

# 1   **RESPONSE TO REFEREES' COMMENTS**

## 2   **Referee 1**

3   Firstly, we appreciate your positive evaluation of our work. The responses of your specific comments  
4   are outlined in detail below.

5

6   **1.** At present, the contribution of vehicle emission to PM<sub>2.5</sub> is a hot topic. The research result of  
7   contribution in this paper is relatively small. The generation of PM<sub>2.5</sub> is a complex chemical process,  
8   in addition to the primary emission source, the contribution of the second transformation cannot be  
9   ignored. The switch on and off test of emission in model simulation may not be suitable for evaluating  
10   the chemical conversion. How do the authors consider it? More explanations need to be illustrated.

11   **Response:** Source apportionment based on air quality numerical model includes source sensitivity  
12   simulations using the brute force method (also referred as zero-out method) or the decoupled direct  
13   method (DDM), air pollution tagged method, and the adjoint method (Burr and Zhang, 2011a; Burr  
14   and Zhang, 2011b; An et al., 2015; Zhang et al., 2015). With comprehensible physical and chemical  
15   process, adjoint method has a significant advantage in source apportionment compared to sensitivity  
16   simulations or tagged method. However, the development of adjoint model is facing a challenge due  
17   to complicated mathematics and a large amount of data processing and programming, which results  
18   in less available regional scale air quality adjoint model. The tagged method tracks contribution of  
19   pollutant from specific source and undergo the explicit atmospheric processes, but it is not able to  
20   simulate indirect effects and oxidant-limiting effects. The contribution of vehicle emission is acquired  
21   via numerical sensitivity test of switching on/off vehicle emission in Beijing in this study. The switch  
22   on/off emission was widely used to investigate the contribution of single source or local emission in  
23   previous studies (An et al., 2007; Cheng et al., 2007; Lang et al., 2013; Wu et al., 2011). However, a  
24   widely range of emission variation may result in significant variation of background pollution level.  
25   In this study, the simulation of switching off vehicle emission in Beijing keeps atmospheric  
26   background pollution level basically which has a significant effect on the chemical conversion  
27   because of relative limited change of emission. Meanwhile it considers the effect of vehicle emission

1 on secondary pollution, e.g. secondary aerosol which becomes the important components of PM in  
2 Beijing. So the uncertainties of this method is relative small.

3 Reference:

4 An, X., Zhu, T., Wang, Z., Li, C., and Wang, Y.: A modeling analysis of a heavy air pollution episode  
5 occurred in Beijing, *Atmos. Chem. Phys.*, 7, 3103-3114, doi:10.5194/acp-7-3103-2007, 2007.

6 An, X. Q., Zhai, X. S., Jin, M., Gong, S. L., Wang, Y.: Tracking influential haze source areas in North  
7 China using an adjoint model, *GRAPES-CUACE, Geosci. Model Dev. Discuss.*, 8, 7313-7345,  
8 doi:10.5194/gmdd-8-7313-2015, 2015.

9 Burr, M., and Zhang, Y.: Source apportionment of fine particulate matter over the Eastern U.S. Part  
10 I: source sensitivity simulations using CMAQ with the brute force method. *Atmospheric Pollution  
11 Research*, 2, 299-316, 2011a.

12 Burr, M., and Zhang, Y.: Source apportionment of fine particulate matter over the Eastern U.S. Part  
13 II: source apportionment simulations using CAMx/PSAT and comparisons with CMAQ source  
14 sensitivity simulations. *Atmospheric Pollution Research*, 2, 318-336, 2011b.

15 Cheng, S. Y., Chen, D. S., Li, J. B., Wang, H. Y., and Guo, X. R.: The assessment of emission-source  
16 contributions to air quality by using a coupled MM5-ARPS-CMAQ modeling system: A case study  
17 in the Beijing metropolitan region, China, *Environ. Modell. Softw.*, 22, 1601-1616,  
18 doi:10.1016/j.envsoft.2006.11.003, 2007.

19 Lang, J., Cheng, S., Li, J., Chen, D., Zhou, Y., Wei, X., Han, L., and Wang, H.: A monitoring and  
20 modeling study to investigate regional transport and characteristics of PM<sub>2.5</sub> pollution, *Aerosol Air  
21 Qual. Res.*, 13, 943-956, doi:10.4209/aaqr.2012.09.0242, 2013.

22 Wu, Q., Wang, Z., Gbaguidi, A., Tang, X., and Zhou, W: Numerical study of the effect of traffic  
23 restriction on air quality in Beijing, *Sola*, 6a, 17-20, doi:10.2151/sola.6a-005, 2010.

24 Zhang, L., Liu, L.C., Zhao, Y.H., Gong, S.L., Zhang, X.Y., Henze, D., Capps, S., Fu, T., Zhang, Q.,  
25 Wang, Y.X.: Source attribution of particulate matter pollution over North China with the adjoint  
26 method. *Environ. Res. Lett.*, 10, 084011, doi:10.1088/1748-9326/10/8/084011, 2015.

27 **Changes in manuscript:** More details were supplied in section 2.2.

28

1 **2.** The spatial distribution of the VEC to NO<sub>2</sub> and PM<sub>2.5</sub> is different. It needs to be discussed in detail  
2 combined with the emission distribution characteristics of high resolution and the wind field.

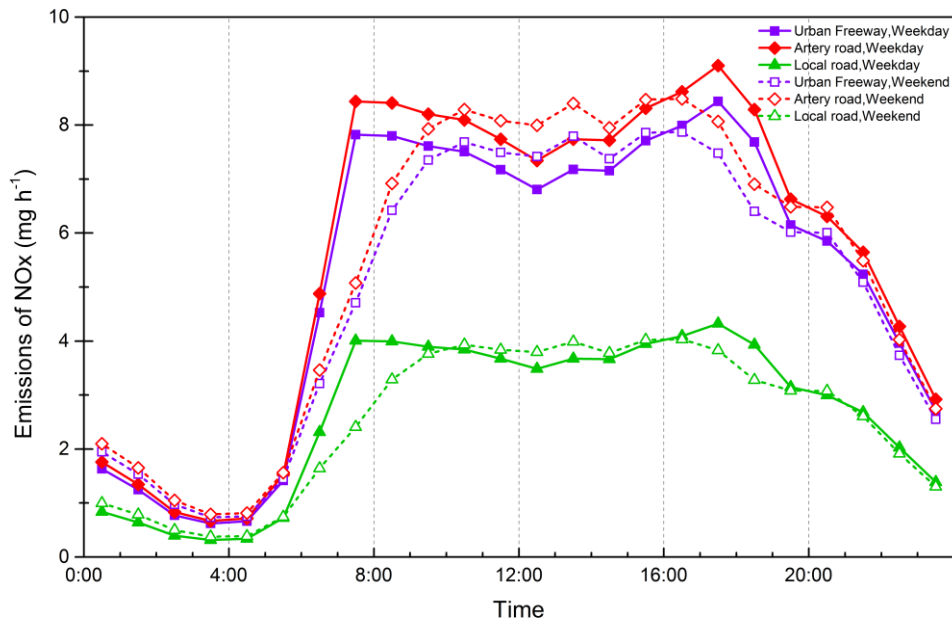
3 **Response:** The spatial distribution of VEC or RVEC are tremendously affected by vehicle emissions,  
4 as they are mostly consistent with the rate of vehicle emission in total emission (Fig. 4). As pointed  
5 by Jing et al., (2015), the uncertainty of HTSVE is very small through multiple comparison with  
6 statistical data and real time observation. But the uncertainty of other sector emissions has a negative  
7 influence on the precision of RVEC, which need more improvement for accurate environmental  
8 management. Local circulation also determines the spatial distribution of RVEC. High PM<sub>2.5</sub> emission  
9 from vehicle is found between north Fourth Ring Road and north Five Ring Road (See Part. 1, Fig.  
10 9). Controlled by southwest wind, PM<sub>2.5</sub> from vehicle is easily transferred out of the main urban areas,  
11 which results in low RVEC in July. However, the most of PM<sub>2.5</sub> from vehicle stay in east main city  
12 controlled by northwest wind, which results in high RVEC in December.

13 **Changes in manuscript:** Annual mean emissions and the rate of vehicle emission in total emission  
14 for NO<sub>2</sub> and PM<sub>2.5</sub> were added in Fig.4. More details and modifications were supplied in section 3.1.  
15

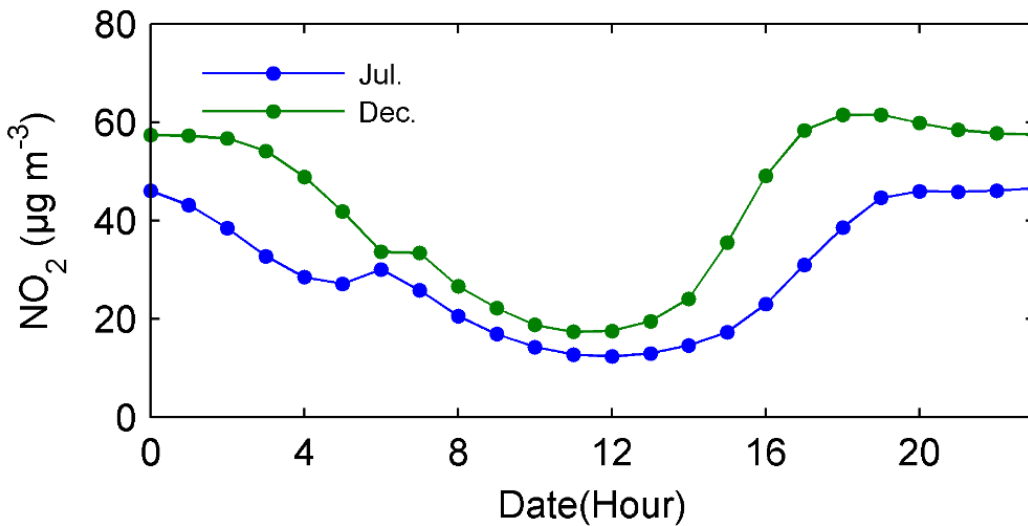
16 **3.** The vehicle emissions have obvious peak characteristics in morning and evening. How the authors  
17 consider this in your simulation test? Does the simulated diurnal variation have some characteristics  
18 influenced from the peak characteristics?

19 **Response:** Based on NRT traffic data, vehicle emissions of each road are derived from vehicle  
20 emission inventory model (see Part 1). Significant diurnal variation of vehicle emissions is observed  
21 with two peaks during 7:00-10:00 and 16:00-18:00 BT (Fig. S1). With the assistance of ArcGIS,  
22 hourly gridded vehicle emissions were estimated at the resolution as same as CUACE (HTSVE).  
23 Hourly emissions of CUACE including industry, power plant, vehicle etc., were calculated by daily  
24 mean emissions and activity level of different sources. To analyze the effect of high resolution vehicle  
25 emissions on air pollution, the vehicle emissions of CUACE were replaced by HTSVE in air quality  
26 numerical simulation. Fig. S2 shows the diurnal variation of NO<sub>2</sub> concentration from CUACE. NO<sub>2</sub>  
27 concentrations were affected by emissions, meteorological conditions, and physical and chemical  
28 processes. It seems that meteorological conditions may be the primary reason responsible for the

1 diurnal variation of NO<sub>2</sub> as low concentration vs high emissions appears in daytime. The weak peak  
 2 of NO<sub>2</sub> appears because of high emissions in the morning. The strong peak in p.m. is determined by  
 3 a combined effect of high emissions and unfavorable diffusion of meteorological conditions. The  
 4 reason for diurnal variation of pollutant concentrations is not deeply discussed as it is not the major  
 5 concern in this paper.



6  
 7 **Fig. S1.** Hourly variation of vehicle emission by road type on weekdays and weekends.



8  
 9 **Fig. S2.** The diurnal variation of NO<sub>2</sub> concentration from CUACE.

10  
 11 **4.** Provide significant test of the VEC and RVEC in summer and winter.

1 **Response:** The significant test of the difference of VEC and RVEC in different seasons were carried  
2 out using one-way analysis of variance, and is listed in revised version.

3 **Changes in manuscript:** It was modified in section 3.2 and 3.3.  
4

5 **5.** INTEX-B inventory is usually INTEXB 2006.

6 **Response:** Anthropogenic emissions of CUACE were developed by CMA based on INTEX-B  
7 inventory, emissions database for global atmospheric research (EDGAR) and environmental statistics  
8 database. Some old data was corrected and updated according to the variation rate of anthropogenic  
9 emissions from environmental statistics database.

10 **Changes in manuscript:** The detailed description was added in section 2.3.  
11

12 **6.** In Fig. 3, provide the mean concentration of sites observation.

13 **Response:** Fig.3 has been modified according to referee's suggestion.  
14

15 **7.** In Fig. 6, adds fluctuation range of the mean VEC.

16 **Response:** The figure has been modified according to referee's suggestion.  
17

18 **8.** In Fig. 7, adds fitting line and fitting degree.

19 **Response:** The figure has been modified according to referee's suggestion.  
20

1 **Referee 2**

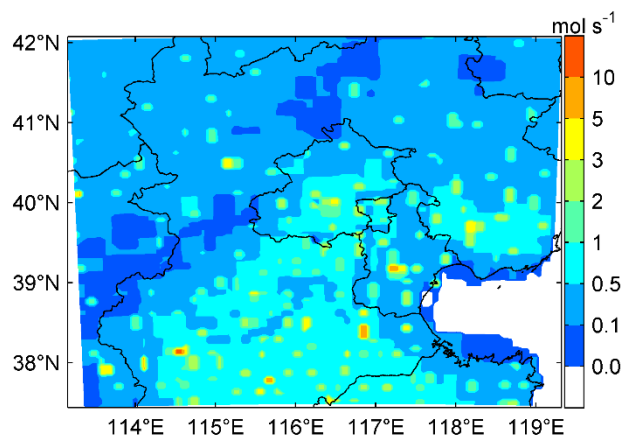
2 Firstly, we appreciate your positive evaluation of our work. The responses of your specific comments  
3 are outlined in detail below.

