## *Referee #1* General comments:

In this study the seasonal variation of size distribution, mass, and chemical composition of ultrafine and submicron particles is investigated at an urban site in East Asia. Authors found seasonal differences in the particle size distributions: in summer Aitken and accumulation mode particle concentrations were higher than in other seasons, while the concentration of nucleation mode particles was lower. In addition, the mass concentration of ultrafine particles was observed to be highest in summer, and they were composed mostly of organic carbon. Sub-micron particles had the highest mass concentration in spring, and their major constituent was sulfate. Furthermore, new particle formation events were observed at the site during spring and summer.

I believe that this study is scientifically relevant and can be published in ACP after revisions. Authors should present some results on the diurnal variation of particle size distribution in different seasons. In addition, the structure of the "Results and discussion" section should be changed so that the paragraph on NPF events is not in the end of the section, and Table S3 should be moved to the main text. Furthermore, authors should show how high sulfuric acid proxy and low PM10 favor particle formation with some additional figures. The language of the manuscript should also be corrected (for example the tense of verbs should be checked). Moreover, many of the figures are difficult to read because of too small size/or low quality. More specific comments are presented below.

## **Response to General comments**

Thank you for the comments and suggestions by the referee. The comments on the structure of manuscript, and the favor condition of NPF events have been revised. Figures in the manuscript have been revised to make it clearer and easier to read. The use of language of the manuscript has been modified. The responses for specific and technical comments have been listed below:

#### **Specific comments**

## Comment #1

The title of the manuscript should include the information on the measurement site. Response #1

The title has been revised to "Seasonal variations of ultrafine and sub-micron aerosols in Taipei, Taiwan: implications for particle formation processes in urban areas"

Page 21805, line 13: Newer references should be added here.

#### Response #2

New references have been added, which are as follows:

Kulmala, M., Petäjä, T., Nieminen, T., Sipilä, M., Manninen, H.E., Lehtipalo, K., Dal Maso, M., Aalto, P.P., Junninen, H., Paasonen, P., Riipinen, I., Lehtinen, K.E., Laaksonen, A. and Kerminen, V.-M. (2012). Measurement of the nucleation of atmospheric aerosol particles. Nature Protocols, 7, 1651-1667.

### Comment #3

Page 21805, line 16: Here it would be good refer to some of the review papers on particle formation.

### Response #3

The cited references in this part have been replaced by two review papers below:

Kulmala, M., Vehkamäki, H., Petäjä, T., Dal Maso, M., Lauri, A., Kerminen, V.-M., Birmili, W. and McMurry, P.H. (2004). Formation and growth rates of ultrafine atmospheric particles: a review of observations. Journal of Aerosol Science, 35, 143-176, 2004.

Holmes, N.S. (2007). A review of particle formation events and growth in the atmosphere in the various environments and discussion of mechanistic implications. Atmospheric Environment, 41, 2183-2201.

#### Comment #4

Page 21806, line 6: The meaning of the sentence starting with "In a subtropical urban area…" is not clear for me.

#### **Response #4**

Page 21806, line 6: The sentence of "In a subtropical..." has been revised to "In Taipei, Taiwan, a subtropical urban area, Cheung et al. (2013) observed that there were a ten-fold increase in nucleation mode particle number concentrations (N<sub>9-25</sub>, with size 9 < d < 25nm) during new particle formation events compared to that contributed by the vehicle emission."

#### Comment #5

Page 21807, line 20: Instead of "electrostatic mobility" a term "electric mobility" is more commonly used.

#### Response #5

Page 21807, line 20: The term "electrostatic mobility" has been revised to "*electric mobility*".

Page 21809, line 24: More details about trajectory calculations should be added. It is not clear if trajectories were calculated for each hour of the measurement period or less frequently. The arrival height of the trajectories should also be mentioned.

### **Response #6**

The detail information about the trajectory calculation has been added.

Page 21809, line 20: The following sentence has been inserted after the first sentence. "72-h back trajectories were calculated twice per day at 00:00LT and 12:00LT with height setting of 200 m above ground level.".

### Comment #7

Page 21809, line 25: This paragraph should be moved to "Results and discussion" section.

### Response #7

This paragraph has been combined to Section 3.1 of "Results and discussion".

### Comment #8

Page 21810, line 22: Authors should explain more what is observed in Fig. 2 (how the size, surface and volume distribution change in different seasons).

#### **Response #8**

One paragraph added:

"In addition, the fitted GMDs of surface distribution were found to be 77.4 and 293nm for autumn, 22.1, 68.9 and 228 nm for winter, 77.4 and 253 nm for spring, and 12.9 and 268 nm for summer, respectively (not shown in the figures). In winter and summer seasons, one of the fitted surface GMDs was located at nucleation mode, showing the significant contribution of nucleation mode particles in these two seasons. Bimodal volume distribution was obtained for all seasons where the fitted volume GMDs were 96.3 and 372nm for autumn, 71.8 and 275 nm for winter, 99.5 and 339 nm for spring, and 99.5 and 237 nm for summer, respectively. The GMD of first volume mode was relatively stable in each season (i.e. 71.8-99.5 nm), but smaller GMD (237 nm) for the second volume mode was observed in summer. The results implied that a higher fraction of particles could have evolved from smaller size range (i.e. nucleation and Aitken modes) into accumulation mode, which coincided with our observation that NPF events occurred mostly in summer (see Section 3.4). Furthermore, this seasonal variability agrees with our previous findings that the growth rate of newly formed particles was correlated with the photolysis of ozone, an indicator of photochemical activity (Cheung et al., 2013). The causes responsible for the observed seasonal variations in PNCs will be detailed in the following sections."

Page 21811, line 1: Authors should refer here to the Table 1 where the ratios of concentration in different modes are presented, and also mention in the text what was the ratio for other seasons than summer.

#### **Response #9**

Page 21811, line 1: The corresponding discussion has been refereed to Table 1, and the ratio of  $N_{4-25}/N_{4-736}$  for other seasons have been mentioned. The sentence of "It was revealed..." has been revised to "It was revealed that the nucleation mode particles were predominant in the PNCs during autumn, winter and spring in the study area, whereas a distinct size distribution pattern was observed in summertime. In summer, the fraction of nucleation ( $N_{4-25} / N_{4-736}$ ) decreased to 0.44 (see Table 1) and the Aitken mode PNCs increased to be comparable to that of the nucleation mode, whereas the  $N_{4-25} / N_{4-736}$  ratios for other seasons ranged from 0.56 to 0.77 (see Table 1)".

#### Comment #10

Page 21811, line 6: It is not entirely clear what the authors mean by writing "a large number of nucleation mode particles could have been shifted into the Aitken and/or accumulation modes". This should be explained in a more clear way.

#### **Response #10**

The confusing statement was removed and the paragraph was revised.

"It was revealed that the nucleation mode particles were predominant in the PNCs during autumn, winter and spring in the study area, whereas a distinct size distribution pattern was observed in summertime. In summer, the fraction of nucleation ( $N_{4.25} / N_{4.736}$ ) decreased to 0.44 (see Table 1) and the Aitken mode PNCs increased to be comparable to that of the nucleation mode, whereas the  $N_{4.25} / N_{4.736}$  ratios for other seasons ranged from 0.56 to 0.77 (see Table 1). Observation from another aspect is that the PNC of nucleation mode ( $N_{4.25}$ ) peaked in winter and reached a minimum in summer, whereas the PNCs of Aitken mode ( $N_{25-100}$ ) and accumulation mode ( $N_{100-736}$ ) reached their maxima in summertime. The changes in the size distribution in summer season were most likely due to the seasonally enhanced photochemical production of condensable vapors that, in turn, contributed to the growth of aerosol particles in the atmosphere."

#### Comment #11

Page 21811, line 20: If there are some previous studies where the mass of ultrafine particles has been measured in Asia, or in other conditions similar to the measurement site of this study, authors should refer also to those.

#### **Response #11**

The measurement of ambient mass concentration and chemical composition of UFPs is limited in Asia, although there were some studies measuring the UFPs mass concentration at roadside and tunnel. The only similar study reported was conducted in Taiwan which collected UFPs samples during May to November, but no seasonal mean value was reported in the study. Thus, we are unable to compare our data with other Asian data in the manuscript.

#### Comment #12

Page 21812, line 5: This sentence should be clarified, as it is rather difficult to understand.

#### Response #12

The reported organic carbon (OC) concentration as presented in this study, was not converted into organic matter (OM) which takes into account of hydrogen and oxygen of the organic compounds. Usually aged aerosols associated with higher oxygen and hydrogen in atmosphere, and thus characterized by a higher OM/OC ratio, while fresh aerosols have a lower OM/OC ratio. Thus, different conversion ratios, i.e. 1.2-1.6, have been applied to calculate OM by the OC depending on the environmental settings (i.e. rural or urban). Therefore if we assume all undefined portion is equivalent to the portion of OM after subtracting the OC fraction, a larger undefined portion of UFPs than PM1 suggested that the OM/OC ratio in UFPs was higher than that in PM1. This result implied that UFPs and PM<sub>1</sub> could be formed by different mechanisms. Corresponding discussion has been made in Section 3.2, Paragraph 2.

Page 21812, line 5: The sentence has been revised as "Thus a substantial amount of UFPs remained unidentified, which likely include hydrogen and oxygen associated with organic carbon (OC)."

#### Comment #13

Page 21812, line 16: This sentence should be revised as the annual average of PM1 is not actually presented in Fig. 3b.

#### Response #13

Page 21812, line 16: The first sentence has been revised as "As shown in Fig. 3b, average  $PM_1$  was estimated to be 14.7 µg m<sup>-3</sup> (seasonal means: 11.6-18.5 µg m<sup>-3</sup>) in this study, which was similar to the results of a previous study in urban Taipei (average: 14.0 µg m<sup>-3</sup>, Li et al., 2010)."

Page 21813, line 19: Authors should refer here to Fig. 1 presenting the trajectories for each season.

### Response #14

Page 21813, line 19: This sentence has been referred to Fig. 1.

"The seasonal characteristics of  $PM_1$  concentration and composition are attributed mostly to the changes in the origin areas of background air mass, which shifted from the Asia Continent to the western Pacific Ocean during summertime (see Fig. 1)."

### Comment #15

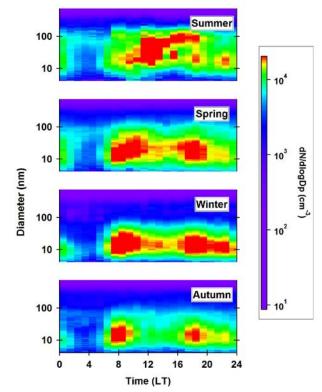
Page 21813, line 25: Authors should present in the manuscript (for example in this section) also figures showing the typical diurnal variation of size distributions in different seasons. For example, authors could make a surface plot (similar as in the bottom panel of Fig. 6) showing the median daily variation of particle size distribution for each season.

### Response #15

Discussion on the diurnal variation in different seasons has been added.

Page 21814, line 7: The following sentences have been inserted after "...in other seasons.":

"In addition, the diurnal variation of particle size distribution (see Figure 5) provided further information about the variations in PSD. Two nucleation bursts were distinctly observed in morning and afternoon traffic peak hours in autumn, winter, and spring, while a typical PSD pattern of nucleation event (a banana curve) was dominant in summer. This result is as expected because the photochemical production of nucleation mode particles is more intense during warm seasons (Cheung et al., 2011). Moreover, as discussed in previous section, the photochemical reactions could produce condensable organics that allows the newly formed nucleation mode particles to grow into the Aitken mode. The relatively small differences between the daytime and nighttime  $N_{4.736}$  in autumn and winter indicated that the photochemical contribution in PNCs was declined as compared to that in summertime."



**Figure 5.** Diurnal variation of particle number size distribution in each season. From lower panel to top panel: autumn, winter, spring and summer.

Page 21814, line 3: Authors utilize the difference between particle number concentrations during day and night to show if there is formation of new particles taking place in different seasons. However, it is not clear how other factors (e.g. boundary layer dynamics) affect the seasonal variation of that difference.

#### Response #16

The impact of boundary layer dynamics affects both daytime and nighttime data within the same season. For example, the boundary layer height should be lower in winter and this both occurs in daytime or nighttime; similarly, a higher boundary layer favors the dispersion process in summertime, this both occurs during daytime or nighttime. Thus, the boundary layer effect on each season should not have a major impact on the calculated difference on particle number concentration between daytime and nighttime in different seasons.

#### Comment #17

Page 21814 line 23: When calculating correlation coefficients between particle number concentrations and  $NO_x$ , it would be better to first take logarithm of both variables and then calculate the correlation coefficients. Otherwise single data points

can have too large effect on the value of the correlation coefficient.

### Response #17

		Winter		Summer	
	New (taking	Old	New (taking	Old	
	logarithm)		logarithm)		
N <sub>4-25</sub>	0.84	0.71	0.81	0.81	
N <sub>25-100</sub>	0.88	0.87	0.83	0.83	
N <sub>100-736</sub>	0.31	0.29	0.72	0.61	

The correlation coefficients between particle number concentrations and  $NO_x$  have been re-calculated, and the results were compared to previous calculation as below:

The correlation coefficients calculated after taking logarithm of both variables were slightly improved, and but it did not change the conclusion drawn on the relationship between PNC and  $NO_x$  as the values were similar. However, the correlation coefficients were calculated based on the values without taking logarithm in previous studies, and for comparison with previous results, we would like to keep the original calculation method.

### Comment #18

Page 21815, line 10: Some numbers for the slope values should be given also in the text, not only in the figure.

#### Response #18

Page 21815, line 10: These sentences "The slope values...on particle concentration." have been revised to "The slope values can serve as a relative emission factor of particles per NOx, which indicates the degree of influence of vehicle emission on the PNCs (Cheung et al., 2013). The corresponding slope values for  $N_{4-25}$ ,  $N_{25-100}$ , and  $N_{100-736}$ , were found to be 279, 163, 18 cm<sup>-3</sup> ·ppb<sup>-1</sup> in winter, and 239, 330, 155 cm<sup>-3</sup> · ppb<sup>-1</sup> in summer. Larger sum of slope values (724 vs.460 cm<sup>-3</sup> ·ppb<sup>-1</sup>) was found in summertime compared to winter period, evidencing a greater influence of the vehicle emission on particle number concentration."

#### Comment #19

Page 21815, line 13: It is not entirely clear what is meant by "demonstrate the size shift effects of particle growth", so the sentence should be written in a more clear way.

