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3	Variations of Surface Ozone at leodo Ocean Research Station
4	in the East China Sea and influence of Asian Outflows
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24 Abstract

Ieodo Ocean Research Station (IORS), a research tower (~40 m a.s.l.) for atmospheric and 25 oceanographic observations, is located in the East China Sea (32.07, 125.10°E). The IORS 26 is almost equidistant from South Korea, China, and Japan and, therefore, it is an ideal place to 27 observe Asian outflows without local emission effects. The seasonal variation of ozone was 28 29 distinct, with a minimum in August (37 ppbv) and two peaks in April and October (62 ppbv), and was largely affected by seasonal wind pattern over East Asia. At IORS, six types of air 30 masses were distinguished with different levels of O₃ concentrations by the cluster analysis of 31 32 backward trajectories. Marine air masses from the Pacific Ocean represent a relatively clean background air with a lowest ozone level of 32 ppby, which was most frequently observed in 33 summer (July ~ August). In spring (March~April) and winter (December ~ February), the 34 influence of Chinese outflows was dominant with higher ozone concentrations of 62 ppbv and 35 49 ppby, respectively. This study confirms that the influence of Chinese outflows was the 36 37 main factor determining O₃ levels at IORS and its extent was dependent on meteorological state, particularly at a long-term scale. 38

40 **1. Introduction**

Ozone (O_3) and its photochemical derivative, OH, are primary oxidants and key players 41 determining oxidation capacity within the troposphere (e.g., Berchet et al., 2013; Seinfeld and 42 43 Pandis, 2006). A short-lived greenhouse gas, O₃ also affects climate change and air quality (e.g., Berchet et al., 2013; Brasseur et al., 1999; IPCC 2013; Jacobson, 2012). Exposure to 44 high O₃ levels is known to increase human mortality rates (Bell and Dominici, 2008; Chang et 45 al. 2010), reduce agricultural vields, and damage natural ecosystems (e.g., Bell et al., 2011; 46 Karnosky et al., 2007; Schaub et al., 2005; Wang and Mauzerall, 2004). Tropospheric O₃ is 47 primarily transported from the stratosphere upon tropopause folding and produced by *in situ* 48 photochemical reactions involving carbon monoxide (CO) and hydrocarbons in the presence 49 of nitrogen oxides (NO_x) (Brasseur et al., 1999). Ozone is also lost by photochemical 50 reactions and deposition to the Earth's surface. As a result, the lifetime of O₃ ranges from 51 about a week in summer to several months in winter, which permits O₃, along with other 52 pollutants, to be transported over long distances. In previous studies, ozone levels were 53 observed to be enhanced episodically in polluted air masses from continental outflow in 54 remote regions of the North Atlantic and North Pacific Oceans (e.g., Fischer et al., 2011; Lin 55 et al., 2012; Parrish et al., 2009; Zhang et al., 2008). 56

Particularly, East Asia has experienced a rapid development in economy and industry, from 57 which emissions of O₃ precursors such as NO_x and VOCs have gradually increased (Huang et 58 al., 2013; Monks et al., 2009; Zhao et al., 2013) and the emission of O₃ and its precursors in 59 East Asia is expected to increase further in the near future (Zhao et al., 2013; Ohara et al., 60 2007). As a result, the study region became a hot spot for high O_3 and intensive measurements 61 62 have been performed there to chart O_3 and the effects it has in conjunction with climate change. Over the North Pacific Ocean, ozone has been measured on remote islands (Kato et 63 al., 2001; Parrish et al., 2012; Tanimoto et al., 2009; Wada et al., 2011), from ships (Ridder et 64

al., 2012; Watanabe et al., 2005) and by aircraft (Dupont et al., 2012; Kotchenruther et al.,
2001; Walker et al., 2010; Zhang et al., 2008).

The impact of continental outflow upon the background O_3 is substantial in Northeast Asia 67 (Akimoto et al., 1996; Kondo et al., 2008; Tanimoto et al., 2008; Wada et al., 2011; Yamaji et 68 al., 2006). This impact has similarly been detected near the western U.S (Fischer et al., 2011; 69 70 Lin et al., 2012; Parrish et al., 2009). Walker et al. (2010) estimated that Asian anthropogenic outflow and lightning-derived NO_x emissions contributed at least 7.2 ppbv and 3.5 ppbv to O₃ 71 72 concentration, respectively, in the North Pacific Ocean and western North America. In addition, Zhang et al. (2008) assessed that O₃ in western North America was increased by 73 Asian outflow 5-7 ppbv during spring 2006. The results of these studies indicate O_3 74 concentrations in the North Pacific-rim are regularly affected by Asian outflow. Therefore, it 75 is critical to understand the impact of continental outflows from East Asia on O₃ and 76 oxidizing power over the North Pacific Ocean. Since IORS is located in the East China Sea 77 78 (32.07°N, 125.10°E) (Fig. 1) and almost equidistant from nearby South Korea, China, and Japan, it is an ideal place to observe Asian outflows without local effects (Hwang et al., 2008; 79 Shin et al., 2007). In this study, we present long-term measurements of O_3 at IORS, located in 80 the boundary zone between the Yellow and East China Sea. Then, we describe their 81 characteristic variations and evaluate the continental influence on the regional background 82 concentrations of O₃. 83

