

# The regional distribution characteristics of aerosol optical depth over the Tibetan Plateau

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## Abstract

The Tibetan Plateau (TP) is representative of typical clean atmospheric conditions. Aerosol optical depth (AOD) retrieved by Multi-angle Imaging SpectroRadiometer (MISR) is higher over Qaidam Basin than the rest of the TP all the year. Different monthly variation patterns of AOD are observed over the southern and northern TP, whereby the aerosol load is usually higher in the northern TP than in the southern part. The aerosol load over the northern part increases from April to June, peaking in May. The maximum concentration of aerosols over the southern TP occurs in July. Aerosols appear to be more easily transported to the main body of the TP across the northern edge rather than the southern edge. This is may be partly because the altitude is lower at the northern edge than that of the Himalayas located along the southern edge of the TP. Three-dimensional distributions of dust, polluted dust, polluted continental and smoke are also investigated based on Cloud-Aerosol Lidar and

1 Infrared Pathfinder Satellite Observation (CALIPSO) data. Dust is found to be the  
2 most prominent aerosol type on the TP, and other types of aerosols affect the  
3 atmospheric environment slightly. A dividing line of higher dust occurrence in the  
4 northern TP and lower dust occurrence in the southern TP can be observed clearly at  
5 altitude of 6~8 km above sea level, especially in spring and summer. This  
6 demarcation appears around 33~35°N in the middle of the plateau, and it is possibly  
7 associated with the high altitude terrain in the same geographic location. Comparisons  
8 of CALIPSO and MISR data show that the vertical dust occurrences are consistent  
9 with the spatial patterns of AOD. The different seasonal variation patterns between  
10 the northern and southern TP are primarily driven by atmospheric circulation, and are  
11 also related to the emission characteristics over the surrounding regions.

12

## 13 **1. Introduction**

14 The Tibetan Plateau (TP), located in central eastern Eurasia, is the most prominent  
15 and complex terrain feature on the Earth. It has the world's highest average elevation  
16 (about 4,000 m), with some surface features even reaching into the mid-troposphere  
17 (Figure 1). The TP is surrounded by several deserts, including Taklimakan Desert in  
18 Tarim Basin, Gobi Desert and the deserts in Southwest Asia and Middle East.  
19 Indo-Gangetic Plains are located to south of the TP, with high aerosol loading  
20 (Gautam et al., 2011). Several mountains are located on the TP, including Himalayas  
21 Mountains, Gangdise Mountains, Nyainqentanglha Mountains, Tangua Mountains  
22 and Kunlun Mountains. The elevation differences of these mountains are at least 500  
23 m and usually 1000 m or even more compared with the surrounding areas. Due to its  
24 topographic characteristics, the TP surface absorbs high quantities of solar radiation  
25 with corresponding impacts on surface heat or water fluxes (Ma et al., 2014a; Ma et  
26 al., 2014b). The East Asian monsoon and the eastern part of the South Asian monsoon  
27 systems are mainly controlled by the thermal forcing of the TP (Wu et al., 2007; Wu  
28 et al., 2012).

1 Many studies have focused on environmental and climate change over the TP (Xu et  
2 al., 2009; Ma et al., 2011; Lin et al., 2012; Yao et al., 2012; Sheng et al., 2013), and  
3 the TP environment is greatly affected by natural and anthropogenic aerosols from the  
4 surrounding regions (Liu et al., 2008b; Bucci et al., 2014; Cong et al., 2015).  
5 Therefore, studying tropospheric aerosols and their effects on the TP is of great  
6 importance (King et al., 1999; Kaufman et al., 2002; Li et al., 2011). Vernier et al.  
7 (2011) reported the presence of an aerosol layer at the tropopause level above Asia  
8 during the monsoon season. The Taklimakan and Gobi deserts are two major dust  
9 sources with long-range transport mainly occurring in spring (Liu et al., 2008a).  
10 Summertime Tibetan airborne dust plumes were detected from the Cloud-Aerosol  
11 Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite (Huang et  
12 al., 2007), and Xia et al. (2008) suggested that the aerosol load in summer over the TP  
13 was mainly associated with the Taklimakan desert. Dust above the TP appears to be  
14 largely related to source regions to the north and on the eastern part of the TP (Liu et  
15 al., 2008b). The impact of aerosols above and around the TP during pre-monsoon  
16 season was also investigated, however, a strong elevated heating which could  
17 influence large-scale monsoonal circulations was not found (Kuhlmann and Quaas,  
18 2010). Atmospheric Brown clouds over South Asia resulting from biomass burning  
19 and fossil fuel consumption are recognized as serious environmental problem  
20 (Ramanathan et al., 2005). These carbonaceous aerosols lead to a large reduction of  
21 solar radiation at the surface, an increase of solar heating in the atmosphere, and a  
22 weaker hydrological cycle (Ramanathan et al., 2001). Anthropogenic emissions from  
23 strong pollution events can occasionally be transported to the central TP by prevailing  
24 southwesterly winds (Xia et al., 2011). The high altitudes of the Himalayas appear to  
25 block most BC particles intruding into the TP, but the Yarlung Tsangpo River valley  
26 serves as a 'leak' by which contaminants can reach the southeast TP (Cao et al., 2010).  
27 Results from precipitation isotope observations revealed that the northward maximum  
28 extent of the southwest monsoon over the Tibetan Plateau is located around 34~35 °N  
29 (Tian et al., 2007). The southern and northern TP are under the control of different

climate systems during the monsoon season (Yao et al., 2013). Some previous studies indicated that the southern and northern TP possibly presented different variations in aerosol properties, e.g. different temporal variations in dust storm records (Wu et al., 2013). However, the mechanisms of those differences still need further study.

Although the aerosol load is relatively low, aerosols over the TP have the unique characteristics. In this study, the seasonal variations and spatial distributions of aerosols over the TP are presented based on the Multi-angle Imaging SpectroRadiometer (MISR) data. The seasonal vertical distributions of dust, polluted dust, polluted continental and smoke aerosols are also investigated using the CALIPSO data. This study might indicate a natural demarcation of aerosols between the northern and southern TP exist in the middle of the plateau. Besides that, the spatial patterns of aerosol loading are consistent with the vertical distributions of aerosols. We preliminarily propose the possible mechanisms for the aerosol distributions.

