



Adsorption theory of heterogeneous nucleation of water vapour

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An adsorption theory of heterogeneous nucleation of water vapour on nanoparticles

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Abstract

Heterogeneous nucleation of water vapour on insoluble nuclei is a phenomenon that can induce atmospheric water and ice cloud formation. However, modelling of the phenomenon is hampered by the fact that the predictive capability of the classical heterogeneous nucleation theory is rather poor. A reliable theoretical description of the influence of different types of water-insoluble nuclei in triggering the water condensation or ice deposition would help to decrease uncertainty in large scale model simulations. In this paper we extend a recently formulated adsorption theory of heterogeneous nucleation to be applicable to highly curved surfaces, and test the theory against laboratory data for water vapour nucleation on silica, titanium dioxide and silver oxide nanoparticles. We show that unlike the classical heterogeneous nucleation theory, the new theory is able to quantitatively predict the experimental results.

1 Introduction

Heterogeneous nucleation of vapours on solid and liquid surfaces is a phenomenon encountered in many natural and industrial systems. For example, cirrus cloud formation by ice deposited from the vapour phase on mineral or other water insoluble aerosols is a climatically important phenomenon initiated by heterogeneous nucleation. Despite of decades of research, the classical heterogeneous nucleation theory (CHNT) developed by Fletcher (1958) and its variants that include effects from line tension (Lazaridis, 1993) and transport of adsorbed molecules to the nucleating clusters via surface diffusion (Lee et al., 1998) have been the only available tools for trying to predict the onset of heterogeneous nucleation at given vapor supersaturation and temperature. Unfortunately, the predictions of CHNT fail badly in most cases (Mahata and Alofs, 1975; van der Hage, 1983; Porstendörfer et al., 1985; Chen and Tao, 2000). Molecular level simulations (Zhou et al., 2012; Lupi et al., 2014; Zielke et al., 2015) are becoming a useful tool for understanding the phenomenon; however, they are not a practical

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alternative when heterogeneous nucleation needs to be predicted within a heavily expensive computing environment such as a global climate model. The purpose of this paper is to show that a recently developed adsorption theory of heterogeneous nucleation (Laaksonen, 2015) modified to account for highly curved substrates is able to quantitatively predict the nucleation of water vapour on different types of nanoparticles.

2 Theory

The basic assumption behind the new theory is that vapour adsorption on surfaces takes place via formation of molecular clusters around so called active sites. These clusters are modelled as spherical caps of liquid drops, characterized by a contact angle Θ . As the saturation ratio S of the vapour increases, the droplets grow in equilibrium with the vapour. The equilibrium condition for a droplet is modelled using the Frenkel–Halsey–Hill (FHH) theory of multilayer adsorption (Frenkel, 1946; Halsey, 1948; Hill, 1949), modified by the Kelvin equation that accounts for the influence of the droplet curvature on its vapour pressure. Mathematically, the equilibrium condition is given as

$$\ln(S) = -\frac{A}{N_d^B} + \frac{2\gamma v}{kTR}, \quad (1)$$

where A and B are FHH-parameters that can be determined by conventional adsorption measurements, γ is surface tension, v is volume of the adsorbed molecule (taken to equal liquid-phase molecular volume), k is the Boltzmann constant, T is temperature, and R is radius of the spherical liquid cap. The number of monolayers of water in the droplet is given by $N_d = \delta/(v/\sigma)$ with δ denoting the distance between the substrate and the droplet surface, and σ is the cross-sectional area of an adsorbed water molecule having a volume v . Because δ is not constant in the case of a droplet on a substrate, an average over N_d^B needs to be taken in Eq. (1), as denoted by the overbar. Previously (Laaksonen, 2015), a relation between N_d and R was given in the case

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of a flat surface, where the approximation $\overline{N_d^B} \approx \overline{N_d}^B$ was made in order to derive analytical expressions. When the values of adsorption parameters are being determined based on experimental adsorption data (see below), this approximation is necessary as the macroscopic surface coverage N can only be related to $\overline{N_d}$, but with nucleation calculations it can be avoided. Note that Already van der Hage (1983) presented an equation similar to Eq. (1), but without defining the mathematical form of the adsorption term, while in his 1984 paper only a case with a uniform adsorbed layer was considered.