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85 2. Methodology

Ieodo Ocean Research Station is an unmanned research tower (~40 m a.s.l.) for atmospheric and oceanographic observations. It was built on rock 36 m below sea level by the Korea Institute of Ocean Science and Technology (KIOST) in 2003 (Moon et al., 2010; Shim et al., 2004). O_3 has been measured at IORS since June 2003. In addition, meteorological

parameters have been monitored, which include air pressure, air temperature, relative 90 91 humidity, wind speed and direction, and visibility. O₃ was measured by an UV photometric 92 analyzer (49C, Thermo Inc., U.S.A.) using the absorption of UV radiation at 253.7 nm by O₃ molecules. The analyzer was installed in a dry lab of the main deck, which is 29 m above sea 93 level. Ambient air was pulled underneath the main deck through a 7 m PFA tubing (6 mm-94 95 OD). The detection limit of the instrument was 1.0 ppby. Calibration was done about once every two months with an internal ozonator. In addition, the ozone analyzer was inter-96 compared with an identical instrument, which was calibrated against the Primary Standard. 97 The two instruments were run side-by-side using a common inlet. The correlation coefficient 98 of the two measurements was 0.99 in the range between 10 and 90 ppbv and ambient 99 measurements were scaled using the relationship between the two. 100

101 The data logger stored 10-min averages. There were power failures and system malfunction 102 at IORS when it was hit by typhoon several times. Thus, raw data were first filtered manually 103 and then the measurements bigger and smaller than 2σ (standard deviation) of the average for 104 10 neighboring values were eliminated. This method is widely used to remove local effects 105 for long-term period measurement (Cvitaš et al., 2004). Statistical analysis was conducted 106 using R (v.3.0.1) (R Core Team, 2014).

Backward trajectories arriving at 100 and 1500 m a.s.l. were calculated for 40 h every 00, 107 06, 12, and 24 UTC (03, 09, 18, and 21 local time) using the NOAA Air Resources 108 Laboratory (ARL) Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) 109 model (version 4) (Draxler and Rolph, 2003, http://www.arl.noaa.gov/ready/hysplit4.html) with 110 111 NCEP Final Analyses (FNL) six-hourly archived data. Isentropic trajectory was selected as it was believed to reflect a more realistic vertical motion for an adiabatic atmosphere. Forty 112 hours were selected because it was long enough to capture regional transport patterns in the 113 northwestern Pacific and short enough to minimize trajectory errors. The results for 100 and 114

115 1500 m showed no meaningful differences and so the following discussion will be based on116 1500 m.

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118 **3. Ozone variations**

The mean concentration of 10 min O₃ measurements was 52 ppbv with a maximum of 128 119 ppby. The variation of monthly means is presented for eight years, from June 2003 to 120 December 2010 (Fig. 2), during which O_3 increased ~2.8% year⁻¹ until 2009 and slightly 121 decreased afterwards. The long-term trend of O₃ at IORS is consistent with recent findings of 122 slowdown in the increase of O₃ concentrations observed in Japanese background stations at 123 Mt. Happo and others (Parrish et al., 2012). This hemispheric baseline likely affects O_3 124 distributions at IORS. Additionally, the vertical column density of tropospheric NO₂ was 125 reported to be decreased over East Asia in 2009, as observed by satellites GOME-2 and 126 SCIAMACHY (Itahashi et al., 2014). In the same context, emissions of NO_x sharply 127 128 increased in East Asia after 2000 mostly from China, but then slowed down in 2009 (Tanimoto et al., 2009; Zhao et al., 2013). Gu et al. (2013) pointed out that the stagnation of 129 NO_x emissions in 2009 were associated with an economic recession in China. 130

The O₃ concentrations of IORS were compared with those of other remote sites in East 131 Asia and the North Pacific for the same period: Gosan in Korea (National Institute of 132 Environmental Research) and Ryori, Yonagunijima, and Minamitorishima in Japan (World 133 Data Centre for Greenhouse Gases (WDCGG), http://ds.data.jma.go.jp/gmd/wdcgg/) (Fig. 1). 134 The diurnal and seasonal variations of eight-year averaged O_3 are presented here in Fig. 3. 135 The averaged O₃ concentrations of IORS, Gosan, Ryori, Yonagunijima, and Minamitorishima 136 were 52, 39, 40, 39, and 27 ppbv, respectively. In these remote sites, the level of averaged O_3 137 concentrations decreased with increased distance from China. At IORS, O₃ mixing ratios 138 139 show the minimum at 9 a.m. and reached to the broad maximum at 5 p.m. The daytime build-

