

1 **Trends and drivers of ozone human health and vegetation**
2 **impact metrics from UK EMEP supersite measurements**
3 **(1990 - 2013)**

4
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1 **Abstract**

2 Analyses have been undertaken of the spatial and temporal trends and drivers of the
3 distributions of ground-level O₃ concentrations associated with potential impacts on human
4 health and vegetation using measurements at the two UK EMEP supersites of Harwell and
5 Auchencorth. These two sites provide representation of rural O₃ over the wider geographic
6 areas of south-east England and northern UK, respectively. The O₃ exposures associated with
7 health and vegetation impacts were quantified, respectively, by the SOMO10 and SOMO35
8 metrics, and by the flux-based POD_Y metrics for wheat, potato, beech and Scots pine.
9 Statistical analyses of measured O₃ and NO_x concentrations was supplemented by analyses of
10 meteorological data and NO_x emissions along air-mass back trajectories.

11 The findings highlight the differing responses of impact metrics to the decreasing
12 contribution of regional O₃ episodes in determining O₃ concentrations at Harwell between
13 1990 and 2013, associated with European NO_x emission reductions. An improvement in
14 human health-relevant O₃ exposure observed when calculated by SOMO35, which decreased
15 significantly, was not observed when quantified by SOMO10. The decrease in SOMO35 is
16 driven by decreases in regionally-produced O₃ which makes a larger contribution to
17 SOMO35 than to SOMO10. For the O₃ vegetation impacts at Harwell, no significant trend
18 was observed for the POD_Y metrics of the four species, in contrast to the decreasing trend in
19 vegetation-relevant O₃ exposure perceived when calculated using the crop AOT40 metric.
20 The decreases in regional O₃ production have not decreased POD_Y as climatic and plant
21 conditions reduced stomatal conductance and uptake of O₃ during regional O₃ production.

22 Ozone concentrations at Auchencorth (2007-2013) were more influenced by hemispheric
23 background concentrations than at Harwell. For health-related O₃ exposures this resulted in
24 lower SOMO35 but similar SOMO10 compared with Harwell; for vegetation POD_Y values,
25 this resulted in greater impacts at Auchencorth for vegetation types with lower exceedance
26 (“Y”) thresholds and longer growing seasons (i.e. beech and Scots pine). Additionally, during
27 periods influenced by regional O₃ production, a greater prevalence of plant conditions which
28 enhance O₃ uptake (such as higher soil water potential) at Auchencorth compared to Harwell
29 resulted in exacerbation of vegetation impacts at Auchencorth, despite being further from O₃
30 precursor emissions sources. .

31 These analyses indicate that quantifications of future improvement in health-relevant O₃
32 exposure achievable from pan-European O₃ mitigation strategies is highly dependent on the

1 choice of O₃ concentration cut-off threshold, and reduction in potential health impact
2 associated with more modest O₃ concentrations requires reductions in O₃ precursors on a
3 larger (hemispheric) spatial scale. Additionally, while further reduction in regional O₃ is more
4 likely to decrease O₃ vegetation impacts within the spatial domains of Auchencorth compared
5 to Harwell, larger reductions in vegetation impact could be achieved across the UK from
6 reduction of hemispheric background O₃ concentrations.

7

8 **1 Introduction**

9 As part of the European Monitoring and Evaluation Program (EMEP) monitoring network,
10 the UK operates two level II ‘supersites’ at Harwell (80 km west of London, Figure 1) and
11 Auchencorth (17 km south of Edinburgh, Figure 1) (Torseth et al., 2012). The utility of the
12 supersite concept as part of a strategy to address air quality research issues through
13 concurrent measurements of a suite of atmospheric constituents has recently been reinforced
14 (Kuhlbusch et al., 2014). The distinct impacts of one of the constituents measured at Harwell
15 and Auchencorth, ground-level ozone (O₃), on human health and vegetation have been widely
16 studied (REVIHAAP, 2013;RoTAP, 2012), but changes in the recommended metrics by
17 which O₃ exposure relevant to these impacts is quantified (see below) necessitates new
18 analyses of supersite measurement data.

19 The analyses in this study are based on the chemical climatology concept introduced by
20 chemist Robert Angus Smith in *Air and rain: The beginnings of a chemical climatology*
21 (Angus Smith, 1872). A chemical climatology approach comprises three elements (Malley et
22 al., 2014a): (i) an ‘impact’ of the atmospheric composition, often characterised through a
23 metric; (ii) the ‘state’ of relevant atmospheric composition variation (temporal, spatial and
24 covariance) producing instances of the impact; (iii) the ‘drivers’ of this state, which could
25 include meteorology, source proximity and emission profiles. A chemical climate has
26 temporal boundaries (time period) and spatial boundaries (geographical extent); where there
27 is identification of a significant change in the impact, resulting from significant change to the
28 drivers and state, then these may be classified as different phases of the chemical climate.

29 In this study the six steps in the construction of a chemical climate described in Figure 2, and
30 outlined in Malley et al (2014a) were applied to characterise the exposure of ground-level O₃
31 concentrations measured at Harwell and Auchencorth relevant to human health and four
32 vegetation types. The O₃ measured at these sites has been shown to be representative of rural

1 O₃ concentrations in the larger geographical areas of south-east England and northern UK,
2 respectively (Malley et al., 2014b).

3 Ozone exposure relevant to health impacts is quantified using the SOMO10 and SOMO35
4 metrics, which are the annual sums of daily maximum running 8-h average O₃ concentrations
5 above 10 and 35 ppb thresholds, respectively. These metrics are in line with the recent World
6 Health Organisation Review of evidence on health aspects of air pollution (REVIHAAP,
7 2013) report which recommends quantifying acute O₃ health impacts using both these
8 measures of daily O₃ concentration and across the full year. In earlier syntheses of human
9 health effects of O₃, importance was attached to the peak O₃ concentrations (WHO, 2006).
10 The recent REVIHAAP synthesis shows important O₃ effects on human health down to very
11 small concentrations, and a suggestion that there is no specific threshold for effects. The
12 inclusion of SOMO10 reflects this recent synthesis. To quantify vegetation impacts of O₃, the
13 species-specific metric of phytotoxic O₃ dose above a threshold flux Y (POD_Y) is used
14 (LRTAP Convention, 2010). This parameter represents the modelled accumulated stomatal
15 uptake of O₃ over a fixed time period based on hourly variations in climate (temperature (T),
16 vapour pressure deficit (VPD), photosynthetically active radiation (PAR)), soil moisture (soil
17 water potential (SWP) or plant available water (PAW)), O₃ and plant phenology (Emberson
18 et al., 2000). Stomatal flux metrics are increasingly used to assess O₃ vegetation impacts, as
19 they more accurately reflect the spatial pattern of O₃ damage across Europe compared with
20 concentration-based metrics such as AOT40 (Mills et al., 2011b;RoTAP, 2012). Statistical
21 analyses of O₃ and NO_x variation provide characterisation of the ‘state’ of atmospheric
22 composition at the two sites for the different impacts, while analysis of meteorology, air mass
23 history and NO_x emissions provide insight into the relevant ‘drivers’ of the chemical
24 climates.

25 The focus of this study is characterisation of the variation in O₃ impacts with time at Harwell,
26 and spatially between Harwell and Auchencorth, and of the contributions of regional and
27 hemispheric O₃-modifying processes in determining each impact. Hemispheric background
28 O₃ concentrations are defined here as O₃ formed from anthropogenic and natural precursor
29 emissions outside of Europe (Derwent et al., 2013). Superimposed on this, regional net O₃
30 production or loss derives from the balance of processes such as emissions, deposition and
31 meteorological conditions occurring on a regional scale. Photochemical reactions between
32 NO_x and volatile organic compounds (VOCs) emitted in Europe produces O₃ regionally, but
33 high NO_x environments (regionally and locally) limit O₃ formation (Jenkin, 2008;Munir et

1 al., 2013). Spatial and temporal variation of these processes in the UK context have been
2 discussed previously (AQEG, 2009). Studies have also quantified both human health (EMEP,
3 2014;Stedman and Kent, 2008;Gauss et al., 2014;Guerreiro et al., 2014) and vegetation O₃
4 impacts (Mills et al., 2011a;RoTAP, 2012) within the spatial domain of each supersite.
5 However, consideration of both impacts at each site using a common chemical climatology
6 approach links the impacts studies with the analyses of temporal and spatial O₃ variation, and
7 allows identification of differences and similarities in the drivers of each impact which
8 inform the development of co-beneficial O₃ mitigation strategies.

9 An important aspect of this study is to also compare impacts quantified through the updated
10 metrics with previously used metrics. For the health impact the contrast is between health-
11 relevant exposure quantified by SOMO10 and SOMO35 compared with that quantified using
12 the higher thresholds of the WHO guideline (50 ppb) and the EU target value (60 ppb)
13 (Derwent et al., 2013;EEA, 2014b). For the vegetation impact the contrast is between the
14 POD_Y metric and the concentration-based crop AOT40 metric, the sum of hourly O₃
15 concentrations above 40 ppb during daylight hours during the growing season (Coyle et al.,
16 2002;Klingberg et al., 2014;Jenkin, 2014). In addition, comparison is made between POD_Y
17 calculated using on-site measured O₃ and meteorological data (used in this study and
18 previously (Karlsson et al., 2007)) and analyses which have used gridded modelled O₃ and
19 meteorological data to calculate POD_Y (Emberson et al., 2007;Klingberg et al., 2011;Mills et
20 al., 2011a;Mills et al., 2011b;Simpson et al., 2007).

21 The ambition to integrate data (such as measured concentrations) with knowledge (such as
22 the adverse impacts of O₃) to advance both science and policy is currently an area of intense
23 research interest (Schmale et al., 2014;Abbatt et al., 2014;Kuhlbusch et al., 2014). This
24 current work, using the chemical climatology concept, presents a clear methodology for
25 achieving this and shows a simple categorisation for summarising information which could
26 be more widely adopted.

27

28 **2 Methods**

29 A chemical climate is based on an identified impact (Figure 2, Step 1), which is linked to
30 atmospheric composition variation through a suitable metric (Step 2). For assessment of O₃
31 acute health impact, REVIHAAP (2013) recommends the use of all-year metrics based on the
32 value by which the daily maximum 8-hour average O₃ concentration exceeds either 10 ppb or

1 35 ppb. The annual sum of the daily exceedances of these thresholds yields the SOMO10 and
2 SOMO35 metrics respectively. The POD_Y metric for the vegetation chemical climates was
3 calculated using the DO₃SE model version 3.0.5 (<http://www.sei-international.org/do3se>,
4 Emberson et al. (2000)). POD_Y values were calculated for two crops (wheat and potato) and
5 two forest trees (beech and Scots pine), accumulated across their respective growing seasons.
6 The length of the growing seasons, and phenological limitation on stomatal conductance
7 throughout the growing season were derived according to methods detailed in LRTAP
8 Convention (2010). The growing seasons for wheat (late April – early August) and potato
9 (late May – early September), were calculated by accumulated temperature and therefore
10 varied inter-annually based on meteorological conditions. For beech, the growing season was
11 calculated using a latitude model (19/04-20/10 at Harwell, 26/04-10/10 at Auchencorth). The
12 Scots pine growing season was the full year.

13 The DO₃SE model calculates the stomatal flux for each species using parameterisations
14 which quantify the sensitivity of each species to modification of stomatal conductance due to
15 the effects of phenology, O₃, PAR, T, VPD and soil moisture (SWP for potato, beech and
16 Scots pine and PAW for wheat) (LRTAP Convention, 2010). For this study, the DO₃SE
17 model used as input hourly measured O₃ concentrations at Harwell and Auchencorth, and the
18 following hourly meteorological data from the Met Office stations closest to each monitoring
19 site: wind speed, rainfall, vapour pressure deficit, temperature, global radiation and pressure
20 (UK Meteorological Office, 2012). For Harwell, the station at Benson (SRC ID: 613), 13 km
21 distance, provided all meteorological data except global radiation which was obtained from
22 Bracknell (SRC ID: 838, 1990-2002) and Rothamsted (SRC ID: 471, 2003-2013). For
23 Auchencorth, all meteorological data were obtained from the station at Gogarbank (SRC ID:
24 19260), 14 km distance. All archived data from these stations undergoes documented quality
25 control procedures (http://badc.nerc.ac.uk/data/ukmo-midas/ukmo_guide.html). The DO₃SE
26 model calculated hourly O₃ concentrations at the top of the canopy and stomatal conductance
27 for each vegetation type (LRTAP Convention, 2010; Emberson et al., 2000). SWP and PAW
28 were calculated in the DO₃SE model using the measured meteorological data based on the
29 Penman-Monteith model of evapotranspiration (Bueker et al., 2012). In addition to
30 meteorological conditions, the evaporation of moisture from soil is dependent on the
31 hydraulic properties of the soil texture. Statistics were therefore calculated for four different
32 soil textures, sandy loam (soil texture classification = coarse), silt loam (medium coarse),

1 loam (medium) and clay loam (fine). The properties of these soil textures are detailed in
2 Bueker et al. (2012).

3 POD_Y was accumulated when this stomatal flux was above a plant-specific threshold flux set
4 at $6 \text{ nmol m}^{-2} \text{ s}^{-1}$ for crops and $1 \text{ nmol m}^{-2} \text{ s}^{-1}$ for forest trees. Response functions were
5 applied to wheat, potato and beech to convert POD_Y into grain yield, tuber weight and whole-
6 tree biomass reduction estimates respectively (Mills et al., 2011c). As the representative
7 coniferous species in the ‘Atlantic central Europe’ geographic zone (LRTAP Convention,
8 2010), Scots pine was included despite no published response function, with increasing
9 POD_Y assumed to indicate increasing potential damage. In addition, the crop-specific AOT40
10 metric for May to July was calculated (Fuhrer et al., 1997) to allow for comparison with
11 previous studies that used AOT40 to estimate the impact of O_3 on crops (e.g. Derwent et al.,
12 2013; Jenkin, 2014).

