



A new indicator on the impact of large-scale circulation on wintertime PM

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A new indicator on the impact of large-scale circulation on wintertime particulate matter pollution over China

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Abstract

Extreme particulate matter (PM) air pollution of January 2013 in China was found to be associated with anomalous large-scale circulation patterns characterized by an eastward extension of the Siberian High (SH). We developed a Siberian High position index (SHPI), which depicts the mean longitudinal position of SH, as a new indicator of the large-scale circulation pattern that controls wintertime air quality in China. This SHPI explains 58 % (correlation coefficient of 0.76) of the interannual variability of wintertime aerosol optical depth (AOD) derived by MODIS over north China (NC) during 2000–2013, whereas the intensity-based conventional Siberian High Index (SHI) shows essentially no skill in predicting the AOD variability. On the monthly scale, some high-AOD months for NC are accompanied with extremely high SHPIs; notably, extreme PM pollution of January 2013 can be explained by the SHPI value exceeding 2.6 standard deviation of the 2000–2013 mean. When the SH extends eastward, thus higher SHPI, prevailing northwesterly winds over NC are suppressed not only in the lower troposphere but also in the middle troposphere, leading to reduced southward transport of pollution from NC to south China (SC). As a consequence, the SHPI exhibits a significantly negative correlation of -0.82 with MODIS AOD over SC during 2000–2013, although the robustness of this correlation depends on that of satellite-derived AOD. The suppressed northwesterly winds during high-SHPI winters also lead to increased relative humidity (RH) over NC. Both the wind and RH changes are responsible for enhanced PM pollution over north China during the high-SHPI winters.

1 Introduction

January 2013 saw persistent and severe haze outbreaks in China, with monthly-mean concentration of fine particulate matter ($\text{PM}_{2.5}$) exceeding $130 \mu\text{g m}^{-3}$ at 28 cities in 16 provinces. Previous studies have identified certain features of meteorological conditions during this month which are partly responsible for such extreme pollution. Ab-

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ple by ways of influencing large-scale wind fields and local meteorological conditions which control pollutant transport and transformation.

This study investigates the possible connections between wintertime $PM_{2.5}$ in eastern China and large-scale circulations on the interannual scale during 2000–2013. Because long-term in situ observations of surface $PM_{2.5}$ are not available in China, we use satellite-derived aerosol optical depth (AOD) as a proxy to represent the distribution and variability of atmospheric aerosols. The paper is organized as follows. Section 2 describes the data used in the analysis. In Sect. 3, we analyze the anomalous meteorological conditions of January 2013 and define our study regions. Section 4 examines the relationship of the Siberian High and AOD over China, and develops an index to represent Siberian High variability which is able to explain the interannual variations of AOD over China. In Sect. 5, we discuss the robustness of the index we develop and compare it with other existing meteorological indices that affect wintertime air quality in China.

2 Data

2.1 Aerosol optical depth

AOD products from satellites have been used to infer surface $PM_{2.5}$ concentrations at scales ranging from urban to regional and to global (Liu et al., 2007; H. Zhang et al., 2009; Lee et al., 2011; Hu et al., 2014; Boys et al., 2014; Donkelaar et al., 2014). To circumvent data scarcity of longer-term in situ measurements of surface $PM_{2.5}$ over China, here we used AOD retrieved from the Moderate Resolution Imaging Spectroradiometer (MODIS) sensor aboard both NASA EOS-Terra and Aqua satellite as the proxy data to represent the distribution and variability of $PM_{2.5}$ air quality over China. Terra and Aqua are both polar-orbiting satellites which were launched in December 1999 and May 2002, respectively. They provide data every one to two days since February 2000 (Terra) and July 2002 (Aqua). MODIS retrieves aerosol properties

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in seven wavelengths from 0.47 to 2.13 μm and separate algorithms are applied over land and ocean (Tanré et al., 1997; Remer et al., 2005; Levy et al., 2007). To improve the retrieval over bright-reflecting source regions, Hsu et al. (2004) developed the Deep Blue AOD algorithm using multiple narrow-band channels at near-UV wavelengths. Although the AOD uncertainty over land ($\pm 0.05 \pm 0.2 \times \text{AOD}$) is higher than that over ocean ($\pm 0.03 \pm 0.05 \times \text{AOD}$) (Remer et al., 2005; Chu et al., 2012), previous comparisons of MODIS AOD and ground-based AOD measurements from Aerosol Robotic Network over land have shown tight correlations between the two, indicating that the MODIS AOD product is capable of providing quantitative information on the spatial and temporal variations of AOD over land (Levy et al., 2010; Prados et al., 2007).

