Impacts of organic aerosols and its oxidation level on CCN activity from measurement at a suburban site in China

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Abstract

This study is concerned with the impacts of organic aerosols on CCN activity based on field measurements made at a suburban site in north China. The sensitivity of the estimated CCN number concentration (N_{CCN}) to both volume fraction of organic material (x_{org}) and aerosol oxidation level (using f_{44}, the fraction of m/z 44 in aerosol organic material) are examined. A strong dependence of CCN number concentration (N_{CCN}) on the x_{org} and f_{44} was noted. The sensitivity of volume fraction of organics to N_{CCN} increased with increasing x_{org}. The impacts of the aerosol particles oxidization or aging level on estimating N_{CCN} were also very significant. When the particles were mostly composed of organics (x_{org}>60%), the N_{CCN} at the supersaturation of 0.075% and 0.13% was underestimated by 46% and 44% respectively if aerosol particles were freshly emitted with primary organics (f_{44}<11%); while the underestimation decreased to 32% and 23% at the corresponding supersaturations if the particles were with more hygroscopic secondary organics(f_{44}>15%). The N_{CCN} at the supersaturation of 0.76% was underestimated by 11% and 4% respectively at f_{44}<11% and f_{44}>15%. But for the particles composed of low organics (e.g. x_{org}<40%), the effect caused by the f_{44} was quite insignificant both at high and low supersaturations. This is due to that the overall hygroscopicity of the particles is dominated by inorganics such as sulfate and nitrate, which are more hygroscopic than organic compounds. Our results indicated that it would decrease the uncertainties in estimating N_{CCN} and lead to a more accurate estimation of N_{CCN} to increase the proportion of secondary organics, especially when the composition of the

aerosols is dominated by organics.

The applicability of the CCN activation spectrum obtained at Xinzhou to the Xianghe site, about 400 km to the northeast of Xinzhou, was investigated, with the aim of further examining the sensitivity of N_{CCN} to aerosol type. Overall, the mean CCN efficiency spectrum derived from Xinzhou performs well at Xianghe when the supersaturation levels are > 0.2% (overestimation of 2-4%). However, N_{CCN} was overestimated by ~20% at supersaturation levels of < 0.1%. This suggests that the overestimation is mainly due to the smaller proportion of aged and oxidized organic aerosols present at Xianghe compared with Xinzhou.

1. Introduction

To reduce the uncertainty of aerosol indirect effects on the radiative balance of the atmosphere, it is important to gain a good knowledge of the ability of aerosol particles to form cloud condensation nuclei (CCN) at the typical supersaturations found in the atmosphere. The CCN activity of aerosol particles is governed by the Köhler theory (Köhler, 1936). This theory determines CCN from aerosol particle size and physicochemical properties, which include the molar volume, activity coefficient, and effect on surface tension (McFiggans et al., 2006). These properties, however, are difficult to measure.

Researchers have proposed single-parameter models to parameterize the CCN activation and hygroscopicity of multi-component aerosols (Hudson and Da, 1996; Rissler et al., 2006; Petters and Kreidenweis, 2007; Wex et al., 2007). Field experiments have been conducted with the aim of better characterizing particle physicochemical parameters influencing cloud CCN activation. Due to the large spatial variability of aerosol types and compositions, the CCN activation efficiency varies greatly over different regions. CCN number concentrations (N_{CCN}) can often be better predicted in the background atmosphere (Chuang et al., 2000; Dusek et al., 2003; VanReken et al., 2003; Snider et al., 2003; Rissler et al., 2004; Gasparini et al., 2006; Stroud et al., 2007; Bougiatioti et al., 2009).

The largest errors are associated with urban emissions (Sotiropoulou et al., 2007). This is likely due to the organics component of aerosol particles, which have the largest uncertainty and are not fully understood. Biomass burning aerosols and secondary organics formed from the oxidation of common biogenic emissions are often more difficult to activate (Mircea et al., 2005; VanReken et al., 2005; Lee et al., 2006; Varutbangkul et al., 2006; Clarke et al., 2007; Rose et al., 2010; Engelhart et al.,

2012; Paramonov et al., 2013; Lathem et al., 2013; Mei et al., 2013b; Zhang et al., 2014). Particles with aged/oxidized secondary organic components (e.g., organic acids) have been shown to be more hygroscopic (Raymond and Pandis, 2002; Hartz et al., 2006; Bougiatioti et al., 2011), but still much less hygroscopic than inorganic species. The sensitivity of estimated N_{CCN} to organics have been examined in a number of recent studies (Wang et al., 2008; Reutter et al., 2009; Ervens et al., 2010; Kammermann et al., 2010; Ward et al., 2010; Zhang et al., 2012; Mei et al., 2013a). It is widely known that the estimated N_{CCN} is sensitive to changes in organics due to the latter's complex components. The amounts and hygroscopicity parameter of organics (κ_{org}) vary substantially and lead to significant biases in estimating CCN concentrations and aerosol indirect forcing (Sotiropoulou et al., 2007; Hings et al., 2008; Liu and Wang, 2010). Therefore, field investigations regarding CCN activity and organics impacts, especially in heavily polluted regions, are pivotal to better parameterize CCN in climate models.

Northern China is a fast developing and densely populated region of China, where aerosol loading is high (Li et al., 2007, 2011), the particle composition is complex, and severe haze pollution episodes are common (Guo et al., 2014). In recent years, CCN measurements have been collected during field campaigns carried out in the region (Wiedensohler et al., 2009; Gunthe et al., 2011; Yue et al., 2011; Deng et al., 2011, 2013; Zhang et al., 2014). These studies have presented different perspectives on the influence of particle size and composition on CCN activity. For example, Deng et al. (2013) evaluated various schemes for CCN parameterization and recommended that the particle number size distribution (PSD) together with inferred mean size-resolved activation ratios can be used to estimate CCN number concentrations without considering the impact of particle composition. However,

Zhang et al. (2014) demonstrated that the 30–40% uncertainties in N_{CCN} are mainly associated with changes in particle composition. None of the above-mentioned studies have investigated the impact of organics on estimating N_{CCN} in Northern China. Zhang et al. (2012) noted a more significant influence of organics on CCN activity but without concerning the influences of particles oxidation or aging on CCN activity; in addition, the campaign average mass fraction of organics in their study was < 20%.