13 The spatial domain (Figure 2, Step 3) in this analysis was the area of representivity of each
14 monitoring site. In the context of European O_3 variation evaluated across all EMEP sites
15 measuring O_3 , Harwell was shown to be representative of rural sites within 120 km of
16 London, and Auchencorth representative of rural locations in a larger domain including the
17 rest of the UK (Malley et al., 2014b). The temporal domain investigated was 1990-2013 for
18 Harwell (NO_x data available from 1996) and 2007-2013 for Auchencorth. The NO_x and O_3
19 measurements were co-located at Harwell, but the NO_x data for analyses at Auchencorth were
20 obtained from Bush (UK-AIR ID: UKA00128), 8 km from Auchencorth. The suitability of
21 Bush as a proxy site for Auchencorth has been outlined previously, and O_3 variation was
22 found to be similar at both sites (Malley et al., 2014b). The chemical data were downloaded
23 from the UK-Air data repository (<http://uk-air.defra.gov.uk>) and the Automatic Urban and
24 Rural Network (AURN) reports provide further details on these measurements (Eaton and
25 Stacey, 2012).

26 A minimum data capture of 75% across the year for SOMO10/35 calculations, and across the
27 relevant growing season for POD_Y and AOT40 calculations, was imposed for inclusion in the
28 summary statistics. This resulted only in the exclusion of statistics at Harwell for potato in
29 1995 and Scots pine in 1993. As data capture was generally very high, no adjustment of
30 summary statistics for missing data was applied. At Harwell, average annual data capture for
31 1990-2013 was 94%. The lowest annual data capture was 76% (1993). When the missing
32 hourly O_3 data were estimated through linear interpolation, 1993 SOMO35 and SOMO10
33 increased by no more than 2% compared with no interpolation. For the four vegetation types,

1 the 1990-2013 average data capture during the respective growing seasons at Harwell was
2 between 92 and 94%. Sensitivity to missing O₃ and meteorological data during the years of
3 lowest data capture (above 75%) for wheat (1994, 75%), potato (1993, 80%), beech (1995,
4 82%) and pine (2007, 81%) was also evaluated through linear interpolation. POD_Y values
5 were 19%, 19% and 18% higher for wheat, beech and pine, respectively, compared with no
6 linear interpolation, and 6% lower for potato. These sensitivities illustrate an estimate of the
7 greatest extent of impact metrics not included due to missing data. For the majority of years
8 biases will be much smaller, as data capture was substantially higher. As estimation of
9 missing data introduces new sources of uncertainty, the impacts calculated using measured
10 data only are considered here.

11 The state (Figure 2, Step 4) of the human health chemical climates was characterised using
12 the following statistics for the SOMO10 and SOMO35 metrics: the number of accumulation
13 days (ADs), i.e. days on which the maximum 8-hour O₃ concentration exceeded 10 or 35 ppb;
14 percentage contribution per season to annual number of ADs; the percentage contribution per
15 season to SOMO10/35; the average diurnal amplitudes in O₃, NO and NO₂ concentrations on
16 ADs and non-accumulation days (NADs); and the contributions from 13 daily maximum 8-h
17 O₃ concentration bins (10 ppb to >70 ppb in 5 ppb groups) to SOMO10/35. The state for the
18 vegetation chemical climates was characterised by the following statistics for the POD_Y
19 metric for each vegetation type: the number of POD_Y accumulation days; the percentage
20 monthly contributions to POD_Y across the growing season; the contributions from 15 hourly
21 O₃ concentration bins (0 ppb to >70 ppb in 5 ppb groups) to POD_Y; and the average diurnal
22 amplitudes of O₃, NO and NO₂ on ADs and NADs. For the AOT40 metric, the contributions
23 from May, June and July were calculated as well as the average diurnal amplitudes in May,
24 June and July of O₃, NO and NO₂.

25 Three potential drivers of the state (Step 5) were investigated. First, the effect of temperature
26 was investigated using data from Benson (SRC ID: 613), 13 km from Harwell, and
27 Gogarbank (SRC ID: 19260), 14 km from Auchencorth (UK Meteorological Office, 2012).
28 The mean daily temperature on ADs and NADs for SOMO10/35 and POD_Y were compared.
29 Monthly averaged temperatures during the AOT40 growing season were calculated.
30 Secondly, the association of the state (Step 4) with air-mass history was investigated by
31 grouping back trajectories based on the similarity of their pathway. The proportion of
32 trajectories arriving from each group during SOMO10/35 and POD_Y ADs and NADs, and
33 over the AOT40 growing season, was then compared. Pre-calculated 4-day HYSPLIT air-

1 mass back trajectories arriving at 3 hour intervals (2920 trajectories per year) (Draxler and
 2 Rolph, 2013; Carslaw and Ropkins, 2013; R Core Development Team, 2008) were grouped
 3 using Ward's linkage hierarchical cluster analysis which has been shown through simulations
 4 to perform effectively (Mangiameli et al., 1996). The similarity between trajectories was
 5 quantified using the measure of their 'angle' from the receptor (Equation 1):

$$6 \quad d_{1,2} = \frac{1}{n} \sum_{i=1}^n \cos^{-1} \left(0.5 \frac{A_i + B_i + C_i}{\sqrt{A_i B_i}} \right) \quad (1)$$

7 where

$$A_i = (X_1(i) - X_0)^2 + (Y_1(i) - Y_0)^2$$

$$B_i = (X_2(i) - X_0)^2 + (Y_2(i) - Y_0)^2$$

$$C_i = (X_2(i) - X_1(i))^2 + (Y_2(i) - Y_1(i))^2$$

8 $d_{1,2}$ is the variance between trajectory 1 and trajectory 2, X_0 and Y_0 are the latitude and
 9 longitude coordinates of the origin of the back trajectory (i.e. the supersite), and X_1 , Y_1 , and
 10 X_2 , Y_2 are the coordinates of back trajectories 1 and 2, respectively, at a common time point i
 11 along the trajectory. In Ward's method each object (back trajectory) initially constitutes its
 12 own cluster. At each step, the two clusters are merged that give the smallest increase in total
 13 within-cluster variance. This process is repeated until all trajectories are located in one cluster
 14 (Kaufman and Rousseeuw, 1990). The summary dendrogram was then 'cut' to produce a set
 15 of four clusters in which the back trajectories were predominantly 'westerly', 'easterly',
 16 'northerly' and 'southerly'.

17 Thirdly, the 2920 4-day back trajectories arriving each year were combined with reported
 18 gridded NO_x emissions to investigate the contribution of NO_x emissions as a chemical
 19 climate driver. Each 1 h time point along a trajectory was associated with the relevant $0.5^\circ \times$
 20 0.5° grid square NO_x emissions reported by EMEP (Mareckova et al., 2013; Simpson et al.,
 21 2012). This grid encompasses the region 30.25°N to 75.25°N and 29.75°W to 60.25°E . The
 22 associated annual NO_x gridded emissions were adjusted using month, day of week and hour
 23 of day time factors (Simpson et al., 2012) to obtain an estimate of the hourly NO_x emissions
 24 during the hour in which the trajectory passed over the grid cell. The 96 hourly emissions
 25 estimates for each trajectory were summed, and averaged across the 8 trajectories arriving
 26 each day, producing a daily average trajectory NO_x emissions estimate which was compared
 27 on SOMO10/35 and POD_Y ADs and NADs. The monthly average trajectory NO_x emissions
 28 estimate was calculated for the May-July AOT40 growing season.

1 The chemical climate statistics derived were compared between Harwell and Auchencorth for
2 evidence of different spatial phases in the O₃ impacts (Figure 2, Step 6). Evidence for a
3 different temporal phase in the O₃ impact chemical climate at Harwell was investigated by
4 Theil-Sen trend analysis of the 24-year time series of chemical climate statistics. This non-
5 parametric test selects the median of all the slopes between pairs of points in a time series as
6 the estimate of the trend, and calculates statistical significance using bootstrap re-sampling
7 (Carslaw and Ropkins, 2013). The 7-year dataset from Auchencorth was of insufficient
8 duration to evaluate significant changes in either the health or vegetation impacts.

9 The terminology spring, summer, autumn and winter refer to the 3-month periods Mar-Apr-
10 May, Jun-Jul-Aug, Sep-Oct-Nov and Dec-Jan-Feb, respectively.

11

12 **3 Results and Discussion**

13 The chemical climate statistics derived for the O₃ human health and vegetation impacts at
14 Harwell and Auchencorth are presented as datasheets in Supplementary Information Tables
15 S1-S12. For Harwell, the statistics are averaged across six time periods (1990-1993, 1994-
16 1997, 1998-2001, 2002-2005, 2006-2009, 2010-2013). These tables have a lot of statistics
17 and exemplify a resource which could be replicated and collated for different impacts,
18 locations and time periods to identify key linkages between chemical climates and aid in the
19 development of more holistically-considered mitigation strategies. The main features which
20 support the key conclusions from the human health and vegetation O₃ chemical climates at
21 the UK supersites are presented in Figures 3-14 and discussed in the following subsections.

22

23 **3.1 O₃ human health impact chemical climates**

24 The detailed statistics describing the O₃ human health chemical climates at Harwell and
25 Auchencorth are presented in Tables S1 and S2, respectively. This section presents two
26 analyses of the impact, state and drivers of the chemical climatology framework (Figure 2,
27 steps 1-5); specifically, changes in chemical climate phase (Figure 2, Step 6) temporally at
28 Harwell between 1990 and 2013 (Section 3.1.1) and spatially between Auchencorth and
29 Harwell (Section 3.1.2).

30

31 **3.1.1 Long-term changes at Harwell**

1 When characterised by the SOMO35 metric, the O₃ exposure associated with human health
2 impact at Harwell decreased significantly between 1990-2013 (Figure 3), with a median trend
3 of $-2.2\% \text{ y}^{-1}$ ($p = 0.001$). The annual number of SOMO35 accumulation days (ADs) did not
4 vary significantly during this period, averaging $148 \pm 28 \text{ days y}^{-1}$. In contrast, when
5 characterised by the SOMO10 metric, O₃ exposure associated with human health impact at
6 Harwell showed no statistically significant trend (1990-2013 mean (\pm sd) = $8329 \pm 802 \text{ ppb.d}$)
7 (Figure 3). However, the annual number of SOMO10 ADs has increased significantly with a
8 median trend of $+1.7 \text{ days y}^{-1}$ ($p = 0.01$). In the more recent years, the additional ADs
9 occurred in winter, and SOMO10 was accumulated on almost every day of the year (Table
10 S1).

11 The majority of SOMO35 accumulation at Harwell occurred in spring and summer (Figure
12 4). Between 1990 and 2013 the spring contribution to SOMO35 increased significantly
13 ($+1.1\% \text{ y}^{-1}$, $p = 0.01$), whilst the summer contribution decreased significantly ($-1.2\% \text{ y}^{-1}$, $p =$
14 0.01). The spring and summer contributions to SOMO35 values were considerably larger,
15 and showed larger inter-annual variation, compared with those for SOMO10 (Figure 4).
16 Between 1990 and 2013 there was a significant decrease in contribution to SOMO10 during
17 summer (trend $-0.4\% \text{ y}^{-1}$, $p = 0.01$) and a significant increase during winter ($+0.3\% \text{ y}^{-1}$, $p =$
18 0.001).

19 Figure 5 shows the contributions from thirteen 5-ppb daily maximum 8-h O₃ concentration
20 bins to SOMO10 and SOMO35 at Harwell. The majority of SOMO10 was accumulated on
21 days when the O₃ concentration was between 25 and 45 ppb (Figure 5a). Contributions to
22 SOMO10 from days with the highest concentrations (60-70 ppb and $>70 \text{ ppb}$) decreased
23 significantly between 1990 and 2013 (-0.2 and $-0.4\% \text{ y}^{-1}$ respectively), while contributions
24 from more moderate O₃ concentrations (20-30 ppb and 40-50 ppb) increased significantly
25 ($+0.3\% \text{ y}^{-1}$ and $+0.2\% \text{ y}^{-1}$ respectively). Ozone concentrations between 10 and 35 ppb, i.e.
26 included in SOMO10 but not in SOMO35, contributed on average $40 \pm 8\%$ across the whole
27 24-year period. The contribution to SOMO35 from the higher concentration bins was larger
28 than for SOMO10, but also decreased significantly (Figure 5b): the 1990-2013 trends in
29 SOMO35 contributions from O₃ concentrations between 60-70 ppb and $>70 \text{ ppb}$ were -0.4
30 and $-1.4\% \text{ y}^{-1}$ respectively. There were significant increases in contributions to SOMO35
31 from concentrations between 35 and 50 ppb (trends in the range $+0.4$ to $+1.5\% \text{ y}^{-1}$). At
32 Harwell the amplitude of the diurnal O₃ cycle was consistently greater (by 7-18 ppb) on
33 SOMO35 ADs compared with SOMO35 NADs (Table S1), while the diurnal NO and NO₂

1 cycles were substantially lower on ADs than on NADs. Figure 6 shows that the mean diurnal
2 amplitudes of O₃, NO₂ and NO on SOMO35 ADs decreased significantly between 1990 and
3 2013 (trends of $-1.8\% \text{ y}^{-1}$, $-2.8\% \text{ y}^{-1}$, $-3.6\% \text{ y}^{-1}$, respectively). There was also a significant
4 decrease in mean diurnal cycle amplitudes of O₃, NO₂ and NO on SOMO10 ADs (trends of
5 -1.4 , -2.6 , and $-3.9\% \text{ y}^{-1}$, respectively, NO_x data only from 1996). Trends of decreasing
6 diurnal amplitudes were also observed on SOMO35 NADs (note that SOMO10 NADs were
7 rare, and in 2010-2013 there were essentially no SOMO10 NADs).

8 The largest change in the O₃ human health chemical climate drivers between 1990 and 2013
9 at Harwell was the decrease in the estimated daily averaged NO_x emissions along the air-
10 mass back trajectories (Figure 7). For SOMO35 ADs and NADs, the decreases were $-3.1\% \text{ y}^{-1}$
11 ¹ and $-3.0\% \text{ y}^{-1}$ respectively, while the decrease on SOMO10 ADs was $-2.9\% \text{ y}^{-1}$ (all $p =$
12 0.001). For SOMO10 and SOMO35, temperatures on NADs were lower than on ADs. For
13 SOMO35, the average temperature was $2.3 \pm 1.5 \text{ }^\circ\text{C}$ higher on ADs than on NADs between
14 2010-2013, smaller than the corresponding differential of $3.9 \pm 1.3 \text{ }^\circ\text{C}$ between 1990 and
15 1993. The median trend in this temperature differential was $-2.5\% \text{ y}^{-1}$ ($p = 0.001$). The
16 proportion of air-mass back trajectories classified into the four geographic groupings through
17 cluster analysis did not vary significantly between ADs and NADs for SOMO35, or across
18 the whole 1990-2013 period. In 2003, the effects of long-term changes in the emissions
19 drivers were temporarily offset and SOMO10 and SOMO35 values were elevated (Figure 3).
20 This was due to the ‘heat-wave’ period experienced across south-east England during
21 summer that year. The elevated temperatures enhanced O₃ concentrations by leading to
22 greater biogenic VOC emissions and increased reactivity of VOCs with OH, and to reduced
23 O₃ dry deposition (Lee et al., 2006;Vieno et al., 2010).