With a spherical seed particle, the situation is as shown in Fig. 1. The average over δ^B is given by

$$\overline{\delta^B} = [1 - \cos \Phi]^{-1} \int_0^\Theta \delta^B \sin \alpha d\alpha \quad (2)$$

with

$$\delta = -R_p + R \cos \beta \sqrt{(R \cos \beta)^2 - R^2 + d^2} \quad (3)$$

$$d = \sqrt{R_p^2 + R^2 - 2R_p R \cos \Theta} \quad (4)$$

$$\cos \Phi = (R_p - R \cos \Theta) / d \quad (5)$$

$$\cos \beta = (R_p + \delta - d \cos \alpha) / R. \quad (6)$$

With highly curved surfaces the monolayer thickness is not the same as with flat surfaces. A monolayer thick slab covering an area $2\pi R_p^2(1 - \cos \Phi)$ of the seed particle surface, with its edges forming a right angle with the surface, has a volume $(2\pi/3)[(R_p + \delta_M)^3(1 - \cos \Phi) - R_p^3]$, and thus the monolayer thickness is

$$\delta_M = \left(R_p^3 + 3R_p^2 v / \sigma \right)^{1/3} - R_p. \quad (7)$$

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It is now possible to compute S as a function of R using Eq. (1) with $\overline{N}_d^B = \overline{\delta^B} / \delta_M^B$ evaluated numerically from Eqs. (2)–(6). The curve shows a maximum at the critical supersaturation S^* (and critical radius R^*), marking the onset of heterogeneous nucleation. (Alternatively, if one makes the approximation $\overline{N}_d^B \approx \overline{N}_d^B$, the critical supersaturation can be computed from an equation derived in the Appendix. According to our calculations, the resulting error is minor at least for B values up to 3.)

As was pointed out in Laaksonen (2015), nucleation can also take place via coalescence of growing clusters. That is, if the distance between active sites s is sufficiently small, the surface of the nanoparticle may be filled with clusters that coalesce into a uniform liquid film already before they have reached their critical sizes. However, the coalescence does not necessarily lead to immediate nucleation. The FHH activation theory (Sorjamaa and Laaksonen, 2007) can be used to calculate the critical supersaturation S_c of a nanoparticle in the case of zero contact angle, i.e. in a situation where a uniform liquid film is growing on the nanoparticle. Nucleation is immediate if the coalescence takes place above S_c , but otherwise it is delayed until S_c is reached. Thus, there are three different ways for the nucleation to take place, which we call cluster nucleation (nucleation of single clusters reaching their critical sizes, taking place at S^*), coalescence nucleation (taking place between S_c and S^*), and uniform film nucleation (taking place at S_c).

In order to connect the theory to adsorption experiments (from which the adsorption parameter values can be obtained), a relation is needed between the droplet size and the macroscopically observable surface coverage, N . The basic assumption of the theory is that all droplets on the substrate have the same radius, and their average distance is s . The surface coverage (on a flat substrate) is $N = V/V_m$, where the V 's denote volumes of the adsorbed layer and a monolayer, respectively. Making the

to obtain values given in Table 1. (Experimental noise in the data made it impossible to fit all three parameters simultaneously while reproducing the observed isotherm.)

3.2 Heterogeneous nucleation

Figure 4 shows experimental (Chen et al., 1998; Chen and Tao, 2000; Chen and Cheng, 2007) and theoretical results of water vapour nucleation on silica nanoparticles. We determined critical supersaturations for both the cluster and uniform film nucleation mechanisms using the new theory. The coalescence of the clusters takes place well below the uniform film nucleation limit (in fact, at subsaturation), and so does not lead to immediate nucleation. Both curves fit the experimental points very well except at the smallest particle sizes. In contrast, the classical theory overestimates the onset supersaturations grossly. Whether the measured size dependence at $D_p < 20$ nm is real or to some degree an experimental artefact remains somewhat unclear. For example, increasing non-sphericity of the aerosol particles at sizes below 20 nm would cause the particles to be classified larger than they are in reality, and could thereby create an artificially strong size dependence.

Figure 5 shows experimental (Chen and Tao, 2000) and theoretical results of water vapour nucleation on titanium dioxide nanoparticles. Again, the cluster coalescence takes place already at subsaturation. Although the new theory predicts somewhat too high onset supersaturations, they are still in much better accord with the experiments than the classical theory. As with silicon dioxide, the difference between the uniform film and cluster nucleation predictions is not very large (note the different y scales in Figs. 4 and 5).