The aim of this paper is to examine the sensitivity of CCN activity to aerosol physicochemical properties (especially aerosols containing large amounts of organics, as well as the oxidation level), and also to see how much uncertainty is incurred by applying the CCN efficiency spectra measured at one site to another site in a heavily polluted region. The instrumentation and data used in the study are described in section 2. The method for calculating the hygroscopicity parameter (κ_{chem}) is introduced in section 3. The sensitivity of x_{org} as well as oxidation level of organics on estimating N_{CCN} in section 4, and the ability of the CCN efficiency spectrum observed at the Xinzhou site to represent CCN at the Xianghe site, are also presented and discussed at the last part of this section. Conclusions from the study are given in section 5.

2. Measurements and data

An intensive observation period field campaign similar to the Aerosol-CCN-Cloud Closure Experiment (Zhang et al., 2014), called the Atmosphere, Aerosol, Cloud, and CCN (A^2C^2) experiment, was conducted from 22 July to 26 August of 2014 at Xinzhou (38.24°N, 112.43°E; 1500 m above sea level), a city with a population of 0.51 million in Northern China. The site is located about 360 km southwest of the metropolitan Beijing area and about 10 km south of the local town center. The site is surrounded by agricultural land (e.g., corn) with little local pollution

plums from motor vehicles and industrial activities. Sitting between two mountains (Taihang Mountain to the east and Lüliang Mountain to the west), the site also experiences air masses from Xinzhou City to the north and from Taiyuan City to the south, the capital of Shanxi Province. Air masses from the northeast and southwest dominate over the site during summer. Depending on the wind direction, measurements at the Xinzhou site can detect air parcels of urban, rural, or mixed origins, including both fresh biogenic emissions around the site and aged aerosols from advection.

2.1 Instruments and measurements

During the field campaign, a Scanning Mobility Particle Sizer (SMPS), combined with a Droplet Measurement Technologies-Cloud Condensation Nuclei Counter (DMT-CCNc) (Lance et al., 2006), was used for size-resolved CCN measurements as well as particle number size distribution (PSD) measurements. The measured aerosol PSD is within the size range of 14-600 nm. Aerosol chemical composition was measured simultaneously by an Aerodyne Aerosol Chemical Speciation Monitor (ACSM) (Sun et al., 2012).

The aerosol inlet for the size distribution measurements was equipped with a TSI Environmental Sampling System (Model 3031200), which consists of a sharp-cut PM_1 cyclone and a bundled nafion dryer. The size-resolved CCN efficiency spectra were measured by coupling the DMT-CCN_C used with the SMPS (Rose et al., 2008). In this step, the particles are rapidly dried with RH < 30% upon entering the Differential Mobility Analyzer (DMA). Thus, size selection is effectively performed under dry conditions. The nafion dryer and the sheath air inside the DMA are sufficient to remove residual water associated with the ambient particles. Relative

deviations in particle diameter should be < 1%. The sample flow exiting the DMA was split into two parts: 0.3 lpm for the CPC and 0.5 lpm for the CCN counter (CCN_C). The DMA, controlled by TSI-AIM software, scanned one size distribution every five minutes. The CCN_C was operated at a total flow rate of 0.5 lpm with a sheath-to-aerosol flow ratio of 10. The inlet RH for CCNc was < 30%. During the field campaign, the mean sample temperature and pressure measured by CCN_C sensors was (24.3±1.4) ℃ and (898.4±11.7) hPa. The supersaturations levels of CCN_C were calibrated with ammonium sulfate before and after the field campaign, following the procedures outlined in Rose et al. (2008). During each CCN measurement cycle, calibrated effective supersaturations were set at 0.075%, 0.13%, 0.17%, 0.39%, and 0.75%. The overall relative error (1σ) for the supersaturation levels was estimated to be < 3.5%. The completion of a full measurement cycle took 50 minutes (10 minutes for each supersaturation level).

The measurement of non-refractory submicron aerosol species including organics, sulfate, nitrate, ammonium, and chloride were made with an ACSM. During the field campaign, ambient aerosols were drawn inside through a ½ inch (outer diameter, the inner diameter is 0.38 inch) stainless steel tube at a flow rate of ~3 L min^{-1}, of which ~84 cc min^{-1} was sub-sampled into the ACSM. An URG cyclone (Model: URG-2000-30ED) was also positioned in front of the sampling inlet to remove coarse particles with a cut-off size of 2.5 μm. Before sampling into the ACSM, aerosol particles were dried using a silica gel desiccant. The residence time in the sampling tube was ~5 s. The ACSM was operated at a time resolution of ~15 min with a scan rate of mass spectrometer at 500 ms amu^{-1} from m/z 10 to 150. Regarding the calibration of the ACSM, mono-dispersed, size-selected 300-nm ammonium nitrate particles within a range of concentrations were sampled into both the ACSM and a

condensation particle counter (CPC). The ionization efficiency (IE) was then determined by comparing the response factors of the ACSM to the mass calculated with known particle size and number concentrations from the CPC. More detailed descriptions of the operation and calibration of the ACSM are given in Sun et al. (2012) and Ng et al. (2011). The campaign averaged mass concentration of PM_1 is 31.6 μg m^{-3}.

In addition to the ACSM, the black carbon (BC) in $PM_{2.5}$ was simultaneously measured at a time resolution of 5 min by a seven-wavelength aethalometer (Model AE31, Magee Scientific Corporation). The campaign averaged mass concentration of BC is ~2.5 μg m^{-3}. During the experiment, the campaign area was generally hot and dry, with an average temperature of 21.6 ℃ and an average ambient RH of 69.5%.

2.2 Data

The raw CCN data were first filtered according to instrument recorded parameters (e.g., temperature and flow). For example, if the relative difference between the actual and preset sample flows was larger than 4%, the data are flagged as invalid. The data is also excluded if the “temperature stability” was flagged as “0”. Here, the “temperature stability” refers to the T_1, T_2 and T_3 in cloud chamber of the CCNc, which is set to obtain the target supersaturations. If the average differences between preset T_1, T_2 and T_3 and the measured values are larger than 0.4 ˚C, the “temperature stability” is flagged as “0”. Thus, the data is invalid and will be removed. A multiple charge correction and transfer function (Deng et al., 2011) is applied to each PSD as well as to the CCN efficiency spectrum. Size-resolved CCN and PSD data, measured with a DMT-CCNc and a SMPS (with a particle size range of 10-700 nm) on 7-21 July 2013 at Xianghe (Zhang et al., 2014), are used in this study for

158 comparisons with CCN activity at the Xinzhou site. Aerosol mass concentrations were
159 processed using the ACSM standard data analysis software (version 1.5.3.0). Detailed
160 procedures for the data analysis have been described by Ng et al. (2011) and Sun et al.
161 (2012). The size-resolved CCN activation ratio (size-resolved AR) is defined as the
162 $dN_{CCN}/dlogD_p$ divided by the $dN_{CN}/dlogD_p$. These values were measured by
163 SMPS-DMT-CCNc with particle size selection in the DMA. The bulk activation ratio
164 (bulk AR) is defined as the total CCN concentration divided by the total CN
165 concentration. The total CCN and CN number concentrations are integrated by the
166 measured CCN and CN size distribution respectively over the whole size range.

