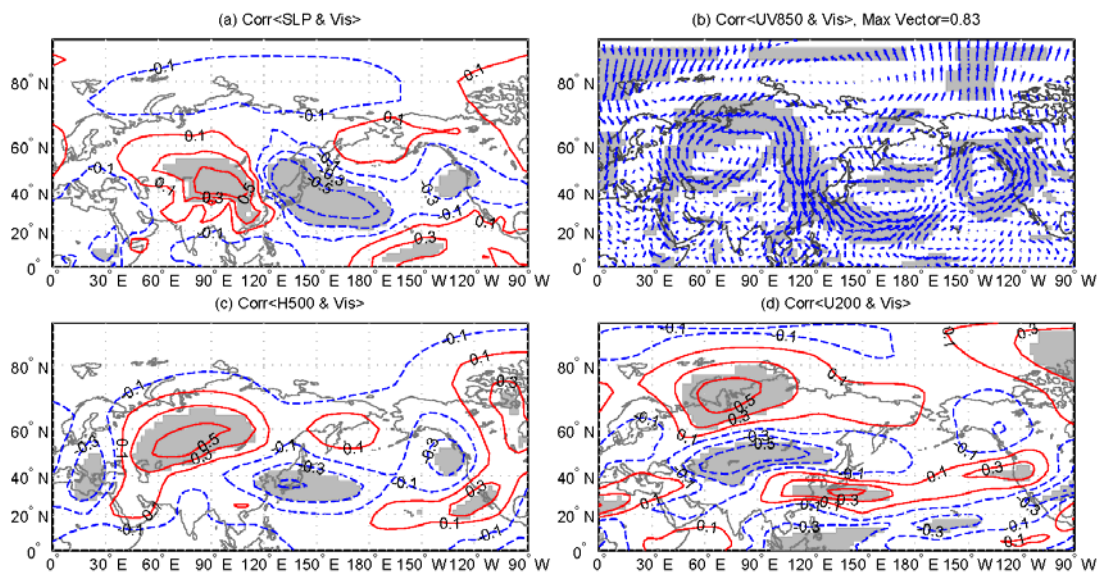


Figure 3. Spatial distribution of correlation coefficients between visibility and SLP (a), UV850

(b), H500 (c) and U200 (d) (Area significant at the 0.05 level are shaded; either U850 or V850 significant at the 0.05 level are shaded in b)

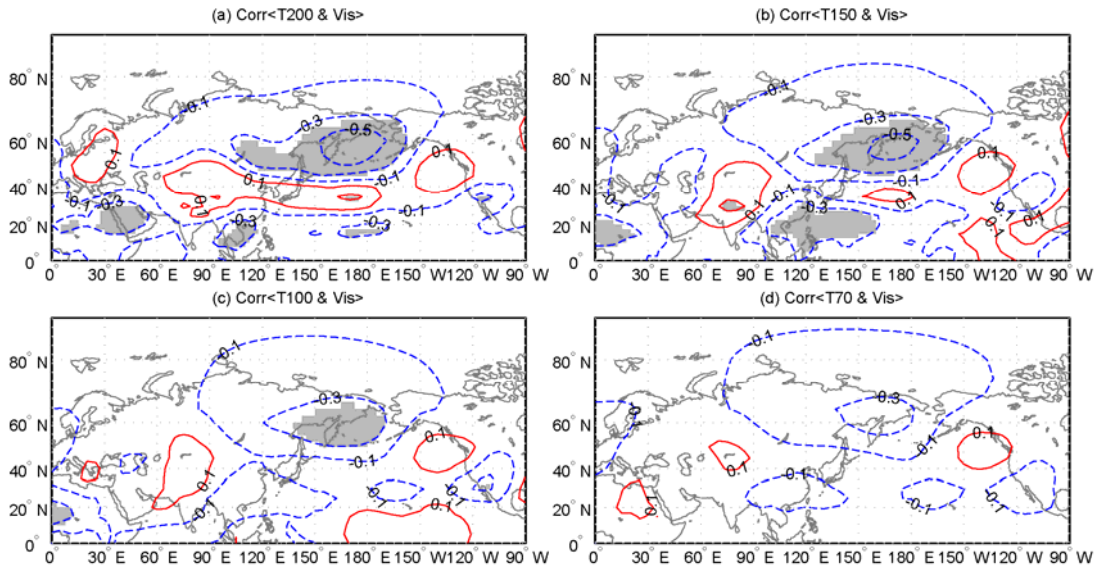


Figure 4. Spatial distribution of correlation coefficients between visibility and T200 (a), T150 (b), T100 (c) and T70 (d) (Area significant at the 0.05 level are shaded)

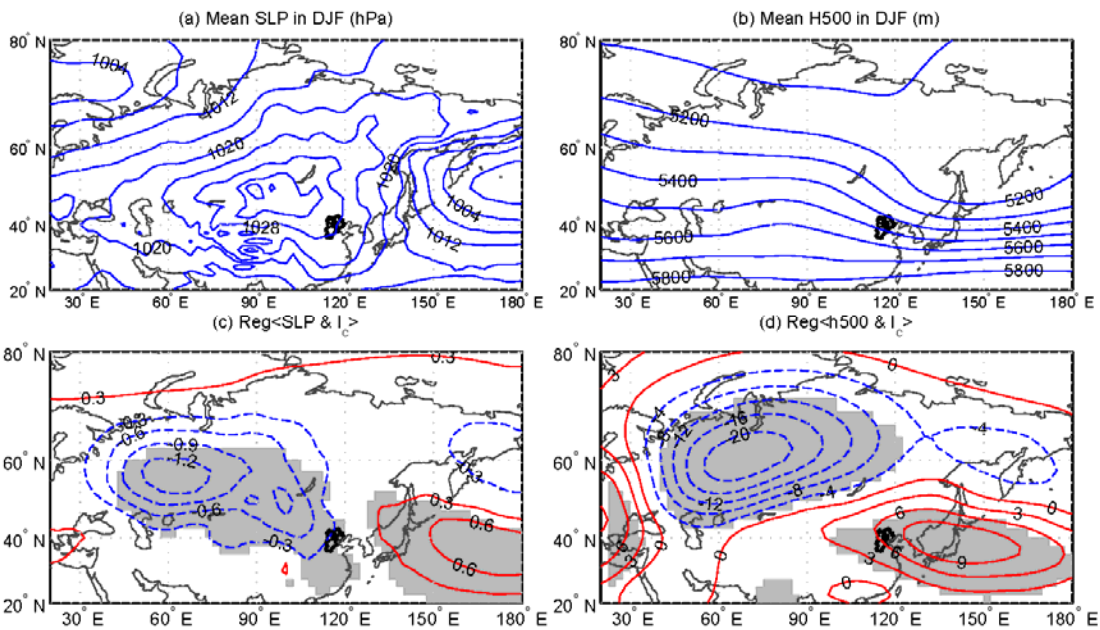


Figure 6. The climatological mean fields of SLP (a) and H500 (b) averaged in winter 1981 to 2010, and the spatial distribution of the regression coefficients of SLP (c) and H500 (d) upon the I_c over the period 1981 to 2015 (Area significant at the 0.05 level are shaded)

Responses to Reviewer #2

Dear Reviewer,

We sincerely thank you for your positive and constructive comments and suggestions on our work; your comments substantially improved this paper. Our responses follow the “>>” signs in the response file and are marked in the revised manuscript with the “track changes” mode in word.

1. In the abstract, the authors just simply claim that “all of the six indices have significant and stable correlations with the winter visibility...”; it’s better to provide more detailed and conclusive descriptions of these relations in the abstract so that readers can find what you found quickly. Besides, it’s better to include a summary of the possible mechanism (sect. 3.4) in the abstract.

>>Answer: Well, We added some important conclusions in the revised abstract, such as “In the raw (unfiltered) correlations, the correlation coefficients between the six indices and the winter visibility (number of hazy days) varied from 0.57 (0.47) to 0.76 (0.6) with an average of 0.65 (0.54); in the high-frequency (<10 yr) correlations, the coefficients varied from 0.62 (0.58) to 0.8 (0.69) with an average of 0.69 (0.64). The six circulation indices together can explain 77.7% (78.7%) and 61.7% (69.1%) variances of the winter visibility and number of hazy days in the year-to-year (inter-annual) variability, respectively”. Moreover, the possible linkage mechanism between the winter hazy pollutions and the circulations were also summarized in the revised abstract, such as “The increase of I_c (a comprehensive index derived from the six individual circulation indices) can cause a shallowing of the East Asian trough at the middle troposphere and a weakening of the Siberian high pressure field at sea level, and then accompanied by a reduction (increase) of horizontal advection and vertical convection (relative humidity) in the lowest troposphere and a reduced boundary layer height in BTH and its neighboring areas, which are favorable for the formation of hazy pollutions in BTH winter, and vice versa”.

2. In sect. 2.1, the authors defined hazy days as “visibility \leq 5km and RH < 90%”, which is different from CMA’s definition (visibility < 10km, [1]). Why do the authors use a different definition in this study?

>>Answer: Yes, the definition of hazy days in this paper is different from CMA’s definition published in 2010. This is because that a new definition for hazy days (visibility \leq 5km and RH < 90%) will be released by CMA in the near future and

executed in the national meteorological operation departments and the relevant research institutes. During the past one year, many scientists, forecasters and the front-line observers have been called together to discuss the new criterion for hazy days in CMA. The new criterion have took into consideration many factors related to hazy pollutions, such as the operability in forecast and monitor, the accuracy of instrument, the hazy days re-calculation in the history, and so on. So far, the draft has passed the first reviewed in CMA. The authors' group was one of the drafters for the new standard. Thus we try to use the new definition in this study.

3. In Table 1 and Sect. 3.2, the authors show that visibility and EU, WP, and SBH are highly correlated, but the reasons were not given and this information is not used in the later built model. How to link the correlations between visibility and EU, WP, and SBH to the statistical model?

>>Answer: In this research, we first want to know what the relationships between the hazy pollutions in BTH and the most common atmospheric teleconnection or oscillation indices over the northern mid-high latitude are, such as AO, NAO, PNA, EU, WP and SBH. Since most of them have significant influence on the winter climate in most of China, especially in north China. However, the results are not as expected. The correlations between the hazy pollution and the AO, NAO and PNA are very weak. Only EU, WP and SBH correlated closely with the visibility and hazy days. Thus we further examined the spatial distribution of the correlation coefficients between the hazy pollutions and the most common meteorological fields, so as to explore the key areas and factors which may exert more important influence on the winter hazy pollutions in BTH. So the possible links between the winter EU, WP and SBH are not discussed immediately in this paragraph.

In fact, the index I_4 is very similar to the EU pattern (introduced in the second paragraph of Sect. 3.2). According to Wallace and Gutzler (1981), the EU index was defined as $-H_{500}(55N, 20E)/4 + H_{500}(55N, 75E)/2 - H_{500}(40N, 145E)/4$. In this study, the I_4 is defined as $H_{500}(46\sim 64N, 50\sim 92E) - H_{500}(28\sim 44N, 16\sim 28E; 28\sim 42N, 120\sim 156E)$. The minor difference is that we use the average values derived from the center area, not a point. Both of them reflect a “-+-” wave train pattern along the Eurasia-west Pacific in the mid-high latitude. This pattern suggests that a deepening of East Asian trough and a weakening of blocking will favor the winter visibility increase in the BTH region.

