- 2 Here you will find the document including a point-by-point response to the reviewers, with a list of all
- 3 relevant changes (in red in the text) made in the manuscript, and a marked-up manuscript version.
- 4 With my best regards,
- 5 Dr. M. Mallet
- 6
- 7 -----
- 8 9 Journal: ACP
- 10 Title: Overview of the Chemistry-Aerosol Mediterranean Experiment/Aerosol Direct Radiative Forcing on the
- 11 Mediterranean Climate (ChArMEx/ADRIMED) summer 2013 campaign.
- 12 Author(s): M. Mallet et al.
- 13 MS No.: acp-2015-454
- 14 MS Type: Research article
- 15 Iteration: Revised Submission
- 16 Special Issue: CHemistry and AeRosols Mediterranean EXperiments (ChArMEx)
- 17 (ACP/AMT Inter-Journal SI)
- 18
- 19 Anonymous Referee #3
- 20

21 The article gives an overview of an extensive field campaign including ground based in-situ and remote 22 sensing measurements as well as airborne observations. The described ChArMEx/ADRIMED campaign 23 incorporates existing ground based stations and adds valuable additional measurements at several super 24 sites and secondary sites. Furthermore even satellite observations are considered and several different 25 models are compared using the vast amount of observations. I agree with the reviewer 1 & 2 the paper is 26 not strong in presenting new scientific findings. Nevertheless, this article paves the way for further 27 investigations on the same data set and sets a basis for future publications. The aims of the campaign are 28 clearly outlined in the introduction and all observations are well presented. Additionally, some results are 29 summarized and emphasized in the Conclusions with the hint to future publications. To my understanding 30 proving existing knowledge, like the reasonable agreement of observed and modelled AOD and the negative 31 radioactive forcing (found by others before) is often neglected in literature, but it is an important finding. 32 Especially the changes and answers followed by questions of reviewer 2 & 1 improve the quality of the 33 paper a lot. I would like to emphasise the importance of such an overview paper of a big campaign and 34 recommend the paper to be published after including a few more changes:

35

36 We would like to thank the reviewer for these remarks and comments on the article.

- 3738 Major comments:
- 38 39
- 40 Page 19671 Conclusion:

In your introduction you have outlined the aims of the campaign very well. But from the conclusion only the first two aspects are addressed. The first aspect is obviously fulfilled. For the second Page 19672 line 20 and line 23: Remind the reader on previous published values and compare briefly. How does this improve the understanding of the Mediterranean DRF? And the third aspect is almost left out. In fact could you summarize how the modifications of the radiative budget due to aerosols affect the sea-surface evaporation fluxes, relative humidity profiles, cloud-cover, and precipitation ? And more largely the Mediterranean hydrological cycle. Or at least try to incorporate some of these aspects.

48

49 Compared to the first version of the article, a lot of modifications have been already included in the 50 conclusion (following the previous remarks of reviewers 1 and 2) especially to underline the main results 51 and originality of this project. Such modifications include the aspects mentioned by the reviewer. In that 52 sense, we have integrated and discussed AOD, size distribution and optical properties observations in a 53 more larger context; as the comparisons with studies referenced over dust source regions (during the 54 FENNEC, SAMUM1 and AMMA projects) as well as measurements obtained in the Atlantic Ocean at Cape-

- 55 Verde region (SAMUM-2) and at Puerto-Rico (PRIDE).
- 56

57 Following the reviewer remark, we have now also included a sentence indicating that this new direct 58 radiative forcing (DRF) estimates deduced from this projet is consistent with the values previously 59 referenced over the Mediterranean region and listed in the introduction. The third aspect that concerns 60 the modifications of the sea-surface evaporation fluxes, relative humidity and precipitation is now 61 included in the conclusion, based on an Ocean-Atmosphere regional model simulation. The new 62 paragraph in the conclusion is the following:

63 "A first simulation (conducted for the 2003 to 2009 period) that takes into account the ocean-atmosphere

64 coupling has demonstrated that the significant aerosol radiative forcing is responsible for a decrease in

65 sea surface temperature (on average -0.5 °C for the Mediterranean). In addition, the latent heat loss is

66 shown to be weaker in the presence of aerosols, resulting in a decrease in specific humidity in the lower 67 troposphere, and a reduction in cloud cover and precipitation."

68

69 Figure 1, 5, 6: Could you show the average of AERONET stations over the same time period ? I noticed some 70 stations already exist since at least 2003. It would be a useful addition to mention in text as well, if at all 71 possible. Similar for Figure 28: How does the satellite compare? Of course it is averaged over a bigger area, 72 but would be still interesting, e.g. to exclude/identify local effects. You could also suggest/outlook for future 73 investigations.

74 This in an interesting remark and AOD values derived from AERONET database have been now added in 75 the new figures 1, 5 and 6 for different AERONET stations. A sentence has been also added in the 76 corresponding captions. In addition, the MODIS AOD values have been included in the Figure 31 (figure 28

77 of the first version of the article) for comparisons with the different models (RCSM, RegCM, CHIMERE and

78 COSMO-MUSCAT) and AERONET/PHOTONS data at two (Ersa and Lampedusa) AERONET surface stations. 79

80 Minor comments:

81

85

82 Page 19627 line 6: Give a short introduction reminder on how many sites, e.g., During the campaign XX 83 super sites and XX secondary sites were set up, which are decribed in the following.

84 This point is now added in the text in the part 2.

86 Page 19634 line 8: Are they really deployed just fort the campaign? Or did you use existing AERONET sites 87 (checking on the AERONET page, it looks like many of the stations have been there longer). Please revise. As 88 well give a brief summary, how many sites you have used, just a short sentence is enough.

89 This is effectively right and we have now detailed this point to distinguish the AERONET stations which

90 have been specifically deployed for the ChArMeX/ADRIMED campaign with those already existing over 91

the Mediterranean basin. In that sense, we have added this sentence in the new version: "Sun-92 photometer stations used during the SOP-1a campaign over the Western basin are listed in the Table 2.

93 The new instruments, deployed specifically during the ChArMEx/ADRIMED project, are the Ersa, Cap d'En

94 Font, Cagliari and Majorque stations.".

95

96 Page19646 line 27: The order of words in the sentence is somewhat confusing, please revise.

- 97 This is now changed in the new version.
- 98

99 Page 19653 line 15: ,almost scattering' seems not to be the right term, maybe none absorbing of mainly

100 scattering?

- 101 This is effectively true and now modify in the text.
- 102 103 Page 19656 line 24: be consistent with the figure: Angström Exponent (AE)
- 104 This is now corrected.
- 105 106 Page 19663 line 8: be consistent Table capital (check document). Article, the' not needed.
- 107 This is now changed in the new version.
- 108

- Page 19667 line 21: ...the ...model...simulates (s is missing) This is now corrected. Page 19668 line 16: The order of words in the sentence is not correct, please revise. This is now done in the new version. Table 1 caption:gas concentrations..... This is now changed. Table 2: It would be helpful to add information about the photometer type used, e.g. how many wavelength filters, with or without polar filter. The number of wavelengths is now added in Table 2 in a new column. The information on the polarization for each instrument is not always available. Table4: ...sounding balloon (no ,s') flights... This is now done. Table 6:in the Table (be consistent, with capital T) This is now modified. Table 7: It is not clear to me, why you only choose 4 stations. State a reason or show all stations. (Actually I think this table is removed in the new version, since shown in the figure) This Table is effectively removed in the new version and we have now introduced more explanations on the choice of the 4 AERONET/PHOTONS stations in the text. This choice has been motivated by the fact that these sites correspond to (i) three of the different surface stations (Ersa, Lampedusa and Cap d'En Font) affected by different aerosol regimes and (ii) the two aircrafts locations (Cagliari). This point is now indicated in the text in the part 5.2.2. Figure 2: The two aircrafts.... This is now modified in the new version. Figure 3: I think it should beflight trajectories... This is now changed in the new version.

161 Review of the revised paper to ACP "Overview of the Chemistry-Aerosol Mediterranean 162 Experiment/Aerosol Direct Radiative Forcing on the Mediterranean Climate (ChArMEx/ADRIMED) 163 summer 2013 campaign" by Mallet et al.

164

168

In general, I am happy with the author's replies to my comments. However, there are some
technical points that need further attention. Thus, I suggest the publication of the paper in ACP.
Below there are my comments to some of the authors' replies.

169 *Again, we would like to thank the reviewer 2 for the different interesting remarks and comments on the* 170 *article.*

- 171 172 Referee#2
- 173
- 174 Minor comments
- 175
- 4. Page 19626, Line 2: "... an innovative database ..." I agree that the database is rich, but what isthe innovation about it?
- 178 Referee's reply: The author's reply is fine just they should replace the word "huge" in the sentence
- 179 '... for creating a huge 3-D database of physical ...' by something more moderate, e.g. rich,
 180 important. I do not think that we should stop taking aerosol observations in the Mediterranean
 181 after ADRIMED.
- We agree with this. Indeed, this project provides new insights on aerosol properties, radiative effects and climate impact but a lot of aspects have not been documented. We have now replaced the word "huge" by the term "rich" as proposed, in the last version.
- 185

7. Page 19634, Lines 11-25: What's the point of the EARLINET/ACTRIS network section as the 4
stations operated only for 1-2 days during the campaign and none of their data is presented in the
manuscript. I suggest either to eliminate or to reduce significantly.

- 189 If the reviewer agrees, we prefer keeping this part in the article as a study is ongoing to compare 190 aircraft observations with lidar retrievals. However, we agree that the part was too long and in that
- 191 sense, we have now reduced it in the new version.
- Referee's reply: I was very astonished to realize that the authors have not reduced almost at all thetext in the new version. To my view they can do better than that.
- 194 *Effectively, we tried to reduce as most as possible this small paragraph by keeping the most* 195 *important information's. We agree we can do more and we have now again reduced this part in* 196 *the new version.*
- 197

198 9. Page 19639, Line 26: Add references for the satellite retrievals.

All the references; Tanré et al. (1997), Tanré et al. (2011), Khan et al. (2010) and Thieleux et al.
(2005) have now been cited for the MODIS, PARASOL, MISR and SEVIRI sensors, respectively.
Referee's reply: Replace "Khan" by "Kahn" in the text.

- 202 Thank you for this remark; this is now changed in the text.
- 203

204 21. Page 19652, Lines 8-10: The following AERONET stations Ouijda, Cagliari, Cap d'En 205 Font,Ouarzazate, Frioul and Majorque while appear in Figs. 18 and 25, there are missing from 206 Tab.2.

- 207 This is effectively true and we have now completed the Table 2.
- 208 Referee's reply: Replace "Oujda" by "Ouijda" in Table 2.
- 209 *This is now done in the new version.*

210 23. Page 19656, Lines 15-18: Certainly the wavelength dependence is lower than below the 2 km,

but it is not very small, as someone can see just above and below the peak at about 3 km. Why this happens?

213 The reviewer correctly remarks that there is a relatively large variability in the backscattering coefficient wavelength dependence at the altitudes where desert dust is expected. This is apparent 214 in figure 22 b), with layers characterized by high values of backscattering coefficient displaying a 215 216 small wavelength dependence, and intermediate layers with a moderate dependence. This 217 suggests a variability in the aerosol size distribution and/or refractive index/shape. We do not have 218 additional information that allows us to interpret this variability. In any case, all the particles below 219 approximately 2 km display a significantly larger wavelength dependence, suggesting markedly 220 different optical properties. A similar vertical variability of the wavelength dependence is 221 observed, for instance, in figure 20 for the scattering coefficient profile measured over Lampedusa 222 on 22 June; as discussed in section 5.2.3, particles of different origin and optical properties may be 223 identified at the various altitudes.

Referee's reply: Delete the word "very" from the sentence '...above 2 km shows very small wavelength dependence, ...'. This is in line with your reply.

226 This is now changed in the new version.

227

24. Page 19657, Lines 6-8: Is the LNG cross section in Fig. 23 correct? It seems from the text andthe AOD figure below that the latitude axis is inverted.

- There was effectively a mistake and the Figure 23 corresponds to the flight from Sardinia to theGulf of Genoa. This is now changed in the text.
- Referee's reply: As I wrote the inconsistency is not only between the figure and the text, but also between the LNG cross section and the optical depth figure. For example looking at the LNG cross section at 410N the extinction coefficient is ~ 0.015 km-1 x 6 km = 0.09, while at 440N the extinction coefficient seems to be ~ 0.06 km-1 x 6 km = 0.36. While from the optical depth figure below at these latitudes, the values are ~ 0.45 and ~ 0.1 at 410N and 440N, respectively. Thus,
- either in the LNG cross section or in the optical depth figure, the latitude axis is inverted.

Thank you for this remark. This is effectively a mistake and the latitude was inverted in the upper

figure. We have now modified it in the new version. The two figures are new consistent.

- 240
 241 29. Homogenize the boundaries of the maps in Figs. 1, 5, 6, 7, 9, 10, 11, 12, 27 and 29. The same
 242 for Figs. 2, 3 and 4.
- This is unfortunately quite difficult to homogenize all the figures as they have been prepared by using different products (models, satellites) with different horizontal resolutions and domains (for instance TRMM is limited to 50°N). For every figure, we have tried to represent as most as possible a similar domain, integrating the entire Mediterranean basin. If this is acceptable, we propose to keep the different figures in the present configuration.
- Referee's reply: I do not consider this answer as valid, for example how many times in the paper there is reference to latitudes above 50oN? However, as this comment is not related to the scientific quality of the paper, if the authors feel happy with their figures, they can keep them as they are.

252 If this is acceptable, we propose to keep the different figures in the present form as they provide 253 the most important information's related to the text.

- 254
- 255 Technical comments
- 256 1. Page 19621, Line 20: Crete is a Greek island, please modify accordingly.
- 257 This is now modified in the introduction.
- 258 Referee's reply: Change the 'the Crete Greece island' to the 'Greek island of Crete'.

259	This is now made in the new version.
260	
261	5. Page 19624, Line 15, Page 19661, Line 6: Nabat et al. (2015), which of the three ?
262	This is now indicated: Nabat et al. (2015a).
263	Referee's reply: Not in the first occurrence.
264	The reference is now corrected.
265	
266	Additional comments
267	
268	Please find below some additional comments related to revised version. The numbers are for the
269	revised paper.
270	
271	1) Check the number of Figures and Tables when they are cited in the text, as after the
272	introduction of new figures/tables not all of them are referring to the respective figure/table.
273	We have checked that the numbers of Figures and Tables are now good in the new version.
274	
275	2) Lines 929 (Abstract) and 2342 (Conclusions): Delete the word "climatic", as this is referred to
276	only 7 years (2003-2009).
277	We have now removed this term in the abstract and conclusion in the new version.
278	
279	3) Line 2049: Delete "s" from 'estimates'.
280	This is now done.
281	
282	4) Line 2064: In the specify D in the text?
283	This is effectively right and we have now included the calculations of D in the text.
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Overview of the Chemistry-Aerosol Mediterranean Experiment/Aerosol Direct Radiative
 Forcing on the Mediterranean Climate (ChArMEx/ADRIMED) summer 2013 campaign.

308

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359 Abstract

360

361 The Chemistry-Aerosol Mediterranean Experiment (ChArMEx; http://charmex.lsce.ipsl.fr) is a collaborative 362 research program federating international activities to investigate Mediterranean regional chemistry-climate 363 interactions. A special observing period (SOP-1a) including intensive airborne measurements was performed 364 in the framework of the Aerosol Direct Radiative Forcing on the Mediterranean Climate (ADRIMED) project 365 during the Mediterranean dry season over the western and central Mediterranean basins, with a focus on 366 aerosol-radiation measurements and their modeling. The SOP-1a took place from 11 June to 05 July 2013. 367 Airborne measurements were made by both the ATR-42 and F-20 French research aircraft operated from 368 Sardinia (Italy) and instrumented for in situ and remote-sensing measurements, respectively, and by 369 sounding and drifting balloons, launched in Minorca. The experimental set-up also involved several ground-370 based measurement sites on islands including two ground-based reference stations in Corsica and 371 Lampedusa and secondary monitoring sites in Minorca and Sicily. Additional measurements including lidar 372 profiling were also performed on alert during aircraft operations at EARLINET/ACTRIS stations at Granada 373 and Barcelona in Spain, and in southern Italy. Remote sensing aerosol products from satellites (MSG/SEVIRI, 374 MODIS) and from the AERONET/PHOTONS network were also used. Dedicated meso-scale and regional 375 modelling experiments were performed in relation to this observational effort. We provide here an 376 overview of the different surface and aircraft observations deployed during the ChArMEx/ADRIMED period 377 and of associated modeling studies together with an analysis of the synoptic conditions that determined the 378 aerosol emission and transport. Meteorological conditions observed during this campaign (moderate 379 temperatures and southern flows) were not favorable to produce high level of atmospheric pollutants nor 380 intense biomass burning events in the region. However, numerous mineral dust plumes were observed 381 during the campaign with main sources located in Morocco, Algeria and Tunisia, leading to aerosol optical 382 depth (AOD) values ranging between 0.2 to 0.6 (at 440 nm) over the western and central Mediterranean 383 basins. One important point of this experiment concerns the direct observations of aerosol extinction onboard the ATR-42, using CAPS system, showing local maxima reaching up to 150 Mm⁻¹ within the dust 384 plume. Non negligible aerosol extinction (about 50 Mm⁻¹) was also been observed within the Marine 385 386 Boundary Layer (MBL). By combining the ATR-42 extinction coefficient observations with absorption and 387 scattering measurements, we performed a complete optical closure revealing excellent agreement with 388 estimated optical properties. This additional information on extinction properties has allowed calculating 389 the dust single scattering albedo (SSA) with a high level of confidence over the Western Mediterranean. Our 390 results show a moderate variability from 0.90 to 1.00 (at 530 nm) for all flights studied that is contrary to 391 the available literature on this optical parameter. Our results underline also a relatively low difference in SSA 392 with values derived near dust sources. In parallel, active remote-sensing observations from the surface and 393 onboard the F-20 aircraft suggest a complex vertical structure of particles and distinct aerosol layers with 394 sea-spray and pollution located within the MBL, and mineral dust and/or aged north American smoke 395 particles located above (up to 6-7 km in altitude). Aircraft and balloon-borne observations allow to 396 investigate the vertical structure of aerosol size distribution showing particles characterized by large size 397 (>10 µm in diameter) within dust plumes. In most of cases, a coarse mode characterized by an effective 398 diameter ranging between 5 and 10 µm, has been detected above the MBL. In terms of shortwave (SW) 399 direct forcing, in-situ surface and aircraft observations have been merged and used as inputs in 1-D radiative 400 transfer codes for calculating the direct radiative forcing (DRF). Results show significant surface SW 401 instantaneous forcing (up to -90 W m⁻² at noon). Aircraft observations provide also original estimates of the 402 vertical structure of SW and LW radiative heating revealing significant instantaneous values of about 5°K per 403 day(in the solar spectrum (for a solar angle of 30°) within the dust layer. Associated 3-D modeling studies 404 from regional climate (RCM) and chemistry transport (CTM) models indicate a relatively good agreement for 405 simulated AOD compared with observations from the AERONET/PHOTONS network and satellite data, 406 especially for long-range dust transport. Calculations of the 3-D SW (clear-sky) surface DRF indicate an average of about -10 to -20 W m⁻² (for the whole period) over the Mediterranean Sea together with maxima 407 408 (-50 W m⁻²) over northern Africa. The top of the atmosphere (TOA) DRF is shown to be highly variable within 409 the domain, due to moderate absorbing properties of dust and changes in the surface albedo. Indeed, 3-D 410 simulations indicate negative forcing over the Mediterranean Sea and Europe and positive forcing over 411 northern Africa. Finally, a multi-year simulation, performed for the 2003 to 2009 period and including an 412 ocean-atmosphere (O-A) coupling, underlines the impact of the aerosol direct radiative forcing on the sea 413 surface temperature, O-A fluxes and the hydrological cycle over the Mediterranean.

414 **1. Introduction**

415 The Mediterranean region has been identified as one of the most prominent "Hot-Spots" in future climate 416 change projections (Giorgi and Lionello, 2008). It is characterized by its vulnerability to changes in the water 417 cycle (e.g. Chenoweth et al., 2011; García-Ruiz et al., 2011). General Circulation Model (GCM) and Regional 418 Climate Model (RCM) simulations show a substantial precipitation decrease and a warming of the region, especially in the long warm and dry Mediterranean season. At the end of 21st century, the average of the 419 420 model outputs predicts a significant loss of freshwater (+40% for the period 2070-2090 compared to 1950-421 1999; Sanchez-Gomez et al, 2009) over the Mediterranean region. More recently, Mariotti et al. (2015) have 422 used the newly available Coupled Model Intercomparison Project-Phase 5 (CMIP5) experiments and show a 423 significant increase of the projected surface air temperature (by ~+ 2-3 °C) for the 2071-2098 period 424 compared to 1980-2005. These results need to be put in the context of an increasing anthropogenic pressure on the Mediterranean region, with an expected doubling of the population in countries around the 425 426 Mediterranean basin in the next decades, with a contrast between a small decrease in European countries 427 and a strong increase in African and Middle-East countries (Brauch, 2003). However, as highlighted by 428 Mariotti et al. (2008), despite the high degree of model consistency, the results concerning the future 429 climate projections for the Mediterranean Sea water budget from the global coupled models are still 430 uncertain due to their horizontal spatial resolutions that are not capable of resolving the local to regional 431 Mediterranean specific processes (air-sea exchanges, coastline, topography, north-south gradient of 432 albedo). Indeed, the Mediterranean climate is affected by local processes induced by the complex 433 physiography of the region and the presence of a large body of water (the Mediterranean Sea). For example, 434 the Alpine chain is a strong factor in modifying traveling synoptic and mesoscale systems and the 435 Mediterranean Sea is an important source of moisture and precipitation in the region (Gimeno et al., 2010; 436 Schicker et al., 2010) and of energy for storms (Lionello et al., 2006). The complex topography, coastline and 437 vegetation cover of the region are well known to modulate the regional climate signal at small spatial scales 438 (e.g. Millan et al., 1997; Gangoiti et al., 2001; Lionello et al., 2006).

