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16 year climatology of cirrus clouds over a tropical station in southern India using ground and space-based lidar observations

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Abstract

16 year (1998–2013) climatology of cirrus clouds and their macrophysical (base height, top height and geometrical thickness) and optical properties (cloud optical thickness) observed using a ground-based lidar over Gadanki (13.5° N, 79.2° E), India, is presented. The climatology obtained from the ground-based lidar is compared with the climatology obtained from seven and half years (June 2006–December 2013) of Cloud-Aerosol LIdar with Orthogonal Polarization (CALIOP) observations. A very good agreement is found between the two climatologies in spite of their opposite viewing geometries and difference in sampling frequencies. Nearly 50–55% of cirrus clouds were found to possess geometrical thickness less than 2 km. Ground-based lidar is found to detect more number of sub-visible clouds than CALIOP which has implications for global warming studies as sub-visible cirrus clouds have significant positive radiative forcing. Cirrus clouds with mid-cloud temperatures between –50 to –70°C have a mean geometrical thickness greater than 2 km in contrast to the earlier reported value

of 1.7 km. Trend analyses reveal a statistically significant increase in the altitude of sub-visible cirrus clouds which is consistent with the recent climate model simulations. Also, the fraction of sub-visible cirrus cloud is found to be increasing during the last sixteen years (1998 to 2013) which has implications to the temperature and water vapour budget in the tropical tropopause layer.

20 **1** Introduction

25

Cirrus clouds are ubiquitous, high altitude, thin and wispy cold clouds predominantly consisting of non-spherical ice crystals. They exhibit a very high degree of spatio-temporal variability in their macrophysical, microphysical and optical properties (Liou, 1986; Lynch et al., 2002). These clouds affect the earth's radiation budget through two competing radiative effects viz., albedo effect (by reflecting back the incoming short-wave solar radiation) and green-house effect (by trapping the outgoing long wave ter-



restrial radiation) (Liou, 2005). The former effect causes cooling while the later causes warming. The magnitude of these radiative effects are strong functions of optical and macrophysical (cloud coverage, altitude, thickness) properties. The optical properties are in turn strong function of microphysical (amount, size, shape and orientation of

- ⁵ ice-crystals) properties (Liou, 1986, 2005). Overall, cirrus clouds are found to have net positive radiative forcing (Chen et al., 2000; Hartmann et al., 1992) at the top of the atmosphere (TOA) and thus they warm the climate system. However, these estimates are based on the International Satellite Cloud Climatology Project (ISCCP) cloud data obtained from passive satellites that do not consider the overlap effect of multi-layered
- ¹⁰ clouds. This overlap effect is the largest source of uncertainty in estimating the longwave radiative fluxes (Stephens et al., 2004) and cannot be neglected in tropics where the occurrence of multi-layered cirrus clouds is the highest (Nazaryan et al., 2008). This difficulty can be overcome only by using ground and space-based lidars that provide vertical distribution of clouds with opposite viewing geometry.
- For decades, the representation of cirrus clouds and their processes in the climate models is found to be challenging, partly owing to the lack of fundamental details of cloud microphysical processes and partly due to the inability to resolve small scale processes in General Circulation Model (GCM) grid box (Boucher et al., 2013 and references therein). For instance, still the cloud feedback from thin cirrus cloud (which
- causes net warming) amount is unknown which results in substantial uncertainty in the climate model predictions. Essentially, this demands highly stable, accurate, precise and long-term observations from ground and space-based lidars to understand the processes and validate the models.

Cirrus clouds that cover about 50 % of the globe with highest fraction over the tropics (Stubenrauch et al., 2010, 2013) have strong potential to impact the regional (especially the tropics) and global climate. It is well known that water vapour, low temperature and ice nuclei (for heterogeneous freezing) are the main ingredients needed for the formation of cirrus clouds. Recent research shows that the stratospheric water vapour which mainly comes from the tropical tropopause layer (TTL) has been increasing (Rosenlof



et al., 2001; Solomon et al., 2010) and this increase is closely associated with the changes in the tropopause temperature (Randel and Jensen, 2013). In addition to this, aerosols in the TTL, some of which serve as ice-nuclei are increasing (Kulkarni et al., 2008; Vernier et al., 2015) especially during the monsoon season over south-east Asia.

Latitudinal changes in the distribution of water vapour, temperature and aerosols will affect the distribution of TTL cirrus clouds (Massie et al., 2013) and ultimately affect the Earth's radiation balance. Thus, it is essential to quantify the properties of TTL cirrus clouds and their dependence on geographic locations, temperature (altitude) and aerosol composition which necessitate long-term observations (Randel and Jensen, 2013).

Several modelling studies have suggested that warming climate will affect cirrus cloud properties such as altitude and thickness (Boucher et al., 2013, and references within; Chepfer et al., 2014). Long-term observations of vertically resolved properties of cirrus clouds can help in early detection of climate change or validate climate models.

- ¹⁵ Despite the continuous efforts made to minimize the uncertainties in cirrus cloud properties at regional and global scales through ground-based, space-based and insitu observations, regional climatologies of tropical cirrus clouds on the decadal time scale are very few. All these facts strongly encourage us to build a detailed cirrus cloud climatology based on 16 years (1998–2013) of ground-based lidar data and seven and
- half years (June 2006–December 2013) of Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) data over Gadanki (13.5° N, 79.2° E) – a tropical location in South Asia. Note that CALIOP has a narrow swath and repeat-cycle of the order of 16 days in tropics. It is essential to understand whether such low temporal resolution data cap-
- ²⁵ tures major cloud variability. Further, there are few advantages and disadvantages of both ground-based and space-borne lidars. While the ground-based (space-borne) lidars have excellent vertical and temporal (spatial) resolutions for obtaining cirrus properties, they suffer from poor spatial (temporal) resolutions. Further, no information on cirrus clouds can be obtained using ground-based lidar during cloudy conditions while



space-borne lidars do not have such restrictions as it is being viewed from the top. Thus, both ground-based and space-borne lidars supplement each other. However, as the two lidars have different viewing geometry and sampling frequency, it is important to investigate whether these factors affect long-term climatology.