## **2. Data and methodology**

The MISR was successfully launched into sun-synchronous polar orbit aboard Terra, NASA's first Earth Observing System (EOS) spacecraft, on December 18, 1999. Viewing the sunlit Earth simultaneously at nine widely-spaced angles, MISR provides radio-metrically and geometrically calibrated images in four spectral bands at each of the angles. MISR observes the entire Earth about once per week. The spatial resolution of the operational MISR aerosol retrieval algorithm is  $17.6 \text{ km} \times 17.6 \text{ km}$ . The retrieval region has  $16 \times 16$  subregions, and each subregion covers a  $1.1 \text{ km} \times 1.1 \text{ km}$  area. One of the key issues for satellite aerosol products is cloud contamination, including MISR data (Kahn et al., 2010). Three cloud-mask products are used in the aerosol pre-processing. The MISR Standard Products include three separate MISR-derived cloud Masks: Radiometric Camera-by-camera Cloud Mask (RCCM) (Yang et al., 2007), Stereo-Derived Cloud Mask (SDCM) (Moroney et al., 2002) and

1 Angular-Signature Cloud Mask (ASCM) (Di Girolamo and Wilson, 2003). Based on  
2 collocated MISR and Moderate Resolution Imaging Spectroradiometer (MODIS) data,  
3 Shi et al. (2014) suggested that cloud contamination existed in both over-water and  
4 over-land MISR AOD data, with heavier cloud contamination occurring over the high  
5 latitude southern hemispheric oceans. MISR aerosol retrievals have been evaluated by  
6 many studies (Martonchik et al., 2004; Kahn, 2005; Witek et al., 2013). The accuracy  
7 of MISR AOD was much better than MODIS AOD over land (Abdou et al., 2005).  
8 Xia et al (2008) made comparisons of MISR AOD with ground-based hazemeter  
9 measurements made at Lhasa and Haibei station on the TP, which showed high  
10 correlation coefficient and low root mean square error. The Level 3 Aerosol product is  
11 a summary of the Level 2 Aerosol product. In this study, daily Level 3 MISR aerosol  
12 data from March, 2000 to December, 2014 are used to investigate the aerosol spatial  
13 distribution, and the spatial resolution of MISR data is  $0.5^{\circ} \times 0.5^{\circ}$ .

14 Monthly variations of AOD at 558 nm are analyzed over the TP. If there was only one  
15 satellite observation for a month then this grid value in that particular month was  
16 excluded for that year, since there would be too few observations to represent the  
17 monthly average. The monthly means that represent the aerosol long-term distribution  
18 are calculated by averaging the observation data for that month in each year. To  
19 analyze aerosol zonal average, only aerosol retrievals over the TP (27°N~40°N,  
20 75°E~105°E) at elevations higher than 3000 m are used. In this study, the northern  
21 part of the TP is defined as the region north of 33~34°N, and the southern part of the  
22 TP is defined as the region south of 33~34°N. The reason for selecting 33~34°N is  
23 based on the distributions of aerosols, which appear to show different patterns to the  
24 north and south of this latitude.

25 The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO)  
26 satellite provides new insight into clouds and atmospheric aerosols (Winker et al.,  
27 2007; Winker et al., 2010). The CALIPSO satellite was launched into a  
28 Sunsynchronous orbit on 28 April 2006, with a 16-day repeating cycle. The lidar level  
29 3 aerosol product is a quality screened aggregation of level 2 aerosol profile data. A

1 series of filters are designed to eliminate samples and layers that were detected or  
2 classified with very low confidence or that have untrustworthy extinction retrievals  
3 (Winker et al., 2013). The CALIPSO version 1.00 and version 1.30 level 3 aerosol  
4 profile data from March, 2007 to February, 2015 are used in this study. Nighttime  
5 all-sky data are used, because the instrument is more sensitive during nighttime than  
6 daytime without solar background illumination (Winker et al., 2013). The spatial  
7 resolution of level 3 data is  $5^\circ \times 2^\circ$  (longitude-latitude), and the vertical resolution is 60  
8 m observed from -0.5 km to 12 km above sea level (a.s.l., the altitude below is a.s.l.  
9 without a specific instruction) in the troposphere. The primary variable of aerosol type  
10 is used, and this variable counts the number of aerosol samples having each aerosol  
11 type for each latitude/longitude/altitude grid cell. Six aerosol types including clean  
12 marine, dust, polluted continental, clean continental, polluted dust, and smoke are  
13 classified. From the data product descriptions, the composition of six aerosol types  
14 can be known. Clean marine is a hygroscopic aerosol that consists primarily of  
15 sea-salt (NaCl). Dust is mostly mineral soil. Polluted continental is background  
16 aerosol with a substantial fraction of urban pollution. Clean continental is a lightly  
17 loaded aerosol consisting of sulfates ( $\text{SO}_4^{2-}$ ), nitrates ( $\text{NO}_3^-$ ), organic carbon (OC) or  
18 Ammonium ( $\text{NH}_4^+$ ). Polluted dust is a mixture of desert dust and smoke or urban  
19 pollution. Smoke aerosol consists primarily of soot and OC. Mixtures of two aerosol  
20 types are not assigned to one aerosol sample. Dust, polluted dust, polluted continental  
21 and smoke possibly influence the TP (Kuhlmann and Quaas, 2010). The aerosol  
22 samples for these major types in each season were calculated by accumulating the  
23 detected samples for the season in each latitude/longitude/altitude grid cell. The  
24 multiyear aerosol samples for each season are obtained by averaging across multiple  
25 years. The classification algorithms use the integrated attenuated backscatter  
26 measurements, the volume depolarization ratio measurements, surface type and layer  
27 altitude to determine aerosol type (Omar et al., 2009). The similarity of the optical  
28 properties between polluted continental and smoke aerosols makes the classification  
29 of these two aerosol types difficult (Omar et al., 2009). Mielonen et al. (2009) made

1 comparisons of Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) level 2  
2 aerosol types and those derived from Aerosol Robotic Network (AERONET)  
3 inversion data. The results revealed the greatest agreement for the dust type (91 % of  
4 the cases), moderate agreement for the polluted dust type (53 % of the cases), and  
5 poorer agreement for smoke (37 % of the cases) and for polluted and clean continental  
6 combined (22 % of the cases). Burton et al. (2013) made comparisons of aerosol types  
7 between CALIPSO and airborne High Spectral Resolution Lidar, which showed the  
8 best agreement for desert dust (80 % of the cases) and marine aerosols (62 % of the  
9 cases), moderate agreement for the polluted continental aerosols (53 % of the cases),  
10 but relatively poor agreement for polluted dust (35 % of the cases) and smoke (13 %  
11 of the cases). Although previous studies showed different results of quantitative  
12 validations, these researches indicated the classifications for dust aerosols were  
13 reliable. Moreover, it is necessary to state that the classifications of smoke aerosols  
14 presented here are subject to large uncertainty. There are uncertainties associated with  
15 incorrect aerosol type classification, and these uncertainties further affect aerosol  
16 extinction. Aerosol extinction coefficients are not analyzed due to too low values with  
17 high uncertainties over the TP. In this study, four common seasons are defined,  
18 respectively, as March to May (spring), June to August (summer), September to  
19 November (autumn) and December to February in the next year (winter).