As for WP pattern, the expression is $[H_{500}(60N, 155E) - H_{500}(30N, 155E)]/2$. It can be seen that this pattern is also reflected in Fig. 3c, a negative and a positive center dominated along the northwest Pacific. However, the positive correlation center are not significant in our concern. Compared with the I₄ or EU, this pattern is secondary. As for SBH, the Fig. 3a show that it was not the most important pattern or factor in the sea level pressure correlated with the winter visibility (hazy days) in BTH. As mentioned in the second paragraph of Sect. 3.2, a positive correlation center dominated most of East Asian continent and a negative correlation center dominated the area from northeast Asia to northwest Pacific, which reflect the effects of land-sea thermal contrast on the lower troposphere condition over BTH region. Generally, we think the information or component of the EU, WP and SBH patterns were included partly in the new six indices, although they were not used directly in the statistical model.

4. The numbers in Fig. 3, 4 and 6 are hard to read. In addition, Fig. 3(e) was plotted, but there is no explanation in the figure title and this plot is not explained in the paper.

>>Answer: We re-plotted the Fig.3, 4, and 6, increased the font size and the intervals. The Fig.3 (e) in the raw Fig.3 should be removed. It was a mistake when I uploaded the figure files. Now it has been corrected in the revised manuscripts. In fact, the Fig.3 (e) is totally same as Fig. 4 (a).

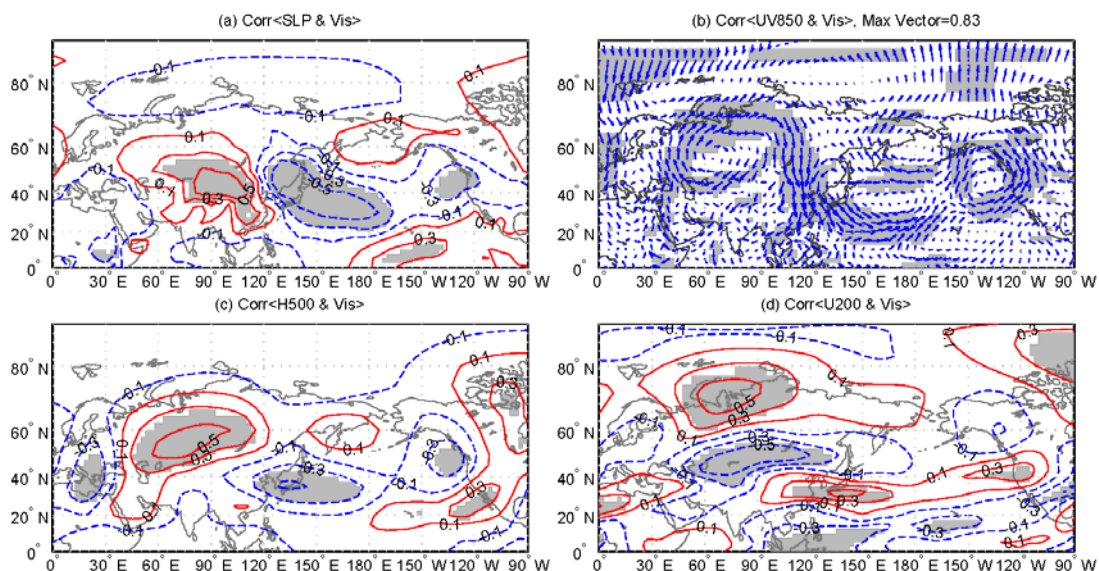


Figure 3. Spatial distribution of correlation coefficients between visibility and SLP (a), UV850 (b), H500 (c) and U200 (d) (Area significant at the 0.05 level are shaded; either U850 or V850 significant at the 0.05 level are shaded in b)

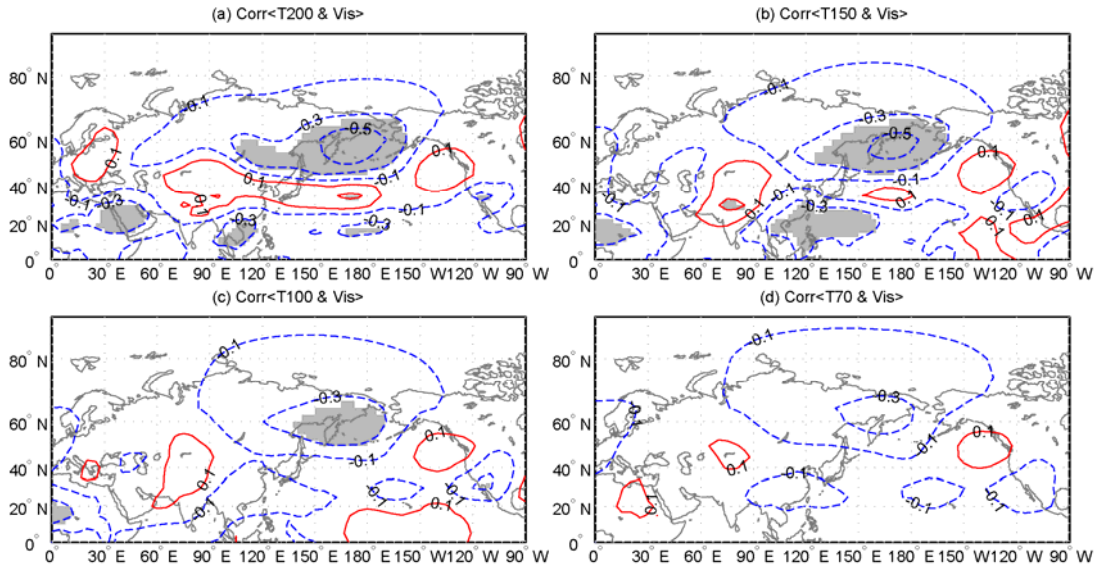


Figure 4. Spatial distribution of correlation coefficients between visibility and T200 (a), T150 (b), T100 (c) and T70 (d) (Area significant at the 0.05 level are shaded)

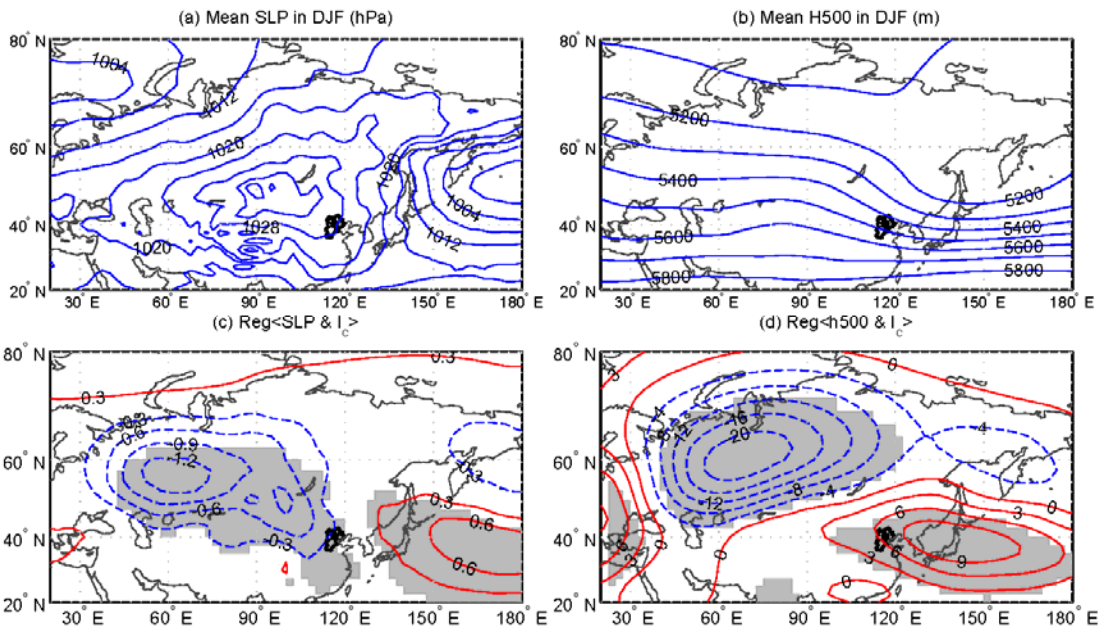


Figure 6. The climatological mean fields of SLP (a) and H500 (b) averaged in winter 1981 to 2010, and the spatial distribution of the regression coefficients of SLP (c) and H500 (d) upon the I_c over the period 1981 to 2015 (Area significant at the 0.05 level are shaded)

5. Fig. 3 show the correlation between SLP, UV850, etc and visibility over most areas of the world. Where do these visibility data come from? Not sure if reanalysis data provide visibility information. In 3b, how is the correlation coefficient represented? What means positively correlated and what means negatively?

>>Answer: Well Fig. 3 presented the spatial distribution of correlation coefficients between visibility and the most common meteorological fields. Here, the visibility data were the winter mean visibility which derived from the 19 synoptic meteorological stations located in the BTH region. That is to say, the meteorological fields (SLP, UV850, etc) are the grid data derived from the reanalysis datasets, the mean visibility is a time series. The visibility data are the same time series in Fig. 3 (a), (b), (c) and (d).

In Fig. 3 (b), the vectors are the resultant values of r_1 (the correlation coefficients between U850 and visibility) and r_2 (the correlation coefficients between V850 and visibility). Concretely, we first calculated the r_1 value for each grid, then calculated the r_2 for each grid, and then both of the r_1 and r_2 were plotted in one figure by using the vectors. The advantage is that, not only the value but also the directionality (meridional wind and zonal wind) can be showed simultaneously in one figure. For example, the distinct northerly pattern dominated eastern China, especially in the BTH region, which suggested the winter mean visibility in BTH were highly positive correlated with the meridional wind. The strong northerly wind can increase the visibility in BTH. (In meteorology, the positive (negative) values of meridional wind denote the southerly (northerly) wind, the positive (negative) values of zonal wind denote the westerly (easterly) wind.)

6. Page 22501, line 20, the authors claim that “the significantly negative correlation Suggest...”. However, the latitudes of BTH region range from 36N to 42N (Fig. 7), which lie in the positive correlation region, not the negative correlation, so the conclusion based on these is problematic.

>>Answer: It seems to be a little misunderstood about this point. In Fig. 4, it can be seen that the negative correlation centers dominated the areas from eastern Siberia to the northern North Pacific in both levels, and the highest and significant correlation center occurred in T200. This phenomena suggest the links between the winter visibility in BTH and the warming at the tropopause to lower stratosphere over eastern Siberia to the northern North Pacific are stable. Thus we will take the temperature at 200 hPa over eastern Siberia to the northern North Pacific as a potential predictor for hazy pollutions in BTH. For more clear, we added some words in the sentence in the revised manuscript, such as “The significantly negative correlation suggest that the warming at 200 hPa over eastern Siberia to the northern North Pacific would indicate a decreasing of winter visibility, namely a worsening of hazy pollutions in the BTH region”.

7. Table 2 gives the expressions for the six indices, but the authors didn't provide any basis for the expression. For example, U850 is defined as the difference between U850 within region A (55 ~75N, 40 ~110E) and region B (40 ~50N, 45 ~75E). These regions are not the BTH region, why are these selected to express the indexes? Other indexes have the same problem.