So far, most global and regional climate simulations have investigated the impact of global warming on the
 Mediterranean climate without detailed considerations of the possible radiative influence and climatic

441 feedback from the different Mediterranean aerosols (anthropogenic, marine, biomass burning, secondary 442 biogenic and mineral dust particles). The Mediterranean region is rich in a variety of particles (natural and 443 anthropogenic) from both continental and marine sources (Lelieveld et al., 2002). In figure 1, we illustrate 444 the significant differences in aerosol loading between the eastern, central, and western sub-basins and 445 between the North and the South of the Mediterranean shown by long-term aerosol satellite products. The 446 aerosol optical depth (AOD), which represents the integration of the extinction by particles along the whole 447 atmospheric column displays annual mean values (Figure 1) from 0.2 to 0.5 (in the visible wavelengths), 448 depending on the aerosol types observed over the Euro-Mediterranean region (Nabat et al., 2013).

449 Numerous studies have documented the AOD for polluted-anthropogenic Mediterranean aerosols at local 450 scale over southeastern France (Mallet et al., 2006; Roger et al., 2006), Spain (Horvath et al., 2002, Alados-451 Arboledas et al., 2003, 2008), Western Mediterraean (Lyamani et al., 2015), Greece (Chazette and Liousse, 452 2001; Gerasopoulos et al., 2003), the Greek island of Crete (Fotiadi et al., 2006), and Italy (Tafuro et al., 2007, Ciardini et al., 2012). Under polluted conditions, they report low to moderate AOD values ranging 453 454 between 0.1 to 0.5 (at visible wavelengths). In parallel, multi-year TOMS and MODIS observations over the 455 eastern Mediterranean (Hatzianastassiou et al., 2009) or the Po Valley (Royer et al., 2010) indicate the 456 occurrence of high AOD values (up to more than 0.8 at 500 nm) over large urban areas surrounding 457 megacities.

458 Numerous studies (Markowicz et al. (2002), Ravetta et al. (2007), Liu et al. (2009), Kaskaoutis et al. (2011), 459 Barnaba et al. (2011), Amiridis et al. (2012), Baldassarre et al. (2015)) have been also dedicated to biomass 460 burning aerosols over the Mediterranean, which are mainly observed in July and August (driest months of 461 the year) when the development of forest fires is favoured (Pace et al., 2005). Long-term observations of 462 absorbing aerosols have clearly shown the major role of long range transport of biomass (agriculture waste) 463 burning in the eastern Mediterranean (Sciare et al., 2008). AOD data available for smoke particles show 464 "intermediate" values between those observed for dust and anthropogenic particles. For example, AOD 465 ranging between 0.3 and 0.8 (Pace et al., 2005) have been observed at Lampedusa from 5 to 22 August 466 2003, in relation with intense fires developed in southern Europe and transported over the Mediterranean 467 basin during a regional heat wave. In addition, the STAAARTE-MED experiment (August 1998 in the Eastern

468 Mediterranean) has also documented a mean AOD of 0.39 (at 550 nm) for aged smoke plume from 469 Canadian fires (Formenti et al., 2002). This kind of long-range transport has been also observed over the 470 Western Mediterranean (Ortiz-Amezcua et al., 2014).

471 Concerning natural aerosols, different cases of Saharan mineral dust have been regularly documented with 472 local optical measurements on the island of Lampedusa by Meloni et al. (2003, 2004), who indicate 473 moderate AOD (at 415.6 nm) of about 0.23-0.26 and one significantly larger event with AOD values of 0.51. 474 Meloni et al. (2008) also report AOD (at 500 nm) measurements ranging between 0.29 and 1.18 for the 475 1999 to 2006 period. For some extreme cases, dust AOD peaks may be even larger reaching values up to 2 476 as observed by di Sarra et al. (2011). In parallel to Lampedusa observations, Kubilay et al. (2003) have also 477 documented three dust intrusion events at Erdemli (Turkish coast), occurring in spring from central Sahara, 478 in summer from eastern Sahara, and in autumn from the Middle East/Arabian peninsula. In each case, the 479 presence of dust particles significantly increased the AOD, up to 1.8. Over the western Mediterranean, different studies also reveal the impact of Saharan dust that occasionally can lead to extreme events with 480 481 AOD (at 500 nm) above 1 (Guerrero-Rascado et al., 2009).

482 For sea-spray particles, which are the second main natural species observed over the Mediterranean, Nabat 483 et al. (2013) report a relatively low monthly mean AOD derived from satellites and modeling data, with 484 values lower than 0.05 (in the visible wavelengths). By using recent improvements in the sea-spray emission 485 scheme, Spada et al. (2013) show an averaged sea-spray AOD around 0.04 for the month of January (5 year 486 period, 2002-2006) which is the favourable period for generating primary sea-spray due to strong sea-487 surface winds. Finally, and in the case of extreme wind episodes occurring over the western basin, Salameh 488 et al. (2007) show that the amount of aerosol loading, solely due to the Mistral, Tramontane and Ligurian 489 outflows, is as large as 3-4 times the background aerosol amount. They indicate that the contribution of 490 sea-spray particles to the total aerosol loading and optical depth ranges from 1 to 10%. Salameh et al. 491 (2007) report AOD around 0.15-0.20 (at 865 nm) within the sea-spray aerosol plume during such strong 492 wind events. In addition, Mulcahy et al. (2008) reported a high correlation between AOD and wind-speed 493 with AOD values of 0.3-0.4 at moderately-high wind speed.

494 In addition to AOD, the knowledge of SSA is essential to estimate the aerosol direct and semi-direct

495 radiative forcing. Concerning mineral dust particles observed over the Mediterranean, it should be noted 496 that significant variations in SSA are reported, with values near 1 for purely scattering aerosols, and quite 497 remarkable low values (0.74, 0.77 or 0.81) at Lampedusa (Pace et al., 2006; Meloni et al., 2003). At the high 498 altitude Alpine Jungfraujoch station, SSA values are generally higher than 0.9 in case of African dust but 499 occasional SSA as low as 0.75-0.80 are reported by Collaud-Coen et al. (2004). Intermediate values (0.85-500 0.92) have been also reported over the Mediterranean basin (Kubilay et al., 2003; Meloni et al., 2004; Saha 501 et al., 2008). These estimates clearly indicate that significantly different SSA values are obtained following 502 the dust particle origins and/or possible mixing of mineral dust with other species. For example, Kubilay et 503 al. (2003) underlined the importance of mixing, showing SSA values clearly lower (0.85-0.90) in case of 504 mineral dust transport coincident with urban-industrial aerosols, as compared to pure dust (0.96-0.97).

505 In addition, SSA observed in case of urban/industrial regimes has been also well documented over the 506 Mediterranean Sea and coastal regions. In most cases, moderate or low SSA (0.78-0.94) is observed due to 507 emissions containing absorbing black carbon aerosols. Over southeastern France, optical computations 508 performed by Saha et al. (2008) and Mallet et al. (2004) indicate SSA values of 0.83 and 0.85 (at 550 nm) 509 near the cities of Marseille and Toulon, respectively. Aircraft observations performed over the 510 Marseille/Etang de Berre area during the ESCOMPTE campaign show values ranging between 0.88 and 0.93 511 (at 550 nm) in the PBL (Mallet et al., 2005). These SSA values are close to those observed in South Spain 512 (0.86-0.90) by Horvarth et al. (2002). Over southeastern Italy, Tafuro et al. (2007) reported a value of 0.94 513 during summer time corresponding to anthropogenic particles. Finally, polluted particles transported over 514 the Mediterranean basin have also relatively low values as reported by Markowick et al. (2002) over Crete 515 Island (0.87) and by Di Iorio et al. (2003) (0.79-0.83) over the Lampedusa Island for two cases (25 and 27 516 May 1999) of "aged" anthropogenic aerosols originating from Europe.

As opposed to dust and polluted aerosols, few studies have derived the biomass burning SSA over the Mediterranean Sea. One estimate has been obtained during STAAARTE-MED by Formenti et al. (2002) who reported a mean dry SSA of 0.89 (at 500 nm) for aged smoke from North America. Meloni et al. (2006) report estimations at Lampedusa with values of 0.82 ±0.04 (at 415 nm) for smoke aerosols over the Mediterranean region. The observed differences between SSA values could be due to the fact that the

522 smoke events described by Meloni et al. (2006) are more "local" and not (or somewhat less) mixed with 523 other secondary species, as compared to biomass burning particles documented by Formenti et al. (2002), 524 which were issued from very distant Canadian fires. Finally, at Palencia (Spain), Cachorro et al. (2008) 525 reported a column-integrated SSA of 0.88 (at 440 nm) for a biomass burning event occurring in July 28, 526 2004. It should be remained that most estimations of SSA over the Mediterranean have been obtained from 527 surface in-situ or remote-sensing techniques. In that sense, the ChArMEx/ADRIMED project provides 528 innovative observations of 3-D aerosol SSA, allowing investigating changes in its optical property during the 529 transport of aerosols over the Mediterranean.

530 Concerning the aerosol vertical profiles and apart from a few airborne in-situ measurements (Formenti et 531 al., 2002), most of the available information in the Mediterranean region comes from lidar observations, 532 which provide highly resolved vertical profiles of aerosol backscattering at one or more wavelengths and, 533 depending on the complexity of the instrumental setup, particles depolarization and extinction. Several 534 sites are equiped with aerosol lidar systems and carry out regular observations in a coordinated way within 535 the European aerosol research lidar network EARLINET (European Aerosol Research Lidar Network; 536 Pappalardo et al., 2014; Wang et al., 2014). Numerous studies have been specifically dedicated to the 537 vertical distribution of Saharan dust during extended time periods and/or selected events from various 538 Mediterranean regions, mainly from ground-based systems: (i) the eastern basin in Thessaloniki (Hamonou 539 et al., 1999; Balis et al., 2004), Crete (Balis et al., 2006), the Aegean sea (Dulac et al., 2003), and Athens plus 540 Thessaloniki (Papayannis et al., 2005; Balis et al., 2006); (ii) the central basin in Lampedusa (Di Iorio et al., 541 2003; Meloni et al., 2004), Lecce (Tafuro et al., 2006), and at Etna (Tafuro et al., 2006); and (iii) across the 542 western basin with the first spaceborne lidar (Berthier et al., 2006) and at Observatoire de Haute Provence 543 (Hamonou et al., 1999), and Barcelona (Pérez et al., 2006; Sicard et al., 2011). Finally, using data from 20 544 EARLINET lidar stations, Papayannis et al. (2008) indicate that African dust transport over the Mediterranean 545 basin is layered. Their analysis confirms early observations by Hamonou et al. (1999) that not only different 546 dust layers are superimposed at different altitudes, but that these layers have different source regions. The 547 dust layers were generally detected between 1.8 and 9 km altitude.

548 Not only desert dust, however, can be transported above the marine atmospheric boundary layer. Balis et

549 al. (2004) report non-dust aerosols within elevated layers over Thessaloniki, and Formenti et al. (2002) 550 report a forest fire haze layer from Canada observed from airborne measurements between approximately 551 1 and 3.5 km above the northeastern Mediterranean in August 1998. Pérez et al. (2004) describe the 552 complex interaction among orography, sea-breeze and pollution that cause the recirculation of pollutants 553 and produce a strong layering with pollution aerosol layers above the boundary layer in the region of 554 Barcelona. In addition, aerosol plumes are emitted sporadically in the Mediterranean free troposphere by 555 Etna volcano. Such plumes have been observed to travel at altitudes between 4 and 5 km (Pappalardo et al., 556 2004) or above (Sellitto et al., 2015) at relatively short distance from Etna. To summarize, the lidar 557 observations clearly show that only part of the aerosol transport occurs in the MBL demonstrating the need 558 of using aircraft observations within the aerosol plume to determine the aerosol microphysical-chemical 559 and optical properties of particles transported in altitude and so not detectable at the surface. Indeed, 560 although lidar observations provide obviously crucial information on the aerosol vertical profiles, most of 561 lidar systems cannot derive information on the aerosol size distribution, optical properties and chemical 562 composition along the vertical. Such observations can only be obtained using in-situ aircraft vertical profiles 563 as proposed in this ChArMEx/ADRIMED experiment. As an example, this project provides interesting and 564 unique observations of 3-D aerosol size distribution during the transport over the Mediterranean basin, 565 allowing to investigate changes in size distribution between mixed and pure mineral dust.

566 In terms of radiative effects, such atmospheric aerosol characteristics (loadings, absorbing properties, 567 vertical layering) are known (Nabat et al., 2012; Papadimas et al., 2012; Zanis et al., 2012) to significantly 568 change the radiative budget of the Mediterranean region by (1) decreasing the sea-surface incoming 569 shortwave radiations, (2) increasing/decreasing outgoing shortwave fluxes depending on the surface albedo 570 and (3) possibly heating turbid atmospheric layers when particles absorb solar light. This is the so-called 571 aerosol "Direct Radiative Forcing (DRF)". As for the AOD, many of the aerosol DRF calculations are now 572 referenced over the Mediterranean clearly showing that the DRF is significantly larger at daily time scales 573 than the one exerted by the additional anthropogenic greenhouse gases.

574 Concerning polluted aerosols, shortwave DRF have been estimated by many authors (Horvath et al., 2002;
575 Markowicz et al., 2002; Meloni et al., 2003; Roger et al., 2006; Mallet et al., 2006; Saha et al., 2008; di Sarra

576 et al., 2008; Di Biagio et al., 2009, 2010). Studies show significant decreases of surface solar fluxes of about 577 20-30 W m⁻² (daily mean) for different locations as Almeria (South Mediterranean coast of Spain), Finokalia 578 (Crete Island), Lampedusa, Marseilles and Toulon (southeastern France). In parallel, the combination of 579 surface and satellite remote-sensing observations performed at Lampedusa have been used to perform 580 calculations of the DRF, both in the shortwave (SW; Di Biagio et al., 2010) and longwave (LW; di Sarra et al., 581 2011; Meloni et al., 2015) spectral regions for different cases of Saharan dust intrusions. These studies 582 emphasize that the radiative effect of desert dust in the LW spectral range is significant, and offsets a large 583 fraction of the SW forcing (di Sarra et al., 2011; Meloni et al., 2015). More recently, Sicard et al. (2014a, 584 2014b) have also produced estimations of the dust LW radiative effect, based on remote-sensing 585 observations in Barcelona and 1-D radiative transfer calculations.

586 Concerning the smoke DRF, some calculations have been conducted over the Mediterranean region by Markowicz et al. (2002), di Sarra et al. (2008), Kaskaoutis et al. (2011) or Formenti et al. (2002). One 587 588 estimate, proposed by Formenti et al. (2002) for an aged Canadian biomass-burning plume, reveals a 589 significant SW surface dimming of about ~ 60 W m⁻². In addition, the DRF induced by smoke aerosols at 590 Lampedusa between 3 and 23 August 2003, during the exceptionally hot and dry season, was derived by 591 Pace et al. (2005) for the 300-800 nm spectral range. The smoke atmospheric forcing was estimated to be between +22 and +26 W m⁻², with a corresponding SW heating rate possibly exceeding 2 K d⁻¹ at the smoke 592 593 plume altitude.

594 At the regional scale, Papadimas et al. (2012) have proposed a recent estimation of the aerosol DRF using 595 MODIS data from 2000 to 2007 for both all-sky and clear-sky conditions. They derived a multi-year regional mean surface of -19 W m⁻², associated with a TOA DRF of -4.5 W m⁻². Regional modelling studies have been 596 597 also recently proposed by Nabat et al. (2012, 2015a) using the coupled-chemistry RegCM and the CNRM-598 Regional Climate System Model (RCSM) models for multi-year simulations. These works reported a mean regional surface (TOA) forcing of about -12 W m⁻² (-2.4 W m⁻²) and -16 W m⁻² (-5.7 W m⁻²) for the RegCM and 599 600 CNRM-RCSM models, respectively. RegCM has been also used to investigate direct and semi-direct radiative effects of mineral dust over the Sahara and Europe in a test case of July 2003 (Santese et al., 2010). In this 601 work, Santese et al. (2010) computed a daily-mean SW DRF of -24 W m⁻² (resp. -3.4 W m⁻²) on 17 July and -602

25 W m^{-2} (-3.5 W m^{-2}) on 24 July at the surface (TOA) on average over the simulation domain. Zanis et al. 603 604 (2012) also proposed a regional estimate of the DRF of anthropogenic particles over the 1996-2007 period 605 using RegCM and showed a significant forcing of up to - 23 W m⁻² at TOA over Eastern Europe. In addition, 606 Pere et al. (2011) have used the CTM-CHIMERE model coupled to the WRF model, for estimating the DRF of 607 anthropogenic particles during the heat wave of summer 2003 and showed significant effects with 608 implications on the planetary boundary layer height (decrease up to 30% in the presence of anthropogenic 609 aerosols) and local air-quality. In addition to their important effects on the surface and TOA DRF, most of 610 the Mediterranean aerosols are also able to absorb more or less effectively the solar radiations leading to a 611 significant atmospheric forcing and associated SW heating rate. Local studies previously mentioned (Roger 612 et al., 2006; Saha et al., 2008; Pace et al., 2005; Pere et al., 2011; Meloni et al., 2015) clearly report 613 significant SW heating rate due to absorbing particles with values reaching up to 2-3 K per day, depending 614 on the aerosol types. Finally, aerosols also have a significant effect on photolysis rates that may affect 615 tropospheric chemistry and ozone production over the basin (Casasanta et al., 2011, Mailler et al., 2015).

616 In regards to such surface, TOA and atmospheric forcings, there is a need to investigate how the change in 617 the radiative budget due to natural/anthropogenic aerosols influence the surface temperature (both over 618 land and sea), relative humidity profiles, exchanges (latent heat fluxes) between ocean and atmosphere, 619 cloud-cover (semi-direct effect of absorbing particles), precipitation and finally the whole Mediterranean 620 hydrological cycle. The induced perturbations in the sea surface-atmosphere fluxes is expected to be 621 important despite the relatively small size of the Mediterranean Sea, since this basin plays an important role 622 at much larger scale by providing moisture for precipitation to its surroundings land region extending to 623 northern Europe and northern Africa (Gimeno et al., 2010 and Schicker et al., 2010). Indeed and as shown 624 by Ramanathan et al. (2001) for the Indian region or Foltz and McPhaden (2008) and Yue et al. (2011) for 625 the Atlantic Ocean, a modification of the sea-surface evaporative fluxes, due to the dimming radiative effect 626 of aerosols at the sea surface could significantly influence the lower troposphere moisture content and the 627 associated precipitation distribution around the Mediterranean. In parallel, the absorbing particles over the 628 Mediterranean (Mallet et al., 2013) could exert a semi-direct effect that could modify the vertical profiles of 629 relative humidity and cloud cover, which has to be quantified. To our knowledge, there is no regional climate simulation over the Mediterranean basin at this time that includes an Ocean-Atmopshere (O-A)
coupled system model for investigating this specific question.

In that context of the referenced modelling and observations researchs over the Mediterranean basin, the
 main objectives of the ChArMEx/ADRIMED project were the following:

- to conduct an experimental campaign, based on surface and aircraft observations, for creating a rich 3-D
database of physical, chemical and optical properties of the main Mediterranean aerosols, including (i)
original in-situ aircraft observations of extinction coefficients, size distribution, black carbon concentrations
as well as (SW and LW) radiative fluxes and associated heating rates, (ii) balloons observations of aerosol
size distribution and (iii) surface measurements including original characterization of chemical properties
- to investigate how the aerosol size distribution and optical (especially SSA) properties evolve along the

640 vertical, between the MBL and elevated layers, and during the transport over the Mediterranean

641 - to use experimental surface and aircraft observations to estimate the 1D-local DRF and forcing efficiency
642 of different aerosols at the surface, TOA and within the atmospheric layer

643 - to investigate how the modifications of the radiative budget due to aerosols affect the sea-surface
644 evaporation fluxes, relative humidity profiles, cloud-cover, precipitation and more largely the
645 Mediterranean hydrological cycle

646 The present article describes the experimental setup of the campaign and the meteorological context and 647 illustrates important results detailed in a series of companion papers. The rest of this article is divided into 648 six different parts. In the first and second part (sections 2 & 3), we describe the in-situ and remote-sensing 649 instrumentation deployed at the two super sites (Ersa and Lampedusa) and secondary sites (Minorca, Capo 650 Granitola and the Barcelona and Granada EARLINET/ACTRIS stations), the additional AERONET/PHOTONS 651 (AErosol RObotic NETwork / PHOtométrie pour le Traitement Opérationnel de Normalisation Satellitaire, 652 http://aeronet.gsfc.nasa.gov/; Holben et al., 1998) and EARLINET/ACTRIS (European Aerosol Research Lidar 653 Network / Aerosols, Clouds, and Trace gases Research InfraStructure Network, <u>http://www.actris.net/;</u> 654 Pappalardo et al., 2014) network stations that we used, and the airborne observations obtained onboard 655 the two French research aircraft (ATR-42 and F-20) and with sounding and drifting balloons. The section 4 is 656 dedicated to present the main meteorological conditions, cloud cover and precipitation, which controlled

657 the aerosol emission and transport during the period of observations. The section 5 presents some 658 examples of results concerning the in-situ and remote-sensing observations, in terms of aerosol physical, 659 chemical, optical properties, and vertical profiles, as well as 1-D DRF SW and LW calculations. In the last part 660 (section 6), the modelling effort is presented. Different models are involved in this project, from high 661 resolution meteorological and chemistry transport models to regional climate models. The modelling results 662 are used to describe the anthropogenic (carbonaceous, secondary inorganic and organic species) and 663 natural (dust and sea-spray) loading and the estimated DRF at the regional scale for the period of 664 experiment. An example of results of longer (inter-seasonal and inter-annual) aerosol-climate simulations is 665 presented in the section 6, based on the work of Nabat et al. (2015a).

