

Dear Jennifer Murphy

Thank you for your efforts to help with the editorial process with our manuscript. We have organized our response as follows. First, we include the responses to the referees uploaded on ACPD as part of the interactive discussion. Then, we include a track changes version of the manuscript with all removed text in red and new text in blue. The goal was to answer each reviewer comment and integrate the related changes into the revised manuscript (attached). We note that there are some small differences in wording in the revised manuscript compared to the online responses, which have been modified primarily for readability.

We corrected a mistake in Figure 8, improving the bias but decreasing correlation between modeled and observed SO<sub>2</sub>. The values have been updated in the text, however this change does not affect our conclusions. We also corrected a mistake on Figure 10a. This correction changed the calculated absolute impacts of shipping on PM<sub>2.5</sub> in this region from 0.75 to 0.5 µg m<sup>-3</sup>. This value has been updated in the text and does not change our conclusions.

Thanks in advance for your continued work as editor of this manuscript and I look forward to hearing from you in the coming weeks.

Best regards,

Louis Marelle

**Response to reviewer #1:**

**General Comments:**

**This study investigates the impacts of shipping emissions in northern Norway on local air quality and short-wave radiative effects. The study combines measurements and modeling tools in several ways: Airborne measurements from the 2012 ACCESS campaign are combined with FLEXPART-WRF to generate ship-specific emissions of NO<sub>x</sub> and SO<sub>2</sub>, which are then used to evaluate the STEAM2 shipping emissions inventory for the same ships. Next STEAM2 is used in WRF-Chem to quantify the impact of shipping on local levels of atmospheric pollutants and radiation, and model results are compared with ACCESS measurements. The topic of the paper is suitable for ACP and of importance in light of the expected increase in shipping activity in the Arctic in the coming years. The paper is quite comprehensive, but well structured and written. A few clarifications (see specific comments) are needed, and once these are addressed the paper should be accepted.**

**COMMENT: 1) P 18411, L2: suggest noting that the combination of reduced SO<sub>2</sub> and the expected continued increase in CO<sub>2</sub> is important.**

**COMMENT: 2) P 18411, L 3: Is this really expected? For instance, the future projections of shipping emission in the Second IMO GHG study do not show sustained reductions in shipping SO<sub>2</sub> and NO<sub>x</sub> emissions towards 2050. Suggest rephrasing.**

RESPONSE TO COMMENTS 1 and 2: In order to clarify this we have updated the text of the paper from:

“Although ship emissions have competing warming and cooling impacts, the climate effect of ships is currently dominated by the cooling influence of aerosols, especially sulfate formed from SO<sub>2</sub> emissions (Eyring et al., 2010). In the future, declining global SO<sub>2</sub> emissions due to IMO regulations are expected to change the global climate effect of ships from cooling to warming (Fuglestad, 2009; Dalsøren et al., 2013).”

To:

“The current radiative forcing of shipping emissions is negative and is dominated by the cooling influence of sulfate aerosols formed from SO<sub>2</sub> emissions (Eyring et al., 2010). However, due to the long lifetime of CO<sub>2</sub> compared to sulfate, shipping emissions warm the climate in the long-term (after 350 years, Fuglestad et al., 2009). In the future, shipping emissions of SO<sub>2</sub> are expected to decrease due to IMO regulations, while CO<sub>2</sub> emissions will continue to grow due to increased traffic. This combination is expected to cause warming relative to the present day (Fuglestad et al., 2009; Dalsøren et al., 2013).”

**COMMENT: 3) P 18411, L 20: This is a bit imprecise and should be rephrased. The results is not purely an effect of reduced SO<sub>2</sub> emissions, but also of the fact that SO<sub>2</sub>(SO<sub>4</sub>) gives a stronger cooling effect for the southern routes.**

RESPONSE: We replaced:

“In addition, it has recently been shown that routing international maritime traffic through the Arctic, as opposed to traditional routes through the Suez and Panama canals, will result in warming in the coming century and cooling on the long term, due primarily to the competing effects of reduced SO<sub>2</sub> due to IMO regulations and reduced CO<sub>2</sub> emissions associated with fuel savings (Fuglestad et al., 2014).”

By:

“In addition, it has recently been shown that routing international maritime traffic through the Arctic, as opposed to traditional routes through the Suez and Panama canals, will result in

warming in the coming century and cooling on the long term. This is due to the opposite sign of impacts due to reduced SO<sub>2</sub> linked to IMO regulations and reduced CO<sub>2</sub> and O<sub>3</sub> associated with fuel savings (Fuglestvedt et al., 2014). In addition, sulfate is predicted to cause a weaker cooling effect for the northern routes (Fuglestvedt et al., 2014).”

**COMMENT: 4) P 18416, L 6: how important is the quality of STEAM2 CO<sub>2</sub> emissions for this method and has the quality previously been evaluated?**

RESPONSE: The quality of the STEAM2 CO<sub>2</sub> emissions is directly related to the quality of the prediction of fuel consumption by STEAM2. Jalkanen et al. (2012) compared the fuel consumption predicted by STEAM2 for 5 ships in the Baltic Sea with the reported fuel consumption for each ship. This comparison indicated that the model bias on fuel consumption was low (less than 21 %). CO<sub>2</sub> emissions predicted by STEAM2 are only used in our study to calculate plume injection heights. We investigated the sensitivity of the plume rise model to the largest CO<sub>2</sub> bias presented in Jalkanen et al. (2012) (decreasing the CO<sub>2</sub> in the plume rise model by 21%) and found that this leads to a modest variation in plume injection heights. For example, the plume injection height changes by 12 % for the Costa Deliziosa. Given this small impact, a full discussion of the impacts of CO<sub>2</sub> emissions uncertainties on plume injection height has not been included in the paper. We also note here that when calculating the emissions in Sect. 2, the peaks that were the most sensitive to the injection heights were excluded from our analysis. We have included more information about the STEAM2 emissions in the response to comment 12 by reviewer 2.

**COMMENT: 5) P 18417, L 20: VOC speciation both for shipping and anthropogenic emissions?**

RESPONSE: In order to address this comment, we have updated the text of the paper: “Bulk VOCs are speciated for both shipping and anthropogenic emissions, based on Murrels et al. (2010). Ship emissions are speciated using the ‘other transport’ sector (transport emissions, excluding road transport) and anthropogenic emissions are speciated using the average speciation for all remaining sectors.”

**COMMENT: 6) P 18420, L 13: is this consistent with lines 4-5 above which says that ship emissions are injected using the plume model for CTRL and CNTR3?**

RESPONSE: The emissions of the 4 targeted ships overlap with several other ships in the 15 km × 15 km grid used in the CTRL simulation. Because of this, the plumerise calculations for these 4 ships in the CTRL simulation are using the default plume rise characteristics (stack height, CO<sub>2</sub> emissions) from the Wilson Leer. The same characteristics are used for all the other ships from STEAM2 in the CTRL run. However, the CTRL3 simulation is using more detailed information for the Costa Deliziosa, Alaed and Wilson Nanjing ships. In summary, ship emissions are injected using the plume rise model for both CTRL and CTRL3, but the plume rise model does not use the same input parameters for both simulations. To clarify this, we have updated:

“In the CTRL simulation, there are usually several ships in the same 15 km × 15 km grid cell, and the 4 targeted ships were treated in the same way together with all unidentified ships, using the exhaust parameters of the Wilson Leer and local meteorological conditions to estimate injection heights.”

To (new text in bold):

“In the CTRL simulation, there are usually several ships in the same 15 km × 15 km grid cell, and the 4 targeted ships were treated in the same way together with all unidentified ships, using the exhaust parameters of the Wilson Leer and local meteorological conditions to

estimate injection heights. **This means that, for the Costa Deliziosa, Alaed and Wilson Nanjing, the plume rise model is used in CTRL with exhaust parameters from a smaller ship (the Wilson Leer) than in CTRL3. Because of this, emission injection heights for these ships are lower in CTRL than in CTRL3.**”

We have also added in section 5.1 a sentence mentioning this difference when comparing the CTRL and CTRL3 simulation. We have updated:

“In contrast, the CTRL run has wider NO<sub>x</sub> peaks and lower peak heights, because of dilution in larger grids.”

To (new text in bold):

“In contrast, the CTRL run has wider NO<sub>x</sub> peaks and lower peak heights, because of dilution in larger grids. **Another difference between the simulations is the treatment of plume rise (Sect. 3.3), such that the Costa Deliziosa plume is located at lower altitudes in CTRL than in CTRL3.**”

**COMMENT: 7) P 18421, L 14: looking at Fig. 3 the agreement seems to be a bit poorer for Costa Deliziosa (panels C and D), which might be worth noting if really the case.**

RESPONSE: This point was also raised by reviewer #2. We now mention that the agreement is less good for the Costa Deliziosa during the second flight leg at 165 m. However, we also note that the method used to derive the emission estimates is not sensitive to the plume location but to the error in the angle formed between the plume and the flight track, which is relatively small even for this case. Furthermore, emission estimates for the Costa Deliziosa are based on plume interceptions during both the first and second leg, which would reduce the impact of this source of error. In order to clarify this, we added a discussion of peak displacements in the paper (new text in bold):

“During the second altitude level on 11 July (Fig. 3c and d) the Wilson Leer was farther south and the Costa Deliziosa had moved further north. Therefore, the plumes are farther apart than during the first pass at 49 m. **Modeled and measured plume locations agree well for the first run (z = 49 m). For the second run (z = 165 m), the modeled plume for the Costa Deliziosa is, on average, located 4.7 km to the west of the measured plume. This displacement is small considering that, at the end of this flight leg, the plume was being sampled ~80 km away from its source. This displacement is caused by differences between the simulation (MET) used to drive the plume dispersion model and the observed meteorological conditions (-16° for wind direction, +14 % for wind speed).**”

**COMMENT: 8) P 18422, Eq. 1: how is the SO<sub>2</sub> background derived? If derived from model output, has the general model performance been evaluated?**

RESPONSE: In order to address this comment, we have added to the text:

“The background mixing ratios were determined by applying a 30 second running average to the SO<sub>2</sub> and NO<sub>x</sub> measurements. Background values were then determined manually from the filtered time series. For each NO<sub>x</sub> peak, an individual background value was identified and used to determine the NO<sub>x</sub> enhancement in each plume. For SO<sub>2</sub>, a single background value was used for each flight leg (constant altitude).”

**COMMENT: 9) P 18426, L 12: is there a reference that could be used for the current growth?**

RESPONSE: Previous shipping emission inventories (e.g. RCP8.5, Riahi et al., 2011; Corbett et al., 2010) make the assumption of traffic growth during the current period, and, as we

showed in Table 5, shipping emission inventories based on activity data from recent years (e.g., for 2012, Winther et al., 2014 and STEAM2) contain higher emissions than earlier inventories for previous years (e.g., for 2000 and 2004, Dalsøren et al., 2007; Dalsøren et al., 2009; Corbett et al., 2010). However, to our knowledge, there is no reference specifically showing that ship emissions in northern Norway have grown recently. It is possible to estimate this growth using ship activity data such as the AIS database, but this data is not freely available.

**COMMENT: 10) Section 5.1 suggests that because the CTRL simulation performs well on average, the STEAM2 is able to represent the average emissions from ships. Given the significant differences for individual ships shown in Section 4, how confident are the authors that this is indeed valid in a more general context?**

RESPONSE: This point was also raised by reviewer 2, and was answered in detail in the response to comment 12 by reviewer 2. Beecken et al. (2015) showed that STEAM2 performs well on average in the Baltic Sea region, even if larger biases are possible for individual ships. Vertical profiles (Figure 8) above the sea surface can also be expected to be more representative of the regional background pollution from ships than the comparison with specific ship plumes. We mentioned that model biases over the average SO<sub>2</sub> profiles are significantly better than the ones from a previous model intercomparison by Eyring et al. (2007) in similar conditions. Since shipping emissions from STEAM2 are the main source of SO<sub>2</sub> (Figure 2), this result gives another indication of the overall quality of STEAM2 emissions. However, because there is very limited information available for individual ships operating in the Arctic region, we agree that more measurements and model case studies are needed in order to make draw more general conclusions.

**COMMENT: 11) Section 5.1, first paragraph: is there evidence that a 3km x 3km resolution is sufficiently small to capture subgrid plume processes? And is the difference between the two resolutions used here sufficient to actually capture relevant non-linearities?**

RESPONSE: This is an important point, 3 km is not sufficiently small to capture all sub-grid plume processes. Certainly, ships emissions are not instantly diluted into 3 km × 3 km grid boxes. However, by comparing with measurements we evaluate if 3 km × 3 km is sufficient to reproduce some of the plume macroscopic properties. Given the comparison with measurements, it is clear that individual plumes are resolved. We also find that this resolution is sufficient to represent some ozone titration in ship plume (e.g. Figure 5).

**COMMENT: 12) A more general comment is that it is not entirely clear from the start what the scale of “local” versus “regional” is. For instance, in the Section 5.1 “local” essentially means ship plumes. I think that could be better reflected in the title of this section.**

RESPONSE: We agree, and have defined the local scale as the plume scale in the abstract and in Sect. 1

**COMMENT: 13) The title of section 5.1 should also better reflect the focus on model evaluation in order to separate it more clearly from section 5.2.**

RESPONSE: We agree and have updated the section 5.1 title to “Model evaluation at the plume scale and the regional scale”

**COMMENT: 14) P 18427, L 22: has Falcon 20 been mentioned before? Suggest mentioning in Section 2.**

RESPONSE: “Falcon 20” is the aircraft used to perform the measurements. This has been replaced by “the aircraft”, and this aircraft has been identified as the DLR Falcon 20 in Section 2.

**COMMENT: 15) P18428, L13: could you provide the absolute value (ppbv) for comparison with the results previously found for global models described below?**

RESPONSE: This value (+0.08 ppbv) has been added in the text.

**COMMENT: 16) Fig. 6: Could corresponding results for SO<sub>2</sub> be presented?**

RESPONSE: Yes, SO<sub>2</sub> results are now included in Fig. 6 in the main text of the paper (also below). The corresponding results for 12 July 2012 are shown in the electronics supplement (Fig. S3). The CTRL3 run is not able to reproduce the large SO<sub>2</sub> peak observed at 17:20, since we already note in the paper (p 18423, lines 12-15), that “this large increase in SO<sub>2</sub> in an older, diluted part of the ship plume suggests contamination from another source [than the Costa Deliziosa]”. A corresponding short discussion of these results has been added to the main text of the paper.

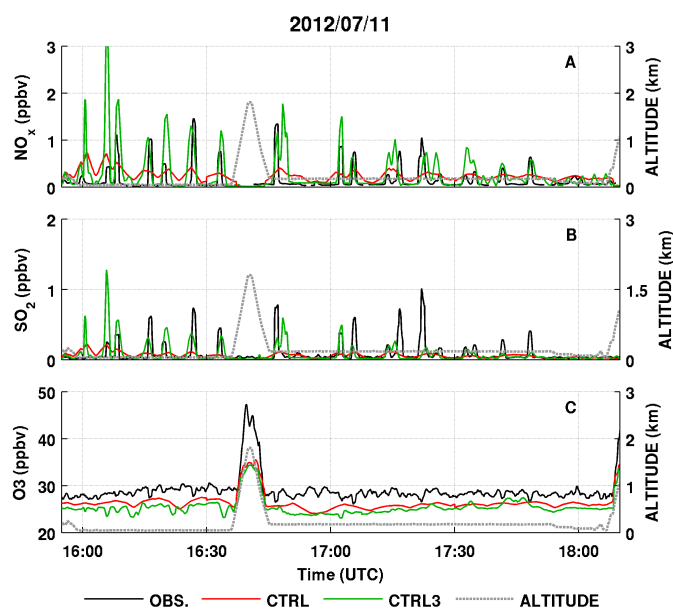


Figure 1. (Figure 6 in the paper) Time series of measured NO<sub>x</sub>, SO<sub>2</sub> and O<sub>3</sub> on 11 July 2012 compared to model results extracted along the flight track for the CTRL and CTRL3 runs. Observations are in black, the CTRL run is in red, and the CTRL3 run is in green. A 56 s averaging window is applied to the measured data for model comparison (approximately the time for the aircraft to travel 2 × 3 km). Flight altitude is given as dashed black line.

**COMMENT: 17) P 18429, L 3: could this overestimation also be caused modeling issues, e.g., chemistry?**

RESPONSE: The lifetime of NO<sub>x</sub> (by loss with OH) in the Costa Deliziosa plume is sufficiently long (12 h, estimated using modeled OH concentrations) that this over estimation should not be due to chemistry, but rather due to emissions. If the overestimation were caused by an overestimated NO<sub>x</sub> lifetime, the bias on NO<sub>x</sub> would also be larger at the end of the constant altitude runs, when older parts of the plume were sampled. A short discussion of this has been added to the paper for clarity.

**COMMENT: 18) P 18432: it would be interesting to compare the ozone changes on a**

**per unit emission basis to examine the sensitivity. Is this possible with the available data?**

RESPONSE: We agree that it would be interesting, however because other studies have not reported these values for ozone and due to the non-linear nature of ozone chemistry, we have not included/reported these values.

**COMMENT: 19) P 18433, L5: what is the average lifetime of BC in WRF-Chem?**

RESPONSE: The BC lifetime is not prescribed in the MOSAIC aerosol scheme, which we use to describe aerosols in WRF-Chem. In each model grid cell, aerosols are represented as 16 size bins (8 interstitial and 8 activated in clouds), representing internally mixed particles with varying compositions, mass concentrations and number concentrations. Particle size distributions and compositions (which includes BC) evolve by coagulation, condensation and nucleation of condensable gases, and cloud processing. This ageing changes the sizes and hygroscopicity of the particles, which influences their activation in clouds and water uptake. Dry and wet removal of particles containing BC depend on aerosol composition, aerosol size, and the amount of aerosols activated in clouds. Currently, the model cannot calculate the resulting BC lifetime. Samset et al. (2014) estimated the BC lifetime (more accurately referred to as a residence time) in global models by calculating the ratio of the global annual mean BC burden ( $\text{kg m}^{-2}$ ) divided by the annual mean BC emissions ( $\text{kg m}^{-2} \text{ s}^{-1}$ ). This calculation cannot be performed in a regional model such as WRF-Chem for two reasons. First, part of the total BC burden in the domain is originating from the boundary conditions and not from the in-domain emissions. Second, part of the BC burden from in-domain emissions can exit the domain through its boundary. However, we can use the method of Samset et al. (2014) to calculate the residence time of BC emitted by ships, since Figure 9H indicates that most of the BC originating from shipping emissions remains within the domain. Using this method, we find a residence time for shipping BC of 1.4 days. This value is lower than the global average BC residence times of 3 to 7 days estimated by Samset et al. (2014), most likely because the global value represents the residence time of BC emitted in more dry regions and seasons. This is now discussed in the paper and the BC residence time for ships (1.4 days) is included in the abstract.

