1 Real-time Measurements of Secondary Organic Aerosol Formation and

2 Aging from Ambient Air in an Oxidation Flow Reactor in the Los

3 Angeles Area

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20 Abstract

- Field studies in polluted areas over the last decade have observed large formation of secondary
- organic aerosol (SOA) that is often poorly captured by models. The study of SOA formation using
- ambient data is often confounded by the effects of advection, vertical mixing, emissions, and
- variable degrees of photochemical aging. An Oxidation Flow Reactor (OFR) was deployed to
- study SOA formation in real-time during the California Research at the Nexus of Air Quality and
- Climate Change (CalNex) campaign in Pasadena, CA, in 2010. A high-resolution aerosol mass
 spectrometer (AMS) and a scanning mobility particle sizer (SMPS) alternated sampling ambient
- and reactor-aged air. The reactor produced OH concentrations up to 4 orders of magnitude higher
- than in ambient air. OH radical concentration was continuously stepped, achieving equivalent
- atmospheric aging of 0.8 days–6.4 weeks in 3 min of processing every 2 hrs. Enhancement of OA
- from aging showed a maximum net SOA production between 0.8–6 days of aging with net OA mass loss beyond 2 weeks. Reactor SOA mass peaked at night, in the absence of ambient
- photochemistry and correlated with trimethylbenzene concentrations. Reactor SOA formation was
- inversely correlated with ambient SOA and Ox, which along with the short-lived VOC correlation,
- 35 indicates the importance of relatively reactive ($\tau_{OH} \sim 0.3$ day) SOA precursors (most likely
- semivolatile and intermediate volatility species, S/IVOC) in the greater Los Angeles Area.
 Evolution of the elemental composition in the reactor was similar to trends observed in the
- Evolution of the elemental composition in the reactor was similar to trends observed in the atmosphere (O:C vs. H:C slope \sim -0.65). Oxidation state of carbon (OSc) in reactor SOA increased
- steeply with age and remained elevated (OSc \sim 2) at the highest photochemical ages probed. The
- ratio of OA in the reactor output to excess CO (Δ CO, ambient CO above regional background) vs.
- 41 photochemical age is similar to previous studies at low to moderate ages and also extends to higher
- 42 ages where OA loss dominates. The mass added at low-to-intermediate ages is due primarily to
- 43 condensation of oxidized species, not heterogeneous oxidation. The OA decrease at high 44 photochemical ages is dominated by heterogeneous oxidation followed by
- 44 photoenemical ages is dominated by neterogeneous oxidation followed by 45 fragmentation/evaporation. A comparison of urban SOA formation in this study with a similar
- 46 study of vehicle SOA in a tunnel supports the dominance of vehicle emissions in urban SOA. Pre-
- 47 2007 SOA models underpredict SOA formation by an order of magnitude, while a more recent

- model performs better but overpredicts at higher ages. These results demonstrate the value of the reactor as a tool for *in situ* evaluation of the SOA formation potential and OA evolution from
- ambient air.

51 **1** Introduction

Atmospheric aerosols are the most uncertain aspect of the climate radiative forcing (Myhre et al., 52 2013), and have negative impacts on human health (Pope et al., 2002) and visibility (Watson, 53 2002). Organic aerosol (OA) represents a large fraction of fine particle mass (Murphy et al., 2006; 54 Zhang et al., 2007) and is the least-characterized component of submicron aerosol due to its 55 complexity and wide variety of emission sources and atmospheric processes (Jimenez et al., 2009). 56 OA can be emitted directly into the atmosphere from primary OA sources (POA), such as traffic 57 or biomass burning, or formed through atmospheric processing as secondary OA (SOA). SOA can 58 59 be formed when volatile organic compounds (VOCs) react with atmospheric oxidants such as ozone and hydroxyl radicals (O_3 and OH), to form less-volatile products that can partition into the 60 61 aerosol phase (Pankow, 1994; Donahue et al., 2006), as well as through heterogeneous and 62 multiphase processes (Ervens et al., 2011). An improved understanding of the sources, 63 atmospheric processes, and chemical properties of SOA is necessary to constrain and predict 64 current impacts on human health and climate as well as shifting impacts with changing climate 65 and emissions (Hallquist et al., 2009).

SOA concentrations are typically underestimated by over an order of magnitude when pre-2007 66 models are applied in urban regions (Volkamer et al., 2006; de Gouw and Jimenez, 2009; Hodzic 67 et al., 2010; Morino et al., 2014; Hayes et al., 2015). These "traditional" models treated SOA 68 69 formation as partitioning of semivolatile products from gas-phase oxidation of VOCs, using 70 aerosol yields and saturation concentrations from older environmental chamber studies. More recently updated models have incorporated (higher) SOA yields from VOCs from more recent 71 72 chamber studies. Some studies have used artificially higher yields based on "aging" of the VOC products, although these are unconstrained by chamber studies (e.g. Tsimpidi et al., 2010), or 73

74 increased yields to account for losses of semivolatile gases to chamber walls (Zhang et al., 2014; Hayes et al., 2015). Donahue et al. (2006) developed the volatility basis set (VBS) formalism for 75 modeling OA partitioning, in which organic species are distributed into volatility bins, which has 76 been adopted by many SOA modeling schemes. Semi-volatile and intermediate volatility 77 compounds (S/IVOCs) have been identified as additional precursors that were not considered in 78 79 traditional models (Robinson et al., 2007). These updated approaches have been applied to several urban datasets leading to better closure between measured and modeled bulk OA, but have resulted 80 81 in other problems such as several-fold overpredictions of SOA at long aging times (>1 day; 82 Dzepina et al., 2011; Hayes et al., 2015; Zhang et al., 2015) or SOA that is much too volatile compared to observations (Dzepina et al., 2011). These models remain under-constrained, and it 83 is unclear whether the updated models increase predicted SOA formation for the right reasons. 84 Targeted field studies in urban areas, with sufficient constraints and with novel approaches for 85 focused investigation of SOA formation, are essential for continued model testing and 86 87 improvement.

In order to characterize the SOA formation potential of urban emissions, a rapid field deployable 88 89 experimental method is needed, so that potentially rapid changes of ambient SOA formation 90 potential can be captured.. The "Potential Aerosol Mass" (PAM) oxidation flow reactor (OFR), was developed by Kang et al., (2007; 2011), and used in many laboratory experiments and recent 91 field studies. It is a small flow reactor that exposes air samples to high oxidant levels (100-10,000 92 93 times atmospheric concentrations) with short residence time (<5 min). Recent work with the reactor has examined SOA yield, oxidation, and physicochemical changes using single precursors 94 or simple mixtures in laboratory experiments, producing results similar to environmental chamber 95 experiments (Massoli et al., 2010; Kang et al., 2011; Lambe et al., 2011a; Lambe et al., 2011b; 96

97 Bruns et al., 2015). SOA yields in the reactor are comparable or somewhat lower than for similar OH exposures in large environmental chambers, which has been suggested to be due to the short 98 residence time of the reactor not being sufficient to allow complete condensation of semivolatiles 99 (Lambe et al., 2015) or increased wall losses of gas-phase species due to the higher surface area to 100 volume ratios of the reactor (Bruns et al., 2015). OH oxidation of alkane SOA precursors in the 101 102 reactor show the effect of functionalization (oxygen addition) and fragmentation (carbon loss) reactions (Lambe et al., 2012). Recent reactor application to aging of biomass burning smoke 103 showed that total OA after reactor oxidation was on average 1.42±0.36 times the initial primary 104 105 OA (POA) with similar aging of biomass burning tracers to that observed in aircraft measurements (Cubison et al., 2011; Ortega et al., 2013). Aging measurements of vehicular exhaust using the 106 reactor in a highway tunnel in Pittsburgh, PA indicated peak SOA production after 2.5 days of 107 atmospheric equivalent photochemical aging (at $OH = 3 \times 10^6$ molec cm⁻³) and concluded the 108 109 chemical evolution of the OA inside the reactor appears to be similar to that observed in the atmosphere (Tkacik et al., 2014). Other studies also show that the reactor produces SOA with 110 characteristics similar to that formed in the atmosphere for crude oil evaporation (Bahreini et al., 111 2012a; Li et al., 2013). The radical chemistry in the reactor has been recently characterized (Li et 112 al., 2015; Peng et al., 2015). Thus, the reactor is a useful tool for elucidating SOA formation 113 processes under field conditions where utilizing large-scale environmental chambers is not 114 115 practical and/or if a higher degree of aging is targeted.

Due to meteorological conditions (e.g. diurnal fluctuations in land-sea breeze patterns with weak synoptic forcing) and topography (e.g. the surrounding coastal mountain ranges) ventilation of air in the greater Los Angeles area (LA-Basin) can be limited, historically resulting in high pollution levels. Several field campaigns have investigated SOA in the LA-Basin, including the 2005 Study 120 of Organic Aerosol at Riverside (SOAR; Docherty et al., 2011) and the 2009 Pasadena Aerosol Characterization Observatory (PACO; Hersey et al., 2011). These studies identified SOA as a 121 major fraction of total OA in the LA Basin in the summer, consistent with findings in previous 122 urban field campaigns (Volkamer et al., 2006; de Gouw and Jimenez, 2009). This situation is in 123 contrast to previous studies in this region which reported that primary OA was higher than SOA, 124 125 other than during severe photochemical smog episodes; however, these estimates were likely affected by apportionment biases or the greatly underestimated SOA production of traditional 126 127 models (Docherty et al., 2008).