#### Response #19

Page 21815, line 13: We agreed that the sentence "Furthermore, the lower..." is confusing and thereby have deleted it.

Page 21816, line 5: Based on Fig. 6 the increase of PM10 from 10 to ~100  $\mu$ g m<sup>-3</sup> is at least partly related to diurnal variation, and not only to the change in the wind direction (after the change in wind direction PM10 is still for some hours clearly above 10  $\mu$ g m<sup>-3</sup>).

### Response #20

Thank you for your comment on  $PM_{10}$  variation. We agreed that the variation of  $PM_{10}$  and other pollutants are affected both by regional and local effects. The corresponding sentence has been revised to clarify the discussion.

"It should be noted that the variations of measured pollutants were not solely influenced by the long-range transport, but also partly due to the variation of local pollution and boundary dynamics."

### Comment #21

Page 21816, line 12: Authors should mention if there were any new particle formation events during LRT events.

#### Response #21

On 7 April 2013, a LRT event was concurrently occurred with a dust event, and a banana shape of particle number size distribution was observed. However, the dominating diameter measured at the initial stage of this event was around 40-50 nm. This observation could due to the NPF process occurred in upwind area. Recent study by Nie et al. (2014) proposed a new particle formation mechanism associated with dust particles. The particle precursors attached on the dust surface will under heterogeneous reaction to form particles, then the secondary particles will released from the dust surface to the atmosphere during the transport of air masses. Due to the unique factors (including the impact of dust storm and other anthropogenic pollutants), we differentiated this case from the NPF events, and a discussion was added in the manuscript to address this special event.

"The result suggested that the influences of local vehicle emission on PNCs were still in place, whereas growth of particles due to secondary production of condensable vapors could have been suppressed, as NPF was rarely observed during the LRT events. It is noteworthy that a weak dust transport event was observed on 7 April 2013 where a banana shape was depicted in the PSD, evidencing that secondary formation of particles could have had occurred. However, the dominating diameter of particles was ~40-50 nm at the initial stage of the event. The banana shape of PSD data was initiated since ~06:00 LT until 21:00 LT, when the northeasterly wind prevailed. The  $PM_{10}$  and  $O_3$  also increased from minima of 44 µg m<sup>-3</sup> (at 06:00 LT) and 25 ppb (at 05:00 LT) to the daily maxima of 92 µg m<sup>-3</sup> (at 17:00 LT) and 61 ppb (at 16:00 LT). This result showed that the NPF process could have occurred in the upwind area where newly formed particles were transported to the study site, or heterogeneously formed particles were released from the dust surface during the long-range transport of air pollutants (Nie et al., 2014)."

#### Comment #22

Page 21816, line 20: Authors should mention if the value given for the wind speed is mean or median.

#### **Response #22**

"...lower wind speed..." has been revised to "...low average wind speed...".

#### Comment #23

Page 21817, line 1: This section should be in a different place, not in the end of the "Results and discussion" section but closer to the beginning, as the observation of the frequency of NPF events helps to understand also other results (e.g. the seasonal variation in the composition of particles).

#### Response #23

The order of the discussion has been rearranged.

Section 3.6 has been moved to Section 3.4. Corresponding figure and table numbers have been revised in the manuscript.

#### Comment #24

Page 21817, line 4: Figure 8 should be combined with Fig. 5 and discussed in the same section. Authors should first tell how often they observed NPF events during different seasons and then use the correlation with  $NO_x$  only to this observation.

#### **Response #24**

The discussion on NPF (Section 3.6) has been moved to Section 3.4, and we first discussed the frequency of the NPF events different seasons. Figure 8 shows the influence of secondary sources on PNC, and Figure 5 shows the influence of primary sources. As there will be too many data shown if the two figures were combined, we would like to discussion the influences of these two sources separately.

The corresponding discussion on NPF events (i.e. first paragraph of Section 3.4 in revised manuscript) has been rewritten as below:

"As shown in previous study, the NPF events were frequently observed in summer,

which subsequently induced a notable increase in  $N_{4-25}$  in urban Taipei (Cheung et al. 2013). The frequency of NPF events was found to be 10 out of 84 measurement days and the events were observed in autumn (1 out 23 days), spring (3 out of 26 days) and summer (6 out of 14 days) seasons. Figure 6 (a-d) shows the scatter plots of  $N_{4-25}$  against NOx for daytimes in each season. During the NPF events, a non-linear relationship between these two parameters was usually observed during the daytime (Cheung et al. 2013). The results showed that clear NPF events were observed often in summer and occasionally in spring, but rarely in autumn and winter in the study area. The averaged particle growth and formation rates were found to be  $4.0\pm1.1$  nm  $h^{-1}$  and  $1.4\pm0.8$  cm<sup>-3</sup> s<sup>-1</sup>, which were comparable to those measured in other urban studies in Asian countries such as Hong Kong (average: 6.7 nm  $h^{-1}$ , Wang et al., 2014) and Beijing (average: 5.2 nm  $h^{-1}$ , Wang et al., 2013). The particle growth and formation rates of each case are listed in Table 2."

#### Comment #25

Page 21817, line 12: Also some other references on particle growth rates could be mentioned here.

#### Response #25

Other references on particle growth rates have been mentioned.

The sentence "The averaged particle..." has been revised to "*The averaged particle* growth and formation rates were found to be  $4.0\pm1.1$  nm h<sup>-1</sup> and  $1.4\pm0.8$  cm<sup>-3</sup> s<sup>-1</sup>, which were comparable to those measured in other urban studies in Asian countries such as Hong Kong (average: 6.7 nm h<sup>-1</sup>, Wang et al., 2014) and Beijing (average: 5.2 nm h<sup>-1</sup>, Wang et al., 2013)".

The following studies have been added to the references list:

Wang, D., Guo, H., Cheung, K. and Gan, F. (2014). Observation of nucleation mode particle burst and new particle formation events at an urban site in Hong Kong. Atmospheric Environment, 99, 196-205.

Wang Z. B., Hu, M., Suu, J.Y., Wu, Z.J., Yue, D.L., Shen, X.J., Zhang, Y.M., Pei, X.Y., Cheng, Y.F. and Wiedensohler, A. (2013). Characteristics of regional new particle formation in urban and regional background environments in the North China Plain. Atmospheric Chemistry and Physics, 13, 12495-12506.

#### Comment #26

Page 21817, line 14: Table S3 should not be in the supplementary but in the main text because the information on growth rates and formation rates is relevant.

#### Response #26

Table S3 has been included in the main text.

Page 21817, line 14: The sentence has been revised as "*The particle growth and formation rates of each cases are listed in Table 2.*"

#### Comment #27

Page 21817, line 18: Authors should show that low PM10 and high sulfuric acid proxy favors new particle formation for example by studying their correlation with  $N_{4-25}$ , or then by showing their median diurnal variation for days with NPF events and days without NPF events. Showing only median of these variables for different seasons is not enough for drawing conclusions about their importance for new particle formation. Authors could also study the effect of the air mass origin on the occurrence of NPF events by studying the air mass trajectories. In addition, authors should refer to some of the earlier studies where low condensation sink and high sulfuric acid concentration have been observed to favor NPF events.

#### Response #27

The observed  $N_{4-25}$  not only affected by the secondary production of particles, also associated with the local vehicle emission in this study. To examine the influence of SO<sub>2</sub> and condensation sink (CS) on NPF, the scatter plot between the UVB\*SO<sub>2</sub> against CS has been deployed. This method has been applied in previous studies (e.g. Gao et al., 2009; Nie et al. 2014) to demonstrate the effect of sulfuric acid and pre-existing particles on NPF.

In this study, the spatial resolution of applied meteorological data is  $1^{\circ} \times 1^{\circ}$  (around 100km x 100km), the resolution of meteorological data is not sufficient for an accurate back-trajectories analysis on a local scale as in our study site. Nevertheless, a back-trajectory drawn during a NPF event will only indicate the pathway of the air mass, but it could be originated from any points along the path. Hence, a detailed emission inventory (which is not available for UFP) and back trajectory calculations are needed which required a further analysis and is not within the scope of the current study.

The second paragraph has been rewritten as below:

"Table 3 summarizes the averages of  $N_{4-25}$ ,  $PM_{10}$ ,  $H_2SO_4$  proxy (as UVB\*SO<sub>2</sub>/condensation sink) and wind speed for each season. The dominating factors associated to the frequent particle formation in summertime were the low  $PM_{10}$  concentration (35.6 µg m<sup>-3</sup>) and high  $H_2SO_4$  proxy (493.1 ppb W m<sup>-2</sup> s). The association of sulfuric acid production and the NPF events agreed with the elevated

mass concentration of sulfate in UFPs during summertime (shown in Table S1), as well as the results of previous urban studies (Woo et al. 2001; Cheung et al. 2013). This strongly suggested that the new particle formation was mainly driven by the photochemical oxidation of SO<sub>2</sub> under low condensation sink conditions (Nie et al., 2014), where the SO<sub>2</sub> could be transported from the upwind area on the summer monsoons (see Figure 1d). Contrarily, the absence of particle formation events in wintertime could be attributed to the declined photochemical production of H<sub>2</sub>SO<sub>4</sub> as well as suppression of NPF by particles transported from the Asian continent (Lin et al., 2004). The results of this work evidenced that low PM<sub>10</sub> concentration and high sulfuric acid production favored the particle formation process in urban areas."

In the manuscript, earlier studies on the favorable condition for NPF events have been referred.

"This strongly supports..." has been revised to "This strongly suggested that the new particle formation was mainly driven by the photochemical oxidation of  $SO_2$  under low condensation sink conditions (Gao et al., 2009; Nie et al., 2014), where the  $SO_2$  could be transported from the upwind area on the summer monsoons (see Figure 1d)."

The reference below has been added:

Gao, J., Wang, T., Zhou, X. Wu, W. and Wang, W. (2009). Measurement of aerosol number size distributions in the Yangtze River delta in China: Formation and growth of particles under polluted conditions. Atmos. Environ., 43, 829-836.

Nie, W., Ding, A., Wang, T., Kerminen, V.-M., George, C., Xue, L., Wang, W., Zhang, Q., Petäjä, T., Qi, X., Gao, Xiaomei, Wang, X., Yang, X., Fu, C. and Kulmala. (2014). Polluted dust promotes new particle formation and growth. Scientific Reports, 4, 6634.

#### Comment #28

Page 21818, line 24: It should be again explained in a more clear way what is meant by "shifting of the nucleation mode particles".

#### **Response #28**

The statement was relevant to the growth of particles from condensation mode range to Aitken mode size range. The sentence has been revised.

"It was revealed from the measurements of PSD that a large number of nucleation mode particles could have evolved into the Aitken mode during summertime, which was most likely relevant to the photochemical production of condensable vapors that, in turn, could have contributed to the growth of particles in the atmosphere".

Page 21827, Table 3: Condensation sink should be added to the table. In addition, the median values UVB and  $SO_2$  should be shown separately instead of showing their product.

## Response #29

The individual values of the condensation sink, UVB and SO<sub>2</sub> have been included in Table 3.

## Comment #30

Page 21830, Figure 3: It should be mentioned in the caption if the values are seasonal averages or medians.

## Response #30

Page 21830, Figure 3: The figure caption has been revised to "Seasonal average concentration and composition of (a) ultra-fine (UFPs) and (b) sub-micron (PM1) particles observed at the TARO in Taipei, Taiwan from autumn 2012 to summer 2013."

## Comment #31

Page 21832, Figure 5: It should be explained if the data points in the figure are averages for a certain time interval.

## Response #31

In Section 2.2, it was already mentioned that the original PNCs data time resolution was 5mins, and the hourly average data were calculated for data analysis. To make it clearer, the use of hourly average data is mentioned in the caption of Figure 5 as below:

"Figure 5. Scatter plots for hourly averaged PNCs vs.  $NO_x$  measured during the time period of 20:00-04:00 (LT) in (a) winter and (b) summer, with classification of various particle size ranges.".

## Comment #32

Supplement, Table S3: This table should be moved to the main text. It should be explained in the methods section how the occurrence of NPF events was determined, and how growth rates and formation rates were calculated.

## Response #32

Table S3 has been moved to the main text. The discussions on the classification of NPF events and calculation of growth rates and formation rates have been added to Section 2 of methodology.

# Section 2.3 Classification of new particle formation and calculation of the particle growth and formation rates

A NPF event is defined as the increase of the number concentration of nucleation mode particles, where those particles are growing into Aitken and/or accumulation mode size range ( $\geq 25$  nm) and last for a few hours until they disappear into the atmosphere by condensation/ coagulation sinks (Dal Maso et al., 2005). The calculation of particle growth rate (GR) was represented by the rate of geometric median diameter (GMD) changes during the period of nucleation mode particles growing through 25 nm (Cheung et al., 2013). The formation rate (J) of nucleation mode particles for each NPF event was calculated for the particle size ranging from 4-25 nm according to the method of Dal Maso et al. (2005). Formation rate is defined as the sum of the apparent formation rate ( $dN_{4.25}/dt$ ) and the coagulation loss rate during the NPF event. It should be noted that the reported apparent particle formation rate is expected to be smaller than the actual nucleation rate, since some fractions of formed nuclei are always scavenged by coagulation into larger pre-existing particles before they grow larger by condensation (Lehtinen et al., 2007).

The following references have been added to the reference list:

Lehtinen, K.E.J., Dal Maso, M., Kulmala, M. and Kerminen, V.-M. (2007). Estimating nucleation rates from apparent particle formation rates and vice versa: Revised formulation of the Kerminen-Kulmala equation. Journal of Aerosol Science, 38, 988-994, doi:10.1016/j.jaerosci.2007.06.009.

Dal Maso, M., Kulmala, M., Riipinen, I., Wagner, R., Hussein, T., Aalto, P.P. and Lehtinen, K.E.J. (2005). Formation and growth of fresh atmospheric aerosols: eight years of aerosol size distribution data from SMEAR II, Hyytiälä, Finland. Boreal Environ. Res., 10, 323-336.

#### **Technical corrections**

#### Comment #33

Page 21806, line 7: The abbreviation (PNC) should be explained here.

#### **Response #33**

Page 21806, line 7: "...nucleation mode PNCs..." has been revised to "*nucleation mode particle number concentrations*".

#### Comment #34

Page 21806, line 24: The sentences starting with "To attain a better understanding ..." is too long.

