



Lidar measurements  
of aged Saharan dust  
at Barbados

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# Characterization of long-range transported Saharan dust at the Caribbean by dual-wavelength depolarization Raman lidar measurements

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## Abstract

Dual-wavelength Raman and depolarization lidar observations were performed during the SALTRACE campaign at Barbados in June and July 2013 to characterize the optical properties and vertical distribution of long-range transported Saharan dust at the end of its way across the Atlantic Ocean. Four major dust events were studied during the measurements from 15 June to 13 July 2013 with aerosol optical depths of up to 0.6. The vertical aerosol distribution was characterized by a three-layer structure consisting of the boundary layer, the entrainment or mixing layer, and the pure Saharan dust layer. The upper boundary of the pure dust layer reached up to 4.5 km height. The contribution of the pure dust layer was about half of the total AOD. The total dust contribution was about 50–70 % of the total AOD. The lidar ratio within the pure dust layer was found to be wavelength independent with mean values of  $53 \pm 5$  sr at 355 nm and  $56 \pm 7$  sr at 532 nm. For the particle linear depolarization ratio wavelength independent mean values of  $0.26 \pm 0.03$  at 355 nm and  $0.27 \pm 0.01$  at 532 nm have been found.

## 1 Introduction

Aerosol particles play a key role in the Earth's climate system and affect the Earth's radiation budget in two different ways; directly by interacting with solar and terrestrial radiation (scattering and absorption) and indirectly by acting as cloud condensation nuclei and therewith influencing the clouds microphysical and optical properties and the clouds lifetime. Up to now the impact of aerosols on the global climate system is not fully understood (Forster et al., 2007; Penner et al., 2011; Boucher et al., 2013). One main reason is the strong variability of aerosols. The sign and the magnitude of the radiative forcing crucially depends on the vertical distribution of aerosols, their microphysical properties and chemical composition, the reflectance of the underlying surface and the occurrence and amount of clouds (Forster et al., 2007). However, knowledge of the temporal and vertical aerosol distribution on the global scale is limited (Penner

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et al., 2001; IPCC, 2013). Additionally, significant sources of uncertainty result from deficits of satellite-based measurements in the determination of global mean AOD (Su et al., 2013), and from the insufficient knowledge of the impact of mixing, aging processes and transport on the aerosol optical and microphysical properties.

Active remote sensing measurements with lidar systems, provide height resolved information about the distribution of aerosols, and is thus an appropriate tool to study aerosols. Advanced lidar systems like Raman lidar systems (Ansmann et al., 1990, 1992) or high spectral resolution lidar (HSRL) systems (Hair et al., 2008; Esselborn et al., 2008) with polarization sensitive channels (Freudenthaler et al., 2009) provide information about the optical properties of aerosol layers. Spaceborne lidar measurements are an excellent tool to examine the global vertical distribution of aerosols. The current lidar systems applied in space are elastic backscatter lidar systems which have only limited capability to distinguish different types of aerosols. In contrast, on the future ESA satellite mission EarthCARE a polarization sensitive HSRL system will be deployed, having the potential to classify different aerosol types (Burton et al., 2012; Groß et al., 2013, 2015). However, current classification schemes for EarthCARE lidar measurements are mainly based on measurements of pure and fresh aerosol types (Groß et al., 2011a, 2014; Illingworth et al., 2014). But as the optical properties are related to the microphysical properties like particle size, particle shape and chemical composition (Gasteiger et al., 2011b, a), aerosol aging, mixing and modification during transport can have an impact on the lidar derived optical properties, as well as on their wavelength dependence. For example, for measurements of the lidar ratio over Greece Amiridis et al. (2009) found that both, the value and the wavelength dependence of the lidar ratio of biomass burning aerosols may change with aerosol lifetime. Thus, possible changes of the lidar derived optical properties have to be investigated and considered for proper aerosol classification.

Mineral dust is a major component of the atmospheric aerosol (Haywood and Boucher, 2000; Forster et al., 2007) with the Saharan desert being the most important source of mineral dust (Goudie and Middleton, 2001; Washington et al., 2003; Shao

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et al., 2011). Once lifted in the air, mineral dust can be transported over thousands of kilometers (Goudie and Middleton, 2001; Liu et al., 2008) exposed to the effects of aging and mixing. These effects change the optical, microphysical and cloud condensation properties. Coatings on mineral dust particles and mixing with other aerosols change the optical properties (Nousiainen, 2009; Redmond et al., 2010) and thus alter their radiative impact (Bauer et al., 2007). For example, biomass burning aerosols and mineral dust may become internally mixed when aging together (Hand et al., 2010) and thus change their size distribution, optical properties, hygroscopicity and their ability to act as cloud condensation nuclei (Wex et al., 2010). From measurements close to the dust source regions in comparisons to measurements in dust plumes over Cape Verde Weinzierl et al. (2011) found an indication of sedimentation of large particles in Saharan dust plumes during transport although sedimentation of large super-micron dust particles was less pronounced than expected from Stokes gravitational settling. Yang et al. (2013) assume a shape-induced particle sedimentation from measurements of transported dust with the space-based lidar system onboard the Cloud-aerosol Lidar with Orthogonal Polarization (CaLIOP) satellite mission (Winker et al., 2009). Wiegner et al. (2011) found an increase of the mean particle linear depolarization ratio at 355 nm of an aged Saharan dust plume over Central Europe compared to values measured in fresh Saharan dust plumes (Freudenthaler et al., 2009; Groß et al., 2011b). Up to now the mechanism and magnitude of dust aging is unknown, and whether and how it influences the optical properties of dust.

In this work we present dual-wavelength Raman and depolarization lidar measurements of long-range transported Saharan dust over Barbados. Our study includes the general aerosol situation during our measurement period as well as the characterization of the Saharan dust layer and marine boundary layer by means of the lidar ratio and the particle linear depolarization ratio. These observations are crucial to investigate possible age-induced changes in the intensive lidar optical properties necessary for lidar based aerosol classification schemes. The measurements were performed during the SALTRACE closure experiment. A general description of the SALTRACE

campaign, our lidar measurements and data analysis is given in Sect. 2. The results are presented in Sect. 3, and discussed in Sect. 4. Section 5 summarizes this work.

## 2 Measurements and instrumentation

### 2.1 SALTRACE

5 In June and July 2013 the Saharan Aerosol Long-range Transport and Aerosol-Cloud interaction Experiment (SALTRACE, <http://www.pa.op.dlr.de/saltrace/index.html>) took place. SALTRACE was designed as a closure experiment combining ground-based lidar, in-situ and sun photometer instruments, with airborne aerosol and wind lidar measurements of the research aircraft Falcon of the Deutsches Zentrum für Luft- und  
10 Raumfahrt (DLR), satellite observations and model simulations. The main ground-site during SALTRACE was on Barbados where lidar measurements were performed. Barbados is an optimal location to characterize long-range transported dust at the end of its way across the Atlantic Ocean. In addition, the 50 year Barbados dust record (Prospero et al., 1970) provides long-term information on year to year variability of  
15 trans-Atlantic dust transport to the Caribbean. The SALTRACE project continues the work started with the SAMUM-1 and SAMUM-2 (Ansmann et al., 2011) which aimed for characterizing Saharan mineral dust in the source regions and at different stages of dust lifetime. During SALTRACE particular focus was drawn on aerosol aging and mixing, and on aerosol removal processes. Therefore the physical, chemical and optical properties of the long-range transported Saharan dust layers were characterized  
20 in-depth to study the impact of long-range transported dust on the Earth's radiation budget, clouds and precipitation. During SALTRACE, ground-based measurements at Barbados were performed at two main locations: ground-based in-situ measurements were made at the very eastern edge of the island at Ragged Point, whereas the lidar measurements were carried out at the Caribbean Institute of Meteorology and Hydrology  
25 (CIMH) at the south-western side of Barbados (13.14° N, 59.62° W). Sun photome-

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ter measurements were performed at both measurement sites. For this study we use the AERONET CIMEL (Holben et al., 1998) measurements “Barbados\_SALTRACE” performed at CIMH. SALTRACE measurements were carried out between 10 June and 15 July with the main closure experiments taking place between 20 June to 12 July 2013.

## 2.2 POLIS lidar system

In this work we present measurements of the small portable Raman and depolarization lidar system POLIS (Fig. 1) of the Meteorological Institute (MIM) of the Ludwig-Maximilians-Universität (LMU) München. POLIS is a 6-channel lidar system measuring the  $N_2$ -Raman shifted wavelengths at 387 and 607 nm and the elastic backscattered signals (cross- and parallel-polarized) at 355 and 532 nm with high accuracy (Freudenthaler et al., 2015). Thus profiles of the particle extinction coefficient  $\alpha_p$  and backscatter coefficient  $\beta_p$ , of the lidar ratio  $S_p$ , and of the volume and particle linear depolarization ratio  $\delta_v$  and  $\delta_p$  at 355 and 532 nm can be retrieved. The full overlap of POLIS is at about 200 to 250 m depending on system settings. The range resolution of the raw data is 3.75 m; the temporal resolution is 5–10 s depending on atmospheric conditions. The repetition rate of the frequency doubled and tripled Nd:YAG laser is 10 Hz with a pulse energy of 50 mJ at 355 nm and 27 mJ at 532 nm.