Previous studies have indicated good correlations between the MODIS AOD and surface $\text{PM}_{2.5}$ concentrations over selected sites in China (Wang et al., 2003). Here we used the MODIS level-3 monthly gridded AOD (550 nm) data (Version 5.1) from December 2000 to February 2013 with a $1^\circ \times 1^\circ$ resolution. The AOD values over bright surfaces were replaced by the deep blue aerosol retrieval (550 nm) at the same grid.

To verify the robustness of our analysis using MODIS AOD, we also analyzed level-3 monthly gridded AOD from Multi-angle Imaging SpectroRadiometer (MISR) aboard of Terra. The MISR standard AOD products have a $0.5^\circ \times 0.5^\circ$ resolution at 558 nm for 2000–2013. MODIS has a large number of spectral bands, while MISR has the multi-view-angle capabilities (Lyapustin et al., 2007).

2.2 Reanalysis data

The meteorological variables used to explore the mechanism behind the variations of SH and AOD are obtained from National Centers for Environmental Prediction (NCEP) reanalysis (Kalnay et al., 1996), including sea level pressure (SLP), relative humidity (RH), geopotential heights, and winds. The NCEP/NCAR reanalysis data provide a historical record of more than 50 years (Kistler et al., 2001) and are available on the $2.5^\circ \times 2.5^\circ$ grid globally.

2009, 2010, 2012). Since the high- or low-AOD is defined relative to the trend line, the corresponding high- or low-AOD winters are expected to be driven by the interannual variability of meteorology.

5 Mean meteorological conditions between the high- and low-AOD winters were compiled and compared to identify any significant differences in large-scale circulation patterns between them. The differences in winter-mean SLP and 850 hPa wind fields are shown in Fig. 4 (high-AOD winters minus low-AOD winters). Surprisingly, Fig. 4 does not reveal any significant decrease of SLP from low-AOD to high-AOD winters over Mongolia where the climatological center of the Siberian High locates (cf. Fig. 1a). Instead, significant changes of SLP are located over west of Mongolia (negative differences) and over Japan (positive differences). The high-AOD winters also have a stronger component of southeasterly winds on 850 hPa over north China. This change of wind directions not only suppresses the northwesterly flow that brings cleaner continental background air, but also reduces the transport of pollution from NC to SC, both of which lead to higher pollution concentrations over NC.

15 The index widely used in the literature to describe the SH variability is the Siberian High intensity (SHI), defined as the mean SLP over northern Mongolia between 80–120° E and 40–65° N (black rectangle in Figs. 1a and 4) (Jeong et al., 2011; Hasanean et al., 2013). However, as shown by Fig. 4, there is no significant difference in SLP over northern Mongolia between the high- and low-AOD winters, suggesting that this conventional index of SHI may not be able to explain the interannual variability of PM in north China. As an example, Fig. 5 compares winter SLP and 850 hPa wind fields between 2003 (a high-AOD winter) and 2004 (a low-AOD winter). While winter-mean AOD over NC was significantly higher in 2003 (0.68) than that in 2004 (0.45), the SHI was almost the same between the two winters. The noticeable difference, however, is that the high pressure isobars in the high-AOD winter of 2003 extended further east over the continent than those in 2004. Through linear regression, we found a poor correlation between SHI and detrended winter-mean AOD over NC (Fig. 6a), with SHI explaining only 4% of the AOD variance.