up of O_3 was 5 ppbv, which was much smaller than that in urban areas, but implied in-situ 140 photochemical production for O_3 in the marine boundary layer of the remote site (Fig. 3a). 141 142 While diurnal patterns of O₃ concentration stayed unchanged through seasons, their background concentrations were clearly different with being the highest in spring and the 143 lowest in summer monsoon season. The daytime build-up of O₃ at Gosan in southern island of 144 145 Korea and Ryori, located at the northeasterly edge of Japan, were 8 ppbv and 6 ppbv, respectively, significantly greater than 2 ppbv at Yonagunijima (Fig. 3b). Among the five sites, 146 the O_3 concentration decreased in the afternoon only at Minamitorishima, implying O_3 147 destruction. Considering O₃ loss is generally observed under low NOx conditions in the 148 remote marine boundary layer (MBL) (Ayers et al. 1996), these variations indicate that IORS 149 including other remote sites in East Asia were influenced by continental outflows. In the study 150 region, the high concentration of O_3 was reported to be attributed to transport of ozone or its 151 precursors mainly from China (Tanimoto et al., 2008). 152

153 At IORS, the monthly averaged O₃ concentrations were the highest in April and October (62 ppbv) and lowest in August (37 ppbv) (Fig. 3c). The O₃ concentrations remained high 154 during March ~ May, resulting in a broad spring peak which was in contrast to a sharp fall 155 peak. This is in accordance with a typical pattern that has been observed in other remote sites 156 over Northeast Asia during the past decades (Chan et al., 2002; Jaffe et al., 1996; Kanaya et 157 al., 2015; Kondo et al., 2008; Oltmans and Levy II, 1994; Tanimoto et al., 2005; Tanimoto et 158 al., 2009; Watanabe et al., 2005; Weiss-Penzias et al., 2004). In particular, the second peak of 159 O₃ was the most noticeable at IORS along with Gosan in October, which was also observed in 160 161 previous studies (Kanaya et al., 2015; Tanimoto et al. 2005). It is also noteworthy that outlier levels were the highest and the maximum concentration (128 ppby) was observed in July (Fig. 162 4a). In summer, the study region is under influence of Asian monsoon system which brings 163 164 moist air from the Pacific Ocean. Meteorological parameters including relative humidity, wind speed, and visibility indicate a clear shift in air mass from pre-monsoon to monsoon
season (Fig. 4b). At IORS, O₃ concentration was noticeably decreased during summer, even
though temperature was high. Likewise, the O₃ level of Gosan was at a minimum in summer,
when the levels of precursors were the lowest with heavy rainfall. To examine seasonal
characteristics of O₃ distributions, all measured species were divided into five seasons:
March–April, May–June (pre-monsoon period), July–August, September–November, and
December–February. The seasonal wind patterns are presented in Figure 5.

All O₃ measurements showed bimodal distribution, with a little shoulder on the larger peak 172 (Fig. 6a). In seasonal distributions, the smaller peak (25 ppbv) was the main mode of summer 173 monsoon season. As shown in Figure 5, southerly winds were dominant during July-August 174 (82%) under the influence of North Pacific High. This pattern reveals that the decrease in O_3 175 was associated with the aged marine air masses brought by the North Pacific High or tropical 176 cyclones (Fig. 5c). In addition to aged air masses, precipitation had scavenged O₃ precursors, 177 178 possibly leading to lowered O_3 concentrations (Hou et al., 2015). It was also observed that O_3 was decreased in Beijing and Shanghai during the summer monsoon season (Safieddine et al., 179 180 2013). In May-June, the mode concentration was the highest at 65 ppbv with the least frequency (Fig. 6c). It is a transition period from continental air mass to oceanic air mass and, 181 as a result, the stagnant conditions which had developed under high temperature without 182 prevailing wind (Fig. 5b), led to elevated O_3 concentrations. The mode concentration was the 183 second highest (59 ppbv) in spring, which is characterized by the most effective transport of 184 Chinese outflow by the passage of frontal system (Hou et al., 2014; Kondo et al., 2008; Lim et 185 186 al., 2012). The mode frequency was the greatest in winter, which was due to prevailing northerly winds accounting for ~87% of that period. The main mode of winter and fall, and 187 the second mode of summer monsoon season displayed similar concentrations, which 188 189 comprised the primary mode of O₃ distributions observed at IORS. O₃ levels are known to exhibit lower variability at remote sites and rural areas (McKendry et al., 2014; Oltmans and
Levy II, 1994). However, the results of this study challenge those of previous studies. O₃
concentrations of IORS were highly dependent on air masses, upon which anthropogenic
influence was highly variable. This finding emphasizes the significant role of continental
outflows in determining O₃ concentrations in the Northeast Asian region.

