

1 Dehydration effects from contrails in a coupled contrail-climate model

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9 10 **Abstract**

11 Uptake of water by contrails in ice-supersaturated air and release of water after ice particle
12 advection and sedimentation dehydrates the atmosphere at flight levels and redistributes
13 humidity mainly to lower levels. The dehydration is investigated by coupling a plume-scale
14 contrail model with a global aerosol-climate model. The contrail model simulates all the
15 individual contrails forming from global air traffic for meteorological conditions as defined
16 by the climate model. The computed contrail-cirrus properties compare reasonably with
17 theoretical concepts and observations. The mass of water in aged contrails may exceed 10^6
18 times the mass of water emitted from aircraft. Many of the ice particles sediment and release
19 water in the troposphere, on average 700 m below the mean flight levels. Simulations with
20 and without coupling are compared. The drying at contrail levels causes thinner and longer
21 lived contrails with about 15 % reduced contrail radiative forcing (RF). The reduced RF from
22 contrails is of the order 0.06 W m^{-2} , slightly larger than estimated earlier because of higher
23 soot emissions. For normal traffic, the RF from dehydration is small compared to interannual
24 variability. A case with 100 times increased emissions is used to overcome statistical
25 uncertainty. The contrails impact the entire hydrological cycle in the atmosphere by reducing
26 the total water column and the cover of high and low-level clouds. For normal traffic, the
27 dehydration changes contrail RF by positive shortwave and negative longwave contributions
28 of order 0.04 W m^{-2} , with a small negative net RF. The total net RF from contrails and
29 dehydration remains within the range of previous estimates.

32 **1 Introduction**

33 Contrail ice particles grow by uptake of humidity from ambient ice-supersaturated air masses
34 and release their water content after sedimentation or advection with the wind into regions
35 with lower relative humidity. *Knollenberg* [1972] derived the ice mass inventory in a contrail
36 for a single aircraft from measurements and found that there are at least four orders of
37 magnitude more water present as ice in the contrail than in the original aircraft exhaust.
38 Hence, contrails dry or dehydrate the atmosphere at places where they form, and redistribute
39 humidity to places in the atmosphere where they sublimate [*Fahey and Schumann*, 1999].
40 Small relative changes of humidity in the troposphere and small absolute changes in the
41 tropopause region have large effects on radiative forcing [*Riese et al.*, 2012]. Ice is far more
42 efficient in radiative forcing than water vapor [*Meerkötter et al.*, 1999; *Chen et al.*, 2000;
43 *Fusina et al.*, 2007; *Wilcox et al.*, 2012]. The redistribution of humidity may make contrails
44 thinner. In regions with heavy air traffic, contrail-cirrus persistence can modify or even
45 suppress natural cirrus formation [*Unterstrasser*, 2014], with consequences for radiative
46 forcing [*Burkhardt and Kärcher*, 2011]. Falling ice particles may enhance precipitation from
47 mixed-phase or warm clouds at lower altitudes, by increasing humidity and thus the liquid
48 water content or by the Wegener-Findeisen-Bergeron process, both of which are thought to
49 increase the likelihood of precipitation [*Murcray*, 1970; *Korolev and Mazin*, 2003; *Yun and*
50 *Penner*, 2012]. Dehydration from contrails may follow similar processes as dehydration by
51 thin cirrus at the tropical tropopause [*Jensen et al.*, 1996; *Fueglistaler et al.*, 2009].

52 Contrails have been investigated in many observational and numerical studies [*Schumann*,
53 2002; *Mannstein and Schumann*, 2005; *Burkhardt et al.*, 2010; *Heymsfield et al.*, 2010; *Yang*
54 *et al.*, 2010; *Unterstrasser and Gierens*, 2010b; *Minnis et al.*, 2013; *Lewellen*, 2014; *Voigt et*
55 *al.*, 2015]. Nevertheless, the dehydration effects from contrails are not well known. Previous
56 assessments of the climate impact of aviation [*Schumann*, 1994; *Brasseur et al.*, 1998; *Penner*
57 *et al.*, 1999; *Sausen et al.*, 2005; *Lee et al.*, 2009; *Lee et al.*, 2010; *Boucher et al.*, 2013;
58 *Brasseur et al.*, 2015] discussed the dehydration effects from contrails qualitatively.
59 *Burkhardt and Kärcher* [2011] were the first to quantify the dehydration effects within a
60 global climate model. Contrail formation was treated as a subgrid-scale (SGS) process which
61 included a separate cloud class for young contrails. They found that contrail cirrus causes a
62 significant decrease in natural cloudiness, which partly offsets their warming effect. They
63 estimated the cooling from reduced cirrus at about 7 mW m^{-2} and called for further work to
64 more reliably quantify this effect.

65 Observations show ice particles precipitating from contrails in ice supersaturated air
66 [*Heymsfield et al.*, 1998] and ~2 km deep fall streaks of quickly falling large ice particles
67 below individual contrails at horizontal scales of ~5 km, far smaller than global model grid-
68 scales [*Schumann*, 1994; *Atlas et al.*, 2006]. Details of fall streaks below individual contrails
69 were simulated in large-eddy simulations (LES) [*Jensen et al.*, 1998; *Unterstrasser et al.*,
70 2012]. Such fall streaks could not appear if the cirrus clouds are represented by mean values
71 in the large grid cells of a global model. Obviously, the large scale separation between
72 individual contrails and global scales makes it difficult to assess the global impact of
73 dehydration from contrails.

74 A contrail prediction model CoCiP has been developed to simulate the formation and decay of
75 all individual contrail segments for given air traffic and ambient meteorology [*Schumann*,
76 2012] including contrail induced radiative forcing [*Schumann et al.*, 2012b]. CoCiP uses a
77 simplified model designed to approximate the essential contrail physics for efficient
78 simulation of contrails from global traffic over long periods. The contrail model bridges the
79 scales from the aircraft wake to the global atmosphere. Various of the model results compare
80 reasonably with observations [*Voigt et al.*, 2010; *Schumann*, 2012; *Jeßberger et al.*, 2013;
81 *Schumann and Graf*, 2013; *Schumann et al.*, 2013a]. In the past, the model has been run in an
82 offline mode for given meteorological fields, without exchange of humidity between contrails
83 and background air.

84 In this study, the contrail model is coupled with the global climate model CAM3+/IMPACT
85 [*Wang and Penner*, 2010], here also called CAM. The global model includes complex
86 aerosol-cloud interactions, cirrus and ice supersaturation. The coupled CoCiP/CAM model is
87 applied to quantify the impact of water exchange on contrail properties, large scale humidity
88 distribution, and background climate. In order to isolate the effects of water uptake by ice
89 particles without complicating effects from soot and other aerosols [*Penner et al.*, 2009;
90 *Hendricks et al.*, 2011; *Gottelman and Chen*, 2013; *Righi et al.*, 2013], this study is purposely
91 restricted to the effects from exchanges of water. The ice nucleation properties of soot from
92 aviation emissions might get changed when entering contrail ice [*Zhou and Penner*, 2014].
93 This is a possibly important effect which should be included in a future model application.

94 For small climate disturbances, to which aviation effects belong, the analysis of climate
95 impact from free running climate simulations is hampered by the noise inherent in such
96 climate models because of the chaotic nature of atmosphere dynamics. For a climate model
97 study with a diagnostic linear contrail model, *Ponater et al.* [2005] used a factor of 20 larger

98 fuel consumption and *Rap et al.* [2010a] used 100 times enhanced contrail optical depth, to
99 obtain statistically significant results from 30- to 50-year climate simulations. This is a valid
100 approach as long as the climate response to the disturbances is about linear. *Gottelman and*
101 *Chen* [2013] and *Chen and Gottelman* [2013] were able to reduce the climate noise using a
102 20-year climate model (CAM5) simulation nudged to the pressure, winds and atmospheric
103 and sea surface temperatures from a previous one-year simulation. In order to quantify the
104 effects from this “nudging”, one would need comparisons with and without nudging. Here, we
105 try to overcome climate noise by using enhanced emissions and estimate the linearity of the
106 responses.

107 **2 Methods**

108 **2.1 CAM3+ /IMPACT model**

109 The method is a new combination of CoCiP with CAM3+/IMPACT, with code changes to
110 allow for coupling with exchange of water between contrails and ambient air.

111 CAM3+/IMPACT is an updated version of the coupled aerosol-general circulation model
112 described in *Wang and Penner* [2010] and *Yun et al.* [2013]. CAM3 is the Community
113 Atmosphere Model version 3, which simulates the atmosphere. Here, it is run using fixed sea
114 surface temperature climatology with an overall time step of 1 h and spatial resolution of 2° in
115 latitude and 2.5° in longitude with 26 vertical model levels up to about 3.5 hPa. IMPACT is
116 the University of Michigan aerosol model, which treats a total of 17 aerosol types [*Zhou and*
117 *Penner*, 2014]. The model used here combines features added to CAM3 (called CAM3+) by
118 *Liu et al.* [2007], *Wang and Penner* [2010], *Yun and Penner* [2012] and *Yun et al.* [2013].
119 CAM3+ uses a two-moment cloud microphysics scheme for cloud ice, in which mass and
120 number concentrations are predicted by prognostic equations. The two-moment scheme treats
121 ice nucleation, evaporation, and melting, and allows for ice supersaturation. The cloud
122 fraction calculation accounts for new cloud cover by ice nucleation, treating homogeneous
123 and heterogeneous nucleation of ice. The surface emissions included are for the year 2000
124 [*Penner et al.*, 2009]. The model has previously been compared with observations [*Yun and*
125 *Penner*, 2012]. E.g., *Wang and Penner* [2010] showed that the model predicts the global
126 distribution of ice supersaturation, cloud cover, ice water content, and ice crystal
127 concentrations in reasonable agreement with observations.

128 **2.2 The contrail simulation model CoCiP**

129 CoCiP is a Lagrangian model which traces individual contrail segments forming along flight
130 routes for many flights. The model is documented and discussed in *Schumann* [2012]. In the

131 following, the major features are explained with a few modifications. CoCiP simulates the
132 lifecycles of contrails from their formation behind individual aircraft until final dissipation.
133 Contrails are assumed to form when the Schmidt-Appleman criterion is satisfied for given
134 ambient temperature and humidity, given fuel (H_2O emission index 1.24, combustion heat
135 43.2 MJ kg^{-1}), and given overall propulsion efficiency [Schumann, 1996]. The model assumes
136 that the soot particles emitted into the young exhaust plume act as condensation nuclei for
137 contrail formation when humidity exceeds liquid saturation. The resultant droplets freeze soon
138 thereafter because of ambient temperature below homogeneous freezing limits. In the wake
139 phase, some ice particles get lost by adiabatic warming or by mixing with dry ambient air.
140 The initial contrail properties (depth, width, number of ice particles, initial ice water content)
141 are computed for given aircraft types. (The importance of aircraft size, speed, fuel
142 consumption, and emissions for contrail properties was subject of several recent studies
143 [Lewellen and Lewellen, 2001; Naiman et al., 2011; Voigt et al., 2011; Jeßberger et al., 2013;
144 Schumann et al., 2013; Unterstrasser and Görsch, 2014]). The contrail advection and the
145 shear and turbulence-driven spreading and mixing of plume air with ambient air are simulated
146 with a Gaussian plume model. Contrails spread vertically mainly by turbulent mixing excited
147 by shear and limited by stable stratification. In the model, particle sedimentation and
148 differential radiative heating contribute to enhanced vertical diffusivity. Shear tends to distort
149 plumes into vertically thin sheets enhancing vertical mixing. Horizontal diffusivities are larger
150 because horizontal motions are not limited by stratification. The contrail bulk ice physics is
151 approximated as a function of ice water content and ice particle number N_{ice} per flight
152 distance assuming saturation inside the contrail, which is justified for dense ice clouds or slow
153 humidity changes [Korolev and Mazin, 2003; Kaufmann et al., 2014]. The local ice particle
154 concentration n_{ice} is computed from the number of ice particles per flight distance divided by
155 the plume cross-section. After contrail formation, the contrail ice water content grows by
156 uptake of ambient humidity entering the plume by mixing with ambient ice supersaturated air.
157 When mixing with subsaturated air, the ice water content shrinks accordingly. The number of
158 contrail ice particles is modelled as a function of soot emissions with some parameterized
159 losses during the wake vortex phase of the contrail. The number of ice particles per unit
160 plume length stays constant in the model except for aggregation between contrail particles
161 with cirrus particles, and turbulent mixing losses, which are parameterized. (For a discussion
162 of the aggregation model used, see Kienast-Sjögren et al. [2013]). In each contrail segment,
163 the volume mean particle radius r_{vol} is computed from the volume of the ice and the particle
164 number. For local optical depth and RF analysis, an effective radius r_{eff} is computed assuming

165 a fixed value $C=r_{\text{vol}}/r_{\text{eff}}=0.9$ [Schumann *et al.*, 2011b]. The volume-mean ice particle size is
166 used to compute the mean fall speed [Spichtinger and Gierens, 2009]. The vertical motion of
167 the contrail follows the sum of ambient vertical velocity and fall speed. Because of crystal
168 size dispersion, sedimentation also contributes to vertical widening of the plume cross-
169 section. The contrails terminate when all ice water content is sublimated (by mixing with dry
170 air, e.g., during subsidence) or by precipitating below the lower boundary of the CoCiP
171 domain. Contrail cover is computed on a fine grid with 5000×3600 longitude×latitude-grid
172 cells (about 5 km horizontal resolution) based on a threshold of 0.1 for optical depth (at 550
173 nm), accounting for overlapping with other contrails and with ambient cirrus. Hence, a thin
174 contrail overlapping with other thin cirrus may enlarge cover by enhancing the total optical
175 depth beyond the threshold. The radiative forcing (RF) induced by contrails is computed from
176 the sum of the contributions from each contrail; for each contrail, the RF is computed as a
177 function of contrail properties and top of the atmosphere radiances [Schumann *et al.*, 2012b].
178 The model is driven by air traffic waypoint data. Here, we use a global data set for the year
179 2006, including about 80000 flights per day, as provided within the ACCRI project
180 [Wilkerson *et al.*, 2010; Brasseur *et al.*, 2015]. The fuel consumption and the corresponding
181 water emissions from aircraft engines are available with these waypoint data. The overall
182 propulsion efficiency, mostly between 0.2 and 0.4, is deduced from the given speed, fuel
183 consumption and thrust. The number of soot particles emitted is set to be proportional to the
184 fuel consumption with fixed emission index (10×10^{14} kg⁻¹). The emission index used here is
185 larger than in earlier studies (3.57×10^{14} kg⁻¹) because recent experimental data indicate that
186 modern aircraft emit more (by number) soot particles acting as contrail ice nuclei than
187 estimated earlier [Schumann *et al.*, 2013].

188 CoCiP simulates contrail segments for each flight from departure until arrival for a maximum
189 life time, set to 36 h in this application. (Ages up to about a day have been observed [Minnis
190 *et al.*, 1998; Haywood *et al.*, 2009; Vázquez-Navarro *et al.*, 2015]). In the original code
191 version, this required frequent readings of the input files. To reduce computing time, we split
192 the traffic data into hourly data. For each hour of integration over the year, first the contrail
193 segments from the previous flights, if existing, are integrated forward in time over the next
194 hour or until they die out. Thereafter, contrails from the new flight segments occurring during
195 the hour are treated. Contrails remaining active at the end of the time step are saved for the
196 next integration step.