## 2.3 Data evaluation

The particle extinction coefficient  $\alpha_p$  is retrieved from the Raman signals at 387 and 607 nm (Ansmann et al., 1990), the particle backscatter coefficient  $\beta_p$  is derived from combined Raman and elastically backscattered lidar returns at 355/387 and 532/607 nm (Ansmann et al., 1992). The height dependent lidar ratio  $S_p = \alpha_p/\beta_p$  can be derived from the ratio of both properties. Due to the low signal-to-noise ratio of the Raman channels, these measurements were restricted to night-time only. Furthermore, typical temporal averaging of one hour is necessary for analyzing  $\alpha_p$  and  $S_p$  to achieve

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a sufficient signal-to-noise ratio. The temporal stability of the atmosphere within this time period has been validated by comparing the consecutive profiles of the range corrected signal  $Pr^2$  over the whole smoothing period. A typical vertical smoothing of 250 rangebins ( $\approx 940$  m) is applied to further increase the signal-to-noise ratio. The errors of the retrieved optical properties are calculated according to Groß et al. (2011c).

From the co- and cross-polarized elastically backscattered signals the volume linear depolarization ratio  $\delta_v$  and the particle linear depolarization ratio  $\delta_p$  (Biele et al., 2000; Freudenthaler et al., 2009) are derived. The relative calibration factor of both polarization channels was determined with the highly accurate  $\pm 45^\circ$  calibration method (Freudenthaler et al., 2009) by manually rotating the receiver optics behind the telescope. Although the signal-to-noise ratio of the elastic channels is much better than for the Raman channels the same temporal average was used for the analysis of the nighttime Raman and depolarization measurements to get comparable results. The vertical average of the elastic signals is typically 150 rangebins ( $\approx 550$  m), otherwise the vertical smoothing length is specified in the text. Details of the depolarization calibration and system performance can be found by Freudenthaler et al. (2009, 2015). The error calculation of  $\delta_v$  and  $\delta_p$  was done analogue to Freudenthaler et al. (2009).

To determine the dust contribution within the boundary layer and the intermediate layer, we determined the profile of the dust backscatter coefficient applying a procedure described by Tesche et al. (2009a) and Groß et al. (2011a) assuming a two-type mixture of dust and marine aerosols. The linear depolarization ratios used as input for the aerosol type separation are set to  $\delta_d = 0.30$  at 532 nm for dust and  $\delta_{nd} = 0.02$  for marine aerosols according to the findings for pure Saharan dust and marine aerosols (Freudenthaler et al., 2009; Groß et al., 2011b). The dust extinction coefficient is derived following the equation  $\alpha_d = \beta_d \cdot S_d$ . The lidar ratio of dust ( $S_d = 55$  sr) is taken from Tesche et al. (2009b) and is in good agreement with the mean  $S_p$  values we find for long-range transported Saharan dust during SALTRACE.

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## 3 Results

### 3.1 General overview

During SALTRACE we observed a sequence of dust events with Saharan air masses being transported with easterly winds over the Atlantic to Barbados. The dust episodes often lasted for several days and provided, apart from shallow cumulus clouds, optimal measurement conditions in the dry and aerosol rich air. The dust events were interrupted by wet periods with strong convective activity and precipitation. Here we focus on the analysis of four major dust events.

The aerosol optical depth (AOD) during these major dust events reached values of up to 0.55. The corresponding Angström Exponent (AE) showed very low values of 0.2 and lower. The overall aerosol situation was characterized by a three layer structure (Fig. 2). The boundary layer up to 0.5 to 1.0 km was mostly dominated by marine aerosols, except during the first and last measurement days. At heights from about 1.0 to 2.0 km the aerosol layer was composed of a mixture of predominantly dust and marine aerosols. This layer showed high variability with respect to aerosol load and mixture. During SALTRACE almost all cloud processes in the lower troposphere took place within this layer. Above this intermediate layer a Saharan dust layer was present almost permanently during our measurement period, except on 8 and 9 July when Tropical Storm “Chantal” dominated the weather situation. During the main Saharan dust events this uppermost dust layer showed AOD values of about 0.2, in some cases the AOD even reached values of more than 0.3. The contribution of this pure Saharan dust layer to the total AOD usually ranged between 30 and 60 %, in some cases up to 80 % of the total AOD. The total contribution of Saharan dust to the total AOD was 50–80 %, except during Tropical Storm Chantal, when the Saharan dust contribution to the total AOD was only 20 %. Dust was mainly found in the pure dust layer and the intermediate layer, and had an only minor contribution to the boundary layer. An overview over the vertical layering and the AOD is given in Fig. 2.

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## 3.2 Case studies

We present four case studies, which are representative for the four dust events that occurred during the core period of SALTRACE. The date and time of the chosen case studies are 20 June (23:00–24:00 UTC), 27 June (00:00–02:00 UTC), 1 July (07:00–09:00 UTC), and 11 July 2013 (23:00–24:00 UTC). One main topic of our analysis is to investigate whether the different dust events show a variability in the retrieved optical properties. Figure 3 shows a back-trajectory analysis for the four selected case studies. The trajectories were calculated with the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Rolph, 2012) and the NCEP Global Data Assimilation System (GDAS) meteorological data. Start time and height of the trajectories were chosen according to the analyzed lidar measurement time periods and the height ranges of the presumed dust layer. The duration of all backward trajectories is 312 h. All trajectories show an advection from easterly directions and source regions located inside the Sahara region. However, the specific flow patterns of the air masses of the different events are quite different as well as possible source regions within the Saharan desert.

Different dust source activity over North Africa and thus different source region contributing to the dust plume observed at Barbados are identified from infra-red (IR) dust index images calculated from Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infra-Red Imager (SEVIRI) observations (Fig. 4). As described in detail in Schepanski et al. (2007), active dust sources are identified and recorded on a  $1^\circ \times 1^\circ$  map covering Africa north of  $10^\circ$  N. For the four case studies (20 and 27 June, 1 and 11 July 2013), different dust source regions are found to be active. A brief overview on the dust contributing source regions and the meteorological regime resulting into dust uplift will be given in the following. HYSPLIT back-trajectories are analyzed to identify the dates on which the Saharan air mass observed over Barbados where likely over dust source regions over North Africa. For the first two cases (20 and 27 June), dust source activation over North Africa was dominated by the Harmattan flow (a dry and

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dusty trade wind over West Africa). The latter two July-cases (1 and 11 July) show an increase in deep convective activity and Haboobs (heavy dust storm) become a more frequent dust uplift mechanism compared to the June-cases.

### 3.2.1 20 June 2013; 23:30–24:00 UTC

5 Already during the first measurement period of the SALTRACE campaign we were able to observe a strong Saharan dust event with total AOD of up to 0.55. Dust sources contributing to the dusty air mass reaching Barbados on 20 June 2013 were active during 11 to 13 June 2013. On 11 June 2013, strong Harmattan winds and embedded nocturnal low-level jet (LLJ) forced dust uplift over the Adra and southeastern Hoggar region  
10 (NE Mali and SW Algeria). Entrained into the northeasterly Harmattan flow, dusty air is transported towards the tropical North Atlantic. On 12 June 2013, dust emitted by strong Harmattan winds from the Niger flood plains south of Timbuktu (Mali) were up-taken by an air mass crossing the Atlantic and reaching Barbados on 20 June 2013.

Figure 5 gives an overview of the measurement situation in the night from 20 to 21  
15 June 2013 when the Saharan dust layer slowly faded away. The Saharan dust layer can be clearly identified by enhanced  $\delta_v$  of about 0.15 in heights from 1.5 to 3 km. A clear signature to distinguish the dust layer from the mixing layer at about 0.8–1.5 km with rather similar values of the range corrected signal but lower  $\delta_v$  values. In the lowermost 0.8 km the range corrected signal shows high values with low  $\delta_v$ , which is an indication  
20 for a marine dominated boundary layer. In the height level of the Saharan Air Layer (SAL), the relative humidity is low (notice that lidar measurements and radiosonde measurements have an offset of about 2 h in this case study) and the air masses were transported from mainly north-easterly directions. In the lowermost height level the relative humidity shows values between 60–80 %. For the analysis of the vertical distribution of the extinction coefficient, the lidar ratio and the particle linear depolarization ratio (Fig. 6) we use a time period between 23:30–24:00 UTC with very homogeneous  
25 conditions. Increased values of  $\alpha_p$  are found up to 4 km, but highest  $\alpha_p$  values are found

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between 1.5 and 3.0 km with max.  $\alpha_p$  of about  $0.12 \text{ km}^{-1}$ . Mean  $S_p$  values in the dust layer are  $56 \pm 5$  sr at 532 nm and  $50 \pm 4$  sr at 355 nm. In the marine boundary layer mean  $S_p$  values of  $21 \pm 3$  sr at 532 nm, and  $17 \pm 2$  sr at 355 nm are found with corresponding wavelength independent mean  $\delta_p$  values of  $\approx 0.02$ . In the dust layer  $\delta_p$  shows a slight wavelength dependence with mean values of 0.3 at 532 nm and 0.26 at 355 nm.

