#### 1 Biomass burning impact on CCN number, hygroscopicity and cloud 2 formation during summertime in the Eastern Mediterranean

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#### 20 Abstract

This study investigates the concentration, cloud condensation nuclei (CCN) activity and 21 22 hygroscopic properties of particles influenced by biomass burning in the eastern Mediterranean and their impacts on cloud droplet formation. Air masses sampled were 23 subject to a range of atmospheric processing (several hours up to 3 days). Values of 24 25 the hygroscopicity parameter,  $\kappa$ , were derived from CCN measurements and a Hygroscopic Tandem Differential Mobility Analyzer (HTDMA). An Aerosol Chemical 26 Speciation Monitor (ACSM) was also used to determine the chemical composition and 27 mass concentration of non-refractory components of the submicron aerosol fraction. 28 29 During fire events, the increased organic content (and lower inorganic fraction) of the 30 aerosol decreases the values of  $\kappa_i$  for all particle sizes. Particle sizes smaller than 80 nm exhibited considerable chemical dispersion (where hygroscopicity varied up to 31 32 100% for particles of same size); larger particles, however, exhibited considerably less 33 dispersion owing to the effects of condensational growth and cloud processing. ACSM measurements indicate that the bulk composition reflects the hygroscopicity and 34 35 chemical nature of the largest particles (having a diameter of ~100 nm at dry 36 conditions) sampled. Based on Positive Matrix Factorization (PMF) analysis of the 37 organic ACSM spectra, CCN concentrations follow a similar trend with the biomass burning organic aerosol (BBOA) component, with the former being enhanced between 38 65 and 150% (for supersaturations ranging between 0.2 and 0.7%) with the arrival of 39 40 the smoke plumes. Using multilinear regression of the PMF factors (BBOA, OOA-BB and OOA) and the observed hygroscopicity parameter, the inferred hygroscopicity of 41 42 the oxygenated organic aerosol components is determined. We find that the transformation of freshly-emitted biomass burning (BBOA) to more oxidized organic 43 aerosol (OOA-BB) can result in a twofold increase of the inferred organic 44 45 hygroscopicity; about 10% of the total aerosol hygroscopicity is related to the two 46 biomass burning components (BBOA and OOA-BB), which in turn contribute almost

47 35% to the fine-particle organic water of the aerosol. Observation-derived calculations 48 of the cloud droplet concentrations that develop for typical boundary layer clouds 49 conditions suggest that biomass burning increases droplet number, on average by 50 8.5%. The strongly sublinear response of clouds to biomass burning (BB) influences is a result of strong competition of CCN for water vapor, which results in very low 51 52 maximum supersaturation (0.08% on average). Attributing droplet number variations 53 to the total aerosol number and the chemical composition variations shows that the importance of chemical composition increases with distance, contributing up to 25% 54 55 of the total droplet variability. Therefore, although BB burning may strongly elevate CCN numbers, the impact on droplet number is limited by water vapor availability and 56 57 depends on the aerosol particle concentration levels associated with the background. 58

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#### 60 1. Introduction

Globally, biomass burning (BB) is a major source of atmospheric aerosols (Andreae et 61 62 al., 2004). In the eastern Mediterranean, up to one third of the dry submicron aerosol 63 mass during the summer period consists of highly oxidized organic compounds 64 (Hildebrandt et al., 2010). During July-September, biomass-burning aerosol originates 65 from long-range transport from Southern Europe and countries surrounding the Black 66 Sea (Sciare et al., 2008). Bougiatioti et al. (2014) showed that of the total organic aerosol (OA), about 20% is freshly-emitted biomass burning organic aerosol (BBOA), 67 68 30% is oxidized, processed OA originating from BBOA (BB-OOA), and the remaining 69 50% is highly oxidized aerosol that results from extensive atmospheric aging. Hence, 70 in term of organic mass, during time periods of high biomass burning activity, at least 50% of the aerosol can be attributed to BB emissions. 71

Aerosol liquid water content (LWC) is a key medium for atmospheric chemistry that also drives the partitioning of soluble organic vapors to the particle phase (Carlton and Turpin, 2013). LWC is a prime modulator of aerosol direct radiative forcing (e.g., Pilinis et al., 1995), and by promoting secondary aerosol formation it can influence aerosol mass and number, that impacts both on the aerosol direct and indirect effect (Kanakidou et al., 2005).

78 Biomass burning aerosol particles have the potential to act as cloud condensation 79 nuclei (CCN), thereby impacting on cloud properties and climate. Modeling studies suggest that BB is a significant global source of CCN number (Spracklen et al., 2011). 80 Laboratory and field studies have shown that biomass burning aerosol is highly 81 hygroscopic and water-soluble, exhibiting up to about half the water uptake capacity 82 83 of ammonium sulfate (Asa-Awuku et al., 2008; Cerully et al., 2014). Engelhart et al. 84 (2012) found that freshly emitted BBOA displays a broad range of hygroscopicity ( $\kappa$ parameter from 0.06 to 0.6) that considerably reduces after just a few hours of 85 photochemical aging, to a  $\kappa$  value of 0.2±0.1 (Petters and Kreidenweis, 2007). Few 86 studies, however, focus on the hygroscopicity of ambient BB aerosol as a function of 87 atmospheric age extending out to a few days. Relatively few studies also go beyond 88 89 CCN to calculations of droplet number (e.g., Roberts et al., 2003), and even fewer 90 studies characterize the relative role of aerosol number and chemical composition 91 (hygroscopicity) variability to the predicted droplet number variability in clouds formed from BB-influenced masses. These issues are important, because the supersaturation
that develops in clouds is not known beforehand, nor constant, but rather a strong
function of the CCN levels and cloud dynamical forcing (updraft velocity).

95 In the current study we focus on the hygroscopicity, CCN concentrations and resulting droplet formation characteristics (droplet number and cloud supersaturation) 96 97 associated with air masses influenced by summertime biomass burning events in the eastern Mediterranean. The smoke-laden air masses sampled were subject to a range 98 99 of atmospheric processing (several hours up to 3 days), identified using remote 100 sensing techniques (Moderate Resolution Imaging Spectroradiometer, Kaufman and 101 Remer, 1994; Cloud-Aerosol Lidar with Orthogonal Polarization, Winker et al., 2009, 102 Mamouri et al., 2012), backtrajectory analysis and other in-situ chemical metrics. Values of the hygroscopicity parameter,  $\kappa$ , were derived from CCN and HTDMA 103 104 measurements and linked to distinct chemical constituents identified with Positive 105 Matrix Factorization of the chemical constituents measured with an Aerosol Chemical Speciation Monitor (ACSM). Finally, the observations are used to predict the cloud 106 droplet number and supersaturation formed in clouds that develop in each air mass, 107 focusing on the contribution of aerosol number and hygroscopicity to the predicted 108 droplet number variability. This is one of the very few field studies that use in-situ 109 110 observations to i) unravel the contributions of composition and aerosol size to BB CCN distributions and their impacts on cloud droplet number, ii) guantify the contributions 111 of biomass burning constituents to aerosol hygroscopicity and liquid water in the 112 113 region.

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### 115 2. Experimental Methods

#### 116 *2.1 Sampling site and period*

The measurements were performed at the Finokalia station (35°32'N, 25°67'E; 117 118 http://finokalia.chemistry.uoc.gr) of the University of Crete, which is part of the 119 Aerosols, Clouds, and Trace gases Research Infrastructure Network (ACTRIS; http://www.actris.net/). More details about the sampling site are provided by 120 Mihalopoulos et al. (1997) and Sciare et al. (2003). Although measurements took place 121 from mid-August to mid-November 2012, the focus of our analysis involves the periods 122 123 of intense biomass burning influence, August to September 2012. BB plumes sampled 124 were fresh, originating from the Greek islands and mainland (transport time 6-7 h) but 125 also from long-range transport from the Balkans (transport time > 1 day) as 126 determined by using HYSPLIT backtrajectory analysis as shown in detail in Bougiatioti et al. 2014 combined with the hot spots/fire data from MODIS/Fire Information for 127 Resource Management System (FIRMS; Remy and Kaiser, 2014). 128

### 129 *2.2 Instrumentation and methodology*

Chemical composition and mass concentration of non-refractory components
(ammonium, sulfate, nitrate, chloride and organics) of the submicron aerosol fraction
was provided by an Aerodyne Research Aerosol Chemical Speciation Monitor (ACSM;
Ng et al., 2011) with a temporal resolution of 30 minutes. More details of the ACSM
measurements and subsequent analysis can be found in Bougiatioti et al. (2014). Total

