#### 1 **Response to editor's comments**

- 2 3 Dear Matthias,
- 4
- Thank you for your comments, really helped us improving more the MS. You may 5 find a point-by-point reply herein. Moreover, the MS with our new revisions based on
- 6 your comments (in track-changes)
- 7 Best regards,
- 8 Vassilis
- 9
- 10

11 Referee #1 has concerns about the presentation of the LIVAS aerosol model. While 12 you added two new tables to the manuscript, I have the feeling that these concerns 13 have not been fully resolved. The LIVAS aerosol model is presented in Section 3.1. 14 However, it is not stated (at least at this point in the manuscript) how the typical size 15 distributions and refractive indices have been derived or where they have been taken from. The relevant information seems to be scattered all over Section 3.1. I have the 16

17 feeling that the manuscript would benefit from restructuring Section 3.1. Why do you

18 not first focus on the properties of the LIVAS aerosol model (i.e. the typical size dis-

19 tributions and refractive indices for the respective aerosol types) including how you 20 came up with these values? In that context, it might also be worthwhile to present the

- 21 content of Section 3.1.4 earlier in the text.
- 22

23 We have realized that the description of our methodology for the derivation of spectral EAEs and BAEs was not clear enough in our MS (section 3.1), thus we have re-

24 25 vised many parts in the new MS. However, we cannot specifically follow your sug-

26 gestion to completely restructure 3.1 and this is because we want to keep the focus on

27 the conversion factors and not on the aerosol model.

28 Specifically, the 532-355nm conversion should be the focus in this MS, since LIVAS 29 proved to be reliable at 355nm. This result has been achieved through the spectral

30 conversions applied according to ESA-CALIPSO EARLINET project. There is no

31 aerosol model included for that (if we exclude some small gaps in the ESA-CALIPSO

32 database). Aerosol models in terms of typical size distributions and refractive indexes

33 have been utilized only for the conversion to IR, therefore we don't think that we 34 should emphasize on this, especially because the LIVAS database has not proven to

35 be reliable at IR (when comparing to AERONET).

36 We specifically want to avoid a presentation that would imply that our MS proposes a

37 new aerosol model for future use. The focus of section 3.1 is the methodology for the 38 derivation of the spectral BAEs and EAEs and not the microphysical properties used

39 for their derivation in the IR. It is true that this was not clear in our MS, thus we re-

40 wrote the section, making sure that the focus is shifted accordingly. We believe that

41 there is no need in presenting the aerosol model in the beginning of the section now.

42 We need to clarify also that the "LIVAS aerosol model" in our previous MS version

43 referred to both microphysical and optical properties of each aerosol type in LIVAS.

44 Maybe this was confusing for the reader as well, since the term "aerosol model" usu-

45 ally refers only to the microphysical properties. In order to reduce the complexity in

46 the MS, we revised the meaning of "aerosol model" so as to comply with the common

- 47 terminology. 48
- 49

CALIOP's vertical resolution for measurements at 532 nm is 30 m from the surface
to 8.3 km height, 60 m from 8.3 to 20.2 km height, and 180 m from 20.2 to 30.1 km
height. For 1064 nm it's 60 m from the surface to 20.2 km height and 180 m from 20.2
to 30.1 km height.

6 Thank you for this correction on the L1 product, we incorporated the respective info 7 in the MS.

8 9

5

- regarding the LIVAS processing chain: Did I understand correctly that individual
CALIPSO profiles are allocated to grid boxes of 1 x 1 degree, converted, and then
averaged to obtain a mean profile for the respective grid box? It might be worthwhile
to clarify this as the reader might mistake your step "1 x 1 grid" in Figure 9 as already producing an averaged profile.

16 We provide now a new Figure 9 to avoid misinterpretation. Thank you.

17

15

18
19 - regarding the LIVAS dust lidar ratio of 85 sr (Table 2 and Figure 7): Can you com-

20 ment on why it is so much larger than measured values of 50-60 sr? There must be 21 some unrealistic feature in the underlying aerosol model. Does this have any effect on

- *21 some unreadistic 22 the conversion?*
- 23

24 We believe that the main reason for the large lidar ratio is the aspect ratio distribution 25 used for the non-spherical particle scattering calculations. As shown in the recent pa-26 per of Koepke et al., (2015), in order to reproduce successfully the dust optical prop-27 erties, the aspect ratio distribution needs to change with particle size. This is some-28 thing we can incorporate in our calculations in the future. The backscatter-related 29 conversion will not be affected, since the calculated BAEs are within the variability of 30 BAEs measured by EARLINET. 31 We added the following paragraph in the MS:

32 "We need to highlight here that our focus is evaluating LIVAS BAEs and EAEs con-

sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius are not used in generating LIVAS database and are only provided here for reasons of completeness. We should make a comment though about the large LIVAS dust lidar

36 ratio, which we believe is an artefact due to the aspect ratio distribution used in the

37 non-spherical particle scattering calculations. As shown in the recent paper of Koepke

et al. (2015), in order to reproduce successfully the dust optical properties, the aspect ratio distribution needs to change with particle size. This is something that indicates

40 that more work is needed to develop an aerosol model oriented for space-borne lidar

- 41 applications."
- 42

43 Koepke, P., Gasteiger, J., and Hess, M.: Technical Note: Optical properties of desert 44 aerosol with non-spherical mineral particles: data incorporated to OPAC, Atmos.

- 45 Chem. Phys., 15, 5947-5956, doi:10.5194/acp-15-5947-2015, 2015.
- 46

# 1 LIVAS: a 3D multi-wavelength aerosol/cloud database based

# 2 on CALIPSO and EARLINET

3 V. Amiridis<sup>1</sup>, E. Marinou<sup>1</sup>, A. Tsekeri<sup>1</sup>, U. Wandinger<sup>2</sup>, A. Schwarz<sup>2</sup>, E. Gianna-

4 kaki<sup>3</sup>, R. Mamouri<sup>4</sup>, P. Kokkalis<sup>1</sup>, I. Binietoglou<sup>5</sup>, S. Solomos<sup>1</sup>, T. Herekakis<sup>1</sup>, S.

5 Kazadzis<sup>6</sup>, E. Gerasopoulos<sup>6</sup>, E. Proestakis<sup>1</sup>, D. Balis<sup>7</sup>, A. Papayannis<sup>8</sup>, C.

6 Kontoes<sup>1</sup>, K. Kourtidis<sup>9</sup>, N. Papagiannopoulos<sup>10</sup>, L. Mona<sup>10</sup>, G. Pappalardo<sup>10</sup>, O.

## 7 Le Rille<sup>11</sup> and A. Ansmann<sup>2</sup>

8 [1] {Institute for Astronomy, Astrophysics, Space Applications and Remote Sensing,

9 National Observatory of Athens, Athens, 15236, Greece; tel: +302108109196, fax:

10 + 302106138343

- 11 [2] {Leibniz Institute for Tropospheric Research (TROPOS), Leipzig, Germany}
- 12 [3] {Finnish Meteorological Institute, Kuopio Unit, Finland}
- 13 [4] {Cyprus University of Technology, Limassol, Cyprus}
- 14 [5] {National Institute of R&D for Optoelectronics, Bucharest, Romania}
- 15 [6] {Institute of Environmental Research and Sustainable Development, National Ob-
- 16 servatory of Athens, Greece}
- 17 [7] {Aristotle University of Thessaloniki, Thessaloniki, Greece}
- 18 [8] {National Technical University of Athens, Zografou, Greece}
- 19 [9] {School of Engineering, Democritus University of Thrace}
- 20 [10] {Istituto di Metodologie per l'Analisi Ambientale, Consiglio Nazionale delle Ri-
- 21 cerche, Potenza, Italy}
- 22 [11] {European Space Agency}
- 23 Correspondence to: Vassilis Amiridis (vamoir@noa.gr)

#### 1 Abstract

2 We present LIVAS, a 3-dimentional multi-wavelength global aerosol and cloud opti-3 cal database, optimized to be used for future space-based lidar end-to-end simulations 4 of realistic atmospheric scenarios as well as retrieval algorithm testing activities. 5 LIVAS database provides averaged profiles of aerosol optical properties for the poten-6 tial space-borne laser operating wavelengths of 355, 532, 1064, 1570 and 2050 nm 7 and of cloud optical properties at the wavelength of 532 nm. The global database is 8 based on CALIPSO observations at 532 and 1064 nm and on aerosol-type-dependent 9 backscatter- and extinction-related Ångström exponents, derived from EARLINET 10 ground-based measurements for the UV and scattering calculations for the IR wave-11 lengths, using a combination of input data from AERONET, suitable aerosol models 12 and recent literature. The required spectral conversions -are calculated for each of the 13 CALIPSO aerosol types and are applied to CALIPSO backscatter and extinction data 14 correspondingly to the aerosol type retrieved by the CALIPSO aerosol classification 15 scheme. A cloud optical database based on CALIPSO measurements at 532 nm is also 16 provided, neglecting wavelength conversion due to approximately neutral scattering 17 behavior of clouds along the spectral range of LIVAS. Averages of particle linear de-18 polarization ratio profiles at 532 nm are provided as well. Finally, vertical distribu-19 tions for a set of selected scenes of specific atmospheric phenomena (e.g. dust out-20 breaks, volcanic eruptions, wild fires, polar stratospheric clouds) are analyzed and 21 spectrally converted so as to be used as case studies for space-borne lidar performance 22 assessments. The final global dataset includes 4-year (01/01/2008 - 31/12/2011) time-23 averaged CALIPSO data on a uniform grid of 1x1 degree with the original high verti-24 cal resolution of CALIPSO in order to ensure realistic simulations of the atmospheric 25 variability in lidar end-to-end simulations.

26

#### 1 1. Introduction

2 A general methodology to test the ability of candidate future space-borne remote-3 sensing instruments to observe atmospheric quantities is the application of their pro-4 cessing algorithms on simulated datasets. The datasets are usually based on the in-5 strument characteristics and a description of the atmospheric state. Especially for ac-6 tive remote sensors as lidars, the vertical dimension should be included in the simula-7 tions. Global distributions of such data are available today due to the launch of the 8 Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) instrument on board the 9 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) mis-10 sion of NASA/CNES in June 2006 (Winker et al., 2009). Ever since, CALIPSO pro-11 vides global aerosol and cloud vertical distributions to the scientific community 12 through analysis of CALIOP backscatter observations at the operating wavelengths of 13 532 and 1064 nm.

14 The technique of active remote sensing of the atmosphere by lidar has been also cho-15 sen for two of the future ESA Earth Explorer Missions, namely the Atmospheric Dy-16 namics Mission Aeolus (ADM-Aeolus, Stoffelen et al., 2005) and the Earth Clouds, 17 Aerosols and Radiation Explorer (EarthCARE, ESA-SP-1279(1); Illingworth et al., 18 2014), and was further proposed for the Advanced Space Carbon and Climate Obser-19 vation of Planet Earth (A-SCOPE), one of the candidates for the 7th Earth Explorer 20 mission. Atmospheric Laser Doppler Instrument (ALADIN) on-board ADM-Aeolus 21 and ATmospheric LIDar (ATLID) on-board EarthCARE are two High Spectral Reso-22 lution Lidars (HSRLs) operating at 355 nm and detecting the backscatter signal from 23 atmospheric aerosols, clouds and molecules in order to retrieve the horizontal compo-24 nent of the wind vector with Doppler techniques (ALADIN) and the vertical profiles 25 of aerosol and cloud backscatter, extinction and particle depolarization (ATLID). The instrument design proposed for the A-SCOPE mission is an Integrated Path Differen tial Absorption (IPDA) lidar, aiming at measuring column-averaged dry-air CO<sub>2</sub> mix ing ratios with high precision and low bias error, based on <u>sShort-wWave iInfrared</u>
 (SWIR) (1570 nm or 2050 nm) laser and detector technologies.

5 The ESA Reference Atmosphere Model (RMA) currently used for the design and the 6 performance validation of ALADIN and ATLID instruments is derived from airborne 7 lidar measurements performed at 10.6 µm over regions of the Atlantic during a relatively clean atmospheric period (1988-1990, Vaughan et al., 1998). This RMA con-8 9 sists of five statistical aerosol backscatter profiles organized by percentiles and one 10 molecular profile with a resolution of 0.5 km from 0 to 16 km altitude. ESA RMA 11 provides also the optical properties of various clouds and the albedos for different sur-12 face types (sea/land/ice).

13 Due to its spatial restrictions, the current ESA RMA is not representative for global 14 simulations. The correct performance assessment of current and future ESA lidar in-15 struments requires the development of a refined aerosol and cloud optical database 16 with high spatial resolution for the Planetary Boundary Layer (PBL), the free tropo-17 sphere (FT) and the stratosphere. An appropriate RMA should be representative of 18 both statistical atmospheric information (i.e. per atmospheric region, climate zone and 19 season) and deterministic information (i.e. extended atmospheric scenes with, e.g., 20 Saharan dust events, biomass-burning aerosol events, volcanic eruption events, polar 21 stratospheric cloud events, convective cloud events). Moreover, the RMA should in-22 clude multi-wavelength parameters so as to cover the spectral domain of future HSRL 23 and IPDA lidar missions, specifically the three harmonic operating wavelengths of 24 Nd:YAG lasers (355, 532 and 1064 nm) and typical wavelengths of future IPDA li-25 dars in the SWIR spectral domain (1.57 and 2.05 µm).

1 Over the recent years, the European Aerosol Research Lidar Network (EARLINET, 2 http://www.earlinet.org/, Pappalardo et al., 2014) and the Aerosol Robotic Network 3 (AERONET, <u>http://aeronet.gsfc.nasa.gov/</u>, Holben et al., 1998) ground-based lidar 4 and sunphotometer networks, respectively, along with the CALIPSO backscatter lidar 5 mission have provided new resources that can be used for the elaboration of such a 6 multi-wavelength database for typical laser operating wavelengths. Additionally, sev-7 eral airborne and ground-based field experiments involving in-situ instrumentation 8 together with HSRL and multi-wavelength Raman lidar systems have been performed 9 over the last twenty years and can be very useful for the consolidation of such a RMA. 10 In this paper we present the "LIdar climatology of Vertical Aerosol Structure for 11 space-based lidar simulation studies" (LIVAS) which is a RMA aiming to provide 12 profiles of aerosol and cloud optical properties on a global scale, that can be used for 13 the simulation of realistic atmospheric scenarios in current and future lidar end-to-end 14 simulations and retrieval algorithm testing activities. For HSRL and IPDA lidar appli-15 cations, LIVAS addresses the wavelength dependency of aerosol optical properties for 16 the following laser operating wavelengths: 355 nm, 532 nm, 1064 nm, 1.57 µm and 17 2.05 µm. Moreover, LIVAS includes regional and seasonal statistics of aerosol and 18 cloud extensive and intensive optical properties in terms of backscatter coefficient, 19 extinction coefficient and particle linear depolarization ratio. Furthermore, vertical 20 profiles of extensive and intensive optical properties referring to specific atmospheric 21 scenes for a set of selected scenarios are provided (i.e. Saharan dust, smoke from bi-22 omass burning, ash from volcano eruptions, polar stratospheric clouds). The data used 23 for the development of LIVAS are presented in Section 2 while the methodologies 24 followed are given in Section 3. LIVAS product and its validation are presented in 25 Section 4, and the paper closes with our conclusions in Section 5.