The 2010 California Research at the Nexus of Air Quality and Climate Change (CalNex) was a 128 multiplatform large-scale field study, which utilized ground sites at Bakersfield and Pasadena, 129 130 California, NOAA WP-3D and Twin Otter aircraft, and the research ship *R/V Atlantis* (Ryerson et 131 al., 2013). In this study, we measured submicron aerosol size and composition alternately for 132 ambient air and for ambient air that had been aged in an oxidation flow reactor by systematically 133 changing the OH exposure. This work is compared to the previous literature but extends beyond it with the new information provided by the *in situ* aging studies. By combining results from the 134 135 ambient aerosol and aged ambient aerosol measurements, we provide a stronger test of current 136 SOA models, since they can now be compared with data from a much wider range of photochemical ages. In order to characterize the SOA formation potential of urban emissions, a 137 field deployable experimental method is needed that is capable of rapid operation to allow 138 139 examination of the variable potential of changing air masses.

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141 2 Experimental Methods

142 2.1 CalNex Field Campaign

The work in this study will focus on measurements from the Pasadena ground site during CalNex. 143 144 The Pasadena site was located on the California Institute of Technology (Caltech) campus in Pasadena, CA (34.1406 N, 118.1225 W, 236 m above mean sea level); the location, airmass 145 transport, and key measurements have been described in detail previously (Washenfelder et al., 146 2011; Hayes et al., 2013). The measurement period for our reactor study is 29 May–10 June 2010, 147 148 hereafter referred to as the "sampling period." Meteorological conditions, including prevailing winds, boundary layer height, temperature, and relative humidity information are summarized by 149 Washenfelder et al. (2011) and Hayes et al. (2013). An overview of the 2010 CalNex field 150 campaign (Ryerson et al., 2013) and aerosol observations at the Pasadena Supersite (Hayes et al., 151 152 2013) can be found in previous publications. A gas-chromatography mass spectrometer (GC-MS) 153 from NOAA was located at the same field site (Hayes et al., 2013; Warneke et al., 2013) and used 154 for VOC measurements reported in this study. The NOAA WP-3D research aircraft sampled in 155 situ meteorological, trace gas, and aerosol conditions aloft during CalNex (Bahreini et al., 2012b; Ryerson et al., 2013). Non-refractory submicron aerosol composition measurements aboard the 156 157 NOAA WP-3D were made using an Aerodyne compact time-of-flight aerosol mass spectrometer 158 (C-ToF-AMS, Drewnick et al., 2005). Details of operation, analysis, and quantification can be 159 found in Bahreini et al. (2012b).

160 2.2 Oxidation Flow Reactor

To study SOA formation and OA aging *in-situ*, we deployed a Potential Aerosol Mass (PAM) oxidation flow reactor (Kang et al., 2007; Kang et al., 2011) at the Pasadena ground site. Fig. 1a shows a diagram of the operational setup. The reactor and ambient sample lines were located 164 adjacent to one another, on the roof of the instrument trailer at 7.2 meters above ground (Fig. 1b). Ambient air was continuously sampled in an open flow-through configuration via a 14-cm 165 diameter opening with coarse-grid mesh screen coated with an inert silicon coating (Sulfinert by 166 SilcoTek, Bellefonte, PA). The mesh was designed to block debris and insects, as well as break up 167 large eddies while allowing VOCs and oxidized gases to be sampled efficiently. This 168 169 configuration, with no inlet, was chosen because of the observation of reduced SOA formation when any inlet and/or an inlet plate was used in a previous experiment (Ortega et al., 2013). The 170 reactor output was measured by an AMS (described below), a scanning-mobility particle sizer 171 (SMPS, TSI Inc., Model 3936 with TSI 3010 CPC), and an O₃ monitor (2B Technologies, Model 172 205). The uncertainty in the O₃ measurement is +/- 1.5 ppb or 2% of the measurement, whichever 173 is greater. Fast switching valves were used to automatically alternate AMS and SMPS sampling 174 between the reactor and unperturbed ambient sample line every 5 minutes. Bypass lines were used 175 to maintain constant flow in both the reactor and ambient sample lines while instrumentation was 176 177 sampling the other channel, to avoid artifacts due to particle or gas losses or re-equilibration that could occur if flow had been stagnant in the lines or modulated in the reactor. The configuration 178 with the large inlet strongly reduces recirculation in the reactor and narrows the residence time 179 180 distribution (RTD) (Fig. S1). To further reduce the width of the RTD, output flow was sampled from both a central stainless steel 1/4 inch OD tube at 2.0 L min⁻¹ for aerosol measurements and 181 182 a 3/8 inch OD PTFE Teflon perforated ring with 14 cm diameter for gas-phase measurements at 183 2.4 L min⁻¹. In addition, Peng et al. (2015) has shown that variations in the residence time 184 distribution in the OFR had limited impact on the estimated OH_{exp}.

The total flow rate through the reactor was 4.4 lpm corresponding to a residence time of 3 min.
The reactor was used to expose ambient air to high levels of OH and O₃, produced when UV light

187 from two low-pressure mercury lamps (model no. 82-9304-03, BHK Inc., with discrete emission peaks at 254 and 185 nm) initiated O₂, H₂O and O₃ photochemistry. This mode of operation is 188 189 referred to as OFR185, and OH is formed from both H₂O and O₃ photolysis (Li et al., 2015). In this mode O_3 is formed in the reactor but is not added to the reactor, contrary to the OFR254 mode 190 that has been used mainly in laboratory studies (Peng et al., 2015). Given that most known urban 191 192 SOA precursors do not react with O_3 (e.g. Hayes et al., 2015), d using we expect OH to dominate the observed SOA formation. Consistent with this, no SOA was formed in test experiments during 193 CalNex when ambient air was exposed to O₃ only without OH. We use the term "aging" to refer 194 195 to the combined effect of OH, O₃, and light exposure in the flow reactor, although reactions in the reactor are understood to be dominated by OH under typical operating conditions (Peng et al., 196 197 2016). Peng et al. (2016) have investigated the possibility of photolysis of gases and aerosol species under the OFR conditions. OH reaction dominated the fate of all gases studied. Under most 198 199 conditions in this study, photolysis was estimated to be responsible for only several percent of the fractional destruction of the gas-phase primary species most susceptible to it (aromatic species) 200 even if photolysis quantum yield was assumed to be 1. The upper limit of the fractional destruction 201 of possible oxidation intermediates was ~x2 that of primary species. Photolysis of SOA already 202 203 present in the atmosphere may have played some role at the medium and high UV settings studied here when assuming upper limit quantum yields. However photolysis e-fold decays in the reactor 204 are estimated to be orders-of-magnitude lower than for the atmosphere for equivalent OH 205 206 exposures.

The intensity of aging was continuously stepped by computer-controlled lamp power supplies (custom made transformers from BHK Inc., controlled via Labview using a National Instruments analog output board NI USB-6501), resulting in systematic stepping of lamp input voltage from 210 50–110 VAC. This voltage stepping modulates the photon flux and consequently the OH 211 concentrations in the reactor (Li et al., 2015). The lights are housed in Teflon sleeves which are 212 purged with N₂ gas to remove heat and avoid exposing the lamp surfaces to O₃ or other oxidants. 213 When operated at full power the lights result in an increase of $\sim 2^{\circ}$ C above ambient conditions. 214 Given the low volatility of ambient OA (Huffman et al., 2009; see Fig. S12 and associated 215 discussion below), little OA evaporation is expected in the reactor due to this heating.

Oxidant concentrations in the reactor were stepped in 20-minute intervals, through six levels 216 217 (including lights off, i.e. no added oxidants) comprising a two-hour cycle (Fig. 2). Only data from 218 the last five minutes of each 20-minute period are used, to avoid including reactor transient periods. Thus, five full residence times have elapsed after changes to the UV lights and before starting to 219 220 sample reactor outputs, to allow full replacement of the contents of the reactor. As lamp intensity 221 increased, O₃ and OH concentrations increased in the reactor, and resultant OA concentrations 222 were measured from the reactor after oxidant perturbation as seen in Fig. 2. To correct for the 223 effect of particle losses we compared concentrations measured in the reactor output when UV 224 lights are turned off with those measured through the ambient inlet. The loss of particle mass in 225 this aluminum reactor is small, of the order of a few percent of the ambient concentrations (see 226 also Palm et al., 2016). Losses in an OFR with a quartz body were observed to be ~35% in a previous study (presumably due to nearly complete loss of charged particles), which led to our use 227 228 of the all-aluminum reactor. A time-dependent correction factor was estimated by comparing each 229 reactor output measurement (for each period when the lights were off) with the average of the two ambient measurements immediately before and after. This correction is interpolated in time and 230 applied to all reactor output measurements with lights on. The resulting average correction was 231 +5.8 %. Although losses may have some size dependence, given the broad distributions covering 232

the same size ranges for both ambient air and OFR output, and the small magnitude of thecorrection, this effect has not been considered in detail.