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4. Source signatures of O₃

197 4.1. Cluster analysis of air mass trajectories

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Trajectories were divided into several groups using an agglomerative and hierarchical 199 clustering algorithm with an average linkage function. Average linkage minimizes the within-200 201 cluster variance while maximizing between-cluster variance and has been identified as an effective method for categorizing different synoptic situations (Kalkstein et al., 1987). Within 202 a cluster, the root mean square deviation (RMSD) of each trajectory from the cluster center 203 was quantified and then summed to give the total root mean square deviation (TRMSD) (Cape 204 et al., 2000). As a result, six trajectories were identified. The cluster analysis was performed 205 using the Openair package in R (Carslaw and Ropkins, 2012, 2014). The distance matrix was 206 calculated by the Euclidean distance. 207

The averaged backward trajectories of each cluster are presented in a map (Fig. 7). Among the six clusters, W was the most dominant (23.0 %), followed by NW1 (19.9 %), N (17.9 %), SE (16.6 %), SW (13.4 %), and NW2 (9.2 %). The average O_3 concentration was the highest for N (60 ppbv) and lowest for SE (40 ppbv). For the four clusters of continental air masses, the mean O_3 concentrations were similar to the mean (52 ppbv) of the entire measurement set. In contrast, the marine air masses of SE and SW were characterized by low O_3 concentrations, particularly during summer (32 ppbv).

4.2. Source signature by CWT (Concentration Weighted Trajectory) analysis

The CWT (Concentration Weighted Trajectory) method was employed to figure out the potential source of O_3 observed at IORS. The concentration of O_3 for each grid-cell was calculated using the following equation (Carslaw, 2013):

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$$\ln(\bar{C}_{ij}) = \frac{1}{\sum_{l=1}^{N} \tau_{ijl}} \sum_{l=1}^{N} \ln(c_l) \tau_{ijl}$$
(1)

where, *i* and *j* indicate the indices of grid, *N* shows the entire number of backward trajectories, *l* represents the index of trajectory, c_l signifies the concentration of O₃ observed upon arrival of trajectory *l*, and τ_{ijl} is the residence time of trajectory *l* in the grid-cell (*i*, *j*) (Carslaw, 2013; Cheng et al., 2013). In Fig. 8, the average O₃ concentrations were presented over each gridcell. The O₃ concentration was notably higher for NW1 when air mass passed through the Beijing region. The trajectory of NW2 was similar to that of NW1 except for vertical movement, which is typical for air masses laden with Asian dusts (e.g., Kang et al., 2013).

Because the trajectory length is inversely proportional to the residence time of air in a grid-228 229 cell, the clusters N and W represent stagnant conditions, which was favorable for O₃ to build up. These two trajectories were constantly observed through the year with relatively less 230 seasonal variation at IORS (Fig. 9b). Although the air masses of SW and SE originated from 231 232 the Pacific Ocean, they were likely to pick urban emissions up when passing through the Southeastern China and South Japan, respectively. The result of CWT analysis confirms that 233 the outflows from nearby lands were the source of O₃ observed at IORS, of which the Chinese 234 235 influence was the most dominant.

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237 4.3. Influence of Asian continental outflows

For all clusters, the monthly variations of O_3 concentrations were compared (Fig. 9a). In general, six clusters were similar in their annual pattern of O_3 , with higher concentrations in spring and fall and lower concentrations in summer. In contrast, for NW1, which passes through the Beijing metro area, O_3 concentrations stayed high over 60 ppbv during July– August without considerable decrease (Fig. 9a). Although the summer concentrations in SE were low, below 30 ppbv, in spring and fall the O_3 concentration were high and comparable to those of NW1.

The influence of Chinese outflows, represented by NW1, NW2, and W, was highest in 245 246 winter, with a maximum occurrence (86%) in December. The study region is under influence of Asian monsoon and is characterized by winds southerly in summer and northerly in winter. 247 The occurrence of maritime air, SE and SW, was the most frequent in summer monsoon 248 season. The westerlies prevalent in this region are coupled with the steady occurrence of W 249 through the year, implying a constant influence of Chinese outflows. The cluster N was 250 251 commonly observed before and after summer monsoon season, during which a stagnant condition often developed under the influence of migratory anticyclone systems. The 252 stagnation tends to linger over the Yellow Sea, accumulating pollutants from nearby lands 253 254 including China, Japan as well as Korea. In fact, the high concentrations of O₃ turned out to be associated with air trajectories from Chinese coastal regions. The model results of Zhao et 255 al. (2009) also showed that the high concentration of O_3 can be expanded under a high 256 pressure system in East Asia. 257

The annual variation of each cluster was examined (Fig. 10a). As the O_3 measurement began in June 2003, the measurements of 2003 were not included in this analysis. The yearly O_3 concentrations increased from 49 ppbv in 2004 to 55 ppbv in 2009 and then decreased to 49 ppbv in 2010 (Fig. 2). This pattern was not reflected in NW1 and NW2, for which annual means were the highest in 2004 and lowest in 2010. Marine air masses, including SE and SW, showed the most visible change during this period. Particularly, their annual frequencies increased in 2010, while those of clusters W, N, NW1 decreased (Fig. 10b). These results imply that marine air masses were likely to play a significant role in decreasing O_3 concentration in 2010. The causes underlying increased occurrence of marine air masses needs to be further investigated. These results suggest that a decrease in O_3 concentrations after 2009 was not only associated with the decrease in NO_x emission from China, but also a change in meteorological state in the study region.

