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4	Reactive Uptake of Ammonia to Secondary Organic Aerosols:
5	<b>Kinetics of Organonitrogen Formation</b>
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### 13 Abstract:

As a class of brown carbon, organonitrogen compounds originating from the 14 heterogeneous uptake of NH<sub>3</sub> by secondary organic aerosol (SOA) have received 15 significant attention recently. In the current work, particulate organonitrogen 16 17 formation during the ozonolysis of  $\alpha$ -pinene and the OH oxidation of m-xylene in the 18 presence of ammonia (34-125 ppb) was studied in a smog chamber equipped with a 19 High Resolution Time-of-Flight Aerosol Mass Spectrometer and a Quantum Cascade Laser instrument. A large diversity of nitrogen containing organic (NOC) fragments 20 21 was observed which were consistent with the reactions between ammonia and 22 carbonyl containing SOA. Ammonia uptake coefficients onto SOA which led to organonitrogen compounds were reported for the first time, and were in the range of 23 ~10<sup>-3</sup>-10<sup>-2</sup>, decreasing significantly to  $<10^{-5}$  after 6 hours of reaction. At the end of 24 experiments (~6 hr) the NOC mass contributed 8.9±1.7 wt% and 31.5±4.4 wt% to the 25 total  $\alpha$ -pinene and m-xylene derived SOA, respectively, and 4 – 15 wt% of the total 26 27 nitrogen in the system. Uptake coefficients were also found to be positively correlated 28 with particle acidity and negatively correlated with NH<sub>3</sub> concentration, indicating that 29 heterogeneous reactions were responsible for the observed NOC mass, possibly limited by liquid phase diffusion. Under these conditions, the data also indicate that 30 the formation of NOC can compete kinetically with inorganic acid neutralization. The 31 formation of NOC in this study suggests that a significant portion of the ambient 32 particle associated N may be derived from NH<sub>3</sub> heterogeneous reactions with SOA. 33 NOC from such a mechanism may be an important and unaccounted for source of PM 34

associated nitrogen. This mechanism may also contribute to the medium or long-range
transport and wet/dry deposition of atmospheric nitrogen.

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## 38 **1.0 Introduction**

39 Black carbon (BC) and brown carbon (BrC) are the most abundant and effective light absorbing components in atmospheric particles (Stocker et al., 2013;Andreae and 40 41 Gelencser, 2006). While BC has been extensively studied (Cappa et al., 2012;Bond et 42 al., 2013), BrC is currently receiving significant attention from the atmospheric 43 chemistry community as it is often more abundant than BC in the atmosphere, and has the potential to be an important climate forcing agent via direct absorption of light 44 (Laskin et al., 2015). BrC refers to organic matter in atmospheric particles that absorb 45 46 light with a strong wavelength dependence (Andreae and Gelencser, 2006;Alexander et al., 2008; Moise et al., 2015). It exists in various forms, such as soil derived humic 47 materials, humic-like substances (HULIS), organic materials from combustion 48 processes, bioaerosols (Andreae and Gelencser, 2006;Salma et al., 2010) and 49 secondary formation in the atmosphere (Laskin et al., 2015;Zarzana et al., 50 2012;Nguyen et al., 2013;Powelson et al., 2014). Although the chemical composition 51 of BrC is highly complex, light absorption by BrC in the ultraviolet-visible region, 52 quantified by the mass absorption coefficient (MAC) (typically in the range of 53 0.001-0.1 m<sup>2</sup> g<sup>-1</sup> at 500 nm of wavelength (Updyke et al., 2012), is ascribed to the 54  $\pi$ - $\pi^*$  and n- $\pi^*$  bond transitions of electrons in the chemicals present. The  $\pi$ - $\pi^*$ 55 transition is usually observed in species with unsaturated bonds, while  $n-\pi^*$ 56

57 transitions are relevant to heteroatoms coupled to unsaturated bonds.

Primary emissions of biomass burning particles are regarded as an important 58 59 source of BrC (Saleh et al., 2013;Andreae and Gelencser, 2006) since polycyclic aromatic hydrocarbons (PAHs), nitro-PAHs, oxy-PAHs and other aromatic 60 hydrocarbons, and therefore unsaturated bonds, are abundant in these combustion 61 62 particles (Andrade-Eiroa et al., 2010;Kinsey et al., 2011;Souza et al., 2014). Secondary formation of particulate organics have also recently been considered 63 another possible source of BrC through heterogeneous or multiphase chemical 64 65 reactions (Updyke et al., 2012;Zarzana et al., 2012;Nguyen et al., 2013;Powelson et al., 2014), in which heteroatoms including O, S, and N can be introduced into the 66 particulate matter via a variety of precursors. For example, as characteristic 67 68 components of HULIS (Nguyen et al., 2014;Nguyen et al., 2012), organosulfates and organonitrates have been observed in both laboratory generated (Liggio and Li, 69 2006b; Iinuma et al., 2009; Russell et al., 2011; Darer et al., 2011) and ambient organic 70 particles (Hawkins et al., 2010;Surratt et al., 2006;Russell et al., 2011). Oxygen and 71 72 nitrogen-containing oligomers of high molecular weight have also been identified in 73 secondary organic aerosols (SOA) (Kalberer et al., 2004).

N-containing organic compounds (NOC) are an important class of heteroatom containing BrC compounds and can account for an appreciable fraction of organic aerosol mass (Beddows et al., 2004;Cheng et al., 2006;Kourtchev et al., 2014) which has been mainly attributed to biomass burning and cooking emissions (Cheng et al., 2006). As summarized in detail in a recent review paper (Zhang et al., 2015),

79	heterogeneous reactions, which include acid-base reactions between amines and
80	organic acids as well as acid-catalyzed reactions of carbonyl groups in OA with
81	primary and secondary amines, are increasingly being considered an important source
82	of particle bound organonitrogen compounds. For example, acid-base reactions
83	between ammonia or amines and acid moieties (Liu et al., 2012b;Kuwata and Martin,
84	2012;Zhang et al., 2015) or exchange reactions of amines with inorganic ammonium
85	salts (Chan and Chan, 2012;Bzdek et al., 2010;Qiu et al., 2011;Liu et al., 2012a) can
86	lead to the formation of particle bound ammonium salts. Schiff base and/or Mannich
87	reactions between NH <sub>3</sub> , ammonium salts or amines with carbonyl functional groups in
88	particles can also form organonitrogen compounds (Zhang et al., 2015), in which N
89	atoms can be coupled to double bonds (imines) and act as effective chromophors
90	since both $\pi$ - $\pi$ * and n- $\pi$ * transitions are possible (Nguyen et al., 2013). It has also
91	been proposed that Mannich reactions may be a possible formation mechanism for the
92	high-molecular weight nitrogen-containing organic species observed in ambient
93	particles (Wang et al., 2010b). Although it has not been confirmed with ambient data,
94	the formation of light absorbing compounds has been inferred in laboratory studies
95	during reactions between glyoxal, methylgloxyal and primary amines glycine,
96	methylamine and ammonium (Zarzana et al., 2012;Yu et al., 2011;Powelson et al.,
97	2014;Lee et al., 2013a;Trainic et al., 2011). Visible light absorption has also been
98	observed from the reactions between O3/OH initiated biogenic and anthropogenic
99	SOA and NH <sub>3</sub> (Updyke et al., 2012;Nguyen et al., 2013;Lee et al., 2013b;Bones et al.,
100	2010). Using High Resolution Time-of-Flight Aerosol Mass Spectrometry

101 (HR-ToF-AMS) and Desorption Electrospray Ionization Mass Specetrometry 102 (DESI-MS), characteristic fragments containing nitrogen ( $C_xH_yN_n$  and  $C_xH_yO_zN_n$ ) 103 from the above reactions have been identified (Galloway et al., 2009;Laskin et al., 104 2010;Lee et al., 2013a). Recent studies have found that BrC produced via such 105 reactions is unstable with respect to degradation by oxidants (Sareen et al., 2013) and 106 sunlight (Lee et al., 2014;Zhao et al., 2015). Regardless, NOC are likely to have very 107 interesting chemical properties and atmospheric implications.