Nucleation of water on silver oxide particles is shown in Fig. 6. In this case, the new theory predicts coalescence nucleation to take place clearly above the uniform film nucleation line. The coalescence nucleation prediction is below the experimental results, however, not much below the uncertainty limits. Interestingly, the size dependence of the coalescence nucleation is stronger and better in line with the experiments than that of the cluster nucleation. The prediction of the classical theory is once again much

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above the experiments, and even though the size dependence of CHNT appears better than that of the new theory (cluster nucleation), it in fact is quite similar which can be seen by lowering the contact angle so that the CHNT curve drops close to the cluster nucleation curve in Fig. 6 (not shown).

5 Comparison of the experimental and theoretical results in Figs. 4–6 leads to some interesting conclusions. First of all, at relatively low contact angles, the critical supersaturations for cluster nucleation and uniform film nucleation are very close, and therefore, the simpler uniform film nucleation theory (Sorjamaa and Laaksonen, 2007) can quite safely be used e.g. in atmospheric calculations of water nucleation on clay minerals
10 (whose contact angles are just in the same range as those of silicon and titanium dioxides). Furthermore, adsorption data indicates that water forms multilayer films on both of these materials at saturation ratios well below unity, and this fact supports the theoretical result that the cluster coalescence on the nanoparticles occurs at a saturation ratios below the critical supersaturation of uniform film nucleation. It is thus very likely
15 that the uniform film nucleation is actually occurring in the cases of SiO_2 and TiO_2 .

In the case of Ag_2O , there is of course considerable uncertainty about the actual contact angle value as we cannot be certain that the surface of the silver used in the contact angle experiment was oxidized. However, the adsorption data (Kuroda et al., 1997) shows no indication of a multilayer film formation (which should be observable
20 in the FHH plot of Fig. 3a) as a quite abrupt change of slope, see Laaksonen (2005), although the macroscopic film thickness (see Fig. 3b) reaches almost 2.5 monolayers. This is possible only if the adsorbed clusters have quite large contact angles. Moreover, the value of the distance between active sites s determined from the adsorption data will to some extent compensate for the possible error in the contact angle value.
25 For example, if we have assumed a too large Θ , the value of s determined from the adsorption data will be an underestimate compared to reality. These errors will approximately cancel out when either the critical supersaturation of cluster nucleation or that of the coalescence nucleation is calculated. (Note, however, that this holds only for some

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range of Θ and s , as fitting Eq. (8) (or 9) to the adsorption data becomes impossible if the error in the contact angle is too large, usually a few tens of degrees or more.)

An interesting question relates to the correspondence of the materials used in the adsorption measurements to those applied in the nucleation experiments. The most obvious case in point is the silver nanoparticles, as we cannot really be sure whether their surfaces were oxidized, and to what degree. If they were only partially oxidized (or not at all), then the adsorption parameters we have used are to some degree erroneous, which could explain the discrepancy between the theoretical and experimental results. With titanium dioxide there is some uncertainty as well. The TiO_2 nanoparticles used in the nucleation experiments have been analysed with x-ray diffractometry, and found to be anatase-type (Chen et al., 1999). In contrast, the adsorption data taken from Every et al. (1961) represents a “typical curve” of water adsorption on TiO_2 , and since they used both anatase and rutile types of adsorbent samples, it is somewhat difficult to know how well the adsorption parameters determined based on their data actually correspond to those of pure anatase. Regarding the adsorption properties of silica, it is well known that high-temperature treatment removes hydroxyl groups that provide adsorption sites for water molecules and thus makes silica a less effective adsorbent for water. The silica used in the adsorption measurements of Naono et al. (1994) was of a grade treated at 800°C . On the other hand, the silica nanoparticles used in the nucleation experiments were produced by two different techniques: oxidation of SiCl_4 at 1000°C (Chen et al., 1997), and thermal decomposition of silicon tetraethoxide at 800°C (Chen and Tao, 2000; Chen et al., 2007). Apparently, the adsorption parameters determined from the data of Naono et al. (1994) are appropriate for these aerosols.