>>Answer: Well, the basis for the expressions listed in Table 2 is mainly based on the key regions shown in the correlation coefficients maps in Fig. 3 and 4 (mentioned in the fourth paragraph of Sect. 3.2). It's not random. That is to say, we choose the most important (highly and significantly correlated) areas as the key areas, not confined to the areas of BTH. Take the index of I₄ (similar to EU) for example, it covers the most of Eurasia, extending from the central-eastern Europe through Siberia to north China-Korean peninsula-Japan-northwest Pacific Ocean, looks like a “-+-” wave train pattern along the Eurasia-west Pacific in the mid-high latitude. Although there patterns were not confined in BTH, the high and stable correlation coefficients suggested that they may exert important influence on the winter visibility and hazy days in BTH.

8. The authors attributed the bad performance of the statistic estimation model after 2008 to air pollution control. However, there is no evidence showing that the emission changes after 2008 are much higher than before 2008. The substantial emission increases after 2000 might be higher than the air pollution control changes that happened after 2008, but it seems that the model is not affected around 2000 in Fig. 5.

>>Answer: Well, according the records in statistical yearbooks of China and Beijing, the annual total energy consumption (million tons of standard coal) of Beijing, Tianjin and Hebei were shown in Figure S1, respectively. It can be seen that the total energy consumption in BTH regions increased distinctly during the last three decades. In view of the improvement of energy utilization technology, the increase of the total energy consumption does not mean an increase of pollutant emission. As shown in Figure S2, the total SO₂ emissions in Beijing increased gradually in the 1980s and 1990s, but it decreased significantly during the last decades. The total soot emissions are similar to variations of SO₂ emissions. The total industrial dust emissions decreased generally except an abrupt increase around 1998. Moreover, the total industrial dust emissions (Figure S2.b) and the total soot emissions (Figure S2.c) rebounded slightly since 2009 and 2010, but the SO₂ emissions did not change like this. Although there is no the accurate emission data in winter in the BTH region, we

guess the emissions in winter are similar to the annual changes. However, the mean winter visibility (number of hazy days) did not decreased (increased) obviously or decreased (increased) first and then increased (decreased). Thus we speculate the inter-annual variability of the hazy pollutions (visibility and hazy days) may be more dependent on the meteorological conditions. On the other hand, due to the lack of enough awareness about environmental issues during the rapid economic growth and urbanization, the regional or even national emissions control was very rare during the past time, especially before the 2008 Olympic Games in Beijing. According the government's announcements and the related literatures (An et al., 2007; Zhang et al., 2010; Gao et al., 2011), we know the pollutant emissions over northern China around 2008 were controlled widely and strictly by the Chinese government in order to host the 2008 Olympic Games in Beijing, and then a similar control was carried out for hosting APEC 2014 in Beijing. During these periods, except the strict controls in Beijing, a lot of factories, constructions and traffics around the BTH region or even the whole northern China were temporary closed or limited. In view of this point, the winter pollutant emissions in BTH or even northern China over the last three decades may be roughly stable during the last three decades.

From the perspective of the long-term statistic models, the influences of the possible changes or long-term trends of pollutant emissions may be secondary. In operations, if there are dramatic changes in emissions caused by policy or other important events, we should split the entire periods into different stages and then build the different statistical models to avoid the possibly systematic deviations. Assuming the pollutant emissions are same as usual in the future winter, an anomalous high I_c may warn that a severe hazy pollution is likely to happen. However, the coming hazy pollution will be alleviated partly if the government to take actions in controlling pollutants discharge. In this sense, the circulation indices are more indicating the winter meteorological conditions over the BTH region, which are conducive to the accumulation of pollutants and the formation of hazy pollutions or not.

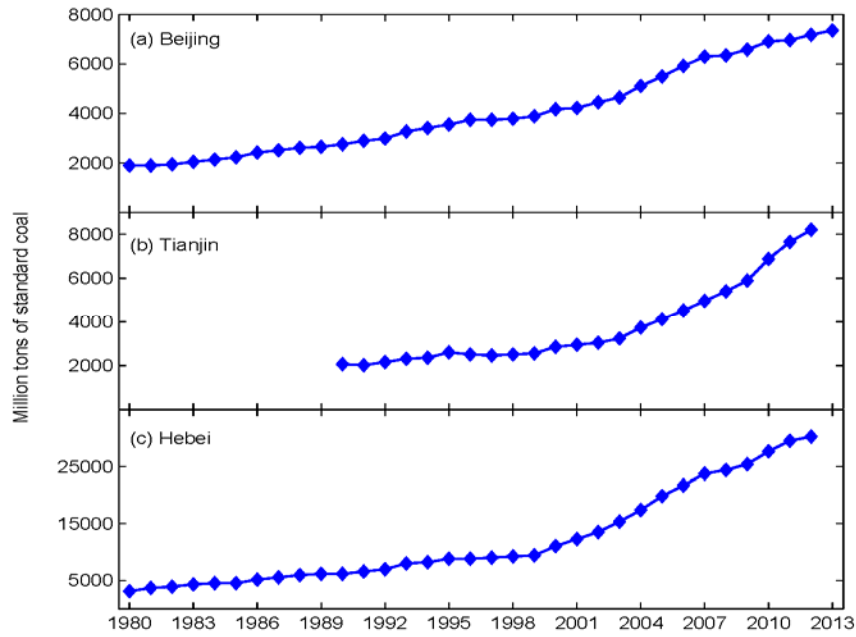


Figure S1. Curves of the annual total energy consumption (million tons of standard coal) in Beijing (a), Tianjin (b) and Hebei province (c)

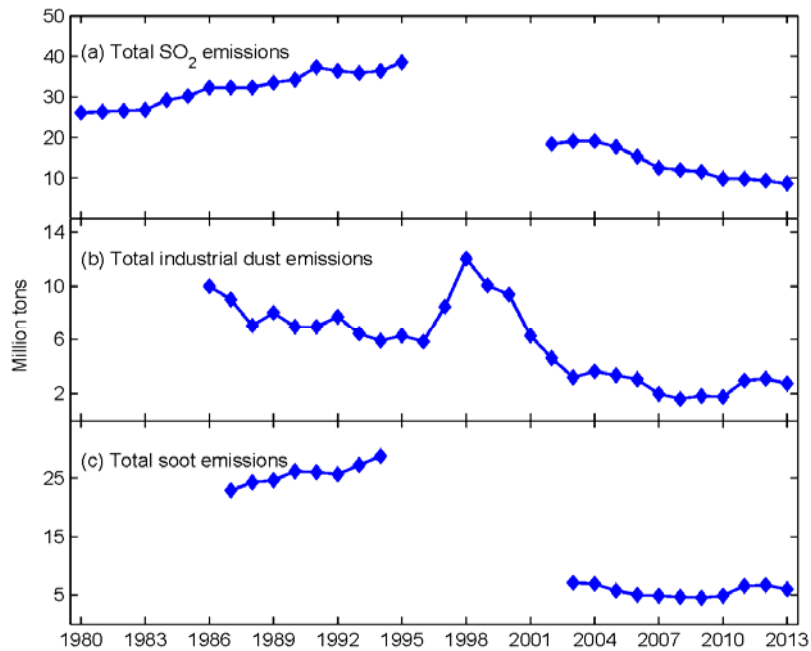


Figure S2. Curves of the annual total SO₂ (a), industrial dust (b) and soot emissions (c) in Beijing

9. Page 22506, line 9: it's better to provide some explanation of how relative humidity degrades visibility.

>>Answer: Well, we added a simple explanation in the revised manuscript, such as “This is because that the high relative humidity is favorable for the accumulation and

hygroscopic growth of pollutants, which can strengthen the light scattering and absorption by atmospheric particles and gases and then cause the visibility degradation directly (Baumer et al., 2008; Zhang et al., 2015)”.

1 Possible influence of atmospheric circulations on winter hazy
2 pollution in Beijing-Tianjin-Hebei region, northern China

3
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11
12 **Abstract:**

13 Using the daily records derived from the synoptic weather stations and the
14 NCEP/NCAR and ERA-Interim reanalysis data, the variability of the winter hazy
15 pollutions (indicated by the mean visibility and number of hazy days) in Beijing-
16 Tianjin-Hebei (BTH) region during the period 1981 to 2015 and its relationship to the
17 atmospheric circulations in middle-high latitude were analyzed in this study. The winter
18 hazy pollution in BTH had distinct inter-annual and inter-decadal variabilities without
19 a significant long-term trend. According to the spatial distribution of correlation
20 coefficients, six atmospheric circulation indices (I₁ to I₆) were defined from the key
21 areas in sea level pressure (SLP), zonal and meridional winds at 850 hPa (U850, V850),
22 geopotential height field at 500 hPa (H500), zonal wind at 200 hPa (U200), and air
23 temperature at 200 hPa (T200), respectively. All of the six indices have significant and
24 stable correlations with the winter visibility and number of hazy days in BTH. In the
25 raw (unfiltered) correlations, the correlation coefficients between the six indices and
26 the winter visibility (number of hazy days) varied from 0.57 (0.47) to 0.76 (0.6) with
27 an average of 0.65 (0.54); in the high-frequency (<10 yr) correlations, the coefficients
28 varied from 0.62 (0.58) to 0.8 (0.69) with an average of 0.69 (0.64). The six circulation
29 indices together can explain 77.7% (78.7%) and 61.7% (69.1%) variances of the winter
30 visibility and number of hazy days in the year-to-year (inter-annual) variability,
31 respectively. Both the visibility and number of hazy days can be estimated well by using

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32 ~~the six indices and fitting and the cross-validation with leave-N-out method,~~
33 ~~respectively.~~ The increase of I_c (a comprehensive index derived from the six individual
34 circulation indices) can cause a shallowing of the East Asian trough at the middle
35 troposphere and a weakening of the Siberian high pressure field at sea level, and then
36 accompanied by a reduction (increase) of horizontal advection and vertical convection
37 (relative humidity) in the lowest troposphere and a reduced boundary layer height in
38 BTH and its neighboring areas, which are favorable for the formation of hazy pollutions
39 in BTH winter, and vice versa. The high level of the prediction statistics and the
40 reasonable mechanism suggested that the winter hazy pollutions in BTH can be
41 forecasted or estimated credibly based on the optimized atmospheric circulation indices.
42 However, we also noted that the statistic estimation models would be largely influenced
43 by the artificial control of a pollutant discharge. Thus it is helpful for government
44 decision-making departments to take actions in advance in dealing with probably severe
45 hazy pollutions in BTH indicated by the atmospheric circulation conditions.