4

5 **1.** My main concern is about the ammonium aerosol considered in this study. I do not see how NH<sub>3</sub>  
6 is included the emission inventory. As we know, NH<sub>3</sub> emissions from agriculture and other sectors  
7 cannot be ignored in East China, and the mass loading of ammonium should be a major contribution  
8 to PM<sub>2.5</sub> in North China. On the other hand, the NH<sub>3</sub> is an important factor that determines the  
9 formation of nitrate, which is another major aerosol species in PM<sub>2.5</sub>, through the reaction below:  
10 NH<sub>3</sub> (gas) + HNO<sub>3</sub> (gas) → NH<sub>4</sub>NO<sub>3</sub> (solid). Therefore, if the emission of NH<sub>3</sub> was not correctly  
11 considered in this study, the simulation about nitrate and ammonium would be deeply affected. This  
12 will lead to two significant uncertainties: One is the uncertainties in the simulation of the total mass  
13 burden of PM<sub>2.5</sub> in model domain. Even in Beijing, the transport of ammonia from surrounding  
14 region is important as well.

15 Another is the uncertainties of the nonlinearity in the processes of nitrate formation. Nitrate is a  
16 secondary aerosol component. The nonlinearity means if we cut down 50% the precursor NO<sub>x</sub>, the  
17 variation of secondary aerosol nitrate may not decrease 50% as well, and sometimes they can be  
18 enhanced (Burr and Zhang, 2011, APR). What's more, NO<sub>x</sub> is the major pollutant emitted from  
19 vehicle sources. Thus, the sensitivity tests in this study may provide unreasonable results because of  
20 the lack of description of ammonium. I suggest the authors conduct the simulation works with nearly  
21 compiled NH<sub>3</sub> emissions from Song Yu (Beijing University), and the simulation results of nitrate  
22 should be provided at least, as it is the main secondary pollutant of vehicle sources.

23 **Response:** NH<sub>3</sub> emission is an important component in CUACE emission inventory (Fig. S3), and it  
24 is comparable with previous study (Zhao, 2007). The revised manuscript adds the analysis of the  
25 influence of vehicle emission on ammonium (NH<sub>4</sub><sup>+</sup>) and nitrate (NO<sub>3</sub><sup>-</sup>) (Table 5).



1  
2 **Fig. S3** Annual mean emissions of NH<sub>3</sub> in D02.

3 Source apportionment based on air quality numerical model includes source sensitivity simulations  
4 using the brute force method (also referred as zero-out method) or the decoupled direct method  
5 (DDM), air pollution tagged method, and the adjoint method, which was detailedly described in the  
6 response of question 1 of referee 1. In pervious study, the impact of Beijing local emission on air  
7 pollution is almost linear via source sensitivity analysis (An et al., 2007). Sensitivity analysis is  
8 suitable to investigate the contribution of vehicle emission in Beijing due to the limited change of  
9 emission in this study. The VEC of chemical components in Beijing urban was listed in Table 5. The  
10 details was supplied in revised manuscript.

11 Reference:

12 An, X., Zhu, T., Wang, Z., Li, C., and Wang, Y.: A modeling analysis of a heavy air pollution episode  
13 occurred in Beijing, *Atmos. Chem. Phys.*, 7, 3103-3114, doi:10.5194/acp-7-3103-2007, 2007.

14 Zhao, B.: The research of air pollution source emission for the north China. Chinese Academy of  
15 Meteorological Sciences, Master's Thesis, 2007.

16 **Changes in manuscript:** The details were supplied in section 2.2 and 3.2.

17

18 **2.** Section 2.1, more description about the model should be provided, especially the chemical part.

19 **Response:** More description of CUACE model has been provided in revised manuscript. Gaseous  
20 chemical is based on the Regional Acid Deposition Model (RADM) covering 66 gaseous species  
21 (Stockwell et al., 1990; Wang et al., 2015). Aerosol module includes mixing scheme, clear-sky  
22 processes, dry deposition, below-cloud scavenging, in-cloud processes. Seven aerosol species, i.e.

1 sulfates, soil dust, black carbon, organic carbon, sea salts, nitrates, and ammonium salts are  
2 considered in aerosol chemical module. The details of sulphur chemistry, cloud chemistry,  
3 coagulation, nucleation, condensation etc. were depicted by Gong et al. (2003).

4 Reference:

5 Gong, S. L., Barrie, L. A., Blanchet, J. P., von Salzen K., Lohmann, U., Lesins, G., Spacek, L., Zhang,  
6 L. M., Girard, E., Lin, H., Leaitch, R., Leighton, H., Chylek, P., and Huang, P.: Canadian aerosol  
7 module: a size-segregated simulation of atmospheric aerosol processes for climate and air quality  
8 models 1. Model development, *J. Geophys. Res.*, 108, 4007, doi:10.1029/2001JD002002, 2003.

9 Stockwell, W. R., Middleton, P., Chang, J. S., and Tang, X.: The second generation regional acid  
10 deposition model chemical mechanism for regional quality modeling, *J. Geophys. Res.*, 95 16343-  
11 16376, 1990.

12 Wang, H., Xue, M., Zhang, X. Y., Liu, H. L., Zhou, C. H., Tan, S. C., Che, H. Z., Chen, B., and Li,  
13 T.: Mesoscale modeling study of the interactions between aerosols and PBL meteorology during a  
14 haze episode in Jing-Jin-Ji (China) and its nearby surrounding region-Part 1: Aerosol distributions  
15 and meteorological features, *Atmos. Chem. Phys.*, 15, 3257-3275, doi:10.5194/acp-15-3257-2015,  
16 2015.

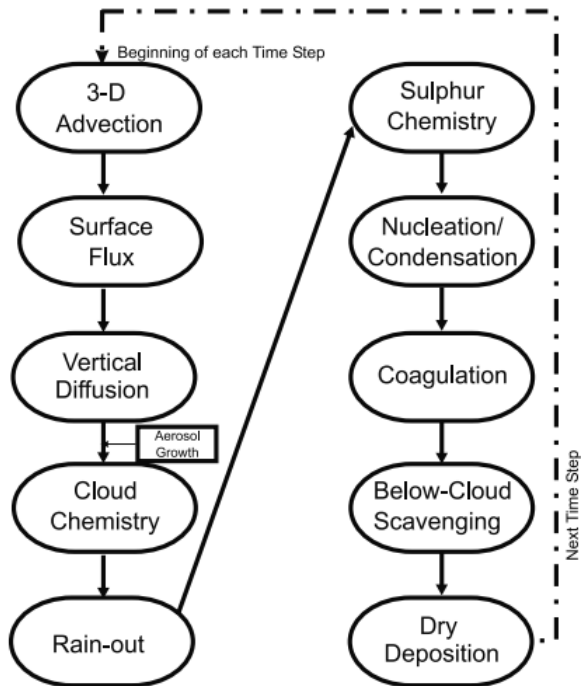
17 **Changes in manuscript:** More description of CUACE model has been provided in section 2.1.

18

19 **3.** Page 19244, line 9, "ammonia"? If here means one aerosol species, I think it should be ammonium.  
20 And how does the model treat this aerosol?

21 **Response:** It has been modified in revised version. As mentioned above, aerosol module includes  
22 mixing scheme, clear-sky processes, dry deposition, below-cloud scavenging, in-cloud processes. The  
23 aerosol size spectrum was divided into a number of bins. Fig. S4 shows the flowchart of aerosol  
24 module. The details of sulphur chemistry, cloud chemistry, coagulation, nucleation, condensation etc.  
25 were depicted by Gong et al. (2003).





1

2 **Fig. S4** The flowchart of aerosol module. (Gong et al., 2003)

3 Reference:

4 Gong, S. L., Barrie, L. A., Blanchet, J. P., von Salzen K., Lohmann, U., Lesins, G., Spacek, L., Zhang,  
 5 L. M., Girard, E., Lin, H., Leaitch, R., Leighton, H., Chylek, P., and Huang, P.: Canadian aerosol  
 6 module: a size-segregated simulation of atmospheric aerosol processes for climate and air quality  
 7 models 1. Model development, *J. Geophys. Res.*, 108, 4007, doi:10.1029/2001JD002002, 2003.

8

9 **4.** Page 19244, line 19, I cannot find the reference Li et al., 2013, should be 2014?

10 **Response:** Thanks for your reminding. It has been corrected in revised revision.

11

12 **5.** Page 19248, line 10-24, why the authors used the evaluation results of previous studies? All of  
 13 them were the results in 2008? The evaluation of simulation results in 2013 should be provided here.

14 **Response:** The performance of mesoscale meteorological model evaluated in recent years has been  
 15 supplied in revised manuscript.

16

1 **6.** Page 19249, why not present the evaluation results in each observation stations? Why just presents  
2 the average results in Figure 2? More details can be seen if provided the evaluation results in each  
3 observation stations.

4 **Response:** Except for temporal trends, the evaluation of spatial distribution of NO<sub>2</sub> and PM<sub>2.5</sub> has  
5 been conducted in Fig. 3 in revised manuscript. It is found that CUACE can well reproduce temporal  
6 trends as well as spatial distribution characteristics of NO<sub>2</sub> and PM<sub>2.5</sub> concentrations. The  
7 performance statistics of hourly concentrations in July and December was supplied in supplement  
8 file.

9

10 **7.** Page 19254, line 16-17, switch off/on one emission sector would also change the back ground  
11 pollutant concentrations and chemical processes. This point is similar with "zero-out" method. And  
12 this is beneficial to capture the nonlinear relationship between precursors and secondary pollutants.  
13 Here the statement should be modified.

14 **Response:** Thanks for your reminding. It has been corrected in revised revision.

15

16 **8.** In Figure 2(d), I did not see the blue line. Does it coincide with the red one?

17 **Response:** Minor difference of PM<sub>2.5</sub> concentration is observed between SIM1 and SIM2 due to little  
18 vehicle emission change (Table 3).

19

20 **9.** In abstract and at Page 9 Line 11, it is noted that the update emission HTSVE used in this study  
21 was presented in Jing et al. (2015). Actually, I cannot get any volumes and issues information of Jing  
22 et al. (2015) from the REFERENCE part (Page 19 Line 12). The author should give the right citation  
23 of Jing et al. (2015).

24 **Response:** It has been corrected in revised revision.

25

26 **10.** The boundary conditions used in the simulations is from McKeen et al. (2002). I think this is  
27 inappropriate and may result in underestimates of the gas and aerosol concentration. The McKeen

1 initial and boundary conditions are for the US. Western BC over the eastern Pacific Ocean and will  
2 be very low. I suggest rerunning the simulations using BC obtained from output from a global model.  
3 **Response:** Thanks for referrer's advice. The accuracy of chemical boundary conditions is an  
4 important factor in regional air pollution numerical simulations. Even the boundary condition from  
5 global model has inherent errors. In this study, chemical boundary conditions were the default profile  
6 in WRF-Chem, which was widely used in recent studies (Gao et al., 2011; Zhang et al., 2015). On the  
7 other hand, the model is configured to have three nested domains to weaken the impact of boundary  
8 condition. Thirdly, the outer domain is large enough to reduce spurious boundary effects in the inner  
9 domain. Fourthly, the extra 10 day run (i.e. 21<sup>st</sup> June to 30<sup>th</sup> June, 21<sup>st</sup> November to 30<sup>th</sup> November)  
10 was conducted to reduce the effect of chemical initial and boundary conditions. Lastly, the major  
11 concern of this study is effect of vehicle emission on Beijing's air quality which mainly affected by  
12 the accuracy and the rate of vehicle emissions. Based on the reasons mentioned above, I think that  
13 the setting of boundary and initial conditions is reasonable thought these have some inherent  
14 uncertainties. And I also agree that the uncertainties of chemical boundary conditions need more  
15 deeply investigation, especially in regional transport research.

16 Reference:

17 Gao, Y., Liu, X., Zhao, C., and Zhang M.: Emission controls versus meteorological conditions in  
18 determining aerosol concentrations in Beijing during the 2008 Olympic Games. *Atmos. Chem.*  
19 *Phys.*, 11, 12437-12451, doi:10.5194/acp-11-12437-2011, 2011.

20 Zhang, L., Jin, L. J., Zhao, T. L., Yin, Y., Zhu, B., Shan, Y. P., Guo, X. M., Tan, C. H., Gao, J. H.,  
21 Wang, H. L.: Diurnal variation of surface ozone in mountainous areas: case study of Mt. Huang,  
22 East China. *Sci. Total Environ.*, 538, 583-590, 2015.

23

24 **11.** This study only evaluates the site average concentration of NO<sub>2</sub> and PM<sub>2.5</sub>. However, the vehicle  
25 emission and other emissions are different at different sites. In addition, only comparison of NO<sub>2</sub> and  
26 PM<sub>2.5</sub> are still limited. I think it is necessary to present the comparison of model results with  
27 observations at each site and add comparison of other gas and aerosol concentration (e.g., NO, O<sub>3</sub>,  
28 NO<sub>3</sub>-, SO<sub>4</sub><sup>2-</sup>, BC, OC).

1 **Response:** Thanks for your advice. The manuscript has been modified (Table 5).

2

3 **12.** At Page 11 Line 12, what is the reason for the low correlation of NO<sub>2</sub>? Is it related to the  
4 uncertainty of emissions or gas chemistry? The author should explain more for this.

5 **Response:** The uncertainty of emission inventory increases with the spatial resolution of numerical  
6 model, and it is one of the reasons for simulated bias because of high spatial resolution (3km). The  
7 rate of NO<sub>x</sub> emission from vehicle in total emission has a slightly seasonal change (Table 3). So the  
8 uncertainty of photochemical reaction which is more significant in summer (especially for NO<sub>x</sub>)  
9 might result in large bias compared to the performance of NO<sub>2</sub> in wintert.