### 3.2.2 27 June 2013; 00:00–02:00 UTC

Air masses being over the North African continent at boundary layer heights (up to 3–5 km) and thus able to uptake dust during 20 to 22 June 2013 are likely to contribute to the dust layer observed over Barbados on 27 June 2013. On 20 June, dust source embedded in desert valleys scattered over the three mountain regions Hoggar, Aïr and Adrar were activated during the morning hours by nocturnal LLJs embedded in the Harmattan flow. The dusty air mass slowly propagated westward. On 21 June 2013, further dust emitted from dust sources over the Adrar Mountains (East Mali) and South-east Mauritania was contributing.

Figure 7 gives an overview of the night-time measurements situation on 27 June 2013 over Barbados. The total AOD was as high as 0.4. The range corrected signal shows aerosol signature up to about 4 km, whereas the highest signals are observed in the lowermost aerosol layer up to about 0.6 km.  $\delta_v$  shows high values of 0.15 in the height range between 1.5 and 4 km clearly identifying the SAL. As observed during the case study of 20 June (23:30–24:00 UTC) the relative humidity in the SAL is low with values  $< 40\%$  and the temperature profile shows a weak inversion at the lower edge of the SAL. The dusty air masses arrived from mainly easterly directions. Within the SAL  $\alpha_p$  was about  $0.08 \text{ km}^{-1}$  and decreased at heights above  $\approx 3.5$  km.  $S_p$  in the SAL shows mean values of  $56 \pm 5$  sr at 532 nm and  $55 \pm 7$  sr at 355 nm, the corresponding  $\delta_p$  shows wavelength independent values of  $0.26 \pm 0.01$  at 532 nm and  $0.26 \pm 0.03$  at 355 nm (Fig. 8). Within the boundary layer mean  $S_p$  values of  $21 \pm 3$  sr

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at 532 nm and  $17 \pm 2$  sr at 355 nm are observed. The mean  $\delta_p$  values in the boundary layer are  $0.06 \pm 0.01$  at 532 nm and  $0.04 \pm 0.01$  at 355 nm.

### 3.2.3 1 July 2013; 07:00–08:45 UTC

On 1 July a total AOD of about 0.55 was observed over Barbados. Back-trajectories show that Saharan air masses arriving Barbados on 1 July 2013 remained over West Africa for quite some time during the 19 and 25 June before entering the tropical North Atlantic. During these days, frequently active dust source regions were located embedded in the desert valley of the Adra Mountains, but also in central Mali where sand sheets and ancient drainage systems characterize the landscape. Dust sources were activated by both, nocturnal LLJ embedded in the Harmattan flow resulting to morning on-set of dust emission and dust fronts (Haboobs) related to downdrafts generated by MCSs (Meso-scale Convective System) during the late afternoon and night.

The measurement situation on 1 July (07:00–09:45 UTC) was similar to those during the prior events (Fig. 9). Within the SAL, characterized by  $\delta_v$  values of about 0.15, the relative humidity is  $< 40\%$ , whereas the boundary layer shows large RH values of up to 80%. The wind direction within the aerosol layers was mainly easterly. Inside the SAL  $\alpha_p$  values of about  $0.75 \text{ km}^{-1}$  are observed (Fig. 10). In the boundary layer  $\alpha_p$  increases to values of about  $0.13 \text{ km}^{-1}$ .  $S_p$  in the dust layer is wavelength independent with mean values of  $54 \pm 7$  sr at 532 nm and  $53 \pm 6$  sr at 355 nm.  $\delta_p$  shows mean values of 0.27 at both wavelengths. In the boundary layer mean  $S_p$  values of  $30 \pm 4$  sr at 532 nm and  $25 \pm 2$  sr at 355 nm are found. Mean  $\delta_p$  values are  $0.06 \pm 0.01$  at 532 nm and  $0.04 \pm 0.01$  at 355 nm.

### 3.2.4 11 July 2013; 23:00–24:00 UTC

The last dust event we observed during SALTRACE started after the passage of Tropical Storm “Chantal” on 09 July 2013 and lasted until the end of our measurements on 12 July 2013. Highest dust AOD up to 0.4 was observed on 11 July. Compared to the

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0.27 ± 0.01 at 532 nm and 0.26 ± 0.03 at 355 nm. However, during the first Saharan dust event  $\delta_p$  shows a slight but significant wavelength dependence with layer mean values of  $\delta_p = 0.3 \pm 0.01$  at 532 nm and  $\delta_p = 0.26 \pm 0.02$  at 355 nm. Analysis of the remaining SALTRACE measurements show comparatively lower mean values at 532 nm with almost constant mean values at 355 nm.

The boundary layer during SALTRACE was dominated by marine aerosols, with a contribution of various amounts of dust, especially during the last observed Saharan dust event. The mean  $S_p$  values range between 21 and 36 sr at 532 nm and between 16 and 35 sr at 355 nm. The overall mean  $S_p$  shows a wavelength independent value of 26 sr. Highest  $S_p$  values are found during the last observed dust event, indicating an increased amount of dust mixed into the boundary layer. This is in good agreement with the mean  $\delta_p$  values of > 0.1 in the boundary layer during the last observed dust event, clearly identifying dust mixed in the boundary layer (Tesche et al., 2009b; Groß et al., 2011a). During days when marine aerosols were the dominant type in the boundary layer  $\delta_p$  is low with mean values between 0.01 and 0.04 at both wavelengths. The overall mean  $\delta_p$  values are  $\delta_p = 0.05 \pm 0.01$  at 532 nm and  $\delta_p = 0.04 \pm 0.01$  at 355 nm.

## 4 Discussion

### 4.1 Comparison to former dust measurements

The lidar measurements performed at Barbados during SALTRACE and presented in this work give us the opportunity to compare the intensive lidar properties (e.g. the lidar ratio and the particle linear depolarization ratio) of Saharan dust derived close to the source region in Quarzazate, Morocco, during SAMUM-1 (Freudenthaler et al., 2009) with measurements of mid-range transported Saharan dust at Cape Verde during SAMUM-2 (Groß et al., 2011b) and of long-range transported Saharan dust over the Atlantic Ocean. From these studies possible changes due to transport and aging can be studied. Comparisons with measurements of long-range transported Saharan dust

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over Central Europe (Wiegner et al., 2011) furthermore enable to investigate whether not only the transport time but also the transport path has an effect of particle aging.

An overview of  $\delta_p$  measurements at 355 and 532 nm is given in Fig. 14. For each measurement campaign a number of days (4, 8, 3, 13) is included in this study. The main findings are:  $\delta_p$  and its wavelength dependence does not change for measurements of fresh Saharan dust at Morocco, close to the source regions, and for dust mid-range transported at Cape Verde. The wavelength dependent overall mean values are  $0.31 \pm 0.01$  (532 nm) and  $0.25 \pm 0.07$  (355 nm) for fresh Saharan dust (Freudenthaler et al., 2009) and  $0.3 \pm 0.01$  (532 nm) and  $0.25 \pm 0.03$  (355 nm) for mid-range transported Saharan dust (Groß et al., 2011b). For long-range transported Saharan dust towards Central Europe we found slightly higher values of  $0.34 \pm 0.02$  and  $0.30 \pm 0.05$  at 532 and 355 nm, respectively (Wiegner et al., 2011). At Barbados we find a slightly lower mean  $\delta_p$  value of  $0.27 \pm 0.01$  at 532 nm and a rather constant mean value of  $0.26 \pm 0.03$  at 355 nm. The observed differences between  $\delta_p$  for long-range transported dust compared to the values for pure and mid-range transported dust are small. However, we see different alterations of the optical properties of long-range transported Saharan dust towards Central Europe and of long-range transported Saharan dust over the Atlantic Ocean towards the Caribbean. We do not longer see a wavelength dependence for  $\delta_p$  at 355 and 532 nm for long-range transported Saharan dust towards the Caribbean within the uncertainty range. Furthermore, the differences of  $\delta_p$  for long-range transported Saharan dust over Central Europe and over Barbados lead to the assumption that not only the time of long-range transport, but also the transport path, and the conditions during transport may be of importance when investigating the effects of aging and transport. This assumptions will be subject of further studies.