An obvious application for the new theory is atmospheric ice nucleation via the condensation and deposition mechanisms. It would therefore be interesting to study how well the theory captures the measured temperature dependence of heterogeneous nucleation. The temperature dependence of water vapour nucleating on 40 nm TiO_2 particles was measured by Chen and Tao (2000). They showed the experimental temperature dependence to be stronger than that predicted by the CHNT, and our calculations

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(not shown) reveal the same to be true with the new theory. However, the temperature dependences of the adsorption parameters are unknown (they are in principle straightforward to determine, but adsorption measurements at two different temperatures are required), so that nothing conclusive can be said based on our calculations. Regarding silver nanoparticles, Kupc et al. (2013) showed experimentally an anomalous temperature dependence for water vapour nucleation: decreasing critical supersaturation with decreasing temperatures between 278 and 262 K (usually the temperature dependence of S^* is monotonously increasing as T decreases). Very interestingly, Kuroda et al. (1997) have made adsorption measurements as a function of temperature at exactly the same interval, and their data appears to have some unusual features (which the authors attribute to a continuous phase change in the adsorbed layer at around 278 K). Unfortunately they made measurements for four constant surface coverages only, which makes it somewhat challenging to tease the actual temperature dependences of the adsorption parameters out of their data. This exercise will therefore be left to the future.

4 Conclusions

A new theory of heterogeneous nucleation on nanoparticles was derived, based on a combination of the FHH adsorption equation and the Kelvin equation. It was assumed that the nucleating clusters can be represented as spherical caps that have a contact angle Θ with the nanoparticle surface, and reside on active sites located at a distance s apart. It was pointed out that the new theory leads to three different nucleation mechanisms, which were named cluster nucleation, coalescence nucleation, and uniform film nucleation. In the first mechanism, individual clusters grow past their critical sizes, and start collecting vapour by spontaneous condensation. In the second mechanism, growing clusters fill the nanoparticle surface at a saturation ratio lower than the critical S for cluster nucleation, which again leads to spontaneous growth. In the third mechanism, cluster coalescence also takes place, but at so low saturation

ratio that activation to growth is not possible before the S is increased to the critical supersaturation predicted by the FHH activation theory (Sorjamaa and Laaksonen, 2007) which assumes a zero contact angle.

The new theory was tested for water nucleation on three different nanoparticle types (SiO₂, TiO₂, and Ag₂O particles). The FHH adsorption parameters and distances between active sites were determined using adsorption isotherms found in the literature, and the contact angles were obtained from the experimental nucleation papers. With silicon and titanium dioxides, the critical supersaturations calculated with the cluster nucleation and uniform film nucleation mechanisms are very close; nevertheless, it is likely that with these particles nucleation occurs via uniform film nucleation. With SiO₂ the theoretical critical supersaturations are in excellent agreement with the experimental results except at the smallest particle sizes ($D_p < 20$ nm). With TiO₂ the new theory predicts slightly too high critical supersaturations, possibly influenced by somewhat inaccurate adsorption parameters. Unlike the new theory, CHNT predicts much too high critical supersaturations for both silicon and titanium dioxide.

In the case of silver oxide, there is some uncertainty to the degree of oxidation of the surfaces of both the silver particles used in the nucleation experiments, and of the silver used in the contact angle experiment. Nevertheless, with the assumption that in both cases the surfaces were fully oxidized, the theoretical prediction is in very good agreement with the experiments. Interestingly, the size dependence of the coalescence nucleation is stronger and better in accordance with the experimental observations than that of the cluster nucleation mechanism. As with the two other substances, CHNT overestimates the critical supersaturations of the Ag₂O nanoparticles quite badly.

In the future, we aim to test the temperature dependence of the new theory, and extend the calculations to atmospherically relevant particle types.

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Appendix: Derivation of $\bar{\delta}$

As mentioned in the text, in order to connect the derived theory into experimental adsorption data, the assumption $\overline{N_d^B} \approx \overline{N_d^{-B}} = (\bar{\delta}/\delta_M)^B$ is needed. For $\bar{\delta}$ we can derive an analytic expression, which is given here for cases with $\Theta \leq 90^\circ$.

To help integration, we switch to a new radial coordinate system with primed variables (complementary angles) depicted in Fig. A1. From the two triangles we get two cosine laws, one for d (Eq. 4) and another containing δ ,

$$\begin{aligned} R^2 &= d^2 + (R_p + \delta(\alpha'))^2 - 2d(R_p + \delta(\alpha'))\cos(\pi/2 - \alpha') \\ &= R^2 + 2R_p^2 - 2RR_p\cos\Theta + 2R_p\delta(\alpha') + \delta(\alpha')^2 - 2dR_p\sin\alpha' - 2d\delta\sin\alpha'. \end{aligned} \quad (\text{A1})$$

Assuming now that $\Theta \leq \pi/2$, we get from Eq. (A1) $\Phi' = \arcsin(R_p - R\cos\Theta)/d$, and also

$$\delta(\alpha') = d\sin\alpha' - R_p + \left(d^2\sin^2\alpha' + 2RR_p\cos\Theta - R_p^2\right)^{1/2}. \quad (\text{A2})$$