10 **Changes in manuscript:** More explain are supplied in section 3.1.

11

12 **13.** In Table 2, please add the update emission HTSVE. The CUACE emission is very different with  
13 other studied especially for CO and NOX according to Table 2, what about HTSVE? The author  
14 should discuss about the resulting uncertainty in this study.

15 **Response:** Thanks for your advice. It has been modified in Table 2. The uncertainty has been  
16 discussed in revised manuscript.

17 **Changes in manuscript:** It was discussed in section 2.3.

18

19 **14.** The author should present the comparison of meteorological condition and the statistical analysis  
20 in supplement file.

21 **Response:** The evaluations of meteorological conditions and air pollution are provided in supplement  
22 file with the form of statistical analysis.

23

24 **15.** At Page 14 Line 1, please explain more about Figure 7.

25 **Response:** Low VEC presents in serious pollution, while high VEC presents in low pollution  
26 concentration level, especially for NO<sub>2</sub>. The absolute contribution of vehicle emission increases in  
27 severe pollution mostly because of adverse dispersion condition. However, pollutant regional

1 transport is enhanced in severe pollution, which results in negatively correlation between VEC and  
2 pollution concentration level.

3 **Changes in manuscript:** More explain was listed in section 3.2.

4

5 **16.** Please discuss more about Figure 8 and 9 with distribution of vehicle emission and wind direction.

6 **Response:** The spatial distribution of RVEC are tremendously affected by vehicle emissions, as they  
7 are mostly consistent with the rate of vehicle emission in total emission (Fig. 4). As pointed by Jing  
8 et al., (2015), the uncertainty of HTSVE is very small through multiple comparison with statistical  
9 data and real time observation. But the uncertainty of other sector emissions has a negative influence  
10 on the precision of RVEC, which need more improvement for accurate environmental management.  
11 Local circulation also determines the spatial distribution of RVEC. High PM<sub>2.5</sub> emission from vehicle  
12 is found between north Fourth Ring Road and north Five Ring Road (See Part. 1, Fig. 9). Controlled  
13 by southwest wind, PM<sub>2.5</sub> from vehicle is easily transferred out of the main urban areas, which results  
14 in low RVEC in July. However, the most of PM<sub>2.5</sub> from vehicle stay in east main city controlled by  
15 northwest wind, which results in high RVEC in December.

16 Reference:

17 Jing, B. Y., L. Wu, H. J. Mao, S. L. Gong, J. J. He, C. Zou, G. H. Song, and X. Y. Li: Development  
18 of a High Temporal-Spatial Resolution Vehicle Emission Inventory Based on NRT Traffic Data  
19 and Its Impact on Air Pollution in Beijing, Part 1: Development and evaluation of vehicle emission  
20 inventory, *Atmos. Chem. Phys. Discuss.*, 15, 26711-26744, doi:10.5194/acpd-15-26711-2015,  
21 2015.

22 **Changes in manuscript:** More explain has been listed in section 3.3.

23

1 **Development of a High Temporal-Spatial Resolution Vehicle Emission Inventory Based on**  
2 **NRT Traffic Data and Its Impact on Air Pollution in Beijing**

3  
4 **Part 2: Impact of vehicle emission on urban air quality**

5  
6 J. J. He<sup>1</sup>, L. Wu<sup>1</sup>, H. J. Mao<sup>1</sup>, H. L. Liu<sup>2</sup>, B. Y. Jing<sup>1</sup>, Y. Yu<sup>3</sup>, P. P. Ren<sup>1</sup>, C. Feng<sup>4</sup>, and X. H. Liu<sup>4</sup>

7  
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10 China

11 <sup>3</sup>Clod & Arid Regions Environmental & Engineering Research Institute, Chinese Academy of  
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13 <sup>4</sup>Tianjin Vehicle Emission Control Center, Tianjin, China

14  
15 Correspondence to: H. J. Mao (hongjun\_mao@hotmail.com); H. L. Liu  
16 (liuhongli@cams.cma.gov.cn)

17  
18 **Abstract**

19 In a companion paper (Jing et al., 2015), a high temporal–spatial resolution vehicle emission  
20 inventory (HTSVE) for 2013 in Beijing has been established based on near real time (NRT) traffic  
21 data and bottom up methodology. In this study, based on the sensitivity analysis method of switching  
22 on/off pollutant emissions in the Chinese air quality forecasting model CUACE, a modeling study  
23 was carried out to evaluate the contributions of vehicle emission to the air pollution in Beijing main  
24 urban areas in the periods of summer (July) and winter (December) 2013. Generally, CUACE model  
25 had good performance of pollutants concentration simulation. The model simulation has been  
26 improved by using HTSVE. The vehicle emission contribution (VEC) to ambient pollutant  
27 concentrations not only changes with seasons but also changes over moment. The mean VEC, affected  
28 by regional pollutant transports significantly, is 55.4 and 48.5 % for NO<sub>2</sub>, while 5.4 and 10.5 % for  
29 PM<sub>2.5</sub> in July and December 2013, respectively. Regardless of regional transports, relative vehicle  
30 emission contribution (RVEC) to NO<sub>2</sub> is 59.2 and 57.8 % in July and December 2013, while 8.7 and  
31 13.9 % for PM<sub>2.5</sub>. The RVEC to PM<sub>2.5</sub> is lower than PM<sub>2.5</sub> contribution rate for vehicle emission in  
32 total emission, which may be caused by easily dry deposition of PM<sub>2.5</sub> from vehicle emission in near-

1 surface layer compared to elevated source emission.

## 2 **1 Introduction**

3 In recent years, the serious atmospheric environment problems in China attract special attention from  
4 government, publics and researchers. Due to the control of coal combustion, the type of air pollution  
5 is changing from smoke to vehicle exhaust and mixed sources, and the secondary aerosols and  
6 regional transports play an important role in severe haze episodes (Zhang et al., 2006; Huang et al.,  
7 2014), which make it more difficult to control air pollution. Air pollution caused by traffic emission  
8 has become the main concern of pollution control, especially in metropolitan cities. Direct emission  
9 pollutants from road traffic include nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), hydrocarbon  
10 (HC), particulate matter (PM) and so on (Zhou et al., 2005; Song and Xie, 2006). Based on RAINS-  
11 ASIA computer model, five sectors direct emissions of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>)  
12 and carbon monoxide (CO) including industry, power, domestic, transportation and biofuels in 1990,  
13 1995 and 2020 were estimated for China by Streets and Waldhoff (2000), the transportation sector  
14 contributed approximately 1 and 2 % to total SO<sub>2</sub> emissions, 9 and 12 % to total NO<sub>x</sub> emissions, 14  
15 and 22 % to total CO emissions in 1990 and 1995. Traffic emission has a significant contribution to  
16 urban air pollution in many cities in China (Qin and Chan, 1993; Fu et al., 2001), while more stringent  
17 vehicle emission standards lead to simultaneous reduction of surface ozone (O<sub>3</sub>) and fine particulate  
18 matter (PM<sub>2.5</sub>) concentrations (Saikawa et al., 2011).

19 Beijing, as the capital of China, is one of the most important metropolitan cities in the world,  
20 providing a habitat for a population over 21 million. The number of vehicle in Beijing increased  
21 rapidly during the last decades and hit 5.5 million in 2014, putting an immense pressure on  
22 environment. A lot of researches on the impact of vehicle emission in Beijing have completed from  
23 different perspective. Hao et al. (2001) developed vehicle emission inventory and investigated the  
24 contribution of traffic on atmospheric pollutant concentrations utilizing a Gaussian dispersion model  
25 in 1995, and vehicle emission contributed 76.8 and 40.2 % to total CO and NO<sub>x</sub> emissions, 76.5 and  
26 68.4 % to ambient CO and NO<sub>x</sub> concentrations. During the Sino-African summit in 2006, the number  
27 concentrations of the particles and accumulation modes seemingly reduced by 20–60 % due to the  
28 strict traffic restrictions (Cheng et al., 2008). Zhang et al. (2011) evaluated the effectiveness of air

1 pollution control through traffic restriction measure in August 2007 and discovered road mobile  
2 sources were more effective on dust elements than anthropogenic elements of PM. Based on positive  
3 matrix factorization (PMF), Liu et al. (2014) investigated the source apportionment of ambient fine  
4 particle and found the vehicle emission was mainly responsible for particles in the size range 10–50  
5 nm and accounted for 47.9 % of particle number concentration during summertime in 2011. A series  
6 of emission control measurements and atmospheric observations during the 2008 Beijing Olympic  
7 Games created a valuable case to research the effectiveness of control measures on mitigating air  
8 pollution. It was illustrated that the black carbon (BC) concentration after traffic control during  
9 Olympic decreased 74 %, and diesel trucks were a major contribution to the ambient summertime BC  
10 levels (X. Wang et al., 2009). With the 32.3 % traffic flow reduction, numerical simulation revealed  
11 the average reduction rate of PM<sub>10</sub>, CO, and NO<sub>2</sub> were 28, 19.3 and 12.3 % respectively, but an  
12 increase rate of O<sub>3</sub> was 25.2 % (Wang and Xie, 2009). Compared with uncontrolled period, on-road  
13 air pollutant concentrations during the Olympics air pollution control period, which is concluded from  
14 versatile mobile laboratory moving along Beijing's Fourth Ring Road, decreased significantly, by up  
15 to 54 % for CO, 41 % for NO<sub>x</sub>, 70 % for SO<sub>2</sub> and 12 % for BC. (M. Wang et al., 2009). Hence, there  
16 is a certain controversy between previous studies and a significant fluctuation of pollutant  
17 concentration contribution in different periods. Further researches should be conducted in traffic  
18 emission effect on Beijing's air quality resulted from air pollution and pollutants emission  
19 characteristics changes in recent years and later on.

20 In a companion paper (Jing et al., 2015), based on NRT traffic data, high temporal–spatial resolution  
21 vehicle emission inventory for 2013 in Beijing was established via a bottom up methodology. This  
22 part (Part 2) utilizes Chinese Unified Atmospheric Chemistry Environment (CUACE) model to  
23 simulate ambient pollutant concentrations and evaluate the contributions of vehicle emission in  
24 Beijing main urban areas in periods of summer and winter 2013 based on the sensitivity analysis  
25 method of switching on/off pollutant emissions. In Sect. 2, the details of the methods, datasets and  
26 model setup are shown. CUACE model evaluation and the effect of new vehicle emission inventory  
27 are presented in Sect. 3. The main conclusions are presented in Sect. 4.



## 1 **2 Data and Method**

### 2 **2.1 Model description**

3 Developed by China Meteorological Administration (CMA), CUACE model is used to simulate air  
4 quality for Beijing in this study. CUACE model is a unified chemical weather numerical forecasting  
5 system which is independent with weather and climate model. It consists of four functional blocks:  
6 anthropogenic and natural emissions; atmospheric gaseous chemical mechanisms; atmospheric  
7 aerosol chemical mechanisms; numerical assimilation system. Gaseous chemical block is based on  
8 the Regional Acid Deposition Model (RADM) covering 66 gaseous species (Stockwell et al., 1990;  
9 Wang et al., 2015). Aerosol module includes mixing scheme, clear-sky processes, dry deposition,  
10 below-cloud scavenging, in-cloud processes. Seven aerosol species, i.e. sulfates (SF), soil dust (SD),  
11 black carbon (BC), organic carbon (OC), sea salts (SS), nitrates (NI), and ammonium salts (AM) are  
12 considered in aerosol chemical module. The first six aerosol components were divided into 12 bins  
13 with diameter ranging between 0.01 and 40.96  $\mu\text{m}$ . Based on the mixing assumptions, the ambient  
14 size and density of aerosols in a size bin are evaluated. The optical properties of these aerosols are  
15 readily computed when the mixing state, composition and ambient size are determined. The details  
16 of sulphur chemistry, cloud chemistry, coagulation, nucleation, condensation etc. were depicted by  
17 Gong et al. (2003). CUACE is online coupled to fifth-generation Penn State/NCAR mesoscale model  
18 (MM5) and Global/Regional Assimilation and PreDiction System (GRAPSE), MM5 is selected to  
19 simulate mesoscale meteorological fields in this study. For different research target and application  
20 purpose, CUACE is designed with open interface to make it easily being integrated to different time  
21 and spatial scale models. A more detailed description can refer to Gong et al. (2009). The performance  
22 of CUACE was evaluated by many researchers. Wang et al. (2010) simulated dust weather occurred  
23 in April 2006 and indicated CUACE model could predict the outbreak, development, transport and  
24 depletion processes of sand and dust storms accurately over China and the East Asian region. Li et al.  
25 (2014) evaluated air quality prediction by CUACE model over Urumqi and acquired a quite accurate  
26 forecasting on air quality levels, especially for  $\text{NO}_2$  and  $\text{PM}_{10}$  levels. Given the good performance in  
27 air quality prediction, CUACE model has been used for haze forecasting in National Meteorological

1 Center of CMA and some local environmental protection agencies.

## 2 **2.2 Numerical simulation design**

3 In this study, MM5-CUACE model is configured to have three nested domains to reduce spurious  
4 boundary effects in the inner domain with horizontal resolution of 27 km covering North China and  
5 the surrounding areas, 9 km covering Jing-Jin-Ji (Beijing, Tianjin and Hebei) areas and 3 km-  
6 resolution covering Beijing city and surrounding areas (Fig. 1). In the vertical, there are a total of 35  
7 full eta levels extending to the model top at 10 hPa, with 16 levels below 2 km.