A similar analysis was performed for  $S_p$  measurements during SAMUM-1 (mean value), SAMUM-2 (5 cases), the Munich event in 2008 (3 cases) and during the SALTRACE campaign (10 cases) (see Fig. 15). For the fresh Saharan dust in Ouazate wavelength-independent mean values of  $S_p = 55 \pm 7$  sr at 355 nm and  $S_p = 56 \pm 5$  sr at 532 nm were found (Tesche et al., 2009b). Slightly but not significantly higher li-

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dar ratios were found during the Munich dust event and the SAMUM-2 campaign for mid-range transported dust with wavelength-independent mean values of about 60 sr (Wiegner et al., 2011; Groß et al., 2011b). These slightly higher values are in good agreement with the study of Schuster et al. (2012) investigating lidar ratios of mineral dust for different regions over Northern Africa and finding the highest mean values for Saharan dust at Cape Verde. During SALTRACE the lidar ratios range between 47 and 63 sr with mean values of  $S_p = 56 \pm 7$  sr at 532 nm and  $S_p = 53 \pm 5$  sr at 355 nm. Altogether no significant changes in the lidar ratio can be found within the error bars for the fresh and the transported Saharan dust cases.

The mean values and mean uncertainties of  $S_p$  and  $\delta_p$  for the different dust measurements are summarized in Table 2.

### 4.2 Impact on aerosol classification

It has been shown that the lidar ratio and the particle linear depolarization ratio are quite different for different types of aerosol (e.g., Sakai et al., 2010). Therefore aerosol classification schemes both at 355 and 532 nm have been developed based on these intensive lidar optical properties (Groß et al., 2011b, 2013; Burton et al., 2012; Illingworth et al., 2014). Up to now those classification schemes do not sufficiently account for the effect of aerosol aging on the thresholds for the discrimination of the different aerosol types. With our measurements during the SALTRACE campaign, in combination with the findings of former measurements of fresh and mid-range transported dust during the SAMUM project and long-range transported dust measurements over Central Europe, we are now able to investigate the effect of transport and aging on the lidar optical properties of Saharan dust.

Figure 16 shows the particle linear depolarization ratio vs. the lidar ratio at 355 and 532 nm of the different aerosol types which are, up to now, included in the aerosol classification schemes for EarthCARE (Illingworth et al., 2014; Groß et al., 2015). Additionally we plotted our results found during the SALTRACE campaign for pure Saharan dust and for the boundary layer. Within the boundary layer our results fit quite



well with former results found for marine aerosols or marine aerosol mixtures, indicating that the boundary layer was dominated by marine aerosols with various amount of dust mixed into the boundary layer on specific days. Regarding the Saharan dust layer one can see that the  $\delta_p$ - $S_p$  space at 355 nm shows a good agreement to former dust measurements during SAMUM. The specified threshold to identify pure Saharan dust from combined  $\delta_p$ - $S_p$  measurements (Groß et al., 2015) is still valuable for long-range transported dust. At 532 nm the SALTRACE results for long-range transported Saharan dust show slightly lower  $\delta_p$  values compared to the threshold used for the identification for Saharan dust. Thus this threshold has to be adapted to a slightly lower  $\delta_p$  value of 0.26 to consider long-range transported Saharan dust.

## 5 Conclusions

We presented optical properties of Saharan dust long-range transported across the Atlantic Ocean to Barbados. For this purpose we analyzed measurements with the lidar system POLIS at 355 and 532 nm, in particular we calculated the extinction coefficient  $\alpha_p$ , the lidar ratio  $S_p$ , and the particle linear depolarization ratio  $\delta_p$ . While the first property gives us information about the aerosol load, the latter two properties are intensive lidar properties and thus only dependent on the aerosol type and not on its amount. Therefore, these properties are used for aerosol classification schemes based on lidar measurements (Burton et al., 2012; Groß et al., 2011b, 2013, 2015; Illingworth et al., 2014). The results of this work are closely related to former measurements performed during the SAMUM-1 (Freudenthaler et al., 2009; Tesche et al., 2009b) and SAMUM-2 (Groß et al., 2011b; Tesche et al., 2011) campaigns and during a strong Saharan dust event over Central Europe observed in the framework of EARLINET (Wiegner et al., 2011). Thus, we are able to study possible changes of the optical properties of Saharan dust caused by long-range transport.

For the long-range transported Saharan dust over Barbados we found typical values of  $\delta_p$  between 0.26 and 0.3 at 532 nm and between 0.24 and 0.29 at 355 nm.

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The mean systematic errors are 0.01 and 0.03 at 532 and 355 nm, respectively. Compared to  $\delta_p$  measurements at 532 nm during the SAMUM campaigns we see slightly lower values for long-range transported Saharan dust to Barbados, while over Central Europe slightly higher values have been found. This leads to the assumption that not only transport time but also the transport path, and the transport conditions have an influence of possible changes of the optical properties of Saharan dust. At 355 nm we do not see significant changes in the  $\delta_p$  values, but although the overall mean values are slightly higher for long-range transported Saharan dust to Barbados as well as to Central Europe. For long-range transported Saharan dust we do not see a significant wavelength dependence anymore.

Mean values of the lidar ratio of long-range transported Saharan dust over Barbados are  $56 \pm 7$  sr at 532 nm and  $53 \pm 5$  sr and thus agree well with the values found for fresh Saharan dust over Morocco (Tesche et al., 2009b). Although these values are slightly lower than the values found for long-range transported Saharan dust over Central Europe (Wiegner et al., 2011) and of mid-range transported Saharan dust over Cape Verde (Groß et al., 2011b) they agree for the measurement uncertainties. Thus we do not see a significant change in this optical properties during transport.

Though the presented measurements are a good test bed to study the optical properties of long-range transported Saharan dust, there are a number of questions remaining unsolved, e.g. the impact of transport condition on the changes of optical and microphysical properties. Thus further studies will combine lidar measurements with information of the transport conditions and path, e.g. from model calculations. Furthermore lidar measurements will be combined to in-situ measurements to get more inside the relationship between optical and microphysical properties, e.g. the cloud condensation properties, and about a possible vertical sorting within the dust layer as recently suggested by Yang et al. (2013).

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**Table 1.** Layer mean values for the case studies of lidar ratio  $S_p$  and particle linear depolarization ratio  $\delta_p$  including systematic errors ( $\pm$ ), the standard deviations of the values within the height range ( $\sigma$ ) indicating the variability of the values within the layer, and the considered aerosol layer. <sup>a</sup> and <sup>b</sup> indicate the upper and/or lower boundary used to calculate the mean value.

Height a.g.l./km	Lidar ratio/sr	PLDR	Layer
20 June 2013; (23–23:30 UTC)			
0.25 <sup>b</sup> /0.5 <sup>a</sup> –1.5	21 ± 3 ( $\sigma$ = 1) (532 nm) <sup>a</sup> 17 ± 2 ( $\sigma$ = 1) (355 nm) <sup>a</sup>	0.02 ± 0.01 ( $\sigma$ = 0.01) (532 nm) <sup>b</sup> 0.02 ± 0.01 ( $\sigma$ = 0.01) (355 nm) <sup>b</sup>	Boundary layer
2.0–2.5	56 ± 5 ( $\sigma$ = 2) (532 nm) 50 ± 4 ( $\sigma$ = 2) (355 nm)	0.30 ± 0.01 ( $\sigma$ = 0.01) (532 nm) 0.26 ± 0.02 ( $\sigma$ = 0.01) (355 nm)	Dust layer
27 June 2013; (00:00–02:00 UTC)			
0.25 <sup>b</sup> /0.5 <sup>a</sup> –1.5	25 ± 3 ( $\sigma$ = 1) (532 nm) <sup>a</sup> 28 ± 2 ( $\sigma$ = 1) (355 nm) <sup>a</sup>	0.06 ± 0.01 ( $\sigma$ = 0.01) (532 nm) <sup>b</sup> 0.04 ± 0.01 ( $\sigma$ = 0.01) (355 nm) <sup>b</sup>	Boundary layer
2.0–3.5 <sup>a</sup> /3.75 <sup>b</sup>	56 ± 8 ( $\sigma$ = 5) (532 nm) <sup>a</sup> 55 ± 7 ( $\sigma$ = 7) (355 nm) <sup>a</sup>	0.26 ± 0.01 ( $\sigma$ = 0.01) (532 nm) <sup>b</sup> 0.26 ± 0.03 ( $\sigma$ = 0.01) (355 nm) <sup>b</sup>	Dust layer
01 July 2013; (07:00–09:00 UTC)			
0.4 <sup>b</sup> /0.5 <sup>a</sup> –1.5	30 ± 4 ( $\sigma$ = 2) (532 nm) <sup>a</sup> 25 ± 2 ( $\sigma$ = 1) (355 nm) <sup>a</sup>	0.06 ± 0.01 ( $\sigma$ = 0.01) (532 nm) <sup>b</sup> 0.04 ± 0.01 ( $\sigma$ = 0.01) (355 nm) <sup>b</sup>	Boundary layer
2.25–3.5 <sup>a</sup> /3.75 <sup>b</sup>	54 ± 7 ( $\sigma$ = 8) (532 nm) <sup>a</sup> 53 ± 6 ( $\sigma$ = 3) (355 nm) <sup>a</sup>	0.27 ± 0.01 ( $\sigma$ = 0.01) (532 nm) <sup>b</sup> 0.27 ± 0.03 ( $\sigma$ = 0.01) (355 nm) <sup>a</sup>	Dust layer
11 July 2013; (23:00–24:00 UTC)			
0.4 <sup>b</sup> /0.75 <sup>a</sup> –1.5	35 ± 3 ( $\sigma$ = 2) (532 nm) <sup>a</sup> 35 ± 2 ( $\sigma$ = 1) (355 nm) <sup>a</sup>	0.10 ± 0.01 ( $\sigma$ = 0.02) (532 nm) <sup>b</sup> 0.14 ± 0.01 ( $\sigma$ = 0.02) (355 nm) <sup>b</sup>	Boundary layer
2.0–4.0	56 ± 7 ( $\sigma$ = 6) (532 nm) 50 ± 4 ( $\sigma$ = 6) (355 nm)	0.27 ± 0.01 ( $\sigma$ = 0.01) (532 nm) 0.26 ± 0.02 ( $\sigma$ = 0.01) (355 nm)	Dust layer