- In this paper, we report analysis of the 16 year climatology of macrophysical (base height, top height and geometrical thickness) and optical properties (cloud optical thickness) of cirrus clouds observed using ground-based lidar at Gadanki. We compare this climatology with that obtained from CALIOP observations (during 2006–2013). The dependence of cirrus cloud geometrical and optical thickness on mid-cloud temperature is also investigated. In addition to this, we also investigate the long-term trends in the
- properties of sub-visible, thin and thick cirrus clouds using both the lidars.

2 Instruments and data used

2.1 NARL lidar

For this study, we have used sixteen years (1998–2013) of data from a ground-based lidar situated at National Atmospheric Research Laboratory (NARL), Gadanki (13.5° N, 15 79.2° E). To the best of our knowledge, this is the longest duration ground-based lidar data set ever used for obtaining cirrus cloud climatology over a tropical station. The detailed site description and system specifications of the lidar (hereafter called NARL lidar) are reported in our earlier study (Pandit et al., 2014). A brief description of NARL lidar is presented here. NARL lidar is a monostatic biaxial system which transmits 20 Nd:YAG laser pulses of wavelength 532 nm at a rate of 20 Hz (50 Hz since 2007). Each pulse has pulse energy of 550 mJ (600 mJ since 2007) and pulse duration of 7 ns. The backscattered photons are collected by a Schmidt-Cassegrain telescope attached with two identical orthogonally aligned photomultiplier tubes (PMTs). Photon counts are accumulated in 300 m resolution bins and integrated for four minutes. Lidar data were 25 collected only during the nights that are free from low-level clouds and rain. This limits



the observation time during the cloudy nights especially during the summer monsoon season (June–September) when the sky is mostly covered with thick low-level clouds. Lidar profiles were rigorously quality checked based on signal to noise ratio before using them in cirrus cloud statistics. A total of 41 280 profiles qualified for building the cirrus cloud climatology.

2.2 CALIPSO cloud products

CALIPSO is an integral part of afternoon-train (called A-train) constellation of satellites dedicated to the synergistic observation of aerosols and clouds over the entire globe. Since its launch on 28 April 2006, CALIPSO has been consistently providing
¹⁰ high quality vertical distribution of aerosol and cloud properties at unprecedented resolution and accuracy (Young and Vaughan, 2009). This has significantly improved our understanding of aerosols and clouds globally. In order to compare the properties of cirrus clouds obtained from NARL lidar, we have used level-2, 5 km cloud layer and cloud profile (Version 3.01, 3.02 and 3.03) data products obtained from CALIOP on-

- ¹⁵ board CALIPSO. CALIOP is a near-nadir viewing space-based, dual-wavelength, dualpolarization, three channel elastic backscatter lidar that transmits linearly polarized laser pulses having average pulse energy of 110 mJ both at first (1064 nm) and second harmonic (532 nm) wavelengths of Nd:YAG laser (Winker, 2003; Hunt et al., 2009; Winker et al., 2009). The specifications of both NARL lidar and CALIOP are compared
- ²⁰ in Table 1. The backscattered signal is received by a 1 m diameter telescope with parallel and perpendicularly-polarized channels at 532 nm wavelengths and one parallel channel at 1064 nm.

It is well known that the properties of cirrus clouds exhibit significant spatial and temporal variations (Liou, 1986). In order to obtain the best spatio-temporal concur-²⁵ rent observations with respect to NARL lidar observations, CALIOP overpasses within 50 km radius from Gadanki are considered for the period from June 2006 to December 2013. Both day and night-time data are used for obtaining cirrus cloud climatology near Gadanki. The nearest night-time CALIOP overpass takes place at around



20:33 UTC (02:03 LT) which is about 11 km away from Gadanki whereas the nearest day time CALIOP overpass takes place at around 08:21 UTC (13:51 LT) which is about 34 km away from Gadanki. The proximity of CALIOP night-time overpasses to Gadanki provides us a unique opportunity to study the properties of cirrus clouds simultaneously

- ⁵ using ground-based and space-borne lidars over a tropical station with opposite viewing geometry. Two such nocturnal observations of cirrus clouds over Gadanki obtained using NARL lidar and CALIOP on 19–20 November 2008 and 3–4 December 2013 are depicted in Fig. 1, detailed properties of which are presented in the next section. The red circle in the CALIOP vertical feature mask (VFM) in Fig. 1c and h shows the clouds
- ¹⁰ present in the proximity of Gadanki. Because of the 16 days repeat cycle of CALIOP, at most four overpasses can be obtained in each month, with two day-time and two night-time overpasses. During the period from June 2006 to December 2013, a total of 146 (151) data files are collected during the day (night) in the region selected around Gadanki. Cloud profile data files yielded a total of 2906 (3022) profiles out of which 1820 (1876) profiles were cloudy during day (night) time.

2.3 NCEP FNL air temperature data

For the estimation of extinction coefficient and hence the optical thickness of cirrus cloud layers, pressure and temperature (p-T) profiles over Gadanki during the lidar observation time are required. Since, daily p-T profiles are available only at 12:00 GMT (17:30 LT) over Gadanki from the daily radiosonde launches since 2006, we used six

- (17:30 L1) over Gadanki from the daily radiosonde launches since 2006, we used six hourly air temperature (at 26 pressure levels) from NCEP FNL 1° × 1° data interpolated from the period of 1999–2013 to have near-simultaneous temperature observations over Gadanki during the lidar observation time. For the year 1998 when no NCEP FNL data are available, monthly mean temperature profiles were used for the estimation
- ²⁵ of the molecular backscattering coefficient. This data was obtained from the website http://rda.ucar.edu/datasets/ds083.2/. Same temperature profiles are used for finding the relation between the cirrus cloud properties and temperature.