To obtain the proper average, we need to weight $\delta(\alpha')$ with the surface area of the spherical segment between angles α' and $\alpha' + d\alpha'$, i.e. $dA = \pi R_p^2 \cos\alpha' d\alpha'$ (shaded area in Fig. A1), and integrate from Φ' to $\pi - \Phi'$, or, exploiting the symmetry, from Φ' to $\pi/2$:

$$\begin{aligned} \bar{\delta} &= \frac{\int_{\Phi'}^{\pi/2} \delta(\alpha') dA(\alpha')}{\int_{\Phi'}^{\pi/2} dA(\alpha')} = \frac{\int_{\Phi'}^{\pi/2} \left[d\sin\alpha' - R_p + \left(d^2\sin^2\alpha' + 2RR_p\cos\Theta - R_p^2\right)^{1/2} \right] \cos\alpha' d\alpha'}{\int_{\Phi'}^{\pi/2} \cos\alpha' d\alpha'} \\ &= \frac{d \int_{\Phi'}^{\pi/2} \sin 2\alpha' d\alpha'}{2 \int_{\Phi'}^{\pi/2} \cos\alpha' d\alpha'} - R_p + \frac{\int_{\Phi'}^{\pi/2} \left(d^2\sin^2\alpha' + 2RR_p\cos\Theta - R_p^2\right)^{1/2} \cos\alpha' d\alpha'}{\int_{\Phi'}^{\pi/2} \cos\alpha' d\alpha'} \end{aligned} \quad (\text{A3})$$

The first two terms are trivial, and the nominator of the third one can be integrated in parts, resulting in

$$\bar{\delta} = \frac{d}{2} (1 + \sin \Phi') - R_p + \frac{R - \left(d^2 \sin^2 \Phi' + 2RR_p \cos \Theta - R_p^2 \right)^{\frac{1}{2}}}{2(1 - \sin \Phi')} + \frac{2RR_p \cos \Theta - R_p^2}{2d(1 - \sin \Phi')} \ln \frac{R + d}{\left(d^2 \sin^2 \Phi' + 2RR_p \cos \Theta - R_p^2 \right)^{\frac{1}{2}} + d \sin \Phi'}. \quad (\text{A4})$$

5 Substituting $\Phi' = \arcsin(R_p - R \cos \Theta)/d$ back to Eq. (A4) we get after some algebra

$$\bar{\delta} = \frac{R^2 \sin^2 \Theta + R_p^2 \{ 2 - \ln [(R + d)/R_p] \} + d [R(1 - \cos \Theta) - 2R_p]}{2(d + R \cos \Theta - R_p)} \quad (\text{A5})$$

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Table 1. Experimental parameters used for the three systems. A , B , and s were obtained by fitting to the adsorption data obtained from Ref.

System	Ref.	T (K)	A	B	Θ (°)	s (nm)	σ (Å ²) ^a	γ (Nm ⁻¹)
H ₂ O-SiO ₂	^b	303	1.88	1.54	20 ^c	1.61	31.6	0.071
H ₂ O-TiO ₂	^d	298	6.68	3.44	16 ^c	10.5	10.3	0.072
H ₂ O-Ag ₂ O	^e	298	14.88	2.09	90 ^f	1.09	19.0	0.072

^a The cross-sectional areas were obtained from the experimental references; ^b Naono et al. (1994);

^c Chen and Tao (2000); ^d Every et al. (1961); ^e Kuroda et al. (1997); ^f Wagner et al. (2003).

