

## Response to reviewer #1

We are grateful for the reviewer's positive review, valuable comments and suggestions, which helped us to improve the quality of the paper. Following are our replies to all of your comments:

### Main comments

**(1)** The time intervals of gravity wave analysis (2007-2010) and of the analysis of annual cycles in reanalysis data (1979-2013) are very different, and there is considerable interannual variability at high northern latitudes during autumn and winter. For consistency, it should therefore be checked whether the anomalies of the annual cycles are also found for the shorter time interval 2007-2010.

*As the referee suggested we have analysed the reanalysis data also for a shorter time interval 2007-2010. The features are similar and maybe even more distinct than for the interval 1979-2013.*

*We added a paragraph starting at the page 18298, line 23. Also in connection with the short comment from Jan Laštovička we are adding two sentences to reference the findings of Kozubek et al. (2015) regarding interannual variability in this region:*

*Now:*

It should be noted that the time interval of the analysis of the MERRA dataset is much more longer than the interval of the following IGW analysis. For consistency, we computed annual cycle amplitudes and mean seasonal averages also for the period 2007-2010 (not shown). The results show that the above-described features are similar or even more distinct for this short period comparing to the original one. Kozubek et al. (2015) studied the longitudinal distribution and long-term trends of NH stratospheric winds and identified a dominant effect of Aleutian high (our region of interest is located at its western border in the stratosphere). They found that the trends of meridional winds connected with Aleutian high are significant independently of SSW or QBO. They observed intensification of the winds in the period of ozone depletion deepening (1970–1995) and weakening in the period of recovering ozone concentration (1996–2012). However, there is an indirect dependence of the winds on QBO, as the influence of solar cycle is pronounced mainly for the west phase of QBO.

*With corresponding reference added:*

Kozubek, M., Krizan, P., and Lastovicka, J.: Northern Hemisphere stratospheric winds in higher midlatitudes: longitudinal distribution and long-term trends, *Atmos. Chem. Phys.*, 15, 2203-2213, doi:10.5194/acp-15-2203-2015, 2015.

**(2)** Effects of the observational filter on the wave amplitudes should also be mentioned when discussing the possibility of below-threshold IGW breaking. For details see the specific comments below.

*We thank the referee #1 very much for this comment. We absolutely agree, that an effect of the observational filter should have been discussed before. For changes in text please see our reply to the specific comments.*

Specific comments:

(1) p18289, l.9-13 shorter horizontal and shorter vertical wavelength with same amplitude as in other regions... This is not necessarily an effect of higher buoyancy frequency. It should be mentioned that this effect could also be related to IGW sources or background winds.

*We thank the Referee very much for this comment. We agree that an effect of the observational filter should have been discussed before. For changes in text please see our reply to the specific comments.*

Specific comments:

(1) p18289, l.9-13 shorter horizontal and shorter vertical wave-length with same amplitude as in other regions... This is not necessarily an effect of higher buoyancy frequency. It should be mentioned that this effect could also be related to IGW sources or background winds.

*We thank the Referee very much for this comment and change the text accordingly, page 18289, lines 9-13:*

*Old:*

This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest.

*Now:*

This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest, or this could be an effect of different IGW sources or different background wind structure in this region.

(2) p18289, l.14-19: Having a look at Faber et al. (2013), I had the impression that the findings mentioned in your manuscript are supported for horizontal and vertical wavelength, as well as for momentum flux. For Epot, however, this is not so clear. During summer Epot seems to be about average, and during DJF2006/7 Epot over the region of interest is lower than over the Asian continent. Suggest to just omit Epot in p18289, l.18.

*We agree with the Referee and omit Ep in page 18289, line 18. Also, we would like to apologize for a not completely accurate citation of Faber et al. (2013).*

(3) p18296, l.11-13: About instability criteria... The probability of observing IGW at the exact time of breaking is not the only effect why IGW breaking could occur although criteria are below threshold. It should also be mentioned that the IGW wave amplitude of GPS RO will usually be low-biased due to the observational filter of the instrument. Therefore it should be self-understood that IGW breaking should happen, even if IGW amplitudes observed by GPS are below threshold.

A sensitivity function for squared amplitudes, applicable to limb observing geometries like GPS RO, is given, for example, in Trinh et al. (2015), their Fig.7b. (Trinh, Q. T., Kalisch, S., Preusse, P., Chun, H.-Y., Eckermann, S. D., Ern, M., and Riese, M.: A comprehensive observational filter for satellite infrared limb sounding of gravity waves, *Atmos. Meas. Tech.*, 8, 1491–1517, doi:10.5194/amt-8-1491-2015, 2015.)

*We are very grateful for this comment, because taking the observational filter into account makes clear that it is almost impossible to observe perturbations with amplitudes above the threshold. We change the text accordingly, page 18296, lines 11-13:*

*Old:*

It is also natural to expect the values of those characteristics below their threshold because the probability that the occultation takes place at the exact time of IGW breaking is low.

*Now:*

In fact, it is natural to expect the values of those characteristics to be below their threshold because of the effect of the observational filter (Lange and Jacobi, 2003; Trinh et al. 2015) and also the probability that the occultation takes place at the exact time of IGW breaking is low.

*With correspondent reference added:*

Trinh, Q. T., Kalisch, S., Preusse, P., Chun, H.-Y., Eckermann, S. D., Ern, M., and Riese, M.: A comprehensive observational filter for satellite infrared limb sounding of gravity waves, *Atmos. Meas. Tech.*, 8, 1491–1517, doi:10.5194/amt-8-1491-2015, 2015.

(4) p18298, end of Sect.3.1: For consistency, it should be mentioned whether this climatological pattern (determined from the years 1979-2013) is also found for the four years (2007-2010) used for IGW analysis in Sect.3.2.

*We agree. Our answer is stated under the main comment (1).*

(5) p18306, around l.23: waves over the Himalayas / over the Andes This discussion addresses the question whether Epot is a good measure of the average IGW wave energy. This uncertainty, however, is not explicitly mentioned there, reference should be made to the previous discussion related to Eq.(2) in Sect.2.

*We thank the referee for notification and change the text as follows:*

*Old:*

The advantage of this method could be seen in the results of (e.g. Wright and Gille, 2013), where the enhancement of significance of wave activity around the

Himalayas could be owing to the better representation of lee wave activity with smaller slope of the phase lines (higher ratio between kinetic and potential energy).

Now:

The advantage of this method could be seen in the results of (e.g. Wright and Gille, 2013), where the enhancement of significance of wave activity around the Himalayas could be owing to the better representation of lee wave activity with smaller slope of the phase lines (higher ratio between kinetic and potential energy), whose activity would be underestimated using  $\bar{E}_p$ .

*Also in connection with previous referee comments, we feel that a sentence should be added at the end of this paragraph: Page 18306, Line 29:*

In this discussion, to show our point, we avoid for simplicity to discuss the effect of observational geometry with respect to the wave orientation, which could otherwise be a leading source of differences between observed IGW activity especially when contrasting Himalayas and Andes.

*We would like to thank Referee #1 also for the technical comments. All the suggestions will be implemented in the final version of our paper.*

## **Response to reviewer #2**

*We would like to thank Referee #2 for the comments. Although we did not fully agree with all of them, they helped us to improve our paper.*

1) First, authors need to justify that the observed density perturbations are gravity-wave (GW) perturbations. Since authors are discussing GW potential energy ( $E_p$ ) and GW breaking simultaneously, it is not easy for this reviewer to clearly understand whether the  $E_p$  presented is indeed due to gravity waves or due to turbulent motions. It seems necessary to separate stably stratified cases and convectively (or dynamically) unstable cases from the GPS-RO basic-state profiles and then to re-compute  $E_p$  using the density perturbations that are believed to be GW perturbations in a stably stratified (or dynamically stable) environment.

*We do not agree with this comment since we see the turbulence as acting rather to lower wave amplitudes and so, although physically present during each occultation, its contribution to the computed potential energy of disturbances is mainly indirect. And also, as we are in stratosphere, it seems absolutely unnecessary to separate the stable or unstable cases, because the background stratification in this altitude region will be in all cases stable.*

*We can easily verify this, but we think that it is not necessary to show this in the paper. The possible instability detected in the profiles is only local, caused by the IGW existing in a stably stratified background. For a better illustration we will add to the supplement a new figure showing a typical profile of the density perturbations.*

2) Second, authors claim that using non-linear color scaling in their plots is a key to find out the unprecedented GW activity in the East Asian region, but it is hard to believe. Authors need to show comparison between their original plots and some results plotted with linearly scaled colors after appropriately separating GW perturbations from the GPS-RO density profiles as mentioned above.

*We would like to thank the Referee #2 for this comment. After comparing all the original plots with new figures plotted with linearly and also quartile scaled colors (all created using IDL), we must confess that an influence of the color scaling on the visibility of the "region of interest" is minimal. Our previous assertion stemmed from differentials between graphics produced by two different software tools (IDL, Panoply).*

*Therefore we delete the following paragraph:*

Page18304, Lines 1-7: The presented results also illustrate an important role of a proper visualization approach. As described above, we use the color-scale derived from the relative frequency of the detected values. Employing e.g. a linear scale would significantly reduce the visibility of the area of interest and without previous knowledge it could be almost unidentifiable. This is also one of the unique aspects of our analysis that we were purposefully looking for anomalous wave activity in the region of interest, which was hypothesized due to anomalies found in the zonal wind, temperature and ozone fields.

*We left only one sentence:*

One of the unique aspects of our analysis is also that we were purposefully looking for anomalous wave activity in the region of interest, which was hypothesized due to anomalies found in the zonal wind, temperature and ozone fields.

3) Third, authors claim that mountain waves are primary waves in the unprecedented GW activities revealed through the GPS-RO observations in the Eastern Asia. However, it is unclear to reviewer that mountain waves are able to extend far eastward to regions where there is no strong horizontal wind. Note that mean horizontal wind is not so strong in the "region of interest" as authors have shown in their manuscript.

*We are not aware that we need the mountain waves to extend far eastward in our study. In most cases the enhanced IGW activity is observed directly above or in close vicinity of significant topography. The reviewer may be suggesting that we should investigate if the mountain waves can freely propagate from the topography in our region of interest employing e.g. linear Scorer parameter. Such an analysis could be an interesting idea for future research, but it would need to be done as a case study. Moreover, the results of de la Torre and Alexander (2005) suggest that such an analysis results in rather negligible restrictions on the horizontal wavelength of propagating mountain waves. The aim of this paper is rather to provide the scientific community with information about existence of this hotspot and to encourage future research in this region.*

*Nevertheless, as discussed under the next comment, we agree that orographic waves not necessarily need to be the prime source of IGW activity in this region and we are giving more credit to the spontaneous adjustment processes now.*

*de la Torre, A., and P. Alexander (2005), Gravity waves above Andes detected from GPS radio occultation temperature profiles: Mountain forcing?, Geophys. Res. Lett., 32, L17815, doi:10.1029/2005GL022959.*

4) Finally, in terms of possible source mechanisms, reviewer recommends that authors should discuss more the possibility of spontaneous adjustment process. References mentioned about the spontaneous wave generation are too out-dated. There are some active scientists such as Fuqing Zhang and Riwal Plougonven who have researched for a long time on the spontaneous generation of gravity waves around the tropospheric jet axis. As long as mountain waves are not easy to be believed to be major gravity waves, spontaneous generation mechanism is certainly worth being described.