20 The meridional circulations are examined using ERA-interim monthly mean  
21 reanalysis data, produced by the European Centre for Medium-Range Weather  
22 Forecasts (ECMWF). The spatial resolution of ERA-interim reanalysis data is  
23  $0.75^{\circ} \times 0.75^{\circ}$ , and the vertical layers at 32 different pressure levels are used (including  
24 1000 hPa, 975 hPa, 950 hPa, 925 hPa, 900 hPa, 875 hPa, 850 hPa, 825 hPa, 800 hPa,  
25 775 hPa, 750 hPa, 700 hPa, 650 hPa, 600 hPa, 550 hPa, 500 hPa, 450 hPa, 400 hPa,  
26 350 hPa, 300 hPa, 250 hPa, 225 hPa, 200 hPa, 175 hPa, 150 hPa, 125 hPa, 100 hPa,  
27 70 hPa, 50 hPa, 30 hPa, 20 hPa, 10 hPa). Data are analyzed from March 2000 to  
28 February 2014.

### 3. Results and analysis

#### 3.1 Aerosol distribution characteristics

Monthly variations of AOD over the Tibetan Plateau are shown in Figure 2. Seasonal variations of AOD are significant. The monthly average of AOD for the ~15 years study period was less than ~0.50 over the whole TP in spring and summer, but less than 0.25 in autumn and winter. The highest AOD is shown over the Qaidam Basin on the TP in each month. Frequent dust storms mainly lead to the high AOD (Zhang et al., 2003; Wang et al., 2004). Human activities, including such as fossil fuel combustion and industrial emissions over the Qaidam Basin, also contribute to the increasing aerosol concentrations to some extent (Streets et al., 2003; Zhang et al., 2009; Liu et al., 2015). The aerosol load increases gradually from March to May over the northern part of the TP, while it decreases from June to August. The areas with higher aerosol loads ( $>0.25$ ) expand gradually in April and reach their maximum extent in May, which indicates AOD is highest in May. AOD is higher to the north of 33~34°N than to the south all the year. The east-west trending mountains on the TP seem to act as a major natural barrier for the transport of atmospheric aerosols from north to south. The aerosol load increases slightly to the south of 30°N in summer. The aerosol load over the southern TP may be associated with the Indo-Gangetic Plains. A possible explanation of this phenomenon may be the peaks in anthropogenic emissions in the Indo-Gangetic Plains during summer coupled with suitable atmospheric circulation. Alpine valleys along the Himalayas (e.g. the Pulan valley in the western Himalayas and the Yadong valley in the middle Himalayas) may act as channels along which aerosols can be transported into the southern part of the TP during the monsoon season. In autumn and winter, AOD over the whole TP is mostly lower than 0.20, except in the Qaidam Basin. AOD even decreases below 0.10 over most regions of the TP from November to January. Unfortunately, AOD over the southeast TP cannot always be determined in each season due to thick cloud.



1 In summary, AOD is usually higher over the Qaidam Basin than over the other parts  
2 of the TP, throughout the year. Obvious seasonal variations of AOD are observed  
3 over the TP. Dust or anthropogenic emissions may pass through the northern edge of  
4 the TP, especially the Qaidam Basin, to intrude into the TP.

### 5 **3.2 Zonal variations in aerosol properties over the TP**

6 Although the aerosol load is quite low over the TP, the zonal distribution pattern can  
7 be seen clearly. Figure 3 shows the zonal monthly means of AOD for a period of over  
8 fifteen years. Monthly variations in the northern TP are different from those in the  
9 southern TP. The aerosol load is relatively high over the northern TP during April to  
10 June, while it is high over the southern TP during June to August. Single monthly  
11 peak occurs over both the northern and southern TP. The monthly peak is observed in  
12 May and July, respectively. Moreover, the monthly zonal peak of AOD in the  
13 northern TP is about 1.5 times that in the southern part. Previous studies showed  
14 similar results based on surface observations (Gobbi et al., 2010; Xu et al., 2014). The  
15 zonal means over the whole TP are even below 0.10 during November to January.

16 Overall, AOD shows clear zonal distributions. Different monthly variation pattern of  
17 AOD is shown in the northern part and southern part of TP. The maximum aerosol  
18 concentration is observed during April to June to the region north of 33~34 °N, with  
19 maximum levels in May. Aerosols can be clearly transported to the south of about  
20 30 °N over the TP, with maximum levels in July.

### 21 **3.3 Vertical distributions of aerosols**

22 Dust, polluted dust, polluted continental and smoke retrieved by CALIPSO are the  
23 major aerosol types, possibly influencing the environment over the TP. The seasonal  
24 vertical distributions of these aerosol types are discussed along the latitudinal  
25 transects. The regions from 80 °E to 100 °E covering most of the TP are selected to  
26 represent aerosol three-dimensional distributions.

1 Figure 4 shows latitudinal transects of accumulated dust samples in each season. The  
2 features of aerosol three-dimensional distributions can be concluded to seasonal  
3 variability and spatial differences. Dust generation and lofting is always active over  
4 the Tarim Basin and Hexi Corridor all the year around, while dust occurs frequently  
5 over the northern Indian peninsula only in spring. Detected dust over the TP increases  
6 significantly in spring and summer, and dust occurs much less frequently in autumn  
7 and winter. This phenomenon possibly indicates that dust can be transported into the  
8 TP in spring and summer, while dust only occurs in Qaidam Basin in autumn and  
9 winter. Much less dust is detected on-plateau than off-plateau, which indicates that the  
10 TP acts as a large barrier for the transport of dust. Furthermore, dust occurrence  
11 decreases from the surface to the high altitude over the TP. Most of Tibetan airborne  
12 dust is concentrated at a height of less than 7 km during spring and summer. Obvious  
13 differences of detected dust exist between the southern and northern TP in spring and  
14 summer. Dust occurs more frequently over the northern part of TP than the southern  
15 part. The demarcation between high dust occurrence over the northern TP and  
16 relatively low dust occurrence over the southern TP is clear along each longitudinal  
17 cross section. The demarcation runs east to west across the TP, which appears to be in  
18 accord with the distributions of monthly MISR AOD. After climbing the northern  
19 edge of the TP, dust reduces substantially. The extreme high mountains over the TP  
20 include the Kunlun and the Tangula. When dust encounters these major mountains  
21 one by one, the detected dust aerosols passing through the mountains decrease  
22 gradually along the longitudinal zones from north to south in each season. The dust  
23 layer has the greatest depth over all the longitudinal zones in spring, followed by  
24 summer. In spring, dust layer extends from the surface to 11~12 km over the TP. Dust  
25 can be generated and lofted to a similar altitude over the Tarim Basin and Hexi  
26 Corridor in spring, whereas dust layer exhibits a lesser thickness and extends to 6~8  
27 km over the northern Indian peninsula. Detected aerosol layer even reaches up to  
28 upper troposphere and lower stratosphere over the TP and the regions north of the TP.  
29 Frequent dust activities and little precipitation may be favorable for dust intrusion in