46 **Key word:** hazy pollution, visibility, atmospheric circulation, Beijing-Tianjin-Hebei,

48 **1 Introduction**

49 Beijing-Tianjin-Hebei (BTH) region is located in northern China, with approximately
50 110 million residents and 216,000 km² in size. As the rapid progress of urbanization
51 and industrial development over the past three decades, the BTH region has become
52 one of China's most economically developed regions and the third economic engine in
53 China. Recently, the Chinese government has been promoting the integration of the
54 three neighboring regions to optimize the industrial layout and improve the allocation
55 of resources. Undoubtedly, the BTH region is becoming more and more important in
56 China or even the world economy in the future. However, the rapid economic growth
57 and urbanization have increased the level of air pollution in recent decades (Streets et
58 al., 2007; Chan and Yao, 2008; Wang et al., 2009; Wang et al., 2010; Gao et al., 2011).
59 Most of eastern China has frequently suffered from severe haze or smog days in recent
60 years, especially in the BTH region. For example, the continuously hazy pollutions in
61 January 2013 greatly threatened human health and traffic safety (Kang et al., 2013;
62 Wang et al., 2013). Roughly speaking, the hazy pollution can be attributed to two
63 aspects: pollutant emissions to the lower atmosphere from fossil fuel combustion or
64 construction and favorable meteorological conditions. Meteorological conditions are
65 controlling the occurrence of hazy pollution (Wu, 2012; Zhang et al., 2013).

66 Specifically, weather conditions play an essential role in the daily fluctuation of air
67 pollutant concentrations (Zhang et al., 2015).

68 At present, many studies have focused on the physical and chemical properties of
69 pollutants in Beijing and other cities (Feng et al., 2006; Yu et al., 2011; Xu et al., 2013;
70 Zhao et al., 2013). And also studies demonstrated the influence of weather conditions
71 or synoptic situations upon air pollutions (Zhao et al., 2009; Zhang et al., 2015). They
72 elucidated clearly the formation and chemical composition of air pollutants and the
73 dominant meteorological factors on hazy days or during heavy pollution in Beijing and
74 its neighboring areas. On the other hand, some studies demonstrated that the hazy
75 pollution occurring in the BTH region could be strongly affected by the local
76 atmospheric circulations including sea–land and mountain–valley breeze circulations
77 and the planetary boundary layer height (Lo et al., 2006; Liu et al., 2009; Chen et al.,
78 2009; Miao et al., 2015). Recently, Wang et al. (2015) suggested that the reduction of
79 autumn Arctic sea ice leads to anomalous atmospheric circulation changes which favor
80 less cyclone activity and more stable atmosphere and leading to more hazy days in
81 eastern China. Moreover, Wang et al. (2013) showed that east China suffered from
82 severe hazy pollutions in January 2013 may be due to a sudden stratospheric warming
83 over the mid-high latitude of Northern Hemisphere, which lead to an anomalous steady
84 atmosphere dominated in northern China. Thus, it is interesting to examine whether the
85 winter hazy pollution in BTH has been influenced by other known or unknown
86 atmospheric circulations or teleconnections in the mid-high latitude of the Northern
87 Hemisphere and whether there are some potential circulations that can be used for the
88 forecast or evaluation of the winter hazy pollution in BTH. To date, it is not clear about
89 these questions, and a few studies have been performed to explore these issues.

90 Owing to a lack of long-term instrumental records for air pollutant concentration,
91 the understanding of the evolution of air pollution and their relations to atmospheric
92 circulations is limited. In this paper, we intend to use the atmospheric visibility and the
93 number of hazy days derived from the synoptic meteorological stations to denote the
94 evolution of hazy pollution in the BTH region since 1980s. Many studies demonstrated
95 that, in the absence of certain weather conditions (e.g., rain, fog, dust and snowstorm),
96 the visibility is an excellent indicator of air quality because its degradation results from
97 light scattering and absorption by atmospheric particles and gases that can originate
98 from natural or anthropogenic sources (Baumer et al., 2008; Chang et al., 2009;
99 Sabetghadam et al., 2012; Baddock et al., 2014), although visibility was influenced
100 comprehensively by airborne pollutants and meteorological parameters such as relative

101 humidity, wind speed, temperature, pressure and solar radiation (Wen and Yeh, 2010;
102 Deng et al., 2014; Zhang et al., 2015;-) .

103 The main purpose of this study is to examine the possible relations between the
104 atmospheric circulations and the winter hazy pollution (the mean visibility and mean
105 number of hazy days) over the BTH region and investigate the possible physical
106 mechanism, which could be useful for a prediction of the winter hazy pollution and
107 could provide a scientific support to the government to take effective measures in
108 advance to reduce or control the pollutant emission in case of an anomalous circulations
109 leading to a serious hazy pollution in the region. This paper is organized as follows.
110 Section 2 describes the data and method used. Section 3 shows major results and
111 discussions. Conclusion is summarized in section 4.

112 **2 Data and methods**

113 **2.1 Research area and station data**

114 The atmospheric visibility recorded at the 19 synoptic meteorological stations
115 located in the research area from 1 January 1980 to 28 February 2015 were used (Figure
116 1). The visibility by human observers is recorded by four times (02:00, 08:00, 14:00
117 and 20:00, Beijing local time) or three times (08:00, 14:00 and 20:00, Beijing local time)
118 per day. A good continuous monitoring operation was maintained throughout the entire
119 period, with the missing data rates for the 19 stations varying from a minimum of 1.7%
120 to a maximum of 2.1%, with a mean 1.9%. On the other hand, the distribution of the
121 stations is relatively uniform, indicating that the mean visibility or hazy days is a good
122 representative for the whole BTH region.

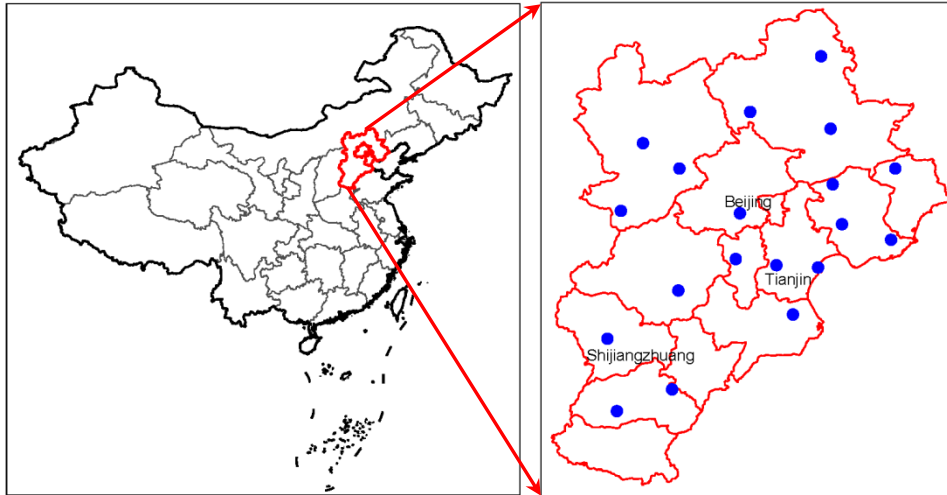
123 In the present study, the days with visibility ≤ 5 km and relative humidity $< 90\%$
124 at 14:00PM (local time) were defined as hazy days, except the special weather
125 phenomena occurred at this moment including rain, fog, dust and snow (Schichtel et al.,
126 2010; Wu et al., 2014;). The mean number of hazy days (\overline{NHD}) of each winter in the
127 BTH region can be calculated by:

$$128 \quad \overline{NHD} = \frac{1}{n} \sum_{i=1}^n N_i \quad (1)$$

129 where n is the number of stations (here $n=19$), N denotes the number of hazy days
130 in a station in each winter (December, January and February). The mean visibility (\overline{Vis})
131 of each winter in the BTH region can be calculated by:

132
$$\overline{Vis} = \frac{1}{n} \sum_{i=1}^n \left(\frac{1}{m} \sum_{j=1}^m V_{ij} \right) \quad (2)$$

133 where n is the number of stations (here $n=19$), m is the number of valid days in
 134 winter. It should be noted that the winter in 1981 consists of December 1980, January
 135 and February 1981, and so on.



136
 137 Figure 1 Research area and locations of the 19 synoptic meteorological stations

138 **2.2 Reanalysis data**

139 The global NCEP/NCAR reanalysis data of the monthly sea level pressure (SLP),
 140 zonal and meridional winds at 850 hPa (U850, V850), geopotential height field at 500
 141 hPa (H500), zonal wind at 200 hPa (U200) and air temperature at 200, 150, 100 and 70
 142 hPa (T200, T150, T100, T70) with a $2.5^\circ \times 2.5^\circ$ spatial resolution from January 1980 to
 143 February 2015 were used (Kalnay et al., 1996). Moreover, in order to obtain a higher
 144 spatial resolution in the BTH region, the ERA-Interim reanalysis data of the monthly
 145 relative humidity (RH), vertical speed (W), zonal (U) and meridional (V) winds from
 146 1000 to 500 hPa (16 pressure levels in total) and the boundary layer height (BLH) with
 147 a $0.125^\circ \times 0.125^\circ$ spatial resolution confined to the area $33-45^\circ\text{N}$ and $110-122^\circ\text{E}$ were
 148 also used (Dee et al., 2011).

149
 150 **2.3 Analysis method**

151 For the statistical and atmospheric circulation analyses carried out in the study, the
 152 common statistical methods such as the composite analyses and the least square
 153 regression and the Pearson correlation analyses with a two-tailed Student's t-test were
 154 applied in this research. A principal component analysis (PCA) was also used to extract
 155 the principal mode of multiple time series. Moreover, in order to reduce the possible
 156 effects of low-frequency variation or long-term trends and to examine whether or not

157 the correspondence between the two time series on inter-annual time-scale is stable, the
158 high-frequency (< 10yr) correlation of the high-pass filtered time series was also tested
159 for time series analyses (Gong and Luterbacher, 2008; Zhang et al., 2010).

160

161 **3 Results and discussions**

162 **3.1 Evolution of the winter visibility and hazy days in the BTH region**

163 The regional mean visibility and number of hazy days in winter in BTH were
164 presented in Figure 2. As expected, the visibility was negatively correlated to the
165 number of hazy days with the raw and high-frequency (< 10yr) correlation coefficients
166 between them of -0.91 and -0.93, respectively. Both of them are significant at the 0.01
167 level ($p < 0.01$ for short). More hazy days generally denote lower mean visibility in
168 winter due to the light scattering and absorption effects of air pollutants (Baumer et al.,
169 2008; Sabetghadam et al., 2012). There are intense inter-annual fluctuations in both the
170 visibility and the number of hazy days over the entire period of 1981 to 2015. The
171 decadal fluctuations can be also distinguished for both the visibility and the number of
172 hazy days throughout the entire period. A significant reducing trend of visibility
173 ($p < 0.05$) and increasing trend of number of hazy days ($p < 0.01$) dominated in the 1980s.
174 And then, the visibility experienced an increasing trend in 1990s and a decreasing trend
175 since 2001, and the hazy days showed an anti-phase changes, but none of them are
176 statistically significant with exception of the number of hazy days trend in 1990s
177 ($p < 0.05$). The mean visibility maximum in 1990s reached to 18.3 km (larger than the
178 mean values of 17.9 km over the entire period); and the minimum number of hazy days
179 in 1990s reached to 20.6 days (less than the mean values of 22.7 days over the entire
180 period). However, the long-term trends of them are not statistically significant, although
181 a weak reducing and increasing trends can be founded in the curves of winter visibility
182 and number of hazy days, respectively.