In addition to the noted role of organonitrogen in BrC, heterogeneously formed 108 109 oganonitrogen may be an important nutrient to ecosystems via nitrogen (N) deposition 110 from the atmosphere (Liu et al., 2013). Heterogeneous reactions leading to NOC can 111 be considered a process whereby gas-phase nitrogen compounds such as NH<sub>3</sub> or 112 amines with short lifetimes (via deposition) (Liggio et al., 2011) are transformed to 113 particle-phase nitrogen compounds with increased atmospheric lifetimes. The subsequent transport and deposition of particle-phase organonitrogen compounds 114 115 (rather than gas-phase N) may have an impact on regional nitrogen cycles by altering N deposition patterns. However, this process has generally not been considered in 116 current deposition models (Garc á-Gómez et al., 2014) due to limited knowledge on 117 the formation kinetics and mechanisms of NOC formation from heterogeneous 118 reactions. 119

While reactions of amines have been implicated as a source of particulate-phase reduced nitrogen (Zarzana et al., 2012), their ambient gaseous concentrations are typically low (Cornell et al., 2003). NH<sub>3</sub> is the most abundant form of gas-phase

reduced nitrogen in the atmosphere with global emissions estimated at greater than 33 123 Tg(N) yr<sup>-1</sup> (Reis et al., 2009) and typical ambient concentration of several ppbv 124 125 (Cornell et al., 2003;Heald et al., 2012). As qualitatively confirmed by mass spectrometry in various experiments (Updyke et al., 2012:Nguyen et al., 2013:Lee et 126 127 al., 2013b;Bones et al., 2010), reactions between NH<sub>3</sub> and OA are possible in the atmosphere leading to particulate reduced nitrogen. In order to assess and model the 128 impacts of the Schiff base, Mannich or other NOC forming reactions (via NH<sub>3</sub>) on the 129 radiative forcing potentials of ambient SOA and N-deposition, the kinetics of such 130 131 reactions are required, and yet they remain largely unknown. To the best of our knowledge, there is only one paper which reported the formation rate constant of 132 imidazole-2-caroxaldehyde (IC) to be  $(2.01\pm0.40)\times10^{-12}$  M<sup>-2</sup> s<sup>-1</sup> for the reaction 133 134 between glyoxal and aqueous (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> in an effort to simulate cloud processing (Yu et al., 2011). 135

In this study, heterogeneous reactive uptake coefficients ( $\gamma$ ) for NH<sub>3</sub> onto laboratory SOA, which lead to the formation of particulate NOC, were derived using a smog chamber coupled to a HR-ToF-AMS. The influence of VOC precursors, seed particle acidity/composition and gaseous NH<sub>3</sub> concentration on the obtained uptake coefficients of NH<sub>3</sub> is also investigated. Finally, the implications of the kinetics on atmospheric BrC and N-deposition are also discussed.

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## 143 2.0 EXPERIMENTAL DETAILS

144 **2.1 Chamber experiments.** 

145	Experiments were performed in a 9 m <sup>3</sup> cylindrical smog chamber, which has been
146	described in detail by Bunce et al. (1997). Briefly, this reactor is constructed with 50
147	$\mu m$ FEP Teflon film and housed in an air-conditioned room (295±2 K). The
148	surface-to-volume (S/V) ratio is 2.7 m <sup>-1</sup> . Twenty four black light lamps (Sylvania,
149	F40/350BL) were installed outside the reactor for photochemical reactions. Before
150	each experiment, the chamber was cleaned by irradiation (300-400 nm, 350 nm peak
151	wavelength) for 8 hours followed by continuous flushing with zero air for 24 hours,
152	after which the concentration of particles and ammonia was <1 particle cm <sup>-3</sup> and ~ 5
153	ppbv, respectively.
154	A summary of initial experimental conditions is given in Table 1. Na <sub>2</sub> SO <sub>4</sub> /H <sub>2</sub> SO <sub>4</sub>
155	particles were generated as seeds via atomization (model 3706, TSI), dried through a
156	diffusion drier, and size-selected with a differential mobility analyzer (DMA) (model,
157	3081, TSI) to have a mode mobility diameter ( $D_m$ ) of ~90 nm. A high concentration of
158	$Na_2SO_4/H_2SO_4$ seeds (~5000 particle cm <sup>-3</sup> ) was added into the chamber to suppress
159	new particle formation from the added VOC precursor and oxidant. As shown in
160	Figure S2, new particle formation was suppressed during subsequent SOA formation.
161	$\alpha$ -pinene or m-xylene (Sigma Aldrich) were added into the chamber via a syringe
162	which was purged with zero air prior to use. The VOC concentrations were measured
163	online with a High Resolution Time-of-Flight Proton Transfer Reaction Mass
164	Spectrometry (HR-ToF-PTRMS, Ionic Analytic). SOA was formed via the oxidation
165	of the VOCs by $O_3$ or OH. The concentration, size and composition of SOA coated on
166	the seed particles were measured with a Scanning Mobility Particle Sizer (SPMS, TSI)

and a HR-ToF-AMS (Aerodyne) (DeCarlo et al., 2006) operated alternately in both V-167 and W-mode. HR-ToF-AMS data were analyzed with the software PIKA 1.12 168 169 (DeCarlo et al., 2006; Aiken et al., 2007). The concentration of NOC was determined by fitting peaks including those from the NH<sub>x</sub>, NO<sub>x</sub>, C<sub>x</sub>H<sub>y</sub>N<sub>n</sub>, C<sub>x</sub>H<sub>y</sub>ON<sub>n</sub> and 170 171 C<sub>x</sub>H<sub>y</sub>O<sub>2</sub>N<sub>n</sub> fragment groups. Particle wall loss was accounted for by normalizing SOA 172 and NOC concentrations to the sulfate seed signal from the HR-ToF-AMS. It should be noted that the NOC concentration may be underestimated in this study since one 173 174 cannot resolve all the nitrogen containing fragments that may exist, and since some of 175 the NOCs may fragment into masses that do not contain nitrogen and thus are quantified as organic. Furthermore, the relative ionization efficiency (RIE) for the 176 NOC fragments was assumed to be equivalent to the remainder of the organics (1.4), 177 178 since a RIE value for NOC is unknown. This may introduce an additional uncertainty to the quantitation of NOC. It should also be pointed out that some NOC species may 179 be formed through the pyrolysis/ionization processes occurring in the ionization 180 181 region. This would result in a positive uncertainty for NOC measurements in this study, although it is expected to be small. 182

 $O_3$  was generated by passing zero air through an  $O_3$  generator (OG-1, PCI Ozone Corp.) and measured with an  $O_3$  monitor (model 205, 2B Technologies). OH was produced by photolysis of  $H_2O_2$  (Wang et al., 2010a;Donahue et al., 2012), which was added by bubbling zero air through a 30 %  $H_2O_2$  solution (Sigma Aldrich). Details regarding the OH concentration determination and the oxidant levels during these experiments are described further in the supporting information (SI). NH<sub>3</sub> from a

standard cylinder was added into the chamber though a passivated mass flow 189 controller. NH<sub>3</sub> concentration in the chamber was measured with a Quantum Cascade 190 Laser (QCL, Aerodyne), whose principle of operation has been described elsewhere 191 192 (Kosterev et al., 2002). 193 Unfortunately, the NH<sub>3</sub> background in the dry chamber was consistently at ~5 ppbv (after cleaning), increasing to a reproducible ~35 ppbv after humidifying to 50 % 194 195 RH. While this limited the ability to perform experiments in the complete absence of ammonia, it did not preclude the derivation of kinetics at the lowest concentration (35 196 197 ppbv) and higher attained by further additions of ammonia. In some experiments, external NH<sub>3</sub> was added to the reactor after ~6 h of reaction to measure the uptake 198 kinetics of NH<sub>3</sub> by relatively aged SOA. All experiments were conducted at  $50\pm2$  % 199 200 RH, with zero air provided by an AADCO-737 generator (AADCO Instruments Inc.). The presence of NOC in the SOA particles was also confirmed by Fourier 201 Transform Infrared (FTIR) Spectroscopy. SOA was collected on a silver membrane 202 203 filter (0.2 µm, 47 mm, Sterlitech; stainless steel filter holder), which has a wide IR window in the range of 650-4000 cm<sup>-1</sup>. A second filter placed behind the first one was 204 205 used as a reference sample for IR measurements. The IR spectra were recorded with a mercury cadmium telluride (MCT) detector at a resolution of 4 cm<sup>-1</sup> for 200 scans in 206 Diffuse Reflectance Infrared Fourier Transform Spectroscopy (DRIFTS) mode, using 207 an iS50 spectrometer (Nicolet). 208

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### 210 **2.2 Derivation of kinetics.**

Reactive uptake coefficients ( $\gamma$ ) of NH<sub>3</sub> to form NOC were calculated based upon the measured concentration time series of nitrogen atom (N) mass derived from the HR-ToF-AMS fragment families of C<sub>x</sub>H<sub>y</sub>N<sub>n</sub>, C<sub>x</sub>H<sub>y</sub>ON<sub>n</sub>, C<sub>x</sub>H<sub>y</sub>O<sub>2</sub>N<sub>n</sub>, NH<sub>x</sub> and NO<sub>x</sub> using an uptake model that has been described in detail previously (Liggio et al., 2005b;Liggio and Li, 2006a). Briefly, the change in the mass of N (within the NOC) added to a particle exposed to NH<sub>3</sub> as a function of time can be described by,

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$$\frac{\mathrm{d}m_{\mathrm{N}}}{\mathrm{d}t} = \gamma_{\mathrm{obs}} \,\pi a^2 < c > c_{\mathrm{NH}_3} F_{\mathrm{h}} \tag{1}$$

where  $a, \langle c \rangle$  and  $c_{\text{NH3}}$  are the particle radius, mean molecular speed, and gas-phase concentration of NH<sub>3</sub>, respectively;  $\gamma_{\text{obs}}$  is the observed uptake coefficient of NH<sub>3</sub> to form NOC (specifically the N in the NOC);  $F_{\text{h}}$  is a heterogeneous mass factor that accounts for the loss of hydrogen in ammonia as it reacts heterogeneously to form particulate phase NOC. We assume  $F_{\text{h}}$  is equal to 0.824 (i.e.N/NH<sub>3</sub>) in this study. From Eq (1), the N mass as a function of time is given by:

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$$m_{\rm N} = \left(\frac{b\pi c_{\rm NH_3} < c > F_{\rm h}(t-t_0)\gamma_{\rm obs} + 3(bm_0+d)^{1/3}}{3b^{1/3}}\right)^3 - \frac{d}{b}$$
 (2)

where,  $b = \frac{3}{4\pi \rho}$ ,  $d = a_0^3$  and are constants. The uptake coefficient ( $\gamma$ ) is derived from 225 a fit of Eq (2) to the experimental data. Further detail on the derivation and the 226 parameters used in the fits is given in the SI. It should be pointed out that the NH<sub>x</sub><sup>+</sup> 227 family (NH<sup>+</sup>, NH<sub>2</sub><sup>+</sup> and NH<sub>3</sub><sup>+</sup>) in the AMS mass spectra may be primarily associated 228 with inorganic ammonium from the neutralization of the H<sub>2</sub>SO<sub>4</sub> in the seed particle. 229 However an unknown fraction of the  $NH_x^+$  will arise from the fragmentation of NOC. 230 231 For this reason, the uptake coefficients have been derived including and excluding the N mass of  $NH_x^+$  as upper and lower bounds to  $\gamma$ . The uncertainty in the uptake 232

coefficient will result from the uncertainty in NOC mass concentration measured by
the AMS, the concentration of NH<sub>3</sub> measured by the QCL and the diameter measured
by the SMPS. In this study, the uncertainty is derived from the uptake model
parameters based on the measured time series of mass concentration of NOC
fragments.