270 Considering that Chinese influence is implicit in N and SW, Chinese emission was the 271 predominant factor determining the concentrations of O_3 at IORS. The impact of Korean and 272 Japanese emissions were incorporated in N and SE, apparent in spring and fall, respectively.

273

274 **5.** Conclusion

275 Surface O₃ concentrations were determined at Ieodo Ocean Research Station (IORS) in the East China Sea (32.07N, 125.10°E) from June 2003 to December 2010. The IORS is a 40 m 276 research tower roughly equidistant from Korean, Chinese, and Japanese shores. The average 277 concentration of O_3 for the entire period was of 52 ± 16 ppby. It is higher than those of remote 278 sites in the Northeast Asia and implies the steady influence of continental outflows. 279 Particularly, the seasonal differences were prominent, with two peaks in April and October 280 (62 ppbv) and a minimum in August (37 ppbv), which are greatly dependent on synoptic scale 281 circulation of the atmosphere which, except for summer, expedites effective transport of 282 283 Asian outflows into the Northwest Pacific region. The diurnal variation of O₃ showed a broad maximum in late afternoon, resulting in 5 ppby of daytime build-up. 284

The cluster analysis of backward trajectories identified the six air masses affecting O_3 concentrations at IORS. Among the six, four types of air masses originated from Asian continents, carrying their outflows (NW1, NW2, W, and N) and the other two were aged

marine air from the Pacific Ocean (SE, SW). The O₃ concentration of these continental and 288 marine air masses was the maximum (62 ppbv) in spring and minimum (32 ppbv) in summer, 289 290 respectively. Particularly, the three clusters of NW1, NW2, and W, coming directly from mainland China, comprised 53% of all air masses which arrived at IORS, their contribution 291 increasing up to ~86% in winter. The clusters N and W were the most frequent under stagnant 292 293 condition before and after summer monsoon. In summer, the occurrence of marine air reached the maximum (~74%). These results confirm that Chinese emissions were the dominant 294 source of O₃ observed at IORS. 295

The annual O_3 concentrations increased until 2009, and then slightly decreased in 2010, which is in good accordance with NO_x observed in East Asia, where a slowdown of NO_x emission occurred in 2009 as a result of economic recession in China. In addition, the cluster analysis of air masses highlighted the increased contribution of marine air masses also played a role in decreasing mean concentration of O_3 in 2010.

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Figure Captions

531	
532 533	Figure 1. Geographical locations of (a) Ieodo Ocean Research Station and (b) Gosan, Korea, and (c) Ryori, (d) Yonagunijima, and (e) Minamitorishima, Japan.
534 535	Figure 2. Monthly mean O ₃ concentrations at IORS, from June 2003 to December 2010, with smoothed trend (thick line) and estimated 95% confidence interval (gray shade).
536 537 538 539 540	Figure 3. Comparison of diurnal and seasonal variations of O ₃ concentrations at remote sites in the Northwest Pacific region including IORS, Gosan, Yonagunijima, Ryori, and Minamitorishima. All data were averaged for 8 years (2003–2010) and seasons were divided into spring (May-April), dry summer (May- June), wet summer (July- August), fall (September-November), and winter (December-February). a) diurnal
541 542	variations of O_3 at IORS in different seasons, b) diurnal variations of O_3 at five sites, and b) monthly variations of O_3 at five sites.
543 544 545	 Figure 4. a) Monthly variations of O₃ presented with median, interquartile range (IQR), 1.5IQR, and outliers and b) monthly distributions of temperature, relative humidity, wind speed, and visibility at IORS.
546 547 548 549	Figure 5. The left panel for contour maps presenting NCEP/NCAR reanalysis wind speed in color and wind vector at 850 mb in East Asia from 2004 to 2010 and the right panel for windroses measured at IORS during (a) March–April, (b) May–June, (c) July– August, (d) September–November, and (e) December–February.
550 551 552	Figure 6. Frequency distributions of 10 min averaged O₃ concentrations at IORS for a) all data,b) spring, c) dry summer, d) wet summer, e) fall, and f) winter with mode concentrations given.
553 554	Figure 7. Mean trajectories of air masses classified into 6 groups. Air masses of 1500 altitude were traced backward for 40 h.
555	Figure 8. Concentration Weighted Trajectory (CWT) analysis of O ₃ concentrations (ppbv).
556 557	Figure 9. Monthly variations of a) O_3 concentrations of six clusters and b) their monthly frequency.
558	Figure 10. Annual variations of a) O_3 concentrations for six clusters, b) their frequency.