235 The OH exposure (OH_{exp}, OH concentration integrated over the reactor residence time) achieved in this study is primarily a function of lamp photon flux (at 185 and 254 nm), residence time, and 236 ambient H₂O concentration and OH reactivity (Li et al., 2015; Peng et al., 2015). OH_{exp} was 237 estimated using an equation developed by multivariate fitting of the output from a kinetic model 238 239 of reactor (OFR185) operation, and verified against data from several field and laboratory experiments including CalNex (Li et al., 2015). Data from the decay of ambient SO₂ in the OFR 240 during CalNex, which was only reliable during periods with higher ambient SO₂ concentrations (> 241 1 ppbv), was used to verify the OH_{exp} estimation equation. The equation uses ambient H₂O 242 243 concentration, reactor output O_3 concentrations, residence time, and ambient OH reactivity from 244 collocated measurements (total OH reactivity measurement from the Stevens Group, Indiana 245 University; in this method there is no assumption about the reactivity constituents). According to this equation, internal OH_{exp} in the reactor typically ranged from 1.1×10^{11} – 5.8×10^{12} molec. cm⁻³ 246 247 s, 0.8 days-6.4 weeks of photochemical age assuming 24-hr average ambient OH concentrations of 1.5×10^6 molec. cm⁻³ (Mao et al., 2009). The uncertainty in the calculated OH_{exp} is estimated to 248 249 be a factor of 3 (Li et al., 2015; Peng et al., 2015). "Total photochemical age" refers to the sum of 250 ambient photochemical age and reactor internally-generated photochemical age, used throughout 251 this work unless otherwise specified. Ambient photochemical age is calculated by the ratio of 252 1,2,4-trimethylbenzene to benzene (Borbon et al., 2013), using collocated gas-phase measurements as described in Hayes et al. (2013). Subsequent figures use total photochemical age 253 in day-units applying the average OH concentration of 1.5×10^6 molec. cm⁻³. During CalNex, OH 254 concentrations averaged as high as 4×10^6 molec. cm⁻³ during the daytime, from concurrent OH 255

reactivity estimates. Since a significant part of SOA formation happens during the first few hours
after emission, the 0.8 day minimum photochemical age probed with the reactor would correspond
to ~0.3 days at the peak OH concentration observed during CalNex.

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2.3 Particle Measurements

260 Particle concentration and composition were analyzed with a high-resolution time-of-flight aerosol 261 mass spectrometer (HR-ToF-AMS, abbreviated as AMS hereafter; Aerodyne Research, Billerica, 262 MA; DeCarlo et al., 2006; Canagaratna et al., 2007). The ambient measurement setup, instrument 263 intercomparisons, scientific results, and their interpretation are reported in Hayes et al. (2013). he high-resolution (HR) fragmentation table (Aiken et al., 2008) and peak fitting (DeCarlo et al., 264 265 2006) were applied to the reactor measurements with no additional adjustments beyond those 266 performed for the ambient CalNex data by Hayes et al. (2013). The elemental analysis of OA (resulting in oxygen-to-carbon ratio, O:C, and hydrogen-to-carbon ratio, H:C) was performed 267 using the "improved-ambient" method published by Canagaratna et al. (2015) for both reactor and 268 269 ambient measurements, which increases O:C on average by 27% and H:C on average by 11% over the previous "Aiken ambient" method (Aiken et al., 2008). Details of the quantification of AMS 270 reactor measurements (i.e. collection efficiency, inlet and particle lens losses) and intercomparison 271 with the SMPS are discussed in supplementary Section S1 (Figs. S2-S6). Hayes et al. (2015) 272 performed a modeling study comparing the ambient AMS OA measurements with several box and 273 274 3-D SOA models. Here, we only discuss the modifications in post-processing and data analysis necessitated by the alternating sampling of the reactor output. 275

276 2.4 Fate of Low-Volatility Organic Gases in the Reactor

277 As organic gases are oxidized, they can form lower vapor pressure products, low-volatility organic compounds (LVOC). Semivolatile organic compounds (SVOC) will also be formed, but we focus 278 this discussion on LVOC for several reasons. As shown in Figure S12 (discussed in Section 4.4), 279 the volatility distribution of the SOA present during CalNex shows very limited importance of 280 SVOCs as SOA constituents. Second, discussion and modeling of LVOC fate in the reactor is 281 282 conceptually simpler. Third, the amount of SOA formed in reactor is significantly higher than can be explained by the speciated precursors, consistent with other studies (Palm et al., 2016). The 283 assumption of LVOCs results in higher SOA formation than if SVOC were assumed, and is thus 284 285 a the most conservative assumption in terms of closure of measured vs. predicted SOA. Thus adding complexity to the loss model for species that are likely of limited importance was a lower 286 287 priority for our study.. In the atmosphere, the dominant fate of these LVOC is condensation on aerosols, as OH lifetimes and dry deposition time scales are slower (Donahue et al., 2013; Knote 288 et al., 2015). However, given the limited residence time, high surface/volume ratio, and the high 289 oxidant concentrations in the OFR, other LVOC fates can be competitive with condensation on 290 aerosols. LVOC in the reactor can either condense on aerosols, be lost due to condensation on the 291 reactor walls, react further with OH resulting in condensable or non-condensable products, or exit 292 293 the reactor in the gas phase to condense on the sampling line walls. Aerosol sampling instruments only measure the LVOC that condense on aerosols in the reactor. Given the short residence time 294 and high OH_{exp} of the reactor, SOA formation could be underestimated due to these competing 295 296 fates. To account for vapor losses, we follow the method detailed in Palm et al. (2016), using McMurry and Grosjean (1985) for wall loss estimation. The method of Pirjola et al. (1999) is used 297 298 for estimating organic gas condensation to aerosols based on the measured SMPS size distributions 299 with the Fuchs-Sutugin correction for gas diffusion in the transition regime (Seinfeld and Pandis,

1998). It is assumed that products after five oxidation steps with OH at $k_{OH} = 1 \times 10^{-11}$ molec cm⁻ 300 3 s⁻¹ are lost (fragmented and too volatile to condense). We note that 56% (97%) of the initial 301 molecules will have undergone five oxidation steps after an $OH_{exp} = 5 \times 10^{11} (1 \times 10^{12})$ molec. cm⁻³ 302 s. This is used to simulate a typical C_{10} VOC oxidation in the reactor. Parameters used include the 303 measured surface-area-to-volume ratio (A/V) of the reactor (25 m⁻¹), a coefficient of eddy 304 diffusion k_e approximated as 0.0036 s⁻¹, and a diffusion coefficient $D = 7 \times 10^{-6}$ m² s⁻¹, 305 corresponding approximately to the diffusivity of a molecule with a mass of 200 g mol⁻¹. The 306 analyses leading to the correction terms were developed in Palm et al. (2016) and are applied here. 307 308 As Palm et al. (2016) is now published in final form in ACP, we refer readers to that manuscript for the full details of the method. 309

At OH_{exp} lower than 1×10^{12} molec cm⁻³ s (~ 10 days) the dominant LVOC fate (50–75%) is 310 condensation to the aerosol (see Fig. S7). At higher OH_{exp}, the fate of organic gases is dominated 311 (>45%) by loss to reaction with OH rather than condensing on aerosol. LVOC lost to the walls 312 $(\sim 7\%)$ or exiting the reactor $(\sim 2\%)$ play only small roles under the conditions of this study, due to 313 the relatively high ambient aerosol surface area. The amount of SOA formed in the reactor is 314 corrected for the fraction of SOA that condense on the aerosol by fitting a line to the calculated 315 fraction of LVOCs that condense on aerosol and dividing the measured SOA formed in the reactor 316 by the fitted fraction of LVOCs that were lost by condensation on the aerosol (Fig. S7). This 317 318 correction is a minimum at low to moderate ages, and highest at longest ages where net OA production is lowest (Sect. 3.2). Thus, the maximum net SOA production was typically corrected 319 by a factor of 1.2. At increasing ages, where OA loss due to heterogeneous oxidation begins to 320 321 dominate over gas-phase oxidation, it becomes unfeasible to apply the correction, as the net OA enhancement in the reactor is negative. Thus, correction is applied when reactor-measured OA is 322

greater than ambient OA (relative OA enhancement ratio, ER_{OA} = reactor OA / ambient OA, ER_{OA}>1; and the absolute OA enhancement factor, ΔOA Mass = reactor OA – ambient OA, ΔOA Mass>0, Sect. 3.2).