183

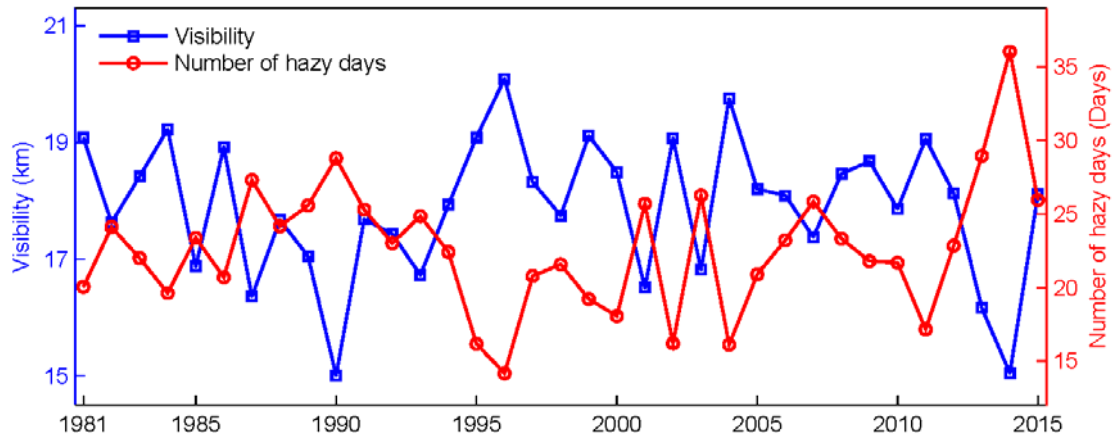


Figure 2 Curves of the winter mean visibility and number of hazy days in BTH

3.2 Relationship between hazy pollution and atmospheric circulations

We first examined the correlation coefficients between the visibility and number of hazy days and the most common atmospheric teleconnection or oscillation indices over the mid-high latitude of Northern hemisphere (see Table 1), which could affect the winter climate variability over China, such as the Arctic Oscillation (AO), the Northern Atlantic Oscillation (NAO), the Pacific/North American pattern (PNA), the Eurasian pattern (EU), the Western Pacific pattern (WP) and the Siberian High (SBH) (Wallace and Gutzler, 1981; Zhang et al., 2009; Gong and Ho, 2012). It can be seen that both of the raw ($r1$) and high-frequency ($r2$) correlations show that the visibility and number of hazy days are correlated weakly with the winter AO, NAO and PNA. However, the visibility is highly positively correlated with EU, WP and SBH; and the number of hazy days is highly negatively correlated with EU, WP and SBH, most of them are significant at the 0.01 or 0.05 level.

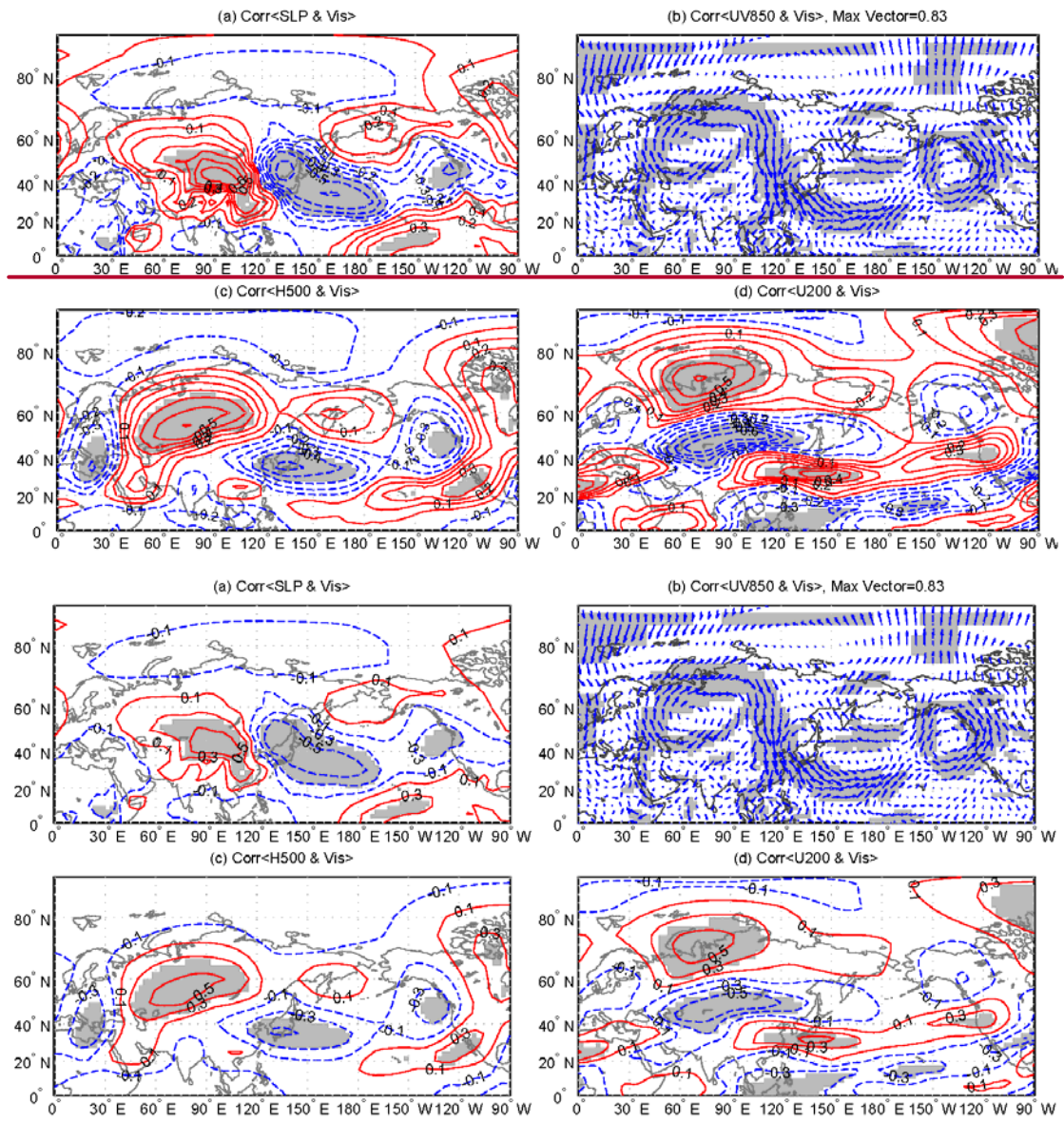
Table 1 Correlation coefficients of visibility and hazy days and circulation indices

		AO	NAO	PNA	EU	WP	SBH
Visibility	$r1$	-0.11	0.00	0.16	0.61**	0.40*	0.39*
	$r2$	0.05	0.22	0.16	0.71**	0.37*	0.36*
Number of hazy days	$r1$	0.13	0.13	-0.10	-0.51**	-0.47**	-0.32
	$r2$	-0.01	-0.11	-0.10	-0.70**	-0.56**	-0.37*

** Significant at the 0.01 level, * Significant at the 0.05 level. The $r1$ and $r2$ terms indicate the raw correlation and high-frequency (< 10yr) correlation, respectively.

Furthermore, the general characteristics of spatial distribution of the correlation coefficients between visibility and number of hazy days in BTH and the major

206 meteorological fields from surface to tropopause in Northern Hemisphere including
207 SLP, U850, V850, H500, U200, T200, T150 and T70 were also examined (Figure 3 and
208 4). Owing to a generally anti-pattern for the number of hazy days, thus only the
209 correlation maps with visibility were analyzed for simplicity. In SLP (Figure 3a), a
210 positive correlation center dominated most of East Asian continent, while a negative
211 correlation center dominated the area from northeast Asia to northwest Pacific,
212 respectively. This spatial pattern may reflect the effects of land-sea thermal contrast on
213 the lower troposphere condition over BTH region. The pressure increasing in East
214 Asian continent and decreasing in area from northeast Asia to northwest Pacific suggest
215 that they favor the visibility increase in the BTH region in winter, and vice versa. In
216 UV850 (Figure 3b), an anomalously anti-cyclonic and northerly pattern are
217 predominant most of Siberia and eastern China. This suggests that an anomalous
218 northerly advection from Siberian to eastern China improve the winter visibility in the
219 BTH region. In H500 (Figure 3c), there exist a “-+-” wave train pattern along the
220 Eurasia-west Pacific in the mid-high latitude, extending from the central-eastern
221 Europe through Siberia to north China-Korean peninsula-Japan-northwest Pacific
222 Ocean, similar to the EU pattern (Wallace and Gutzler, 1981). This pattern implies that
223 a deepening of East Asian trough and a weakening of blocking will favor the winter
224 visibility increase in the BTH region. In U200 (Figure 3d), there also exist a wave train
225 pattern from northwest Russia through Siberia to northwest Pacific Ocean. This pattern
226 may imply that the south (north) of East Asian Jet stream strengthened (weakened)
227 coincided with the anomalous ascending (sinking) motions occurred in the south (north)
228 of the Jet stream entrance at the upper troposphere, which will lead to a strengthening
229 northerly appeared in the lower troposphere. Hence it is not conducive to the
230 accumulation of pollutants over BTH region in the winter.