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**Table 2.** Layer mean values of the lidar ratio and particle linear depolarization ratio PLDR including systematic errors ( $\pm$ ) of Saharan dust observations during SAMUM-1 in Morocco close to the source regions (Freudenthaler et al., 2009; Tesche et al., 2009b), of mid-range transported Saharan dust during SAMUM-2 at Cape Verde (Groß et al., 2011b), of long-range transported Saharan dust to Central Europe in Munich, Germany (Wiegner et al., 2011), and of long-range transported Saharan dust across the Atlantic Ocean at Barbados during SALTRACE.

Campaign	Lidar ratio/sr	PLDR	WL/nm
SAMUM-1	$56 \pm 5$	$0.31 \pm 0.01$	532
	$55 \pm 7$	$0.25 \pm 0.07$	355
SAMUM-2	$62 \pm 5$	$0.30 \pm 0.01$	532
	$58 \pm 7$	$0.25 \pm 0.03$	355
Munich	$59 \pm 7$	$0.34 \pm 0.02$	532
	$59 \pm 8$	$0.30 \pm 0.05$	355
SALTRACE	$56 \pm 7$	$0.27 \pm 0.01$	532
	$53 \pm 5$	$0.26 \pm 0.03$	355

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**Figure 1.** POLIS lidar system.

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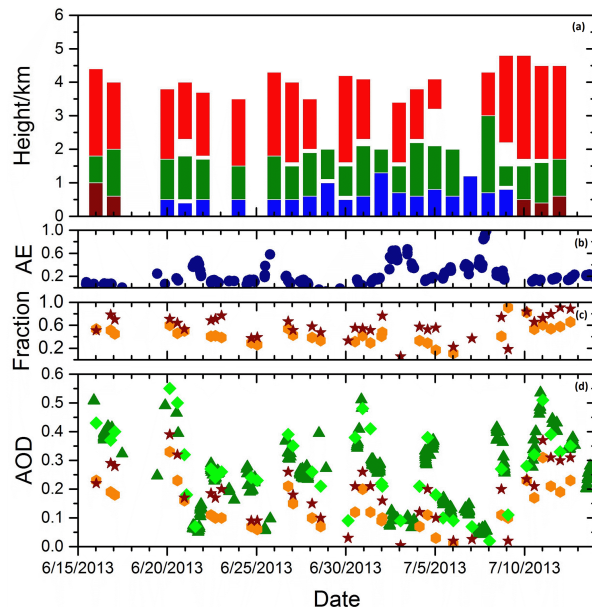
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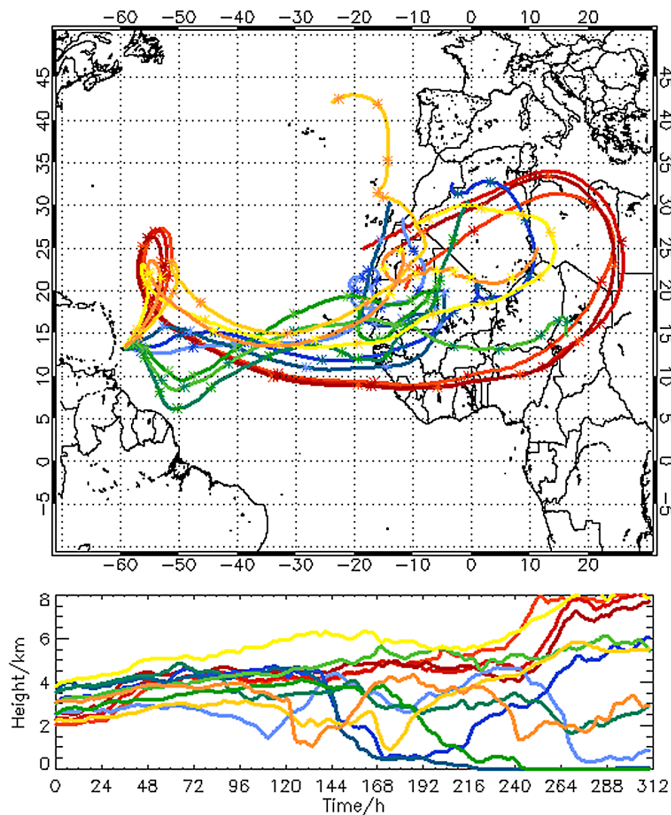


**Figure 2.** Time series of **(a)** aerosol layering during SALTRACE derived from POLIS lidar measurements during the evening measurement sessions (red indicates Saharan dust dominated aerosol layers, green indicates intermediate or mixed aerosol layers, blue indicates marine dominated aerosol layers and brown indicates mixtures of Saharan dust and marine aerosols), **(b)** Angström exponents between 440 and 870 nm (blue dots) from CIMEL sun-photometer measurements, **(c)** the fraction of pure dust optical depth (orange) and total dust optical depth (brown stars) to the total AOD, and **(d)** aerosol optical depth (AOD) at 500 nm derived from CIMEL sun-photometer measurements (dark green) and at 532 nm derived from POLIS lidar measurements (light green) and optical depth of the pure dust layer (orange) and of the whole dust contribution in the atmospheric column (brown stars) at 532 nm derived from POLIS lidar measurement.

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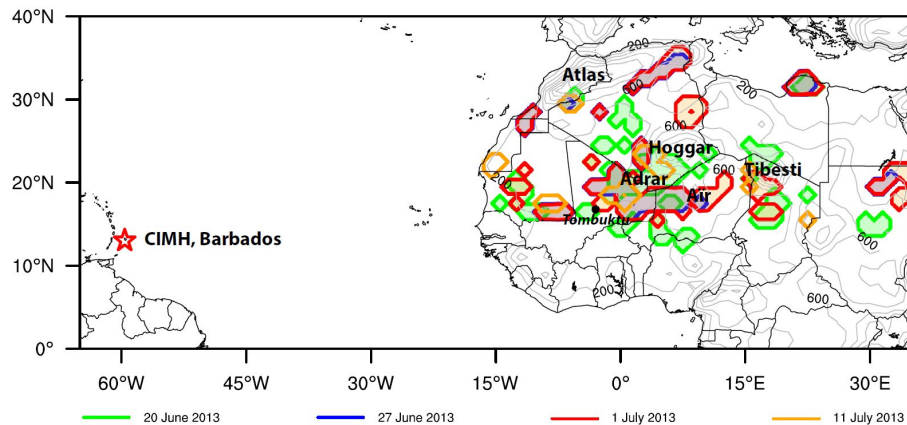


**Figure 3.** 312h backward trajectories calculated with the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT) model (Draxler and Rolph, 2012) and GDAS meteorological data for the pure dust layers on 20 June 2013, 23:00 UTC (reddish), 27 June 2013, 01:00 UTC (blueish), 01 July 2013, 08:00 UTC (greenish), and 11 July, 23:00 UTC (yellowish). The stars along the trajectories indicate 24 h time steps. The height range of the pure dust layers is indicated in Table 1.