135 absorption measurements provided the black carbon (BC) concentrations by a sevenwavelength aethalometer (Magee Scientific, AE31). From the BC measurements and 136 using the approach of Sandradewi et al. (2008) the wood-burning and fossil fuel 137 contribution to the total BC concentrations were calculated, using an absorption 138 exponent of 1.1 for fossil fuel burning and 1.86 for pure wood burning. The aerosol 139 particle size distributions from 9 to 850 nm were measured with a 5-min resolution by 140 a custom-built scanning mobility particle sizer (SMPS: TROPOS-type, Wiedensohler et 141 al., 2012) equipped with a condensation particle counter (CPC; TSI model 3772; ; 142 Stolzenburg and McMurry, 1991). Sample humidity was regulated below the relative 143 humidity of 40% with the use of Nafion<sup>®</sup> dryers in both aerosol and sheath flow and 144 the measured umber size distributions were corrected for diffusional particle losses 145 (Kalivitis at al. 2015). A Continuous Flow Stream-wise Thermal Gradient CCN Chamber 146 147 (CFSTGC; Roberts and Nenes, 2005) was used in parallel with a Hygroscopic Tandem 148 Differential Mobility Analyzer (HTDMA; Rader and McMurry, 1986) to measure the CCN 149 number, activity and hygroscopicity of ambient aerosol for supersaturated (0.1-0.7%) and subsaturated conditions (relative humidity, RH=86%), respectively. The whole 150 system, which is illustrated in Figure 1, sampled air with a total flow-rate of 1.8 L min<sup>-</sup> 151 <sup>1</sup>. After passing through a Nafion dryer (MD-110-12S-2, Perma Pure LLC, RH<30%) 152 153 the dried particles were selected based on their electrical mobility by a Differential Mobility Analyzer (DMA-1;TSI Model 3080; Knutson and Whitby, 1975). The sheath 154 flow and classified aerosol outlet flow of DMA-1 were 10.8 and 1.8 L min<sup>-1</sup>, respectively, 155 156 while the mobility diameter was changed every 6 minutes between 60, 80, 100 and 120 nm. 157

The classified aerosol from DMA-1 was then split into two streams. The first stream 158 was passed through a Nafion-tube humidity exchanger where its RH was increased to 159 160 86%. The size distribution of the RH-conditioned particles was determined by a second DMA (DMA-2; custom-made DMA using a closed-loop sheath flow with RH control; 161 162 Biskos et al., 2006; Bezantakos et al., 2013) coupled with a Condensation Particle Counter (CPC, TSI Model 3772. The RH in both the aerosol and the sheath flow in 163 DMA-2 was controlled by PID controllers to within  $\pm 2\%$  accuracy. Both DMAs in the 164 HTDMA system were calibrated with Polystyrene Latex (PSL) spheres. The other 165 classified stream was introduced into the CFSTGC to measure the CCN activity of 166 particles. The CFSTGC was operated in Scanning Flow CCN Analysis (SFCA) mode 167 (Moore and Nenes, 2009), in which the flow rate in the growth chamber changes over 168 169 time, while a constant streamwise temperature difference is applied. This causes supersaturation to change continuously, allowing the rapid and continuous 170 measurement of CCN spectra with high temporal resolution. The SFCA cycle used 171 involved first increasing the flow rate linearly between a minimum flow rate ( $Q_{min} \sim$ 172 300 cm<sup>3</sup> min<sup>-1</sup>) and a maximum flow rate ( $Q_{max} \sim 1000$  cm<sup>3</sup> min<sup>-1</sup>) over a ramp time 173 of 60 seconds. The flow was maintained at  $Q_{max}$  for 10 seconds and then linearly 174 decreased to  $Q_{min}$  over 60 s. Finally, the flow rate was held constant at  $Q_{min}$  for 10 s 175 and the scan cycle was repeated. The activated droplets in the CFSTGC were counted 176 and sized at the exit of its growth chamber with an Optical Particle Counter (OPC) that 177 detects droplets and classifies them into 20 size bins with diameter ranging from 0.7 178 179 to 10 µm every 1 s.

180 The water vapor supersaturations developed in the CFSTGC during an SFCA cycle were characterized with ammonium sulfate calibration aerosol following the procedure of 181 Moore and Nenes (2009). In brief, an ammonium sulfate solution was atomized, dried, 182 183 charge-neutralized and classified by DMA-1. The resulting monodisperse aerosol flow was split between DMA-2 and the CFSTGC, operating in SFCA mode and with a CFSTGC 184 streamwise temperature difference of  $\Delta T=5$  K. From this setup, we obtain the 185 instantaneous concentrations of the classified aerosol and the resulting CCN during 186 the SFCA flow cycles. . The ratio of CCN to total aerosol number gives the activation 187 ratio,  $R_{a_i}$  which varies with the instantaneous volumetric flow rate,  $Q_i$  in the CFSTGC. 188 189 Using data from multiple SFCA flow cycles,  $R_a$  is then fit to a sigmoid function that depends on Q: 190

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$$R_a = \frac{CCN}{CN} = a_o + \frac{a_1 - a_0}{1 + (Q/Q_{50})^{-a_2}}$$
(1)

where  $a_0$ ,  $a_1$ ,  $a_2$  and  $Q_{50}$ , are constants which describe the minimum, maximum, slope 192 and inflection point of the sigmoidal, respectively. The "critical flow rate",  $Q_{50}$ , 193 194 corresponds to the instantaneous flow rate that produces a level of supersaturation, 195 s, required to activate the measured monodisperse aerosol. s is determined from the size of the classified aerosol using Köhler theory (Moore et al., 2012a). 196 .

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Repeating the procedure for many sizes of classified ammonium sulfate results in the 198 SFCA calibration curve, which gives the supersaturation in the CFSTGC as a function 199 of flow rate (i.e Q<sub>50</sub> vs. s) throughout an SFCA flow cycle. Absolute uncertainty of the 200 calibrated CCNC supersaturation is estimated to be  $\pm 0.04\%$  (Moore et al., 2012a; 201 202 2012b).

In our instrument setup,  $R_a$  can change either from variations in the size of the 203 204 monodisperse aerosol,  $d_p$ , or the instrument supersaturation, s (or flow rate, Q). The 205 independently varied parameter is indicated hereon in parentheses in front of the activation ratio, e.g.,  $R_a(Q)$ ,  $R_a(s)$ ,  $R_a(d_p)$  for  $R_a$  as a function of  $Q_i$ , s and  $d_{p_i}$ 206 207 respectively.

Analysis of  $R_a$  obtained for our experimental setup for ambient particles samples 208 provide very important information on the activity and chemical mixing state of the 209 CCN. This is carried out as follows. For every particle size  $d_p$  set by the DMA-1,  $R_a(Q)$ , 210 211 is measured at every instant in the CFSTGC according to Eq.1. Typically  $a_0 \ll a_1$ ; given that Q and s are related through the calibration,  $R_a(Q)$  data can be transformed 212 213 to  $R_a$  (s) as:

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$$R_a(s) = \frac{E}{1 + \left(\frac{s}{s^*}\right)^C}$$
(2)

where  $s_1 s^*$  correspond to  $Q_1 Q_{50}$  of the monodisperse aerosol. E, C are parameters 215 216 determined from fitting. According to Cerully et al., (2011),  $R_a(s)$  represents a cumulative distribution of critical supersaturation for particles with dry diameter  $d_p$ ; 217 Köhler theory can then be applied to express  $R_a(s)$  in terms of the hydroscopicity 218 parameter  $R_a(\kappa)$ : 219

$$R_{a}(\kappa) = \frac{E}{1 + \left(\frac{\kappa}{\kappa^{*}}\right)^{C/2}}$$
(3)

221 where  $\kappa = \frac{4A^3}{27d_p^3 s^2}$  is the dependence of  $\kappa$  on  $d_p$  and  $s_r = \frac{4M_w \sigma_w}{RT\rho_w}$  is the Kelvin

parameter, whereas  $M_w$ ,  $\sigma_w$  and  $\rho_w$  are respectively the molar mass, the surface tension and the density of water, R is the universal gas constant, and T is temperature. In equations 2, 3,  $s^*$  and  $\kappa^*$  correspond to the characteristic critical supersaturation and hygroscopicity parameter of the monodisperse aerosol, respectively and correspond to the most probably values of the parameters (Cerully et al., 2011). From Equation 3, the probability distribution function for  $\kappa$ ,  $p^s(\kappa)$ , can be derived for the ambient monodisperse aerosol (Cerully et al., 2011):

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$$p^{s}(\kappa) = \frac{1}{E} \frac{dR_{a}(\kappa)}{d\kappa} = -\frac{\frac{C}{\kappa^{*}2} \left(\frac{\kappa}{\kappa^{*}}\right)^{\frac{C}{2}-1}}{\left(1 + \left(\frac{\kappa}{\kappa^{*}}\right)^{\frac{C}{2}}\right)^{2}}$$
(4)

Analysis of  $p^{s}(\kappa)$  can provide a direct measure of the chemical heterogeneity of the CCN population. For this, we adopt the metric of chemical dispersion,  $\sigma(\kappa)$ , introduced by Lance (2007) and further developed in Cerully et al. (2011) and Lance et al. (2013):

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$$\sigma^{2}(\kappa) = \frac{\int_{0}^{1} (\kappa - \kappa^{*})^{2} p^{s}(\kappa) d\kappa}{\int_{0}^{1} p^{s}(\kappa) d\kappa}$$
(5)

234  $\sigma(\kappa)$  is the square root of variance about  $\kappa^*$ ; as the chemical heterogeneity of the CCN 235 increases, the distribution of  $\kappa$  broadens, and  $\sigma(\kappa)$  becomes larger so that the range in 236 CCN hygroscopicity is given by  $\kappa^* \pm \sigma(\kappa)$ .