*We would like to thank the Referee #2 for this recommendation. We agree that the spontaneous generation of gravity waves around the tropospheric jet axis should have been mentioned and emphasized, together with a citation of the review of Plougonven and Zhang (2014).*

*Three paragraphs are significantly revised and changed:*

Page18303, Lines 2-13:

Considering the wind field in the region of interest, the Doppler shifting plays a role in amplifying wave amplitudes while propagating upwards and it also may be accounted for one of the possible sources of enhanced wave activity in this region.

Finally, in connection with the in situ wave generation in the upper troposphere/lower stratosphere of the region of interest, there is likely a contribution to the IGW spectra from geostrophic adjustment processes connected with the jet stream location there.

According to Mohri (1953), during the colder season the subtropical jet stream reaches the maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extreme thick frontal layers (Mohri, 1953). Such episodes can become a very interesting and unique source of IGW in this area.

*Now:*

Considering the wind field in the region of interest (seasonally dependent location of the subtropical westerly jet and the polar front jet in the upper troposphere/lower stratosphere), the Doppler shifting must play a role in amplifying wave amplitudes while propagating upwards and it also may be accounted for one of the possible reasons of the enhanced wave activity in this region.

Finally, in connection with the jet location above the region of interest, we can expect a strong contribution to the IGW spectra from spontaneous emission processes (Plougonven and Zhang, 2014). Evidence for this claim can be found e.g. in Hirota and Niki (1986) and Sato (1994) who analysed Middle and Upper atmospheric radar data (located at Shigaraki, Japan, falling into our region of interest) and who found inertia-IGW propagating upward and downward from the jetstream. Orographic waves were also identified.

As a curiosity, according to Mohri (1953), during the colder season the subtropical jet stream reaches its maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extremely thick frontal layers. Such episodes can become a very interesting and unique source of IGW in this area.

*References added:*

Plougonven, R., and F. Zhang (2014), Internal gravity waves from atmospheric jets and fronts, *Rev. Geophys.*, 52, doi:10.1002/2012RG000419.

Hirota, I., and T. Niki (1985), A statistical study of inertia- gravity waves in the middle atmosphere, *J. Meteor. Soc. Jpn.*, 63, 1055–1065.

Sato, K. (1994), A statistical study of the structure, saturation and sources of inertio-gravity waves in the lower stratosphere observed with the MU radar, *J. Atmos. Terr. Phys.*, 56 (6), 755–774.

Specific comments:

At line 19, page 18287: Author need to clearly show the region of interest in their plot rather than vaguely mentioning like "a tilted ellipse".

*In the next version of our paper the tilted ellipse will be plotted over all figures.*

At line 13, page 18288: There has been a number of -> There have been a number of  
At line 25, page 18288: Kuroshiro -> Kuroshio

*Thank you very much, we will implement your suggestions.*

From line 27, page 18292: Authors justifies the use of density profiles instead of using temperature mentioning density profile includes non-hydrostatic waves. However, in page 18293, authors claim that their wave modes may possibly have

vertical wavelengths of 2-5 km. Discussion about non-hydrostatic waves seem unnecessary and confusing.

*On page 18293, line 17, we write that the most energetic modes of the IGW spectrum in the lower stratosphere are likely to have vertical wavelengths from 2 to 5 km, referencing Fritts and Alexander (2003). But as the potential energy of disturbances ( $E_p$ ) is computed from the whole spectrum we cannot rule out the possibility that even the nonhydrostatic modes can influence the spatial distribution of  $E_p$ . The advantage of using density profiles is then obvious and does not need further justification. It simply bears more information than the dry temperature data. Nevertheless, we have found a little inconsistency in our paper: On page 18293, lines 9-10, we justify the absence of the lower boundary of the vertical wavelength cutoff by assuming the noise to be almost independent of geographical location. But as shown by Marquardt and Healy (2005), the noise can be variable even in the zonal direction probably due to the small-scale plasma irregularities. Therefore we change the statement (page 18293, lines 9-10) to:*

We assume the noise to be almost independent of the geographical location, which is, however, generally not true (Marquardt and Healy, 2005) and so our calculated distributions of IGW activity can be partly affected by the spatio-temporal distribution of noise.

*Nevertheless, we still use no vertical wavelength lower boundary cutoff, because as shown by Wu (2006), even at small vertical wavelengths there are imprints of important wave effects. We are currently preparing a study that should investigate the differences between IGW activity and power spectral density from GPS RO density and temperature data (amplitude and modal filtration due to the use of hydrostatic balance and an influence of this filtration on the noise levels).*

*Marquardt, C. and Healy, S.: Measurement Noise and Stratospheric Gravity Wave Characteristics Obtained from GPS Occultation Data, doi:10.2151/jmsj.83.417, 2005.*

*Dong L. Wu, Small-scale fluctuations and scintillations in high-resolution GPS/CHAMP SNR and phase data, Journal of Atmospheric and Solar-Terrestrial Physics, Volume 68, Issue 9, June 2006, Pages 999-1017, ISSN 1364-6826, <http://dx.doi.org/10.1016/j.jastp.2006.01.006>.*

At line 7, page 18294: VanZandt (1985) did not mention about a theory about the partitioning of kinetic and potential energy of gravity waves. The partitioning is quite empirical rather than theoretical.

*We do not directly refer to VanZandt (1985). In the paper we state that Tsuda et al. (2000) referenced VanZandt (1985) for a theoretical evidence that the ratio between kinetic and potential energy is approximately constant. Further in our paper we show, that by disregarding the crucial assumption of saturated IGW spectrum, the potential energy of disturbances is an imperfect proxy for wave activity.*



At line 1, page 18296: Description about the maximum growth rate of Rayleigh-Taylor convective instability is confusing. How gravity waves drive the fluid to be overturning when the value of sigma is real? In fact, authors described instability due to gravity waves using negative values of the sigma in their figure 7.

*We thank the referee very much for this notification, because in section 3.3 we forgot to mention that we are plotting and analysing sigma squared (which indicates instability in case it has positive values). Corrections: Page 18301, Lines 3, 6, 9, 14, 17 and the legend of Fig. 7: sigma changed to sigma squared*

Description about figure 7 is pretty confusing. There is no secondary maxima shown in figure 7, but authors are explaining a lot about the secondary maxima without describing anything about 8-th or 12-th maxima shown in figure 7.

*The confusion stems probably from our naming convention when we termed the 2nd, 3rd, 4th - 12th highest values secondary maxima. To clarify this we will adopt the suggestion from Referee #1: caption of Fig.7 and S13: not only secondary maxima are shown, suggested rewording: selected (secondary) sigma maxima -> primary and selected secondary (i.e., higher order) sigma maxima*

*Also, we add a new figure showing the evolution from the first to the 12th maxima to the supplement.*

### **List of changes**

1) *Figure of a typical profile of density perturbations is added to the supplement.*

2) *Paragraph deleted:*

Page 18304, Lines 1-7: The presented results also illustrate an important role of a proper visualization approach.....

*only one sentence left:*

One of the unique aspects of our analysis is also that we were purposefully looking for anomalous wave activity in the region of interest, which was hypothesized due to anomalies found in the zonal wind, temperature and ozone fields.

3) *Three paragraphs changed:*

*Old:*

Page 18303, Lines 2-13: Considering the wind field in the region of interest, the Doppler shifting plays a role in amplifying wave amplitudes while propagating upwards and it also may be accounted for one of the possible sources of enhanced wave activity in this region. Finally, in connection with the in situ wave generation in the upper troposphere/lower stratosphere of the region of interest, there is likely a contribution to the IGW spectra from geostrophic adjustment

processes connected with the jet stream location there. According to Mohri (1953), during the colder season the subtropical jet stream reaches the maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extreme thick frontal layers (Mohri, 1953). Such episodes can become a very interesting and unique source of IGW in this area.

*Now:*

Considering the wind field in the region of interest (seasonally dependent location of the subtropical westerly jet and the polar front jet in the upper troposphere/lower stratosphere), the Doppler shifting must play a role in amplifying wave amplitudes while propagating upwards and it also may be accounted for one of the possible reasons of the enhanced wave activity in this region.

Finally, in connection with the jet location above the region of interest, we can expect a strong contribution to the IGW spectrum from spontaneous emission processes (Plougonven and Zhang, 2014). Evidence for this claim can be found e.g. in Hirota and Niki (1986) and Sato (1994) who analysed Middle and Upper atmospheric radar data (located at Shigaraki, Japan, falling into our region of interest) and who found inertia-IGW propagating upward and downward from the jetstream. Orographic waves were also identified.

As a curiosity, according to Mohri (1953), during the colder season the subtropical jet stream reaches its maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extremely thick frontal layers. Such episodes can become a very interesting and unique source of IGW in this area.

*Corresponding citations added:*

Plougonven, R., and F. Zhang (2014), Internal gravity waves from atmospheric jets and fronts, *Rev. Geophys.*, 52, doi:10.1002/2012RG000419.

Hirota, I., and T. Niki (1985), A statistical study of inertia- gravity waves in the middle atmosphere, *J. Meteor. Soc. Jpn.*, 63, 1055–1065.

Sato, K. (1994), A statistical study of the structure, saturation and sources of inertio- gravity waves in the lower stratosphere observed with the MU radar, *J. Atmos. Terr. Phys.*, 56 (6), 755–774.

5) *The tilted ellipse is plotted over all figures.*

6) *Sentence in parenthesis changed and parenthesis deleted (page 18293, lines 9-10) to:*

We assume the noise to be almost independent of the geographical location, which is, however, generally not true (Marquardt and Healy, 2005) and so our calculated distributions of IGW activity can be partly affected by the spatio-temporal distribution of noise.

7) *New figure showing the evolution from the first to the 12th maxima of sigma squared was added to the supplement.*

8) *A paragraph was added starting at the page 18298, line 23.*

It should be noted that the time interval of the analysis of the MERRA dataset is much longer than the interval of the following IGW analysis. For consistency, we computed annual cycle amplitudes and mean seasonal averages also for the period 2007-2010 (not shown here). The results show that the above-described features are similar for this short period comparing to the original one. Kozubek et al. (2015) studied the longitudinal distribution and long-term trends of NH stratospheric winds and identified a dominant effect of the Aleutian high (our region of interest is located at its western border in the stratosphere). They found that the trends of meridional winds connected with Aleutian high are independent of SSW or QBO. They observed intensification of the winds in the period of ozone depletion deepening (1970–1995) and weakening of the winds in the period of ozone recovery (1996–2012). However, there is an indirect dependence of the winds on QBO, as the solar cycle influence is pronounced mainly for the west phase of QBO.

*With corresponding reference added:*

Kozubek, M., Krizan, P., and Lastovicka, J.: Northern Hemisphere stratospheric winds in higher midlatitudes: longitudinal distribution and long-term trends, *Atmos. Chem. Phys.*, 15, 2203-2213, doi:10.5194/acp-15-2203-2015, 2015.

9) *Sentence changed page 18289, lines 9-13: Old:*

This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest. *Now:*

This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest, or this could be an effect of different IGW sources or different background wind structure in this region.

10) *Words deleted p18289, l.18.: mean potential energy and*

11) *Text changed: page 18296, lines 11-13: Old:*

It is also natural to expect the values of those characteristics below their threshold because the probability that the occultation takes place at the exact time of IGW breaking is low.