167 **3. Derivation of κ_{chem}**

168 In this study, we calculate κ_{chem} based on bulk chemical composition observations
169 made during the field campaign. The method is very similar to that used by Zhang et
170 al., (2014). As proposed by Petters and Kreidenweis (2007), κ_{chem} can be predicted
171 using a simple mixing rule based on chemical volume fractions for a given internal
172 mixture:

173 $$\kappa_{chem} = \sum_i \varepsilon_i \kappa_i \qquad (1)$$

174 where κ_i and ε_i are the hygroscopicity parameter and volume fraction, respectively, for
175 the individual (dry) components in the mixture and i is the number of components in
176 the mixture.

177 Measurements from the ACSM in Xinzhou show that the composition of
178 submicron particles was dominated by organics, followed by sulfate, ammonium, and
179 nitrate. The contribution of chloride was negligible (volume fraction of about < 2%).
180 The analysis of the anion and cation balance suggests that anionic species (NO_3^-,
181 SO_4^{2-}) were essentially neutralized by NH_4^+ over the relevant size range. For
182 refractory species, BC represented a negligible fraction of the total submicron aerosol

volume (< 3%). Sea salt and dust are usually coarse mode particles with particle sizes > 1 μm (Whitby, 1978). The contribution of such types of aerosols is thus expected to be negligible for sizes < 1 μm. Therefore, the submicron particles measured by the ACSM mainly consisted of organics, $(NH_4)_2SO_4$, and NH_4NO_3. The particle hygroscopicity is thus the volume average of the three participating species:

$$\kappa_{chem} = \kappa_{org}\varepsilon_{org} + \kappa_{(NH_4)_2SO_4}\varepsilon_{(NH_4)_2SO_4} + \kappa_{NH_4NO_3}\varepsilon_{NH_4NO_3} \quad (2)$$

Here, the values of κ for $(NH_4)_2SO_4$ and NH_4NO_3 are 0.61 and 0.67, respectively. The following linear function derived by Mei et al. (2013) was used to estimate κ_{org} in this study: $\kappa_{org} = 2.10 \times f_{44} - 0.11$, where f_{44} is the fraction of m/z 44 in total organics. The mean value of κ_{org} during the field campaign is 0.115 ±0.019.

4. Results and discussion

4.1 CCN efficiency spectra

During the field campaign at the Xinzhou site, ~790 size-resolved CCN efficiency spectra at five supersaturation levels ranging from 0.075% to 0.76% were measured. Figure 1 shows campaign averaged spectra of the measured CCN efficiency at Xinzhou for supersaturation levels of 0.075%, 0.13%, 0.17%, 0.39%, and 0.76%. The observed averaged CCN efficiency spectra during Xianghe campaign in summer 2013 are also shown. Note that the maximum activation fraction (MAF) for Xianghe site showed in Figure 1 is slight lower than that we plotted in Zhang et al.(2014). Because some data points when the MAF value >1 were not processed previously, as resulted in larger mean MAF. But in this paper, the data points with MAF > 1.0 were forced to 1 when D_p>300 nm, which could be completely activated at even lower supersaturations but the MAF would never be larger than 1.0. In Figure 1, the right panels show the mass concentration fraction of particle chemical

compositions at Xinzhou (top panel) and Xianghe (bottom panel) during their respective observation periods. Significant differences in size-resolved CCN efficiency spectra at the two sites are seen. Aerosol particles at Xinzhou activate more efficiently (higher values of AR) at a given particle diameter (D_p) for the same supersaturation level. In the other words, a larger D_p was required to reach the same activation efficiency at Xianghe. This suggests that aerosol properties at each site differ.

The slope of AR with respect to diameters near D_p when AR=50% (defined here as the cut-off diameter, D_{cut}) provides information about the heterogeneity of the composition for size-resolved particles. For an ideal case when all CCN-active particles have the same composition and size, a steep change in AR from 0 to 1 would be observed as D_p reached D_{cut}. A gradual increase in size-resolved AR with D_p suggests that aerosol particles have different hygroscopicities. The steeper slopes of AR around D_{cut} observed at Xinzhou suggest that the particle composition was less heterogeneous with more hygroscopicity than particles at the Xianghe site. This can be partially explained by the magnitudes of the mean κ_{chem} at the two sites (0.42 at Xinzhou and 0.38 at Xianghe). Also, the f_{44} is greater at Xinzhou than at Xianghe. The *m/z* 44 signal is mostly due to acids (Takegawa et al., 2007; Duplissy et al., 2011) or acid-derived species, such as esters. f_{44} is closely related to the organic oxidation level (Aiken et al., 2008). Oxidized/aged acids are generally more hygroscopic and easily activated. Moreover, the primary inorganic particles at the Xinzhou site are sulfates, with a mass fraction that is two times greater than that measured at Xianghe. Therefore, particles at the Xinzhou site consist of more hygroscopic sulfate-dominant inorganics and aged/oxidized secondary organics and can thus be more efficiently activated at a given D_p, as shown in Fig. 1.

4.2 Air mass influences on CCN activity: a case study

Because air mass back trajectories combined with ambient air measurements can be used for analyzing large-scale air pollutant transport and source identification at a receptor site (Stohl, 1996; Rousseau et al., 2004), in this study, we calculated five-day (120 hr) back trajectories using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Hess, 1998) with National Centers for Environmental Prediction (NCEP) reanalysis data. TrajStat software (Wang et al., 2009) has been used to calculate trajectories. The arrival height of the trajectories at the Xinzhou site was at the surface.