Field Code Changed

#### Field Code Changed

#### 1 2. Data

3

#### 2 2.1. The CALIPSO Level 2 product

4 CALIOP, the principal instrument on board the CALIPSO satellite, part of the NASA 5 A-Train, is a standard dual-wavelength (532 and 1064 nm) backscatter lidar, operating 6 a polarization channel at 532 nm (Winker et al., 2009). CALIOP has been acquiring 7 high-resolution profiles of the attenuated backscatter of aerosols and clouds at 532 8 and 1064 nm along with polarized backscatter in the visible channel since 2006 9 (Winker et al., 2009). The horizontal resolution of CALIPSO-CALIOP is 1/3 km 10 while the vertical resolution for the observationsmeasurements at 532 nm is 30 m 11 from the surface to 8.3 km height, 60 m from 8.3 to 20.2 km height, and 180 m from 12 20.2 to 30.1 km height. For 1064 nm it is 60 m from the surface to 20.2 km height and 13 180 m from 20.2 to 30.1 km heightis 30 m in the tropospheric region (between the 14 surface and 20 km) and 180 m in the stratospheric region (between 20 and 30 km). 15 This data is distributed as part of CALIPSO Level 1 products. 16 After calibration and range correction, cloud and aerosol layers are identified and aer-

17 osol backscatter and extinction at 532 and 1064 nm are retrieved as part of the Level 2 18 product. The product is produced by the application of a succession of algorithms that 19 are described in detail in a special issue of the Journal of Atmospheric and Oceanic 20 Technology (e.g., Winker et al., 2009). In brief, the CALIOP Level 2 retrieval scheme 21 is composed of feature detection and subtyping algorithms (modules that classify fea-22 tures), and an extinction retrieval algorithm that estimates the aerosol backscatter and 23 extinction coefficient profile and total column aerosol optical depth (AOD) using an 24 assumed lidar ratio (LR) for each detected aerosol layer (the lidar ratio is can be also 25 calculated only-in cases when clear air is available both above and below a layer 26 (Young and Vaughan, 2009))-. The final CALIPSO Level 2 product includes the ver1 tical location of layers (Vaughan et al., 2009), the discrimination of aerosol layers 2 from clouds (Liu et al., 2009), the categorization of the aerosol layers in six subtypes 3 (dust, marine, smoke, polluted dust, polluted continental, and clean continental; Omar 4 et al., 2009), and the AOD estimations for each layer detected (Young and Vaughan, 5 2009). Due to CALIOP's sensitivity to polarization at 532 nm, the depolarization aris-6 ing from scattering from non-spherical dust particles serves as an independent means 7 of discrimination between dust and other aerosol species. In this study we used the 8 Version 3 of the Level 2 product (Young and Vaughan, 2009).

9 2.2. The EARLINET product

10 EARLINET (http://www.earlinet.org) has been operating since 2000 aiming to estab-11 lish a quantitative and comprehensive database for the aerosol vertical, spatial and 12 temporal distribution of aerosols on the European continental scale (Pappalardo et al., 13 2014). To date, EARLINET includes 27 stations in 16 countries performing lidar ob-14 servations on a regular schedule of one daytime measurement per week around noon 15 and two nighttime measurements per week with low background light in order to per-16 form Raman extinction measurements (see Table 1 in Pappalardo et al., 2014). The 17 first volumes of the EARLINET database have been published in biannual volumes at 18 the World Data Center for Climate (The EARLINET publishing group 2000-2010, 19 2014 a, b). In addition to the routine measurements, further observations are devoted 20 to monitor special events such as Saharan dust outbreaks, forest fires and volcano 21 eruptions (The EARLINET publishing group 2000-2010, 2014 d, e). Moreover, since 22 14 June 2006 EARLINET has carried out collocated measurements with CALIPSO 23 during nearby overpasses, following a strategy defined on the basis of the ground-24 track data analysis provided by NASA (Pappalardo et al., 2010; The EARLINET pub-25 lishing group 2000-2010, 2014c).

Field Code Changed

1 EARLINET operation is coordinated such as to ensure instrument standardization and 2 consistent retrievals within the network. This harmonization is achieved through the 3 application of a rigorous quality-assurance program addressing both instrument per-4 formance (Matthias et al., 2004; Freudenthaler et al., 2010) and evaluation of the algo-5 rithms (Böckmann et al., 2004; Pappalardo et al., 2004).

6 The 14-year EARLINET database contains a large dataset of the aerosol lidar ratio 7 retrieved from simultaneous and independent lidar measurements of aerosol extinction 8 and backscatter coefficients. Moreover, this multi-wavelength database facilitates the 9 retrieval of extinction and backscatter spectral dependence for different aerosol types 10 after a proper layer identification and characterization. Such intensive pThe lidar ratio 11 isroperties are of fundamental importance for the estimation of aerosol extinction 12 from pure backscatter lidar measurements such as conducted by CALIPSOCALIOP 13 (i.e. the lidar ratio), as well as the extinction and backscatter spectral dependence is 14 valuableas well as f for the spectral conversions between laser wavelengths.

#### 15 **2.3. The AERONET product**

16 AERONET (http://aeronet.gsfc.nasa.gov/) is a global sunphotometric network with 17 more than 250 stations, employing the CIMEL CE318 photometer as the standard in-18 strument (Holben et al., 1998). In AERONET, the calibration is centralized and 19 should be performed every 12 months, thus the instrument must be sent to specific 20 sites (in United States or Europe) for calibration and maintenance. AERONET meas-21 urement schedule includes direct sun measurements at several wavelengths of the so-22 lar spectrum (at 380, 440, 500, 675, 870, 1020 and 1640 nm depending on the instru-23 ment type) as well as diffuse sky radiances at 440, 675, 870 and 1020 nm. Direct sun 24 measurements are used to retrieve the AOD at the measured wavelengths, the Ångström exponent at 440/870 nm, and fine and coarse mode optical depth at 500 nm 25

(Holben et al, 2001; O'Neill, 2003). Direct sun and sky radiance measurements permit
 the retrieval of the size distribution, the complex refractive index, and the Single Scattering Albedo (SSA) (Dubovik and King, 2000; Dubovik et al, 2000, Dubovik et
 al. 2006).

5 3. Methods

6 In this section we describe the methods developed for the derivation of the multi-7 wavelength LIVAS database. LIVAS has was developed based on CALIPSO observa-8 tions at 532 and 1064 nm and includes the converted CALIPSO extinction and 9 backscatter product from 532 nm to 355, 1570 and 2050 nm (LIVAS wavelengths). 10 For the spectral conversion from CALIPSO 532 nm to the LIVAS wavelengths, we used aerosol-type-dependent backscatter- and extinction-related Ångström exponents, 11 12 as these are-were derived from ground-based measurements or suitable optical mod-13 els. Specifically, for the conversions applied in LIVAS, the spectral dependence of the 14 extinction and backscatter is was considered to follow the well-known Ångström ex-15 ponential law as follows:

16 
$$x_{par}(\lambda_2) = x_{par}(\lambda_1) \left(\frac{\lambda_1}{\lambda_2}\right)^{\lambda_{\lambda_1/\lambda_2}}$$
 (Eq. 1)

17 where  $x_{par}(\lambda_2)$  is the converted extinction or backscatter at  $\lambda_2$  (either 355, 1570 or 18 2050 nm),  $\dot{A}_{\lambda_1/\lambda_2}$  is the BAE or EAE and  $x_{par}(\lambda_1)$  is the extinction or backscatter 19 product of CALIPSO at  $\lambda_1$ =532 nm. In the following, instead of extinction-related 20 Ångström exponents and backscatter-related Ångström exponents we use the terms 21 EAEs and BAEs respectively to describe the spectral dependence of the extinction 22 and backscatter.

An overview of the data and methods followed for the derivation of the aerosol-typedependent BAEs and EAEs is schematically illustrated in Figure 1<sub>7</sub> and described
while the aerosol model developed for LIVAS is detailed, discussed and evaluated in

paragraph 3.1. The methodology for the spectral conversion of the CALIPSO Level 2
 product is demonstrated through an example presented in paragraph 3.2. The section
 closes with the description of the processing chain followed for quality filtering and
 averaging the CALIPSO observations, given in paragraph 3.3.

5 3.1. Aerosol model for the derivation of spectral conversion factorsDerivation of
6 spectral BAEs and EAEs

For the derivation of the BAEs and EAEs we constructed the LIVAS aerosol model
with typical microphysical and optical properties for each CALIPSO aerosol type.
<u>used Dd</u>ifferent methods and datasets are utilized for the UV and IR spectral regions,
as described in detail below.: The microphysical and optical properties of each
CALIPSO type in the model are provided in Tables 1 and 2.

12 BAEs and EAEs for the 532 to 355 nm conversion are were mainly derived from the 13 multi-wavelength EARLINET measurements of the extinction and backscatter and 14 extinction coefficients. EARLINET measurements cannot be used for the IR conver-15 sion since the ground-based lidars of the network are spectrally limited between 355 16 and 1064 nm. Thus, for converting the CALIPSO backscatter and extinction products 17 from 532 nm to 1570 and 2050 nm, we first defined the typical size distributions and 18 refractive indexes of the six aerosol subtypes used by CALIPSO (i.e. dust, polluted 19 dust, smoke, marine, clean continental and polluted continental), see also ("LIVAS 20 aerosol model" in Table 1 and detailed methodology in 3.1.2.)  $\frac{1}{2}$  and then we calculat-21 ed the respective BAEs and EAEs utilizing well-known scattering codes like the Mie 22 code for spherical particles (Mie, 1908; Van de Hulst, 1957), as well as the T-matrix 23 code (Mishchenko et al., 2002) and the geometric-optics-integral-equation technique 24 (Yang and Liou, 1996) for non-spherical particles.

**Formatted:** Not Highlight

12

| 1  | The construction of representative size distributions and refractive indexes corre-     |
|----|---|
| 2  | sponding to the CALIPSO aerosol types is not a straight-forward task. The ones used     |
| 3  | to estimate the optical properties of each type in CALIPSO classification scheme, are   |
| 4  | retrieved by clustering AERONET data in respective categories/aerosol types, as de-     |
| 5  | scribed in Omar et al. (2005; 2009). Although this CALIPSO aerosol model is as-         |
| 6  | sumed to correspond to the independently derived CALIPSO aerosol types, this is not     |
| 7  | true for all cases, mainly due to the different nature of AERONET sunphotometer         |
| 8  | measurements versus CALIPSO lidar measurements used for the categorization. The         |
| 9  | main difference is that the sunphotometer is incapable of providing measurements at     |
| 10 | the backscattering angle of 180°. For is the reason we did not use the CALIPSO aero-    |
| 11 | sol model for the calculation of LIVAS BAEs and EAEs in the VIS-IR, and instead         |
| 12 | we used different datasets and methods, as described in detail in section 3.1.2.        |
| 13 | A different point that needs to be highlighted for LIVAS conversions is that the        |
| 14 | CALIPSO classification used for the aerosol-type-dependent conversions possibly         |
| 15 | introduces some uncertainty in LIVAS final product, due to inconsistencies with the     |
| 16 | observed aerosol types. CALIPSO classification is based on a threshold algorithm that   |
| 17 | takes into account the layer-integrated attenuated backscatter coefficient and an ap-   |
| 18 | proximate particulate depolarization ratio as well as the surface type (either land or  |
| 19 | ocean; Omar et al., 2009). However, these properties do not provide all the infor-      |
| 20 | mation needed for unambiguously classifying the aerosol type and, as a result, mis-     |
| 21 | classifications occur frequently (e.g. Burton et al., 2013). Since for LIVAS we need to |
| 22 | calculate BAEs and EAEs assuming that the CALIPSO aerosol types are representa-         |
| 23 | tive of the aerosols observed, any inconsistencies in the CALIPSO classification        |
| 24 | scheme introduce inaccuracies in our results.   |
|    | l   |

| 1  | Aerosol classification for CALIPSO is based on a threshold algorithm that takes into     |
|----|--|
| 2  | account the layer integrated attenuated backscatter coefficient and an approximate       |
| 3  | particulate depolarization ratio as well as the surface type (either land or ocean; Omar |
| 4  | et al., 2009). However, these properties do not provide all the information needed for   |
| 5  | unambiguously classifying the aerosol type and, as a result, misclassifications occur    |
| 6  | frequently (e.g. Burton et al., 2013). Since for LIVAS model we need to calculate        |
| 7  | BAE and EAE assuming that the CALIPSO aerosol types are representative of the            |
| 8  | aerosols observed, any inconsistencies in the CALIPSO classification scheme intro-       |
| 9  | duce inaccuracies in our results. The CALIPSO acrosol model on the other hand, is        |
| 10 | introduced due to the need for a priori knowledge of the LR, and it consists of typical  |
| 11 | size distributions and refractive indexes for each type that are retrieved by clustering |
| 12 | AERONET measurements in respective categories/aerosol types, as described in             |
| 13 | Omar et al. (2005; 2009). Although the proposed classification is assumed to corre-      |
| 14 | spond to the independently derived CALIPSO aerosol types, this is not true for all       |
| 15 | cases, mainly due to the different nature of AERONET sunphotometer measurements          |
| 16 | versus CALIPSO lidar measurements used for the categorization. This is the reason        |
| 17 | we do not use it for the calculation of LIVAS BAE and EAE.                               |
| 18 | In LIVAS, we initialize a number of different approaches to construct a representative   |
| 19 | aerosol model for CALIPSO and we evaluate it using the ground based lidar meas-          |
| 20 | urements of EARLINET. We emphasize that in contrast to the sunphotometer only            |
| 21 | method used for the CALIPSO aerosol model, the lidar related methodology present-        |
| 22 | ed here is considered more appropriate for the CALIPSO aerosol classification            |
| 23 | scheme. This is because EARLINET performs direct ground based lidar measure-             |
| 24 | ments of the backscatter coefficient in contrast to the CIMEL sunphotometer which is     |
| 25 | incapable of providing measurements at the scattering angle of 180°.                     |
|    |  |

| 1  | Summarizing, the-LIVAS BAEs and EAEs aerosol model contains the were measured             |
|----|---|
| 2  | BAE and EAE-from EARLINET data-for the UV-VIS conversion and they were cal-               |
| 3  | culated BAE and EAE for the VIS-IR spectral range conversion. For the latter we em-       |
| 4  | ployed characteristic size distributions and refractive indexes from AERONET data         |
| 5  | classified into the respective aerosol types using different approaches. The results are, |
| 6  | and further validated against-using EARLINET measurements. Moreover, for aerosol          |
| 7  | types that are not probed by either EARLINET or AERONET (e.g. marine), we uti-            |
| 8  | lized typical properties from the Optical Properties of Aerosols and Clouds (OPAC)        |
| 9  | model (Hess et al., 1998) or other aerosol models from the literature. An elaborated      |
| 10 | description of our methodology for the UV-VIS and VIS-IR spectral regions is given        |
| 11 | in paragraphs 3.1.1 and 3.1.2, respectively.  |

12

#### 13 3.1.1. BAEs and EAEs in UV-VIS spectral region

14 For the conversion of CALIPSO aerosol backscatter and extinction from 532 to 355 15 nm, the aerosol-type-dependent BAEs and EAEs were derived from the EARLINET 16 database. Specifically, we used the database developed within the project "EAR-17 LINET's Space-borne-related Activity during the CALIPSO mission" (ESA-18 CALIPSO, Wandinger et al., 2011). ESA-CALIPSO was-is an ESA-funded study 19 aimed to establish an aerosol database from the classification of EARLINET observa-20 tions performed during nearby CALIPSO overpasses with respect to the aerosol type. 21 The methodology followed and the objectives of ESA-CALIPSO are described in 22 Wandinger et al. (2011). In brief, during ESA-CALIPSO a large number of 23 EARLINET observations was utilized to develop an aerosol classification scheme 24 over Europe and to determine the respective type-dependent BAEs and EAEs, togeth-25 er with -and-other aerosol intensive properties. Each EARLINET measurement was inspected regarding quality (e.g., noise level) and the occurrence of distinct aerosol
layers. For each selected layer, an air-mass transport simulation was performed to determine its origin, transport path, and age. Additional modeling tools and satellite
products (e.g., fire maps) were implemented to cross-check the sources and to assign
an aerosol type for each layer (Wandinger et al., 2011).

For the derivation of the UV/\_VIS (355 from 532 nm) BAEs and EAEs in LIVAS, we used the measurements from more than 500 aerosol layers recorded in the ESA-CALIPSO database and provided by four high-performance EARLINET stations, namely the stations of Athens, Leipzig, Potenza and Thessaloniki. The final BAEs and EAEs were calculated by averaging the measurements collected for each aerosol type. These are presented in the left column of Table 3-2 for backscatter (bsc) and extinction (ext).

13 The EARLINET measurements included in ESA-CALIPSO regarding clean marine, 14 clean continental and stratospheric aerosol particles are were limited for a reliable sta-15 tistical analysis. The calculation of BAEs is was possible, but for EAEs this is was not 16 the case (mainly due to Raman lidar constraints regarding the overlap that prohibits 17 extinction retrievals for lower marine atmospheric layers and regarding inadequate 18 Raman returns from the stratosphere). For the aforementioned types, aerosol models 19 provided in the literature are-were used in order to calculate the EAEs. Specifically, 20 we used the maritime model introduced in Sayer et al. (2012) for clean marine aero-21 sols, the OPAC model for clean continental aerosols and the stratospheric model of 22 Wandinger et al. (1995) and Deshler et al. (1993) for stratospheric aerosols. From 23 these models, typical size distributions and refractive indexes were retrieved and the 24 BAEs and EAEs were calculated via the application of the Mie theory (Mie, 1908; 25 Van de Hulst, 1957). The results are given provided in Table 3-2 (left column).