666 **2. Overview of the surface observation network**

The regional experimental set-up deployed in the western and central Mediterranean during the campaign
ChArMEx SOP-1a is shown in Figure 2. Two super sites (Cape Corsica and Lampedusa) and 10 secondary
sites (Figure 2) have been used in this project.

670 **2.1 The Cape Corsica and Lampedusa surface super sites**

671 Two super-sites were fully equipped for documenting the aerosol chemical, physical and optical properties 672 as well as their possible mixing and their vertical structure at local scale (Table 1). The main characteristics 673 of these two surface stations are presented here. The first station was located in Ersa on Cape Corsica 674 (42°58'10"N, 09°22'49"E), near the North tip of Corsica Island. This station was primarily instrumented for 675 investigating polluted air masses transported over the Mediterranean basin from the highly industrialized 676 regions of the Po Valley (Royer et al., 2010) and/or the Marseille-Fos-Berre (Cachier et al., 2005) zone and 677 Rhone Valley. This ground-based remote station is located at an altitude of about 530 m above mean sea 678 level (amsl) on a ridge equipped with wind mills and benefit from a direct view to the sea over a North 679 sector of ~270° extending from the SW to SE. The Cape Corsica peninsula is a remote site ensuring that the 680 in-situ measurements are not contaminated by local anthropogenic pollution.

The Lampedusa super-site (35°31'5"N, 12°37'51"E) was established at the "Roberto Sarao" station permanently operated by ENEA in the small island of Lampedusa (~20 km²), and it was augmented during the field campaign by the observations of the PortablE Gas and Aerosol Sampling UnitS (PEGASUS) mobile

station operated by LISA . This surface station was mainly used for documenting very aged air masses in south westerly flow from Europe, southern air masses from northern Africa (Tunisia, Algeria and Libya) possibly laden with mineral dust, as well as marine aerosols. It is situated on a cliff at about 45 m amsl on the NE tip of the island.

The complete instrumentation deployed during the SOP-1a experiment for both super-sites is detailed in Table 1. Briefly, it served to determine the complete aerosol physical, chemical and optical properties as well as vertical profiles, and to measure radiative fluxes (broadband SW and LW, and spectral SW).

691 **2.1.1** In situ measurements at super-sites

692 Both super-sites measured the mass concentration online using Tapered Element Oscillating Microbalance 693 (TEOM) analysers. The number size distribution of particles are also measured, including fine and coarse 694 fractions (radius ranges and corresponding instruments are reported in Table 1). The aerosol composition 695 was derived from chemical analyses of filters and cascade impactors (DEKATI and MOUDI) with time 696 resolution varying from 12 to 48h (depending on the aerosol load), but also from high-time resolution 697 online measurements by an ACSM (Aerosol Chemical Speciation Monitor) at Ersa, a C-TOF-AMS (Time of 698 Flight Aerosol Mass Spectrometer) at Lampedusa, and two PILS (Particle Into Liquid Sampler) systems at 699 both sites (Table 1). The original observations of aerosol chemical properties obtained from PM10-PILS 700 instrument at Ersa are detailed in Claeys et al. (2015). Concerning aerosol optical properties, scattering and 701 absorption coefficients (at wavelengths listed in table 1) have been estimated for both super-sites using a 3-702 λ nephelometer and a 7- λ aethalometer, respectively. At Ersa station, the extinction coefficient (at 870 nm) 703 was also estimated using a Photoacoustic Extinctiometer (PAX) instrument, while it has been estimated at 2-704 λ (450 and 630 nm) at Lampedusa using 2 Cavity Attenuated Phase Shift Spectroscopy (CAPS) systems.

Additional in-situ measurements were performed at the Ersa station. The mixing state of fine particles (at the two selected diameters of 50 and 110 nm in dry conditions) has also been estimated from their hygroscopic behaviour using a VHTDMA (volatilization and humidification tandem differential mobility analyser) system (Johnson et al., 2004). In parallel, a TSI (model 3800) aerosol time of flight mass Spectrometer (ATOFMS) (Gard et al., 1997) was used to measure the size-resolved chemical composition of single particles in the vacuum aerodynamic diameter (d_{va}) size range 100–3000 nm.

711 2.1.2 Remote sensing and radiation measurements at super-sites

712 A Leosphere Raman lidar model RMAN510 was setup at low altitude (~11 m above sea level) in the small 713 village of Macinaggio (42°57'44"N, 9°26'35"E) located on the eastern coast of Cape Corsica. The lidar was 714 operated at about 6 km East from the Ersa station and less than 700 m from the shoreline. The RMAN510 715 uses a laser emitting at 355 nm. It measures the total and polarized backscatter at 355 nm and the Raman 716 nitrogen signal at 387 nm at night-time. A second ALS300 510 lidar system has been deployed in Lampedusa 717 (Formenti et al., in prep.) as well as a more powerful University of Rome-ENEA homemade lidar measuring 718 backscatter at 532 and 1064 nm (Di Iorio et al., in prep.). The main characteristics of lidar systems are 719 provided and detailed in Table 1.

At each station, a multi-wavelength sun-photometer from the AERONET/PHOTONS network was operated, allowing the operational retrieval of column integrated AOD at 340, 380, 440, 500, 675, 870, 1020 nm (and also at 1650 nm at Ersa) and aerosols optical and microphysical properties such as the single scattering albedo, refractive index and particle size volume distribution (Dubovik and King, 2000; Dubovik et al., 2000, 2002, 2006). The Ersa sun-photometer is positioned since June 2008 near the navy semaphore on the northwestern tip of Cape Corsica (43°00'13"N, 09°21'33"E, alt. ~75 m amsl) at about 4.2 km NNW of the Ersa surface station.

727 Both super-sites were complemented by a pyrgeometer and a pyranometer for monitoring longwave and 728 shortwave downward fluxes measurements, respectively. Additional radiation measurements were 729 performed at Lampedusa (Table 1). Spectral measurements of global, diffuse, and direct radiation were 730 carried out with other instruments deployed by ENEA and the Physikalisch-Meteorologisches 731 Observatorium Davos, World Radiation Center, (PMOD/WRC, Switzerland). Multi-filter rotating 732 shadowband radiometer observations were carried out jointly with AERONET sun-photometer (di Sarra et 733 al., 2015) and allowed the derivation of the AOD at several wavelengths. By combining these two 734 measurements, a long-term series of AOD, started in 2001, was obtained. Measurements of the spectral 735 actinic flux, allowing the determination of the photolysis rates (Mailler et al., 2015), were carried out with a 736 diode array spectrometer. Measurements of broadband irradiance included a CG3 pyrgeometer sensitive to 737 radiation in the atmospheric infrared window. Finally, the total ozone and spectral UV irradiance were

obtained with a Brewer spectrophotometer. Several radiosondes were also launched from Lampedusa
during the SOP-1a, and vertical profiles of temperature and humidity were continuously measured by a
microwave radiometer.

741 2.2 The secondary sites

742 2.2.1 Montesoro station

The Cape Corsica station was complemented by an additional remote-sensing setup at the peri-urban air quality station of Montesoro, southward of Bastia at about 45 m amsl (Leon et al., 2015), including a Leosphere model EZ lidar operating at 355 nm (42°40'17″N, 09°26'05″E) and a Cimel AERONET/PHOTONS sun-photometer (42°40'19″N, 09°26'06″E). In addition, some air-quality parameters were monitored by Qualitair Corse, including PM_{2.5} and PM₁₀. This station is less than 1 km far from the shore on the northeastern coast of Corsica, about 32 km South of Macinaggio.

749 **2.2.2 Barcelona station**

The Barcelona station (41.39°N, 2.11°E, 115 m amsl) was equipped with the following fixed instruments including an AERONET sun-photometer, an automated Sigma Space-NASA Micro Pulse Lidar (MPL) and a Universitat Politècnica de Catalunya (UPC) home-made multi-wavelength lidar (Kumar et al., 2011). The MPL lidar works at 532 nm and has a depolarization channel, while the UPC lidar works at 355, 532 and 1064 nm, and also includes two N₂- (at 387 and 607 nm) and one H₂O-Raman (at 407 nm) channels. The MPL system worked continuously. The UPC system was operated on alert in coordination with the two research aircraft plans involved in the SOP-1a campaign. The UPC system is part of the EARLINET network.

757 2.2.3 Minorca station

An additional station was setup during the campaign, located at Cap d'en Font, on the southeastern coast of the Balearic island of Minorca (Spain, 39°53'12"N and 4°15'31" E, ~10 m amsl), which is relatively central in the western Mediterranean basin. The Mobile Aerosol Station (MAS) of the LSCE (Laboratoire des Sciences du Climat et de l'Environnement) laboratory was equipped with the new Raman lidar WALI (Chazette et al., 2014a, 2014b), an AERONET/PHOTONS sun-photometer, and a set of in-situ instruments. A 5-wavelength Solar Light Microtops-II manual sun-photometer was also used. The WALI instrument, its calibration and the associated errors are documented in Chazette et al. (2014a). During all the experiment, the acquisition was

performed continuously with a vertical resolution of 15 m. AOD at the lidar wavelength of 355 nm has been
extrapolated from that measured by sun-photometer at 380 nm and 440 nm using the Angström exponent
(Chazette et al., 2015).

768 The in-situ instruments installed on-board the MAS included a 3-wavelength TSI nephelometer, a Magee 769 Scientific Model AE31 7-wavelength aethalometer, a TEOM microbalance, and a Vaisala meteorological 770 probe type PTU300. The nephelometer was sampling through a PM₁₀ inlet to measure the aerosol scattering 771 coefficient at 3 wavelengths (450, 550 and 700 nm) with an integrating time step of 5-min. The 772 aethalometer was sampling through a PM_{2.5} inlet to measure aerosol absorption (at 7 wavelengths) and 773 derive a 5-min average black carbon concentration. The TEOM measured dry PM₁₀ concentration every 30 774 min. In addition two optical particle counters (OPCs) were installed outdoors next to the sun-photometer on 775 a mobile platform. A MetOne HHPC-6 and a LOAC (Renard et al., 2015a, 2015b) respectively measured 776 aerosol particle number concentration in 6 channels above 0.3µm in diameter and in 19 channels above 0.2 777 μm. The LOAC instrument accuracy is discussed in detail by Renard et al. (2015a, 2015b).

778 2.2.4 Granada station

779 The station of the Atmospheric Physics Group (GFAT) is located in the Andalusian Institute for Earth System 780 Research (IISTA-CEAMA), in Granada, Spain (37.16ºN, 3.61ºW, 680 m amsl). The station is at a relatively 781 short distance, about 200 km away, from the African continent and approximately 50 km away from the 782 western Mediterranean Sea. During the SOP-1a campaign, lidar measurements were performed 783 simultaneously with a multiwavelength Raman lidar and a scanning Raman lidar both from Raymetrics S.A. 784 The multi-wavelength Raman system is part of the EARLINET network. In addition, a ceilometer was 785 operated. Column integrated characterization of the atmospheric aerosol was performed following 786 AERONET protocols with two Cimel sun-photometers deployed at two different heights: Granada (680 787 m asl) and Cerro Poyos (37°6'32"N, 03°29'14"W, 1790 m asl) stations. In addition, in-situ instrumentation 788 was continuously operated providing measurements of aerosol light-absorption coefficient at multiple 789 wavelengths (multi-angle absorption photometer (MAAP) from Thermo ESM Andersen Instruments and 790 Aethalometer model AE31), size distribution and particle number concentration for diameters larger than 791 0.5 µm (TSI aerodynamic particle sizer APS model 3321) and light-scattering and backscattering coefficient

at dry and at relative humidity of 85% by means of a TSI tandem nephelometer humidograph system.
Furthermore, the chemical composition in the PM₁ and PM₁₀ size fractions was determined during 16 and
17 June by collecting aerosol samples using two high-volume samplers (Alados-Arboledas et al., in prep.).

795 2.2.5 Capo Granitola station

Several instruments were also deployed at Capo Granitola (37°34′N, 12°40′E), a site along the Southern coast of Sicily. The site, within a combined effort of ENEA, Univ. of Florence, and Univ. of Valencia, was equipped with a PM₁₀ sampler, a MultiFilter Rotating Shadowband Radiometer (MFRSR) to derive spectral AOD, and radiometers and spectrometers for the measurement of global, direct, and diffuse radiation throughout the SW and LW spectral ranges.

801 **2.3 Surface remote-sensing network**

Two surface remote-sensing networks were operated during the ChArMEx SOP-1a experiment, namely the AERONET/PHOTONS and EARLINET/ACTRIS (Pappalardo et al., 2014) networks. These networks were highly useful as they allow estimating the column-integrated aerosol loading as well as the vertical structure of particles.

806 2.3.1 The AERONET/PHOTONS Sun-Photometer Network

807 AERONET (Aerosol Robotic Network; http://aeronet.gsfc.nasa.gov/) is a federated network of ground-based 808 sun-photometers and the associated data inversion and archive system, that routinely performs direct sun 809 observations about every 15 min during daytime, and both almucantar and principal plane sky radiance 810 measurements, at selected solar angles (Holben et al., 1998). Along with AOD observations, the AERONET 811 aerosol retrieval algorithm (Dubovik and King, 2000) delivers the complete set of column-effective aerosol 812 microphysical parameters, including volume size distribution, refractive index at several wavelengths and 813 fraction of spherical particles (Dubovik et al., 2006). In addition, using these microphysical parameters, the 814 algorithm provides other column-effective aerosol optical properties such as wavelength dependent SSA, 815 phase function, and asymmetry parameter, as well as integral parameters of bi-modal particle size 816 distributions (concentration, mode radii and variances) (Dubovik et al., 2002). The accuracy of AERONET 817 retrievals is evaluated and discussed by Dubovik et al. (2000, 2002). In addition to microphysical and optical 818 aerosol properties, we also have used direct radiative forcing calculations operationally provided at any AERONET location as an operational product of the network. The method of derivation is described in detail by Garcia et al. (2012). Briefly, the broadband fluxes were calculated using the radiative transfer model GAME (Dubuisson et al., 2004; Roger at al., 2006) that has been integrated into operational AERONET inversion code. Sun-photometer stations used during the SOP-1a campaign over the Western basin are listed in the Table 2. The new instruments deployed specifically during the ChArMEx/ADRIMED project are

the Ersa, Cap d'En Font, Cagliari and Majorque stations.

825 2.3.2 The EARLINET/ACTRIS network

- Between 22 and 24 of June, four ACTRIS/EARLINET lidar stations (in addition to the EARLINET sites of
 Barcelona and Granada), were operated (Sicard et al., 2015a; Barragan et al., in prep.):
- Naples: backscatter (355 and 532 nm) and depolarization ratio (532 nm) profiles (22 June),
- Serra La Nave (Sicily): backscatter (355 nm) and depolarization ratio (355 nm) profiles (22 June),
- Potenza: extinction profiles (355 and 532 nm), backscatter (1064 nm) and depolarization ratio (532 nm) profiles (22 and 23 June),
- Lecce: extinction (355 and 532 nm), backscatter (1064 nm), water vapour and depolarization ratio
 (355 nm) profiles (22 and 24 June),

834 **3. Overview of the aircraft and balloon operations**

835 3.1 Overview of the ATR-42 and F-20 flights

836 Figure 3 summarizes ATR-42 and F-20 flights trajectories performed during the experiment and their main 837 characteristics. Most of the western Mediterranean basin has been investigated during the campaign by 838 both aircrafts, excluding areas under the control of African aviation authorities where authorizations for 839 scientific operations are very difficult to obtain. The first period of the campaign (16 to 20 June) was mainly 840 dedicated to ATR-42 flights over Spain and Minorca islands (16-17 June, flights 29-32) and Southern France-Corsica Island (19-20 June, flights 33-34). During the second period (21-28th of June) of the SOP-1a, ATR-42 841 842 flights have been mostly conducted over the Sardinia-Sicily-Lampedusa region in the central Mediterranean 843 (flights 35-40). In July, two ATR-42 flights (41 and 42) were conducted over Lampedusa on 02-03 July and 844 two others (43 and 44) on 04 July over the Gulf of Genoa. It should be noted that most ATR-42 flights 845 included some transects at fixed altitudes (generally ~30 min of duration) associated with vertical profiles

846 over surface super-sites and secondary stations. Details about each flight track are available on the 847 ChArMEx Operation Centre website (ChOC; <u>http://choc.seedoo.fr</u>). On Figure 3, F-20 flights trajectories are 848 also indicated with the day corresponding to each flight. Except for the 16 and 17 June when F-20 is not 849 flying, most of flights have been made jointly between the two aircraft. The longer flight range of the F-20 850 allowed us to document the Tyrrhenian Sea (not covered by the ATR-42) and to perform vertical profiles of 851 aerosols over Southern Italy in association with EARLINET/ACTRIS lidar observations. It should be finally 852 noted the additional F-20 flight between Sardinia and Spain on 27 June specifically dedicated to sample a 853 forest fire plume transported long-range from North America.

854 **3.2 In-situ and remote sensing observations on board the ATR-42**

855 The instrumentation deployed onboard the ATR-42, described in detail in Denjean et al. (2015) and Nicolas 856 et al. (in prep.) is summarized in Table 3. It is analogous to the one used for the two super-sites and was 857 devoted to the characterization of microphysical, chemical and optical properties of aerosols that have been 858 advected above the MBL and so not detectable at the surface. As indicated in Table 3, the number size 859 distribution of aerosols, including fine and coarse fractions, as well as the total concentration of particles 860 have been evaluated using SMPS, GRIMM, FSSP and UHSAS systems. The corresponding size ranges for all 861 instruments are indicated in Table 3. A 3- λ nephelometer and 1- λ Cavity Attenuated Phase Shift (CAPS 862 PMex) particle light extinction monitor system (Petzold et al., 2013) have been used conjointly for 863 estimating scattering and extinction properties of particles. The CAPS-PMex system, used for the first time 864 onboard the ATR-42, provides an additional constrain on the aerosol optical properties, useful to determine 865 the absorbing properties. Indeed, the aerosol absorbing characterization remains largely challenging using 866 filter techniques (Moosmüller et al., 2009). These optical inter-comparisons have been performed for 867 different aerosol plumes and are presented in Denjean et al. (2015).

In addition, passive remote-sensing observations have been conducted during the SOP-1a experiment using the PLASMA (Photomètre Léger Aéroporté pour la Surveillance des Masses d'Air) system, which is an airborne sun-tracking photometer with two main characteristics: lightness and a wide spectral coverage (15 channels between 0.34–2.25 μm; see Karol et al., 2013). The instrument contains also a microprocessor which derives the Sun position depending on time, latitude, longitude (provided by a GPS system) and the

873 rotation of the airborne (provided by a gyroscope). Spectral AOD is derived from these direct sun 874 measurements and the calibration coefficients. During the campaign, several AOD comparisons were done 875 between PLASMA and AERONET/PHOTONS sun-photometers (Cagliari, Lampedusa, Granada) showing 876 differences within 0.01 at all wavelengths. Moreover, as a consequence of performing AOD measurements 877 at different heights, the aerosol extinction vertical profiles have been also obtained during every 878 landing/taking off and during pre-scheduled vertical profiles (Torres et al., this special issue). Finally, upward 879 and downward radiative fluxes (SW & LW) have been measured onboard the ATR-42 by means of CMP22 880 and CGR4 radiometers calibrated before the campaign.

881 **3.3 Remote-sensing observations on board the F-20**

882 3.3.1 LNG observations

883 The LEANDRE Nouvelle Generation (LNG) was used in its backscatter configuration during the ChArMEx-884 ADRIMED field operation onboard the SAFIRE F-20 aircraft. In the present campaign, the LNG system 885 involved three elastic channels at 1064, 532 and 355 nm. Depolarization was also measured in a fourth 886 channel operating at 355 nm. The profiles of atmospheric particulate extinction and backscatter coefficients 887 are then retrieved. Zenith pointing lidar measurements were taken before most of the flights from the 888 ground at the Cagliari airport (39.25 N, 9.06 E) in Italy. Lidar observations allow the detection of biomass 889 burning plumes (BBP) (see part 4.3) arriving at the Cagliari airport on 28 June as described by Ancellet et al. 890 (submitted).

891 3.3.2 OSIRIS observations

892 OSIRIS (Observing System Including PolaRisation in the Solar Infrared Spectrum) is an instrument devoted to 893 observation of the polarization and directionality of the solar radiation reflected by the surface-atmosphere 894 system. OSIRIS is based on the same imaging radiometer concept as the POLDER instrument (Deschamps et 895 al, 1994). It includes two optical systems: one for the visible and near infrared range (VIS-NIR, from 440 to 896 940 nm) and the other for the shortwave infrared (SWIR, from 940 to 2200 nm). OSIRIS has eight spectral 897 bands in the VIS-NIR and six in the SWIR. During the SOP-1a campaign, OSIRIS was flown aboard the French 898 F-20 aircraft and looked at nadir. The quantities used to derive the aerosol and cloud properties from OSIRIS 899 are the normalized total and polarized (unitless) radiances. The aerosol algorithm used for OSIRIS over 900 ocean is an optimal estimation method (OEM), similar to the one described in Waquet et al. (2013). For 901 ocean targets, we use all the available angular and polarized information acquired in three spectral bands 902 (490, 670 and 865 nm) to derive the aerosol parameters and some properties of the surface. A combination 903 of two log normal size distribution functions is assumed (i.e. a fine mode and a coarse mode) as well as a 904 mixture of spherical and non-spherical particles (Dubovik et al., 2006). The main retrieved parameters are 905 the aerosol AOD, SSA, the fraction of spherical particles within the coarse mode and the complex refractive 906 index.

907 3.4 Balloons operations

908 Instrumented balloons were launched by the French Space Agency (CNES) from the airfield of Sant Lluís 909 (39°51′55″N, 04°15′15″, 55 m asl) on Minorca Island, less than 6 km NE of the Cap d'en Font station 910 described above. Two types of balloons were launched to document dust transport events: (i) ascending 911 dilatable rubber balloons, and (ii) quasi-Lagrangian spherical pressurized drifting balloons, called BPCL 912 (Ballon Pressurisé de Couche Limite, or boundary-layer pressurized balloons).