24 The trends and differences in the statistics presented for the SOMO10 and SOMO35 metrics
25 for 1990-2013 at Harwell reveal changes in the relative importance to O₃ concentrations of
26 hemispheric, regional and local-scale processes in determining the health-relevant O₃
27 exposure at Harwell. Hemispheric background levels of O₃ over Europe feature a pronounced
28 spring maximum and summer minimum (Derwent et al., 2013;Parrish et al., 2013). Hence
29 during spring the SOMO35 threshold is exceeded on the majority of days. Derwent et al.
30 (2013) analysed O₃ concentrations in non-European influenced air masses and found an
31 increasing trend up to 2008, most strongly observed in winter and spring, followed by a
32 levelling off and decrease. Wilson et al. (2012) also calculated a significant positive trend
33 between 1996 and 2005 in monthly 5th percentile O₃ concentrations, taken as a measure of

1 background concentrations, at 82 out of 158 European monitoring sites, including the
2 majority of sites in the UK. This increase in hemispheric background concentrations has led
3 to the increases in the number of winter SOMO10 ADs and in the spring contribution to
4 SOMO35.

5 Regional O₃ production is greatest in summer when solar intensity and temperatures are
6 highest, so the contribution to the O₃ exposure associated with the health impact during
7 summer is predominantly of European origin (Jenkin, 2008). Autumn and winter have far
8 fewer SOMO35 ADs because of lower hemispheric background levels and lower solar
9 intensity for regional production; however, the consistent exceedance of 10 ppb during
10 autumn and winter leads to a significant contribution to SOMO10 (approximately 40% in
11 2010-2013 (Table S1)). The decrease in summer contribution to SOMO35 results from
12 reduced regionally-produced O₃ episodes. This is evidenced by the reduced contribution from
13 the highest O₃ concentration days, the decreased amplitude of diurnal O₃ variation during
14 SOMO35 and SOMO10 ADs and the decreased temperature difference between SOMO35
15 AD and NADs (regionally-generated O₃ exhibits a pronounced diurnal cycle due to its
16 photochemical production and is therefore determined to a greater extent by European
17 meteorological conditions than is hemispheric background O₃). Jenkin (2008) and Munir et
18 al. (2013) likewise attributed long-term decreases in high percentile O₃ concentrations at UK
19 monitoring sites to reduced regional photochemical O₃ episodes, and increases in lower
20 percentile concentrations to increased hemispheric background.

21 The decrease in regional O₃ production is due to the decreasing trend in precursor emissions
22 affecting Harwell (Figure 7). The European Environment Agency (EEA) estimate that, across
23 the EU28 countries, NO_x emissions have decreased by 51% between 1990 and 2012 and
24 volatile organic compound (VOC) emissions have decreased by 60% (EEA, 2014a). Unlike
25 SOMO35, the SOMO10 metric did not decline between 1990-2013 because of the lower
26 contribution to SOMO10 from the highest O₃ concentrations, which derive from regional
27 photochemical episodes. SOMO10 was therefore less sensitive to decreases in the magnitude
28 of these episodes, and the decrease was offset by an increase in contribution from 20-30 ppb
29 daily maximum 8-hour ADs, which were not included in SOMO35.

30 In summary, whether it is concluded there has been a decline or no decline in O₃ exposure
31 associated with human health impact between 1990 and 2013 at Harwell differs according to
32 the choice of a 35 ppb or 10 ppb threshold, both of which are recommended in the recent
33 WHO review (REVIHAAP, 2013). Although the absolute health impact apportioned to O₃ is

1 sensitive to the choice of threshold (Stedman and Kent, 2008;Heal et al., 2013), the analyses
2 presented here have shown that, irrespective of whether a 35 or 10 ppb threshold is selected,
3 the extent, timing and severity of the human health impact of O₃ is increasingly driven by
4 more frequent, modest exceedances of the respective threshold, rather than short-lived
5 extreme episodic exceedances.

6

7 **3.1.2 Spatial differences between Auchencorth and Harwell (2007-2013)**

8 In the comparison between Auchencorth (representative of much of the rural west and north
9 of the UK) and Harwell (representative of SE England), annual mean and 75th percentile O₃
10 concentrations were greater at Auchencorth between 2007 and 2013, while maximum values
11 were substantially greater at Harwell (Tables S1 and S2). Between 2007 and 2013, the
12 average SOMO35 was 14% lower at Auchencorth, while the average SOMO10 was 7%
13 higher compared with Harwell. The proportion of SOMO10 accumulated in spring was
14 similar at both sites, but the proportion accumulated in summer was on average $5.3 \pm 2.9\%$
15 lower at Auchencorth. The contribution to SOMO35 from spring was greater at Auchencorth,
16 but smaller for summer compared with Harwell (Figure 4). Auchencorth also had a smaller
17 contribution from days with >60 ppb daily maximum 8-hour O₃ concentrations (Table S2).
18 Mean amplitudes of diurnal O₃ variation on SOMO10 and SOMO35 ADs were also smaller
19 at Auchencorth than at Harwell (see Figure 6 for the data relating to SOMO35 ADs). In
20 addition, the difference in mean amplitudes of diurnal O₃, NO₂ and NO variation on
21 SOMO10/35 ADs and NADs was smaller at Auchencorth than at Harwell. For example,
22 diurnal O₃ amplitude was 2.2-4.5 ppb greater on SOMO35 ADs than on NADs at
23 Auchencorth (Table S2), which was smaller than the 5.6-8.2 ppb differential at Harwell
24 between 2007 and 2013 (Table S1).

25 The estimated daily averaged NO_x emissions along the air-mass back trajectories were
26 substantially lower at Auchencorth than at Harwell (Figure 7) and generally lower ($13 \pm 9\%$
27 on average in 2007-2013) on SOMO35 ADs compared with NADs. The temperature
28 difference between SOMO35 ADs and NADs at Auchencorth was less than at Harwell,
29 ranging between 1.7 °C higher on average on ADs in 2010 to 1.4 °C lower on ADs in 2013.
30 Elevated SOMO10 (6% above 2007-2013 average) and SOMO35 (67%) values in 2008 at
31 Auchencorth (as also reported by Gauss et al. (2014) using the EMEP/MSC-W model)
32 resulted from an increased contribution from days with maximum 8-h concentrations above

1 50 ppb (12% and 36% contributions to SOMO10 and SOMO35 respectively). In addition,
2 28% of trajectories were grouped in an ‘easterly’ cluster on SOMO35 ADs in 2008,
3 compared with 13% on NADs. Patterns were similar in 2009, 2012 and 2013, but without the
4 elevated SOMO35 compared to 2008. The larger O₃ and NO₂ diurnal amplitudes on
5 SOMO10 and SOMO35 ADs in 2008, and the elevated temperatures on SOMO35 ADs
6 (Table S2) suggests regional O₃ production was a substantially stronger driver of SOMO35 in
7 2008 compared to other years at Auchencorth.

8 The chemical climate state and driver statistics for Auchencorth indicate that O₃
9 concentrations at this location are less modified from the hemispheric background than at
10 Harwell, consistent with spatial patterns reported in Jenkin (2008). The larger contribution
11 from spring to SOMO35 at Auchencorth compared to Harwell shows that the hemispheric
12 spring maximum in O₃ produces the majority of SOMO35, and the lower contribution from
13 high O₃ concentration ADs indicates lower influence from regional photochemical O₃
14 production. Since SOMO10 is determined to a lesser extent by high O₃ concentration ADs,
15 this explains why calculated SOMO35 are lower at Auchencorth, yet SOMO10 values are
16 similar at Auchencorth and Harwell.

17

18 **3.1.3 Comparison between SOMO10/SOMO35 and higher threshold metrics**

19 In spite of these spatial differences between the SOMO10 and SOMO35 metrics, both
20 provide a substantially different picture of the extent (proportion of year over which impact
21 metric is accumulated), timing (particular periods when impact metric is accumulated) and
22 severity (magnitude of impact metric) of human health relevant O₃ exposure at Harwell and
23 Auchencorth compared with use of higher threshold metrics such as the WHO air quality
24 guideline (50 ppb) or the EU target value (60 ppb). For example, in 2013, the extent of
25 exceedance of the 60 ppb EU target value across the UK was only 19 days (at least 1 of 81
26 UK sites exceeding threshold), and the timing of these exceedances was mainly in summer
27 (EEA, 2014b). In contrast, at Harwell in 2013, there were 356 and 130 ADs for SOMO10 and
28 SOMO35 respectively, of which only 27% and 28% was accumulated in summer. In respect
29 of severity, at Harwell in 2010-2013, on average 91% and 66% of SOMO10 and SOMO35
30 respectively was accumulated on days with maximum 8-h O₃ concentrations below the WHO
31 guideline of 50 ppb, compared to 76% and 38% in 1990-1993 (Table S1). At Auchencorth, an

1 even larger proportion of SOMO10 and SOMO35 were accumulated below 50 ppb, on
2 average 96% and 84% respectively during the 2007-2013 monitoring period (Table S2).

3 The overall impression from these statistics showing a decline in exposure to concentrations
4 in excess of 35 ppb is that the threat to human health has declined between 1990 and 2013 in
5 south-east England. The comments from the EEA (2014b) on the very few episodes in excess
6 of 50 or 60 ppb in 2013 are consistent with this view. However, the recent REVIHAAP
7 (2013) synthesis shows that the lower percentiles of O₃ are also important and it is hard to
8 define a precise threshold below which O₃ is not harmful. Thus the dose of O₃ to humans
9 through respiration may be the more important guide to the potential threat, and as the
10 SOMO10 (and the mean values) have changed little with time, the suggested improvement in
11 air quality from the EEA may be more apparent than real. An important policy implication of
12 these trends is the degree to which local, regional or global policies are required to decrease
13 the threat to human health from O₃. In the case of exposures to O₃ in excess of 60 ppb,
14 controls at the European and national scales can be effective, as the measurements
15 demonstrate. However, if the mean or lower percentiles are important, as suggested in recent
16 syntheses, then controls at much larger (hemispheric) scales are required.

17

18 **3.2 O₃ vegetation impact chemical climates**

19 The detailed statistics describing the impacts of O₃ on crops at Harwell and Auchencorth, as
20 derived using the POD_Y metric are presented in Tables S3 and S4 for potato, Tables S5 and
21 S6 for wheat, and as derived using the generic crop AOT40 metric for a May-July growing
22 season in Tables S7 and S8. The statistics for the POD_Y metric for forest trees are presented
23 in Table S9 and S10 for beech, and Tables S11 and S12 for Scots pine. The POD_Y statistics
24 presented in Tables S5-S12, and Figures 8-13 were calculated for the loam (medium) soil
25 texture (Bueker et al., 2012). The representativeness of the conclusions derived from the
26 interpretation of these statistics to other soil textures is discussed in Section 3.2.1 and 3.2.2.
27 This section presents two analyses of the impact, state and drivers of the chemical
28 climatology framework (Figure 2, steps 1-5); specifically, changes in chemical climate phase
29 (Figure 2, Step 6) temporally at Harwell between 1990 and 2013 (Section 3.2.1) and spatially
30 between Auchencorth and Harwell (Section 3.2.2).

31

32 **3.2.1 Long-term changes in vegetation impact at Harwell (1990-2013)**

1 Figure 8 shows the impact of O₃ on vegetation at Harwell, as quantified by the relevant
2 POD_Y and response (grain yield for wheat, tuber weight for potato and biomass reduction for
3 beech). The 1990-2013 average POD_Y values calculated using sandy loam (coarse), silt loam
4 (medium coarse), loam (medium) and clay loam (fine) soil texture properties are shown in
5 Table 1. The ratio between the largest and smallest average POD_Y due to differences in soil
6 moisture for the different soil textures was 1.57 (wheat), 1.32 (potato), 1.14 (beech) and 1.10
7 (Scots pine), but the annual pattern of POD_Y accumulation was consistent across the four soil
8 textures. The statistics in the following sections are those calculated for the loam soil texture,
9 unless otherwise stated, which has intermediate hydraulic properties compared with the three
10 other soil textures.

11 For crops, there has not been a statistically significant change in POD_Y between 1990 and
12 2013, across all soil textures. Using the critical levels for adverse vegetation damage agreed
13 by the UN Convention on Long Range Transboundary Air Pollution (LRTAP) (Mills et al.,
14 2011c), O₃ has a greater impact on wheat than potato at Harwell, with 13 of the 24 years
15 exceeding the 5% yield reduction critical level for wheat, compared to 6 years exceeding the
16 5% tuber weight reduction critical level for potato. Mills et al (2011a), using modelled O₃ and
17 meteorological data to assess the impact of O₃ on vegetation across the UK in 2006 and 2008,
18 also reported a smaller impact on potato than wheat, due to the lower sensitivity of potato to
19 O₃.