#### Response #34

Page 21806, line 24: The sentence "To attain a…" has been revised to "A 1-year aerosol characterization experiment was conducted in urban area of Taipei, Taiwan. The aim of this study is to attain a better understanding of the seasonal variations of ultrafine and sub-micron particles and the factors affecting particle formation, particularly under the influences of Asian monsoon circulations."

#### Comment #35

Page 21808, line 20: Use of lash (/) here might be confusing for the reader.

#### Response #35

Page 21808, line 20: The sentence has been revised to "...and a total of 69 and 75 sets of UFPs and  $PM_1$  samples were collected during the entire investigation period (sample sets collected in autumn, winter, spring and summer were 20, 15, 25, and 9 sets for UFPs, and 21, 16, 25, and 13 sets for  $PM_1$ , respectively).".

#### Comment #36

Page 21810, line 12: It would be better write "particle size distributions" than use the abbreviation.

#### Response #36

Page 21810, line 12: "3.1 PNCs and PSDs in respective seasons" has been revised to "Particle number concentration and size distributions in respective seasons".

#### Comment #37

Page 21810, line 13: The abbreviation (PNC) should be explained here.

#### Response #37

Page 21810, line 13: "PNCs" has been revised to "particle number concentrations".

#### Comment #38

Page 21810, line 15: The concentrations are written here in the wrong order (first should be the concentration in spring and then the concentration in winter).

#### Response #38

Page 21810, line 15: "Relatively higher..." has been revised "*Relatively higher*  $N_{4.736}$  were observed in spring and winter with median concentrations of 19.4x10<sup>3</sup> and 17.4x10<sup>3</sup> cm<sup>-3</sup>, respectively, followed by summer (16.6x10<sup>3</sup> cm<sup>-3</sup>) and were minimum in autumn (13.9x10<sup>3</sup> cm<sup>-3</sup>).".

Page 21810, line 24: The sentence starting with "It was relieved..." is too long.

#### Response #39

Page 21810, line 24: The sentence "It was relieved..." has been revised to "It was revealed that the nucleation mode particles were predominant in the PNCs during autumn, winter and spring in the study area, whereas a distinct size distribution pattern was observed in summertime. In summer, the fraction of nucleation ( $N_{4-25}$  /  $N_{4-736}$ ) decreased to 0.44 (see Table 1) and the Aitken mode PNCs increased to be comparable to that of the nucleation mode, whereas the  $N_{4-25}$  /  $N_{4-736}$  ratios for other seasons ranged from 0.56 to 0.77 (see Table 1)".

#### Comment #40

Page 21811, line 11: It seems that "dominated" is not necessarily the correct choice of word here. May be "correlated" could be a better word.

#### **Response #40**

Page 21811, line 11: The word "dominated" has been revised to "correlated".

#### Comment #41

Page 21811, line 22: The sentence starting with "For the chemical composition..." is too long.

#### Response #41

Page 21811, line 22: The sentence "For the chemical composition..." has been revised to "For the chemical composition, organic carbon (OC) was found to be the major mass contributor, which accounted for 29.8 % (seasonal means ranging from 26.9 to 33.4 % for various seasons) of averaged mass concentration of UFPs. Elemental Carbon (EC) was the second major component with averaged mass contribution of 5.1 % (seasonal means: 2.4–7.6 %), followed by sulfate ( $SO_4^{2-}$ ) at 4.3 % (seasonal means: 3.4-6.4%) and nitrite ( $NO_2^{-}$ ) at 2.9% (seasonal means: 0.9-7.3%)."

#### Comment #42

Page 21812, line 24: "UPFs" should be "UFPs".

#### **Response #42**

Page 21812, line 24: "UPFs" has been revised to "UFPs".

#### Comment #43

Page 21813, line 12: "Maximal" and "minimal" should be "maximum" and "minimum".

#### Response #43

Page 21813, line 12: "*Maximal*" and "*minimal*" have been revised to "*maximum*" and "*minimum*".

### Comment #44

Page 21815, line 2: Use of lash (/) here is confusing.

### Response #44

Page 21815, line 2: The sentence "The robust..." has been revised to "*The robust* correlation of  $NO_x$  and  $N_{4.25}$ , also  $NO_x$  and  $N_{25-100}$  suggested that local vehicle emission is the predominant source of UFPs throughout a year.".

### Comment #45

Page 21816, line 10: Writing "particles stayed at a low level" would be better.

### Response #45

Page 21816, line 10: "kept at a low level" has been revised to "*remained at a low level*".

### Comment #46

Page 21817, line 7: Instead of "remarkable NPF events" it could be better write e.g. "clear" or "strong".

#### Response #46

Page 21817, line 7: "remarkable NPF events" has been revised to "clear NPF events".

## Comment #47

Page 21818, line 2: The abbreviations should be explained again here.

## Response #47

Page 21818, line 2: The sentence "The mass..." has been revised to "The mass concentration and chemical composition of ultrafine particles (UFPs) and submicron particles (i.e.  $PM_1$ ) as well as the particle number concentration (PNCs) and size distributions (PSDs) with size ranging from 4 to 736 nm were measured during four seasonal campaigns in the period from October 2012 to August 2013 at the TARO, a subtropical urban aerosol station in Taipei, Taiwan."

#### Comment #48

Page 21825, Table 1: It should be explained in the caption that the ratios between the concentrations in different modes are presented in the last columns.

#### **Response #48**

Page 21825, Table 1: The sentence "The fractions of  $N_{4-25}$  and  $N_{4-100}$  to total PNCs

were presented in the last two columns." has been amended in the caption.

## Comment #49

Page 21828, Figure 1: Figure is too small; it is not possible to read the text in the labels on the right panel. It should be explained in the figure caption what the different colors in wind roses present. In addition, most of the other figures in the manuscript are also too small and include text with too small font and/or too thin lines.

## Response #49

Page 21828, Figure 1: The figure caption has been revised to explain the color code for wind rose plots. Other figures in the manuscript have been revised with larger fonts and thicker lines as suggested.

## Comment #50

Page 21831, Figure 4: Background of the figures should be white. The same applies to Fig. 8.

## Response #50

Background colors of Figures 4 and 8 have been revised to white color.

## Comment #51

Page 21833, Figure 6: The color bar should be move to the bottom of the figure. The color scale could be shown in logarithmic scale instead of linear scale.

## Response #51

Figure 6 has been modified by moving the color bar to right hand side and is shown on a logarithmic scale.

## Referee #2

This manuscript reported the measurements of submicron and ultrafine particles in four different seasons in an urban area in Taiwan, and provided useful information on particle number size distributions, chemical compositions, source apportionment, and new particle formation events. The manuscript is overall well written and fits the scope of ACP. But the sampling periods, totally 84 days, were quite short in each season. Their representative for the whole season needs to be verified. I recommend some revisions before this manuscript can be published on ACP.

#### Specific comment

#### Comment #1

The representative of sampling periods for the whole seasons needed to be verified. In some seasons, the campaign was only conducted for about 2 weeks, which make it doubtful for the statistical significance of the results. Therefore, detailed information about the meteorology and pollution parameters is needed. E.g. Page 21811, line 11, the exact ozone concentrations are needed to define the strength of photochemical processes.

#### Response #1

We agreed that the relatively short of sampling periods during the whole year is not significant to discuss the seasonality of measured parameters (i.e. PNC, PSD) in the study area. However, the back-trajectories of the sampling days as shown in Figure 1 indicated that both winter and summer monsoon circulation patterns, which are the major characteristics of the wind circulation pattern in East Asia region, have been captured in this study. Therefore, the variations of PNC/PSD should be representative to the seasonal variations of those measured parameters in this study. Nevertheless, some events (certain dust storms events) could not be entirely captured in certain periods when measurements were not conducted, we thus define our scope to study the "seasonal variations" which are clearly demonstrated by our results. As such, we revised the manuscript title to "Seasonal variations of ultrafine and sub-micron aerosols in Taipei, Taiwan: implications for particle formation processes in urban areas" for more accurate description of this study.

#### Comment #2

I suggest reconsidering the title of the manuscript.

#### Response #2

The title of the manuscript has been revised to "Seasonal variations of ultrafine and sub-micron aerosols in Taipei, Taiwan: implications for particle formation processes in urban areas" to better describe this study.

Define the "long range transport (LRT)" to distinguish LRT and Non-LRT.

## Response #3

The definition and classification scheme of LRT has been discussed in Section 3.5.

## Comment #4

Page 21804, line 6: define TARO **Response #4** Page 21804, line 6: Definition of TARO has been added. "...*at the TARO (Taipei Aerosol and Radiation Observatory),...*"

## Comment #5

Page 21804, line 9: particle size distribution is not an accurate definition. Please clarify it as particle number size distribution, or particle mass size distribution.

## Response #5

Page 21804, "size distribution (PSD)" has been revised to "number size distribution (PSD)".

## Comment #6

Page 21804, line 11: change "highest" to "lowest".

## Response #6

The highest UFPs concentration was obtained in summer. Thus, no revision is needed.

## Comment #7

Page 21805, line 4-5: there was not enough evidence to support this conclusion.

## Response #7

We agreed with the comments and revised the statement as

"The results of this study implied the significance of secondary organic aerosols in the seasonal variations of UFPs and the influences of continental pollution outbreaks in the downwind areas of Asian outflows."

## Comment #8

Page 21808, line 6: provide the detailed information of the size cutoff for each stage of MOUDI, and the sampling flow.

## Response #8

We only use one stage of the MOUDI impactor to collect UFPs (100nm), and the  $PM_1$  samples were collected by PQ-200 (BGI Inc.) sampler. Thus, the size-cut of other stages (of larger sizes) was not stated in this study as they were not used. Nevertheless,

the design paper of MOUDI (i.e. *Marple et al.*, 1991) was cited where the features of MOUDI are described in details.

The sampling flow rates of MOUDI and PQ-200 samplers were added.

Page 21808, line 6: The sentence of "*The sampling flow rate of MOUDI sampler was* 30 *lpm*." was inserted after the sentence "...a collector of UFPs.".

Page 21808, line 9: The sentence "Besides, a pair..." has been revised to "Besides, a pair of  $PM_1$  samplers, each consisted of a standard aerosol sampler (PQ-200, BGI Inc.) and a  $PM_1$  sharp cut cyclone, were deployed to collect  $PM_1$  samples, with 16.7 lpm sampling flow rate.".

#### Comment #9

Page 21808, line 21: detailed sampling periods of MOUDI are needed.

#### Response #9

The sampling period of MOUDI was already mentioned in Page 21808, line 21. The corresponding sentence has been revised for better clarity.

"The sampling duration of each sample set (for both MOUDI and PQ-200 samplers) was from 14:00 – 12:00 LT (22 hr)...".

#### Comment #10

Page 21808, line 25-26: at least one reference is needed to describe the instruments of PM10, NOx, SO2 and O3.

#### Response #10

The reference for trace gas instruments has been added.

"The details of instrumentation setup for trace gases measurement are referred to Cheung et al. (2013).".

#### Comment #11

Check and unify the effective digital for the all manuscript.

#### Response #11

The effective digital of the measured parameters (i.e. PNC, PM) have been unified.

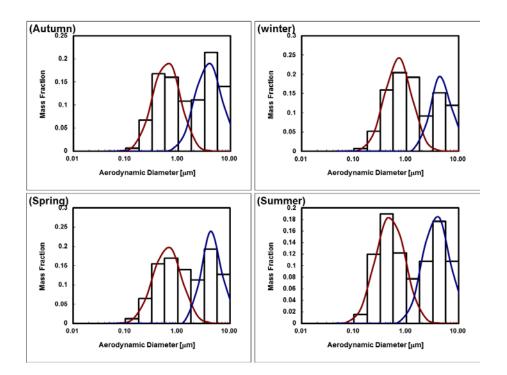
#### Comment #12

Section 3.2: It will be good to compare the particle volume size distribution (SMPS) and particle mass concentration (MOUDI).

#### Response #12

Thanks to the comments. Indeed this analysis is undergoing. Following plots illustrate the averaged mass size distribution for each season. Typical bimodal distribution is shown. Among the major findings is that the mode diameter of fine (accumulation) mode particles in summer was significantly smaller than in other seasons. This is well consistent with the seasonal pattern of the "second volume mode" retrieved from SMPS measurements.

Because the inter-comparison of size distribution is not within the scope of this paper, we prefer publishing this result in open discussion but not including into the manuscript. We shall present analysis of the size distribution of respective particulate species in a separate paper.



#### Comment #13

Page 21815, line 1: what is the reason of the high correlation of NOx and accumulation mode particles in summer? NOx is a tracer for primary vehicle emission, but accumulation mode particles are aged particles and rarely are emitted directly from vehicles.

#### Response #13

In contrast to the winter case, the Asian pollution outbreaks did not occurred in summer. Thus the PNC of accumulation particles was also dominated by local pollution, in particular vehicular exhausts. The PNC-NOx correlation evidenced this attribution. The contribution could be direct emission of particles or emitting precursors of secondary aerosols. The following sentences were added to address this.

"Interestingly, moderate correlation between the PNC of accumulation mode particles ( $N_{100-736}$ ) and NOx was also observed in summer. Given that the Asian outflows were ceased during summertime, this correlation evidenced substantial contribution of local sources, particularly vehicular emissions, to the PNC of accumulation mode particles in Taipei, Taiwan."

### Comment #14

Page P21815, line 8: the figure 6 should be figure 5 **Response #14** 

Page P21815, line 8: The corresponding sentence has been revised.

#### Comment #15

The author attitude the large difference between observed PNCS in daytime and nighttime to the more intense photochemical production of particles. However, pattern of particle size distribution in summer nighttime with weak photochemical activity was also obviously different to that in the nighttime of other seasons. Are there other reasons for the special difference between observed PNCS in daytime and nighttime?

#### Response #15

The method used in this study to calculate the influence between photochemical production of PNCS in daytime and nighttime by assuming the photochemical activities are the major contributor to new particle formation process. We agreed that there are other sources influencing the PNCs in urban environment such as condensation of vapors and coagulation among the newly formed particles and primary emitted particles. However, these processes will not result in the difference in daytime and nighttime PNCs as observed in this study. We think that photochemical reaction is the still the major attributing factor to the difference between the PNCs in daytime and nighttime.

The particle size distribution in summer nighttime depicted two peaks around the nucleation mode and Aitken mode. For other seasons, a different pattern is depicted where there is a clear peak around nucleation mode, but a smaller Aitken mode also exists although it is at a smaller magnitude (indicated by the blue arrow). The observation showed that a large Aitken mode exist during the summer nighttime. Although no photochemical reaction occurred during the nighttime, a portion of newly formed particles during the summer daytime could still remain as the background concentration around the Aitken mode.