1 stratosphere. Stratosphere-troposphere exchange is currently a widely studied topic,  
2 and aerosols intruding into stratosphere will lead to a negative radiative forcing  
3 (Solomon et al., 2011). Previous studies mainly focused on deep fast convective  
4 transport of polluted air from the atmospheric boundary layer into the upper  
5 troposphere and lower stratosphere during Asian summer monsoon season (Fu et al.,  
6 2006; Randel et al., 2010). The non-volcanic aerosol layer near the tropopause was  
7 detected vertically from 13 to 18 km based on CALIPSO observations during the  
8 Asian summer monsoon, and AOD here has increased three times since the late 1990s  
9 (Vernier, 2015). However, our results suggest the TP and the regions north of the TP  
10 may also act as alternative pathways for aerosols from troposphere to stratosphere  
11 during the spring period. The mechanisms of spring dust transport from the  
12 atmospheric boundary layer into the upper troposphere and lower stratosphere need  
13 further rigorous studies and discussions. The demarcation of dust occurrence between  
14 the northern and southern TP can be seen clearly from surface to a height of about  
15 6~8 km during spring, while it becomes unapparent at high altitudes. Dust layer can  
16 only extend to the altitudes of 8~10 km over the TP during summer, and the  
17 demarcation appears to be obvious at an altitude of less than 7 km. This phenomenon  
18 may indicate dust seems to be transported more easily through the northern edge of  
19 TP than the southern edge during spring and summer. Dust layer is usually at altitudes  
20 of less than 8 km over the TP in autumn and winter. Due to low dust occurrence, the  
21 differences of detected dust between the northern and southern TP are not obvious in  
22 autumn and winter.

23 Figure 5 shows latitudinal transects of accumulated polluted dust samples in each  
24 season. Polluted dust also affects the environment of the TP. Nevertheless, the effect  
25 of polluted dust is not as significant as dust over the TP. High occurrence of polluted  
26 dust is observed over the northern Indian peninsula, except in summer possibly due to  
27 large precipitation. The occurrence of polluted dust is higher than dust over the  
28 northern Indian peninsula except in spring. The polluted dust is confined to the lower  
29 5 km of the atmosphere over the northern Indian peninsula in spring and summer,

1 while polluted dust is concentrated at a height of less than 4 km in autumn and winter.  
2 The maximum height seems to be crucial to the long-range transport of polluted dust,  
3 considering that it is comparable to or lower than the altitude of the TP. Polluted dust  
4 cannot be transported onto the TP, when its maximum height is lower than the  
5 southern edge. Polluted dust also occurs to the north of the TP, but the effect is not  
6 obvious. Polluted dust decrease dramatically on-plateau relative to off-plateau regions.  
7 Only a small amount of polluted dust can be detected over the TP, and the number of  
8 polluted dust samples is a bit larger in spring and summer than in autumn and winter.  
9 The polluted dust layer also exhibits a relatively greater thickness over the TP in  
10 spring and summer. Differences of occurrence between the northern and southern part  
11 of TP also seem to be unapparent. Polluted dust rarely occur in autumn and winter,  
12 especially along the longitudinal cross section of 80~85 °E, 85~90 °E and 90~95 °E.

13 Figure 6 shows latitudinal transects of accumulated polluted continental samples in  
14 each season. Much less polluted continental samples are detected relative to dust or  
15 polluted dust over the study regions. This result does not mean that there is less urban  
16 air pollution in essence. Urban pollution mixed with dust is classified as polluted dust.  
17 Polluted continental aerosols seem to happen more frequently in autumn and winter  
18 over the northern Indian peninsula. Polluted continental aerosols hardly have any  
19 impact on the TP in each season.

20 Figure 7 shows latitudinal transects of accumulated smoke samples in each season.  
21 Smoke consisting of soot and OC can be transported to the main body of the TP.  
22 More smoke samples are detected over the Indo-Gangetic Plains rather than the areas  
23 north of the TP. The altitude of smoke aerosol layer is higher in summer than other  
24 seasons over Indo-Gangetic Basin. Although the heavy summer rains remove a large  
25 amount of soluble gases and aerosols, less soluble species can be lifted to the upper  
26 troposphere in deep convective clouds and then be transported away from  
27 Indo-Gangetic Plains by strong upper tropospheric winds. In spring, few smoke  
28 samples are detected above the TP, and do not show a continuous vertical distribution.  
29 This indicates smoke released during big fires can be occasionally uplifted above the

1 atmospheric boundary layer and further transported to the TP. Detected smoke  
2 samples increase lightly over the TP during summer, and they are also not continuous  
3 in column. Although smoke does not occur during summer as frequently as autumn or  
4 winter over the northern Indian peninsula, strong southerly winds blow towards the  
5 TP only during summer. Smoke can even suspend at an altitude of 12 km over the TP  
6 in summer. Detected smoke samples are a bit higher in the central TP in summer,  
7 which may be due to local emission. Detected smoke samples decrease again in  
8 autumn, and smoke usually occurs less than 7 km over the TP. Smoke occurrence is a  
9 bit higher in the southern part of the TP than the northern part in summer and autumn.  
10 Smoke appears to be more likely transported from the northern Indian peninsula to the  
11 TP in summer and autumn, because higher occurrence of smoke is shown in the  
12 adjacent regions of the southern edge. No smoke samples are detected over the TP in  
13 winter.

14 In summary, pure dust is found to be the main aerosol type above the TP, and dust  
15 mixed with pollutions or smoke occasionally occurs. Smoke only has a little effect on  
16 the TP in summer, while urban pollutions do not contaminate the environment of the  
17 TP individually. Much less aerosols are detected on-plateau than off-plateau, which  
18 indicates the TP acts as a natural barrier. Dust and polluted dust exhibit more  
19 thickness in spring and summer above the TP. The bulk of dust is concentrated at a  
20 height of less than 7 km during spring and summer. Different dust occurrences  
21 between the northern and southern TP can be found clearly in spring and summer. No  
22 significant differences are found in the occurrences of polluted dust, smoke or  
23 polluted continental aerosols over the northern and southern TP. Smoke aerosols may  
24 be more likely to come from the northern Indian peninsula in summer.