197 The CoCiP model results depend on various critical model parameters; see Table 2 of
198 *Schumann* [2012]. In particular, plume diffusivities are modeled as in *Schumann and Graf*
199 [2013], with vertical plume diffusivities computed for $w'_N = 0.22 \text{ m s}^{-1}$; and the vertical
200 diffusivity is enhanced when radiative heating in the contrails causes convective instability.
201 With respect to particle losses, we found that the second-order Runge-Kutta scheme for
202 integration of the prognostic equations is stable and accurate enough without the need for
203 iterations, reducing computing time. We also found, partially because of a compensating code
204 error in the Runge Kutta scheme, that the loss of particles due to mesoscale fluctuations has a
205 small impact on the results and is no longer required (parameters $E_T=0.1$, $E_{\text{meso}}=0$, see Table 2
206 of *Schumann* [2012]). The humidity seen by CoCiP in the troposphere is assumed to be
207 enhanced by a factor of $1/\text{RH}_{i_c}$ ($\text{RH}_{i_c}=0.9$) compared to what is provided by the host model to
208 account for SGS variability and possible systematic deviations from observations. In a
209 previous study, we used numerical weather prediction results from the European Center for
210 Medium Range Weather Forecasts (ECMWF) with a SGS factor $\text{RH}_{i_c}=0.8$ [*Schumann and*
211 *Graf*, 2013]. From the results of the present study, we learn that $\text{RH}_{i_c}=1$ appears to give
212 satisfactory results and should be used in future applications.

213 **2.3 The coupling of CoCiP to CAM**

214 CAM calls CoCiP as a subroutine each time step providing the most recent meteorological
215 fields as input. The fields include three-dimensional (3-D) fields of wind, temperature,
216 humidity, ice water content, and cloud cover as a function of pressure. In addition, two-
217 dimensional fields are provided for surface pressure, outgoing longwave radiation, reflected
218 shortwave radiation, and incoming solar direct radiation. CoCiP interpolates in these fields
219 linearly in space and time to obtain the values at any position.

220 In the offline mode, each contrail segment is simulated for the given ambient meteorological
221 fields without changing background meteorology. This simplification is unavoidable when
222 CoCiP is driven by the output of numerical weather prediction models, as done in the past.
223 The offline mode allows for the efficient simulation of the contrails from millions of flights.
224 For the coupled model, CoCiP is run either offline or online.

225 In the online mode, CoCiP returns effective emissions (besides H_2O , the code can treat also
226 soot emissions) from aircraft after contrail processing. CoCiP accounts for the emissions
227 exchanged between the background atmosphere and the contrails per time step and per CAM
228 grid cell by tracking 3-D-fields EA, EC and CA (the sum of EA and CA is provided as a
229 water source to CAM and treated as given emissions). EA (engine to atmosphere) records the

230 emission amount emitted from aircraft engines directly to the atmosphere, without processing
231 in contrails. EC (engine to contrail) is the amount emitted from aircraft engines into fresh
232 contrails. Positive CA (contrail to atmosphere) values are the amounts released from contrails
233 to the atmosphere, negative CA values are the amounts taken up by contrails from the
234 atmosphere. The emissions are split into EA and EC during contrail formation as a function of
235 the initial ice water content inside the freshly formed contrails relative to the amount of water
236 emitted from the engines. Hence, if no contrail forms, EA from this flight contains all
237 emissions and the contribution to EC is zero. After contrail initiation, in growing contrails, the
238 water contribution to CA becomes negative, because contrail ice grows by uptake of ambient
239 humidity. Later during the contrail life cycle, the contrail provides a positive CA contribution
240 when ice sublimates releasing water to the atmosphere. The local sign of CA depends on the
241 mix of growing and shrinking contrails within the grid cell. For diagnostics, CoCiP records
242 the inventory of the emission amount stored inside contrail particles per CAM grid cell in a
243 further 3-D field as a function of time. The sum of fields EA and CA and this inventory
244 include all aircraft emissions in the CoCiP domain. Hence, the H₂O mass passed between
245 CoCiP and CAM is conserved. To reduce storage requirements, CoCiP operates on a limited
246 altitude domain where contrails form, covering 18 CAM model levels, from 916 to 100 hPa.
247 Aircraft emissions outside this altitude range (e.g., from airports) are included in CAM
248 separately in a consistent manner.

249 To avoid negative vapor concentrations in regions with many contrails forming during a time
250 step, CoCiP accounts for local H₂O exchange between the contrails and background air during
251 the integration time step. For this purpose, CoCiP uses a local copy of the background H₂O
252 concentration field provided by CAM and subtracts from it the amount of water vapor uptake
253 by a contrail (and adds any released contrail water) immediately. The contribution from each
254 contrail segment is distributed over contrail neighboring grid points depending on the
255 respective distances, keeping H₂O mass conserved. Hence, the next contrail during the same
256 time step interval finds less humidity and is thinner. In this method, the results depend on the
257 sequence of flights. The aircraft which flies first has a thicker contrail than aircraft later in the
258 waypoint input. The accuracy of this approach depends on the ratio of the time step to the
259 contrail life time. The accuracy increases for smaller time step sizes.

260 We note that the coupling between CoCiP and CAM transfers grid cell mean values from
261 CAM to CoCiP and the sum of all contrail sources or sinks within a grid cell back from
262 CoCiP to CAM. As a consequence, the mass of H₂O uptake by a contrail during the time step

263 is spread over the grid cell immediately. Because of the large difference between contrail
264 scales (widths of order 0.1-10 km) and grid scales (about 200 km), humidity variations at
265 contrail scales cannot be resolved. A global model with far higher spatial resolution would be
266 required to overcome this problem.

267 **2.4 Model runs**

268 Three runs were performed with CAM3+/IMPACT/CoCiP for this study, see Table 1. Run 0
269 is the non-coupled (offline) reference case in which CAM runs without aviation emissions
270 while CoCiP is run using nominal aircraft emissions. Here, CoCiP uses the meteorological
271 fields from CAM in the same manner as it used numerical weather prediction results in the
272 past [Schumann and Graf, 2013]. Run 1 uses the coupled method (online) and simulates the
273 effects of contrails on the hydrological cycle for nominal aircraft emissions. Run 2 uses
274 aircraft emissions 100 fold increased to enhance the aviation effects beyond climate noise.
275 The results for runs 0 and 1 are from 30 years of simulation after several years of spin-up.
276 Because of limited computing resources, Run 2 includes just one year restarted from run 1
277 files.

278 **3 Results and Discussion**

279 **3.1 CoCiP results**

280 This section describes the contrail results in some detail to explain the physics simulated and
281 to compare with observations. Some annual and global mean contrail properties for run 0 and
282 1 are given in Table 2. Unless otherwise stated, quantitative results are from run 1. The
283 interannual variability of the 30-year mean values of CoCiP results as listed is small, and run
284 1-0 differences in Table 2 are significant.

285 **3.1.1 Basic contrail properties**

286 *a. Traffic*

287 The emissions included in CAM are derived from 182.2 Tg of annual fuel consumption of
288 which CoCiP analyses 83.2 % (the rest comes from emissions near airports which are added
289 directly into the lower model levels of CAM). The global mean traffic density above 4.5 km
290 altitude is $0.0072 \text{ km} (\text{km}^2 \text{ h})^{-1}$. About 92 % of all flight segments occur in the Northern
291 Hemisphere. Maximum traffic occurs near 40°N over North America ($70\text{-}115^\circ\text{W}$), Europe
292 ($7^\circ\text{W}\text{-}15^\circ\text{E}$), and Asia ($100\text{-}130^\circ\text{E}$).

293 *b. Contrail formation*

294 CoCiP computes the contrail properties for each given aircraft type. The average fuel
295 consumption, mass, speed, and overall propulsion efficiency of contrail forming aircraft are
296 4.60 kg km^{-1} , 116 Mg , 225 m s^{-1} , and 0.31 , respectively. The contrail forming aircraft
297 consume slightly more fuel (5.33 kg km^{-1}) than the rest of the fleet. About 15 % of all the
298 flight segments cause contrail formation in the CAM atmosphere. About 7 % occur in ice
299 supersaturated air causing persistent contrails. About 12 % of all fuel is consumed in regions
300 in which contrails form. (About two times larger fractions were computed for ECMWF input
301 with lower RH_i [Schumann *et al.*, 2011a].) Contrail forming aircraft fly mainly in the
302 troposphere, at 10.9 km mean altitude, at 220.3 K ambient temperature, at 116 % relative
303 humidity over ice (RH_i , see Figure 1), with mean ambient wind shear of 0.0023 s^{-1} and Brunt-
304 Väisälä frequency of 0.013 s^{-1} . The computed RH_i pdf is similar to observations [Immler *et*
305 *al.*, 2008]. The global mean contrail temperature (-53.1°C) is about 5 to 10 K below the mean
306 threshold temperature for contrail formation, and close to the values of -52°C deduced for
307 contrails over the USA from day and night observations by Bedka *et al.* [2013], and -54.6°C
308 at cloud top deduced by Iwabuchi *et al.* [2012].

309 *c. Contrail properties*

310 CoCiP computes that there are about 3100 contrail segments of 36 km mean length present at
311 a time on average within the CAM atmosphere. A total of 3×10^7 contrail segments are
312 simulated per year. For given shear, stratification, and plume scales, the mean diffusivity
313 values are 14 and $120 \text{ m}^2 \text{ s}^{-1}$ in vertical and horizontal directions. The contrails spread to 8 km
314 mean width and 1 km mean total depth, with large variability. We define two results for the
315 depth. The total depth describes the vertical variance of contrail properties in the Gaussian
316 plume model; the effective depth is the ratio of cross-section area to contrail width
317 [Schumann, 2012]. The latter is smaller because shear causes a horizontally inclined and
318 elongated cross-section.

319 The aircraft emit on average $5.3 \times 10^{12} \text{ m}^{-1}$ soot particles per flight distance. The contrails
320 contain about $3 \times 10^{12} \text{ m}^{-1}$ of ice particles per flight distance. Hence, about 56 % of the ice
321 particles survive wake, aggregation, and turbulent losses in the model. The ice water content
322 (IWC) in contrails (and cirrus) correlates with ambient temperature and ambient relative
323 humidity [Schiller *et al.*, 2008]. Figure 2 compares the pdf of computed IWC with the
324 approximate $\text{IWC}/(\text{mg m}^{-3}) = \exp(6.97 + 0.103 \text{ T}/^\circ\text{C})$ [Schumann, 2002]. This
325 parameterization was used, e.g., by Chen and Gettelman [2013] to compute the contrail IWC;
326 it gives reasonable estimates for the mean but underestimates IWC variability.

327 On average, the IWC in contrails is found to be equivalent to an amount of water vapor at
328 relative humidity over ice of about 15 %. This value is consistent with the mean RH_i in the
329 ambient air. A growing contrail may contain less ice water and a shrinking contrail more ice
330 water than this mean value. Hence, as shown in Figure 1, long-lived contrails also exist in
331 subsaturated air, as observed [Kübbeler *et al.*, 2011; Iwabuchi *et al.*, 2012; Kaufmann *et al.*,
332 2014].

333 The total mean and median values of contrail properties per unit length vary over several
334 orders of magnitude; see Table 3. The values are averages over all contrail segments without
335 accounting for contrail overlap. The median values are smaller than the mean values which
336 are controlled by a few very thick old contrails. The ice mass per flight distance values (6-50
337 kg/m) is of a magnitude similar to LES results [Unterstrasser and Gierens, 2010b; Lewellen,
338 2014]. The integral numbers of Table 3 can be used to compute global mean contrail air
339 density, ice water content, ice particle size, optical depth, geometrical depth, extinction
340 coefficient etc. For example, the ratio of volume per distance divided by the mean width (area
341 per distance) defines an effective contrail depth (mean ~800 m, median ~400 m, ½-h-mean
342 145 m). The ratio of ice water mass to emission water mass is about 180 for young (age < 0.5
343 h) contrails, 1800 in the median, and $\sim 1.8 \times 10^6$ in the mean of these simulations. The ratio is
344 close to one in the wake vortex phase [Vay *et al.*, 1998] or sublimating contrails. For old
345 contrails in ice supersaturated air, the ratio may be far larger than found by Knollenberg
346 [1972], who measured in a contrail 18 min after generation. The maximum values are limited
347 by the number and mass of the largest ice particles relative to the mass of H₂O emissions.

348 Because of nearly constant ice number per flight distance but variable plume cross-section,
349 the volume concentration n_{ice} varies from more than 100 cm⁻³ in young contrails to less than 1
350 L⁻¹ in aged contrails, see Figure 2. The mean value depends strongly on how the average is
351 defined. When averaging linearly over all contrail segments (many stay narrow), we obtain a
352 high mean value of n_{ice} of 86 cm⁻³. When counting all contrail ice particles globally and
353 dividing by the total volume (segment length \times cross-section area) of all contrail segments,
354 we find that the volume is huge and dominated by the wide old contrails. Hence, this mean
355 value of n_{ice} is far smaller (0.4 cm⁻³).

356 The mean volume radius varies over a large range, from about half a micrometer to half a
357 millimeter, see Figure 2. The lower bound results from the water mass and the number of soot
358 particles nucleating ice in fresh contrails. The upper size limit is determined by sedimentation.
359 The fall speed reaches values of order 0.5 m s⁻¹ for particle radii exceeding 100 μm ; the

360 average fall speed is 0.0026 m s^{-1} . Particles sedimenting in supersaturated air may grow
361 quickly. The linear arithmetic mean particle radius r_{vol} is $14 \text{ }\mu\text{m}$. The median value of r_{vol} is
362 smaller ($9 \text{ }\mu\text{m}$). These sizes are representative for young and narrow contrails. Alternatively,
363 we compute a volume mean radius of the ensemble of all contrails from the total contrail ice
364 volume divided by the total number of contrail ice particles, and likewise an effective radius
365 from the ratio of total vertically projected particle cross-section area divided by total particle
366 volume, following common definitions, [McFarquhar and Heymsfield, 1998]. This results in
367 far larger integral mean sizes: $r_{\text{vol}}=27 \text{ }\mu\text{m}$ and $r_{\text{eff}}= 35 \text{ }\mu\text{m}$. These large integral values are
368 dominated by the aged contrails with largest volume.

369 These particle sizes appear far larger than usually assumed for linear contrails. *Bedka et al.*
370 [2013] found an average particle effective radius of $9 \text{ }\mu\text{m}$ in MODIS satellite data. Larger
371 mean particle sizes have been observed for contrail cirrus, $20\text{-}25 \text{ }\mu\text{m}$ [Minnis et al., 2013].
372 The remote sensing methods may underestimate the particle sizes because the largest particles
373 may have fallen (e.g., in fall streaks) below a level visible to remote sensing from space.

374 The optical depth τ of contrails may be computed locally as a function of the particle cross-
375 section πr_{area}^2 (with $r_{\text{area}}^2 = r_{\text{vol}}^3/r_{\text{eff}}$ [Schumann et al., 2011b]), volume specific number
376 concentration n_{ice} , and the effective geometrical depth of the contrail plume. For various
377 contrail segments, τ varies strongly; see Figure 2; τ is large for young contrails because of
378 many ice particles grown by uptake of ambient humidity in narrow plumes with large depths.
379 This can be seen from observations and models [Voigt et al., 2011; Jeßberger et al., 2013].
380 Later, τ may grow in rising air masses with increasing humidity but generally decreases and
381 approaches zero while the contrails spread laterally and finally sublimate. The pdf of $\log \tau$ has
382 a negative skewness: a few contrails get thick while most have small τ ; some are subvisible.
383 The same type of asymmetry in the pdf of $\log \tau$ has been simulated by *Kärcher and Burkhardt*
384 [2013] for contrails and measured by *Immler et al.* [2008] for contrail-cirrus. The global mean
385 optical depth τ is 0.29, which is close to observed values [Voigt et al., 2011]. The global mean
386 value is slightly larger than the value for linear contrails derived by *Bedka et al.* [2013] from
387 MODIS (0.19-0.26). Contrails detected with an Automatic Contrail Tracking Algorithm
388 (ACTA) from Meteosat observations by *Vázquez-Navarro et al.* [2015] have very similar
389 optical thickness, mean: 0.34, median: 0.24.