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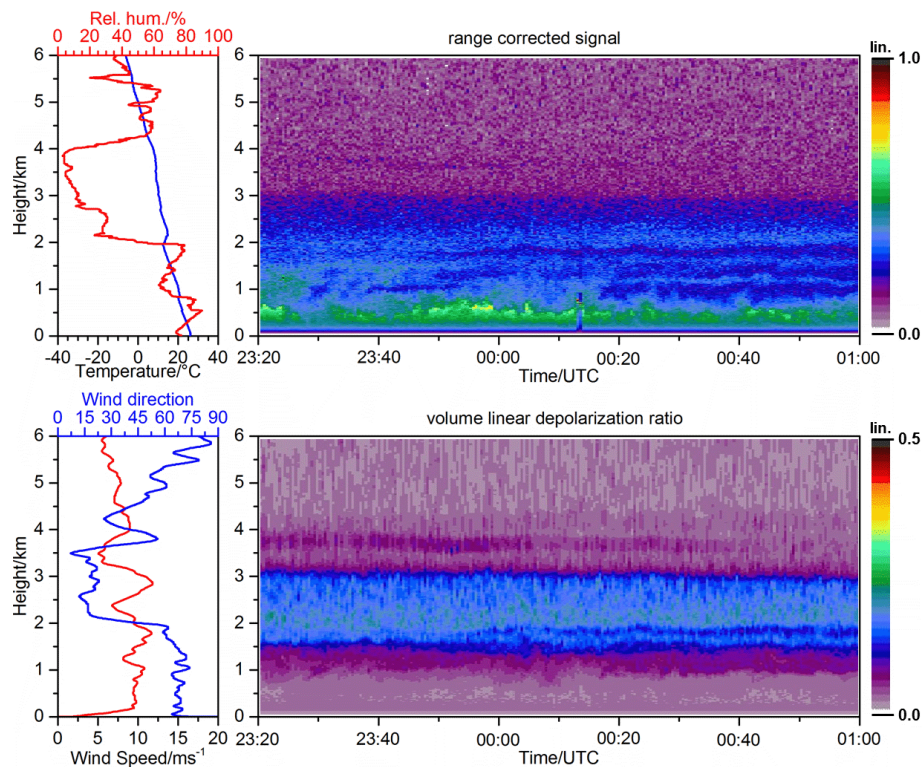


**Figure 4.** Active dust sources relevant for the four investigated case studies. The colors indicate which source region contributed to which dust event observed at Barbados.

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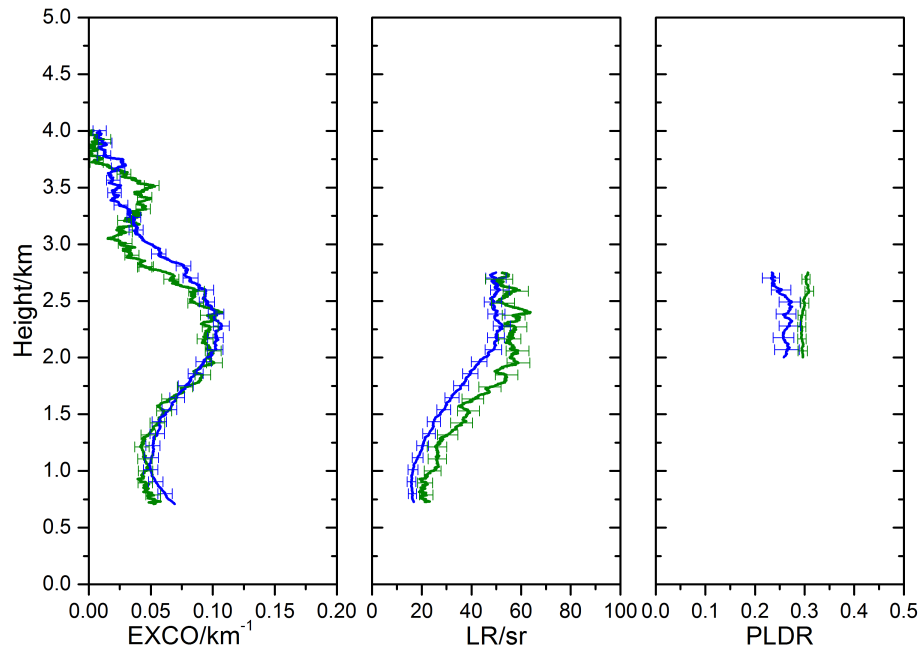
**Figure 5.** Radiosonde measurements of temperature and relative humidity (upper left panel) and of wind speed and wind direction (lower left panel), and lidar measurements of the range corrected signal (upper right panel) and of the volume linear depolarization ratio (lower right panel) at 532 nm between 20 June 2013, 23:00 UTC and 21 June 2013, 01:00 UTC. The radiosonde was launched on 21 June at 01:54 UTC

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**Figure 6.** Profiles of the particle extinction coefficient (EXCO) and the lidar ratio (LR), and of the particle linear depolarization ratio (PLDR) of the pure dust layer at 355 nm (blue) and 532 nm (green) on 20 June 2013, 23:30–24:00 UTC. The error bars indicate the systematic errors.

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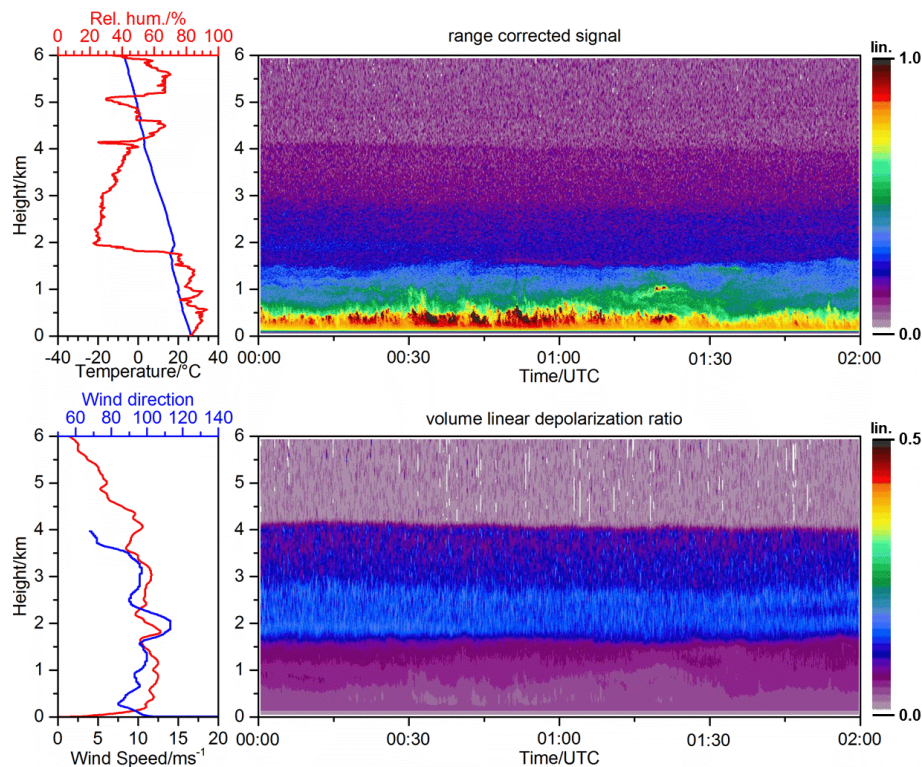
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**Figure 7.** Same as Fig. 5 but for 27 June 2013, 00:00–02:00 UTC. The radiosonde was launched on 27 June at 00:24 UTC.

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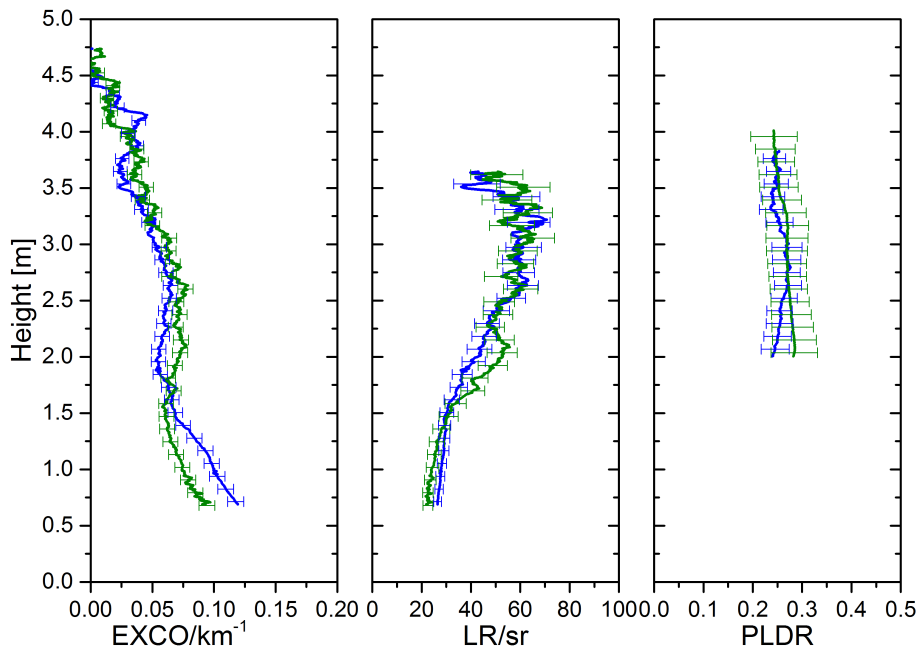
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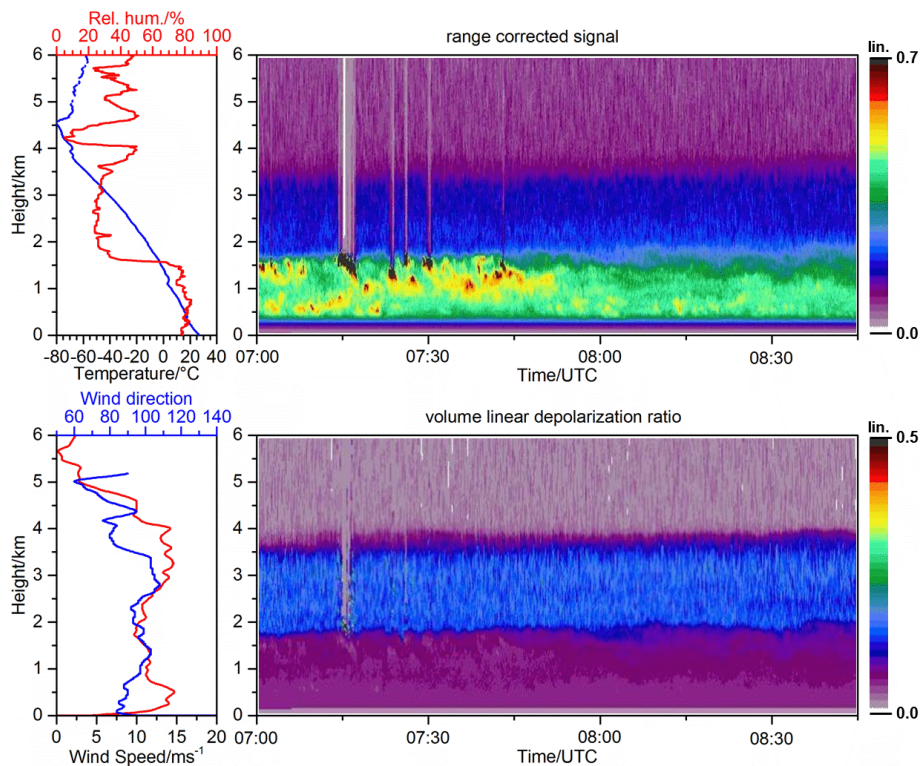
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**Figure 8.** Same as Fig. 6 but for 27 June 2013, 00:00–02:00 UTC.