Three cases were selected to study air mass influences on CCN activity: (1) Case 1, 19 August 2014, 19:00-21:00 local time (LT); (2) Case 2, 9 August 2014, 03:00-10:00 LT; and (3) Case 3, 29 July 2014, 00:00-12:00 LT. Each case is associated with a different CCN efficiency spectrum, i.e., top, middle, and bottom panels of Fig. 2 are for Cases 1, 2, and 3, respectively. Their respective back trajectories are shown in Fig. 3.

In Case 1, air trajectories (red line in Fig. 3) originated from the southwest and passed through northern Shaanxi Province and northwestern Shanxi Province, then rounded back to the site from the north/northeast. So, aerosols in this case are closely associated with air parcels north/northeast of the site. The trajectories were very short, suggesting that the air flow was slow during the observational period. Under these circumstances, aerosol loading would be largely impacted by local sources around the site. A high mass fraction of organics (> 60%) with low f_{44} (~10%) and κ_{chem} (< 0.3) values was measured during the observational period. Furthermore, the PSD showed one peak mode with D_p = 56 nm and a high N_{CN} (~1.7×10^4 cm^{-3}), but low mass concentration of PM_1 (28.36 μg m^{-3}). This suggests that particles may be composed of

freshly emitted primary aerosols (the biogenic emissions from the plants and trees around the site). This type of aerosol is usually less hygroscopic with a single peak mode primarily composed of fine particles (Whitby, 1978; Hussein et al., 2005). These aerosols cannot activate efficiently. The maximum activation fraction (MAF) shown in the top right panel of Fig. 2 is less than 0.6 at all supersaturation levels for particles with D_p > 300 nm, indicating that the particles should be largely externally mixed aerosols.

In Case 2 (blue line in Fig. 3), air parcels moved rapidly from the west to the site. The site should then be influenced by the large-scale transport of air masses. For this case, aerosols contain a small amount of organics (< 30%), but have high f_{44} (~14%) and κ_{chem} values (~0.5). The PSD showed a double peak mode with an N_{CN} of ~1.3×10^4 cm^{-3} and a relatively high mass concentration of PM_1 (81.45 μg m^{-3}). The double peak mode suggests that aerosols in this case are a mixture of aerosols from local sources and from other regions (Whitby, 1978; Dal Maso et al., 2007). Because aerosols are aged and oxidized during long-distance transport, these particles are usually composed of secondary organic and inorganic components with more hygroscopicity (Weber et al., 1999; Verver et al., 2000). These aerosols can activate efficiently. The MAF is close to 1 and the slopes of AR around D_{cut} are steep at all supersaturation levels (middle right panel of Fig. 2). This CCN efficiency spectrum is similar to the ideal spectrum of pure ammonium sulfate.

In Case 3 (green line in Fig. 3), air parcels travelled from the northwest to the site. Air masses arriving at the site in this case had passed over densely populated regions with more heavy pollution. A gradual increase in size-resolved AR with D_p is seen (bottom right panel of Fig. 2). This is attributed to the diversity in aerosol hygroscopicity because of the complex nature of the chemical composition of aerosol

particles.

4.3 Correlation of N_{CN} and N_{CCN}

Figure 4 shows N_{CN} as a function of N_{CCN} for different supersaturation levels at the Xinzhou and Xianghe sites. They showed high or moderate correlations at high supersaturation levels (e.g., R^2 = 0.57 at Xinzhou and R^2 = 0.85 at Xianghe at a supersaturation level of ~0.8%), but quite poor correlations at low supersaturation levels. Although Andreae (2009) proposed using the relationship of CCN and CN, or even aerosol optical depth (AOD), to parameterize CCN in models, it would lead to large uncertainties especially when the supersaturations are low. It was noticed that there was an apparent higher degree of correlation at Xianghe site for each supersaturation than that derived at Xinzhou site. In view of the similar regimes from which the data are taken and the same instruments by which they have been collected, the discrepancy between Xianghe and Xinzhou should be caused largely by the spatial variations of aerosols types. These variations are primarily attributed to variations in aerosol particle size, i.e., the shape of the PSD as well as particle composition. As presented by Zhang et al. (2014), the relationship between bulk activation ratios and N_{CN} was complex under polluted conditions and was heavily dependent on the physicochemical properties of atmospheric aerosols.

4.4 Impact of x_{org} on N_{CCN}

Precise quantification of N_{CCN} is crucial for understanding aerosol indirect effects and characterizing these effects in models. A CCN closure study is useful to examine the controlling physical and chemical factors and to help verify experimental results. N_{CCN} is usually derived from measured aerosol properties, such as PSD and composition or hygroscopicity based on the Köhler theory. Achieving such closure

under heavily polluted conditions is more challenging, especially due to the complex effects of organics on CCN activity. In this section, we examine the sensitivity of N_{CCN} to both volume fraction of organics (x_{org}) and oxidation or aging of organics based on measurement at Xinzhou site. During the observed period, aerosols at the Xinzhou site were dominated by organics, with 12%, 23%, 39%, and 25% of the data points corresponding to x_{org} >60 %, 50%<x_{org} <60 %, 40%<x_{org} <50 % and x_{org} <40 %, respectively. For the purpose of examining the sensitivity of estimated N_{CCN} to x_{org} and oxidation/aging level, we sorted the size-resolved CCN data when the x_{org} >60 %, 50%<x_{org} <60 %, 40%<x_{org} <50 % and x_{org} <40 %. Furthermore, for each level of x_{org}, we tested the impacts on N_{CCN} estimation both from the most oxidized (with f_{44} of higher than 15%) and least oxidized (those primary organic aerosols with f_{44} of lower than 11%) organic particles. For example, the size-resolved CCN data points during the period when x_{org} >60 % and also f_{44} >15% was averaged to generate the averaged CCN efficiency spectra at the five supersaturations respectively. Then we used the produced averaged CCN efficiency spectra to estimate N_{CCN}.

Estimated CCN size distributions at the five supersaturations were firstly calculated by multiplying the averaged CCN efficiency spectrum (by using the averaged CCN efficiency spectra, the aerosol particles were assumed with uniform chemical composition without considering the effects of the temporal variations of the activation curves on CCN activity) with the actually measured PSD. Then, we integrated the estimated CCN size distribution over the whole size range to generate estimated N_{CCN}. While the measured CCN size distributions are integrated to produce the observed N_{CCN}.