**COMMENT: 20) Section 5.2.2: It would be good if this section could be expanded to include some further comments about how the modeled overestimation of  $\text{PM}_{2.5}$  (P 18430) influences the estimate of radiative effect, the role of black carbon (incl. BC in snow) and placing the results of this study in the context of the large uncertainty ranges previously found for the indirect aerosol effect of shipping.**

RESPONSE: The radiative effect of shipping emissions is uncertain, in part because of uncertainties in the emissions and in calculating the indirect effect of aerosols. Eyring et al. (2010) estimated that the global radiative effect of shipping emissions was  $-0.408 \text{ W m}^{-2}$ , but found an uncertainty range of  $\pm 0.425 \text{ W m}^{-2}$ , larger than their estimated impact. Uncertainties have been estimated in previous studies by using multi-model ensembles (Eyring et al., 2007), by using several emission inventories with the same models (Lauer et al., 2007). A more comprehensive evaluation of uncertainties has been performed by Fuglestad et al., (2007) who estimated the uncertainties due to fuel use, emission factors, modeling atmospheric dispersal and removal, and radiative forcing calculations. In our case, we have chosen to perform simulations at high resolution and using a relatively complex aerosol scheme (MOSAIC), therefore other runs (using different emissions and parameters) were not possible. Ødemark et al. (2012) estimated uncertainty in radiative effects by applying to their results uncertainty ranges estimated from previous studies that were not necessarily specific to the Arctic or to shipping, which were subjectively adjusted for Arctic

shipping. Using this approach, Ødemark et al. (2012) found a range of  $-3.9 \text{ mW m}^{-2}$  to  $-1.3 \text{ mW m}^{-2}$  for the global and annual indirect forcing of Arctic shipping emissions. In our study, we show that  $\text{PM}_1$  in the plume of the Costa Deliziosa are overestimated by 26 % on average. However, it is not clear if this number is representative of the whole ACCESS campaign and what it means in terms of radiative effect, as the indirect effect does not depend linearly on PM. In order to address these comments, we have updated the text to (new text in bold):

“This means that the stronger **radiative effect** found here is not due to increased sulfate concentrations from larger emissions, but to the way aerosol/cloud interactions are treated in both models: the indirect aerosol effect was calculated by Ødemark et al. (2012) based on parameterizations of the relationship between clouds droplet numbers and aerosol mass, whereas the MOSAIC aerosol module used in this study explicitly treats aerosol activation within clouds and the impacts on cloud properties (Yang et al., 2011). **It’s important to note here that that the indirect radiative effect of shipping emissions is uncertain and that the difference between the estimate of Ødemark et al. (2012) and ours can easily be explained by these uncertainties. Based on the work of Eyring et al. (2007), Lauer et al., (2007) and Fuglestvedt et al., (2007), Eyring et al. (2010) estimated that the global radiative forcing of global shipping emissions was  $-0.408 \text{ W m}^{-2}$ , but found an uncertainty range of  $\pm 0.425 \text{ W m}^{-2}$ . Ødemark et al. (2012) considered that the uncertainty on the indirect effect in their simulations was the same than the uncertainty for the global indirect forcing of aerosols as estimated by the IPCC (Forster et al., 2007, Table 2.12). Using this method, Ødemark et al. (2012) found a range of  $[-3.9 \text{ mW m}^{-2}, -1.3 \text{ mW m}^{-2}]$  for the global and annual indirect effect of Arctic shipping emissions. It is important to better understand and constrain this effect, which would require more aerosol measurements in shipping lanes (including number concentrations and aerosol compositions in ship plumes) and more model case studies.**”

The radiative effect of BC on snow was not computed in the present study since this effect is not currently included in WRF-Chem. However, Ødemark et al. (2012) estimated that for Arctic shipping the radiative effect of BC (BC on snow + direct BC effect) was small compared to the indirect and direct effects of sulfate. In order to address these comments, we have updated the text to (new text in bold):

“Yang et al. (2011) and Saide et al. (2012) showed that including cloud aerosol couplings in WRF-Chem improved significantly the representation of simulated clouds, indicating that the indirect effect was relatively well simulated using CBM-Z/MOSAIC chemistry within WRF-Chem. **Our calculations do not include the effect of BC on snow, since this effect is not currently included in WRF-Chem**”

**COMMENT: 21) P 18436, L 21: did these studies include calculations of the  $\text{CO}_2$  impact?**

RESPONSE: These studies only calculated the impact of  $\text{O}_3$ . We corrected this in the text.

#### **Technical Corrections:**

**COMMENT: 1) P 18420, L4-5: clumsy, consider rephrasing**

RESPONSE: This has been rephrased for clarity.

**COMMENT: 2) P 18420, L 25: “First”, is there a “second” in this paragraph**

RESPONSE: The “First” has been removed.

**COMMENT: 3) P 18428, L 3: NO should be  $\text{NO}_x$ ?**



RESPONSE: This has been corrected.

**COMMENT: 4) P 18433, L23: “Averaged over the surface of the Earth”; strange wording, consider rephrasing**

RESPONSE: This point was also brought up by reviewer 2 (technical corrections, comment 7). We have include a detailed response to this issue in our response to Reviewer 2 and have revised the wording in the text.

**COMMENT: 5) P 18436, L 23: missing period between “challenging” and “Our”?**

RESPONSE: This has been updated.

**COMMENT: 6) Figure 3: is y-axis label on top right panel correct? Please check.**

RESPONSE: The top right panel was incorrectly using a different label than other panels showing model results. This has been corrected.

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**Response to reviewer #2:**

**General Comments:**

This paper examines the impact of shipping emissions in northern Norway on air quality and aerosol radiative effects. Aircraft measurements taken during summer 2012 are combined with modeling using FLEXPART-WRF to derive ship emissions for NO<sub>x</sub> and SO<sub>2</sub>, which are then compared to an existing inventory (STEAM2). The STEAM2 inventory is then implemented in WRF-Chem to quantify the impact of these shipping emissions on pollutant concentrations and the impact on aerosol radiative effects. The paper is well written and addresses an important issue for a warming Arctic since shipping emissions are expected to increase in the coming years. There are a few explanations related to the analysis that are not fully developed as outlined in the specific comments below, such as the impact of black carbon from ships on the radiative budget. The scope of the paper is suitable for ACP and the paper should be acceptable for final publication provided the following comments are satisfactorily addressed.

**COMMENT: 1) Abstract, L9: Consider noting here that the ship emissions implemented in the WRF-Chem simulations are STEAM2.**

**RESPONSE:** This has been changed to read “with and without STEAM2 ship emissions”

**COMMENT: 2) Abstract, L22: Could the impact on SO<sub>2</sub> also be quantified here?**

**RESPONSE:** Yes, this is a good suggestion. We have calculated the impact of ships on SO<sub>2</sub> (+ 80% along the coast) and SO<sub>4</sub><sup>2-</sup> (+ 20 % along the coast) and included these numbers in the abstract and the main text. In order to represent these impacts on Figure 9., we have split the Figure in two: Figure 9. (showing the impacts of shipping emissions on surface SO<sub>2</sub>, NO<sub>x</sub> and O<sub>3</sub> mixing ratios) and a new Figure. 10 (showing the impacts of shipping emissions on surface PM<sub>2.5</sub>, BC and SO<sub>4</sub><sup>2-</sup> concentrations). The new panels (SO<sub>2</sub> and SO<sub>4</sub><sup>2-</sup>) are shown below.

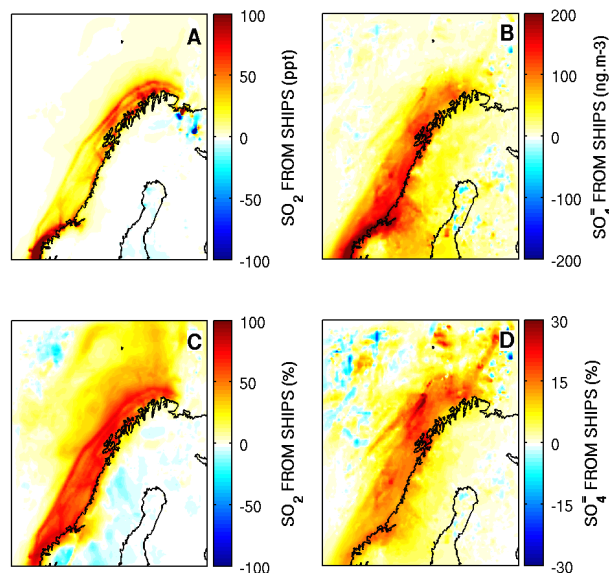


Figure 1. 15 day average (00:00 UTC 11 July 2012 to 00:00 UTC 26 July 2012) of (top) absolute and (bottom) relative surface enhancements (CTRL – NOSHIPS) in (a, c) SO<sub>2</sub>, (b, d) SO<sub>4</sub><sup>2-</sup> due to ship emissions from STEAM2 in northern Norway.

**COMMENT: 3) There is the large negative forcing (cooling effect) due to the ship emissions, but a substantial increase in black carbon. Could there be a few more details added about why the warming effect is not that great. How are the radiative effects for**

**black carbon parameterized in the model? Is there any uncertainty related to these parameterizations for black carbon and how does that influence the analysis?**

RESPONSE: In our simulations, the direct radiative effect of BC is calculated by computing at each model time step the aerosol optical properties through a Mie code (Barnard et al., 2010). This calculation assumes that, in each size bin, BC is internally mixed with other components of the aerosol. The average refractive index of the internally mixed aerosol is calculated as the volume average of the refractive indices of individual chemical components. These calculated optical properties are used in the radiative transfer calculations (direct effect), which means that aerosols (including BC) have an influence on the meteorological model. This influence can inhibit or enhance cloud formation (semi-direct effect). BC-containing particles can also be activated in clouds if they are sufficiently hygroscopic, and can influence cloud properties (indirect effect). Our calculations do not include the effect BC deposition on snow, because it is not included in WRF-Chem.

We did not separate the radiative effect of BC from other aerosol types, or the direct, semi-direct and indirect effects because of the large number of additional simulations and code developments needed to do so. However, previous studies investigating the radiative effect of ships globally (Eyring et al., 2010) and in the Arctic (Ødemark et al., 2012) indicate that the magnitude of the warming by shipping BC is much lower than the cooling by sulfate, especially than the cooling associated with the indirect effect. Ødemark et al. (2012) calculated the direct effect of BC from Arctic ships in July and found that it was very low,  $\sim +0.15 \text{ mW m}^{-2}$ , compared to  $\sim -10 \text{ mW m}^{-2}$  for the indirect effect. In our study, shipping increases BC significantly over the Norwegian and Barents seas because other sources of BC in this region are scarce, causing weak background levels. However, in agreement with Ødemark et al. (2012), we found that these enhanced BC concentrations were not sufficient to cause significant warming.

There are indeed large uncertainties in predicting the radiative impact of aerosols, including BC, on warming/cooling. The issue of uncertainty related to black carbon is a very difficult one – the uncertainty is within the detailed description of aerosols and their interaction with clouds, which are non-linear processes. A short discussion on uncertainties has been added to the text for clarity (described in more detail in the response to comment 20 by reviewer 1). However, a more detailed study of the parameterizations themselves, their uncertainties, and how this impacts black carbon is out of the scope of the present study.

**COMMENT: 4) P 18411, L21: What is the time frame for the long-term cooling mentioned here due to reduced CO<sub>2</sub> emissions**

RESPONSE: The time frame of this cooling is 150 years. We also added in the text that this cooling is both due to reduced CO<sub>2</sub> and to reduced O<sub>3</sub> at lower latitudes.

**COMMENT: 5) P 18414, L4: The text mentions that 4 flights are used to derive emissions, it is not clear when these 4 flights occurred since the text seems to indicate that only July 11 (2 flights) and July 12 flight were used.**

RESPONSE: There were two flights exclusively dedicated to studying shipping emissions (11 July and 12 July) and two other flights that included some portion of the flight that sampled ships. The two other flights that sampled ships occurred on 19 and 25 July. The text has been updated to clarify this:

“Data collected during these flights are used to derive emissions from operating ships and to evaluate regional chemical transport simulations investigating the impacts of shipping in northern Norway.”

has been changed to:

“Data collected during the 11 and 12 July 2012 flights are used to derive emissions from operating ships, and data from the 4 flights (11, 12, 19 and 25 July 2012) are used to evaluate regional chemical transport simulations investigating the impacts of shipping in northern Norway.”

**COMMENT: 6) P 18415, L10: The analysis requires that the environmental conditions are not strongly varying. Was this condition met? Is that why the contributions from weather effects was neglected as mentioned in P 18418, L25?**

RESPONSE: Yes, during the flights the meteorological conditions remained consistent. To show this we have added a figure in an electronic supplement (Figure S1, also shown below) showing measured and modeled (MET simulation) meteorology along the flight tracks during the plume sampling flights (11 and 12 July 2012).

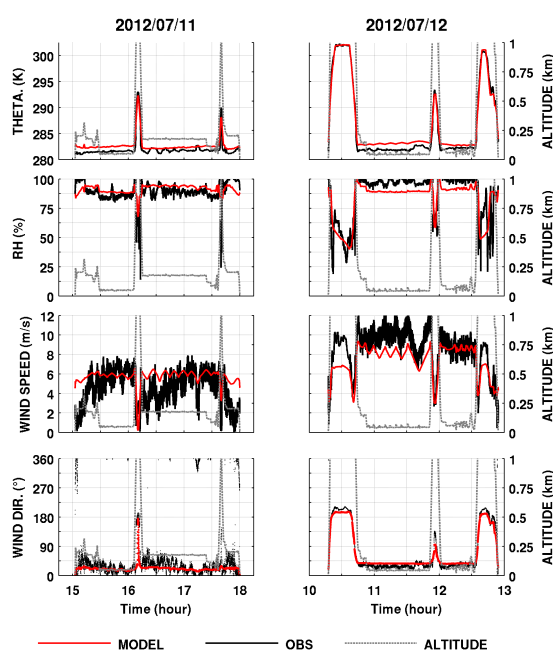


Figure 2. (Figure S1 in the electronic supplement) ACCESS measurements of potential temperature, relative humidity, wind speed and wind direction (black) for the 11 and 12 July flights, compared with WRF-Chem MET simulation results interpolated along the flight track for the same flights (red).

**COMMENT: 7) P 18419, L25: Very strong SO<sub>2</sub> emissions due to smelting are mentioned in relation to Fig 2d, but this is not evident in the related panel.**

RESPONSE: The resolution of the HTAPv2 anthropogenic emissions used in this study is very fine (0.1° x 0.1°), so the localized, very high, SO<sub>2</sub> emission from Russian industrial sources are hard to see on the figure. To make this more clear, the Kola Peninsula is now highlighted in the box on panel 2d. We also note in the text that the highlighted region corresponds to the emission of 11,563 tons of SO<sub>2</sub> during the simulation, 79 % of the total HTAPv2 SO<sub>2</sub> emissions within the domain.

**COMMENT: 8) P 18421, L14: Are you able to quantify what you mean by ‘Modeled and measured plume locations agree well’? Looking at Fig. 3C and 3D, the observed plume maximum near 14E 68.8N looks to be further to the west than the simulated plume, whereas the agreement seems closer for the other panels (A and B, E and F)**

RESPONSE: This displacement of the modeled plume presented in Figures 3C and 3D has been quantified. On average, the plume was displaced by 4.7 km compared to the measurements. This displacement is small considering that, at the end of this flight leg, the plume was being sampled ~80 km away from its source. This displacement is caused by biases in the MET simulation used to drive the plume dispersion model (-16° for wind direction, +14 % for wind speed). In order to clarify this in the paper, we added the following discussion of peak displacements after the presentation of Figure 4 (new text in bold):

“During the second altitude level on 11 July (Fig. 3c and d) the Wilson Leer was farther south and the Costa Deliziosa had moved further north. Therefore, the plumes are farther apart than during the first pass at 49 m. **Modeled and measured plume locations agree well for the first run (z = 49 m). For the second run (z = 165 m), the modeled plume for the Costa Deliziosa is, on average, located 4.7 km to the west of the measured plume. This displacement is small considering that, at the end of this flight leg, the plume was being sampled ~80 km away from its source. This displacement is caused by differences between the simulation (MET) used to drive the plume dispersion model and the observed meteorological conditions (-16° for wind direction, +14 % for wind speed).**”

COMMENT: 9) Why does Fig. 4 not include the July 11, Z= 165m related to Fig. 3 panels C and D? Does this time series differ?

RESPONSE: We have added the whole time series for July 11 including the leg at Z = 165m (Panels A and B) below and to the online electronic supplement (Figure S2) for clarity.

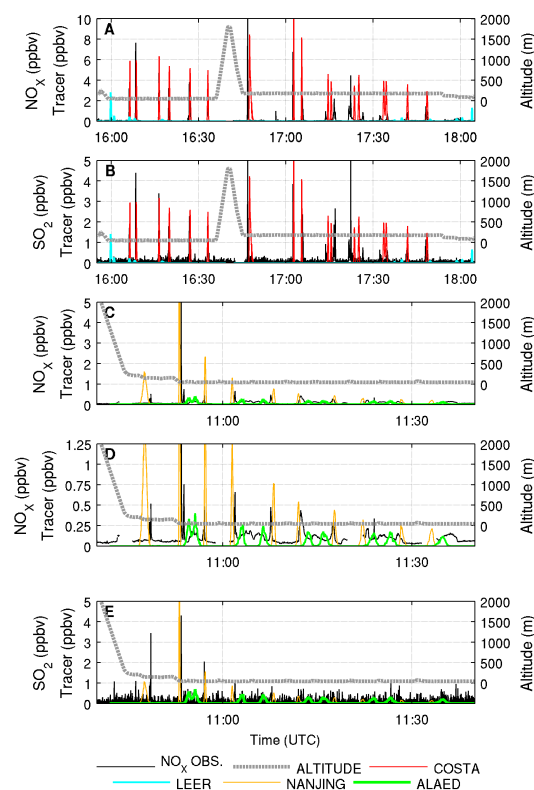


Figure 3. (Figure S2 in the electronic supplement) (a, c, d) NO<sub>x</sub> and (b, e) SO<sub>2</sub> aircraft measurements (black) compared to FLEXPART-WRF air tracer mixing ratios interpolated along flight tracks, for the plumes of the (a, b) Costa Deliziosa and Wilson Leer on 11 July 2012 (showing the 2 constant altitude levels at Z ~ 49 m and Z ~165 m) (c, d, e) Wilson Nanjing and Alaed on 12 July 2012. Panel (d) shows the same results as Panel (c), zoomed in. Since model results depend linearly on the emission flux chosen a priori for each ship, model results have been scaled so that peak heights are comparable to the measurements.

This time series does not differ significantly from the other presented time series. There are two points of interest in this second constant altitude level. First, a very intense SO<sub>2</sub> peak was measured before 17:30 (panel B). We already noted p 18423, lines 12-15, that “this large increase in SO<sub>2</sub> in an older, diluted part of the ship plume suggests contamination from another source [than the Costa Deliziosa]”, which is why this peak is not reproduced by the plume dispersion model and why it was later excluded from the analysis. Second, this time series illustrates the displacement of the Costa Deliziosa plume during the later part of this second leg, which was pointed out in comment 8 and by reviewer #1 and is now noted in the text.

It is also clear from this updated figure that showing the whole time series makes it difficult to distinguish the modeled and measured plumes in panels A and B, especially during the first flight leg and the beginning and end of the second leg. This is due to the close agreement between modeled and plume locations at these times. However, we also believe that showing the whole time series makes the discussion on plume selection for the analysis in Sect. 4.2 clearer. Because of this, we included this figure as Figure S2 in an electronic supplement.

**COMMENT: 10) P 18422, L13: The methodology requires a linear relationship between emission flux and tracer concentration. Can you briefly note why this condition is satisfied?**

RESPONSE: The FLEXPART-WRF model results depend linearly on the emission strength used as input. The only source of non-linearity that cannot be taken into account is changes in the emission source strength, which are assumed to be constant in time for the plumes sampled. Given that the ship and meteorological conditions were consistent during sampling, we expect that these effects would be very small.

**COMMENT: 11) Eq.(1): How is the background mixing ratio determined?**

RESPONSE: This was also brought up by Reviewer 1. We added this discussion in the text: “The background mixing ratios were determined by applying a 30 second running average to the SO<sub>2</sub> and NO<sub>x</sub> measurements. Background values were then determined manually from the filtered time series. For each NO<sub>x</sub> peak, an individual background value was identified and used to determine the NO<sub>x</sub> enhancement in each plume. For SO<sub>2</sub>, a single background value was used for each flight leg (constant altitude).”

**COMMENT: 12) There is a large discrepancy for NO<sub>x</sub> between the derived emissions and the STEAM2 emissions. Since STEAM2 emissions are used in the subsequent calculation of impacts on air quality and radiation, how does this overestimation influence the results and what is the related uncertainty in the presented contribution to the ship emissions to air quality and radiative effects?**

RESPONSE: The STEAM2 emission model is based on AIS real-time positioning data, which has a much better coverage than activity datasets used to generate older shipping emission inventories (e.g. ICOADS and AMVER). In addition, these earlier datasets also have known biases for ships of specific sizes or types. In addition, components of the STEAM2 inventory, such as fuel consumption, engine loads, and emission factors have already been studied in detail in the Baltic Sea by Jalkanen et al., (2009) and Jalkanen et al. (2012). Beecken et al. (2015) recently compared STEAM2 emission factors to measurements for ~300 ships in the Baltic Sea. Their results showed that, while important biases were possible for individual ships, STEAM2 performed much better on average for a large fleet. In the

Baltic Sea, STEAM2 NO<sub>x</sub> emission factors were found to be biased by +4 % for passenger ships, based on 29 ships, and -11 % for cargo ships, based on 118 ships. For SO<sub>x</sub>, the biases were respectively +1 % and +14 % for the same ships. Therefore, we expect that the large discrepancy in NO<sub>x</sub> for this individual ship has only a small impact on the quality of the results. We have added a short discussion to the paper.