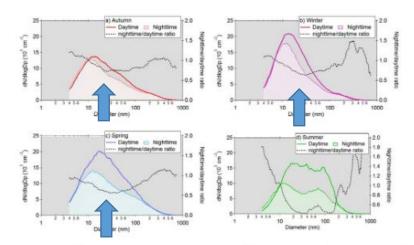


Figure 4. Median PSDs measured during the daytime (07:00–17:00 LT) and nighttime (17:00–07:00) in (a) autumn, (b) winter, (c) spring and (d) summer.

The discussion about the influence of other possible sources on PNCs has been added in the manuscript.

"In urban environment, the possible sources influencing the PNC and PSD are complicated which not only include the direct emission from primary sources, but also interaction between the newly formed particles, pre-existing particles and condensing vapors by the condensation and coagulation processes. Nevertheless, these processes occurred throughout the day and will not result in the difference in daytime and nighttime PNCs as observed in this study. We think that photochemical reaction is the still the major attributing factor to the difference observed between the daytime and nighttime PNCs."

#### Comment #16

Condensation sink is an important parameter for new particle formation. It should be calculated and provided in Table 3.

#### **Response #16**

The individual values of the condensation sink, UVB and SO2 have been included in Table 3.

#### Comment #17

Figure 1: Given the influence of the regional transport from mainland China, it's better to add the map of southeast China in the figure.

#### Response #17

The southeast China region has been included in the map (Figure 1).

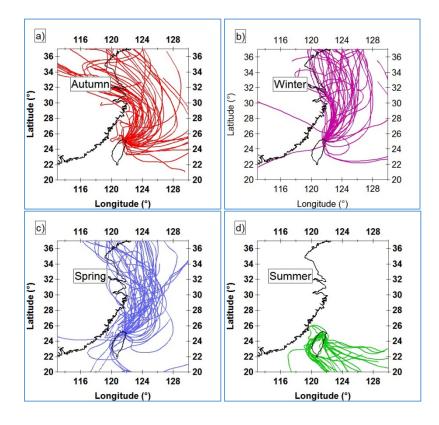


Figure 3: Due to the Asian monsoon, it is generally accepted that the air pollution is more serious in winter than that in summer in Taiwan and Hong Kong. Can the authors explain why the max PM concentration appeared in summer? Was it identical with other studies?

#### Response #18

Up to date, there is no other similar urban study on seasonal variation of UFPs mass and chemical composition in Hong Kong and Taiwan. In this study, higher  $PM_1$ concentrations were obtained during spring and winter when long-range transport was dominant. For UFPs, the highest value was obtained in summer, and this suggested that the formation mechanisms of  $PM_1$  and UPFs were different. A UFPs source apportionment study was conducted in urban Taipei during May to November 2011 (Gugamsetty et al. 2012) which indicated that the contribution by secondary aerosols were higher in  $PM_{0.1}$  than that for  $PM_{2.5}$  and  $PM_{10}$ . This difference of formation mechanisms has been discussed in Section 3.2. 1 Seasonal variations of ultrafine and sub-micron aerosols in Taipei,

- Taiwan: implications for particle formation processes in urban areas
   3
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12

#### 13 Abstract

14 The aim of this study is to investigate the seasonal variations in the physicochemical properties of atmospheric ultrafine particles (UFPs,  $d \le 100$ nm) and submicron 15 particles (PM<sub>1</sub>,  $d \le 1\mu m$ ) in an East-Asian urban area, which are hypothesized to be 16 affected by the interchange of summer and winter monsoons. An observation 17 18 experiment was conducted at the TARO (Taipei Aerosol and Radiation Observatory), 19 an urban aerosol station in Taipei, Taiwan, from October 2012 to August 2013. The measurements included the mass concentration and chemical composition of UFPs 20 21 and  $PM_1$ , as well as the particle number concentration (PNC) and number size distribution (PSD) with size range of 4-736 nm. The results indicated that the mass 22 concentration of  $PM_1$  was elevated during cold seasons with peak level of 18.5 µg m<sup>-3</sup> 23 in spring, whereas the highest UFPs concentration was measured in summertime with 24 a mean of 1.64  $\mu$ g m<sup>-3</sup>. Moreover, chemical analysis revealed that the UFPs and PM<sub>1</sub> 25 were characterized by distinct composition; UFPs were composed mostly of organics, 26 27 whereas ammonium and sulfate were the major constituents in PM<sub>1</sub>. The seasonal median of total PNCs ranged from  $13.9 \times 10^3$  cm<sup>-3</sup> in autumn to  $19.4 \times 10^3$  cm<sup>-3</sup> in 28 spring. The PSD information retrieved from the corresponding PNC measurements 29 indicated that the nucleation mode PNC (N<sub>4-25</sub>) peaked at  $11.6 \times 10^3$  cm<sup>-3</sup> in winter, 30 whereas the Aitken mode  $(N_{25-100})$  and accumulation mode  $(N_{100-736})$  exhibited 31 summer maxima at  $6.0 \times 10^3$  and  $3.1 \times 10^3$  cm<sup>-3</sup>, respectively. The change in PSD 32 during summertime was attributed to the enhancement in the photochemical 33 34 production of condensable organic matter that, in turn, contributed to the growth of 35 aerosol particles in the atmosphere. In addition, clear photochemical production of particles was observed, mostly in summer season, which were characterized by 36 averaged particle growth and formation rates of  $4.0\pm1.1$  nm h<sup>-1</sup> and  $1.4\pm0.8$  cm<sup>-3</sup> s<sup>-1</sup>, 37 38 respectively. The prevalence of new particle formation (NPF) in summer was

39 suggested as a result of seasonally enhanced photochemical oxidation of SO<sub>2</sub> that 40 contributed to the production of H<sub>2</sub>SO<sub>4</sub>, and low level of PM<sub>10</sub> (d  $\leq$  10µm) that served 41 as the condensation sink. Regarding the sources of aerosol particles, correlation 42 analysis upon the PNCs against NO<sub>x</sub> revealed that the local vehicular exhaust was the 43 dominant contributor of the UFPs throughout the year. On the contrary, the Asian 44 pollution outbreaks had significant influence in the PNC of accumulation mode 45 particles during the seasons of winter monsoons. The results of this study implied the 46 significance of secondary organic aerosols in the seasonal variations of UFPs and the 47 influences of continental pollution outbreaks in the downwind areas of Asian 48 outflows.

#### 49 1. Introduction

50 Due to the significant impact of particulate matter on human health and climate 51 change, it is vital to understand the formation process of atmospheric particles 52 (Charlson et al., 1992; Donaldson et al., 1998). A number of mechanisms have been 53 proposed by which atmospheric particles are formed, including binary nucleation, 54 ternary nucleation and ion-induced nucleation for charged particles, under different environment conditions (Kulmala 2003; Kulmala et al., 2004, 2012). Numerous 55 studies have been conducted in different locations to elucidate particle formation 56 57 processes under various environmental settings in the free troposphere, boreal forest and coastal areas, where new particles formation processes are observed frequently 58 59 (Kulmala et al., 2004, Holmes 2007). Recently, investigations were also carried out on 60 new particle formation within urban boundary layer (e.g., Cheung et al., 2013 and 61 references therein), where particle formation was suggested to be mainly influenced 62 by the photo-oxidation of SO<sub>2</sub>. Furthermore, formation of particulate matter by heterogeneous reactions of gases on dust particles was reported recently (Hsu et al., 63 64 2014, Nie et al., 2014). Previous investigations have indicated that the air pollutants, both in gaseous and particulate form, associated with the continental outflows of air 65 66 masses could have affected a wide region in East Asia and caused severe regional air 67 pollution (e.g., Lin et al., 2004; Wang et al., 2003). However, the formation processes 68 of ultrafine particles (UFPs,  $d \le 100$ nm) and sub-micron particles (PM<sub>1</sub>,  $d \le 1$ um) 69 under the influences of continental outflows are not yet well understood.

70

71 In urban environment, major contributing sources of aerosol particles include 72 vehicular exhausts (e.g., Pey et al., 2008; Pérez et al., 2010), industrial emissions 73 (Gao et al., 2009) and new particle formation by photochemical reactions (e.g., Pey et 74 al., 2009). Approximately 55-69% of the total particle number concentrations (PNCs) 75 were attributed to secondary aerosols during midday in several European cities (Reche et al., 2011). In Taipei, Taiwan, a subtropical urban area, Cheung et al. (2013) 76 77 observed that there was a ten-fold increase in nucleation mode particle number 78 concentrations (N<sub>9-25</sub>, with size 9 < d < 25nm) during new particle formation events 79 compared to that contributed by the vehicle emission. Besides the local sources, air quality of East Asian countries is also strongly affected by the transport of air 80 pollutants from mainland China during periods of winter monsoons (Cheung et al., 81 82 2005; Lin et al., 2004; Matsumoto et al., 2003). Lin et al. (2004) reported that the mass concentration of particulate matter (PM<sub>10</sub>) due to the long-range transport 83 associated with winter monsoons was 85  $\mu$ g m<sup>-3</sup>, about 79% higher than that due to 84 local pollution (~47.4 $\mu$ g m<sup>-3</sup>) in urban Taipei. Chemical composition of fine and 85 86 coarse particles was measured during a winter monsoon period at Rishiri Island, near 87 the northern tip of Japan, to study the transport of continental aerosols (Matsumoto et al., 2003). The results showed that higher levels of particle mass concentrations were 88 89 associated with the outbreaks of continental polluted air masses. In addition, Cheung 90 et al. (2005) found deterioration in visibility around the southern China during 91 wintertime as indicated by a two-fold increase in aerosol light scattering coefficient 92 under the influences of winter monsoons. All these studies were limited to 93 measurements in terms of PM<sub>10</sub> or PM<sub>2.5</sub> for a particular period, and the seasonal 94 variations of particles in either ultrafine or sub-micron range have not been well 95 illustrated.

96

97 A 1-year aerosol characterization experiment was conducted in the urban area of Taipei, Taiwan. The aim of this study is to attain a better understanding of the 98 seasonal variations of ultrafine and sub-micron particles and the factors affecting 99 100 particle formation, particularly under the influences of Asian monsoon circulations. In 101 this study, we analyzed number concentration and size distribution of aerosol particles, 102 together with the mass concentration and chemical composition of UFPs and  $PM_1$ measured during four seasonal campaigns (i.e. 24 Oct - 15 Nov 2012, 4 - 24 Jan, 17 103 104 Mar - 11 Apr, and 1 - 14 Aug 2013). The results of this study will contribute to the 105 management strategies of the severe air pollution over the East Asia region.

106

#### 107 2. Methodology

#### 108 2.1 Observation site and instrumentation

The measurements were conducted at the Taipei Aerosol and Radiation 109 110 Observatory (TARO, 25.02 N, 121.53 E), located in the downtown area of Taipei, 111 Taiwan, during October 2012 to August 2013. The measurements were carried out for 112 2 - 3 weeks in each season (see **Table 1** for measurement details). The aerosol observatory locates on the top floor of the Building-B of the Department of 113 114 Atmospheric Sciences, National Taiwan University (ASNTU), which is ~20 m a.g.l. 115 (Cheung et al., 2013).

116

Particle number size distribution (PSD) in the range of 4 - 736 nm was measured 117 118 by two scanning mobility particle sizer (SMPS) systems. One was equipped with a long-differential mobility analyzer (long-DMA, Model: TSI 3081, TSI Inc.) and a 119 120 condensation particle counter (CPC) (Model: TSI 3022A, TSI Inc.) to measure the 121 particles from 10 - 736 nm, which was named long-SMPS. Another one was equipped with a nano-DMA (Model: TSI 3085, TSI Inc.) and an ultrafine water-based CPC 122 (UWCPC, Model: TSI 3786, TSI Inc.) to measure the particles from 4 - 110 nm, 123 which was named nano-SMPS. The poly-disperse particles were classified into 124

selected mono-disperse particles according to their electric mobility by the DMAs. 125 126 The number concentration of the mono-disperse particles was then counted by the 127 CPCs. Ambient air was drawn into the SMPS systems from outside the building 128 through a 0.635 cm (inner diameter) conductive tube, and a sampling duration of 5 129 min was adopted for each PSD measurement. The SMPS systems' flow rates were checked weekly during the sampling period and the accuracy of the particle sizing of 130 the DMAs was checked using polystyrene latex (PSL) spheres before the campaigns. 131 132 Operation details are referred to Cheung et al. (2013).

133

134 Size segregated aerosol samples were collected by a pair of Micro-Orifice Uniform Deposition Impactors (MOUDI, Model: 110, MSP Corp.). Taking the 135 advantage that the cut diameter of the 9<sup>th</sup> MOUDI impaction stage was exactly 100 136 nm, the  $10^{\text{th}}$  impaction stage (cut diameter = 56 nm) of each MOUDI was removed to 137 allow the after filter function as a collector of UFPs (Marple et al., 1991). The 138 sampling flow rate of MOUDI sampler was 30 lpm. Besides, a pair of PM<sub>1</sub> samplers, 139 each consisted of a standard aerosol sampler (PQ-200, BGI Inc.) and a PM<sub>1</sub> sharp cut 140 cyclone, were deployed to collect PM<sub>1</sub> samples with 16.7 lpm sampling flow rate. For 141 both UFPs and PM<sub>1</sub> sampling arrangements, one of the paired samplers was equipped 142 143 with Teflon filters, whereas another was equipped with quartz fiber filters. The Teflon filter samples were used for gravimetric measurement. The quartz filter samples were 144 deployed for analysis of soluble ions (Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, 145  $SO_4^{2-}$ ) using ion chromatograph (IC), and carbonaceous components (i.e. OC and EC) 146 147 in the aerosols using a DRI-2001A carbonaceous aerosol analyzer with IMPROVE-A 148 protocol (Chow et al., 2007). Details of the in-lab analysis are as described previously (Salvador and Chou, 2014). Both the PM<sub>1</sub> and UFPs were collected with 149 150 double-layered quartz filters (i.e. QBQ setup) and the artifacts due to adsorption of gaseous components were corrected as suggested by Subramanian et al. (2004). The 151 152 sampling duration of each sample set (for both MOUDI and PQ-200 samplers) was from 14:00 - 12:00 LT (22 hr), and a total of 69 and 75 sets of UFPs and PM<sub>1</sub> samples 153 154 were collected during the entire investigation period (sample sets collected in autumn, 155 winter, spring and summer were 20, 15, 25, and 9 sets for UFPs, and 21, 16, 25, and 156 13 sets for  $PM_1$ , respectively).