#### 1 3.1.2. Conversion factorsBAEs and EAEs in VIS-IR spectral region

2 ESA-CALIPSO is mainly limited to the VIS-UV spectral region. For the VIS-IR con-3 versions in LIVAS, we used typical size distributions and refractive indexes for each 4 aerosol type derived from AERONET data or models, i.e. OPAC or other aerosol 5 models in the literature. Scattering simulations were then applied for each aerosol type 6 for the complete spectral range of LIVAS interest (i.e. 355, 532, 1064, 1570, 2050 7 nm). The criterion for selecting between different approaches for each aerosol type 8 was the consistency of the calculations in the UV-VIS spectral region with the ESA-9 CALIPSO measurements, which were the reference for any conversion made in 10 LIVAS. More specifically, we checked the consistency of our calculations with ESA-11 CALIPSO for the 532-to-355-nm EAEs and the 532-to-355-nm, 1064355-to-12 3551064-nm and 1064532-to-5321064-nm BAEs.

Based on its consistency with ESA CALIPSO, the The different approaches selected
 ffor the derivation of the typical microphysical and optical properties of each aerosol
 typein LIVAS aerosol model is are described in the following:

16 AERONET-Omar: AERONET data were categorized with respect to the CALIPSO 17 aerosol types based on the classification method introduced by Omar et al. (2005; 18 2009), utilized for the construction of the CALIPSO aerosol model as described 19 above. The difference in our approach for the LIVAS aerosol model is-was that for 20 each aerosol type a consistency check with the ESA-CALIPSO data was first per-21 formed: each AERONET measurement was categorized under a specific aerosol type 22 and the Ångström exponent at 355/532 nm and the lidar ratios at 355 and 532 nm 23 were computed (using the phase function and the SSA provided by AERONET). 24 Then, we rejected the cases for which the aforementioned calculated optical properties 25 were not within the range of the typical ESA-CALIPSO values for the respective aerosol type. From the constrained dataset, the average size distribution and refractive
index were produced for each aerosol type and subsequently used as input in scattering calculations to produce the spectral conversion factorsBAEs and EAEs in the
UVVIS-IR spectral range. The method was expected to derive consistent microphysics with ESA-CALIPSO at the UV-VIS range and thus the results for the VIS-IR
spectral range would be consistent.

7 For the scattering calculations the well-known Mie code (Mie, 1908; Van de Hulst, 8 1957) was applied for all the aerosol types except the non-spherical particles of dust 9 and polluted dust, where the T-matrix code and the geometric-optics-integral-equation 10 technique were utilized instead. More specifically, for the non-spherical scattering 11 calculations we employed the code of Dubovik et al. (2006), which utilizes the Tmatrix method for particles of size parameter  $(\frac{2 \times \pi \times radius}{wavelength})$  smaller than 20-30 and the 12 13 geometric-optics-integral-equation technique for larger particles, with size parameter 14 up to ~625. The non-spherical particles are were considered as mixtures of spheroids 15 with aspect ratios defined by an aspect-ratio distribution, and pre-computed look-up tables are-were utilized, allowing fast calculations. We considered that the non-16 17 spherical particles of dust and polluted dust over their entire size range have the same 18 aspect ratio distribution as the one provided for dust in Dubovik et al. (2006), which 19 was shown to reproduce successfully the laboratory measurements of mineral dust 20 scattering properties by Volten et al. (2001).

AERONET-CALIPSO: AERONET and CALIPSO collocated and synchronized measurements were collected, following the collocation method introduced in Schuster et al. (2012). More specifically, the spatial collocation required the CALIPSO overpass to be closer than 80 km from the AERONET station and the measurements to take place with maximum 30 min difference. From the collocated measurements, only those with a single CALIPSO aerosol subtype in the atmospheric column were considered. The AERONET data for these cases were subsequently classified based on the aerosol type provided by the collocated CALIPSO measurements. Scattering calculations were applied to each of the AERONET size distributions and refractive indexes of the collected cases taking into account the spherical and non-spherical part of the mixture, as this was provided by AERONET for each case.

It should be highlighted here that for this method there was no distinction between spherical and non-spherical aerosol types, instead all types were considered to contain both spherical and non-spherical particles, in accordance with the AERONET product. The calculations for the spherical part were performed with the Mie code and for the non-spherical part with the Dubovik et al. (2006) code, following the methodology described above. For each type, all the collocated cases were averaged and from those measurements we derived the average values of BAEs and EAEs.

The dataset was not constrained with ESA-CALIPSO as in the AERONET-Omar approach for the UV/\_VIS wavelengths. This was due to the fact that the specific approach aimed to deliver typical BAEs and EAEs for the aerosol types classified by the CALIPSO classification scheme itself, thus no correspondence to the nature of the atmospheric aerosol loads was required.

<u>OPAC:</u> A typical size distribution and refractive index were extracted from the OPAC
dataset for the clean continental type, considering typical ambient conditions of 70%
relative humidity. We derived the <u>conversion factorsBAEs and EAEs</u> by performing
scattering calculations with the Mie code. Since for the clean continental aerosol there
is little to no information from AERONET and EARLINET we had to rely on models
to derive LIVAS BAEs and EAEs.

Approaches taken from the literature: The studies of Wandinger et al. (1995) and Deshler et al. (1993) provide a range of typical size distributions and refractive indexes for the stratospheric aerosol, while the maritime model of Sayer et al. (2012) provides a typical size distribution and refractive index for marine aerosol. We derived the corresponding BAEs and EAEs by performing scattering calculations with the Mie code.

# 3.1.3. <u>Final LIVAS aerosol modelBAEs and EAEs per aerosol type and</u> evalua tion against ESA-CALIPSO database

9 As already mentioned, the aim of the LIVAS BAEs and EAEs aerosol model is to re-10 produce spectral conversionneed to be factors that are consistent with ESA-11 CALIPSO, a reference database of measured lidar-related aerosol properties, especial-12 ly regarding the backscatter coefficient, the lidar ratio, and the spectral dependence of 13 the backscatter. While the UV-VIS BAEs and EAEs were derived directly from the 14 ESA-CALIPSO database, the VIS-IR BAEs and EAEs were calculated using the da-15 tasets and methods described in section 3.1.2. To ensure consistency of our calcula-16 tions with measured data, for each aerosol type we selected the VIS-IR methodology 17 that provided compatible results with the ESA-CALIPSO for the UV-VIS BAEs and 18 EAEs. In this way we ensured the best possible consistency of BAEs and EAEs for the entire spectral range. 19

Our final results are presented and discussed herein: Figure 2 shows the calculated BAEs and EAEs using all the approaches described above-in section 3.1.2 and their comparison with ESA-CALIPSO at UV-VIS. The selected approach for each aerosol type is denoted in Figure 2 with large size symbols. Starting from the AERONET-Omar approach, we found that it performed better when compared to ESA-CALIPSO for the polluted continental type, resulting in a very good agreement for the EAE and

1 best performance regarding the BAEs. For the other types this approach reproduced 2 well the EAEs but the BAEs could not be reproduced such as to fit the ESA-3 CALIPSO acceptable range of values. Dust and polluted dust aerosols are most likely 4 classified correctly by CALIPSO due to its polarization sensitivity (e.g. Burton et al., 5 2013; Amiridis et al., 2013). For this reason, we chose the AERONET-CALIPSO ap-6 proach for the calculation of their BAEs and EAEs. The approach showed a relatively 7 better agreement with ESA-CALIPSO compared to the AERONET-Omar approach, especially for the BAEs, maybe due to better filtering of the AERONET data used in 8 9 the calculations for the AERONET-CALIPSO approach (Figure 2). Overall though, 10 we believe that the discrepancies in backscatter spectral dependence observed for 11 most of the aerosol types are most likely due to the fact that AERONET lacks the ca-12 pability to directly measure in the backscattering direction. Comparisons found in the 13 literature between Raman-lidar-measured and photometer-retrieved lidar ratios, sup-14 port this argument (e.g. Mueller et al., 2007).

15 Moreover, it should be noted though that the evaluation of the retrieved values with 16 ESA-CALIPSO for polluted dust is only indicatory. This is because CALIPSO as-17 sumes the same properties for any kind of dust mixture (e.g. dust-smoke, dust-18 marine), while ESA-CALIPSO shows that the optical properties are highly variable 19 for different dust mixtures. Specifically, ESA-CALIPSO provides intensive properties 20 for mixtures of dust with polluted continental, smoke and marine aerosol separately 21 and what we used here in order to compare with CALIPSO is an average of these 22 properties.

For smoke aerosols the AERONET-CALIPSO approach showed similar results as
AERONET-Omar, performing well for EAE, but failing to reproduce the ESACALIPSO BAEs (Figure 2). For this aerosol type we chose to include in theused -the

1 calculated BAEs and EAEs from the AERONET-CALIPSO approach for LIVAS 2 modelconversions the calculated BAE and EAE from the AERONET CALIPSO ap-3 proach. This decision was based on the fact that the classification of smoke by 4 CALIPSO is the most uncertain compared to the other aerosol types, as reported by 5 Burton et al. (2013). The authors of this study reported a percentage agreement of 6 13% for smoke classification when comparing with airborne HSRL classification re-7 sults. Smoke misclassification was also found to be the reason of the discrepancies 8 between CALIPSO and AERONET reported in Schuster et al. (2012) in terms of 9 AOD measurements. These findings indicate that the CALIPSO smoke classification 10 may not correspond to real smoke presence. For this reasonThus, it may not be com-11 parable with real smoke detections by EARLINET used forin ESA-CALIPSO. ( This 12 is because Tthe ESA-CALIPSO classification model, which is based on source-13 receptor analysis based on model simulations of air mass advection over the stations, 14 together with the aerosol optical properties measured by the lidar-that are used for 15 aerosol characterization.) Thus, for the smoke type we avoided to use the ESA-16 CALIPSO smoke statistics.

For clean marine and clean continental aerosol, the ESA-CALIPSO database does not contain an adequate number of measurements to provide statistically significant averages. Thus, for clean marine we used the size distribution and refractive index provided in the maritime model of Sayer et al. (2012) and for clean continental we used the ones provided in the OPAC database. Note that the size distribution and refractive index for clean continental aerosol from OPAC database were considered at ambient conditions of 70% relative humidity. 1 Finally, for the stratospheric aerosol type we used the model introduced in Deshler et

al. (1993) and Wandinger et al. (1995). BAEs and EAEs found to be in good agreement with ESA-CALIPSO values (not shown in Figure 2).

4 The final aerosol-type-dependent VIS-IR BAEs and EAEs used in LIVAS are pre-5 sented in the right panel of Table 3-2 for extinction (ext) and backscatter (bsc). Over-6 all, as seen in Figure 2, the LIVAS aerosol model in the VIS IR is compatible with 7 ESA-CALIPSO in the VIS-UV spectral region regarding EAEs. However, the agree-8 ment with regard to the VIS-UV BAEs is not that satisfactory. For the extinction and 9 backscatter-related conversion factorsBAEs and EAEs in the IR, one point of concern 10 is the extrapolation of the refractive index at the longer wavelengths, since this infor-11 mation is not provided from AERONET.

#### 12 3.1.4. Comparison of LIVAS and CALIPSO aerosol models

13 The microphysical properties used for calculating the VIS-IR BAEs and EAEs are 14 compared in this section with the ones in theof CALIPSO aerosol model (Omar et al. 15 2005; 2009). Figure 3 shows the comparison of LIVAS versus CALIPSO size distri-16 butions for each aerosol type, while Figure 4, 5 and 6 show the spectral dependence of 17 the complex refractive index and the SSA, respectively, at LIVAS wavelengths for the 18 two models. Figure 7 shows the BAE and EAE at 355/532 nm, the lidar ratio at 532 19 nm and the effective radius for the LIVAS and CALIPSO aerosol models, compared 20 with the ones provided in the ESA-CALIPSO database. The values of the lidar ratio at 21 532 nm, the SSA at 532 nm and the effective radius for the two models are also pro-22 vided in Table  $\frac{23}{2}$ .

In Figure 3 the best agreement between the LIVAS and the CALIPSO model size distributions is found for the polluted continental type. For smoke particles the CALIPSO model considers the same volume for fine and coarse particles, whereas the

1 LIVAS model presents a domination of the fine mode. The latter agrees well with the 2 averaged size distribution of smoke type provided in Dubovik et al. (2002) 3 AERONET eight-year climatology and is considered more typical as it is supported 4 by other studies as well as (Reid et al., 2005; Eck et al., 1999; 2003). For dust type the 5 LIVAS size distribution has fewer fine particles than the CALIPSO model, in agree-6 ment with the AERONET climatology of Dubovik et al. (2002) and findings of exper-7 imental campaigns dedicated to mineral dust characterization (e.g. McConnell et al., 8 2008; Weinzierl et al., 2009; Müller et al., 2011; Toledano et al., 2011). For the pol-9 luted dust type both models seem to fall within the range of the large variability re-10 ported in the literature for dusty mixtures (Eck et al., 1999; Jung et al., 2010). The 11 more pronounced fine mode in the LIVAS model resembles the size distributions of 12 dust and pollution mixtures (Kim et al., 2007). However, an extensive discussion on 13 the polluted dust type is avoided here since there is no clear definition of the non-dust 14 components for this type in the CALIPSO model. LIVAS size distribution for clean 15 marine type is based on the maritime model of Sayer et al. (2012). Similar size distri-16 butions for marine particles are provided in other studies as well (e.g. Dubovik et al., 17 2002; Smirnov et al., 2002). The largest disagreement is seen for the clean continental 18 type. We believe that the pronounced fine mode in the LIVAS size distribution from 19 OPAC is due to the hygroscopic growth of the hydrophilic fine particles in ambient 20 relative humidity of 70%. However, the clean continental type in global CALIPSO 21 records has a contribution of the order of 2%, making this type of less importance for 22 LIVAS database. For the aerosol model though, a better definition of the aerosol 23 components of this type should be considered.

Regarding the differences on the refractive index assumed by LIVAS and CALIPSO
aerosol models, these are presented in Figures 4 and 5, respectively<sub>a</sub> for the reader's

reference. We also present a comparison of the LIVAS and CALIPSO SSA in Figure 1 2 6. The comparison shows an overall disagreement in the SSA between-for the two aerosol models. We should note here that Omar et al. (2009) provide the refractive 3 4 index values at 532 and 1064 nm and we used linear extrapolation to construct-esti-5 mate the CALIPSO aerosol model refractive indexes for all the other wavelengths of 6 LIVAS (see Figures 4 and 5). Despite the disagreement of the SSA values, their spec-7 tral slope is similar for all the types (except the clean continental aerosol) for both 8 models. Even more so, for polluted continental, dust, smoke and clean marine parti-9 cles the spectral slope of the SSA agrees relatively well with the corresponding ones 10 provided in Dubovik et al., (2002) climatology. More specifically, for the dust type 11 the spectral slope of the SSA for both models is flatter but it closely resembles the one 12 presented in Dubovik et al. (2002), as well as in other studies (Müller et al., 2011; 13 Toledano et al., 2011). For smoke, the absorption has to do mainly with the black car-14 bon content and can greatly vary (Eck et al., 2003). The spectral dependence and 15 range of LIVAS SSA values in LIVAS model are similar with the values provided in 16 Dubovik et al. (2002) climatology and references therein, whereas the CALIPSO 17 model presentsSSA have lower values, which although agree with other studies (e.g. 18 Eck et al., 1998; 2003). The polluted dust SSA spectral dependence is similar for both 19 models, but different than of dust mixtures with smoke and pollution presented in the 20 literature (e.g. Jung et al., 2010; Holler et al., 2003). Finally, the clean marine SSA for 21 both models agrees very well with other studies in the literature (e.g. Dubovik et al, 22 2002; Hasekamp et al., 2011).