Particle water uptake at sub-saturated conditions in the Nafion-tube humidity exchanger and DMA-2 was also evaluated by the growth factor measured for the calibration  $(NH_4)_2SO_4$ . Particle hygroscopic growth at sub-saturated conditions  $(g_i)$  is obtained by:

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$$g(RH) = \frac{d_m(RH)}{d_p}$$
(6)

where  $d_m(RH)$  and  $d_p$  are the geometric mean mobility diameters of the sampled particles at the hydrated state (i.e. at RH=86%) as measured by DMA-2 and the CPC, and at the dry state selected by DMA-1 (RH< 30%), respectively. Particle size distributions at 86% RH were inverted using the TDMAfit algorithm (Stolzenburg and McMurry, 1988) which is also capable of distinguishing between internally and externally mixed aerosols (e.g. Bezantakos et al., 2013).

Hygroscopicities determined from the CCN measurements are differentiated bycorresponding values from the HTDMA measurements by including and index CCN,

HTDMA, respectively (e.g.  $\kappa_{HTDMA}$ ,  $\kappa_{CCN}$ ).  $\kappa_{HTDMA}$  is calculated from the HTDMAmeasured sub-saturated hygroscopic growth factors using:

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$$\kappa_{HTDMA} = (g(RH)^{3} - 1) \left( \frac{\exp(\frac{A}{g(RH)^{*}d_{p}})}{\frac{RH}{100\%}} - 1 \right)$$
(7)

with *A* being the Kelvin paraeter defined in Equation 3. The exponential term of this equation (i.e. the Kelvin term) is used to account for curvature effects on vapor pressure.

An average value of the hygroscopic parameter at each dry particle size,  $d_p$ , which is representative of the hygroscopic properties of the entire particle population is obtained as follows:

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$$\overline{\kappa_{HTDMA}} = \int_{g_{min}}^{g_{max}} \kappa(g_{(RH)}) p(g_{(RH)}) dg_{(RH)}$$
(6)

where  $\kappa(g_{(RH)})$  is obtained from the growth factor probability distribution using equation 5 and  $p(g_{(RH)})$  is the probability of each growth factor.  $g_{min}$ ,  $g_{max}$  are the minimum and maximum growth factors, respectively, obtained from the growth factor probability distribution and represent the minimum and maximum g with non-zero probability value.

To support the *in situ* instruments, we used space-borne laser remote sensing (lidar) data from CALIOP (Mamouri et al., 2009; Winker et al., 2009) to characterize the plumes emerging from the fire hot spots. The fire plume originating from any location can be tracked by HYSPLIT back-trajectory analysis (Bougiatioti et al., 2014) and lidar observations can be used to check the presence of aerosol layers and aerosol types. Optical confirmation of the smoke plumes is provided by MODIS and FIRMS as shown in the supplementary material of Bougiatioti et al. 2014.

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# 273 3. Results and Discussion

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# 3.1 Identifying periods of biomass burning influence

Bougiatioti et al. (2014) identified the BB events analyzed here by the time evolution 275 of absorption enhancements (BC) in the aerosol, which was further verified by FIRMS 276 and back-trajectory analysis. During these events mass spectrometric biomass burning 277 278 tracers (i.e. fragments m/z=60 and 73) also exhibited elevated levels. Clear biomass burning contribution was identified by source apportionment using Positive Matrix 279 280 Factorization (PMF) analysis for four distinct events. The BB events considered include a severe fire event that burned most of the island of Chios (19-21 August), an 281 extensive wildfire at the Dalmatian Coast in Croatia resulting in smoke plumes that 282 spread across the Balkans during the period 28-30 August, and, less extensive fires 283 on the Greek islands of Euboea (3-5 September) and Andros (12-13 September). All 284 fire events exhibited discrete BBOA profiles depending on the biomass burning fuel, as 285 presented in detail by Bougiatioti et al. (2014). Nevertheless, the organic aerosol 286 derived from the aging of the biomass burning aerosol (OOA-BB) identified for all 287 288 events had a similar profile, regardless of the BBOA it was derived from (Bougiatioti et 289 al. 2014). Transport time estimate and backtrajectory analysis were conducted with 290 the Plume Arrival (h) from Base Time graphics with the help of the HYSPLIT model (www.arl.noaa.gov/hysplit.php).MODIS and CALIOP measurements confirm the 291 validity of the Bougiatioti et al. (2014) analysis, by clearly showing the origin, transport 292 path and characteristics of the biomass burning plume from the Chios fire on 18 and 293 19 August 2012, respectively. Indeed, in Figure 2a we show the MODIS true color 294 image showing the plume emerging from the Chios fires on 18 August 2012 as 295 296 obtained during its 9.39 UTC overpass over Greece (Kyzirakos et al., 2014). The blue and red lines delineate the ground track of the CALIPSO satellite during its overpass 297 over Crete several hours later on 19 August 2012 (the first between 00:27-00:40 and 298 the second between11:34-11:47 UTC); the red star shows the sampling site at 299 Finokalia station. The CALIPSO vertical profiles of the aerosol backscatter coefficient 300 301 (in km<sup>-1</sup>sr<sup>-1</sup>) at 532 and 1064 nm for the two overpasses are shown in Figure 2b (left-302 hand side) together with the corresponding linear particle depolarization ratio at 532 303 nm obtained between 00:27:30-00:40 UTC (right-hand side). Comparing the midnight 304 and the daytime aerosol backscatter profiles in Figure 2b, we observe that the midnight 305 values are 3-4 times lower than the daytime ones for altitudes up to 3 km height. In addition, the daytime observations show a discrete aerosol layer below 1.5 km. As for 306 the linear particle depolarization ratio it shows a mean value of 19% (up to 1.25 km 307 height) and less than 6-10% (1.25-2 km). 308

Finally, we made use of the classification scheme of the CALIPSO data (Omar et al., 309 2009) to classify the different subtypes of aerosols in the plume captured during its 310 first overpass over Crete on 19 August 2012 (00:27-00:40 UTC). This classification 311 scheme, based on the optical and microphysical properties of the sampled aerosols 312 indeed reveals the presence of a mixture of smoke, polluted dust and marine particles 313 314 observed below a 3 km altitude (black color for smoke, brown for polluted dust and blue for marine) as shown in Figure 2c, within the depicted area between 39°N, 24.1°E-315 316 37°N, 23.4°E, just NW of the Finokalia station and along the CALIPSO ground track. According to this classification, over Crete the presence of polluted dust (mixed with 317 smoke and marine aerosols) prevails within the marine boundary layer, which for 318 319 Finokalia is approximately 1 km (Kalivitis et al., 2007), extending up to 0.8-1.2km height. This implies that for the 00:27-00:40 UTC time slot, the BB aerosols sampled 320 by the ground-based in situ measurements at Finokalia would contribute less (due to 321 dilution) to the global aerosol mass loading than, if measured, over the Western Crete. 322

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### 3.2 PM<sub>1</sub> composition

325 The average mass concentration for the whole measurement period (mid-August to mid-November 2012), based on the ACSM measurements combined with BC from the 326 aethalometer was  $9.2\pm4.8 \ \mu g \ m^{-3}$ . The corresponding median concentrations for the 327 main aerosol constituents were 3.56, 1.31, 3.03 and 0.47 µg m<sup>-3</sup> for sulfate, 328 ammonium, organics and BC respectively. Figure 3 represents the time series of the 329 330 major submicron species where it can be seen that during the fire events the contribution of organics and BC increased substantially (from 34.9 to 46.5% for 331 organics and from 6.1 to 9.5% for BC) with a simultaneous reduction of that of sulfate. 332 Source apportionment clearly shows that these increases are related to BB influences 333

(Bougiatioti et al. 2014). During all BB events there is a clear dominance of wood
burning over fossil fuel contributions to BC. The wood burning component of BC is also
provided as a reference, depicting the enhanced contribution of biomass burning
during the highlighted events.

Based the size-resolved CCN activity measurements and the inferred hygroscopicity 338 parameter  $\kappa$  of the aerosol (Equation 3), it is evident that the changes in the organics 339 and sulfate mass fractions will also influence the CCN concentrations, activation 340 fractions and hygroscopicity. As the ACSM provides bulk submicron chemical 341 composition and thus, is not able to capture any sized-dependent chemical 342 composition, the size-resolved CCN activity measurements are able to resolve distinct 343 344 CCN activity and mixing state of the different particle sizes. These aspects are 345 thoroughly investigated in the following sections.

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#### 3.3 CN and CCN number concentrations and biomass burning events

348 For all four events of biomass burning-influenced air masses arriving at Finokalia, the observed aerosol number concentration increased considerably, regardless of size. The 349 350 increases are quantitatively expressed using averaged data from at least 6 hours prior 351 to the arrival time of the BB smoke. For particle sizes above 100 nm, BB increased concentrations by 65% for the Chios fire, around 50% for the Croatia fire , 88% for 352 353 the Euboea fire and about 150% for the Andros fire. Less pronounced increases was seen for the smaller particle sizes. The corresponding 354 impacts on CCN concentrations for the classified aerosol are shown in Figure 4 for all fire events. 355 Concentrations are given at the characteristic supersaturation,  $s^*$ , of the monodisperse 356 357 CCN as classified by DMA-1 (Section 2.2). Within each event,  $s^*$  did not vary by more 358 than 13.6%; therefore most of the variability in CCN number can be attributed to variations in the size distribution, rather than shifts in the chemical composition (i.e., 359 360 s\*).