*Now:*

In fact, it is natural to expect the values of those characteristics to be below their threshold because of the effect of the observational filter (Lange and Jacobi, 2003; Trinh et al. 2015), and also the probability that the occultation takes place at the exact time of IGW breaking is low.

*With corresponding reference added:*

Trinh, Q. T., Kalisch, S., Preusse, P., Chun, H.-Y., Eckermann, S. D., Ern, M., and Riese, M.: A comprehensive observational filter for satellite infrared limb sounding of gravity waves, *Atmos. Meas. Tech.*, 8, 1491–1517, doi:10.5194/amt-8-1491-2015, 2015.

12) *Text changed p18306, l.19 :*

*Old:*

The advantage of this method could be seen in the results of (e.g. Wright and Gille, 2013), where the enhancement of significance of wave activity around the Himalayas could be owing to the better representation of lee wave activity with smaller slope of the phase lines (higher ratio between kinetic and potential energy).

*Now:*

The advantage of this method could be seen in the results of (e.g. Wright and Gille, 2013), where the enhancement of significance of wave activity around the Himalayas could be owing to the better representation of lee wave activity with smaller slope of the phase lines (higher ratio between kinetic and potential energy), whose activity would be underestimated using  $E_p$ .

13) *Sentence added at the end of the paragraph:*

*Page 18306, Line 29:*

In this discussion, to show our point, we avoid for simplicity to discuss the effect of observational geometry with respect to the wave orientation, which could otherwise be a leading source of differences between observed IGW activity especially when contrasting Himalayas and Andes.

### **Technical corrections:**

a) Page 18301, Lines 3, 6, 9, 14, 17 and the legend of Fig. 7: sigma changed to sigma squared

b) caption of Fig.7 and S13: selected (secondary) sigma maxima -> primary and selected secondary (i.e., higher order) sigma maxima

- c) At line 13, page 18288: There has been a number of -> There have been a number of  
 At line 25, page 18288: Kuroshiro -> Kuroshio
- d) p18286, l.16: phenomena -> phenomenon
- e) p18288, l.19: E\_p s -> E\_p
- f) p18290, l.1: temperature bias. -> temperature variance bias.
- g) p18291, l.26: ration -> ratio
- h) p18293, l.13: extend -> extent
- i) p18296, l.18: start new paragraph after "...in a region."
- j) p18297, l.18/19: the area of interest the area of interest -> the area of interest
- k) p18297, l.22: a eastward -> an eastward
- l) p18298, l.8: mean -> the mean
- m) p18303, l.6: lover -> lower
- n) p18314, l.23: journal in reference Marquardt and Healy is missing  
 Marquardt, C. and Healy, S. B.: Measurement Noise and Stratospheric Gravity Wave Characteristics obtained from GPS Occultation Data, J. Meteorol. Soc. Jpn., 83, 417-428, 2005.
- o) caption of Fig.8: in (left) -> (left)
- p) caption of Figure 3,4,5,6,7: delete: the studied time period
- q) caption of Figure 8: in the level of 975 hPa ->at 975 hPa

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Date: 17 September 2015

# Enhanced internal gravity wave activity and breaking over the Northeastern Pacific / Eastern Asian region

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## Abstract

We have found a stratospheric area of anomalously low annual cycle amplitude and specific dynamics in the stratosphere over the Northeastern Pacific / Eastern Asia coastal region. Using GPS radio occultation density profiles from FORMOSAT-3/COSMIC, we have discovered an internal gravity wave activity and breaking hotspot in this region. Conditions supporting orographic wave sourcing and propagation were found. Other possible sources of wave activity in this region are listed.

The reasons, why this particular IGW activity hotspot was not discovered before nor the specific dynamics of this region was pointed out, are discussed together with weaknesses of using the mean potential energy as a wave activity proxy. Possible consequences of the specific dynamics in this region on the middle atmospheric dynamics and transport are outlined.

## 1 Introduction

In the atmosphere, internal gravity waves (IGW) are a naturally occurring and ubiquitous, though *intermittent*<sup>v3</sup> (in the sense of larger amplitude wave-packets, e.g. Hertzog et al., 2012; Wright et al., 2013)<sup>v3</sup> *phenomenon*<sup>v3</sup>(d) influencing its thermal and dynamical structure such as its angular momentum distribution. Especially, IGW are vitally important in our understanding of the middle and upper atmosphere dynamics as reviewed comprehensively by Fritts and Alexander (2003). In recent years, the significance of IGW has been particularly recognized. For example, Ern et al. (2014) pointed out their role for the formation of the Quasi-Biennial Oscillation (QBO) which, together with the findings of Marshall and Scaife (2009) can link them to the European winter surface climate. Ern et al. (2011) suggest that IGWs strongly interact with the polar night jets not only in the mesosphere, but already in the stratosphere. Due to the coupling between the stratosphere and troposphere (Hartley et al., 1998; Haynes et al., 1991) the indirect effect, in this spe-

cific case or generally, on surface conditions is unsurprising (Hardiman and Haynes, 2008; Haynes, 2005).

IGW can also have a direct influence on the middle atmospheric climate change and possible acceleration of the Brewer-Dobson circulation (e.g. Garcia and Randel, 2008). Recently, Demirhan Bari et al. (2013) provided evidence of the effects of IGW activity on the three-dimensional residual transport in the middle atmosphere.

A number of observational studies have examined the climatological structure of the IGW characteristics using radio occultation (RO) data from CHAMP (e.g. Tsuda et al., 2000; Schmidt et al., 2008), Formosat Satellite Mission 3/Constellation Observing System for Meteorology, Ionosphere, and Climate (FORMOSAT-3/COSMIC, e.g. Alexander et al., 2009; Horinouchi and Tsuda, 2009; Wang and Alexander, 2009) or using High Resolution Dynamics Limb Sounder (HIRDLS, e.g. Ern and Preusse, 2012) or SABER/TIMED data (e.g. Zhang et al., 2012). But, as noted by Wang and Alexander (2010), despite significant advances in our understanding of IGW and their effects in different regions of the atmosphere in the past few decades, observational constraints on their parameters are still sorely lacking, especially for momentum fluxes and IGW propagation direction.

Our paper is focused on an analysis of the IGW activity in the lower stratospheric region bounded roughly by a tilted ellipse with end points near  $30^{\circ}\text{N } 120^{\circ}\text{E}$  and  $60^{\circ}\text{N } 180^{\circ}\text{E}$ . As we will show in this paper, this area is often a part of larger region of enhanced IGW activity or breaking, or anomalies of some fields (ozone, wind), which, however, also may reach slightly beyond of this region, which is a consequence of large scale dynamical processes. Therefore, in the following our region of interest is roughly defined as this region, but we would refrain from presenting rigid boundaries. The paper is structured as follows: the remainder of Introduction provides a list of results of observational studies of the IGW activity or characteristics relevant to the region of interest. Description of data and methodology used for processing of the GPS RO data are provided in the next section. The following section Results starts with an introduction of climatology of the stratospheric low annual cycle area and then, primarily, results of the analysis of IGW activity and stability in the region of interest are presented. The section Results smoothly passes into the section Discussion with its sub-



section 3.4, where the results of the wind direction and its change analysis are presented together with discussion of possible wave sources. Possible reasons why this IGW hotspot area was not discovered before are discussed in the Discussion section together with the appropriateness of  $E_p$  as a wave activity proxy and with possible implications for the middle atmospheric dynamics (longitudinal variability of Brewer-Dobson circulation, creation of planetary waves with effects on the polar vortex stability and stratosphere-troposphere exchange). The summary and conclusions are presented in the last section.

## 1.1 Satellite studies of wave activity

There have been a number of studies that dealt with IGW activity globally. In the following we will give a brief summary of the results bearing some information on the IGW activity, characteristics and peculiarities over our region of interest. Alexander et al. (2008) using 2006/07 NH winter data from FORMOSAT-3/COSMIC found that the potential energy,  $E_p$ , of IGWs (vertical wavelength  $< 7$  km) is mostly related to the subtropical jet stream with some regional scale contributions from orography. Among other areas they have found a 2006–2007 winter mean 17 – 23 km  $E_p$  maximum above Japan and suggested that it is due to orographic waves coinciding with strong subtropical jet wind speeds in this area.

McDonald et al. (2010) studied geographic variation of the RMS temperature difference between pairs of FORMOSAT-3/COSMIC profiles, and the maps of RMS at 15 km and 30 km altitude show enhancements in the Northern Hemisphere subtropics in the vicinity of the Gulf of Mexico and the KuroshioKuroshiro<sup>v3(c)</sup> stream. These regions have previously been identified as regions of strong gravity wave activity associated with convection (Preusse et al., 2001; Jiang et al., 2004; Preusse and Ern, 2005). Their results demonstrate that IGW activity dominates the variability observed in stratospheric temperature at time and spatial scales often used in validation studies and they suggested that much of the seasonal variability observed at higher altitudes may be due to changes in the IGW propagation conditions in the lower stratosphere while the wave field may be particularly affected by changes in the zonal wind field between 15 and 25 km and especially longer horizontal

wavelength waves (with smaller phase speed  $c_p$ ) may be preferentially removed by critical level filtering in this region.

Wang and Alexander (2010) presented global maps of seasonal mean IGW amplitude, vertical and horizontal wavelength, intrinsic frequency to Coriolis parameter ratio and horizontal wave propagation. Contoured maps of 2006 December to 2007 January vertical wavelengths in the altitude range of 17.5-22.5 km reveal that in our area of interest the leading mode has shorter vertical and horizontal wavelength than in other equivalent latitudes areas while having comparable amplitude. This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest, or this could be an effect of different IGW sources or different background wind structure in this region. This might suggest higher buoyancy frequency (stronger stratification) values in the area of interest<sup>v3</sup>(9).

Faber et al. (2013) calculated momentum fluxes connected with IGWs together with their vertical and horizontal wavelengths using sets of three co-located FORMOSAT-3/COSMIC RO profiles. Their results show that in summer 2006 as well as in winter 2006/2007 there are regions of longer dominant vertical and horizontal wavelength and corresponding increased mean potential energy and<sup>v3</sup>(10) momentum flux above our region of interest in the altitude range of 20-30 km.

Wright and Gille (2013) used the S-Transform method to allow the detection of multiple overlapping waves, and they found that including these waves changes the observed distribution of IGW momentum flux. An overall 68 % increase in measured momentum flux was observed for the 20-30 km altitude range, with significant regional variability. Among others, they found enhancement of the relative importance of the Himalayas on a global scale.

Wright et al. (2011) have compared HIRDLS, COSMIC and SABER for the detection of stratospheric gravity waves and concluded that taking into account different vertical resolution of these instruments, all of them reproduce each other's results for magnitude and vertical scale of waves in approximately 50 % of cases, although COSMIC has a positive frequency and temperature variance<sup>v3</sup>(f) bias. This should be supposedly due to the higher vertical resolution.

Using data from HIRDLS Ern and Preusse (2012) computed spectral distribution in terms of horizontal and vertical wavenumber for IGW momentum flux. They labeled the southern edge of our area of interest as deep convection area and at 25 km altitude they computed mean IGW momentum fluxes (from June to August) to range from -2.7 to -3.2 log<sub>10</sub> Pa from south to north in the area being nothing special across the globe. For November, Ern et al. (2011) found the one of the largest IGW momentum flux values north of Japan at the altitude of 30 km. Their analysis of SABER/TIMED gravity wave momentum flux reveals increasingly interesting patterns with altitude up to 70 km above the region of interest.