20 The majority of POD_Y accumulation for potato and wheat occurred in June (Tables S3 and
21 S5). Between 1990 and 2013 there were significant decreases in diurnal O₃, NO₂ and NO
22 amplitudes on June ADs (Figure 9, Tables S3 and S5). The median trend in diurnal O₃
23 amplitude on June ADs was $-2.0\% \text{ y}^{-1}$ and $-2.4\% \text{ y}^{-1}$ for potato and wheat respectively ($p =$
24 0.001), and, in the latter period (2010-2013), the difference in diurnal O₃ amplitude between
25 June ADs and NADs was small (Tables S3 and S5). Figure 10 shows the percentage of POD_Y
26 accumulated during different measured hourly O₃ concentration ranges. There were
27 significant decreasing trends in the contribution from the highest concentration bins (65-70
28 ppb and >70 ppb) for potato (-0.4 to $-1.4\% \text{ y}^{-1}$), and from the 55-60 and 65-70 ppb
29 concentrations bins for wheat. In contrast, there were increasing trends in POD_Y contribution
30 from the 25-45 ppb O₃ concentration bins for potato ($+0.1$ to $+0.8\% \text{ y}^{-1}$) and from the 30-45
31 ppb concentration bins for wheat ($+0.5$ to $+1.1\% \text{ y}^{-1}$). These trends were due to a decreasing
32 frequency of hours with O₃ concentrations in the range 55 to >70 ppb during the growing
33 seasons of potato (-3.0 to $-4.3\% \text{ y}^{-1}$) and wheat (-2.1 to $-4.8\% \text{ y}^{-1}$) and increasing frequency

1 of hourly O₃ concentrations in the range 25-45 ppb (wheat) and 20-35 ppb (potato). For both
2 crops, the estimated back-trajectory NO_x emissions on ADs decreased significantly in the
3 period 1990-2013 for each month of the growing season (Figure 9 shows this decrease for
4 ADs in June), with trends ranging from -2.5 to -4.3% y⁻¹. Other drivers such as temperature,
5 global radiation and back-trajectory pathway did not change significantly between 1990 and
6 2013 (Tables S3 and S5).

7 For beech and Scots pine, there was no significant trend in POD_Y between 1990 and 2013
8 across all soil types (Figure 8). The average POD_Y for beech (Table 1) was four times the
9 critical level (Mills et al., 2011c). Beech and Scots pine POD_Y values were substantially
10 higher than for the crops, due to a lower threshold for exceedance, a longer growing season
11 and other differences in the stomatal conductance response to T, PAR, VPD and SWP. The
12 average beech POD_Y value calculated here is comparable with the estimate for beech POD_Y
13 modelled by Simpson et al. (2007) for the south east of England (8-16 mmol m⁻²), but both
14 values were higher than the values estimated in Emberson et al. (2007) for three European
15 climate regions (not including UK) in 1997.

16 The low 1 nmol m⁻² s⁻¹ threshold for POD_Y accumulation for beech and Scots pine was
17 exceeded during the majority of days during the respective growing seasons. The major
18 contributions by month to POD_Y were consistently May and June for beech, and April, May
19 and June for Scots pine (Tables S9 and S11). During 1990-2013 diurnal O₃ amplitude
20 decreased significantly on beech and Scots pine ADs between May and September, with
21 median monthly AD trends between -1.5% and -2.3% y⁻¹ for beech, and -1.3% and -2.4%
22 y⁻¹ for Scots pine. Across the 24 year period there was a more consistent, major contribution
23 to POD_Y during hourly O₃ concentrations in the range 25-50 ppb compared with wheat and
24 potato, especially for Scots pine (Figure 10c and 10d). For beech and Scots pine, the trends in
25 contribution from different concentration bins were smaller compared with crops. Decreasing
26 trends in POD_Y contribution were significant for concentration bins between 50 and >70 ppb
27 (-0.1 to -0.4 % y⁻¹ for beech and -0.1 to -0.2 % y⁻¹ for Scots pine), and significant
28 increasing trends in more moderate concentration bins (25-40 ppb) were only apparent for
29 beech. During the growing season of each tree, the frequency of high O₃ concentrations (55
30 to >70 ppb) decreased significantly (-2.5 to -5.3% y⁻¹ for both trees), and there was an
31 increase in the frequency of concentrations between 25-35 ppb (+1.4 to +2.2% y⁻¹ for both
32 trees). Karlsson et al. (2007) calculated a similar result for Norway Spruce in Sweden, where
33 between 2002-2004 approximately 80% of POD_Y was accumulated during O₃ concentrations

1 between 30 and 50 ppb. The estimated NO_x emissions into the air-mass trajectories also
2 decreased significantly during beech and Scots pine ADs, with median monthly trends
3 ranging from -3.2 to -3.6% y⁻¹ for beech, and -1.9 to -3.7% y⁻¹ for Scots pine.

4 The significant trends in state (pollutant diurnal variation and concentration bin
5 contributions) and drivers (trajectory emissions estimates) for the four vegetation types
6 (Figure 9 and Tables S3, S5, S9 and S11) indicate an increase in the relative importance of
7 hemispheric background O₃ concentrations in determining POD_Y. Despite this change, POD_Y
8 values have not decreased, in contrast to SOMO35 for which decreased contribution from
9 high O₃ concentrations (produced during regional O₃ episodes) resulted in a decreasing trend.
10 This was due to non-O₃ factors such as stomatal response to VPD and soil moisture which
11 also determine the severity of a vegetation impact by limiting the O₃ flux during high O₃
12 concentration episodes, reducing the sensitivity of POD_Y values to decreases in regional O₃
13 production. For example, during the potato growing season the median stomatal conductance
14 during hours with O₃ concentrations in the ranges 60-65, 65-70 and >70 ppb were 86, 90 and
15 65 mmol m⁻² s⁻¹ respectively (median across 1990-2013). These are significantly lower than
16 the maximum stomatal conductance for potato of 750 mmol m⁻² s⁻¹ (LRTAP Convention,
17 2010), and similar to the median stomatal conductances calculated during more moderate O₃
18 concentrations, such as 35-40 ppb (54 mmol m⁻² s⁻¹), 40-45 ppb (68 mmol m⁻² s⁻¹) and 45-50
19 ppb (87 mmol m⁻² s⁻¹).

20 Soil water potential (SWP) is a soil texture dependent determinant of potato stomatal
21 conductance in the DO₃SE model, which decreases when SWP is lower than -0.5 MPa
22 (LRTAP Convention, 2010;Bueker et al., 2012). The 1990-2013 average SWP during hours
23 when O₃ concentrations at Harwell were in the concentration ranges 60-65 ppb, 65-70 ppb
24 and >70 ppb were -1.50 ± 1.32 MPa, -1.14 ± 0.93 MPa and -1.10 ± 0.90 MPa respectively
25 for the clay loam (fine) soil texture. The average SWP during these O₃ concentration ranges
26 were lower, and even more limiting for the other three soil textures. These are substantially
27 lower than the average SWP for the O₃ concentration ranges between 25 and 50 ppb, all of
28 which are above the -0.5 MPa cut-off except 45-50 ppb for sandy loam, silt loam and loam
29 soil textures (average SWP of -0.65, -0.52 and -0.58 MPa respectively). Across all soil
30 textures, reduction in the frequency of elevated O₃ concentrations produced during regional
31 photochemical episodes has therefore not reduced POD_Y, as these elevated O₃ concentrations
32 coincided with other factors (e.g. SWP) which limit stomatal conductance and hence any
33 potential increase in O₃ accumulation resulting from increased O₃ concentrations. Decreasing

1 regional O₃ production resulted in the largest change in concentration bin contributions for
2 potato POD_Y (Figure 10b). This is due to a later growing season compared with wheat, and a
3 shorter accumulation period and higher maximum stomatal conductance compared with
4 forest trees (150 and 180 mmol m² s⁻¹ for beech and Scots pine respectively compared to 750
5 mmol m² s⁻¹ for potato), limiting the O₃ flux during high O₃ episodes.

6 These non-O₃ factors, such as SWP, also determine the annual pattern of POD_Y
7 accumulation. For example, between 2010 and 2013 at Harwell, the average SWP on potato
8 ADs in June was -0.11 MPa, compared to -0.72 MPa on NADs (loam soil texture). Hence in
9 June, O₃ concentrations were sufficient that, when plant conditions were favourable,
10 accumulation of POD_Y occurred. In July, SWP was substantially higher due to increased
11 temperatures (2010-2013 average SWP on potato ADs was -1.02 MPa). This, combined with
12 decreasingly favourable potato and wheat phenology, reduced potato and wheat stomatal
13 conductance, leading to a smaller contribution to total POD_Y in July compared to June.
14 Higher O₃ concentrations were therefore needed to accumulate POD_Y; these occurred during
15 regional photochemical O₃ production, hence the larger difference between diurnal O₃
16 amplitude on AD and NADs in July compared with June for the two crops.

17 For beech and Scots pine, the proportion of POD_Y accumulated in May and June was higher
18 than in July and August, despite no change in phenology used in the DO₃SE model from
19 May-August, and exceedance of the 1 nmol m⁻² s⁻¹ threshold on the majority of days. For
20 beech, reduction in stomatal conductance occurs when SWP is lower than -0.8 MPa (LRTAP
21 Convention, 2010). Between 2010 and 2013, across the four soil textures, on average 0% and
22 0-9% of hourly SWP values in May and June, respectively, were below this value, compared
23 with 23-51% and 18-31% in July and August respectively. The effect of SWP on stomatal
24 conductance begins at -0.7 MPa for Scots pine, and therefore has a larger limiting effect.
25 SWP was also found to be one of the most important limiting factors in determining the
26 impact of O₃ on forests across Europe (Emberson et al., 2007). Clay loam had the highest
27 SWP of the four soil textures, and therefore the lowest limitation to stomatal conductance,
28 followed by silt loam, loam and sandy loam. However, the variation in soil moisture between
29 different soil textures due to differences in the extent of evaporation is sufficiently small that
30 the lack of long-term trend in POD_Y and annual pattern of accumulation is consistent across
31 the soil textures.

32

3.2.2 Spatial differences between Auchencorth and Harwell (2007-2013)

The 2007-2013 average POD_Y calculated for the four soil textures is shown in Table 1, and the variation between soil textures is less than at Harwell. The ratio between the largest and smallest average POD_Y due to differences in soil moisture for the different soil textures was 1.24 (wheat), 1.15 (potato), 1.02 (beech) and 1.02 (Scots pine). The pattern of accumulation, and spatial differences between Harwell and Auchencorth, were consistent across soil textures. Annual POD_Y for potato at Auchencorth (Table S4) were consistently lower than at Harwell, while, for wheat, POD_Y were higher at Auchencorth (Table S6) for 3 of the 7 years. The LRTAP critical level for impact (Mills et al., 2011c) was only exceeded at this site in 2008 for wheat (5.04% yield reduction). These observations, determined using measured O_3 and meteorological data, are consistent with the spatial patterns identified by Mills et al. (2011a) in which modelled O_3 and meteorological variables were used to model POD_Y in $10 \text{ km} \times 10 \text{ km}$ grids across the UK. However, the calculated 2008 tuber weight reduction of 1.4% for potato at Auchencorth is higher than the 0% reduction estimated for the grids containing Auchencorth. Simpson et al. (2007) also modelled wheat POD_Y across Europe for 2000, and calculated POD_Y in south-east Scotland of $0.5\text{-}1 \text{ mmol m}^{-2}$, and in south-east England of $1\text{-}3 \text{ mmol m}^{-2}$, which are similar to those determined here using the measurement data at Harwell and Auchencorth. In general, diurnal amplitudes of O_3 , NO_2 and NO and back-trajectory NO_x emissions estimates were lower at Auchencorth (shown in Figure 11b for wheat and potato POD_Y ADs in June), which indicates a greater importance of hemispheric background concentrations in determining the O_3 impact at Auchencorth on wheat and potato.

Periods with elevated regional O_3 influence at Auchencorth can lead to a larger effect on POD_Y compared with Harwell. For example, in 2008 across all soil textures, July contributed 0.47 mmol m^{-2} (36% total) to wheat POD_Y (Figure 12a). In this month, O_3 concentrations at Auchencorth had a significant regional photochemical contribution, evidenced by elevated diurnal O_3 and NO_2 variation and 71% higher back-trajectory NO_x emissions on ADs compared to the 2007-2013 average (Figure 12b). POD_Y in July 2011 at Auchencorth was also influenced by regional O_3 production. Diurnal O_3 amplitude in July 2011 was 6 ppb higher on ADs than on NADs and global radiation during ADs was 26% higher than the AD average. July 2011 contributed 80% of the annual wheat POD_Y at Auchencorth across all soil textures. At Harwell in July 2008, wheat POD_Y was less than half the Auchencorth value, and in July 2011, there was no POD_Y accumulation, despite elevated regional O_3 influence in

1 both cases. These two examples demonstrate that elevated regional photochemical O₃
2 production can have a larger crop impact, characterised through POD_Y, in south-east Scotland
3 than in south-east England, despite being further from major sources of O₃ precursor
4 emissions. The meteorological conditions conducive to regional photochemical O₃ production
5 (higher temperature and global radiation) at Harwell resulted in unfavourable conditions for
6 high O₃ stomatal conductance in crops compared with Auchencorth. The median daytime O₃
7 stomatal conductance at Harwell was 58 mmol m⁻² s⁻¹ and 63 mmol m⁻² s⁻¹ in July 2008 and
8 2011 respectively for loam soil texture, compared to 94 mmol m⁻² s⁻¹ and 95 mmol m⁻² s⁻¹ at
9 Auchencorth. Average SWP in July 2008 and 2011 was -0.03 MPa and -0.02 MPa
10 respectively at Auchencorth, and -0.63 MPa and -1.17 MPa at Harwell. In addition lower
11 temperatures at Auchencorth result in a longer accumulated temperature growing season. In
12 July 2008 and 2011, the phenological limitation on wheat stomatal conductance was similar
13 for the first three weeks of the month at both sites, but in the final week diverged and was
14 substantially more limiting at Harwell at the end of July (40% and 50% lower in 2008 and
15 2011, respectively), also resulting in less favourable conditions for POD_Y accumulation in
16 south-east England.

17 Between 2007 and 2013 across the soil textures, Scots pine and beech POD_Y were on average
18 27-37% and 5-19% higher at Auchencorth compared to Harwell (Table 1 and Figure 13a).
19 These larger values were due to larger contributions from July and August at Auchencorth
20 (Tables S10 and S12). In these months, higher temperatures at Harwell produced conditions
21 which reduced stomatal conductance. For example, in 2007-2013 at Harwell for loam soil
22 texture, SWP was on average 59% higher in July and 82% higher in August than at
23 Auchencorth.