390 The RF induced by contrail segments varies strongly, see Figure 3. In rough agreement with
391 observations [Vázquez-Navarro et al., 2015], individual contrail segments may cause local RF
392 values per contrail area (segment width \times length) exceeding 60 W m^{-2} , with mean values of

393 order 10 W m^{-2} . The frequent zero SW RF values result from nighttime contrails. The local
394 net RF may be positive or negative and far larger than the mean value. *Vázquez-Navarro et al.*
395 [2015] found larger mean values because their method mainly detects geometrically and
396 optically thick contrails. The shape of the SW and LW RF pdf's is similar to theory
397 predictions [*Kärcher and Burkhardt, 2013*], but negative RF values were not expected in that
398 study.

399 The age of the simulated contrails varies between a few minutes and 36 h. The mean age is
400 computed as the arithmetic mean of all contrail segment ages. The computed mean contrail
401 age is about 2 h. The contrail ages tend to increase for decreasing ambient humidity (run 1
402 compared to run 0) because of reduced sedimentation for lower humidity. The upper limit of
403 36 h is reached only 18 times globally in 30-years simulations. Ages of individual contrails
404 exceeding 10 h occur rarely, see Figure 4 (the pdf is generated from a 3 % subsample of 1-
405 year simulation data, hence, misses the few contrails with the upper limit age of 36 h). The
406 lifetimes are within the range of results derived with ACTA from Meteosat contrail
407 observations by *Vázquez-Navarro et al. [2015]*.

408 The lifetimes depend among other things on vertical motions in the ambient air. In the model,
409 the contrails experience larger mean uplift (100 m) than subsidence (74 m). Plume-spreading
410 in ambient ice supersaturated air causes ice particle growth, because the same ice particles
411 share in a growing amount of humidity. Sinking air warms adiabatically so that contrails
412 sublimate. Rising air tends to increase relative humidity. Strong adiabatic uplift may cause
413 strong growth of the ice particles so that they may start sedimenting and precipitate in fall
414 streaks. Hence, quickly rising contrails may have shorter lifetimes than slowly rising ones. All
415 these properties are consistent with findings from LES and observations [*Iwabuchi et al.,*
416 2012; *Lewellen, 2014*].

417 *d. Comparison with a theoretical concept for sedimentation influence on optical depth*

418 An important metric for contrail radiative properties as a whole, independent of the definition
419 of contrail width W or contrail depth D , is the total projected surface area S of all contrail ice
420 particles per unit contrail length, $S = N_{\text{ice}} \pi r_{\text{area}}^2$, where N_{ice} is the number of ice particles per
421 contrail length and πr_{area}^2 is the mean effective projected cross-section of the ice particles
422 [*Schumann et al., 2011b; Lewellen et al., 2014; Lewellen, 2014*]. (Mean values are listed in
423 Table 3.) The importance of S can be seen from the fact that the optical depth τ of contrails is
424 $\tau = Q_{\text{ext}} S/W$, where Q_{ext} is the mean extinction efficiency and W the effective width of the
425 contrail. The product $W\tau = Q_{\text{ext}} S$ is known as total extinction and is important for radiative

426 forcing of a contrail at a time [Unterstrasser and Gierens, 2010b]. Hence, τ does depend on
427 the width W and its definition, but W cancels when computing the global radiative forcing
428 RF, which is the sum of all contrail segment RF values weighted with contrail length and
429 width divided by the Earth surface. The value of S versus contrail age is plotted in Figure 5.
430 We see S increasing with contrail age for the first 2 hours and then approaching a constant
431 which is about 10^2 to 10^4 $\text{m}^2 \text{m}^{-1}$ in these simulations. S decreases for aged contrails in spite of
432 increasing contrail width. The magnitude of $Q_{\text{ext}} S$ agrees with observations [Vázquez-
433 Navarro *et al.*, 2015]. The initial growth comes from particle growth in ice supersaturated air.
434 Later values are limited because large particles sediment quickly [Schumann, 1996]. Lewellen
435 [2014] noted the importance of the integral $\int S(t)dt$ over the contrail life-time as a measure for
436 the total climate impact of the contrail. This integral has similarities with the energy forcing
437 which we discussed elsewhere [Schumann *et al.*, 2012a]. Since we did not save the integral
438 value in our simulations, we approximate the integral by $S t_{\text{age}}/2$. The results show that $\int S dt$
439 approaches asymptotic values of order 10^8 m s for old contrails. The values are close to those
440 reported by Lewellen [2014] from LES of contrails with particle-size resolving microphysics.
441 He showed that the integral S relates to fall speed and the sedimentation depth Δz_{sed} by $\int S dt$
442 $\cong \alpha N_{\text{ice}} \Delta z_{\text{sed}}$, where $\alpha = 18 \pi \eta / (g \rho_{\text{ice}})$ is a parameter resulting from the Stokes law for the
443 particle terminal fall velocity ($\eta \cong 14 \times 10^{-6}$ $\text{kg m}^{-1} \text{s}^{-1}$ is the dynamic viscosity of air, $\rho_{\text{ice}} \cong 917$
444 kg m^{-3} is the bulk density of ice, $g =$ gravity). The sedimentation depth Δz_{sed} was computed
445 within CoCiP for each contrail segment. Figure 5 shows that the CoCiP results are roughly
446 consistent with the theory. The results illustrate the important link between the optical
447 properties of contrails and ice particle sedimentation in ice supersaturated air. The scatter
448 around the mean 1:1 correlation indicates that the effective S values depend also on other
449 parameters: Lewellen [2014] noted the importance of the depth of the ice supersaturated layer
450 below flight levels. In addition, we have non-steady and spatially variable meteorology, and
451 size-dependent fall speeds differing from the Stokes law. We see that the essential physics of
452 contrail optical depth formation as simulated by CoCiP is similar to LES results.

453 *e. Comparison of contrail properties with observations from space*

454 In addition to the comparisons mentioned, we compare the computed contrail properties with
455 satellite observations. Iwabuchi *et al.* [2012] used satellite pictures (MODIS) to identify linear
456 contrails and derived their altitude and thickness from collocated space lidar (CALIPSO)
457 observations. The method was applied for the domain 15-85°N and 180°W - 80°E, see Figure
458 6. Contrails were detected mainly over the North Atlantic. Although we find a larger share of

459 contrails over the continents, the vertical distribution of the contrails versus latitude in the
460 model is similar to that observed, see Figure 7. Some of the simulated (and observed)
461 contrails at low latitudes rise above 14 km altitude, above the maximum flight levels where
462 contrails form (13.1 km). This is a consequence of rising air masses as occurring in the tropics
463 over continents [Pauluis *et al.*, 2008]. The computed mean contrail altitude (10.5 ± 1.2 km) is
464 slightly lower than observed (10.9 ± 1 km). Some of the low-level contrails may result over
465 continents from aircraft during ascent or descent. Others may occur below thick high-level
466 clouds and be missed by lidar observations.

467 Figure 8 shows that the pdf of optical depth from CoCiP is close to that derived from MODIS
468 and CALIPSO. The differences between the model results for run 1 and 0 are significant but
469 comparable to the differences between the measurements in the two years (with slightly
470 different lidar properties [Iwabuchi *et al.*, 2012]). Figure 9 compares the computed and
471 observed width and vertical geometrical depth of contrails. We note the large scatter of the
472 data. Perhaps, CoCiP slightly overestimates the total depth. The effective depth appears to fit
473 the observations better. The contrail width pdf (not shown) is a maximum at zero width and
474 decreases exponentially with 5 km median and 8.1 km mean width. The width range of ACTA
475 contrails is more limited (7.8 ± 2 km) [Vázquez-Navarro *et al.*, 2015].

476 Figure 10 compares the difference in the diurnal cycle of cirrus cover and outgoing longwave
477 radiation (OLR) between the North Atlantic region (NAR, 45° - 55° N, 10° - 45° W), and a
478 corresponding South Atlantic Region (SAR, 45° - 55° S, 10° - 45° W) from the model with
479 results from 8 years of satellite observations. Cirrus cloud cover [Ewald *et al.*, 2013] and
480 outgoing longwave radiation [Vázquez-Navarro *et al.*, 2013] data were derived from Meteosat
481 Second Generation (MSG) infrared satellite observations. The anomalies have zero mean
482 values. Air traffic density in the SAR is practically zero while traffic in the NAR shows a
483 systematic double-wave diurnal cycle [Graf *et al.*, 2012]. Anomalies of cirrus cloud cover and
484 OLR differences between NAR and SAR from MSG show similar patterns with 2-4 h delay.
485 This “aviation fingerprint” was used to quantify aviation induced cirrus changes [Graf *et al.*,
486 2012; Schumann and Graf, 2013]. The delay can be interpreted as the time it takes to let ice
487 particles grow (see Figure 5) and spread from fresh contrails to extended cirrus cover. The
488 results suggest that contrail cirrus contribute about 2 % of cirrus cover and about 1 W m^{-2} of
489 radiative forcing in this region. The diurnal cycle from the sum of CoCiP contrail cover and
490 CAM cirrus cover and corresponding longwave radiances is consistent in shape and amplitude

491 with the MSG results. They agree approximately also with results from the offline CoCiP-
492 ECMWF combination in *Schumann and Graf* [2013].

493 Also, the interannual variability of the MSG results is comparable in magnitude to the
494 variability in the CoCiP results. This suggests that CoCiP simulates most of the processes
495 controlling this contrail cirrus signal. The ratio of regional LW RF to global LW RF (see
496 Table 2) is 6.12 and 6.13 in runs 0 and 1, respectively. The ratio was 5.71 in the previous
497 study with ECMWF meteorology. This ratio was used to extrapolate the regional LW RF to
498 the global RF. Hence, the coupling does not change the main conclusions from earlier CoCiP
499 studies.

500 We looked for a local response of cirrus cover and OLR to dehydration following the diurnal
501 traffic cycle. The results from CAM do not reflect such a diurnal cycle. Different time scales
502 of contrail cirrus and dehydration effects would be important when discussing mitigation
503 options. Also *Chen and Gettelman* [2013] computed a far smaller amplitude of a double-wave
504 diurnal cycle in global model results of LW RF for this region than observed. Hence, the
505 dehydration effects of the contrails within CAM are either slow or not large enough to excite
506 a semi-diurnal cycle. Note that most contrails are thinner than 1 km. Perhaps the coarse CAM
507 grid cells (about 1 km × 180 km × 220 km) smooth out any local response of cirrus to
508 dehydration.

509 *f. Some global contrail properties*

510 Figure 11 shows the annual mean global cirrus and contrail cover. The mean cirrus cover
511 computed in these simulations in CAM is 40 %. The value of cloud cover depends critically on the
512 method used, and is specified here as a function of assumed probability density function of
513 supersaturation within each grid (Wang and Penner, 2010). The result is roughly consistent with a
514 range of satellite observations of thin and opaque high-level clouds [*Stubenrauch et al.*, 2013]. The
515 mean contrail cover with optical depth $\tau > 0.1$ is nearly 100 times smaller: 0.50 %. Maximum
516 values of up to 12 % are computed for high-traffic regions in North America and Europe. The
517 mean product width × length × τ of all individual contrail segments divided by the Earth
518 surface area is 0.29 %.

519 The global contrail cover estimated in early assessments was below 0.1% [*Sausen et al.*, 1998;
520 *Penner et al.*, 1999]. The computed contrail cover is about 5 times larger than derived from
521 linear contrails in satellite data [*Palikonda et al.*, 2005; *Meyer et al.*, 2007]. More recent
522 observation results are larger [*Minnis et al.*, 2013]. *Burkhardt and Kärcher* [2009] and
523 *Frömming et al.* [2011] show that the computed contrail cover depends strongly on the

524 assumed threshold value of optical depth used to discriminate contrails from clear sky. *Rap et*
525 *al.* [2010b] estimated the global mean annual linear contrail coverage for air traffic of the year
526 2002 to be approximately 0.11 %. *Burkhardt and Kärcher* [2011] reported a contrail cirrus
527 cover for year 2002 of about 0.23 %. *Schumann and Graf* [2013] for year 2006 computed a
528 global mean cover of 0.23 %. The differences of the present study from previous results using
529 CoCiP come mainly from the larger soot number emission index (10^{15} kg^{-1} instead of
530 $\sim 3.5 \times 10^{14} \text{ kg}^{-1}$). For a factor 2 increase of the soot emission index, we computed increases of
531 visible contrail cover by 1.29, contrail age by 1.16, contrail width by 1.22, contrail
532 geometrical depth by 1.14, and net contrail RF of 1.64 [*Schumann et al.*, 2013].

533 As described above, we compute contrail RF defined by the difference in net incoming
534 radiative fluxes at top of the atmosphere with and without contrails. The longwave (LW) part
535 of this RF is always positive and warming, the shortwave (SW) part is negative and cooling,
536 the net effect (sum of LW and SW RF) is often small compared to the LW forcing, and may
537 be positive or negative locally. The global RF distribution is shown in Figure 12. The net RF
538 reaches maximum values of more than 1 W m^{-2} locally over North America and Europe. The
539 mean values are $0.584 \pm 0.045 \text{ W m}^{-2}$ over mid Europe (10°W - 20°E , 40°N - 55°N) and
540 $0.410 \pm 0.018 \text{ W m}^{-2}$ over continental USA (65°W - 130°W , 25°N - 55°N). For run 1, CoCiP
541 computes a global mean net RF of 0.063 W m^{-2} (LW: 0.14 W m^{-2} , SW: -0.08 W m^{-2}). The
542 annual mean net RF is positive everywhere on the globe. The global mean LW RF value is 12
543 % larger than computed by CoCiP with ECMWF data [*Schumann and Graf*, 2013], mainly
544 because of the larger soot emission index.

545 The computed RF values are far larger than those estimated previously for linear contrails
546 [*Minnis et al.*, 1999; *Rap et al.*, 2010b; *Frömming et al.*, 2011; *Yi et al.*, 2012; *Chen and*
547 *Gottelman*, 2013; *Spangenberg et al.*, 2013], 5 times larger than the value estimated for
548 contrail cirrus for the same traffic by *Chen and Gottelman* [2013], and nearly double the value
549 estimated with a global contrail cirrus model for traffic of the year 2002 by *Burkhardt and*
550 *Kärcher* [2011].

551 As indicated, some of the comparisons point to possible overestimates of contrail cover and
552 optical thickness by CoCiP. This would imply overestimates of SW and LW RF. As in
553 previous CoCiP studies, the magnitude of the computed SW/LW ratio is quite large (0.56).
554 This SW/LW ratio varies between 0.2 and 0.8 in the literature [*Haywood et al.*, 2009; *Myhre*
555 *et al.*, 2009; *Yi et al.*, 2012; *Minnis et al.*, 2013; *Schumann and Graf*, 2013; *Vázquez-Navarro*
556 *et al.*, 2015]. The ratio may get even larger for small ice particles and higher contrail

557 temperatures [Meerkötter *et al.*, 1999; Zhang *et al.*, 1999]. For fixed LW RF, a smaller
558 SW/LW ratio would imply a larger net RF value. Besides on contrail life times and diurnal
559 variations, the RF values depend on the radiances without contrails, cloud temperatures, ice
560 water path, optical ice particle properties, cloud overlap, and 3-D effects [Meerkötter *et al.*,
561 1999; Markowicz and Witek, 2011; De Leon *et al.*, 2012; Forster *et al.*, 2012; Yi *et al.*, 2012].
562 Hence, the net RF may be both larger and smaller than 0.06 W m^{-2} . Correct modelling of the
563 optical properties may be more important than correct modelling of humidity exchange. Note
564 that the reported net RF includes only the contrail effects. Contributions from dehydration in
565 CAM are discussed below.