Zhang et al. (2012) studied the activity of IGWs from SABER/TIMED temperature profiles between January 2002 and December 2009. For vertical wavelengths between 2 and 10 km integrated over a layer between 21 and 45 km they found in all seasons only small values of  $E_p$ , not exceeding 1.5 J/kg above the area of interest. Further south they identified the region of South-east Asia as a region with large  $E_p$  values corresponding to the tropical deep convection.

Ern et al. (2013) introduced projects having addressed IGWs in the priority program Climate and Weather of the Sun-Earth System (CAWSES). They showed global distributions of IGW (vertical wavelength range 4-10 km) momentum flux derived from SABER temperature data at 25 km altitude, averaged over the years 2002–2006 for the months of January, April, July and October. They did not find exceptionally large fluxes above the northwestern Pacific region in any season. Again, south of the area, in April, July and October there is a clearly visible local maximum region likely due to IGWs generated by deep convection.

John and Kumar (2012) studied IGW activity from the stratosphere to the lower mesosphere in terms of their potential energy. Averaging SABER data from 2003-2006 and in the region 20-60 km they presented monthly mean global maps of IGW potential energies. Maximal values are ranging up to the 120 J/kg (above the Andes and above Scandinavia in winter) but in the area of interest the IGW potential energy does not exceed 20 J/kg in any month and interestingly there are not expected areas of higher  $E_p$  connected with deep convection. Potential energy averaged in the 60-80 km height range exhibits almost the same distribution across the globe.

Baumgaertner and McDonald (2007) highlighted the role of background winds in the gravity wave distributions and there are some papers where information about wind patterns above the area of interest can be found. Oberheide (2002) computed zonal and meridional geostrophic wind fields in the altitude range of 20-90 km from the Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA) and we can see on the 9 November 1994 by zonal winds at 1 hPa (above the upper boundary of RO analysis) that the jet avoids the region of interest. Verkhoglyadova et al. (2014) computed geostrophic wind maps from a simulated dataset based on COSMIC RO for the upper troposphere/lower stratosphere (UT/LS) region and for example in January 2007 one can see at the 200-hPa constant dry pressure surface the highest zonal wind speeds starting above Japan and continuing further eastward above the Pacific. This feature emerges also in January 2009 as shown by Scherllin-Pirscher et al. (2014) (their figure 2). The 200 hPa level is below the usually defined lower boundary of GPS RO IGW analyses, but conditions of the upper troposphere influence the upward propagation of IGWs into the stratosphere.

## 2 Data and methodology

To describe the background climatology over our region of interest, we have analyzed the MERRA (Modern Era Reanalysis for Research and Applications Rienecker et al., 2011) and JRA-55 (Japanese 55-year Reanalysis Ebata et al., 2011) series for the 1979-2013 time interval. The data were analyzed on a monthly basis in the horizontal resolution of  $1.25^\circ \times 1.25^\circ$ . We have studied the<sup>v3</sup> temperature, geopotential height, ozone mixing ratio<sup>v3</sup>(g) and zonal wind. To examine the annual cycle amplitudes, we used a continuous wavelet transform (CWT, e.g. Torrence and Compo, 1998; Percival and Walden, 2006) that delivers the amplitude of a detected oscillation as a function of both frequency and time. To study spatial variation of the annual cycle amplitude, we applied an extension of the CWT – the pseudo-2D wavelet transform (Pišoft et al., 2009; Pišoft et al., 2011). The analysis was computed using the Morlet mother wavelet, with the wavenumber  $\Omega_0$  equal to 6. To construct the 95 % confidence intervals, we have employed a significance test by Torrence and

Compo (1998). For the interpretation only statistically significant results outside the cone of influence were selected.

To investigate the IGW activity in the area of interest compared to the other regions, we have analyzed L2 level FORMOSAT-3/COSMIC RO data (Anthes et al., 2008), 2008) on a  $3^\circ \times 3^\circ$  grid from 2007 to 2010. GPS RO data proved to be a very useful tool for atmospheric monitoring and studies. They are frequently used for analyses of the internal gravity waves in the upper troposphere-lower stratosphere region. GPS data are characterized by a good vertical resolution providing atmospheric profiles with global coverage under all weather and geographical conditions (Foelsche et al., 2008). Atmospheric density is the first quantity of state gained in the GPS RO retrieval process and is not burdened by any additional assumptions, as it is the case, e.g., with temperature. According to the linear theory of the internal gravity waves (IGWs), a separation between a small wave-induced fluctuation and background field has to be performed. As shown by Marquardt and Healy (2005), small-scale fluctuations of dry temperature RO profiles can be interpreted with certainty as IGW, when the vertical wavelength is equal or greater than 2 km. To separate the perturbations, we applied a method described in Šácha et al. (2014) for the density background separation. The method is based on fitting the buoyancy frequency height profile and on the consequent analytical derivation of the background density functional dependence on altitude. After the background separation and normalization of the disturbances we obtain one normalized density perturbation height profile for each occultation.

Šácha et al. (2014) argues that the usage of density profiles bears many advantages, for example the inclusion of non-hydrostatic waves. Those are the waves with frequencies close to the buoyancy frequency and with phase line slopes significantly different from zero (Sutherland, 2010). These waves are often too small to be resolved by circulation models and according to CCMVal (2010) such "unresolved" (10-1000 km) IGWs play a significant role in stratospheric circulation, driving nearly a quarter of the stratospheric circulation in comprehensive models.

The lower boundary of analysed IGW vertical wavelengths should be normally determined by the Nyquist frequency arising from the vertical resolution of the occultation tech-

nique, but since we are more interested about the relative distribution of IGW activity than about the absolute values we are not making any vertical wavelength cutoff at the lower boundary. We assume the noise to be almost independent of the geographical location, which is, however, generally not true (Marquardt and Healy, 2005) and so our calculated distributions of IGW activity can be partly affected by the spatio-temporal distribution of noise. ~~we assume the noise to be almost independent of a geographical location~~<sup>v3</sup>(6). The discussion on adequate choice of the wavelength cutoff for studying gravity waves through RO measurements is still open (Luna et al., 2013), thus, we decided not to make any cutoff even at the upper boundary of vertical wavelengths. Considering the geographically variable vertical extent<sup>v3</sup>(h) of our analysis (from the tropopause up to 35 km) we must note that the IGW modes with vertical wavelengths comparable or greater than the vertical range ( $\approx 15$  km and more) will be increasingly underestimated with increasing tropopause altitude. But because these modes have vertical wavelengths many times longer than the most energetic modes (2-5 km in the lower stratosphere (Fritts and Alexander, 2003)), we do not expect this underestimation to significantly affect our results.

Because single GPS measurement provides just a snapshot of an actual atmospheric state without any direct information from the cotangent phase space (e.g. velocity), the choice of derivable diagnostic quantities is quite restricted. Many authors used the energy density as a measure for wave activity (e.g. Tsuda et al., 2000; Ratnam et al., 2004; De la Torre et al., 2006; Hei et al., 2008). We have computed the potential energy density of disturbances per unit mass  $\bar{E}_p$  using the formula provided by Wilson et al. (1991):

$$\bar{E}_p = \frac{1}{2} N^2 \langle \xi^2 \rangle = \frac{1}{2} \left( \frac{g}{N} \right)^2 \left\langle \frac{\rho'}{\bar{\rho}} \right\rangle^2, \quad (1)$$

where  $\xi$  is a wave induced Lagrangian displacement,  $N$  is the buoyancy frequency,  $\rho'$  is the density perturbation and  $\bar{\rho}$  the background density.  $\langle ()^2 \rangle$  denotes a variance. For a single profile, the variance is computed in altitude and the symbol  $\langle \rangle$  means averaging across the whole altitude range of the analysis (from tropopause up to 35 km). To obtain the

results also at particular height levels, the variance is then computed across the ensemble of occultations belonging to the grid at those levels.

Tsuda et al. (2000) referred to VanZandt (1985) for a theoretical evidence that the ratio between kinetic (mostly horizontal) and potential energy is approximately constant and equal to the spectral index  $p$  (roughly 5/3 to 2) and then concludes that under the linear theory it is possible to estimate total energy from temperature observations only. If this is true for temperature perturbations it should then naturally stand for density as well. Tsuda et al. (2000) further compared the climatological behavior of potential (from GPS/MET) and kinetic energy of disturbances (from MU radar) around Japan and found reasonable agreement.

Ratnam et al. (2004) validated potential energy of disturbances computed from the GPS RO dry temperature profiles versus radiosondes data. They found that at most of the heights, values estimated from ground based instruments are showing higher values. One of the reasons for this is the lower vertical resolution of GPS RO compared with radiosondes or Lidar. This situation should slightly improve when using the density profiles, mainly due to the higher spectral power at lower vertical wavelengths Šácha et al. (2014). Another source of reduction is the viewing geometry with respect to the wave fronts (e.g. Lange and Jacobi, 2003).

Nevertheless, Tsuda et al. (2000), among other approximations made by VanZandt (1985), whose discussion is beyond the scope of this manuscript, did not explicitly mention a crucial assumption of theoretical saturated spectra in the interval used for the derivation of the constancy of wave kinetic to potential energy ratio. For a single IGW in the rotating frame of reference, however, the equipartitioning holds between the kinetic energy in the  $x$ - $z$ -plane and the sum of kinetic energy in the  $y$ -direction and potential energy (consequence of the out of phase motion in  $y$ -direction vs. in the  $x$ - $z$ -plane). So, for a single IGW, the partitioning ratio between wave kinetic and potential energy is dependent on its intrinsic frequency (Bühler, 2014). Approximately we can write:

$$\bar{E}_p = \left(1 - \frac{f^2}{\hat{\omega}^2}\right)\bar{E}, \quad (2)$$

where  $\bar{E}$  is the mean wave energy,  $f$  is the Coriolis parameter and  $\hat{\omega}$  is the intrinsic frequency. We will come back to the question of how good a proxy for wave activity the potential energy is in the discussion, after we will see in our results an indication of possible masking of regions with important IGW activity.

- 5 For this purpose we have employed two characteristics (novel in GPS RO studies) to access the stability of the wave field. A stratified fluid may become unstable if disturbances can overcome the stabilizing effect of buoyancy by drawing kinetic energy from the mean flow. The necessary condition for such a dynamical instability is (Sutherland, 2010):

$$10 \quad Ri_g = \frac{N_0^2}{s_0^2} < 1/4. \quad (3)$$

- Here  $Ri_g$  is the gradient Richardson number,  $N_0$  is the background stratification frequency and  $s_0$  is the background wind shear. Senft and Gardner (1991) have estimated the gradient Richardson number by appropriately scaling the variance of the vertical gradient of relative density perturbations, assuming that the background wind shear is negligible, and using the polarization relations for IGWs they had:

$$15 \quad Ri_g = \frac{g^2}{N^4} \left\langle \left[ \frac{\partial}{\partial z} \left( \frac{\rho'}{\bar{\rho}} \right) \right]^2 \right\rangle. \quad (4)$$

In our analysis we search for local values instead of computing the vertical variance.