157

Moreover, to assist the data interpretation, the hourly averaged mass concentration of  $PM_{10}$ , the mixing ratio of trace gases (i.e.  $NO_x$ ,  $SO_2$  and  $O_3$ ) and the meteorology parameters (i.e. wind direction/speed and UVB) from the Guting air quality station of Taiwan Environmental Protection Agency, which is about 1 km from the TARO, were analyzed in this study. The details of instrumentation setup for trace 163

gases measurement are referred to Cheung et al. (2013).

164

#### 165 2.2 Data processing and analysis

166 The PSD of 4 - 736 nm presented in this study was combined from two sets of 167 SMPS data, where the nano-SMPS corresponded to the size range of 4 - 49.6 nm, and 168 the long-SMPS corresponded to the size > 49.6 nm. The diffusion loss of the particles 169 during the sample transport in the tubing was corrected according to the algorithm 170 proposed by Holman (1972). Particle number concentrations for different size ranges 171 were then calculated from the SMPS measurements.

172 The 5-min PSD data were synchronized into hourly averages, and fitted by the 173 DO-FIT model developed by Hussein et al. (2005) according to the multiple 174 log-normal distribution algorithms. Based on the fitted PSD data, the PNCs were 175 classified into  $4 \le d \le 25$  nm (N<sub>4-25</sub>),  $25 < d \le 100$  nm (N<sub>25-100</sub>),  $4 \le d \le 100$  nm 176  $(N_{4-100})$ ,  $100 < d \le 736$  nm  $(N_{100-736})$  and  $4 \le d \le 736$  nm  $(N_{4-736})$ , for nucleation mode, Aitken mode, ultrafine, accumulation mode and total particles, respectively. Pearson 177 178 correlation coefficient, r, was calculated by PASW Statistics ver. 18 (SPSS Inc.) to determine the correlation between the respective parameters. 179

180

# 181 2.3 Classification of new particle formation and calculation of the particle growth 182 and formation rates

A NPF event is defined as the increase of the number concentration of nucleation 183 mode particles, where those particles are growing into Aitken and/or accumulation 184 185 mode size range ( $\geq 25$  nm) and last for a few hours until they disappear into the atmosphere by condensation or coagulation sinks (Dal Maso et al., 2005). The 186 calculation of particle growth rate (GR) was represented by the rate of geometric 187 median diameter (GMD) changes during the period of nucleation mode particles 188 growing through 25 nm (Cheung et al., 2013). The formation rate (J) of nucleation 189 mode particles for each NPF event was calculated for the particle size ranging from 190 191 4-25 nm according to the method of Dal Maso et al. (2005). Formation rate is defined 192 as the sum of the apparent formation rate  $(dN_{4,25}/dt)$  and the coagulation loss rate during the NPF event. It should be noted that the reported apparent particle formation 193 194 rate is expected to be smaller than the actual nucleation rate, since some fractions of formed nuclei are always scavenged by coagulation into larger pre-existing particles 195 196 before they grow larger by condensation (Lehtinen et al., 2007).

197

#### 198 2.4 Back-trajectory analysis

Backward trajectories were calculated using the HYSPLIT model (Hybrid SingleParticle Lagrangian Integrated Trajectory, Version 4.9) of NOAA (National Oceanic

and Atmospheric Administration) (Draxler, 1999) for TARO during the sampling 201 202 period, in order to trace the origins of the air masses. 72-h back trajectories were 203 calculated twice per day at 00:00LT and 12:00LT with height setting of 200 m above ground level. It should be noted that the grid resolution of the meteorological data 204 used for back-trajectories calculation is 1° x 1°, which is not enough to trace the 205 detailed air mass passage over the scale of the study region and, therefore, the 206 207 trajectories only provide an indication of the region from which the air mass was 208 originated.

209

#### 210 3. *Results and discussions*

### 211 3.1 Particle number concentration and size distribution in respective seasons

As mentioned above, the air quality of urban Taipei is significantly affected by 212 213 both the local vehicular exhausts and long-range transport of pollution, where the later 214 is dominated by meteorological factors. The information on the meteorological 215 conditions, particularly the wind patterns, is important to elucidate our results and 216 thus presented here. The back-trajectories of the air masses for the TARO are 217 illustrated in Figure 1 (left panel). The results showed that northeasterly winds 218 prevailed in autumn and winter seasons, passing through the Asian continent before 219 reaching Taiwan, whereas southerly winds prevailed in summertime. The air masses observed in spring period were found to be mainly associated with Asian continental 220 221 outflows and occasionally with the southerly flows. This observation agreed with the 222 surface wind direction measured in urban Taipei area (see Figure 1, right panel), 223 where northeasterly winds were dominating during the period from November 2012 224 to May 2013, and southerly winds were prevailing from May 2013 to August 2013.

225

The particle number concentrations in various size ranges during each season are 226 summarized in **Table 1**. Relatively higher total PNCs ( $N_{4-736}$ ) were observed in spring 227 and winter with median values of 19.4x10<sup>3</sup> and 17.4x10<sup>3</sup> cm<sup>-3</sup>, respectively, followed 228 by that of summer  $(16.6 \times 10^3 \text{ cm}^{-3})$  and autumn  $(13.9 \times 10^3 \text{ cm}^{-3})$ . This result is 229 comparable to the previous measurements conducted in urban Taipei where the 230 seasonal means of PNCs (10 < d < 560nm) ranged from  $11.0 \times 10^3$  to  $17.0 \times 10^3$  cm<sup>-3</sup> 231 (Cheng et al. 2014). Figure 2 illustrates the number, surface and volume size 232 distributions of the aerosol particles. The geometric mean diameter (GMD) of each 233 234 PSD mode was retrieved from the data of number concentration. The GMDs of the 235 nucleation, Aitken and accumulation modes were found to be 10.4-12.8 nm, 26.5-38.4 236 nm, and 91.8-159.0 nm, respectively.

In addition, the fitted GMDs of surface distribution were found to be 77.4 and
238 293 nm for autumn, 22.1, 68.9 and 228 nm for winter, 77.4 and 253 nm for spring,

and 12.9 and 268 nm for summer, respectively (not shown in the figures). In winter 239 240 and summer seasons, one of the fitted surface GMDs was located at nucleation mode, 241 showing the significant contribution of nucleation mode particles in these two seasons. 242 Bimodal volume distribution was obtained for all seasons where the fitted volume 243 GMDs were 96.3 and 372 nm for autumn, 71.8 and 275 nm for winter, 99.5 and 339 nm for spring, and 99.5 and 237 nm for summer, respectively. The GMD of first 244 volume mode was relatively stable in each season (i.e. 71.8-99.5 nm), but smaller 245 246 GMD (237 nm) for the second volume mode was observed in summer. The results implied that a higher fraction of particles could have evolved from smaller size range 247 248 (i.e. nucleation and Aitken modes) into accumulation mode, which coincided with our 249 observation that NPF events occurred mostly in summer (see Section 3.4). 250 Furthermore, this seasonal variability agrees with our previous findings that the growth rate of newly formed particles was correlated with the photolysis of ozone, an 251 252 indicator of photochemical activity (Cheung et al., 2013). The causes responsible for 253 the observed seasonal variations in PNCs will be detailed in the following sections.

254 It was revealed that the nucleation mode particles were predominant in the PNCs 255 during autumn, winter and spring in the study area, whereas a distinct size distribution pattern was observed in summertime. In summer, the fraction of nucleation  $(N_{4-25} / N_{2-25})$ 256  $N_{4-736}$ ) decreased to 0.44 (see **Table 1**) and the Aitken mode PNCs increased to be 257 258 comparable to that of the nucleation mode, whereas the  $N_{4-25}$  /  $N_{4-736}$  ratios for other seasons ranged from 0.56 to 0.77 (see **Table 1**). Observation from another aspect is 259 260 that the PNC of nucleation mode ( $N_{4-25}$ ) peaked in winter and reached the minimum in 261 summer, whereas the PNCs of Aitken mode ( $N_{25-100}$ ) and accumulation mode ( $N_{100-736}$ ) 262 reached their maxima in summertime. The changes in the size distribution in summer 263 season were most likely due to the seasonally enhanced photochemical production of 264 condensable vapors that, in turn, contributed to the growth of aerosol particles in the 265 atmosphere.

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### 267 3.2 Mass concentration and chemical composition

Figures 3a and 3b illustrate the averaged chemical composition and mass concentration of UFPs and  $PM_1$ , respectively, for each season. Details of the mass concentration and chemical composition of UFPs and  $PM_1$  are listed in Table S1 in the appendix.

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The seasonal means of UFPs ranged from 0.73 to 1.64  $\mu$ g m<sup>-3</sup>, with an annual average of 1.01  $\mu$ g m<sup>-3</sup>. The measured UFPs mass concentration of the present study was comparable to that in urban area of Los Angeles, United States (0.80 – 1.58  $\mu$ g m<sup>-3</sup>, Hughes et al. 1998), and relatively higher than that in urban Helsinki, Finland

(average: 0.49 µg m<sup>-3</sup>, Pakkanen et al. 2001). For the chemical composition, organic 277 carbon (OC) was found to be the major mass contributor, which accounted for 29.8 % 278 279 (seasonal means ranging from 26.9 to 33.4 % for various seasons) of averaged mass 280 concentration of UFPs. Elemental Carbon (EC) was the second major component with averaged mass contribution of 5.1 % (seasonal means: 2.4–7.6 %), followed by sulfate 281  $(SO_4^{2-})$  at 4.3 % (seasonal means: 3.4-6.4%) and nitrite  $(NO_2^{-})$  at 2.9% (seasonal 282 means: 0.9-7.3%). In addition, a large fraction of mass was contributed by the group 283 of "others", which consisted of mineral ( $K^+$ ,  $Ca^{2+}$ ,  $PO_4^{3-}$  and  $Mg^{2+}$ ), sea-salt ( $Na^+$  and 284 Cl<sup>-</sup>), and unidentified species. The results showed that, on average, mineral and sea 285 286 salt components attributed only 3.5 % (seasonal means: 2.0-6.0 %) to UFPs mass concentration. Thus a substantial amount of UFPs remained unidentified, which likely 287 included hydrogen and oxygen associated with organic carbon (OC). The conversion 288 289 factors used to estimate the average molecular weight per carbon in particulate 290 organic matter varied depending on the characteristic of aerosols. A lower factor value, 291 1.2, was usually suggested for saturated organic molecules, while a higher value, 1.6, was adopted for water-soluble compounds consisting of multifunctional oxygenated 292 293 groups, and even higher factor values were suggested for aged aerosols which 294 contained higher portion of low and semi-volatile products of photochemical reactions 295 (Turpin and Lim et al. 2001). The high un-identified mass fraction implied that the 296 photochemical production of secondary organic aerosols was a significant process responsible for the elevated UFPs levels observed in this study. 297

298

As shown in Fig. 3b, average  $PM_1$  was estimated to be 14.7 µg m<sup>-3</sup> (seasonal 299 means: 11.6-18.5  $\mu$ g m<sup>-3</sup>) in this study, which is similar to the results of a previous 300 study in urban Taipei (average: 14.0  $\mu$ g m<sup>-3</sup>, Li et al., 2010). The measured PM<sub>1</sub> level 301 is relatively higher than that of the urban areas of Phoenix. United States (5.9  $\mu$ g m<sup>-3</sup>. 302 Lundgren et al. 1996) and Helsinki, Finland (6.1 µg m<sup>-3</sup>, Vallius et al. 2000). For 303 304 chemical composition, sulfate was the major mass contributor of PM<sub>1</sub> (average: 39.0 %, seasonal means: 33.8 - 46.8 %), followed by ammonium (average: 12.7 %, 305 seasonal means: 12.0 - 13.2 %) and OC (average: 11.5 %, seasonal means: 9.2 to 14.3 306 307 %).

308

The results presented above indicated that UFPs exhibited a distinct seasonal variability and composition from PM<sub>1</sub> in the study area. The highest UFPs concentration was observed in summer (1.64  $\mu$ g m<sup>-3</sup>) and the lowest in winter (0.73  $\mu$ g m<sup>-3</sup>). This result may be attributed to the stronger photochemical activities in summer which could have enhanced the formation of secondary organic aerosols. Consequently, the mass concentration of OC increased from 0.20  $\mu$ g m<sup>-3</sup> in winter to

 $0.47 \ \mu g \ m^{-3}$  in summertime. It is noteworthy that the mass concentration of sulfate in 315 UFPs also peaked in summer (64 ng m<sup>-3</sup>), suggesting enhancement in photo-oxidation 316 of SO<sub>2</sub>. Cheung et al. (2013) found that photo-oxidation of SO<sub>2</sub> was the major 317 318 mechanism for the formation of new particles in Taipei, Taiwan and the production of 319 condensable vapors was also dominated by photo-oxidation. The co-variations in sulfate and OC revealed in this study further suggested that secondary organic 320 321 compounds were the major condensable matter contributing to the growth of newly 322 formed particles.

323

324 While the organics predominated in the mass concentration of UFPs, which 325 included nucleation mode and Aitken mode particles, the measurements of PM<sub>1</sub> in this 326 study suggested that sulfate was the major constituent of accumulation mode aerosols. In contrast to the seasonal variation of UFPs, the mass concentration of PM<sub>1</sub> reached 327 the maximum at 18.5  $\mu$ g m<sup>-3</sup> in spring and exhibited the minimum at 11.6  $\mu$ g m<sup>-3</sup> in 328 summer. The PM<sub>1</sub> differences between spring and summer were mostly due to 329 330 declined ambient levels of sulfate, nitrate, and ammonium ions. As a result, the mass 331 contribution of the three inorganic ions in PM<sub>1</sub> reduced from 55.7 % to 46.2 % and, 332 on the contrary, the mass fraction of OC increased from 10.2 to 14.3 %. The seasonal 333 characteristics of PM<sub>1</sub> concentration and composition were attributed mostly to the 334 changes in the origin areas of background air mass, which shifted from the Asia 335 Continent to the western Pacific Ocean during summertime (see Fig. 1). Our previous studies reported that the fine particulate matter (PM<sub>2.5</sub>) transported on the Asian 336 337 outflows to northern Taiwan maximized in springtime and were enriched in sulfate, nitrate, and ammonium (Chou et al., 2008; 2010). The seasonal variability of PM<sub>1</sub> 338 found in this study was consistent with the previous observations for PM<sub>2.5</sub> and 339 thereby suggested the significance of Asian outflow aerosols to the PM<sub>1</sub> budget in the 340 341 downwind areas of the Asia Continent.