566 **3.1.2 Impact of changed background meteorology on contrail properties**

567 Figure 13 depicts the annual and zonal mean emissions of water from aircraft engines into the
568 atmosphere, either directly (EA) or into contrails (EC). The figure also depicts the water
569 released from contrails, CA. As explained above, the contrails take water from engine
570 emissions and from background humidity in ice-supersaturated air masses (negative CA) and
571 release water when sublimating in subsaturated air (positive CA). Since the amount of H_2O
572 taken from ambient air is far larger than the emission, we find negative “emissions” in the CA
573 field of H_2O at flight levels, and large positive values further down. The negative CA at flight
574 levels in the upper troposphere implies dehydration and the positive CA lower down implies
575 hydration contributions. For steady climate, the annual mean of CA becomes equal to EC, the
576 amount of H_2O entering young contrails. Here the total budget is the result of the uptake of
577 water by contrail minus the release and these exchanges are far larger than the net emissions.

578 The H_2O mass inventory in contrails amounts to 32 Tg for run 1, which is large; it
579 corresponds to 14 % of the annual aviation H_2O mass emissions. The young contrails (age <
580 0.5 h) contain 2.5 % of this mass (Table 3). The total ice mass content in all young contrails at
581 a given time is 7.4×10^8 kg. Chen and Gettelman [2013] estimated this to be about 1×10^7 kg;
582 the large difference may explain different RF values. The mean emission altitude from
583 engines into atmosphere z is derived from an integral $z_{\text{EC}} = \int z \, dm / \int dm$, where dm is the local
584 EC mass contribution. The value z_{EC} defines a mean contrail formation altitude. This altitude
585 is 10.9 km in run 1. The corresponding altitude of water release to the atmosphere z_{CA} is 700
586 m lower. For a mean contrail age of 2 h, this corresponds to a mean fall speed of 0.1 m s^{-1} ,
587 which appears reasonable for the particle sizes computed. Perhaps the fall time has to be
588 added to the time of contrail formation and spreading to obtain the time scale of cirrus

589 changes, so that the total time-scale may reach half a day. This may further explain why the
590 semi-diurnal cycle in the NAR does not show up in the CAM results in Figure 10.

591 Contrail formation reduces ambient humidity locally (Figure 1) with the consequence of
592 getting fewer or thinner contrails (Figure 2), which are slightly longer living (Figure 4).

593 Contrail ice particle sedimentation brings humidity to lower levels. Even without
594 sedimentation, contrails in subsiding air sublimate at lower levels. Contrails in rising air
595 masses occur often because relative humidity increases from adiabatic cooling. Hence, some
596 hydration occurs at higher levels but does not show up in the longitudinal mean values.

597 The effect of humidity exchange on contrails and the background atmosphere can be
598 quantified by comparing mean results of runs 0 and 1, see Table 2. The contrails in the
599 coupled model run 1 have 5 % more ice particles, but 29 % less ice water content, and 23 %
600 smaller effective radius than in run 0. The total H₂O mass inventory changes by 39 %. So the
601 coupling effect is important. The contrails have 14 % lower optical depth and 5 % larger age.
602 They live longer because the smaller ice particles sediment more slowly. The change in the
603 net radiative forcing, from ~ 0.07 to ~ 0.06 W m⁻², is comparably small, about 14 %.

604 **3. 2 CAM Results**

605 **3.2.1 Normal Traffic Emissions**

606 The redistribution of water by contrails in the atmosphere should have strongest effects on
607 humidity in the background atmosphere at northern mid-latitudes, where most contrails form.
608 For normal traffic, the CAM results show only small changes. The run 1 – 0 differences are
609 small compared to the interannual variability in the atmosphere, see Figure 14. In order to
610 understand this, we estimate the order of magnitude of the source rate required to cause an
611 appreciable change in background humidity. A background humidity mass concentration of
612 order 100 ppm and a life time of order 10 days (a 1 month life time cannot be excluded
613 [*Forster et al.*, 2003]) corresponds to a background humidity source of order 100 ppm/10 d \cong
614 10^{-10} s⁻¹ or 3×10^{-4} /month. In the zonal and annual mean (Figure 12), the source rates from
615 contrail sublimation (CA) amounts to 10^{-5} month⁻¹ at maximum. Hence, the humidity
616 contributions from contrails are more than a factor of 30 smaller in magnitude than natural
617 water sources, apparently too small to be visible in 30-year climate mean values.

618 Radiative forcing should respond strongly to humidity and cloud changes in the troposphere
619 and the lower stratosphere [*Chen et al.*, 2000; *Riese et al.*, 2012]. Figure 15 shows the RF
620 computed from the difference in run 1-run 0. The interannual RF standard deviations are 0.2-

621 0.3 W m^{-2} . The interannual changes are smaller than the variability of top-of-the atmosphere
622 radiances derived from satellites and from atmospheric-ocean climate models [Kato, 2009;
623 Stephens *et al.*, 2015] and similar to the variability in CAM5 [Zhou and Penner, 2014], but far
624 larger than the variability ($<0.1 \text{ W m}^{-2}$) of nudged models [Chen and Gettelman, 2013].
625 Assuming $N-2$ independent results from $N=30$ years of simulations, the standard error is $\sqrt{28}$
626 smaller, about 0.05 W m^{-2} . Hence, the mean LW RF is practically zero, and the SW and net
627 RF values are mostly positive, but only weakly significant. A positive net RF could not be
628 explained with reduced cirrus clouds [Burkhardt and Kärcher, 2011].

629 The annual mean RF values vary from year to year and show significant correlations with
630 other annual and global mean diagnostics from CAM. Figure 16 shows strong correlations of
631 RF with liquid water path and with low-level cloud cover. For SW RF, the correlation with
632 low cloud cover is stronger than with high-level cloud cover. Hence, the interannual variability
633 in RF appears to be linked mainly to the variability in low-level cloudiness.

634 **3.2.2 Enhanced Traffic Emissions**

635 In order to increase the signal-to-noise ratio in the CAM simulations, we consider run 2 with
636 100 times enhanced traffic emissions. The increased traffic emissions are implemented in
637 CoCiP using the same number of flights but 100 times larger fuel consumption, implying 100
638 times larger water mass and soot number emissions. This causes large changes in the contrail
639 properties, see Table 4. We see 94 times larger number of ice particles per unit length, and 6
640 times larger ice particle number volume concentration, but 60 % less specific ice water
641 content. Hence, as expected, e.g., from Unterstrasser and Gierens [2010a], the increased soot
642 emission causes far more contrail ice particles while the enhanced water emissions are less
643 important. Moreover, CoCiP computes doubled mean contrail life time, 4 times larger optical
644 depth, 8 times more contrail cover, and about 14 times larger net contrail RF.

645 CAM does not see the soot but sees changes in water emissions CA (with a small contribution
646 from EA). CoCiP computes about ten times larger contrail ice water mass inventory, and
647 about the same sedimentation depth. Figure 13 (lower panels) shows the distributions of the
648 effective emissions CA for runs 1 and 2. We find similar distributions with about 10 times
649 larger CA values in run 2. The ratios of the maximum, minimum, and global mean rms values
650 of CA in runs 2 and 1 are 12.4, 9.8 and 12.9, respectively. Hence, the water inventory and the
651 exchange between contrails and background atmosphere in run 2 is about ten times larger than
652 in run 1.

653 Figure 14 shows that the mean humidity profile responds to the changed water exchange
654 significantly. The contrails cause a global dehydration mainly of the tropopause region
655 (including the lower stratosphere) and a local increase of humidity in the mid troposphere
656 below the main flight levels at Northern mid-latitudes. The global mean humidity is
657 decreasing. Hence, the redistribution of humidity by contrails changes the entire hydrological
658 cycle.

659 Figure 17 plots the RF of dehydration derived by CAM from run 1 - 0 differences as a
660 function of contrail ice water inventory, which is used as a measure for the change in water
661 exchange CA. The mean values are compared in Table 5. For run 2, the RF values are
662 computed from one-year mean of run 2 and 30 annual mean values of run 0. The standard
663 deviation from 30 years of run 2 might be a factor of $\sqrt{2}$ larger.

664 The mean SW and LW RF results are significant at the 95 % level for enhanced fuel
665 consumption. SW RF is positive in this case, suggesting that dehydration reduces cloud cover,
666 both in the upper and lower atmosphere, causing lower Earth albedo and, hence, warming the
667 atmosphere. LW RF is negative (cooling), which would be consistent with reduced cloud
668 cover and reduced water vapor in the cold tropopause region. The net RF values are small and
669 have different signs in runs 1 and 2.

670 Table 5 shows that dehydration by contrails causes significant changes of CAM mean values
671 for enhanced emissions. We find reduced cloud cover and reduced water path in all phases.
672 All of these changes are consistent with a causal impact of humidity redistribution by
673 contrails on the hydrological cycle. The results suggest that ice particles sedimenting from
674 contrails transport humidity downwards causing low-level cloud changes. The added humidity
675 at lower levels may enhance liquid water content and cloud droplet sizes and, hence,
676 precipitation. The available diagnostics do not allow us to decide whether the Wegener-
677 Findeisen-Bergeron process acts and contributes to ice particle growth from evaporating cloud
678 droplets, thereby enhancing precipitation.

679 Low-level cloud changes by aviation aerosol have been found before [*Righi et al.*, 2013], but
680 such effects from dehydration have not been reported before. The SW plus LW clear sky RF
681 (see Table 5), mainly from reduced water vapor path, is of opposite sign and far larger in
682 magnitude than the RF from aviation water emissions without contrail formation (about 0.001
683 $W m^{-2}$, [*Wilcox et al.*, 2012]), even when scaling the run 2 values by factors 10 to 100.

684 Interpolating linearly in the ice mass inventories (Figure 17) suggests that the magnitudes of
685 the SW and LW RF components of the dehydration effects for nominal traffic are about 0.04

686 W m^{-2} . Because of the different signs of the SW and LW contributions, the net RF from
687 dehydration is smaller, and not much different from the -0.007 W m^{-2} result estimated by
688 *Burkhardt and Kärcher* [2011]. Hence, the dehydration may reduce the RF from contrails, but
689 slightly. Our best estimate for the total net RF stays within the range $0.04\text{-}0.08 \text{ W m}^{-2}$
690 estimated earlier [*Schumann and Graf*, 2013].
691

692 **4 Conclusions**

693 This paper studied the effects of contrails from aviation on the redistribution of humidity in
694 the atmosphere. For this purpose, we coupled the contrail model CoCiP with the climate
695 model CAM3+/IMPACT (CAM). The contrail model simulates all the individual contrails
696 forming from global air traffic for meteorological conditions as defined by the climate model.
697 The climate model simulates aerosol-cloud processes in the global atmosphere. The coupled
698 model simulates the exchange of humidity between background atmosphere and contrails and
699 the resultant changes in the atmosphere, including cloudiness and the atmospheric part of the
700 hydrological cycle. The results are from two major model runs with and without contrail
701 water exchange, running hourly over 30 years. In addition, the coupled model was run with
702 enhanced air traffic emissions for one year.

703 The major findings are as follows:

- 704 • The mean contrail ensemble properties are as expected from present understanding
705 and consistent with available observations.
- 706 • The computed optical depth values are close to those observed by lidar and satellites
707 from space.
- 708 • In agreement with previous studies, the optical properties of the contrails are strongly
709 linked to ice particle sedimentation in ice supersaturated air.
- 710 • In the coupled model, contrail water content may be 10^3 to 10^6 times larger than the
711 amount of H_2O emitted. About 3000 contrail segments are active at any time on average.
- 712 • Contrail growth causes dehydration at flight levels, the large ice particles sediment, on
713 average by 700 m, eventually sublimate and hydrate the atmosphere at lower levels. In rising
714 air masses, hydration occurs locally at higher levels.
- 715 • The drying at flight levels changes mean contrail properties by +5 to -30 %: Contrails
716 become thinner and with larger mean age. Net contrail RF is reduced by ~15 % from ~0.07 to
717 ~0.06 $W m^{-2}$.
- 718 • The model simulates a diurnal cycle of cirrus properties in the North Atlantic which
719 reflects the diurnal cycle of air traffic in that region and which is close to that observed by
720 satellites. Dehydration-driven diurnal-cycle cirrus-changes in the global model were not
721 detectable.

722 • The total dehydration RF is too small to be computed for nominal emissions because
723 of climate noise in the freely running atmosphere climate model (interannual RF standard
724 deviations about 0.2 W m^{-2}).

725 • Scaling the fuel consumption by 100 shows significant changes. The contrails respond
726 strongly to the increases in soot emissions causing a larger ice mass inventory in contrails and
727 stronger water exchange between contrails and the background atmosphere. The larger
728 contrail water exchange drives significant mean dehydration effects in the global atmosphere.

729 • Based on these simulations, the redistribution of water by contrails causes negative
730 LW RF because of reduced humidity near the tropopause (opposite sign and far larger than
731 RF from aviation water emissions without contrails) and positive SW from reduced cloud
732 cover, with magnitudes for normal traffic likely less than $\pm 0.04 \text{ W m}^{-2}$. The net dehydration
733 effect is estimated to be about -0.01 W m^{-2} . The sum of contrail and dehydration net RF stays
734 within the range $0.04\text{-}0.08 \text{ W m}^{-2}$ derived for contrail cirrus from earlier studies.

735 • In the global model, dehydration impacts the entire hydrological system, including
736 high and low-level clouds. Both liquid and ice water paths and cloud cover of low and high-
737 level clouds are reduced.

738 The quantitative results are sensitive to model details. For example, the sedimentation is only
739 crudely simulated with CoCiP because the details depend on the particle size spectrum which
740 is not resolved in CoCiP. Possibly, the simulated contrails are slightly thicker than expected
741 from the observations. Thinner contrails would appear, e.g., for a smaller effective soot
742 emission index. As a whole, the comparisons with observations show that the coupled model
743 provides results in reasonable agreement with observations. This is a positive indicator not
744 only for the quality of CoCiP but also the quality of the input fields provided by CAM, in
745 particular with respect to ice supersaturation which is crucial to the prediction of long-lived
746 contrails.

747 This paper discussed the effects of water exchange between contrails and ambient air. Aircraft
748 aerosols from aircraft engines emissions, possibly changed in contrails, may also impact the
749 entire hydrological cycle, and might be studied with an extension of this model in the future.

750

751 **Author contribution.** J.P. and U.S. designed research and wrote the paper. U.S., Y.C., C.Z.,
752 and K.G. coded the programs and data analysis and discussed the results.

753

754 **Acknowledgements.** This research was supported by the Federal Aviation Administration
755 (FAA) within the ACCRI project, and by DLR within the DLR-projects CATS and WeCare.
756 J. E. Penner, Y. Chen and C. Zhou also acknowledge funding from National Science
757 Foundation (NSF). Computing resources (ark:/85065/d7wd3xhc) were provided by the
758 Climate Simulation Laboratory at NCAR's Computational and Information Systems
759 Laboratory, sponsored by the NSF and other agencies.

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1043 **Tables**

1044

1045 Table 1. Schematic run specification

Run	Coupling method	Emission amounts	Integration period
0	offline	nominal	30 years
1	online	nominal	30 years
2	online	100 × increased	1 year

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1051 Table 2. Annual and global mean contrail properties from run 0 and 1 with standard
 1052 deviations σ of interannual fluctuations for run 1 and percentage difference relative to run 0.