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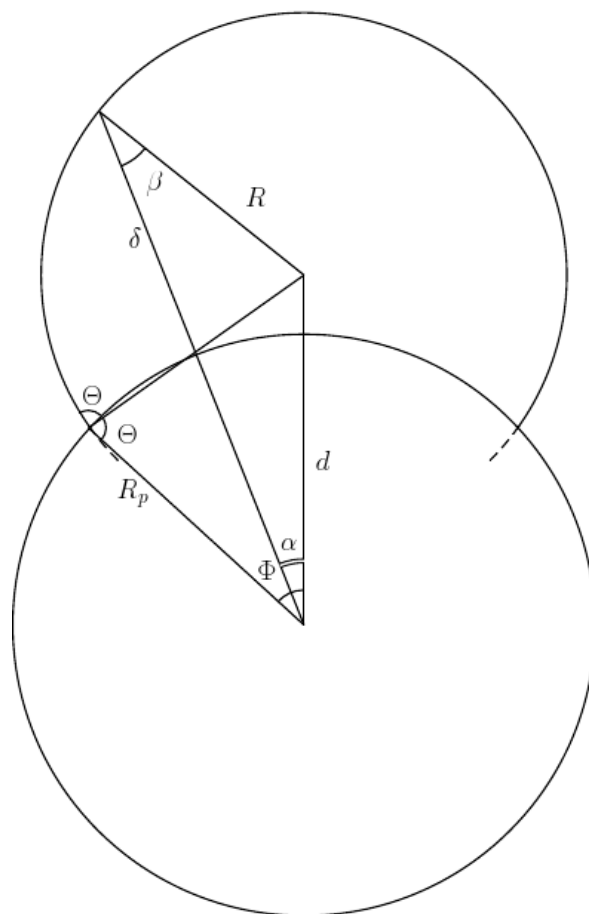
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Figure 1. A schematic of a droplet (radius R) on a nanoparticle (radius R_p). The contact angle is Θ .

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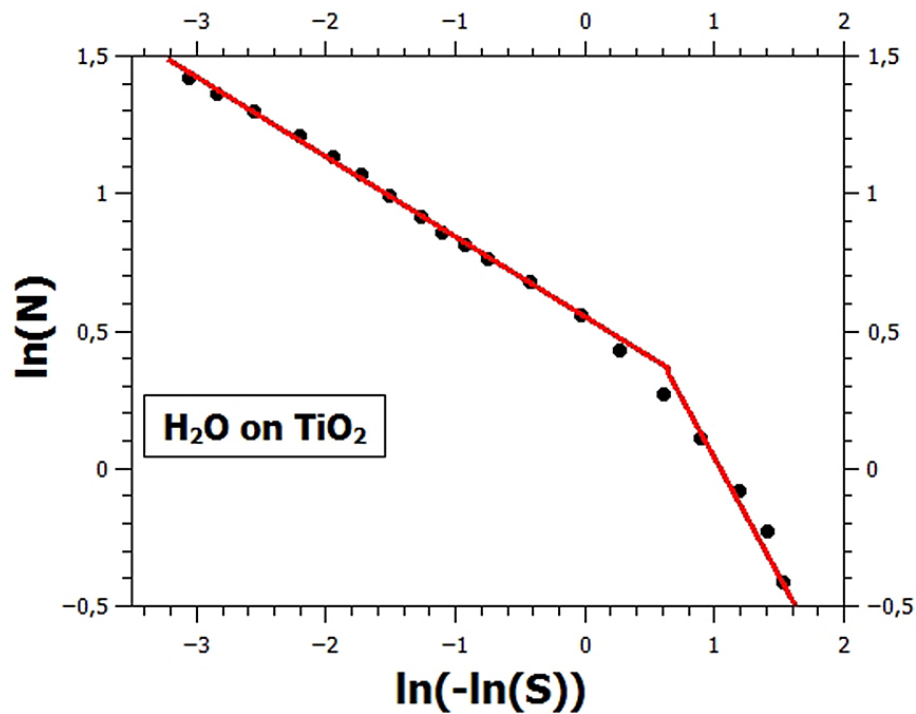
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Figure 2. Data of water vapour adsorption on TiO₂ (black spheres) (Every et al., 1961) and model fit (red line).

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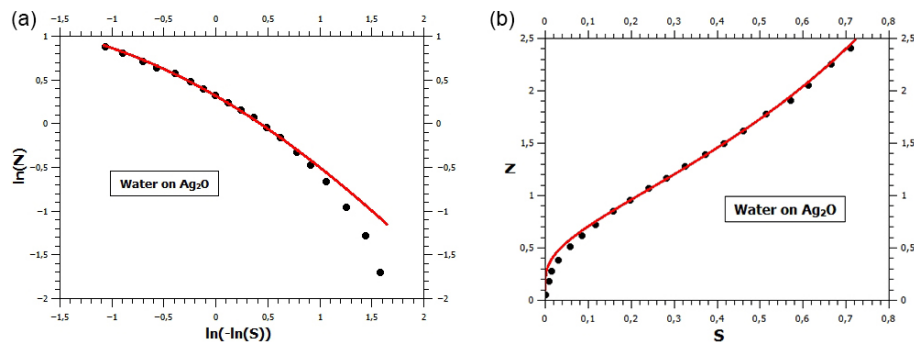


Figure 3. Adsorption of water on silver oxide shown in an FHH-plot (a) and on linear scales (b). The data (black spheres) have been measured by Kuroda et al. (1997), and the line is calculated using Eq. (8) with the A , B , and s – parameters optimized using non-linear least squares as described in the text.