A total of 15 sounding balloons were launched during the campaign between 12 June and 02 July (Table 4) and most balloons reached more than 30 km in altitude. Except for the first test balloon on June 12, the payload of sounding balloons included a pair of meteorological sondes with temperature, humidity and GPS sensors allowing the retrieval of the position (±10 m), derived pressure (±1 hPa) and wind (±0.15 m s⁻¹), respectively coupled, for certain flights (see Tables 4 and 5), to an ozone electrochemical sonde (Gheusi et al., in prep.) and a LOAC OPC (Renard et al., 2015a, 2015b). Balloon trajectories were confined within the area 39-41.2°N in latitude and 3-5°E in longitude.

BPCLs are designed to drift and make observations with a payload of a few kg in the lower troposphere for durations of up to several weeks (Vialard et al., 2009). Two versions were used, the standard one of 2.5 m in diameter, launched pressurized, which is limited to a maximum float altitude of about 2.5 km (Ducrocq et al., 2014), and one developed for ChArMEx of 2.6 m in diameter, launched unpressurized to reach a float altitude of more than 3 km in altitude. The payload was composed of a GPS system, PTU instruments on the upper pole of the balloon, a LOAC instrument on the lower pole of the balloon and two solar radiation sensors for upward and downward solar flux measurements. In addition a BPCL equipped with a modified

927 ozone electrochemical sonde (Gheusi et al., in prep.) instead of a LOAC was launched in parallel of a LOAC 928 balloon on 4 occasions on 16 and 17 June (BPCL B53 and B54, respectively), and on 02 July (BPCL B55 and 929 B57). 14 BPCL balloons were launched in total between 16 June and 02 July 2013 (Table 5). Trajectories are 930 plotted in Figure 4 with a visualization of daytime vs. night-time conditions. The longest flight in terms of 931 distance (1053 km) and time duration (32.6 h) was the ozone BPCL B57, which passed the Sicily strait and 932 reached the southern limit of the authorized flight domain south-south-west of Malta. Communication 933 failure occurred with the two balloons B53 and B70. Flights were automatically terminated by drilling the 934 envelope at a distance of 30 km from southeastern French coasts, western Sicily coast, or North Tunisian 935 coast. BPCL float altitudes ranged between about 1850 and 3350 m amsl (balloon B54 with an ozone sonde 936 and B71 with a LOAC, respectively). Pairs of balloons with LOAC measurements were launched at different 937 float altitudes to document Saharan dust transport on June 16 (2100 and ~3100 m amsl) and June 19 (2550 938 and \sim 3500 m amsl).

939 4. Overview of Meteorological Conditions

940 4.1 Synoptic Situation

941 As mentioned below, the SOP-1a experiment was mostly characterized by moderate aerosol loading mainly 942 controlled by the contribution of mineral dust particles. This situation is well observed through the AOD 943 derived by MODIS (Tanré et al., 1997), MISR (Kahn et al., 2010), PARASOL (Tanré et al., 2011) or SEVERI 944 (Thieuleux et al., 2005) sensors and averaged for the June-July 2013 period (Figure 5), which show an 945 average AOD ranging between 0.2 and 0.4 (at 550 nm) over the western and central Mediterranean basins. 946 During the SOP-1a, distinct meteorological conditions have led to the transport of mineral dust over the 947 basin as shown in the Figures 5 and 6. Figure 7 shows the dust mass concentration together with the 948 geopotential and wind at 700 hPa for the 16 June, 19 June, 22 June, 29 June and 02 July. In the following 949 sections, we discuss the meteorological conditions (surface wind, sea level pressure, 700 hPa geopotential 950 and wind direction) for these different days in order to understand the transport of mineral dust aerosols 951 over the Mediterranean.

Wind direction and intensity vertical profiles as simulated by the ALADIN regional model (outputs every 3hours) as a function of time, for the 11 June to 06 July period and for the whole SOP-1a period at three

954 different sites: Ersa, Minorca and Lampedusa islands are shown in Figure 8. At the beginning of the SOP-1a, 955 the northwestern Mediterranean area was under the influence of a large pressure ridge at 700 hPa, 956 generating a westerly to south-westerly flow over Spain and southern France. Over Minorca, the near 957 surface (1000 - 850 hPa) winds were generally from the easterly to north-easterly direction (indicated by the 958 blue color in the Figure 8) while the wind direction estimated between 700 and 500 hPa was clearly from 959 the south, southwest direction (brown color), which is a favourable condition for the transport of mineral 960 dust above South-Spain and then Balearic islands (Figure 6). This point is well observed in figure 7, showing the geopotential at 700 hPa for the 16th of June. The general circulation at 700 hPa during this dust event 961 962 indicates a reinforcement of the southwesterly winds in southern Spain advecting air masses with large 963 concentrations of dust aerosols as shown by SEVIRI AOD (AOD of 0.4-0.5) for that day (Figure 6). A low 964 pressure system moved from the British Isles towards the Gulf of Biscay and then the Iberian Peninsula between the 17th and 20th June, leading to veering winds that became southerly over the northwestern 965 966 Mediterranean. Thus in Minorca, the direction of the wind changed from easterly to southerly direction 967 between 1000 and 850 hPa. A more pronounced southerly-southwesterly flow was also observed at 700 hPa in Minorca (19th-21st of June) as shown by the geopotential at 700 hPa. This circulation characterized by 968 969 the presence of the low geopotential over the Gulf of Biscay induced a strong southerly flow at 700 hPa between the Balearic and Corsica islands associated with large dust optical depth concentrated in this zone 970 as shown by SEVIRI AOD (AOD of 0.3-0.4) for 19th June (Figure 6). This period of the SOP-1a corresponds to 971 the two ATR-42 flights 33 and 34 (Figure 3). After 20th June, this low pressure system moved eastward, 972 973 generating a trough located between France and Italy, and inducing a waving westerly flow over the north-974 western Mediterranean. As a result, the aerosol loading over the western basin decreased between 21st and 975 24th June, but the westerly (resp. northerly) winds observed at 700 hPa in Minorca (resp. Ersa) (Figure 8) 976 reinforced the transport of dust aerosols over the central basin and the Lampedusa station (where winds 977 were from the north westerly direction at 3 km height). These meteorological conditions lead to an increase 978 of the dust optical depth over the central Mediterranean as shown by the SEVERI instrument and AERONET/PHOTONS data. Between 25th and 29th June, a northwesterly flow set up between the Gulf of 979 Lions and Sicily. The vertical profiles of the wind direction reveal a remarkable transition on 29th June with 980

981 significant changes in direction from westerlies to north, north-westerlies, notably over the Minorca and 982 Ersa stations above 850 hPa. The 700 hPa geopotential field on 29 June at 1200 UTC from the ALADIN 983 atmospheric model analysis shows a maximum over the Atlantic Ocean whereas a deep low pressure 984 system was located over southern Algeria. This strong geopotential gradient lead to intense northerly to 985 north-westerly winds at 700 hPa over the western basin leading to significant AOD over Libya (AOD of 0.4-986 0.5) and the Alboran sea (AOD of 0.5-0.6) as shown in Figure 6. These meteorological conditions lead to low 987 dust optical thickness over the central Mediterranean as observed by AERONET/PHOTONS data. Finally, 988 during the last period of the SOP-1a experiment, (30 June - 05 July), weather conditions became more 989 anticyclonic over the region while low systems were confined to northern Europe. Figure 8 shows north-990 westerly winds in the whole troposphere in Lampedusa and Minorca, limiting the presence of dust aerosols 991 to the southern part of the north-western Mediterranean.

992 **4.2 Surface temperature, cloud cover and precipitation**

993 In terms of surface temperature, which is one of the most important meteorological variables that control 994 biogenic or biomass burning aerosol emissions over the Euro-Mediterranean region, the summer 2013 was 995 mostly characterized by moderate values as shown in Figure 9. Indeed, during the SOP-1a period, surface 996 temperatures (in °C and at 12:00 UTC) derived from NCEP reanalysis (Kalnay et al., 1996) for different days 997 reveal moderate values especially over the western Mediterranean region (South-West France and Spain). 998 One can observe temperatures of about 15-20°C (at 12:00 UTC) over Spain and Portugal, which are one of 999 the main regions of the Mediterranean where large fire events occur. In addition, part of France was also 1000 characterized by moderate surface temperature but slightly higher than over Spain especially over 1001 northeastern regions. A strong west to east gradient is observed over Europe with strongest values over the 1002 eastern regions (around 30°C over Greece and the Balkans) compared to the western basin. A similar 1003 conclusion is obtained over the Mediterranean Sea with differences of about 5°C between the eastern 1004 (around 25°C for the SOP-1a period) and the western (around 20°C) basin. Among other factors (such as 1005 cloud fraction and shortwave radiations), such moderate surface temperatures do not create favourable 1006 meteorological conditions to produce intense Mediterranean biomass burning events and/or significant 1007 production of secondary organic and inorganic aerosols. Concerning smoke aerosols, GAFS-V1 emission

data, analysed for the SOP-1a period, do not reveal important primary BC and OC fluxes emissions (not
shown). This is consistent with the APIFLAME biomass burning emission estimates (Turquety et al., 2014)
data as reported by Menut et al. (2015).

1011 During the SOP-1a, the cloud cover retrieved over the Euro-Mediterranean region (excluding the 1012 Mediterranean Sea) from CRU (Climate Research Unit) data (Harris et al., 2013) (Figure 10) indicates the 1013 largest values (between 75 and 95%) over France, Benelux and Eastern Europe regions. In parallel, southern 1014 France, as well as western Spain and the Balkans are characterized by moderate cloud cover with values 1015 around 50-60 % for June 2013. Over the Mediterranean coast, the cloud cover strongly decreases for most 1016 of countries, with values lower than 40 %. Such spatial cloud cover (observed during the SOP-1a) over the 1017 Euro-Mediterranean could limit the photochemical processes over the main anthropogenic sources (such as 1018 the Benelux and Po Valley) and the associated production of secondary aerosols. This could explain for a 1019 part the low to moderate contribution of fine anthropogenic particles to the total atmospheric loading 1020 during the SOP-1a. In parallel, the mean precipitation (averaged for June 2013), obtained from the TRMM 1021 (Tropical Rainfall Measuring Mission) instrument over land and sea (CRU observations are only available 1022 over land, see Figure 10), are found to be very heterogeneous over the Euro-Mediterranean continental 1023 region, with some important values over the Balkans, Alps and eastern Europe (from 100 to 250 mm for the 1024 month of June 2013) and moderate values over Italy, Croatia, western France and Benelux (80 to 100 mm, 1025 as shown in the Figure 11). Over the Mediterranean Sea, southern Spain and northern Africa, the 1026 precipitation was smaller, with most of values lower than 20 mm during the SOP-1a.

1027 To summarize, this global view of the synoptic situation, cloud cover and regional precipitation patterns 1028 indicate that the meteorological conditions during the experimental campaign were favourable to moderate 1029 mineral dust emissions, associated with a weak contribution of anthropogenic aerosols over the western 1030 basin. This important characteristic of the SOP-1a is well observed in Figure 12, which indicates the AOD 1031 anomalies (calculated for the period 2000-2013) of summer 2013 compared to all AOD summer derived 1032 from MODIS and MISR data. Indeed, negative AOD anomalies of about -0.05 are found over the western 1033 Mediterranean basin for the summer 2013, both from MODIS and MISR observations. To conclude, it 1034 appears that the period of observations during the SOP-1a was characterized by aerosol concentration

slightly lower but in the same range of magnitude that usually observed during summer over the western
Mediterranean. The level of aerosol concentration was found to be moderate but allows investigating
several dust and sea-spray events as well as an interesting intense biomass burning plume advected from
North America.

1039 **4.3 An aged smoke plume advected over Europe**

1040 During the SOP-1a, several large forest fires occurred in North America (Colorado, Alaska, Canada) from June 17th to 24th, 2013, as identified by the MODIS instrument. Absorbing aerosol index produced from 1041 1042 GOME-2 by KNMI (http://www.temis.nl/aviation/aai-pmd-gome2b.php?year=2013) shows that a large 1043 smoke plume crossed the north Atlantic and reached Western Europe coasts on June 25. Main fire areas, 1044 with fire radiative power higher than 50 MW (Shroeder et al., 2010), have been detected over Canada 1045 (Ancellet et al., submitted). Average MODIS AOD during the same period (23 to 28 June 2013) indicate 1046 values as high as 1 over the Atlantic Ocean, suggesting that a significant fraction of the aerosol produced by 1047 the fires was transported to Western Europe during the ChArMEx/ADRIMED field campaign. To investigate if 1048 the western Mediterranean has been impacted by these fires, a forward simulation of the Lagrangian plume 1049 dispersion model FLEXPART (Ancellet et al., submitted) has been conducted to quantify the spatial extent of 1050 the fire plume transport for 11 days. Fires emissions areas were identified by MODIS observations over 1051 several locations in Canada and Colorado. The aerosol mass is emitted in the transport model from June 1052 17th to 28th in a 3 km layer as suggested by the CALIOP lidar observations over Canada. The biomass 1053 burning plume reaches much lower latitudes over Europe, down to the Western Mediterranean 4-10 days 1054 after the emission in Canada. During the SOP-1a, the plume was mainly present in the altitude range of 2.5 -4.5 km and has been sampled by many remote sensing and in-situ instruments on June 27th and 28th; at 1055 1056 Minorca and Cagliari surface stations, and between Sardinia and Lampedusa onboard the ATR-42 aircraft.

1057 5. Overview of aerosol physical-chemical-optical properties, vertical profiles and local direct
 1058 radiative forcing

1059 **5.1 Aerosol physical and chemical properties**

1060 **5.1.1** Aerosol mass and number concentration at the two super-sites

1061 First, PM concentrations between the two different stations are reported in the Figure 13, which reports the

1062 daily time-series of PM1 and PM10 at Ersa, as well as PM10 and PM40 at Lampedusa. The results indicate a 1063 significantly higher mass concentration at Lampedusa compared to Ersa. Indeed, the mass concentration observed at Lampedusa is comprised between 10 and 30 µg m⁻³, with a mean of 21 µg m⁻³, which is two 1064 times higher than the averaged PM10 (~ 9 μ g m⁻³) measured at Ersa. One can note the significant peak of 1065 1066 PM40 (maxima of 75 µg m⁻³) at Lampedusa during the 24 to 26 June period that corresponds to a significant 1067 production of primary marine aerosols. Finally, the PM1 concentration at Ersa is found to be almost constant during the period of the campaign, with a mean value of 6 µg m⁻³. In order to take into account the 1068 1069 difference of altitudes between the two sites of Lampedusa and Ersa, we have applied a correction factor to 1070 PM10 observed at Ersa (530 m) for estimating a new PM10 concentration corresponding to the altitude of 1071 Lampedusa. In that sense, we have applied the logarithmic law reported by Piazzola et al. (2015) using a 1072 value of 0.75 for the factor s to correct the mass concentration of sea spray aerosols only. The calculated mean value of PM10 is about 12 μ g m⁻³ (Figure 13), closer to the mean value observed at Lampedusa (21 μ g 1073 $m^{\mbox{-3}}\mbox{)}.$ In addition, the background aerosol number concentrations (for Dp >0.01 $\mu m\mbox{)}$ observed within the 1074 boundary layer in Corsica averaged ~2000 cm⁻³ (not shown). The lowest concentrations (~200 cm⁻³) resulted 1075 1076 from aerosol activation to cloud droplets, and scavenging from cloud droplets and rain drops, while high 1077 concentrations as high as 10000 cm⁻³ were observed during pollution events from continental European air 1078 masses. The number concentrations showed a diurnal cycle suggesting that the site was situated within the 1079 marine boundary layer during daytime and within the free troposphere during night-time. The analysis of 1080 the diurnal variation of the particle number size distribution is further indicating that nucleation events also increased the particle number concentration during daytime, about one third of the time (Sellegri et al., in 1081 prep.). The periods of high aerosol number concentrations detected between the 12th and 25nd of June were 1082 1083 also dominated by a single mode with diameters between 30 and 150 nm. The small Aitken mode (dg < 50 1084 nm) associated with pollution events suggests a relatively fresh aerosol that has been formed during 1085 transport from the European continent. The largest mode (dg ~ 150 nm) occurred during the dust event on 1086 18 June.

1087 **5.1.2 Columnar particle volume size distribution**

1088 We have used the column-integrated particle size volume distributions derived from AERONET/PHOTONS

1089 sky radiance measurements (Dubovik et al., 2000). These size distributions allow investigating the changes 1090 in aerosol size distribution between different stations during the SOP-1a and over the western basin. Four 1091 different stations have been studied, which include the two super-sites of Lampedusa and Ersa, as well as 1092 the aircraft and balloon base stations; Cagliari and Cap d'En Font, respectively. Daily volume size 1093 distributions for both sites are represented in the Figure 14, as well as the averaged (red curve) size 1094 distribution for the whole period (1 June to 5 July) and the number of observations. In addition, the mean 1095 values of the volume radius, concentration of fine and coarse mode and the standard deviations of the 1096 volume size distribution are reported in the Table 6. It should be noted that the scales of the y-axis are 1097 different for each figure. One can note the bimodal size distribution for both stations with large spread of 1098 radius values, especially for the coarse mode. The most important concentrations are obviously observed in Lampedusa, near the mineral dust sources, with maxima of ~0.12 μ m³ μ m⁻² for the coarse mode. In parallel, 1099 1100 the lowest concentrations are observed at the Ersa station due to the absence of intense polluted-1101 photochemical or smoke aerosol events over southern France and Italy during the SOP-1a. In that sense, the 1102 mean contribution (red curve) of the coarse mode to the aerosol volume size distribution appears to be 1103 predominant at most sites, except at the Ersa station. However, the inclusion of the corrected factor 1104 (Piazzola et al., 2015) for taking into account the altitude of the Ersa site reduces slightly the differences in 1105 the concentration of the coarse mode with the Lampedusa station (see Table 6). This point is well noted for 1106 the Cap d'En Font station, where the concentration of each modes appear as equivalent, due to the absence 1107 of pollution from the Iberian Peninsula during the period of observations. For this site, it is interesting to 1108 note the intense peak of concentration (0.08 μ m³ μ m⁻²) for the 27th June, which is due to the transport of an 1109 important smoke plume over the Mediterranean (see Ancellet et al., submitted; and Chazette et al., 1110 submitted). Finally, the contribution of the coarse mode clearly increases for the two other, more southern 1111 Italian sites of Cagliari and Lampedusa, which are more affected by the mineral dust compared to Ersa and 1112 Cap d'En Font. The variability of AERONET products collected over a period of four years at Ersa and Palma 1113 de Mallorca, near Cap d'En Font, is reported in Sicard et al. (2015b, this special issue). It is interesting to 1114 note the variability (± 0.05) in the derived size of the coarse mode at Lampedusa (see Table 6), which will be 1115 analysed in regards to dust sources in a future study. The derived volume concentrations over these two stations highlight the moderate dust activity occurring during the SOP-1a experiment, when compared to stations under high dust conditions. As an example of comparisons, Dubovik et al. (2002) reported a large range of concentration for the coarse mode for dusty sites (such as Cape Verde or Solar Village), which are characterized by larger concentrations, close to 0.30 μ m³ μ m⁻². In parallel, the Bahrain (Persian Gulf) AERONET station is characterized by a concentration of 0.14-0.15 μ m³ μ m⁻².

1121 **5.1.3 Particle size distribution during transport**

1122 Figure 15 presents an example of the evolution of the aerosol particle number concentrations in the 19 1123 particle size classes of the LOAC instrument as measured along the northward trajectory of the BPCL balloon 1124 B74 from Minorca Island to the French coast (see Figure 4). The balloon was launched at 09:46 UTC on 16 1125 June 2013 during a moderate desert dust event shown on top of Figure 6 (AERONET-derived AOD at 500 nm 1126 of 0.15). It drifted at a constant altitude of ~2.1 km at the bottom of the African dust layer observed with 1127 the WALI lidar at Minorca (not shown; see Chazette et al., 2015), and was automatically forced to land on 1128 the sea before reaching the coast South of Marseille, after a 12-h flight of 368 km. The dominant mineral 1129 dust nature of the particles was confirmed by the LOAC particle typology measurements (Renard et al., 1130 2015b). The figure illustrates that LOAC has detected large particles of up to 50 µm in diameter, although 1131 the plume originated from North-Africa a few days before (Renard et al., 2015b). The concentrations of 1132 particles remained relatively constant during the flight, suggesting either no significant sedimentation of the 1133 largest particles during the flight or compensation by particles coming from above. The BPCL balloon B70 1134 launched a few minutes later drifted at an upper altitude of ~3.1 km and followed a different trajectory 1135 towards East (Figure 4) but showed a quite similar extended particle size range with larger concentrations in 1136 almost all channels except the extremes (not shown). The 4 other drifting balloons launched in the dust 1137 layer during this event on June 17 and 19 (Table 5) did confirm the presence of very large particles (>20 µm), 1138 which cannot be reported by AERONET particle size distribution retrieval algorithm (Hashimoto et al., 2012). 1139 In addition, observations of large particles (>15 μ m) was systematically found during all other LOAC balloon 1140 flights drifting in African dust layers, which will need further analysis to better understand the process that 1141 can maintain such large particles in suspension during several days.

1142 Concerning the aerosol microphysical properties, aircraft observations have allowed to investigate the
1143 vertical structure of aerosol size distribution showing particles characterized by large size (>10 μ m in 1144 diameter) within dust plumes. In addition, in most of cases, a coarse mode of mineral dust particles, 1145 characterized by an effective diameter D_{eff,c} ranged between 5 and 10 μ m, has been detected within the dust 1146 layer located above the MBL. Such values are found to be larger than those referenced in dust source region 1147 during FENNEC, SAMUM1 and AMMA, as well as measurements in the Atlantic Ocean at Cape-Verde region 1148 during SAMUM-2 and at Puerto-Rico during PRIDE. The complete analysis of aerosol size distribution is 1149 detailed in Denjean et al. (2015).