8 Two periods: July and December in 2013 are selected for model integration to evaluate different  
9 seasonal impact (summer and winter respectively) of vehicle emission on air quality. The time steps  
10 of MM5 and CUACE model are 15 s and 150 s respectively. Driving field provides the initial, lateral  
11 and surface boundary conditions and transmits the weather background information to MM5.  
12 However, for large domain or long term simulations, the large-scale weather situation simulated by  
13 MM5 may diverge from that of the driving field. The methods to constrain MM5 to the driving field  
14 involve frequent re-initialization, analysis nudging, spectral nudging, and scale-selective bias  
15 correction (Bowden et al., 2013). 36 h re-initialization run is executed to simulate meteorological  
16 conditions and air quality, and the former 12 h simulation is discarded as spin up time, which is the  
17 same as Zhang et al. (2012). The initial and boundary meteorological conditions are from T639  
18 reanalysis data with 30×30 km spatial resolution and 6 h temporal resolution supplied by CMA (Xiao  
19 et al., 2010). The initial and boundary chemical conditions of the first simulation segment are based  
20 on averages from several field studies over eastern Pacific Ocean (McKeen et al., 2002) which was  
21 used as the default profiles in WRF-Chem, and other segment initial and boundary conditions are  
22 derived from previous simulation segment. The extra 10 day run (i.e. 21<sup>st</sup> June to 30<sup>th</sup> June, 21<sup>st</sup>  
23 November to 30<sup>th</sup> November) was conducted to reduce the effect of chemical initial and boundary  
24 conditions.

25 Two real simulations which based on default emission of CUACE and the improved emission with  
26 high temporal–spatial resolution vehicle emission (hereafter refer to HTSVE) are carried out to  
27 evaluate the accuracy of pollutant concentrations simulated by CUACE and analyze the influence of

1 HTSVE on Beijing air quality, and hereafter refer to SIM1 and SIM2 respectively . The contribution  
2 rate to ambient pollution level ( or source apportionment) based on air quality numerical model  
3 includes source sensitivity simulations using the brute force method (also referred as zero-out method)  
4 or the decoupled direct method (DDM), air pollution tagged method, and the adjoint method (An et  
5 al., 2015; Burr and Zhang, 2011; Zhang et al., 2015). With comprehensible physical and chemical  
6 process, adjoint method has a significant advantage in source apportionment compared to sensitivity  
7 simulations or tagged method. However, the development of adjoint model is facing a challenge due  
8 to complicated mathematics and a large amount of data processing and programming, which results  
9 in less available regional scale air quality ajoint model. At recently, An et al. (2015) developed an  
10 adjoint of the aerosol module in the CUACE. The development of gaseous adjoint module of CUACE  
11 is needed for more widely application in source apportionment or source assimilation. The tagged  
12 method tracks contribution of pollutant from specific source and undergo the explicit atmospheric  
13 processes, but it is not able to simulate indirect effects and oxidant-limiting effects. With the ability  
14 in simulating indirect effects and relative simple model run, source sensitivity analysis is widely used  
15 in source attribution. However, significant source variation may result in misunderstanding due to  
16 non-linearity and atmospheric background concentrations change. In pervious study, the impact of  
17 Beijing local emission on air pollution is almost linear via source sensitivity analysis (An et al., 2007).  
18 Sensitivity analysis is suitable to investigate the contribution of vehicle emission in Beijing due to  
19 limited change of emission in this study. The vehicle emission contribution (VEC) to ambient  
20 pollutant concentration is computed based on the sensitivity analysis method of switching on (SIM2)  
21 and off (here after refer to SIM3) vehicle emission in Beijing. This method keeps atmospheric  
22 background pollution level basically steady which has a significant effect on the chemical conversion  
23 because of relative limited change of emission. Meanwhile the effect of vehicle emission on  
24 secondary pollution, e.g. secondary aerosol which becomes the important components of PM in  
25 Beijing (Huang et al., 2015) was considered. The formula of VEC is shown as follows:

$$26 \quad VEC = \frac{C_{SIM2} - C_{SIM3}}{C_{SIM2}} \times 100\% \quad (1)$$

27 where C represents pollutant concentration. In fact, the regional transports of pollutants has obviously  
28 effect on VEC, and we calculate relative vehicle emission contribution (RVEC) which does not

1 consider pollutant regional transports, as shown in Eq. (2):

$$2 \quad RVEC = \frac{C_{SIM2} - C_{SIM3}}{C_{SIM2} - C_{SIM4}} \times 100\% \quad (2)$$

3 where SIM4 represents the simulation of switching off all emission sources in Beijing. All simulation  
4 test schemes are listed in Table 1.

## 5 **2.3 Emission inventory**

6 CUACE model has an independent pollution emission module, which contains natural and  
7 anthropogenic emissions including many gas and particle matter emissions (Gong et al., 2009).  
8 Anthropogenic emissions of SO<sub>2</sub>, NO<sub>x</sub>, CO, VOCs, PM<sub>2.5</sub>, PM<sub>10</sub>, BC, OC, etc. used in emission  
9 module were developed by CMA based on INTEX-B inventory, the emissions database for global  
10 atmospheric research (EDGAR) and environmental statistics database. Gridded INTEX-B inventory  
11 covers 22 countries and regions in East Asia with a resolution of 0.5 °×0.5 °, and is classified into  
12 industry emission, power station emission, residential emission and vehicle emission (Zhang et al.,  
13 2009). The EDGAR is a joint project of the European Commission Joint Research Centre and the  
14 Netherlands Environmental Assessment Agency. The environmental statistics database is supplied by  
15 Environmental Protection Agency. Some old data was corrected or updated according to the variation  
16 rate of anthropogenic emissions from environmental statistics database. Finally emission inventory  
17 was pretreated by SMOKE for detailed temporal and spatial distribution. Hourly emissions were  
18 obtained for CUACE model input. The emission inventory is a key factor to air quality numerical  
19 simulation. Annual emissions of CO, NO<sub>x</sub>, SO<sub>2</sub> and PM<sub>2.5</sub> in CUACE model in Beijing are 3149.5,  
20 173.8, 158.2 and 79.0 kt respectively. By comparing the different researches (Table 2) found that  
21 there are many uncertainties of inventories, especially for CO and NO<sub>x</sub> emissions, but it is difficult  
22 to identify which one is more accurate. With rapid economic development and the adjustment of  
23 energy structure, anthropogenic emissions have a significant variation in recent years in North China.  
24 However, the database of emission inventory in previous studies (Table 2) is before 2010, which is  
25 the main reason for the differences between CUACE emission and others. For example, the Beijing  
26 municipal government has taken a strict traffic restriction since 2008. The amount of vehicle in  
27 Beijing increases about 8% in 2013. The change of vehicle emission maybe responsible for NO<sub>2</sub>

1 emission variation. Except for date of basic data, the methods of establishing inventory, emission  
2 factors, basic data source would result in significant difference of emission inventory.

3 This study focus on vehicle source and its influence. HTSVE based on NRT traffic data was used to  
4 replace the vehicle emission in CUACE emission module to analyze its effects on air quality  
5 simulation. The detailed description of high temporal–spatial resolution vehicle emission and  
6 comparison with vehicle emission in CUACE emission module were presented in part 1. The  
7 contribution of major species from vehicle emission is presented in Table 3. The vehicle emission of  
8 NO, NO<sub>2</sub> and HC from HTSVE is higher, while CO and PM<sub>2.5</sub> is lower than that from CUACE.

## 9 **2.4 Observational data**

### 10 2.4.1 Meteorological data

11 The accuracy of mesoscale meteorological fields simulated by MM5 has a significant effect on air  
12 quality simulation, and it should be evaluated with observation data firstly. In this study, the observed  
13 near-surface meteorological fields including 2 m temperature, 2 m specific humidity and 10 m wind  
14 speed are obtained from Meteorological Information Comprehensive Analysis and Process System  
15 (MICAPS) of CMA. MICAPS surface data has eight conventional observation times everyday (00:00,  
16 03:00, 06:00, 09:00, 12:00, 15:00, 18:00, 21:00 UTC) and 20 meteorological stations located in study  
17 region (Fig. 1a).

### 18 2.4.2 Air quality data

19 To evaluate simulated air quality by CUACE, hourly near-surface average concentrations of NO<sub>2</sub> and  
20 PM<sub>2.5</sub> from 9 atmospheric environment monitoring stations in Beijing (shown in Fig. 1b) in simulation  
21 periods were acquired from China National Environment Monitoring Centre. The monitoring stations  
22 distributed in study region could reflect different area pollution level and capture overall air quality  
23 in Beijing city.

## 1 **3 Results and discussions**

### 2 **3.1 Model evaluation and the impact of new vehicle emission inventory**

3 The accuracy of air quality simulation based on numerical model greatly relates to mesoscale  
4 meteorological simulation. Although the good performance of MM5 has obtained in many studies,  
5 the MM5's results is verified firstly as the different accuracy of meteorological fields in different  
6 study domains, seasons and physical parameterizations. Based on statistical analysis, 2 m temperature  
7 root mean square error (RMSE) and correlation coefficient ( $R$ ) are 3.4 K and 0.81 in July, 3.8 K and  
8 0.87 in December. MM5 can capture temporal and spatial variation of near-surface temperature  
9 effectively. 2 m specific humidity RMSE and  $R$  are 2.4 g kg<sup>-1</sup> and 0.56 in July, 0.9 g kg<sup>-1</sup> and 0.82 in  
10 December, which indicates that basic temporal and spatial variation of near-surface specific humidity  
11 is simulated by MM5. 10 m wind speed RMSE and  $R$  are 1.4 m s<sup>-1</sup> and 0.37 in July, 1.7 m s<sup>-1</sup> and 0.57  
12 in December. The RMSE was 1–4 K for 2 m temperature, 1–2 g kg<sup>-1</sup> for 2 m specific humidity and  
13 1–4 m s<sup>-1</sup> for 10 m wind speed in most studies ([Han et al., 2008](#); [He et al., 2013](#); [He et al., 2014](#);  
14 [Jiménez-Guerrero et al., 2008](#); [Kioutsioukis et al., 2016](#); [Papalexiou and Moussiopoulos, 2006](#); [Miao  
15 et al., 2008](#)). In this study, MM5 presents the essential features of the local circulation over Beijing  
16 as seen from above analysis and its performance observed here is comparable to other studies  
17 generally. [The details of meteorological evaluation are provided in supplement file. The statistic  
18 parameters could refer to He et al. \(2014\).](#)

19 NO<sub>2</sub> and PM<sub>2.5</sub> are the major concerns as they are susceptible to vehicle emission. Interval of  
20 simulated and observed daily mean near-surface NO<sub>2</sub> and PM<sub>2.5</sub> concentrations averaged over 9 sites  
21 during two periods are shown in Fig. 2. CUACE model underestimates the NO<sub>2</sub> concentration  
22 significantly, especially during serious pollution periods. Due to the increasing emission of HTSVE  
23 (Table 2), the NO<sub>2</sub> concentration from SIM2 increases 31.8 and 11.1 % in July and December  
24 respectively, resulting in significant improvement to the previous underestimates. The RMSEs of NO<sub>2</sub>  
25 daily mean concentration decrease 17.6 and 10.9 % in two periods when HTSVE is used. Temporal  
26 correlation coefficients of NO<sub>2</sub> daily mean concentrations for SIM1 and SIM2 are 0.80 and 0.79  
27 respectively in December, which indicates CAUCE can reproduce NO<sub>2</sub> time trends accurately.

1 However, low correlation (0.21 and 0.12 for SIM1 and SIM2 respectively) in July reflects the  
2 complexity of air quality numerical simulation. Simulated PM<sub>2.5</sub> daily mean concentration is basically  
3 consistent with observed value. Minor difference of PM<sub>2.5</sub> concentration is observed between SIM1  
4 and SIM2 due to less vehicle emission change (Table 3). Based on temporal correlation analysis,  
5 SIM2 improves PM<sub>2.5</sub> time trends slightly, with correlation coefficients of 0.75 and 0.77 in two  
6 periods for SIM1, 0.76 and 0.78 for SIM2. Compared with SIM1, the RMSE of PM<sub>2.5</sub> daily mean  
7 concentration has slightly decrease for SIM2. It is obviously that simulated PM<sub>2.5</sub> concentration is  
8 more accurate than simulated NO<sub>2</sub> concentration in July, similar phenomena was found in previous  
9 studies (Roustan et al., 2011; Wu et al., 2011). CUACE's ability is evaluated through the comparison  
10 of model grid and site station values, however, this method has several uncertainties because the local  
11 information is involved. It should be noted that the lifetime of ambient NO<sub>2</sub> is shorter than that of  
12 ambient PM<sub>2.5</sub> due to the different chemical processes, and local characteristics are more significantly  
13 for NO<sub>2</sub>. The grid average concentration of NO<sub>2</sub> simulated by CUACE weakens the sub-grid local  
14 characteristics, and results in poor performance of NO<sub>2</sub> simulation compared with PM<sub>2.5</sub>. The  
15 uncertainty of emission inventory increases with the spatial resolution of numerical model. Although  
16 vehicle emission was replaced with HTSVE, the uncertainty of emission inventory of other sectors in  
17 Beijing and all emissions in surrounding areas is still an important reason for the bias of pollutant  
18 concentrations. Seasonal difference of CUACE model performance is found in this study, with  
19 accurately simulation in winter, and this may relate to meteorological condition, especially on wind  
20 field bias as mentioned above. The uncertainty of photochemical reaction which is more significant  
21 in summer might result in large bias compared to the performance of NO<sub>2</sub> in winter. Overall, the  
22 performance of CUACE model is comparable with other studies in Beijing (Gao et al., 2011; Wu et  
23 al., 2011). As better performance acquired by SIM2, it is made as a baseline scenario in the flowing  
24 analysis.