### 25 **3.4 Possible factors contributing to the aerosol distribution pattern**

26 The TP has quite pristine atmospheric conditions, that is to say, few aerosols come  
27 from local contributions. Zhang et al. (2015) showed local emissions contributed only  
28 a small percentage of BC in the Himalayas and Tibetan Plateau. There are no obvious

1 sources of biomass burning on the TP (Mouillot and Field, 2005). As the most  
2 prominent aerosol type on the TP, airborne dust on the TP mostly comes from the  
3 surrounding regions. Negative or significant negative relationship between AOD and  
4 wind speed was found over most regions of the TP based on the previous results (Ge  
5 et al., 2014). Therefore, long-range transport of aerosols primarily impacts the  
6 atmospheric environment over the TP, and this study is not focused on the  
7 contribution of inner sources on the TP. The aerosol load has significant seasonal  
8 variations. Interestingly, the pattern of seasonal variations over the northern TP is  
9 different to that of the southern part: this could be due to many factors, including the  
10 emission sources, high altitude terrain and atmospheric circulation.

11 Much higher aerosol loads are observed over the surrounding regions of the TP. AOD  
12 peaks during spring and summer over Tarim Basin. Strong anticyclonic wind anomaly  
13 at 500 hPa and enhanced easterly wind at 850 hPa over the Tarim Basin during spring  
14 and summer are good for dust entrainment, vertical lofting, and horizontal transport  
15 (Ge et al., 2014). Indo-Gangetic basin, encompassing most of northern India  
16 peninsula, extends from Pakistan in the west to Bangladesh in the east. Indo-Gangetic  
17 basin is one of the most heavily populated regions of the world. There are a large  
18 quantity of emissions of biomass burning and fossil fuel over South Asia, where is  
19 adjacent to the TP (Ramanathan et al., 2005). AOD over the Indo-Gangetic basin can  
20 reach extremely high values throughout the year, peaking during spring and summer  
21 due to enhanced emission of natural aerosols (Dey and Di Girolamo, 2010).  
22 Furthermore, aerosols layers exist above the TP over the northern Indian peninsula  
23 and Tarim Basin during spring and summer. Dust and polluted dust layers exhibit a  
24 relatively greater thickness over the regions north of the TP than the regions south of  
25 the TP during spring and summer. The aerosol concentrations and the heights of  
26 aerosol layers over the surrounding regions have great influences on the transport of  
27 aerosols.

28 The high terrain acts as a natural barrier for the transport of atmospheric aerosols from  
29 the surrounding polluted regions to the main body of the TP due to its topographic

1 characteristics. Therefore, AOD over the TP is much lower than that of surrounding  
2 regions, such as the Taklimakan desert and Indo-Gangetic Plains. The aerosol  
3 distributions are impacted by the mountain ranges on the TP. The high aerosol load  
4 occurring over the northern part seems to be associated with lower altitudes, and a  
5 relatively low aerosol load along the southern edge seems to be associated with the  
6 higher altitudes. Aerosol layer firstly needs to be present higher than the TP elevation,  
7 which is a requirement for aerosol transport to the TP. The southern edge is much  
8 higher than the northern edge of the TP. The transport of aerosols from north of the  
9 TP seems easier rather than south of the TP. Aerosols may only pass through alpine  
10 valleys along the Himalayas to intrude into the TP, while a broader northeastern edge  
11 - especially the Qaidam Basin - seems to provide transmission channels. When  
12 aerosols pass across the northern edge, the major natural obstacles they encounter are  
13 several mountains. The Kunlun and the Tangua mountains act as the barriers to block  
14 aerosols. It is then difficult for aerosols to spread further southward. The Gangdise  
15 and the Nyainqentanglha mountains are located around 30~31 °N on the southern TP.  
16 These mountains possibly act as a natural barrier for aerosols passing across the  
17 southern edge, and curb the spread of pollution further northward to the main body of  
18 the TP. The demarcation extending to 6~8 km seems to exist only in dust aerosols,  
19 while it is not apparent in other types of aerosols due to their small amounts. The high  
20 altitude terrain located around 33~35 °N in the middle of the plateau appears to be  
21 geographically identical to this natural demarcation. Not only does the whole TP  
22 block the atmospheric aerosols, but also the extreme high mountains on the TP cause  
23 an obstruction to the transport of aerosols.

24 Atmospheric circulation also greatly impacts the seasonal aerosol variations. Figure 8  
25 shows the annual average vertical wind fields, and Figure 9 shows wind vector field at  
26 500 hPa. A longitude of 95 °E, corresponding to the Qaidam Basin, is chosen to  
27 analyze the vertical atmospheric circulations. During the spring period, the northern  
28 air flows and southern air flows intersect at about 31~32 °N over the TP. Aerosols  
29 above the TP are mostly from the northern side of the TP in spring, and aerosols

1 originating from the Indo-Gangetic Basin may only affect south of 31~32°N on the  
2 TP. Northwesterly and westerly winds at 500 hPa prevail over the Indo-Gangetic  
3 Plains during spring. Although high aerosol load occurs and aerosol layer exists at 5  
4 km during spring, this atmospheric circulation does not benefit to the transport of air  
5 pollutants from the northern India to the TP. Kuhlmann and Quaas (2010) reported  
6 that around 40% of elevated desert dust or polluted dust from Iran and Pakistan can be  
7 advected towards the southern slope of TP. During summer, the airflows originating  
8 from both the northern and southern sides of the TP carry aerosols to the main body of  
9 the TP. The Indo-Gangetic Basin is dominated by a cyclonic circulation system at 500  
10 hPa. In addition, vertical circulation indicates strong updrafts below 200 hPa to the  
11 south of the TP. Updrafts are also shown on the whole TP. With the summer monsoon  
12 developing, the southwesterly winds at 500 hPa reach the northward maximum extent  
13 over the TP. Furthermore, the northern atmospheric circulation system and south  
14 Asian monsoonal system meet around 34~35°N in the middle of the TP. The  
15 atmospheric circulation promotes the transport of aerosols from the Tarim Basin,  
16 Qaidam Basin and Indo-Gangetic Basin to the main body of the TP. It might be  
17 reasonably deduced that atmospheric circulation possibly leads to the formation of  
18 different seasonal variation pattern of aerosols between the northern and southern TP.  
19 Dust particles coated by pollution acids can provide the predominant source of cloud  
20 condensation nuclei (Ma et al., 2010). Urban emissions consisting of hygroscopic  
21 compounds could be deposited by heavy precipitation and reduce substantially over  
22 the northern Indian peninsula. Some less soluble species can be lifted to the upper  
23 troposphere in deep convective clouds and then be transported to the TP by strong  
24 upper tropospheric winds. The strong southwesterly winds can provide the dynamical  
25 condition for the transport of aerosols during monsoon season, which leads to the  
26 highest aerosol load occurring in July over the southern TP. The aerosol particles  
27 continue to reduce drastically after passing through the Himalayas Mountains due to  
28 the physical blocking. Consequently, the aerosol loading and occurrences are still  
29 higher in the northern TP than the southern TP during summer. Seasonal variations of