1150 5.1.4 Aerosol chemical composition

1151 In terms of aerosol chemical properties, an example of averaged mass-size distributions for carbonaceous 1152 (Elemental and Organic Carbon, EC and OC) species (mass size distribution of inorganic and mineral dust 1153 aerosols are not shown) obtained at Ersa from a 12-stage cascade impactor (DEKATI system, see Table 1) is 1154 reported in Figure 16. The aerosol chemical properties obtained from PILS instrument at Ersa are detailed in 1155 Claeys et al. (2015). As mentioned in Table 1, the measurements were obtained by using a 2-day collection 1156 period in order to obtain a sufficient aerosol mass on filters for chemical analyses. This system provides the 1157 speciation of the mass size distribution, including fine and coarse fractions. Such information is very useful 1158 to derive optical properties using Mie calculations (Mallet et al., 2011) for the main particle types (sulfates, 1159 ammonium, nitrates, sea-spray, dust, black and organic carbon). This provides crucial information's on key 1160 radiative properties which are classically used in regional climate models (mass extinction efficiencies, SSA 1161 and asymmetry parameter). Furthermore, it allows one to assess the spectral dependence of radiative 1162 properties, which cannot always be estimated from in-situ instrumentation.

1163 Concerning OC (blue curves), observations clearly report a bi-modal mass size distribution with two 1164 different peaks for the majority of cases. The first (almost constant) peak is found in the 0.4-0.5 μm size 1165 range in diameter and more occasionally a second one occurs in the coarse fraction around 3 μm. 1166 Compared to the few available data over the Western Mediterranean, these mass size distributions are 1167 found to be different from those obtained over Southern France, especially for the accumulation mode. 1168 Indeed, during the ESCOMPTE experiment in southern France, Mallet et al. (2003) also observed a bi-modal 1169 size distribution for OC aerosols but with a finer accumulation mode observed in the 0.1-0.2 μm size range.

1170 Differences between the two observations is likely due to the proximity of anthropogenic sources during the 1171 ESCOMPTE experiment compared to the Ersa station, where the possible ageing of carbonaceous particles 1172 could affect the size of aerosols. On the contrary, the coarse mode of OC appears in the same range of size, 1173 around 3 µm, for both experiments. Compared to data obtained in the eastern Mediterranean basin, the OC 1174 mass size distributions are in good agreement with those estimated by Sciare et al. (2003) in Crete during 1175 the MINOS campaign, with two modes around 0.4 µm and 3 µm. The BC (green curves in Figure 16) mass 1176 size distribution is also characterized by a bi-modal size distribution, with two modes well correlated with 1177 the mass size distribution of OC, except for the 16-19 June period (dust episode), where the size of EC fine 1178 mode is higher (~0.5-0.6 µm) than OC aerosols, the EC coarse mode remaining similar at ~3 µm. This reveals 1179 a possible external mixing of carbonaceous aerosols for this event.

1180 It should be also noted that the EC concentrations observed at the Ersa station are logically (due at least to 1181 the altitude of the station and the absence of intense pollution during the SOP-1a, see section 4) lower (0.39 µg.m⁻³) than EC concentrations (PM2.1) reported by Eleftheriadis et al. (2006) from the eastern 1182 1183 Mediterranean during the summer season (0.6 μ g.m⁻³) in July 2000. The same ascertainment is obtained on OC concentrations with higher values (4.2 µg.m⁻³) reported by Eleftheriadis et al. (2006) compared to 1184 observations at Ersa (1.5 µg.m⁻³). Concerning the modes of the OC and EC particle mass size distributions, 1185 1186 the two identified modes detected in Ersa are consistent with those reported by Mallet el al. (2011) at the 1187 Porquerolles coastal island (southeastern France), who also detected two (fine and coarse) different modes 1188 of the mass size distributions for EC (0.3-0.4 μ m and 4-6 μ m) and OC (0.3 μ m and 5-6 μ m) aerosol particles. 1189 In most cases, we observed at Ersa lower concentrations of EC particles for both modes compared to OC 1190 aerosols. The mass of OC and BC observed during the SOP-1a, for both modes, are found to be equivalent 1191 with those observed by Sciare et al. (2003) in Crete in summer 2001. They report mean values of 0.30 and 1192 0.15 µg m⁻³ for fine OC and BC, respectively. During the MINOS experiment, the mean concentrations for OC and BC coarse modes were about 0.1 and 0.02-0.03 μ g m⁻³, what is also consistent with the observations at 1193 1194 Ersa. Finally, the mass concentrations obtained for each mode at Ersa are logically lower than those 1195 obtained during the ESCOMPTE experiment, located much closer to pollution sources. For example, EC and OC fine mode concentrations were respectively between 0.8 and 2.8 μ g m⁻³ and between 3.1 and 6.9 μ g m⁻³ 1196

during ESCOMPTE (Mallet et al., 2003). In addition and as discussed in the parts 4.1 and 4.2, the meteorological conditions (surface temperature, meterological synoptic situations) observed during the SOP-1a campaign were not favourable to produce large concentration of polluted or smoke aerosols, compared to the ESCOMPTE campaign, where AOD as large as 0.3-0.5 (in the visible range) has been observed due to important concentration of anthropogenic-polluted particles. It should be noted that, in parallel to filter analyses, higher time resolved observations from the PILS systems have been deployed at the two stations of Lampedusa and Ersa (Claeys et al., in prep.) during the SOP-1a.

1204 In parallel to filters chemical analysis, over 700,000 single particle mass spectra were generated by the A-1205 TOFMS instrument during the sampling period (not shown). A K-means algorithm (K = 80), as described in 1206 detail by Healy et al. (2010) and Gross et al. (2010) was used to classify aerosol mass spectra into different 1207 particle classes. More than 40 distinct ATOFMS particle classes were identified and subsequently grouped 1208 into 8 general categories for clarity. Elemental carbon containing particles dominated the dataset (55% of 1209 total spectra), followed by K-rich particles (30%) and sea-spray (7%). The remaining particle categories 1210 include organic carbon (OC)-containing (3%), trimethylamine (TMA)-containing (3%), shipping (2%), Fe-1211 containing (0.5%) and Ca-containing (0.3%). EC particles dominated the first third of the sampling period, 1212 decreased noticeably for approx. 6 days and then dominated the rest of the sampling period again. In 1213 contrast, K-rich particle (associated with biomass burning and dust) numbers were high only for the latter 1214 half of the campaign, with a peak on 27-28 June. The profiles of these two particle categories suggest 1215 transport from regional sources. Sea-spray particle numbers were at their highest during the period where 1216 EC particles were at their lowest, and were generally low when EC particle numbers were high. OC-1217 containing particles were present during the same period K-rich numbers peaked, suggesting an association 1218 with the transport of biomass burning particles. TMA particles were present in low numbers throughout the 1219 sampling period, suggesting a less regional source, independent of the air masses influencing EC and sea-1220 spray particle occurrence. The same can be said of Fe and Ca-containing particles, likely to be local dust, 1221 while shipping particle numbers were slightly higher during the first half of the sampling period.

Finally and concerning the aerosol chemical properties, an interesting aspect of the obervations deployedduring the SOP-1a concerns the rBC concentrations obtained from the SP2 instrument onboard the ATR-42.

1224 Despite its importance, studies on rBC were until now limited to surface-based measurements in the 1225 Mediterranean region. Measurements of vertical distribution of rBC concentrations provide crucial 1226 information for assessing the rBC radiative effects in the region. Figure 17 shows the vertical distributions of 1227 rBC mass concentrations measured by the SP2 in the five areas (Granda, Minorca, Lampedusa, South-France 1228 and Ersa). For the different vertical soudings, rBC mass concentrations ranged between 20 and 690 ng m-3 1229 close to the surface. The surface rBC concentrations were generally less than 200 ng m-3, typical for 1230 continental and regional background sites in the western Mediterranean basin (Ripoll et al., 2015). The 1231 lowest surface concentration of rBC (~ 20 ng m-3) were found in south-France over the open sea with 1232 almost no local contribution of anthropogenic aerosols. Maxima surface concentrations (~ 690 ng m-3) 1233 were recorded over Granada where frequently heavy traffic emissions are occurring. These observations 1234 were obtained between 07:15 and 07:45 UTC when the convection was not fully developed, which probably 1235 did not favour the vertical transport of local emissions over Granada. A prominent feature in vertical profiles 1236 is the presence of significant concentrations of rBC up to 5-6 km altitude. Therefore the regional transport 1237 of rBC particles was not only limited to the MBL but occurred also at higher altitude. In most of the 1238 observed cases, the rBC vertical distribution in the free troposphere reveals a strongly stratified structure 1239 characterized by either single isolated plumes or more uniform layers. It is worth noting the presence of rBC 1240 layers above the MBL in the open sea that could be attributed to convective transport from distant sources. 1241 Only in few observed cases, rBC mass concentration decreased monotonically with increasing altitude, most 1242 likely due to vertical transport of air masses from surface to higher heights.

1243 **5.2 Aerosol optical properties**

1244 **5.2.1** In-situ optical properties at the surface

Figure 17 reports the (daily mean) time-series of nephelometer observations obtained at the surface for the Ersa and Lampedusa stations. Daily scattering coefficients (at the three nephelometer wavelengths of 450, 550 and 700 nm) are reported, as well as the scattering Angström exponent (AE) calculated between 450 and 700 nm. At 550 nm and at Ersa, the scattering coefficient presents a significant variability during the SOP-1a with peaks of about 35-40 Mm⁻¹ during the dust event (19-20th June) transported over the Corsica island, associated to low values (15 Mm⁻¹) for certain periods of time, as for 21-22 June. The mean

1251 scattering coefficient (at 550 nm) is 24 Mm⁻¹. Such scattering coefficient values are comparable to 1252 observations reported by Vaishya et al. (2012) at the Mace Head station for Atlantic marine air, with 1253 scattering coefficient (at 550 nm) ranged between 10 and 25 Mm⁻¹ during the summer period. In terms of 1254 scattering spectral dependence, the calculated scattering AE is found to be almost constant, with AE~1.5-2 1255 and a mean value of 1.71 (indicating that scattering is mostly dominated by fine aerosols) during the SOP-1256 1a, except for the 23rd-24th of June. The lowest values (AE~0.3-0.5) observed during this period are the 1257 result of a large contribution of coarse sea-spray aerosols (Claeys et al., in prep.) due to moderate (5 m s^{-1}) 1258 westerly winds (see Figure 8) at the Ersa station, which is also observed from the filter chemical size-1259 resolved analyses and detected on the A-TOFMS and VHTDMA data. In parallel, we observe that the dust 1260 event occurring in Ersa on 18-20 June is not correlated to low scattering AE, revealing a possible 1261 contribution of fine dust particles only to scattering, result of a possible deposition of the coarse dust 1262 fraction during transport. The AERONET-derived AE between 440 and 870 nm shows values <1 in the 1263 afternoon of 19 June and early morning of June 20 suggesting that coarse dust is present in the column. At 1264 Lampedusa, the daily scattering coefficient (at 550 nm and from PM40 inlet) is between 20 to 90 Mm⁻¹ 1265 (mean value of 50 Mm⁻¹), which is twice higher than at Ersa (Figure 17). The scattering AE was also highly 1266 variable, with values ranging between 0.5 and 2.5 (mean value of 1.1). The range of variability of these 1267 values is due to the observed switch from clean air masses strongly impacted by marine emissions to 1268 polluted air masses of various ages, including very aged/processed air masses from Northern Europe. A 1269 single intrusion of mineral dust at the site was recorded on June 9 as a result of a cyclone-type of transport 1270 from Tunisia (Formenti et al., in prep.).

1271 **5.2.2** Remote-sensing observations from the surface

1272 The optical properties obtained from sun-photometer observations for different AERONET/PHOTONS sites 1273 are shown in Figure 18. The AERONET/PHOTONS stations have been chosen as located in a domain 1274 encompassing most of the SOP-1a in-situ and remote sensing observations (Figure 3) and they are 1275 characterized by different aerosol regimes (see Table 2). The total AOD, Absorbing Aerosol Optical Depth 1276 (AAOD), AOD for the fine (AODf) and coarse (AODc) modes of the volume size distribution, are indicated (at 1277 440 nm) for 11 AERONET/PHOTONS stations (Table 2). As mentioned previously, the AOD time-series reveal

1278 moderate values, never reaching values as large as reported during the summer 2012 ChArMEx/TRAQA 1279 SOP-0 experiment (Rea et al., 2015). During summer 2013, the AOD was generally comprised between 0.1 1280 and 0.7 (at 440 nm) for most of the AERONET/PHOTONS sites. Over the western basin, the Granada, 1281 Minorca and Barcelona sites display the largest values during the transport of dust aerosols as detected by satellite remote-sensing observations (Figure 6) for the 16 to 20th of June. During this dust event, the 1282 1283 contribution of fine and coarse modes to the total extinction AOD is equivalent. Over the central basin, 1284 Lampedusa data reveal various peaks. The largest AOD was measured on June 6 (about 0.84 at 440 nm) and 1285 8 (about 0.63 at 440 nm). Other peaks occurred around June 22 and July 01-02, with corresponding AOD of 1286 about 0.30-0.40 (at 440 nm), with again an equivalent contribution of each mode of the volume size 1287 distribution to the AOD. On June 27-28, an AOD peak was also observed over most of the sites and 1288 corresponded to the transport of an aged smoke plume from the Canadian continent. In this specific case, 1289 AOD was comprised between 0.25 and 0.50 (at 440 nm). Contrarily to the dust events, the contribution of 1290 the different modes to AOD was significantly different during this episode. Indeed, as shown in Figure 18, 1291 AOD was mostly controlled by the fine mode of the volume size distribution. This specific biomass burning 1292 case is more deeply analysed by Ancellet et al. (submitted) and Chazette et al. (submitted).

1293 We have also used the SSA dataset for making comparisons of its optical parameters between different 1294 stations. As for the size distributions, we have analysed dataset in four stations, which are Ersa, Lampedusa, 1295 Cap d'En Font and Cagliari, which represents, respectively, three of the different surface stations affected by 1296 different aerosol regimes and the aircrafts locations (Cagliari). All (daily) SSA retrievals, associated with the 1297 mean values (at the four wavelengths), are included in the Figure 19. Due to the moderate AOD over the 1298 period, we used Level 1.5 AERONET/PHOTONS products. In that sense, it should be reminded that 1299 uncertainties associated to SSA retrievals are important, about ± 0.07 as reported by Dubovik et al. (2000). 1300 The results indicate an important variability of SSA and its spectral dependence over the different stations. 1301 At 440 nm, the mean SSA is comprised between 0.91 and 0.98, with the lowest (resp. highest) value 1302 observed in Lampedusa (resp. Ersa). Hence, aerosols appear as mainly scattering at Ersa and moderately 1303 absorbing at Lampedusa. The contribution of the coarse mode to the total size distribution could explain 1304 the lower values observed in Lampedusa at this wavelength. Indeed, the radiative effects and optical

1305 properties of dust are strongly dependent on the coarse mode size distribution as the larger particles 1306 appreciably decrease the SSA (McConnell et al., 2010; Otto et al., 2009). More recently and during the 1307 FENNEC experiment, Ryder et al. (2013) have calculated SSA (at 550 nm) for dust aerosols using the full 1308 range of sizes measured, indicating that dust SSA was highly sensitive to effective diameter: size 1309 distributions with the largest effective diameters produced the lowest SSA values. The presence of a coarse 1310 mode could also be due to the presence of marine aerosols within the MBL in Lampedusa. Observations for 1311 the Cap d'En Font and Cagliari stations reveal an intermediate value (0.93 at 440 nm) in Cagliari, which is 1312 also more affected by mineral dust aerosols (Figure 14). We can also observe very low values in Cagliari (for 1313 the period of 14 to 17 June) that could be due to local pollution. Anyway, it should be remained that those 1314 retrievals have been performed under low AOD (~0.10 at 440 nm) conditions and are associated to large 1315 uncertainties. One important point concerns the changes in the SSA spectral signature between Ersa 1316 (negative tendency between 440 nm to 1020 nm) and Lampedusa (positive) stations. This observation is 1317 consistent with AERONET/PHOTONS data analysed for a long-time period over the Mediterranean by Mallet 1318 et al. (2013), who report different spectral variations in SSA, following the aerosol regime (dusty and/or 1319 polluted particles). One of the main conclusions here is that aerosols are found to be moderately absorbing 1320 during the SOP-1a period, what is consistent with in-situ observations performed onboard the ATR-42 1321 aircraft and summarized by Denjean et al. (2015).

1322 5.2.3 ATR-42 and F-20 aircraft observations

1323 In parallel to surface observations, an example of the vertical profiles of aerosol optical properties obtained 1324 from ATR-42 measurements is shown Figure 20 that corresponds to the flight 35-36 over the station of Lampedusa for the 22nd of June (see also Denjean et al., 2015 and Nicolas et al., in prep.). Scattering 1325 coefficients (in Mm⁻¹) are plotted at 450, 550 and 700 nm (left) versus altitude (in meter). Completely 1326 1327 different behaviours in the scattering spectral dependence as a function of altitude were observed. Two 1328 different aerosol plumes characterized by a significant spectral dependence (typically of submicronic 1329 polluted, smoke or fine marine aerosols) are observed around 1000 and 2000-2500 m. Above 3000 m, the 1330 spectral dependence is clearly reduced, corresponding to air masses with high mineral dust concentrations. For this upper aerosol layer, the scattering coefficient increases up to 60 Mm⁻¹. The analysis of the extinction 1331

1332 (at 530 nm) vertical profiles obtained from the CAPS system (Table 3) reveals an excellent agreement with 1333 nephelometer data showing the peaks of extinction at similar altitudes (see Denjean et al., 2015), with maxima (~90 Mm⁻¹) logically observed within the dust plumes (4000-5000 m). Number concentrations, as 1334 1335 well as volume size distributions, highlight the significant atmospheric loading by particles with diameter higher than 1 µm above 3000 m (maxima of 5000 # cm⁻³). For this atmospheric layer, the volume size 1336 1337 distribution is characterized by a coarse mode, around 6-8 µm. As previously mentioned, vertical profiles of 1338 optical properties in terms of AE, SSA, asymmetry parameters as well as their spectral dependence are 1339 presented and discussed in details by Denjean et al. (2015) and Nicolas et al. (in prep.). The airborne SW 1340 and LW radiation measurements and the comparison with radiative transfer model simulations at 1341 Lampedusa are presented by Meloni et al. (in prep.).

1342 **5.3 Aerosol vertical structure**

1343 **5.3.1 Lidar surface observations**

1344 Although deeply analysed in other dedicated papers, some examples of the aerosol vertical profiles are 1345 presented here. First and over the Minorca station, surface lidar observations in Figure 21a were obtained 1346 during June 16 and 17, that corresponds to the first event of transported mineral dust over the western 1347 basin. They show a dust aerosol layer located between 1.5 and 5 km, with a maximum of aerosol extinction (at 355 nm) around 0.10 km⁻¹ on 16th of June between 12:00 and 14:00 Local Time (LT). Comparisons of 1348 1349 retrieved AOD with the lidar system is shown to be very consistent with sun-photometer observations for 1350 these two days (Figure 21a, top), with moderate AOD (at 355 nm) ranging between 0.2 and 0.4 at 1351 maximum. During 17 June, the dust layer is less intense and the aerosol extinction above 1.5 km decreases. 1352 After 14:00 LT, Figure 21a clearly shows that most of the contribution to AOD is due to the MBL over the 1353 Minorca station. At Ersa (Figure 21b), the dust event reached the northern tip of Corsica on 19 June. A deep depolarizing aerosol layer was observed at altitudes between 3 and 6 km. In the night of the 20th, the 1354 1355 particulate depolarization ratio is close to 18% and the lidar ratio within the dust layer was estimated at 46 sr. The extinction coefficient remains moderate within the dust layer ~0.05 km⁻¹ (Figure 21b) between 4 and 1356 1357 6 km. It should be noted that a complete analysis of lidar observations series obtained over the cape Corsica 1358 site is reported in Leon et al. (2015). The dust event vertical distribution is further analysed by means of the EARLINET lidar stations in Sicard et al. (2015) and by means of the EARLINET and ChArMEx lidar stations inBarragan et al. (in prep.).

1361 In addition to Minorca and Ersa, two lidars were also operated at Lampedusa during the SOP-1a and 1362 provided vertical profiles of aerosol backscattering and depolarization. The ENEA/University of Rome lidar 1363 measures the aerosol backscattering at 532 and 1064 nm, plus the depolarization at 532 nm. This system 1364 was operated throughout the campaign, although not continuously. The lidar data retrieval is described by 1365 Di lorio et al. (2009), and uses sun-photometer AOD observations to constrain the determination of the 1366 aerosol backscattering profile. Figure 22a shows the evolution of the vertical profile of the aerosol 1367 backscattering coefficient at 1064 nm on 3 July 2013 at Lampedusa. At low altitudes the air masses reaching 1368 Lampedusa originated from the North. Air masses above 2 km conversely came from a southwesterly 1369 direction crossing North Algeria and Tunisia, and carried desert dust. Elevated backscattering attributed to 1370 dust was observed up to 5 km altitude, and a steep transition in the backscattering coefficient occurred at 1371 this altitude throughout the day. Figure 22b shows the backscattering coefficient profile at 532 and 1064 1372 nm, and the depolarization ratio measured at 15:45 UT by the ENEA/University of Rome and the LISA lidars. 1373 Evidently, the backscattering coefficient above 2 km shows small wavelength dependence, and elevated 1374 values of the depolarization ratio, as expected from large irregular desert dust particles (Sassen, 1999). The 1375 influence of large particles is smaller below 2 km, where the backscattering coefficient shows some 1376 dependency on wavelength, and the depolarization ratio decreases. The significant role played by the large 1377 particles on 3 July is also confirmed by the aerosol size distribution and optical properties (i.e., values and 1378 spectral dependency of the refractive index and single scattering albedo) retrieved from the AERONET 1379 observations at Lampedusa. The average AOD (at 500 nm) was 0.28, and the AE (calculated between 440 1380 and 870 nm) was 0.39, as expected for cases with a large contribution of desert dust. The retrieved 1381 columnar volume size distributions on the two days show that the mode with a median radius around 2 µm 1382 is 2-3 times more intense on 3 July than on 17 June.