361 As expected, smaller particles exhibit a higher critical supersaturation (Bougiatioti et al., 2011). During periods with smoke influence, critical supersaturations tend to 362 363 increase, indicating that particles associated with BB are less effective CCN compared to those of the background aerosol. . To quantify the direct influence of biomass 364 burning to particle and CCN number concentrations we studied the concentration of 365 the BBOA component, identified by PMF analysis of the ACSM mass spectra (Bougiatioti 366 et al., 2014). The BBOA concentration time series depicts the arrival time of the smoke 367 368 and the intensity of the BB influence.

The data shown in Figure 4 indicates that during the majority of the identified biomass 369 burning events, CCN concentrations for the larger particles sizes increase, and follow 370 371 the BBOA trend. This increase was more pronounced, depending on the proximity of 372 the fire and therefore, the travel time of the air masses. Rose et al. (2010) also observed increases in CCN during a biomass burning event near the mega-city 373 374 Guangzhou, China, where CCN number concentrations at s=0.068 and 0.27%, 375 increased by 90 and 8%, respectively. The same study attributed these changes to increases in the particle size when BB influence was present. 376

Of all particle sizes examined, it appears that those having mobility diameter of 60 nm exhibit the least variability in terms of CCN number concentration before and during

379 the BB influence (Figure 4, open circles). The concentrations however of the classified 380 aerosol significantly increase during the BB event from Croatia (Figure 4b). This event, together with others of smaller extent, is associated with new particle formation (NFP) 381 382 events. The observed frequency of NPF days at Finokalia is close to 30% (Kalivitis et 383 al., 2015), regardless of the presence or not of BB-laden air masses. Based on aerosol chemical composition it appears that both gaseous sulfuric acid and organic 384 compounds take part in the growth of nucleated particles to CCN-relevant sizes. These 385 organic compounds that contribute to the nuclei growth may be of different origins 386 387 including biogenic emissions, biomass burning and other possibly anthropogenic 388 sources from long-range transport (Kalivitis et al. 2015). From Figure 4b it appears that when the BB event is combined with such an NPF event within a few hours, 60-389 nm particles are strongly influenced and their CCN concentrations increase 390 391 considerably. The influence of BB to the hygroscopicity of 60-nm particles and the 392 other sizes is examined in a subsequent section. A detailed discussion on these events 393 and their contribution to CCN concentrations is provided by Kalivitis et al. (2015).

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#### 3.4 CCN activation fractions during fire events

396 As demonstrated in the preceding section, CCN number concentrations during the biomass burning events proportionately increased for the larger particle sizes. Figure 397 5 shows the activation fractions  $(R_a(Q))$  for three of the four particle sizes and the four 398 considered fire events(120 nm is not shown as it exhibits the same behavior as 100 399 400 nm). As an indicator of BB influence, we use the concentration of the aged BB factor 401 identified in the ACSM spectra (OOA-BB), as it represents the atmospherically-402 processed component of BBOA. This factor is chosen as it constitutes a larger part of 403 the organic aerosol (30%) with BB influence and whose ageing is expected to be 404 reflected in terms of CCN activity.

For all particle sizes, the activation fractions are derived from the asymptote of the 405 fitting to the sigmoidal function of the  $R_a(s)$  during each supersaturation cycle and 406 represents the CCN behavior at the highest supersaturations measured (s > 0.6%). 407 Figure 5 shows that even though CCN concentrations increase for particles larger than 408 80 nm, their activation fractions remain, more or less, stable and very close to unity 409 410 throughout the events. This observation implies that almost all aerosol particles larger 411 than 80 nm are CCN active at supersaturations higher than 0.6%, within uncertainties. 412 This is not the case for 60 nm particles whose activation fractions at 0.6% s (and in 413 the case of the Chios fire activation fractions of 80 nm particles as well at 0.4% s) 414 exhibit the highest variability, with ratios approaching values as low as 40%. It can be 415 seen that as concentrations of the OOA-BB start to increase, the activation fractions of 60 nm particles at  $\sim 0.6\%$  s start to diminish. It thus appears, that larger particles 416 417 are mostly internally mixed, as also seen by their high activation ratios, while small particles could be externally mixed populations. An indication of the heterogeneity of 418 the smaller particle sizes compared to the larger ones is the slope of the sigmoid fit to 419 420 the Ra(s); the steeper the slope the more homogeneous the population and given that the 60 nm particles exhibited the broader slopes, the more heterogeneous these 421 422 particles are. This can be explained by a size-dependent chemical composition and the 423 presence of a population with notable lower hygroscopicity that prohibits the particles 424 from acting as CCN and can be attributed to different sources and atmospheric 425 processing (coagulation, cloud processing and condensation of secondary aerosol) that generally tend to internally mix the particles, rendering them more CCN active. Indeed, 426 427 the lowest activation fractions occur for the strongest events where the time for transport and aging is most limited (hence least aged and hygroscopic). The particle 428 429 chemical dispersion retrievals (Section 3.5) also supports this view. The same conclusion is also drawn from the data provided by Bougiatioti et al. (2011) for the 430 same sampling site during summertime, and are verified by analysis of the chemical 431 432 dispersion and HTDMA data shown in following sections. The evolution of the mixing 433 state of each particle size is further investigated by the HTDMA measurements in a 434 subsequent section (Section 3.6) as well.

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#### 3.5 Hygroscopicity and chemical heterogeneity during the biomass 437 burning events

The characteristic hygroscopicity parameters,  $\kappa^*$ , derived from the CCN measurements 438 for all particle sizes and for the four selected fire events are presented in Figure 6. As 439 440 a reference for the arrival time and magnitude of the event, the concentration of the 441 BBOA factor is also shown in the figure, which has the characteristics of the freshlyemitted BB aerosol and is expected to influence more the hygroscopicity of the 442 particles. The smaller particles have the lowest  $\kappa_{CCN}$  values, and hygroscopicity 443 consistently increased with size. This hygroscopicity trend has also been observed 444 elsewhere (Dusek et al., 2010; Cerully et al., 2011; Levin et al., 2012; Paramonov et 445 al., 2013; Liu et al., 2014), and is attributed to the enrichment in organic material of 446 447 sub-100 nm particles. Based on the derived  $\kappa$  values for each particle size and with knowledge of the distinct species identified by the ACSM (organics, sulfate) and their 448 449 respective hygroscopicities, the volume fractions for organics and inorganics (mainly 450 ammonium sulfate) were estimated for each particle size. It occurs that indeed, 60 nm 451 particles are, on average, 89% composed of organics while the respective values for 80, 100 and 120 nm particles are 70, 50 and 41%. Most of the accumulation mode 452 particles result from condensation of secondary sulfates, nitrates and organics from 453 454 the gas phase and coagulation of smaller particles (Seinfeld and Pandis, 2006). In order to examine the contribution of constituents from primary sources that are not 455 measured by the ACSM to the accumulation mode particles, we compared the mass 456 derived from the ACSM+BC and the integrated volume distribution from the SMPS 457 458 converted to mass. During the examined fire events, the ACSM+BC was on average 459 68.6±19.3% of the SMPS-derived mass. Therefore this is an indication that nonrefractory material neglected by the ACSM in the accumulation mode particles bears a 460 small influence on particle hygroscopicity. Accumulation mode particles can also result 461 462 from cloud processing and based on cloud droplet calculations presented in a 463 subsequent section (Section 3.8) it appears that particles subject to atmospheric processing would be present in a separate mode are around 120 nm ( $s_{max} \sim 0.08\%$ ). 464 465 Particles larger than 100 nm are usually more aged than the smaller particles and more immediately associated with BB plumes and the atmospheric processing they undergo 466 (Kalivitis et al., 2015). The hygroscopicity parameter for 100 and 120 nm particles are 467 468 very similar and the fact that the variability in the respective chemical composition is limited may indeed be attributed to cloud processing, while 80-nm particles are in between the lowest and highest  $\kappa_{CCN}$  values, an indication of size-dependent chemical composition of components with different hygroscopicities.

Figure 6 also shows that during the arrival of the biomass burning-laden air masses,  $\kappa$ 472 values of all particle size ranges within 0.2-0.3. This observation is consistent with 473 values observed from chamber experiments of fresh and aged biomass burning aerosol 474 475 and in-situ studies from the field. Engelhart et al. (2012) performed a study where 12 476 different biomass fuels commonly burnt in North American wildfires were used to 477 characterize their respective hygroscopicity. They found that while  $\kappa$  of freshly emitted 478 BBOA prior to photochemical aging covered a range from 0.06 to 0.6, after a few hours of photochemical processing, the variability of biomass burning  $\kappa$  values from the 479 different fuels was reduced and hygroscopicity converged to a value of 0.2±0.1 480 (Cerully et al., 2011; Engelhart et al., 2012). Based on the derived hygroscopicity 481 parameters for each particles size before and during the BB influence it occurs that 482 smoke causes a relative decrease of  $\kappa$  in the order of 22% for 80 nm particles, 30.6% 483 484 for 100 nm particles and 30.9% for 120 nm particles on average for the four events 485 while  $\kappa$  for 60 nm particles deviate by 14%.