3 Methodology

3.1 Cirrus cloud detection and percentage occurrence

Cirrus clouds observed using NARL lidar data are detected by using Wavelet Covariance Transform (WCT) method as described in Pandit et al. (2014). We optimized this method to detect very thin as well as multi-layered cirrus clouds. Cloud base and top heights of five different layers can be obtained very accurately using this method. To distinguish cirrus cloud layer from other clouds, we used temperature threshold. Only those cloud layers with a base temperature below -20 °C (which corresponds to a base height above 8 km) are considered as cirrus cloud layer in this study. Cloud layer boundaries in the attenuated backscattered signal acquired by CALIOP are detected by a Selective, Iterative Boundary Location (SIBYL) algorithm described in Vaughan et al. (2009). This algorithm finds the aerosol and cloud layers (called features) and

detects their boundaries. We have used same temperature criterion as for NARL lidar to identify cirrus clouds in CALIOP data.

¹⁵ To know the effects of cirrus clouds on regional climate, it is very essential to know how frequently these clouds occur over a given region (especially over the tropical regions) during different months and seasons in a year. For this, the percentage occurrence (PO) of cirrus clouds at each altitude bin for both NARL lidar and CALIOP cloud layer data sets are calculated by taking ratio of number of profiles with cirrus clouds at that bin to total number of profiles (Pandit et al., 2014).

3.2 Macrophysical and thermodynamical properties of cirrus clouds

Macrophysical properties of cirrus clouds viz., cirrus base, top, mid-cloud altitude, geometrical thickness and its distance from the tropopause are obtained from both lidar data-sets. Mid-cloud altitude of each cloud layer is taken as mid-point between the base and top altitude for that layer. Base and top altitudes of cloud layers are provided directly

²⁵ and top altitude for that layer. Base and top altitudes of cloud layers are provided directly in CALIOP 5 km cloud layer data files. The geometrical thickness of cirrus clouds is ob-



tained by subtracting the cirrus base altitude from cirrus top altitude. Distance from the tropopause of each cirrus cloud layer is obtained by subtracting the cirrus mid-cloud altitude from the tropopause height determined from NCEP FNL temperature profile data in case of NARL lidar. Tropopause altitude is determined as the minimum temperature s in the 0 to 20 km altitude region. We have used temperature profiles and tropopause

height present in CALIOP cloud data products which are originally derived from GEOS-5 data product provided by Global Modelling and Assimilation Office (GMAO).

3.3 Optical properties of cirrus clouds

Kaestner's lidar inversion method (Kaestner, 1986) has been used for the retrieval of the extinction coefficient (α). The extinction profile integrated between cloud base and the top is used to obtain optical thickness of cirrus cloud layers. Molecular backscattering coefficient at 532 nm wavelength is calculated using the pressure and temperature data obtained from NCEP FNL data. Lidar ratio for cirrus clouds is assumed to be constant with altitude and season with a value of 25 sr following CALIOP extinction retrieval eleverithm (Yeung et al., 2012) Yeung and Veurghan, 2020). The effect of multiple coefficient

- ¹⁵ algorithm (Young et al., 2013; Young and Vaughan, 2009). The effect of multiple scattering which is a function of laser penetration depth, cloud range (or height), receiver field of view (FoV), size and shapes of ice-crystals (Eloranta, 1998) cannot be neglected in the measurement of cirrus cloud properties using a lidar with a receiver FoV of 1 mrad. Several studies (Chen et al., 2002; Chepfer et al., 1999; Hogan, 2006; Sassen and
- ²⁰ Cho, 1992; Sassen and Comstock, 2001) have suggested different values of multiple scattering correction factor (η) ranging from 0.1–0.9 based on different crystal habits and optical properties of cirrus clouds. In this study, the effect of multiple-scattering is taken care by assuming $\eta = 0.75$ following Sassen and Cho (1992). However, $\eta = 0.6$ is being used in CALIOP retrieval algorithm of the extinction coefficient (Young et al.,
- ²⁵ 2013; Young and Vaughan, 2009). The reference altitude used in the retrieval of extinction coefficient is 25 km for NARL lidar.



Optical thickness (τ_{cloud}) of cirrus cloud layer is derived using the expression

$$\tau_{\rm cloud} = \int_{z_{\rm b}}^{z_{\rm t}} \alpha(z) {\rm d}z$$

Where, $\alpha(z)$ is the extinction coefficient of a cirrus cloud layer with z_b and z_t as a base and top altitudes, respectively.

- For the retrieval of particulate extinction coefficient profiles obtained from the atten-5 uated backscattered data acquired by CALIOP, fully automated retrieval algorithms called Hybrid Extinction Retrieval Algorithms (HERA) are being used (Young and Vaughan, 2009). Once the features (aerosol and cloud layers) are identified by Scene Classification Algorithm (SCA), their lidar ratio is estimated using the transmission method (Young, 1995). When transmission method fails, initial lidar ratio is assigned based on the feature type, for example lidar ratio of 25 sr is chosen for cirrus clouds. HERA is then invoked to compute the extinction coefficient profiles using the profile solver (Young and Vaughan, 2009), which is then integrated to obtain cloud optical depth. Data product is known as feature optical depth and provided up to 10 layers of clouds. Only those features for which Cloud-Aerosol Discrimination (CAD) score lies between 80 and 100 and located below -20°C are considered as cirrus cloud layers. Features with negative values of optical depth are excluded from the statistics of cirrus optical properties. Figure 1e and j illustrates two cases where extinction profile of cirrus cloud layer observed on two different nights (20 November 2008 and 4 Decem-
- ²⁰ ber 2013) over Gadanki using NARL lidar is compared with the concurrent extinction profiles obtained from CALIOP cloud profile data. For comparison with NARL lidar, we averaged three proximate CALIOP profiles shown by blue asterisks in Fig. 1d and i. On both the nights, the base and top altitudes of cirrus cloud layer from NARL lidar and CALIOP show very good agreement. However, the structure of cirrus cloud layer and
- the magnitude of extinction coefficient in both the cases are different which may be due to the spatial inhomogeneity of the cloud structure. This can be seen clearly from the



(1)

CALIOP vertical feature mask (VFM) for the two nights as shown in Fig. 1c and h. The various macrophysical and optical properties of cirrus cloud layer observed on these two nights are listed in Table 2. It can be seen that the optical properties of cirrus cloud layer observed on 20 November 2008 from both lidars are comparable with each other.