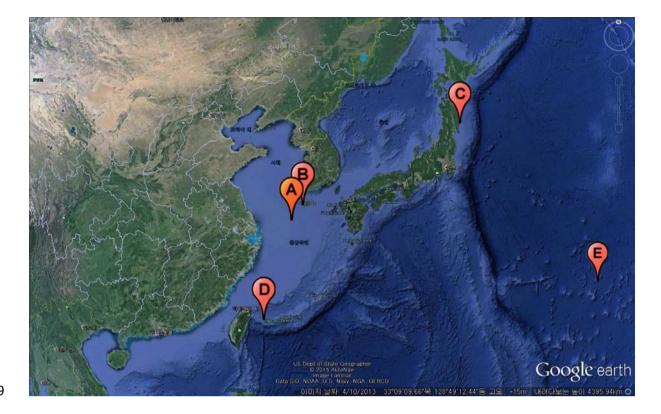


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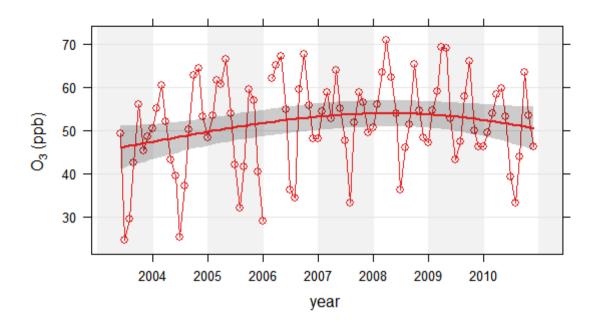
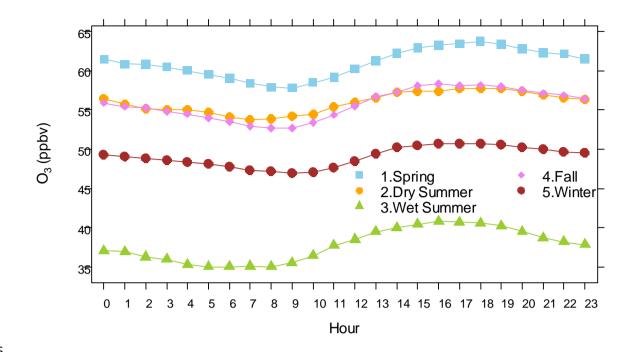
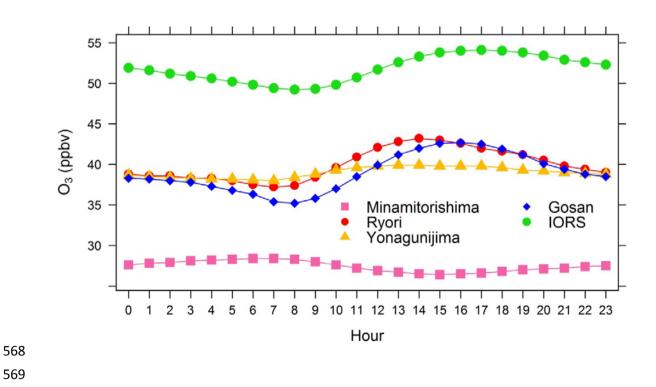


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567 b)



570 c)

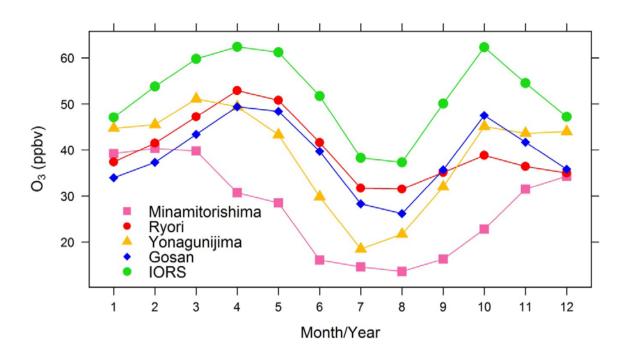
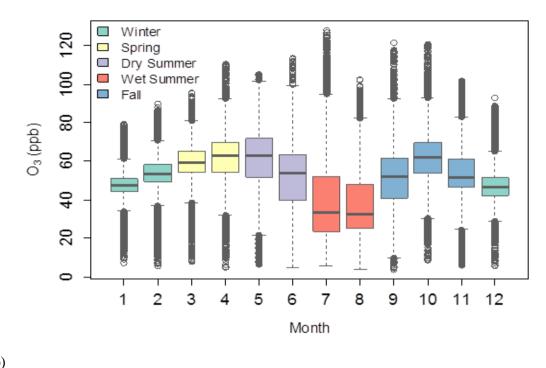


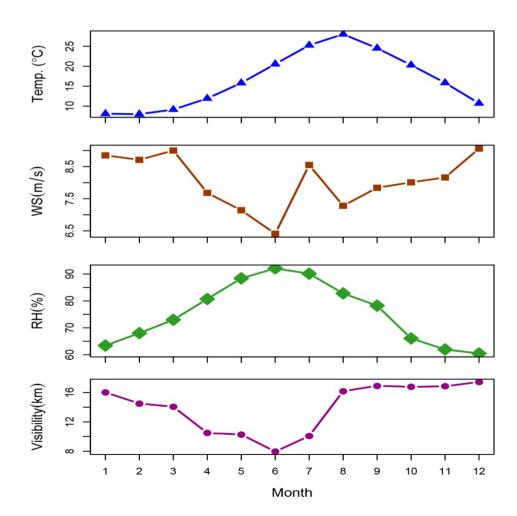
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579 a)