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Figure 4 manifests the displacement of the high SLP centers during the high-AOD winters from northern Mongolia where the conventional SHI is defined. Figure 5 further illustrates that the main difference in SH between the two specific winters of largely varying AODs lies in its spatial extension. Given this feature, it is further hypothesized that the position of the Siberian High is a more important factor than its intensity in terms of affecting PM concentrations over NC. We thus proposed a Siberian High position index (SHPI) as the weighted mean of the longitudes of all the grids within the 1023 hPa isobar over the broad region of 60–145° E and 30–65° N (black rectangle in Fig. 5). The SHPI is defined by Eq. (1):

$$\text{SHPI} = \frac{\sum(P_i \cdot L_i)}{\sum P_i} \quad (1)$$

where L_i is the longitude of any eligible grid i within the 1023 hPa isobar and the definition domain, and P_i is the SLP of the corresponding grid. The unit of SHPI is degree in longitude. Our definition of SHPI is similar to the longitude index of SH defined by Hou et al. (2003), but differs with regards to the region over which SHPI is calculated. They defined the index as the weighted mean longitudes of all the grids within the 1023 hPa isobar which may extend westward to Europe and northward to the Arctic. Our definition of SHPI limits the spatial domain over which the 1023 hPa isobar is considered in the SHPI calculation because of our focus on East Asia and particularly China (Fig. 5). The 2001–2013 time series of winter SHPI is displayed in Fig. 6a (black line) and the mean SHPI during this period is 98.9° E. A larger SHPI indicates the center of the Siberian High locates further east of its normal location. Referring back to Fig. 5, the 2003 winter has a significantly higher value of SHPI (102.3° E) than that of 2004 (SHPI = 96.3° E); so does the AOD over NC but not for SHI (cf. Fig. 6a).

Figure 6b shows the time series of winter-mean SHPI and NC AOD from 2001 to 2013. They exhibit a positive correlation of 0.39, which is not significant due to the confounding effect of the increasing trend in AOD. Since the focus here is on variability, the AOD time series were detrended by removing any significant linear trend (detrended

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AOD) and the SHPI time series were normalized by their climatological mean and standard deviation. As shown in Fig. 6c, the detrended NC AOD and normalized SHPI display a strong correlation of 0.76 ($p < 0.01$), which means that the position-based SHPI proposed here captures 58% of the interannual variance in winter AOD over NC. This indicates that on the interannual scale, winter AOD over NC can be better explained by SHPI, an index of the SH position, than the conventional SHI, an index of the SH intensity. According to Hou et al. (2008), the longitude index and intensity index of the Siberian High may not be significantly correlated. Our analysis supports this point since the SHI and SHPI have a weak correlation of only -0.32 (Fig. S1 in the Supplement).

Figure 6d displays the time series of normalized SHPI and detrended NC AOD on the monthly scale. The corresponding raw data time series are provided in Fig. S2. Here the normalization of SHPI is conducted separately for November, December and January to retain its intraseasonal variability. At the monthly scale, the correlation between normalized SHPI and detrended NC AOD is also significant at 0.45 ($p < 0.01$). Some extremely high values of monthly AOD over NC have a clear association with higher values of SHPI. Taking January 2013 as an example, which has the highest AOD over NC among all the 39 winter months studied here, the SHPI of that month is also the highest (106.5°E), lying above 2.6 standard deviation of the 2001–2013 January mean (99.8°E). This association indicates that the anomalous feature of the Siberian High in January 2013 was not only the weakening of its strength (cf. Fig. 1b) but also its more eastward extension, the latter being the primary factor contributing to high PM levels over NC. Another example is February 2011. Both AOD and SHPI of that month are among the highest values of the study period (Fig. 6d and S2). We thus conclude that the SHPI indicator of the SH variability is able to explain extremely high PM pollution over NC on the monthly scale.