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#### 239 **3.0 Results and Discussion**

## 240 **3.1 Identification of NOC**

241 A typical mass spectrum of SOA from the ozonolysis of  $\alpha$ -pinene between 4 and 6 hours of reaction in the presence of 40.8 ppbv NH<sub>3</sub> (Exp. P6) is shown in Figure 1. 242 243 The spectrum is dominated by  $C_x H_y$  fragments at m/z 27 ( $C_2 H_3^+$ ), 39 ( $C_3 H_3^+$ ), 244  $41(C_3H_5^+)$  and 53 (C<sub>4</sub>H<sub>7</sub><sup>+</sup>); C<sub>x</sub>H<sub>y</sub>O fragments at m/z 28 (CO<sup>+</sup>), 43 (C<sub>2</sub>H<sub>3</sub>O<sup>+</sup>) and 55  $(C_3H_3O^+)$ ; and  $C_xH_yO_2$  fragments at m/z 44  $(CO_2^+)$  and 45  $(CHO_2^+)$ . The presence of 245 these fragment families and the overall mass spectrum is consistent with previously 246 247 reported mass spectra of SOA formed from the  $O_3$  oxidation of  $\alpha$ -pinene at low SOA mass loading ( $<15 \ \mu g \ m^{-3}$ ) (Shilling et al., 2009). 248

In the presence of NH<sub>3</sub>, a number of N-containing fragments are also observed. The mass spectrum containing N-containing fragments only is shown in Figure 1B. Strong peaks belonging to the  $C_xH_yN_n$  family of fragments dominate the spectrum at m/z 27 (CHN<sup>+</sup>), 30 (CH<sub>4</sub>N<sup>+</sup>), 42(C<sub>2</sub>H<sub>4</sub>N<sup>+</sup>), 43 (C<sub>2</sub>H<sub>5</sub>N<sup>+</sup>), 54 (C<sub>3</sub>H<sub>4</sub>N<sup>+</sup>), 55 (C<sub>3</sub>H<sub>5</sub>N<sup>+</sup>) and 68 (C<sub>3</sub>H<sub>4</sub>N<sub>2</sub><sup>+</sup>, C<sub>4</sub>H<sub>6</sub>N<sup>+</sup>). Less prevalent peaks from the C<sub>x</sub>H<sub>y</sub>ON<sub>n</sub> and C<sub>x</sub>H<sub>y</sub>O<sub>2</sub>N<sub>n</sub> group of fragments are also observed at m/z 44 (CH<sub>2</sub>ON<sup>+</sup>), 45 (CH<sub>3</sub>ON<sup>+</sup>), 58 255 (C<sub>2</sub>H<sub>4</sub>ON<sup>+</sup>), 68 (C<sub>3</sub>H<sub>2</sub>ON<sup>+</sup>), 73 (C<sub>2</sub>H<sub>5</sub>ON<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>7</sub>ON<sup>+</sup>), 86 (C<sub>3</sub>H<sub>6</sub>ON<sub>2</sub><sup>+</sup>), 97 256 (C<sub>4</sub>H<sub>5</sub>ON<sub>2</sub><sup>+</sup>), 73 (C<sub>3</sub>H<sub>2</sub>O<sub>2</sub>N<sup>+</sup>), 86 (C<sub>2</sub>H<sub>2</sub>O<sub>2</sub>N<sub>2</sub><sup>+</sup>), and 91 (C<sub>3</sub>H<sub>9</sub>O<sub>2</sub>N<sup>+</sup>). Although the 257 signal intensities of N-containing fragments are weaker than those of the C<sub>x</sub>H<sub>y</sub>, 258 C<sub>x</sub>H<sub>y</sub>O and C<sub>x</sub>H<sub>y</sub>O<sub>2</sub> families, the results demonstrate that N-containing species are 259 formed. Our results are consistent with previous work that observed a significant 260 increase in the fraction of organic constituents with one or two N atoms for NH<sub>3</sub>-aged 261  $\alpha$ -pinene SOA (Flores et al., 2014).

262 Similar OA mass spectra were obtained from the OH oxidation  $(H_2O_2 + hv)$  of 263 m-xylene (Figure S3). As shown in Figure S3, the relative intensities of  $C_xH_y$ ,  $C_xH_yO$ and C<sub>x</sub>H<sub>y</sub>O<sub>2</sub> fragment families are slightly different than those of SOA formed via OH 264 oxidation of m-xylene reported previously (Loza et al., 2012). This is likely due to 265 266 differences in experimental reaction conditions such as the oxidant level and mass loading. The mass spectrum of N-containing fragments for m-xylene derived SOA are 267 given in Figure S3B, and are also somewhat different than those observed for 268 269  $\alpha$ -pinene derived SOA (Figure 1B). For example, the fragments at m/z 68 (C<sub>3</sub>H<sub>4</sub>N<sub>2</sub><sup>+</sup>,  $C_4H_6N^+$  and  $C_3H_2ON^+$ ), 91 ( $C_3H_9O_2N^+$ ) and 97 ( $C_4H_5ON_2^+$ ) are significantly weaker 270 271 in the SOA from m-xylene (Figure S3B) than those from the ozonolysis of  $\alpha$ -pinene (Figure 1B), suggesting the presence of different types and quantities of the SOA 272 functional groups required for the organonitrogen forming heterogeneous reactions. 273

274 The formation of NOC is further confirmed via the IR spectra of the SOA formed 275 in the presence of NH<sub>3</sub>. The IR spectra of SOA from the ozonolysis of  $\alpha$ -pinene and 276 the OH oxidation of m-xylene is shown in Figure 2 and the assignments of the

observed IR bands are summarized in Table S1. After 6 h of ammonia exposure a 277 number of nitrogen containing bands are tentatively identified. These include, NH<sub>x</sub> 278  $(v_{as,NH2}: 3490; v_{as,NH3+}: 3240 \text{ cm}^{-1}; \delta_{NH} \text{ or } v_{s,CN} 1563; \text{ and } 785 \text{ or } 740 \text{ cm}^{-1}) \text{ and } C=N$ 279 (*v*<sub>s,CN</sub>: 1640; *v*<sub>s,CN</sub>: 1660 cm<sup>-1</sup>) (Nguyen et al., 2013;Lin-Vien et al., 1991) functional 280 groups which are observable in the SOA from both  $\alpha$ -pinene and m-xylene. The 281 generally small IR signals associated with the NOC make it difficult to conclusively 282 assign a number of potential NOC bands particularly since the expected dominant 283 carbonyl and organic acid functional groups associated with SOA are also observed 284 285 (Table S1).

While the above IR assignments are common between experiments using both 286 VOC precursors, the OH oxidation of m-xylene resulted in a very strong band at 2195 287 cm<sup>-1</sup>, which was not present in the  $\alpha$ -pinene derived SOA (Figure 2) and was 288 potentially assigned to  $v_{s,C=C-C=N}$  (Lin-Vien et al., 1991) (a nitrile). At the present time, 289 the exact formation mechanism leading to this functional group is unknown. However, 290 291 the double bond adjacent to the nitrile group suggests that it is unique to the oxidative ring opening of m-xylene (and likely other aromatics), which is not accessible in the 292  $\alpha$ -pinene system. Regardless, the functional groups revealed in the IR absorption 293 spectra supports the HR-ToF-AMS results and confirms the formation of particle 294 bound NOC. 295

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## 297 **3.2 Potential mechanisms contributing to observed NOC**

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Several mechanisms have been postulated previously with respect to NOC

formation in the presence of ammonia (Zhang et al., 2015). The various mechanisms 299 generally fall into two categories: reactions of ammonia/ammonium with carbonyl 300 301 functional groups in SOA leading to the formation of species with covalently bonded carbon to nitrogen (Wang et al., 2010b;Zarzana et al., 2012;Yu et al., 2011;Powelson 302 et al., 2014;Lee et al., 2013a;Trainic et al., 2011;Zhang et al., 2015), or acid-base 303 reactions between ammonia/ammonium and organic/inorganic acid species in 304 particles leading to organic ammonium salts (Liu et al., 2012b;Kuwata and Martin, 305 2012;Zhang et al., 2015). 306