⁵ On the contrary, cirrus cloud layer observed on 4 December 2013 using both the lidars exhibit differences in their optical properties which can be attributed to the differences in the internal structure of the cloud layer observed by the two lidars.

4 Results and discussion

4.1 Occurrence of cirrus clouds over Gadanki: climatology

- The climatological altitude distribution of PO of cirrus clouds for the entire 16 years (1998–2013) irrespective of sampling time is shown with a dashed black line in Fig. 2a. The PO peaks at 14.5 km with a value of 25%. Altitude distribution of PO based on CALIOP data has relatively broader peak with structures. The altitude of peak PO based on CALIOP data is in good agreement with NARL lidar; however, magnitude of peak PO differ significantly with CALIOP having higher values. To investigate whether the difference in time range (16 vs. 7.5 years) or time of observation (entire night vs. fixed overpass) is responsible for differences in PO based on NARL lidar and PO based on CALIOP, a subset of entire NARL lidar data-set for the period 2006–2013 is made. This data subset contains lidar data acquired only during the half an hour time window
- ²⁰ centred at 02:03 LT (mean local time for CALIOP night-time overpass near Gadanki). The PO of cirrus clouds based on sub-set NARL lidar data is shown with a triple-dotted dashed magenta line in Fig. 2a. The altitude distribution of PO based on subset data has a slightly better agreement with the altitude of peak PO values based on CALIOP. However, the difference in magnitude between the two PO distributions is still large.
- ²⁵ This can be attributed to the limited NARL lidar observation time during the cloudy nights especially during the monsoon season. For the sake of completeness, the PO



distribution for the day-time CALIOP observations (shown by red single dotted-dashed line) is also compared with the other three PO distributions. CALIOP night-time PO distribution is slightly larger than that during day-time at all the altitudes. This difference in PO is consistent with the results reported by Sassen et al. (2009) and Thorsen

- et al. (2013). This has been attributed to two reasons: one due to the day-night difference in the background noise level present in the backscattered signal from the CALIOP measurement and secondly, due to the day-night differences in cirrus cloud occurrence in tropics. The day-time background noise level present in the backscattered signal from the CALIOP measurement is larger than that during the night-time
- ¹⁰ which prevents the detection of very thin cirrus cloud layers during the day. In addition to this, the formation of cirrus clouds in tropics is directly or indirectly associated with the development of deep-convective clouds which peaks over land during the late afternoon and early evening hours (Liu and Zipser, 2008; Sassen et al., 2009). Using Micro-pulse lidar observations over a tropical station Nauru Island (0.52° S, 166.92° E),
- ¹⁵ Comstock et al. (2002, Fig. 5c) also found higher occurrence of cirrus clouds during evening and night hours than that during noon hours. Thus, night-time CALIOP observations show the higher occurrence of cirrus clouds than that during the daytime.

4.2 Monthly and seasonal variation in PO of cirrus clouds

The altitude distribution of monthly mean PO of cirrus clouds near Gadanki obtained from the 16 years of NARL lidar data and seven and half years of CALIOP night-time data are shown in Fig. 3a and b, respectively. Both exhibit enhanced PO in the altitude range of 9–17 km during May–September owing to the increased convective activities in and around Gadanki. During this period, geometrically and optically thick cirrus clouds occur frequently near Gadanki region (Martins et al., 2011; Pandit et al., 2014; Curril Kuman et al. (2020). The accurrence of multi-laward clouds is cloud birth during

²⁵ Sunil Kumar et al., 2003). The occurrence of multi-layered clouds is also high during this time (not shown here). All these factors are responsible for the spread of the PO distribution of clouds during these months. Here, we have not filtered NARL lidar data for 2 a.m. half-an-hour time window as very few profiles (less than 50) are available



in that window during June–August. The altitude of high PO obtained from both the lidars is found above 14 km (Fig. 3a and b) during the months of May–September. The monthly mean base and top altitudes of cirrus clouds (represented by filled red squares and filled pink circles superimposed on the colour contours) obtained from ⁵ both the lidars are consistent with each other (see Fig. 3a and b). We also observe

- a significant fraction of cirrus clouds occurring near and some-times above the coldpoint tropopause (shown by brown inverted triangles) during May–September months. This result is in good agreement with the observations of Pan and Munchak (2011, Fig. 7). In Sect. 4.1, we noted that the cirrus clouds occur more frequently during night-
- time than day-time. Figure 3c shows the monthly variation of the night PO minus day PO at different altitude bins, and we find that most of the time the night-time PO is greater than the day-time PO. The strongest diurnal variability is seen in the month of May. It is interesting to note that sometimes especially during September–November the day-time cirrus cloud PO is slightly greater than the night-time PO at altitude bins above 10 km. This is also revealed from Fig. 3d which shows the percentage of cirrus

cloud occurrence (irrespective of altitude) out of total number of observations. The seasonal variation in the altitude distribution of PO of cirrus clouds obtained from three (NARL lidar, CALIOP day and night) data sets is illustrated in Fig. 2b–e. During the winter season (Fig. 2b), the PO distribution above 15 km from NARL lidar

- ²⁰ data shows higher values than that of CALIOP data. The climatological PO (1998– 2013) distribution from NARL lidar during the pre-monsoon season shows very good qualitative and quantitative match with the CALIOP night-time PO distribution (Fig. 2c). During monsoon season (Fig. 2d), number of lidar observations is the lowest. However, the climatological PO from NARL lidar for monsoon season matches well with
- the CALIOP PO distributions. Cirrus clouds exhibit significant diurnal variation during the pre-monsoon and monsoon seasons. The CALIOP (day-time) PO becomes greater than the CALIOP (night-time) PO during the post-monsoon season similar to what we observe in Fig. 3c. Overall, we see very good consistency between two lidar systems



in observing the seasonal occurrence of cirrus clouds in-spite of opposite viewing geometry.