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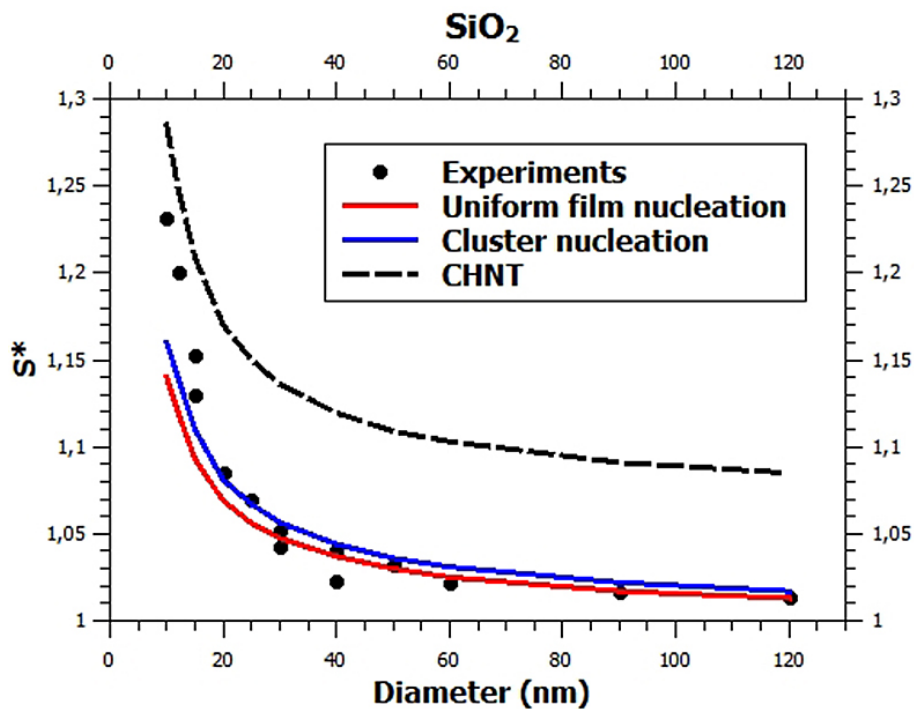


Figure 4. Nucleation of water vapour on silicon dioxide nanoparticles.