In Figure 7, a final comparison between ESA-CALIPSO, LIVAS and CALIPSO aerosol models-is given in terms of BAE and EAE, lidar ratio at 532 nm and effective radius. We need to highlight here that our focus in evaluating LIVAS model is the BAE

| 1  | and EAE consistency with the ESA CALIPSO measurements. The lidar ratio and ef-   |
|--|--|
| 2  | fective radius are not used in generating LIVAS database and are only provided here  |
| 3  | for reasons of completeness. More work is needed to develop an aerosol model ori-  |
| 4  | ented for space borne lidar applications. BAE and EAE at 355/532 nm for the  |
| 5  | CALIPSO aerosol model are not provided by Omar et al. (2009) and instead they  |
| 6  | were calculated using the size distribution and refractive index of the CALIPSO mod-   |
| 7  | el. For the scattering calculations we used the Mie code for the types with spherical  |
| 8  | particles and the Dubovik software for the non-spherical particles of dust and polluted  |
| 9  | dust types. The methodology was the same as the one described for the AERONET-   |
| 10   | Omar approach in Section 3.1.2. The lidar ratio at 532 nm was taken directly from  |
| 11   | what is reported in Omar et al. (2009), while due to the fact that the effective radius is   |
| 12   | not given in this work, it was calculated from the size distribution for each type there-  |
| 13   | in.  |
| 14   | We need to highlight here that our focus is evaluating LIVAS BAEs and EAEs con-  |
|  |  |
| 15   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius   |
| 15<br>16   |  |
|  | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius   |
| 16   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of  |
| 16<br>17   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar  |
| 16<br>17<br>18   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the   |
| 16<br>17<br>18<br>19   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the<br>non-spherical particle scattering calculations. As shown in the recent paper of Koepke   |
| 16<br>17<br>18<br>19<br>20   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the<br>non-spherical particle scattering calculations. As shown in the recent paper of Koepke<br>et al. (2015), in order to reproduce successfully the dust optical properties, the aspect  |
| 16<br>17<br>18<br>19<br>20<br>21   | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the<br>non-spherical particle scattering calculations. As shown in the recent paper of Koepke<br>et al. (2015), in order to reproduce successfully the dust optical properties, the aspect<br>ratio distribution needs to change with particle size. This is something that indicates   |
| <ol> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>             | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the<br>non-spherical particle scattering calculations. As shown in the recent paper of Koepke<br>et al. (2015), in order to reproduce successfully the dust optical properties, the aspect<br>ratio distribution needs to change with particle size. This is something that indicates<br>that more work is needed to develop an aerosol model oriented for space-borne lidar                  |
| <ol> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> </ol> | sistency with the ESA-CALIPSO measurements. The lidar ratio and effective radius<br>are not used in generating LIVAS database and are only provided here for reasons of<br>completeness. We should make a comment though about the large LIVAS dust lidar<br>ratio, which we believe is an artefact due to the aspect ratio distribution used in the<br>non-spherical particle scattering calculations. As shown in the recent paper of Koepke<br>et al. (2015), in order to reproduce successfully the dust optical properties, the aspect<br>ratio distribution needs to change with particle size. This is something that indicates<br>that more work is needed to develop an aerosol model oriented for space-borne lidar<br>applications. |

1 ESA-CALIPSO. The deviation in LIVAS and CALIPSO BAE is especially evident 2 for the dust type (Figure 7 upper-right), possibly due to . It is a possibility that one of 3 the reasons of this discrepancy is the lower effective radius produced from the large 4 fine-mode contribution in the size distribution assumed in Omar et al. (2009). For pol-5 luted continental aerosol we got-get a relatively good agreement for the-LIVAS and 6 ESA-CALIPSO BAEs models, but this is not the case for the CALIPSO BAE in ac-7 cordance with the ESA CALIPSO data as well. For smoke aerosol the LIVAS and 8 CALIPSO agreement was is also good for both models, but both were not con-9 sistentthere is no consistency-with the ESA-CALIPSO for the backscatter conversion. 10 For elean marine elean marine and elean continental aerosol the LIVAS model agreed 11 well with ESA CALIPSO, all three BAEs agree well-but this was not the case for the 12 CALIPSO model. For clean continental LIVAS BAE agrees with ESA-CALIPSO, but 13 CALIPSO BAE is quite different. Overall, we found find that the LIVAS and 14 CALIPSO aerosol models agreed only for the polluted continental aerosols, whereas 15 for the rest of the aerosol types the LIVAS model was BAEs are closer to the ESA-16 CALIPSO measured values than the CALIPSO modelBAEs.

#### 17 3.1.5. Spectral conversions for other LIVAS products

18 Depolarization spectral conversions were not applied in LIVAS since multi-19 wavelength depolarization measurements are rare and available only during experi-20 mental campaigns (Freudenthaler et al., 2009; Groß et al., 2011a, b), thus the dataset 21 was not considered statistically significant. A single-wavelength depolarization data-22 base is provided in LIVAS using CALIPSO Level 2 particle depolarization ratio aver-23 ages at 532 nm.

Furthermore, a global cloud database is given based on CALIPSO observations at 532
nm. With respect to clouds, the wavelength conversion is most probably of minor im-

portance due to approximately neutral scattering behavior along the range of LIVAS
 wavelengths.

3 In addition, a database for the stratospheric features detected by CALIPSO is provid-4 ed, separated to cloud and aerosol features. Specifically, the stratospheric features de-5 tected by CALIPSO were separated in polar stratospheric clouds and stratospheric 6 aerosols using the temperature threshold technique proposed by Pitts et al. (2009). In 7 brief, we classified the stratospheric features as Polar Stratospheric Clouds (PSCs) for temperature lower than 198 K, while features of higher temperatures were classified 8 9 as stratospheric aerosols. The separation was applied only for stratospheric features at latitudes greater than 54° N and less than -54°S, while for the latitudes in between, the 10 11 stratospheric features were considered as aerosols. This classification is not consid-12 ered reliable enough and has been included in LIVAS in order to provide only a rough 13 estimate of the stratospheric aerosol loads detected by CALIPSO. More efforts will be 14 needed in the future for achieving a trustworthy separation of different stratospheric 15 features. As proposed by Pitts et al. (2009), the utilization of L1 CALIPSO product in 16 synergy with L2 may provide a more reliable discrimination.

Finally, a set of selected scenes of specific atmospheric phenomena (e.g. dust outbreaks, volcanic eruptions, wild fires, polar stratospheric clouds) was produced. BAEs and EAEs for the selected scenes were delivered after thorough investigation of each case study, based on CALIPSO-collocated ground-based measurements that are reported to-in the literature. Whenever this was not possible (as for the IR conversion), the LIVAS BAEs and EAEs were used.

#### 23 **3.2.** Example for the spectral conversion of single CALIPSO profiles

The obtained aerosol-type-dependent BAEs and EAEs for <u>VISUV-to-UV-VIS</u> and VIS-to\_IR were applied to the CALIPSO Level 2 product at 532 nm for the respec-

1 tive aerosol layer type inferred by the CALIPSO aerosol classification scheme. An 2 example of the conversion from 532 to 355 nm is presented in Figure 8. Each 3 CALIPSO layer in the profile example was converted from 532 to 355 nm using the 4 LIVAS EAE at 532/355/532, depending on the aerosol type retrieved by the 5 CALIPSO aerosol classification scheme for the layer. In the example presented in 6 Figure 8, LIVAS EAEs for clean marine (0.78), dust (0.55) and polluted continental (1.24) types were applied to the CALIPSO extinction coefficient at 532 nm, based on 7 the Ångström exponential law described in Equation 1. 8

### 9 3.3. CALIPSO quality filtering and averaging processing chain

10 For the production of the final LIVAS products, we used the methodology developed 11 by the CALIPSO team for the Level 3 aerosol product, as described in Winker et al. 12 (2013). Our algorithm was tested for reproducing the CALIPSO Level 3 product, 13 which is an aggregation onto a global 2x5 degree latitude-longitude grid. After the 14 positive evaluation of the averaging procedure (not shown here), we applied it on the 15 Level 2 CALIPSO profiles at 532 and 1064 nm but also on the corresponding LIVAS 16 spectrally converted profiles at 355, 1570 and 2050 nm, in order to derive 1x1 degree 17 latitude-longitude averaged vertical distributions. The vertical resolution of the 18 LIVAS product is identical to CALIPSO Level 3, namely 60 m in the tropospheric 19 region between the surface and 20 km and 180 m in the stratospheric region between 20 20 and 30 km.

As input to the averaging algorithm, we used the Version 3 CALIOP Level 2 aerosol profile product, <u>which is applying quality screened screening prior</u> to averaging, to eliminate samples and layers that were detected or classified with very low confidence, or that contained untrustworthy extinction retrievals. In brief, the filters concerned the: Cloud-Aerosol Discrimination (CAD) score, Extinction Quality Control

1 (QC) flag, aerosol extinction uncertainty, isolated 80 km layer, misclassified cirrus, 2 undetected surface attached aerosol low bias, large negative near-surface extinction, 3 surface contamination beneath surface-attached opaque layer, removal of samples be-4 low opaque cloud and aerosol layers. Detailed explanation of the methodology fol-5 lowed for the production of the Level 3 product and respective filtering and flags, is 6 provided in the Appendix of Winker et al. (2013). For the particle linear depolariza-7 tion, an extra filter was applied in LIVAS in order to average this parameter for the 8 same samples collected for the extinction. For that, we averaged only the particle line-9 ar depolarization CALIPSO retrievals for which the extinction uncertainty is less than 99.9 km<sup>-1</sup>, so as to maintain the same measurement sampling. For the quality screen-10 11 ing of cloud and stratospheric feature profiles a similar methodology was followed.

12 In the CALIPSO Level 3 product, four types of products were generated each month, 13 depending on sky condition and temporal coverage, and were separated into day/night 14 segments. In LIVAS, only the "combined" product was used (Winker et al. 2014) in 15 order to achieve better quality of the aerosol dataset regarding cloud discrimination 16 and measurement accuracy. Moreover, beyond the mean extinction profiles for the 17 total aerosol load, LIVAS provides mean extinction profiles at 532 nm for each of the 18 six aerosol types in the CALIPSO classification scheme. Finally, the seasonal distri-19 bution of the vertical distribution of the extinction for each LIVAS cell was-is also 20 provided. A schematic outline of the LIVAS processing chain is given in Figure 9.

#### 21 4. Results and discussion

#### 22 4.1. LIVAS products

The final LIVAS aerosol/cloud database contains multi-wavelength 4-year averaged vertical distributions and statistics for a global grid of 1x1 degree. Here, we demon-strate the LIVAS products through an example for one grid cell corresponding to our

1 hometown, Athens, in Greece (centroid latitude of 38.5° North and longitude of 23.5°

2 East).

24

4.2 LIVAS AOD evaluation

3 In the upper panel of Figure 10 the aerosol extinction is given for the LIVAS lidar 4 wavelengths, i.e. 355, 532, 1064, 1570, 2050 nm, along with the standard deviation of 5 the averaging at 532 nm (grey line). The number of observations is presented in the 6 right panel for each plot, in order to have a measure of the representativeness of the 7 mean aerosol extinction for each cell, which depends on the available CALIPSO 8 overpasses and corresponding samples. The maximum surface elevation over the 9 CALIPSO overpass is given for the grid cell of interest, as obtained from the digital 10 elevation map (DEM) used by CALIPSO. In the middle panel of Figure 10, the mean 11 extinction profile is given per CALIPSO aerosol type, while in the lower panel the 12 mean extinction is given per season with the corresponding sampling/occurrences 13 used for their production.

Additional LIVAS products are provided for particle depolarization at 532 nm. These refer to the mean particle depolarization along with its standard deviation (Figure 11 – upper panel). Moreover, mean cloud extinction at 532 nm is given in LIVAS (Figure 11 – middle panel) along with mean extinction coefficient of stratospheric features in total (Figure 11 – lower panel) but also for PSCs and aerosol particles separately.

Finally, for each grid cell a number of statistical parameters are provided in LIVAS regarding the mean, minimum and maximum surface elevation, the number of overpasses for each cell, the number of examined profiles, the samples averaged after filtering (total, aerosol, clear air), the subtype occurrence in the aerosol total observations (in percentages) and the AOD at 532 nm (mean, median and standard deviation).

1 In this section an evaluation of the LIVAS climatological AOD mean values at 532 2 nm is given, using collocated AERONET AOD averages. AERONET stations includ-3 ed in each grid cell of 1x1 degree were considered representative when the stations 4 were operated for the same time period with LIVAS (2008-2011). LIVAS mean AOD 5 was calculated by the integration of the 4-year-averaged extinction profile, while 6 AERONET AOD was calculated by averaging all available station data. A first com-7 parison of LIVAS AODs against AERONET is presented in Figure 12. Blue circles 8 denote the absolute value of the difference (LIVAS mean AOD - AERONET mean 9 AOD), while the red crosses denote the elevation difference between the AERONET 10 site and the mean elevation of the CALIPSO ground track. This map provides only the 11 magnitude of biases (absolute values) to demonstrate the range of discrepancies with 12 respect to the elevation slope. Large differences can also be attributed to specific grid 13 cell under-sampling by CALIPSO in the 4-year period, as discussed below.

14 Large elevation differences may cause large AOD biases since in such cases the opti-15 cal path lengths monitored by AERONET and CALIPSO instruments can vary. 16 Moreover, when CALIPSO overpasses high-slope terrains, the sampling may become 17 inadequate for heights lower than the maximum elevation. An example of such a case 18 is given in Figure 13 for the AERONET station of "ND Marbel Univ" in Philippines. 19 CALIPSO overpasses this station over elevations ranging from zero to 1.46 km. The 20 number of observations for heights lower than the maximum elevation becomes very 21 small (Figure 13 - right panel) and inadequate for statistical purposes. This under-22 sampling affected the final averaged extinction profile as shown in the left panel of 23 Figure 13 for heights lower than the maximum elevation. In order to eliminate these 24 effects from the comparison of LIVAS with AERONET, we applied on our dataset 25 the following constraints:

1 1) The elevation difference between the AERONET site and CALIPSO mean ground

2 track elevation was kept below 100 m.

3 2) The elevation slope in CALIPSO overpass was constrained to be less than 400 m.

4 3) CALIPSO sampling was controlled by constraining the comparison over grid cells

5 with large number of overpasses, i.e. over 150.

6 The third constraint is considered crucial for the representativeness of LIVAS data-7 base. As shown in Figure 14, in approximately 30% of the global 1x1 degree cells of

8 the database the number of overpasses is less than 150. This under-sampling along9 with possible high-slope terrains could cause unrealistic results.

Figure 15 presents the absolute bias of the means for our constrained dataset (i.e.
 <u>LIVAS mean AOD – AERONET mean AODaveraged CALIPSO AOD minus the av-</u>

12 eraged AERONET AOD). For most sites the comparison reveals biases within  $\pm 0.1$  in 13 terms of AOD. Negative LIVAS biases lower than -0.1 (denoted in Figure 15 with 14 blue color) were are found mostly over the Saharan desert, a result that may be related 15 to possible CALIPSO underestimations for dust as already reported in the literature 16 (e.g. Wandinger et al., 2010; Schuster et al., 2012; Tesche et al., 2013; Amiridis et al., 17 2013). Positive LIVAS biases larger than 0.1 denoted with red color in Figure 15 were 18 are mostly found over coastlines. This effect is not well understood yet. Campbell et 19 al. (2012) found CALIPSO offsets over coastlines when comparing with the U.S. Na-20 val Aerosol Analysis and Predictive System (NAAPS). Recently, Kanitz et al. (2014) 21 found a systematic overestimation of the AOD over land in coastal areas of up to a 22 factor of 3.5. The researchers attributed the possible CALIPSO overestimation to the 23 surface-dependent criterion (land/ocean) included in the classification scheme which 24 may prohibit a correct classification of sea-breeze-related marine aerosol over land, 25 leading to unrealistically high lidar ratio assumptions.