307 Several studies have identified the presence of NOC in laboratory generated SOA associated with the presence of carbonyl groups and NH<sub>4</sub><sup>+</sup> (the dominant form of 308 NH<sub>3</sub> in particles). For example, using an HR-ToF-AMS, N-containing fragments 309 310 including strong ions at m/z 41, 68, 69 and 70 and weak ions at m/z 46, 52, 53, 57, 68 and 96 have been identified for the uptake of glyoxal on ammonium sulphate particles 311 (Galloway et al., 2009), attributed to imine and/or imidazole formation. Higher 312 313 molecular weight N-containing molecular ions such as m/z 97 (C<sub>4</sub>H<sub>5</sub>ON<sub>2</sub><sup>+</sup>), 115  $(C_4H_7O_2N_2^+)$ , 129  $(C_5H_9O_2N_2^+)$ , 159  $(C_6H_{11}O_3N_2^+)$ , 173  $(C_7H_{13}O_3N_2^+)$ , 184 314 315  $(C_7H_{10}O_3N_3^+)$  have also been detected using high resolution electrospray ionization mass spectrometry (ESI-MS) for the same reaction system (Galloway et al., 2009). In 316 317 addition, SOA, which was formed through the ozonolysis of  $\alpha$ -pinene and d-limonene, subsequently impacted on a polymeric plate and then exposed to gaseous NH<sub>3</sub>, 318 319 resulted in a significant enhancement in relative abundance of several NOC molecules, such as, C<sub>9</sub>H<sub>11</sub>NO<sub>2</sub>, C<sub>9</sub>H<sub>13</sub>NO<sub>2</sub>, C<sub>19</sub>H<sub>29</sub>NO<sub>4</sub>, C<sub>19</sub>CH<sub>29</sub>NO<sub>5</sub>, C<sub>19</sub>H<sub>33</sub>NO<sub>5</sub> (Laskin et al., 320

321 2014).

Presently, the gas-phase oxidation mechanism of  $\alpha$ -pinene by ozone has been 322 323 fairly well elucidated. In general, the initial step proceeds through cycloaddition of O<sub>3</sub> to the C=C bond, forming an excited primary ozonide (POZ). The POZ undergoes a 324 325 unimolecular isomerization to produce Criegee intermediates (CIs), which subsequently yield both gas-phase and particle-phase compounds containing hydroxyl, 326 carbonyl and acidic functional groups (Zhang and Zhang, 2005;Yu et al., 1999). In 327 particular, previous work has found that organic acids are the dominant SOA 328 329 component (Ma et al., 2013). The OH initialed oxidation mechanism of m-xylene is more complex as described by the Master Chemical Mechanism MCM3.1 (Bloss et al., 330 2005); however it also leads to particle-phase acids and carbonyls (Loza et al., 2012). 331 332 It has been found that  $\alpha$ -dicarbonyls are likely the most dominant form of products from the OH-initiated oxidation of m-xylene (Zhao et al., 2005). 333 Particle-phase carbonyl compounds are present in the current experiments as 334 confirmed by IR absorption band at 1725 cm<sup>-1</sup> (in both systems). The observed 335

336 HR-ToF-AMS fragment families of  $C_xH_yN_n$ ,  $C_xH_yON_n$  and  $C_xH_yO_2N_n$  indicates that 337 C–N bonds have formed and they are qualitatively similar to those associated with 338 imine and/or imidazole formation (Nguyen et al., 2013;Lee et al., 2013a) which is 339 generally summarized in Scheme S1. The HR-ToF-AMS fragments and the formation 340 of imine bonds are also consistent with the IR derived functional groups of NH<sub>x</sub> and 341 C=N observed in this study (Figure 2; Table S1).

342

Previous studies have observed the neutralization reaction between NH<sub>3</sub> and

343	organic acids in both flow reactor (Paciga et al., 2014) and environmental chambers
344	(Na et al., 2007). In particular, high concentrations of NH <sub>3</sub> greatly promoted SOA
345	formation from ozonlysis of $\alpha$ -pinene (Na et al., 2007). This was ascribed to the
346	formation of organic ammonium salts. Therefore, the formation of organic ammonium
347	salts in the current work cannot be entirely discounted. The $NH_{\boldsymbol{x}}$ bands in the IR
348	(3490 and 3240 cm <sup>-1</sup> ) as well as the $NH_x$ fragments of the HR-ToF-AMS may arise
349	from the ammonium ion associated with an organic ammonium salt. Although organic
350	acids, whose IR absorbance bands appear at 3300-2500 cm <sup>-1</sup> for $v_s$ (OH), 1760-1690
351	cm <sup>-1</sup> for $v_s$ (C=O), 1320-1210 cm <sup>-1</sup> for $v_s$ (C-O), 1440-1395 and 950-910 cm <sup>-1</sup> for $\delta$ (OH)
352	(Lin-Vien et al. 1991) were observed, it is likely that the majority of $NH_x$ arose from
353	the association with acidic sulfate which may need to be fully neutralized prior to the
354	formation of organic salts. Regardless, organic salts which primarily contribute to the
355	AMS derived NH <sub>x</sub> fragments would not result in fragments containing N, C and O (i.e.
356	$C_xH_yN_n$ , $C_xH_yON_n$ , $C_xH_yO_2N_n$ and $NO_x$ ) which account for the majority of NOC
357	fragments observed. Finally, the formation of organic ammonium salts is inconsistent
358	with the observed acidity effect on the uptake coefficients leading to NOC (see
359	Section 3.6).

The mechanisms described above intrinsically assume that heterogeneous reactions occur after the NH<sub>3</sub> uptake onto the SOA. However, gas-phase reactions between NH<sub>3</sub> and gaseous organic carbonyls and/or acids and subsequent condensation may in principle contribute to the observed particle-phase NOC. Reactions of NH<sub>3</sub>/NH<sub>4</sub><sup>+</sup> with carbonyls are generally acid catalyzed (Zhang et al.,

2015), as shown in Scheme S1, for both the Schiff-base and Mannich reactions. This 365 suggests that if the NOC were gas phase reaction products, a termolecular reaction 366 367 would be necessary among carbonyls, acid and NH<sub>3</sub> in the gas phase; the rates of which are exceedingly slow. Furthermore, gas-phase reactions leading to particle 368 phase NOC should be negligible since the calculated reactive uptake coefficients ( $\gamma$ ) 369 of NH<sub>3</sub> are positively correlated with particle-phase acidity, and anti-correlated with 370 NH<sub>3</sub> concentration as will be discussed in Section 3.6. An anti-correlation with the 371 gaseous reactant is characteristic of heterogeneous reactions (Ma et al., 2010). 372 373 Therefore, as pointed out in Section 2.2, the uptake coefficients derived including  $NH_x^+$  should be the upper bound to  $\gamma$ . 374

375

## 376 **3.3 Contribution of inorganic and organic NOy species to NOC**

In addition to C<sub>x</sub>H<sub>y</sub>N<sub>n</sub>, C<sub>x</sub>H<sub>y</sub>ON<sub>n</sub> and C<sub>x</sub>H<sub>y</sub>O<sub>2</sub>N<sub>n</sub> fragments as shown in Figures 1 377 and S3, strong signals from NO<sub>x</sub> (NO<sup>+</sup>; m/z 30 and NO<sub>2</sub><sup>+</sup>; m/z 46) are also observed in 378 the particle mass spectra of both VOC systems. These NO<sub>x</sub> fragments may have arisen 379 from processes other than the uptake of NH<sub>3</sub>. NO<sub>x</sub> fragments in the HR-ToF-AMS 380 381 spectra can originate from particle bound NOC, inorganic NOy (HNO<sub>3</sub> or NH<sub>4</sub>NO<sub>3</sub>) and/or organic nitrates possibly formed via the chain termination of RO<sub>2</sub> or RO 382 radicals by the trace levels of NO or NO<sub>2</sub> in the chamber. Although zero air was used 383 in this study, trace levels of NOy (oxides of nitrogen except N2O) were detected in the 384 385 chamber as shown in Figure S4. The maximum NO<sub>y</sub> concentration was approximately 0.25 ppbv, but generally much lower. 386

387	Figure S5 compares the concentrations of particle-phase $NO_x$ ( $NO^+ + NO_2^+$ ) and
388	total NOC $(T_{NOC} = C_x H_y N_n + C_x H_y O N_n + C_x H_y O_2 N_n + NO_x)$ in a typical SOA
389	experiment with a control experiment performed in the absence of $\alpha$ -pinene (0 ppbv).
390	The $NO_x$ and $T_{NOC}$ concentrations in the control experiment increased only slightly
391	(from $5.4 \times 10^{-17}$ to $8.4 \times 10^{-17}$ g particle <sup>-1</sup> for T <sub>NOC</sub> ) compared to the increases observed
392	in the presence of VOC (from $7.6 \times 10^{-17}$ to $2.9 \times 10^{-16}$ g particle <sup>-1</sup> for T <sub>NOC</sub> ). Assuming
393	that the increase in $T_{NOC}$ in the control experiment was entirely from NOy, it would
394	contribute a maximum of 14 % of the $T_{\text{NOC}}$ mass measured in the typical SOA
395	experiment shown in Figure S5. In the control experiment NO <sub>x</sub> fragments accounted
396	for 74.7±4.9 % of $T_{NOC}$ , hence the possible contribution of inorganic NOy to $T_{NOC}$
397	mass in a typical SOA experiment is likely even lower (10.5±0.7) %. This estimation
398	is considered an upper limit to the contributions from inorganic NOy species, since
399	0.4 $\mu g\ m^{\text{-3}}$ of SOA were formed in the control experiment after 6 h of reaction
400	(possibly from the background air of the chamber), suggesting that a small amount of
401	NOC in control experiments may also be formed via the uptake of NH <sub>3</sub> by trace
402	amounts of SOA, which could have contributed at least partially to the $\mathrm{NO}^+$ and $\mathrm{NO_2}^+$
403	ions in the particle mass spectrum in the control experiment. It should also be pointed
404	out that the OH concentration in a typical oxidation experiment here is likely higher
405	than that in the control experiment, potentially resulting in a higher level of inorganic
406	NOy.