Parameter	Run 0 Offline	Run 1 Online	σ	Rel. diff/%
Flight fraction with contrail formation	0.158	0.154	0.001	-3
Flight fraction in ice supersaturated air	0.074	0.068	0.001	-8
Number of contrails at a time	2926	2862	53	-2
Relative humidity over ice at contrail formation (%)	119	116	0.5	-4
Contrail optical depth tau in solar range	0.335	0.289	0.002	-14
Cover by contrails with tau>0.1 (%)	0.551	0.505	0.007	-8
Age of contrails (h)	1.9	2.0	0.01	5
Ice crystals in contrails (10^{12} m^{-1})	2.72	2.87	0.02	5
Ice particle number concentration (cm^{-3})	0.388	0.438	0.003	13
Ice water content (mg m^{-3})	10.6	7.5	0.05	-29
Effective radius (μm)	45.4	35.1	0.17	-23
Total H ₂ O mass inventory (Tg)	51.4	31.8	0.5	-38
Sedimentation distance in contrails (km)	0.713	0.734	0.008	3
Contrail RFLW in North Atlantic region (NAR) (W m^{-2})	1.05	0.88	0.06	-16
Contrail radiative forcing, longwave, RFLW (W m^{-2})	0.171	0.143	0.002	-16
Contrail radiative forcing, shortwave, RFSW (W m^{-2})	-0.096	-0.080	0.002	-17
Contrail radiative forcing, net, RFSW+RFLW (W m^{-2})	0.074	0.063	0.001	-14

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1054 Table 3. Contrail properties per length unit in run 1.

parameter	mean	median	mean for age < 0.5 h
H ₂ O mass emission (kg m ⁻¹)	6.56×10 ⁻³	4.80×10 ⁻³	6.34×10 ⁻³
volume (m ³ m ⁻¹)	6.62×10 ⁶	2.01×10 ⁶	1.15×10 ⁵
air mass (kg m ⁻¹)	2.54×10 ⁶	8.02×10 ⁵	4.23×10 ⁴
ice mass (kg m ⁻¹)	4.87×10 ¹	6.08×10 ⁰	1.13×10 ⁰
ice particles, N _{ice} (m ⁻¹)	2.89×10 ¹²	2.21×10 ¹²	3.99×10 ¹²
width (m)	8.14×10 ³	5.00×10 ³	7.92×10 ²
S=N _{ice} π r _{area} ² (m ² m ⁻¹)	1.11×10 ³	4.80×10 ²	1.39×10 ²
optical depth (τ) × width (m)	2.25×10 ³	1.06×10 ³	2.78×10 ²
ratio ice mass/H ₂ O mass emission	1.78×10 ⁶	1.78×10 ³	1.78×10 ²

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1057 Table 4. Change in contrail properties for 100 times larger fuel consumption

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Parameter	Run 1 online	Run 2 100×fuel	Ratio runs 2/1
Fuel consumption in contrails (kg/km)	5.33	533	100
Ice crystals (10^{12} m^{-1})	2.87	272	94
Total ice mass inventory (Tg)	31.8	311	9.8
Sedimentation distance (m)	0.734	0.735	1.0
Age (h)	2.00	4.02	2.0
Width (km)	18.1	168	9.3
Effective depth (m)	829	2380	2.9
IWC (mg m^{-3})	7.5	3.1	0.42
Ice particle number concentration (cm^{-3})	0.438	2.70	6.2
Effective radius (μm)	35.1	13.0	0.37
Ice mass content (kg/m)	138	155	1.1
Ice mass content per H_2O emission (1)	21100	2350	0.11
Contrail net RF (W m^{-2})	0.063	0.87	13.81
Cover of contrails with $\tau > 0.1$ (%)	0.505	3.88	7.68
Optical depth of contrails with $\tau > 0.1$ (1)	0.367	1.375	3.75

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1064 Table 5. Annual and global mean CAM results for normal (run 1) and 100×fuel (run 2), with
 1065 standard deviations of interannual variability (σ).

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Abbreviation	Parameter	Run 1,		Run 2,		Unit
		mean	$\pm\sigma$	mean	$\pm\sigma$	
FSNT	SW net RF	0.077	0.301	0.272	0.190	W m ⁻²
FLNT	LW net RF	-0.007	0.181	-0.449	0.130	W m ⁻²
SWCF	SW cloud forcing	0.076	0.320	0.313	0.204	W m ⁻²
LWCF	LW cloud forcing	-0.017	0.132	-0.211	0.094	W m ⁻²
FSNTC	SW clear sky forcing	0.002	0.092	-0.042	0.062	W m ⁻²
FLNTC	LW clear sky forcing	0.010	0.112	-0.239	0.081	W m ⁻²
LWP	liquid water path	-0.201	0.778	-0.494	0.526	g m ⁻²
IWP	ice water path	-0.001	0.096	-0.186	0.071	g m ⁻²
WVM	water vapor path	0.011	0.086	-0.040	0.067	kg m ⁻²
CLDHGH	high-level cloud cover	-0.033	0.201	-0.642	0.103	%
CLDMED	mid-level cloud clover	-0.037	0.150	-0.241	0.123	%
CLDLOW	low-level cloud cover	-0.024	0.201	-0.365	0.131	%

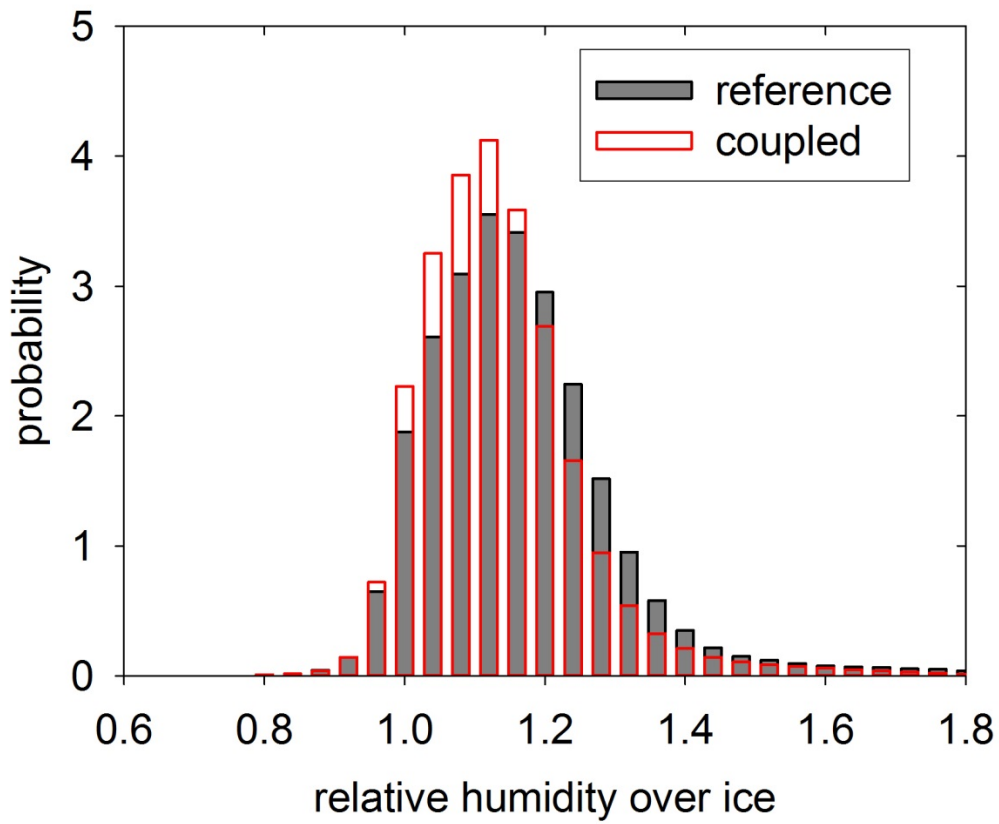
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1070 **Figures**

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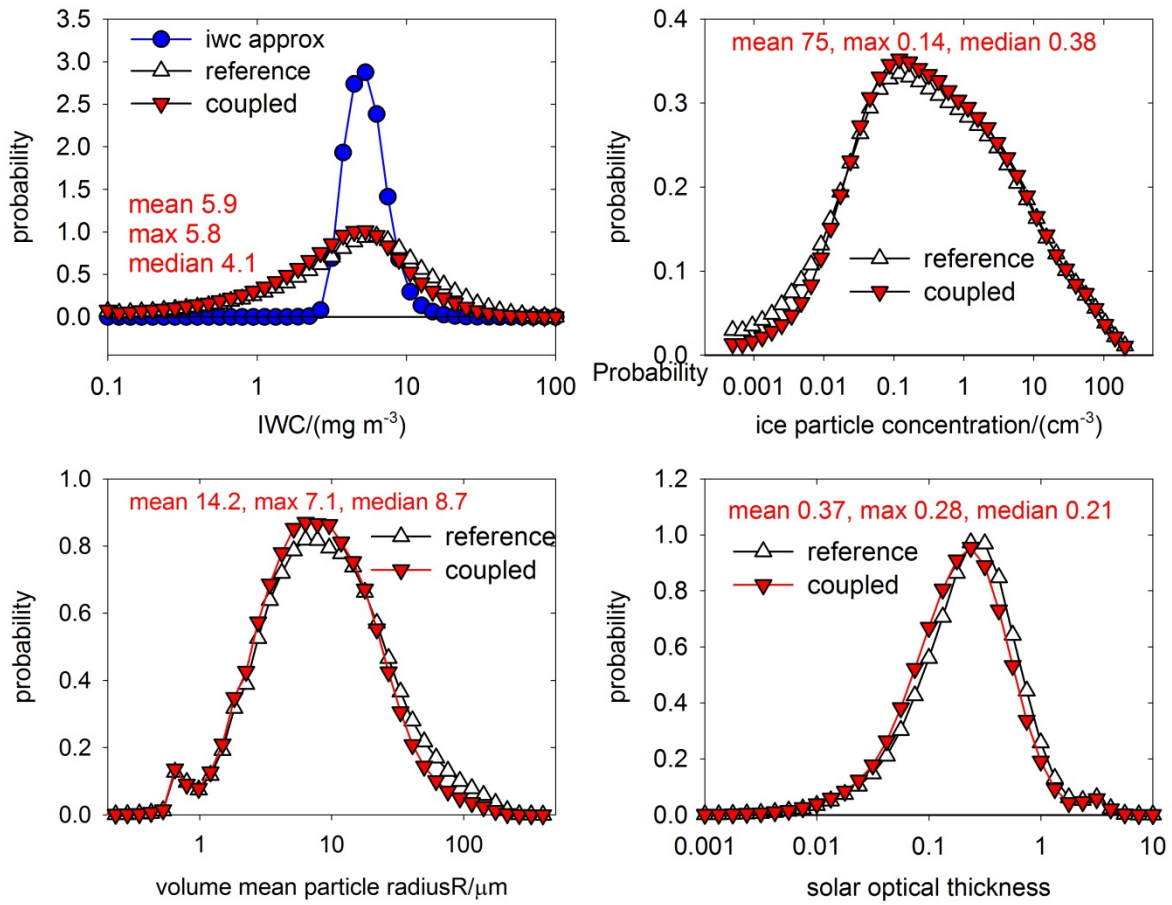
1073

1074 Figure 1. Probability density function (pdf) of relative humidity over ice in the freshly
1075 forming contrail segments without (black: reference case, run 0) and with (red: coupled, run
1076 1) humidity exchange.

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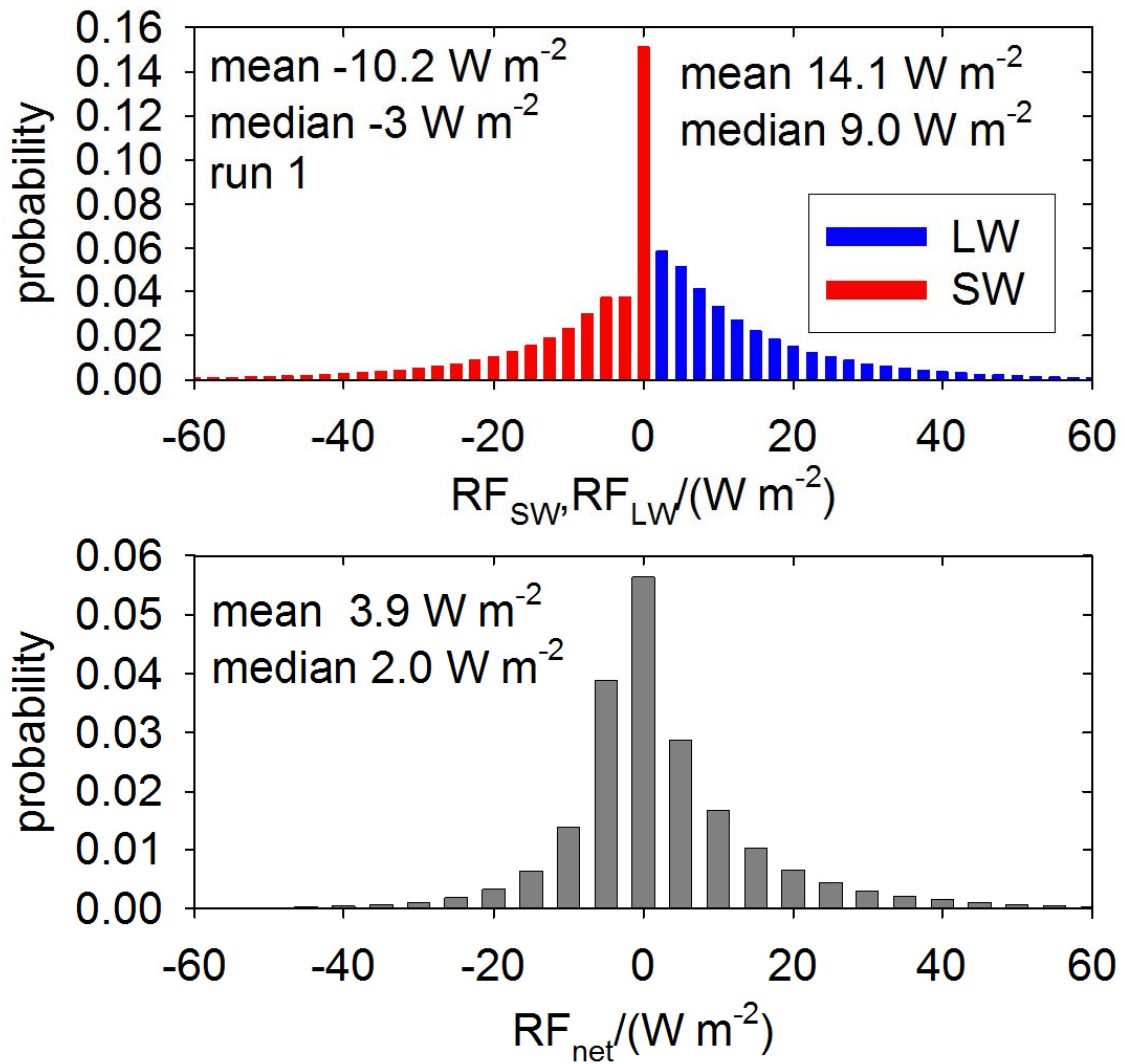


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1082 Figure 2. Pdf of contrail properties from CoCiP-CAM for run 0 (white symbols: reference)
1083 and 1 (red symbols: coupled): ice water content IWC (blue: computed from temperature
1084 [Schumann, 2002]); ice particle concentration n_{ice} , volume mean particle radius r_{vol} , solar
1085 optical depth τ , all in logarithmic scales. Mean, median, and maximum-probability values are
1086 listed for run 1.