Finally, nighttime measurements at Potenza (Italy) on 21 June starting at 23:40 UT, which coincides with the arrival of the Saharan dust event over southern Italy, indicate a clear signature of Saharan dust in the tropospheric layer between 1.8 and 3.9 km, an extinction-related AE value of approximately 0 is measured

between roughly 2 and 3 km and a quite constant LR around 50 sr at both 355 and 532 nm (not shown, see

1387 Sicard et al., 2015a; Barragan et al., in prep.).

1388 5.3.2 LNG observations

1389 An example of LNG (Lidar Nouvelle Génération) observations onboard the F-20 aircraft is presented in the Figure 23 for the 19th of June that corresponds to a flight (12:46 to 13:26 TU) from Sardinia to the Gulf of 1390 Genoa. The aerosol extinction (in km⁻¹ and at 532 nm) is represented in function of latitude during this flight 1391 1392 as well as the associated AOD with a high temporal and spatial frequency. One can observe the significant 1393 North-South gradient during this dust event with low-values of AOD (around 0.1 at 532 nm) for latitude of 1394 44°N and moderate-high AOD (0.40 to 0.55) for latitudes lower than 42-43°N. In terms of vertical structure, 1395 this increase of AOD is due to an upper dust layer (around 5 to 6 km) characterized by an aerosol extinction 1396 of about 0.1 km⁻¹. This intense dust layer transported over most of the investigated region (40.5°N-43.5°N) is 1397 associated with a second more diluted aerosol layer observed between 3 and 4 km with LNG. Another interesting aspect is the variability of aerosol extinction detected in the marine boundary layer showing 1398 1399 large differences throughout the F-20 transect. The aerosol extinction is found to be significant around 41°N 1400 to 41.5°N that could be due to sea-spray particles generated in south Corsica Island due to the local 1401 acceleration of the wind occurring between the Corsica and Sardinia islands (not shown). This increase of 1402 the aerosol loading in the MBL associated with dust aerosol transported to higher altitudes results in an 1403 increase of total AOD at these latitudes. Such aircraft lidar data will be useful for testing the different 1404 modeling systems used for the SOP-1a experiment and more specifically their ability to reproduce complex 1405 vertical aerosol structures over the western Mediterranean. Additional observations of the aerosol 1406 extinction vertical profile obtained over different surface-stations from the passive remote-sensing PLASMA 1407 instrument onboard the ATR-42 aircraft are presented in Torres et al. (in prep.).

1408 **5.3.3 Sounding balloon observations**

Figure 24 shows an example of the vertical profile of the aerosol particle size distribution obtained on June 1410 19 near the end of the dust episode that started on 16 June over Minorca. The daytime average AOD 1411 geographical distribution derived from MSG/SEVIRI is shown in Figure 6. The vertical profile clearly shows 1412 the presence of the dust layer between about 2.5 and 4.5 km in altitude, in agreement with coincident lidar 1413 continuous observations at Minorca that show the more limited vertical extent of dust compared to 1414 previous days and the end of the episode on June 19 in this area (Chazette et al., submitted). It should be 1415 noted that sounding balloons appear to under-detect very large particles within dust layers compared to the 1416 drifting balloons. This can be due isokinetic sampling differences between sounding systems that have a vertical velocity of several m s⁻¹ and systems drifting at a constant air density that are quasi-Lagrangian. 1417 1418 However coincident AERONET and LOAC vertically integrated particle size distribution in the range 0.1-1419 30 µm in diameter performed on June 16 and 17 were found quite comparable. In the marine atmospheric 1420 boundary layer, the LOAC speciation index (Renard et al., 2015a) indicates hydrated particles. In the free 1421 troposphere above dust, the concentration of particles rapidly decreased by one order of magnitude and 1422 particles were mainly of submicronic size with sometimes a significant number of particles in the 1.1-3 µm 1423 channel.

1424 **5.4 Local Direct Radiative Forcing**

1425 **5.4.1** Estimates using in-situ aircraft data and radiative transfer codes over the two super-sites

1426 Before investigating the possible climatic effect of aerosols on the Mediterranean climate, an important 1427 preliminary step is the calculation of the direct radiative forcing (DRF) exerted by aerosols. This can be 1428 addressed by using in-situ (physical-optical properties) and remote-sensing (vertical profiles) observations 1429 of aerosols as input to radiative transfer models. Simulated SW and LW radiative fluxes can be evaluated 1430 using observed radiative fluxes both at the surface and onboard the two aircraft. The combination of in-situ 1431 and remote sensing measurements provide a complete and unique dataset for conducting such 1-D 1432 radiative transfer simulations. To this end, vertical profiles from the ATR-42 were combined with surface 1433 observations from the two (Ersa and Lampedusa) stations to calculate the SW DRF of different aerosol 1434 events (Nicolas et al., in prep.; Meloni et al., in prep.). Over the western basin and for the first period of the 1435 campaign (16 to 20 June), different calculations, with the GAME radiative transfer model (Dubuisson et al., 1436 2004), of the downward and upward SW cloud-free irradiances have been performed by Nicolas et al. (in 1437 prep.) for 6 vertical profiles over Granada, Minorca and Corsica islands. Briefly, the methodology is based on 1438 extinction, SSA and phase function vertical profiles (and their spectral dependence), obtained from 1439 observations and Mie calculations, and associated with atmospheric thermodynamic properties. They

1440 clearly show a significant change in surface radiative fluxes with a well-known decrease (dimming effect) of 1441 downward radiations due to scattering and absorption of solar radiation by dust aerosols. Inter-comparisons 1442 between observed/simulated downward and upward clear-sky SW fluxes show a good agreement during 1443 the ascent and descent profiles. At TOA, Nicolas et al. (in prep.) reported a direct (instantaneous at noon) SW DRF ranged between -4 and -33 W m⁻², revealing a cooling effect due to dust particles. These 1444 1445 simulations also indicate that the decrease in surface radiation is not completely compensated by the TOA 1446 cooling, meaning that aerosols exerted a positive atmospheric forcing due to their ability to absorb solar 1447 radiations.

1448 Similar calculations (not shown) have been done over the Lampedusa reference-site by Meloni et al. (in 1449 prep.) by using a similar method based on lidar, sun-photometer, in-situ surface, ATR-42 and F-20 1450 observations and the MODTRAN 5.3 radiative transfer code. Meloni et al. (in prep.) estimate both the SW 1451 and the LW aerosol radiative forcing profiles and the balance between the two spectral components (SW 1452 and LW). During the descent towards Lampedusa airport on 22 June, the instantaneous (12.5° solar zenith angle and aerosol optical depth at 500 nm of 0.32) SW cooling at the surface (-44 W m⁻²) is reduced by 1453 1454 about 10% due to infrared emission. The dust SW radiative forcing at TOA is -6 W m⁻². These values are 1455 obtained using the AERONET aerosol size distribution and different aerosol refractive indices in the SW and 1456 in the LW spectral regions. The LW contribution at the surface is lower than the values reported in previous 1457 studies (di Sarra et al., 2011; Meloni et al., 2015), partially due to the different solar zenith angle and to the 1458 presence of mixed aerosol below the dust layer down to the surface.

1459 **5.4.2 Estimates of instantaneous clear-sky SW DRF using AERONET/PHOTONS observations**

As reported previously, AERONET/PHOTONS network provides, in addition to microphysical and optical aerosol properties, an estimate of the local (instantaneous) clear-sky direct radiative forcing at any AERONET/PHOTONS location as an operational product of the network. The method of derivation is described in Garcia et al. (2012). As mentioned above, the extremely good regional coverage of AERONET/PHOTONS sun-photometer instruments during the SOP-1a allow a complementary estimate of the local radiative (clear-sky) forcing to those derived by Meloni et al. (in prep.) and Nicolas et al. (in prep.). The Figure 25 indicated the averaged of all instantaneous (clear-sky) DRF (in W m⁻²) estimated during a day 1467 for both AERONET/PHOTONS station. Estimates are reported at the surface (bottom left), at TOA (bottom 1468 right) and within the total atmosphere (down). Averaged values of the DRF are also indicated in the Figure 1469 25. As mentioned above, sun-photometers retrievals demonstrate a significant DRF during the SOP-1a 1470 experiment. As an example and at the surface, the mean forcing is comprised between -15 W m⁻² (Barcelona, not affected by dust transport) and -35 W m⁻² in Burjassot. Such values are consistent with 1471 1472 independent 1-D estimates reported by Nicolas et al. (in prep.) and Meloni et al. (in prep.). 1473 AERONET/PHOTONS data also reveal a negative DRF at TOA over most of sites, meaning that aerosols exert in majority a cooling effect at TOA, with values around ~ -6 to -12 W m⁻². These negative values are also due 1474 1475 to the fact that most of AERONET/PHOTONS stations are located over islands, which are characterized by 1476 low surface albedo. Logically and due to the moderate values of aerosol absorption observed during the 1477 SOP-1a (Denjean et al., this special issue), a positive atmospheric forcing is observed with mean values from +7 to + 30 W m⁻² (with maxima in Burjassot), that could affect the vertical profiles of temperature and 1478 1479 relative humidity as shown recently by Nabat et al. (2015a).

1480 **5.4.3 Estimates using in-situ radiative flux observations**

1481 As shown by di Sarra et al. (2011), an estimate of the aerosol radiative forcing can be obtained by comparing 1482 irradiance measurements made during days characterized by different aerosol loads. In particular, the 1483 identification of a cloud-free day with low aerosol amounts is important to provide a reference for pristine 1484 conditions. During the SOP-1a, 17 June at Lampedusa displayed a very low aerosol optical depth (daily 1485 average of 0.064 at 500 nm) and cloud-free conditions throughout the day, and was identified as the 1486 reference day for pristine conditions. July 3, conversely, was one of the days characterized by the presence 1487 of desert dust, with moderate values of the AOD (0.28). As shown in figure 22a, dust was present above 2 1488 km altitude and there were no major changes in the aerosol vertical distribution during the day, as it also 1489 appears from the limited daily variability of the AOD (daily standard deviation of the AOD at 500 nm of 1490 0.015). Cloud-free conditions were present throughout the day.

Figure 27 displays the downward solar irradiance measured on 3 July, compared with the one measured on the pristine reference day (17 June). The irradiance measurements were corrected for the radiometer thermal offset as discussed by Di Biagio et al. (2009). The sharp narrow peak occurring on 17 June around

6:30 was related to a small isolated cloud, and these data were discarded from the analysis. The differences
between the downward irradiances measured on these two days were calculated as a function of the solar
zenith angle; these differences are due to the effect of aerosol and, to a smaller extent, column water
vapour. The effect of water vapour was estimated by means of a radiative transfer model (see e.g., di Sarra
et al., 2011), and the remaining difference was integrated over 24 hours to obtain the daily average effect,
ΔI, on the downward solar irradiance. The daily aerosol radiative forcing RF can be derived as:

1500 RF=∆I(1-A)

where ΔI is the difference between the two curves of Figure 27 integrated over 24 hours, and A is the surface albedo. For a surface albedo of 0.07 (di Sarra et al., 2011), the estimated surface RF is -14.8 W m⁻². The radiative forcing efficiency (RFE), which is the radiative forcing produced by a unit AOD, was calculated as:

1505 RFE=RF/(AOD₂-AOD₁)

1506 where AOD₁ and AOD₁ are the measured daily average aerosol optical depth on 17 June and 3 July, respectively. The estimated RFE is -67.4 W m⁻². Di Biagio et al. (2010), based on a multi-year dataset at 1507 1508 Lampedusa, derived a similar value for desert dust (-68.9 W m⁻²) at the equinox; di Sarra et al. (2010), for an 1509 intense desert dust event occurring in March 2010 found values between -70 and -85 W m⁻². For a desert dust event associated with the propagation of a gravity wave, with values of AOD similar to those of 3 July, 1510 di Sarra et al. (2013) derived an RFE equal to -79 W m⁻². Valenzuela et al. (2012) determined REF for 1511 1512 Saharan dust episodes over the western Mediterranean with different origins, showing values in the range from -74 W m⁻² (for air masses coming from North Morocco) to -65 W m⁻² (for air masses coming from 1513 1514 Algeria and Tunisia). Values of the dust RFE at the surface in the same range were obtained by Derimian et 1515 al. (2006), although they were derived in different conditions for which the influence of surface albedo 1516 should be taken into account.

The downward LW irradiance measured on 3 July was higher than on 17 June by 23 W m⁻². Most of this effect is due to differences in the water vapour column amount (about 1 cm difference between the two days, with larger values on 3 July). Once the water vapour contribution was subtracted by means of radiative transfer calculations, we found a net positive effect induced by the aerosol of about +5.5 W m⁻². This is, on the daily timescale, about 35% of the SW effect. The resulting aerosol RFE in the LW spectral range is +25.5 W m⁻², in agreement with previous results by di Sarra et al. (2011) who found values between +25.9 and +27.9 W m⁻², or Anton et al. (2014) who reported RFE values around +20 W m⁻² (in reference to AOD at 675 nm).

1525 5.4.4 Estimations of the SW and LW radiative heating rate along the vertical

1526 One important original aspects of this study concerns the estimates of the vertical profiles of SW and LW 1527 radiative heating rate. To our knowledge, all the referenced estimates of this important parameter, which 1528 controls for a part the semi-direct radiative effect of aerosols, have been conducted using remote-sensing 1529 techniques or in-situ observations of aerosol optical properties, coupled with radiative transfer modeling. 1530 Here, we propose a first estimate of the SW and LW heating rate derived directly from upward and 1531 downward (SW and LW) radiative fluxes obtained on-board the ATR-42 aircraft. Because of the nature 1532 mainly diffuse of longwave upward and downward irradiances (irradiances in thermal infrared), and of the 1533 upward shortwave irradiance (irradiance in solar domain), in first approximation, no correction due to the 1534 altitude of the aircraft will be applied to these measurements. Only shortwave downward irradiances will 1535 be corrected. Three kinds of corrections are applied:

- 1536 Correction of the aircraft attitude (unavoidable movements due to the aircraft pitch and roll)
- 1537 Correction of cosine response of the pyranometer
- 1538 Correction due to the non-horizontal position of the sensor when a stabilized leg (ie. determination
 1539 of offsets on roll and pitch)

1540 Let θ_m the angle between the sun direction and the normal to the pyranometer sensor (depending on pitch, 1541 roll and aircraft heading given by the inertial navigation system), and θ_s the solar zenith angle, the attitude 1542 correction coefficient is:

1543
$$X_d^n = \frac{\cos \theta_m}{\cos \theta_s}$$

1544 Finally, we obtain the global (direct plus diffuse) downward irradiance, for the solar zenith angle θ_s :

1545
$$E_{SW}^{\downarrow}(\theta_s) = \frac{E_{SW}^{m\downarrow}(\theta_m)}{(X_d^n[1-c(\theta_s)]-D)f(\theta_s)+D}$$

1546 In this equation, $E_{sw}^{m\downarrow}(\theta_m)$ is the measured global irradiance, $c(\theta_s)$ is the cosine response of the

1547 pyranometer, D = $2\int_{0}^{1} [1 - C(\theta_m)] u d\mu$ and $f(\theta_s)$ is the part of direct downward irradiance in the global

1548 (estimation obtained from radiative transfer code). Taking into account these corrections, Figure 28a shows downward (E_{SW}^{Dwn}) , upward (E_{SW}^{Up}) , and net (E_{SW}^{Net}) shortwave irradiances obtained from measurements 1549 1550 performed onboard ATR-42 aircraft on 22 June between 10.35 and 11.30 TU. Irradiances are reduced to the mean solar zenith angle θ_s = 29.7°. Similarly, Figure 28b shows corresponding measurements of 1551 downward (E_{LW}^{Dwn}) , upward (E_{LW}^{Up}) , and net (E_{LW}^{Net}) longwave irradiances. Total net irradiances are then 1552 determined versus the aircraft altitude for the mean air mass factor of the considered studied flight phase. 1553 1554 Radiative cooling/heating rate is finally derived and shown in the figure 28c, in which the longwave (LW) 1555 and shortwave (SW) parts are distinguished.

1556 Concerning the SW heating rate vertical profiles (Figure 28c), one can observe the significant increase of 1557 the calculated instantaneous SW heating rate in the two different aerosol layers detected for this case (Figure 21), especially above 4 km, that corresponds to the maximum of extinction coefficient (up to 100 1558 1559 Mm⁻¹) due to the presence of mineral dust. For this specific layer, the values of SW heating rate peak at 4-5 1560 °K per day for a solar angle of 29.7°. We can also observe a similar tendency in the second aerosol layer, 1561 located between 1.5 and 3 km (see Figure 21). Concerning the LW heating rate, the figure 28c indicates 1562 instantaneous values ranging between -2 to -4 °K per day, which is also consistent with the well known 1563 cooling effect of mineral dust in the longwave spectrum (Mallet et al., 2006, Zhu et al., 2007). As shown in 1564 Figure 28c, the net heating rate is dominated by the SW heating (the maximum LW cooling is less than 60% 1565 of the SW heating), which leads to net SW radiative heating ranging between +0.5 and +2 K per day inside 1566 the dust layer above the MBL. Such unique and original database of SW and LW radiative heating obtained 1567 over the western Mediterranean should be now used to evaluate the ability of the different models 1568 involved in the ChArMEx/ADRIMED project (see the following section 6) to simulate this important radiative 1569 property for the different identified dust cases.

1570 6. Overview of Modeling Activities

Several models are used to analyze the SOP-1a period: the meso-scale meteorological COSMO-MUSCAT model, the chemistry transport model (CTM) CHIMERE model, and two regional climate (RegCM and CNRM-RCSM) models. These models differ in terms of horizontal and vertical resolutions, physical parameterizations, aerosol-chemical schemes and are able to deliver complementary information to address key scientific questions of the ChArMEx/ADRIMED experiment. Their main characteristics are summarized in Table 8.

1577 6.1 COSMO-MUSCAT model

1578 The parallelized multi-scale regional model system COSMO-MUSCAT (Wolke et al., 2012) consists of the non-1579 hydrostatic atmosphere model COSMO (Consortium for Small-scale Modelling) that is on-line coupled to the 1580 3-D chemistry tracer transport model MUSCAT (MUltiScale Chemistry Aerosol Transport Model). The 1581 atmospheric dust cycle consisting of the emission, transport and deposition of dust particles is simulated 1582 within MUSCAT using meteorological and hydrological fields from COSMO. Dust emission is calculated using 1583 the emission scheme by Tegen et al. (2002) and depends on local surface wind friction velocities, surface 1584 roughness length, soil texture and soil moisture. Calculated dust emission fluxes depend on particle 1585 diameter for individual size classes that are assumed to be log-normally distributed. Following Marticorena 1586 and Bergametti (1995), dust emission is considered as threshold function of local friction velocities and thus 1587 initial dust emission is computed as a function of soil particle size distribution. Dust emission is limited to 1588 regions where active dust sources have been identified during 2006-2009 from MSG SEVIRI observations 1589 (Schepanski et al., 2007). The advection of dust particles is described by a third order upstream scheme; 1590 dust particles are transported as passive tracer in five independent size classes with limiting radius at 1591 0.1µm, 0.3µm, 0.9µm, 2.6µm, 8µm, and 24µm. The removal of dust particles from the atmosphere is 1592 described by dry and wet deposition taking particle size, particle density, and atmospheric conditions into 1593 account. Here, the simulations of the atmospheric dust cycle are performed at a 28 km horizontal grid and 1594 40 vertical layers, covering North African dust sources, the eastern North Atlantic, the Mediterranean basin 1595 and Europe.

1596 **6.2 The CHIMERE chemistry-transport model**

1597 CHIMERE is a chemistry-transport model able to simulate concentrations fields of gaseous and aerosols

1598 species at a regional scale. The model is off-line and thus needs pre-calculated meteorological fields to run. 1599 In this study, we used the version fully described in Menut et al. (2013), forced by the WRF meso-scale 1600 model. The horizontal domain is the same as the one of WRF, and, for the vertical grid, the 28 vertical levels 1601 of WRF are projected on the 20 levels of the CHIMERE mesh. The gaseous species are calculated using the 1602 MELCHIOR 2 scheme and the aerosols using the scheme developed by Bessagnet et al. (2004). This module 1603 takes into account species such as sulfate, nitrate, ammonium, primary organic (OC) and black carbon (BC), 1604 secondary organic aerosols (SOA), sea-spray, mineral dust, and water. These aerosols are represented using 1605 ten bins, from 40 nm to 20 µm, in diameter. The life cycle of these aerosols is completely represented with 1606 nucleation of sulfuric acid, coagulation, adsorption/desorption, wet and dry deposition and scavenging. This 1607 scavenging is both represented by coagulation with cloud droplets and precipitation. The formation of SOA 1608 is also taken into account. The anthropogenic emissions are estimated using the same methodology as the 1609 one described in Menut et al. (2013) but with the HTAP masses as input data. These masses were prepared 1610 by the EDGAR Team, using inventories based on MICS-Asia, EPA-US/Canada and TNO databases 1611 (http://edgar.jrc.ec.europa.eu/htap_v2). Biogenic emissions are calculated using the MEGAN emissions 1612 scheme (Guenther et al., 2006), which provides fluxes of isoprene, terpene and pinenes. In addition to this 1613 2013 version, several processes were improved and added in the framework of this study. First, mineral dust 1614 emissions are now calculated using new soil and surface databases, as described in Menut et al. (2013). 1615 Second, chemical species emissions fluxes produced by vegetation fires are estimated using the new high 1616 resolution fire model presented in Turquety et al. (2014). Finally, the photolysis rates are explicitly 1617 calculated using the FastJ radiation module (Mailler et al., 2015).