24 Elevated regional photochemical O₃ production also had varying impacts on forest trees at
25 the two sites. In May 2008 across all soil textures, accumulated POD_Y was elevated at
26 Auchencorth for both Scots pine and beech (Figure 13b). Larger diurnal O₃ variation (28%
27 higher than the 2007-2013 average) and back-trajectory NO_x emissions (53% higher) during
28 May 2008 indicate regional photochemical O₃ production made a significant contribution to
29 measured O₃ concentrations at Auchencorth (Figure 13c). Despite larger increases in these
30 variables at Harwell, the accumulated POD_Y in May 2008 was 14% and 29% less than at
31 Auchencorth for beech and Scots pine, respectively across all soil textures (Figure 13b), and
32 the frequency of hours with high POD_Y accumulation was lower at Harwell. For example, the
33 maximum hourly POD_Y accumulated at Harwell and Auchencorth in May 2008 were 0.027

1 mmol m⁻² and 0.033 mmol m⁻² respectively and there were 21 fewer hours when hourly
2 POD_Y accumulated was above 0.02 mmol m⁻² compared with Auchencorth. Hence the
3 conditions during this regional O₃ episode at Harwell, e.g. a 12% increase in monthly average
4 temperature, also produced less favourable plant conditions for POD_Y accumulation.

5

6 **3.2.3 Comparison between POD_Y and AOT40**

7 The chemical climates based on the AOT40 metric (Tables S7 and S8) were derived for the
8 crop-based AOT40 definition and are therefore most comparable with the wheat and potato
9 POD_Y chemical climates. At Harwell, there was a significant long-term decrease in AOT40
10 from an average of 6533 ppb.h in 1990-1993 to an average of 2623 ppb.h in 2010-2013
11 (trend: -3.6% y⁻¹, *p* = 0.001, Figure 14, Table S7). This decrease in AOT40 is in contrast to
12 the trends in wheat and potato POD_Y at Harwell, which showed no significant trend across
13 the 24 year period (Figure 8a). However, the AOT40 climate showed similar decreases in
14 diurnal pollutant amplitudes and back-trajectory NO_x emissions estimates compared with the
15 crop POD_Y climates, indicating increased importance of hemispheric background
16 concentrations. This is in line with Derwent et al. (2013) which reported an increase between
17 1989-2012 in AOT40 when selecting hemispheric background air arriving at Mace Head,
18 Ireland. AOT40 at Auchencorth was lower than at Harwell, and the magnitude of the
19 difference was much larger than for POD_Y. This was similar to the spatial differences in
20 Jenkin (2014), where estimated regional background AOT40 was twice as large at Harwell
21 compared to a rural site in central Scotland (EMEP site GB0033R: Bush).

22 The spatial difference between sites was less for POD_Y because AOT40 does not account for
23 modification of stomatal conductance, especially during summer months when SWP at
24 Harwell can be low. Hence the average contribution from July in 2010-2013 to AOT40 was
25 35%, but only 3% for wheat POD_Y (Tables S5 and S7). Conversely, the contribution from
26 July to AOT40 at Auchencorth is lower than the contribution to wheat and potato POD_Y
27 (Tables S6 and S8), indicating that O₃ concentrations below the 40 ppb threshold determine
28 the wheat and potato POD_Y to a large extent during this month. The limitations of the fixed
29 growing season in the AOT40 concept have been detailed previously (RoTAP, 2012;Coyle et
30 al., 2003), including the observation that there can be significant impact on vegetation below
31 the 40 ppb threshold. For forest trees, Gauss et al. (2014) reported forest-based AOT40 across
32 the UK from 2007-2012 to be between 5 and 50% lower than that calculated in 2000. In

1 addition Klingberg et al. (2014) found a much smaller decline in forest-specific POD_Y than
2 AOT40 between 1960 and 2100 using modelled O_3 and meteorological data at 14 sites across
3 Europe.

4 In summary, the crop-based AOT40 trend at Harwell showed an improvement in O_3 crop
5 impact which is not shown when the interaction between plant and O_3 climates are modelled
6 using biologically more relevant POD_Y metric.

7

8 **4 Conclusions**

9 A chemical climatology framework was applied to characterise O_3 exposure associated with
10 human health and vegetation impacts using measured data at the Harwell and Auchencorth
11 UK EMEP supersites. These sites have been shown to be representative of rural O_3 over the
12 wider geographic areas of south-east England and northern UK, respectively.

13 At Harwell, each chemical climate analysis indicated a decrease over the period 1990-2013 in
14 the relative importance of regional photochemical O_3 production, associated with NO_x
15 emissions reductions, and increasing relative importance of hemispheric background
16 concentrations. However trends in the human health and vegetation metrics associated with
17 these changes were different.

18 As quantified by the SOMO35 metric, the human health-relevant O_3 exposure at Harwell
19 decreased significantly over the period 1990-2013 ($-2.2\% y^{-1}$), while quantification using the
20 SOMO10 metric showed no trend due to its lower dependence on the highest O_3
21 concentrations, which have decreased due to declining regional photochemical production.
22 Hence the choice of these two O_3 concentration thresholds, which are both recommended by
23 WHO REVIHAAP for health impact assessments, determines both the perceived annual
24 pattern of health burden and whether there has been improvement in time. The policy
25 significance of these findings is important since the regional policies adopted to date, of
26 controls on NO_x and VOC emissions in Europe, have been effective in reducing peak
27 concentrations and exposure. The growth in these emissions elsewhere has increased the
28 importance of background contribution to O_3 exposure in the UK. The effective controls for
29 background O_3 would be controls at hemispheric scales on O_3 precursors, and in methane
30 emissions especially.

1 The POD_Y metrics used to quantify the impact of O_3 on vegetation showed no change over
2 the period 1990-2013 at Harwell for wheat and potato crops, and beech and Scots pine trees,
3 in contrast to a decreasing trend in potential impact if quantified by the crop AOT40 metric.
4 The contrast highlights the need to model vegetation impacts using the biologically more
5 relevant POD_Y metrics. The potential reductions in vegetation impact (i.e. POD_Y), due to
6 decreases in regional photochemical O_3 production decreases (as reflected in the decrease in
7 crop AOT40 at Harwell), did not occur due to the other factors that reduce plant stomatal
8 conductance and hence accumulated O_3 uptake (e.g. changing plant phenology and low soil
9 water potential). Thus the long-term decrease in regional O_3 production evident at Harwell
10 led to a lower beneficial effect on POD_Y than on SOMO35.

11 The chemical climates indicate a greater influence of hemispheric background concentrations
12 at Auchencorth compared to Harwell (for the period 2007-2013). SOMO10 values were
13 similar at both sites, but SOMO35 was lower at Auchencorth. POD_Y values were larger for
14 vegetation species with longer growing seasons and lower thresholds for exceedance
15 compared to Harwell (i.e. for beech and Scots pine). In addition, more favourable plant
16 conditions (higher SWP, longer accumulated temperature derived growing season) during
17 periods of elevated regional O_3 production resulted in exacerbation of vegetation impacts at
18 Auchencorth compared to Harwell. Hence the potential for O_3 vegetation impact reduction
19 from future reductions in regional O_3 is greater at Auchencorth than at Harwell, despite being
20 further from the major sources of O_3 precursors. However, the policies required to
21 substantially reduce exposure of vegetation in the UK to damage from O_3 , like those for
22 human health, are measures that reduce the background O_3 concentrations, hence the need for
23 hemispheric control measures on O_3 precursors.

24

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Table 1: Average \pm SD wheat, potato, beech and Scots pin POD_Y calculated for 4 different soil textures (see Bueker et al. (2012) for a description of their hydraulic properties) over the monitoring periods at Harwell and Auchencorth.

Harwell 1990-2013 average	Sandy loam (coarse)	Silt loam (medium coarse)	Loam (medium)	Clay loam (fine)
Wheat POD_Y (mmol m^{-2}) (grain yield % reduction)	1.21 \pm 1.07 (4.61%)	1.75 \pm 1.19 (6.64%)	1.51 \pm 1.14 (5.72%)	1.90 \pm 1.19 (7.22%)
Potato POD_Y (mmol m^{-2}) (tuber yield % reduction)	2.35 \pm 1.27 (3.03%)	3.10 \pm 1.42 (3.99%)	2.64 \pm 1.32 (3.40%)	3.10 \pm 1.46 (4.00%)
Beech POD_Y (mmol m^{-2}) (biomass % reduction)	14.0 \pm 3.7 (15.4%)	16.0 \pm 3.5 (17.6%)	14.7 \pm 3.7 (16.2%)	16.1 \pm 3.4 (17.7%)
Pine POD_Y (mmol m^{-2})	26.2 \pm 5.5	28.7 \pm 5.3	27.0 \pm 5.6	28.8 \pm 5.3
Auchencorth 2007-2013 average				
Wheat POD_Y (mmol m^{-2}) (grain yield % reduction)	0.85 \pm 0.45 (3.23%)	1.01 \pm 0.38 (3.86%)	0.96 \pm 0.39 (3.65%)	1.05 \pm 0.37 (3.99%)
Potato POD_Y (mmol m^{-2}) (tuber yield % reduction)	0.95 \pm 0.41 (1.22%)	1.08 \pm 0.46 (1.39%)	0.99 \pm 0.41 (1.28%)	1.09 \pm 0.47 (1.40%)
Beech POD_Y (mmol m^{-2}) (biomass % reduction)	16.6 \pm 1.6 (18.3%)	16.9 \pm 1.2 (18.6%)	16.7 \pm 1.5 (18.4%)	16.9 \pm 1.2 (18.6%)
Pine POD_Y (mmol m^{-2})	35.9 \pm 3.6	36.5 \pm 2.7	36.2 \pm 3.3	36.6 \pm 2.7

Figure 1: Map of the United Kingdom and Ireland showing the location of the two UK EMEP supersites (purple circles) at Auchencorth and Harwell, as well as the location of the UK Met Office stations from which meteorological data was used (green circles).

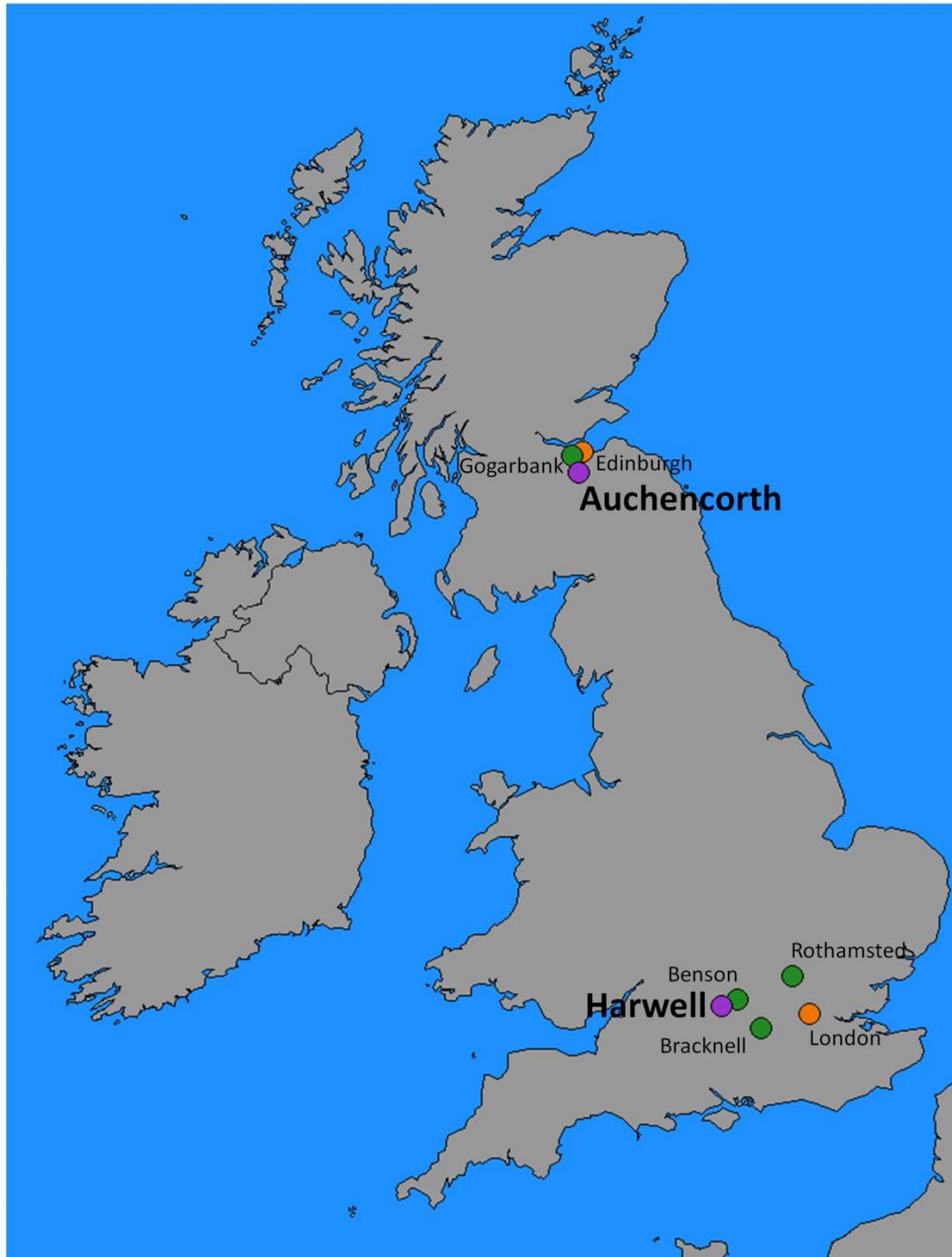


Figure 2: Practical steps for derivation of a chemical climate. The impact of premature mortality associated with short-term exposure to O₃ is used as an example. Text in the chemical climate datasheets are coloured the same as the step which gave rise to the statistic. The detail of application of these 6 steps to the focus of this study is described in Section 2.