231

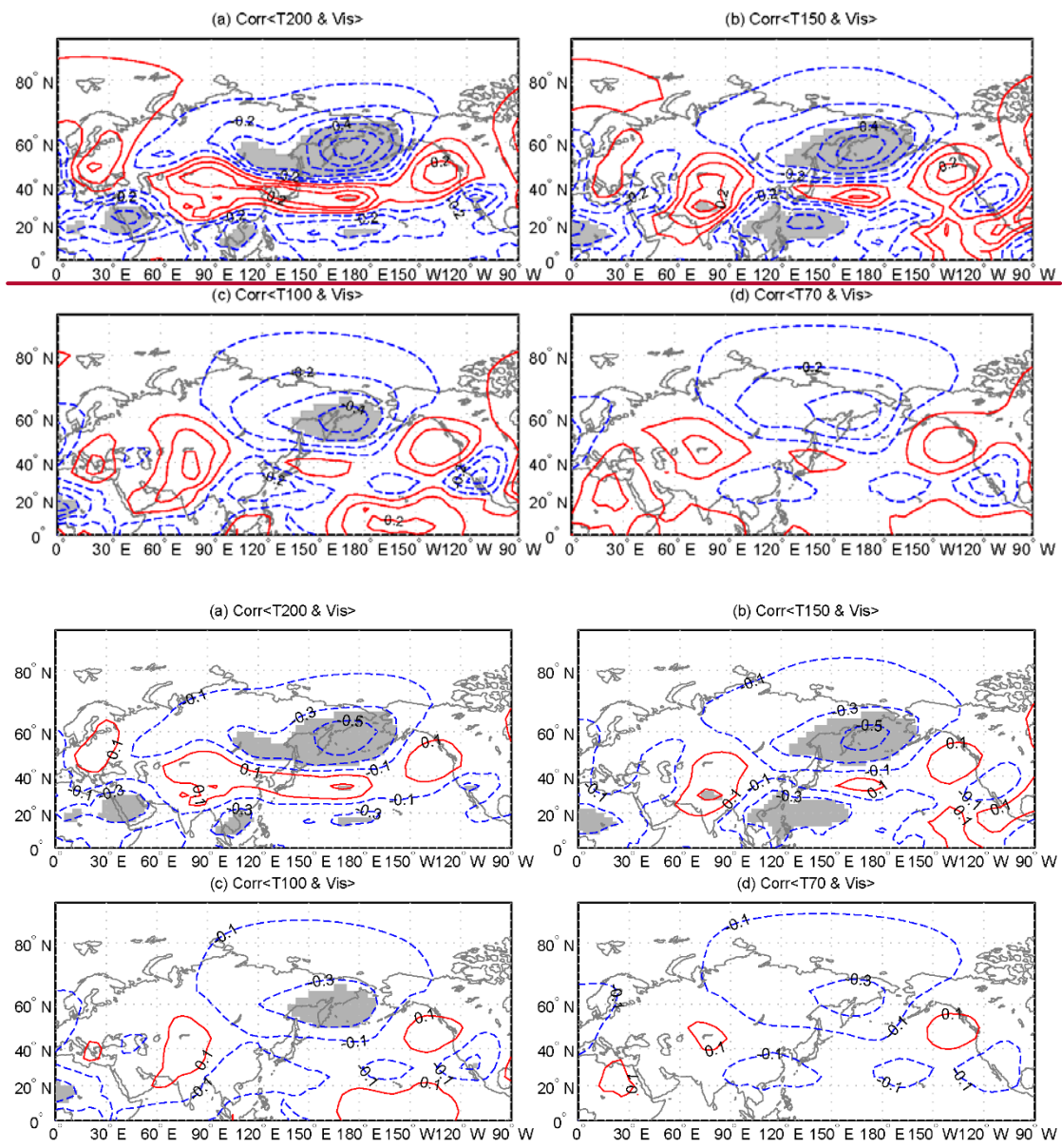
232

233 Figure 3, Spatial distribution of correlation coefficients between visibility and SLP (a), UV850
 234 (b), H500 (c) and U200 (d) (Area significant at the 0.05 level are shaded; either U850 or V850
 235 significant at the 0.05 level are shaded in b)

236

237 Besides the lower troposphere, previous studies suggested that the anomalous
 238 stratospheric warming over the Northern Hemisphere led to the severe hazy pollutions
 239 in east China in January 2013 (Wang et al., 2013). Here, the spatial distribution of the
 240 correlation coefficients between visibility and the temperature from the upper
 241 troposphere to lower stratosphere at 200 hPa (T200), 150 hPa (T150), 100 hPa (T100)
 242 and 70 hPa (T70) were checked. Negative correlations are found from eastern Siberia
 243 to the northern North Pacific including Alaska in T200, T150, T100 and T70,
 244 respectively (Figure 4), with the biggest correlation in T200 (Figure 4a). The

245 significantly negative correlation suggest that the warming at 200 hPa over eastern
 246 Siberia to the northern North Pacific in this area would indicate a decreasing of winter
 247 visibility, namely a worsening of hazy pollutions in the BTH region.



250 Figure 4 Spatial distribution of correlation coefficients between visibility and T200 (a), T150 (b),
 251 T100 (c) and T70 (d) (Area significant at the 0.05 level are shaded)

252
 253 Based on the above analyses, we wonder whether the meteorological variables in
 254 the significant correlation areas can be used to predict or evaluate the variability of the
 255 winter visibility and hazy pollutions in the BTH region. Thus, the six indices for
 256 atmospheric circulations or teleconnections were defined based on the key regions
 257 shown in the previous correlation maps as listed in Table 2. We computed the raw and

258 high-frequency correlation coefficients of the winter visibility and number of hazy days
 259 in BTH and the six atmospheric circulation indices. All of the six indices (I₁ to I₆) show
 260 highly positive or negative correlations with the winter visibility and number of hazy
 261 days, with significance at the 0.01 level (Table3). Moreover, we note that most of the
 262 high-frequency correlations are larger than the raw correlations except the correlations
 263 between visibility and I₁. This suggests that the links between the air quality in BTH
 264 and the circulations indices are very stable from year to year. The significantly positive
 265 or negative correlations should be a reflection of the physical response mechanisms
 266 between them, which will be discussed in the latter section.

267

268

Table 2 List of the definition for the six circulation indices

Index	Variable	Expression
I ₁	SLP	SLP (38~50N, 84~108E) – SLP (36~52N, 126~150E; 24~40N, 150~184E)
I ₂	U _{850hPa}	U ₈₅₀ (55~75N, 40~110E) – U ₈₅₀ (40~50N, 45~75E)
I ₃	V _{850hPa}	V ₈₅₀ (32~64N, 104~120E)
I ₄	H _{500hPa}	H ₅₀₀ (46~64N, 50~92E) – H ₅₀₀ (28~44N, 16~28E; 28~42N, 120~156E)
I ₅	U _{200hPa}	U ₂₀₀ (42~52N, 60~110E) – U ₂₀₀ (64~76N, 50~96E; 28~36N, 120~152E)
I ₆	T _{200hPa}	T ₂₀₀ (46~66N, 146~196E)

269

270

271

Table 3 Correlation coefficients of visibility and number of hazy days and circulation indices

		I ₁	I ₂	I ₃	I ₄	I ₅	I ₆
Visibility	r1	0.73**	0.57**	-0.76**	0.62**	-0.59**	-0.61**
	r2	0.70**	0.68**	-0.80**	0.72**	-0.62**	-0.62**
Number of hazy days	r1	-0.60**	-0.47**	0.60**	-0.47**	0.52**	0.60**
	r2	-0.61**	-0.65**	0.69**	-0.67**	0.58**	0.64**

272

Same as Table 1

273

274 3.3 Predictions for visibility and number of hazy days based on the circulation 275 indices

276 In order to assess the prediction capability of the six circulation indices for the
 277 winter hazy pollutions in BTH, the winter mean visibility and number of hazy days
 278 were estimated by applying a multivariate regression method with the least square
 279 estimate. The estimated curves by the fitting and the cross-validation with a leave-one-
 280 out method were displayed in Figure 5. Intuitively, both of the fitting curves and the

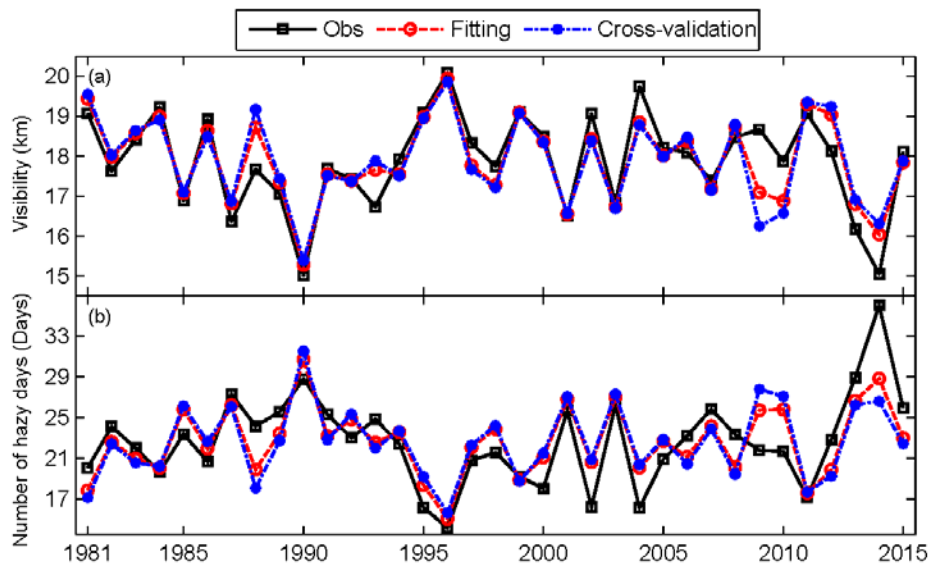
281 cross-validation curves are fairly consistent with the observed winter mean visibility
282 and number of hazy days over the last three decades. The raw and high-frequency
283 correlation coefficients between the observed and the fitting visibility (number of hazy
284 days) are 0.88 (0.78) and 0.86 (0.77), respectively. All of them are significantly at 0.01
285 level. The six circulation indices together can explain 77.7% (78.7%) and 61.7%
286 (69.1%) variances of the winter visibility and number of hazy days over the BTH region
287 in the year to year (inter-annual) variability, respectively. A good fitting does not mean
288 that there must be stable relationships between the dependent variable and explanatory
289 variables. Thus we emphasized testing the stability of the statistic models by means of
290 the Leave-N-out cross-validations. The statistics for the cross-validation estimations
291 were listed in Table 4, including the explained variance (r^2), the standard error (SE),
292 and reduction of error (RE). Previous studies suggested that RE is an extremely rigorous
293 verification statistic because it has no lower bound, $RE > 0$ indicating the skillful
294 estimation, $RE > 0.2$ indicating the reliable estimation and $RE = 1.0$ indicating a perfect
295 estimation (Fritts, 1976; Gong and Luterbacher, 2008; Zhang et al., 2010).

296 The statistics for both the visibility and number of hazy days are generally stable
297 (no sharply increase or decrease) when N increased from 1 to 11 (more than 30% sample
298 removed in regression models), although the r^2 and RE (SE) slightly decreased
299 (increased) with the increasing of N. For the visibility, the r^2 varied from 52.5% to 62.7%
300 with an average of 57.6%, the SE varied from 0.74 to 0.84 with an average of 0.79, the
301 RE varied from 0.49 to 0.61 with an average of 0.55. For the number of hazy days, the
302 r^2 varied from 31.1% to 41.5% with an average of 35.2%, the SE varied from 3.37 to
303 3.66 with an average of 3.54, the RE varied from 0.23 to 0.38 with an average of 0.30.
304 The mildly changes of these statistics suggest that the statistic models between the given
305 atmospheric circulations and the hazy pollution indicators are stably even in the case of
306 parts of sample missed. On the other hand, we noted the statistics for the visibility
307 estimations are generally better than that for the number of hazy days estimations in all
308 tests. However, the minimum values of r^2 and RE for the number of hazy days
309 estimations are still lager than 30% and 0.2, respectively. Based on these statistics, it
310 can be concluded that the predictions for the winter visibility and number of hazy days
311 in the BTH region based on the circulation indices are overall reliable during the entire
312 period, especially for the mean visibility. That is to say, the winter hazy pollutions in
313 BTH can be evaluated or estimated well by the optimized atmospheric circulations.

314 The relatively larger errors for the estimated values referred to the observed
315 visibility and number of hazy days have been found since the winter in 2009 (Figure 5).

316 We re-computed all the statistics for the period 1981 to 2008, the results displayed that
 317 all the values of r^2 and RE (SE) for visibility and number of hazy days predictions
 318 increased (decreased) much more than the entire period (Table 4), suggesting that the
 319 statistic estimation models are much more stable and reliable before 2009. Why did the
 320 prediction efficiency of the statistic estimation models decrease in the last few years?
 321 It can be distinguished that the estimations for the winter mean visibility are distinctly
 322 lower (higher) than the observed in the winters of 2009 and 2010 (2014), and vice versa
 323 for the number of hazy days. We speculated that these phenomena can be attributed to
 324 the fluctuations of pollutant emissions in part because the pollutant emissions over
 325 northern China around 2008 were controlled strictly by the Chinese government
 326 associated with the 2008 Olympic Games in Beijing (An et al., 2007; Zhang et al., 2010;
 327 Gao et al., 2011). The decrease of pollutant emissions led to the improvement of air
 328 quality (increasing visibility and decreasing hazy days) in 2009 and 2010, although the
 329 atmospheric conditions remained the same and did not contributed to the spread and
 330 elimination of air pollutants. However, pollutant emissions especially in the areas of
 331 BTH rebounded after the Olympic Games, with the decrease in visibility and increase
 332 in hazy days in the BTH region around 2012 to 2014 to some extent (Zhang et al., 2015),
 333 although the atmospheric conditions remained relatively the same as before. From this
 334 result, it can be assumed the statistic estimation models for the winter mean visibility
 335 and number of hazy days would be largely influenced by an artificial control of
 336 pollutant discharge.