4.3 Macrophysical and thermodynamic properties of cirrus clouds

The histograms for the macrophysical (cirrus base, top and mid-cloud altitude, distance from the tropopause, geometrical thickness) and thermodynamical properties (mid-cloud temperature) of cirrus clouds are shown in Fig. 4 and their statistical details are listed in Table 3. The frequency distribution of cirrus base height from both the lidars show good agreement (Fig. 4a). The distribution is spread out between 8 and 18 km such that it is difficult to pinpoint the most probable cirrus base altitude.
¹⁰ Careful observation and comparison of cirrus base distribution with that reported in Nazaryan et al. (2008, Fig. 6 in 20° S–20° N latitude bands) show that the most probable base altitude lies between 12 and 14 km. Both, NARL lidar and CALIOP histograms show one to one correspondence with each other in case of cloud top altitude (Fig. 4b) and mid-cloud altitude (Fig. 4c). The most probable top-altitude of cirrus clouds ob¹⁵ served over Gadanki lies in the altitude range of 15–17 km, which is very close to the

- tropopause. This is in good agreement with values reported by Comstock et al. (2002) over a tropical island (Nauru Island), who found it to be around 16 km. However, it is little higher than values reported by Seifert et al. (2007) who found it to be in the range 13– 15 km over Maldives (another tropical island). Both CALIOP and NARL data in Fig. 4d
- show that cirrus cloud observed over Gadanki lie very close to the tropopause. Quite a good number of them are found above the tropopause. CALIOP observations show less number of cases of cirrus clouds above the tropopause. Pan and Munchak (2011) have shown that fixed sampling time of CALIOP can result in underestimation of cirrus clouds above the tropopause. Most of the time, the mid-cloud temperature is less than
- -65°C and found to be as low as -85°C (Fig. 4e). NARL lidar and CALIOP night-time data in Fig. 4f show that nearly 50–55% of cirrus clouds observed over Gadanki have a thickness less than 2 km. Though, we observed significant day-night differences in



the occurrence of cirrus clouds, the day and night distribution of macrophysical and thermodynamic properties of cirrus clouds do not differ much.

The geometrical thickness of cirrus clouds depends on the formation mechanism, cloud altitude and cloud-temperature. Figure 5a–c shows the dependence of geomet-

- ⁵ rical thickness on the base altitude of cloud (z_b). For this, we divided all the cirrus cloud layers into three groups based on their occurrence in the different altitude regions. These altitude regions are 8 km < z_b < 12 km, 12 km < z_b < 15 km and z_b > 15 km. Clouds of thickness less than 2 km occur predominantly in altitude range above 15 km. Our results agree well with the results obtained using ground-based lidars at other
- tropical stations viz. Nauru Island (Comstock et al., 2002) and Maldives (Seifert et al., 2007). However, NARL lidar is found to have more number of thin clouds in altitude range above 15 km than CALIOP during night time. Again the comparison of NARL lidar and CALIOP day-time for clouds above 15 km is good, although caution is advised by Thorsen et al. (2013) while interpreting the day-time cirrus cloud observations
 using CALIOP which are biased towards the smaller geometrical thicknesses. Optical properties of these clouds are discussed in the next sub-section.

4.4 Optical properties of cirrus clouds

The distributions of optical thickness of cirrus clouds observed over Gadanki using NARL lidar and CALIOP data sets are shown in Fig. 6a. The optical thickness of cir-²⁰ rus cloud layers is binned into intervals of 0.1. We see a high fraction of cirrus clouds with optical thickness less than 0.1 in all the three data sets. To further investigate the distribution of optical thickness we divide each data set of cirrus clouds into different categories. Based on the magnitude of optical thickness, Sassen and Cho (1992) classified cirrus clouds into three categories viz. sub-visible cirrus clouds whose optical thickness, $\tau_{cloud} < 0.03$; thin cirrus clouds with 0.03 < $\tau_{cloud} < 0.3$ and thick cirrus clouds with $\tau_{cloud} < 0.3$. When this classification was applied to NARL lidar data set we find

with τ_{cloud} > 0.3. When this classification was applied to NARL lidar data set, we find that sub-visible, thin and thick cirrus clouds occurred nearly 52% (56% during 2006–2013), 36% (36% during 2006–2013) and 11% (8% during 2006–2013) of the total



observation time, respectively. Sunil Kumar et al. (2003) have also reported the similar high occurrence of sub-visible cirrus using six years of data over Gadanki. In contrast, nearly equal occurrence of the three cloud categories i.e. 35% sub-visible, 32% thin and 33% thick cirrus clouds is observed in CALIOP data, possibly due to inability of
 ⁵ CALIOP to detect sub-visible cirrus clouds. It is worth to mention here about the aircraft studies made during Tropical Composition. Clouds, and Climate Coupling (TC4)

- craft studies made during Tropical Composition, Clouds, and Climate Coupling (TC4) experiment which revealed that more than 50% of sub-visible cirrus cloud of thickness less than 0.01 are unaccounted in the current CALIOP level 2 cloud products (Davis et al., 2010). Martins et al. (2011) also have reported the underestimation of sub-visible
- ¹⁰ cirrus clouds fraction in CALIOP level 2 cloud data products. Frequency distributions for the individual categories are shown in Fig. 6b–d. CALIOP (day) data set shows very few cases of sub-visible cirrus clouds with optical depth less than 0.007 (Fig. 6b) whereas night-time observations from NARL lidar and CALIOP show high occurrence of cirrus clouds with optical thickness less than 0.007. This can be explained by the low
- ¹⁵ sensitivity of CALIOP to the day-time cirrus clouds due to the higher background noise than that during night-time. Overall, the distributions of optical thickness of cirrus clouds show good agreement between NARL lidar and CALIOP data sets. These distributions are also in good agreement with the findings of Comstock et al. (2002). Figure 6d reveals that NARL lidar sampled smaller number of thick cirrus clouds with $\tau_{cloud} > 1.5$
- ²⁰ as compared to CALIOP. This is possibly due to the lack of NARL lidar observations on cloudy nights and lidar's inability to penetrate the opaque clouds.