1 We have to mention here that the LIVAS validation presented in Figure 15 cannot be 2 conclusive on the aforementioned possible issues. Overall, the global LIVAS agree-3 ment with AERONET within 0.1 AOD is considered a very good result for a 4-year 4 product. Keeping the constrained dataset for a quantitative comparison, we present in 5 Figure 16 scatter plots for AOD averages at the different LIVAS wavelengths. In the 6 upper panel we show the comparison for the averaged AOD at 532 nm (left) and for 7 the standard deviation of the distribution (right). A Pearson correlation coefficient of 8 0.86 reveals a very good agreement for the AOD at 532 nm. The slope of the linear 9 regression is 0.79, showing a slight underestimation of the LIVAS AOD. Since the 10 532 nm LIVAS products come directly from CALIPSO averages, this underestimation 11 is probably related to CALIPSO limitations (e.g. Schuster et al., 2012; Omar et al., 12 2013). The variability of the CALIPSO samples averaged for LIVAS is consistent 13 with AERONET as shown in the upper right panel of Figure 16. The LIVAS AOD at 14 355 nm (lower left panel) is also in a very good agreement with AERONET, showing 15 similar values of Pearson's r and slope as those of the 532 nm comparison. This result 16 shows that the conversion of the CALIPSO extinction product from 532 to 355 nm 17 was successful-using the EARLINET conversion factorsBAEs and EAEs is success-18 ful. Regarding the comparison at IR wavelengths (lower right panel), the results were 19 are not encouraging. LIVAS AOD at 1570 nm is consistent with AERONET for 20 AODs lower than 0.1 but not for higher values where LIVAS heavily underestimates. 21 This can be attributed to errors introduced due to the extrapolation of the AERONET 22 AOD in the IR (note that we used AERONET AOD measurements at 440, 670, 860 23 and 1020 nm), and/or to uncertainties introduced by the LIVAS conversion scheme in 24 the IR.

25 **4.3 LIVAS web-portal** 

1 The LIVAS database freely available is under the url: 2 http://lidar.space.noa.gr:8080/livas/, where the database is stored and exposed (Figure 3 17). The webpage provides the complete information on the methodological ap-4 proaches and instructions on portal's usage. The data are provided in ASCII and 5 netcdf formats, while brief statistics and quick-view charts are projected online. The 6 user can select to download the database via ftp, or navigate to the region of interest 7 by using a dynamic map to select over the World grid of 1x1 degree spatial resolution. 8 The map provides the possibility to overlay a layer that represents the number of 9 CALIPSO overpasses. This is considered crucial for the use of the database since only 10 grid cells with a number of CALIPSO overpasses greater than 150 are recommended 11 for their statistical representativeness. Moreover, the user can overlay global AODs or 12 cloud optical depths on the map. In the example of Figure 18, the global distribution 13 of LIVAS AODs is presented, showing high values over well-known sources like the 14 dust belt, India and China as well as transport paths as the one from Sahara westward 15 across the Atlantic.

#### 16 5. Summary and conclusions

17 We presented LIVAS, a 4-year multi-wavelength global aerosol and cloud optical da-18 tabase that has been developed for complementing existing datasets used by ESA for 19 instrument performance simulation of current and future space-borne lidars as well as 20 retrieval algorithm testing activities based on realistic atmospheric scenarios. In order 21 to cover the different spectral domains for HSRL and IPDA lidars, the compiled data-22 base addresses the three harmonic operating wavelengths of Nd-YAG lasers (355, 532 23 and 1064 nm) as well as typical wavelengths of IPDA lidars in the SWIR spectral 24 domain (1570 and 2050 nm).

Field Code Changed

1 When compared to AERONET, the LIVAS AOD values appeared to be realistic and 2 representative for VIS wavelengths but also for UV, making this database appropriate 3 for use by ADM-Aeolus and EarthCARE. Regarding the IR conversion however, 4 LIVAS is not considered representative when compared to AERONET, especially for 5 AODs higher than 0.1. We believe that LIVAS is representative in the UV due to the 6 fact that the UV-VIS BAEs and EAEs were provided by ground-based lidar meas-7 urements of high quality as those provided by EARLINET. Moreover, the methodolo-8 gy used for the application of the conversions was based on aerosol classification ad-9 vances developed within the ESA-CALIPSO project. For IR however, the BAEs and 10 EAEs were not measured but insteadthey were calculated retrieved from scattering 11 simulations using typical size distributions and refractive indexes assumed for each 12 CALIPSO aerosol type, deduced from AERONET data and aerosol models provided 13 in the literature. Even though EARLINET was used to constrain the IR simulations, 14 the final results were not satisfactory and more work is needed that would benefit 15 from potential future IR ground-based measurements. However, the-LIVAS BEAs 16 and EAEs aerosol modelwere found to be more consistent with ESA-CALIPSO but 17 also with the relative literature than the one used by CALIPSO-for the UV-VIS spec-18 tral region, especially for the BAE.

In the future, we plan to expand LIVAS in monthly-averaged aggregations in order to provide timeseries for UV lidar products. In this way, LIVAS timeseries could be homogenized in the future with EarthCARE products for the consolidation of a multiyear aerosol/cloud multi-wavelength 4D dataset appropriate for climate studies. However, the challenges for this task are significant, due to a number of open scientific questions and related knowledge gaps. Specifically, the homogenization scheme envisaged cannot be realized without defining a common aerosol/cloud model that will

1 be applicable to all the missions. This includes also the definition of a common aero-2 sol/cloud classification scheme for the space-borne products and ancillary ground-3 based datasets and the derivation of aerosol/cloud-type-dependent AE for all lidar-4 related properties, i.e., extinction, backscatter and depolarization. It is believed that 5 the well-established EARLINET network offers a unique opportunity to support such 6 an effort. Several EARLINET stations operate multi- wavelength Raman lidars, with 7 most of them measuring particle depolarization as well. Network's so-called "core 8 stations" deliver the entire CALIOP/ALADIN/ATLID parameter set, so that conver-9 sion factors the BAEs and EAEs for a variety of aerosol types can be derived experi-10 mentally over a comparably long time period.

### 11 ACKNOWLEDGMENTS

12 This work has been developed under the auspices of the ESA-ESTEC project: Lidar 13 Climatology of Vertical Aerosol Structure for Space-Based LIDAR Simulation Stud-14 ies (LIVAS) contract N°4000104106/11/NL/FF/fk. The publication was supported by 15 the European Union Seventh Framework Programme (FP7-REGPOT-2012-2013-1), 16 in the framework of the project BEYOND, under Grant Agreement No. 316210 17 (BEYOND - Building Capacity for a Centre of Excellence for EO-based monitoring 18 of Natural Disasters). The research leading to these results has received funding from 19 the European Union Seventh Framework Programme (FP7/2007-2013) under grant 20 agreement no 262254 (ACTRIS), grant agreement n° 606953 and grant agreement no 21 289923 - ITaRS. This research has been financed by EPAN II and PEP under the na-22 tional action "Bilateral, multilateral and regional R&T cooperations" (AEROVIS Si-23 no-Greek project). This work was performed in the framework of PROTEAS project 24 within GSRT's KRIPIS action, funded by Greece and the European Regional Devel-

| 1 | opment Fund of the European Union under the O.P. Competitiveness and Entrepre-         |
|---|--|
| 2 | neurship, NSRF 2007-2013 and the Regional Operational Program of Attica.               |
| 3 | The authors acknowledge EARLINET for providing aerosol lidar profiles available        |
| 4 | under the World Data Center for Climate (WDCC) ("The EARLINET publishing               |
| 5 | group 2000-2010, 2014 a, b, c, d, e). We thank the AERONET PIs and their staff for     |
| 6 | establishing and maintaining the AERONET sites used in this investigation.             |
| 7 | CALIPSO data were obtained from the ICARE Data Center (http://www.icare.univ-          |
| 8 | lille1.fr/). We would like to thank Jason Tackett for his support during the algorithm |
| 9 | development for the production of Level 3 CALIPSO products.                            |

#### 1 TABLES

# 2 3 4 Table 1. LIVAS aerosol model-microphysical parameters.

| 4                       |                       |                    |                                  |                                     |                        |                           |              |               |              |               |
|-------------------------|-----------------------|--------------------|----------------------------------|-------------------------------------|------------------------|---------------------------|--------------|---------------|--------------|---------------|
| I                       |                       |                    | LIVA                             | 8 <u>aerosol</u> model <del>i</del> | <del>nicrophysic</del> | <del>al parameters</del>  |              |               |              |               |
|                         |                       |                    | Refractive index at 532 nm       |                                     |                        |                           |              |               |              |               |
| aerosol<br>type         |                       | fine mode          |                                  |                                     | fine mode              |                           | coarse mode  |               |              |               |
|                         | median radius<br>(µm) | standard deviation | total volume $(\mu m^3/\mu m^2)$ | median radius<br>(µm)               | standard deviation     | total volume<br>(μm3/μm2) | real<br>part | imag.<br>part | real<br>part | imag.<br>part |
| polluted<br>continental | 0.2                   | 0.5                | 0.08                             | 2.8                                 | 0.68                   | 0.05                      | 1.45         | 0.006         | 1.45         | 0.006         |
| smoke                   | 0.17                  | 0.5                | 0.05                             | 3.7                                 | 0.65                   | 0.03                      | 1.47         | 0.018         | 1.47         | 0.018         |
| dust                    | 0.14                  | 0.5                | 0.04                             | 2.2                                 | 0.68                   | 0.25                      | 1.51         | 0.022         | 1.51         | 0.022         |
| polluted<br>dust        | 0.17                  | 0.57               | 0.14                             | 3.2                                 | 0.67                   | 0.19                      | 1.49         | 0.017         | 1.49         | 0.017         |
| clean<br>marine         | 0.16                  | 0.5                | 0.22                             | 2.6                                 | 0.72                   | 1.5                       | 1.41         | 0.002         | 1.36         | 0             |
| clean<br>continental    | 0.2                   | 0.8                | 0.94                             | 5.97                                | 0.92                   | 0.6                       | 1.42         | 0.0023        | 1.53         | 0.008         |

5

LIVAS and CALIPSO acrosol models. Table

10

11 12 
**Table 32.** BAE and EAE for each aerosol type used in LIVAS for the conversion from 532 to 355 nm (VIS-UV) and from 532 to 1570 and 2050 nm (VIS-IR). The approaches used for

13 their calculation are also indicated.

|                      | UV/V   | VIS/IR     |      |                     |             |      | Formatted Table |      |  |
|----------------------|--|------------|------|---------------------|-------------|------|-----------------|------|--|
| LIVAS<br>AEROSOL     |  | 532/355 nm |      |                     | 532/1570 nm |      | 532/2050 nm     |      |  |
| ТҮРЕ                 | approach used  | BAE        | EAE  | approach used       | BAE         | EAE  | BAE             | EAE  |  |
| Polluted continental | ESA-CALIPSO  | 1.42       | 1.24 | AERONET-Omar        | 1.18        | 1.66 | 1.32            | 1.56 |  |
| Dust                 | ESA-CALIPSO  | 0.40       | 0.55 | AERONET-<br>CALIPSO | 0.35        | 0.6  | 0.43            | 0.57 |  |
| Polluted dust        | ESA-CALIPSO  | 0.92       | 0.71 | AERONET-<br>CALIPSO | 0.67        | 1.14 | 0.71            | 1.07 |  |
| Smoke                | ESA-CALIPSO  | 1.46       | 1.41 | AERONET-<br>CALIPSO | 0.79        | 1.42 | 0.825           | 1.34 |  |
| Clean<br>marine      | ESA-CALIPSO<br>(bsc)<br>Sayer et al. (2012)<br>(ext) | 0.50       | 0.78 | Sayer et al. (2012) | 0.74        | 0.39 | 0.81            | 0.38 |  |

| Clean<br>continental | ESA-CALIPSO (bsc)<br>OPAC (ext)   | 1.20 | 1.31 | OPAC   | 1.15 | 1.28 | 1.64 | 1.27 |
|----------------------|---|------|------|--|------|------|------|------|
| Stratospheric        | ESA-CALIPSO (bsc)<br>Deshler et al. (1993),<br>Wandinger et al.<br>(1995) (ext) | 0.98 | 0.48 | Deshler et al. (1993),<br>Wandinger et al.<br>(1995) | 1.36 | 1.33 | 1.38 | 1.49 |

# 2 <u>**Table 23.** LIVAS and CALIPSO aerosol models</u>LR, SSA and effective radius.

| aerosol                        | Ī                                  | <u>IVAS aerosol mo</u> | del                                    | <u>C</u>                           | ALIPSO aerosol m     | - Formatted Table                      |  |
|--------------------------------|------------------------------------|------------------------|--|------------------------------------|----------------------|--|--|
| <u>type</u>                    | <u>LR at 532 nm</u><br><u>(sr)</u> | <u>SSA at 532 nm</u>   | <u>effective radius</u><br><u>(μm)</u> | <u>LR at 532 nm</u><br><u>(sr)</u> | <u>SSA at 532 nm</u> | <u>effective radius</u><br><u>(µm)</u> |  |
| <u>polluted</u><br>continental | <u>64</u>                          | <u>0.95</u>            | <u>0.28</u>                            | <u>70</u>                          | <u>0.93</u>          | <u>0.26</u>                            |  |
| smoke                          | <u>90</u>                          | <u>0.88</u>            | <u>0.26</u>                            | <u>70</u>                          | <u>0.8</u>           | 0.36                                   |  |
| dust                           | <u>85</u>                          | <u>0.87</u>            | <u>0.65</u>                            | <u>40</u>                          | <u>0.9</u>           | <u>0.43</u>                            |  |
| <u>polluted</u><br>dust        | <u>82</u>                          | <u>0.89</u>            | <u>0.35</u>                            | <u>65</u>                          | <u>0.8</u>           | <u>0.43</u>                            |  |
| <u>clean</u><br>marine         | <u>31</u>                          | <u>0.98</u>            | <u>0.75</u>                            | <u>20</u>                          | <u>0.99</u>          | <u>0.93</u>                            |  |
| <u>clean</u><br>continental    | <u>54</u>                          | <u>0.96</u>            | <u>0.26</u>                            | <u>35</u>                          | <u>0.88</u>          | <u>1.4</u>                             |  |

### 1 FIGURE CAPTIONS

Figure 1. The data and methods used for the derivation of LIVAS BAEs and EAEs in the UV and IR.

Figure 2: BAEs (upper) and EAEs (bottom) calculated with different approaches (i.e.
"AERONET-Omar" (red triangles), "AERONET-CALIPSO" (green triangles), "Sayer et al.; (2012)" (cyan triangles), "OPAC" (pink triangles)) and validated against the
ESA-CALIPSO BAEs and EAE in VIS and UV (black circles). The BAEs and EAEs
selected and ingested in the LIVAS aerosol model for the VIS-IR conversions, are
denoted with symbols of larger size.

Figure 3: Comparison of the mean volume size distributions for each aerosol type in
 the LIVAS (blue line) and CALIPSO (pink line) aerosol models.

Figure 4: Comparison of the mean real part of the refractive index for each aerosol
type in the LIVAS (blue line) and CALIPSO (pink line) aerosol models.

Figure 5: Comparison of the mean imaginary part of the refractive index for each
 aerosol type in the LIVAS (blue line) and CALIPSO (pink line) aerosol models.

Figure 6: Comparison of the mean spectral SSA for each aerosol type in the LIVAS
 (blue line) and CALIPSO (pink line) aerosol models.

Figure 7: Comparison of the-LIVAS and CALIPSO\_aerosol models with ESA CALIPSO values for: BAE at 355/532 nm (upper-left), EAE at 355/532 nm (upper right), lidar ratio at 532 nm (lower-left) and effective radius (lower-right).

Figure 8: CALIPSO Level 2 extinction coefficient profile at 532 nm (left), aerosol
type (center) and converted extinction coefficient at 355 nm (right), based on LIVAS
typical EAEs. The profile example refers to September 7<sup>th</sup>, 2011, (cell centroid with
latitude of 37.5 and longitude of 20.5 degrees).

3334 Figure 9. Schematic diagram of LIVAS processing chain.

Figure 10. LIVAS aerosol extinction products. Upper panel: vertical distribution of the averaged aerosol extinction coefficient at 355, 532, 1064, 1570, 2050 nm (left), number of observations used in averaging (right). Middle panel: vertical distribution of the averaged aerosol extinction coefficient per aerosol type (left), number of observations used in averaging (right). Lower panel: vertical distribution of the averaged aerosol extinction coefficient per season (left), number of observations used in averaging (right).

43

12

28

Figure 11. Additional LIVAS products: Upper panel: vertical distribution of the averaged particle depolarization at 532 nm (left), number of observations used in averaging (right). Middle panel: vertical distribution of the averaged cloud extinction coefficient per season (left), number of observations used in averaging (right). Lower panel: vertical distribution of the averaged stratospheric aerosol extinction coefficient (left), number of observations used in averaging (right). Figure 12. Spatial distribution of the 532 nm AOD absolute differences (absolute value of LIVAS averaged AOD minus AERONET averaged AOD) (blue circles) and of
 the difference between AERONET site elevation and mean grid cell elevation of
 CALIPSO overpass (red crosses).