4.2 Mechanism

To understand the mechanistic connection between SHPI and winter AOD over NC, we examine in this section how the SHPI variability is associated with the change of large-scale circulation patterns using the NCEP reanalysis data which span 30 years from 1982 to 2011. The years with extremely high SHPI (beyond one standard deviation of the mean) in winter are defined to be high-SHPI years and those below one standard deviation of the mean as low-SHPI years. Figure 7a displays the climatological distribution of 850 hPa wind fields during 1982–2011. The northwesterly winds larger than 5 ms^{-1} over north China and Japan indicate the strong influence of Siberian High and East Asian winter monsoon. The area covered by the prevailing northwesterly winds and the mean speed of those winds exhibit interannual variability that correlates with SHPI to some extent. For example, the winter of 1990 has the highest SHPI (105.9° E) during the 30-year study period and that of 2004 has the lowest SHPI (96.3° E). As shown in Fig. S3, the area of high northwesterly winds in 1990 is smaller with weaker northwesterly winds than 2004. Figure 7b displays the mean difference of 850 hPa winds between the high-SHPI and low-SHPI winters. Mean wind speeds over NC during the high-SHPI winters are about 0.5 to 1 ms^{-1} lower than those during the low-SHPI years. Table 1 shows wintertime zonal and meridional wind speeds averaged over NC on different vertical levels for the 30-year mean, high-SHPI mean, and low-SHPI mean. In high-SHPI winters, both zonal wind speed and meridional wind speed are lower not only on 850 hPa but also on the upper levels. Lower wind speeds are conducive for pollution accumulation over the source region, which explains in part higher AOD in high-SHPI winters. To further illustrate the connections between SHPI and wind changes, Fig. 7c depicts the spatial distribution of correlation coefficients between SHPI and surface RH from 1982 to 2011. SHPI shows a significant positive correlation with RH over NC, indicating enhanced water vapor convergence over NC in high-SHPI winters. This positive correlation arises because weaker northerly winds lead to reduced transport of dry air masses to NC from the cold Siberian landmass which is compensated

5 Discussion

To test the robustness of the relationship between AOD and SHPI developed above on the basis of MODIS AOD and NCEP reanalysis, we conducted the same analysis using AOD derived from MISR (MISR AOD) and SHPI derived from the ERA-Interim reanalysis (ERA SHPI). Table 2 compares the correlation coefficients between the different datasets. Significant positive correlations are consistently found between the SHPI and AOD over NC, regardless of the data sources from which the SHPI and AOD are derived. For example, the ERA SHPI has a correlation of 0.65 with MISR AOD over NC, compared to that of 0.76 between NCEP SHPI and MODIS AOD. This indicates the robustness of the SHPI indicator that we developed here in terms of explaining the interannual variability of AOD over NC. However, the correlation between SHPI and AOD over SC displays a dependence on data sources. The ERA SHPI has a similarly strong negative correlation with MODIS AOD over SC as the NCEP SHPI does, but neither NCEP SHPI nor ERA SHPI correlates well with MISR AOD over this region. This discrepancy can be partly explained by the inconsistency of AOD interannual variability retrieved by MODIS and MISR over SC. As shown in Fig. S5a, the correlation coefficient between the two AOD time series over SC is only 0.07, although neither shows a significant increasing trend during 2001–2013. However, the AOD time series from MODIS and MISR show a strong correlation of 0.7 over NC (Fig. S5b). Since SC has more cloud coverage than NC, the inconsistency between MODIS and MISR over SC may lie in the different cloud-screening algorithms between MODIS and MISR. In addition, MISR has a lower sampling frequency than MODIS which may also leads to the inconsistency (Zhang et al., 2010). Therefore, our conclusion on the association of SHPI and AOD variability in SC may require verification by later studies.

In addition to the conventional SHI, the number of cold air surges has been used as an indicator of the strength of the SH in winter. A cold air surge is an influx of unusually cold continental air from the Arctic Ocean and Siberia into middle or lower latitudes, and it is the main disastrous weather influencing China in the winter-half-

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Table 2. Correlation coefficients between SHPI derived from NCEP and ERA and AOD derived from MODIS and MISR.

	North China (NC) AOD		South China (SC) AOD	
	MODIS	MISR	MODIS	MISR
NCEP SHPI	0.76	0.67	−0.82	0.03
ERA SHPI	0.79	0.65	−0.74	−0.09

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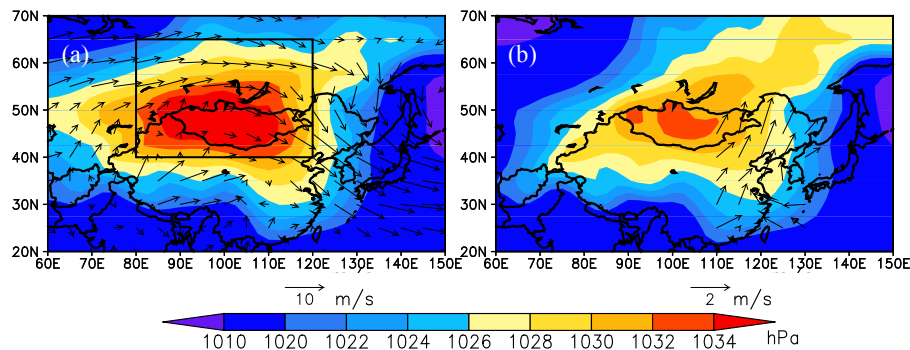


Figure 1. (a) Multi-year (2001–2012) mean January SLP (shaded) and 850 hPa wind fields (vector); (b) January 2013 SLP (shaded) and the anomalies 850 hPa wind fields (vector); the black rectangle outlines the region used in the definition of conventional Siberian High intensity.