407 A further constraint on the contributions of inorganic NOy to the HR-ToF-AMS 408 fragments at m/z 30 and m/z 46 may be obtained by assuming that all of the measured

409	gaseous $NO_y$ is $HNO_3$ (in a typical SOA experiment). Under this condition, the
410	solvation of HNO <sub>3</sub> into surface water would contribute to less than $4 \times 10^{-21}$ g particle <sup>-1</sup>
411	of HNO <sub>3</sub> , calculated using the reported growth factor ( $GF$ ) of SOA from ozonolysis of
412	$\alpha$ -pinene (1.015 at 50 % RH for 180 nm particle) (Varutbangkul et al., 2006) and the
413	Henry's law constant of HNO3 of 2.1 mol kg <sup>-1</sup> Pa <sup>-1</sup> at 298 K (Lelieveld and Crutzen,
414	1991). This value is significantly lower than the detected particle $NO_x$ fragment mass
415	concentration in the current experiments (~ $10^{-17}$ g particle <sup>-1</sup> ) and suggests that the
416	impact of HNO <sub>3</sub> from the trace level NOx in the gas phase during the experiments on
417	the particle NOC is negligible. In addition, if both $NH_3$ and $NO_x$ (ultimately $HNO_3$ )
418	are present in the reaction system, then NH4NO3 may be formed (and possibly
419	dissociated into $NH_4^+$ and $NO_3^-$ ). Aqueous $NH_4NO_3$ (i.e. $NH_4^+$ and $NO_3^-$ ) can likely be
420	excluded because the RH (50 %) in this study is lower than the deliquescence RH
421	(DRH, 62%) of NH <sub>4</sub> NO <sub>3</sub> (Lightstone et al., 2000). Regardless, the characteristic IR
422	bands of $NO_3^-$ at 1047, 830, and 713 cm <sup>-1</sup> (Wu et al., 2007) , and the strong
423	characteristic IR bands of $NH_4NO_3(s)$ at 1340, 1390 and 1630 cm <sup>-1</sup> (Miller and
424	Wilkins, 1952) were not observed in Figure 2. These results imply that a possible
425	interference by inorganic NH <sub>4</sub> NO <sub>3</sub> at $m/z$ 30 and 46 is not likely.

While inorganic NOy (HNO<sub>3</sub> & NH<sub>4</sub>NO<sub>3</sub>) had little influence on the observed particle NO<sup>+</sup>/NO<sub>2</sub><sup>+</sup> fragments (as described above), organic NOy partitioning may also be possible, leading to NOC that was not derived via the uptake of NH<sub>3</sub>. In the presence of NO larger than 10-30 pptv, organonitrates (RONO<sub>2</sub>) can be formed through reactions between organic peroxy radicals (RO<sub>2</sub>) and NO (Arey et al., 2001).

431	The initial NO concentration in these chamber experiments was ~25 pptv (Figure S6).
432	The limited resolution of the FTIR measurements makes it difficult to differentiate
433	between RONO <sub>2</sub> and other NOC bands (~1640, 1315, 870 cm <sup>-1</sup> ; Figure 2 and Table
434	S1). However, a number of observations described below suggest that
435	photo-chemically derived RONO2 (hence not NOC from NH3) was a minor
436	contributor to the observed $NO_x$ fragments, and a further negligible source of
437	$C_xH_yON_n$ and $C_xH_yO_2N_n$ fragments. Firstly, the photo-chemical formation of
438	organo-nitrates in various VOC systems is usually associated with the formation of
439	significantly more HNO <sub>3</sub> (NH <sub>4</sub> NO <sub>3</sub> in this study) which was not observed in the IR
440	measurements here. Secondly, AMS measurements have demonstrated that the
441	$NO^+/NO_2^+$ ratio specifically for monoterpene derived organo-nitrates is in the range of
442	10-15 (Bruns et al., 2010;Fry et al., 2009), in contrast to that of the current study (~2).
443	Thirdly, Farmer et al. (Farmer et al., 2010) have shown that $C_xH_yON_n$ and $C_xH_yO_2N_n$
444	fragments from organo-nitrate standards typically account for <5% of the total N
445	containing mass, in contrast to the current study where they account for ~30% (Table
446	2). Finally, there was an observed positive correlation between particle-phase acidity
447	and the derived uptake coefficients (described in Section 3.6) based upon these NOC
448	fragments, which is inconsistent with RONO2 formed photo-chemically in the
449	gas-phase. Consequently, NO <sub>x</sub> , $C_xH_yON_n$ and $C_xH_yO_2N_n$ fragments are likely to have
450	arisen primarily from the heterogeneous reactions of NH3, and are thus included in
451	subsequent kinetic calculations.

# **3.4 Contribution of NOC to SOA**

453	The temporal evolution of the four families of N-containing fragments (excluding
454	$NH_x$ ) and the total SOA during ozonolysis of $\alpha$ -pinene and OH oxidation of m-xylene
455	are shown in Figures 3A and 3B, respectively. Note that NOC as defined here is not
456	likely to be a result of acid-base (organic acid-NH <sub>3</sub> ) reactions since NH <sub>x</sub> fragments are
457	excluded (See section 3.2). The relative contribution of $T_{\text{NOC}}$ to SOA is shown in
458	Figures 3C and 3D and summarized for all experiments in Table 2. As shown in
459	Figure 3A and B, once the ozonolysis or OH oxidation was initiated all N-containing
460	fragments increase significantly with time; but their growth rates were much smaller
461	than that for the bulk of the SOA, as demonstrated by the relatively sharp decline in
462	the $T_{NOC}$ /SOA of Figures 3C and 3D. Nonetheless, after 6 hours of exposure in these
463	experiments, N-containing species (based on the quantified HR-ToF-AMS fragments
464	excluding NH <sub>x</sub> ) contributed 8.9 $\pm$ 1.7 wt% and 31.5 $\pm$ 4.4 wt% (avg over all experiments)
465	of the total SOA mass from the ozonolysis of $\alpha$ -pinene and OH oxidation of
466	m-xylene, respectively. As discussed in Section 3.2, $\alpha$ -dicarbonyls are likely the most
467	dominant products from the OH-initiated oxidation of m-xylene (Zhao et al., 2005),
468	while organic acids are likely the dominant SOA components derived from ozonolysis
469	of $\alpha$ -pinene (Ma et al., 2013). This is consistent with the higher NOC content in the
470	total SOA mass from the OH oxidation of m-xylene as shown in Figure 3. After 6 h of
471	reaction, as summarized in Table 2, the mean N/C ratio is $0.016\pm0.004$ for the
472	ozonolysis of $\alpha$ -pinene and 0.065±0.011 for the OH oxidation of m-xylene. These
473	N/C ratios are comparable with that of low-volatility oxidized organic aerosol (OOA,
474	0.011) and a recently isolated nitrogen-enriched OA (0.053) (Su, 2011). In a study of

the ozonolysis of *d*-limonene by Laskin et al. (2010), it was found that <6% of the 475 extracted species from fresh SOA contained an N atom, which was ascribed to 476 477 reactions with trace amounts of NH<sub>3</sub> in the laboratory air or from reactions of dissolved analyte molecules with solvent (acetonitrile). This value (6 %) is 478 479 comparable with the  $T_{NOC}$  content of SOA from the ozonolysis of  $\alpha$ -pinene in this study (Table 1). In the reactions between glyoxal and ammonium, N-containing 480 481 fragments contributed approximately 1 % to the total SOA mass (Chhabra et al., 2010). The diversity in NOC mass fraction or N/C reported previously suggests that 482 483 the N-containing content of SOA will depend upon the conditions associated with the reaction system, such as the VOC, the oxidant, NH<sub>3</sub> concentration, mass loading of 484 485 SOA and the seed particle composition. Regardless, the relatively large contribution 486 of T<sub>NOC</sub> to the formed SOA here suggests that exposure of SOA in the atmosphere to ammonia may be an important mechanism leading to ambient particle phase nitrogen 487 488 even in the absence of acidic particles (i.e.exp P5 here). Note that the above fractional 489 NOC values are probably underestimates, as a fraction of the measured  $NH_x$  will also 490 arise from NOC, but is not included in the  $T_{NOC}$  since the contribution of inorganic 491 ammonium cannot be differentiated.