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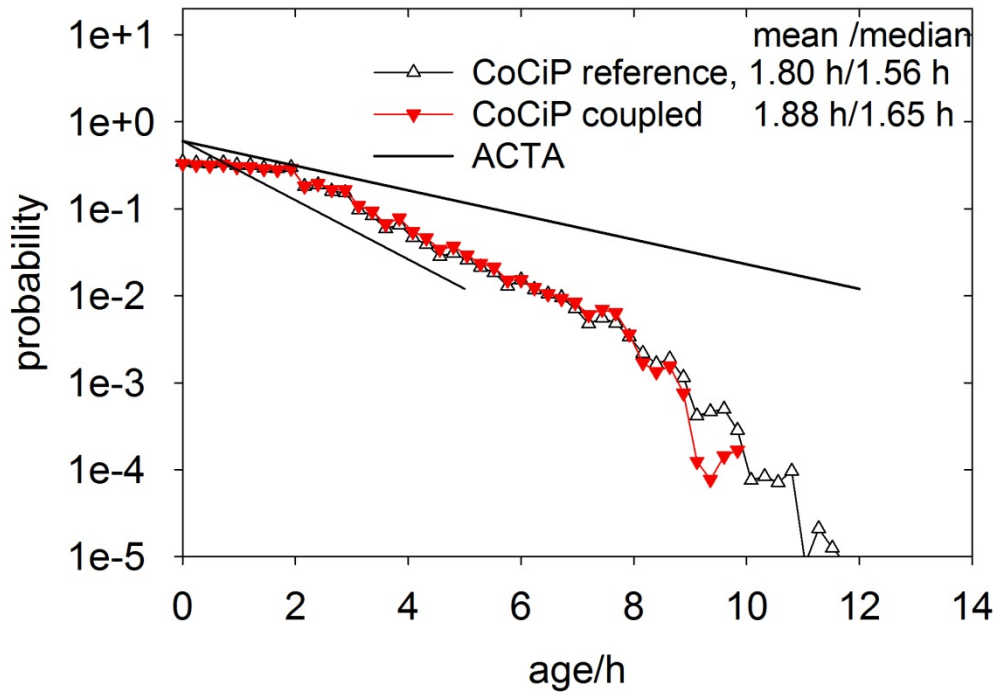


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1089 Figure 3. Pdf of local radiative forcing by contrails in the shortwave (red) and longwave
 1090 (blue) ranges (top), and net RF (bottom).

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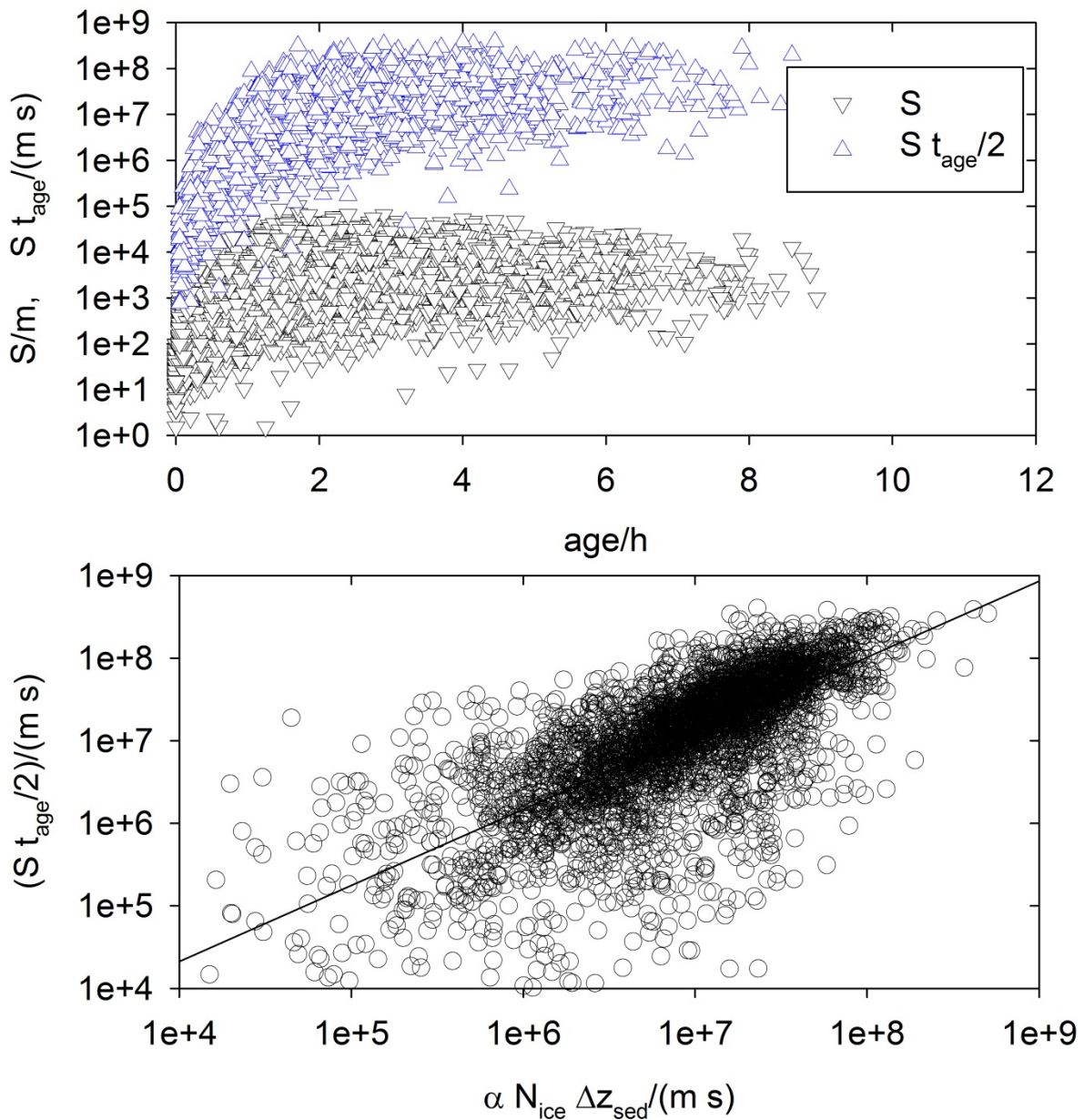
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1094 Figure 4. Pdf of contrail ages. Symbols for CoCiP runs 0 and 1 (significant below about 8 h
 1095 ages), with given mean/median values The straight lines enclose age results for contrails
 1096 tracked with the ACTA algorithm in infrared Meteosat data [Vázquez-Navarro *et al.*, 2015].

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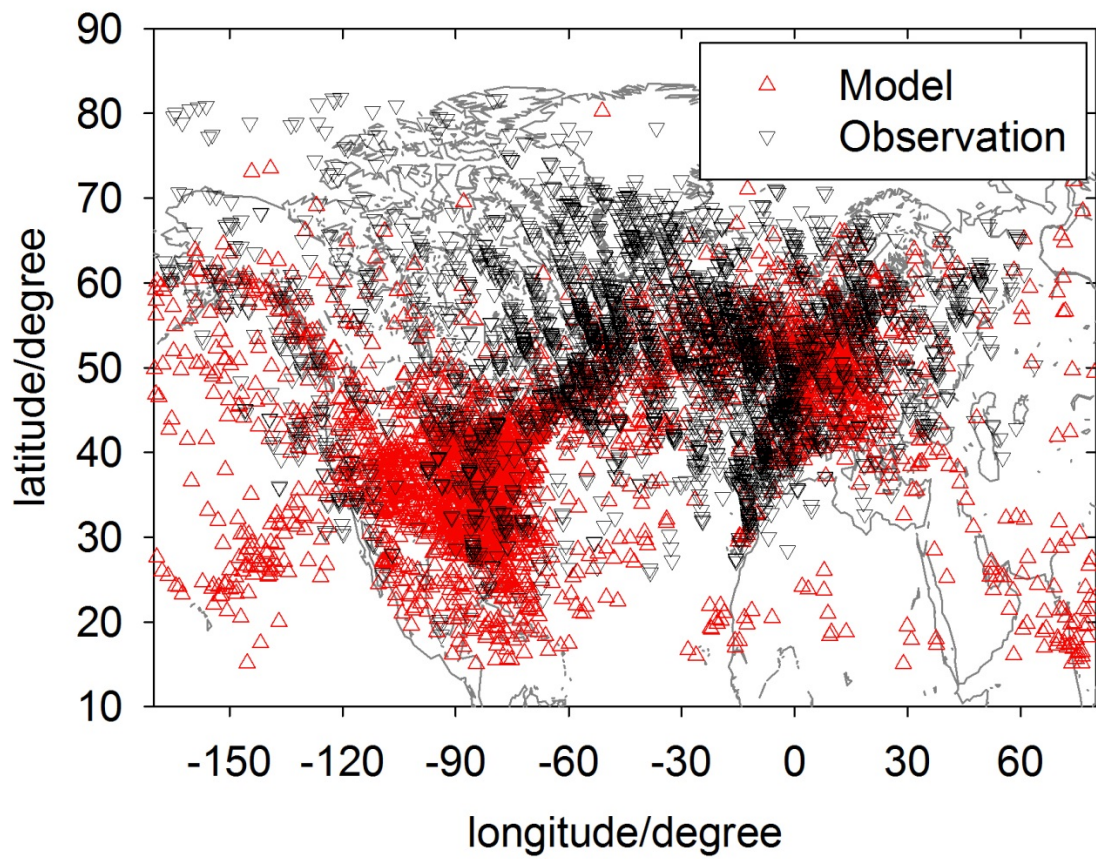


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1100 Figure 5. Ice particle cross section area S per contrail length (unit m^2/m) and its approximated
 1101 time integral $\int S dt \cong S t_{\text{age}}/2$ (in m s) versus plume age t_{age} (top panel) and versus the
 1102 approximating parameter suggested by *Lewellen* [2014] (see text). The line depicts a linear fit.

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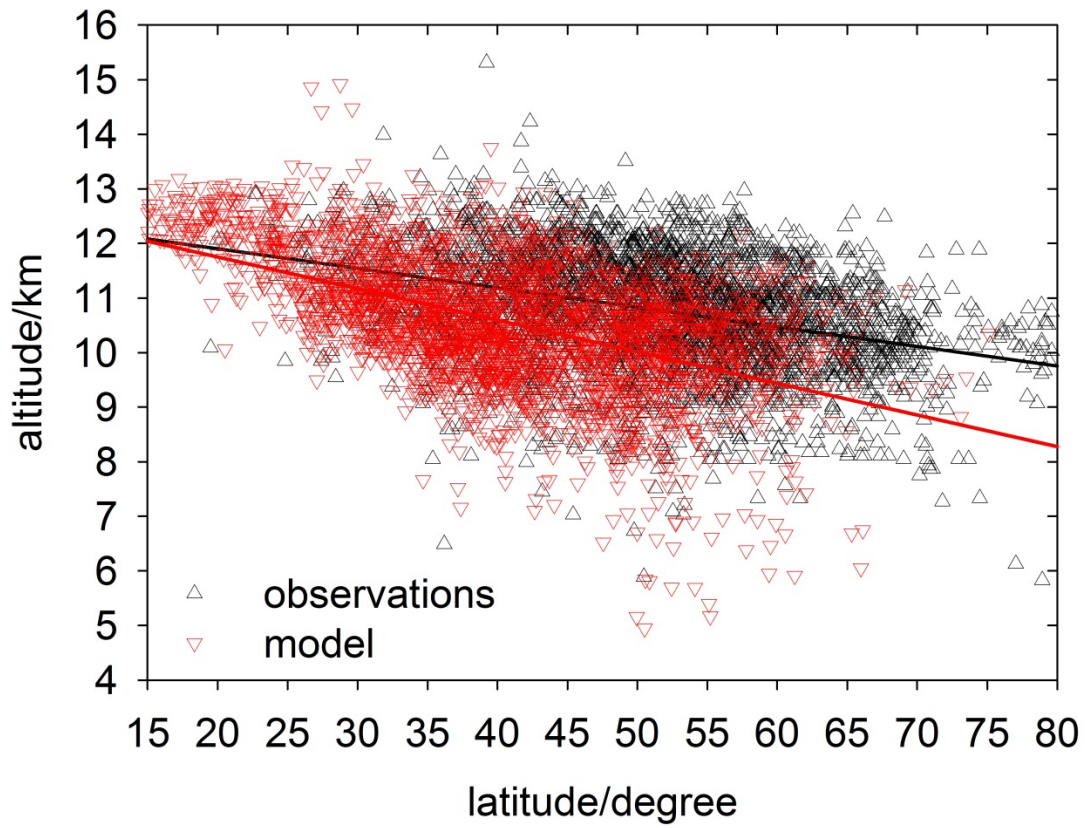


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1106 Figure 6. Contrail occurrence computed with CoCiP-CAM (red upward triangles, run 1) and
 1107 analyzed from MODIS-CALIPSO observations (black downward triangles, data from
 1108 *Iwabuchi et al.* [2012]), for 180°W – 60°E, 15°N– 85°N. The triangles represent single
 1109 contrail events (a small random sub-set of computed contrails is plotted).

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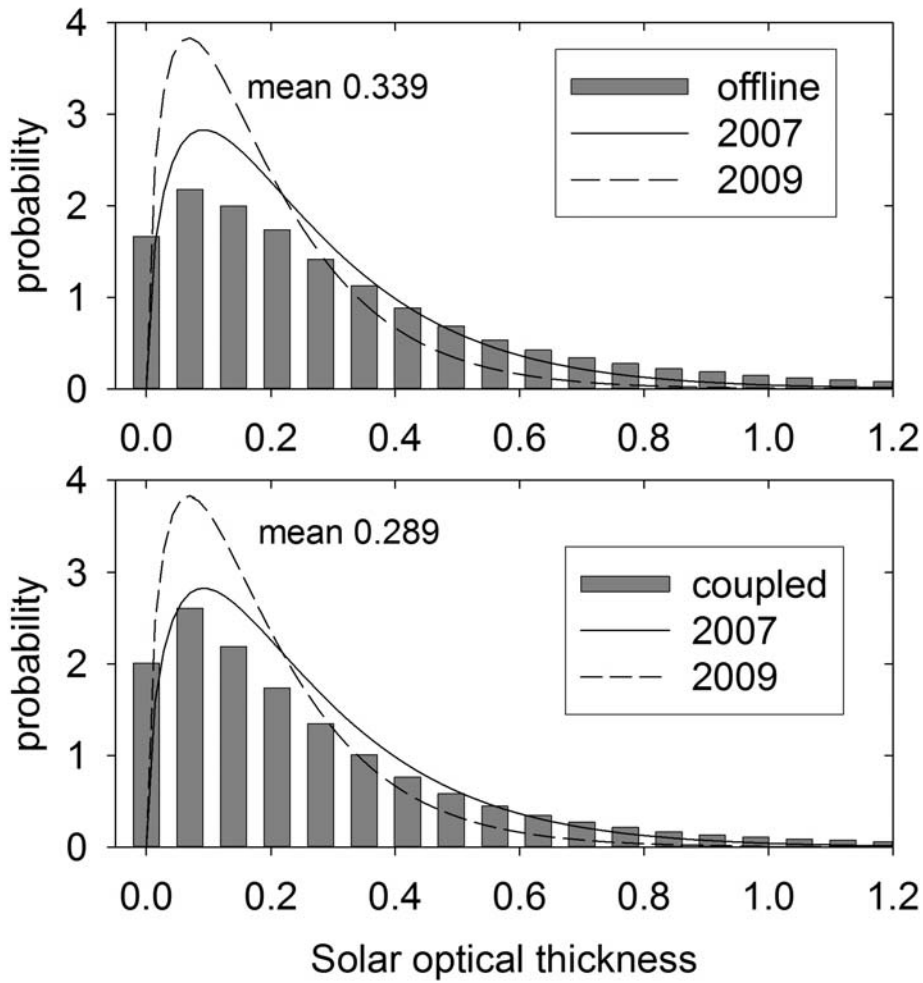
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1113 Figure 7. Contrail occurrence versus latitude as in Figure 6. Red symbols: CoCiP-CAM;
1114 black: MODIS-CALIPSO data from *Iwabuchi et al.* [2012]. The colored lines are linear fits to
1115 the respective data.