342

## 343 3.3 Seasonal characteristics of photochemical production

344 In order to study the influences of photochemical production of particles, the measurements of PNC and PSD were analyzed per daytime (07:00 - 17:00 LT) and 345 nighttime (17:00 – 07:00 LT), respectively (see Figure 4). In urban environment, the 346 possible sources influencing the PNC and PSD are complicated, which include not 347 348 only the direct emission from primary sources but also interaction between the newly 349 formed particles, pre-existing particles and condensing vapors through the condensation and coagulation processes. Nevertheless, these processes occurred 350 351 throughout the day and will not dominate in the differences between daytime and nighttime PNCs as observed in this study. It was assumed that photochemical reaction 352

was the major attributing factor to the observed diurnal differences in PNC. Since the 353 354 particles in nighttime were mainly emitted from the vehicular exhausts and the 355 elevated PNCs in daytime were due to both the primary and secondary sources of the 356 particles in the study area (Cheung et al., 2013), a larger difference between the PNCs 357 observed in daytime and nighttime indicated stronger influences of photochemical production on the PNCs. The most striking seasonal features shown in Figure 4 is the 358 359 large difference between daytime against nighttime PSD in summer as indicated by 360 the low N<sub>4-736</sub> (nighttime)/N<sub>4-736</sub> (daytime) ratio, whereas higher ratios were observed in other seasons. In addition, the diurnal variation of particle size distribution (see 361 Figure 5) provided further information about the variations in PSD. Two nucleation 362 bursts were distinctly observed in morning and afternoon traffic peak hours in autumn, 363 364 winter, and spring, while a typical PSD pattern of nucleation event (a banana curve) was dominant in summer. This result is as expected because the photochemical 365 366 production of nucleation mode particles is more intense during warm seasons 367 (Cheung et al., 2011). Moreover, as discussed in previous section, the photochemical reactions could produce condensable organics that allows the newly formed 368 nucleation mode particles to grow into the Aitken mode. The relatively small 369 differences between the daytime and nighttime N<sub>4-736</sub> in autumn and winter indicated 370 371 that the photochemical contribution in PNCs was declined as compared to that in 372 summertime.

373

#### 374

## 74 3.4 Factors affecting new particle formation (NPF)

375 As shown in previous study, the NPF events were frequently observed in summer, which subsequently induced a notable increase in N<sub>4-25</sub> in urban Taipei (Cheung et al. 376 2013). The frequency of NPF events was found to be 10 out of 84 measurement days 377 378 and the events were observed in autumn (1 out 23 days), spring (3 out of 26 days) and summer (6 out of 14 days) seasons. Figure 6 (a-d) shows the scatter plots of  $N_{4-25}$ 379 against  $NO_x$  for daytimes in each season. During the NPF events, a non-linear 380 381 relationship between these two parameters was usually observed during the daytime 382 (Cheung et al. 2013). The results showed that clear NPF events were observed often in summer and occasionally in spring, but rarely in autumn and winter in the study 383 384 area. The averaged particle growth and formation rates were found to be  $4.0\pm1.1$  nm  $h^{-1}$  and 1.4 $\pm$ 0.8 cm<sup>-3</sup> s<sup>-1</sup>, which were comparable to those measured in other urban 385 studies in Asian cities such as Hong Kong (average: 6.7 nm h<sup>-1</sup>, Wang et al., 2014) 386 and Beijing (average: 5.2 nm  $h^{-1}$ , Wang et al., 2013). The particle growth and 387 formation rates of each case are listed in **Table 2**. 388

389 390

**Table 3** summarizes the averages of  $N_{4-25}$ ,  $PM_{10}$ ,  $H_2SO_4$  proxy (as

391 UVB\*SO<sub>2</sub>/condensation sink) and wind speed for each season. The dominating factors associated to the frequent particle formation in summertime were the low 392 PM<sub>10</sub> concentration (35.6 µg m<sup>-3</sup>) and high H<sub>2</sub>SO<sub>4</sub> proxy (493.1 ppb W m<sup>-2</sup> s). The 393 association of sulfuric acid production and the NPF events agreed with the elevated 394 395 mass concentration of sulfate in UFPs during summertime (shown in **Table S1**), as 396 well as the results of previous urban studies (Woo et al. 2001; Cheung et al. 2013). This strongly suggested that the new particle formation was mainly driven by the 397 398 photochemical oxidation of SO<sub>2</sub> under low condensation sink conditions (Gao et al., 2009; Nie et al., 2014), where the  $SO_2$  could be transported from the upwind area on 399 400 the summer monsoons (see **Figure 1d**). Contrarily, the absence of particle formation events in wintertime could be attributed to the declined photochemical production of 401 402  $H_2SO_4$  as well as suppression of NPF by particles transported from the Asian continent (Lin et al., 2004). The results of this work evidenced that low  $PM_{10}$ 403 404 concentration and high sulfuric acid production favored the particle formation process 405 in urban areas.

The scatter plot between UVB\*SO<sub>2</sub> and condensation sink is depicted in Figure 406 7. Relatively higher UVB\*SO<sub>2</sub> values were obtained during NPF events. Notably, 407 408 there was a group of data with high UVB\*SO<sub>2</sub>/CS but low UVB\*SO<sub>2</sub> where no NPF 409 event was observed. This implied that there could be a threshold level of UVB\*SO<sub>2</sub> for NPF in the study region. However, some exceptions existed in the dataset and 410 411 suggested that the parameters driving NPF have not been well accounted and need to be further studied. It was also noticed that an Asian outflow event occurred on 7 April 412 2013 during which an atypical NPF was observed (labeled as black dot in Figure 7). 413 414 This could be relevant to the secondary particle formation on dust surface under the 415 influence of long-range transport of air mass. This will be discussed in further details 416 in section 3.6.

417

### 418 3.5 Influences of local emission on PNCs

Vehicle emission is known as the major source of the particulate matter in urban environment, particularly during the nighttime. In order to investigate the relationship between the vehicular exhausts and PNCs, the scatter plots of  $NO_x$  (as an indicator of vehicle emission) against N<sub>4-25</sub>, N<sub>25-100</sub> and N<sub>100-736</sub> during the nighttime were examined for winter and summer periods (see **Figure 8**). The values of the Pearson correlation coefficient (*r*) and the slope of linear regression between NO<sub>x</sub> and PNCs are summarized in **Table 4**.

426

427 The highest *r* values were found in both the plots of NO<sub>x</sub> against N<sub>25-100</sub> for 428 winter (r = 0.88) and summer (r = 0.87). This result suggested a strong linear 429 correlation between the vehicle emission and the N<sub>25-100</sub> which coincided with the 430 results from previous studies (e.g., Morawska et al. 2008). During wintertime, stronger correlation was found between NO<sub>x</sub> against N<sub>4-25</sub> (r = 0.84) and N<sub>25-100</sub> (r =431 432 0.88) compared to that between NO<sub>x</sub> and N<sub>100-736</sub> (r = 0.38). In contrast, high r values 433 were obtained between NO<sub>x</sub> and all particle modes in summer (r = 0.70 - 0.87). The 434 robust correlation of NO<sub>x</sub> and N<sub>4-25</sub>, also NO<sub>x</sub> and N<sub>25-100</sub> suggested that local vehicle emission was the predominant source of UFPs throughout a year. These results 435 coincided with previous studies on the size distribution of vehicle exhaust particles, 436 437 which were found to be 20-130 nm and 20-60 nm, respectively, for diesel and petrol engine vehicles (Harris and Maricq 2001, Ristovski et al. 2006). However, the PNCs 438 439 of accumulation mode particles  $(N_{100-736})$  in winter were dominated by 440 NO<sub>x</sub>-independent sources, which were most likely related to the pollution outbreaks 441 from the Asian continent. Lin et al. (2004) indicated that the long-range transported air mass was characterized by high level of PM<sub>10</sub> and low mixing ratio of NO<sub>x</sub> due to 442 443 its short atmospheric lifetime. Interestingly, moderate correlation between the PNC of 444 accumulation mode particles ( $N_{100-736}$ ) and  $NO_x$  was also observed in summer. Given that the Asian outflow was ceased in summertime, this correlation evidenced 445 substantial contribution of local sources, particularly vehicular emissions, to the PNC 446 447 of accumulation mode particles in Taipei, Taiwan.

448

The slope values can serve as a relative emission factor of particles per  $NO_x$ , 449 which indicates the degree of influence of vehicle emission on the PNCs (Cheung et 450 al., 2013). The corresponding slope values for N<sub>4-25</sub>, N<sub>25-100</sub>, and N<sub>100-736</sub>, were found 451 to be 279, 163, 18 cm<sup>-3</sup> · ppb<sup>-1</sup> in winter, and 239, 330, 155 cm<sup>-3</sup> · ppb<sup>-1</sup> in summer. 452 Larger sum of slope values (724 vs. 460 cm<sup>-3</sup>·ppb<sup>-1</sup>) was found in summertime 453 compared to winter period, evidencing a greater influence of the vehicle emission on 454 particle number concentration. The seasonal effects on the emission ratio of PNCs and 455 NO<sub>x</sub> are rather difficult to address due to the complexity of different controlling 456 factors, such as formation mechanisms and meteorological conditions. For example, 457 458 Nam et al. (2010) reported negatively exponential correlation between the PM/NO<sub>x</sub> 459 ratio in vehicle emission and ambient temperature, and suggested that the impact of ambient temperature on particulate matter was larger than that on NO<sub>x</sub>. Nevertheless, 460 the observed differences in the PNCs/NOx ratios for winter and summer periods of 461 462 this study necessitate further investigations on the formation mechanisms of aerosol 463 particles in urban areas, in particular the nucleation and the Aitken modes.

464

## 465 3.6 Influence of long-range transport (LRT)

466 During the seasons of winter monsoons, i.e. from autumn to spring, the 467 continental outflows have been frequently observed in urban Taipei, which is

indicated by the stable northeasterly wind and increase of O<sub>3</sub> level (Lin et al. 2004). 468 Previous studies of long-range transport (LRT) of air pollutants on air quality of 469 470 northern Taiwan showed that an elevated  $PM_{10}$  was observed under the influence of 471 continental outflows (Lin et al., 2004, Chou et al., 2004). Figure 9 depicts an LRT 472 pollution event observed at the TARO during this study. The wind direction changed from westerly/northwesterly to northeasterly at 21:00, 24 March which continued 473 until 06:00, 26 March. During this period, the O<sub>3</sub> mixing ratio remained at moderate 474 level (~30-55 ppb) and PM<sub>10</sub> increased from 10.0 to 98.0  $\mu$ g m<sup>-3</sup>. It should be noted 475 that the variations of measured pollutants were not solely influenced by the 476 477 long-range transport, but also partly due to the variation of local pollution and boundary dynamics. In this section, we attempt to analyze the PSD/PNC under the 478 479 influences of continental pollution outbreaks. The periods of the respective LRT events are listed in Table S2. 480

481

482 As shown in Figure 9, the diurnal variations of PSD during the LRT event exhibited two N<sub>4-25</sub> peaks associated to the morning and afternoon traffic rush hours, 483 whereas the PNCs of the Aitken mode particles remained at a low level. The results 484 suggested that the influences of local vehicle emission on PNCs were still in place, 485 486 whereas growth of particles due to secondary production of condensable vapors could 487 have been suppressed, as NPF was rarely observed during the LRT events. It is 488 noteworthy that a weak dust transport event was observed on 7 April 2013 where a banana shape was observed in the PSD, evidencing that secondary formation of 489 490 particles could have had occurred. However, the dominating diameter of particles was 491  $\sim$  40-50 nm at the initial stage of the event. The banana shape of PSD data was initiated since ~06:00 LT until 21:00 LT, when the northeasterly wind prevailed. The 492  $PM_{10}$  and  $O_3$  increased from minima of 44 µg m<sup>-3</sup> (at 06:00 LT) and 25 ppb (at 05:00 493 LT) to the daily maxima of 92  $\mu$ g m<sup>-3</sup> (at 17:00 LT) and 61 ppb (at 16:00 LT). This 494 result showed that the NPF process could have occurred in the upwind area where 495 496 newly formed particles were transported to the study site, or heterogeneously formed particles were released from the dust surface during the long-range transport of air 497 pollutants (Nie et al., 2014). 498

499

The averaged PSDs for LRT and non-LRT cases are shown in **Figure 10**. The geometric mean diameters of the nucleation, Aitken, and accumulation modes in PSD were found to be 10.6, 37.2 and 156.8 nm for LRT and 11.3, 30.0 and 113.4 nm for non-LRT cases, respectively. The median  $N_{4-25}$  (11.1x10<sup>3</sup> cm<sup>-3</sup>),  $N_{25-100}$  (7.3x10<sup>3</sup> cm<sup>-3</sup>) and  $N_{100-736}$  (1.8x10<sup>3</sup> cm<sup>-3</sup>) observed in non-LRT events were significantly higher than those for LRT events ( $N_{4-25}$ : 9.2x10<sup>3</sup> cm<sup>-3</sup>,  $N_{25-100}$ : 3.8x10<sup>3</sup> cm<sup>-3</sup>,  $N_{100-736}$ : 1.3x10<sup>3</sup> cm<sup>-3</sup>).

This was attributed to the lower average wind speed (and hence poor dispersion) 506 during non-LRT events  $(1.5\pm0.8 \text{ m s}^{-1})$  than that for LRT events  $(3.0\pm0.8 \text{ m s}^{-1})$ . In 507 contrast to the increase in PM<sub>10</sub> observed usually during LRT episodes (e.g., Lin et al., 508 509 2012), the relatively lower PNCs suggested that the number concentration of 510 submicron particles, in particular UFPs, was dominated by local emissions during the 511 episodes of continental pollution outbreaks. This agreed with the observation of seasonal UFPs mass concentration that peaked in summertime when Taiwan was 512 513 isolated from the influences of continental air mass.