25 Spatial distribution of pollutant concentration relates to pollutant emission distribution and  
26 meteorological condition. The spatial distribution of pollutant concentration from CUACE is  
27 basically consistent with sites observation (Fig. 3). The mean wind in Beijing urban region is the  
28 southwest wind in July, and drives local pollutant transports from southwest to northeast. The high

1 NO<sub>2</sub> concentration is located in northeastern city, while two high PM<sub>2.5</sub> concentration regions appear  
2 in west and center city (Fig. 3a and b). The spatial distribution of NO<sub>2</sub> is different from that of PM<sub>2.5</sub>  
3 because of emission sources distribution difference with one high emission area inner 5<sup>th</sup> ring road  
4 for NO<sub>2</sub> and two high emission areas in west 6<sup>th</sup> ring road and inner 3<sup>rd</sup> ring road for PM<sub>2.5</sub> (Fig. 4).  
5 High concentrations present in high emissions or its downwind. The mean concentrations of NO<sub>2</sub> and  
6 PM<sub>2.5</sub> are 29.8 and 91.3 μg m<sup>-3</sup> in July. Beijing urban region is dominated by northwest wind in  
7 December, and pollutant concentration distribution is obviously different from that in July. NO<sub>2</sub>  
8 concentration is high in southeast city, and gradually decreases outward (Fig. 3c). High PM<sub>2.5</sub>  
9 concentration is mostly located in west and southeast city (Fig. 3d). It is found that significant  
10 difference presents in NO<sub>2</sub> distribution between July and December while slightly difference for  
11 PM<sub>2.5</sub> due to the combined effect of wind fields and emission distributions. The mean concentrations  
12 of NO<sub>2</sub> and PM<sub>2.5</sub> are 42.8 and 136.4 μg m<sup>-3</sup> in December respectively.

### 13 **3.2 The effect of vehicle emission on urban air quality**

14 VEC on ambient pollutant concentration is analyzed through comparison simulation with and without  
15 vehicle emission (SIM2 and SIM3 respectively). Probability density function (PDF) is a good way to  
16 describe the total representation. The PDF of instantaneous VEC in two periods is shown in Fig. 5.  
17 The maximum frequencies of VEC to NO<sub>2</sub> in July and December are appeared in 55–60 % and 50–  
18 55 % respectively. The frequencies of VEC to NO<sub>2</sub> from 15 to 60 % in December are larger than that  
19 in July (Fig. 5a), which indicates large contribution presents in summer while small contribution  
20 presents in winter. Based on one-way analysis of variance, the difference of VEC to NO<sub>2</sub> in summer  
21 and winter is significant. This may relates to seasonal differences of meteorological condition and  
22 pollutant emission. In summer, high temperature and strong solar radiation lead to strong atmosphere  
23 oxidation ability, and therefore it is easy to convert from NO to NO<sub>2</sub>, which results in large  
24 contribution to NO<sub>2</sub> concentration. Meanwhile, the high rate of NO<sub>2</sub> emission from vehicle (Table 3)  
25 is another reason for large contribution to ambient NO<sub>2</sub> concentration in summer. The VEC to PM<sub>2.5</sub>  
26 is considerably lower than that to NO<sub>2</sub>. The maximum frequencies of VEC to PM<sub>2.5</sub> in July and  
27 December are appeared in 0–5 % and 5–10 % respectively. Different from NO<sub>2</sub>, the mean VEC to



1 PM<sub>2.5</sub> in summer is smaller than that in winter, with a significant difference from one-way analysis  
2 of variance. Relative humidity in summer is larger than that in winter, and high relative humidity is  
3 conducive to gas-particle conversion processes of other emission sources (Yao et al., 2014), which  
4 may be one of the reason for small VEC to PM<sub>2.5</sub> in summer. The strong turbulence mixing in summer  
5 makes rapidly vertical exchange and transport of pollutant in boundary layer, and finally results in  
6 small VEC to PM<sub>2.5</sub> in summer. Wind field variation is another reason for seasonal change of VEC to  
7 PM<sub>2.5</sub>, which will be investigated in the following part.

8 As the local transports of pollutants, the VEC in Beijing city depends on wind field and spatial  
9 distribution of vehicle emission. Wind dependency map of VEC to NO<sub>2</sub> and PM<sub>2.5</sub> are shown in Fig.  
10 6. High VEC to NO<sub>2</sub> in July is appeared in south wind with 3–4 m s<sup>-1</sup>, while north wind with 6–7 m  
11 s<sup>-1</sup> for that in December. Due to the difference of lifetime between NO<sub>2</sub> and PM<sub>2.5</sub>, the wind  
12 dependency map to PM<sub>2.5</sub> is quite different from that to NO<sub>2</sub>. High VEC to PM<sub>2.5</sub> in July and  
13 December appeared in north wind due to many vehicle emission of particle matter in northeast city  
14 (Jing et al., 2015). The dominant wind is southwest wind in July and northwest in December (Fig. 3),  
15 which brings a small VEC to PM<sub>2.5</sub> in summer. Significant regional transport which is analyzed in  
16 next section is one of the reason for relative small VEC to PM<sub>2.5</sub> in summer.

17 Figure 7 shows time series of VEC to NO<sub>2</sub> and PM<sub>2.5</sub> daily mean concentrations in main urban areas  
18 (within the 6<sup>th</sup> ring road) in two periods. The VEC not only changes with seasons, which is consistent  
19 with Cheng et al. (2007), but also changes with time. Time series of regional mean VEC is 49.8–  
20 60.0 % to ambient NO<sub>2</sub> concentration in July, with a mean contribution rate of 55.4 %. In December,  
21 regional mean contribution on NO<sub>2</sub> concentration decreases to 28.5–57.9 % at different days, with a  
22 mean contribution rate of 48.5 %. VEC to ambient PM<sub>2.5</sub> concentration is less than 10.3 and 13.6 %  
23 at different times, with mean contribution rate of 5.4 and 10.5 % in July and December respectively.  
24 The change of VEC to PM<sub>2.5</sub> between July and December is most caused by meteorological condition  
25 in two periods. With different lift time of PM<sub>2.5</sub> and NO<sub>2</sub>, PM<sub>2.5</sub> concentration is more affected by  
26 regional transports, while NO<sub>2</sub> concentration is more affected by local emissions. Therefore the  
27 contribution with time variation for PM<sub>2.5</sub> is different from that for NO<sub>2</sub>. Except for wind field,  
28 pollution level is an important factor to VEC. It is obviously that low VEC presents in serious

1 pollution, while high VEC presents in low pollution concentration level, especially for NO<sub>2</sub> (Fig. 8).  
2 The absolute contribution of vehicle emission increases in severe pollution mostly because of adverse  
3 dispersion condition. However, pollutant regional transport is enhanced in severe pollution, which  
4 results in negatively correlation between VEC and pollution concentration level. The VEC has a  
5 significant spatial variation, previous study pointed that PM<sub>2.5</sub> had larger contribution from vehicle  
6 emission (13.0–16.3 % .vs. 5.1 %) in urban as compared to that in suburban (S. W. Wu et al., 2014).  
7 Figure 9 shows the spatial distribution of mean contribution rate of vehicle emission in two periods.  
8 Vehicle emission contributes 26.0–76.4 % and 22.9–66.4 % of NO<sub>2</sub> at different regions in July and  
9 December. Significant effect of vehicle emission on ambient NO<sub>2</sub> concentration level is found in  
10 southeast and northeast city. VEC to PM<sub>2.5</sub> is 1.2–15.4 % and 2.4–24.4 % in July and December. The  
11 large contribution appears in northeast city in both summer and winter, which is widely different from  
12 the distribution of NO<sub>2</sub> contribution.

13 As can be seen from Table 4, receptor source apportionment and numerical sensitivity analysis are  
14 two main methods to compute VEC on ambient pollutant concentration, and VEC has significantly  
15 uncertainties from previous studies. In summary, vehicle emission contributes 4–17 and 22 % to PM<sub>2.5</sub>  
16 concentration based on receptor source apportionment and numerical simulation methods, and 56–  
17 74 % to NO<sub>x</sub> concentration based on numerical simulation method. The difference of the vehicle  
18 emission contribution to PM<sub>2.5</sub> with the different methods is relatively large. The uncertainties of  
19 VEC are related to sampling or simulation time, the location, analysis method and weather conditions.  
20 The results from receptor source apportionment (CMB, PMF etc.) only represent the characteristics  
21 of receptor point and can be applied for primary pollutants (Cheng et al., 2015), however it is different  
22 from numerical sensitivity analysis which normally describes the regional characteristics and applies  
23 for primary and secondary pollutants. The uncertainty of emission source in numerical model may be  
24 the main reason for significant difference to VEC in previous numerical studies. Though relatively  
25 short simulation in this study, our results are comparable with previous studies, and meanwhile keep  
26 the difference which comes from analyzing periods and method.

27 In this study, the rates of NO<sub>2</sub> and PM<sub>2.5</sub> from vehicle emission in total emission takes account for  
28 55.1 and 22.3 % in July and 53.9 and 20.6 % in December (Table 3) of total emission. Because of the

1 effect of pollutant regional transports, the contribution rate of vehicle emission on ambient pollutant  
2 concentration is lower than the rate of vehicle emission in total emissions. The difference between  
3 these two rates became significantly larger with more contribution of outside emission, which implies  
4 the importance of weather condition. In order to avoid the effect of weather situation on analysis  
5 results, the relative contribution of vehicle emission on pollutant concentrations is analyzed in  
6 following section.

7 The chemical components of PM<sub>2.5</sub> represents the characteristics of emission source and complexity  
8 chemical processes of pollutant in atmosphere. Based on sensitivity test, the VECs of BC, OC and NI  
9 are large, while relative small for SF, and AM (Table 5). The VECs of BC and OC in December are  
10 approximately twice of that in July. Seasonal changes for the rates of BC and OC from vehicle  
11 emission in total emission are inapparent which indicates that it is not the reason for seasonal change  
12 of VECs. Beijing is controlled by southerly wind dominantly, which results in significant regional  
13 transport. And it causes small (large) VECs of BC and OC in summer (winter). Atmospheric chemical  
14 processes and dispersion conditions are also the reason for seasonal change of different components  
15 VECs. Using MM5-CMAQ model simulation, Cheng et al. (2013) investigated the VEC to the PM<sub>2.5</sub>  
16 and found the VEC of BC was 32.3% and 30.7% in summer and winter respectively. Our results are  
17 comparable with Cheng et al. (2013) in winter, while show some difference in summer.

### 18 **3.3 Relative contribution of vehicle emission**

19 Air pollution in Beijing is attributed not only from local emissions but also from regional transports.  
20 Using the CMAQ model, An et al. (2007) investigated the contribution to pollutant concentrations in  
21 Beijing by using emission switch on/off method, the contribution of non-local emission accounted  
22 for 15–53 % of PM<sub>2.5</sub>. Wu et al. (2011) studied the contribution to air pollution during CAREBeijing-  
23 2006, and local emission in Beijing accounted for 65 % of SO<sub>2</sub>, 75 % of PM<sub>10</sub> and 90 % of NO<sub>2</sub>  
24 concentrations. Pollutant regional transport depends on atmospheric circulation and regional emission  
25 characteristics. By comparing pollutant concentrations between SIM2 and SIM4, local emissions in  
26 Beijing contributes 93.6 % and 62.6 % to NO<sub>2</sub> and PM<sub>2.5</sub> concentrations in July, and 83.8 % and 76.1 %  
27 to NO<sub>2</sub> and PM<sub>2.5</sub> concentrations in December, which have a profound effect on RVEC.

1 Figure 10 depicts the spatial distribution of RVEC to NO<sub>2</sub> and PM<sub>2.5</sub> in July and December, and  
2 similar distribution is found in two periods. The RVEC to NO<sub>2</sub> is large in southeast and northeast  
3 main urban areas, while small in west main urban areas. Time series of regional mean RVEC to NO<sub>2</sub>  
4 in main urban areas range from 52.3 to 63.4 %, and 49.4 to 61.2 %, with the mean of 59.2 and 57.8 %  
5 in July and December respectively. Different from NO<sub>2</sub>, the RVEC to PM<sub>2.5</sub> is large in northeast of  
6 main urban areas in two periods. Time series of regional mean RVEC to PM<sub>2.5</sub> range from 5.7 to 11.3 %  
7 and 9.9 to 16.1 %, with the mean of 8.7 and 13.9 % in July and December respectively. The differences  
8 of RVECs to NO<sub>2</sub> and PM<sub>2.5</sub> in July and December are significant based on one-way analysis of  
9 variance. The spatial distribution of RVEC are tremendously affected by vehicle emissions, as they  
10 are mostly consistent with the rate of vehicle emission in total emission (Fig. 4). As pointed by Jing  
11 et al., (2015), the uncertainty of HTSVE is very small through multiple comparison with statistical  
12 data and real time observation. But the uncertainty of other sector emissions has a negative influence  
13 on the precision of RVEC, which need more improvement for accurate environmental management.  
14 Local circulation also determines the spatial distribution of RVEC. High PM<sub>2.5</sub> emission from vehicle  
15 is found between north Fourth Ring Road and north Five Ring Road (See Part. 1, Fig. 9). Controlled  
16 by southwest wind, PM<sub>2.5</sub> from vehicle is easily transferred out of the main urban areas, which results  
17 in low RVEC in July. However, the most of PM<sub>2.5</sub> from vehicle stay in east main city controlled by  
18 northwest wind, which results in high RVEC in December. Based on zero out method, Cheng et al.  
19 (2013) found the contribution rates to pollutant concentrations were higher than those to the emissions  
20 because near-surface emission from vehicle facilitated greater contribution to local pollutant  
21 concentrations on the ground level. Regardless of regional transports, the contribution of vehicle  
22 emission to ambient PM<sub>2.5</sub> concentration is substantial lower than the rate of vehicle emission in total  
23 emission in this study. Our finding is seemingly in conflict with Cheng et al. (2013), but may be more  
24 reasonable for following reasons. Different from elevated emission, PM<sub>2.5</sub> from vehicle emission in  
25 near-surface layer easily descends to the ground or is absorbed by vegetation, which leads to low  
26 contribution rate to PM<sub>2.5</sub> concentration. Secondary aerosol generated by photochemical reaction is  
27 different for different sector emissions. The VEC to SF is low in Beijing (Table 5), which indirectly  
28 causes low VEC to PM<sub>2.5</sub>. Furthermore, pollutant regional transport and the background concentration

1 may result in lower VEC to PM<sub>2.5</sub> than the rate of emission.