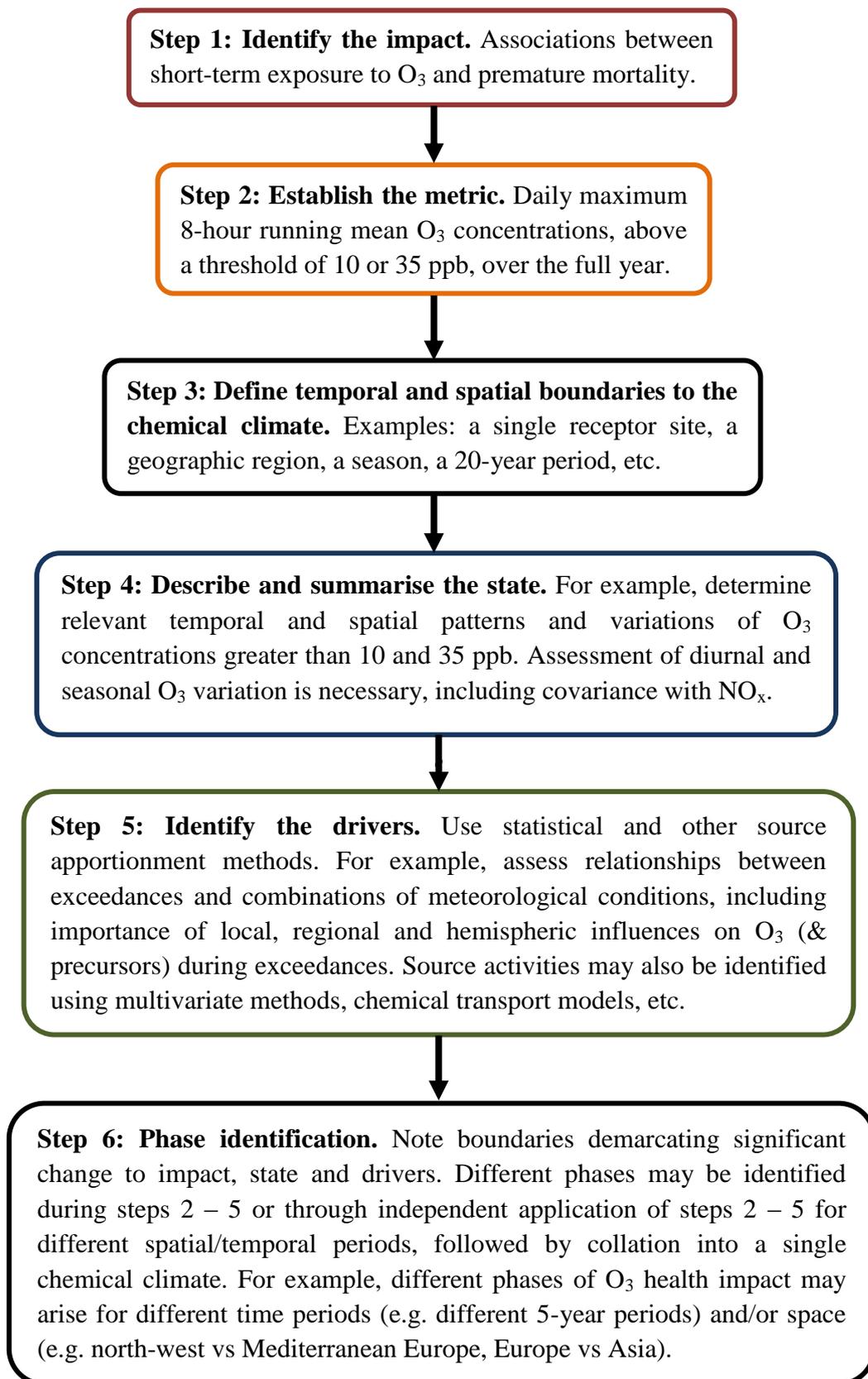


Figure 3: Human health relevant exposure to O₃ at Harwell (1990-2013) and Auchencorth (2007-2013), as characterised by the SOMO10 and SOMO35 metrics.

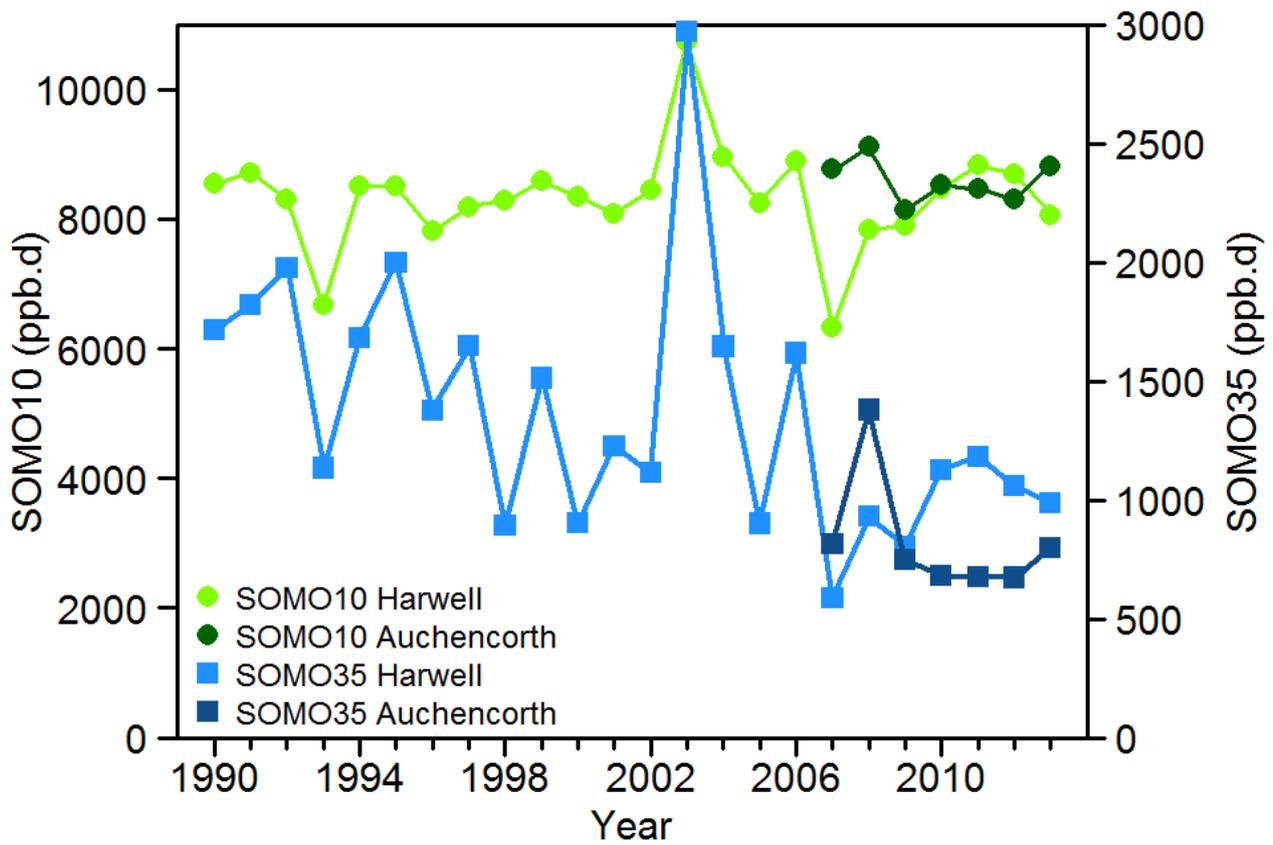


Figure 4: Relative annual contributions from spring (MAM) and summer (JJA) to (a) SOMO10 and (b) SOMO35.

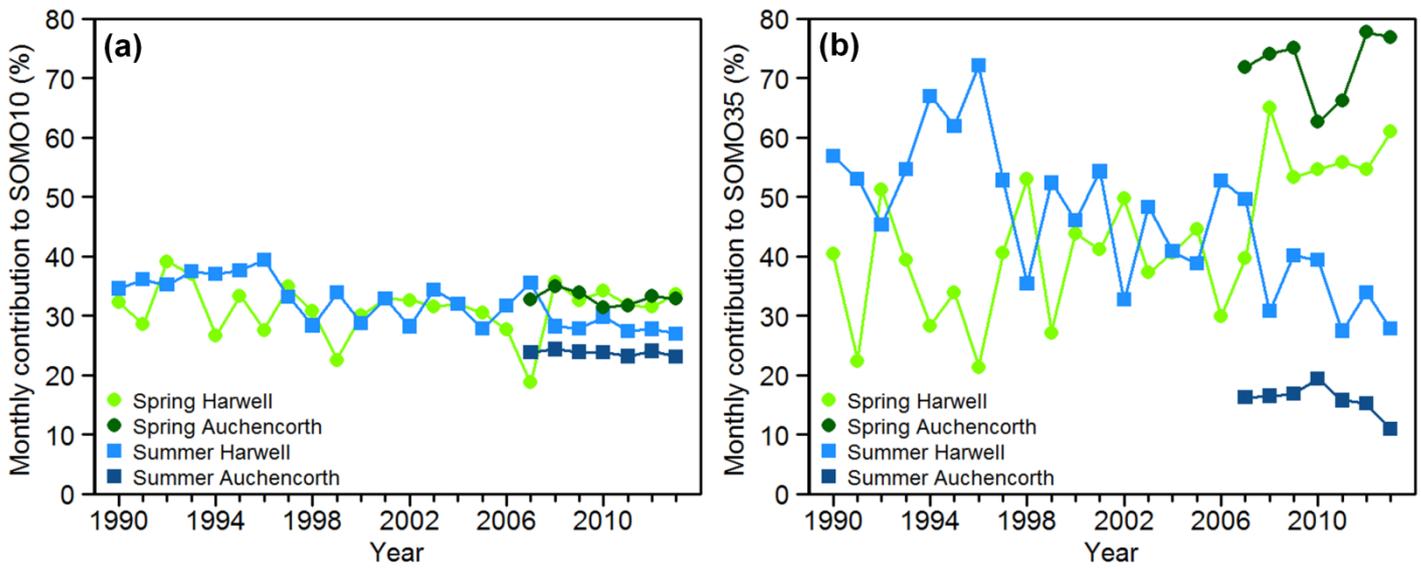


Figure 5: Relative annual contributions to (a) SOMO10 and (b) SOMO35 at Harwell from different O₃ concentration bins. Concentrations are separated into thirteen 5 ppb bins spanning daily maximum 8-h mean O₃ concentrations between 10 ppb and >70ppb. Note: these concentration bins are contributing to a decreasing long-term trend in SOMO35 and to a constant trend in SOMO10, as illustrated in Figure 2.

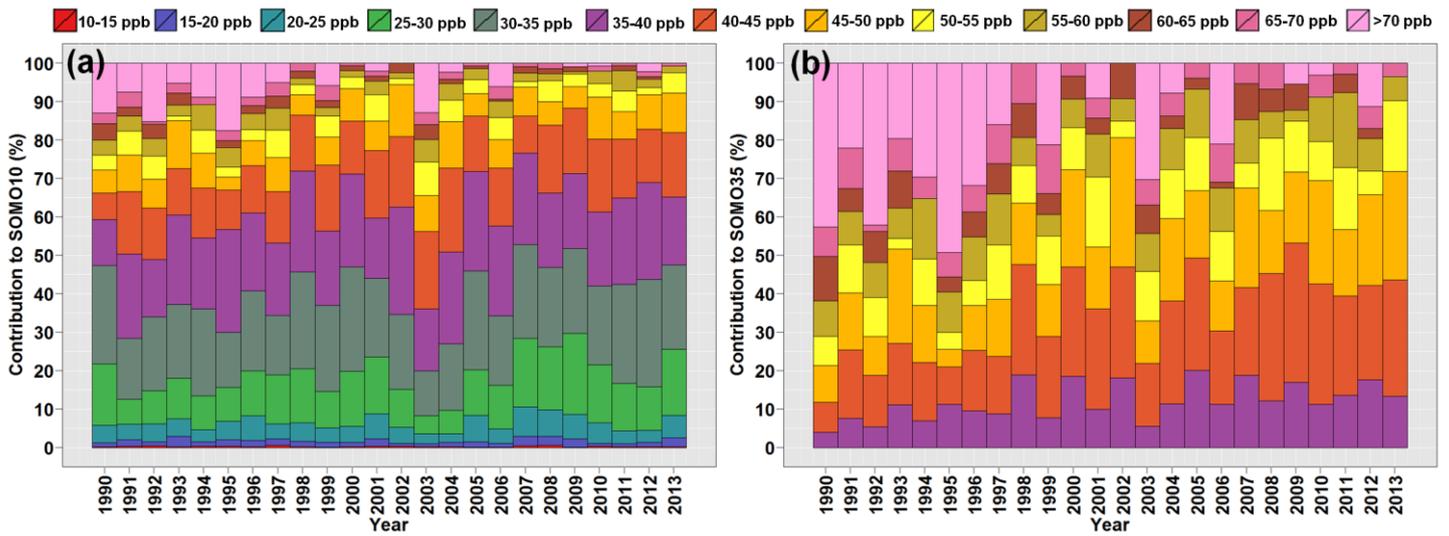


Figure 6: Amplitude of the diurnal O₃, NO₂ and NO cycles at Harwell and Auchencorth during SOMO35 accumulation days (ADs).