Observed and estimated N_{CCN} at four supersaturation levels (0.075%, 0.13, 0.17 and 0.76%) were showed in Fig 5. The data points presented more disperse and

weaker correlations at lower supersaturations. The sensitivity of volume fraction of organics to N_{CCN} increased with increasing x_{org}. This is especially for the case of these primary organic particles with f_{44}<11%: the slopes obtained from a linear fit of estimated and measured N_{CCN} in Fig 6 decreased rapidly (almost with a decrease of ~50%) when the x_{org} varied from <40% to >60% at supersaturations of 0.075%, while it didn't exhibit a lot of reduction (merely ~10%) along with the increasing of x_{org} for the supersaturation of 0.76%. N_{CCN} was estimated most accurately at higher supersaturation levels. This is likely because a large fraction of particles was already CCN-active. Also, particle composition has relatively less influence on CCN activation at high supersaturations (Twohy and Anderson, 2008). For the oxidized or aged particles with f_{44}>15%, the slopes still follow the similar tendency with the variations of x_{org} at low and high supersaturations but changed more smoothly to the x_{org} attributing to the oxidized/aged organic particles being more hygroscopic.

However, the impacts of the aerosol particles oxidization level on estimating N_{CCN} were also very significant. For example, when the particles were composed by large amounts of organics (x_{org}>60%), the N_{CCN} at the supersaturation of 0.075% and 0.13% was underestimated by 46% and 44% respectively at f_{44}<11%, while the underestimation decreased to 32% and 23% at the corresponding supersaturation level at f_{44}>15%. The N_{CCN} at ss=0.76 was underestimated by 11% and 4% respectively at f_{44}<11% and f_{44}>15%. One thus could conclude that the estimation of N_{CCN} would be largely improved if the aerosol particles were aged with high oxidation level, especially when the chemical composition of the particles is dominated by organics. But for the particles with relative low organics (x_{org}<40%), the effect caused by the f_{44} was quite insignificant both for high and low supersaturations. In Fig 6, the slopes were all around 1.0 at the two cases of f_{44}<11% and f_{44}>15%. This can be easily

356 explained. When x_{org} is less than 40%, the overall hygroscopicity of the particles is
357 dominated by inorganic species such as sulfate and nitrate, which are more
358 hygroscopic (κ_{inorg} usually larger than 0.6) than organic compounds (κ_{org} usually
359 smaller than 0.2). As a result, a larger fraction of particles can be activated. According
360 to the simple mixing rule based on chemical volume fractions proposed by Petters and
361 Kreidenweis (2007), the contribution from organics is quite small. If x_{org} is greater
362 than 60%, organics will dominate the overall particle hygroscopicity. Particles with a
363 large f_{44} are much more hygroscopic and thus strongly influence the estimated N_{CCN}.
364 Our results indicated that increasing the proportion of secondary organics would
365 decrease the uncertainties in estimating N_{CCN} and lead to a more accurate estimation
366 of N_{CCN}.

367 **4.5 Applicability of CCN efficiency spectra**

368 As a means of testing the applicability of the CCN activation spectra, campaign
369 mean CCN efficiency spectra at different supersaturations observed at the Xinzhou
370 site is used to estimate N_{CCN} at the Xinzhou and Xianghe sites respectively, which
371 helps to further examine the sensitivity of N_{CCN} to aerosol type. Data from the two
372 sites were measured during the warm season so that the effect of temporal variations
373 in aerosols on CCN levels is reduced. Fitted campaign mean CCN efficiency spectrum
374 at the five supersaturations at Xinzhou (corresponding to spectra in Fig. 1) is
375 multiplied by dry PSDs actually measured at Xinzhou and at the Xianghe site
376 respectively. This generates estimated CCN size distributions at the two sites. They
377 are then integrated over the whole size range (14-600 nm and 10-700 nm at the
378 Xinzhou and Xianghe sites, respectively) to obtain the estimated N_{CCN}. The measured
379 CCN size distributions at each site are integrated to produce the observed N_{CCN}.

Figure 7 shows estimated N_{CCN} as a function of measured N_{CCN} for different supersaturation levels at the two sites. N_{CCN} at Xinzhou was underestimated by 4-5% at supersaturation levels of 0.39% and 0.76%, and was slightly overestimated (~2%) at Xianghe for the same supersaturation levels. Good agreement is seen at the 0.39% and 0.76% supersaturation levels for data from both sites (R^2 > 0.92). N_{CCN} at Xinzhou was underestimated by ~7% at supersaturation levels < 0.1% (R^2 = 0.87). At Xianghe, however, N_{CCN} was overestimated by 19-23% at supersaturation levels < 0.1% although the correlation between calculated and measured N_{CCN} was good. Because size-resolved CCN efficiency spectra were applied here, excluding the impact of particle size, the influence of chemical composition on CCN activation can be investigated. The poor estimates of CCN at low supersaturation levels could be attributed to the high sensitivity of N_{CCN} to chemical composition. Because the mass fractions of inorganics and organics measured at the two sites are similar (Fig. 1) and the hygroscopicity for inorganic components is fixed, this overestimation is attributed to the smaller proportion of aged and oxidized organic aerosols at Xianghe compared with aerosols at Xinzhou (f_{44} = 17% and 11% at Xinzhou and Xianghe, respectively).

5. Summary and conclusions

In this study, we have investigated the impacts of particle physicochemical properties on CCN activity based on field measurements obtained from 22 July to 26 August of 2014 in the suburb of Xinzhou, China. Five-day back trajectories combined with measurements were analyzed to examine air mass influences on CCN activity. CCN efficiency was largely reduced by local air masses, and the MAF was low to <60%, suggesting externally-mixed and the heterogeneity of particle composition for local emitted aerosols. The CCN activation efficiency was enhanced significantly when the site was under the influence of air transported from far away, during which

aerosols could be mixed well with more hygroscopic secondary organic and inorganic components. The relationship between N_{CN} and N_{CCN} was generally poor. Large errors would arise if using the former to estimate the latter, especially under low supersaturation conditions.