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327 **3 Results and Discussion**

328 3.1 Observations

The time series of the reactor sample period is shown in Fig. 3a. The ambient aerosol during the 329 330 first third (30 May–3 June 2010) of the measurement period is characterized by OA dominance, while the remaining two-thirds of the period (3-11 June 2010) is characterized by high 331 concentrations of OA and nitrate, moderate sulfate and ammonium, and low chloride, with a 332 333 marked diurnal cycle. This second period was strongly affected by in-basin pollution and is the most useful in terms of studying urban SOA formation (Hayes et al., 2013). The precursors that 334 are expected to be important contributors to SOA at this location include aromatic VOCs and 335 semivolatile and intermediate volatility species (mostly alkanes and aromatics), with low 336 importance for biogenic species (Hayes et al., 2015). 337

A 24-h snapshot of the time series of ambient and reactor data is shown in Fig. 3b. This period is representative of the diurnal profiles observed from 3–9 June 2010. The oscillations (zig-zag pattern) in reactor output concentrations are due to OH_{exp} stepping as shown in Fig. 2. Day and night periods are highlighted to indicate the period of inactive (20:00–8:00) and active ambient photochemistry (8:00–20:00) in Fig. 3b. Ambient nitrate and ammonium concentrations peak in early morning hours before sunrise, while OA peaks in the late afternoon, during the most

photochemically active part of the day. Hayes et al. (2013) attribute this organic aerosol temporal 344 pattern to the formation of fresh urban SOA as the LA-plume undergoes ~0.3 days of 345 photochemical aging during transport to our field location, which is considered a receptor site as 346 it experienced a strong impact from aged urban emissions. However, OA enhancement in the 347 reactor peaked during night, ~12 h before the ambient OA peak. The nighttime reactor-aged OA 348 349 mass peaks at approximately the same concentration as the following day's ambient OA concentration, suggesting the reactor's potential for estimating the next day's OA concentrations. 350 351 A more quantitative evaluation of this potential is discussed below (Sect. 4.1. and Figure 9). While 352 this peak likely occurs at OH_{exp} higher than observed the following day, the similar OA mass added highlights the potential for further develop of the reactor as a predictive tool. Daytime reactor-aged 353 354 OA mass shows very limited enhancement above the ambient OA mass, suggesting that the precursors for SOA formation have been mostly depleted in ambient air. The nighttime reactor-355 aged OA mass peaks at approximately the same concentration as the following day's ambient OA 356 concentration, suggesting the reactor's potential for estimating the next day's OA concentrations. 357 A more quantitative evaluation of this potential is discussed below (Sect. 4.1. and Figure 9). The 358 fact that the inorganic components are enhanced in the reactor is not surprising but expected. SO_2 359 360 and NO_x in ambient air are expected to be oxidized to H_2SO_4 and HNO_3 by the OH in the reactor, and can then condense onto the aerosols (together with ambient NH₃ for HNO₃). See e.g. Kang et 361 al. (2007) and Li et al. (2015) for further details. Figure 3c shows the average speciated 362 363 contribution to total aerosol for ambient and the reactor (excluding dark reactor periods, "lights off" periods, periods are included only if $OH_{exp} > ambient$), indicating overall enhancement of all 364 365 species from reactor aging with very similar composition to ambient aerosol.

366 Observations of the OA size distributions indicate reactor aging does not significantly shift the size of the accumulation mode for the average of nighttime ambient and three different reactor age 367 ranges (age ~ ambient, 3.7 days, and 23.5 days), from 2–9 June 2010 (Fig. S8). The reactor size 368 distribution changes in intensity and shape are most pronounced during low ages (~3.7 days and 369 lower), with an enhanced smaller size mode ($d_{va} \sim 80$ nm). While many daytime/nighttime average 370 size distributions and age ranges were explored, only ages at or below a few days at nighttime 371 showed significant enhancement of small particle sizes. Highest ages (>14 days) show overall 372 373 decrease in concentration across all size bins with the size of the accumulation mode unchanged 374 from ambient within the uncertainty of the measurement. Given the high concentrations of large particles in this urban environment, we expect aging to enhance organic aerosol by condensation 375 of semi- and low-volatile compounds on existing particles to dominate over new particle formation 376 and growth. Reactor results are indicative of this process, although they also indicate the effect of 377 new particle formation and/or of nanoparticle growth at lower OH exposures. 378

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3.2 Aerosol Enhancements

380 Investigating reactor perturbation of ambient OA allows quantification of both relative and absolute OA changes vs. OHexp. The relative OA enhancement ratio, EROA, and the absolute OA 381 enhancement factor, ΔOA Mass, are plotted vs. OH_{exp} in Fig. 4a and Fig. 4b respectively for the 382 383 sample period. OA mass is enhanced up to four times from ambient OA, with the majority of maximum EROA peaking around a factor of two increase. OA enhancement peaks and plateaus 384 between 0.8–6 days of OH aging, then decreases at higher aging, eventually showing net OA loss 385 beyond two weeks of aging. When separated into daytime and nighttime ER_{OA} and ΔOA mass 386 387 (Fig. 4), the qualitative trends are the same in both cases, but OA was more enhanced from reactor

aging during nighttime by 5 μ g m⁻³, or a factor of 1.7x of ambient. A smaller enhancement is observed during the day ~2 μ g m⁻³, or a factor of 1.2x of ambient, while at > 2 weeks of aging, day and night observations closely overlap, with a decrease up to ~2.5 μ g m⁻³, or a factor of 0.5x of ambient.

The substantial difference between day- and nighttime enhancements can be explained as during 392 the night the boundary layer is shallow and reactive precursors accumulate due to the absence of 393 394 ambient photochemistry, with lower ambient photochemical ages of ~ 0.1 day (Hayes et al., 2015) and minimal loss mechanisms as the dominant urban VOCs do not react with O₃ or NO₃ (other 395 396 than a small concentration of monoterpenes). In contrast, during the day reactive precursors in 397 ambient air are depleted due to reaction with OH. Transport times from downtown LA, the dominant precursor source region impacting Pasadena, is ~0.5 days (Washenfelder et al., 2011; 398 399 Hayes et al., 2013), with ambient photochemical ages reaching ~ 0.3 days. Thus most of the SOA 400 precursors that can become SOA already have by the time the air was sampled in Pasadena and only about 20% more SOA could be produced from the precursors that remained. The trends in 401 Fig. 4 indicate increased oxidation transitioning from a dominance of functionalization reactions 402 403 and condensation at low-to-moderate exposures, to fragmentation-dominated reactions and 404 evaporation of reaction products at the highest photochemical ages. Fragmentation can occur in 405 the gas phase by reactions of SVOCs with OH, leading to non-condensable products and decreasing SOA formation. Fragmentation can also be due to heterogeneous oxidation of existing 406 407 OA, producing more volatile species that may evaporate leading to OA mass loss. Discussion of 408 the relative importance of these processes for this study is presented in Section 4.4 below.

409 **3.3 Gas-Phase Observations**

18

410 **3.3.1 Odd Oxygen (Ox) Relation to SOA Formation**

411 The day-night difference observed in both ER_{OA} and ΔOA mass merits examination of the relationship with ambient odd-oxygen, O_x ($O_3 + NO_2$). Ambient O_x correlates with freshly 412 produced SOA in urban areas (Herndon et al., 2008; Wood et al., 2010; Hayes et al., 2013; Morino 413 et al., 2014; Zhang et al., 2015), both resulting from recent photochemistry. For the reactor, 414 oxidants are generated internally and are not dependent on ambient O_x. As seen in Fig. 5, there is 415 a steep inverse relationship between ΔOA mass and ambient O_x , at low to moderate aging (<4 416 days). As daytime ambient photochemical production of oxidants increases ($O_x > 50$ ppby), the 417 reactor's SOA formation for moderate aging decreases to a near constant OA mass enhancement 418 (2 µg m⁻³). At high ages (>14 days), OA mass loss is fairly constant with ambient O_x, which is not 419 surprising since the mechanisms responsible for OA depletion at long ages have little dependence 420 on previous photochemical processing in the atmosphere. As O_x is not itself playing a role in 421 422 reactor aging, but can be used as a proxy ambient photochemistry, these results further confirm that as the degree of ambient photochemical processing of the sampled air increases (during 423 424 daytime), SOA production in the reactor becomes more limited, likely due to the depletion of 425 reactive SOA precursors in ambient air, consistent with the conclusions from Fig. 4.

426 **3.3.2 Further Constraints on Urban SOA Formation Timescales from OH**

427 Reactivity of Measured VOCs

To further constrain the timescales and precursors of urban SOA formation, ambient and reactor OA data are plotted together with ambient VOCs in Fig. 6. The maximum reactor OA enhancement has a similar diurnal profile to 1,3,5–trimenthylbenzene (TMB). Both TMB and OA enhancement have diurnal profiles that are out of phase with ambient SOA. In contrast, the concentration of

benzene shows little correlation with reactor SOA formation in the reactor. The lifetime of TMB 432 by reaction with OH is nearly 2 orders of magnitude shorter, $\tau_{OH} \sim 3$ hours, $k_{OH} = 5.67 \times 10^{-11}$, than 433 benzene, $\tau_{OH} \sim 6$ days, $k_{OH} = 1.22 \times 10^{-12}$ (Atkinson et al., 2006). The anti-correlation of TMB and 434 435 reactor enhancement in OA and ambient SOA concentrations suggests that only in the absence of ambient photochemistry, substantial amounts of short-lived SOA precursors are present to produce 436 most of the SOA formed in the reactor. Toluene, a VOC with a lifetime of 1.4 days and $k_{OH} =$ 437 5.63×10^{-12} cm³ molecule⁻¹s⁻¹ does not have the same diurnal structure as reactor OA and TMB 438 439 (Fig. S9). The shape of the diurnal-scale time series in Fig. 6 and S9 can be explained as the sunrises ambient photochemistry begins at sunrise, very short lived precursors, such as TMB, 440 begin decay rapidly due to gas-phase oxidation as well as boundary layer growth. As these gas-441 phase oxidation products condense, SOA forms and ambient OA reaches its daytime peak. At the 442 daytime ambient OA peak, most of these short-lived precursors have been consumed, thus the 443 reactor only forms an additional 1–2 μ g m⁻³ of SOA as opposed to the greater than 10 μ g m⁻³ 444 possible when these precursors are allowed to build in a shallow boundary layer and in the absence 445 446 of photochemical sinks. Note that in the afternoon the boundary layer is significantly deeper than at night, and thus the total afternoon SOA formation potential may not be that different than at 447 night, even though the potential per unit volume of air is much smaller. 448