The mass flow of NO<sub>x</sub> in STEAM2 is calculated as a product of instantaneous power (kW) and NO<sub>x</sub> emission factor (g/kWh) as a function of time. There are several factors which have an impact on both of these quantities. In STEAM2, engine power prediction is influenced by vessel speed in relation to water, but the value reported by AIS quantifies the speed over ground. If the water itself does not move (no in/outflow from rivers or surface currents) these two are identical, but in reality they can deviate as much as 2-3 knots (3.5-4.6 km/h) if strong currents exist in the study area. There can be also contributions from bad weather (waves, wind), hull fouling (biological growth in ship hulls which increases resistance) and sea ice cover. In this work none of these effects were included and the vessel was treated according to theoretical resistance case during ideal conditions. All aforementioned external effects, except favorable sea currents, tend to increase vessel resistance and power demand. However, if engine power prediction would be the only reason for large discrepancy between modeling results and experimental measurements, both SO<sub>x</sub> and NO<sub>x</sub> should indicate errors of similar magnitude. In case of Costa Deliziosa this clearly is not the case and engine power prediction alone cannot be blamed for large errors in NO<sub>x</sub> emission predictions.

STEAM2 assigns NO<sub>x</sub> emission factors for diesel engines based on IMO Tiers and engine rpm. The NO<sub>x</sub> emission factor for Costa Deliziosa was assigned with the assumption that the vessel complies with Tier II regulation of the IMO Marpol Annex VI. According to this requirement, NO<sub>x</sub> emission factor for Costa Deliziosa must be equal or less to 10.5 g/kWh, based main engine 500 rpm (crankshaft revolutions per minute). Costa Deliziosa was handled as a standard Tier II compliant vessel. Later it was found out that the owner, Costa Crociere, had installed Water in Fuel (WiFE) system on the vessel, which can reduce NO<sub>x</sub> up to 50% (IPCO Power, 2015; Woodyard, 2004). However, we could not confirm from the owner whether the system was actually operating during the time of the measurements or not. It is imperative that all necessary details required for accurate ship emission modeling are available, which is clearly not the case for this ship. In order to evaluate the performance of STEAM2, extensive exhaust measurements onboard vessels would be required. This was not possible within this study, but has been done previously and will be published separately (work in progress). We provide three figures for two different vessels as an example of these studies.



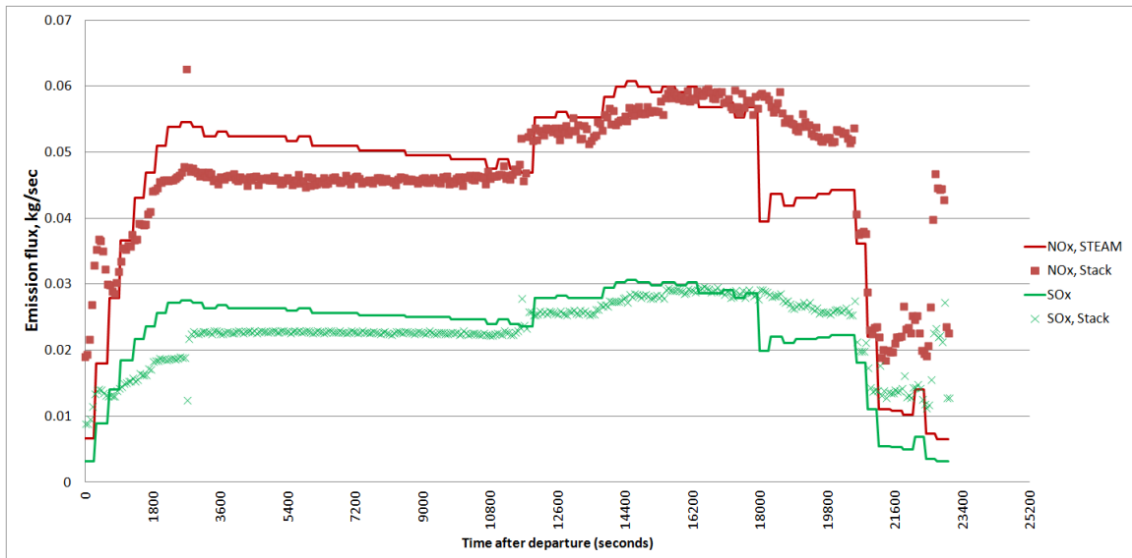


Figure 4. In-stack measurements and STEAM predictions for  $\text{NO}_x$  and  $\text{SO}_x$ . Vessel is a 34 000 GT RoPax ship. Measurement data from NUA Umweltanalytik GmbH.

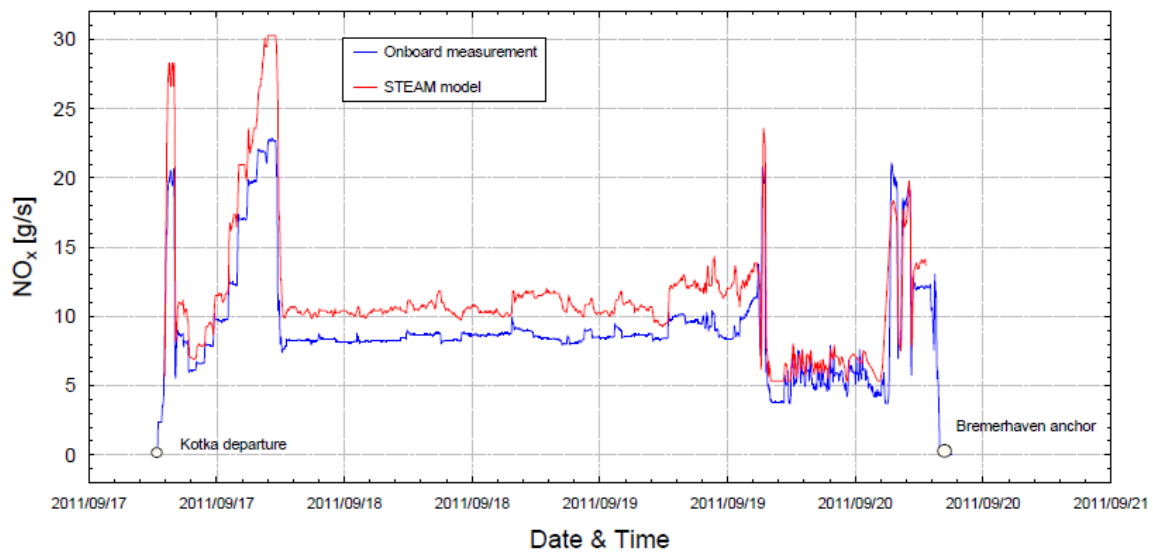


Figure 5. Comparison of measured and STEAM predicted  $\text{NO}_x$  flux from a 864 TEU container feeder vessel. Data from Maritime University of Szczecin (Borkowski et al, 2012)

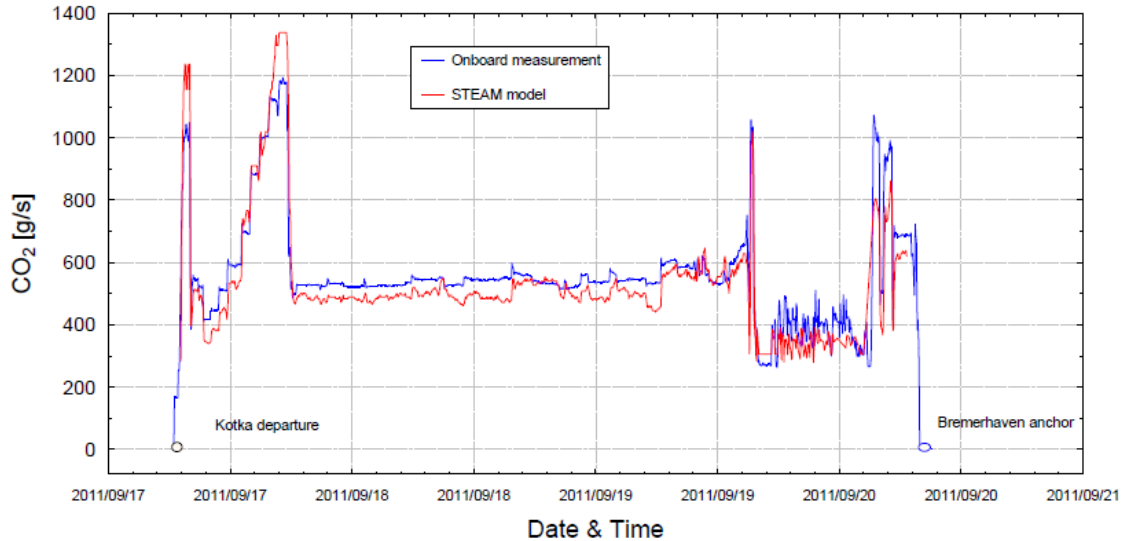


Figure 6. Comparison of measured and STEAM predicted CO<sub>2</sub> flux from a 864 TEU container feeder vessel. Data from Maritime University of Szczecin (Borkowski et al, 2012)

**COMMENT: 13) P 18428, L22 (Fig. 6): This comparison is only presented for NO<sub>x</sub> and O<sub>3</sub> on July 11. How did the model and measured NO<sub>x</sub> and O<sub>3</sub> compare for July 12? How does the comparison look for SO<sub>2</sub>?**

RESPONSE: We have added the corresponding results for July 12 to an electronic supplement (Figure S3, also shown below) as well as a short discussion of these results to the paper. SO<sub>2</sub> results for July 11 are shown in the response to comment 16 by reviewer 1.

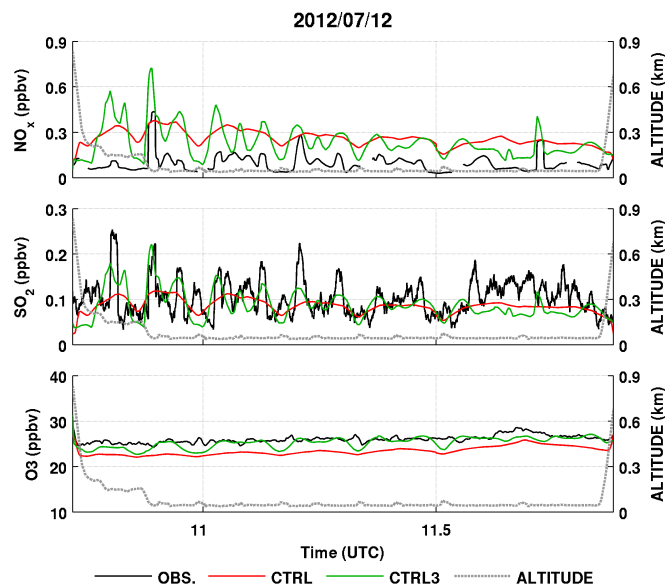


Figure 7. (Figure S3 in the electronic supplement) Time series of measured NO<sub>x</sub>, SO<sub>2</sub> and O<sub>3</sub> on 12 July 2012 compared to model results extracted along the flight track for the CTRL and CTRL3 runs. Observations are in black, the CTRL run is in red, and the CTRL3 run is in green. A 56 s averaging window is applied to the measured data for model comparison (approximately the time for the aircraft to travel 2 × 3 km). Flight altitude is given as dashed gray line.

**COMMENT: 14) P 18429, L8: Simulation CTL looks to agree more closely with the measurements than CTL3, although both have a negative bias. Is the NO<sub>x</sub> overestimation the source of this negative bias?**

RESPONSE: This is a good point, but the overestimation in NO<sub>x</sub> is likely not the only cause of this negative bias for ozone. The overestimation of NO<sub>x</sub> discussed in the paper is only for the plume of the Costa Deliziosa, but ozone is also underestimated out of the plume, suggesting that this issue is related to the background O<sub>3</sub> values. This bias for background ozone can be caused by a number of reasons, including biases in the boundary chemical conditions from the MOZART4 model, and biases in photolysis rates, cloud properties and locations, ozone deposition, and/or emissions. A short discussion has been added to the paper to clarify this issue.

**COMMENT: 15) P 18430, L5: The text notes that PM<sub>1</sub> and SO<sub>2</sub> are overestimated by about 25% and 35%, respectively. How does this overestimation influence your subsequent analysis of the aerosol radiative effects.**

RESPONSE: These values are only for the Costa Deliziosa ship. We noted above in comment 12 that while STEAM2 can have a large bias for an individual ship, the average values are still very accurate (Beecken et al., 2015). Therefore, we don't anticipate there is a large impact on aerosols or radiative effects from the discrepancy we found for the Costa Deliziosa. A general discussion of uncertainties in calculating the radiative effects of shipping was included in the response to comment 20 by reviewer 1 and in the text.

**COMMENT: 16) Fig. 8 shows that the ships have little effect on the vertical profile of PM<sub>2.5</sub> – how do we reconcile this with the magnitude of the aerosol radiative effects that are presented?**

RESPONSE: The radiative effect of ship emissions is dominated by the indirect effect, which is more sensitive to changes in number concentrations at certain size ranges than to changes in the total PM<sub>2.5</sub> mass. We added in the supplement a figure (Figure S4, also below) showing the influence of ships along on number concentrations in the second MOSAIC bin (diameters 78 nm to 156 nm), which corresponds to the typical size of aerosols activated as CCN (100 nm; Henning et al., 2002). For the modeled ACCESS vertical profiles, ship emissions increase the number concentrations in the 78 nm to 156 nm size range by + 30 %. We also note that shipping emissions have a large impact on sulfate aerosols (also shown in Figure S4 below), which are efficient cloud condensation nuclei.

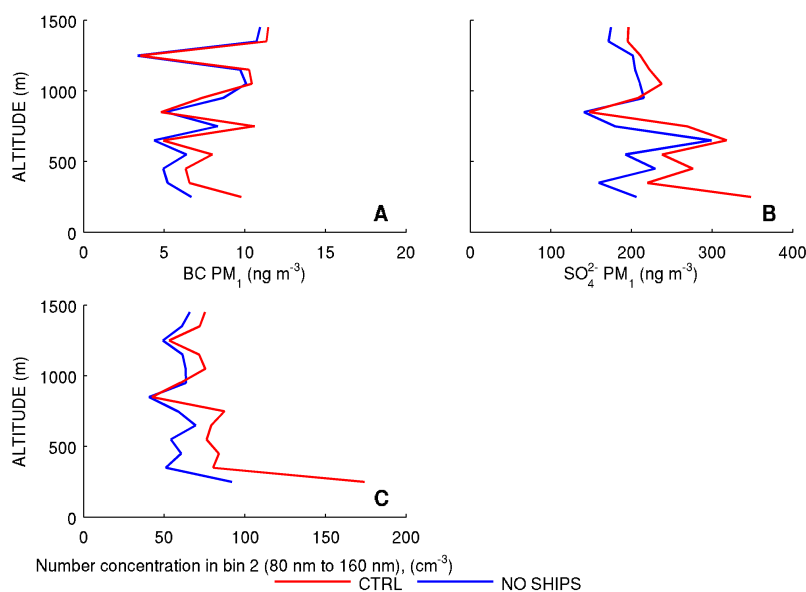


Figure 7. (Figure S4 in the electronic supplement) Average vertical profiles (200 m to 1500 m) of (a) BC  $PM_{10}$ , (b)  $SO_4^{2-} PM_{10}$ , (c) number concentrations in the second bin of MOSAIC (diameters 78 nm to 156 nm), interpolated along the ACCESS flight tracks for the 4 ship flights in the CTRL simulation (red line) and in the NOSHIPS simulation (blue line).

**COMMENT: 17) P 18431, L18: The analysis of the regional impacts is based a 15-day period. How does the period chosen influence the results? Would the results for the radiative impacts and concentration enhancements be any different over a different time period?**

RESPONSE: This period was chosen due to the availability of the ACCESS aircraft measurements for model evaluation (11 to 25 July 2015), which makes this study unique. Longer simulations were not possible because of the computational resources required to perform these simulations at high resolution. During the ACCESS campaign, sea level pressure anomalies were negative over Northern Norway (Roiger et al., 2015), indicative of more rain and clouds than normal during summer. In our study, we have compared our results with other studies over longer periods. However, it is unclear how the meteorological situation might influence this comparison, as these studies did not include a discussion about weather conditions.

**COMMENT: 18) Why does the  $PM_{2.5}$  appear to have little change near the surface in Fig. 8 but greater change for the 15 day average at the surface for Fig. 9?**

RESPONSE: The ACCESS measurements included in the comparison shown in Fig. 8 are for specific dates and locations, which are not perfectly representative of the whole domain. In addition, the bottom 200 m of the PBL are also not included in the vertical profiles, since measurements at these heights during ACCESS are scarce, and are only present during the plume sampling portions of the 11 and 12 July flights (already shown in Fig.6).

Compared to the discussion paper, we removed the lowest point of the profiles (100 m – 200 m) in Figure 8, since this range included part of the plume sampling from the Costa Deliziosa ( $z=165$  m). As discussed in comments 8 (technical corrections) and in the paper, these profiles are meant to represent aged ship emissions and should not include fresh plume sampling. We also corrected the bias values, as the numbers given in the text and on the figures were Mean Normalized Bias (MNB) values instead of Normalized Mean Bias (NMB) values as indicated. Normalized mean bias values for the updated 200 m – 1500 m profiles are, for the CTRL simulation, + 14.2 % for  $NO_x$ , -6.8 % for  $SO_2$  and -7.0 % for  $O_3$  (+ 6.9 %, -

10.7 % and -7.6 % in the discussion paper). These two updates to Figure 8 do not change the discussion of Figure 8 or the conclusions of the paper.

**COMMENT: 19) P 18433, L 5: Can you explain why BC is not efficiently transported away from the source region? Is the lifetime really that short and why?**

RESPONSE: In WRF-Chem, the BC lifetime is not prescribed and is calculated within the MOSAIC aerosol scheme based on the included processes. As we mentioned in the answer to reviewer 1 (comment 19), it is not currently possible to obtain an estimate of the average lifetime of BC in WRF-Chem. However, we were able to estimate the average residence time of BC emitted from ships, using the definition of Samset et al. (2014). The BC residence time was calculated as the ratio of the 15-day averaged BC burden ( $\text{kg m}^{-2}$ ) from ships (CTRL – NOSHIPS BC burden) to the average BC emissions from STEAM2 during the simulation ( $\text{kg m}^{-2} \text{ day}^{-1}$ ). Using this method, we estimate the lifetime of BC from ships during ACCESS is 1.4 days. During the ACCESS campaign, sea level pressure anomalies were negative over Northern Norway (Roiger et al., 2015), indicating poor weather during the campaign. This means that shipping BC was emitted into regions with clouds and rain, increasing the likelihood of removal before BC could be transported long distances. We also note that the behavior seen in Figure 9C is not just due to BC lifetime, but is also caused by dilution of shipping emissions away from the narrow shipping lanes.

**COMMENT: 20) Section 5.2.2: Could the discussion and analysis be extended to include a comment of the role of black carbon. The paper attributes a 40% enhancement in black carbon to shipping – how does this influence the radiative effects presented?**

RESPONSE: We answered to this comment in the response to comment 3.

**COMMENT: 21) P 18435, L20: The PM10 enhancements are 15% higher in the 3km x 3km simulations. How does this influence the results for the effects on the radiative budget calculated at 15 km x 15 km?**

RESPONSE: A similar question was asked by reviewer 1 (comment 20). We included in the text a discussion of uncertainties in calculating the radiative effects of shipping. In addition, we would need more aerosol measurements in shipping lanes (including number concentrations and aerosol compositions in ship plumes) in order to better understand and constrain this effect.

#### **Technical Corrections:**

**COMMENT: 1) P18415, L1: Was the acronym FNL defined?**

RESPONSE: FNL is not an acronym but is an abbreviation for “final” (analysis). This is now explained in the paper, when the abbreviation is first encountered.

**COMMENT: 2) P 18423, L1: Are the units correct in the text? Please check.**

RESPONSE: Yes, the units were wrong, we replaced “ $\text{kg m}^{-3}$ ” by “ $\text{g mol}^{-1}$ ” in the text.

**COMMENT: 3) P 18423, L18: I have difficulty to see the 4 SO2 peaks above 1ppb for the Nanjing ship in Fig. 4 please check if this is shown and perhaps consider changing the colors since the pink and red lines are difficult to differentiate. Are not all of the peaks for the various ships mentioned in the text shown in the related figure?**

RESPONSE: The colors for this figure have been updated. As we mentioned in the response to comment 9, some of the peaks mentioned in the text were not shown in the Figure, as showing the whole flight made the model and measured peak difficult to distinguish. The rest of the peaks are now shown in the supplement (Figure S2, Figure 3 in this document, also

showing the new colors).