Another characteristic is used to access the overturning instabilities. According to Sutherland (2010) we define  $\sigma$ , the maximum growth rate of disturbances arising from Rayleigh–Taylor convective instability, as:

$$20 \quad \sigma^2 = \frac{g}{\rho_0} \left( \frac{d\bar{\rho}}{dz} + \frac{\partial \rho'}{\partial z} \right). \quad (5)$$

The value of  $\sigma$  is real where waves drive the fluid to be overturning. Nevertheless, for convective instability to occur (for the waves to overturn and break), the convection growth



should be faster than the wave frequency Sutherland (2010). For hydrostatic waves, only the overturning condition suffices to access the stability.

In reality there is an interplay between different types of instabilities and interactions and therefore we do not use these characteristics to find areas or seasons where values exceed the exact threshold and turbulence and mixing occurs. In fact, it is natural to expect the values of those characteristics to be below their threshold because of the effect of the observational filter (Lange and Jacobi, 2003; Trinh et al., 2015), and also the probability that the occultation takes place at the exact time of IGW breaking is low. ~~It is also natural to expect the values of those characteristics below their threshold because the probability that the occultation takes place at the exact time of IGW breaking is low.~~<sup>v3</sup>(11) Instead, we use these characteristics as a comparative hint to study the geographical distribution of possible IGWs effect on the stratosphere, mainly comparing values in the region of interest with other areas. The lower the  $R_{ig}$  value and the higher the value of  $\sigma^2$  is, the higher is the probability that breaking of IGWs is underway and that waves are interacting with the mean state in a region.

For all results, to illustrate large intervals of irregularly distributed values, we have followed the approach applied by Pisoft et al. (2013). For the frequency and IGW characteristics, we use a color-scale derived from the relative frequency of the detected values. The results were sorted according to their values and subsequently split into 100 equally large groups. The groups define intervals for particular colors and every color then represents the same number of identified values. Using this approach, even subtle features of the illustrated fields can be displayed. On the other hand, this technique leads to a highly non-uniform scaling that has to be reflected in the subsequent interpretation of the results.

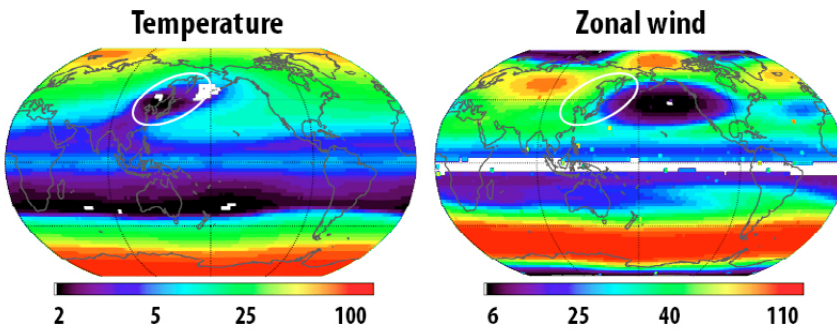
### 3 Results

Here, we present selected results consisting of a) Presentation of the anomaly over the region of interest using the wavelet analysis and climatology of the annual cycle, b) IGW analysis describing the spatial distribution of potential energy of the disturbances, c) analy-

sis of the Richardson number and sigma indicating wave breaking and d) study of possible wave sources with the use of cumulative wind rotation analysis. Supplement and more detailed results of analysis a)-d) are presented in the supplementary material.

### **3.1 Anomaly over the Northern Pacific / Eastern Asia region**

- 5 Figure 1 illustrates the distribution of the wavelet power linked to the annual cycle amplitude in the temperature and the zonal wind field at 30 hPa using the MERRA reanalysis. A region of anomalous small amplitudes is seen across the Northern Pacific and Eastern Asia. This anomaly is found for levels from about 50 hPa up to 10 hPa for temperature and up to 1 hPa for zonal wind (for details see Fig. S1-S3 in the supplementary material).



**Figure 1.** Annual cycle amplitudes of temperature (left) and zonal wind (right) at 30 hPa. The non-linear color-scale used represents the square root of the wavelet power in K for temperature and in m/s for zonal wind. Annual cycle amplitudes in the temperature (left) and zonal wind (right) series at 30 hPa. The color-scale used represents square root of the wavelet power in K for temperature and in m/s for zonal wind.<sup>v3</sup>

The region where the anomaly is detected can be linked also to other distinct characteristics found in climatological fields. Considering the mean annual cycle of the temperature, zonal wind and ozone, we see very specific patterns in the stratosphere over the Northern Pacific and East Asia region coinciding with the area of interest in this study.

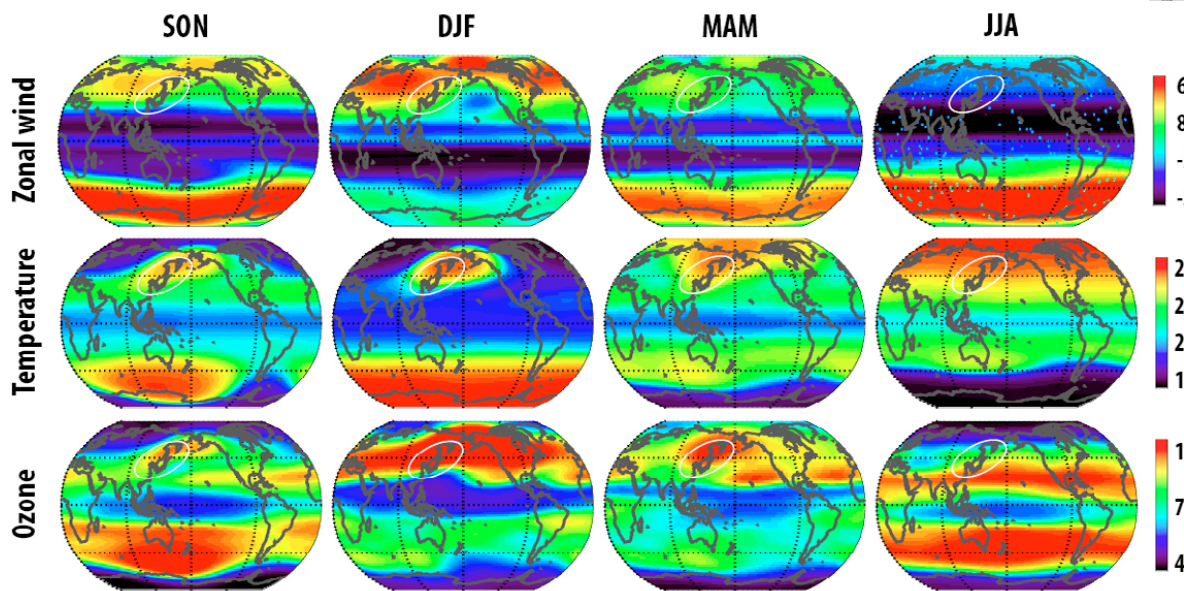
Figure 2 presents the 1979-2013 mean seasonal averages at 30 hPa from MERRA (for other levels see Fig. S4-S6 in the supplementary material). In NH winter and, weaker expressed, in spring and autumn, there is an<sup>v3(k)</sup> eastward wind minimum over the Northern Pacific. The westerly jet is shifted northward, which results in a wave-1 pattern. A similar pattern can be found in the SH midlatitudes where the jet stream is shifted slightly poleward at similar longitudes.

Seasonal averages of the temperature series at the 30 hPa (Figure 2) reveal a region of the high autumn and winter temperatures over the area of interest. A similar structure is found from about 100 hPa up to 10 hPa (for details see Fig. S5 in the supplementary material). A similar anomaly is found also in the Southern Hemisphere south of Australia, but most strongly in SH Spring. For levels above about the 10 hPa there is not such a well-marked pattern in the temperature field as in the lower levels any more. This can be connected to the higher temperatures in lower levels, which lift the 10 hPa level with respect to other locations. In the zonal wind field, the jet stream northward shift is still clearly visible even at the 10 hPa.

Figure 2 also illustrates the<sup>v3(l)</sup> mean annual cycle in the ozone series at 30 hPa. There is a region of enhanced ozone concentrations above the area of interest during the whole year except for NH summer. Maxima in the ozone concentration fields are shifted northward above the area of interest in NH winter again producing the wave-1 pattern. During autumn and spring, the maxima of concentration are found over the area of interest. In general the

ozone concentrations above the area of interest are among the highest in NH mid to polar latitudes.

Specific patterns found in the mean climatology in Figure 2 can be considered as the signature of an enhanced downwelling of the equatorial air reaching more northward over the NH Pacific. In the next section we are looking for a stronger IGW activity above the analyzed region, because such localized stronger wave activity region could hypothetically lead to a longitudinally distinct intensified branch of the Brewer-Dobson circulation. Also, the wave-1 pattern in the ozone, temperature and zonal wind fields at 10-30 hPa suggests that the area of interest could play an important role in the dynamics of the polar vortex making it zonally asymmetric and forcing the wave-1 structure.

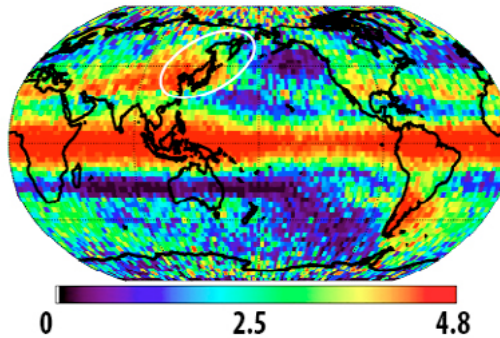


**Figure 2.** Seasonal averages of zonal wind in m/s, temperature in K and ozone mass mixing ratio in mg/kg for 1979-2013 using MERRA reanalysis (non-linear color scale used). Seasonal averages in zonal wind in m/s, temperature in K and ozone mass mixing ratio in mg/kg for 1979-2013 time period using MERRA series.<sup>v3</sup>

### 3.2 IGW Analysis

It should be noted that the time interval of the analysis of the MERRA dataset is much longer than the interval of the following IGW analysis. For consistency, we computed annual cycle amplitudes and mean seasonal averages also for the period 2007–2010 (not shown here). The results show that the above-described features are similar for this short period comparing to the original one. Kozubek et al. (2015) studied the longitudinal distribution and long-term trend of NH stratospheric winds and identified a dominant effect of the Aleutian High (our region of interest is located at its western border in the stratosphere). They found that the trends of meridional winds connected with Aleutian High are independent of Sudden Stratospheric Warmings (SSW) or QBO. They observed intensification of the winds in the period of ozone depletion deepening (1970-1995) and weakening of the winds in the period of ozone recovery (1996-2012). However, there is an indirect dependence of the winds on QBO, as the solar cycle influence is pronounced mainly for the west phase of QBO.<sup>v3</sup>(8)

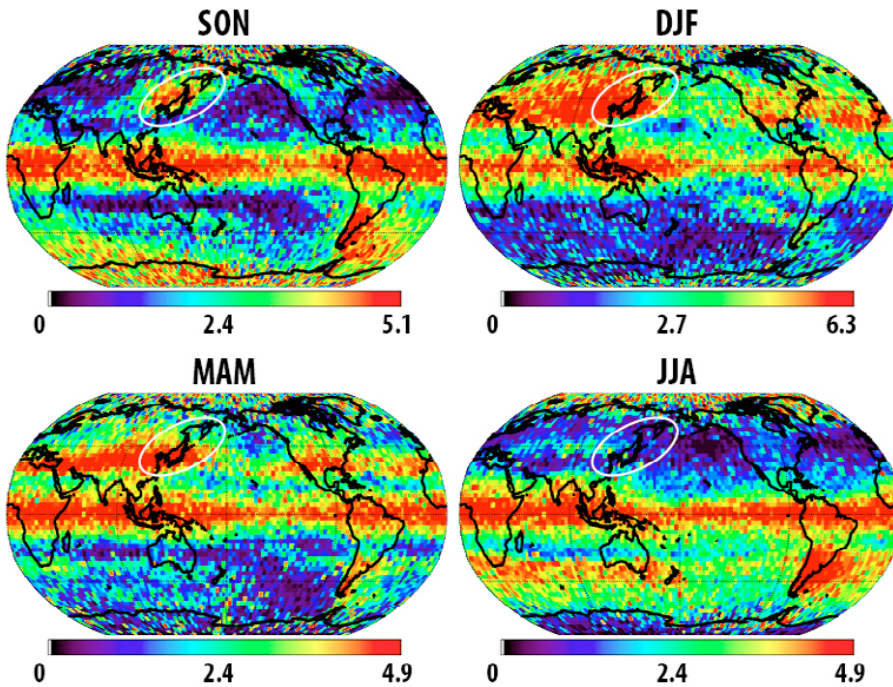
Figure 3 shows the mean potential energy averaged across the whole vertical profile and averaged over four years 2007 – 2010. The  $\bar{E}_p$  values over eastern Asia are as large as in the equatorial area (including a possible Kelvin wave contribution there) or in regions with significant topography (e.g. the Andes). The area of enhanced  $\bar{E}_p$  spreads out from Himalayas to the east and above the region of interest.