The inset in Fig. 6 is a scatter plot of maximum reactor SOA formation (per OH_{exp} cycle) vs. TMB (slope ~52, R²=0.7). TMB's SOA yield is on the order of 10% (Cao and Jang, 2007). Thus its concentration is insufficient to explain reactor SOA formation by a factor of ~500, though it is not expected to be the sole SOA precursor. This correlation suggests species with a similar source footprint and lifetime as TMB produce most of the urban SOA. Such species likely include semivolatile and intermediate volatility precursors (S/IVOC) that are rarely measured in ambient air (Dzepina et al., 2009; Zhao et al., 2014; Hayes et al., 2015), due to the difficulty in measuring
these compounds. A comparison of observed reactor SOA formation with a model that uses all the
measured VOCs is discussed in section 4.3 below.

458 **3.4 OA Chemical Composition and Evolution with Aging**

459 The evolution of OA chemical composition upon aging has been the subject of several studies, 460 both for ambient (Heald et al., 2010; Kroll et al., 2011; Ng et al., 2011a; Ng et al., 2011b) and 461 reactor conditions (Kang et al., 2011; Ortega et al., 2013; Tkacik et al., 2014; Lambe et al., 2015). 462 This evolution results in characteristic trends in specific diagrams: AMS fragments f44 vs. f43, and H:C vs. O:C. Both diagrams are shown for the CalNex ambient and reactor data in Fig. 7. f44 is a 463 tracer for aged OA (fractional organic contribution at m/z 44, mostly CO₂⁺), while f_{43} (fractional 464 465 organic contribution at m/z 43, mostly C₂H₃O⁺), due to non-acid oxygenates, with some contribution from C₃H₇⁺) is a tracer of POA and freshly formed SOA (Ng et al., 2011a). In Figure 466 7a, ambient and reactor data evolve consistently as f_{44} increases and f_{43} decreases with aging, 467 consistent with previous ambient field observations from multiple field campaigns (Ng et al., 468 2010). As expected, reactor data with the highest age has the highest f_{44} . Positive Matrix 469 Factorization (PMF) factors from Hayes et al. (2013) lie within ambient observations and data for 470 lower OH_{exp} in the reactor. Reactor data stays within the boundary of flow reactor results from 471 Lambe et al. (2011a) and below the location of oxalic acid, as expected. 472

The Van Krevelen diagram (H:C vs. O:C) is shown in Fig. 7b and demonstrates results that are very consistent to those of the previous plot. The reactor data follows a similar trend to ambient data, with slopes of -0.64 and -0.68, respectively. A slope between -1 and -0.5 is consistent with the addition of acid and alcohol functional groups without fragmentation or the addition of acid groups with carbon–carbon bond breakage (Ng et al., 2011b). The consistency of ambient and
reactor OA aging suggest that the reactor produces similar SOA composition upon aging to that in
the atmosphere within the LA-Basin.

Reactor O:C ratios increase with age and span a wider range than ambient observations (O:C up 480 to 1.4). While O:C (and f44) continually increased with additional OHexp, peak reactor OA 481 enhancement is observed at intermediate exposures and O:C ratios (0.8-6 days and O:C ~1.10-482 1.25), as seen in Fig. 8a. OA mass loss, i.e. EROA< 1, is observed together with the highest O:C 483 ratios at the highest ages, which suggests OA fragmentation by heterogeneous oxidation results in 484 485 the highest oxygen content remaining in the aerosol. With increasing age, H:C decreases continuously with OHexp (Fig. 8b), with H:C ~1.00-1.15 for the periods of maximum reactor OA 486 487 enhancement. A qualitatively similar trend is observed in the reactor studies of Lambe et al. (2012) 488 for SOA from OH oxidation of alkane precursors (Fig. 8a) although starting with lower O:C and 489 with a steeper slope at higher ages, and also by Ortega et al. (2013) for aging of biomass burning 490 smoke.

491 Average carbon oxidation state (OS_c) has been proposed as a metric to characterize the formation and evolution of OA (Kroll et al., 2011). OSc can be approximated as ~2×O:C–H:C. Figure 8c 492 shows OS_c vs. photochemical age for ambient and reactor data. While ambient OS_c is within the 493 494 range of previous observed urban/anthropogenic OA, reactor OS_C extends this significantly up to +2.0, consistent with ambient low-volatility OA observations up to +1.9 (Kroll et al., 2011). At 495 the same OH exposure, i.e. 8 days, higher OSc is observed (~1) for conditions of high reactor SOA 496 production (EROA ~ 2) compared to no net SOA production (EROA ~ 1, OSc ~ 0). The highest 497 values of OSc are observed for the highest ages, where heterogeneous oxidation leading to OA 498

mass loss dominates. This indicates that heterogeneous oxidation adds substantial oxygen and
 reduces hydrogen from molecules to the particles to increase OS_C despite overall mass loss.

501 **4** Discussion

502 4.1 Evolution of Urban OA with Photochemical Age

503 The identity of the SOA precursors responsible for urban SOA formation remains unclear.

504 Combustion emissions such as those from vehicles are thought to be a major source of urban

505 SOA (e.g. Hayes et al., 2015), and urban non-combustion sources of SOA precursors, if

important, are finely spatially intermingled with combustion sources. CO is often used as a tracer

of the initial concentration of urban SOA precursors in an airmass and thus allow an implicit

508 correction for dilution occurring in parallel with aging. For this reason, the ratio of OA to CO

509 concentration (above background level) vs. photochemical age is often used to investigate the

510 evolution of urban SOA (de Gouw et al., 2005; DeCarlo et al., 2010).

511 Figure 9 shows the results of this analysis for our reactor and ambient measurements.

512 Background CO during CalNex-LA is on average ~105 ppb (ranging from 85—125 ppb, Hayes

et al., 2013). A range of \pm 20 ppb uncertainty in background CO, results in an average \pm 6 μ g m⁻³

514 ppm⁻¹ uncertainty in OA/ Δ CO. An increase in OA/ Δ CO with aging is observed for ambient and

reactor dark data (where reactor age = ambient photochemical age in the absence of internal

reactor OH_{exp}), consistent with previous studies and as discussed in Hayes et al. (2013; 2015).

517 The reactor data is consistent with SOA formation being dominated by shorter-lived precursors,

as little increase in $OA/\Delta CO$ is observed after about a day of total age, consistent with the

519 SIMPLE parameterization of urban SOA (Hodzic and Jimenez, 2011; Hayes et al., 2015).

520 To constrain the lifetimes of the important urban SOA precursors, the OH decays of three example gas-phase species (benzene, toluene, and 1,3,5-trimenthylbenzene (TMB)) are shown are overlaid 521 in Fig. S10, together with data from Fig. 9 that illustrates the timescale over which $OA/\Delta CO$ 522 increases. The correlation of different VOCs with maximum SOA formation in the reactor is 523 shown vs. their reaction rate constants with OH (k_{OH}) in Figure 10. This analysis constrains the 524 rate constants of the most important urban SOA precursors to the approximate $k_{OH} \sim 3-5 \times 10^{-11}$ 525 cm³ molec⁻¹ s⁻¹. This constraint suggests that polyalkyl monoaromatics (such as TMB), substituted 526 527 polyaromatics such as alkyl naphthalenes (Phousongphouang and Arey, 2002), or large alkanes 528 with ~23 or more carbons (Calvert et al., 2008), or branched / cyclic species of similar size are (as a group) important contributors. The latter species are semivolatile and intermediate volatility 529 530 species (S/IVOCs), and thus our results suggests a very important role for such species in urban 531 SOA formation. The timescale of SOA formation is in between those of TMB and toluene decays, mostly shorter than toluene decay and definitely shorter than benzene decay, again consistent with 532 the previous discussion. 533

We note that in Fig. 9 aging of CO (decay of CO from reacting with OH in the reactor or 534 atmosphere) was not included in the evolution of OA/ Δ CO analysis, as the purpose of Δ CO is to 535 536 serve as an inert tracer of the urban SOA precursors emitted into each airmass. However, when comparing with aged pollution observed in the field after e.g. a week of transport, the aging to the 537 urban CO needs to be taken into account. This is shown in Fig. S10b, and indicates that ambient 538 observations of very aged pollution would not show a decrease in OA/ Δ CO due to photochemistry 539 540 since the decreases in OA and CO at long photochemical ages have similar timescales. We note that a decrease in the OA/ Δ CO ratio for ambient aged pollution may still be observed for other 541 reasons such as wet deposition (Dunlea et al., 2009). Production of CO from urban VOCs is 542

expected to be less than 1/10 of the directly emitted CO (Hallquist et al., 2009) and is neglectedhere.