- During the fire events the contribution of organics and BC to the submicron aerosol 486 mass fraction increased significantly while the presence of sulfate declined. This is 487 expected to influence the CCN activity of the sampled aerosol particles as it would 488 cause variations in the inorganic and organic mass fractions. It has already been 489 established that as the organic mass fraction of aerosol increases, the  $\kappa$  value of 490 primary aerosol decreases (Petters et al., 2009; Engelhart et al., 2012). With 491 photochemical aging, the increased oxygenation of the freshly emitted BBOA may 492 493 influence the hygroscopicity of the organic components, but the concurrent increase 494 of the inorganic fraction of the aerosol contributes to the observed increase of  $\kappa_{CCN}$ (inorganic content vs aging). 495
- To examine the impact of atmospheric processing and aging on the composition of the 496 sampled aerosol, we studied the chemical dispersion  $\sigma(\kappa)$  of the hygroscopicity 497 parameter  $\kappa_i$ , expressed by the standard deviation of kappa around the most probable 498 499 hydroscopicity  $\kappa^*$ , and its dependence on particle size. As normal operation uncertainties and the DMA transfer function can induce a broadening of  $R_a(s)$  and  $R_a(\kappa)$ 500 501 and therefore contribute to  $\sigma(\kappa)$ , the inferred  $\sigma(\kappa)$  contains a fairly constant instrument 502 offset and a time-dependent constituent that is representative of the real chemical variability. This offset value, owing to the DMA transfer function and other instrument 503 limits has been calculated to be roughly 0.25 (Cerully et al. 2011). Table 1 shows the 504 calculated chemical dispersion, in terms of  $\sigma(\kappa)/\kappa_{i}$  for the four fire events and the 505 506 measured particle sizes. It is immediately apparent that the chemical dispersion is reduced with increasing particle size. 60-nm particles exhibit the highest dispersion 507 especially for the Chios fire, suggesting that the smaller particles are a mixture of 508 509 freshly-emitted BB particles and particles formed from the condensation of organics 510 during the transport from the fire location to Finokalia, as by atmospheric processing 511 organics become less volatile, increasing the chemical dispersion. The 80 and 100-nm particles from the Chios fire have high  $\sigma(\kappa)/\kappa$  values while the ones from Euboea and 512 513 Andros have considerably lower values, demonstrating the magnitude of the Chios fire

514 and the degree of atmospheric processing that has taken place. Finally, 120-nm particles always have a low chemical dispersion, with  $\sigma(\kappa)/\kappa$  values close to the 515 516 instrument limit. Nevertheless, the chemical dispersion of all particle sizes appears to 517 be influenced by the presence of BB as there is an average relative increase of  $\sigma(\kappa)/\kappa$ values of 21, 28, 41 and 43% for 60, 80, 100 and 120 nm particles, respectively, before 518 and during the event. The increased chemical dispersion of particles smaller than 80 519 520 nm can be, therefore, attributed to the heterogeneity of sources of these particles (which is also seen by CALIOP, Figure 3c) combined with lack of extensive cloud 521 522 processing because the particles are too small to activate in boundary layer clouds in the region (Section 3.8). For larger particles, the chemical dispersion may be due to 523 524 mixing with other types of aerosol that are not identified by the ACSM; microphysical 525 processing such as condensational growth and cloud processing may be the reason 526 why they exhibit a smaller chemical dispersion than smaller particles. Indeed, the 527 surface area distributions (Figure S1 of the Supplement) peaks at around 200 nm 528 which means that condensation of SOA mass is most effective in that size range. 529 Coagulation/condensation continuously occurs together with any new source and NPF during atmospheric transport (Triantafyllou et al., 2016; Kalkavouras et al., in review), 530 but cloud processing mixes everything and makes it completely homogeneous at the 531 532 activation diameter that corresponds to each fire separately. In terms of aerosol microphysical processes, numerical simulations indicate that for half a day of aging 533 under moderately polluted conditions, coagulation has been found to internally mix 534 535 almost all particles above 0.2 µm, and smaller particles to a lesser extent (e.g. Jacobson, 2002). Condensation, for the same time scale, increases the fractional 536 537 coating of small particles rather than large ones.

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#### 3.6 Particle growth factors during the fire events

540 From the concurrent HTDMA growth factor measurements at sub-saturated conditions we calculated the corresponding  $\kappa_{HTDMA}$  values. During the focus period of the biomass 541 542 burning events as well as a few days before and after the events, the grand majority 543 of the HTDMA data exhibited unimodal distributions, indicating that all selected particle fractions were internally mixed. Bimodal hygroscopicity distributions were only 544 observed during the arrival of the smoke plumes from the most intense events and 545 therefore are not taken into account for the comparison study between CFSTGC and 546 HTDMA-derived  $\kappa$  values. Average CFSTGC-derived  $\kappa_{CCN}$  values and HTDMA-derived 547  $\kappa_{HTDMA}$  values for the selected particles sizes are given in Table 2. On average,  $\kappa_{HTDMA}$ 548 549 values are somewhat lower than the respective  $\kappa_{CCN}$  values for the smaller particles, 550 while the difference between them is larger for the larger particle sizes. Nevertheless, both time series follow the same trend and values are consistent within  $\pm 30\%$ 551 ( $\kappa_{HTDMA}$ =0.854 ·  $\kappa_{CCN}$ ,  $R^2$ =0.87; Figure S2 in the supplement). Owing to non-ideality in 552 the aqueous phase, partial solubility of the organics and the existence of multiple 553 phases under subsaturated conditions HTDMA-derived  $\kappa_{HTDMA}$  values may indeed be 554 lower than the corresponding CCN-derived ones. In the study of Wu et al. (2013)  $\kappa$ 555 556 derived from CCN measurements was also roughly 30% higher than that determined 557 from hygroscopic growth measurements. Similar effects are also seen for laboratory 558 generated aerosol composed of single and multiple compounds (Petters and 559 Kreidenweis, 2007). Apart from non-ideality solution effects, the presence of 560 surfactants produced during biomass burning events (Asa-Awuku et al., 2008) may 561 also increase the discrepancies between  $\kappa$ -HTDMA and  $\kappa$ -CCN (Ruehl et al., 2012). 562 Other studies as well note similar magnitude of difference between CFSTGC and 563 HTDMA-derived  $\kappa$  values (e.g. Prenni et al., 2007; Massoli et al., 2010; Cerully et al. 564 2011).

565 The probability distribution of growth factors in the HTDMA give an independent measure of particle mixing state. During the two most intense fire events (i.e. during 566 567 the Chios and Euboea fire) where the smoke plume had the least amount of transit and atmospheric processing time all sizes exhibited two different hygroscopic modes 568 (Tables 3 and 4; Figure S3 in the supplement). These distinct modes were not 569 observed during the other two events, owing to longer time of processing that allows 570 for condensation growth and mixing of the populations. Figure 7 portrays in the left-571 hand panels the  $\kappa_{\rm HTDMA}$  (estimated using Equation 6) for the sampled particle sizes 572 573 during the Chios and Euboea fires. The right-hand panels show the respective particle 574 size distributions obtained by the concurrent SMPS measurements, revealing the 575 presence of different particle modes. It should be noted that values differ from the 576 respective  $\kappa_{CCN}$  values, under subsaturated conditions, because if some particles do 577 not grow inside the HTDMA they are directly assigned with a growth factor equal to one (i.e.  $\kappa$ =0), subsequently reducing considerably the derived kappa value. These 578 579 hydrophobic particles are likely not fully counted by the CFSTGC and hence do not 580 contribute to the average  $\kappa_{CCN}$ . During the arrival of the smoke-influenced air masses, there is a decrease in the hygroscopicity of all measured sizes. At the same time a 581 bimodal distribution was observed by the SMPS (far right panels), indicative of two 582 groups of particles, which can be partially due to the presence freshly emitted particles 583 584 (i.e. smaller mode) in combination with larger, more processed ones. Adler et al. 585 (2011) had also observed a shift in the average mode diameter of size distributions from 86±8nm for freshly-emitted BBOA to 114±7 nm for processed BBOA. This further 586 supports the observed higher chemical dispersion in the smaller particle sizes(Section 587 3.5). 588

589 A similar behavior when the sampled particles influenced by biomass burning were exposed to sub-saturated conditions has been reported by Rissler et al. (2006). In 590 those measurements the hygroscopic growth of the sampled particles when exposed 591 592 at 90% RH showed that there was an external mixture of a nearly hydrophobic  $(g_{(RH)}=1.09$  for 100 nm particles) and a moderately hygroscopic  $(g_{(RH)}=1.26)$ 593 594 population. This reinforces our observations from the CCN measurements, where for super-saturated conditions, the activation fraction of mainly the 60 nm particles 595 596 decreased significantly under influence of the smoke. A possible explanation why the activation fractions of the other size ranges remain close to unity during the smoke 597 influence may be resulting from the cloud processing of these sizes and their mixing 598 599 with background particles, contributing to their hygroscopicity and chemical dispersion. 600 The overall characteristics are expected to be determined by the number fraction of 601 the two modes in each size, combined with the occurrence of these two modes. If the 602 presence of the bimodal samples is limited (less than 30%), then even though the