1 atmospheric circulations lead to the relatively higher aerosol loads in spring and  
2 summer on the TP. During autumn, southwesterly winds mainly prevail south of  
3 31~32°N at 500 hPa on the TP with the retreat of monsoon. During the winter period,  
4 wind fields are similar to autumn but with higher wind velocities. Westerly winds at  
5 500 hPa gradually prevail over the Indo-Gangetic Basin from autumn to winter.  
6 Moreover, our results reveal that the aerosol layer usually exists at an altitude of less  
7 than 4 km over the Indo-Gangetic Basin during autumn and winter. Aerosols  
8 originating from the Indo-Gangetic Basin cannot be lifted to a height higher than the  
9 elevation of the southern edge during autumn and winter. Conversely, some elevated  
10 dust aerosol layers higher than the northern edge of the TP are observed to the north of  
11 the TP during autumn and winter. It is difficult for aerosols transported from  
12 the Indo-Gangetic Basin to the TP during autumn and winter seasons. Elevated dust  
13 aerosols from north of the TP can be possibly transported to the TP coupled with  
14 northward winds.

15

#### 16 **4. Concluding remarks**

17 This study identifies the patterns of aerosol variations over the TP using the 15 year  
18 MISR data. Furthermore, the vertical distributions of dust, polluted dust, polluted  
19 continental and smoke aerosols retrieved by 8 year CALIPSO data are also  
20 investigated over the TP. The possible reasons for the temporal variations and spatial  
21 distributions of aerosols are discussed.

22 The aerosol load exhibits obvious seasonal variations over the investigated regions,  
23 with higher AOD observed during spring and summer. Two different kinds of  
24 seasonal patterns of AOD are observed over the TP. The maximum monthly AOD  
25 over the northern TP occurs in May, while AOD over the southern TP peaks in July.  
26 AOD shows much higher values over the Qaidam Basin than other parts of the TP  
27 throughout the year. Monthly AOD usually shows higher values over the northern TP  
28 than the southern TP. Dust is found to be the major aerosol type above the TP, while

1 polluted dust and smoke aerosols slightly affect the atmospheric environment on the  
2 TP. Therefore, the seasonal variation and spatial pattern of aerosol load are largely  
3 associated with dust occurrence. Both the occurrence and the thickness of airborne  
4 dust also reach maximums over the TP in spring. Dust layer over the TP can reach up  
5 to the upper troposphere and lower stratosphere in spring (altitudes of ~11-12 km),  
6 while the altitude of dust layer is much lower in other seasons. Higher dust occurrence  
7 in the northern TP and lower dust occurrence in the southern TP are observed during  
8 spring and summer. This dividing line is located around 33~35 °N in the middle of the  
9 plateau. In addition, this demarcation extends from the surface to an altitude of 6~8  
10 km. However, this demarcation is not observed in the distributions of other aerosol  
11 types due to their low occurrences.

12 The seasonal variations and distribution characteristics of the aerosol load on the TP  
13 are possibly affected by many factors, including emission sources, the height of  
14 aerosol layer, atmospheric circulation and the topography of the TP. High  
15 concentrations of aerosols exist during spring and summer over the surrounding  
16 regions including Indo-Gangetic Basin and Tarim basin. Furthermore, aerosol layers  
17 exist above the TP elevation during these two seasons. These conditions are favorable  
18 for aerosol transport from the surrounding regions to the TP. Different seasonal  
19 variations over the northern and southern TP are closely associated with atmospheric  
20 circulation system. Atmospheric circulations also greatly control the potential  
21 maximum limit of aerosol transport. However, the actual distribution patterns of  
22 aerosol are not completely consistent with the maximum extent of airflows. The  
23 mountains on the TP may effectively block the transport of aerosols. It is the possible  
24 reason that a dividing line of different dust occurrences between the southern and  
25 northern TP exist in the middle of the TP during spring and summer.

26

## 27 **Acknowledgements**

28 This research was funded by the Chinese Academy of Sciences (XDB03030201), the  
29 National Natural Science Foundation of China (91337212, 41275010), the CMA

1 Special Fund for Scientific Research in the Public Interest (GYHY201406001) and  
2 EU-FP7 projects “CORE-CLIMAX” (313085). We would like to thank the editor and  
3 two anonymous referees for their very valuable comments greatly improving the  
4 paper. The MISR data were obtained from the NASA Langley Research Center  
5 Atmospheric Science Data Center. The CALIPSO data were obtained from the NASA  
6 Langley Research Center Atmospheric Science Data Center. The ERA-interim data  
7 were produced by ECMWF. The first author would like to acknowledge Changgui  
8 Lin, You He and all the other group members for their help in preparing the paper.