## 2 **4 Conclusion**

3 Air quality simulation has been improved by using HTSVE. In summer (July), high NO<sub>2</sub>  
4 concentration was located in the northeastern part of city, while two high PM<sub>2.5</sub> concentration regions  
5 appeared in west and center of the city. In winter (December), NO<sub>2</sub> concentration was high in  
6 southeast city, then gradually decreased outward, while high PM<sub>2.5</sub> concentration was mostly located  
7 in west and southeast part of city. The VEC in Beijing city depends on wind field, spatial distribution  
8 of vehicle emission and air pollution level. High VEC to NO<sub>2</sub> in July appeared along with south wind  
9 and low pollution concentration level, while north wind and low pollution concentration level for that  
10 in December. High VEC to PM<sub>2.5</sub> in July and December appeared along with north wind and low  
11 pollution concentration level.

12 Seasonal change of VEC was observed in this study. The mean VECs to NO<sub>2</sub> were 55.4 and 48.5 %,  
13 while the mean VECs to PM<sub>2.5</sub> were 5.4 and 10.5 % in July and December respectively. Regional  
14 pollutants transport was one of the most important reason for small contribution rate for ambient  
15 pollutant concentrations compared with contribution rate for pollutant emission in Beijing. Sensitivity  
16 analysis indicated that all local emissions in Beijing contributed 93.6 and 62.6 % to NO<sub>2</sub> and PM<sub>2.5</sub>  
17 concentrations in July, and 83.8 and 76.1 % to NO<sub>2</sub> and PM<sub>2.5</sub> concentrations in December, which  
18 had an important effect on RVEC. Regardless of regional transports, the RVEC to NO<sub>2</sub> was large in  
19 the southeast and northeast main urban areas, and northeast main urban areas for PM<sub>2.5</sub>. The mean  
20 RVECs to NO<sub>2</sub> were 59.2 and 57.8 %, while the mean RVECs to PM<sub>2.5</sub> were 8.7 and 13.9 % in July  
21 and December respectively. The RVEC to PM<sub>2.5</sub> was lower than PM<sub>2.5</sub> contribution rate for vehicle  
22 emission, which was caused by easily dry deposition of PM<sub>2.5</sub> from vehicle emission in near-surface  
23 layer.

## 24 **Acknowledgments**

25 This work was supported by China's National 863 program (2012AA063303), the National Science  
26 and Technology Infrastructure Program (2014BAC16B03), and the Opening Research Foundation of  
27 the Key Laboratory of Land Surface Process and Climate Change in Cold and Arid Regions, Chinese  
28 Academy of Sciences (LPCC201405).

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1 **Table 1.** Numerical simulation schemes

| Numerical simulation | Emission source                           |
|----------------------|---|
| SIM1                 | Default emission of CUACE                 |
| SIM2                 | Improved emission with Beijing HTSVE      |
| SIM3                 | Switch off Beijing vehicle emission       |
| SIM4                 | Switch off Beijing anthropogenic emission |

2

1 **Table 2.** Emission of major anthropogenic species in Beijing (unit:  $10^3$  t yr<sup>-1</sup>).

| Source                            | CO            | NO <sub>x</sub> | SO <sub>2</sub> | PM <sub>2.5</sub> |
|-----------------------------------|---------------|-----------------|-----------------|-------------------|
| CUACE emission                    | 3149.5        | 173.8           | 158.2           | 79.0              |
| <u>CUACE emission<sup>a</sup></u> | <u>3119.3</u> | <u>183.2</u>    | <u>158.2</u>    | <u>78.8</u>       |
| An et al. (2007)                  | 1021.8        | 227.0           | 211.3           | 53.4              |
| Zhang et al. (2009)               | 2591.0        | 327.0           | 248.0           | 90.0              |
| Cao et al. (2011)                 | 1998.0        | 437.0           | 172.0           | 162.0             |
| Wu et al. (2011)                  |               | 236.2           | 172.5           | 67.9              |
| Zhao et al. (2012)                | 2580.0        | 309.0           | 187.0           | 90.0              |
| Q.Z. Wu et al. (2014)             | 1793.8        | 200.0           | 78.8            | 59.1              |

2 <sup>a</sup> represents CUACE emission with replaced vehicle emission by HTSVE.

1 **Table 3.** The rate of major species from vehicle emission in total emission (unit: %).

|                    | CO   | NO   | NO <sub>2</sub> | HC   | PM <sub>2.5</sub> |
|--------------------|------|------|-----------------|------|-------------------|
| CUACE <sup>a</sup> | 29.8 | 32.1 | 30.4            | 80.0 | 23.4              |
| CUACE <sup>b</sup> | 31.1 | 35.5 | 33.6            | 49.0 | 25.3              |
| HTSVE <sup>a</sup> | 23.8 | 47.9 | 55.1            | 84.0 | 22.3              |
| HTSVE <sup>b</sup> | 21.3 | 46.6 | 53.9            | 55.8 | 20.6              |

2 <sup>a</sup> and <sup>b</sup> represent July and December.

1 **Table 4.** The contributions of traffic emission on ambient pollutant concentrations in Beijing.

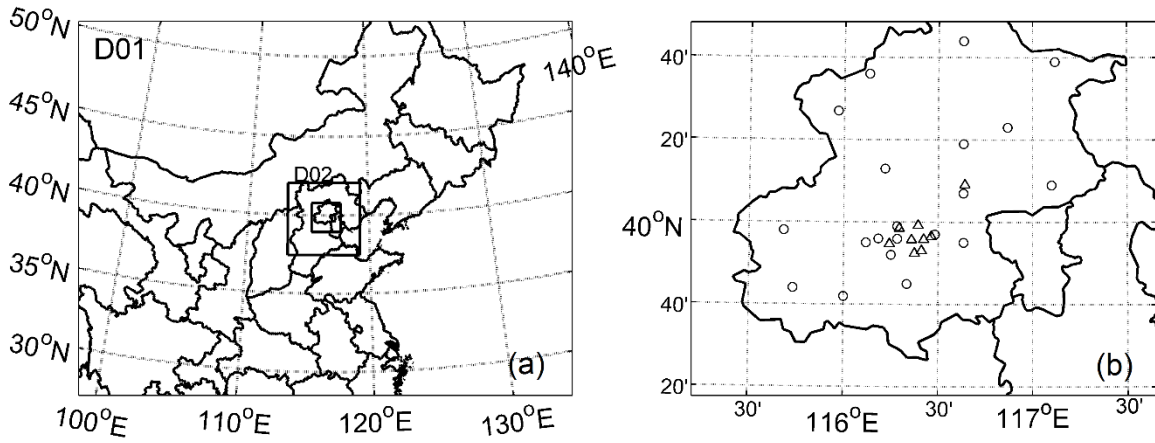
| Source                    | Period    | Contribution (%)  | Method                                     |
|---------------------------|-----------|---|--|
| Hao et al. (2001)         | 1995      | NO <sub>x</sub> : 68.4; CO: 76.5                          | Numerical simulation based on ISCST3       |
| Hao et al. (2005)         | 1999      | NO <sub>x</sub> : 74; PM <sub>10</sub> : 14               | Numerical simulation based on ISCST3       |
| Zheng et al. (2005)       | 2000      | PM <sub>2.5</sub> : 6.7                                   | Chemical mass balance receptor model (CMB) |
| Song et al. (2006)        | 2000      | PM <sub>2.5</sub> : 6.0–10.8                              | PCA/APCS and UNMIX                         |
| Cheng et al. (2007)       | 2002      | PM <sub>10</sub> : 28.7–42.9                              | MM5-APRS-CMAQ                              |
| Wang et al. (2008)        | 2001-2006 | PM <sub>2.5</sub> : 5.9; PM <sub>10</sub> : 8.4           | Positive matrix factorization (PMF)        |
| Zhang et al. (2013)       | 2009-2010 | PM <sub>2.5</sub> : 4                                     | PMF  |
| Yu et al. (2013)          | 2010      | PM <sub>2.5</sub> : 17.1                                  | PMF  |
| S. W. Wu et al.<br>(2014) | 2010-2011 | PM <sub>2.5</sub> : 12.0                                  | PMF and mixed-effects models               |
| Cheng et al. (2013)       | 2011      | PM <sub>2.5</sub> : 22.5 ± 3.5<br>NO <sub>x</sub> : 56–67 | MM5-CMAQ and source apportionment methods  |
| Liu et al. (2014)         | 2011      | PM(NC): 47.9  | PMF  |
| Huang et al. (2014)       | 201301    | PM <sub>2.5</sub> : 5.6                                   | CMB and PMF                                |

2

1 **Table 5.** The VEC of chemical components in PM<sub>2.5</sub> in Beijing urban region (Unit:%).

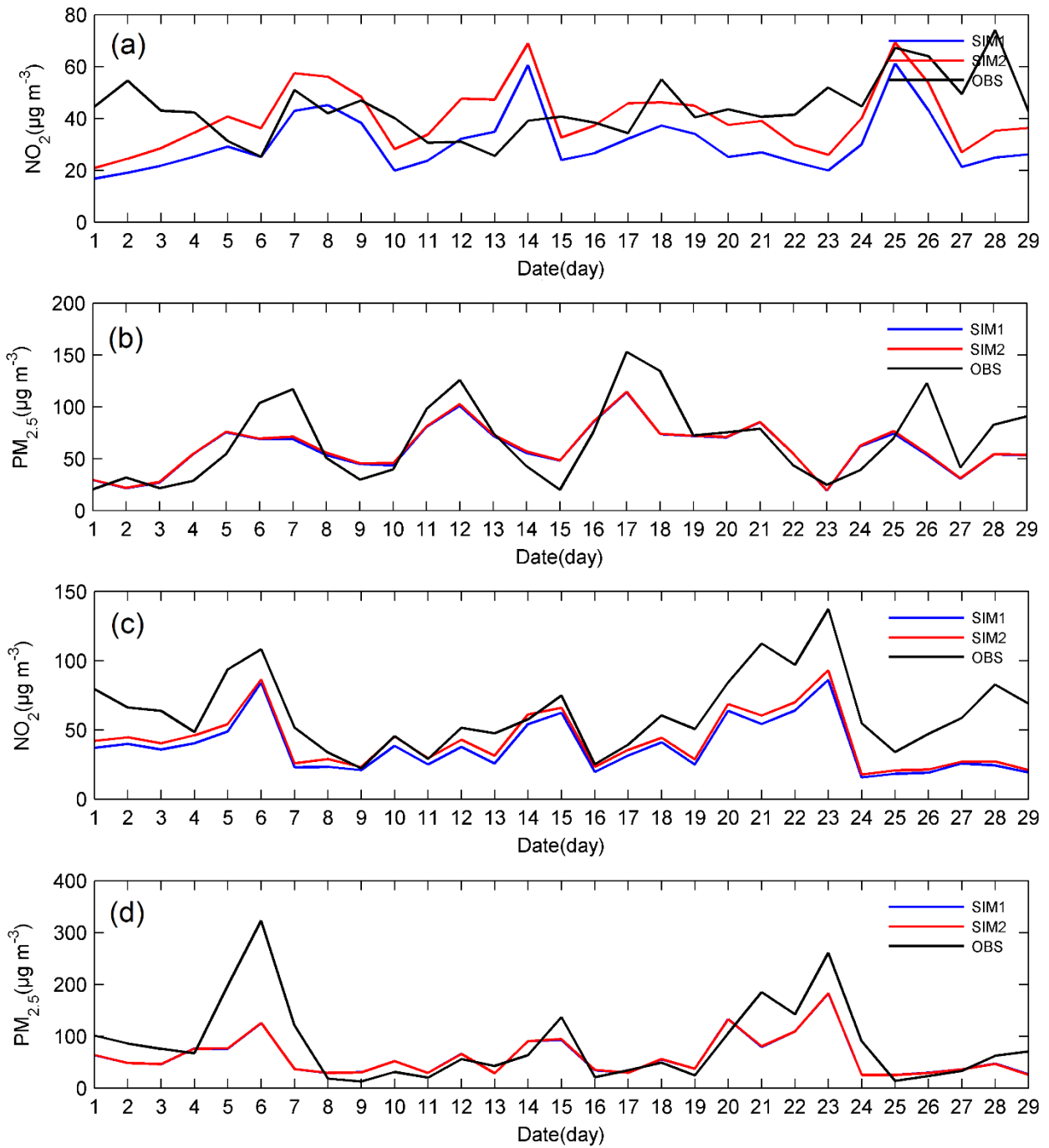
|             | <u>BC</u>   | <u>OC</u>   | <u>NI(NO<sub>3</sub>)</u> | <u>SF(SO<sub>4</sub><sup>2-</sup>)</u> | <u>AM(NH<sub>4</sub><sup>+</sup>)</u> |
|-------------|-------------|-------------|---------------------------|--|---------------------------------------|
| <u>Jul.</u> | <u>12.3</u> | <u>12.4</u> | <u>13.4</u>               | <u>1.8</u>                             | <u>2.1</u>                            |
| <u>Dec.</u> | <u>24.3</u> | <u>25.8</u> | <u>15.1</u>               | <u>7.6</u>                             | <u>4.3</u>                            |

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1  
 2 **Figure 1.** The model simulation domain **(a)** and observation station distribution (circle represents  
 3 meteorological station, triangle represents environmental station) in inner domain **(b)**.