Figure 13. Example of high-slope terrain on CALIPSO overpass for the case of
ND\_Marbel\_Univ AERONET station. Left panel: vertical distribution of the averaged
aerosol extinction coefficient. Right panel: number of observations used in averaging.

10

12

11 Figure 14. Percentiles of the number of overpasses in LIVAS global grid cells.

Figure 15. Spatial distribution of the 532 nm AOD absolute biases (LIVAS averagedAOD minus AERONET averaged AOD).

15

**Figure 16.** Upper panel: Scatter plot comparison of LIVAS AODs at 532 nm versus collocated AERONET Level 2 product (left) and standard deviation of the LIVAS AODs versus standard deviation of the AERONET AODs at 532 nm (right). Lower panel: Scatter plot comparison of LIVAS AODs at 355 nm versus collocated AERONET Level 2 product (left) and of LIVAS AODs at 1570 nm versus collocated AERONET Level 2 product (right).

22

23 Figure 17. The LIVAS web-portal.

24

25 Figure 18. Global distribution of LIVAS AOD at 532 nm.

### 2 FIGURES

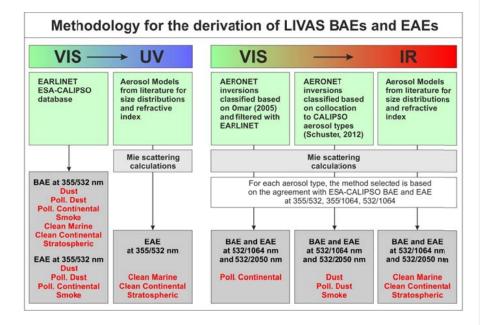
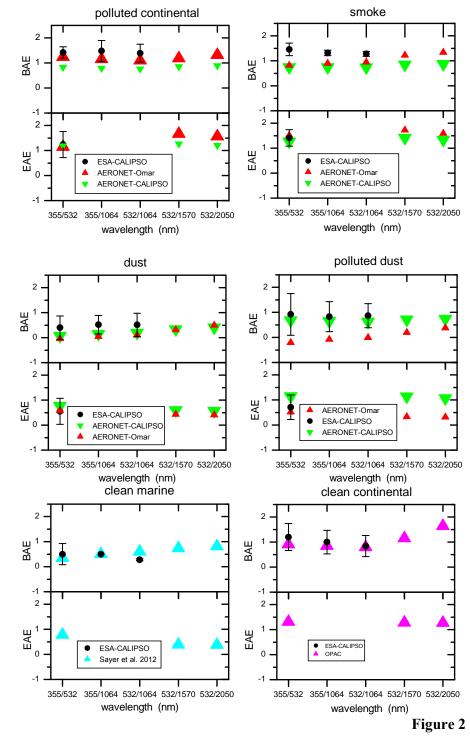


Figure 1





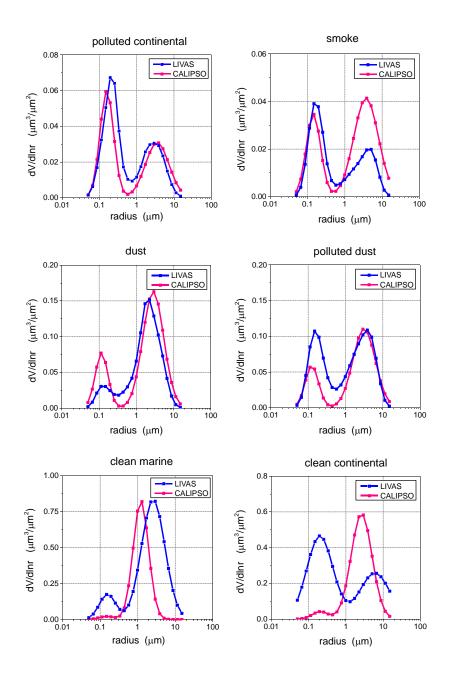


Figure 3

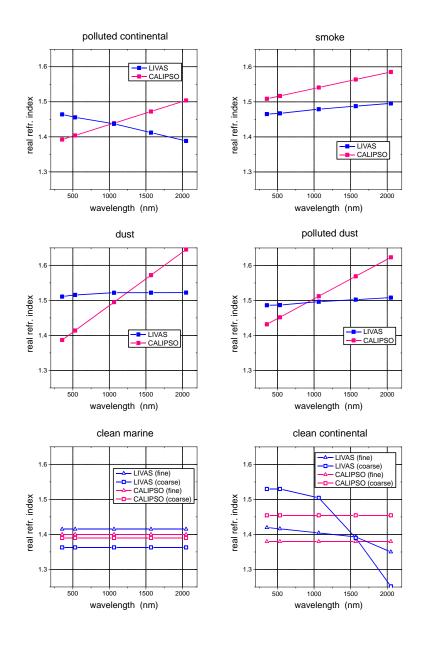
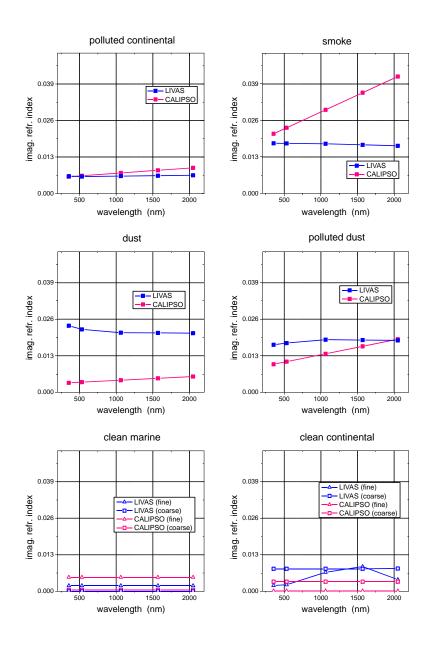


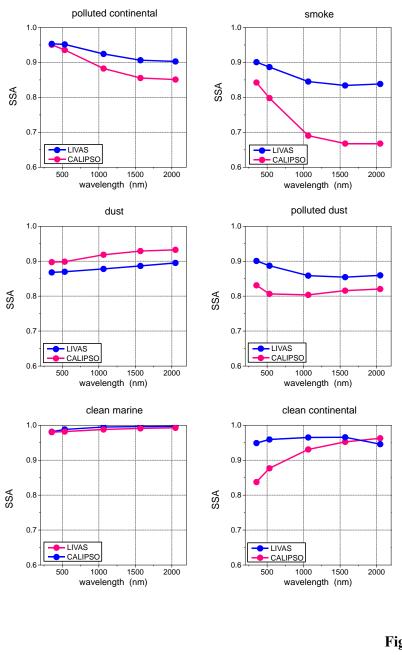
Figure 4



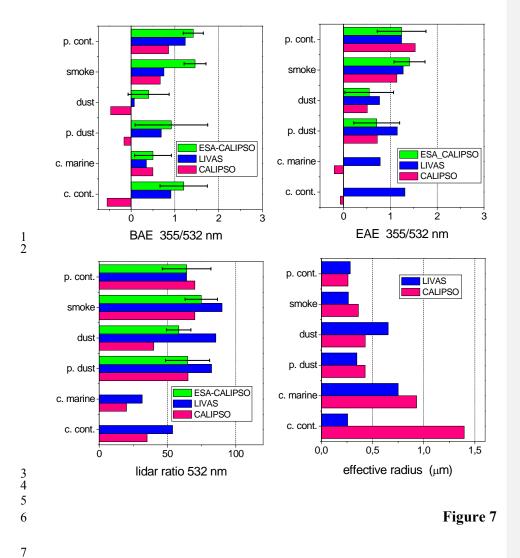
4 5 6

Figure 5









- ,

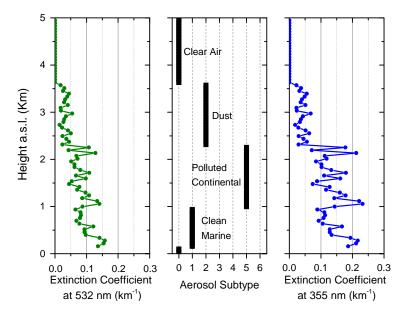


Figure 8

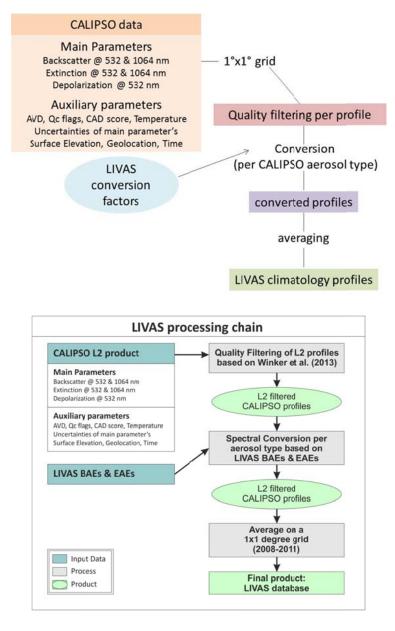
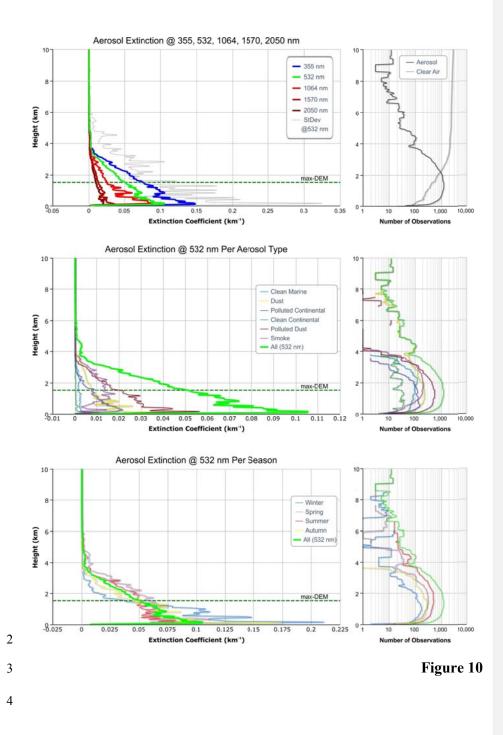
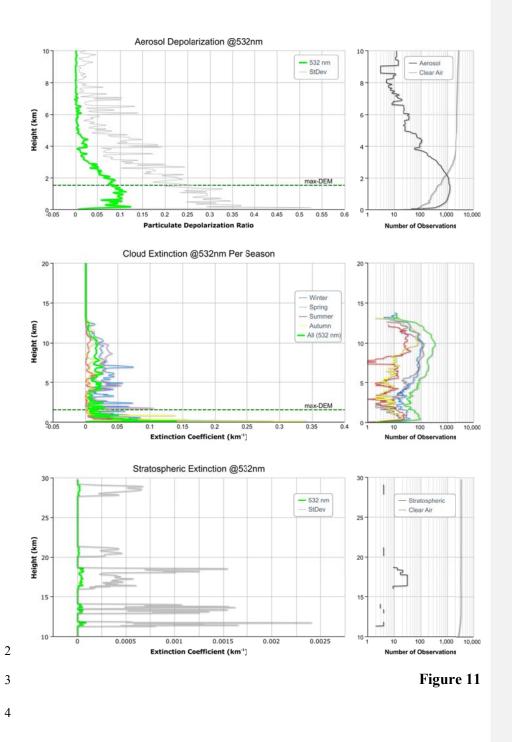
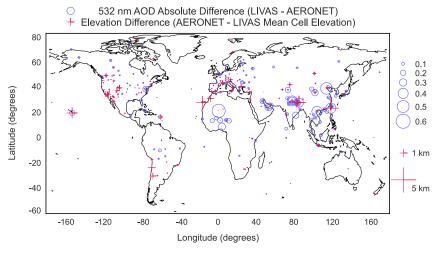


Figure 9

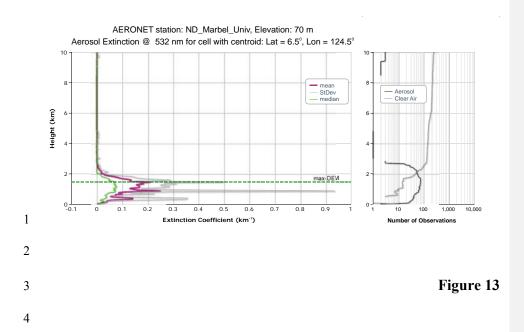












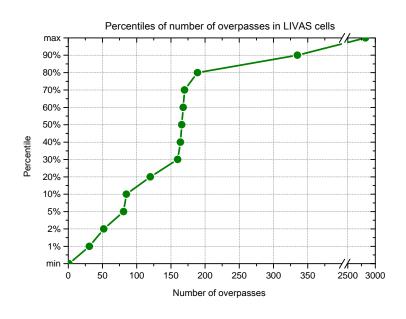
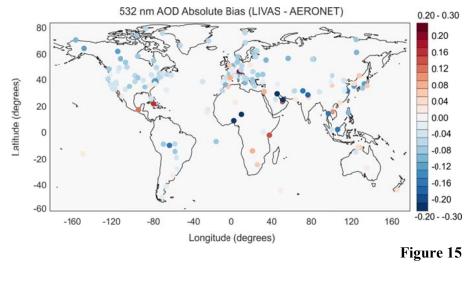




Figure 14







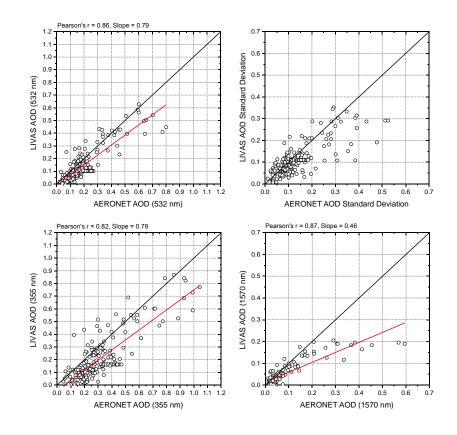
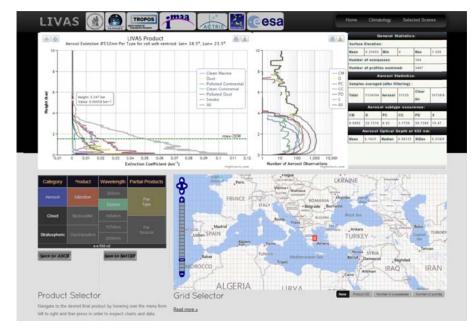
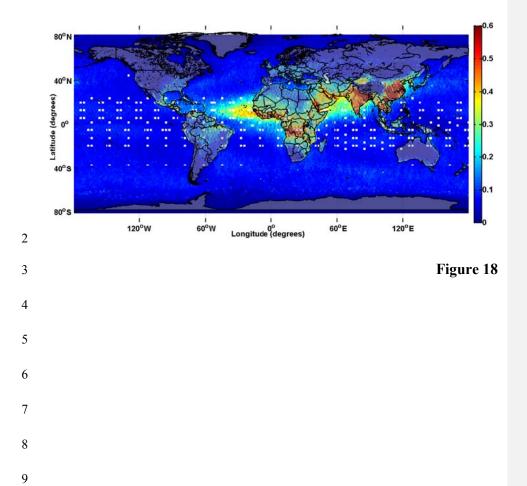


Figure 16









### 1 REFERENCES

- 2 Amiridis, V., Wandinger, U., Marinou, E., Giannakaki, E., Tsekeri, A., Basart, S.,
- 3 Kazadzis, S., Gkikas, A., Taylor, M., Baldasano, J., and Ansmann, A., Optimizing
- 4 CALIPSO Saharan dust retrievals, Atmos. Chem. Phys., 13, 12089-12106,
- 5 doi:10.5194/acp-13-12089-2013, 2013.
- Böckmann C., et al., Aerosol lidar intercomparison in the framework of the
  EARLINET project. 2. Aerosol backscatter algorithms, Appl. Opt. 43, 977-989, 2004.
- 8 Bösenberg, J., Matthias, V., Amodeo, A., Amiridis, V., Ansmann, A., et al.,
  9 EARLINET: A European Aerosol Research Lidar Network to Establish an Aerosol
- 10 Climatology, Max-Planck-Institut Report No. 348, 2003.
- 11 Burton, S. P., Ferrare, R. A., Vaughan, M. A., Omar, A. H., Rogers, R. R.,
- Hostetler, C. A., and Hair, J. W., Aerosol classification from airborne HSRL and
   comparisons with the CALIPSO vertical feature mask, Atmos. Meas. Tech., 6, 1397-
- 14 1412, doi:10.5194/amt-6-1397-2013, 2013.
- 15 Campbell, J. R., Reid, J. S., Westphal, D. L., Zhang, J., Tackett, J. L., Chew, B. N.,
- 16 Welton, E. J., Shimizu, A., Sugimoto, N., Aoki, K., and Winker, D. M., Characteriz-
- 17 ing the vertical profile of aerosol particle extinction and linear depolarization over
- 18 Southeast Asia and the Maritime Continent: the 2007–2009 view from CALIOP, At-
- 19 mos. Res., doi:10.1016/j.atmosres.2012.05.007, 2012.
- 20 Deshler, T., Johnson, B. J., & Rozier, W. R., Balloonborne measurements of Pinatubo
- aerosol during 1991 and 1992 at 41° N: vertical profiles, size distribution, and volatility. Geophys. Res. Lett. 20, 1435–1438, 1993.
- Dubovik, O., and King, M. D., A flexible inversion algorithm for retrieval of aerosol
  optical properties from sun and sky radiance measurements, J. Geophys. Res., 105,
  20,673–20,696, 2000.
- 26 Dubovik, O., Smirnov, A., Holben, B. N., King, M. D., et al., Accuracy assessments
- 27 of aerosol optical properties retrieved from Aerosol Robotic Network (AERONET)
- 28 Sun and sky radiance measurements, J. Geophys. Res., 105, 9791–9806, 2000.