The optical thickness of cirrus clouds depends on the formation mechanism, cloudaltitude, cloud-temperature, amount, size, shape and orientation of ice-crystals. To investigate the dependence of cirrus optical properties on altitude, we categorized cirrus aloud optical thickness obtained from each data set into three different classes based

²⁵ cloud optical thickness obtained from each data set into three different classes based on their base altitude in the same way we did for the geometrical thickness in Sect. 4.3 (Fig. 5). Each data set confirms the high occurrence of sub-visible cirrus clouds occurring above 15 km (Fig. 5d–f). In addition to this, we find that the fraction of sub-visible cirrus clouds detected by NARL lidar is higher than that detected by CALIOP.



The distribution of each cirrus cloud type as a function of mid-cloud altitude is depicted in Fig. 7. We observe that the distribution of sub-visible cirrus clouds from each of the data sets is skewed towards the tropopause (between 16 and 17 km). Most of the sub-visible cirrus clouds (Fig. 7b) have their mid-cloud altitude in between 14–17 km ⁵ with maxima at around 16 km. The distribution of thin cirrus clouds is also similar to the sub-visible cirrus clouds in case of CALIOP data-set but NARL lidar has peak of frequency distribution at lower altitude (14 km) (Fig. 7c). Thick cirrus clouds as shown in Fig. 7d occur most of the time in the altitude range of 12–14 km which may be of convective origin.

- ¹⁰ Distribution of geometrical thickness with a bin size of 0.5 km for each cirrus cloud type and for each data set is shown in Fig. 8. Most of the sub-visible cirrus clouds are less than 2 km thick (Fig. 8b). CALIOP day-time data shows the high fraction of sub-visible cirrus clouds in the 0–0.5 km bin. The distribution of geometrical thickness for thin clouds obtained from NARL lidar slightly differs from that of CALIOP as shown
- ¹⁵ in Fig. 8c. In case of NARL lidar, the peak of the frequency distribution is at about 2.5 km thickness, whereas in case of CALIOP peak of the frequency distribution is at less than 2 km. The geometrical thickness of the majority of thin cirrus clouds is less than 3 km. The flat distribution of geometrical thickness for thick cirrus clouds shown in Fig. 8d indicates the diversity in the thickness of cirrus clouds. Night-time distributions
- from both the lidars agree well for thick clouds. However, the bias of CALIOP daytime observations towards smaller geometrical thicknesses can be seen clearly from Fig. 8d.

4.5 Temperature dependence of cirrus properties

In the previous section it is shown that the geometrical thickness of cirrus clouds has altitude dependence. We also found that most of the cirrus clouds occurring above 15 km have a geometrical thickness less than 2 km while clouds below 15 km showed the broader distribution (Fig. 5a–c). As the geometrical and optical properties of cirrus clouds are dependent on temperature, in this section we investigate the dependence of



geometrical and optical properties of cirrus clouds on temperature. Note that the midcloud temperature used in case of NARL lidar dataset is NCEP FNL data whereas in case of CALIOP dataset it is GMAO temperature profile data. Figure 9a shows that the thickness of cirrus clouds increases with decrease in mid-cloud temperature, it peaks

- ⁵ at about -60 °C and finally decreases as mid-cloud temperature is further lowered. A very nice agreement is observed between CALIOP night-time and NARL lidar data. The geometrical thickness of cirrus clouds exhibits large variation of about 1–5 km in the mid-cloud temperature range of -50 to -70 °C with a mean geometrical thickness greater than 2 km. This is in contrast to Sunilkumar and Parameswaran, (2005) who
- found it to be about 1.7 km over Gadanki. This is possibly due to use of temperature profiles based on MST Radar by Sunilkumar and Parameswaran, (2005), which are not as accurate as NCEP FNL data and have lower values compared to CIRA Model temperature profile (Parameswaran et al., 2000). The dependence of geometrical thickness on mid-cloud temperature obtained from CALIOP night-time data is compared with that obtained from CALIOP day-time data and is shown in Fig. 9b. In the temperature range
- of -45 to -60 °C, the day-time dependence appears to be weaker than the night-time dependence obtained from CALIOP data.

It is important to know the temperature ranges at which optically different cloud types exist. Figure 10 shows the distribution of mid-cloud temperature for each cirrus types.

Both, night-time data sets show that the majority of sub-visible cirrus clouds occur at temperatures lower than -65 °C (Fig. 10b). In the temperature range of -60 to -80 °C, most of the thin cirrus clouds occur (Fig. 10c). The distributions of sub-visible and thin cirrus clouds are skewed towards very low temperature. While most of the thick cirrus clouds occur in the temperature range of -40 to -70 °C. However, CALIOP day-time data set shows rather a flat temperature dependence for all the categories.

4.6 Long-term trends

In our earlier study (Pandit et al., 2014), we reported 8.4% increase in percentage occurrence of cirrus clouds at 16 km altitude and 0.41 and 0.56 km increase in cloud



base and top heights respectively over Gadanki in 16 years. Albeit percentage increase of 8.4 % was not statistically significant. These findings strengthen the hypothesis that warming climate will cause an upward shift of cirrus cloud (Boucher et al., 2013; Hartmann and Larson, 2002). Assuming a simple linear temporal relation, the rate of up⁵ ward shift of the base altitude is found to be about 26 m year⁻¹ while that of the top altitude is found to be about 35 m year⁻¹. Chepfer et al. (2014) have predicted an upward shift in the cirrus cloud altitude in tropics at a typical rate of 20 m year⁻¹ using multiple