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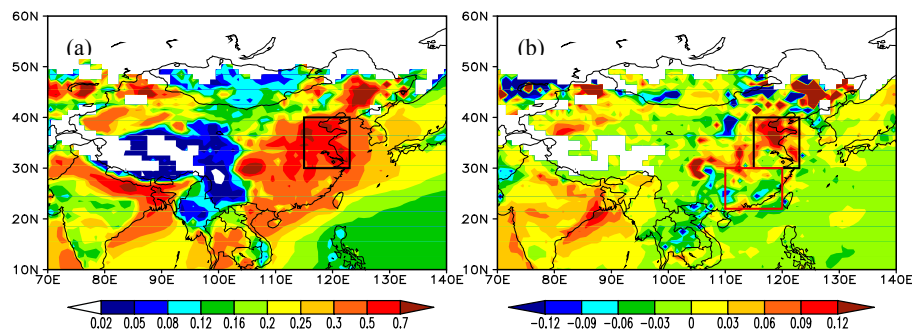


Figure 2. (a) Multi-year mean winter AOD from 2001–2013; (b) the change of winter mean AOD between 2007–2013 and 2001–2006 (2007–2013 minus 2001–2006). The black rectangle outlines north China (NC); the red rectangle outlines south China (SC).

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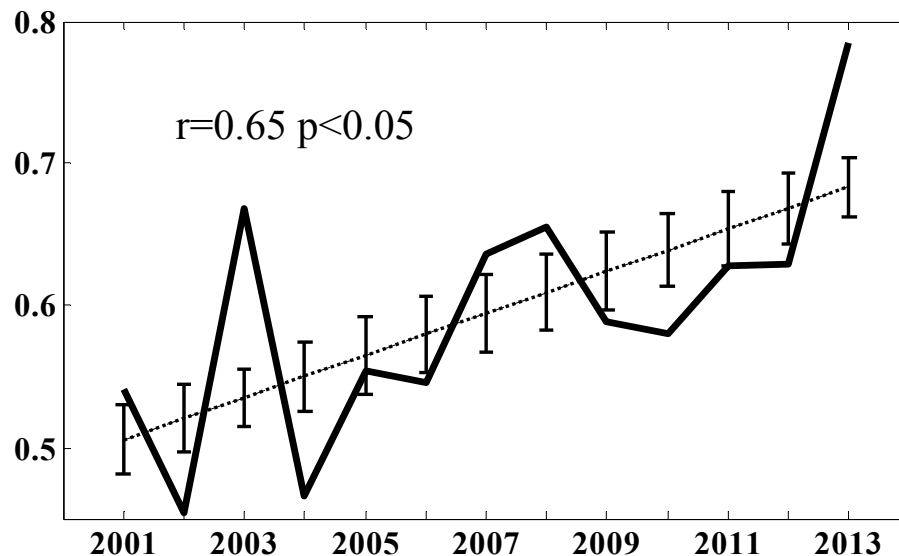


Figure 3. Time series of winter mean AOD over north China (solid thick line) and the fitted linear regression line (dotted thin line). The insert shows the correlation coefficient (r) and significance of the linear regression. The vertical thin line indicates the residual confidence interval of the linear regression slope ($\alpha = 0.7$).