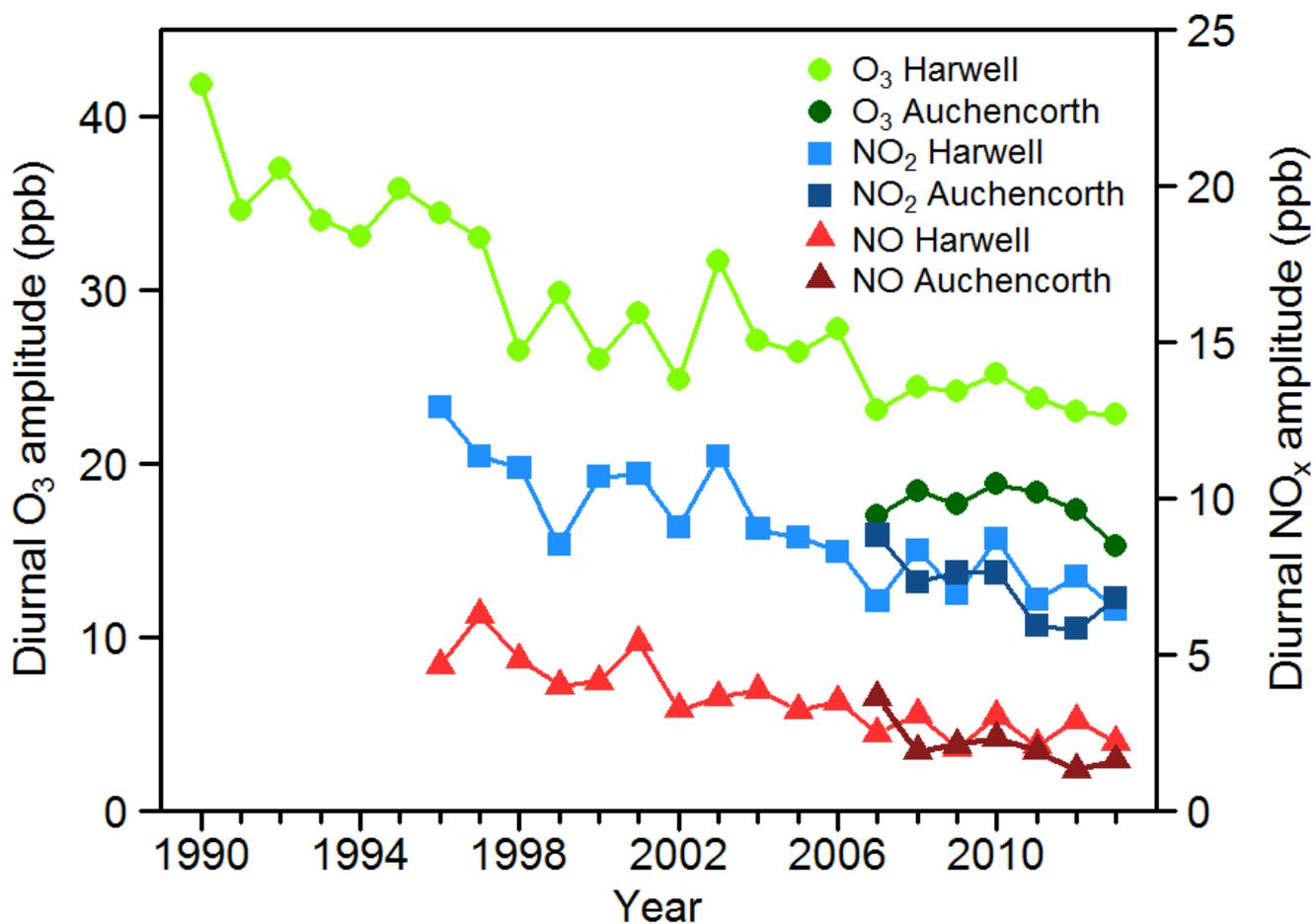


Figure 7: Estimate of the hourly European NO_x emissions emitted from the EMEP 0.5° grids over which 96-h back trajectories passed prior to arrival at Harwell and Auchencorth for SOMO35 accumulation days (ADs) and non-accumulation days (NADs).

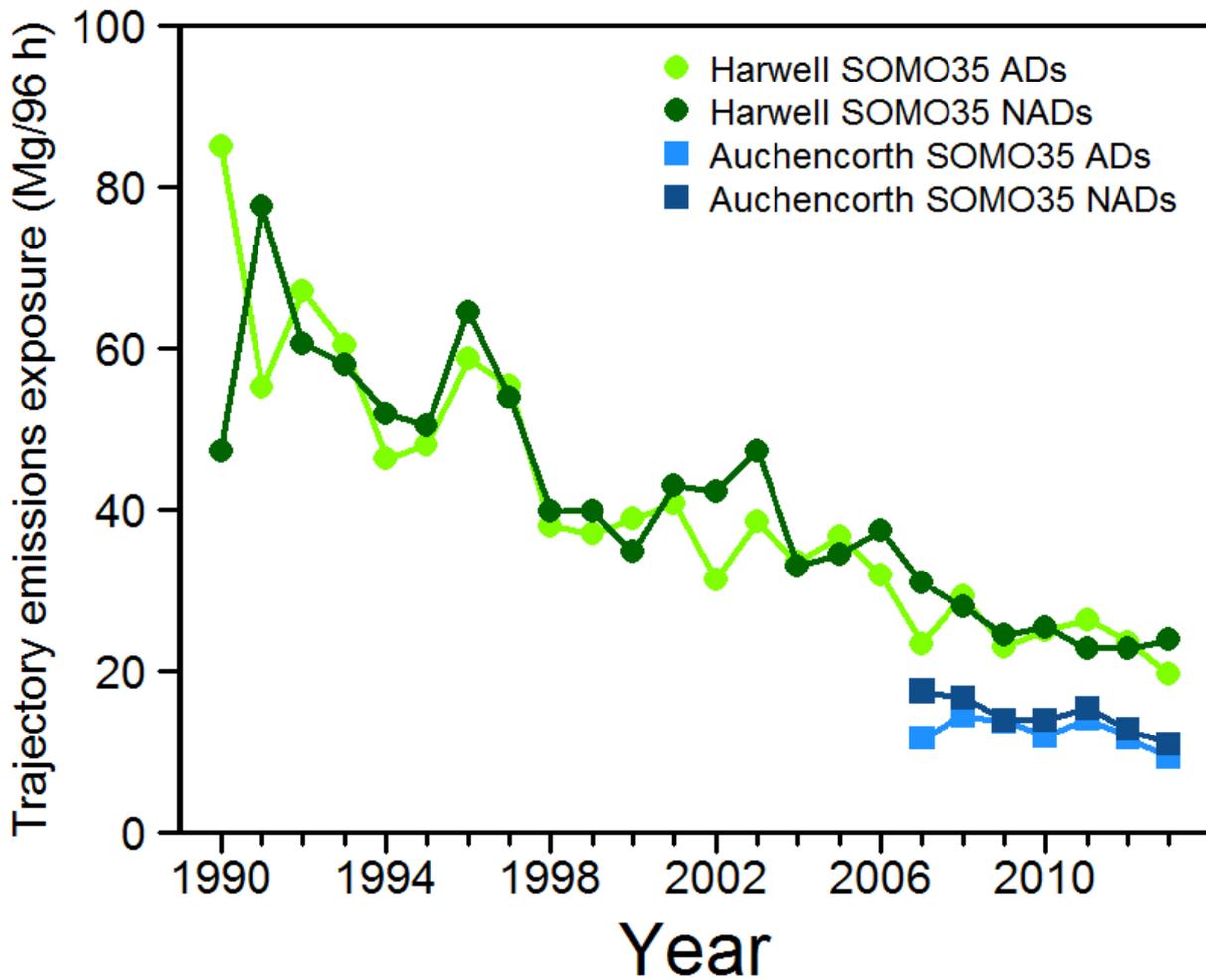


Figure 8: Impact of O₃ characterised by the POD_Y metric (and associated response) for (a) wheat (grain yield reduction) and potato (tuber weight reduction), and (b) beech (biomass reduction) and Scots pine at Harwell between 1990 and 2013.

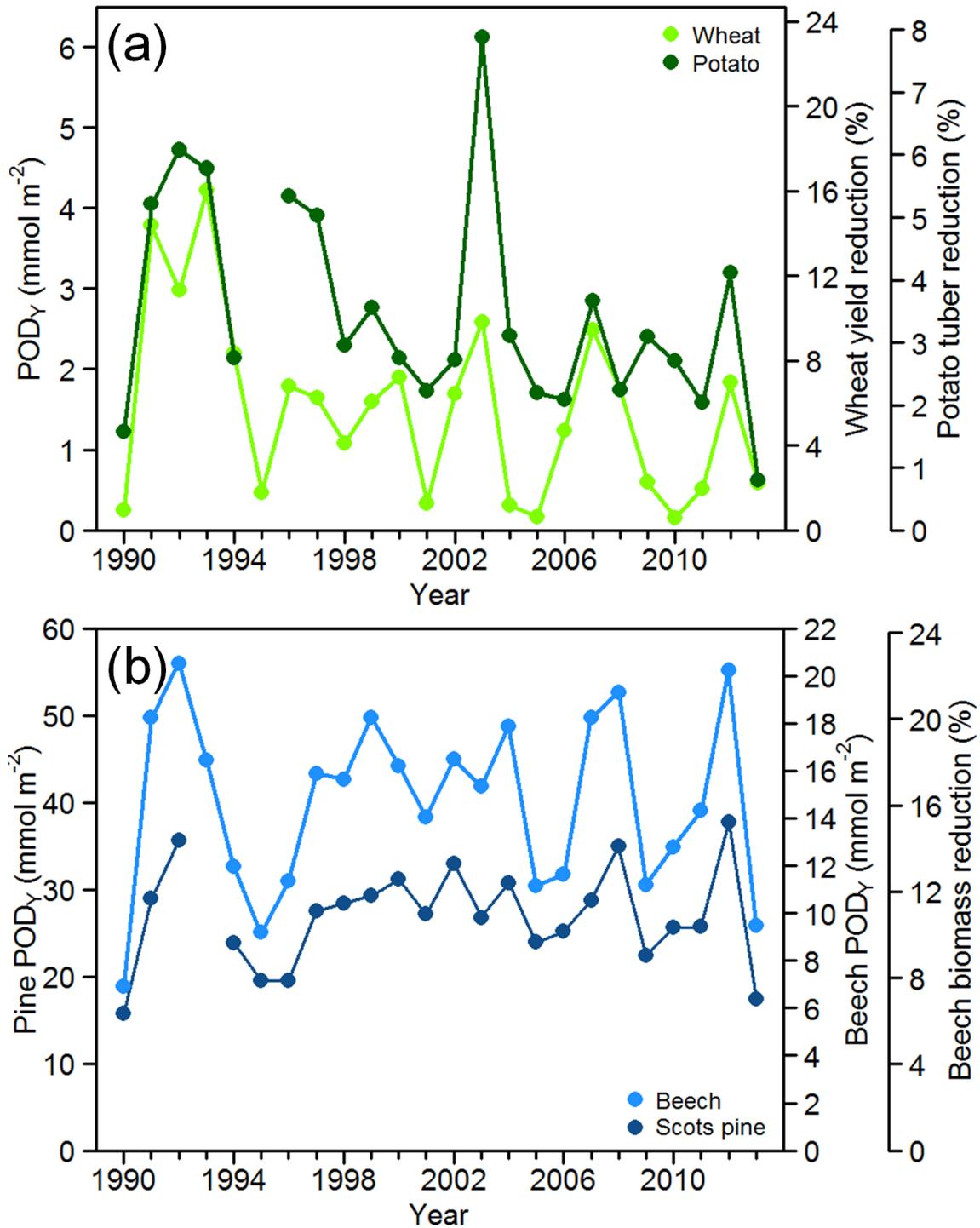


Figure 9: Amplitude of the diurnal O₃ cycle at Harwell during June POD_Y accumulation days for wheat and potato, and hourly European NO_x emissions estimate for the EMEP 0.5° grids over which 96-h back trajectories passed prior to arrival at Harwell during June POD_Y accumulation days for wheat and potato.

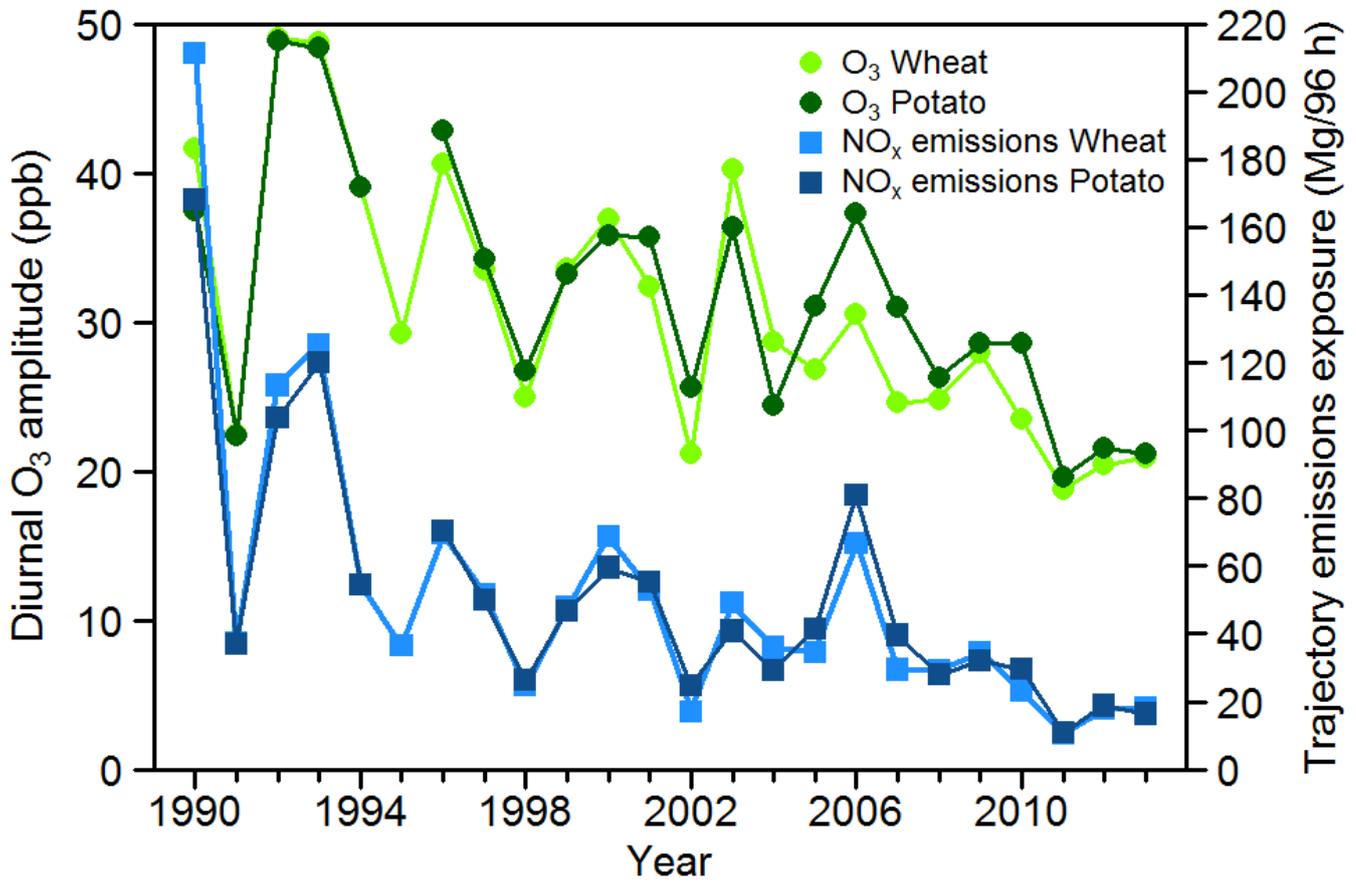


Figure 10: Relative annual contributions to (a) wheat POD_Y , (b) potato POD_Y , (c) beech POD_Y and (d) Scots pine POD_Y at Harwell from different O_3 concentration bins. Concentrations are separated into fifteen 5 ppb groups spanning hourly O_3 concentrations between 0 ppb and >70ppb. Note: these concentration bins are contributing to constant trends in POD_Y for each vegetation type – see figure 7.