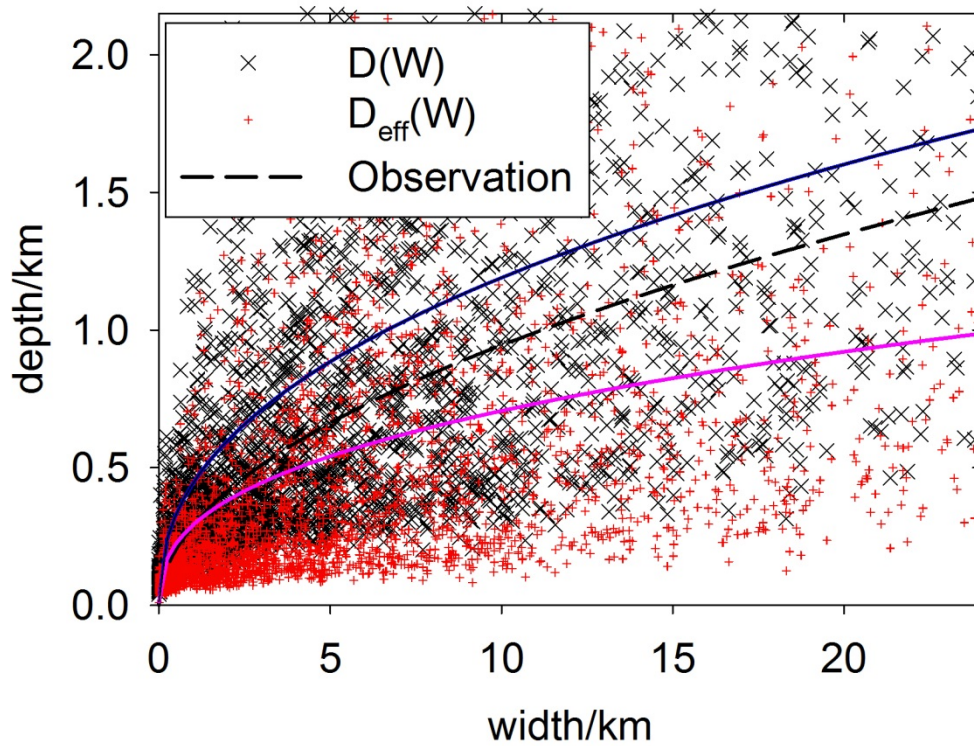
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1119 Figure 8. Pdf of solar optical depth of contrails in CoCiP-CAM simulations. Top: run 0,
 1120 bottom: run 1. The curves in both panels are the same and are Gamma functions
 1121 approximating MODIS-CALIPSO observations in 2007 and 2009 (full and dashed), as
 1122 reported by *Iwabuchi et al.* [2012].

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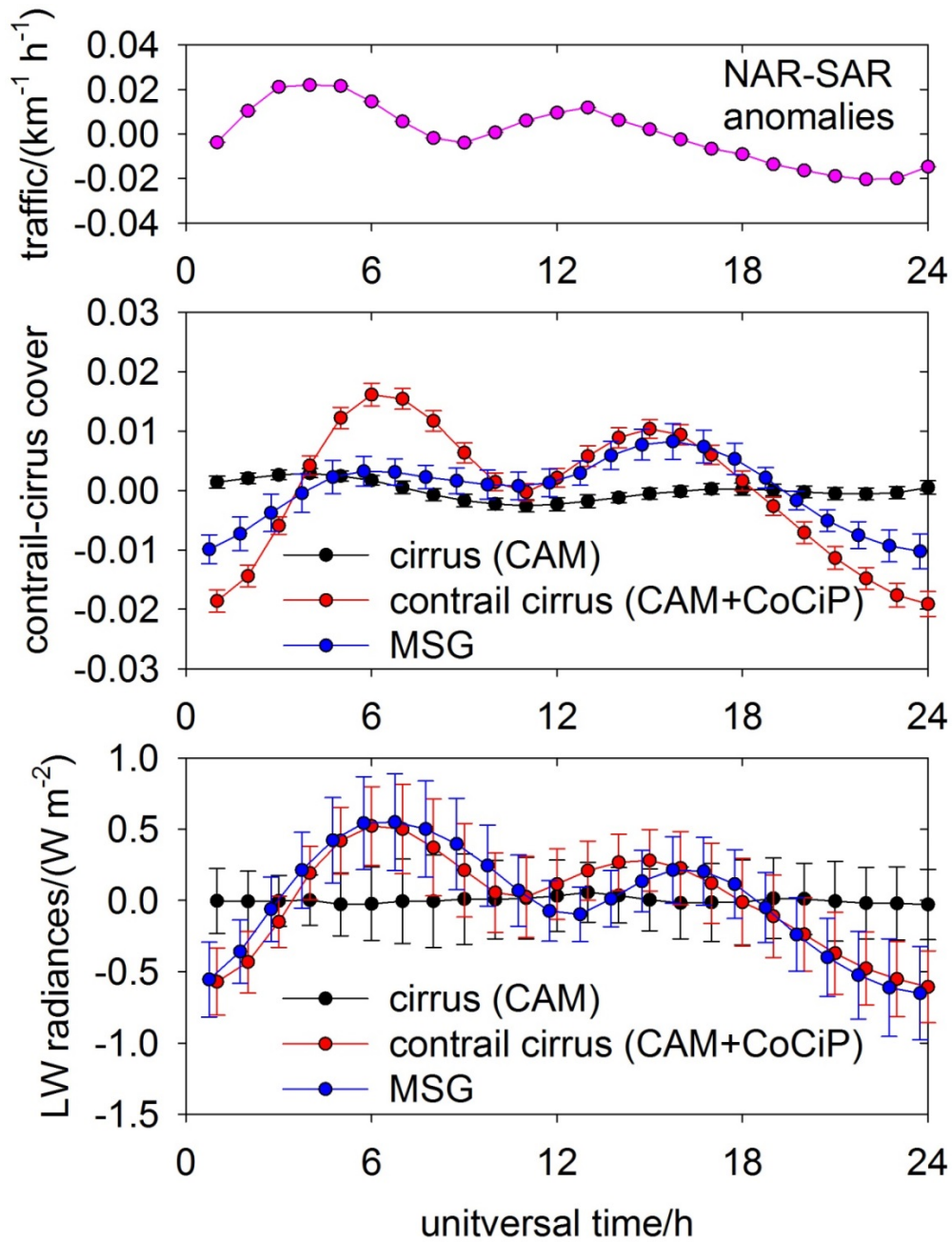


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1126 Figure 9. Contrail Gaussian plume depth D (black), and effective depth D_{eff} (red) versus
 1127 contrail width W from CoCiP/CAM. The crosses show individual contrail results in the
 1128 domain as in Figure 6. The black/red curves show power-law regression results, $D/\text{km}=0.68$
 1129 $(W/\text{km})^{0.373}$, and $D_{\text{eff}}/\text{km} = 0.454 (W/\text{km})^{0.420}$. The black dashed curve is the corresponding
 1130 regression $D/\text{km}= 0.29(W/\text{km})^{0.513}$ as given by *Iwabuchi et al.* [2012].

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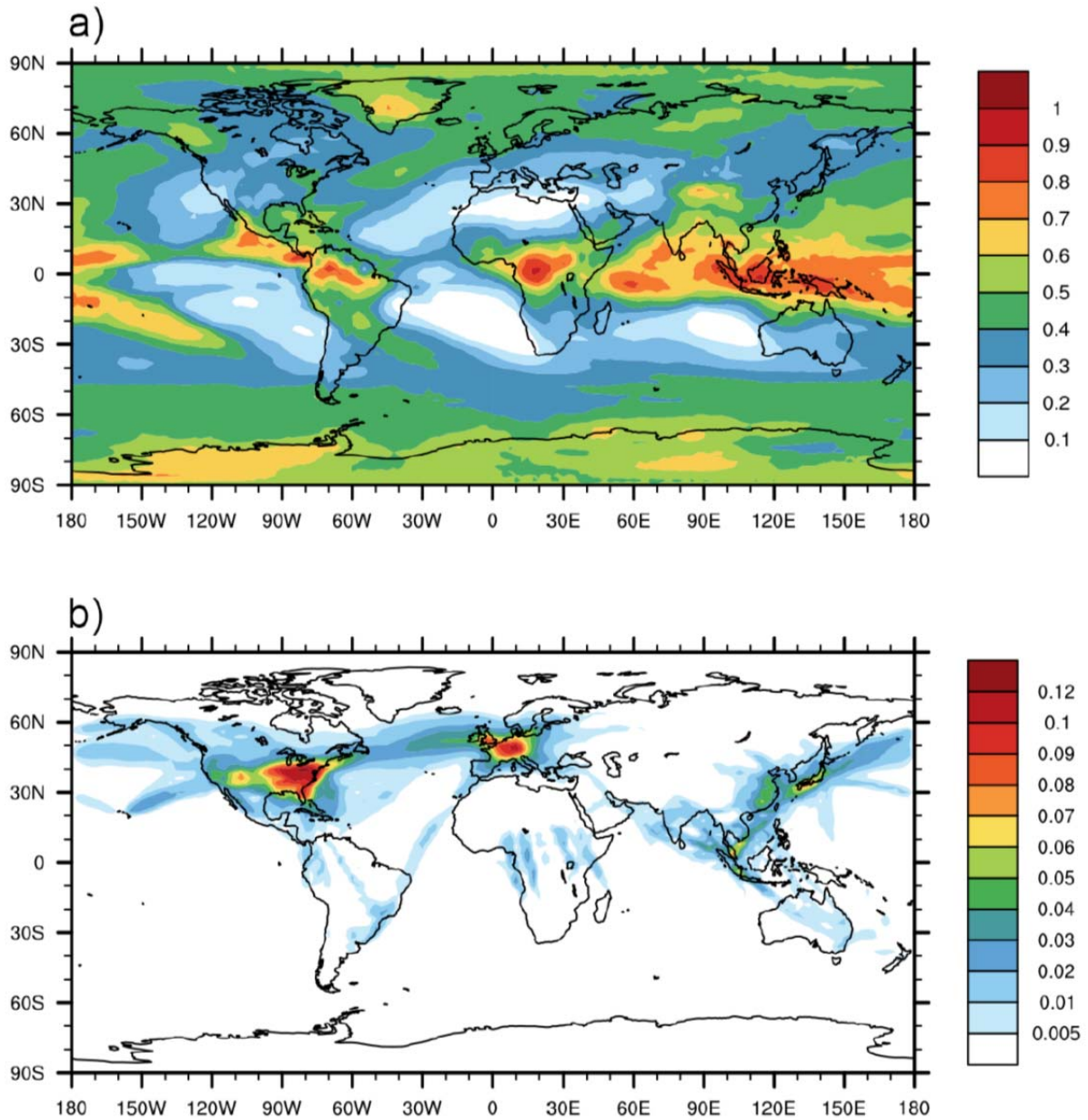
1134

1135 Figure 10. Diurnal cycle of anomalies of differences between a North Atlantic region and a
 1136 South Atlantic region for air traffic density (top panel), cirrus cover (middle), and outgoing
 1137 longwave radiation (bottom), versus universal time of day. The error bars denote the standard
 1138 deviations of annual means. In the two lower panels, black symbols denote CAM results, red
 1139 symbols the sum of CAM and CoCiP contributions, and blue symbols results derived from 8

1140 years of satellite (Meteosat second generation, MSG) infrared observations [*Graf et al.*, 2012;
1141 *Schumann and Graf*, 2013].

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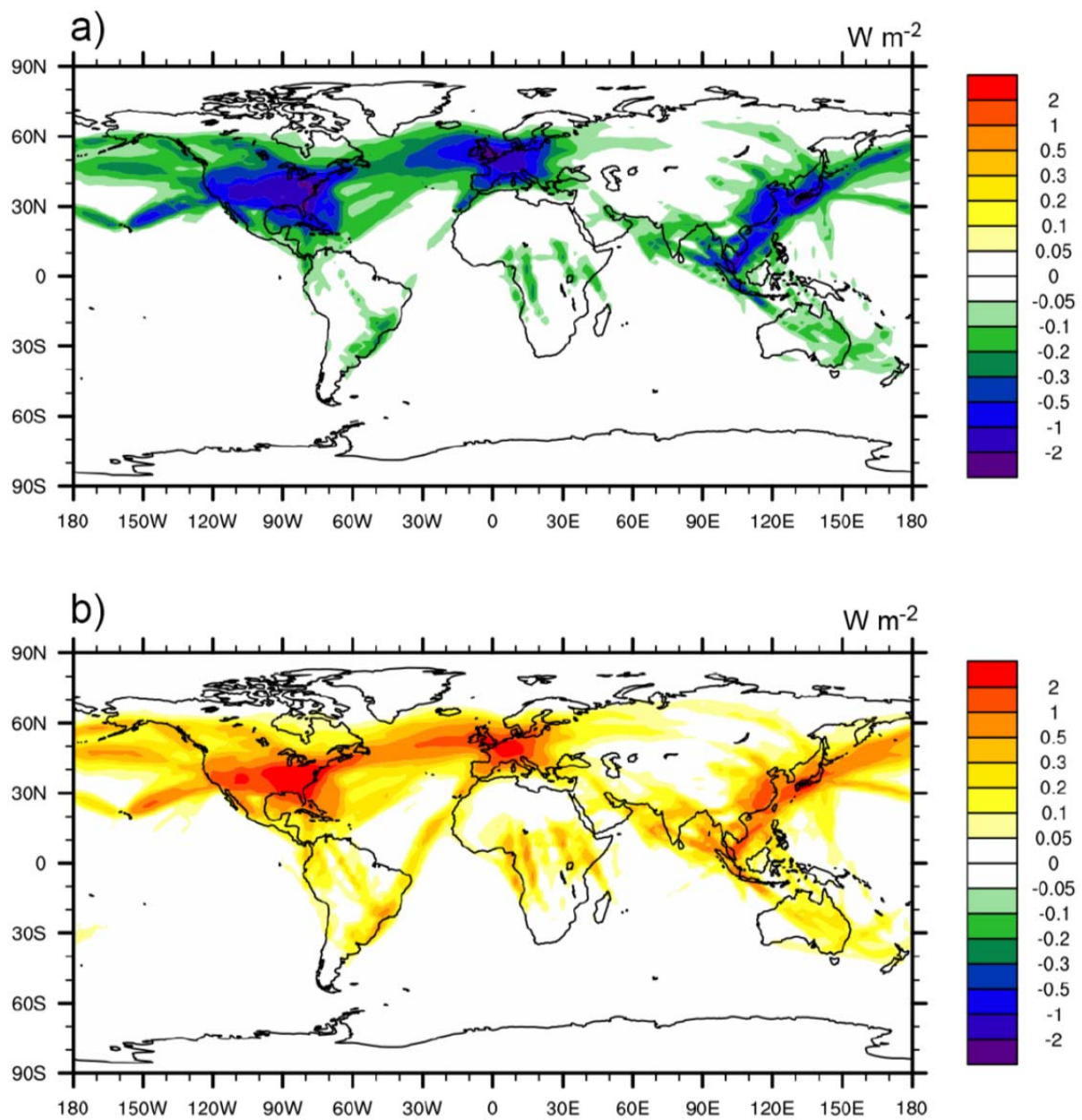


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1146 Figure 11. a) Global map of annual mean cirrus cover (mean 0.40) and b) cover by contrails
1147 exceeding an optical depth (at 550 nm) of 0.1 (mean 0.0050).