492

### 493 **3.5 Contribution of NOC to total nitrogen containing mass:**

494 The relative contribution of each NOC fragment family to the  $T_{NOC}$  ( $T_{NOC} = NO_x$ 495 +  $C_xH_yN_n + C_xH_yON_n + C_xH_yO_2N_n$ ), is also shown in Figures 3C and 3D. The above 496 fragment contribution to  $T_{NOC}$ , together with the ratio of  $T_{NOC}$  to the total nitrogen

497	containing mass (TN = $T_{NOC}$ + NH <sub>x</sub> ) and the $T_{NOC}$ /TN ratio on a nitrogen atom mass
498	basis $(N_{NOC}/N_{TN})$ are summarized for all experiments in Table 2. After 6-hr of $NH_3$
499	exposure (Figure 3C), the ratio of NO <sub>x</sub> , $C_xH_yN_n$ , $C_xH_yON_n$ and $C_xH_yO_2N_n$ fragment
500	families to $T_{NOC}$ were 37.2 wt%, 33.5 wt%, 17.0 wt% and 12.2 wt%, respectively for
501	the ozonolysis of $\alpha$ -pinene, and 45.6 wt%, 34.3 wt%, 15.7 wt% and 4.5 wt% for the
502	OH oxidation of m-xylene (Figure 3D). These relative contributions to $T_{NOC}$ were
503	consistent between experiments and VOC systems, with the exception of $C_xH_yO_2N_n$
504	fragments which contributed approximately 3 times less to the $T_{\text{NOC}}$ in m-xylene
505	experiments compared to those of $\alpha$ -pinene (Table 2). Placed in the context of the
506	total nitrogen containing mass (TN), which includes the inorganic ammonium, NOC
507	formed from exposure of SOA to NH <sub>3</sub> accounted for a substantial fraction of the TN
508	(~10-20 wt%) with a generally greater contribution in the m-xylene system under
509	otherwise similar conditions. However, a better indication of the importance of NOC
510	forming reactions is derived by computing the above ratio on an atomic nitrogen mass
511	basis ( $N_{NOC}/N_{TN}$ ; Table 2). Despite the carbon, hydrogen and oxygen content of the
512	NOC fragments, the amount of N associated with NOC remains a significant
513	contributor to the total N mass ( $\sim 4 - 15$ wt%), and is likely underestimated since an
514	unknown fraction of $NH_x$ will be from NOC. Such a high N content in these particles
515	may have implications for ambient particulate nitrogen loading and subsequent N
516	deposition which will be discussed further in Section 4.

## **3.6 Reaction kinetics.**

519	Typical temporal profiles for $T_{NOC}$ during the ozonolysis of $\alpha$ -pinene, which are
520	used in the kinetic calculations, can be represented by those for Exp. P3 and P5
521	(Figure 4). The open circles and solid triangles represent the experimental data, with
522	the fit of the uptake model shown as red and blue lines during the initial (from 0 to
523	150 min) and the final stages (from 400 to 1250 min) of the experiment respectively.
524	In these specific experiments (P3, P5), the observed initial reactive uptake coefficients
525	of NH <sub>3</sub> ( $\gamma_{obs,ini}$ ; on an atomic N mass basis) to form the N in NOC were $4.8\pm0.2\times10^{-3}$
526	and $1.07\pm0.03\times10^{-3}$ , respectively. The true uptake coefficients ( $\gamma_{t,ini}$ ) were obtained by
527	performing gas-phase diffusion corrections for NH3 using a previously reported
528	empirical formula (Fuchs and Sutugin, 1970;Worsnop et al., 2002;Widmann and
529	Davis, 1997) and the diffusion coefficient of $NH_3$ in air (0.1978 cm <sup>2</sup> s <sup>-1</sup> ) (Massman,
530	1998). The corresponding $\gamma_{t,ini}$ values for all experiments ranged from $1.23\pm0.04\times10^{-3}$
531	to $1.52\pm0.03\times10^{-2}$ (Table 2). As discussed above, a fraction of the observed NH <sub>x</sub>
532	fragments are likely to have arisen from NOC. However they are not included in the
533	uptake coefficient estimates of Table 2 and thus results in an underestimate of $\gamma$ .
534	Conversely, including $NH_x$ in the calculation of $\gamma$ (Table S2) is considered an
535	overestimate. Despite this uncertainty, these uptake coefficients on the order of $10^{-3}$
536	are relatively large. At the present time, no data is available for comparison since the
537	uptake kinetics of NH3 on organic aerosol has not been reported. However, the uptake
538	coefficients measured in this study are similar to those observed for glyoxal (Liggio et
539	al., 2005a, b;Liggio and Li, 2006a), and are 2-4 orders of magnitude higher than
540	biogenic olefins (Liggio and Li, 2008) and pinonaldehydie (Liggio and Li, 2006a) on

541 acidic surfaces.

While not included in the derivation of  $\gamma$  leading to NOC, the NH<sub>x</sub> data from 542 543 these experiments can be used to derive NH<sub>3</sub> uptake coefficients leading to inorganic ammonium ( $\gamma_{NH4}$ ) using the same approach as above. The resultant  $\gamma_{NH4}$  values are 544 given in Table S2 and range from  $5.3 \times 10^{-4}$  to  $1.78 \times 10^{-2}$  (mean =  $6.4 \times 10^{-3}$ ) comparable 545 to the range of  $4 \times 10^{-3} - 2 \times 10^{-4}$  reported for the competing uptake of NH<sub>3</sub> with ambient 546 organic gases by sulfuric acid (Liggio et al., 2011). The current uptake coefficients 547 leading to NH<sub>4</sub><sup>+</sup> are similar in magnitude to those leading to NOC, and significantly 548 549 less than what would be expected based upon the neutralization of sulfuric acid particle ( $\sim 0.5 - 1$ ;(Swartz et al., 1999)). These results suggest that under these 550 conditions, the formation of NOC can compete with the neutralization of acidic 551 552 particles, possibly due to kinetic limitations on the uptake of NH<sub>3</sub> caused by the coating of SOA as has been demonstrated previously (Liggio et al., 2011). 553

554

### 555 **3.7 Factors affecting reaction kinetics**

To determine the uptake kinetics of NH<sub>3</sub> by relatively aged SOA during ozonolysis of  $\alpha$ -pinene or OH oxidation of m-xylene additional ammonia ( $\Delta c$ : ~30 ppbv) was introduced into the reaction chamber after approximately 6 hr of the original exposure. As shown in Figure 3A and B, the additional NH<sub>3</sub> did not result in a change in the absolute concentration of N-containing species in the SOA. The ratio of TN to SOA increased slightly in the last half of the experiments, possibly due to the evaporation of SOA when  $\alpha$ -pinene was entirely consumed or further particle-phase

563 oxidation by oxidants (OH and/or O<sub>3</sub>). A reduced uptake of NH<sub>3</sub> for the more aged 564 SOA is also reflected in the derived uptake coefficients from the latter stages of the 565 experiments. After 400 min of reaction (Figure 4), the NOC uptake had slowed 566 significantly, and the derived uptake coefficients of NH<sub>3</sub> to form NOC decreased to 567  $1.61\pm0.24\times10^{-5}$  and  $4.01\pm0.13\times10^{-5}$ , respectively.

A number of factors may explain the reduced uptake onto the more aged particles 568 of these experiments (>400 min; Fig 4). Firstly, this may suggest that in the latter 569 stages of photochemistry, multi-generational particle-phase products of VOC 570 571 oxidation contain functional groups not involved in the NOC forming heterogeneous reactions. However, the change in the O/C ratio during these experiments was quite 572 small; increasing to 0.46 from 0.4 over 6 hours (Figure S7). At the same time, 573 574 precursor VOCs were not fully depleted after 6 hours, suggesting that carbonyls should continue to be formed throughout the experiment and not be entirely consumed 575 via a heterogeneous reaction with NH<sub>3</sub>. Given that the postulated heterogeneous 576 reactions (Mannich reaction and/or Schiff base reaction) are known to be acid 577 catalyzed (Mitsumori et al., 2006), we suggest that a diffusion limitation to the acidic 578 579 core of the particle (or to a region where acidity and carbonyls are unavailable) may be responsible for the slow decrease in uptake with time as significant amount of 580 organic material (e.g., in the form of SOA) is added to the seed particles. This would 581 have the effect of reducing the uptake of NH<sub>3</sub> leading to both NOC and NH<sub>4</sub><sup>+</sup>, 582 583 consistent with the derived  $\gamma$  of both. In particular, the formation of oligomers of high molecular weight (which may be more likely to hinder liquid phase diffusion) has 584

been noted to occur in various SOA systems (Kalberer et al., 2004;Gross et al., 2006).
This is also consistent with previous laboratory studies in which a high NH<sub>3</sub> exposure
for several days is required to detect the BrC in SOA (Nguyen et al., 2013;Lee et al.,
2013b;Updyke et al., 2012). The current results suggest that the formation of NOC
from NH<sub>3</sub> uptake will be more efficient for newly formed SOA (which is accelerated
in the presence of sulfuric acid) compared to aged SOA.