545 **4.2** Parameterization of the Amount and Timescale for Urban SOA Formation

The evolution of urban SOA vs. photochemical age follows a similar trend in different field studies 546 547 with a rapid increase in the first day followed by a plateau at longer ages. Previously, this evolution 548 has been fit with the SIMPLE parameterization, a 2-parameter model in which a single VOC precursor (VOC^{*}) is oxidized with a single rate constant with OH to produce non-volatile SOA. 549 550 This parameterization has been shown to fit ambient data as well or better than more complex models (Hodzic and Jimenez, 2011; Hayes et al., 2015). However, the evolution of OA/ Δ CO past 551 552 the initial ~2 days is almost completely unconstrained by ambient observations, due to the 553 difficulty of identifying urban pollution-dominated air masses after advection for several days, and of determining ΔCO when it is of the order of the uncertainties in the CO background. The reactor 554 data from our study offer a unique opportunity to extend the model fit to much longer 555 photochemical ages. The fit in Eqn. 1 was modified from Hayes et al. (2015)'s 2-parameter model 556 557 for this purpose, where (POA+BGSOA)/CO is the primary OA plus background SOA, constrained at 16 µg m⁻³ ppm⁻¹ (Haves et al., 2015), VOC^{*}/CO is the VOC^{*} emission ratio, and t is 558 photochemical age, using measurements at local temperature and pressure. 559

560
$$\frac{OA}{\Delta CO} = \left\{\frac{POA + BGSOA}{CO} + \frac{VOC^*}{CO} \left[1 - e^{\left(\frac{-t}{\tau_1}\right)}\right]\right\} e^{\left(\frac{-t}{\tau_2}\right)}$$
(1)

Fitting the reactor data in this way requires the addition of a 2nd timescale to account for loss of OA at long ages, as done in Eqn. 1. Fitting all ambient plus vapor loss-corrected data results in VOC*/CO = $56\pm 5 \ \mu g \ m^{-3} \ p p m^{-1}$, $\tau_1 = 0.3\pm 0.1 \ days$, and $\tau_2 = 50\pm 10 \ days$ (Fig. 9, all data points, i.e. before averaged into quantiles is in Fig. S10a). In this parameterization, τ_1 is the timescale for urban SOA formation and τ_2 is the timescale for net OA mass loss due to fragmentation, likely dominated by heterogeneous oxidation. Kroll et al. (2015)'s laboratory examination of heterogeneous oxidation of OA found a volatilization lifetime of 70±20 days, supporting the 50±10 days timescales found in this work.

569 4.3 Comparison of Reactor output to Urban SOA Model Results

570 It is of interest to compare the SOA formation constrained from our reactor and ambient data to 571 SOA models used in 3D modeling studies, as those models remain poorly constrained (e.g. Hayes et al., 2015). Here we used two of the model variants recently described in Hayes et al. (2015), and 572 573 compare to our data in Fig. 11. The first model variant is a "traditional model" with SOA formation from VOCs using pre-2007 yields (Koo et al., 2003), which has been shown before to underpredict 574 urban SOA formation by over an order-of-magnitude (Dzepina et al., 2009; Morino et al., 2014; 575 Hayes et al., 2015). This comparison is still of interest as several SOA models still use this 576 approach (e.g. Morino et al., 2014; Baker et al., 2015; Hayes et al., 2015). 577

The second model variant represents SOA formation semivolatile and intermediate volatility 578 precursors (P-S/IVOC; Robinson et al., 2007) in addition to VOCs, and has been shown to predict 579 580 SOA formation adequately at short timescales (<1 day) but to overpredict at long ages (Dzepina et al., 2011; Hayes et al., 2015). SOA formation from VOCs uses the Tsimpidi et al. (2010) 581 formulation, including "aging" of the SOA, and using the high NO_x yields since the observed SOA 582 583 formation mostly occurs in the urban environment where RO₂ react mainly with NO (Hayes et al., 2015). SOA from P-S/IVOCs is represented using the Robinson et al. (2007) parameterization. 584 585 Recent results suggest that P-S/IVOC are needed to explain SOA formation observed in ambient

air during CalNex (Zhao et al., 2014; Hayes et al., 2015), consistent with other locations (Dzepina
et al., 2009; Hodzic et al., 2010).

588 Figure 11a shows the comparison of the SOA models against our ambient and reactor results. The traditional model predicts SOA a factor of 10 lower than our observations, consistent with previous 589 studies. The updated model performance is mixed: the magnitude of SOA formation at short times 590 (<1 day) is somewhat slower but similar to the ambient data. SOA formation at long ages (>1 day)591 is significantly overpredicted by a factor of ~ 3 . This model does not include heterogeneous 592 593 oxidation reactions leading to fragmentation which could decrease predicted OA at high 594 photochemical ages, resulting in a wider discrepancy at very long ages (>10 days). Figure 11b shows the same comparison using lower IVOCs as suggested from field measurements (Zhao et 595 596 al., 2014). The same model was used, but with the initial concentrations of primary IVOCs 597 decreased by one-half to better match the ambient observations of Zhao et al. (2014), as described 598 by Hayes et al. (2015). This difference is due to the different methods used to estimate the 599 background SOA. Briefly, in this work as well as in Hayes et al. (2015), the background SOA is 600 estimated to be equal to the minimum low-volatility oxygenated organic aerosol (LV-OOA) 601 concentration in the diurnal cycle. Whereas in Hayes et al. (2013), the background SOA was 602 estimated to be equal to the mean LV-OOA concentration for photochemical ages less than 1.2 h. Decreasing IVOCs brings down overall SOA predictions, increasing the discrepancy at shorter 603 604 ages and still overestimating SOA concentrations compared to reactor measurements at the longest 605 ages. This result suggests that the reduced IVOC concentrations cannot account for all model discrepancies. It is of interest to compare the reactor results with those of other SOA mechanisms 606 in the future. 607

608 4.4 Evolution at High Photochemical Ages

609 The photochemical evolution of OA at long ages is of high interest as it partially controls the background of OA at remote locations where it may influence climate more strongly, due to the 610 higher sensitivity of clouds to aerosols at low aerosol concentrations (Reutter et al., 2009). Heald 611 et al. (2011) noted that a process that consumed OA with a timescale of ~10 days was needed in 612 order to avoid overpredictions of OA in remote air. Heterogeneous oxidation is thought to play an 613 614 important role for long photochemical ages, while being too slow to compete at timescales of a day or so (DeCarlo et al., 2008; George and Abbatt, 2010). Fig. 12a compares our CalNex results 615 616 to heterogeneous OH oxidation of ambient air from George and Abbatt (2010) using a similar 617 oxidation flow reactor (Toronto Photo-Oxidation Tube, TPOT), but with gas-phase SOA precursors removed by a denuder. Note that no SOA formation is observed for the George and 618 Abbatt case due to the use of a denuder, and thus only the data for $ER_{OA} < 1$ can be approximately 619 compared. The two datasets show a similar trend with the start of a net decrease around 2 weeks 620 621 of oxidation and a similar evolution. A decrease in SOA yields at high ages (>7 days) was also 622 observed by Lambe et al. (2012), in experiments where SOA was formed from gaseous precursors. Note that the George and Abbatt (2010) vs. Lambe et al. (2012) studies are qualitatively different, 623 and thus the explanations of the decrease in OA at high ages may be different. George and Abbatt 624 625 (2010) started their oxidation experiment with particles only, after removing gases with a denuder. Any decreases in OA in their study must result from heterogeneous oxidation. The Lambe et al. 626 627 (2012) study started with gas-phase precursors only and no particles. Thus the reduction in SOA 628 at high OHexp may be due to either gas-phase fragmentation of condensable species, so that SOA is never formed, or to formation of SOA followed by its heterogeneous oxidation and 629 630 revolatilization. Results in Fig. S7 suggest that gas-phase oxidation would prevent the formation 631 of SOA, and thus the second explanation is more likely.

632 To evaluate directly whether heterogeneous oxidation could explain the gain of oxygen observed in the aerosol, we follow the method outlined in appendix A of DeCarlo et al. (2008). Fig. S11 633 shows the ratio of the gain of oxygen of OA observed in the reactor (Δ Oxygen in OA = _{Oatoms, reactor} 634 - O_{atoms, ambient}) to the total number of OH collisions with OA in the reactor, plotted vs. total 635 photochemical age. Heterogeneous oxidation calculations use surface-weighted diameter 636 637 calculated from the peak of the mass distribution and estimated particle density from AMS components (DeCarlo et al., 2004), assume every collision results in reaction ($\gamma = 1$). If it is 638 assumed that each OH collision with OA results in one O atom addition, the number of O atoms 639 added is underpredicted by a factor of 5 at ages ~ 1 day, decreasing to a factor of 2 at ~ 10 days, 640 and lower values at high ages (> 10 days). This analysis supports that heterogeneous oxidation is 641 642 not dominant in contributing to SOA mass at low-to-intermediate ages, but it likely plays a role in 643 OA evolution at the highest photochemical ages in the reactor.