**Figure 3.** Annual mean of the potential energy in J/kg averaged across the whole vertical profile for 2007–2010 (non-linear color scale used). Annual mean of the potential energy in J/kg averaged across the whole vertical profile for the studied time period 2007–2010.<sup>v3</sup>

Other important features are visible in the seasonal means of the  $\bar{E}_p$  averaged across the whole vertical extent (Figure 4). While in winter and spring the area of interest lies in the region of high  $\bar{E}_p$  values extending over the whole latitude circle (except for the Northern Pacific) and strengthening eastward of Himalayas, in summer there is a region of low values over the broad region of Pacific Ocean. Finally, in autumn, there is a unique and localized area of the highest  $\bar{E}_p$  values (ranging up to 6 kJ) in the northern hemisphere.

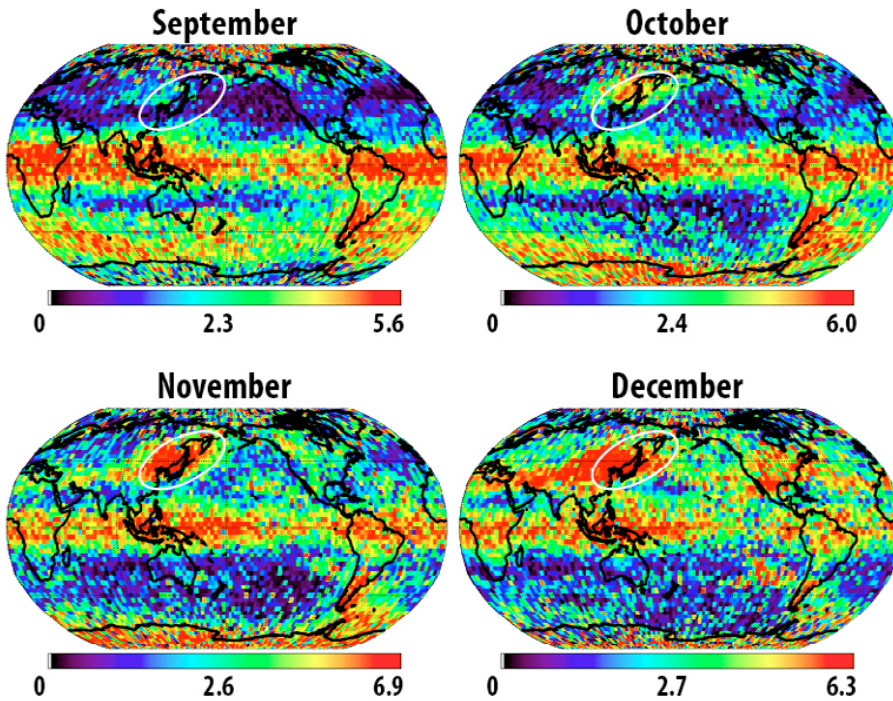




**Figure 4.** Seasonal means of the potential energy in J/kg averaged across the whole vertical profile for 2007–2010 (non-linear color scale used). Seasonal means of the potential energy in J/kg averaged across the whole vertical profile for the studied time period 2007–2010.<sup>v3</sup>

Analyzing this pattern on a monthly basis (Figure 5), we may see that in September there is an area of low  $\bar{E}_p$  above the northern Pacific while over the North-East Asia there is a weakly defined area of higher  $\bar{E}_p$  values around 2.5 kJ. This region expands eastward above Japan in October and the wave activity strengthens. In November, there is a well-defined area of northern hemispheric maximal  $\bar{E}_p$  above the region of interest. In December, we can see a typical winter pattern of high  $\bar{E}_p$  values ranging approximately from Himalayas while strengthening towards the analyzed region.

5



**Figure 5.** Selected monthly means of the potential energy in J/kg averaged across the whole vertical profile for 2007–2010 (non-linear color scale used). Selected monthly means of the potential energy in J/kg averaged across the whole vertical profile for the studied time period 2007–2010.<sup>v3</sup>

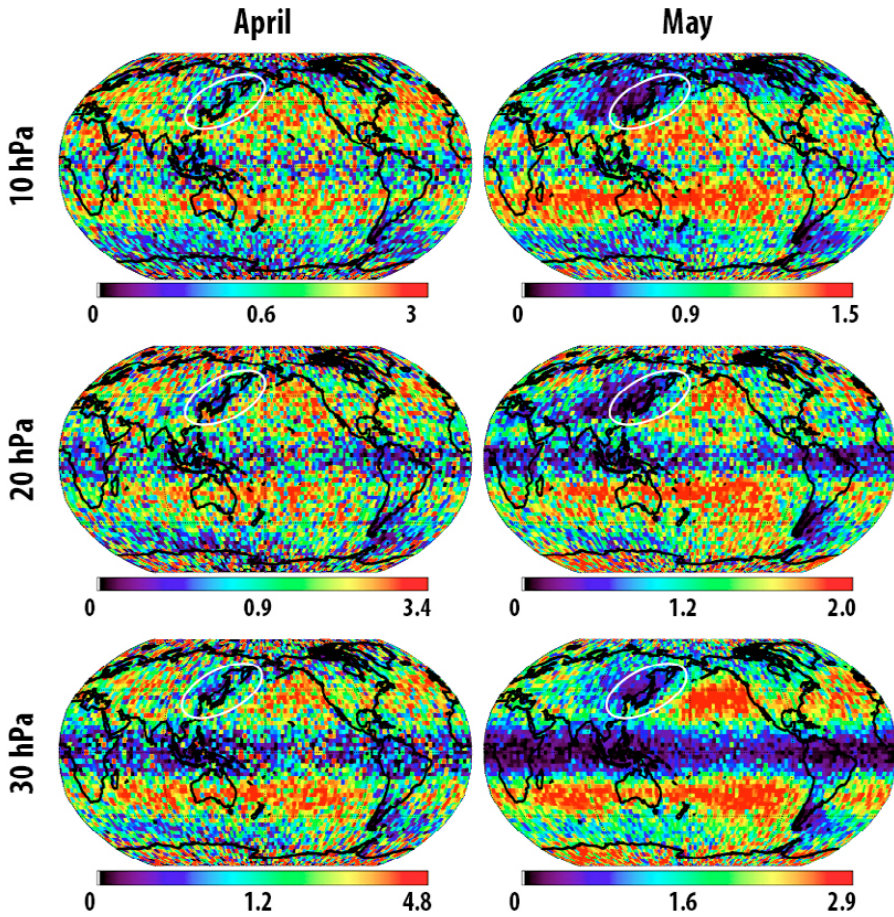
In fields for individual pressure levels (Fig.S7 in supplement), the described patterns over the area of interest are detected from 70 hPa up to the 6 hPa level. All these results support the hypothesis of special IGW activity in the analyzed area.

The specific annual cycle of the stratospheric conditions over the area of interest described in the previous chapter is in accordance with this wave activity cycle. Wave activity generally precedes changes of the residual circulation and its dynamical effects (e.g. Kuchar et al., 2014) and in the distribution of temperature and zonal wind the region is pronounced most significantly in winter. However, to quantify the role of such localized wave activity maxima for the specific dynamics leading to the anomalous annual cycle amplitudes<sup>v3</sup> in this region, it would be necessary to have information on time evolution of the wave activity and distribution of dissipative processes in the region to quantify a wave-mean flow interaction in the sense of generalized Eliassen-Palm theorem (for zonal averages, see e.g. Andrews and McIntyre, 1987, equation (3.6.2)). But this approach can not be applied having the information from observations only.

Therefore, further analysis is focused on the stability of the wave fields in order to find regions of possible wave breaking and interaction with the mean state of the atmosphere. This can help to quantify the relative importance of this region and may give a better view on its dynamical effects on the stratospheric circulation. The analysis is followed by a discussion of the types and sources of IGWs in this region especially in October and November, based on study of prevailing surface wind directions and the rotation of the wind (change of wind direction) with height.