1618 6.3 The RegCM Regional Climate model

The RegCM system is a community model designed for use by a varied community composed of scientists in industrialized countries as well as developing nations. It is supported through the Regional Climate Network, or RegCNET, a widespread network of scientists coordinated by the Earth System Physics section of the Abdus Salam International Centre for the Theoretical Physics (ICTP, Giorgi et al., 2012). RegCM is a hydrostatic, compressible, sigma-p vertical coordinate model. As a limited area model, RegCM requires initial and boundary conditions that can be provided both by NCEP or ECMWF analyses. The horizontal 1625 resolution used need to be higher than 10 km, due to the hydrostatic dynamic core of the model, associated 1626 with 23 vertical levels. A simplified aerosol scheme specifically designed for application to long-term climate 1627 simulations has been incrementally developed within the RegCM system. Solmon et al. (2006, 2008) first 1628 implemented a first-generation aerosol model including sulfates, organic carbon, and black carbon. Zakey et 1629 al. (2006) then added a 4-bin desert dust module, and Zakey et al. (2008) implemented a 2-bin sea-spray scheme. In RegCM, the dust emission scheme accounts for sub-grid emissions by different types of soil. The 1630 1631 dust emission size distribution can now also be treated according to Kok (2011). When all aerosols are 1632 simulated, 12 additional prognostic equations are solved in RegCM, including transport by resolvable scale 1633 winds, turbulence and deep convection, sources, and wet and dry removal processes. In RegCM, the 1634 natural/anthropogenic aerosols are radiatively interactive both in the solar and infrared regions and so are 1635 able to feedback on the meteorological fields.

1636 6.4 The CNRM-RCSM Regional Climate model

1637 The fully coupled RCSM (Regional Climate System Model), which is developed at CNRM has been also used 1638 within the ChArMEx/ADRIMED project. This model includes the regional climate atmospheric model 1639 ALADIN-Climate (Déqué and Somot 2008), the regional ocean model NEMOMED8 (Beuvier et al., 2010) and 1640 the land-surface model ISBA (Noilhan and Mahfouf, 1996). We used here the version described in Nabat et 1641 al. (2015b) with a 50 km horizontal resolution. ALADIN-Climate includes the Fouquart and Morcrette 1642 radiation scheme based on the ECMWF model incorporating effects of greenhouse gases as well as direct 1643 effects of aerosols. The ocean model NEMOMED8 is the regional eddy-permitting version of the NEMOV2.3 1644 ocean model that covers the Mediterranean Sea. Concerning the aerosol phase, the model ALADIN-Climate 1645 incorporates a radiative scheme to take into account the direct and semi-direct effects of five aerosol types 1646 (sea-spray, desert dust, sulfates, black and organic carbon aerosols) through either AOD climatologies or a 1647 prognostic aerosol scheme (Nabat et al., 2013, 2015b). On the one hand, Nabat et al. (2013) have proposed 1648 a new AOD monthly climatology over the period 2003-2009, based on a combination of satellite-derived 1649 and model-simulated products. The objective is having the best estimation of the atmospheric aerosol 1650 content for these five most relevant aerosol species. On the other hand, a prognostic aerosol scheme has 1651 been recently implemented in ALADIN-Climate, and has shown its ability to reproduce the main patterns of

1652 the aerosol variability over the Mediterranean (Nabat et al., 2015b).

1653 Using CNRM-RCSM with the new AOD monthly climatology over the period 2003-2009 (Nabat et al., 2013), 1654 Nabat et al. (2015a) have notably highlighted the response of the Mediterranean Sea Surface Temperature 1655 (SST) to the aerosol direct and semi-direct radiative forcing. Figure 29a presents the annual average 1656 difference in SST over the period 2003-2009 between a simulation ensemble including aerosols and a second one without any aerosol. Aerosols are found to induce an average decrease in SST by 0.5°C, because 1657 1658 of the scattering and absorption of incident radiation. As a consequence, the latent heat loss is also reduced 1659 by aerosols (Figure 29b), as well as precipitation (Figure 29c). This result also underlines the importance of 1660 taking into account the ocean-atmosphere coupling in regional aerosol-climate studies over the 1661 Mediterranean.

1662 **6.5 SOP-1a multi-model aerosol simulations**

1663 6.5.1 Aerosol Optical Depth

1664 Figure 30 reports the AOD (in the visible range) simulated for the SOP-1a period and for the COSMO-M (550 1665 nm), RegCM (between 440 and 670 nm), CNRM-RCSM (550 nm) and CHIMERE (500 nm) models. Except the 1666 CTM-CHIMERE model which includes all the secondary species (SOA and inorganic), the others have 1667 different aerosols schemes and take into account both natural (COSMO-M) or natural plus a part of 1668 anthropogenic aerosols as described in Table 7. The configurations used for each models are listed in Table 1669 7. One can observe the large variability of AOD simulated by models over the Mediterranean region with 1670 highest values clearly simulated by the COSMO-M (AOD ~1-1.5 in the visible wavelengths) over the 1671 Northern Africa region. The CHIMERE model indicates two different regions where AOD peaks around 1, 1672 over Algeria-Tunisia and southern of Morocco. For COSMO-M and CHIMERE, no intense dust AOD are 1673 simulated over the northeast Africa (Lybia and Egypt) and values are below 0.25, contrary to RegCM and 1674 CNRM-RCSM that simulate moderate AOD over this region with more intense peaks (~0.7 for CNRM-RCSM 1675 simulations). Some identified regions with important AOD over Tunisia, Algeria, and South Morocco are well 1676 captured by all models except COSMO-M which show more intense AOD south of Algeria. It should be noted 1677 that this regional pattern of AOD is found to be consistent with MODIS observations as shown by Menut et 1678 al. (2015) for the CHIMERE model. Averaged over the SOP-1a period, all models simulate low to moderate

1679 AOD over the EURO-Mediterranean region which is consistent with AERONET/PHOTONS observations 1680 (Figure 14). Once again and as noted by Menut et al. (2015), this modeling exercise clearly shows that the 1681 summer 2013 was not characterized by intense dust plumes or intense anthropogenic or forest fire 1682 emissions. However, modeling results indicate regular dust intrusions during the SOP-1a characterized by 1683 moderate atmospheric loads. Over Europe, the CTM CHIMERE model obviously simulates anthropogenic 1684 aerosol AOD (AOD ~ 0.3), especially over the Benelux and Pô Valley that are not simulated by the two other 1685 regional models. Indeed, CNRM-RCSM simulations reveal a more diffuse AOD about 0.2 over Europe with 1686 maximum over Western France certainly due to the advection of primary marine particles generated over 1687 the Atlantic Ocean. RegCM simulations indicate a plume of anthropogenic aerosols over the Balkan region 1688 mainly due to secondary inorganic species. As RegCM does not use the spectral nudging technique in this 1689 simulation and are only forced at the boundaries during the period of simulation, some biases in 1690 meteorological fields could appear (as for the precipitation location and intensity), which need to be 1691 evaluated. Finally and in addition to analysis of the AOD regional pattern, a specific comparison with in-situ 1692 observations and remote-sensing (AERONET/PHOTONS and satellite) data has been made for the CTM-1693 CHIMERE model (Menut et al., 2015) and is planned in accompanied studies for the COSMO-M, RegCM and 1694 CNRM-RCSM models, associated with an inter-comparison exercise for evaluating the dust emissions, 1695 vertical distribution, size distribution and dry/wet deposition using all data collected in the framework of 1696 the SOP-1a.

1697 In parallel to time averaged AOD simulated at the regional scale, we report comparisons of simulated AOD 1698 with AERONET/PHOTONS data for the two reference stations (Lampedusa and Ersa). As reported in Table 7, 1699 it should be reminded here that all models did not take into account aerosol species in a similar way. As an 1700 example, COSMO-MUSCAT includes mineral dust only in this simulation, while CNRM-RCSM and RegCM 1701 model include natural (sea-spray and dust) and sulfates as well as secondary ammonium and nitrate 1702 particles (treated as bulk aerosols) but for RegCM only. The most complete regional model is the CTM-1703 CHIMERE, which takes into account natural and all anthropogenic particles (including secondary organics 1704 and inorganic) resolved in size by using a number of bins (Menut et al., 2013) higher than used in RegCM, 1705 CNRM-RCSM or COSMO-MUSCAT (number of dust bins between 3 to 4 bins) models. Figure 31 reports the

1706 time evolution of simulated and observed AOD at 550 nm for the two sites (Ersa and Lampedusa) during the 1707 SOP-1a. Time correlation, as well as bias, is calculated after removing AERONET/PHOTONS data for the 27th 1708 of June, strongly affected by smoke aerosols transported from Northern America biomass burning sources 1709 that are not included in the different domains. Figure 31 indicates that all models are able to simulate AOD 1710 in the range of magnitude of observations. For the dusty Lampedusa site, CNRM-RCSM and CHIMERE reveal 1711 high temporal correlations (0.82, 0.85, respectively), with standard deviations close to AERONET/PHOTONS 1712 data, especially for CHIMERE. For this station, COSMO-M and RegCM display moderate temporal correlation 1713 (0.55 and 0.49, respectively) compared to CNRM-RCSM and CHIMERE. As already mentioned, one reason of 1714 lowest time-correlation for these models is related to the fact that they are only forced at the boundaries 1715 and the synoptic conditions inside the domain can derive during the simulation. This effect is limited for 1716 CNRM-RCSM that used the spectral nudging technique and for CHIMERE forced by WRF meteorological field 1717 (Menut et al., 2015). For each models, biases are shown to be low, both positive (for CNRM-RCSM and 1718 CHIMERE) and negative (for COSMO-M and RegCM).

1719 For the Ersa station, less influenced by long-range transport of mineral dust during this period, temporal 1720 correlations are lowest and found to be moderate (0.40) for CHIMERE and COSMO-M and low for RegCM 1721 and CNRM-RCSM. In terms of bias, values are positive and low (0.02 to 0.04) for all models, except for 1722 COSMO-M (-0.07) that does not include anthropogenic aerosols nor sea-spray in the present simulation 1723 (Table 7). For each model, calculated standard deviations are in the same range of magnitude but slightly 1724 higher than observations, especially for RegCM (bias of 0.08) that simulated a large AOD for 19-20 of June 1725 period. By comparison with the values obtained in Lampedusa, these low correlations at Ersa reveal the 1726 limitations of these models in terms of horizontal resolution with respect to the representativeness of the 1727 site. Lampedusa being isolated in the middle of the Mediterranean and under the main pathways of African 1728 mineral dust, AOD is mostly related to long-ranged transport. On the other hand, the site of Ersa in Corsica 1729 may be under several types of aerosols contributions (anthropogenic, biogenic) more intense and more 1730 spatially variables than in Lampedusa. Ersa being closer to large industrial areas, the models with a 1731 horizontal resolution of tens of kilometers are probably not highly enough resolved to catch small scales 1732 aerosols plumes from the continent.

1733 6.5.2 Regional SW 3-D direct radiative forcing

1734 The SW (clear-sky) DRF, averaged for the SOP-1a period, has been estimated from the RegCM and CNRM-1735 RCSM models, both at the surface and TOA, as shown in the Figure 32. For this discussion, we only consider 1736 these two models as they estimate the clear-sky SW DRF by taking into account natural and anthropogenic 1737 aerosols, contrary to the COSMO-MUSCAT model in this study. At the surface first, one can observe the 1738 large regional dimming due to anthropogenic (especially over Europe) and natural (Northern Africa and 1739 Mediterranean) particles over the Euro-Mediterranean. Concerning the North African region, both models simulate large surface forcing ~ 20 W m⁻² (with local maxima of -50 W m⁻² associated with higher AOD). 1740 1741 CNRM-RCSM is shown to simulate higher surface radiative forcing for the whole domain, especially over 1742 Algeria. Although such RCM climate models are not designed to simulate finely the size distribution and the 1743 chemical composition of aerosols as an A-Q system (Menut et al., 2013), a first estimate of the radiative 1744 effect of polluted particles over Europe is provided. Figure 32 displays a negative forcing, obviously lower than for mineral dust, of about -10 to -15 W m⁻² for RegCM, especially over Balkans and no significant 1745 1746 radiative effect over the Benelux region for this period. Over the continental region, CNRM-RCSM simulated a more diffuse surface forcing with values around -10 W m⁻², including a large part of Europe (France, 1747 1748 Benelux and Eastern Europe). As shown recently by Nabat et al. (2015a), this decrease in SW radiations due 1749 to aerosols could perturb the surface continental temperature, SST and latent heat fluxes over the 1750 Mediterranean Sea and more largely on meteorological fields.

1751 At TOA, the dipole of the direct forcing between the North and the South of the domain is well reproduced 1752 by the two RCM systems with more intense values for CNRM-RCSM. One can clearly observe positive forcing 1753 at TOA (heating) over Northern Africa and negative forcing (cooling) over the Mediterranean and Europe. 1754 This represents one of the characteristics of the Euro-Mediterranean region with a large variability of 1755 surface albedo from the South (with higher values) to the North (low to moderate albedo). Due to this 1756 gradient in the surface albedo, moderate absorbing dust aerosols emitted over Northern Africa 1757 (characterized by high surface albedo) decrease the shortwave radiations reflected at TOA, compared to a 1758 non-turbid atmosphere. When advected above low surface reflectance as marine or dense forest over 1759 Europe, dust aerosols increase the upward SW radiations at TOA, leading to a cooling effect. One can see

1760 the transition between positive to negative TOA forcing that occurs over Northern Algeria and Morocco as 1761 soon as dust particles are transported over darker surfaces. This TOA radiative forcing gradient is well 1762 captured by such RCM models which use a finer resolution than GCM. Over Europe and Mediterranean, the TOA forcing is simulated to be negative for both RCM with lower values around -5 to -10 W m⁻². Such results 1763 1764 are consistent with the study of Nicolas et al. (in prep.), who performed two different simulations using 1765 different surface albedo (from marine to continental), based on the ATR-42 observations above the Balearic 1766 Islands and the Granada station. The inclusion of high surface albedo (0.27 at 870 nm) in the 1-D radiative 1767 transfer model compared to low sea-surface albedo (0.02 at 870 nm) contributes to decrease the TOA 1768 radiative effect at Granada.

The last important point to mention here concerns the fact that most of SW radiations losses at the surface are not completely compensated by fluxes reflected back to space. Hence, this gain of solar energy within dusty layers (due to moderate dust SW absorption, see Denjean et al., this special issue) has been shown to result in significant feedbacks on the temperature and relative humidity profiles over the Mediterranean region with some important implications on its climate (Nabat et al., 2015a).

1774 **7. Conclusions**

1775

1776 The special observing period (SOP-1a) performed during the Mediterranean dry season (11 June to 05 July 1777 2013) over the western and central Mediterranean basins has been described in detail, as well as the 1D to 1778 3D modeling effort, involved in the ChArMEx/ADRIMED project focused on aerosol-radiation-climate 1779 interactions. Details of the in-situ and remote-sensing instrumentation deployed at the different sites and 1780 the main meteorological conditions that occurred during the campaign have been provided. Some results 1781 from the in-situ and remote-sensing observations, vertical profiles, 1-D and 3-D aerosols direct radiative 1782 forcing (DRF) computations have also been presented. Concerning the aerosol loading during the SOP-1a, 1783 our results indicate that numerous but moderate mineral dust plumes were observed during the campaign 1784 with main sources located in Morocco, Algeria and Tunisia, leading to AOD between 0.1 to 0.6 (at 440 nm) 1785 over the western and central Mediterranean. Analysis of synoptic situations demonstrates unfavorable 1786 conditions to produce large concentrations of polluted-smoke particles during the SOP-1a but interesting 1787 sea-spray events have been observed.

1788 Aerosol extinctions measured on-board the ATR-42 show local maxima reaching up to 150 Mm⁻¹ within the 1789 dust plume, associated to extinctions of about 50 Mm⁻¹ within the Marine Boundary Layer (MBL) possibly 1790 due to the presence of sea-spray aerosols. By combining ATR-42 extinction, absorption and scattering 1791 measurements, complete optical closures have been made revealing an excellent agreement in estimated 1792 optical properties. This additional information on extinction properties has allowed calculating the dust 1793 single scattering albedo (SSA) with a high level of confidence over the Western Mediterranean. Our results 1794 show a surprising moderate variability from 0.90 to 1.00 (at 530 nm) for all flights studied, corroborated by 1795 AERONET/PHOTONS SSA retrievals. The SSA derived during the ChArMEx/ADRIMED project has been also 1796 compared with referenced values obtained near dust sources, showing a relatively low difference in this 1797 optical parameter at 530 nm.

1798 Concerning the aerosol vertical structure, active remote-sensing observations, at the surface and onboard 1799 the F-20, indicate complex vertical profiles of particles with sea-spray and pollution located in the MBL, and 1800 mineral dust and/or even aged North American smoke particles located above (up to 6-7 km in altitude). 1801 Microphysical properties of aerosols measured onboard the ATR-42 and ballon-borne observations for 1802 transported/aged mineral dust reveal particle volume size distributions with diameters greater than 10 μm. 1803 In most of cases, a coarse mode of mineral dust particles, characterized by an effective diameter D_{eff.c} 1804 ranging between 5 and 10 μ m, has been detected within the dust layer located above the MBL. Such values 1805 are found to be larger than those referenced in dust source regions during FENNEC, SAMUM1 and AMMA, 1806 as well as measurements in the Atlantic Ocean at Cape-Verde region during SAMUM-2 and at Puerto-Rico 1807 during PRIDE.

In terms of shortwave (SW) and longwave (LW) DRF, in-situ surface and aircraft observations have been merged and used as inputs in different radiative transfer codes for calculating the 1-D DRF. Modeling results show significant surface (instantaneous) SW radiative forcing down to as much as -90 W m⁻² over supersites. In parallel, AOD together with surface radiative fluxes observations have also been used to directly estimate the local daily surface forcing in SW (and LW) spectral regions, showing a significant effect with values of -15 W m⁻² (+5.5 W m⁻²) over Lampedusa. Such DRF values are consistent with those previously referenced over the Mediterranean basin. In parallel, aircraft observations provide also original and new

estimates of SW and LW radiative heating vertical profiles with significant values of SW heating of about 5°K
per day within the dust layer (for a solar angle of 30°).

1817 Associated 3-D modeling studies, using regional climate (RCM) and chemistry transport (CTM) models, 1818 indicate a relatively good agreement between simulated AOD and that determined from 1819 AERONET/PHOTONS data. Such models allow 3-D calculations of the daily SW DRF revealing a regional DRF of -10 to -20 Wm⁻² (at the surface and in clear-sky conditions), when averaged over the SOP-1a period. At 1820 TOA, a significant dipole in the DRF is estimated between the North and the South of the domain, with 1821 1822 positive (heating) over Northern Africa and negative (cooling) DRF over the Mediterranean basin and 1823 Europe, reflecting changes in surface albedo associated to moderately absorbing aerosols. A first multi-year 1824 simulation (conducted for the 2003 to 2009 period) that takes into account the ocean-atmosphere coupling 1825 has demonstrated that the significant aerosol radiative forcing is responsible for a decrease in sea surface 1826 temperature (on average -0.5 °C for the Mediterranean). In addition, the latent heat loss is shown to be 1827 weaker in the presence of aerosols, resulting in a decrease in specific humidity in the lower troposphere, 1828 and a reduction in cloud cover and precipitation.

1829 This unprecedented dataset of aerosol microphysical, chemical, optical properties and vertical profiles 1830 obtained over the western Mediterranean will now be used for evaluating regional models to reproduce 1831 such properties. In addition to classical model evaluations based generally on the AOD, new comparisons 1832 between models and in-situ observations on aerosol absorbing (SSA and AAOD) properties and SW and LW 1833 heating rates, which control the semi-direct effect of aerosols, should be conducted. Comparisons will also 1834 be performed on the aerosol size distribution for investigating the ability of regional models to simulate the 1835 observed large dust particle size during the transport over the Mediterranean, which could be helpful for 1836 improving the representation of deposition in such models. In parallel, in-situ observations of sea-spray 1837 particles obtained at the surface and from ATR-42 measurements will also be used to evaluate the different 1838 primary sea-spray generation schemes, in terms of concentration and size distribution. The objective is to 1839 improve the representation of microphysical and optical properties of aerosols in regional climate models 1840 which will be used in multi-year simulations to assess the impact of natural and anthropogenic aerosols on 1841 climate in this region.

1842 Acknowledgments

This research has received funding from the French National Research Agency (ANR) projects ADRIMED (contract ANR-11-BS56-0006). This work is part of the ChArMEx project supported by ADEME, CEA, CNRS-INSU and Météo-France through the multidisciplinary programme MISTRALS (Mediterranean Integrated Studies aT Regional And Local Scales). The station at Ersa was partly supported by the CORSiCA project funded by the Collectivité Territoriale de Corse through the Fonds Européen de Développement Régional of the European Operational Program 2007-2013 and the Contrat de Plan Etat-Région. We acknowledge the AERONET/PHOTONS sun-photometer networks and the PIs of the selected stations and their staff for their work to produce the dataset used in this study. The financial support for EARLINET in the ACTRIS Research Infrastructure Project by the European Union's Horizon 2020 research and innovation programme under grant agreement n. 654169 and previously under grant agreement n. 262254 in the 7th Framework Programme (FP7/2007-2013) is gratefully acknowledged. In particular, the authors are thankful to the Italian EARLINET PIs (Maria Rita Perrone, Lecce; Nicola Spinelli, Naples; Gelsomina Pappalardo, Potenza; Simona Scollo, Serra La Nave) and their staff. Measurements at Lampedusa by ENEA were partly supported by the Italian Ministry for University and Research through the NextData and Ritmare Projects. This study, especially the balloon campaign and part of the aircraft operations has also been supported by the French space agency (CNES). The technical staff of SAFIRE, INSU Technical Division and the CNES Balloon sub-directorate (with special mention to Aurélien Bourdon and Gilles Dupouy) are warmly acknowledged for their contribution to the success of the experimental work. Contributions by Didier Bruneau (Latmos), Silvia Becagli (Univ. of Florence, Italy), Marco Cacciani (Univ. of Rome, Italy), Julian Groebner and Natalia Kouremeti (Physikalisch-Meteorologisches Observatorium Davos, World Radiation Center, Switzerland), and José Antonio Martinez Lozano (University of Valencia, Spain) are gratefully acknowledged. Barcelona station was partially supported by the Spanish Ministry of Economy and Competitivity (project TEC2012-34575) and of Science and Innovation (project UNPC10-4E-442) and FEDER funds, and by the Department of Economy and Knowledge of the Catalan Autonomous Government (grant 2014 SGR 583). Granada station was partially supported by the Andalusian Regional Government through project P12-RNM-2409 and by the Spanish Ministry of Science and Technology through project CGL2013-45410-R. Sahar Hassazadeh, Constantino Muñoz-Porcar, Santi Bertolín and Diego Lange are also acknowledged for their kind assistance in operating the Menorca surface station, as well as François Gheusi, Brice Barret, Flore Tocquer, and Yves Meyerfeld for their contribution to the balloon campaign preparation and/or deployment. Claude Basdevant, Alexis Doerenbecher, and Fabien Bernard are acknowledged for their help and very useful tools in support of our drifting balloon experiment. The Granada station was partially supported by the Andalusian Regional Government through project P12-RNM-2409 and by the Spanish Ministry of Science and Technology through project CGL2013-45410-R.