An alternative explanation for the loss of OA at high photochemical ages is that the reaction of 644 semivolatile gas species with OH (leading to fragmentation and thus non-condensing species) can 645 lead to OA evaporation as the semivolatile species in the particles evaporate to re-establish 646 equilibrium partitioning. However, most of OA has too low volatility to evaporate in response to 647 the removal of semivolatile species from the gas phase. Fig. S12 shows the volatility distribution 648 estimated for CalNex OA using concurrent thermal denuder measurements (Huffman et al., 2008; 649 650 Faulhaber et al., 2009). As observed in other locations (Cappa and Jimenez, 2010), only ~20% of the OA is susceptible of evaporation upon removal of the gas phase. Losing ~60% of the OA would 651 be the equivalent of heating to 100°C in a thermal denuder. Thus, there is not enough semivolatile 652 653 material available to account for that degree of loss observed in our reactor measurements. We 654 note that some models predict SOA that is too volatile (Dzepina et al., 2009), and if applied in a

flow reactor context they may wrongly predict a large effect from semivolatile evaporation. Additionally, timescales for ambient OA evaporation upon removal of gas-phase organics from field measurements has been shown to be slow and size dependent, with fast evaporation up to only ~20% of OA mass happening on the order of ~100 min, followed by much slower evaporation of the order of days (Vaden et al., 2011). Given the short residence time utilized in the reactor during this study (< 5 minutes), there is not significant time to allow for substantial repartitioning of OA in equilibrium with semivolatile gas-phase organics.

662 **4.5 Comparison to a recent Reactor Study in a Tunnel**

663 It is of interest to compare the evolution of urban SOA vs. photochemical age determined in this 664 work with a recently published study with a similar flow reactor in a vehicle tunnel in Pittsburgh, 665 PA (Tkacik et al., 2014). Whether urban SOA is formed predominantly from vehicle emissions has been the subject of recent debate (Ensberg et al., 2014). The data are compared in Fig. 12b as 666 667 $SOA/\Delta CO$, where ambient POA and background OA have been subtracted from our reactor data to compare to Tkacik et al. (2014)'s SOA-only measurement. Since the tunnel data has not been 668 669 corrected for vapor losses in the reactor, we only show uncorrected CalNex reactor data for this comparison. 670

The tunnel experiment shows qualitatively similar results, with an initial increase to a peak of the same order, followed by a decrease in SOA/ Δ CO at high ages. The initial SOA rise and peak occur at higher OH_{exp} than observed in CalNex ambient data and in previous ambient urban studies, as well as our flow reactor data. The difference at low ages between the tunnel and the other studies may be due to several reasons: 676 (1) Possible OH_{exp} overestimation in the tunnel study. OH_{exp} in flow reactors can be reduced by 1-2 orders of magnitude by high levels of OH reactivity from high concentrations of very fresh 677 678 emissions, such as those present in the tunnel environment (Li et al., 2015; Peng et al., 2015). OH_{exp} was corrected for OH suppression in the tunnel study using laboratory experiments with NO 679 levels similar to the tunnel. However, the OH reactivity of NO_x is expected to decay much faster 680 681 than that of VOCs and their reaction products. Thus the OH suppression in the tunnel study was likely underestimated (Peng et al., 2015) as OH suppression from VOCs was not considered. Since 682 683 OH suppression is largest at low OH_{exp} that effect may account for the deviation observed at low 684 ages while having a much smaller effect on the tunnel data at high ages.

(2) There may be substantial losses of semivolatiles in the inlet of the tunnel study. In contrast, our 685 686 flow reactor was operated without an inlet to minimize the loss of semivolatiles, based on an 687 observation in a previous study of a substantial reduction in SOA formation when any inlet or an 688 inlet plate was used (Ortega et al., 2013). Since semivolatile primary species are larger molecules 689 with faster OH rate constants (Ziemann and Atkinson, 2012), that could explain the lack of SOA 690 formation at ages below a day, compared to the large amount of SOA formed for those ages in the 691 ambient CalNex observations (Hayes et al., 2013; 2015). However the fact that the magnitude of 692 eventual SOA formation is larger in the tunnel study argues against this possibility.

(3) It may appear at first that the tunnel SOA may have have been dominated by RO_2+NO_5 , compared to RO_2+HO_2 for our ambient air results, thus making the results less comparable. However, while the initial NO levels in the tunnel may be high, the lifetime of NO under the conditions of the OFR is typically very low (Li et al., 2015). O₃ levels in OFR185 are typically 1– 25 ppm, which result in NO lifetimes of 0.1–2 s. Since HO₂ levels are greatly enhanced by the reactor chemistry, the majority of the RO₂ radicals are still expected to react via $RO_2 + HO_2$ under the tunnel conditions, similar to our study. The model of Peng et al. (2015) was used to estimate the fraction of RO₂ reacting with NO vs. HO₂ for the tunnel study. At the point of peak SOA production we estimate that 81% of the RO₂ radicals are reacting with HO₂ and 19% with NO. Therefore the chemistry of the OFR in the tunnel study is proceeding mostly through the HO₂ channel, similar to our ambient study.

(4) A difference between the studies that may explain somewhat higher SOA formation in the tunnel study is the larger partitioning of semivolatile species, given the higher OA concentrations (~50 μ g m⁻³ in the tunnel vs ~15 μ g m⁻³ for our study). However this effect is estimated to be a factor of ~1.5 for the aromatic and alkane precursors that are thought to dominate SOA formation from vehicle emissions (Barsanti et al., 2013), and it reduces the difference observed here, thus further supporting our conclusions.

Thus it is most likely that the observed difference between the tunnel and our study is due to overestimation of OH_{exp} at lower ages in the tunnel study. We have used the model of Peng et al. (2015) to estimate the corrected OH_{exp} under the tunnel conditions. The corrected curve is also shown in Fig. 12b, and shows much improved agreement with our urban air observations.

A recent study examining the ambient SOA results from CalNex concluded that either vehicle emissions are not the dominant source of SOA in the LA Basin, or that the ambient SOA mass yields are much larger than what has been derived experimentally (Ensberg et al., 2014). Given the similar magnitude and timing (after correction for OH suppression) of SOA formation in the tunnel vs. ambient data and the fact that most urban CO arises from motor vehicles, the combination of both studies strongly suggests that motor vehicles are the dominant source of urban SOA, and that the SOA yields from vehicle emissions are much larger than estimated from

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measured VOCs as by Ensberg et al. (2014). The contribution of typically unmeasured S/IVOCs
to SOA may explain the missing vehicle SOA, as discussed in section 4.3.

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Summary and Conclusions

Real-time measurement of SOA formation and OA aging was carried out with a photochemical 724 725 oxidation flow reactor coupled to an AMS and SMPS during the CalNex field campaign and 726 targeted urban emissions. This work represents the first applications of an oxidation flow reactor 727 to investigate SOA formation from ambient urban air, to our knowledge. Continuous ambient air 728 sampling through the reactor provides complementary information to the analysis of ambient data 729 at the site, and provides constraints on the evolution of urban SOA at long ages that are very 730 difficult to observe with ambient measurements. Additionally, these uninterrupted reactor 731 measurements over a two-week period allowed for observations over a prolonged period of stagnant air accumulating urban emissions. 732

OA enhancement peaked between 0.8–6 days of atmospheric equivalent aging ($OH_{exp} = 1.0$ – 733 5.2×10^{11} molec. cm⁻³ s). Reactor OA mass showed net destruction decreasing below ambient 734 concentrations after two weeks of atmospheric equivalent aging (OH_{exp} above 2×10^{12} molec. cm⁻³ 735 736 s) suggesting a shift from chemistry dominated by functionalization/condensation to one dominated by heterogeneous oxidation leading to fragmentation/evaporation, but with 737 functionalization still occurring. Comparison to reactor experiments of heterogeneous oxidation 738 of ambient air shows similar trends to those observed for high ages in this study. High OA 739 enhancement was observed at night (ER_{OA} ~2, delta OA ~5 μ g/m³) with reactor-aged OA mass 740 peaking at concentrations similar to peak daytime ambient OA mass. Reactor-derived OA mass 741 enhancement correlates with 1,3,5-trimethylbenzene, and has an inverse relationship with O_x and 742

ambient OOA, suggesting that short-lived precursors ($\tau_{OH} \sim$ few hours) dominate SOA formation in the LA-Basin.

The chemical evolution of OA in the reactor was examined with a Van Krevelen diagram (H:C vs. O:C). Reactor-aged OA produces a similar slope (~ -0.65) to that observed in ambient OA, thus is consistent with the reactor producing similar functionalization to ambient oxidation. While total OA mass was observed to decrease at very high OH exposures, O:C continued to increase. Oxidation state of carbon peaked at high values (OS_C ~ 2 at highest OH_{exp}), similar to ambient observations of low volatility OA.