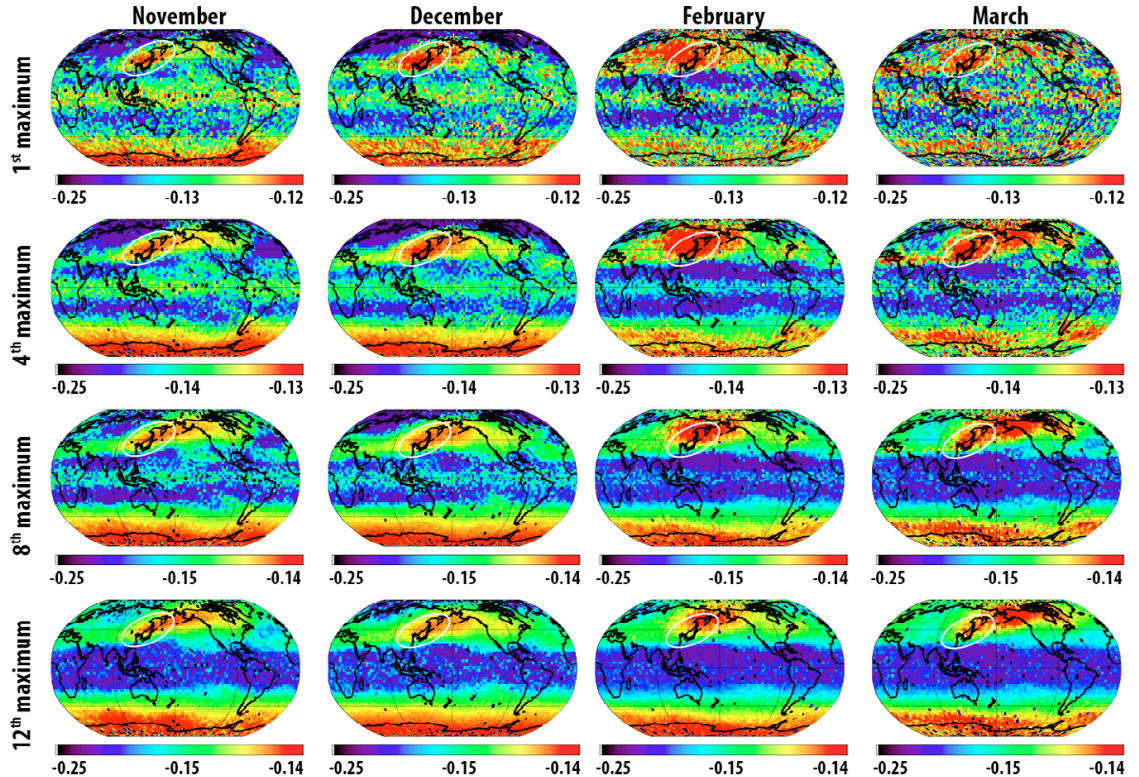
### 3.3 Wave breaking indication

Figure 6 presents the distribution of the gradient Richardson number at three levels between 30 and 10 hPa in April and May. The fields are shown for those months when the  $\bar{E}_p$  values in the area of interest do not stand out against other regions. One can see from Fig. 6 that at each altitude considered the gradient Richardson number ( $Ri_g$ ) is small over the area of interest. Further analysis showed that, except for March, June, July, August, and September, the lowest  $Ri_g$  values are over the area of interest at all levels from 70 up to 6 hPa (for details see Fig. S8-S11 in the supplementary material). This suggests that the wave/wind fields in this region are closer to dynamical instability than in other regions. An interesting pattern emerges in October and November (Fig S8 in supplement) and at some pressure levels also in the winter season (Fig S11 in the supplement). Then low  $Ri_g$  values are located not only above the analyzed region but they are extending along the western Pacific coast and then southward along the eastern Pacific coast to Northern America. This is in agreement with the wave one pattern of the jet stream and the jet location shown in Figure 2: low  $Ri_g$  values are detected even in the areas where the wave activity is not especially strong. Suitable background conditions, i.e. strong wind shear, can bring the waves close to instability and allow them to affect the mean flow.



**Figure 6.** Selected monthly means of the gradient Richardson number at 10, 20 and 30 hPa for 2007–2010 (non-linear color scale used). Selected monthly means of the gradient Richardson number at 10, 20 and 30 hPa for the studied time period 2007–2010.<sup>v3</sup>

Results of the analysis of the sigma, i.e. the Rayleigh-Taylor convective instability disturbances growth rate are illustrated in Fig. 7. The distribution of the maximum detected in the sigma  $\sigma^2(a)$  profiles (uppermost row) is presented together with the distribution of selected secondary maxima in each grid box. The results are calculated in such a way that within all of the occultation profiles in a selected grid box and time interval we look for the highest sigma  $\sigma^2(a)$  value, consider it (noting its altitude as well) as the first maximum and then we look for the second highest value etc. The secondary values are often found in different profiles or are from the same profile but located at lower altitudes. Positive values of sigma  $\sigma^2(a)$  indicate convective instability, so that we observe negative values only, however, small magnitudes of  $\sigma$  indicate regions of weak stability. Figure 7 further accentuates the importance of the area of interest. The maximum  $\sigma$  values detected over the region of interest (often together with its coastline extension) are a dominant feature of the maps. These findings indicate a vertically robust and persistent breaking of IGWs. Also the altitudes of the sigma  $\sigma^2(a)$  maxima over the region of interest are among the lowest detected ones (around 25 km altitude, for details see Fig. S12 and S13 in the supplementary material), suggesting that breaking begins at lower levels in this region. Another interesting result is the detection of high sigma  $\sigma^2(a)$  maximum values in the stratosphere over the summer polar latitudes. These are found for the first maximum but are better visible for secondary maxima at lower altitudes. This finding is in line with Baumgaertner and McDonald (2007) who attributed the small amount of summertime potential energy to lower level critical filtering.



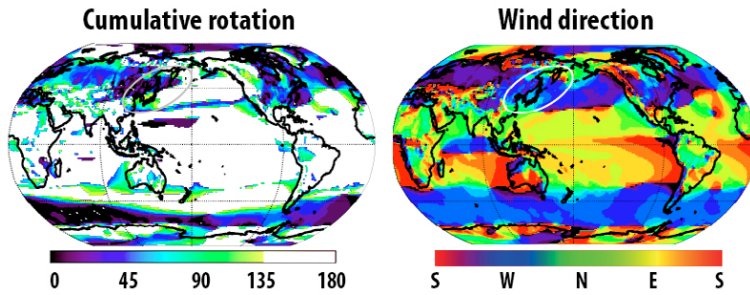


**Figure 7.** Selected monthly means of primary and selected secondary (i.e., higher order) sigma squared maxima in  $s^{-2}$  for 2007–2010 (non-linear color scale used). Selected monthly means of selected (secondary) sigma maxima in  $s^{-2}$  for the studied time period 2007–2010.<sup>v3</sup>

### 3.4 Possible wave sources

The IGW spectrum is shaped not only by different sources but it also reflects tropospheric background conditions contributing to filtering of various gravity waves (Fritts and Alexander, 2003). This can be easily applicable to the orographic gravity waves that are critically filtered when the wind speed is zero. This condition is fulfilled in case of the directional shear exceeding 180 degrees. Above regions where this is fulfilled, one can rule out the possibility of orographic gravity wave modes contributing to the observed IGW activity. Vice versa, regions of small wind rotation (change of wind directions between levels) from the lower levels are favorable for vertical propagation of orographic waves. Alexander et al. (2009) argued that higher stratospheric orographic wave activity is expected to be observed when there is relatively little rotation between the surface and 400 K isentropic surface.

We studied the wind direction and its change between 975 hPa and higher levels. The results are shown in Figure 8 for November 2008. All results are presented in Fig. S14-S15 in the supplementary material. The analysis shows that in the region of interest the wind direction changes only little. Only in June, July and August the orographic gravity waves are likely to be completely filtered out of the spectra before reaching the lower stratosphere 100 hPa level above the analyzed region.



**Figure 8.** Cumulative rotation of wind from 975 hPa to 30 hPa (left) and prevailing wind direction at 975 hPa (right). Computed from JRA-55 for November 2008.

The direction of the surface winds suggests orographic formation of the IGW due to the topography of Japan, Sachalin, Korean Peninsula or eastern Asia coastline. The significance of this topography is enhanced by the contrast with the ocean surface. Considering the optimal conditions for propagation into the stratosphere and the topography suitable for emitting large amplitude orographic waves, we conclude that a significant part of the measured IGW spectra in the area of interest may consist of orographic IGWs. This is in line with findings of Alexander et al. (2008) regarding to this region.

Another source of IGWs in this region, which is of special interest for their autumn appearance, is convective activity connected with the Kuroshio Current. The role of it in forcing IGWs has been pointed out e.g. by McDonald et al. (2010) and Jia et al. (2014). Ern and Preusse (2012); Zhang et al. (2012); Ern et al. (2013) labeled an area to the south of the region of interest as a deep convective region with large gravity wave activity. Taking into account that the meridional propagation is predominantly northward (Horinouchi and Tsuda, 2009), we may conclude that these waves could play a role in the region of interest mainly in spring and summer.

Alexander et al. (2009) argued that the FORMOSAT-3/COSMIC observed IGW variance in the stratosphere likely depends upon a combination of orographic waves, Doppler shifting of tropospheric source waves and possibly some in situ stratospheric wave generation.

~~Considering the wind field in the region of interest, the Doppler shifting plays a role in amplifying wave amplitudes while propagating upwards and it also may be accounted for one of the possible sources of enhanced wave activity in this region.~~<sup>v3</sup>(3)

~~Finally, in connection with the in-situ wave generation in the upper troposphere/lower stratosphere of the region of interest, there is a likely contribution to the IGW spectra from geostrophic adjustment processes connected with the jet stream location there. According to Mohri (1953), during the colder season the subtropical jet stream reaches the maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extreme thick frontal~~

layers (Mohri, 1953). Such episodes can become a very interesting and unique source of IGWs in this area.<sup>v3(3)</sup>

5 Considering the wind field in the region of interest (seasonally dependent location of the subtropical westerly jet and the polar front jet in the upper troposphere/lower stratosphere), the Doppler shifting must play a role in amplifying wave amplitudes while propagating upwards and it may also be accounted for one of the possible reasons of the enhanced wave activity in this region.<sup>v3(3)</sup>

10 Finally, in connection with the jet location above the region of interest, we can expect a strong contribution to the IGW spectrum from spontaneous emission processes (Plougonven and Zhang, 2014). Evidence for this claim can be found e.g. in Hirota and Niki (1985) and Sato (1994) who analysed Middle and Upper atmospheric radar data (located at Shigaraki, Japan, falling into our region of interest) and who found inertia-IGW propagating upward and downward from the jetstream. Orographic waves were also identified.<sup>v3(3)</sup>

15 As a curiosity, according to Mohri (1953), during the colder season the subtropical jet stream reaches its maximum intensity south of Japan, while north of the Tibetan Plateau the polar front jet is located. Moreover, these jets sometimes merge (mainly in winter) and create extremely thick frontal layers. Such episodes can become a very interesting and unique source of IGW in this area.<sup>v3(3)</sup>

## 4 Discussion

20 The specific dynamics and IGW hotspot area has, to our knowledge, not been identified in previous observational or theoretical studies. In the following, we shall discuss possible reasons for this, together with a discussion of the usage of  $E_p$  as a wave activity proxy, and possible implications of such a unique wave activity region for the large-scale dynamics and transport in the middle stratosphere.

## 4.1 (Un)masking the IGW hot spot area

There are a lot of satellite studies of the global distribution of IGW activity. But autumn, the season of most unique wave activity in the region of interest, is often left out because the main focus is usually laid on the winter season. Generally, to our knowledge, there are only a few monthly analyses of the mean annual cycle of gravity wave activity. This can account for masking the significance of the region of interest.

One of the unique aspects of our analysis is also that we were purposefully looking for anomalous wave activity in the region of interest, which was hypothesized due to anomalies found in the zonal wind, temperature and ozone fields. ~~The presented results also illustrate an important role of a proper visualization approach. As described above, we use the color scale derived from the relative frequency of the detected values. Employing e.g. a linear scale would significantly reduce the visibility of the area of interest and without previous knowledge it could be almost unidentifiable. This is also one of the unique aspects of our analysis that we were purposefully looking for anomalous wave activity in the region of interest, which was hypothesized due to anomalies found in the zonal wind, temperature and ozone fields.~~<sup>v3(2)</sup>

The methodology of our analysis is novel by using GPS RO density instead of temperature profiles. Although the differences between IGW characteristics derived from the dry temperature and density profiles have not been studied in details yet, Šácha et al. (2014) have shown that in comparison with temperature the density perturbation spectra have higher power spectral density in the shorter vertical wavelength range below roughly 2.5 km. Comparison in the larger wavelength region is generally inconclusive because the power spectral density there is dominated by the background separation method. How this could influence the results of our analysis will partly be discussed in the next paragraphs.