- 1 Dubovik, O., Sinyuk, A., Lapyonok, T., Holben, B. N., Mishchenko, M., Yang, P.,
- 2 Eck, T. F., Volten, H., Muñoz, O., Veihelmann, B., van der Zande, W. J., Leon, J.-F.,
- 3 Sorokin, M. and Slutsker, I.: Application of spheroid models to account for aerosol
- 4 particle nonsphericity in remote sensing of desert dust, J. Geophys. Res., 111(D11),
- 5 D11208, doi:10.1029/2005JD006619, 2006.ESA, Reports for Mission Selection, The
- 6 Six Candidate Earth Explorer Missions, EarthCARE - Earth Clouds, Aerosols and
- 7 Radiation Explorer, ESA-SP-1279(1), 2004.
- 8 Eck, T. F., Holben, B. N., Slutsker, I. and Setzer, A.: Measurements of irradiance at-
- 9 tenuation and estimation of aerosol single scattering albedo for biomass burning aero-
- 10 sols in Amazonia, J. Geophys. Res. 103, 31865-31878, 1998.
- 11 Eck, T. F., Holben, B. N., Reid, J. S., Dubovik, O., Smirnov, A., O'Neill, N. T.,
- 12 Slutsker, I., and Kinne, S.: Wavelength dependence of the optical depth of biomass
- 13 burning, urban, and desert dust aerosols, J. Geophys. Res., 104(D24), 31,333-31,349, 1999.
- 14
- 15 Eck, T. F., Holben, B. N., Reid, J. S., O'Neill, N. T., Schafer, J. S., Dubovik, O., 16
- Smirnov, A., Yamasoe, M. A. and Artaxo, P.: High aerosol optical depth biomass
- 17 burning events: A comparison of optical properties for different source regions, Ge-
- 18 ophys. Res. Lett., 30, 2035, doi:10.1029/2003GL017861, 20, 2003.
- 19 Freudenthaler, V., et al., Depolarization ratio profiling at several wavelengths in pure
- 20 Saharan dust during SAMUM 2006, Tellus, Ser. B, 61, 165-179, doi:10.1111/j.1600-
- 21 0889.2008.00396.x., 2009.
- Freudenthaler, V., et al., EARLI09 direct intercomparison of eleven EARLINET 22
- 23 lidar systems, in: Proceedings of the 25th International Laser Radar Conference, St.
- 24 Petersburg, Russia, 5-9 July, 891-894, 2010.
- 25 Groß, S., Tesche, M., Freudenthaler, V., Toledano, C., Wiegner, M., Ansmann, A.,
- 26 Althausen, D. & Seefeldner, M., Characterization of Saharan dust, marine aerosols
- 27 and a mixture of biomass burning aerosols and dust by means of multiwavelength de-
- 28 polarization and Raman measurements during SAMUM-2, Tellus, Ser. B, 63, 706-
- 29 724. doi: 10.1111/j.1600-0889.2011.00556.x, 2011a.

1 Groß, S., et al., Dual-wavelength linear depolarization ratio of volcanic aerosols: Li-

2 dar measurements of the Eyjafjallajökull plume over Maisach, Germany, Atmospheric

3 Atm. EnvironmentEnviron., doi:10.1016/j.atmosenv.2011.06.017, 2011b.

4 Hasekamp, O., Litvinov, P., and Butz, A.: Aerosol properties over the ocean from

5 PARASOL multi-angle photopolarimetric measurements, J. Geophys. Res., 116,

- 6 D14204, doi:10.1029/2010JD015469, 2011.
- Hess, M., Köpke, P. & Schult, I., Optical properties of aerosols and clouds: the software package OPAC. Bull. Am. Meteorol. Soc. 79, 831–844, 1998.
- Holben, B. N., Eck, T. F., Slutsker, I., Tanre, D., Buis, J. P., Setzer, A., Vermote, E.,
  Reagan, J. A., Kaufman, Y. J. and Nakajima, T.: AERONET—A federated instrument
  network and data archive for aerosol characterization, Remote sensing of environment, 66(1), 1–16, 1998.
- 13 Holben, B. N., Tanré, D., Smirnov, A., Eck, T. F., Slutsker, I., Abuhassan, N., New-
- 14 comb, W. W., Schafer, J. S., Chatenet, B., Lavenu, F., Kaufman, Y. J., Vande Castle,

15 J., Setzer, A., Markham, B., Clark, D., Frouin, R., Halthore, R., Karneli, A., O'Neill,

16 N. T., Pietras, C., Pinker, R. T., Voss, K., and Zibordi, G.: An emerging ground-based

17aerosol climatology: Aerosol optical depth from AERONET, Journal J. of Geophysi-18cal.ResearchRes.D:Atmospheres, 106(D11), 12067-12097,

19 doi:10.1029/2001JD900014, 2001.

20 Holler, R., Ito, K., Tohno, S., and Kasahara, M.: Wavelengthdependent aerosol single-21 scattering albedo: Measurements and model calculations for a coastal site near the Sea 22 during of Japan ACE-Asia, J. Geophys. Res., 108(D23), 8648. 23 doi:10.1029/2002JD003250, 2003.

Illingworth, A., Barker, H., Beljaars, A., Ceccaldi, M., Chepfer, H., Colec, J., Delanoe, J., Domenech, C., Donovan, D., Fukuda, S., Hirakata, M., Hogan, R.,
Huenerbein, A., Kollias, P., Kubota, T., Nakajima, T., Nakajima, T., Nishizawa, T.,
Ohno, Y., Okamoto, H., Oki, R., Sato, K., Satoh, M., Shephard, M., Wandinger, U.,
Wehr, T., Zadelhoff, G-J., The EARTHCARE satellite: the next step forward in global measurements of clouds, aerosols, precipitation and radiation, BAMS-D-12-00227,
2014 (in print).

- 1 Jung, J., Kim, Y. J., Lee, K. Y., -Cayetano, M. G., Batmunkh, T., Koo, J.-H., and
- 2 Kim, J.: Spectral optical properties of long-range transport Asian dust and pollution
- 3 aerosols over Northeast Asia in 2007 and 2008, Atmos. Chem. Phys., 10, 5391-5408,
- 4 doi:10.5194/acp-10-5391-2010, 2010.
- Kanitz, T., Ansmann, A., Foth, A., Seifert, P., Wandinger, U., Engelmann, R.,
  Baars, H., Althausen, D., Casiccia, C., and Zamorano, F., Surface matters: limitations
  of CALIPSO V3 aerosol typing in coastal regions, Atmos. Meas. Tech. Discuss., 7,
  1333-1365, doi:10.5194/amtd-7-1333-2014, 2014.
- 9 Kim, S.-W., Yoon, S.-C., Kim, J., and Kim, S.-Y.: Seasonal and monthly variations of
  10 columnar aerosol optical properties over East Asia determined from multi-year
  11 MODIS, LIDAR, and AERONET Sun/sky radiometer measurements, Atmos. Envi12 ron., 41, 1634–1651, 2007.
- Koepke, P., Gasteiger, J., and Hess, M.: Technical Note: Optical properties of desert
   aerosol with non-spherical mineral particles: data incorporated to OPAC, Atmos.
- 15 Chem. Phys., 15, 5947-5956, doi:10.5194/acp-15-5947-2015, 2015.
- 16 Liu, Z., Vaughan, M., Winker, D., Kittaka, C., Getzewich, B., Kuehn, R., Omar, A.,
- 17 Powell, K., Trepte, C., and Hostetler, C.: The CALIPSO Lidar Cloud and Aerosol
- 18 Discrimination: Version 2 Algorithm and Initial Assessment of Performance. J. At-
- 19 mos. Oceanic Technol., 26, 1198–1213, doi:10.1175/2009jtecha1229.1, 2009.
- Mamouri, R. E., Ansmann, A., Nisantzi, A., Kokkalis, P., Schwarz, A., and
  Hadjimitsis, D.: Low Arabian dust extinction-to-backscatter ratio, Geophys. Res.
  Lett., 40, doi:10.1002/grl.50898, 2013.
- Matthias V., et al., Aerosol lidar inter-comparison in the framework of the
  EARLINET project. 1 Instruments, Appl. Opt., 43, N. 4, 961-976, 2004.
- 25 McConnell, C. L., Highwood, E. J., Coe, H., Formenti, P., Anderson, B., Osborne, S.,
- 26 Nava, S., Desboeufs, K., Chen, G., and Harrison, M. A. J.: Seasonal variations of the
- 27 physical and optical characteristics of Saharan dust: Results from the Dust Outflow
- and Deposition to the Ocean (DODO) experiment, J. Geophys. Res., 113, D14S05,
- 29 doi:10.1029/2007JD009606, 2008.

- Mie, G., Beiträge zur Optik trüber Medien, speziell kolloidaler Metallösungen, Ann.
   Phys., 25(4), 377–445, 1908.
- 3 Mishchenko, M. I., Travis, L. D., and Lacis, A. A., Scattering, Absorption, and Emis-
- 4 sion of Light by Small Particles, Cambridge Univ. Press, New York, 2002. (Available
- 5 at http://www.giss.nasa.gov/~crmim/books.html)
- 6 Mueller, D., A. Ansmann, I. Mattis, M. Tesche, U. Wandinger, D. Althausen, and G.
- Pisani, Aerosol-type-dependent lidar ratios observed with Raman lidar, J. Geophys.
  Res., 112, D16202, doi:10.1029/2006JD008292, 2007.
- Müller, T., Schladitz, A., Massling, A., Kaaden, N., Wiedensohler, A., Kandler, K.:
  Spectral absorption coefficients and imaginary parts of refractive indices of Saharan
  dust during SAMUM-1. Tellus, 61B, 79-95, 2011.
- 12 Omar, A. H., Winker, D. M., Kittaka, C., Vaughan, M. A., Liu, Z. Y., Hu, Y. X., 13 Trepte, C. R., 20 Rogers, R. R., Ferrare, R. A., Lee, K. P., Kuehn, R. E., and 14 Hostetler, C. A .: The CALIPSO automated aerosol classification and lidar ratio selec-15 tion algorithm, J. Atmos. Ocean. Tech., 26. 1994-2014, 16 doi:10.1175/2009jtecha1231.1, 2009.
- O'Neill, N. T., Eck, T. F., Smirnov, A., Holben, B. N. and Thulasiraman, S.: Spectral
  discrimination of coarse and fine mode optical depth, Journal J. of Geophysical. ResearchRes., -108(D17), 4559, 2003.
- Omar, A. H., Winker, D. M., Tackett, J. L., Giles, D. M., Kar, J., Liu, Z., Vaughan,
  M. A., Powell, K. A., and Trepte, C. R.: CALIOP and AERONET aerosol optical
  depth comparisons: One size fits none, J. Geophys. Res. Atmos., 118, 4748–4766,
  doi:10.1002/jgrd.50330, 2013.
- Omar, A. H., Won, J.-G., Winker, D. M., Yoon, S.-C., Dubovik, O. & Mc- Cormick,
  M. P., Development of global aerosol models using cluster analysis of Aerosol Robotic Network (AERONET) measurements, J. Geophys. Res., 110, doi:
  10.1029/2004JD004874. 177, 2005.
- 28 Pappalardo, G., A. Amodeo, M. Pandolfi, U. Wandinger, A. Ansmann, J. Bosenberg,
- 29 V. Matthias, V. Amiridis, F. De Tomasi, M. Frioud, M. Iarlori, L. Komguem, A. Pa-

- 1 payannis, F. Rocadenbosch, and X. Wang, Aerosol lidar intercomparison in the
- 2 framework of the EARLINET project. 3. Raman lidar algorithm for aerosol extinc-
- 3 tion, backscatter and lidar ratio, Appl. Opt., 43. N. 28, 5370-5385, 2004.
- 4 Pappalardo, G., Wandinger, U., Mona, L., Hiebsch, A., Mattis, I., Amodeo, A.,
- 5 Ansmann, A., Seifert, P., Linne, H., Apituley, 5 A., Alados Arboledas, L., Balis, D.,
- 6 Chaikovsky, A., D'Amico, G., De Tomasi, F., Freudenthaler, V., Giannakaki, E.,
- 7 Giunta, A., Grigorov, I., Iarlori, M., Madonna, F., Mamouri, R.-E., Nasti, L., Papa-
- 8 yannis, A., Pietruczuk, A., Pujadas, M., Rizi, V., Rocadenbosch, F., Russo, F.,
- 9 Schnell, F., Spinelli, N., Wang, X., and Wiegner, M.: EARLINET correlative meas-
- 10 urements for CALIPSO: first intercomparison results, J. Geophys. Res., 115,
- 11 D00H19, doi:10.1029/2009JD012147, 2010.
- 12 Pappalardo, G., Amodeo, A., Apituley, A., Comeron, A., Freudenthaler, V., Linné, H.,
- Ansmann, A., Bösenberg, J., D'Amico, G., Mattis, I., Mona, L., Wandinger, U., 13
- 14 Amiridis, V., Alados-Arboledas, L., Nicolae, D., and Wiegner, M.: EARLINET: to-
- 15 wards an advanced sustainable European aerosol lidar network, Atmos. Meas. Tech.
- 16 Discuss., 7, 2929-2980, doi:10.5194/amtd-7-2929-2014, 2014.
- 17 Reid, J. S., Koppmann, R., Eck, T. F., and Eleuterio, D. P.: A review of biomass burn-18 ing emissions part II: intensive physical properties of biomass burning particles, At-19
- mos. Chem. Phys., 5, 799-825, doi:10.5194/acp-5-799-2005, 2005.
- 20 Sayer, A. M., A. Smirnov, N. C. Hsu, and B. N. Holben, A pure marine aerosol mod-21 el, for use in remote sensing applications, J. Geophys. Res., 117, D05213, 22 doi:10.1029/2011JD016689, 2012.
- 23 Schuster, G. L., Vaughan, M., MacDonnell, D., Su, W., Winker, D., Dubovik, O.,
- 24 Lapyonok, T., and Trepte, C.: Comparison of CALIPSO aerosol optical depth retriev-
- 25 als to AERONET measurements, and a climatology for the lidar ratio of dust, Atmos.
- 26 Chem. Phys., 12 (16), 7431-7452, doi:10.5194/acp-12-7431-2012, 2012.
- 27 Smirnov, A., Holben, B. N., Kaufman, Y. J., Dubovik, O., Eck, T. F., Slutsker, I.,
- 28 Pietras, C., and Halthore, R. N.: Optical properties of atmospheric aerosol in maritime
- 29 environments.J. Atmos. Sci., 59, 501-523, 2002.