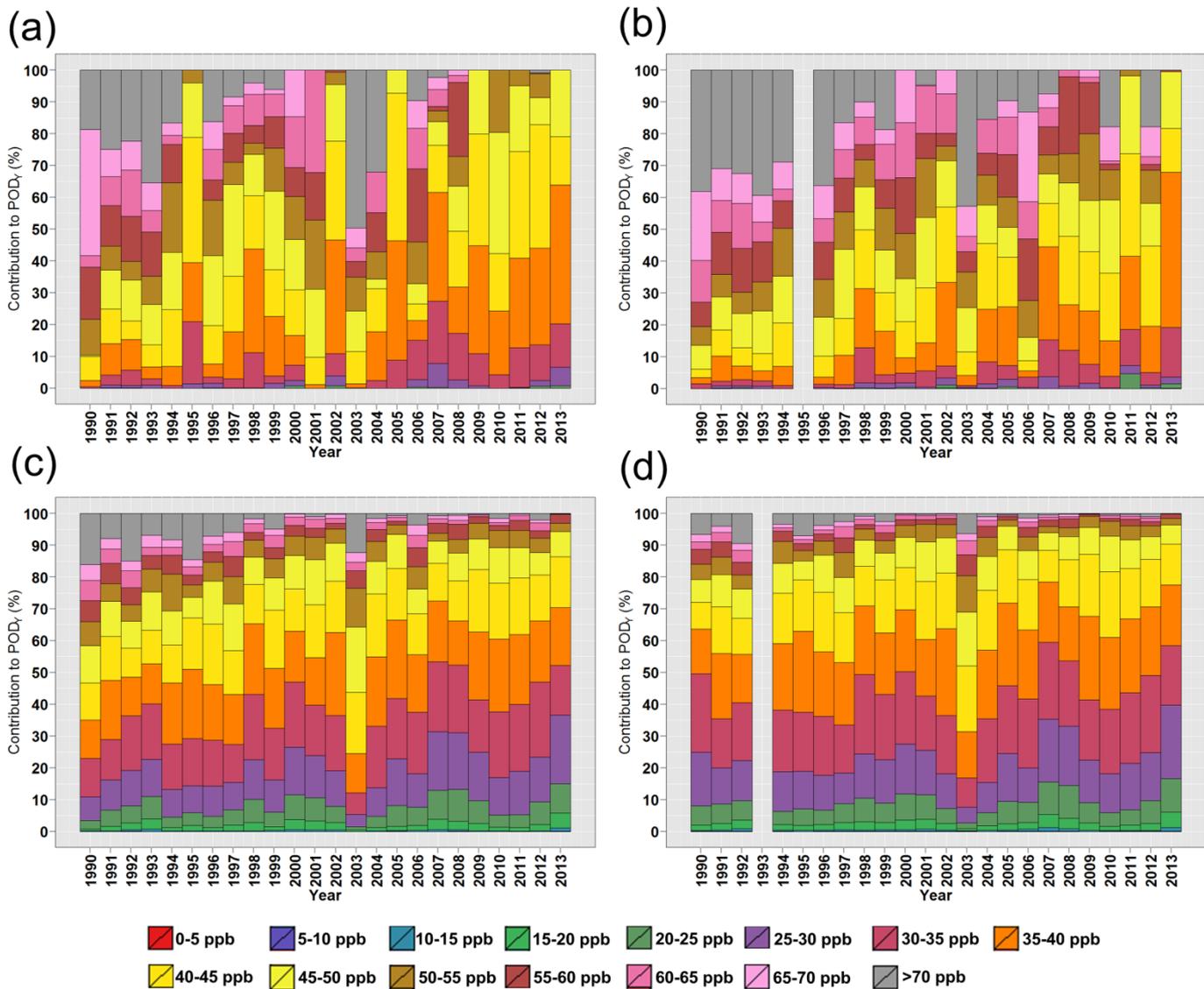


Figure 11: Comparison of O₃ vegetation impact chemical climates for wheat and potato 2007-2013. (a) Annual POD_Y for wheat and potato, (b) Diurnal O₃ amplitude during June accumulation days (ADs) at Harwell and Auchencorth, and trajectory NO_x emissions estimates at Harwell and Auchencorth.

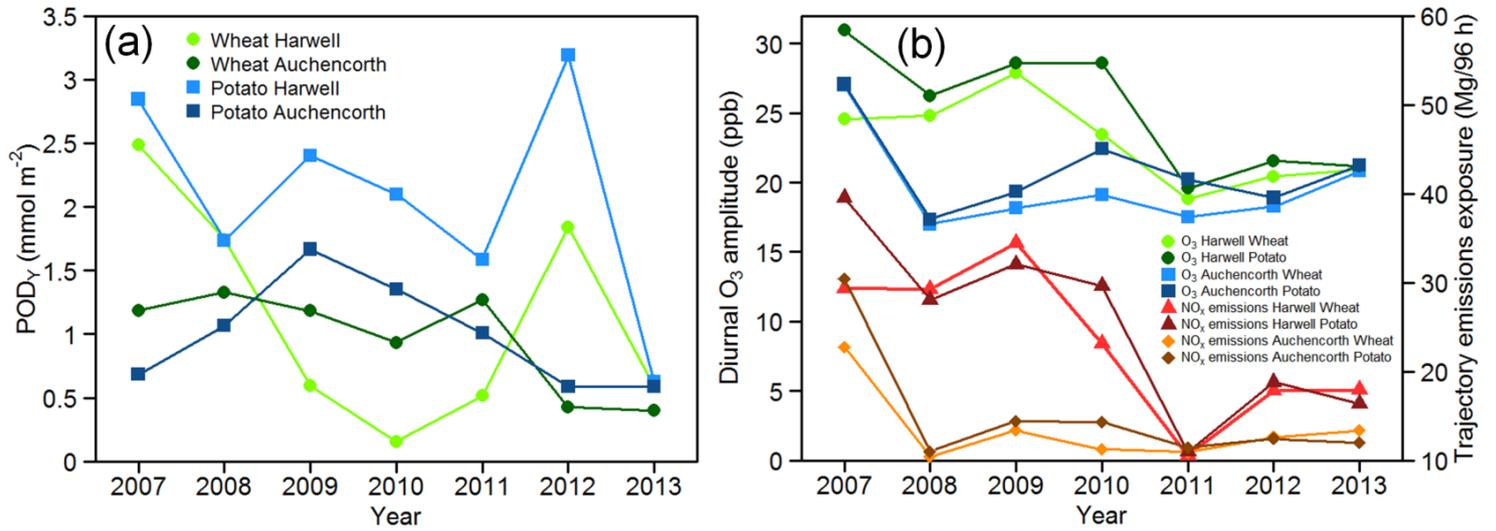


Figure 12: (a) Wheat POD_Y accumulated during July at Harwell and at Auchencorth, 2007-13. (b) Diurnal cycle amplitude of O_3 and NO_2 , and back-trajectory NO_x emissions estimates during wheat accumulation days (ADs) in July at Harwell and at Auchencorth, 2007-13.

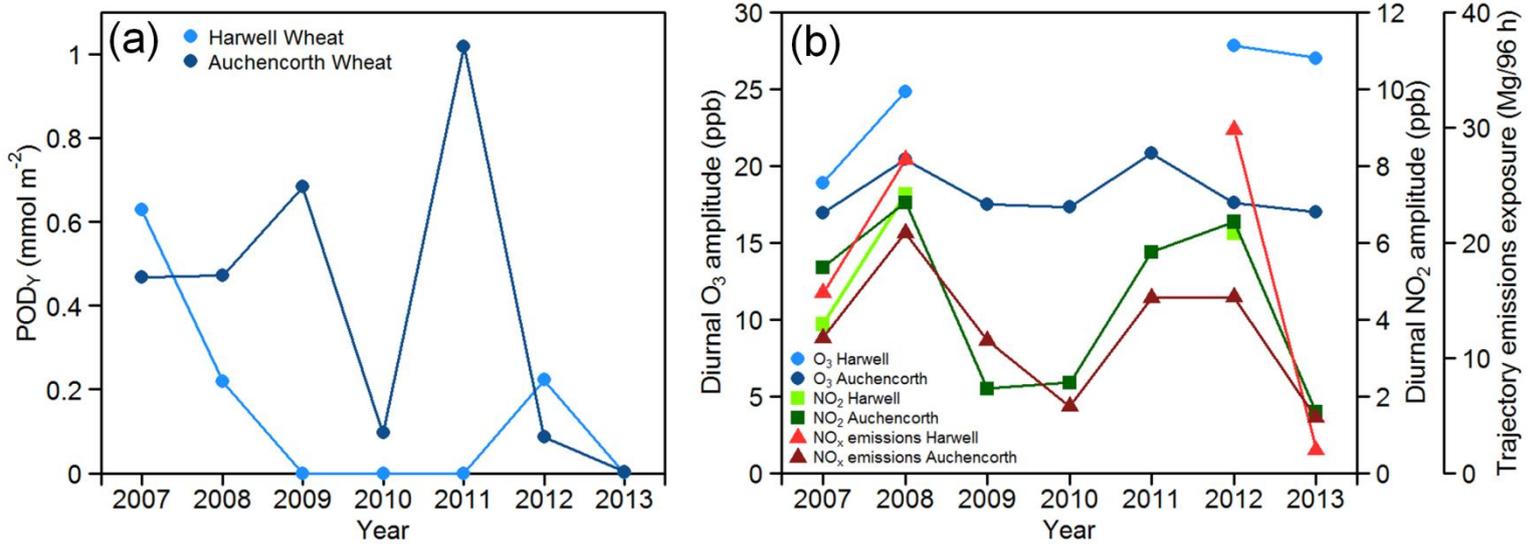


Figure 13: Comparison of O₃ vegetation impact chemical climates for beech and Scots pine 2007-2013 at Harwell and Auchencorth. (a) Annual POD_Y for beech and Scots pine. (b) POD_Y accumulated in May for beech and Scots pine. (c) May monthly average diurnal amplitude of O₃ and NO₂, and back-trajectory NO_x emissions estimates.

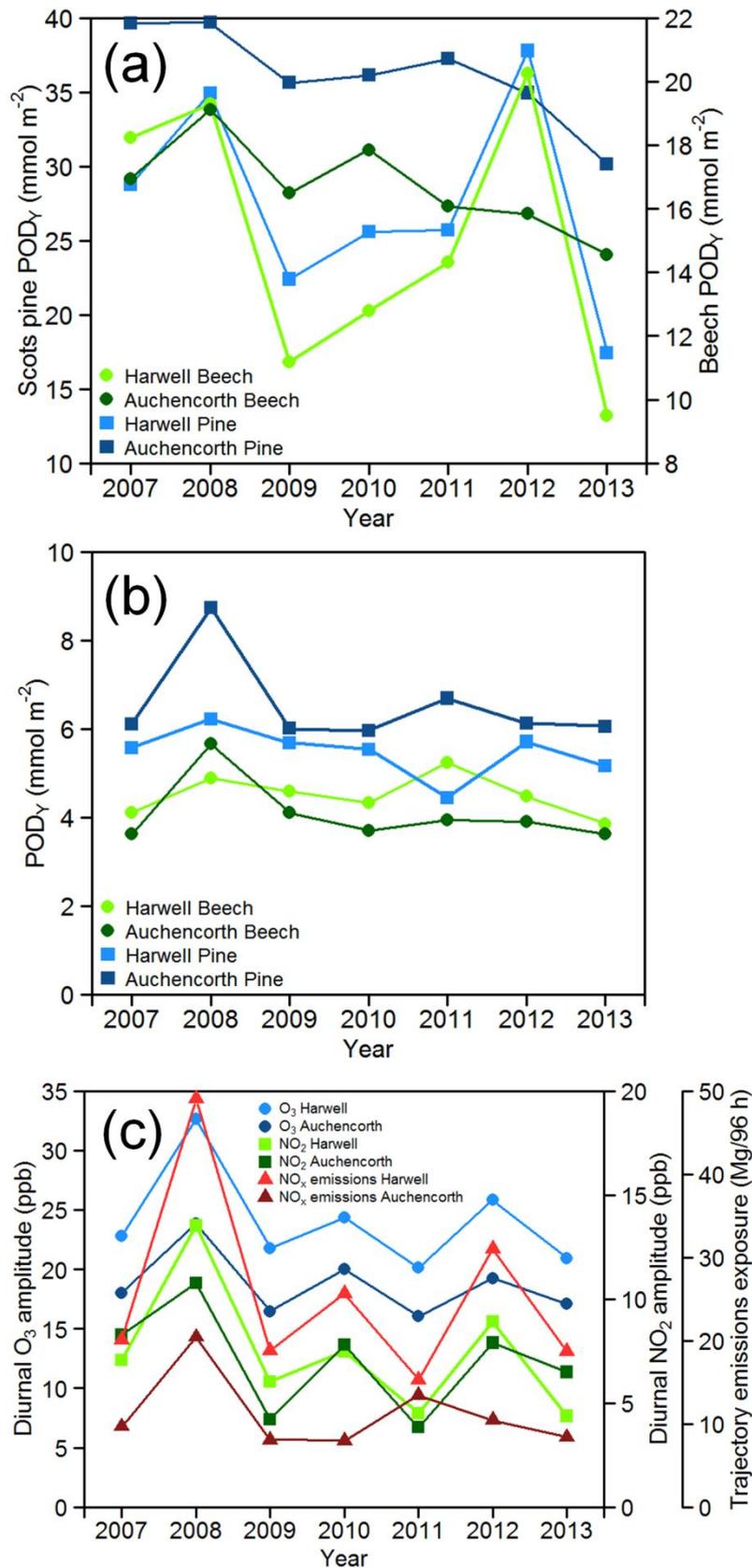


Figure 14: Crop-relevant AOT40 (calculated between May and July) at Harwell for the period 1990 to 2013. The Theil-Sen trend estimate of median trend (shown in red) is $-3.6\% \text{ y}^{-1}$ ($p = 0.001$).