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339 Figure 5 Curves of the observed and the predicted winter visibility (a) and number of hazy days

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(b) in the BTH region since 1981

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Table 4 List of the statistics for the Leave-N-out cross-validation estimations

N	Period covering	Visibility			Number of hazy days		
		r^2 (%)	SE	RE	r^2 (%)	SE	RE
1	1981-2015	62.7	0.74	0.61	41.5	3.37	0.38
	1981-2008	87.1	0.42	0.87	53.9	2.56	0.52
3	1981-2015	56.8	0.80	0.54	34.3	3.57	0.28
	1981-2008	86.8	0.42	0.87	52.6	2.59	0.51
5	1981-2015	59.2	0.78	0.57	35.3	3.54	0.30
	1981-2008	86.8	0.42	0.87	46.7	2.75	0.43
7	1981-2015	59.0	0.78	0.56	37.5	3.48	0.33
	1981-2008	86.4	0.43	0.86	44.7	2.80	0.41
9	1981-2015	56.2	0.80	0.54	32.5	3.62	0.27
	1981-2008	84.2	0.46	0.84	40.8	2.90	0.36
11	1981-2015	52.5	0.84	0.49	31.1	3.66	0.23
	1981-2008	84.4	0.46	0.84	48.2	2.71	0.44

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(N denotes the number of sample removed in the cross-validation regressions; only the odd

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numbers of N were listed for short)

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3.4 Possible mechanism of the circulations related to the winter hazy pollutions

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In order to explore the possible mechanism and role of the investigated circulation indices on the winter visibility and number of hazy days in the BTH region, the links between the given large-scale atmospheric circulations and the local meteorological conditions, which have close relations with the hazy pollutions, were examined. For simplicity, a comprehensive index labeled as I_c was synthesized from the six individual circulation indices (I_1 to I_6) by applying a PCA method, namely the first principal component (PC1). The high values of the explained variance (64.4% in PC1) indicated that the comprehensive index of I_c roughly reflect the integrated features of all the six indices. Thus, we used the I_c instead of the six individual indices in the following analysis. Generally, the positive (negative) I_c indicate the lower (higher) visibility and more (less) hazy days in the BTH region in winter.

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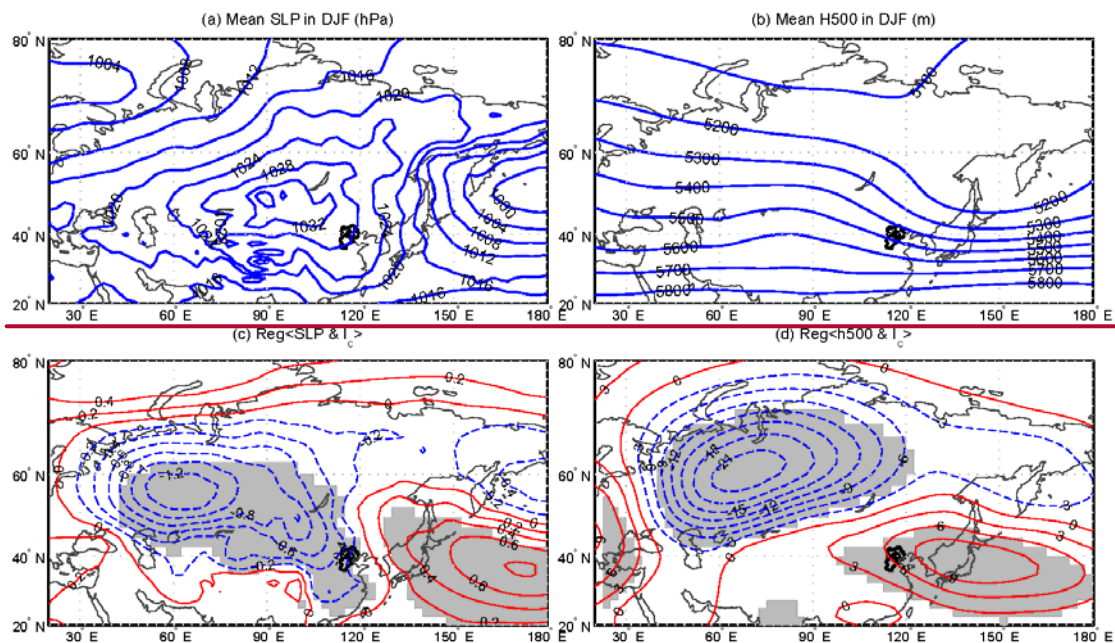
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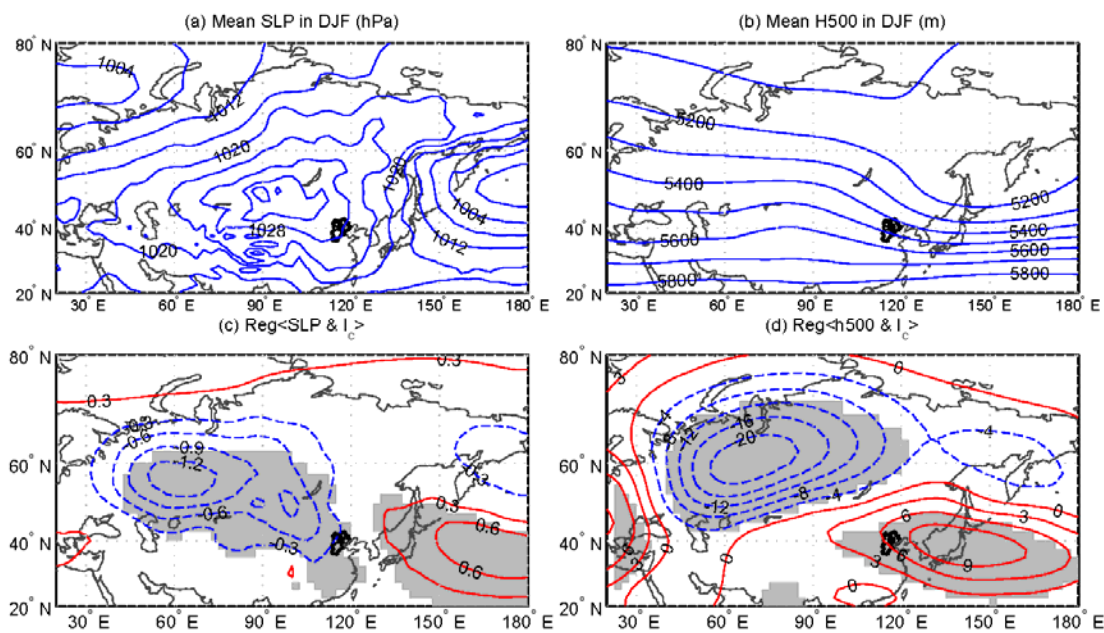
First we examined the links between the I_c and the meteorological fields of SLP and H500 respectively. Based on the NCEP/NCAR reanalysis data, Figure 6(a) and (b) present the climatological mean of SLP and H500 in winter averaged from 1981 to 2010, respectively. The changes of SLP and H500 in winter in association with a one-

362 standard-deviation positive I_c during the winters 1981 to 2015 are shown in Figure 6(c)
 363 and (d), respectively. In the climatological mean fields, the BTH region were located in
 364 the trough of East Asian trough at the middle troposphere and in the ridge of Siberian-
 365 Mongolia high in SLP field, which indicate the northerly dominated the BTH region in
 366 winter. The regression maps show that the SLP decreased in the Siberian-Mongolia high
 367 areas and increased in the western Pacific in SLP and the geopotential height decreased
 368 in the most areas of Siberia and increased in the northern China to western Pacific.
 369 These patterns suggest that both the East Asian trough and Siberian high weaken with
 370 increasing I_c , that further implies that the winter cold air activity will be weaken and
 371 then lead to an anomalous steady atmospheric conditions in BTH and its adjacent areas
 372 in winter. Namely, the less strong Siberian high and East Asian trough and associated
 373 northerly winds in the low and middle troposphere will lead to a severe hazy pollution
 374 (lower visibility and more hazy days) due to the favorable meteorological conditions
 375 for the accumulation and chemical reaction of pollutants. Anyway, we wonder whether
 376 it is true as we speculated. We further examined the links between the comprehensive
 377 index of I_c and the local meteorological conditions which play direct roles in the
 378 formation of hazy pollutions, including the wind fields (Figure 7), relative humidity
 379 (Figure 8) and vertical velocity (Figure 9) at the lowest troposphere (averaged from
 380 1000 hPa to 900 hPa with an interval of 25 hPa) and the boundary layer height (Figure
 381 10) based on the ERA-Interim reanalysis data.

382



383



384

385 Figure 6 The climatological mean fields of SLP (a) and H500 (b) averaged in winter 1981 to 2010,
 386 and the spatial distribution of the regression coefficients of SLP (c) and H500 (d) upon the I_c over
 387 the period 1981 to 2015 (Area significant at the 0.05 level are shaded)

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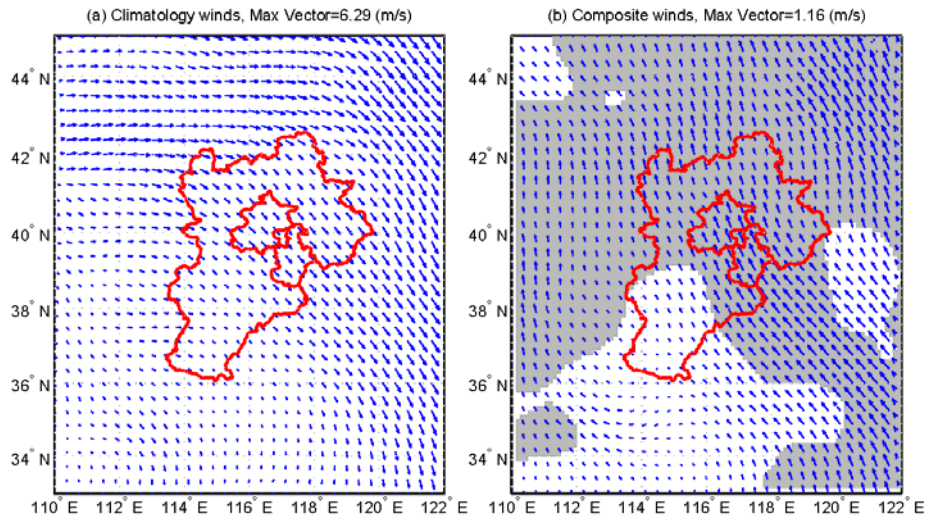
389 Figure 7(a) displays the climatological mean wind field averaged from 1000 to 900
 390 hPa over the winter 1981 to 2010. At lower level, the northwesterly winds dominated
 391 the BTH, and the wind speed in Beijing, Tianjin and north of Hebei province was larger
 392 than that in the south of Hebei province. Figure 7(b) shows the composite (positive I_c
 393 winters minus negative I_c winters) wind field averaged from 1000 to 900 hPa over the
 394 winter 1981 to 2015. In the composite wind field, the anomalous southeasterly winds
 395 dominated the BTH region instead of the northwesterly in the climatological mean wind
 396 field, indicating the weakening of the northwesterly significantly over BTH and its
 397 neighboring areas when I_c increased. Previous studies (Zhang et al., 2015) demonstrated
 398 the decreasing of wind speed is not conducive to the diffusion of air pollutants and
 399 easily lead to hazy pollutions in Beijing. It may be true for the whole BTH region. Thus,
 400 the increasing of I_c will lead to a decrease in the visibility and increase in the number
 401 of hazy days in winter over the BTH region.