**COMMENT: 4) P18427,L 24,25 and elsewhere: Consider removing the word ‘see’ as a directive to the reader.**

RESPONSE: This has been removed.

**COMMENT: 5) P 18429, L3: The text comments on an overestimation of NO<sub>x</sub> in the plumes for simulation CTL. This is not clear in Fig. 6.**

RESPONSE: In the CTRL run peaks are not overestimated, because of the dilution in the 15 km grid. This was a mistake and has been removed.

**COMMENT: 6) Fig 8: Consider presenting the measurements in black as opposed to color in keeping with standard convention.**

RESPONSE: This has been updated such that the measurements are in black, and the text has been updated accordingly.

**COMMENT: 7) P 18433, L23: I found the terminology ‘averaged over the surface of the Earth’ to be somewhat confusing. Consider giving an equation to explain this calculation.**

RESPONSE: Shipping studies using global models, such as the Arctic study of Ødemark et al. (2012), quantify the global radiative effect of shipping in  $W m^{-2}$ . Since we use a regional model on a limited domain, the average effect in our domain in  $W m^{-2}$  is not comparable to this global average, which includes the regions further away from the Arctic where the local impact of Arctic shipping on radiation is very low. In order to compare our own results to those of Ødemark et al. (2012) and other shipping studies, we calculated the total radiative effect in our domain in  $W$ , and normalized it with the area of the Earth in order to obtain a comparable global average in  $W m^{-2}$ . This is now explained in the text.

**COMMENT: 8) P 18435, paragraph 1: Consider quantifying the overestimation of NO<sub>x</sub> here and consider quantifying that is meant by STEAM2 emissions ‘agreeing well with airborne measurements’ and reasonably well representing average emissions’.**

RESPONSE: We removed “agreeing well” and “reasonably well” from the text since these expressions are too vague, and we replaced them by a quantified discussion of model and emission biases. We mention that the derived emissions of NO<sub>x</sub> and SO<sub>2</sub> for individual ships can be very different from STEAM2, especially when the ship specifications are not accurate. We also remind here, that, as we discussed in comment 12, the results of Beecken et al., (2015) indicate that STEAM2 performed much better on average for a large fleet than for individual ships. In the present study, we also showed on Figure 8 that combining WRF-Chem and STEAM2 produced reasonable biases for modeled NO<sub>x</sub> and O<sub>3</sub> compared to ACCESS measurements in the lower troposphere (+ 14.2 % and - 7.0 %, using the updated values given in comment 18). The bias on average SO<sub>2</sub> profiles (- 6.8 %) in our study is also significantly better than in a previous model intercomparison by Eyring et al. (2007) based on airborne measurements in July 2004. The average ACCESS profiles presented in Figure 8 represent emissions that had time to mix vertically in the marine boundary layer. Because of this, these plumes are expected to be relatively aged, and are more representative of the regional pollution from shipping in Northern Norway than the 4 specific ships for which we derived emissions. In Figure 8, results from the NOSHIP run also indicate that shipping emissions are significant sources of NO<sub>x</sub> and SO<sub>2</sub> at low altitudes along the ACCESS flights. We can thus expect that, if STEAM2 emissions were strongly biased for the total fleet, this would also lead to large biases on the average profiles, except if these biases were

compensated by another deficiency of the model. We included this discussion in the text, and a condensed summary in the conclusion when discussing STEAM2 emissions.

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# Air quality and radiative impacts of Arctic shipping emissions in the summertime in northern Norway: from the local to the regional scale

**L. Marelle<sup>1,2</sup>, J. L. Thomas<sup>1</sup>, J.-C. Raut<sup>1</sup>, K. S. Law<sup>1</sup>, J.-P. Jalkanen<sup>3</sup>, L. Johansson<sup>3</sup>, A. Roiger<sup>4</sup>, H. Schlager<sup>4</sup>, J. Kim<sup>4</sup>, A. Reiter<sup>4</sup>, and B. Weinzierl<sup>4,5</sup>**

<sup>1</sup>Sorbonne Universités, UPMC Univ. Paris 06; Université Versailles St-Quentin; CNRS/INSU, LATMOS-IPSL, Paris, France

<sup>2</sup>TOTAL S.A, Direction Scientifique, Tour Michelet, 92069 Paris La Defense, France

<sup>3</sup>Finnish Meteorological Institute, Helsinki, Finland

<sup>4</sup>Institut für Physik der Atmosphäre, Deutsches Zentrum für Luft- und Raumfahrt (DLR), Oberpfaffenhofen, Germany

<sup>5</sup>Ludwig Maximilians Universität (LMU), Meteorologisches Institut, 80333, München, 14, Germany

Correspondence to: L. Marelle (louis.marelle@latmos.ipsl.fr); J. L. Thomas (jennie.thomas@latmos.ipsl.fr)



## Abstract

In this study, we quantify the impacts of shipping pollution on air quality and shortwave radiative effect in northern Norway, using WRF-Chem simulations combined with high resolution, real-time STEAM2 shipping emissions. STEAM2 emissions are evaluated using airborne measurements from the ACCESS campaign, which was conducted in summer 2012, in two ways. First, emissions of  $\text{NO}_x$  and  $\text{SO}_2$  are derived for specific ships from in-situ measurements in ship plumes and FLEXPART-WRF plume dispersion modeling, and these values are compared to STEAM2 emissions for the same ships. Second, regional WRF-Chem runs with and without STEAM2 ship emissions are performed at two different resolutions,  $3\text{ km} \times 3\text{ km}$  and  $15\text{ km} \times 15\text{ km}$ , and evaluated against measurements along flight tracks and average campaign profiles in the marine boundary layer and lower troposphere. These comparisons show that differences between STEAM2 emissions and calculated emissions can be quite large ( $-57$  to  $+148\%$ ) for individual ships, but that WRF-Chem simulations using STEAM2 emissions reproduce well the average  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$  measured during ACCESS flights. The same WRF-Chem simulations show that the magnitude of  $\text{NO}_x$  and  $\text{O}_3$  production from ship emissions at the surface is not very sensitive ( $< 5\%$ ) to the horizontal grid resolution ( $15$  or  $3\text{ km}$ ), while surface  $\text{PM}_{10}$  enhancements due to ships are moderately sensitive ( $15\%$ ) to resolution. The  $15\text{ km}$  resolution WRF-Chem simulations are used to estimate the ~~local and~~ regional impacts of shipping pollution in northern Norway. Our results indicate that ship emissions are an important ~~local~~ source of pollution along the Norwegian coast, enhancing 15 day averaged surface concentrations of  $\text{NO}_x$  ( $\sim +80\%$ ),  $\text{SO}_2$  ( $\sim +80\%$ ),  $\text{O}_3$  ( $\sim +5\%$ ), black carbon ( $\sim +40\%$ ) and  $\text{PM}_{2.5}$  ( $\sim +10\%$ ) ~~along the Norwegian coast~~. The residence time of black carbon originating from shipping emissions is 1.4 days. Over the same ~~period~~ 15 day period, ship emissions in northern Norway have a global shortwave (direct + semi-direct + indirect) radiative effect of  $-9.3\text{ m W m}^{-2}$  ~~at the global scale~~.

## 1 Introduction

Shipping is an important source of air pollutants and their precursors, including carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), sulfur dioxide (SO<sub>2</sub>), Volatile Organic Compounds (VOCs); as well as organic carbon (OC) and black carbon (BC) aerosols (Corbett and Fischbeck, 1997; Corbett and Köhler, 2003). It is well known that shipping emissions have an important influence on air quality in coastal regions, often enhancing ozone (O<sub>3</sub>) and increasing aerosol concentrations (e.g. Endresen et al., 2003). Corbett et al. (2007) and Winebrake et al. (2009) showed that aerosol pollution from ships might be linked to cardiopulmonary and lung diseases globally. Because of their negative impacts, shipping emissions are increasingly subject to environmental regulations. The International Maritime Organization (IMO) has designated several regions as Sulfur Emission Control Areas (SECAs, including the North Sea and Baltic Sea in Europe), where low sulfur fuels must be utilized to minimize the air quality impacts of shipping on particulate matter (PM) levels. The sulfur content in ship fuels in SECAs was limited to 1 % by mass in 2010, decreasing to 0.1 % in 2015, while the global average is 2.4 % (IMO, 2010). Less strict sulfur emission controls (0.5 %) will also be implemented worldwide, at the latest in 2025, depending on current negotiations. Ships produced or heavily modified recently must also comply to lower NO<sub>x</sub> emissions factors limits, reducing emission factors (in g kWh<sup>-1</sup>) by approximately -10 % (after 2000) and another -15 % (after 2011) compared to ships built before year 2000 (IMO, 2010). Jonson et al. (2015) showed that the creation of the North Sea and Baltic Sea SECAs was effective in reducing current pollution levels in Europe, and that further NO<sub>x</sub> and sulfur emission controls in these regions could help to achieve strong health benefits by 2030 by reducing PM levels.

In addition to its impacts on air quality, maritime traffic already contributes to climate change, by increasing the concentrations of greenhouse gases (CO<sub>2</sub>, O<sub>3</sub>) and aerosols (SO<sub>4</sub>, OC, BC) (Capaldo et al., 1999; Endresen et al., 2003). ~~Although ship emissions have competing warming and cooling impacts, the climate effect of ships is currently~~ The current radiative forcing of shipping emissions is negative and is dominated by the cooling influence

of ~~aerosols, especially sulfate~~ sulfate aerosols formed from SO<sub>2</sub> emissions (Eyring et al., 2010). However, due to the long lifetime of CO<sub>2</sub> compared to sulfate, shipping emissions warm the climate in the long-term (after 350 years, Fuglestedt et al., 2009). In the future, ~~declining global emissions~~ global shipping emissions of SO<sub>2</sub> are expected to decrease due to IMO regulations ~~are expected to change the global climate effect of ships from cooling to warming (Fuglestedt,~~ while global CO<sub>2</sub> emissions from shipping will continue to grow due to increased traffic. This combination is expected to cause warming relative to the present day (Fuglestedt et al., 2009; Dalsøren et al., 2013).

In addition to their global impacts, shipping emissions are ~~particularly concerning of~~ particular concern in the Arctic, where they are projected to increase in the future as sea ice declines (for details of future sea ice, ~~see~~ e.g. Stroeve et al., 2011). Decreased summer sea ice, associated with warmer temperatures, is progressively opening the Arctic region to transit shipping, and projections indicate that new trans-Arctic shipping routes should be available by midcentury (Smith and Stephenson, 2013). Other shipping activities are also predicted to increase, including shipping associated with oil and gas extraction (Peters et al., 2011). Sightseeing cruises have increased significantly during the last decades (Eckhardt et al., 2013), although it is uncertain whether or not this trend will continue. Future Arctic shipping is expected to have important impacts on air quality in a now relatively pristine region (e.g. Granier et al., 2006), and will influence both Arctic and global climate (Dalsøren et al., 2013; Lund et al., 2012). In addition, it has recently been shown that routing international maritime traffic through the Arctic, as opposed to traditional routes through the Suez and Panama canals, will result in warming in the coming century and cooling on the long term ~~, due primarily to the competing effects of (150 years).~~ This is due to the opposite sign of impacts due to reduced SO<sub>2</sub> due-linked to IMO regulations and reduced CO<sub>2</sub> ~~emissions and~~ O<sub>3</sub> associated with fuel savings from using these shorter Arctic routes (Fuglestedt et al., 2014). In addition, sulfate is predicted to cause a weaker cooling effect for the northern routes (Fuglestedt et al., 2014).

Although maritime traffic is relatively minor at present in the Arctic compared to global shipping, even a small number of ships can significantly degrade air quality in regions where

other anthropogenic emissions are low (Aliabadi et al., 2014; Eckhardt et al., 2013). Dalsøren et al. (2007) and Ødemark et al. (2012) have shown that shipping emissions also influence air quality and climate along the Norwegian and Russian coasts, where current Arctic ship traffic is the largest. Both studies (for years 2000 and 2004 respectively) were based on emission datasets constructed using ship activity data from the AMVER (Automated Mutual-Assistance Vessel Rescue system) and COADS (Comprehensive Ocean–Atmosphere Data Set) datasets. However, the AMVER dataset is biased towards larger vessels ( $> 20\,000$  t) and cargo ships (Endresen et al., 2003), and both datasets have limited coverage in Europe (Miola et al., 2011). More recently, ship emissions using new approaches have been developed that use ship activity data more representative of European maritime traffic, based on the AIS (Automatic Identification System) ship positioning system. These include the STEAM2 (Ship Traffic Emissions Assessment Model version 2) shipping emissions, described in Jalkanen et al. (2012) and an Arctic wide emission inventory described in Winther et al. (2014). To date, quantifying the impacts of Arctic shipping on air quality and climate has also been largely based on global model studies, which are limited in horizontal resolution. In addition, there have not been specific field measurements focused on Arctic shipping that could be used to study the local influence in the European Arctic and to validate model predicted air quality impacts.

In this study, we aim to quantify the impacts of shipping along the Norwegian coast in July 2012, using airborne measurements from the ACCESS (Arctic Climate Change, Economy and Society) aircraft campaign (Roiger et al., 2015). This campaign (Sect. 2) took place in summer 2012 in northern Norway, and was primarily dedicated to the study of local pollution sources in the Arctic, including pollution originating from shipping. ACCESS measurements are combined with two modeling approaches, described in Sect. 3. First, we use the Weather Research and Forecasting (WRF) model to drive the Lagrangian Particle Dispersion Model FLEXPART-WRF run in forward mode to predict the dispersion of ship emissions. FLEXPART-WRF results are used in combination with ACCESS aircraft measurements in Sect. 4 to derive emissions of  $\text{NO}_x$  and  $\text{SO}_2$  for specific ships sampled during ACCESS. The derived emissions are compared to emissions from the STEAM2 model

for the same ships. Then, we perform simulations with the WRF-Chem model including STEAM2 ship emissions, in order to examine in Sect. 5 the local ([i.e. at the plume scale](#)) and regional impacts of shipping pollution on air quality and shortwave radiative effects along the coast of northern Norway.

## 2 The ACCESS aircraft campaign

The ACCESS aircraft campaign took place in July 2012 from Andenes, Norway (69.3° N, 16.1° W); it included characterization of pollution originating from shipping (4 flights) as well as other local Arctic pollution sources ([see details are available in the ACCESS campaign overview paper](#) ~~for details~~, Roiger et al., 2015). The aircraft ([DLR Falcon 20](#)) payload included a wide range of instruments measuring meteorological variables and trace gases, described in detail by Roiger et al. (2015). Briefly, O<sub>3</sub> was measured by UV absorption (5 % precision, 0.2 Hz), nitrogen oxide (NO) and nitrogen dioxide (NO<sub>2</sub>) by chemiluminescence and photolytic conversion (10 % precision for NO, 15 % for NO<sub>2</sub>, 1 Hz), and SO<sub>2</sub> by Chemical Ionization Ion Trap Mass Spectrometry (20 % precision, 0.3 to 0.5 Hz). Aerosol size distributions between 60 nm and 1 μm were measured using a Ultra-High Sensitivity Aerosol Spectrometer Airborne.

The 4 flights focused on shipping pollution took place on 11, 12, 19 and 25 July 2012 and are shown in Fig. 1a (zoom in on the 11 and 12 July 2012 flights shown in Fig. 1b). The 3 flights on 11, 12 and 25 July 2012 sampled pollution from specific ships (referred to as single-plume flights). During these flights, the research aircraft repeatedly sampled relatively fresh emissions from one or more ships during flight legs at constant altitudes, at several distances from the emission source, and in some cases at different altitudes. In this study, measurements from these single plume flights are used in combination with ship plume dispersion simulations (described in Sects. 3.1 and 4.1) to estimate emissions from individual ships. This method relies on knowing the precise locations of the ships during sampling. Because those locations are not known for the ship emissions sampled on 25 July 2012 flight, emissions are only calculated for the 3 ships targeted during the 11

and 12 July flights (the *Costa Deliziosa*, *Wilson Leer* and *Wilson Nanjing*), and for an additional ship (the *Alaed*) sampled during the 12 July flight, whose location could be retrieved from the STEAM2 shipping emission inventory (presented in Sect. 3.3). Table 1 gives more information about these 4 ships, one large cruise ship and three cargo ships. On 11 and 12 July 2012, the research aircraft sampled fresh ship emissions within the boundary layer, during flight legs at low altitudes ( $< 200$  m). Fresh ship emissions were sampled less than 4 h after emission. In addition to the single plume flights, the 19 July 2012 ACCESS flight targeted aged ship emissions in the marine boundary layer near Trondheim. Data collected during [these 4 the 11 and 12 July 2012 flights](#) are used to derive emissions from operating ships ([Sect. 4](#)), and data from the 4 flights (11, 12, 19 and 25 July 2012) are used to evaluate regional chemical transport simulations investigating the impacts of shipping in northern Norway ([Sect. 5](#)). Other flights from the ACCESS campaign were not used in this study because their flight objectives biased the measurements towards other emissions sources (e.g. oil platforms in the Norwegian Sea) or because they included limited sampling in the boundary layer (flights north to Svalbard and into the Arctic free troposphere, Roiger et al., 2015).

### 3 Modeling tools

#### 3.1 FLEXPART-WRF and WRF

Plume dispersion simulations are performed with FLEXPART-WRF for the 4 ships presented in Table 1, in order to estimate their emissions of  $\text{NO}_x$  and  $\text{SO}_2$ . FLEXPART-WRF (Brioude et al., 2013) is a version of the Lagrangian particle dispersion model FLEXPART (Stohl et al., 2005), driven by meteorological fields from the mesoscale weather forecasting model WRF (Skamarock et al., 2008). In order to drive FLEXPART-WRF, a meteorological simulation was performed with WRF version 3.5.1, from 4 to 25 July 2012, over the domain presented in Fig. 1a. The domain ( $15 \text{ km} \times 15 \text{ km}$  horizontal resolution with 65 vertical eta levels between the surface and 50 hPa) covers most of northern Norway ( $\sim 62$  to  $75^\circ \text{ N}$ )

and includes the region of all ACCESS flights focused on ship emissions. The first week of the simulation (4 to 10 July included) is used for model spin up. WRF options and parameterizations used in these simulations are shown in Table 2. Meteorological initial and boundary conditions are obtained from the ~~final~~ ~~(FNL-FNL (abbreviation for "final"))~~ analysis from NCEP (National Centers for Environmental Prediction). The simulation is also nudged to FNL winds, temperature and humidity every 6 h. This WRF meteorological simulation is referred to as the MET simulation.

~~Moving-ship~~ ~~Ship~~ emissions are represented in the FLEXPART-WRF plume dispersion simulations as moving  $2\text{ m} \times 2\text{ m} \times 2\text{ m}$  box sources, whose locations are updated every 10 s along the ship trajectory (routes shown ~~on-in~~ Fig. 1b). 1000 particles are released every 10 s into these volume sources, representing a constant emission flux with time of an inert tracer. During the ACCESS flights, targeted ships were moving at relatively constant speeds during the  $\sim 3$  h of the flight, meaning that fuel consumption and emission fluxes are likely to be constant during the flights if environmental conditions (wind speed, waves and currents) were not varying strongly. FLEXPART-WRF takes into account a simple exponential decay using a prescribed lifetime. In our case, the lifetime of  $\text{NO}_x$  relative to ~~their~~ reaction with OH was estimated using results from WRF-Chem simulations presented in Sect. 3.2. Specifically, we use OH concentrations, temperature and air density from the CTRL3 simulation (~~see~~ Sects. 3.2 and 5.1). The  $\text{NO}_x$  lifetime was estimated to be 12 h on 11 July, 5 h on 12 July. The  $\text{SO}_2$  lifetime was not taken into account, consistent with the findings of Lee et al. (2011), who reported a lifetime of  $\sim 20$  h over the mid-Atlantic during summer, which is significantly longer than the ages of plumes measured during ACCESS. The FLEXPART-WRF output consists of particle positions, each associated with a pollutant mass; these particles are mapped onto a 3-D output grid ( $600\text{ m} \times 600\text{ m}$ , with 18 vertical levels between 0 and 1500 m a.s.l.) to derive fields of volume mixing ratios every minute. Since emissions are assumed to be constant with time, and since our simulations only take into account transport processes depending linearly on concentrations, the intensity of these mixing ratio fields also depend linearly on the emission strength chosen for the simulation. Therefore,

the model results can be scaled ~~a posteriori~~ a posteriori to represent any constant emission flux value.