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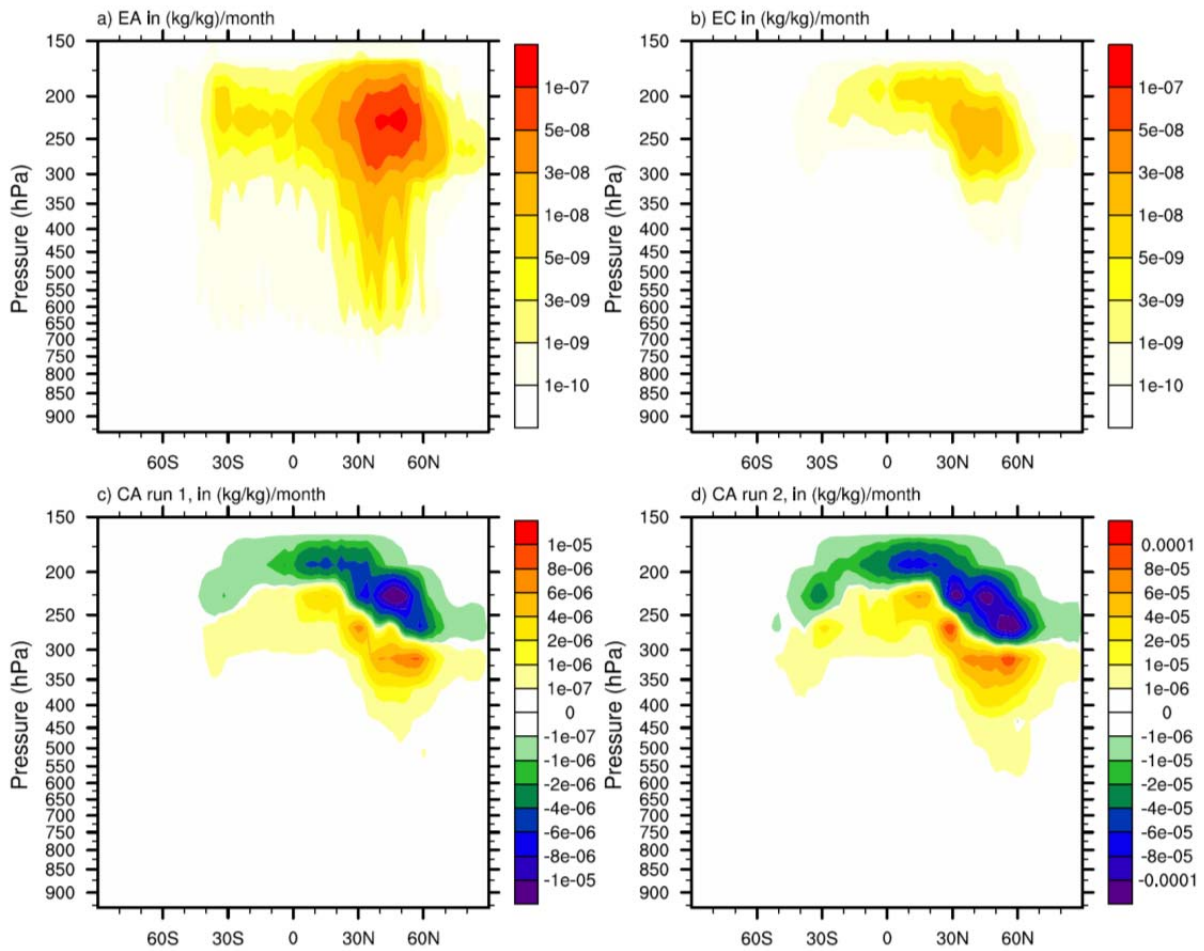
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1152 Figure 12. Global map of annual mean radiative forcing by contrails, a) SW (mean -0.080 W
 1153 m^{-2}), b) LW (mean 0.143 W m^{-2}), in logarithmic color scales.

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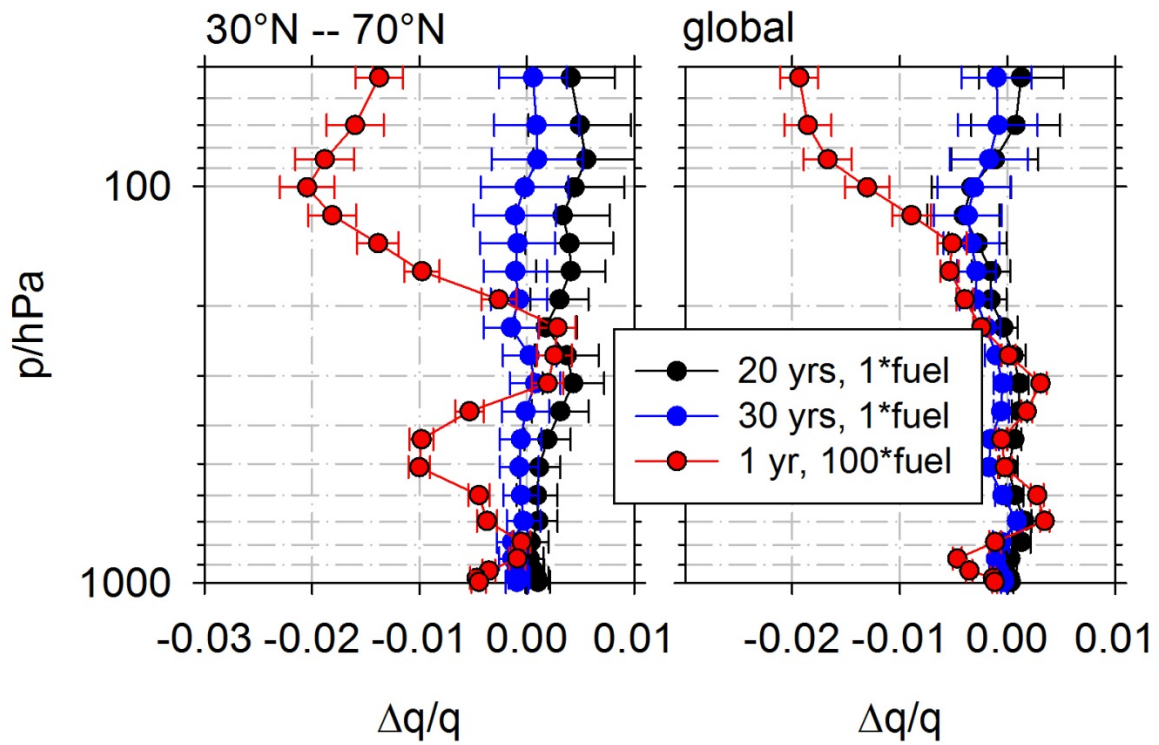


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1157 Figure 13. Zonal and annual mean water emission rates (in units of mass mixing ratio per
1158 time) versus latitude and pressure a) from aircraft engines directly into the free atmosphere
1159 (EA), b) from aircraft engines into contrails (EC), and c) from sublimating contrails into the
1160 atmosphere (CA, negative values mean water deposition on contrail ice), for run 1. Panel d)
1161 shows CA for run 2. Note different scales.

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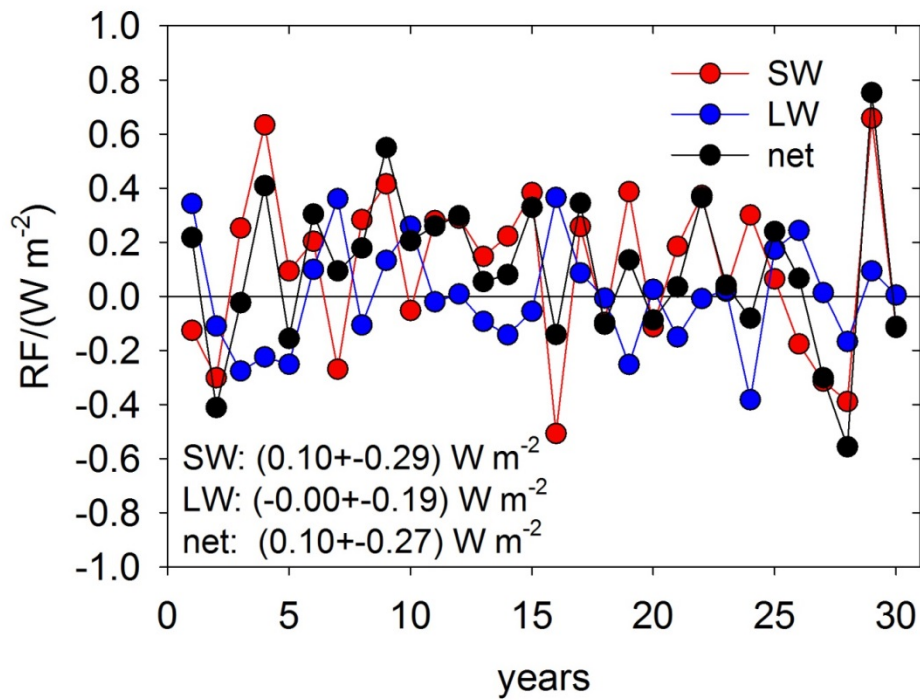
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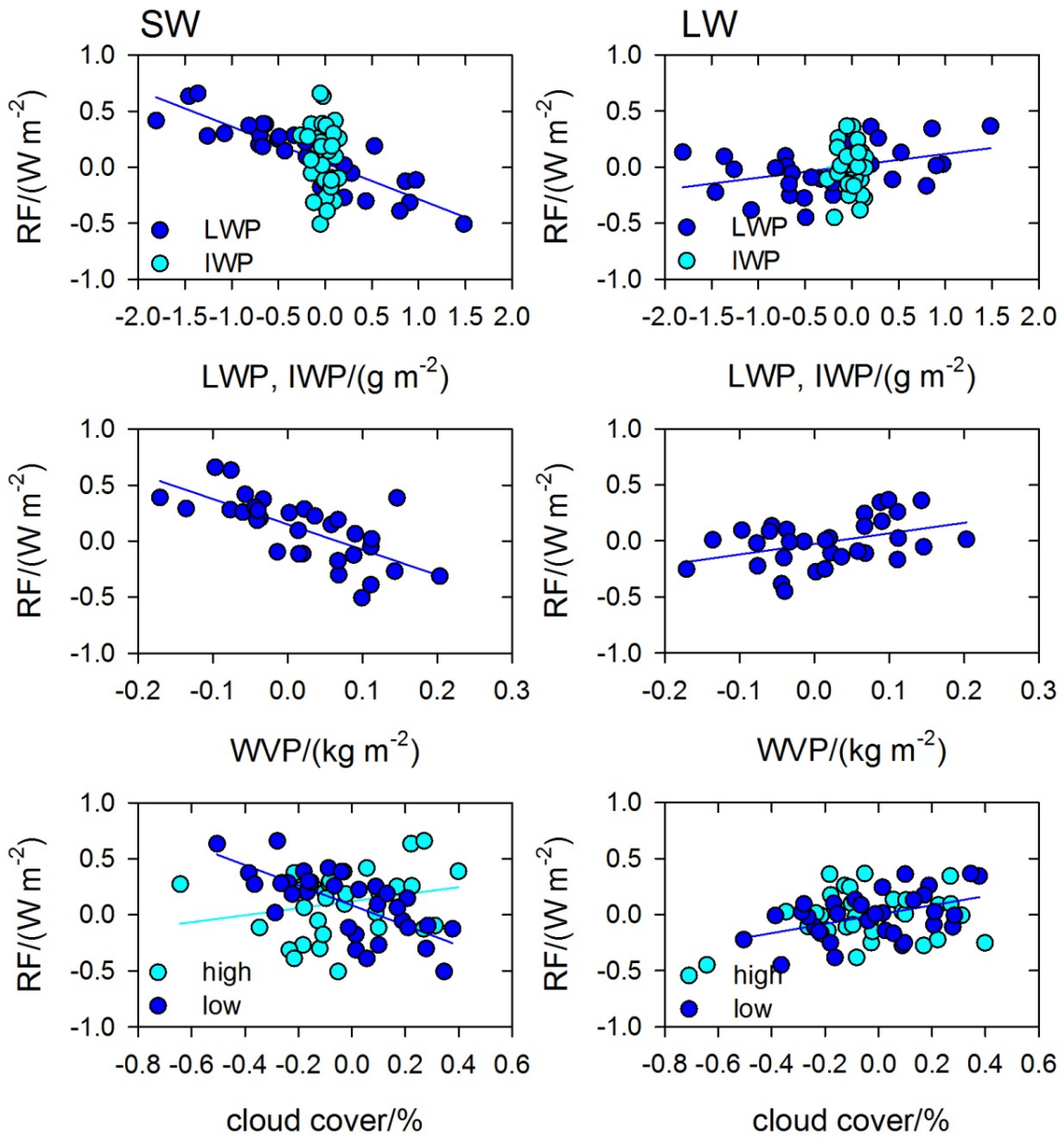
1166 Figure 14. Vertical profiles of changes in normalized absolute humidity ($\Delta q/q$) from
 1167 differences between run 1 or 2 and run 0 averaged over 20 (black), 30 (blue), 1 (red) years for
 1168 the northern mid latitudes (left) and globally (right). Run 1 uses normal traffic, run 2 uses 100
 1169 times increased fuel consumption. In this figure, error bars estimate significance limits from
 1170 the root-mean-square variances divided by $\sqrt{(N-2)}$, where N is the number of years available
 1171 for averaging.

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1175 Figure 15. Annual and global mean shortwave (SW), longwave (LW) and net (SW+LW)
 1176 radiative forcing (RF) from dehydration by contrails, as reflected in CAM by the net top of
 1177 the atmosphere radiance difference run 1-run 0, versus years.



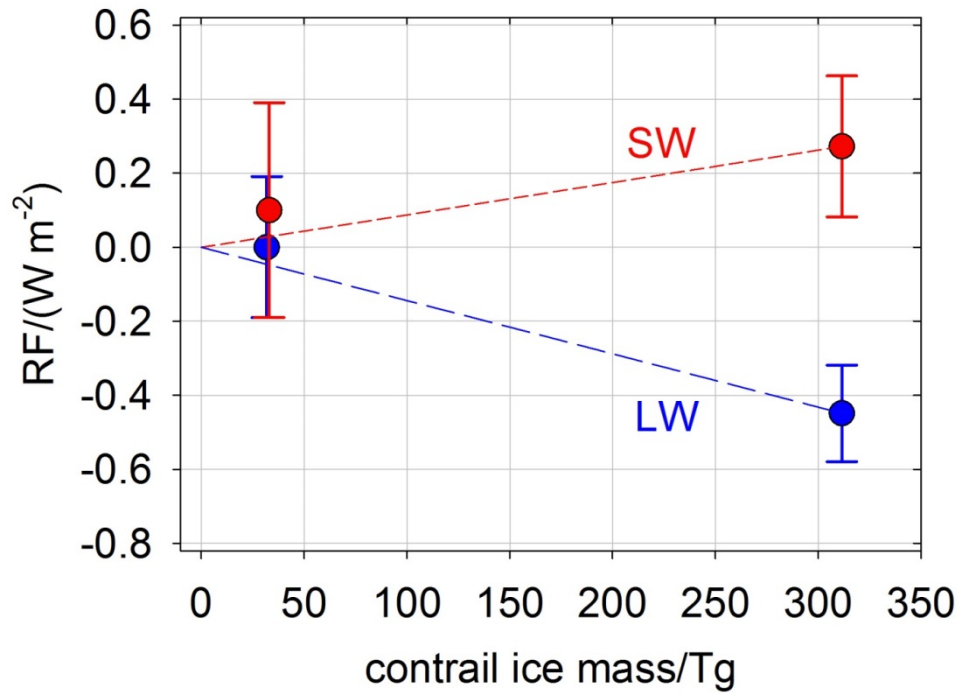
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1181 Figure 16. SW (left panels) and LW (right) RF correlations with liquid and ice water path
 1182 (LWP, IWP), water vapor path (WVP), and high and low-level cloud cover in annual mean
 1183 values of the differences of CAM results in run 1 and run 0.

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1188 Figure 17. SW and LW RF from humidity redistribution by contrails in CAM for nominal
 1189 (run 1 - run 0) or 100 times increased air traffic emissions (run 2 - run 0) as a function of
 1190 global ice mass in contrails. The error bars denote the standard deviations of interannual
 1191 fluctuations; for run 2 these are computed from 30 years of run 0 and one year of run 2
 1192 results. The red/blue lines indicate linear interpolations between zero and SW/LW RF results
 1193 from run 2.