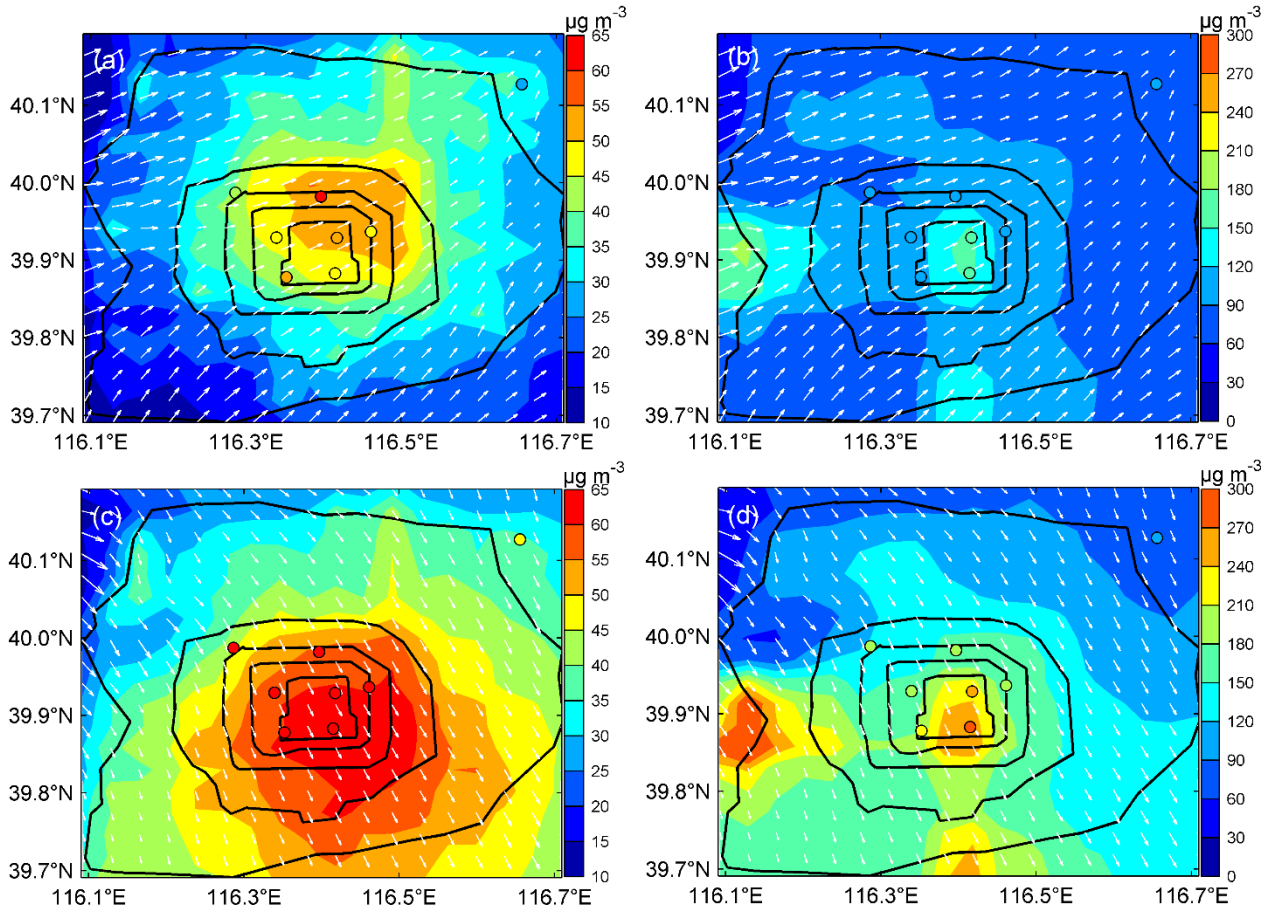




1

2 **Figure 2.** The comparison of site average  $\text{NO}_2$  and  $\text{PM}_{2.5}$  concentrations between SIM1, SIM2 and  
 3 observation in July (a, b) and December (c, d) 2013.

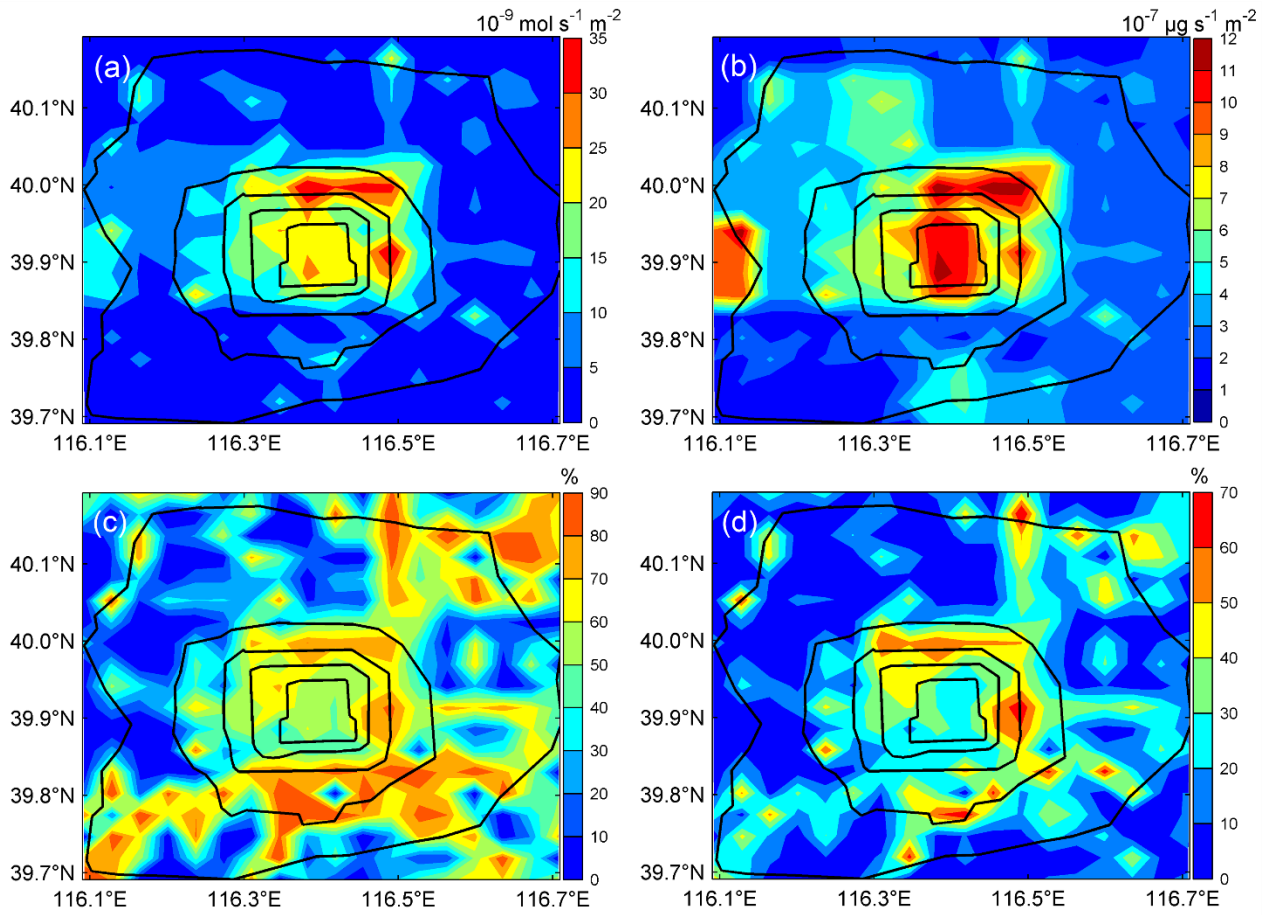
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1

2 **Figure 3.** The spatial distribution of near-surface NO<sub>2</sub> and PM<sub>2.5</sub> mean concentration from SIM2 in  
 3 July (a, b) and December (c, d) 2013 respectively. Black lines represent the main traffic arteries in  
 4 Beijing, scatter represents the mean concentrations of sites observation, white arrows represent near-  
 5 surface mean wind field.

6

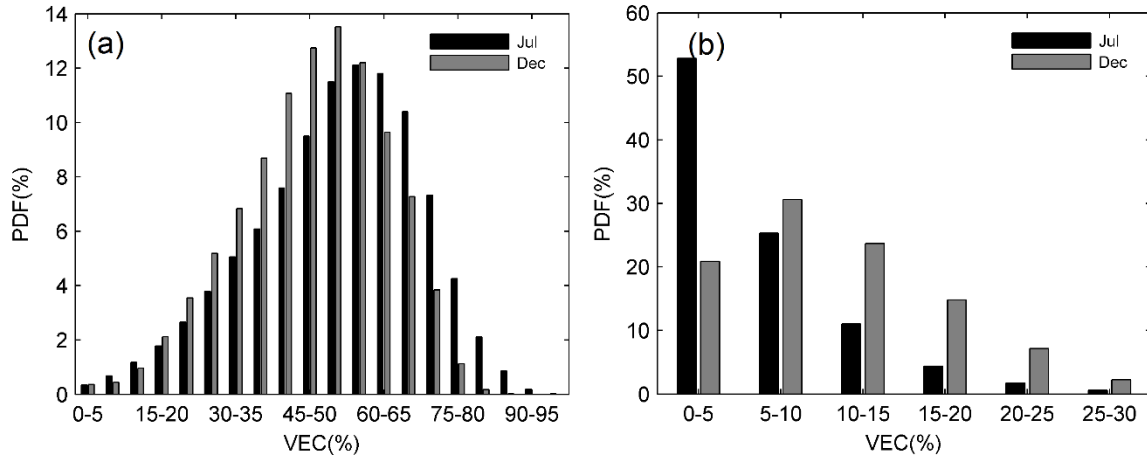


7

8 **Figure 4.** Annual mean emissions and the rate of vehicle emission in total emission for  $\text{NO}_2$  (a, c)

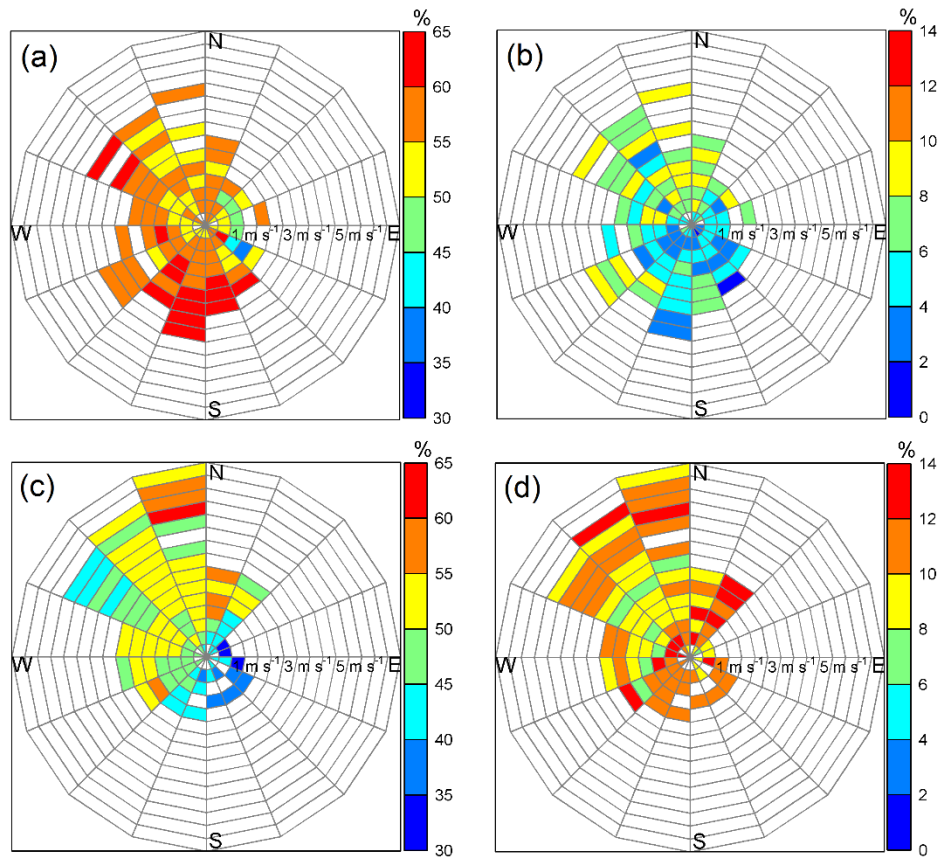
9 and  $\text{PM}_{2.5}$  (b, d) respectively. Black lines represent the main traffic arteries in Beijing.

10



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**Figure 5.** The probability density function (PDF) of instantaneous VEC for NO<sub>2</sub> (a) and PM<sub>2.5</sub> (b).