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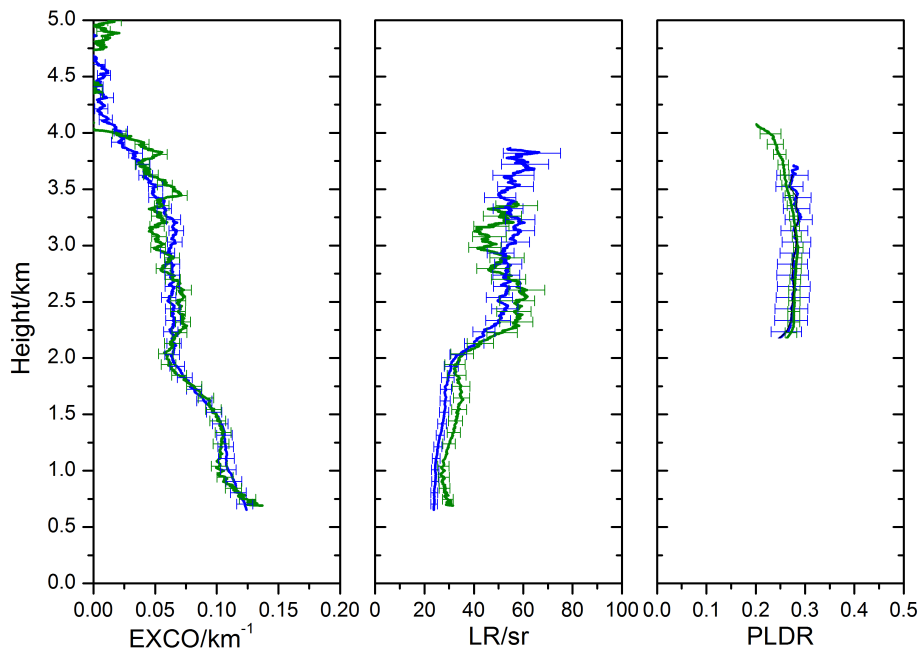


**Figure 9.** Same as Fig. 5 but for 01 July 2013, 07:00–08:45 UTC. The radiosonde was launched on 01 July at 00:00 UTC.

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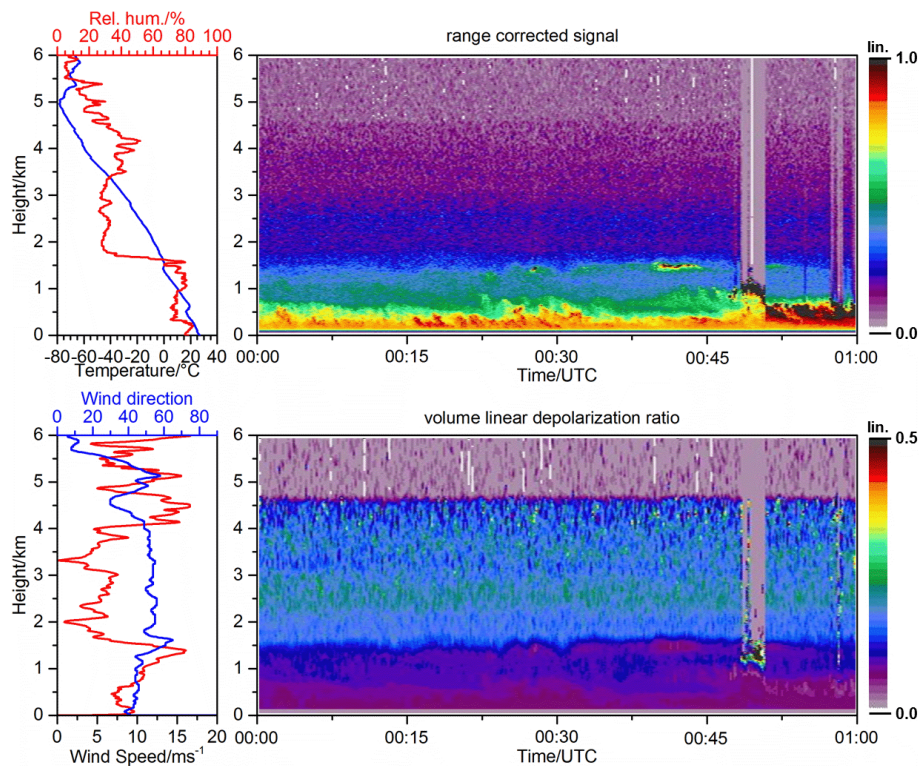


**Figure 10.** Same as Fig. 6 but for 01 July 2013, 07:00–08:45 UTC.

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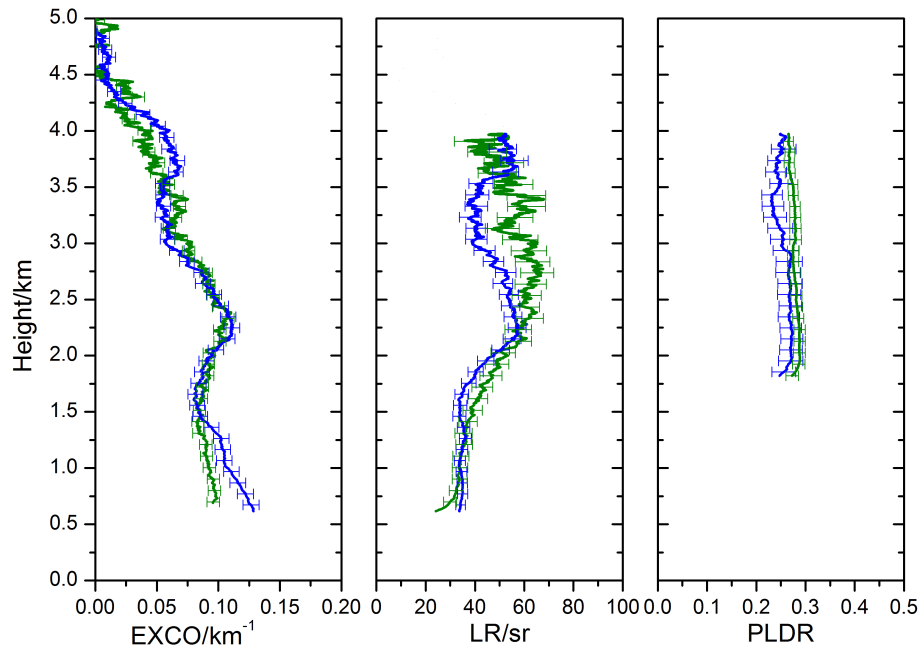


**Figure 11.** Same as Fig. 5 but for 11 July 2013, 23:00–24:00 UTC. The radiosonde was launched on 11 July at 23:26 UTC.