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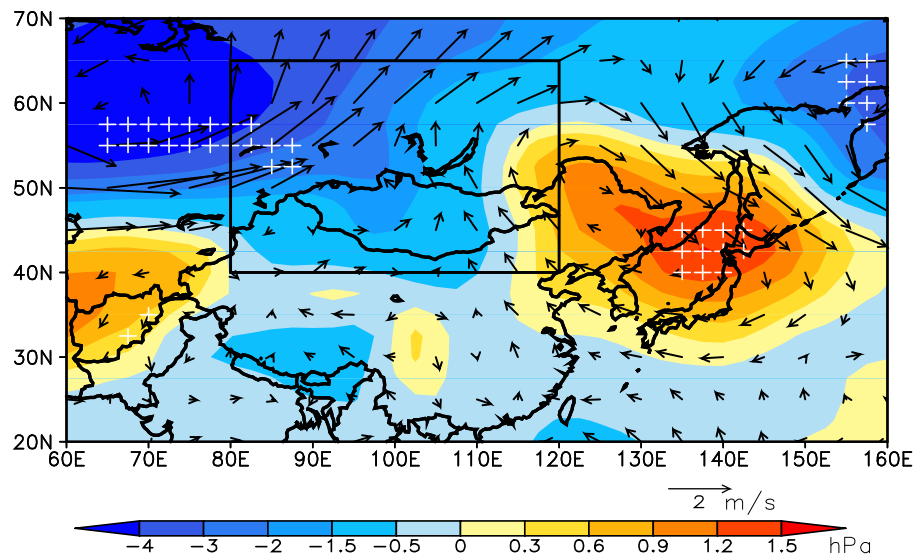


Figure 4. Difference of SLP (shaded, hPa) and 850 hPa wind vectors (m s^{-1}) between high- and low-AOD winters; areas with white pluses are differences at the 10% significance level; the black rectangle outlines the region used in the definition of conventional SHI.

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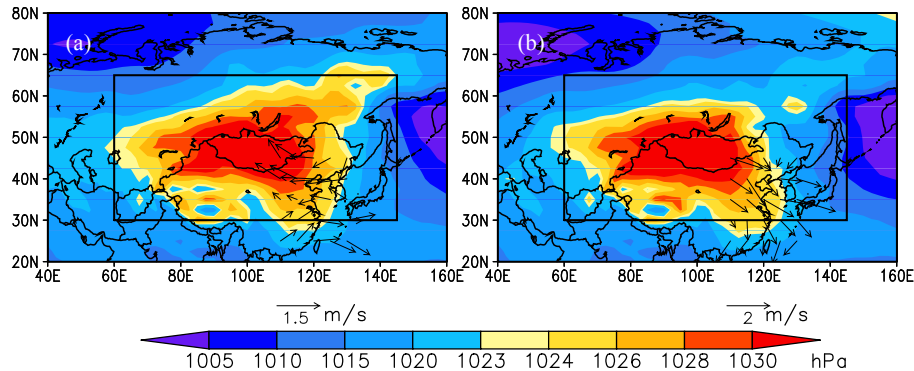


Figure 5. Distribution of winter SLP (shaded) and anomalous (minus 13-year mean) 850 hPa wind fields (vector) in **(a)** 2003, and **(b)** 2004; the black solid rectangle outlines the region used in the definition of SHPI.

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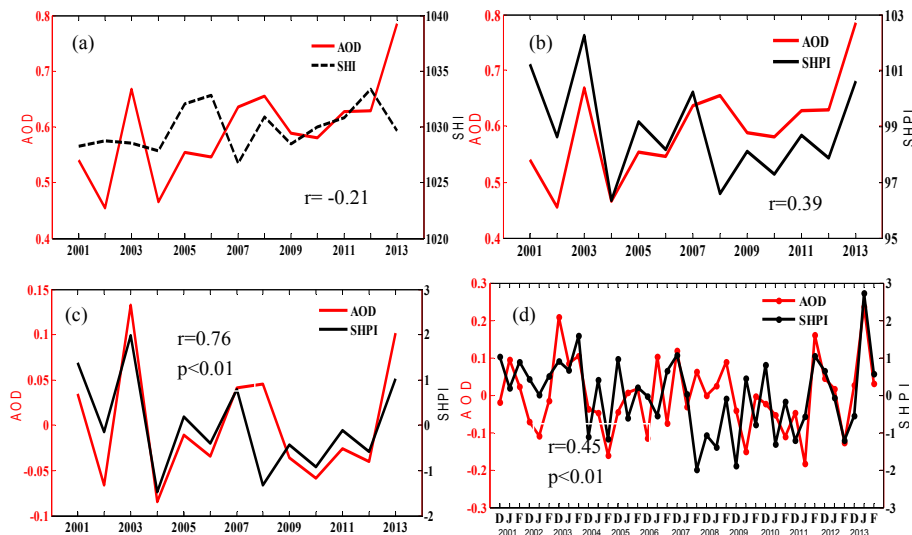


Figure 6. Time series of wintertime AOD over north China (red lines) with (a) SHI and (b) SHPI during 2001–2013. (c) Same as (b), but for detrended NC AOD and normalized SHPI. (d) Detrended NC AOD and normalized SHPI for each winter month (December–February) during 2001–2013.