9

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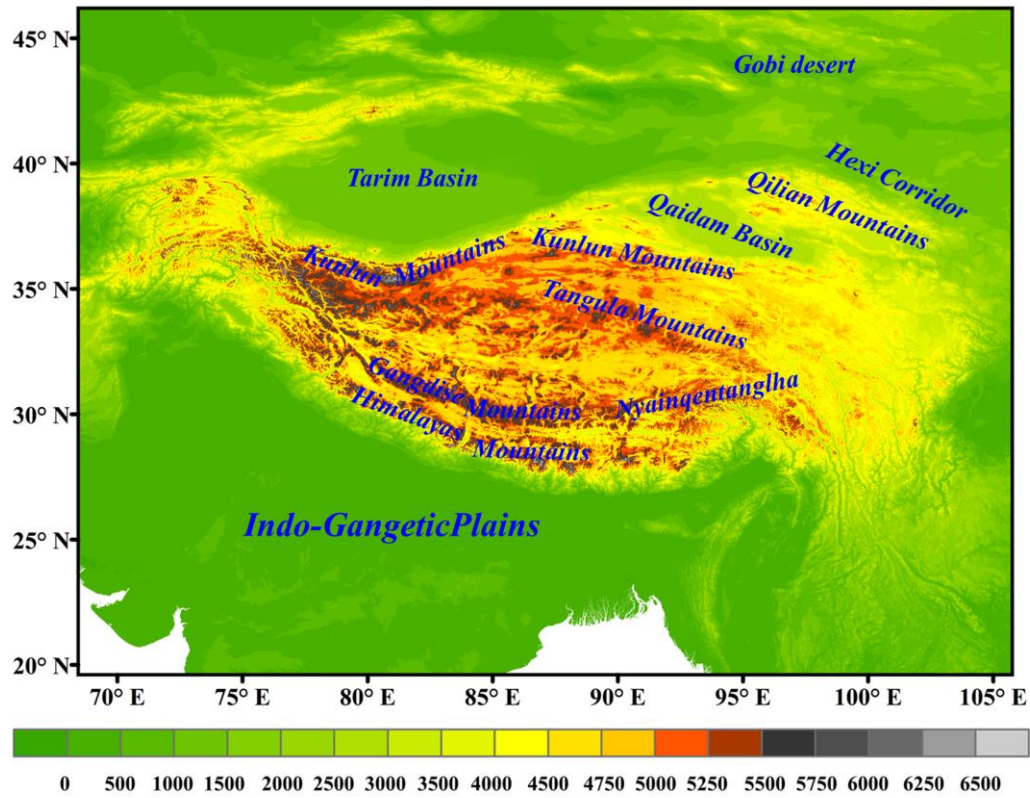
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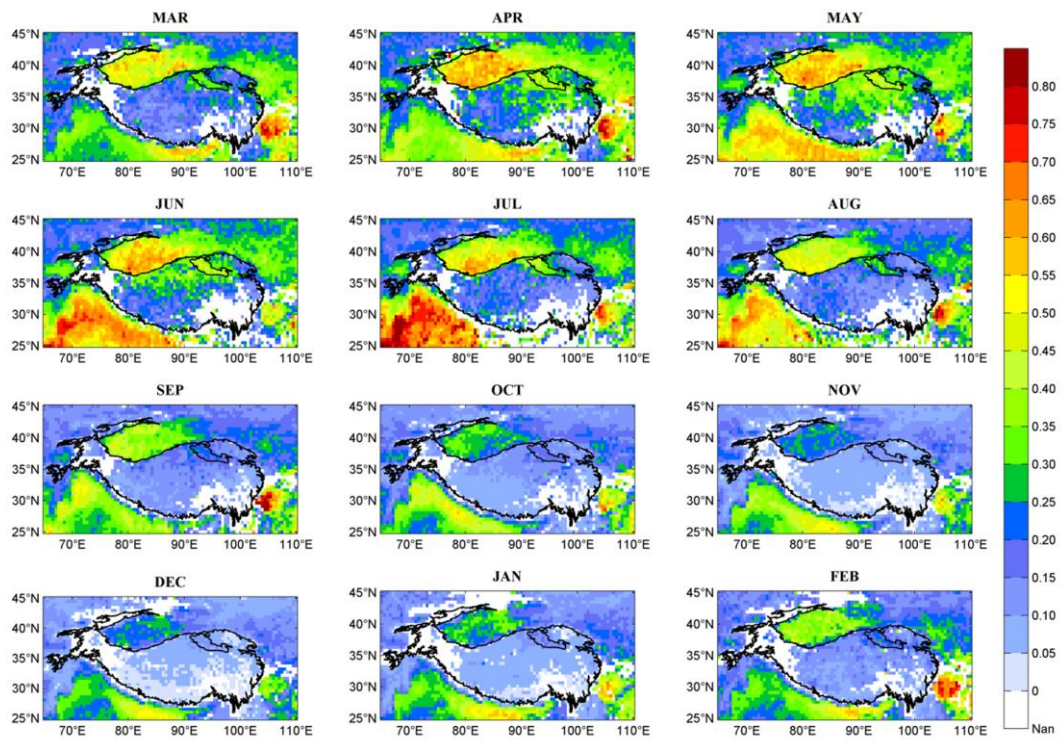
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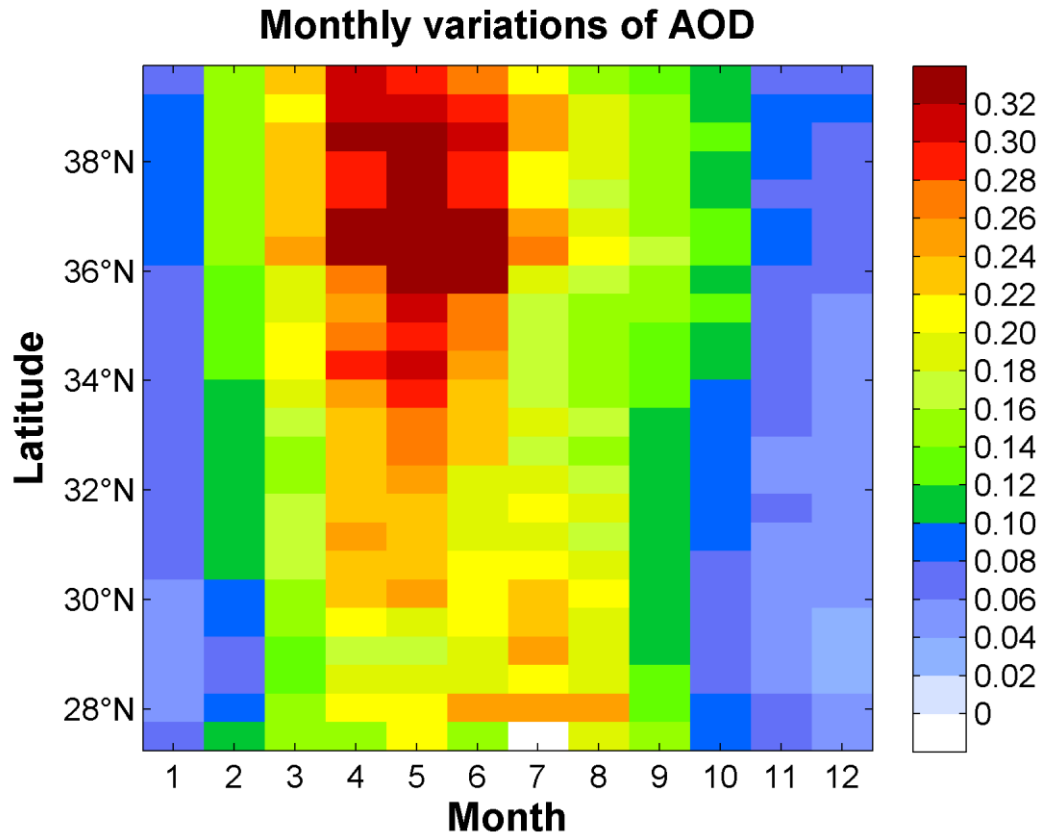
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1  
2 Figure 1. The topography (in meters) of the Tibetan Plateau and main mountain  
3 ranges on the Tibetan Plateau.  
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2 Figure 2. Monthly variations of AOD over the Tibetan Plateau. White shading  
3 indicates insufficient available data.  
4