Figure 1. Aerosol Optical Depth (at 550 nm) derived from MODIS and MISR satellites for the 2003 to 2012 period. The AERONET/PHOTONS AOD are also indicated.



Figure 2. The regional experimental set-up deployed in the western and central Mediterranean during the campaignChArMEx SOP-1a. The two aircrafts were based at Cagliari.



Figure 3. Overview of the different ATR-42 and F-20 flight trajectories performed during the SOP-1a experiment. 1967



1989
1990 Figure 4. Trajectories of the 14 BPCL drifting balloons launched from Minorca Island during the campaign. Dark portion
along trajectories correspond to night-time conditions. The four red labels from B54 to B57 indicate balloons with an
ozone sonde and the 10 others carried a LOAC instrument.





Figure 5. Total AOD (500 nm) obtained from the MODIS, MISR and SEVIRI (sea only) sensors for the June-July 2013 period. The AERONET/PHOTONS AOD are also indicated.



Figure 6. AOD MSG/SEVIRI observations for five different days during the SOP-1a experiment (16/06, 19/06, 22/06, 29/06 and 03/07). The AERONET/PHOTONS AOD are also indicated.
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Figure 7. Geopotential at 700 hPa, mass dust concentration (in mg.m⁻³), and wind intensity at 700 hPa for the 06, 19,

22, 29 of June and 02 of July at 12:00 UTC, simulated from the ALADIN model.



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Figure 8. Wind profiles between 1000 and 200 hPa during the SOP-1a experiment for three different sites (Ersa, Lampedusa and Minorca) simulated from the ALADIN model. The wind intensity (in m s⁻¹) is also reported at the differents stations.




Figure 10. Monthly cloud cover and precipitation (over land only) derived from the Climate Research Unit (CRU) datafor June 2013.





Figure 11. Same figure as 10 but for the Tropical Rainfall Measuring Mission (TRMM) precipitation observations.



MISR observations

MODIS observations



9	Figure 12. AOD	anomaly for summer	2013 estimated from	n the MODIS and MISR sensor data
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Figure 13. Time-series of daily PM mass concentrations estimated at the Lampedusa (PM40 and PM10) and Ersa (PM1

and PM10) super-stations. Problems in PM10 data acquisition that occurred at Ersa explain the gaps. "PM10 Ersa

corrected" curve correspond to PM10 estimated at an altitude of 45m to be comparable with Lampedusa results,

following the logarithmic law provided by Piazzola et al. (2015), (see text in section 5.1.1 for details).

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Figure 14. AERONET/PHOTONS volume size distribution derived at four different stations: Ersa, Lampedusa, Cagliari
 and Cap d'En Font (the red curve represents the mean of observations). The characteristics of the volume size
 distribution are provided in Table 6.



Figure 15. Particle size distribution measured with a LOAC during the ~12-h flight of the BPCL balloon B74 drifting from
 Minorca Island towards Marseille (see trajectory in Figure 4). The first and last 20 min correspond to the ascending and
 descending phases of the quasi-Lagrangian flight which occurred at a constant altitude of 2091±10 m.



Figure 16. EC and OC (48h-mean) aerosol mass size distributions obtained at Ersa from the impactor DEKATI instrument for all the SOP-1a period.



Figure 17. Vertical profiles of rBC concentrations estimated from SP2 instrument for 5 different zones (Granada, Minorca, Lampedusa, South-France and Ersa).



Figure 18. Time-series of daily scattering coefficient (in Mm⁻¹) estimated in the Ersa and Lampedusa stations. The daily

Angström Exponent (AE), calculated between 440 and 670 nm, is also reported.

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Figure 19. AERONET/PHOTONS observations of the total extinction AOD, AOD Fine (AODf), AOD Coarse (AODc) and Absorbing AOD (AAOD), at 440 nm obtained for the whole SOP-1a period.



Figure 20. AERONET/PHOTONS observations of the total single scattering albedo (SSA) at 440, 670, 880 and 1020 nm
 obtained for the whole SOP-1a period (the red curve represents the mean of observations).



Figure 21. Optical (scattering and extinction coefficients) and physical (number concentration and volume size distribution) aerosol properties estimated along the vertical onboard the ATR-42 aircraft for the flights 35-36 on 22 June over the Lampedusa station.



Figure 22. Minorca and Ersa lidar observations obtained during the dust plume of 16 to 17 June transported over the
 western Mediterranean basin.



Figure 23. a) Time evolution of the vertical profile of the aerosol backscattering coefficient at 1064 nm at Lampedusa
on 3 July 2013. The color scale is in units of 10-7 m-1 sr-1. b) Vertical profile of aerosol backscattering coefficient at
two wavelengths and of aerosol depolarization ratio at 355 nm measured at Lampedusa on 3 July 2013 at 15:45 UT.



Figure 24. Observations of aerosol extinction coefficient (top, in km⁻¹ at 532 nm) and aerosol optical depth (bottom) obtained from the lidar LNG system onboard the F-20 aircraft during the 19th of June that corresponds to the flight (12:46 to 13:26) from Cagliari to the Gulf of Genoa.



Figure 25: Particle concentrations as a function of size and altitude in the troposphere and lower stratosphere from
 the LOAC flight under the meteorological balloon BLD9 launched from Minorca at the end of a dust event on 19 June
 2013, 10:12 UT (Table 4; see the daytime averaged aerosol optical depth over the sea in Figure 6).



Figure 26. 1-D (clear-sky) instantaneous (shortwave only) DRF calculations (in W m⁻²) based on AERONET/PHOTONS dataset for the different stations listed in Table 2 (BOA, TOA and ATM refer to bottom of the atmosphere, top of atmosphere and atmospheric forcings).



Figure 27. Time evolution of the downward solar irradiance observed at Lampedusa on 17 June and on 3 July, 2013.



Figure 28. SW (a) and LW (b) upward and downward radiative fluxes observed over the Lampedusa station for the 22
 June and estimated SW and LW heating rate (c) in the two spectral regions (see section 5.4.4 for details).



Figure 29. Annual average difference in (a) Sea Surface Temperature (SST), latent heat loss (b) and precipitation (c) over the period 2003-2009 between a simulation ensemble including aerosols and a second one without any aerosol. 691





Figure 30. AOD averaged for the 15 to 25 June 2013 period from the meso-scale COSMO-MUSCAT (a), CTM-CHIMERE
 (b) models and the two regional climate models; CNRM-RCSM (c) and RegCM (d). Details about the model
 configurations are provided in Table 8.



Figure 31. Times-series of AOD comparisons between AERONET/PHOTONS observations and COSMO-MUSCAT,

CHIMERE, CNRM-RCSM and RegCM model ouputs over the two stations of Ersa and Lampedusa. The MODIS retrievals

are also reported.



Figure 32. Averaged surface and TOA SW DRF simulated in clear-sky conditions and over the SOP-1a period by the CNRM-RCSM and RegCM models.

	Ersa		Lampedusa		
	Instruments	Frequency	Instruments	Frequency	
Number concentration	1 CPC (0.01 - 3 μm)	continuous (1')	1 W-CPC (0.01 - 3 μm)	continuous (2')	
CCN concentration	1 CCN counter	continuous	1 CCN counter	continuous	
Mass concentration	1 PM2.5	continuous	1 PM40 (TEOM)	continuous	
	1 PM10	continuous			
Number size distribution	1 OPC (0.3 - 5 μm)	continuous	2 GRIMM (0.25 - 32 μm)	continuous	
	1 APS (TSI)	continuous	1 APS (TSI) (0.5 - 20 μm)	continuous	
	1 SMPS (3 - 300 nm)	continuous	2 (dry/ambient) SMPS	continuous	
Mass size distribution	2 Impactor DEKATI (13 stag	es) 48h	2 Impactor DEKATI (13 stages)	48h	
			1 Impactor Nano-MOUDI	24 h	
PM1 composition	1 PILS	continuous	AMS (Aerodyne)	continuous	
			1 PILS	continuous	
PM10 composition			1 FAI Hydra Sampler	12h	
Mass BC concentration	1 (7- λ) aethalometer	continuous	1 PSAP	continuous (1h)	
			1 MAAP	continuous	
Vertical Profiles	1 (1- λ 355 nm) Leosphere	continuous	1 (1- λ) Leosphere ALS 300	continuous (20')	
			2 (3-I) ENEA/Univ. of Rome lidar	continuous (1')	
			microwave radiometer (p, T, RH)	continuous (15')	
			radiosondes	on event	
Scattering coefficient	1 (3- λ) TSI nephelometer	continuous (1')	1 (3-λ) TSI nephelometer	continuous (1')	
	(450-550-700 nm)		(450-550-700 nm)		
Absorbing coefficient	1 (7- λ) aethalometer	continuous	1 (7- λ) aethalometer	continuous	
	(370-420-490-520-660-880-	950 nm)	(370-420-490-520-660-880-95 nn	ר)	
Extinction coefficient	1 (1-λ) (860 nm) PAX	continuous (1')			
Column optical properties	1 (9-λ) AERONET/PHOTONS	continuous (15' for AOD)	1 (9- λ) AERONET/PHOTONS	continuous (15' for AOD)	
			2 (12-I) MFRSRs	continuous (15 s)	
Mineral Aerosol Deposition	1 CARAGA	continuous (7-days)	1 CARAGA	continuous (7-days)	
Downward shortwave irradiance	1 pyranometer	continuous (30 s)	1 (CMP 21) pyranometer	continuous (30 s)	
Downward longwave irradiance	1 pyrgeometer	continuous (30 s)	1 (CGR4) pyrgeometer	continuous (30 s)	
Downward window (8-14 μm) irradia	ance		1 modified CG3 pyrgeometer	continuous (60 s)	
Direct Solar radiance			1 CHP1 Pyrheliometer	continuous (30 s)	
Direct spectral solar radiation			1 PMOD Precision SpectroRad.	Continuous (30 s)	
Spectral downward global solar irrad	diance		1 HyperOCR spectrometer	continuous (30 s)	

Spectral downward diffuse solar irradiance	1 HyperOCR spectrometer	continuous (30 s)
Spectral direct solar irradiance	1 spectroradiometer	continuous (60 s)
Downward spectral actinic flux	1 Diode array spectrometer	continuous (60s)

Table 1. List of the Instrumentations deployed over the two super-sites (Ersa and Lampedusa) during the SOP-1a experiment for the characterization of physical, chemical and optical properties of aerosols, vertical profiles, columnar-averaged properties and radiation measurements. Meteorological parameters and gas concentrations are not included in this table.

AERONET/PHOTONS Site Name	Latitude (°N)	Longitude (°F)	Altitude (m)	# wavelengths	Site characteristics
Modena	44.63	10.94	56	7	Urban
Avignon	43.93	4 87	32	4	Rural
Villefranche-sur-Mer	43.68	7 33	130	4	Peri-urban coastal
Frioul	43.26	5 29	40	8	Peri-urban coastal
Toulon	43.13	6.00	50	4	Lirban coastal
Frsa	43.13	0.00 0.35	80		Remote island
Pomo Tor Vorgata	43.00	12.55	120	7	Dori urban
	41.84	12.05	130		Pen-urban
Barcelone	41.38	2.17	125	4	Urban coastal
IMAA-Potenza	40.60	15.72	820	8	Urban
Lecce University	40.33	18.11	30	7	Peri-urban coastal
Cap d'en Font	39.82	4.21	10	7	Remote Island
Oristano	39.91	8.5	10	4	Peri-urban coastal
Burjassot	39.50	-0.42	30	8	Urban coastal
Majorque	39.55	2.62	10	7	Peri-urban coastal
Cagliari	39.28	9.05	3	7	Urban coastal
Messina	38.20	15.57	15	4	Urban coastal
Granada	37.16	-3.6	680	7	Urban
Malaga	36.71	-4.47	40	7	Peri-urban
Blida	36.50	2.88	230	7	Rural coastal
Lampedusa	35.51	12.63	45	7	Remote Island
Ouijda	34.65	1.90	620	8	Urban coastal
Ouarzazate	30.93	6.91	1136	8	Remote desert

Table 2. List of the long-term AERONET/PHOTONS sun-photometer stations operated in the westernMediterranean during the ChArMEX/ADRIMED (SOP-1a) experiment.

Parameter measured	Instrument	Abreviation	Location in the aircraft	Wavelength (nm)	Nominal size range (µm)
Size distribution	Forward Scattering Spectrometer Probe,	FSSP-300	wing-mounted	632.8	0.28-20
	Ultra High Sensitivity Aerosol Spectrometer, Droplet Measument Technologies	UHSAS	wing-mounted	1054	0.04-1
	Sky-Optical Particle Counter, Model 1.129. Grimm Technik	GRIMM1	AVIRAD inlet	655	0.25-32
	Optical Particle Counter, Model 1.109, Grimm Technik	GRIMM2	Communautary aerosol inlet	655	0.25-32
	Optical Particle Counter, Model 1.109, Grimm Technik	GRIMM3	Communautary aerosol inlet	655	0.25-32
	Scanning mobility particle sizer, custom-built (Villani et al., 2007)	SMPS	Communautary aerosol inlet	n/a	0.03-0.4
Integrated number concentration	Condensation Particle Counters, Model 3075, TSI	CPC	AVIRAD inlet	n/a	> 0.005
Scattering coefficient	3λ Integrated Nephelometer, Model 3563, TSI	Nephelometer	AVIRAD inlet	450, 550, 700	n/a
Absorption coefficient	3λ Particle Soot Absorption Photometer, Radiance Research	PSAP	Communautray aerosol inlet	467, 530, 660	n/a
Extinction coefficient	Cavity Attenuated Phase Shift,	CAPS	Communautary Aerosol inlet	530	n/a
	Photomètre Léger Aéroporté pour la Surveillance des Masses d'Air	PLASMA	roof-mounted	340-2250	n/a
Chemical composition	Filter sampling Single particle soot photometer, Droplet Measurement Technologies	n/a SP2	AVIRAD inlet Communautary aerosol inlet	n/a 1064	n/a 0.08-0.5

Table 3. In-situ instrumentation deployed onboard the ATR-42 during the SOP-1a experiment.

No.	Date (2013)	Start time (UTC)	Ceiling altitude (m)	Latitude at ceiling	Longitude at ceiling	Sensors
BLD1	12 June	21:13	21178	39.5156°N	04.3010°E	T, U
BLD2	15 June	21:40	32119	39.9903°N	04.1801°E	T, U, LOAC, O ₃
BLD3	16 June	10:29	31880	40.0527°N	04.1524°E	T, U, LOAC, O ₃
BLD4	16 June	21:13	33390	40.0999°N	04.0118°E	T, U, LOAC, O ₃
BLD5	17 June	10:01	32744	40.2109°N	03.9672°E	T, U, LOAC, O ₃
BLD6	17 June	18:25	33411	40.2502°N	03.9402°E	T, U, LOAC, O ₃
BLD7	18 June	16:34	35635	40.5832°N	04.0515°E	T, U, LOAC
BLD8	18 June	21:17	21507	40.6372°N	04.4889°E	T, U, LOAC, O ₃
BLD9	19 June	10:12	30902	40.6794°N	04.3691°E	T, U, LOAC, O ₃
BLD10	19 June	13:48	36129	40.6553°N	04.1970°E	T,U, LOAC
BLD11	27 June	09:43	35832	39.7546°N	04.4746°E	T,U, LOAC
BLD12	28 June	05:36	36293	39.4505°N	04.1709°E	T,U, LOAC
BLD13	29/30 June	23:31	36310	39.6168°N	03.7383°E	T,U, LOAC
BLD14	30 June	14:03	36319	39.8937°N	03.9568°E	T,U, LOAC
BLD15	02 July	10:27	32833	39.9942°N	04.2996°E	T, U, LOAC, O ₃

Table 4. Characteristics of the 15 sounding balloon flights from Sant Lluis, Minorca Island, during theChArMEx SOP1a/ADRIMED campaign.

Date and time of launch (UT)	Balloon Nbr and type of sensor	Last data time (UT)	Last data location	Trajectory length (km)	Flight duration (h)	Approximate float altitude (m)
16 June, 09:46	B74, LOAC	16 June, 21:51	43.0265°N 05.2285°E	368	11:57	2100
16 June, 09:53	B53, O3	17 June, 00:26	40.6541°N 06.2398°E	203	14:28	3000-3050
16 June, 09:58	B70, LOAC	16 June, 23:01	40.1825°N 06.1293°E	174	13:17	3050-3150
17 June, 09:27	B54, O3	17 June, 16:49	43.1433°N 03.5293°E	371	07:22	1850-2000
17 June, 09:29	B75, LOAC	17 June, 16:51	43.0868°N 03.6866°E	365	07:23	1950-2050
17 June, 11:07	B72, LOAC	17 June, 19:07	43.2333°N 04.7403°E	382	08:03	2750
19 June, 10:34	B77, LOAC	19 June, 17:59	43.1576°N 04.7562°E	387	07:37	2550
19 June, 10:35	B71, LOAC	19 June, 15:03	43.0560°N 05.1336°E	369	04:39	3250-3350
27 June, 10:00	B80, LOAC	28 June, 12:07	37.9165°N 12.1605°E	759	26:19	2950-3050
28 June, 05:20	B73, LOAC	28 June, 17:24	37.4095°N 09.2346°E	523	12:16	2650-2750
02 July, 13:03	B76, LOAC	03 July,, 09:38	37.8897°N 12.1312°E	731	20:39	3150-3250
02 July, 13:11	B57, O3	03 July, 22:43	35.0900°N 14.1140°E	1053	33:44	3100-3200
02 July, 17:59	B55, O3	04 July, 02:20	37.3545°N 12.21980E	762	32.32	2400-2450
02 July, 17:50	B78, LOAC	04 July, 02:13	37.5639°N 12.1507°E	755	32.25	2350-2450

 Table 5. Characteristics of the 14 BPCL drifting balloon flights.

		Ersa	Ersa corrected	Lampedusa	Cagliari	Cap d'En Font
Number observations	of	25		18	20	17
r _{vf} (μm) σ _f		0.16 ± 0.02 0.43 ± 0.03	# #	0.14 ± 0.01 0.50 ± 0.06	0.15 ± 0.03 0.46 ± 0.04	0.17 ± 0.03 0.45 ± 0.04
r _{vc} (μm) σ _c		2.49 ± 0.43 0.69 ± 0.03	# #	2.36 ± 0.48 0.68 ± 0.05	2.52 ± 0.28 0.71 ± 0.04	2.48 ± 0.30 0.71 ± 0.04
C_{vf} ($\mu m^3/\mu m^2$)		0.02 ± 0.01	#	0.02 ± 0.01	0.02 ± 0.01	0.02 ± 0.01
C _{vc} (μm³/μm²)		0.03 ± 0.01	0.04	0.08 ± 0.05	0.05 ± 0.03	0.04 ± 0.03

Table 6. Main aerosol volume size distribution characteristics: r_{vf} (µm), σ_f , r_{vc} (µm), σ_c , C_{vf} , C_{vc} , for the four different AERONET/PHOTONS stations: Ersa, Lampedusa, Cagliari and Cap d'En Font. C_{vi} denotes the particle volume concentration, r_{vi} is the median radius, and σ_i is the standard deviation. Each average value in the table is accompanied by its standard deviation (this is not an accuracy of the retrieval). As mentioned in the text, the concentration of the coarse mode at Ersa has been corrected to be comparable to results at other stations closer to the sea surface, using the logarithmic law proposed by Piazzola et al. (2015).

Models	Time of simulation	Horizontal resolution	Number of vertical layers	Aerosol species	Boundary Layer Forcing	Radiative transfer code
CHIMERE	01/06 - 31/07	50 km	20	Dust, Sea Salt, Secondary organic and inorganic, primary OC-BC	WRF	FastJX
CNRM-RCSM	01/06 - 31/07	50 km	31	Dust, Sea-Salt, Sulphates, primary OC-BC	ERA-Interim	SW: FMR (6 bands, Morcrette et al., 1989) LW: RRTM (Mlawer et al., 1997)
RegCM	13/06 - 05/07	25 km	23	Dust, Sea-Salt, Secondary inorganic, primary OC-BC	NCEP reanalysis	CCM3 or RRTM
COSMO-MUSCAT	15/05-31/07	28 km	40	Dust	GME	Ritter & Geleyn (1992)

Table 7. Main characteristics (period of simulations, horizontal resolution, number of vertical layers, main aerosol (primary and/or secondary) species, radiative transfer codes) of the four different 3-D models used during the SOP-1a experiment (see part. 6) (GME is for the global model of the German Weather Service).

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