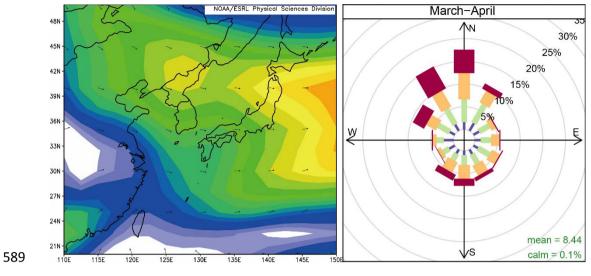
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581 b)



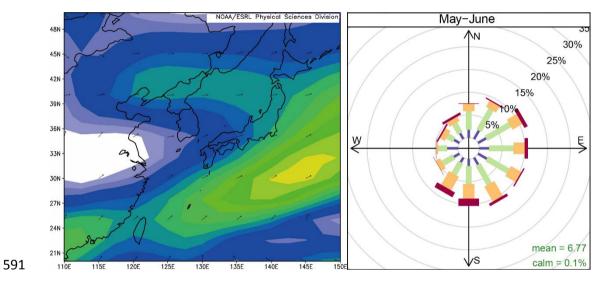
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588 (a)



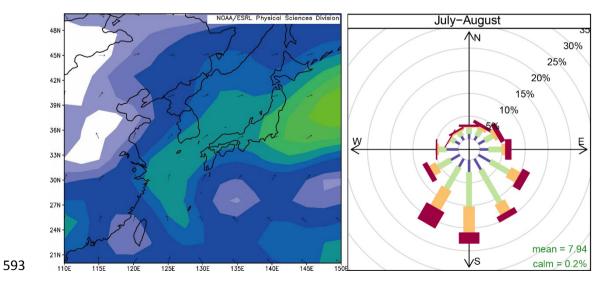


(b)





(c)



594 (d)

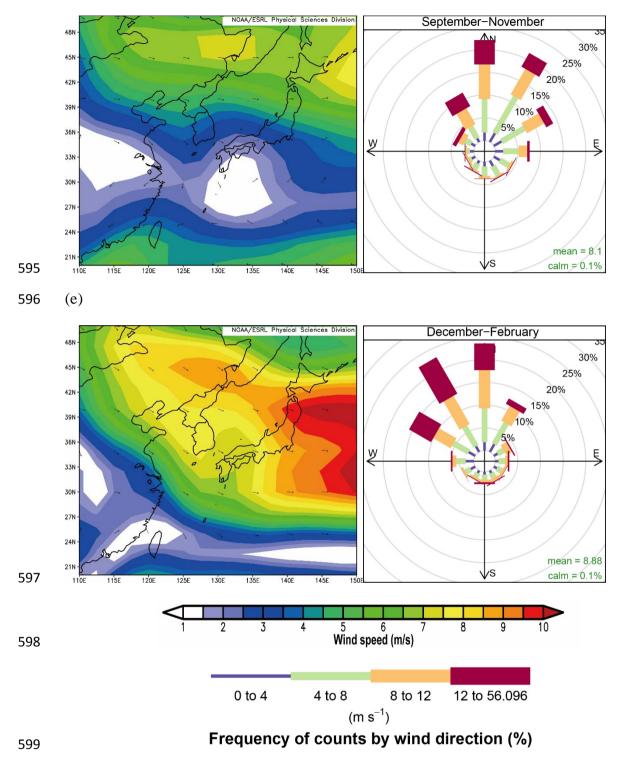


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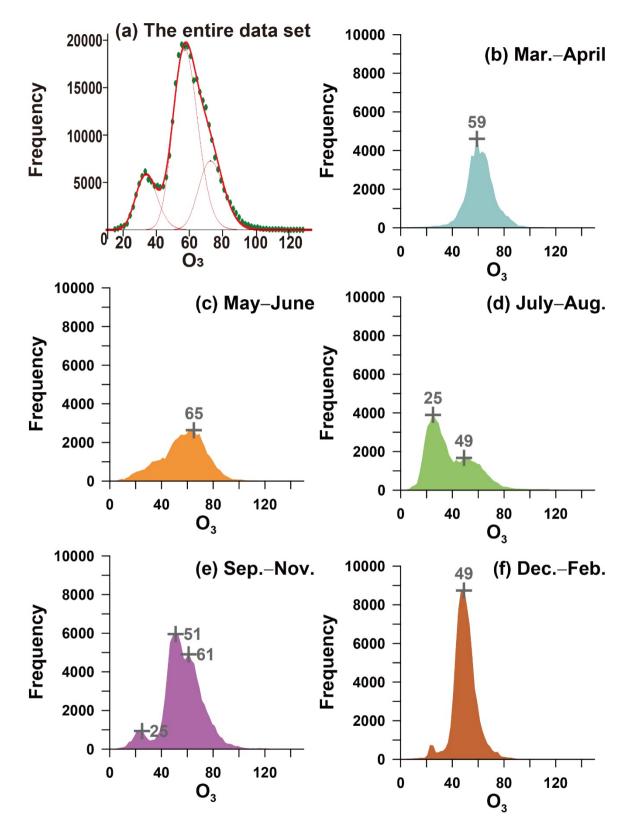