The sensitivity of N_{CCN} estimation to both x_{org} and f_{44} has also been examined. A strong dependence of N_{CCN} on the both two parameters was noted. The sensitivity of N_{CCN} to volume fraction and particles oxidization or aging level of organics increased with increase of x_{org}. And also this dependence weakens as the supersaturation level increases. When the particles were mostly composed of organics (x_{org}>60%), the N_{CCN} at the supersaturation of 0.075% and 0.13% was underestimated by 46% and 44% respectively if aerosol particles were freshly emitted with primary organics (f_{44}<11%); while the underestimation decreased to 32% and 23% at the corresponding supersaturations if the particles were with more hygroscopic secondary organics(f_{44}>15%). The N_{CCN} at the supersaturation of 0.76% was underestimated by 11% and 4% respectively at f_{44}<11% and f_{44}>15%. But for the particles composed of low organics (e.g. x_{org}<40%), the effect caused by the f_{44} was quite insignificant both at high and low supersaturations. This is due to that the overall hygroscopicity of the particles is dominated by inorganics such as sulfate and nitrate, which are more hygroscopic than organic compounds. Our results indicated that it would lead to a more accurate estimation of N_{CCN} to increase the proportion of secondary organics, especially when the composition of the aerosols is dominated by organics.

The applicability of the CCN efficiency spectrum measured at the Xinzhou site to the Xianghe site was examined and a good agreement was found when the supersaturation level was > 0.2%. However, N_{CCN} at the Xianghe site was overestimated by 19-23% when the supersaturation level was < 0.1%. Because of the

similar mass fractions of inorganics and organics measured at the two sites, we conclude that this overestimation was mainly caused by the smaller proportion of aged and oxidized organic aerosols at Xianghe compared with aerosols at Xinzhou.

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Figures

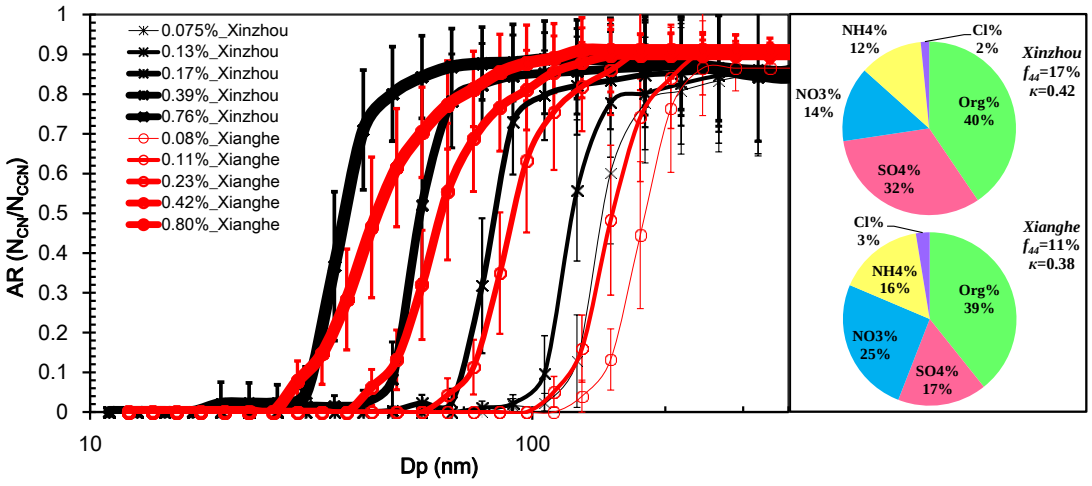

Fig. 1. Mean CCN efficiency spectra at the Xinzhou site (black lines with asterisks) measured from 22 July-26 August 2014 and at the Xianghe site (red lines with circles) site measured from 7-21 July 2013 for different supersaturation levels. Error bars representing one standard deviation are shown. Right panels show particle chemical composition in terms of mass concentration fractions at Xinzhou (top panel) and Xianghe (bottom panel) during their respective observation periods. The campaign average mass concentration of PM_1 is 31.6 μg m^{-3} and 72.4 μg m^{-3} at Xinzhou and Xianghe respectively. Note that the preset supersaturation levels were 0.07%, 0.1%,

0.2%, 0.4% and 0.8% at both sites, but effective supersaturation levels showed slightly different after calibration.