603 fraction of the less hygroscopic mode may be as high as 45%, the overall activation 604 fractions might not be influenced. For the first event (20-21/8) which was the most intense, the externally mixed samples represent almost 25% of the total sampled 605 606 aerosol, with the occurrence of the bimodal samples increasing with increasing diameter (Table 3). It appears that in the bimodal samples the less hygroscopic mode 607 initially dominates followed by a progressive dominance of the hygroscopic mode. It 608 also appears that the increase in the less hygroscopic fraction coincides with the plume 609 arrival time and the increase of the BBOA component, further supporting our findings 610 611 of external mixing. During the second event (03-05/9) the bimodal samples increase, 612 once more, with increasing diameter (5% for 60 nm to 28% for 120 nm particles). The 613 less hygroscopic fraction in this case was dominant in approximately 33% of the 614 samples, although this more hygroscopic mode had a  $\kappa_{HTDMA}$  value of 0.2 during the plume arrival time (Table 4). The more hygroscopic mode is therefore dominant in 615 616 number for all sampled particles, which would explain that the activation fraction of the larger accumulation mode particles are not significantly affected by the presence 617 of the less hygroscopic mode. On the other hand, the reason for the reduction of the 618 activation fraction of 60-nm particles, apart from their hygroscopicity, can also be their 619 620 different source and size, as during the events, the less hygroscopic mode is probably 621 not activated, thus not detected by the CCN. This is not the case for the larger particles, as for example, 80-nm particles having a low  $\kappa_{HTDMA}$ =0.06 will still activate at the 622 highest supersaturations sampled (s=0.67%). 623

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625 *3.7* Inferring size-dependent chemical composition and organic 626 hygroscopicity

Assuming that the total aerosol hygroscopicity can be represented as the sum of the contribution of the different aerosol components:

629

$$\kappa = \sum_{j} \varepsilon_{j} \kappa_{j} \tag{7}$$

630 where  $\mathcal{E}_j$  and  $\mathcal{K}_j$  are the volume fraction and hygroscopicity parameters of each species, 631 respectively (Petters and Kreidenweis, 2007). With the use of this equation, and by 632 assuming that the aerosol is a mixture of an organic and inorganic component, with 633 the inorganic component being represented by ammonium sulfate, the total measured 634 hygroscopicity, can be expressed by the sum:

 $\kappa = \mathcal{E}_{inorg} \kappa_{inorg} + \mathcal{E}_{org} \kappa_{org}$ (8)

Prior studies at Finokalia (Bougiatioti et al. 2009; 2011) have determined  $\kappa_{org}$ =0.158 636 and  $\kappa_{inorg}$ =0.6. Assuming this still applies and  $\varepsilon_{inorg}$ + $\varepsilon_{org}$ =1, Equation 8 can be used to 637 infer the volume fractions of organics and ammonium sulfate for the 4 different sizes, 638 excluding the days of direct biomass burning influence. From this we obtain that 60 639 nm particles, on average, are composed of 82% organics and 18% ammonium sulfate; 640 80 nm particles of 44% organics and 55% ammonium sulfate, and, the larger particles 641 contain a much larger fraction of ammonium sulfate (67% and 78% for 100 and 120 642 nm particles, respectively). This reinforces our conclusion based on the hygroscopicity 643 644 measurements that the smaller particles are mostly composed of organic material.

These observations are in agreement with similar observations reported by Bezantakos

et al. (2013) in the region of the Northern Aegean Sea.

The above approach can also be applied to the data from the fire events, as follows: 647 648 we use only the larger size (120 nm) as from the former CCN studies in the area it was 649 established that the hygroscopicity of the larger particles is close to the "bulk" 650 hygroscopicity of the sampled aerosol (PM<sub>1</sub>), which is constrained from the ACSM 651 measurements (Bougiatioti et al., 2011). To evaluate the importance of the assumptions made in inferring the organic hygroscopicity from chemical composition, 652 653  $\kappa_{org}$  was additionally determined by applying Equation 8, for the 120 nm particles, where a set of  $\kappa$  equations is produced (n=228). Multivariable regression analysis 654 within the excel environment is subsequently applied in order to determine the organic 655 and inorganic component of the total hygroscopicity during the fire events. Based on 656 the results,  $\kappa_{inorg} = 0.61 \pm 0.03$  and  $\kappa_{org} = 0.137 \pm 0.02$ , values which are very similar to 657 values determined by Bougiatioti et al. (2009; 2011). The confidence level is 95% and 658 the resulting fit has an R<sup>2</sup>=0.91 and p-values are smaller than 8.10<sup>-7</sup> for both 659 660 components.

Taking the analysis one step further, we attempt a source apportionment of the 661 662 organic hygroscopicity, by its attribution to different factors. Positive Matrix Factorization (PMF) analysis was applied to the time series of data from the direct 663 664 influence from biomass burning. A detailed discussion of the PMF results can be found in Bougiatioti et al. (2014). During the focus period, 3 subtypes of organic aerosol (OA) 665 666 were identified, namely biomass burning OA (BBOA), an OOA associated with biomass burning (OOA-BB) and a highly oxygenated OOA, having a relative contribution of 22, 667 32 and 46%, respectively. With the chemical composition measurements of the ACSM 668 for the larger particle size (120 nm) combined with the respective  $\kappa_{CCN}$  we use the 669 following equation to determine the hygroscopicity parameter of each factor: 670

671

$$\kappa = (1 - \mathcal{E}_{org})\kappa_{inorg} + \mathcal{E}_{BBOA}\kappa_{BBOA} + \mathcal{E}_{OOA-BB}\kappa_{OOA-BB} + \mathcal{E}_{OOA}\kappa_{OOA}$$
(9)

672 Once again a set of 228  $\kappa$  equations is produced and multivariable regression analysis 673 is applied in order to deconvolve the organic hygroscopicity to its 3 subtypes. The confidence level once more is 95% and the resulting fit has an R<sup>2</sup>=0.93, with p-values 674 smaller than 0.001. It occurs that  $\kappa_{inorg} = 0.62 \pm 0.04$ ,  $\kappa_{BBOA} = 0.057 \pm 0.07$ ,  $\kappa_{OOA}$ . 675 676 <sub>BB</sub>=0.138±0.11 and  $\kappa_{OOA}$ =0.169±0.09. As the occurrence of two modes of different hygroscopicity seen by the HTDMA during the arrival of the smoke coincide with the 677 identification of BBOA by the ACSM, it is interesting to see that the inferred 678 hydroscopicity for the freshly-emitted BBOA is very close to the hydroscopicity 679 680 obtained by the HTDMA for the less hygroscopic component when two particle populations were present during the events (Tables 3 and 4, Section 3.6). When 681 comparing the obtained hygroscopicity with the level of oxidation of each factor 682 (O:C=0.2 for BBOA, 0.9 for OOA-BB and 1.2 for OOA; Bougiatioti et al., 2014) it occurs 683 that the less hygroscopic component is also the least oxygenated and hygroscopicity 684 increases with increasing O:C ratio. The calculated values are also comparable to the 685  $\kappa$  obtained by Chang et al. (2010) for the oxygenated organic component (OOA-1, 686 OOA-2 and BBOA) of rural aerosol ( $\kappa_{ox}$ =0.22±0.04). They also found increased 687 hygroscopicity with increasing ageing and degree of oxidation. Furthermore, the total 688

organic hygroscopicity is very similar to that of the processed organic aerosol components, which make up almost 80% of the organic aerosol. Finally, based on the derived hygroscopicities for the BBOA and the processed BBOA (OOA-BB), it seems that the biomass burning organic aerosol becomes more hygroscopic, by almost a factor of two, with atmospheric processing.

694 Using the average diurnal profiles obtained from the PMF analysis combined with the corresponding mass fractions of each component and the inferred hygroscopicity 695 parameter of each, we estimated the contribution of each factor to the overall  $\kappa_{org}$  and 696 the total aerosol hygroscopicity. Figure 8 presents the resulting diurnal profiles from 697 which it is clear that the grand majority of the organic hygroscopicity originates from 698 699 the aged, very oxidized OOA. BBOA contributes around 7% to the organic 700 hygroscopicity (2.2% to the overall aerosol hygroscopicity), which is small but not 701 negligible, as it can be seen that when the BBOA contribution is the highest, there is 702 an important decrease in the  $\kappa_{org}$ . Overall, organic aerosol associated with biomass burning can account for almost 35% of the organic hygroscopicity. By using the 703 704 approach of Guo et al. (2015) where particle water is predicted using meteorological 705 observations (relative humidity, temperature), aerosol composition and thermodynamic modeling (ISORROPIA-II; Fountoukis and Nenes, 2007), the LWC 706 associated with the organic fraction is calculated. We find that although the freshly-707 emitted BBOA contributes merely 1.2% to the total organic water of the aerosol, the 708 709 contribution of the processed OOA-BB is almost 33%. It is therefore clear that in the 710 presence of biomass burning aerosol, both aerosol hygroscopicity but LWC as well may 711 be influenced, thus affecting the overall direct and indirect aerosol radiative effects.