The relationship between  $\gamma_{t,ini}$  and the particle-phase acidity for the ozonolysis of 591  $\alpha$ -pinene is shown in Figure 5. Since the RH (50±2 %) was lower than the 592 593 deliquescence RH (DRH) of the mixtures of H<sub>2</sub>SO<sub>4</sub>-Na<sub>2</sub>SO<sub>4</sub> used (~80 %), we cannot reasonably estimate the surface pH with the E-AIM model (Friese and Ebel, 2010), 594 595 though it is expected that some surface coverage of water exists. Alternatively, the 596 mole ratio of H<sub>2</sub>SO<sub>4</sub> to Na<sub>2</sub>SO<sub>4</sub> is used as a qualitative metric for the acidity in Figure 5. Namely, a higher ratio of H<sub>2</sub>SO<sub>4</sub>/Na<sub>2</sub>SO<sub>4</sub> indicates stronger acidity. As shown in 597 Figure 5, the reactive uptake coefficient for the  $T_{NOC}$  ( $\gamma_{t,ini}$ ) increased by 598 599 approximately a factor of 4 with increasing particle-phase acidity. This is consistent 600 with the previously postulated acid-catalyzed reaction mechanisms between carbonyls 601 and NH<sub>3</sub> or NH<sub>4</sub><sup>+</sup> (in equilibrium with gas phase NH<sub>3</sub>) in Scheme 1 and elsewhere (Nguyen et al., 2013;Bones et al., 2010). In Figure 5, an upper and lower limit to this 602 qualitative relationship with acidity is estimated by including and excluding NH<sub>x</sub> in 603 the derivation of  $\gamma_{t,ini}$ , both of which bear the same relationship. 604

Further insight into the controlling factors in this system is also gained from the relationship between  $\gamma_{t,ini}$  and gaseous NH<sub>3</sub>, which is shown in Figures 6, for a fixed

607	content of particle-phase sulfuric acid ( $H_2SO_4/Na_2SO_4$ in moles = 1.95). These figures
608	demonstrate that $\gamma_{t,\text{ini}}$ for $T_{\text{NOC}}$ decreases with increases in $\text{NH}_3(g)$ concentration
609	(regardless of the inclusion of $NH_x$ into $\gamma$ ). In other reaction systems, an
610	anti-correlation between uptake coefficients and gaseous reactant has been used to
611	indicate that heterogeneous reactions occur on the particle surface, limited by an
612	increasing number of ineffective collisions between the reactive sites and the gaseous
613	reactant (i.e.surface saturation;(Ma et al., 2010;Pöschl et al., 2001;Mmereki and
614	Donaldson, 2003 ;Kwamena et al., 2004). While this possibility cannot be ruled out
615	here, the above acidity dependence argues against surface reaction, since a
616	hydrophilic acidic seed is unlikely to be miscible with a somewhat hydrophobic SOA
617	and thus migrate to the surface. Rather, we hypothesize that the relationship in Figure
618	6 is driven by the kinetics of organic + $NH_3/NH_4^+$ reactions that lead to the NOC. In
619	this scenario, a larger $\gamma_{t,\text{ini}}$ would be observed at lower $NH_3$ concentration when $NH_3$
620	and/or $NH_4^+$ in the particle is rate limiting, and a reduced $\gamma_{t,ini}$ at higher $NH_3$ (Fig 6)
621	observed when the organic reactant is the rate limiting reagent in the formation of
622	NOC. This argument is also consistent with a decrease in the $T_{NOC}$ fraction of SOA
623	with increasing SOA mass added as shown in Figure 7, and again suggests that a
624	barrier/diffusion limitation caused by organic coatings limits the formation of NOC in
625	these experiments. The relatively few data points of Figure 7 underlie the need for
626	further systematic study to conclusively determine the controlling factors leading to
627	the formation of NOC.

629 4 Implications

Organonitrogen compounds have been regarded as an important class of brown 630 carbon in atmospheric particles, and may also have an influence on regional and 631 global N deposition. As shown in this work, NOC compounds can be formed 632 633 efficiently and quickly via the uptake of NH<sub>3</sub> by newly formed SOA, in contrast to other studies where NOC forms over several days (Bones et al., 2010). If it is assumed 634 that a steady state between NOC and SOA is established as observed in this study 635 (i.e.Figures 3 and 4), then a crude estimate of the formation rate of ambient NOC via 636 637 the uptake of NH<sub>3</sub> to biogenic SOA (BSOA) and anthropogenic SOA (ASOA) can be derived. Top-down estimates of global biogenic BSOA and anthropogenic ASOA 638 formation have been estimated at approximately 88 and 10 Tg C/yr, respectively 639 640 (Hallquist et al., 2009;Farina et al., 2010). Based upon the measured ratio of NOC in SOA (i.e.  $(8.8\pm1.7)$  wt% for ozonolysis of  $\alpha$ -pinene and  $(31.5\pm4.4)$  wt% for OH 641 oxidation of m-xylene after 6 hours) and a value of 1.4 for OM/OC (Hallquist et al., 642 643 2009) the estimated global NOC via the reactive uptake of  $NH_3$  are then 10.8±2.1 and 4.4±0.6 Tg/yr from BSOA and ASOA respectively, given sufficient NH<sub>3</sub> availability. 644 However, it should be noted that the lowest NH<sub>3</sub> concentration used in this study was 645 significantly higher than that typically found in the troposphere. While the 646 dependence of the NOC/SOA on NH3 concentration was weak in this high 647 concentration regime, it is not clear if it remains so at more relevant NH<sub>3</sub> levels. In 648 649 addition, the formation of NOC may not reach a steady state in the atmosphere, as NH<sub>3</sub>, SOA and acidic sulfate can be present simultaneously, preventing the formation 650

of an organic barrier as hypothesized in this study. The MAC of both BSOA and 651 ASOA is known to be enhanced by NH<sub>3</sub> aging (Updyke et al., 2012) however only to 652 a maximum of  $\sim 0.1 \text{ m}^2 \text{ g}^{-1}$  at 500 nm wavelength. When compared to black carbon, 653 with a MAC of >10 m<sup>2</sup> g<sup>-1</sup> (Andreae and Gelencser, 2006) and a global emission of  $\sim 8$ 654 Tg/yr (Bond et al., 2004), the contribution of NOC originating from the uptake of 655 656 NH<sub>3</sub> by SOA to light absorption and the overall energy budget is likely to be small. It should be noted that light absorption by NOC may be relatively more important in the 657 UV range, where NOC should have a much higher MAC. While this may not change 658 the energy budget as significantly as black carbon, the actinic flux could be 659 significantly changed, with different consequences. However, light absorption by 660 NOC in atmospheric particles may be important regionally where the BC contribution 661 662 is minimal.

Based upon the mean N/C in SOA after 6 h of reaction  $(1.6\pm0.4\times10^{-2}; \alpha$ -pinene 663 and  $6.5\pm1.1\times10^{-2}$ ; m-xylene), the uptake of NH<sub>3</sub> by BSOA and ASOA may contribute 664 665 up to  $1.4\pm0.4$  and  $0.7\pm0.1$  Tg N/yr from the reactive uptake of NH<sub>3</sub>. Although these values are significantly less than the total global emission of NH<sub>3</sub> (33.4 Tg N/yr) 666 (Reis et al., 2009), it may be important on a local or regional scale. The similarity 667 between the uptake coefficients for NOC and inorganic NH<sub>4</sub><sup>+</sup> suggests that in the 668 presence of organic coatings, NOC formation can compete with particle neutralization. 669 Furthermore, under the conditions of these experiments up to 15% of the total N mass 670 is attributed to NOC. If this value holds true for the ambient atmosphere, then a 671 significant portion of N in PM is miss-represented as NH<sub>4</sub><sup>+</sup> or entirely unaccounted 672

for. This will provide a means to transport more N further from ammonia sources and result in N deposition patterns poorly predicted by regional models (Cornell et al., 2003;Cape et al., 2011). Although a more thorough modelling study and further insight into the rates and mechanisms of NOC formation is required to clearly elucidate its impact on climate and regional nitrogen deposition, the results of this study suggest that NOC from NH<sub>3</sub> should be considered with respect to overall deposition of N to sensitive ecosystems.

- 680 Supporting Information
- The Supplement related to this article is available online at.

682

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 $\mathcal{C}_{\mathrm{P}}$ Exp. H<sub>2</sub>SO<sub>4</sub>/Na<sub>2</sub>SO<sub>4</sub>  $M_{\rm O}{}^{\rm b}$  $c_{\rm VOC}$  $c_{03}$  $c_{\rm OH}$  $c_{\rm NH3}$ VOC (particle No.<sup>a</sup> (ppbv) (ppbv) (molecules cm<sup>-3</sup>) (ppbv) (mol/mol) (µg m<sup>-3</sup>) cm<sup>-3</sup>) P1 11.7 30.7  $2.85 \times 10^{6}$ 50.7 0.76 5863 α-pinene 11.2 P2 16.9 30.2  $1.77 \times 10^{6}$ 1.19 5627 16.4 α-pinene 66.6 P3 α-pinene 22.1 30.0  $3.41 \times 10^{6}$ 34.1 2.12 5377 23.5 P4 31.2  $2.81 \times 10^{6}$ 1.71 4761 α-pinene 13.6 40.6 13.6 P5 α-pinene 13.3 33.4  $2.22 \times 10^{6}$ 49.7 0 3836 5.8 α-pinene P6 13.6 33.3  $1.57 \times 10^{6}$ 40.8 1.68 5276 13.6 B7 0 31.8 44.0 1.68 4656 0.4 \_ P8 α-pinene 11.9 33.1  $1.87 \times 10^{6}$ 34.1 1.95 4632 12.8 P9 31.0  $2.18 \times 10^{6}$ 10.4 α-pinene 11.2 42.6 1.95 5554 P10 α-pinene 11.3 31.0 3.01×10<sup>6</sup> 56.6 1.95 5437 15.4 P11 α-pinene 31.0  $2.41 \times 10^{6}$ 14.6 11.2 63.9 1.95 5464 P12 α-pinene 12.8 30.9  $3.47 \times 10^{6}$ 101.5 1.95 5495 20.6 α-pinene P13 31.2  $3.41 \times 10^{6}$ 10.4 75.1 1.95 5402 16.6 P14 α-pinene 10.9 29.2  $3.49 \times 10^{6}$ 61.9 1.95 5809 15.6 M15 m-xylene  $1.74 \times 10^{6}$ 49.4 1.95 4910 6.4 21.6 \_ M16 m-xylene 25.0  $1.82 \times 10^{6}$ 66.2 1.95 4966 6.8 \_ M17 m-xylene 23.3  $1.78 \times 10^{6}$ 86.2 1.95 4948 6.0 \_ M18 m-xylene  $1.40 \times 10^{6}$ 97.9 5.4 21.1 1.95 4612 M19 m-xylene 22.1 \_ 1.93×10<sup>6</sup> 104.4 1.95 4918 5.8 M20 m-xylene 19.7  $1.31 \times 10^{6}$ 125.7 1.95 5248 5.6