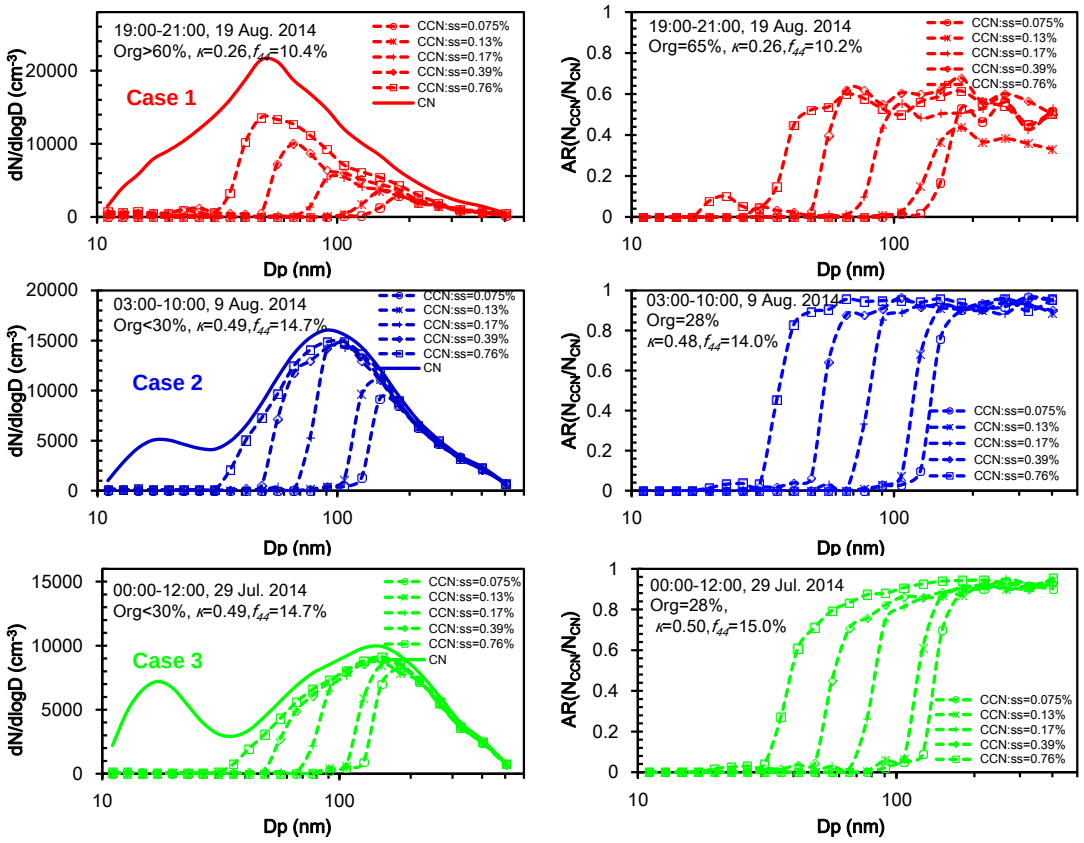

Fig. 2. Particle number size distribution (PSD) and CCN size distributions (left panels) and CCN efficiency spectra (right panels) at different supersaturation levels for Case 1 (upper panels, 19 August 2014, 19:00-21:00 LT), Case 2 (middle panels, 9 August 2014, 03:00-10:00 LT), and Case 3 (bottom panels, 29 July 2014, 00:00-12:00 LT). Total CN number concentrations are 16671 cm^{-3}, 12869 cm^{-3}, and 10134 cm^{-3} for Case 1, Case 2, and Case 3, respectively. Mass concentrations of PM_1 are 28.36 μg m^{-3}, 81.45 μg m^{-3}, and 78.73 μg m^{-3} for Case 1, Case 2 and Case 3, respectively.

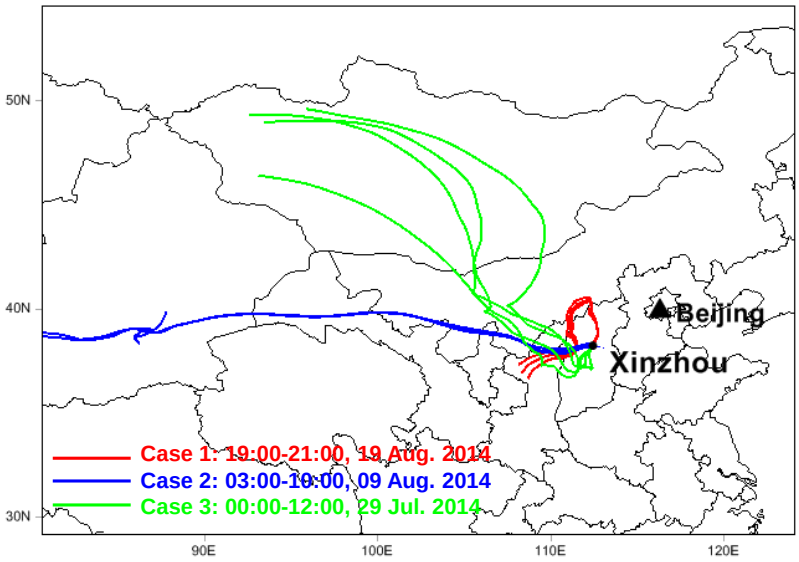

Fig. 3. Five-day back trajectories for Case 1 (in red), Case 2 (in blue), and Case 3 (in green) calculated using the Hybrid Single-Particle Lagrangian Integrated Trajectory model with National Centers for Environmental Prediction reanalysis data. The arrival height of the trajectories at the Xinzhou site was at the surface.

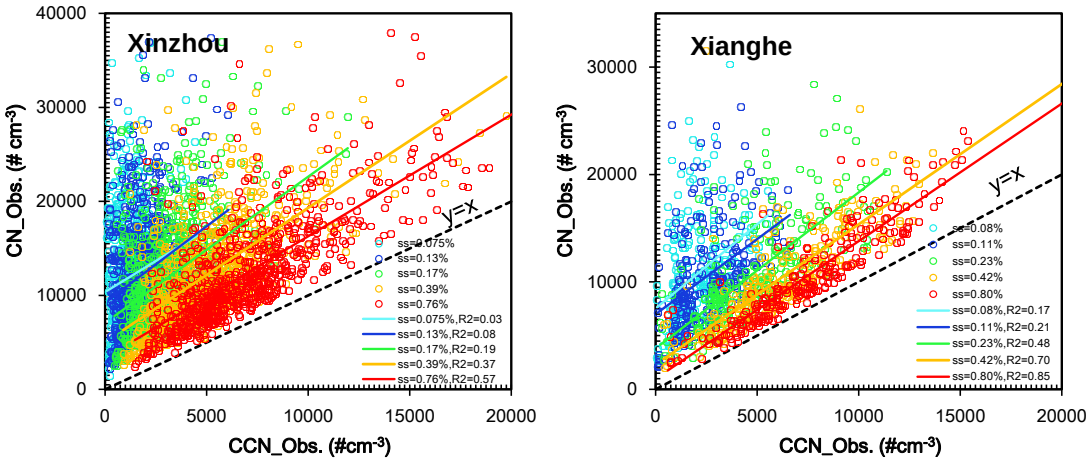

Fig. 4. Measured N_{CN} as a function of measured N_{CCN} for different supersaturation levels at the Xinzhou (left panel) and Xianghe (right panel) sites. The scatterplot between $CCN_{\_Obs}$ and CN_Obs. were fitted with a linear function (in colored lines) and R^2 refer to the correlations of them. .