- climate models. Using six years of CALIOP observations, Zhou et al. (2014) have also showed an increase in the amount and altitude of cirrus clouds in response to the surface warming. Since the trends presented in Pandit et al. (2014) were not separated for cloud types (i.e. sub-visible, thin and thick cirrus clouds) and were presented only for three properties (viz. cloud-base-altitude, cloud-top-altitude and percentage occurrence), therefore, here we investigate long-term trends in mid-cloud altitude, mid-cloud temperature, geometrical thickness and optical thickness of each of these cirrus clouds
- ¹⁵ type using both the lidars. Figure 11 shows the trends in above mentioned properties of sub-visible cirrus clouds. In the last sixteen years, the monthly mean mid-cloud altitude of sub-visible cirrus clouds is found to be increasing at the rate of 41 ± 21 m year⁻¹. The trend is found to be statistically significant (*p* values 0.05 using Student *t* test). CALIOP observations also show an increasing trend in the mid-altitude but found sta-
- tistically insignificant. As expected from mid-cloud-altitude trend, both the lidars show that the mid-cloud temperature is decreasing, which is found to be statistically insignificant. The geometrical thickness however, does not show a statistically significant trend in any of the lidar observations over Gadanki. This is in contrast to mid-latitude station OHP, France where Hoareau et al. (2013) have found statistically significant in-
- ²⁵ crease in geometrical thickness but the insignificant trend in cloud-mid-altitude. The optical thickness of sub-visible cirrus clouds obtained from both the Lidars is found to be decreasing. The trend $-9.4 \times 10^{-5} \pm 5.5 \times 10^{-5}$ year⁻¹ in the optical thickness of sub-visible cirrus clouds obtained from NARL Lidar is statistically significant (*p* value 0.09) while CALIOP trend is statistically insignificant. All the properties found to have



statistically insignificant trends for thin and thick cirrus clouds except for one. Thick cirrus cloud shows statistically significant decreasing trend (p value 0.01) in cloud optical thickness. In the latest IPCC report (Boucher et al., 2013), a systematic shift from thick high clouds to thin cirrus clouds or vice-versa is suggested as possible mechanism for

- ⁵ cloud-climate feedback, however, at the time of the writing IPCC report, evidence for such systematic shift was not available. In this context, we have investigated trends in the fraction of three cloud types. The fraction of sub-visible cloud type is found to have statistically significant (p value 0.1) increase of 9.4% over 16 years. The increase is at the cost of decrease in thin cirrus cloud fraction which is decreased by 7.6 %. It is worth
- to quote the future projections of the Coupled Model Inter-Comparison Project Phase 5 10 (CMIP5) which are presented from 2006–2099 under the Representative Concentration Pathway (RCP) 8.5 scenarios. The projection shows (Kishore et al., 2015) warming trend at 100 hPa over wide region of 60° N-45° S, whereas the warming decreases rapidly and becomes cooling with increase in altitudes by the end of twenty-first cen-
- tury. At 100 hPa, these models show the increase in temperature by ~ 3.27 K at the end 15 of the twenty-first century under RCP 8.5 scenarios. This increase is partly attributed to the increase of sub-visible cirrus clouds near the tropopause region. These may also have significant implications for cross-tropopause water vapour transport and related global climate variability.

Summary and conclusions 5

marized below:

Using the 16 years of lidar observations from a tropical rural site, climatology of cirrus cloud properties is developed and long-term trends are analysed. The ground-based climatology is also compared with the seven and a half year climatology of cirrus clouds observed using CALIOP. Both the datasets exhibit good agreement with each other. Some of the salient features of cirrus clouds emerged from this climatology are sum-

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Discussion Paper

ACPD

15, 15791–15830, 2015

16 year climatology of

cirrus clouds over a

tropical station in

southern India

- 1. Cirrus clouds over Gadanki occur more frequently during night-time than during day time except during September to November when the reverse is true.
- 2. During the months of May to September, while a significant percentage of cirrus clouds are found to occur near the climatological tropopause, a few are found above the tropopause.

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- 3. About 50–55 % of the cirrus clouds observed over Gadanki have geometrical thickness less than 2 km.
- 4. Cirrus clouds that occurred with mid-cloud temperature between −50 to −70 °C have a mean geometrical thickness greater than 2 km in contrast to the value 1.7 km reported by Sunilkumar and Parameswaran, (2005). Most of the sub-visible and thin cirrus clouds occurred with a mid-cloud temperature of less than −60 °C.
- Analyses of long-term trends show the following: (a) among the three types only the sub-visible cirrus clouds show an increase in their altitude of occurrence.
 (b) Optical thickness of sub-visible and thick cirrus cloud show statistically significant decreasing trend. (c) A 9.4% increase in sub-visible cirrus cloud fraction and 7.6% decrease in thin cirrus cloud fraction are found from 1998 to 2013.
- 6. Climatology of NARL lidar and CALIOP data shows that NARL lidar detects more number of sub-visible cirrus clouds (56% of the total observations) compared to CALIOP (35% of the total observations) for the overlapping period. This has implication in global warming studies as sub-visible cirrus clouds have significant positive radiative forcing and their underestimation will lead to underestimation of the role of cirrus clouds in global warming.

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Table 1. Specifications of NARL-lidar and CALIOP.

Characteristics	NARL lidar	CALIOP
Operating Wavelength(s)	532 nm	532, 1064 nm
Average pulse energy	550 mJ (1998–2006) 600 mJ (2007–2013)	110 mJ
Pulse width	7 ns	20 ns
Pulse repetition rate	20 Hz (1998–2006) 50 Hz (2007–2013)	20.16 Hz
Telescope diameter	35.5 cm	100 cm
Receiver field of view	1 mrad	130 μrad
Detectors	Photomultiplier Tube (PMT)	PMT for 532 nm Avalanche photodiode for 1064 nm
Polarization	Co and cross-polarized*	Co and cross-polarized for 532 nm Co-polarized for 1064 nm
Vertical resolution	300 m	30 m for altitude range –0.5 to 8.2 km 60 m for altitude range 8.2 to 20.2 km
Horizontal resolution	Stationed	0.333 km for altitude range -0.5 to 8.2 km along the track 1 km for altitude range 8.2 to 20.2 km along the track

* Only co-polarized data of 532 nm channel are used.