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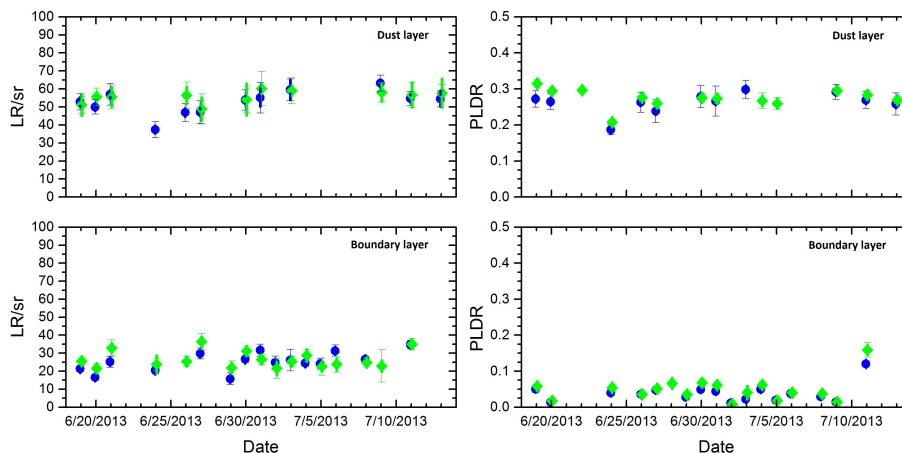


**Figure 12.** Same as Fig. 6 but for 11 July 2013, 23:00–24:00 UTC.

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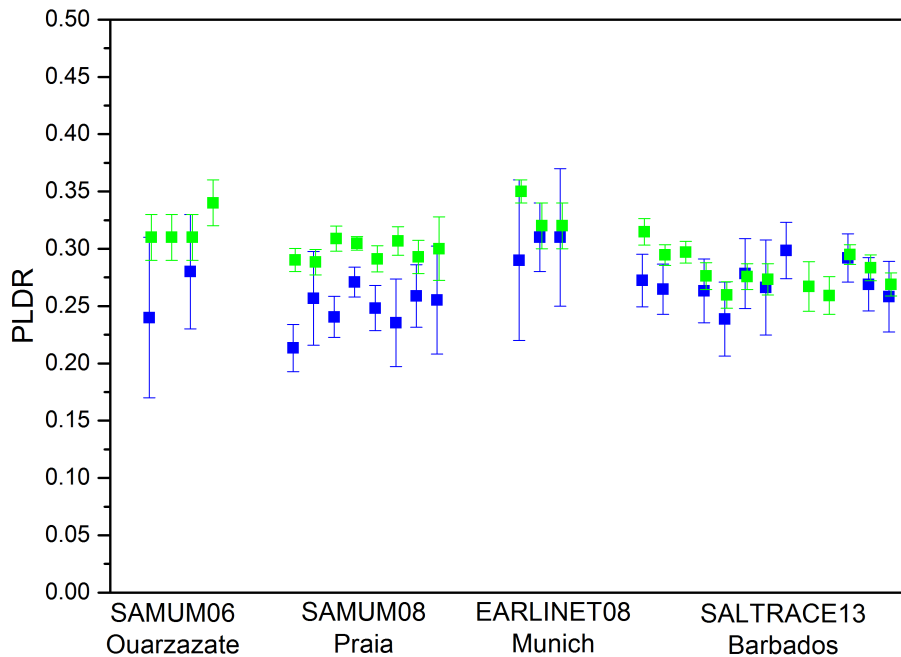
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**Figure 13.** Mean values of the lidar ratio (left) and of the particle linear depolarization ratio (right) at 355 nm (blue) and 532 nm (green) for the boundary layer (lower panels) and the pure dust layer (upper panels). The thick lines show the standard deviation of the mean values, and the error bars show the mean systematic uncertainty.

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**Figure 14.** Mean values of the particle linear depolarization ratio of Saharan dust at 355 nm (blue) and 532 nm (green) derived for fresh Saharan dust on 4 days during SAMUM-1 in Ouarzazate, Morocco in 2006 (SAMUM06) (Freudenthaler et al., 2009), for mid-range transported Saharan dust on 8 days during SAMUM-2 at Cape Verde in 2008 (SAMUM08) (Groß et al., 2011b), for long-range transported dust over Central Europe on 3 days at Munich, Germany in 2008 (EARLINET08) (Wiegner et al., 2011), and for long-range transported Saharan dust over the Caribbean on 13 days during SALTRACE at Barbados in 2013 (SALTRACE13). The error bars denote the systematic errors.

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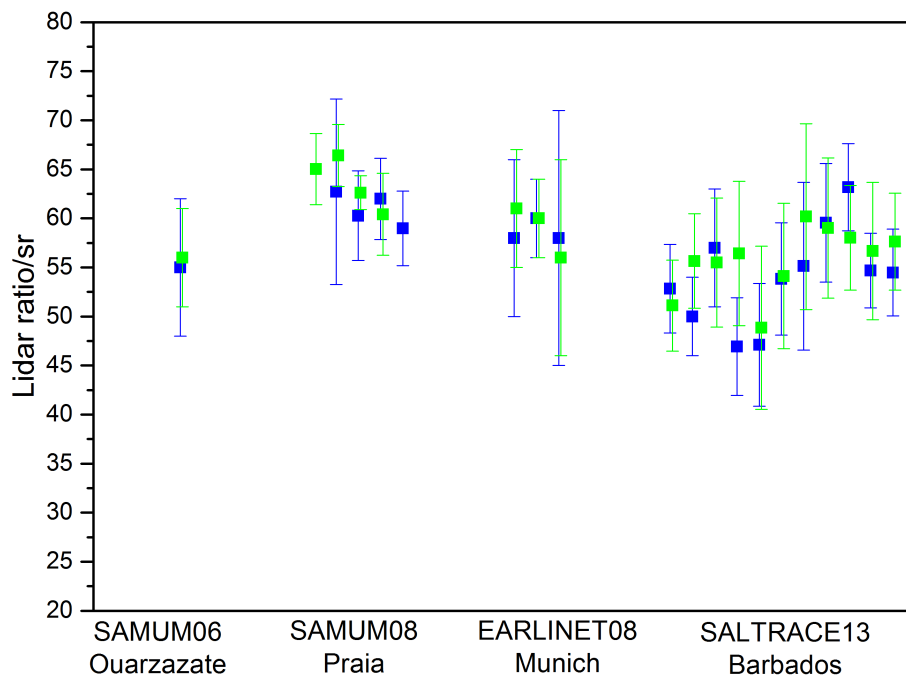
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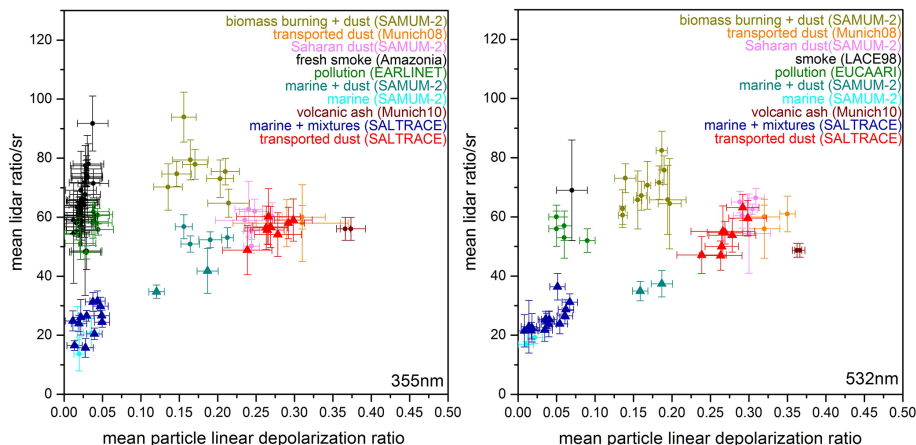


**Figure 15.** Mean values of the lidar ratio of Saharan dust at 355 nm (blue) and 532 nm (green) derived for fresh Saharan dust (mean campaign value) during SAMUM-1 in Ouarzazate, Morocco in 2006 (SAMUM06) (Tesche et al., 2009b), for mid-range transported Saharan dust on 5 days during SAMUM-2 at Cape Verde in 2008 (SAMUM08) (Groß et al., 2011b), for long-range transported dust over Central Europe on 3 days at Munich, Germany in 2008 (EARLINET08) (Wiegner et al., 2011), and for long-range transported Saharan dust over the Caribbean on 10 days during SALTRACE at Barbados in 2013 (SALTRACE13). The error bars denote the systematic errors.

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**Figure 16.** Aerosol classification at 355 nm (left) and 532 nm (right) based on the lidar ratio and the particle linear depolarization ratio. Measurements at 355 nm were performed with the ground-based Raman polarization lidars POLIS (Ludwigs-Maximilians-Universität München) and with PollyXT of the Leibniz Institute for Tropospheric Research. Measurements were conducted during SAMUM-2 at Cape Verde (Saharan dust, marine, marine + dust and biomass burning + dust; Groß et al., 2011b), in the framework of EARLINET in Leipzig, Germany (pollution; Illingworth et al., 2014) and in Munich, Germany (volcanic ash, transported dust; Groß et al., 2012; Wiegner et al., 2011), and in the Amazon Basin (fresh smoke; Baars et al., 2012).

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