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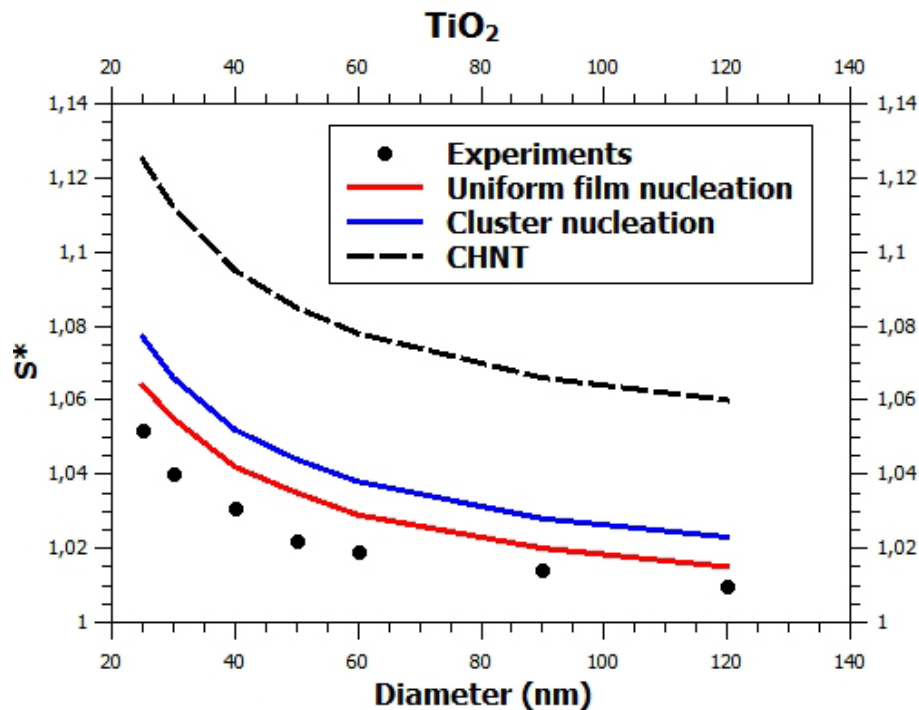
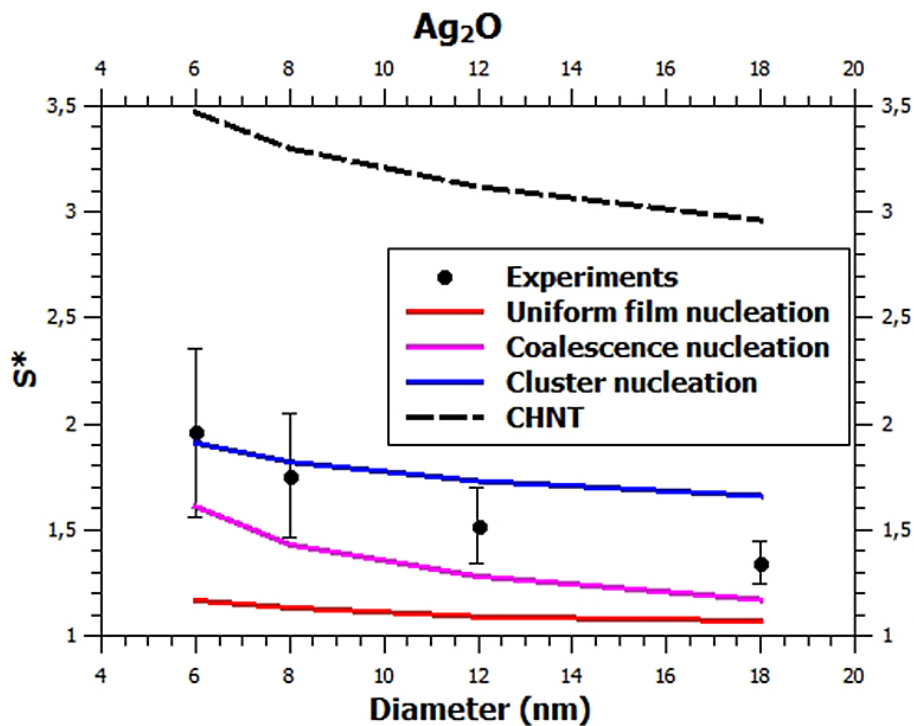
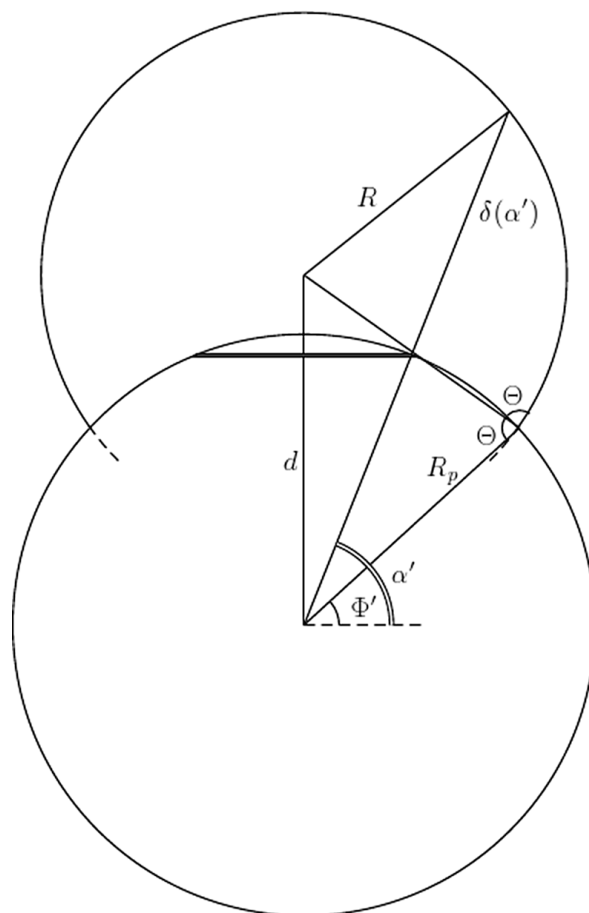


Figure 5. Nucleation of water vapour on titanium dioxide nanoparticles.

Adsorption theory of heterogeneous nucleation of water vapourA. Laaksonen and
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J. Malila**Figure A1.** Geometry of the problem revisited.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)