514

### 515 4. Conclusions

516 The mass concentration and chemical composition of ultrafine particles (UFPs) and submicron particles (i.e.  $PM_1$ ) as well as the particle number concentration (PNC) 517 and size distributions (PSD) with size ranging from 4 to 736 nm were measured 518 519 during four seasonal campaigns in the period from October 2012 to August 2013 at 520 the TARO, a subtropical urban aerosol station in Taipei, Taiwan. Significant seasonal 521 variability and chemical composition of UFPs and PM<sub>1</sub> were revealed. The UFPs 522 were composed mostly of organic matter and reached maxima in summer, whereas the 523 PM<sub>1</sub> composition was dominated by ammonium and sulfate and exhibited a seasonal 524 peak in spring.

525

526 It was found that the total PNC was significantly elevated during cold seasons, which was caused mostly by the high level of nucleation mode particles  $(N_{4-25})$ . On 527 the contrary, both the Aitken mode ( $N_{25-100}$ ) and accumulation mode ( $N_{100-736}$ ) PNCs 528 529 reached their respective maxima in summertime. Consistent correlation without 530 significant seasonal variations was found between the UFPs (i.e. nucleation and 531 Aitken mode particles) and NO<sub>x</sub>, suggesting that local vehicle emission was the major 532 source of UFPs in the study area throughout a year. The local vehicle emission was also dominating the accumulation mode PNC in summer, but not in wintertime. The 533 534 declined correlation between NO<sub>x</sub> and N<sub>100-736</sub> in winter (r = 0.38) was likely due to 535 the influences of air pollution associated with the Asian outflows.

536

The elevated UFPs level in summer was attributed to the increase in the concentration of Aitken mode particles  $(N_{25-100})$ . It was revealed from the measurements of PSD that a large number of nucleation mode particles could have evolved into the Aitken mode during summertime, which was most likely relevant to the photochemical production of condensable vapors that, in turn, could have contributed to the growth of particles in the atmosphere. Moreover, the chemical measurements suggested that the constituents of the condensed materials in UFPs were mostly organic matter, implying the significance of secondary organic aerosolsin the ambient UFPs.

546

547 A total of 10 new particle formation (NPF) events occurred out of 84 548 measurement days in this study, which were observed in autumn (1 out 23 days), spring (3 out of 26 days) and summer (6 out of 14 days) seasons. The prevalence of 549 550 NPF in summer agreed with the highest H<sub>2</sub>SO<sub>4</sub> proxy and lowest PM<sub>10</sub> observed in 551 this study, which provided favorable atmospheric conditions for new particle 552 formation. The averaged particle growth and formation rates for the NPF events were  $4.0\pm1.1$  nm h<sup>-1</sup> and  $1.4\pm0.8$  cm<sup>-3</sup> s<sup>-1</sup>, respectively, which were comparable to those 553 measured in previous urban studies. 554

555

As exemplifying above, the characteristics of various physicochemical properties of particles investigated in this study and the occurrence of NPF exhibited a strong seasonal variability, which was co-influenced by the long-range transported particles during the seasons of winter monsoons and the strong photochemical activities in summer. The results of this study are critical for the authorities involved in urban development and health impact assessment, and the environmental policy makers who are tackling the severe atmospheric pollution in the East Asia region.

563

# 564 Acknowledgements

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**Table 1.** Median and standard deviation of the PNCs measured in each season. The size ranges of the PNCs were represented by the subscripted number. For example,  $N_{4-25}$ , represents the number concentrations of the particles from 4 to 25 nm. The fractions of N<sub>4-25</sub> and N<sub>4-100</sub> to total PNCs were presented in the last two columns. 

	Measurement periods	N <sub>4-736</sub> (#/cm <sup>3</sup> )	N <sub>4-25</sub> (#/cm <sup>3</sup> )	$N_{25-100}$ (#/cm <sup>3</sup> )	$N_{4-100}$ (#/cm <sup>3</sup> )	N <sub>100-736</sub> (#/cm <sup>3</sup> )	N <sub>4-25</sub> /N <sub>4-736</sub>	N <sub>4-100</sub> /N <sub>4-736</sub>
Autumn	24 Oct-15 Nov2012	13.9 x 10 <sup>3</sup>	8.6 x 10 <sup>3</sup>	$3.9 \times 10^3$	12.7 x 10 <sup>3</sup>	1.3 x 10 <sup>3</sup>	0.62	0.90
		$(6.7 \times 10^3)$	$(4.5 \times 10^3)$	$(2.7 \times 10^3)$	$(6.4 \times 10^3)$	$(0.9 \times 10^3)$		
Winter	4–24 Jan 2013	17.4 x 10 <sup>3</sup>	11.6 x 10 <sup>3</sup>	4.1 x 10 <sup>3</sup>	16.3 x 10 <sup>3</sup>	$0.9 \ge 10^3$	0.70	0.94
		$(8.7 \times 10^3)$	$(6.0 \times 10^3)$	$(3.7 \times 10^3)$	$(8.5 \times 10^3)$	$(0.9x10^3)$		
Spring	17 Mar-11 Apr 2013	$19.4 \ge 10^3$	$10.3 \times 10^3$	5.8 x 10 <sup>3</sup>	$17.0 \ge 10^3$	1.9 x 10 <sup>3</sup>	0.56	0.89
		$(13.8 \times 10^3)$	$(11.3 \times 10^3)$	$(4.3 \times 10^3)$	$(13.6 \times 10^3)$	$(1.1 \times 10^3)$		
Summer	1–14 Aug 2013	16.6 x 10 <sup>3</sup>	6.9 x 10 <sup>3</sup>	$6.0 \ge 10^3$	13.7 x 10 <sup>3</sup>	3.1 x 10 <sup>3</sup>	0.44	0.87
		$(16.4 \times 10^3)$	$(9.1 \times 10^3)$	$(9.1 \times 10^3)$	$(15.4 \text{x} 10^3)$	$(2.6 \times 10^3)$		

Date	Time period (LT)	Growth rate (nm h <sup>-1</sup> )	Formation rate (cm <sup>-3</sup> s <sup>-1</sup> )
9 Nov 2012	07:00-13:00	3.4	1.30
26 Mar 2013	06:00-10:00	3.4	1.91
4 Apr 2013	07:00 - 10:00	3.7	1.13
5 Apr 2013	08:00 - 12:00	5.5	1.10
4 Aug 2013	09:00 - 12:00	3.9	1.84
5 Aug 2013	09:00 - 13:00	4.9	2.44
7 Aug 2013	06:00 - 12:00	3.5	0.84
8 Aug 2013	09:00 - 12:00	5.0	2.76
9 Aug 2013	06:00 - 13:00	1.6	0.39
11 Aug 2013	06:00 - 09:00	4.8	0.58
Average		4.0 (±1.1)	1.4 (±0.8)

579 Table 2. Time periods defined as the new particle formation events and the particle growth and formation rates

583 Table 3. Average of  $N_{4-25}$ ,  $PM_{10}$ , UVB, SO<sub>2</sub>, condensation sink (CS),  $H_2SO_4$  proxy and wind

speed of different seasons. (note: the data with observation of rainfall was not used in

585 calculation).

Periods	N <sub>4-25</sub>	PM <sub>10</sub>	UVB	SO2	CS	$H_2SO_4 proxy$	Wind speed
	$(cm^{-3})$	(µ <b>g m</b> <sup>-3</sup> )	$(Wm^{-2})$	(ppb)	$(10^{-2} s^{-1})$	( <b>ppb</b> Wm <sup>-2</sup> s)	$(ms^{-1})$
Autumn	8.6 x 10 <sup>3</sup>	53.9	1.04	2.27	0.85	307.1	2.82
Winter	11.6 x 10 <sup>3</sup>	48.4	0.80	2.58	0.75	240.0	2.34
Spring	$10.5 \ge 10^3$	61.1	0.99	2.76	1.35	238.4	2.17
Summer	$6.9 \ge 10^3$	35.6	1.97	3.19	1.89	493.1	2.35

587 Table 4. Pearson correlation coefficient (*r*) and slope of linear regression of PNCs against
 588 NO<sub>x</sub> during the nighttime (20:00-04:00 LT) in winter and summer periods.

Peri	ods	N <sub>4-25</sub>	N <sub>25-100</sub>	N <sub>100-736</sub>
Winter	Slope	279	163	18
winter	r	0.84	0.88	0.38
<u></u>	Slope	239	330	155
Summer	r	0.76	0.87	0.70

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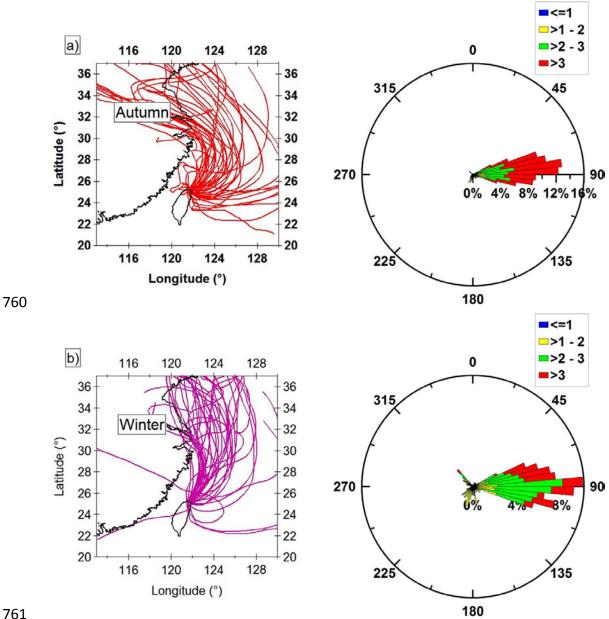
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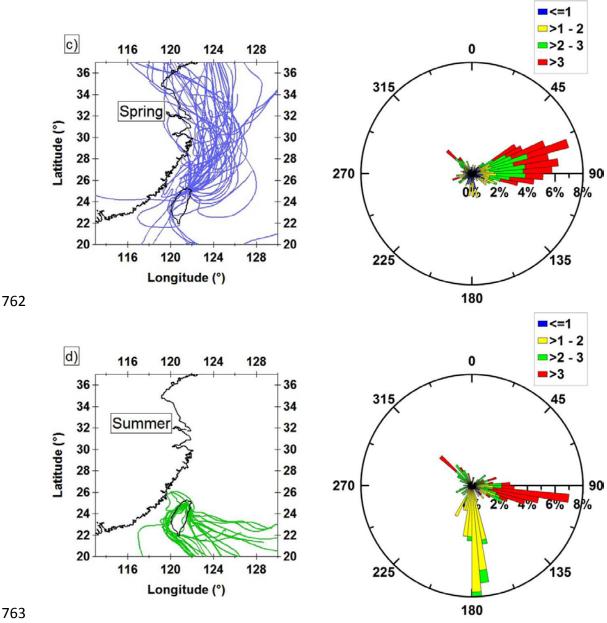
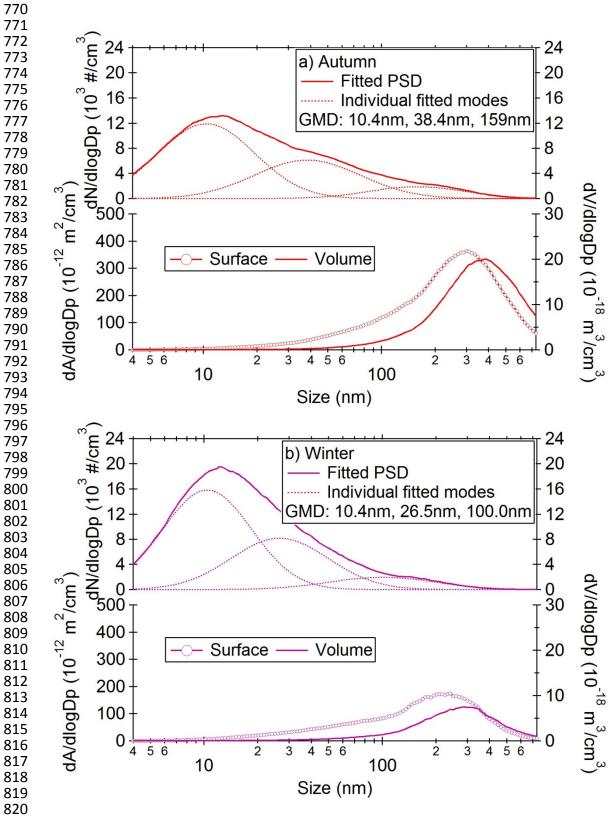


Figure 1. Back-trajectories calculated for TARO for the measurement periods (left panel) and surface wind rose plots (right panel) in (a) autumn, (b) winter, (c) spring and (d) summer. The color codes of wind rose plots represent the wind speed: blue  $< 1 \text{ ms}^{-1}$ ; yellow 1-2 ms<sup>-1</sup>; green  $2-3 \text{ ms}^{-1}$ ; and red > 3 ms $^{-1}$ . 