712

#### 713 3.8 BB influence on droplet formation

714 The direct microphysical link between aerosol and clouds is the activation process, where a fraction of the aerosol contained within an ascending cloud parcel experiences 715 716 unconstrained growth and activates to form cloud droplets. State of the art cloud droplet parameterizations (Ghan et al., 2011; Morales and Nenes, 2014) can accurately 717 and rapidly calculate the droplet number  $(N_d)$  and maximum supersaturation  $(S_{max})$  that 718 would form in a cloud given knowledge of the aerosol distribution, composition and 719 updraft velocity. Using the aerosol and hygroscopicity observations from all four BB 720 events, we calculate the droplet number and supersaturation for clouds forming in the 721 vicinity of Finokalia, using the droplet parameterizations based on the "population 722 723 splitting concept" of Nenes and Seinfeld (2003), later improved by Barahona et al., (2010) and Morales and Nenes (2014). In the calculations of droplet number, the size 724 distribution is represented by the sectional approach, derived directly from the SMPS 725 distribution files. Values of updraft velocity are not known for Finokalia, but are 726 727 obtained from the WRF regional model applied to late summer conditions (Tombrou et al., 2015); simulations suggest that the distribution of vertical velocities in the 728 729 boundary layer around Finokalia display a spectral dispersion of  $\sigma_w = 0.2-0.3$  m s<sup>-1</sup> 730 around a mean average value of 0.3. These values are generally consistent with vertical velocities observed in marine boundary layers (e.g., Ghate et al., 2011; 731 Meskhidze et al., 2005). Given this, we can employ the characteristic velocity approach 732 733 of Morales and Nenes (2010) when applying the droplet parameterization to obtain

- velocity PDF-averaged values of CDNC and  $S_{max}$ . As a sensitivity test, we also consider calculations for a convective boundary layer ( $\sigma_w = 0.6 \text{ ms}^{-1}$ ). The calculation of PDFaveraged values of CDNC and  $S_{max}$  is carried out for every distribution of aerosol number and composition measured for all four biomass burning events (5-min resolution distributions from the SMPS measurements for at least two days for each event). Results of all the calculations are shown in Figure 9. As a reference, the time series of the BBOA component is also portrayed.
- For all events, the arrival of the smoke plume is followed by a considerable depression 741 742 in the maximum supersaturation (relative average decrease 11.9±2.7% for  $\sigma_w = 0.3$ ms<sup>-1</sup> and 18±5.9% for  $\sigma_w = 0.6$  ms<sup>-1</sup>) that develops in clouds. This is a result of the 743 744 enhanced competition for water vapor during cloud droplet formation for clouds 745 affected by biomass burning smoke. The negative feedback of aerosol on supersaturation partially mitigates the observed increases in CCN to the point where 746 747 clouds are highly insensitive to the large aerosol concentration increases (Moore et al., 748 2013; Zamora et al., 2016). As expected, increases in the updraft velocity ( $\sigma_w = 0.6$ 749 ms<sup>-1</sup>) reduces the competition of CCN for water vapor, allowing  $S_{max}$  to increase, by almost 30%. The respective perturbation of  $N_d$  from BB influences by doubling the 750 updraft velocity increases to 54% on average (from 9.3% to 24.2% for Chios, from 751 8.5% to 15.2% for Croatia, from 11% to 18.8% for Euboea and from 4% to 13.8% 752 for Andros). The low supersaturations developed in BB-influenced clouds (here, as low 753 754 as 0.06%) shifts the size of particles affected by cloud processing to the largest of particles (cutoff diameters before and during the Chios intense event were on average 755 756 133 and 109 nm, respectively while during the other events were on average 154.8 757 and 129.3 nm, respectively). Interestingly, the notable drop in chemical dispersion in the 100-120 nm particle sizes are consistent with the notion that cloud processing 758 759 would considerably enhance their degree of internal mixing.
- The degree to which BB influences  $N_d$  does not depend only on the value of updraft 760 velocity and the intensity of the BB event; it also depends on the background aerosol. 761 This is because the background preconditions the clouds and determines the levels of 762 763 supersaturation that develops prior to the arrival of the BB aerosol. Highly polluted background generally means larger insensitivity of  $N_d$  to BB. This is shown clearly in 764 Figure 10, which presents the droplet number concentration (top panel) and cloud 765 maximum supersaturation (bottom panel) for each fire event as a function of BB 766 influence, expressed by the sum of BBOA and OOA-BB ACSM factors. From the figure 767 768 one can clearly see that when the background levels aerosol decreases (indicated by 769 the lower  $N_d$  and higher  $S_{max}$  at the low end of BB factor concentrations, which is 770 characteristic of the Coatia and Chios fires),  $N_d$  responds to increases in BB, up to the point where the clouds become "saturated" with aerosol (with a supersaturation 771 772 around 0.08% and below, indicated by the shaded areas in Figure 10) and are insensitive to additional increases in BB. Euboea and Andros fires already have a high 773 774 background, so the cloud droplet number is relatively insensitive to BB influence.
- Finally, we estimated the relative contribution of chemical composition (from  $\kappa$ ) and aerosol number concentration to the  $N_{d_1}$  expressed by the average of the partial derivatives of  $dN_d/dN_a$  and  $dN_d/dN_\kappa$  and using the following equations:

778 
$$\sigma^2 N_d = \sigma N_a \frac{\overline{\partial N_d}}{\partial N_a} + \sigma \kappa \frac{\overline{\partial N_d}}{\partial N_\kappa}$$
(10)

where  $\sigma^2$  is the variance of the droplet number (*Nd*),  $\sigma Na$  is the standard deviation of the total aerosol number and  $\sigma \kappa$  is the standard deviation of the hygroscopicity parameter. The relative contribution of each one of the total aerosol number ( $\varepsilon N_a$ ) and hygroscopicity parameter ( $\varepsilon \kappa$ ) to the droplet number is estimated by:

$$\varepsilon \kappa_{Nd} = \sigma \kappa \frac{\overline{\frac{\partial N_d}{\partial N_{\kappa}}}}{\sigma^2 N_d}$$
(11)

(12)

784 
$$\varepsilon N_{aNd} = \sigma N_a \frac{\partial N_a}{\sigma^2 N_d}$$
  
785 The results provided in Table 5 demonstrate that th

78 ere are differences between the fire events, which can be attributed to the intensity of each event and thus the resulting 786 787 concentrations, and the distance from the fire, thus the mixing and dilution during transport. The highest variance in  $N_d$  was calculated for the Andros event, which 788 exhibited the lowest variability in N<sub>aerosol</sub> and the lowest variance was calculated for the 789 790 Chios, followed by the Croatia event, which exhibited a variability in Naerosol of more 791 than 1500 particles (cm<sup>-3</sup>). From the relative contribution of the total aerosol number 792 and chemical composition to  $N_d$  it can be seen that the closest the fire event is, the largest the contribution of aerosol number to the potential CDNC. As we move further 793 794 away (e.g. Chios and Croatia) and the distance increases, the influence of the chemical composition becomes increasingly important, given the decrease of concentrations 795 796 and dilution during transport.

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# 798 4. Summary and Conclusions

This study provides CCN concentrations, subsaturated hygroscopicity, mixing state of 799 size-selected aerosol particles and their impact on cloud formation in air masses 800 influenced by summer biomass burning (BB) events in the eastern Mediterranean. The 801 uniqueness of the dataset examined lies in nature of the fires, where smoke is mostly 802 803 generated from isolated fires and subsequently transported and aged from a few hours to days before sampling. The presence of smoke in the most intense events is clearly 804 identified by CALIPSO lidar remote sensing and the MODIS FIRMS product, while 805 chemical markers and backtrajectory analysis confirm the influence of BB in every 806 807 event. During each event, the contribution of organics and BC increased significantly 808 while the concentration of sulfates decreased. This is shown to affect the 809 hygroscopicity as well as the mixing state of the particles. The fire events had a direct influence on the total particle (CN) and CCN concentrations across all sizes; particle 810 811 sizes larger than 100 nm exhibited an increase in absolute number of more than 50% 812 and up to 30% for particles in the 60-80 nm range. The fraction of the smaller particles acting as CCN even at the highest level of measured supersaturation (0.6% s) however 813 went significantly below unity in the presence of smoke. This and the overall value of 814

hygroscopicity indicate that less CCN-active organic compounds are the dominant
component of 60-nm and smaller particles (up to 82% of mass), while particles larger
than 100 nm contain a much larger fraction of ammonium sulfate. The subsaturated
hygroscopicity measurements confirms this, as 60-nm particles exhibited the lowest
hygroscopic growth.

During the arrival of the biomass-burning-laden air masses, the average hygroscopicity 820 parameters of all particle sizes converged to values between 0.2-0.3, which can be 821 attributed to different chemical composition of all particles during these events, 822 823 compared to background conditions. The hygroscopicity distributions and chemical 824 dispersion analysis of the CCN data clearly show that smaller particles exhibit higher 825 chemical diversity (variance in hygroscopicity equal to 0.15  $\kappa$  units) than larger particles (variance in hygroscopicity less than 0.1  $\kappa$  units). This size-dependent mixing 826 827 state may reflect the presence of different aerosol sources with characteristic sizes 828 (e.g. sea-salt, pollution in addition to BB) and size-dependent chemical composition; 829 the fact that smaller particles are less mixed than larger particles- together with that the background aerosol is composed of a large mode with a distinct chemical 830 composition- suggests that the smaller particles are an external mixture of freshly 831 832 emitted and secondarily formed particles that retain a large degree of mixing. Larger 833 particles are further aged and subject to coagulation, condensation of secondary species and cloud processing, all of which tend to homogenize aerosol. . However, two 834 aerosol populations with distinct hygroscopicity were seen were seen event at the 835 largest sizes sampled during the most intense fire events. Nevertheless, their 836 occurrence is limited and the overall activation of larger particles appears to be 837 838 unaffected by the presence of these two populations. In terms of cloud processing effects, the largest particles that are predicted to form droplets in clouds in the vicinity 839 840 of the sampling site indeed exhibit the lowest chemical dispersion. This supports the 841 assumption of external mixing for smaller particles originating from biomass burning 842 having decreased activation fractions and provides a plausible explanation of why larger particles appear, based on their activation fractions, not to be affected as far as 843 their CCN-activity is concerned. 844

Using multivariable regression analysis and the volume fractions of organics and 845 ammonium sulfate for the different particle sizes, we inferred the hygroscopicity of the 846 847 organic fraction and found it equal to 0.115±0.017, which is consistent with published values from the literature. Using the results obtained from the source apportionment 848 of the organic fraction we were able to deconvolve the organic hygroscopicity to its 3 849 850 subtypes. The hygroscopicity of freshly-emitted BBOA was found to be around 0.06, 851 while the hygroscopicity of atmospherically-processed BBOA and highly oxidized organic aerosol was found to be 0.14 and 0.17, respectively. The inferred 852 853 hygroscopicity of each component and its oxidation state are in line with the overall 854 organic aerosol values observed. From this and the trends of each factor with 855 atmospheric age we conclude that the organic fraction of biomass burning aerosol 856 becomes more hygroscopic with atmospheric aging. Overall, organic aerosol associated with biomass burning (freshly emitted and processed) can account for 10% 857 858 of the total aerosol hygroscopicity (2.2 and 7.6% for BBOA and OOA-BB, respectively). For the observed levels of relative humidity, and amount of each organic aerosol factor, 859

we estimate that BBOA and OOA-BB contribute anywhere between 1.2 and 32.6% ofthe total organic water of the aerosol.