Ship emissions can continue to rise after leaving the exhaust, due to their vertical momentum and buoyancy. This was taken into account in the FLEXPART-WRF simulations by calculating effective injection heights for each targeted ship, using a simple plume rise model (Briggs, 1965). This model takes into account ambient temperature and wind speed, as well as the volume flow rate and temperature at the ship exhaust, to calculate a plume injection height above the ship stack. Ambient temperature and wind speed values at each ship's position are obtained from the WRF simulation. We use an average of measurements by Lyranen et al. (1999) and Cooper (2001) ~~as for~~ the exhaust temperature of the 4 targeted ships (350 °C). The volume flows at the exhaust are derived for each ship using CO<sub>2</sub> emissions from the STEAM2 ship emission model (STEAM 2 emissions described in Sect. 3.3). Specifically, CO<sub>2</sub> emissions from STEAM2 for the 4 targeted ships are converted to an exhaust gas flow based on the average composition of ship exhaust gases measured by Cooper (2001) and Petzold et al. (2008). Average injection heights, including stack heights and plume rise, are found to be approximately 230 m for the *Costa Deliziosa*, 50 m for the *Wilson Nanjing*, 30 m for the *Wilson Leer* and 65 m for the *Alaed*. In order to estimate the sensitivity of plume dispersion to these calculated injection heights, two other simulations are performed for each ship, where injection heights are decreased and increased by 50%. Details of the FLEXPART-WRF runs and how they are used to estimate emissions are presented in Sect. 4.

## 3.2 WRF-Chem

In order to estimate the impacts of shipping on air quality and radiative effects in northern Norway, simulations are performed using the 3-D chemical transport WRF-Chem (Weather Research and Forecasting model, including chemistry, Grell et al., 2005; Fast et al., 2006). WRF-Chem has been used previously by Molders et al. (2010) to quantify the influence of ship emissions on air quality in southern Alaska. Table 2 summarizes all the WRF-Chem options and parameterizations used in the present study, detailed briefly below. The gas



phase mechanism is the carbon bond mechanism, version Z (CBM-Z, Zaveri and Peters, 1999). The version of the mechanism used in this study includes dimethylsulfide (DMS) chemistry. Aerosols are represented by the 8 bin sectional MOSAIC (Model for Simulating Aerosol Interactions and Chemistry, Zaveri et al., 2008) mechanism. Aerosol optical properties are calculated by a Mie code within WRF-Chem, based on the simulated aerosol composition, concentrations and size distributions. These optical properties are linked with the radiation modules (aerosol direct effect), and this interaction also modifies the modeled dynamics and can affect cloud formation (semi-direct effect). The simulations also include cloud/aerosol interactions, representing aerosol activation in clouds, aqueous chemistry for activated aerosols, and wet scavenging within and below clouds. Aerosol activation changes the cloud droplet number concentrations and cloud droplet radii in the Morrison microphysics scheme, thus influencing cloud optical properties (first indirect aerosol effect). Aerosol activation in MOSAIC also influences cloud lifetime by changing precipitation rates (second indirect aerosol effect).

Chemical initial and boundary conditions are taken from the global chemical-transport model MOZART-4 (model for ozone and related chemical tracers version 4, Emmons et al., 2010). In our simulations, the dry deposition routine for trace gases (Wesely, 1989) was modified to improve dry deposition on snow, following the recommendations of Ahmadov et al. (2015). The seasonal variation of dry deposition was also updated to include a more detailed dependence of dry deposition parameters on land use, latitude and date, which was already in use in WRF-Chem for the MOZART-4 gas-phase mechanism. Anthropogenic emissions (except ships) are taken from the HTAPv2 (Hemispheric transport of air pollution, version 2) inventory ( $0.1^\circ \times 0.1^\circ$  resolution). Bulk VOCs are speciated ~~using emission profiles for the UK from~~ for both shipping and anthropogenic emissions, based on Murrels et al. (2010). Ship VOC emissions are speciated using the 'other transport' sector (transport emissions, excluding road transport) and anthropogenic VOC emissions are speciated using the average speciation for the remaining sectors. DMS emissions are calculated following the methodology of Nightingale et al. (2000) and Saltzman et al. (1993). The oceanic concentration of DMS in the Norwegian Sea in July, taken from Lana et al. (2011) is

$5.8 \times 10^{-6} \text{ mol m}^{-3}$ . Other biogenic emissions are calculated online by the MEGAN model (Guenther et al., 2006) within WRF-Chem. Sea salt emissions are also calculated online within WRF-Chem.

The WRF-Chem simulations performed in this study are summarized in Table 3. The CTRL simulation uses the settings and emissions presented above, as well as ship emissions produced by the model STEAM2 (Sect. 3.3). The NOSHIPS simulation is similar to CTRL, but does not include ship emissions. The NOSHIPS and CTRL simulations are carried out from 4 to 26 July 2012, over the  $15\text{ km} \times 15\text{ km}$  simulation domain presented in Fig. 1a. The CTRL3 and NOSHIPS3 simulations are similar to CTRL and NOSHIPS, but are run on a smaller  $3\text{ km} \times 3\text{ km}$  resolution domain, shown in Fig. 1b, from 10 to 13 July 2012. The CTRL3 and NOSHIPS3 simulations are not nudged to FNL and do not include a subgrid parameterization for cumulus due to their high resolution. Boundary conditions for CTRL3 and NOSHIPS3 are taken from the CTRL and NOSHIPS simulations (using one way nesting within WRF-Chem) and are updated every hour.

The CTRL and CTRL3 simulations are not nudged to the reanalysis fields in the boundary layer, in order to obtain a more realistic boundary layer structure. However, comparison with ACCESS meteorological measurements shows that on 11 July 2012 this leads to an overestimation of marine boundary layer wind speeds (normalized mean bias = +38%). Since wind speed is one of the most critical parameters in the FLEXPART-WRF simulations, we decided to drive FLEXPART-WRF with the MET simulation instead of using CTRL or CTRL3. In the MET simulation, results are also nudged to FNL in the boundary layer in order to reproduce wind speeds (normalized mean bias of +14% on 11 July 2012). All CTRL, NOSHIPS, CTRL3, NOSHIPS3 and MET simulations agree well with meteorological measurements during the other ACCESS ship flights.

### 3.3 High resolution ship emissions from STEAM2

STEAM2 is a high resolution, real time bottom-up shipping emissions model based on AIS positioning data (Jalkanen et al., 2012). STEAM2 calculates fuel consumption for each ship based on its speed, engine type, fuel type, vessel length, and propeller type. The

model can also take into account the effect of waves, and distinguishes ships at berth, maneuvering ships and cruising ships. Contributions from weather effects were not included in this study, however. The presence of AIS transmitters is mandatory for large ships (gross tonnage  $> 300$  t) and voluntary for smaller ships.

Emissions from STEAM2 are compared with emissions derived from measurements for individual ships in Sect. 4. STEAM2 emissions of CO, NO<sub>x</sub>, OC, BC (technically elemental carbon in STEAM2), sulfur oxides (SO<sub>x</sub>), SO<sub>4</sub>, and exhaust ashes are also used in the WRF-Chem CTRL and CTRL3 simulations. SO<sub>x</sub> are emitted as SO<sub>2</sub> in WRF-Chem, and NO<sub>x</sub> are emitted as 94 % NO, 6 % NO<sub>2</sub> (EPA, 2000). VOC emissions are estimated from STEAM2 CO emissions using a bulk VOC/CO mass ratio of 53.15 %, the ratio used in the Arctic ship inventory from Corbett et al. (2010). STEAM2 emissions were generated on a 5 km  $\times$  5 km grid every 30 min for the CTRL simulation, and on a 1 km  $\times$  1 km grid every 15 min for the CTRL3 simulation, and were regridded on the WRF-Chem simulation grids. Shipping emissions of NO<sub>x</sub>, SO<sub>2</sub>, black carbon, and organic carbon are presented in Fig. 2 for the 15 km  $\times$  15 km simulation domain (emissions totals during the simulation period are indicated within the figure panels). For comparison, the HTAPv2 emissions (without shipping emissions) are also shown. Ship emissions are, on average, located in main shipping lanes along the Norwegian coastline. However, they also include less traveled routes, which are apparent closer to shore. Other anthropogenic emissions are mainly located along the Norwegian coast (mostly in southern Norway) or farther inland and to the south in Sweden and Finland. Over the whole domain, NO<sub>x</sub> and OC emissions from shipping are approximately one third of total anthropogenic NO<sub>x</sub> and OC emissions, but represent a lower proportion of anthropogenic SO<sub>2</sub> and BC emissions (5 and 10 %, respectively). However, other anthropogenic emissions are not co-located with shipping emissions, which represent an important source further north along the coast, as many ships are in transit between European ports and Murmansk in Russia. Very strong SO<sub>2</sub> emissions in Russia are included in the model domain ([in the area highlighted in Fig. 2d](#)), associated with smelting activities that occur on the Russian Kola Peninsula ([Fig. 2d](#), Virkkula et al., 1997; Prank et al., 2010).

The Kola Peninsula emissions represent 79 % of the total HTAPv2 SO<sub>2</sub> emissions in the domain.

STEAM2 emissions are based on AIS signals that are transmitted to base stations on shore that have a limited range of 50–90 km, which explains why the emissions presented on Fig. 2 only represent near-shore traffic. In addition, our study is focused on shipping emissions in northern Norway, therefore STEAM2 emissions were only generated along the Norwegian coast. As a result, ship emissions in the northern Baltic and along the north-western Russian coast are not included in this study. However, these missing shipping emissions are much lower than other anthropogenic sources inside the model domain. Ship-In the CTRL and CTRL3 simulations, ship emissions are injected in altitude using the plume rise model presented in Sect. 3.1 ~~in the CTRL and CTRL3 simulations~~. Stack height and exhaust fluxes are unknown for most of the ships present in the STEAM2 emissions, which were not specifically targeted during ACCESS. For these ships, exhaust parameters for the *Wilson Leer* (~ 6000 gross tonnage) are used as a compromise between the smaller fishing ships (~ 40 % of Arctic shipping emissions, Winther et al., 2014), and larger ships like the ones targeted during ACCESS. In the CTRL3 simulation, the 4 ships targeted during ACCESS are usually alone in a 3 km × 3 km grid cell, which enabled us to treat these ships separately and to inject ~~them~~ their emissions in altitude using ~~their~~ individual exhaust parameters (Sect. 3.1). In the CTRL simulation, there are usually several ships in the same 15 km × 15 km grid cell, and the 4 targeted ships were treated in the same way together with all unidentified ships, using the exhaust parameters of the *Wilson Leer* and local meteorological conditions to estimate injection heights. This means that, for the *Costa Deliziosa*, *Alaed* and *Wilson Nanjing*, the plume rise model is used in CTRL with exhaust parameters from a smaller ship (the *Wilson Leer*) than in CTRL3. Because of this, emission injection heights for these ships are lower in CTRL (0 to 30 m) than in CTRL3 (230 m for the *Costa Deliziosa*, 50 m for the *Wilson Nanjing*, 30 m for the *Wilson Leer* and 65 m for the *Alaed*).

Primary aerosol emissions from STEAM2 (BC, OC, SO<sub>4</sub> and ash) are distributed into the 8 MOSAIC aerosol bins in WRF-Chem, according to the mass size distribution measured in the exhaust of ships equipped with medium-speed diesel engines by Lyyranen et al. (1999).

The submicron mode of this measured distribution is used to distribute primary BC, OC and  $\text{SO}_4^=$ , while the coarse mode is used to distribute exhaust ash particles (represented as “other inorganics” in MOSAIC).

## 4 Ship emission evaluation

In this section, emissions of  $\text{NO}_x$  and  $\text{SO}_2$  are determined for the 4 ships sampled during ACCESS flights (shown in Table 1). ~~First, we~~ We compare airborne measurements in ship plumes and concentrations predicted by FLEXPART-WRF plume dispersion simulations. In order to derive emission fluxes, good agreement between measured and modeled plume locations is required (discussed in Sect. 4.1). The methods, derived emissions values for the 4 ships, and comparison with STEAM2 emissions, are presented in Sect. 4.2.

### 4.1 Ship plume representation in FLEXPART-WRF and comparison with airborne measurements

FLEXPART-WRF plume dispersion simulations driven by the MET simulation are performed for the 4 ships sampled during ACCESS (Sect. 3.1). The MET simulation agrees well with airborne meteorological measurements on both days ~~in~~ (shown in the supplement, Fig. S1) in terms of wind direction (mean bias of  $-16^\circ$  on 11 July,  $+6^\circ$  on 12 July) and wind speed (normalized mean bias of  $+14\%$  on 11 July,  $-17\%$  on 12 July). Figure 3 shows the comparison between maps of the measured  $\text{NO}_x$  and plume locations predicted by FLEXPART-WRF. This figure also shows the typical meandering pattern of the plane during ACCESS, measuring the same ship plumes several times as they age, while moving further away from the ship (Roiger et al., 2015). ~~Modeled and measured plume locations agree well for all ships.~~ *Wilson Leer* and *Costa Deliziosa* plumes were sampled during two different runs at two altitudes on 11 July 2012, and presented in Fig. 3a and b ( $z = 49$  m) and Fig. 3c and d ( $z = 165$  m). During the second altitude level on 11 July (Fig. 3c and d) the *Wilson Leer* was farther south and the *Costa Deliziosa* had moved further north. Therefore, the plumes are farther apart than during the first pass at 49 m. Modeled and measured plume locations

agree well for the first run ( $z = 49$  m). For the second run ( $z = 165$  m), the modeled plume for the *Costa Deliziosa* is, on average, located 4.7 km to the west of the measured plume. This displacement is small considering that, at the end of this flight leg, the plume was being sampled  $\sim 80$  km away from its source. This displacement is caused by biases in the simulation (MET) used to drive the plume dispersion model ( $-16^\circ$  for wind direction,  $+14\%$  for wind speed). On 12 July 2012, the aircraft targeted emissions from the *Wilson Nanjing* ship (Fig. 3e and f), but also sampled the plume of another ship, the *Alaed*. This last ship was identified during the post-campaign analysis, and we were able to extract its location and emissions from the STEAM2 inventory in order to perform the plume dispersion simulations shown here. The  $\text{NO}_x$  and FLEXPART-WRF predicted plume locations are **again** in good agreement for both ships.

Modeled air tracer mixing ratios are interpolated in space and time to the aircraft location, and compared with airborne  $\text{NO}_x$  and  $\text{SO}_2$  measurements (Fig. 4). Each peak in Fig. 4 corresponds to the aircraft crossing the ship plume once during the meandering pattern before turning around for an additional plume crossing. Figure 4a and b only shows measurements for the first altitude level at  $z = 49$  m on 11 July 2012 (results for the second altitude level are shown in the supplement in Fig. S2). As expected from the comparison shown in Fig. 3, modeled peaks are co-located with measured peaks in Fig. 4. The model is also able to reproduce the gradual decrease of concentrations measured in the plume of the *Wilson Nanjing* on Fig. 4c–e, as the plane flies further away from the ship and the plume gets more dispersed. These peak concentrations vary less for the measured and modeled plume of the *Costa Deliziosa* (Fig. 4a and b). Measured plumes are less concentrated for the *Wilson Leer* since it is a smaller vessel, and for the *Alaed* because its emissions were sampled further away from their source.

## 4.2 Ship emission derivation and comparison with STEAM2

In this section, we describe the method for deriving ship emissions of  $\text{NO}_x$  and  $\text{SO}_2$  using FLEXPART-WRF and measurements. This method relies on the fact that in the FLEXPART-WRF simulations presented in Sect. 3.1, there is a linear relationship between the con-

stant emission flux of tracer chosen for the simulation and the tracer concentrations in the modeled plume. The only source of non-linearity that cannot be taken into account is changes in the emission source strength, which is assumed to be constant in time for the plumes sampled. Given that the ship and meteorological conditions were consistent during sampling (shown in the supplement, Fig. S1), we expect that these effects would be very small. In our simulations, this constant emission flux is picked at  $E = 0.1 \text{ kg s}^{-1}$  and is identical for all ships. This initial value  $E$  is scaled for each ship by the ratio of the measured and modeled areas of the peaks in concentration corresponding to plume crossings, as shown in Fig. 4. Equation (1) shows how  $\text{SO}_2$  emissions are derived by this method.

$$E_i = E \times \frac{\int_{t_i^{\text{begin}}}^{t_i^{\text{end}}} (\text{SO}_2(t) - \text{SO}_2\text{background}) dt}{\int_{t_i^{\text{begin}}}^{t_i^{\text{end}}} \text{Tracer}(t) dt} \times \frac{M_{\text{SO}_2}}{M_{\text{air}}} \quad (1)$$

In Eq. (1),  $\text{SO}_2(t)$  is the measured  $\text{SO}_2$  mixing ratio (pptpptv),  $\text{SO}_2\text{background}$  is the background  $\text{SO}_2$  mixing ratio for each peak,  $\text{Tracer}(t)$  is the modeled tracer mixing ratio interpolated along the ACCESS flight track (pptpptv),  $t_i^{\text{begin}}$  and  $t_i^{\text{end}}$  are the beginning and end time of peak  $i$  (modeled or measured, in s) and  $M_{\text{SO}_2}$  and  $M_{\text{air}}$  are the molar masses of  $\text{SO}_2$  and air ( $\text{g mol}^{-1}$ ). This method produces a different  $E_i$ - $\text{SO}_2$  emission flux value  $E_i$  ( $\text{kg s}^{-1}$ ) for each of the  $i = 1$  to  $N$  peaks corresponding to all the crossings of a single ship plume by the aircraft. These  $N$  different estimates are averaged together to reduce the uncertainty in the estimated  $\text{SO}_2$  emissions. A similar approach is used to estimate  $\text{NO}_x$  emissions.

The background mixing ratios were determined by applying a 30 s running average to the  $\text{SO}_2$  and  $\text{NO}_x$  measurements. Background values were then determined manually from the filtered time series. For each  $\text{NO}_x$  peak, an individual background value was identified and used to determine the  $\text{NO}_x$  enhancement for the same plume. For  $\text{SO}_2$ , a single background value was used for each flight leg (constant altitude).

In order to reduce sensitivity to the calculated emission injection heights, FLEXPART-WRF peaks that are sensitive to a  $\pm 50\%$  change in injection height are excluded from the analysis. Results are considered sensitive to injection heights if the peak area in tracer con-

centration changes by more than 50 % in the injection height sensitivity runs. Using a lower threshold of 25 % alters the final emission estimates by less than 6 %. Peaks sensitive to the calculated injection height typically correspond to samplings close to the ship, where the plumes are narrow. An intense SO<sub>2</sub> peak most likely associated with the *Costa Deliziosa* and sampled around 17:25 UTC on 11 July 2012 is also excluded from the calculations, because this large increase in SO<sub>2</sub> in an older, diluted part of the ship plume suggests contamination from another source. SO<sub>2</sub> emissions are not determined for the *Wilson Leer* and the *Alaed*, since SO<sub>2</sub> measurements in their plumes are too low to be distinguished from the background variability. For the same reason, only the higher SO<sub>2</sub> peaks (4 peaks > 1 ppbv) were used to derive emissions for the *Wilson Nanjing*. The number of peaks used to derive emissions for each ship is  $N = 13$  for the *Costa Deliziosa*,  $N = 4$  for the *Wilson Leer*,  $N = 8$  for the *Wilson Nanjing* ( $N = 4$  for SO<sub>2</sub>) and  $N = 5$  for the *Alaed*.

The derived emissions of NO<sub>x</sub> (equivalent NO<sub>2</sub> mass flux in kg day<sup>-1</sup>) and SO<sub>2</sub> are given in Table 4. The emissions extracted from the STEAM2 inventory for the same ships during the same time period are also shown. STEAM2 SO<sub>2</sub> emissions are higher than the value derived for the *Costa Deliziosa*, and lower than the value derived for the *Wilson Nanjing*, but NO<sub>x</sub> emissions from STEAM2 are higher than our calculations for all ships. In STEAM2, the NO<sub>x</sub> emission factor is assigned according to IMO MARPOL (marine pollution) Annex VI requirements (IMO, 2008) and engine revolutions per minute (RPM); but all engines subject to these limits must emit less NO<sub>x</sub> than this required value. For the *Wilson Leer*, two calculated values are reported: one calculated by averaging the estimates from the 4 measured peaks, and one value where an outlier value was removed before calculating the average. During the 11 July flight, the *Wilson Leer* was traveling south at an average speed of 4.5 m s<sup>-1</sup>, with relatively slow tailwinds of 5.5 m s<sup>-1</sup>. Because of this, the dispersion of this ship's plume on this day could be sensitive to small changes in modeled wind speeds, and calculated emissions are less certain more uncertain.