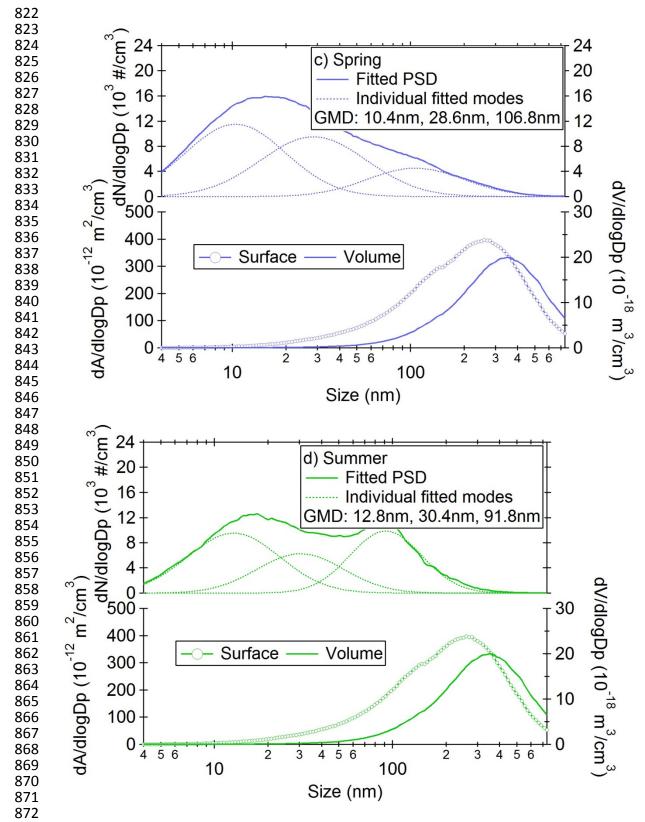
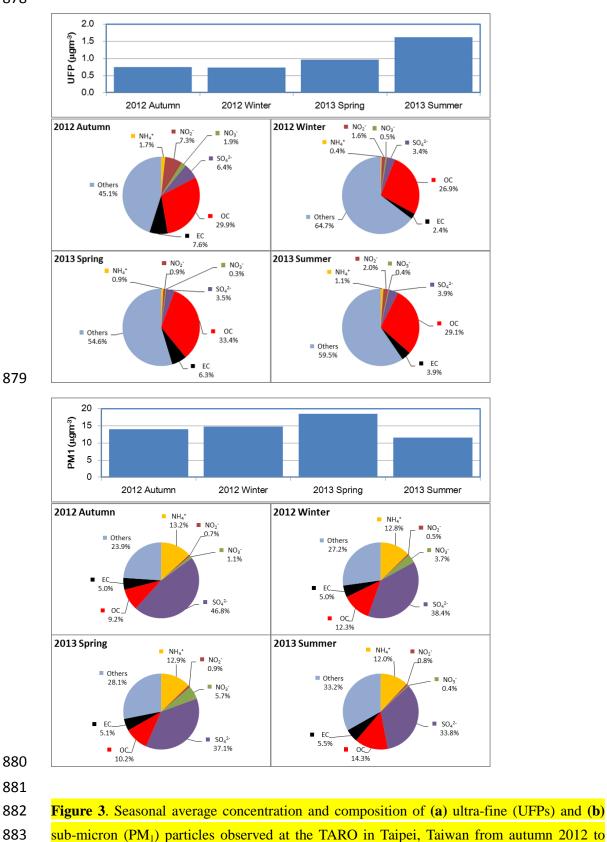
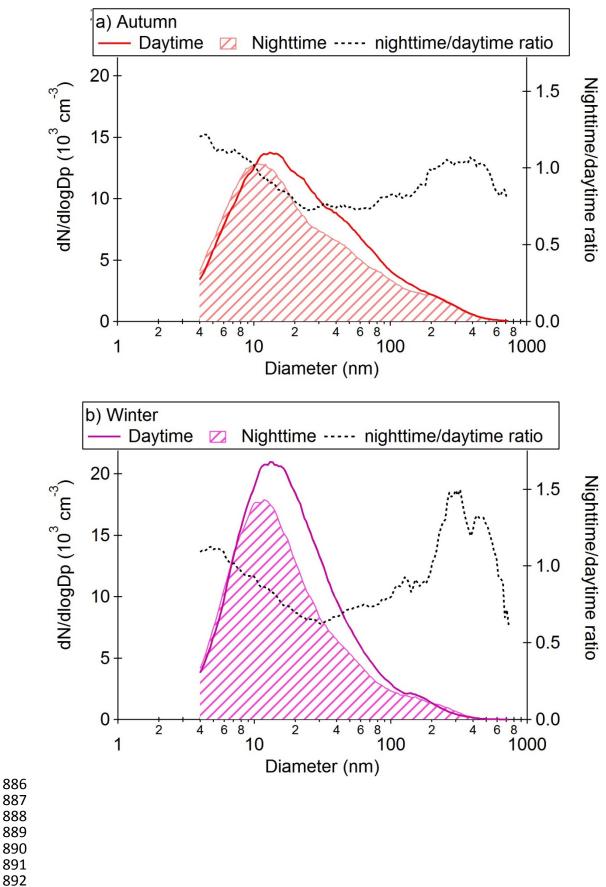


Figure 2. Size distribution of particle number (upper panel), surface and volume (lower panel)
concentrations measured in (a) autumn, (b) winter, (c) spring and (d) summer (by curve
fitting).





884 summer 2013.



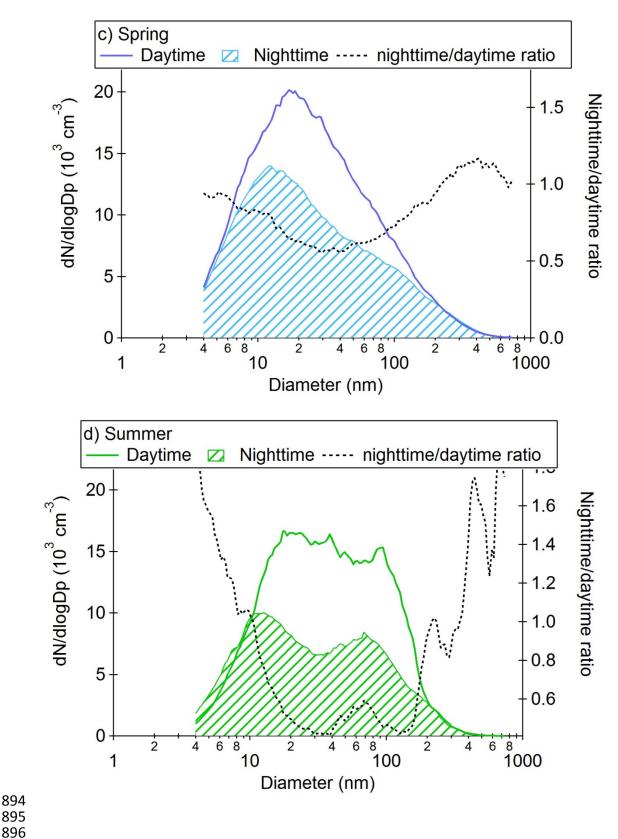




Figure 4. Median PSDs measured during the daytime (07:00 - 17:00 LT) and nighttime (17:00 - 07:00) in (a) autumn, (b) winter, (c) spring and (d) summer. 

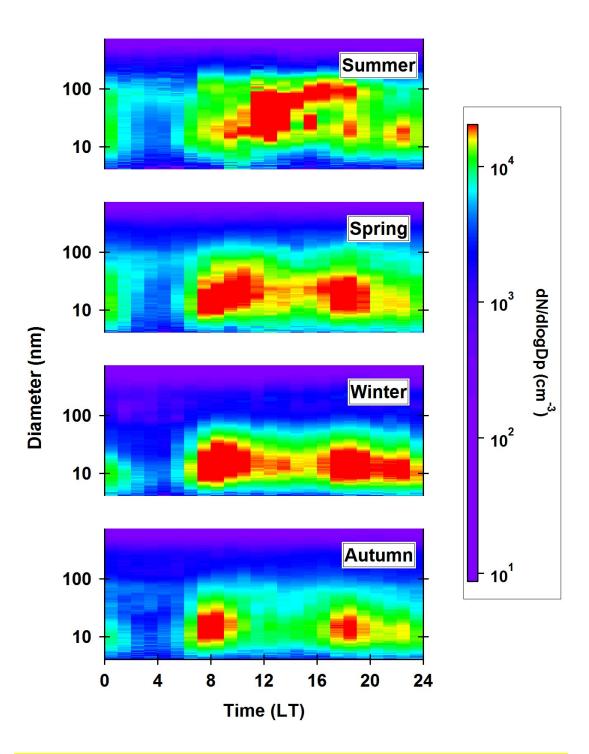
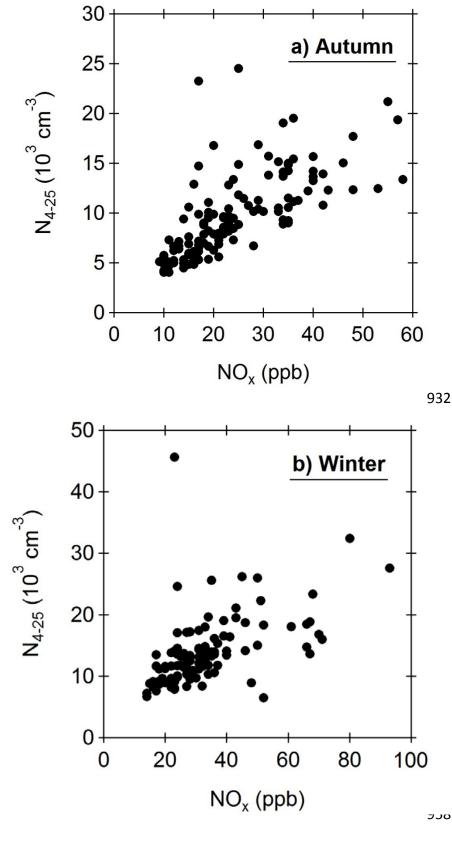
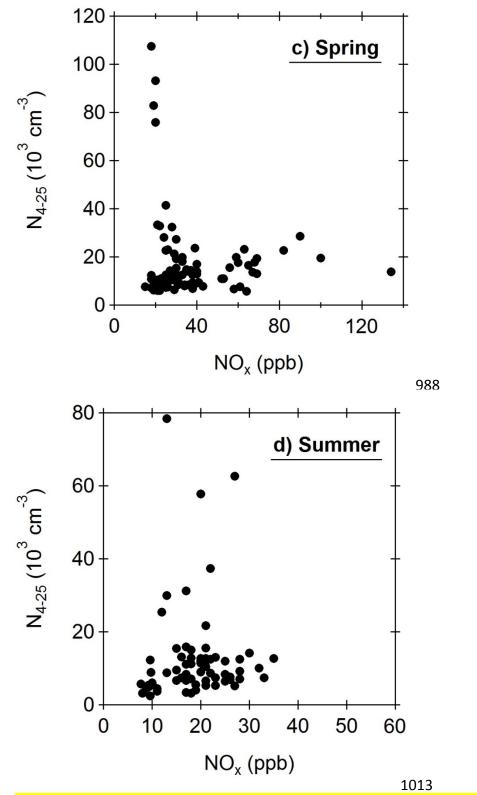


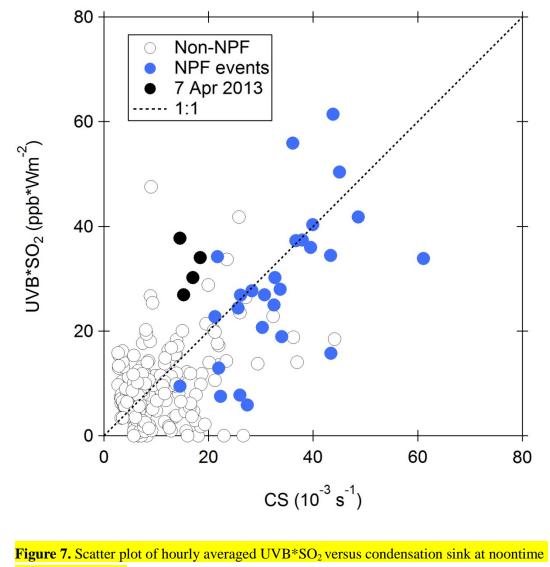


Figure 5. Diurnal variation of particle number size distribution in each season. From lower
 panel to top panel: autumn, winter, spring and summer.

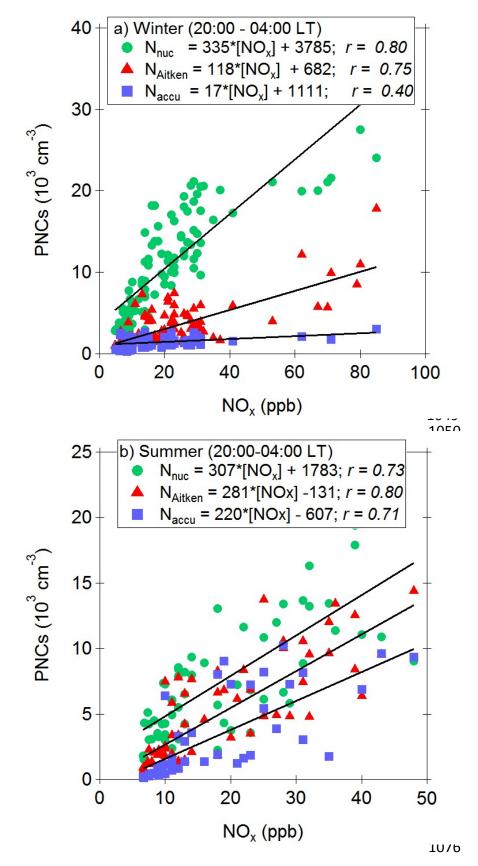




1014Figure 6. Scatter plots between hourly  $N_{4-25}$  and  $NO_x$  observed in (a) Autumn, (b) Winter, (c)1015Spring and (d) Summer at TARO site during the period of 07:00 - 17:00 LT.

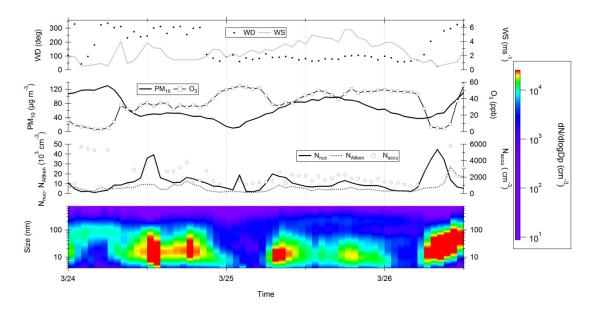


- 1021 (10:00 14:00 LT).



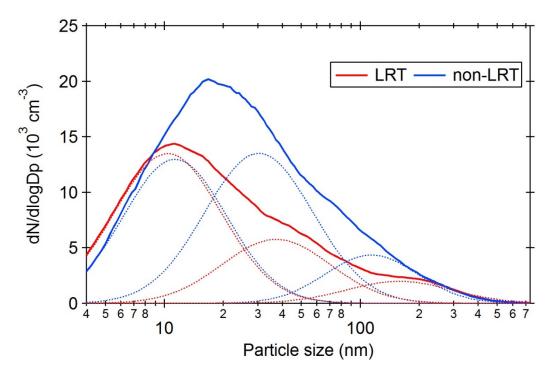


**Figure 8.** Scatter plots for hourly averaged PNCs vs.  $NO_x$  measured during the time period of 20:00 – 04:00 (LT) in (a) winter and (b) summer, with classification of various particle size ranges.





1083Figure 9. Time series of PSD, the  $N_{4-25}$ ,  $N_{25-100}$ ,  $N_{100-736}$ ,  $PM_{10}$ , ozone (O3) and wind1084direction/speed measured from 24 - 26 March 2013 (from bottom to top).



1087
1088 Figure 10. Averaged PSDs for LRT and non-LRT episodes measured during the seasons of winter monsoons. Dashed lines illustrate the PSD of each individual mode.