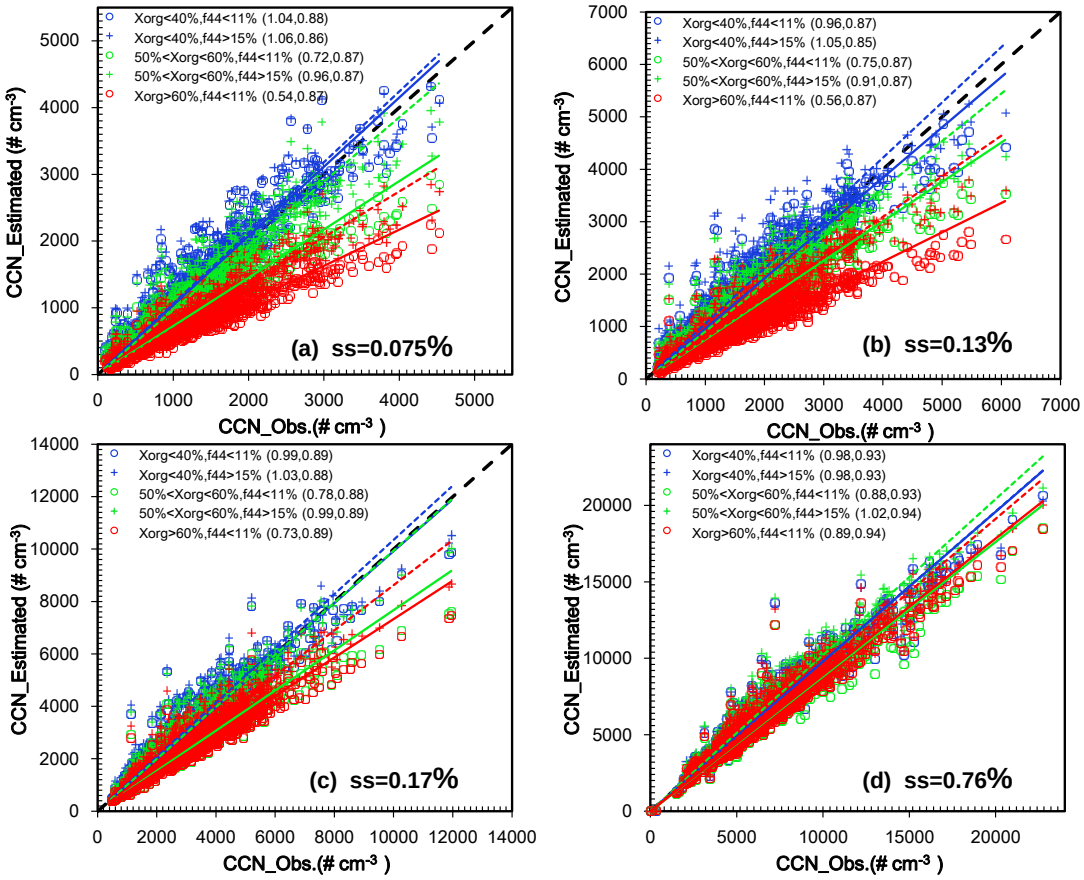

Fig. 5. The sensitivity of N_{CCN} to both organics volume fraction (x_{org}) and oxidation level (using f_{44}, the fraction of m/z 44 in aerosol organic material) of organics at supersaturation levels of (a) 0.075%, (b) 0.13%, (c) 0.17% and (d) 0.76% for cases when x_{org} = 35% (blue circles), 52% (green circles), and 66% (red circles). The size-resolved CCN data were sorted when the x_{org} >60 %, 50%<x_{org} <60 % and x_{org} <40 % respectively to do the sensitivity examination. Linear best-fit lines through each group of points are shown. Slopes and R^2 values are given in parentheses.

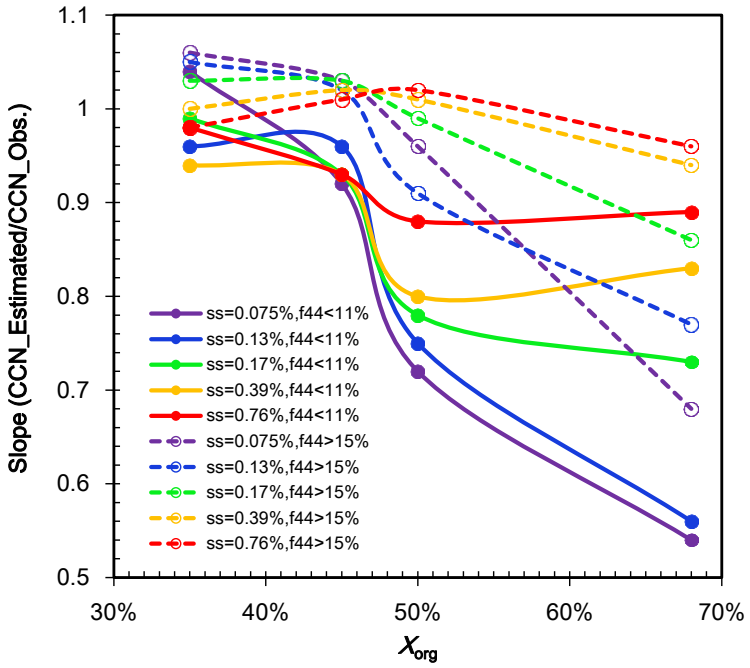

Fig. 6. Slopes of the linear fit of estimated and observed N_{CCN} dependence on volume fraction of organics (x_{org}) at f_{44}<11% and f_{44}>15% for different supersaturation levels. Mean values of the hygroscopic parameter κ_{chem} at f_{44}<11% when x_{org} >60 %, 50%<x_{org} <60 %, 40%<x_{org} <50 % and x_{org} <40 % are 0.27, 0.34, 0.40 and 0.46, respectively; while at f_{44}>15% the value increased to 0.36, 0.42, 0.46 and 0.50 respectively.

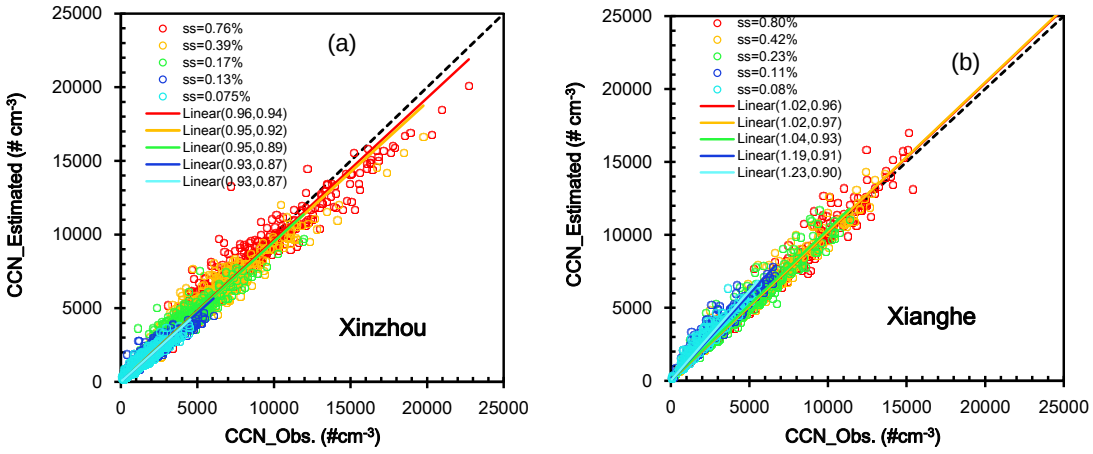

Fig. 7. Estimated N_{CCN} as a function of observed N_{CCN} for different supersaturation levels at (a) Xinzhou and (b) Xianghe. Note that the campaign mean CCN efficiency spectra at Xinzhou are used for estimating N_{CCN} at Xianghe. Linear best-fit lines through each group of points are shown. Slopes and R^2 values are given in parentheses.