The most important difference between the inventory NO<sub>x</sub> and our estimates is ~ 150 % for the *Costa Deliziosa*. Reasons for large discrepancy in predicted and measured NO<sub>x</sub> emissions of *Costa Deliziosa* were investigated in more detail. A complete technical de-



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scription of *Costa Deliziosa* was not available, but her sister vessel *Costa Luminosa* was described at length recently (RINA, 2010). The details of *Costa Luminosa* and *Costa Deliziosa* are practically identical and allow in-depth analysis of emission modeling. With complete technical data, the STEAM2  $SO_x$  and  $NO_x$  emissions of *Costa Deliziosa* were estimated to be 2684 and 5243 kg day<sup>-1</sup>, respectively, whereas our derived estimates indicate 2399 and 2728 kg day<sup>-1</sup> (difference of +12 % for  $SO_x$  and +92 % for  $NO_x$ ). The good agreement for  $SO_x$  indicates that the power prediction at vessel speed reported in AIS and associated fuel flow is well predicted by STEAM2, but emissions of  $NO_x$  are twice as high as the value derived from measurements. In case of *Costa Deliziosa*, the  $NO_x$  emission factor of 10.5 g kWh<sup>-1</sup> for a Tier II compliant vessel with 500 RPM engine is assumed by STEAM2. Based on the measurements-derived value, a  $NO_x$  emission factor of 5.5 g kWh<sup>-1</sup> would be necessary, which is well below the Tier II requirements. It was reported recently (IPCO, 2015), that  $NO_x$  emission reduction technology was installed on *Costa Deliziosa*, but it is unclear whether this technology was in place during the airborne measurement campaign in 2012.

The case of *Costa Deliziosa* underlines the need for accurate and up-to-date technical data for ships when bottom-up emission inventories are constructed. It also necessitates the inclusion of the effect of emission abatement technologies in ship emission inventories. Furthermore, model predictions for individual vessels are complicated by external contributions, like weather and sea currents, affecting vessel performance. **A recent study by** However, the STEAM2 emission model is based on AIS real-time positioning data, which has a much better coverage than activity datasets used to generate older shipping emission inventories (e.g. COADS and AMVER). These earlier datasets also have known biases for ships of specific sizes or types. In addition, components of the STEAM2 inventory, such as fuel consumption, engine loads, and emission factors have already been studied in detail in the Baltic Sea by Jalkanen et al. (2009), Jalkanen et al. (2012) and Beecken et al. (2014)2015). Beecken et al. (2015) compared STEAM2  $SO_x$ , and PM emission factors to estimates based on airborne measurements for ~ 300 ships in the Baltic Sea and found, on average, no strong bias in. **Their results showed that, while important biases were possible**

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for individual ships, STEAM2 predictions of  $\text{NO}_x$ . In Beecken et al. (2014) the emission factors of  $\text{SO}_x$  and PM were shown to deviate from measurements mainly because of the differences in assumed and measured fuel sulfur content. Regardless of the differences, regional emission inventories performed much better on average for a large fleet. In the Baltic Sea, STEAM2  $\text{NO}_x$  emission factors were found to be biased by +4 % for passenger ships, based on 29 ships, and -11 % for cargo ships, based on 118 ships. For  $\text{SO}_x$ , the biases were respectively +1 % and +14 % for the same ships. Therefore, we expect that the large discrepancy in  $\text{NO}_x$  for one individual ship (the *Costa Deliziosa*) has only a small impact on the total regional emissions generated by STEAM2 can describe the geographical distribution of ship emissions accurately. The results presented later in Sect. 5.1 indicate that this is also likely true also indicate that STEAM2 likely performs better on average in the Norwegian Sea during ACCESS , despite the uncertainties than for individual ships.

### 4.3 Comparison of STEAM2 to other shipping emission inventories for northern Norway

We compare in Table 5 the July emission totals for  $\text{NO}_x$ ,  $\text{SO}_2$ , BC, OC and  $\text{SO}_4^-$  in northern Norway (latitudes 60.6 to 73° N, longitudes 0 to 31° W) for STEAM2 and 4 other shipping emission inventories used in previous studies investigating shipping impacts in the Arctic. We include emissions from the Winther et al. (2014), Dalsøren et al. (2009, 2007) and Corbett et al. (2010) inventories. The highest shipping emissions in the region of northern Norway are found in the STEAM2 and Winther et al. (2014) inventories, which are both based on 2012 AIS ship activity data (see Sect. 3.3 for a description of the methodology used for STEAM2). We note that, except for OC, the emissions are higher in the Winther et al. (2014) inventory because of the larger geographical coverage: Winther et al. (2014) used both ground based and satellite retrieved AIS signals, whereas the current study is restricted to data received by ground based AIS stations (capturing ships within 50 to 90 km of the Norwegian coastline). Despite lower coverage, the horizontal and temporal resolutions are better described in land based AIS networks than satellite AIS data. The terrestrial AIS data used in this study is thus more comparable to the spatial extent and temporal reso-

lution of the measurements collected close to the Norwegian coast. STEAM2 is the only inventory including sulfate emissions, which account for  $\text{SO}_2$  to  $\text{SO}_4^{=}$  conversion in the ship exhaust. Ship emissions from Dalsøren et al. (2009) and Corbett et al. (2010) are based on ship activity data from 2004, when marine traffic was lower than in 2012. Furthermore, the gridded inventory from Corbett et al. (2010) does not include emissions from fishing ships, which represent close to 40 % of Arctic shipping emissions (Winther et al., 2014). These emissions could not be precisely distributed geospatially using earlier methodologies, since fishing ships do not typically follow a simple course (Corbett et al., 2010). Dalsøren et al. (2007) emissions for coastal shipping in Norwegian waters are estimated based on Norwegian shipping statistics for the year 2000, and contain higher  $\text{NO}_x$ , BC and OC emissions, but less  $\text{SO}_2$ , than the 2004 inventories. This comparison indicates that earlier ship emission inventories usually contain lower emissions in this region, which can be explained by the current growth in shipping traffic in northern Norway. This means that up-to-date emissions are required in order to assess the current impacts of shipping in this region.

## 5 Modeling the impacts of ship emissions along the Norwegian coast

In this section, WRF-Chem, using STEAM2 ship emissions, is employed to study the influence of ship pollution on atmospheric composition along the Norwegian coast, at both the local (i.e. at the plume scale) and regional scale. As shown in Fig. 4, shipping pollution measured during ACCESS is inhomogeneous, with sharp  $\text{NO}_x$  and  $\text{SO}_2$  peaks in thin ship plumes, emitted into relatively clean background concentrations. The measured concentrations are on spatial scales that can only be reproduced using very high-resolution WRF-Chem simulations (a few kms of horizontal resolution), but such simulations can only be performed for short periods and over small domains. Therefore, high-resolution simulations cannot be used to estimate the regional impacts of shipping emissions. In order to bridge the scale between measurements and model runs that can be used to make conclusions about the regional impacts of shipping pollution, we compare in Sect. 5.1 WRF-

Chem simulations using STEAM2 ship emissions, at  $3\text{ km} \times 3\text{ km}$  resolution (CTRL3) and at  $15\text{ km} \times 15\text{ km}$  resolution (CTRL). Specifically, we show in Sect. 5.1 that both the CTRL3 and CTRL simulations reproduce the average regional influence of ships on  $\text{NO}_x$ ,  $\text{O}_3$  and  $\text{SO}_2$ , compared to ACCESS measurements. In Sect. 5.2 we use the CTRL simulation to quantify the regional contribution of ships to surface pollution and shortwave radiative fluxes in northern Norway.

### 5.1 ~~Local impacts of ship emissions and influence of model resolution~~Model evaluation from the plume scale to the regional scale

It is well known that ship plumes contain fine scale features that cannot be captured by most regional or global chemical transport models. This fine plume structure influences the processing of ship emissions, including  $\text{O}_3$  and aerosol formation, which are non-linear processes that largely depend on the concentration of species inside the plume. Some models take into account the influence of the instantaneous mixing of ship emissions in the model grid box by including corrections to the  $\text{O}_3$  production and destruction rates (Huszar et al., 2010) or take into account plume ageing before dilution by using corrections based on plume chemistry models (Vinken et al., 2011). Here, we take an alternative approach by running the model at a sufficient resolution to distinguish individual ships in the Norwegian Sea (CTRL3 run at  $3\text{ km} \times 3\text{ km}$  resolution), and at a lower resolution (CTRL run at  $15\text{ km} \times 15\text{ km}$  resolution). It is clear that a  $3\text{ km} \times 3\text{ km}$  horizontal resolution is not sufficiently small to capture all small scale plume processes. However, by comparing the CTRL3 simulation to ACCESS measurements, we show in this section that this resolution is sufficient to resolve individual ship plumes and to reproduce some of the plume macroscopic properties. The CTRL and CTRL3 simulations (~~see presented in~~ Table 3) are then compared to evaluate if nonlinear effects are important ~~in this case. We also evaluate the ability of WRF-Chem simulations with STEAM2 emissions to distinguish individual ship plumes and to predict their composition for this study period and region.~~

WRF-Chem results from CTRL and CTRL3 for surface ( $\sim 0$  to  $30\text{ m}$ )  $\text{NO}_x$  and  $\text{O}_3$  are shown in Fig. 5. On 11 and 12 July, the ~~Falcon 20~~aircraft specifically targeted plumes

from the *Wilson Leer*, *Costa Deliziosa*, *Wilson Nanjing* and, in addition, sampled emissions from the *Alaed*, identified later during the post-campaign analysis (see Fig. 3). All these ships are individually present in the STEAM2 emissions inventory (see Sect. 4 and Table 4). Emissions from these ships, as well as from other vessels traveling in that area, are clearly resolved in the CTRL3 model results for  $\text{NO}_x$  (Fig. 5a and e). Ship  $\text{NO}_x$  emissions are smoothed out in the CTRL run, seen in Fig. 5b and f, and the individual ship plumes cannot be clearly distinguished in the  $\text{NO}_x$  surface concentrations. The predicted surface  $\text{O}_3$  concentrations are shown in Fig. 5c, d, g, and h. On the 11 and 12 July 2012, titration of  $\text{O}_3$  by  $\text{NO}-\text{NO}_x$  from fresh ship emissions can be identified in Fig. 5c and g for the 3 km run (areas indicated by black arrows on Fig. 5c and g). However, evidence for  $\text{O}_3$  titration quickly disappears away from the fresh emissions sources. In contrast,  $\text{O}_3$  titration is not apparent in the CTRL run. However,  $\text{NO}_x$  and  $\text{O}_3$  patterns and average surface concentrations are very similar. This is illustrated in the lower panels, showing 2-day averaged  $\text{NO}_x$  and  $\text{O}_3$  enhancements due to ships in the CTRL3 (CTRL3 – NOSHIPS3) and CTRL (CTRL – NOSHIPS) simulations. The results show that changing the horizontal resolution from  $3\text{ km} \times 3\text{ km}$  ( $1\text{ km} \times 1\text{ km}$  emissions, 15 min emissions injection) to  $15\text{ km} \times 15\text{ km}$  ( $5\text{ km} \times 5\text{ km}$  emissions, 1 h emissions injection) does not have a large influence on the domain-wide average  $\text{NO}_x$  ( $-3.2\%$ ) or  $\text{O}_3$  ( $+0.08$  ppbv,  $+4.2\%$ ) enhancements due to ships. This is in agreement with earlier results by Cohan et al. (2006), who showed that regional model simulations at similar resolutions (12 km) were sufficient to reproduce the average  $\text{O}_3$  response. Results by Vinken et al. (2011) suggest that simulations at a lower resolution more typical of global models ( $2^\circ \times 2.5^\circ$ ) would lead to an overestimation of  $\text{O}_3$  production from ships in this region by 1 to 2 ppbv. The influence of model resolution on surface aerosol concentrations is also moderate, and  $\text{PM}_{10}$  due to ships are 15% lower on average in CTRL than in CTRL3 (not shown here).

To further investigate the ability of these different model runs to represent single ship plumes, we compare measured  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$  along the flight track on 11 July 2012 with WRF-Chem predictions (Fig. 6). [Corresponding results for 12 July 2012 are shown in the electronic supplement \(Fig. S3\).](#) Large enhancements of  $\text{NO}_x$  and  $\text{SO}_2$  are seen dur-

ing plume crossings in measurements, as already noted in Sect. 4. For comparison with WRF-Chem, we have averaged the measured data using a 56 s running average, equivalent to the aircraft crossing 6 km (2 model grid cells) at its average speed during this flight ( $107 \text{ m s}^{-1}$ ). Using a running average takes into account plume dilution in grid cells, as well as additional smoothing introduced when modeled results are spatially interpolated onto the flight track. The CTRL3 simulation captures both the width and magnitude of  $\text{NO}_x$  and  $\text{SO}_2$  peaks, suggesting that the individual plumes are correctly represented in space and time. During the second part of the flight (17:20 UTC), the model does not reproduce two intense measured  $\text{SO}_2$  peaks. We already noted in Sect. 4.2 that measurements in this part of the flight might be contaminated by another source. In contrast, the CTRL run has wider  $\text{NO}_x$  and  $\text{SO}_2$  peaks and lower peak heights concentrations, because of dilution in larger grids. ~~Both simulations have a tendency~~ Another difference between the simulations is the treatment of plume rise (Sect. 3.3), such that the *Costa Deliziosa* plume is located at lower altitudes in CTRL than in CTRL3. The CTRL3 simulation tends to overestimate  $\text{NO}_x$  in ship plumes, which is in agreement with the results shown in Table 4, indicating that STEAM2  $\text{NO}_x$  emissions are overestimated for the ships targeted during ACCESS. This overestimation is unlikely to be caused by chemistry issues, since an overestimated  $\text{NO}_x$  lifetime would lead to comparatively larger biases at the end of the constant altitude runs, when older parts of the plume were sampled. Figure 6b shows  $\text{O}_3$  during the same flight. The CTRL3 simulation reproduces the ozone variability better than the CTRL run, but both runs perform relatively well on average (mean bias =  $-3$  ppbv during the constant altitude legs). This negative bias is due to a small underestimation in the background ozone, which could be caused by a number of reasons, including the boundary chemical conditions from the MOZART4 model, photolysis rates, cloud properties and locations, ozone deposition, and/or emissions. Both measurements and CTRL3 results show evidence of  $\text{O}_3$  titration in the most concentrated  $\text{NO}_x$  plumes, where ozone is 1.5 to 3 ppbv lower than out of the plumes. However, precise quantification of this titration is difficult because these values are the same order of magnitude as the spatial variability of  $\text{O}_3$  outside of the plumes.  $\text{O}_3$  titration is not apparent in the CTRL run. Results are similar for the 12 July 2012 flight

(shown in the electronic supplement, Fig. S3), with lower model biases for O<sub>3</sub> but a stronger overestimation of NO<sub>x</sub>.

In order to evaluate modeled aerosols in ship plumes, modeled aerosols are evaluated using size distributions measured during the 11 July 2012 flight. Size distributions are integrated to estimate submicron aerosol mass (PM<sub>1</sub>), assuming a density of 1700 kg m<sup>-3</sup> and spherical particles. This indicates that observed PM<sub>1</sub> enhancements in plumes (~ 0.1 to 0.5 μg m<sup>-3</sup>) are relatively low compared to background PM<sub>1</sub> (~ 0.7 to 1.1 μg m<sup>-3</sup>), because of the presence of high sea salt concentrations in the marine boundary layer (54 % of the modeled background PM<sub>1</sub> during ship plume sampling is sea salt in NOSHIPS3). Because of this, comparing modeled and observed in-plume PM<sub>1</sub> directly would be mostly representative of background aerosols, especially sea salt, which is not the focus of this paper. Figure 7 shows the comparison between modeled and measured enhancements in PM<sub>1</sub> in the plume of the *Costa Deliziosa* (11 July 2012), removing from the model and measurements the contribution from sea salt and other aerosols not associated with shipping. Similarly to Fig. 6, a 56 s moving average was applied to the measurement (representing plume dilution in the model grid). This comparison indicates a generally good agreement between modeled and measured PM<sub>1</sub> enhancements in ship plumes. There is a discrepancy between the model and the measurements for the first 2 PM<sub>1</sub> plumes measured close to the ships (around 16:05 UTC), which could be an artifact of the limited resolution of this simulation (3 km). If these peaks are excluded, the model slightly overestimates peak PM<sub>1</sub> enhancements in ship plumes (+26 %). Since this enhancement is modeled as 80 % SO<sub>4</sub><sup>-</sup>, this overestimation can be linked to the +37 % overestimation of SO<sub>2</sub> emissions for the *Costa Deliziosa* in STEAM2 (see Table 4).

Analysis of O<sub>3</sub> maps, average surface enhancements due to ships (Fig. 5) and analysis of model results along flight tracks (Fig. 6) show that both runs capture the NO<sub>x</sub> and O<sub>3</sub> concentrations in this region reasonably well. Furthermore, Fig. 7 shows that PM<sub>1</sub> enhancements in ship plumes are well reproduced in the CTRL3 simulation, and we found that PM<sub>10</sub> production from ships over the simulation domain was not very sensitive to resolution. This suggests that the CTRL simulation is sufficient to assess the impacts of ship emis-

sions at a larger scale during July 2012. This is investigated further by comparing modeled  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$  in the CTRL and NOSHIPS simulations with the average vertical profiles (100–1500–200–1500 m) measured during 4 ACCESS flights from 11 to 25 July 2012 (flights shown in Fig. 1a); this comparison is shown in Fig. 8. Modeled vertical profiles of  $\text{PM}_{2.5}$  are also shown in Fig. 8. This comparison allows us to estimate how well CTRL represents the average impact of shipping over a larger area and a longer period.

Figure 8 shows that the NOSHIPS simulation significantly underestimates  $\text{NO}_x$  and  $\text{SO}_2$ , and moderately underestimates  $\text{O}_3$  along the ACCESS flights, indicating that ship emissions are needed to improve the agreement between the model and observations. In the CTRL simulation,  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$  vertical structure and concentrations are generally well reproduced, with normalized mean biases of +6.914.2, -10.76.8 and -7.57.0 % respectively. Correlations between modeled (CTRL) and measured profiles are significant for  $\text{NO}_x$  and  $\text{O}_3$  ( $r^2=0.94$  and  $0.95$ ). ~~The correlation is lower~~  $r^2=0.82$  and  $0.90$ ). ~~However, the correlation is very low~~ between measured and modeled  $\text{SO}_2$  ( $r^2=0.52$ ) ~~but it is~~  $r^2=0.02$ , ~~and it is not~~ improved compared to the NOSHIPS simulation ( $r^2=0.35$ ). Ships have the largest influence on  $\text{NO}_x$  and  $\text{SO}_2$  profiles, a moderate influence on  $\text{O}_3$  and do not strongly influence  $\text{PM}_{2.5}$  profiles along the ACCESS flights. ~~However, this small increase in~~  $\text{PM}_{2.5}$  ~~corresponds to a larger relative increase in sulfate concentrations and in particle numbers in the size ranges typically activated as cloud condensation nuclei (shown in Fig. S4 in the supplement).~~

$\text{NO}_x$  concentrations are overestimated in the parts of the profile strongly influenced by shipping emissions. This is in agreement with the findings of Sect. 4.2, showing that STEAM2  $\text{NO}_x$  emissions were overestimated for the ships sampled during ACCESS. However, the CTRL simulation performs well on average, suggesting that the STEAM2 inventory is able to represent the average  $\text{NO}_x$  emissions from ships along the northern Norwegian coast during the study period. The bias found for  $\text{SO}_2$  is especially very low compared to ~~the results presented in the multi-model study of results from~~ Eyring et al. (2007), which showed that global models significantly underestimated  $\text{SO}_2$  in the polluted marine boundary layer in July. Since aerosols from ships contain mostly secondary sulfate formed from



SO<sub>2</sub> oxidation, the validation of modeled SO<sub>2</sub> presented in Fig. 8 [also](#) gives some confidence in our [aerosol](#) results compared to earlier studies investigating the air quality and radiative impacts of shipping aerosols. We therefore use the 15 km × 15 km CTRL run for further analysis of the regional influence of ships on pollution and the shortwave radiative effect in this region in Sect. 5.2.