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NARL lidar	CALIOP	NARL lidar	CALIOP	Pap		
02:07:38	02:07:48	Average of	02:05:00	θr	l itle	
14.91	14.94	11.62	11.53		Abstract	
15.81	15.90	12.67	12.55		Conclusions	
16.71	16.86	13.72	13.56	İSC	Conclusione	
1.80	1.92	2.10	2.03	SUS	Tables	
16.41	16.66	16.44	16.51	SiC.		
-0.60	-0.76	-3.77	-3.96	n		
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Table 3. Mean, median and standard deviation of macrophysical and thermodynamical properties of cirrus clouds obtained from NARL Lidar and CALIOP over Gadanki. Values in the parentheses represent the median.

Cirrus Properties	NARL Lidar	CALIOP (night)	CALIOP (day)
Base altitude (km) Top altitude (km) Mid-cloud altitude (km) Geometrical thickness (km)	$13.0 \pm 2.2 (13.1)$ $15.3 \pm 2.0 (15.5)$ $14.1 \pm 2.0 (14.3)$ $2.3 \pm 1.3 (1.8)$	$12.5 \pm 2.2 (12.6) 14.9 \pm 2.1 (15.3) 13.7 \pm 2.0 (13.9) 2.4 \pm 1.7 (1.8) $	$12.8 \pm 2.0 (12.7) 14.5 \pm 2.0 (14.9) 13.6 \pm 1.9 (13.8) 1.7 \pm 1.2 (1.3) $
Mid-cloud temperature (°C) Distance from tropopause (km)	$-65.0 \pm 11.9 (-67.6)$ $-2.6 \pm 2.1 (-2.4)$	$-61.0 \pm 14.7 (-63.6)$ $-2.8 \pm 2.0 (-2.7)$	$-60.5 \pm 14.4 (-63.2)$ $-2.8 \pm 1.9 (-2.5)$



Figure 1. (a) Night-time evolution of cirrus clouds as a function of altitude observed on 19–20 November 2008 using NARL Lidar. Colour scale represents the logarithm of the normalized photon counts. Cirrus base and top altitudes are shown with blue and brown lines, respectively. Black dashed vertical line shows the CALIPSO overpass time near Gadanki. (b) Overpass trajectory of CALIPSO (shown by dashed blue line) near Gadanki (shown by filled red circle). (c) Colours show the vertical feature mask (VFM) along the CALIPSO track as a function of altitude on 20 November 2008. The red circle shows the clouds sampled near Gadanki. (d) Overpass trajectory of CALIPSO (dashed blue line) at around 02:07 LT on 20 November 2008 near Gadanki (red plus symbol). Blue asterisks correspond to the proximate CALIOP profiles used for averaging, (e) averaged extinction coefficient profiles obtained from NARL Lidar (dashed red line) and CALIOP (solid blue line). (f to j) are same as (a to e) respectively but for the observations on 3–4 December 2013.





Figure 2. (a) Climatological altitude distribution of PO of cirrus clouds obtained from NARL Lidar data for the period 1998–2013 (dashed black line), NARL Lidar data during half an hour time window centred at 02:03 LT for the period 2006–2013 (triple dotted dashed magenta line), CALIOP day-time (single dotted dashed red line) and CALIOP night-time (solid blue line) data sets for the period 2006–2013. (b) Same as (a) but for winter (DJF), (c) pre-monsoon (MAM), (d) monsoon (JJA), and (e) post-monsoon (SON) seasons.





Figure 3. Filled contours show the climatological monthly mean variation of PO of cirrus clouds as a function of altitude over Gadanki (a) during 1998–2013 using NARL Lidar, (b) during 2006–2013 using CALIOP (night-time) data. Monthly mean tropopause height, cloud base height and cloud top height are shown by dashed brown lines with inverted triangles, red line with squares and pink line with filled circles, respectively. (c) Climatological CALIOP night PO minus CALIOP day PO difference as a function of altitude for the period 2006–2013. (d) Monthly PO of cirrus clouds for CALIOP day (solid red line with filled circles) and night (solid blue line with filled circles).





Figure 4. Histograms showing the distribution of macrophysical properties of cirrus clouds viz. (a) base altitude, (b) top altitude, (c) mid-cloud altitude, (d) distance from the tropopause, (e) mid-cloud temperature, (f) geometrical thickness obtained from NARL Lidar (1998–2013) data (dashed black line), CALIOP day-time (single dotted red line) and CALIOP night-time (solid blue line) data sets. Bin size for (a–d) and (f) is 0.5 km while bin size for (e) is 5 °C. Tropopause altitude in case of NARL Lidar data is derived from 1° × 1° FNL temperature profile data near Gadanki grid whereas in case of CALIOP data tropopause altitude is derived from GMAO temperature profile data.





Figure 5. Histograms showing the distribution of geometrical thickness (**a** to **c**) and optical thickness (**d** to **f**) of cirrus cloud layers with base height (z_b) in the ranges of 8 km < z_b < 12 km (dashed red line), 12 km < z_b < 15 km (solid blue line) and z_b > 15 km (dotted black line) obtained from NARL Lidar data (**a** and **d**) for the period 1998–2013, CALIOP night-time data (**b** and **e**) and CALIOP day-time (**c** and **f**) data sets for the period 2006–2013. Bin size for each histogram of geometrical thickness is 0.5 km while for optical thickness it is 0.01.





Figure 6. Histograms showing the distribution of optical thickness of (a) all cirrus cloud layers (bin-size = 0.1), (b) sub-visible cirrus ($\tau < 0.03$, bin-size = 0.0025), (c) thin cirrus ($0.03 < \tau < 0.3$, bin-size = 0.025) and (d) thick cirrus cloud layers ($\tau > 0.3$, bin-size = 0.1) obtained from NARL Lidar (dotted black line), CALIOP day-time (dashed red line) and CALIOP night-time (solid blue line) data sets.

















Figure 9. Dependence of geometrical thickness of cirrus cloud layers on mid-cloud temperature obtained from **(a)** NARL Lidar (open red circles) and CALIOP night-time (filled blue circles) data, **(b)** CALIOP day-time (open red circles) and CALIOP night-time (filled blue circles) data.