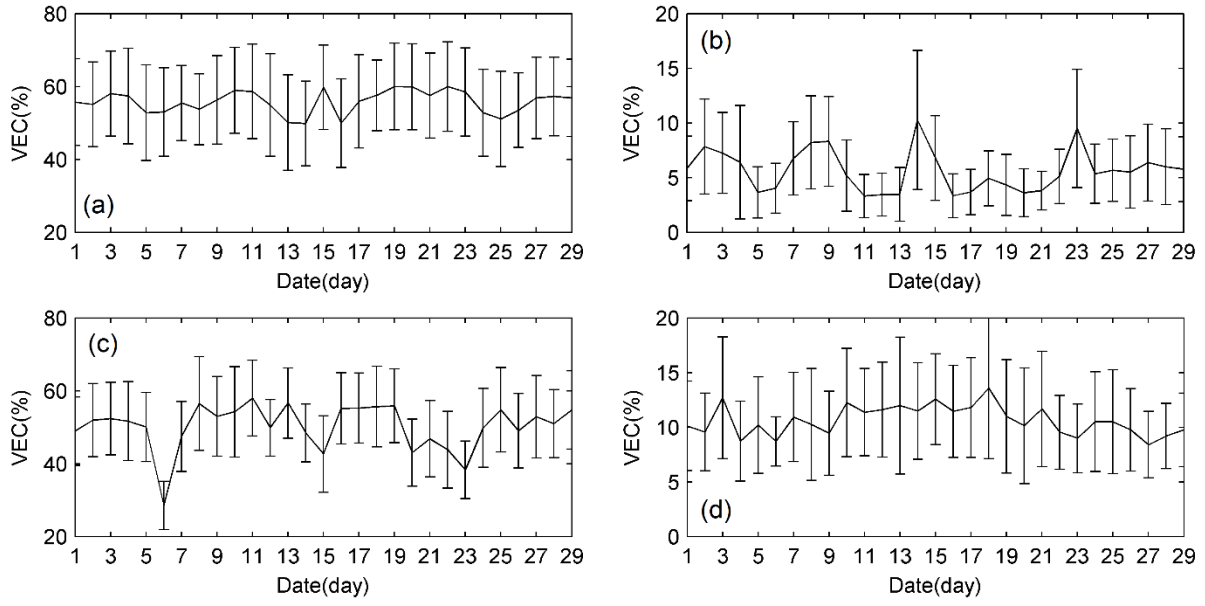


1

2 **Figure 6.** Wind dependency map of VEC to NO<sub>2</sub> and PM<sub>2.5</sub> in July (a, b) and December (c, d) 2013.

3 Wind speeds are shown from 0 m s<sup>-1</sup> to 7.5 m s<sup>-1</sup>.

4

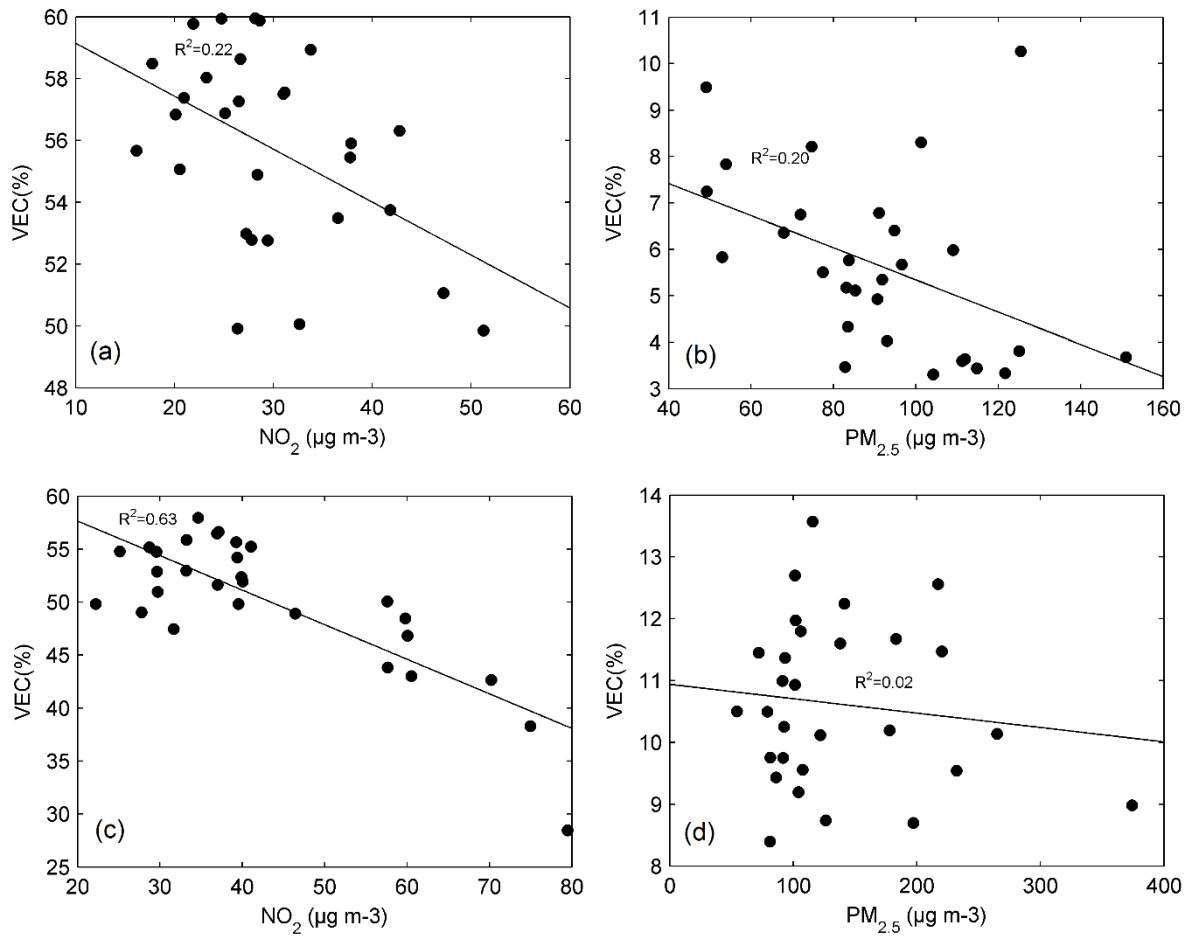


1

2 **Figure 7.** Time series of daily mean and standard deviation of vehicle emission contribution rate on  
 3 NO<sub>2</sub> and PM<sub>2.5</sub> concentrations of Beijing main urban areas in July (a, b) and December (c, d) 2013.

4

5

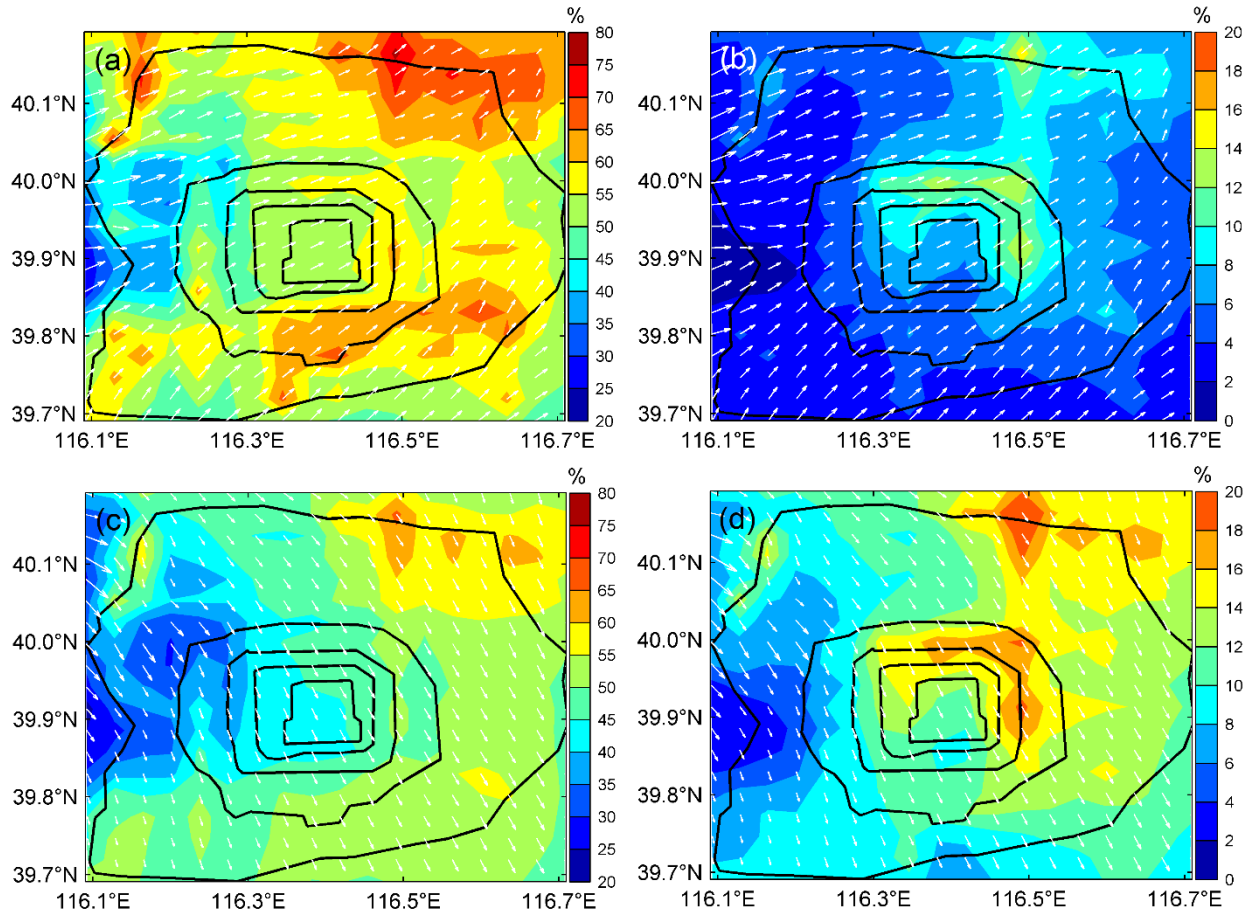


1

2 **Figure 8.** The scatter of daily mean concentration vs VEC for NO<sub>2</sub> and PM<sub>2.5</sub> in July (a, b) and

3 December (c, d).

4

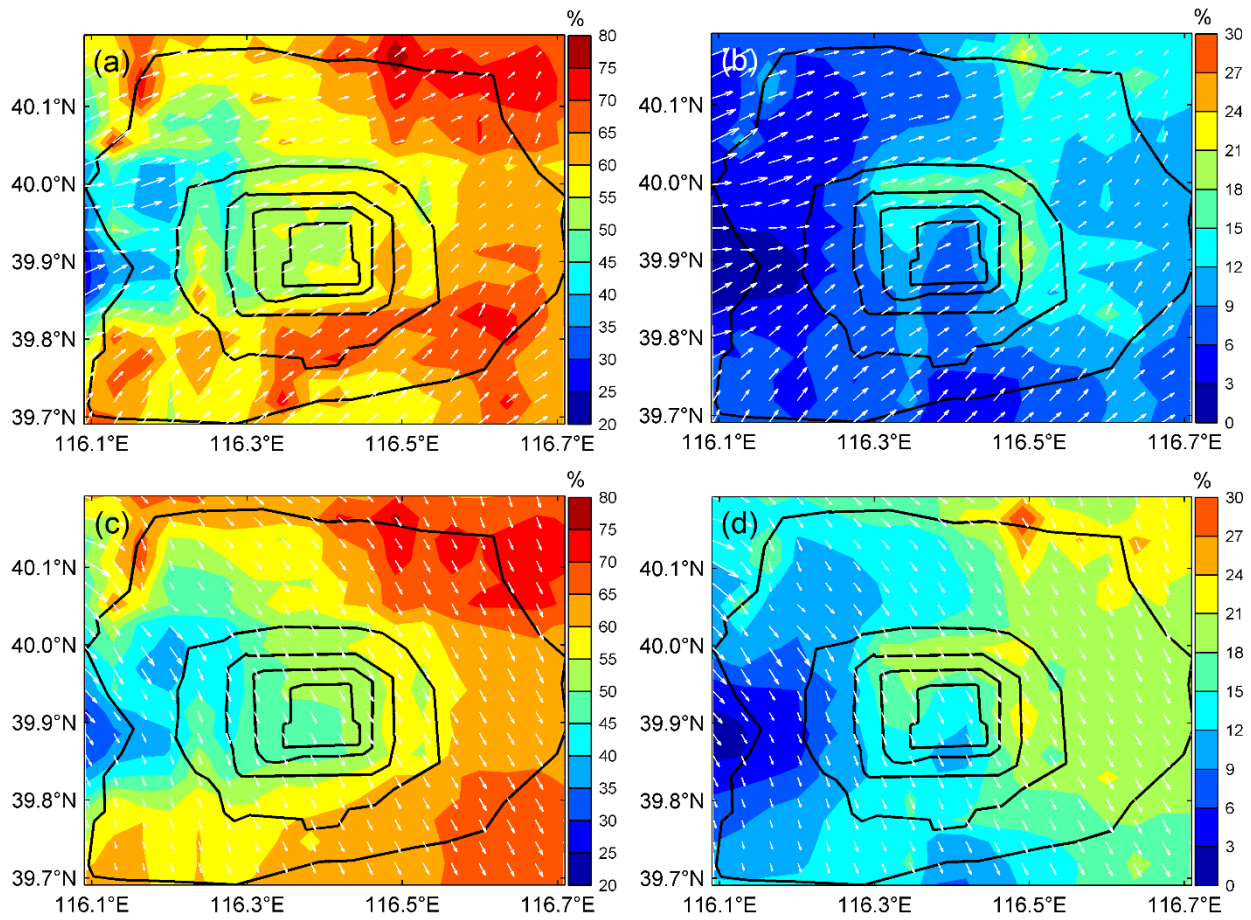


1

2 **Figure 9.** The spatial distribution of mean contribution rate of vehicle emission on NO<sub>2</sub> and PM<sub>2.5</sub> in  
 3 July (a, b) and December (c, d) 2013. Black lines represent the main traffic arteries in Beijing, white  
 4 arrows represent near-surface mean wind field.

5





1

2 **Figure 10.** The spatial distribution of vehicle emission contribution in local emission to NO<sub>2</sub> and  
 3 PM<sub>2.5</sub> in July (a, b) and December (c, d) 2013. Black lines represent the main traffic arteries in  
 4 Beijing, white arrows represent near-surface mean wind field.