Towards understanding the impacts of the observed BB on clouds, we study the 862 863 behavior of cloud droplet formation for typical boundary layer conditions. For this, we apply a state of the art cloud droplet formation parameterization to the observations, 864 assuming typical values of updraft velocity for marine boundary layer clouds. We find 865 that the very high concentrations of CCN during the influence of BB events tend to 866 promote the competition for cloud water vapor, and substantially depresses the cloud 867 868 supersaturation down to very low levels (even as low as 0.06%). As a result, only the 869 largest of particles, from 110-150nm diameter and above, can activate to form cloud droplets. This also means that droplet number becomes highly insensitive to changes 870 in aerosol in the presence of BB; indeed clouds influenced by BB exhibit a relative 871 872 decrease in maximum supersaturation by 12% while at the same time, augments the 873 potential droplet number by 8.5%. These results also support the chemical 874 dispersion/mixing state analysis of the CCN data, as only the largest of aerosol sizes sampled activates and is exposed to cloud processing. Based on the average sensitivity 875 of droplet number to changes in aerosol number and composition and observed 876 variances thereof, we attribute the relative contribution of chemical composition and 877 878 total aerosol number to the variance of droplet number. We find that the distance from 879 the source is a key parameter that governs the importance of each parameter, with the influence of the chemical composition becoming increasingly important (controlling 880 up to 25% of the droplet number variability) with growing distance from the source. 881 Close to sources, the exclusive majority (98% and above) of the predicted droplet 882 number variability is attributed to aerosol number variations. Therefore, although BB 883 burning may strongly elevate CCN numbers, the relative impacts on cloud droplet 884 885 number (compared to background levels) is eventually limited by water vapor availability and depends on the aerosol levels associated with the background. 886

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#### 1149 Table and Figure Captions

**Table 1:** Calculated chemical dispersion in terms of  $\sigma(\kappa)/\kappa$  for the four studied fire events and all measured particle sizes.

1152 1153 **Table 2:** Average CFSTGC-derived  $\kappa_{CCN}$  values and HTDMA-derived  $\kappa_{HTDMA}$  values for 1154 the selected particles sizes.

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**Table 3:** Percentage of externally mixed samples ( $B_f$ ), the hygroscopic parameter of the less and more hygroscopic mode ( $\kappa_I$ ,  $\kappa_2$ ), respectively, and the number fraction of particles residing in the less hygroscopic mode ( $N_{f1}$ ) during the Chios event (20-21/08/2012).

**Table 4:** Same as Table 3, during the Euboea event (03-05/09/2012).

**Table 5:** Variance of  $N_d$  and relative contribution of aerosol number and chemical composition for the four fire events.

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**Figure 1:** Schematic of the setup used for the CCN and mixing state measurements.

Figure 2: (a) Satellite composite view from MODIS of the fire plume emerging from 1168 the island of Chios on 18 August 2012 (courtesy on NASA). The blue and red lines 1169 delineate the two ground tracks of the CALIPSO satellite during its overpass over Crete 1170 1171 on 19 August 2012 between 00:27-00:40 and 11:34-11:47 UTC, (b) Vertical profiles 1172 of the aerosol backscatter coefficient (in km<sup>-1</sup>sr<sup>-1</sup>) at 532 and 1064 nm (left) and linear 1173 particle depolarization ratio at 532 nm (right) measured by CALIPSO and (c) Vertical profiles of the aerosol subtypes captured by CALIPSO during its overpass over Crete; 1174 1175 the marked area is located just at the NW of Finokalia station (00:27-00:40 UTC).

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Figure 3: Time series concentrations of major PM<sub>1</sub> species that contribute in the
identification of the BB events. The shaded areas represent the four considered fire
events.

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**Figure 4:** CCN concentrations for the selected particle sizes during the arrival of the smoke plumes for (a) Chios, (b) Croatia, (c) Euboea and (d) Andros. The black solid line represents the biomass burning component of the organic aerosol at the given time.

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**Figure 5:** Activation fractions for the selected particle sizes during the arrival of the smoke plumes for (a) Chios, (b) Croatia, (c) Euboea and (d) Andros. The brown solid line represents the processed biomass burning component of the organic aerosol.

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Figure 6: Characteristic hygroscopicity parameters of the selected particle sizes for
(a) Chios, (b) Croatia, (c) Euboea and (d) Andros. The solid line represents the biomass
burning component of the organic aerosol at the given time and circles represent the

smoke plume arrival time. The shaded areas represent the smoke plume influenceperiod.

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Figure 7: Hygroscopicity parameters derived from the HTDMA (a) & (c) and number
size distributions from the SMPS (b) & (d) for the Chios and Euboea fire events,
respectively. The shaded areas represent the smoke plume influence period.

**Figure 8:** Average diurnal contribution of each organic aerosol factor to the  $\kappa_{org}$ computed by multiplying the mass fraction by the corresponding inferred hygroscopicity parameter and the predicted diurnal profile of the total  $\kappa_{org}$  in the ambient aerosol.

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1205Figure 9: Maximum supersaturation  $(S_{max})$  (left panels) and potential droplet number1206 $(N_d)$  (right panels) for the four fire events of Chios (a,b), Croatia (c,d), Euboea (e,f)1207and Andros (g,h).

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Figure 10: Droplet number concentration (top panel) and cloud maximum
supersaturation (bottom panel) for each fire event as a function of BB influence,
expressed by the sum of BBOA and OOA-BB ACSM factors.

# 1213 Table 1

	60 nm	80 nm	100 nm	120 nm			
Chios	$0.85 \pm 0.14$	$0.73 \pm 0.14$	$0.60 \pm 0.20$	$0.41 \pm 0.16$			
Croatia	$0.77 \pm 0.18$	$0.68 \pm 0.19$	$0.44 \pm 0.12$	$0.41 \pm 0.10$			
Euboea	0.70±0.20	$0.49 \pm 0.10$	$0.32 \pm 0.08$	$0.29 \pm 0.06$			
Andros	0.71±0.10	$0.52 \pm 0.13$	$0.34 \pm 0.10$	$0.30 \pm 0.06$			

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# 1216 Table 2

	$\kappa_{HTDMA}$	$\kappa_{CCN}$
60 nm	0.23±0.07	$0.22 \pm 0.05$
80 nm	$0.28 \pm 0.1$	0.39±0.1
100 nm	0.3±0.1	0.44±0.1
120 nm	0.33±0.11	0.49±0.13

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# 1219 Table 3

$d_p(nm)$	Bf (%)	<b>K</b> 1	$Nf_1$	<b>К</b> 2	
60	6.9	$0.05 \pm 0.02$	0.17±0.06	0.18±0.01	
80	20.0	$0.05 \pm 0.02$	0.33±0.14	0.19±0.03	
100	23.0 0.06±0.03	$0.06 \pm 0.03$	0.43±0.24	0.21±0.04	
<b>120</b> 30.4		$0.05 \pm 0.03$	0.47±0.19	0.2±0.04	

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### 1222 Table 4

$d_p(nm)$	<b>B</b> f (%)	$\kappa_1$	$Nf_1$	<b>K</b> <sub>2</sub>	
60	5.3	$0.09 \pm 0.07$	$0.37 \pm 0.34$	0.31±0.19	
80	15.2	$0.06 \pm 0.04$	0.31±0.17	0.2±0.03	
100	26.5	$0.05 \pm 0.03$	0.39±0.19	0.19±0.03	
120	28.2	$0.05 \pm 0.03$	0.40±0.19	0.19±0.03	

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#### 1224 Table 5

	<i>VarianceN</i> <sub>d</sub>		Contribution_ĸ		Contribution_Naerosol	
	w=0.3	w=0.6	w=0.3	w=0.6	w=0.3	w=0.6
Chios	13.8	18.1	17.7%	12.6%	82.3%	87.4%
Croatia	34.4	47.7	26.7%	25.2%	73.3%	74.8%
Euboea	60.9	111.3	1.1%	2.2%	98.9%	97.8%
Andros	164.2	307.8	0.1%	0.15%	99.9%	99.8%



