## 5.2 Regional influence of ship emissions in July 2012

### 5.2.1 Surface air pollution from ship emissions in northern Norway

The regional scale impacts of ships on surface atmospheric composition in northern Norway are estimated by calculating the 15 day (00:00 UTC, 11 July 2012 to 00:00 UTC, 26 July 2012) average difference between the CTRL and NOSHIPS simulations. Figure 9 shows maps of these anomalies at the surface, for SO<sub>2</sub>, NO<sub>x</sub> ~~and~~ O<sub>3</sub> ~~and BG~~. Ship emissions have the largest influence on surface NO<sub>x</sub> [and](#) SO<sub>2</sub> concentrations, with 75 to 100 % increases along the coast. ~~This leads to average~~ [Average](#) O<sub>3</sub> increases from shipping [of are](#) [~ 6 %](#) ([~ 1.5 ppbv](#)) in the coastal regions, with slightly lower enhancements ([~ 1 ppbv](#), [~ 4 %](#),) further inland over Sweden.

Dalsøren et al. (2007) studied the impact of maritime traffic in northern Norway in summer using ship emission estimates for the year 2000. They found, for July 2000, a 1 to 1.5 % increase in surface O<sub>3</sub> from coastal shipping in Norwegian waters. However, unlike the present study, the estimate of [Dalsøren Dalsøren](#) et al. (2007) did not include the impact of international transit shipping along the Norwegian coast. Our estimated impact on O<sub>3</sub> in this region (6 % and 1.5 ppbv increase) is about half of the one determined by Ødemark et al. (2012) (12 % and 3 ppbv), for the total Arctic fleet in the summer (JAS) 2004, using ship emissions for the year 2004 from Dalsøren et al. (2009). It is important to note that we expect lower impacts of shipping in studies based on earlier years, because of the continued growth of shipping emissions along the Norwegian coast (as discussed in Sect. 4.3 ~~see also~~ [and illustrated in](#) Table 5). However, stronger or lower emissions do not seem to completely explain the different modeled impacts. Ødemark et al. (2012) found that Arc-

tic ships had a strong influence on surface  $O_3$  in northern Norway for relatively low 2004 shipping emissions. This could be explained by the different processes included in both models, or by different meteorological situations in the two studies based on two different meteorological years (2004 and 2012). However, it is also likely that the higher  $O_3$  in the Ødemark et al. (2012) study could be caused, in part, by nonlinear effects associated with global models ~~running-run~~ at low resolutions. For example Vinken et al. (2011) estimated that instant dilution of shipping  $NO_x$  emissions in  $2^\circ \times 2.5^\circ$  model grids leads to a 1 to 2 ppbv overestimation in ozone in the Norwegian and Barents seas during July 2005. This effect could explain a large part of the difference in  $O_3$  enhancements from shipping between the simulations of Ødemark et al. (2012) ( $2.8^\circ \times 2.8^\circ$  resolution) and the simulations presented in this paper ( $15\text{ km} \times 15\text{ km}$  resolution).

The impact ~~on~~ of ships in northern Norway ~~;~~ ~~also on surface~~  $PM_{2.5}$ ,  $BC$  and  $SO_4^-$  ~~during the same period is~~ shown in Fig. 9, 10. ~~The impact on~~  $PM_{2.5}$  is relatively modest ~~during this period, up to 0.75, less than 0.5~~  $\mu\text{g m}^{-3}$ . However, these values correspond to an important relative increase of  $\sim 10\%$  over inland Norway and Sweden because of the low background  $PM_{2.5}$  in this region. Over the sea surface, the relative effect of ship emissions is quite low because of higher sea salt aerosol background. Aliabadi et al. (2014) have observed similar increases in  $PM_{2.5}$  ( $0.5$  to  $1.9\ \mu\text{g m}^{-3}$ ) in air masses influenced by shipping pollution in the remote Canadian Arctic. In spite of the higher traffic in northern Norway, we find lower values ~~than Aliabadi et al. (2014)~~ because results in Fig. 9-10 are smoothed by the 15 day average. Impacts on surface sulfate and BC concentrations are quite large, reaching up to 20% and 50% respectively. We note that Eckhardt et al. (2013) have found enhancements in summertime equivalent BC of 11% in Svalbard from cruise ships alone. As expected, absolute  $SO_4^-$  and BC enhancements in our simulations are higher in the southern part of the domain, where ship emissions are the strongest. ~~Given its~~ We estimated the lifetime (residence time) of BC originating from ship emissions using the method presented in Samset et al., 2014. This residence time is defined as the ratio of the average BC burden from ships divided by the average BC emissions in STEAM2 during the simulation. Using this method, we find a BC lifetime of 1.4 days. This short lifetime can

be explained by the negative sea level pressure anomalies over Northern Norway during the ACCESS campaign (Roiger et al., 2015), which indicates more rain and clouds than normal during summer. Given this short lifetime, BC is not efficiently transported away from the source region.

## 5.2.2 Shortwave radiative effect of ship emissions in northern Norway

The present-day climate effect of ship emissions is mostly due to aerosols, especially sulfate, which cool the climate through their direct and indirect effects (Capaldo et al., 1999). However, large uncertainties still exist concerning the magnitude of the aerosol indirect effects (Boucher et al., 2013). In this section, we determine the total shortwave radiative effect of ships by calculating the difference between the top-of-atmosphere (TOA) upwards shortwave (0.125 to 10  $\mu\text{m}$  wavelengths) radiative flux in the CTRL and the NOSHIPS simulations. Since the CTRL and NOSHIPS simulations take into account aerosol/radiation interactions and their feedbacks (the so-called direct and semi-direct effects) as well as cloud/aerosol interactions (indirect effects), this quantity represents the sum of modeled direct, semi-direct and indirect effects from aerosols associated with ship emissions. Yang et al. (2011) and Saide et al. (2012) showed that including cloud aerosol couplings in WRF-Chem improved significantly the representation of simulated clouds, indicating that the indirect effect was relatively well simulated using CBM-Z/MOSAIC chemistry within WRF-Chem. Our calculations do not include the effect of BC on snow, since this effect is not currently included in WRF-Chem.

The shortwave radiative effect at TOA of in-domain ship emissions is  $-1.77 \text{ W m}^{-2}$  (15 day average). ~~Averaged over the surface~~ We multiply this value by the area of our simulation domain to obtain a forcing value in W, and divide it by the surface area of the Earth ; this value corresponds to an equivalent shortwave radiative effect at the global scale at TOA of in order to obtain an equivalent global radiative effect in  $\text{m W m}^{-2}$  that can be compared to results from global studies. This equivalent global radiative effect at TOA is  $-9.3 \text{ m W m}^{-2}$ . It is This value is strongly negative, indicating that ship emissions cause a net cooling effect in this region (likely due to sulfate) despite the strong relative increase in

BC concentrations from shipping emissions (up to +50%, Fig. 10). This can be explained by the fact that these strong relative enhancements in BC correspond to low absolute values (at most  $20 \text{ ng m}^{-3}$ ) above very low background concentrations.

The radiative effect calculated in this study,  $-9.3 \text{ m W m}^{-2}$ , is similar to the estimate by Ødemark et al. (2012), who found a direct and indirect shortwave effect of aerosols from Arctic-wide shipping in July 2004 of  $-10.4 \text{ m W m}^{-2}$ . However, since the present study only represents the effect of shipping along the Norwegian coast, this implies that current ship emissions in northern Norway have a stronger effect in this study than in Ødemark et al. (2012), which was based on ship emissions from Dalsøren et al. (2009) corresponding to 24% less  $\text{SO}_2$  emissions than STEAM2. Higher emissions in our simulations could partly explain the stronger local shortwave effect of Arctic ships, since this effect is mostly associated with the direct and indirect effect of sulfate aerosols. However, the total sulfate column due to ship emissions in our study is 100 to  $200 \mu\text{g m}^{-2}$  along the Norwegian coast, half of the value (250 to  $300 \mu\text{g m}^{-2}$ ) found by Ødemark et al. (2012). This means that the stronger forcing effect found here is not due to increased sulfate concentrations from larger emissions, but is likely due to the way aerosol/cloud interactions are treated in both models: the indirect aerosol effect was calculated by Ødemark et al. (2012) based on parameterizations of the relationship between clouds droplet numbers and aerosol mass, whereas the MOSAIC aerosol module used in this study explicitly treats aerosol activation within clouds and the impacts on cloud properties (Yang et al., 2011). It is also important to note here that the indirect radiative effect of shipping emissions is uncertain and that the difference between the estimate of Ødemark et al. (2012) and the one in this work can also be explained by these uncertainties. Based on the work of Eyring et al. (2007), Lauer et al. (2007), and Fuglestedt et al. (2008), Eyring et al. (2010) estimated that the radiative forcing of global shipping emissions was  $-0.408 \text{ W m}^{-2}$ , but found an uncertainty range of  $\pm 0.425 \text{ W m}^{-2}$ . Ødemark et al. (2012) considered that the uncertainty in the indirect effect in their simulations was the same as the uncertainty in the global indirect forcing of aerosols as estimated by the IPCC (Forster et al., 2007, Table 2.12). Using this method, Ødemark et al. (2012) estimated a range of  $[-3.9 \text{ m W m}^{-2}, -1.3 \text{ m W m}^{-2}]$  for

the global and annual indirect effect of Arctic shipping emissions. It is important to better understand and constrain this effect, which would require more aerosol measurements in shipping lanes (including number concentrations and aerosol compositions in ship plumes) and more model case studies.

## 6 Conclusions

The focus of this work, linking modeling and measurements, is to better quantify regional atmospheric impacts of ships in northern Norway in July 2012. The study relies on measurements from the ACCESS aircraft campaign, emissions evaluation and regional modeling in order to evaluate both individual ship plumes and their regional scale effects. STEAM2 emissions, which represent individual ships based on high-resolution AIS ship positioning data, are compared with emissions for specific ships derived from measurements and plume dispersion modeling using FLEXPART-WRF. Regional WRF-Chem simulations run with and without ship emissions are performed at two different resolutions to quantify the surface air quality changes and radiative effects from ship emissions in northern Norway in July 2012. The most important conclusions from our study are:

1. *Validation of the STEAM2 emissions* – Emissions of  $\text{NO}_x$  and  $\text{SO}_2$  are determined for individual ships, by comparing airborne measurements with plume dispersion modeling results. These calculated emissions are compared with bottom-up emissions determined for the same ships by the STEAM2 emission model. Results show that STEAM2 overestimates  $\text{NO}_x$  emissions for the 4 ships sampled during ACCESS.  $\text{SO}_2$  emissions are also determined for two ships. Large biases are possible for individual ships in STEAM2, especially for ships for which there is incomplete technical data or where emission reduction techniques have been employed. Nevertheless, regional combining WRF-Chem simulations using and STEAM2 emissions agree well on average with ACCESS airborne measurements leads to reasonable predictions of  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$  during ship flights, indicating that compared to ACCESS profiles in the lower troposphere (normalized mean biases of +14.2, -6.8 and

–7.0% respectively). These results also indicate that shipping emissions comprise a significant source of  $\text{NO}_x$  and  $\text{SO}_2$  at low altitudes during the ACCESS flights, even though specific ship plume sampling near the surface was excluded from these profiles. Pollution sampled during these flights thus represents shipping pollution that had time to mix vertically in the marine boundary layer and is more representative of the regional pollution from shipping in Northern Norway. This result is in agreement with the recent evaluation of STEAM2 ~~represents reasonably well average emissions from shipping along the coast of northern Norway in summer 2012.~~ in the Baltic Sea by Beecken et al. (2015), which showed that STEAM2 performed well for an average fleet (~200 ships), despite biases for individual ships.

2. *Regional model representation of ship plumes and their local scale influence* – WRF-Chem runs including shipping emissions from STEAM2 are performed at  $15\text{ km} \times 15\text{ km}$  and  $3\text{ km} \times 3\text{ km}$  horizontal resolutions, and compared with airborne measurements of  $\text{NO}_x$  and ozone. The high-resolution simulation is better at reproducing measured  $\text{NO}_x$  peaks and suggests some ozone titration in ship plumes, but the  $\text{NO}_x$  and ozone enhancements due to ships in both simulations are within less than 5% of each other when averaged over the whole domain and simulation period. The  $3\text{ km} \times 3\text{ km}$  simulation also reproduces observed  $\text{PM}_{10}$  enhancements in ship plumes. Surface  $\text{PM}_{10}$  enhancements due to ships are 15% higher in the  $3\text{ km} \times 3\text{ km}$  resolution simulation.
3. *Average influence of ship pollution in July 2012* – The difference between runs with and without ship emissions are compared with campaign average profiles (excluding flights focused on oil platforms, smelters, and biomass burning emissions from outside the simulation domain). Including STEAM2 emissions reduces the mean bias between measured and modeled trace gases  $\text{NO}_x$ ,  $\text{SO}_2$  and  $\text{O}_3$ . At the surface, ship emissions enhance 15 day averaged concentrations along the Norwegian coast by approximately 80% for  $\text{NO}_x$ , 80% for  $\text{SO}_2$ , 5% for  $\text{O}_3$ , 40% for BC and 10% for  $\text{PM}_{2.5}$  suggesting that these emissions are already having an impact on atmospheric composition in this

region. Regional model results presented in this study predict lower ozone production from ships compared to certain earlier studies using global models. However, it is known that global models run at low resolution tend to overestimate ozone production (underestimate ozone titration) from fresh ship emissions because of nonlinearities introduced when diluting concentrated emissions from ships into coarse model grid cells.

4. *Influence on the radiative budget* – Northern Norwegian ship emissions contribute  $-9.3 \text{ m W m}^{-2}$  to the global shortwave radiative budget of ship emissions, including semi-direct and indirect effects. These results are more significant than found previously in a study using a global model that did not explicitly resolve aerosol activation in clouds. This suggests that global models may be underestimating the radiative impacts of shipping in this region.

Our study shows that local shipping emissions along the northern Norwegian coast already have a significant influence on regional air quality and aerosol shortwave radiative effects. As Arctic shipping continues to grow and new regulations are implemented, the magnitude of these impacts is expected to change. Due to the limited region (northern Norway) and the short time scale (15 days) considered here, it is not possible to assess the radiative effect of other climate forcings associated with shipping in northern Norway, including  $\text{O}_3$  and  $\text{CH}_4$ , which global model studies have suggested are also significant (Dalsøren et al., 2013; Ødemark et al., 2012). However, since shipping emissions are highly variable and localized, quantifying impacts using global models can be challenging. Our approach used a regional chemical-transport model at different scales, with high-resolution ship emissions, to evaluate model results against observations and estimate the regional impact of shipping emissions. In the future, additional work is needed in other regions and at different spatial scales (measurements and modeling) in order to investigate the impacts of shipping over the wider Arctic area.

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**Table 1.** Description of the ships sampled during the ACCESS flights on 11 and 12 July 2012.

Ship name	Vessel type	Gross tonnage (tons)	Fuel type
<i>Wilson Leer</i>	Cargo ship	2446	Marine gas oil
<i>Costa Deliziosa</i>	Passenger ship	92 720	Heavy fuel oil
<i>Wilson Nanjing</i>	Cargo ship	6118	Heavy fuel oil
<i>Alaed*</i>	Cargo ship	7579	Heavy fuel oil

\* Ship present in STEAM2, not targeted during the campaign.



**Table 2.** Parameterizations and options used for the simulations.

Atmospheric process	WRF-Chem option
Planetary Boundary Layer	MYNN (Nakanishi and Niino, 2006)
Surface layer	MM5 <del>Monin–Obukhov</del> <u>Similarity</u> scheme, Carlson–Boland viscous sublayer ( <u>Zhang and Anthes, 1982</u> ; Carlson and Boland, 1978)
Land surface	Unified Noah land-surface model (Chen and Dudhia, 2001)
Microphysics	Morrison (Morrison, Thompson and Tatarskii, 2009)
Shortwave radiation	Goddard (Chou and Suarez, 1999)
Longwave radiation	RRTM (Mlawer et al., 1997)
Cumulus parameterization	<del>Grell-3</del> <u>Grell-3D</u> (Grell and Devenyi, 2002)
Photolysis	Fast-J (Wild et al., 2000)
Gas phase chemistry	CBM-Z (Zaveri and Peters, 1999)
Aerosol model	MOSAIC 8 bins (Zaveri et al., 2008)

**Table 3.** Description of WRF and WRF-Chem simulations.

Name	Description	Period	Remarks
MET	WRF meteorological simulation, 15 km × 15 km resolution (d01)	4–25 July 2012	Nudged to FNL <sup>+</sup>
CTRL	WRF-Chem simulation, HTAPv2 anthropogenic emissions, STEAM2 ship emissions, online MEGAN biogenic emissions, online DMS and sea salt emissions, 15 km × 15 km horizontal resolution (d01)	4–25 July 2012	Nudged to FNL in the free troposphere only
NOSHIPS	CTRL without STEAM2 emissions, 15 km × 15 km horizontal resolution (d01)	4–25 July 2012	Nudged to FNL in the free troposphere only
CTRL3	CTRL setup and emissions, 3 km × 3 km horizontal resolution (d02)	10–12 July 2012	Boundary conditions from CTRL No nudging No cumulus parameterization
NOSHIPS3	NOSHIPS setup and emissions, 3 km × 3 km horizontal resolution (d02)	10–12 July 2012	Boundary conditions from NOSHIPS No nudging No cumulus parameterization

**Table 4.** NO<sub>x</sub> and SO<sub>2</sub> emissions estimated from FLEXPART-WRF and ACCESS measurements, compared with STEAM2 emissions. Values in parentheses indicate the relative difference between STEAM2 and calculated values. SO<sub>2</sub> emissions were not calculated for the *Wilson Leer* and *Alaed* since the measured SO<sub>2</sub> concentrations in the plumes were too low above background.

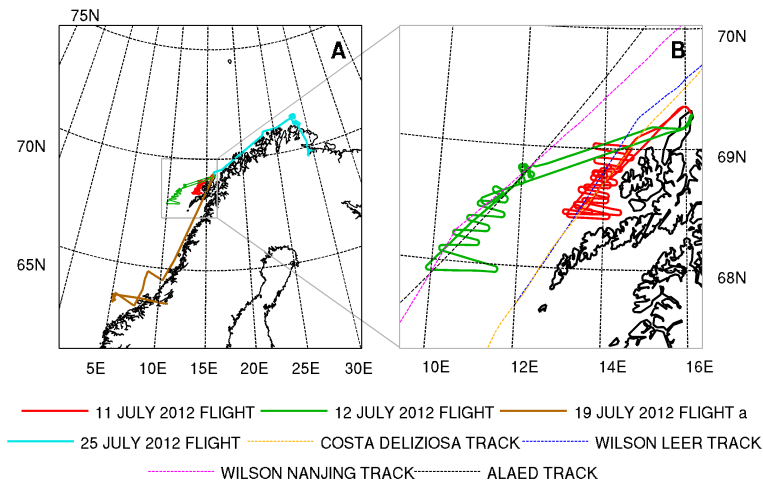
Ship name	NO <sub>x</sub> calculated from measurements (kg day <sup>-1</sup> )	NO <sub>x</sub> from STEAM2 (kg day <sup>-1</sup> )	SO <sub>2</sub> calculated from measurements (kg day <sup>-1</sup> )	SO <sub>x</sub> from STEAM2 (kg day <sup>-1</sup> )
<i>Costa Deliziosa</i>	2728	6767/5243 <sup>a</sup> (+148/+92 % <sup>a</sup> )	2399	3285/2684 <sup>a</sup> (+37/+12 % <sup>a</sup> )
<i>Wilson Leer</i>	167/82 <sup>b</sup>	287 (+72/+250 % <sup>b</sup> )	NA	88 (NA)
<i>Wilson Nanjing</i>	561	602 (+7 %)	504	219 (-57 %)
<i>Alaed</i>	1362	1809 (+33 %)	NA	1130 (NA)

<sup>a</sup> The second value corresponds to STEAM2 calculations using complete technical data from the *Costa Deliziosa* sister ship *Costa Luminosa*.