1005 <b>Hube It minuted gubeous and particle phase experimental conditions</b>	1003	Table 1. Initial	gaseous and	particle phase	experimental	conditions.
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1004 <sup>a</sup> P, B and M represent α-pinene, blank and m-xylene, respectively. Experiments performed at

1005 RH=50±1 %; T=295±2 K b. Organics after 6h of exposure

 Table 2. Contribution of NOC to SOA and Total Nitrogen containing mass

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Exp.	VOC	SOA	$(\gamma_{t,ini})^{b}$	N/C	$C_x H_y N_n / T_{NOC}^c$	$C_x H_y ON_n / T_{NOC}^c$	$C_x H_y O_2 N_n / T_{NOC}^c$	NOx/T <sub>NOC</sub> <sup>c</sup>	NOC/TN	N <sub>NOC</sub> /N <sub>TN</sub> <sup>d</sup>	T <sub>NOC</sub> <sup>c</sup> / SOA
No. <sup>a</sup>	VUC			N/C	(%)	(%)	(%)	(%)	(%) <sup>d</sup>	(%) <sup>e</sup>	(%) <sup>f</sup>
P1	α-pinene	22.1	$1.64+0.07\times10^{-3}$	1.3×10 <sup>-2</sup>	15.1	11.8	11.1	62.0	18.5	8.7	11.0
P2	α-pinene	23.3	$2.00\pm0.58\times10^{-3}$	1.3×10 <sup>-2</sup>	19.9	14.1	18.1	47.9	17.3	7.3	9.3
Р3	α-pinene	26.0	$5.47 \pm 0.23 \times 10^{-3}$	1.5×10 <sup>-2</sup>	30.0	19.8	15.5	34.6	18.5	7.7	8.2
P4	α-pinene	26.6	5.21±0.16×10 <sup>-3</sup>	2.3×10 <sup>-2</sup>	35.8	19.3	15.5	29.3	18.6	8.2	12.2
P5	$\alpha$ -pinene	11.6	$1.23\pm0.04\times10^{-3}$	2.2×10 <sup>-2</sup>	40.8	24.6	16.9	17.7	40.1	19.2	9.0
P6	$\alpha$ -pinene	24.4	$3.05\pm0.11\times10^{-3}$	1.8×10 <sup>-2</sup>	33.5	17.0	12.2	37.2	16.3	6.9	10.2
B7	-	-	-	-	-	-	-	-	-	-	-
P8	$\alpha$ -pinene	24.8	$4.02\pm0.18\times10^{-3}$	2.1×10 <sup>-2</sup>	39.8	22.0	13.2	25.0	14.3	5.7	9.7
P9	$\alpha$ -pinene	20.8	$2.61 \pm 0.22 \times 10^{-3}$	1.8×10 <sup>-2</sup>	32.3	19.3	20.8	27.7	12.2	4.7	9.2
P10	$\alpha$ -pinene	29.4	$1.78\pm0.07\times10^{-3}$	1.5×10 <sup>-2</sup>	27.7	21.7	18.9	31.7	11.6	4.5	7.8
P11	$\alpha$ -pinene	28.0	$1.64\pm0.10\times10^{-3}$	1.4×10 <sup>-2</sup>	29.4	21.8	15.4	33.4	10.1	3.9	7.1
P12	$\alpha$ -pinene	32.0	$1.62\pm0.09\times10^{-3}$	1.5×10 <sup>-2</sup>	42.4	22.4	18.1	17.1	11.8	5.3	7.3
P13	$\alpha$ -pinene	31.8	$1.24\pm0.08\times10^{-3}$	1.1×10 <sup>-2</sup>	29.5	17.3	19.1	34.1	11.5	4.5	6.2
P14	α-pinene	28.6	$1.53\pm0.06\times10^{-3}$	1.4×10 <sup>-2</sup>	30.7	19.0	16.2	34.1	11.9	4.7	7.1
M15	m-xylene	12.6	$1.52\pm0.03\times10^{-2}$	6.4×10 <sup>-2</sup>	34.3	15.7	4.5	45.6	23.1	10.0	28.9
M16	m-xylene	9.8	$8.21 \pm 0.30 \times 10^{-3}$	5.6×10 <sup>-2</sup>	27.6	16.1	7.6	48.8	20.4	8.5	28.2
M17	m-xylene	9.8	$6.74 \pm 0.19 \times 10^{-3}$	6.6×10 <sup>-2</sup>	32.7	17.6	3.6	46.1	20	8.6	30.1
M18	m-xylene	11.6	$4.00\pm0.11\times10^{-3}$	7.6×10 <sup>-2</sup>	32.1	15.3	5.4	47.2	20.4	14	35.9
M19	m-xylene	9.4	$3.98\pm0.10\times10^{-3}$	5.1×10 <sup>-2</sup>	24.4	14.8	6.2	54.6	16.3	11.8	27.5
M20	m-xylene	12.8	$4.10\pm0.13\times10^{-3}$	7.9×10 <sup>-2</sup>	32.8	15.1	5.0	47.1	21.4	14.8	38.1
Ν	lean±σ		4.0±3.3×10 <sup>-3</sup>		31.1±6.7	18.1±3.4	12.8±5.7	38.0±12.1	17.6±6.7	8.4±4.1	16.0±11

- 1009 a. P, B and M represent  $\alpha$ -pinene, blank and m-xylene, respectively. b.  $\gamma$  leading to T<sub>NOC</sub> derived
- 1010 excluding NH<sub>x</sub> fragments. c. after 6 hours of exposure where  $T_{NOC} = C_x H_y N_n + C_x H_y O N_n + C$
- 1011  $C_xH_yO_2N_n + NO_x$ . d. Where TN includes all nitrogen containing mass, including ammonium
- 1012 (TN =  $C_xH_yN_n + C_xH_yON_n + C_xH_yO_2N_n + NO_x + NH_x$ ). e. Values given on a N atom/N atom
- 1013 mass basis. f. Ratio on a mass/mass basis

## 1014 Figure captions

- 1015 Figure 1. Typical HR-ToF-AMS spectra of (A) non-N-containing fragments and (B)
- 1016 N-containing fragments in SOA formed by  $O_3$  oxidation of  $\alpha$ -pinene in the presence
- 1017 of 40.8 ppbv NH<sub>3</sub> (Exp. P5).
- 1018 Figure 2. Infrared spectra for SOA from (A) ozonolysis of  $\alpha$ -pinene (Exp. P11) and
- 1019 (B) OH oxidation of m-xylene (Exp. M15) in the presence of NH<sub>3</sub>. R is the 1020 abbreviation for reflectance in DRIFTS mode.
- 1021Figure 3. Concentration changes for N-containing fragments and SOA for (A)1022ozonolysis of α-pinene (Exp. P6) and (B) OH oxidation of m-xylene (Exp. M16),1023respectively; the relative fraction of each species to total NOC mass1024 $(T_{NOC}=C_xH_yN+C_xH_yON+C_xH_yO_2N+NO_x)$  and  $T_{NOC}$  to SOA fraction for (C)1025ozonolysis of α-pinene and (D) OH oxidation of m-xylene, respectively.
- Figure 4. Fitting of mass changes (Exp. P3 and P5) to derive uptake coefficients for NH<sub>3</sub> leading to  $T_{NOC}$ . The red and blue lines represent the predicted values by the uptake model at the initial (from 0 to 150 min) and the final stages of the experiment (from 400 to 1250 min), respectively.
- 1030 **Figure 5.** Relationship between the  $\gamma_{t,ini}$  and particle-phase acidity when including
- 1031 (black) and excluding (red)  $NH_x$  in the determination of  $\gamma$ . The error bars are derived
- 1032 from the uncertainties of the uptake model parameters
- 1033 Figure 6. Diffusion corrected uptake coefficient of NH<sub>3</sub> to form NOC species on SOA
- 1034 from  $O_3$  oxidation of  $\alpha$ -pinene (A) and OH oxidation of m-xylene (B), as a function
- 1035 of NH<sub>3</sub> exposure (at fixed H<sub>2</sub>SO<sub>4</sub>/Na<sub>2</sub>SO<sub>4</sub> ratio; 1.95 mol/mol). This relationship is
- 1036 also shown for uptake coefficients derived including the NH<sub>x</sub> fragments (C) and (D).
- 1037 The error bars depict  $1\sigma$ .
- 1038 Figure 7. Relative contribution of NOC to the total SOA (T<sub>NOC</sub>/SOA) as a function of

- 1039 organic mass loading for  $\alpha$ -pinene and m-xylene experiments at constant particle
- 1040 acidity ( $H_2SO_4/Na_2SO_4$ : 1.95).

# **Figures**



Figure 1.





Figure 3.



Figure 4.



Figure 5.



Figure 6.