Modeling results indicate predicted maximum SOA from traditional models is a factor of 10 less 751 than the maximum OA mass enhancement observed from aging ambient air in the reactor, 752 consistent with previous comparisons using ambient data. Updated VBS-based models including 753 both VOC and S/IVOC overpredict SOA formation by a factor of 2–3 at intermediate to high ages. 754 If the IVOC emissions are reduced by a factor of 2 in the updated model to fit recent CalNex 755 observations, the discrepancy between model and observation is reduced but these models cannot 756 757 capture the reduction of SOA mass concentration that is observed with the OFR at longer OH exposures. 758

Evolution of the ratio of OA/ Δ CO vs. photochemical age shows the reactor produces results consistent with the ambient data. At ages beyond those reliably observed for ambient OA, the reactor observations show a leveling and then decrease in OA/ Δ CO. A fit of this data results in a timescale of SOA formation ~0.3 days and fragmentation-dominated heterogeneous oxidation and net mass loss with a timescale of ~50 days. The fit derived in this work may be useful in future studies, e.g. as a check on proposed model-parameterizations of urban SOA formation. Comparison to a similar reactor experiment aging vehicular emissions in a tunnel shows consistent results with our study, once a likely overestimation of OH_{exp} at low ages in the tunnel is taken into account. The combination of both studies strongly suggests that vehicle emissions do dominate urban SOA formation and their SOA formation potential is higher than when only VOCs are considered.

This study shows that oxidation flow reactors are useful tools as part of ambient field studies, as they allow real-time measurement of SOA formation potential and oxidation across a wide range of photochemical ages. These results help constrain SOA models not only for the growth phase of the SOA but also for the decay phase, when further aging removes SOA mass. Future studies should apply this technique in other cities and other environments such as forested regions and the outflow from polluted continents, in order to further constrain the SOA formation potential and timescales for different sources and regions.

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1119 Figure Captions

Figure 1: (a) Schematic of the oxidation flow reactor (OFR) coupled to an Aerodyne high-1120 1121 resolution time-of-flight aerosol mass spectrometer (AMS), scanning-mobility particle sizer (SMPS), and ozone (O₃) monitor. An ambient sampling line allowed for direct sampling of 1122 ambient air. Computer-controlled switching valves allowed for sampling in alternation from the 1123 reactor and ambient lines. Voltage supplied to UV lamps were varied via programmable computer 1124 1125 control to step through oxidant concentrations in the reactor. Ring flow was via a PTFE Teflon line and was used for gas-phase measurements. Center flow was a copper line that continuously 1126 pulled the sample for aerosol analysis. (b) Photograph of the reactor with a sun / rain cover and of 1127 the ambient aerosol inlet (right, covered by foil insulation) on the trailer roof during CalNex. (c) 1128 Photograph of the sampling site showing the different trailers and inlets. The OFR can be seen on 1129 1130 top of the leftmost trailer, next to the AMS and SMPS ambient inlets.

- **Figure 2:** A typical OFR sampling cycle, including four steps in lamp intensity in the reactor. Top: reactor oxidant concentrations. Bottom: OA concentration for ambient and reactor output sampling. The UV light intensity color scale corresponds to the sum of the AC voltages applied to the two lamps in the reactor. Only at the highest lamp setting are both lamps on, while at lower settings only one of the lamps is used.
- **Figure 3:** (a) Time series of reactor and ambient species mass concentrations during the sampling period. (b) Zoom on the time series of the species mass concentrations for one representative day. Daytime and nighttime are marked. (c) Average fraction contribution from organic, nitrate, sulfate, ammonium, and chloride to total AMS aerosol measurements for ambient and reactor (excluding dark reactor, "lights off" periods, i.e. periods are included only if $OH_{exp} > ambient$). The pie chart areas are proportional to the total mass concentrations.

- **Figure 4: (a)** Relative OA enhancement (ER_{OA} = reactor OA / ambient OA) vs.estimated
- 1143 reactor photochemical age for the sampling period. (b) Absolute OA mass concentration
- 1144 enhancement (ΔOA Mass = reactor OA ambient OA) versus photochemical age. The data has
- been averaged into 6% quantiles for day and night measurements, with vertical error bars
- 1146 indicating standard errors.
- **Figure 5:** Reactor OA mass enhancement vs. ambient O_x , (odd oxygen; O_3+NO_2) for all data in ~3.7 and ~23.5 day reactor age ranges during the sample period, colored by nighttime and
- 1149 daytime. Average for 10% quantiles are shown for ~3.7 days and ~23.5 days of photochemical
- 1150 age, with error bars indicating standard errors. Note that ambient O_x is not itself playing a role in
- 1151 reactor aging, but rather is a proxy for ambient photochemistry.
- **Figure 6:** Times series of benzene, 1,3,5-trimethylbenzene, ambient total oxygenated organic aerosol (OOA), reactor organic mass enhancement, and maximum reactor organic mass enhancement. Inset is a scatter plot of maximum reactor OA mass enhancement (for each OH_{exp} cycle) vs. ambient 1,3,5-trimethylbenzene, with a linear ODR regression fit.
- Figure 7: (a) Fractional contribution of m/z 44 (f44) vs. m/z 43 (f43) to OA for the ambient and 1156 reactor data in this work. The region of ambient observations from Ng et al. (2011b), and for 1157 reactor laboratory observations and oxalic acid from Lambe et al. (2011a) are shown. (b) Van 1158 Krevelen diagram for ambient and reactor measurements for the sampling period. 1159 1160 Functionalization slopes from Heald et al. (2010), and oxidation state from Kroll et al. (2011) are shown for reference. Elemental analysis has been calculated with the Improved-Ambient method 1161 1162 from Canagaratna et al. (2015). Reactor measurements are colored by total photochemical age in days (at $OH = 1.5 \times 10^6$ molec. cm⁻³) and ambient PMF-derived HOA, SV- and LV-OOA factors 1163 1164 are shown from Hayes et al. (2013).
- Figure 8: (a) Oxygen-to-carbon (O:C) and (b) hydrogen-to-carbon (H:C) elemental ratios for OA mass measured from the reactor vs. total photochemical age in days (at $OH = 1.5 \times 10^6$ molec. cm⁻ ³). Results using similar reactors for alkane oxidation from Lambe et al. (2012), and for aging of biomass burning smoke (Ortega et al., 2013) are also shown. (c) Average oxidation state (OS_C= 2O:C – H:C) vs. OH_{exp}. Data are colored by the relative organic enhancement (ER_{OA} = reactor OA / ambient OA).
- 1171 Figure 9: Ratio of OA to excess carbon monoxide (above background levels) vs. total
- 1172 photochemical age in days (at $OH = 1.5 \times 10^6$ molec. cm⁻³) for ambient and reactor data, with
- 1173 vertical error bars indicating standard errors. Also shown in the value for LA Basin outflow from
- 1174 aircraft measurements from the NOAA WP-3D during CalNex (Bahreini et al., 2012b). See
- 1175 Hayes et al. (2013) for a discussion of the determination of CO background levels. Averages for
- 1176 quantiles of ambient (7%), reactor (7%), reactor dark (25%, internal $OH_{exp}=0$) and reactor vapor
- 1177 loss-corrected (12%) data are shown. A fit to reactor data is also shown, including background
- (BG) SOA, and primary organic aerosol (POA; see text for details). Results from field studies in

- the northeastern US and Mexico City are shown in the background (DeCarlo et al., 2010). Note
- that the LVOC loss correction can only be applied when reactor output OA is larger than ambient
- 1181 OA, which reduces the number of datapoints.
- **Figure 10:** \mathbb{R}^2 between the concentrations of different VOCs and the maximum amount of SOA formation in the OFR, plotted vs. the reaction rate constant of each VOC with OH (*k*_{OH}).
- **Figure 11:** Comparison of reactor data with model results for evolution of $OA/\Delta CO$ vs. total
- 1185 photochemical age in days (at $OH = 1.5 \times 10^6$ molec. cm⁻³) with (a) traditional SOA formation
- 1186 model, high NO_x Robinson + Tsimpidi model from Hayes et al. (2015). Also shown is the
- summary of urban aged ratios from de Gouw and Jimenez (2009). (b) High NO_x Robinson +
- 1188 Tsimpidi model from Hayes et al. (2015) run with one-half IVOCs per the results of Zhao et al.
- 1189 (2014). (POA+BGSOA)/ Δ CO is 21 µg m⁻³ ppm⁻¹, which somewhat is higher than the value of 16
- 1190 $\mu g m^{-3} ppm^{-1}$ previously reported by Hayes et al. (2013).
- **Figure 12: (a)** Relative organic aerosol enhancement (ER_{OA}) from all reactor data in this study
- (including 6% quantiles), with vertical error bars indicating standard errors, and from a
- 1193 heterogeneous oxidation study (George and Abbatt, 2010) plotted vs. total photochemical age in
- 1194 days (at $OH = 1.5 \times 10^6$ molec. cm⁻³). (b) SOA/ ΔCO vs. photochemical age for our study and for
- aging of vehicle exhaust with a similar reactor at a tunnel near Pittsburgh, PA (Tkacik et al.,
- 1196 2014). Results from field studies in the northeastern US and Mexico City are shown in the
- 1197 background (DeCarlo et al., 2010).

1198

Figure 1.







Figure 3.



Figure 4.





Figure 5.



Figure 7.







Figure 9.



Figure 10.



Figure 11.