- Stoffelen, A., et al., The Atmospheric Dynamics Mission for Global Wind Field
   Measurements, <u>Bull. Amer. Meteor. Soc. BAMS</u>, 86 (1), 73-87, 2005.
- 3 Tesche, M., Wandinger, U., Ansmann, A., Althausen, D., Müller, D., and Omar, A.H.:

4 Ground-based validation of CALIPSO observations of dust and smoke in the Cape

- 5 Verde region, J. Geophys. Res. Journal of Geophysical Research, Vol. 118, 1-14,
- 6 doi:10.1002/jgrd.50248, 2013.

7 The EARLINET publishing group 2000-2010: Adam, M., Alados-Arboledas, L., Al-8 thausen, D., Amiridis, V., Amodeo, A., Ansmann, A., Apituley, A., Arshinov, Y., 9 Balis, D., Belegante, L., Bobrovnikov, S., Boselli, A., Bravo-Aranda, J. A., Bösen-10 berg, J., Carstea, E., Chaikovsky, A., Comerón, A., D'Amico, G., Daou, D., 11 Dreischuh, T., Engelmann, R., Finger, F., Freudenthaler, V., Garcia-Vizcaino, D., 12 García, A. J. F., Geiß, A., Giannakaki, E., Giehl, H., Giunta, A., de Graaf, M., Grana-13 dos-Muñoz, M. J., Grein, M., Grigorov, I., Groß, S., Gruening, C., Guerrero-Rascado, 14 J. L., Haeffelin, M., Hayek, T., Iarlori, M., Kanitz, T., Kokkalis, P., Linné, H., Ma-15 donna, F., Mamouriat, R.-E., Matthias, V., Mattis, I., Menéndez, F. M., Mitev, V., Mona, L., Morille, Y., Muñoz, C., Müller, A., Müller, D., Navas-Guzmán, F., Nemuc, 16 A., Nicolae, D., Pandolfi, M., Papayannis, A., Pappalardo, G., Pelon, J., Perrone, M. 17 R., Pietruczuk, A., Pisani, G., Potma, C., Preißler, J., Pujadas, M., Putaud, J., Radu, 18 19 C., Ravetta, F., Reigert, A., Rizi, V., Rocadenbosch, F., Rodríguez, A., Sauvage, L., Schmidt, J., Schnell, F., Schwarz, A., Seifert, P., Serikov, I., Sicard, M., Silva, A. M., 20 21 Simeonov, V., Siomos, N., Sirch, T., Spinelli, N., Stoyanov, D., Talianu, C., Tesche, 22 M., De Tomasi, F., Trickl, T., Vaughan, G., Volten, H., Wagner, F., Wandinger, U., 23 Wang, X., Wiegner, M., and Wilson, K. M.: EARLINET all observations (2000-24 2010), World Data Center for Climate (WDCC), 25 doi:10.1594/WDCC/EN all measurements 2000-2010, 2014a.

- 26 The EARLINET publishing group 2000–2010: Adam, M., Alados-Arboledas, L., Al-
- 27 thausen, D., Amiridis, V., Amodeo, A., Ansmann, A., Apituley, A., Arshinov, Y.,
- 28 Balis, D., Belegante, L., Bobrovnikov, S., Boselli, A., Bravo-Aranda, J. A., Bösen-
- 29 berg, J., Carstea, E., Chaikovsky, A., Comerón, A., D'Amico, G., Daou, D.,
- 30 Dreischuh, T., Engelmann, R., Finger, F., Freudenthaler, V., Garcia-Vizcaino, D.,
- 31 García, A. J. F., Geiß, A., Giannakaki, E., Giehl, H., Giunta, A., de Graaf, M., Grana-
- 32 dos-Muñoz, M. J., Grein, M., Grigorov, I., Groß, S., Gruening, C., Guerrero-Rascado,

1 J. L., Haeffelin, M., Hayek, T., Iarlori, M., Kanitz, T., Kokkalis, P., Linné, H., Ma-

2 donna, F., Mamouriat, R.E., Matthias, V., Mattis, I., Menéndez, F. M., Mitev, V.,

3 Mona, L., Morille, Y., Muñoz, C., Müller, A., Müller, D., Navas-Guzmán, F., Nemuc,

4 A., Nicolae, D., Pandolfi, M., Papayannis, A., Pappalardo, G., Pelon, J., Perrone,

5 M.R., Pietruczuk, A., Pisani, G., Potma, C., Preißler, J., Pujadas, M., Putaud, J., Radu,

6 C., Ravetta, F., Reigert, A., Rizi, V., Rocadenbosch, F., Rodríguez, A., Sauvage, L.,

7 Schmidt, J., Schnell, F., Schwarz, A., Seifert, P., Serikov, I., Sicard, M., Silva, A. M.,

8 Simeonov, V., Siomos, N., Sirch, T., Spinelli, N., Stoyanov, D., Talianu, C., Tesche,

9 M., De Tomasi, F., Trickl, T., Vaughan, G., Volten, H., Wagner, F., Wandinger, U.,

10 Wang, X., Wiegner, M., and Wilson, K. M.: EARLINET climatology (2000–2010),

- 11 World Data Center for Climate (WDCC),
- 12 doi:10.1594/WDCC/EN\_Climatology\_2000-2010, 2014b.

13 The EARLINET publishing group 2000–2010: Adam, M., Alados-Arboledas, L., Al-

14 thausen, D., Amiridis, V., Amodeo, A., Ansmann, A., Apituley, A., Arshinov, Y.,

15 Balis, D., Belegante, L., Bobrovnikov, S., Boselli, A., Bravo-Aranda, J. A., Bösen-

16 berg, J., Carstea, E., Chaikovsky, A., Comerón, A., D'Amico, G., Daou, D.,

17 Dreischuh, T., Engelmann, R., Finger, F., Freudenthaler, V., Garcia-Vizcaino, D.,

18 García, A. J. F., Geiß, A., Giannakaki, E., Giehl, H., Giunta, A., de Graaf, M., Grana-

19 dos-Muñoz, M. J., Grein, M., Grigorov, I., Groß, S., Gruening, C., Guerrero-Rascado,

20 J. L., Haeffelin, M., Hayek, T., Iarlori, M., Kanitz, T., Kokkalis, P., Linné, H., Ma-

21 donna, F., Mamouriat, R.-E., Matthias, V., Mattis, I., Menéndez, F. M., Mitev, V.,

22 Mona, L., Morille, Y., Muñoz, C., Müller, A., Müller, D., Navas-Guzmán, F., Nemuc,

23 A., Nicolae, D., Pandolfi, M., Papayannis, A., Pappalardo, G., Pelon, J., Perrone, M.

24 R., Pietruczuk, A., Pisani, G., Potma, C., Preißler, J., Pujadas, M., Putaud, J., Radu,

25 C., Ravetta, F., Reigert, A., Rizi, V., Rocadenbosch, F., Rodríguez, A., Sauvage, L.,

26 Schmidt, J., Schnell, F., Schwarz, A., Seifert, P., Serikov, I., Sicard, M., Silva, A. M.,

27 Simeonov, V., Siomos, N., Sirch, T., Spinelli, N., Stoyanov, D., Talianu, C., Tesche,

28 M., De Tomasi, F., Trickl, T., Vaughan, G., Volten, H., Wagner, F., Wandinger, U.,

29 Wang, X., Wiegner, M., and Wilson, K. M.: EARLINET correlative observations for

30 CALIPSO (2006–2010), World Data Center for Climate (WDCC),

31 doi:10.1594/WDCC/EN\_Calipso\_2006-2010, 2014c.

The EARLINET publishing group 2000-2010, Adam, M., Alados-Arboledas, L., Al-1 2 thausen, D., Amiridis, V., Amodeo, A., Ansmann, A., Apituley, A., Arshinov, Y., 3 Balis, D., Belegante, L., Bobrovnikov, S., Boselli, A., Bravo-Aranda, J. A., Bösen-4 berg, J., Carstea, E., Chaikovsky, A., Comerón, A., D'Amico, G., Daou, D., 5 Dreischuh, T., Engelmann, R., Finger, F., Freudenthaler, V., Garcia-Vizcaino, D., García, A. J. F., Geiß, A., Giannakaki, E., Giehl, H., Giunta, A., de Graaf, M., Grana-6 7 dos-Muñoz, M. J., Grein, M., Grigorov, I., Groß, S., Gruening, C., Guerrero-Rascado, 8 J. L., Haeffelin, M., Hayek, T., Iarlori, M., Kanitz, T., Kokkalis, P., Linné, H., Ma-9 donna, F., Mamouriat, R.-E., Matthias, V., Mattis, I., Menéndez, F. M., Mitev, V., 10 Mona, L., Morille, Y., Muñoz, C., Müller, A., Müller, D., Navas-Guzmán, F., Nemuc, 11 A., Nicolae, D., Pandolfi, M., Papayannis, A., Pappalardo, G., Pelon, J., Perrone, M. 12 R., Pietruczuk, A., Pisani, G., Potma, C., Preißler, J., Pujadas, M., Putaud, J., Radu, 13 C., Ravetta, F., Reigert, A., Rizi, V., Rocadenbosch, F., Rodríguez, A., Sauvage, L., 14 Schmidt, J., Schnell, F., Schwarz, A., Seifert, P., Serikov, I., Sicard, M., Silva, A. M., 15 Simeonov, V., Siomos, N., Sirch, T., Spinelli, N., Stoyanov, D., Talianu, C., Tesche, 16 M., De Tomasi, F., Trickl, T., Vaughan, G., Volten, H., Wagner, F., Wandinger, U., 17 Wang, X., Wiegner, M., and Wilson, K. M.: EARLINET observations related to vol-18 canic eruptions (2000-2010), World Data Center for Climate (WDCC), 19 doi:10.1594/WDCC/EN VolcanicEruption 2000-2010, 2014d. 20 The EARLINET publishing group 2000-2010: Adam, M., Alados-Arboledas, L., Al-

21 thausen, D., Amiridis, V., Amodeo, A., Ansmann, A., Apituley, A., Arshinov, Y.,

22 Balis, D., Belegante, L., Bobrovnikov, S., Boselli, A., Bravo-Aranda, J. A., Bösen-

23 berg, J., Carstea, E., Chaikovsky, A., Comerón, A., D'Amico, G., Daou, D.,

24 Dreischuh, T., Engelmann, R., Finger, F., Freudenthaler, V., Garcia-Vizcaino, D.,

25 García, A. J. F., Geiß, A., Giannakaki, E., Giehl, H., Giunta, A., de Graaf, M., Grana-

dos-Muñoz, M. J., Grein, M., Grigorov, I., Groß, S., Gruening, C., Guerrero-Rascado,
J. L., Haeffelin, M., Hayek, T., Iarlori, M., Kanitz, T., Kokkalis, P., Linné, H., Ma-

donna, F., Mamouriat, R.-E., Matthias, V., Mattis, I., Menéndez, F. M., Mitev, V.,

29 Mona, L., Morille, Y., Muñoz, C., Müller, A., Müller, D., Navas-Guzmán, F., Nemuc,

30 A., Nicolae, D., Pandolfi, M., Papayannis, A., Pappalardo, G., Pelon, J., Perrone, M.

31 R., Pietruczuk, A., Pisani, G., Potma, C., Preißler, J., Pujadas, M., Putaud, J., Radu,

32 C., Ravetta, F., Reigert, A., Rizi, V., Rocadenbosch, F., Rodríguez, A., Sauvage, L.,

33 Schmidt, J., Schnell, F., Schwarz, A., Seifert, P., Serikov, I., Sicard, M., Silva, A. M.,

1 Simeonov, V., Siomos, N., Sirch, T., Spinelli, N., Stoyanov, D., Talianu, C., Tesche,

2 M., De Tomasi, F., Trickl, T., Vaughan, G., Volten, H., Wagner, F., Wandinger, U.,

3 Wang, X., Wiegner, M., and Wilson, K. M.: EARLINET observations related to Sa-

4 haran Dust events (2000-2010), World Data Center for Climate (WDCC),

5 doi:10.1594/WDCC/EARLINET\_SaharanDust\_2000-2010, 2014e.Toledano, C.,

6 Wiegner, M., Gross, S., Freudenthaler, V., Gasteiger, J., Müller, D., Müller, T.,

7 Schladitz, A., Weinzierl, B., Torres B., and O'Neill, N. T.: Optical properties of aero-

8 sol mixtures derived from sun-sky radiometry during SAMUM-2. Tellus 63B, 635-

9 648, doi: 10.1111/j.1600-0889.2011.00573.x, 2011.

10 Van de Hulst, H., Light Scattering by Small Particles, New York: Wiley, 1957.

11 Vaughan, J.M., Geddes, N.J., Flamant P.H., and Flesia C., Establishment of a

12 backscatter coefficient and atmospheric database, DERA Report for ESA Contract no.

13 12510/97/NL/RE, DERA/EL/ISET/CR980139/1.0, 1998.

14 Vaughan, M. A., Powell, K. A., Kuehn, R. E., Young, S. A., Winker, D. M.,

15 Hostetler, C. A., Hunt, W. H., Liu, Z. Y., McGill, M. J., and Getzewich, B. J.: Fully

16 automated detection of cloud and aerosol layers in the CALIPSO lidar measurements,

17 J. Atmos. Ocean. Tech., 26, 2034–2050, doi:10.1175/2009jtecha1228.1, 2009.

18 Volten, H., O. Munoz, E. Rol, J. F. de Haan, W. Vassen, J. W. Hovenier, K. Mui-19 nonen, and T. Nousiainen: Scattering matrices of mineral aerosol particles at 441.6

20 nm and 632.8 nm, J. Geophys. Res., 106, 17, 375–17, 401, 2001.

21 Wandinger, U., Ansmann, A., Reichardt, J., Deshler, T., Determination of stratospher-

ic aerosol microphysical properties from independent extinction and backscattering
 measurements with a Raman lidar, Appl Opt., 34(36), 8315-29. doi:

24 10.1364/AO.34.008315, 1995.

Wandinger, U., Tesche, M., Seifert, P., Ansmann, A., Müller, D., and Althausen, D.:
Size matters: Influence of multiple scattering on CALIPSO light-extinction profiling
in desert dust, Geophysical Research Letters, 37 (10), L10801, doi:
10.1029/2010GL042815, 2010.

- 1 Wandinger U., Hiebsch, A., Mattis, I., Pappalardo, G., Mona, L., and Madonna F.,
- 2 Aerosols and Clouds: Long-term Database from Spaceborne Lidar Measurements,
- 3 Executive Summary, <u>http://esamultimedia.esa.int/docs/gsp/C21487ExS.pdf</u>, ESTEC
- 4 Contract 21487/08/NL/HE, 2011.
- 5 Weinzierl, B., Petzold, A., Esselborn, M., Wirth, M., Rasp, K., Kandler, K., Schütz,
- 6 L., Koepke P., and Fiebig, M.: Airborne measurements of dust layer properties, parti-
- 7 cle size distribution and mixing state of Saharan dust during SAMUM 2006. Tellus
- 8 61B, 96-117 doi: 10.1111/j.1600-0889.2008.00392.x, 2009.
- 9 Winker, D. M., Vaughan, M. A., Omar, A., Hu, Y., Powell, K. A., Liu, Z., Hunt, W.
- 10 H., and Young, S. A.: Overview of the CALIPSO mission and CALIOP data pro-
- 11 cessing algorithms, J. Atmos. Ocean. Tech., 26, 2310-2323, doi:
- 12 10.1175/2009JTECHA1281.1, 2009.
- 13 Winker, D. M., Tackett, J. L., Getzewich, B. J., Liu, Z., Vaughan, M. A., and Rog-
- ers, R. R.: The global 3-D distribution of tropospheric aerosols as characterized by
   CALIOP, Atmos. Chem. Phys., 13, 3345-3361, doi:10.5194/acp-13-3345-2013,
- 15 CALIOP, Atmos. Chem. Phys., 13, 3345-3361, doi:10.5194/acp-13-3345-2013,
  16 2013.
- Yang, P., and K. N. Liou, Geometric-optics-integral-equation method for light scatter-ing by nonspherical ice crystals, Appl. Opt., 35, 6568–6584, 1996.
- 19 Young, S.A., and Vaughan, M. A.: The retrieval of profiles of particulate extinction
- 20 from cloud-aerosol lidar infrared pathfinder satellite observations (CALIPSO) data:
- 21 Algorithm description, Journal J. of Atmospheric. and Oceanic TechnologyTechn., 26
- 22 (6), pp. 1105-1119, doi: 10.1175/2008JTECHA1221.1, 2009.
- 23
- 24

## Field Code Changed