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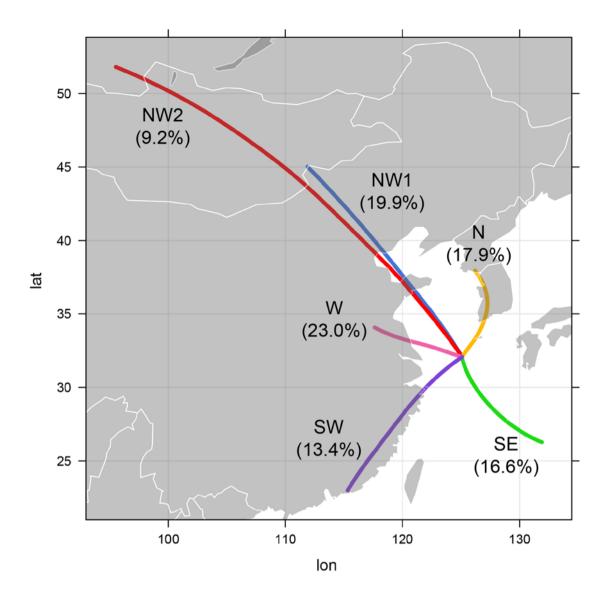
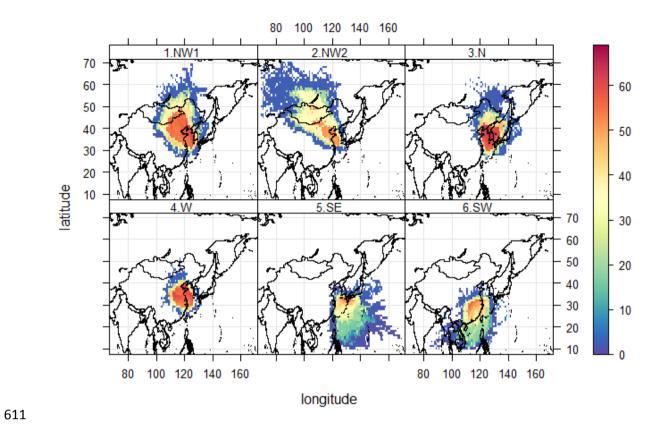
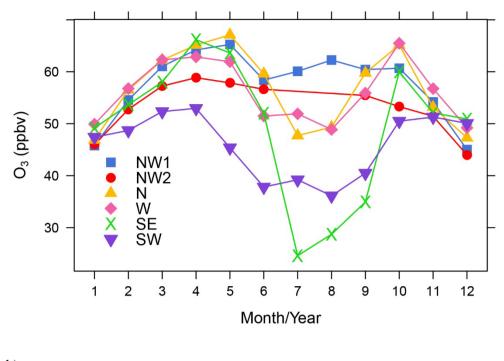


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613 a)



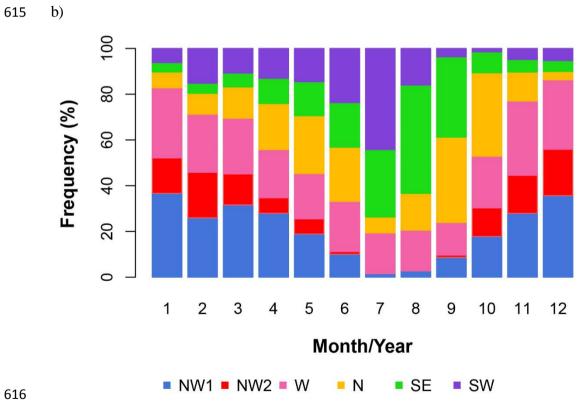
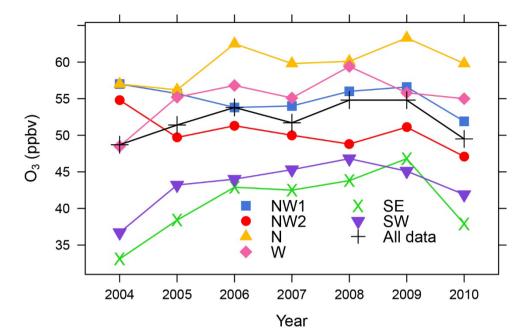


Figure 9. Monthly variations of a) O₃ concentrations of six clusters and b) their monthly
frequency.

619 a)



620

621 b)

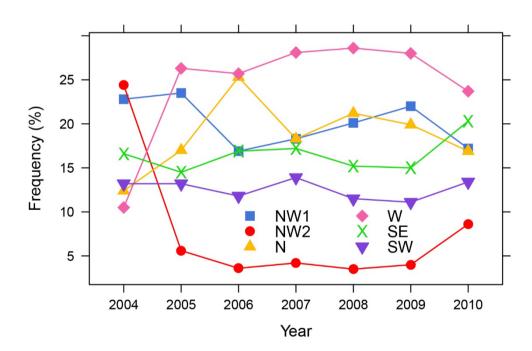


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