## 4.2 Wave activity proxy

Šácha et al. (2014) summarized that, unlike using GPS RO dry temperature profiles, density perturbations include contributions from nonhydrostatic waves and the obtained wave

amplitudes are not additionally suppressed by the hydrostatic assumption in the retrieval. Nevertheless, the spatial distribution of non-hydrostatic waves, to our knowledge, has not been fully quantified yet. Thus it is possible that the magnitude of the difference between temperature and density spectra can change with location. Šácha et al. (2014) found differences between temperature and density PSD in the same region as we have analyzed. However, in other locations and basically in all vertical wavenumber regions where the PSD slope of perturbations disagree with the slope of theoretical saturated spectra the magnitude of differences between power spectral densities of temperature and density perturbations may vary. And the validity of  $\bar{E}_p$  as a wave activity proxy is crucially connected with the agreement or disagreement of vertical wavenumber spectra and theoretical saturated spectra.

There is a long tradition of using mean wave energy as a measure for wave activity in the IGW studies using GPS RO data. However, strictly speaking, the wave energy is not a conserved quantity during the wave propagation (Bühler, 2014). To estimate it using only instantaneous temperature or density measurements, a constant ratio between mean wave kinetic and potential energy has to be assumed (VanZandt, 1985). Then the key question is whether the vertical wavenumber spectrum obtained from the GPS RO occultation can be considered as saturated to fulfill the underlying essential assumption of universal saturated spectra of IGWs.

Theory of IGW spectra generally assumes saturation at each wavenumber (Smith et al., 1987) and although the observed spectra in the middle atmosphere are influenced by the distribution of tropospheric sources and filtering due to propagation in different conditions, they appear to be close to theoretical ones when observed over long times (Fritts, 1984).

Smith et al. (1987); Allen and Vincent (1995); Fritts and Alexander (2003) noted that mean energy and characteristic vertical wavenumber of gravity waves may experience significant variations with time and geographical location. This is due to the variations in the energy of motions at vertical wavenumbers lower than the characteristic wavenumber (around 3 rad/km in the lower stratosphere Fritts and Alexander, 2003; Tsuda et al., 1994) caused by

variable IGW sources, mean wind, and static stability. Even VanZandt (1985) noted that lee waves are likely to be important for small values of the characteristic vertical wavenumber.

For temperature profiles in the lower stratosphere, Steiner and Kirchengast (2000) documented that the average GPS/MET vertical wavenumber spectra have amplitudes smaller than a saturated one. There is a tendency of increasing discrepancy with the theoretically saturated spectra towards higher wavenumbers. Steiner and Kirchengast (2000) observed dominant fluctuations to occur at wavelengths near 3 and 5 km. But assuming a saturated spectrum, dominant fluctuations should have the largest wavelengths allowed by the methodology. It can be easily shown that for two profiles with the same value of  $\bar{E}_p$  but with different spectral contributions in the regions of disagreement with the theoretical slope, the resulting wave activity (mean wave energy) is different if the ratio between kinetic and potential energy changes with vertical wavenumber (direct proportion in the mid-frequency approximation).

So, to use  $\bar{E}_p$  theoretically correctly as a wave activity proxy, the interval of IGW wavelengths used in the computation should be bounded by the longest and shortest vertical wavelength where the vertical wavenumber power spectra density of disturbances has a slope similar to the theoretically predicted one. This would, however, substantially limit the spectral extent of GPS RO IGW analysis. In addition, Luna et al. (2013) has shown that the uncertainty in potential energy derived from GPS RO is influenced more by the choice of integration limits (lower and upper boundary of the vertical region) and maximal and minimal vertical wavelength of disturbances allowed by the filter.

Another approach for quantifying the wave activity, as proposed by Ern et al. (2004), is to compute momentum flux (vertical flux of horizontal pseudomomentum according to the naming convention by Bühler (2014)), which is a conserved quantity. This approach is theoretically consistent, but it is limited by introducing additional approximations such as a sinusoidal dominant wavelength in the midfrequency range. The advantage of this method could be seen in the results of (e.g. Wright and Gille, 2013), where the enhancement of significance of wave activity around the Himalayas could be owing to the better representation of lee wave activity with smaller slope of the phase lines (higher ratio between ki-

netic and potential energy), whose activity would be underestimated using  $E_p^{v3}$ (12). On the other hand, another large mountain range, the Andes, is oriented perpendicular to the mean winds. Thus, there is a shift to nonhydrostatic waves in comparison to the lee waves from the Himalayas (Durran, 2003). This makes the Andes better visible in the  $\bar{E}_p$  analyses due overestimation of IGW activity, although concurrently their amplitudes could have been smoothed using the ordinary GPS temperature data (Steiner and Kirchengast, 2000), which is not the case in our analysis. In this discussion, to show our point, we avoid for simplicity to discuss the effect of observational geometry with respect to the wave orientation, which could otherwise be a leading source of differences between observed IGW activity especially when contrasting Himalayas and Andes.<sup>v3</sup>(13)

In our analysis we compute monthly averages of occultations on a  $3^\circ \times 3^\circ$  grid. The number of profiles does not exceed few tens of profiles per month in one grid box. This is not sufficient to remove individual qualities of each profile power spectrum by averaging. It is also highly probable, as discussed above, that these individual qualities (e.g., a peak at larger vertical wavelengths) would remain dominant in the locations with, e.g., unchanged meteorological conditions in the analyzed month even if the ensemble were large enough. This all leads us to the conclusion that the wave activity could be underestimated (or overestimated) and regions e.g. with prevailing inertia gravity waves could be masked when analyzing the  $\bar{E}_p$  distributions. This could be the case near the Northern and North-eastern Pacific coast, where additional analysis using the characteristics based on the rate of change of density perturbations with height (gradient Richardson number and sigma) reveals wave fields close to instability as seen e.g. in Fig. 7.

### 4.3 Implications for the large-scale dynamics

Smith (2003) argued that zonal asymmetries in gravity wave activity contribute to zonal asymmetries in mesospheric geopotential height and might have also effect on the 3-D transport in the mesosphere. Demirhan Bari et al. (2013), using the approach of the 3-D residual circulation proposed by Kinoshita et al. (2010) recently investigated the longitudinal variations in the time-mean transport by the Brewer-Dobson circulation. In Fig. 3 in their



paper they showed that the mean January residual circulation at  $60^{\circ}\text{N}$  (northward boundary of our region of interest) penetrates to the lowest altitude (below 20 km) around  $140^{\circ}\text{E}$  (the meridian crossing our region of interest). This is in line with our findings of robust and persistent breaking of IGWs starting at anomalous low levels in the region of interest. Also the distributions of atmospheric quantities indicate robust downwelling of tropical air masses (enhanced branch of Brewer-Dobson circulation) reaching deeper into the stratosphere in the region of interest than elsewhere.

To investigate this in detail we are currently preparing a study analyzing model runs of a middle atmospheric mechanistic model with means of 3D EP flux and residual circulation diagnostic formulated by Noda (2014). The study will focus mainly on the structure of dynamics and transport in the region of interest. Another issue that we would like to address is the causality of processes standing behind the specific dynamics in this region. In particular, we are interested whether the specific IGW activity in autumn alone could be the reason for anomalously high temperatures and enhanced downwelling in winter. On the other hand, the complexity of the atmospheric system favors feedback mechanisms between waves and mean state, as the anomalous wave activity is probably a result of favorable meteorological conditions for IGW sources and propagation.

Another hypothetical importance of this region is the possibility that such a confined IGW breaking region creates planetary waves. This theoretical possibility was mentioned e.g. by Smith (2003) who suggested that momentum forcing associated with breaking gravity waves that have been filtered by planetary-scale wind variations below acts to generate planetary waves in the middle and upper mesosphere. This is supported for example by the jet wave-one pattern in Fig. 2 (again a question of causality). The sourcing of planetary waves by the region of interest could be exceptional by its low altitude (breaking starting already below 20 km altitude).

Thus, in a further paper, we shall investigate possible formation and propagation directions of planetary waves caused by such a localized IGW forcing in model simulations. Although preliminary results (not shown here) suggest that the poleward propagating mode is smaller than the equatorward propagating one, it can have influence on the polar vortex

stability. It is up to further study to analyze the connection between the interannual variation in strength of wave activity in the region of interest in autumn or winter season and the SSW occurrence.

5 The created equatorward propagating planetary wave modes in November, as described by Ortland (1997) can play an important role in the stratosphere-troposphere exchange in the tropical region, where they break in easterly winds. Such a process is supported by results from Riese et al. (2014) indicating that the longitude of maximal passage of tropical air into the stratosphere in autumn corresponds to our region of interest. Also Škerlak et al. (2015) identified an area south/southeast of the region of interest to have maximum tropopause fold frequency (in DJF 1979-2012). Finally, Berthet et al. (2007) used back trajectories driven by large-scale analyzed wind fields to investigate troposphere to stratosphere transport and found, particularly in autumn, a region of significant transport south of the region of interest.

15 Finally our findings can also have implications for weather forecast and climate change. Horinouchi (2014) studied the synoptic variability of precipitation and moisture transport roughly in the same area as ours. This study concluded that from the dynamical point of view, it is arguably more meaningful to view the synoptic variability as initiated in the upper troposphere and to place the formation of surface quasistationary fronts as an aspect of this variability. For climate change and the debate about acceleration of Brewer-Dobson circulation it seems more and more clear that it is necessary to consider the Brewer-Dobson 20 as longitudinally variable as shown by Demirhan Bari et al. (2013). This is supported by the presented results in the sense of the wave pumping hotspot and anomalous dynamics area suggestive of enhanced downwelling.

## 5 Summary and conclusions

25 Using the GPS RO density profiles we analyzed the IGW activity in an area of low annual cycle amplitude in the Northeastern Pacific / Eastern Asia coastal region. Enhanced IGW potential energy values were found uniquely in the lower stratosphere region in this area

in October and November. Convective and dynamical instability indicators suggest robust wave breaking in this region starting at anomalously low levels, and this was detected also in spring.

Possible IGW sources were examined, analysis of prevailing surface winds and wind direction change revealed ideal conditions for sources and vertical propagation of orographic waves. Other sources contributing to the enhanced wave activity in this region are likely a convective activity connected with the Kuroshio Current, Doppler shifting of vertically propagating waves and in situ wave generation in the upper troposphere/lower stratosphere (geostrophic adjustment etc.). The latter mechanism can become a very interesting and unique source of IGWs during the episodes of merging jets.

The reasons why this particular IGW activity hotspot was neither described before nor the specific dynamics of this region was pointed out were discussed. We have examined the weaknesses of using mean potential energy as a wave activity proxy and that the activity can be masked e.g. in the regions with prevailing inertia IGWs. We have also discussed possible consequences of the region of interest on the middle atmospheric dynamics and transport (e.g., Brewer-Dobson circulation), linkage to the conditions in the troposphere and the necessity to consider the real geographical and seasonal distribution of IGWs together with 3D residual circulation diagnostic to learn about its change in a changing climate.

*Acknowledgements.* The authors would like to thank to the relevant working teams for the reanalysis datasets: MERRA (obtained from NASA, <http://disc.sci.gsfc.nasa.gov/daac-bin/DataHoldings.pl>) and JRA-55 (obtained from [http://jra.kishou.go.jp/JRA-55/index\\_en.html](http://jra.kishou.go.jp/JRA-55/index_en.html)). The study was supported by the Charles University in Prague, Grant Agency projects No. 108313 and 1474314, and by the grant No. SVV267308 and by the Program of Charles University PRVOUK No. 45 "Physics". This study was also partly supported by grant from the DAAD scholarship program.

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