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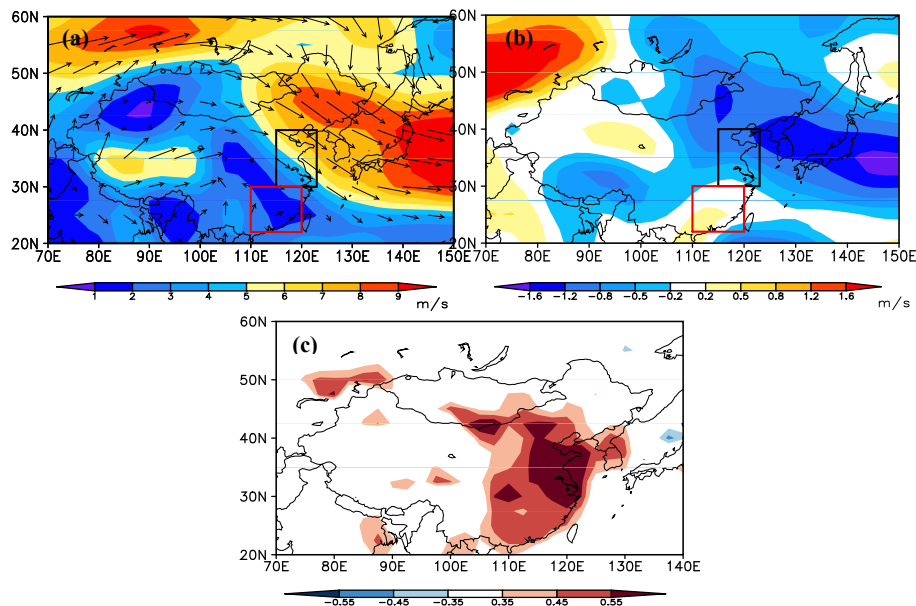
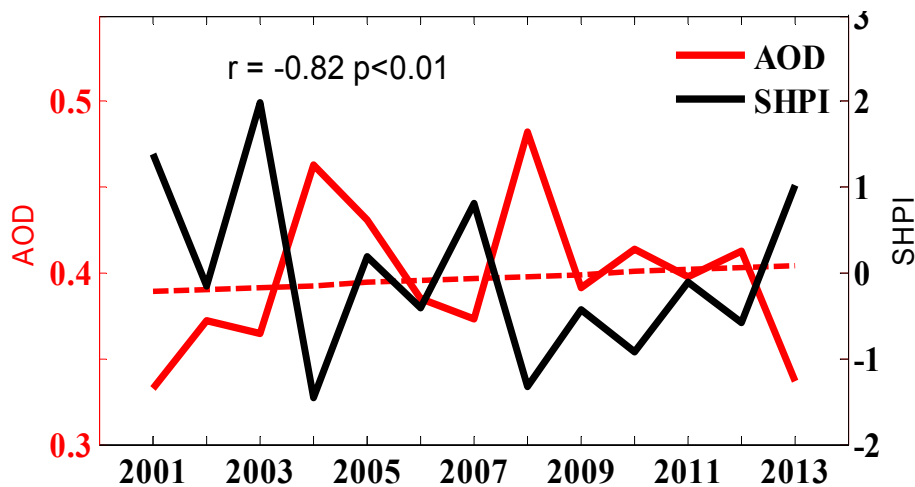


Figure 7. Geographic distributions of (a) multi-year (1982–2011) mean winter 850 hPa wind fields (vector) and wind speed (shaded), (b) difference of wind speed between high-SHPI year mean and low-SHPI year mean (m s^{-1}), and (c) winter interannual correlation coefficients of SHPI with relative humidity (colored areas are correlations above the 5% significance level).

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**Figure 8.** Time series of AOD over South China and normalized SHPI.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)