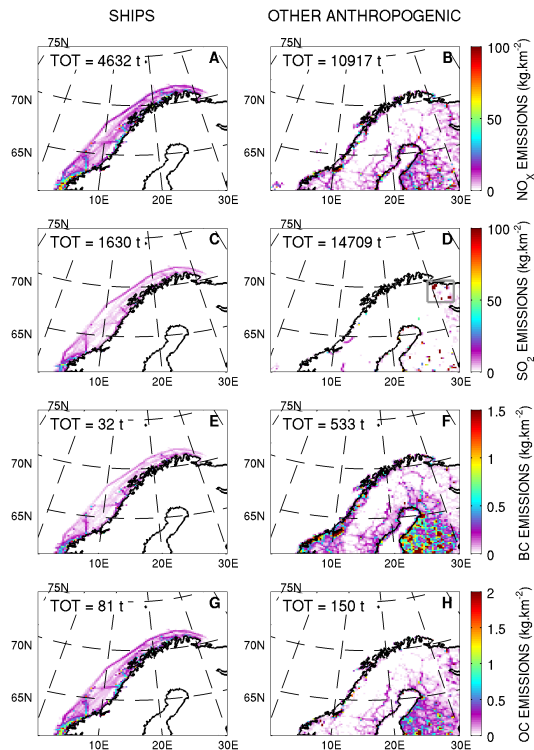
<sup>b</sup> Value with outliers removed.

**Table 5.** July emission totals in northern Norway (60.6–73° N, 0 to 31° W) of NO<sub>x</sub>, SO<sub>2</sub>, BC, OC and SO<sub>4</sub><sup>−</sup> in different ship emission inventories.

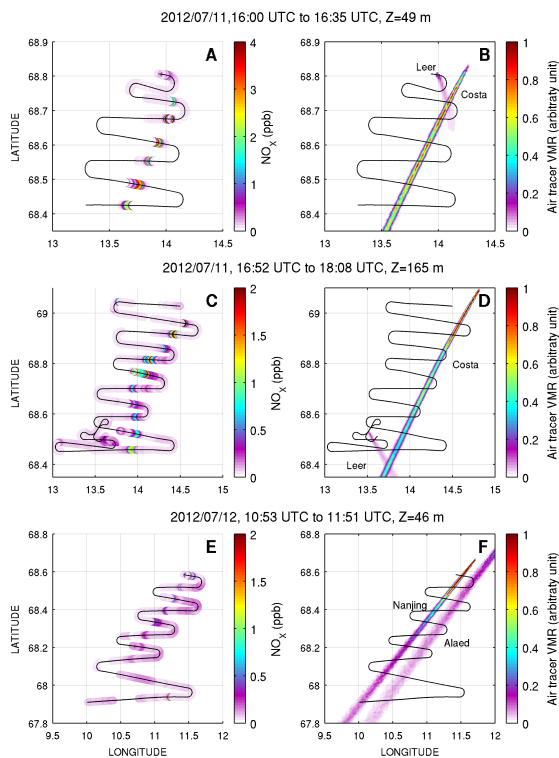
Inventory	Year	NO <sub>x</sub> (kt)	SO <sub>2</sub> (kt)	BC (t)	OC (t)	SO <sub>4</sub> <sup>−</sup> (t)
STEAM2	2012	7.1	2.4	48.1	123.4	197.3
Winther et al. (2014)	2012	9.3	3.4	47.7	82.9	–
Dalsøren et al. (2009)	2004	3.1	1.9	7.3	24.5	–
Corbett et al. (2010)	2004	2.4	1.6	10.6	32.5	–
Dalsøren et al. (2007)	2000	5.5	1.1	24.	479.3	–



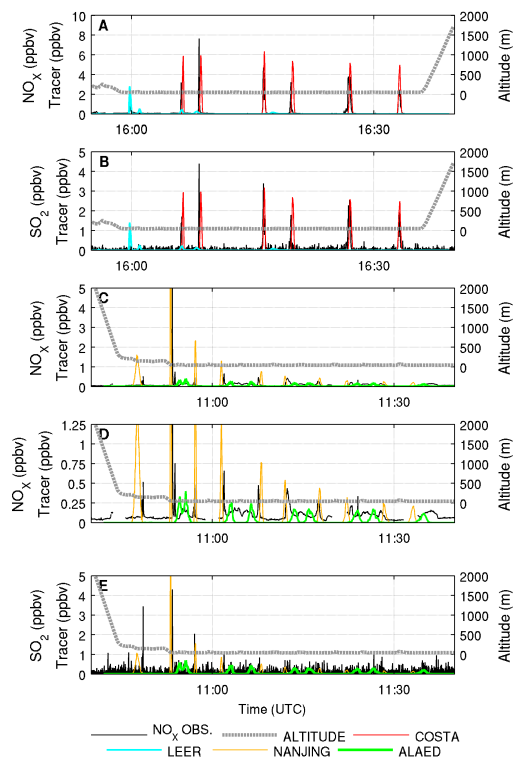
**Figure 1.** WRF and WRF-Chem domain (a) outer domains used for the MET, CTRL, and NOSHIP runs. ACCESS flight tracks during 11, 12, 19a (a – denotes that this was the first flight that occurred on this day, flight 19b – the second flight was dedicated to hydrocarbon extraction facilities) and 25 July 2012 flights are shown in color. (b) Inner domain used for the CTRL3 and NOSHIPS3 simulations, with the tracks of the 4 ships sampled during the 11 and 12 July 2012 flights (routes extracted from the STEAM2 inventory).



**Figure 2.** (a, c, e, g) STEAM2 ship emissions and (b, d, f, h) HTAPv2 anthropogenic emissions (without ships) of (a, b)  $\text{NO}_x$ , (c, d)  $\text{SO}_2$ , (e, f) BC, and (g, h) OC in  $\text{kg km}^{-2}$  over the CTRL and NOSHIPS WRF-Chem domain, during the simulation period (00:00 UTC 04 July 2012 to 00:00 UTC 26 July 2012). On Panel (d), the location of the intense Kola Peninsula  $\text{SO}_2$  emissions is highlighted by a gray box. The emissions totals for the simulation period are noted in each panel.

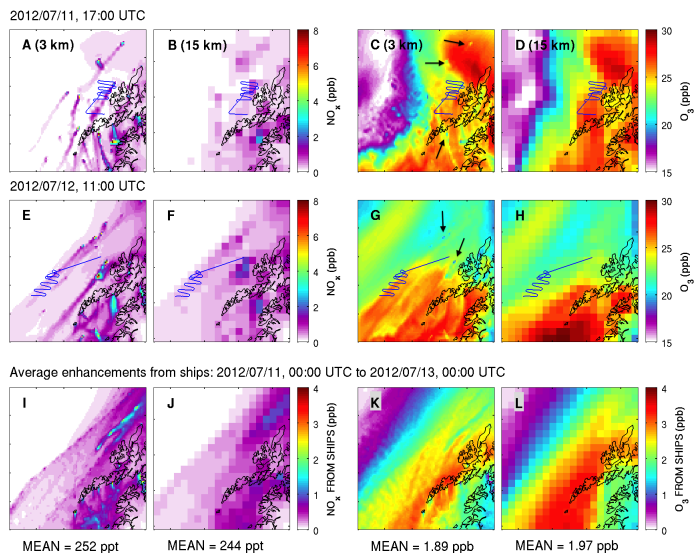


**Figure 3.** Left panels: ACCESS airborne  $\text{NO}_x$  measurements between **(a)** 16:00 and 16:35 UTC, 11 July 2012 (flight leg at  $Z \sim 49$  m), **(c)** 16:52 and 18:08 UTC, 11 July 2012 ( $Z \sim 165$  m), **(e)** 10:53 and 11:51 UTC, 12 July 2012 ( $Z \sim 46$  m). Right panels: corresponding FLEXPART-WRF plumes (relative air tracer mixing ratios) **(b, d)** *Wilson Leer* and *Costa Deliziosa* plumes **(f)** *Wilson Nanjing* and *Alaed* plumes. FLEXPART-WRF plumes are shown for the closest model time step and vertical level.

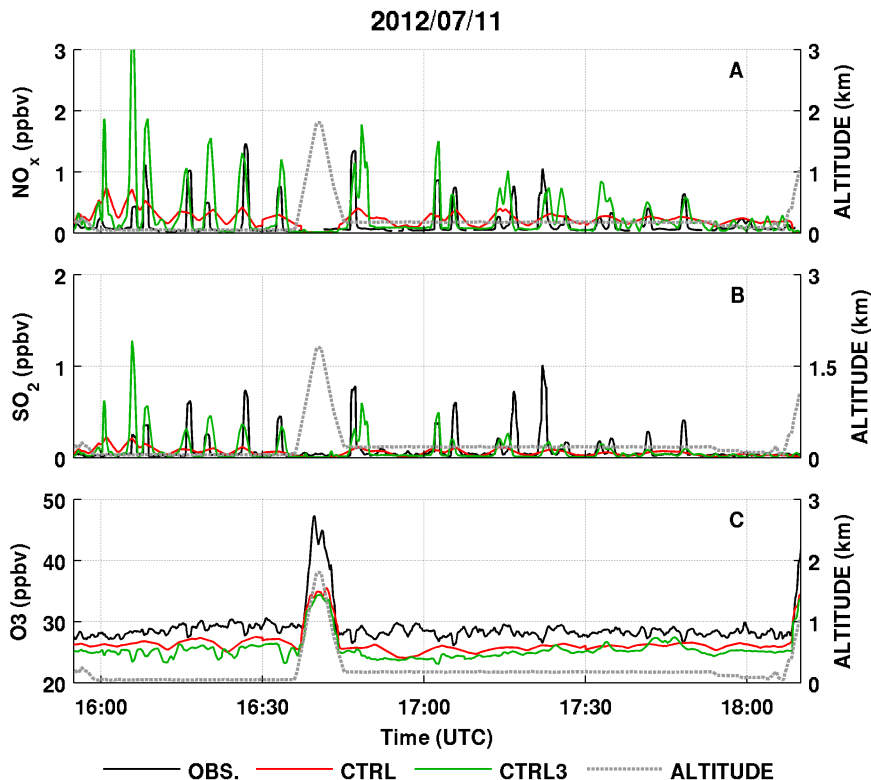


**Figure 4.** (a, c, d)  $\text{NO}_x$  and (b, e)  $\text{SO}_2$  aircraft measurements (dark blue/black) compared to FLEXPART-WRF air tracer mixing ratios interpolated along flight tracks, for the plumes of the (a, b) *Costa Deliziosa* and *Wilson Leer* on 11 July 2012 (first constant altitude level ( $Z \sim 49$  m), also shown in Fig. 3a) (c, d, e) *Wilson Nanjing* and *Alaed* on 12 July 2012. Panel (d) shows the same results as Panel (c), zoomed in. Since model results depend linearly on the emission flux chosen a-priori for each ship, model results have been scaled so that peak heights are comparable to the measurements.

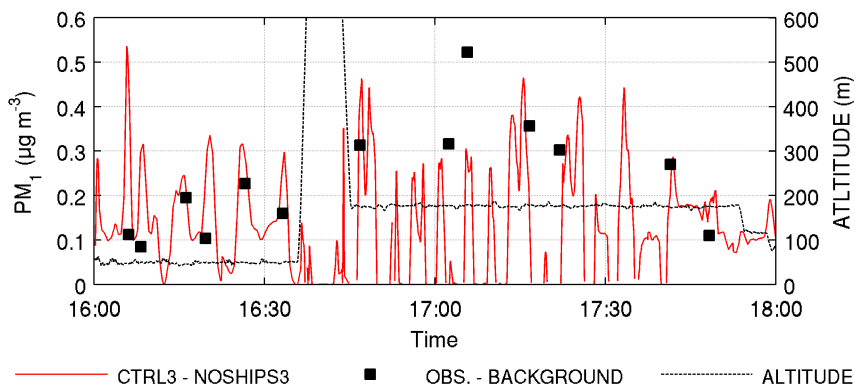




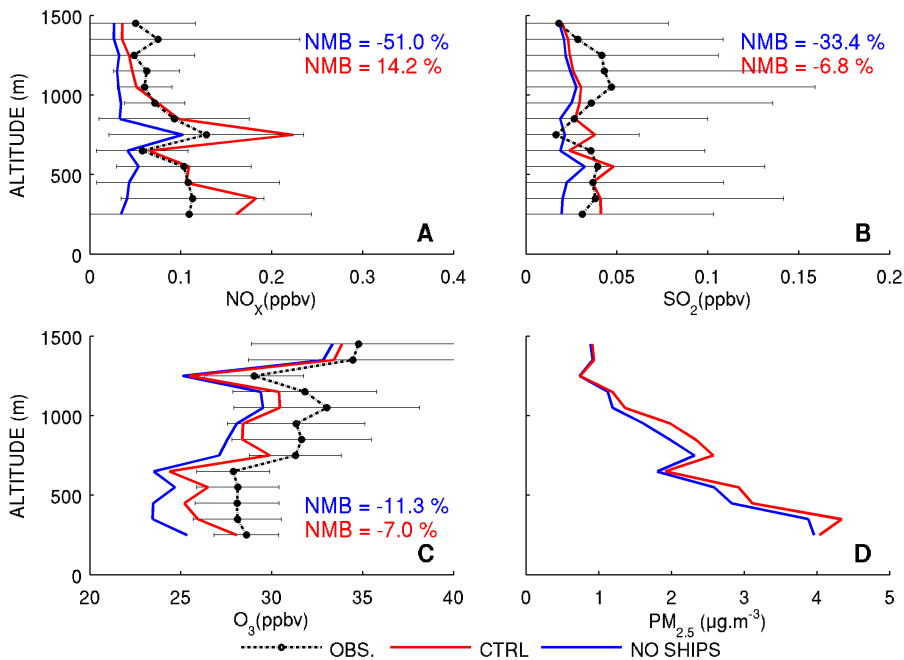
**Figure 5.** Snapshots of model predicted surface  $\text{NO}_x$  and  $\text{O}_3$  from the CTRL3 (3 km) simulation (**a**, **c**, **e**, **g**) and the CTRL (15 km) simulation (**b**, **d**, **f**, **h**) during the flights on 11 and 12 July 2012. Model results for the CTRL3 simulation are shown over the full model domain. CTRL run results are shown over the same region for comparison. The aircraft flight tracks are indicated in blue. On Panels (**c**) and (**g**), black arrows indicate several areas of  $\text{O}_3$  titration due to high  $\text{NO}-\text{NO}_x$  from ships. (**i**, **j**)  $\text{NO}_x$  and (**k**, **l**)  $\text{O}_3$  2-day average surface enhancements (00:00 UTC 11 July 2012 to 00:00 UTC 13 July 2012) due to shipping emissions, (**i**, **k**) CTRL3 simulation, (**j**, **l**) CTRL simulation. The 2-day average enhancements of  $\text{NO}_x$  and  $\text{O}_3$  over the whole area are given below each respective panel.



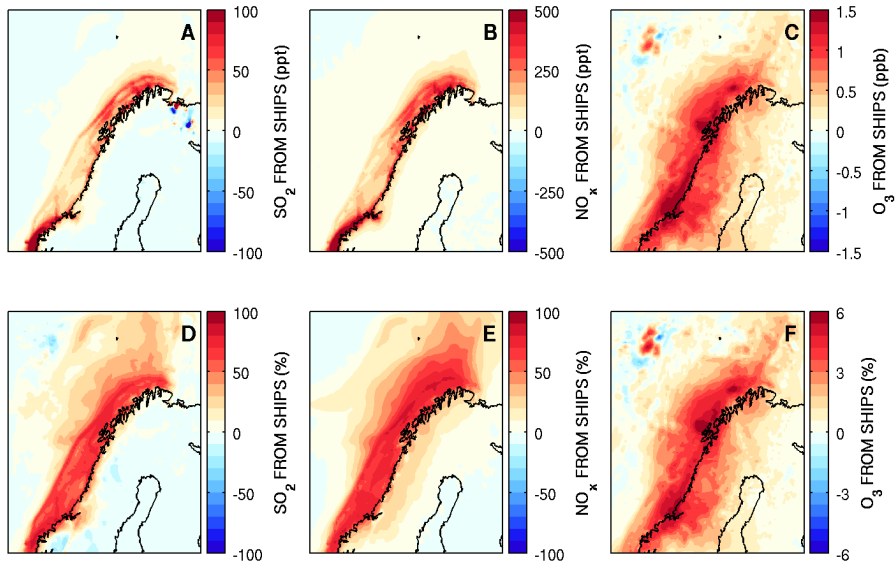
**Figure 6.** Time series of measured O<sub>3</sub> and NO<sub>x</sub> on 11 July 2012 compared to model results extracted along the flight track for the CTRL and CTRL3 runs. Observations are in [blueblack](#), the CTRL run is in red, and the CTRL3 run is in green. A 56 s averaging window is applied to the measured data for model comparison (approximately the time for the aircraft to travel 2 × 3 km). Flight altitude is given as [a dashed black-gray](#) line. After the first run at 49 m, a vertical profile was performed (16:35 to 16:45 UTC) providing information about the vertical structure of the boundary layer.



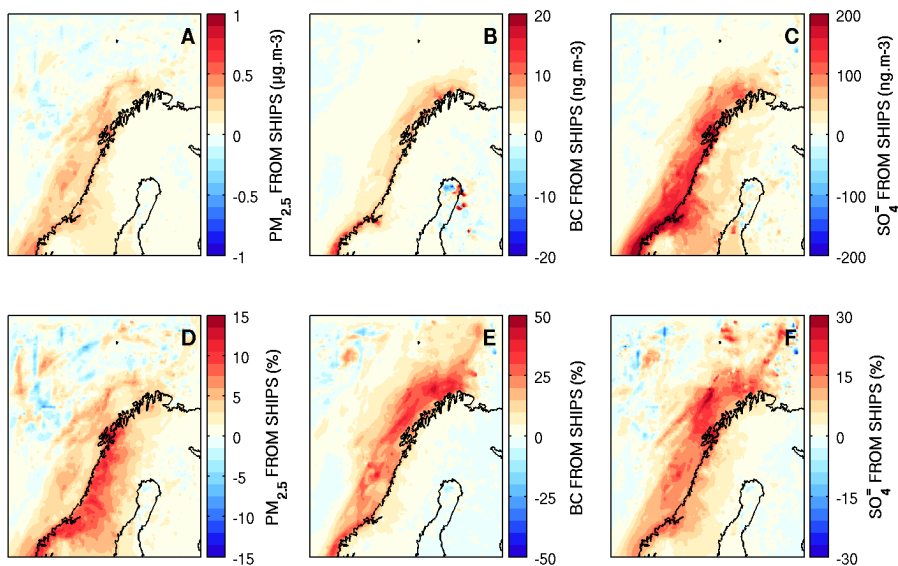
**Figure 7.** Observed background-corrected PM<sub>1</sub> enhancements in the plume of the *Costa Deliziosa* on 11 July 2012 (blue-black squares), compared to modeled PM<sub>1</sub> enhancements in ship plumes (in red), extracted along the flight track (CTRL3 – NOSHIPS3 PM<sub>1</sub>). A 56 s averaging window is applied to the measured data to simulate dilution in the model grid. Flight altitude is given as dashed black line.



**Figure 8.** Average vertical profiles of (a)  $\text{NO}_x$ , (b)  $\text{SO}_2$ , (c)  $\text{O}_3$  and (d)  $\text{PM}_{2.5}$  observed during the 4 ACCESS ship flights (in black-blue, with error bars showing standard deviations), and interpolated along the ACCESS flight tracks in the CTRL simulation (red line) and in the NOSHIPS simulation (black-blue line). For  $\text{PM}_{2.5}$  only simulation results are shown.



**Figure 9.** 15 day average (00:00 UTC 11 July 2012 to 00:00 UTC 26 July 2012) of (top) absolute and (bottom) relative surface enhancements (CTRL – NOSHIPS) in **(a, e)**  $\text{SO}_2$ , **(b, e)**  $\text{NO}_x$ , **(b, f)**  $\text{O}_3$ , **(c, g)** and **(d, h)** BC due to Norwegian ship emissions from STEAM2.



**Figure 10.** 15 day average (00:00 UTC 11 July 2012 to 00:00 UTC 26 July 2012) of (top) absolute and (bottom) relative surface enhancements (CTRL – NOSHIPS) in (a, d)  $\text{PM}_{2.5}$ , (b, e) BC and (c, f)  $\text{SO}_4^{2-}$  due to Norwegian ship emissions from STEAM2.