402 Same as Figure 7, Figure 8(a) and (b) present the climatology and composite
 403 relative humidity averaged from the lowest troposphere respectively. In the composite
 404 map, all the areas of BTH are covered by the positive values and most of them are
 405 significant at the 0.05 level. They indicate that the winter relative humidity was
 406 anomalous higher in the positive I_c years than that in the negative I_c years. As pointed
 407 in the Introduction, a high relative humidity is one of the important reasons for visibility

408 degradation. This is because that the high relative humidity is favorable for the accumulation and
409 hygroscopic growth of pollutants, which can strengthen the light scattering and absorption by
410 atmospheric particles and gases and then cause the visibility degradation directly (Baumer et al.,
411 2008; Zhang et al., 2015). Thus a positive I_c imply that a decreasing of visibility
412 accompanied by the increasing number of hazy days may occur in the winter over BTH
413 region. Figure 9(a) and (b) present the climatology and composite vertical speeds
414 averaged from the lowest troposphere respectively. The positive (negative) values of
415 vertical speed in unit of Pa/s denote sinking (ascending) motion. The climatological
416 vertical speeds show that the downward air motions dominated the BTH region in the
417 winter. In the composite vertical speed field, the most areas of BTH were covered by
418 the significantly negative values, which suggested a less vertical exchanges of air
419 occurred in this areas in the positive I_c winters. In other words, the increased I_c may
420 result in a weaker vertical convection and forcing the lowest troposphere more stable.
421 It's easy to understand the anomalous stabilization will lead to much hazy pollutions.
422 Moreover, a similar result can be found in the planetary boundary layer height, which
423 was reduced significantly in the most of BTH and its adjacent areas in the positive I_c
424 winters (Figure 10). The decreased boundary layer height will depress the air pollutants
425 into a narrower air column in a certain area and then lead to an increasing of the
426 pollutants concentration. Thus, a winter with the lower visibility and more hazy days in
427 the BTH region would be expected in the case of the lower boundary layer height
428 caused by the anomalously high I_c .

429 In view of the responses of the local surface winds, relative humidity, vertical
430 motion and boundary layer to the comprehensive index of I_c mentioned above, the close
431 relationships between the winter mean visibility and number of hazy days over BTH
432 region and the given six atmospheric circulations are generally feasible in the physical
433 mechanism. It is reasonable and reliable to estimate the winter hazy pollutions in the
434 BTH region based on the seasonal forecast fields derived from climate simulation. Thus
435 it will be helpful to provide scientific references for the governmental decisions in
436 advance about the reducing or controlling of pollutants emission to deal with the
437 probably severe hazy pollutions in the BTH region.

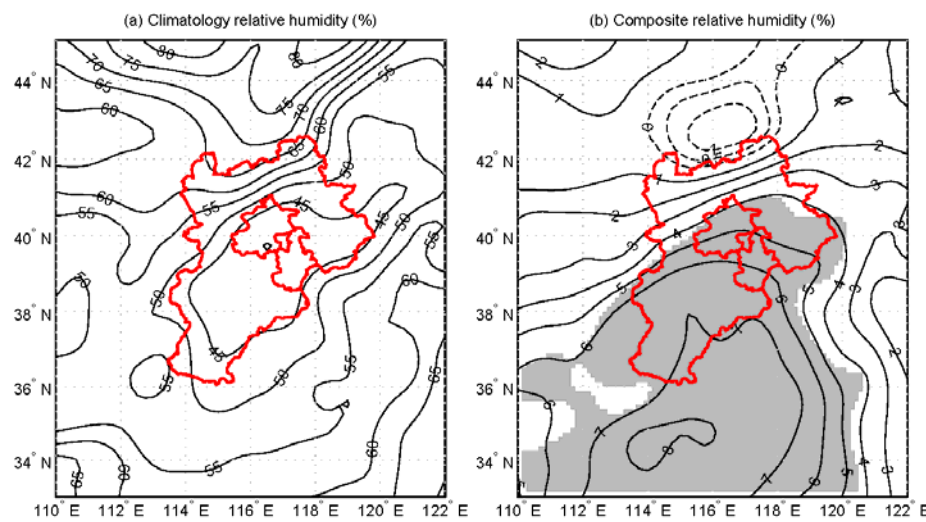
438



439

440 Figure 7 The climatological mean (a) and the composite (b) wind fields averaged from 1000 to 900
 441 hPa (Area significant at the 0.05 level are shaded)

442



443

444 Figure 8 Same as Figure 7, but for relative humidity

445

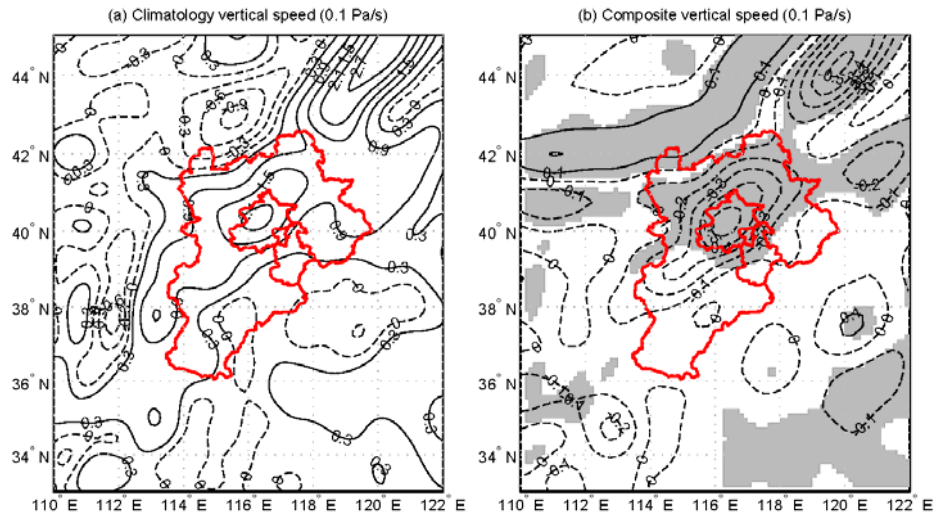


Figure 9 Same as Figure 7, but for vertical speed

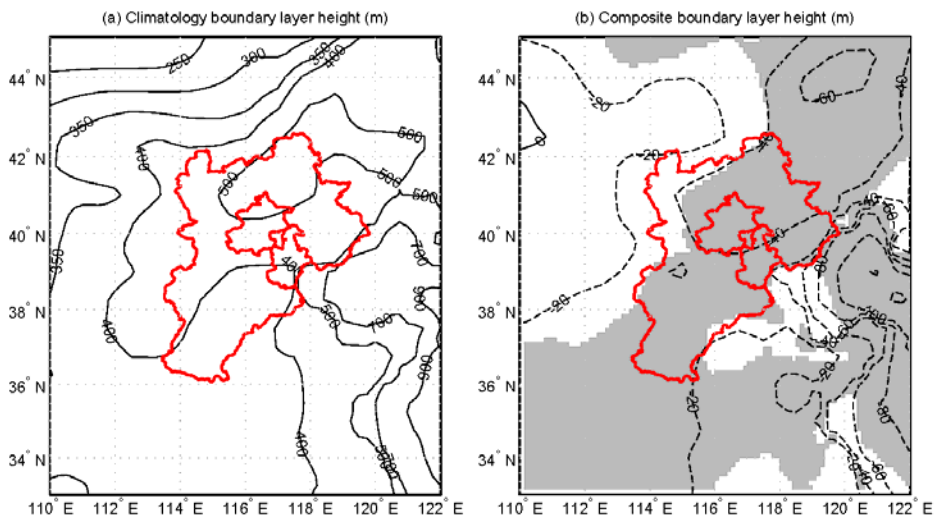


Figure 10 The climatological mean (a) and the composite (b) boundary layer height
(Area significant at the 0.05 level are shaded)

4 Conclusions

Using the daily visibility and number of hazy days recorded in the 19 meteorological stations and the NCEP/NCAR and ERA-Interim reanalysis data, the evolution of the winter hazy pollutions in the BTH region since 1981 and their possible relations to atmospheric circulations were examined in this study.

The results showed that the winter mean visibility has a significantly negative correlation with the number of hazy days and both of them show distinctly inter-annual variability during the entire period 1981 to 2015. The correlation coefficients between the winter hazy pollutions (the visibility and number of hazy days) and the most

462 common atmospheric circulations over the mid-high latitude of northern hemisphere
463 were re-examined. Results showed that the relations between the hazy pollutions in
464 BTH and the winter AO, NAO and PNA were very weak, but they correlated
465 significantly with EU, WP and SBH. Furthermore, the six new indices (I_1 to I_6) derived
466 from the key areas in the fields of SLP, U&V850, H500, U200 and T200 were closely
467 related to the winter hazy pollutions in BTH. We can estimate the visibility and number
468 of hazy days by using the six indices and the fitting and the leave-N-out cross-validation
469 methods, respectively. In general, the high level of the estimation statistics suggested
470 the winter hazy pollutions in BTH can be estimated or predicted in a reasonable degree
471 based on the optimized atmospheric circulation indices. However, we also noted that
472 the statistic estimation models for the visibility and number of hazy days may be
473 influenced by a prominent change of the pollutants emission artificially. Thus, it is
474 valuable and significant for government decision-making departments to take actions
475 in advance in dealing with the probably severe hazy pollutions in BTH indicated by the
476 circulation conditions, such as to control the pollutants discharge.

477 In order to investigate the link processes between the hazy pollutions and the given
478 atmospheric circulations more simply, a comprehensive index (I_c) was synthesized from
479 the six individual circulation indices by applying a PCA method. The winter I_c increase
480 appear to cause a shallowing of the East Asian trough at the middle troposphere and a
481 weakening of the Siberian high pressure field at sea level, and then accompanied by a
482 reduction (increase) of horizontal advection and vertical convection (relative humidity)
483 in the lowest troposphere and a reduced boundary layer height in BTH and its
484 neighboring areas, which are not conducive to the spread and elimination of air
485 pollutants but favor the formation of hazy pollutions in BTH winter. In short, the
486 reasonable link processes and the stable statistic relationships suggested that the
487 atmospheric circulation indices can be used to predict or evaluate generally the hazy
488 pollutions in BTH winter to some extent.

489

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