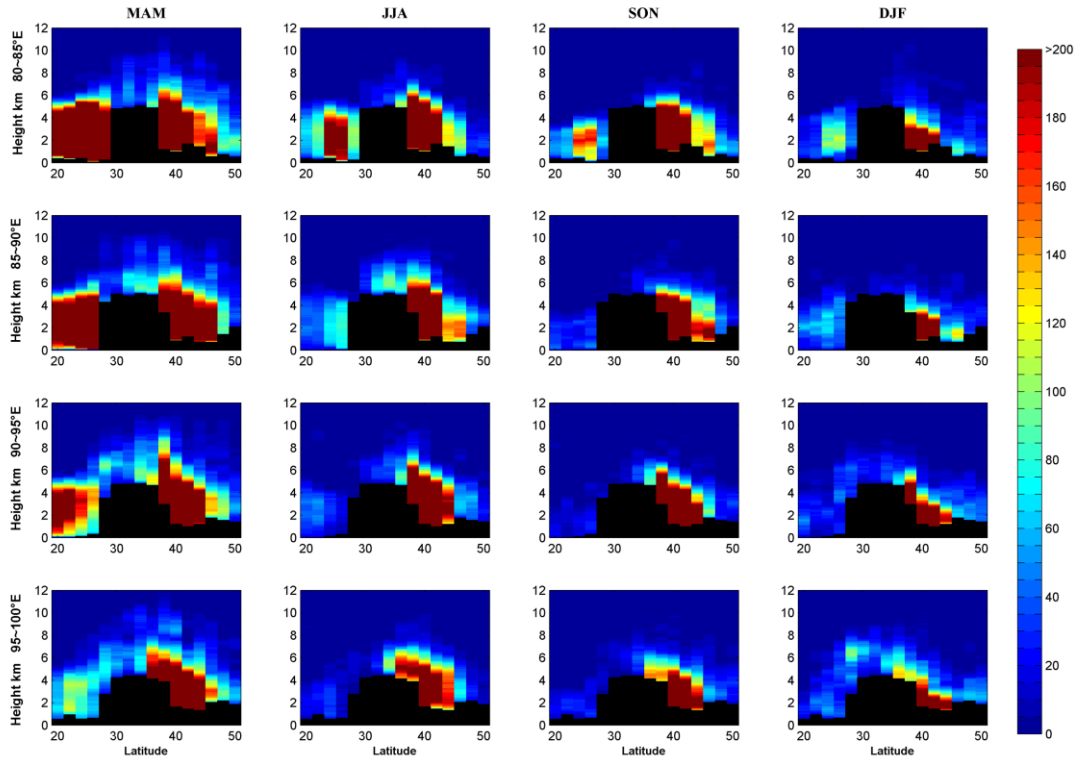


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2 Figure 3. Zonal average of AOD over the Tibetan Plateau in each month. White

3 shading indicates insufficient available data.

4

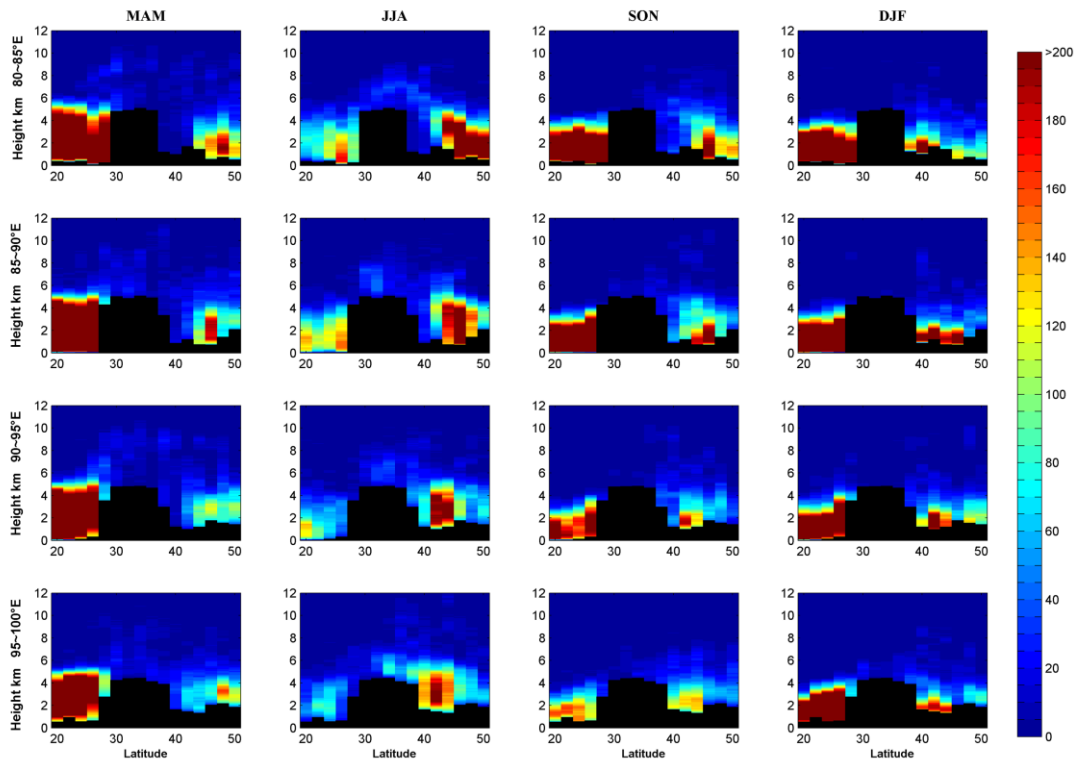


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2 Figure 4. The detected accumulated dust samples for four seasons over the Tibetan  
 3 Plateau and surrounding areas for four longitudinal transects (80~85 °E, 85~90 °E,  
 4 90~95 °E and 95~100 °E). Black areas represent mountain profiles along the transects.  
 5 MAM denotes March to May, JJA denotes June to August, SON denotes September  
 6 to November and DJF denotes December to February in the next year (the following  
 7 season divisions are the same).

8

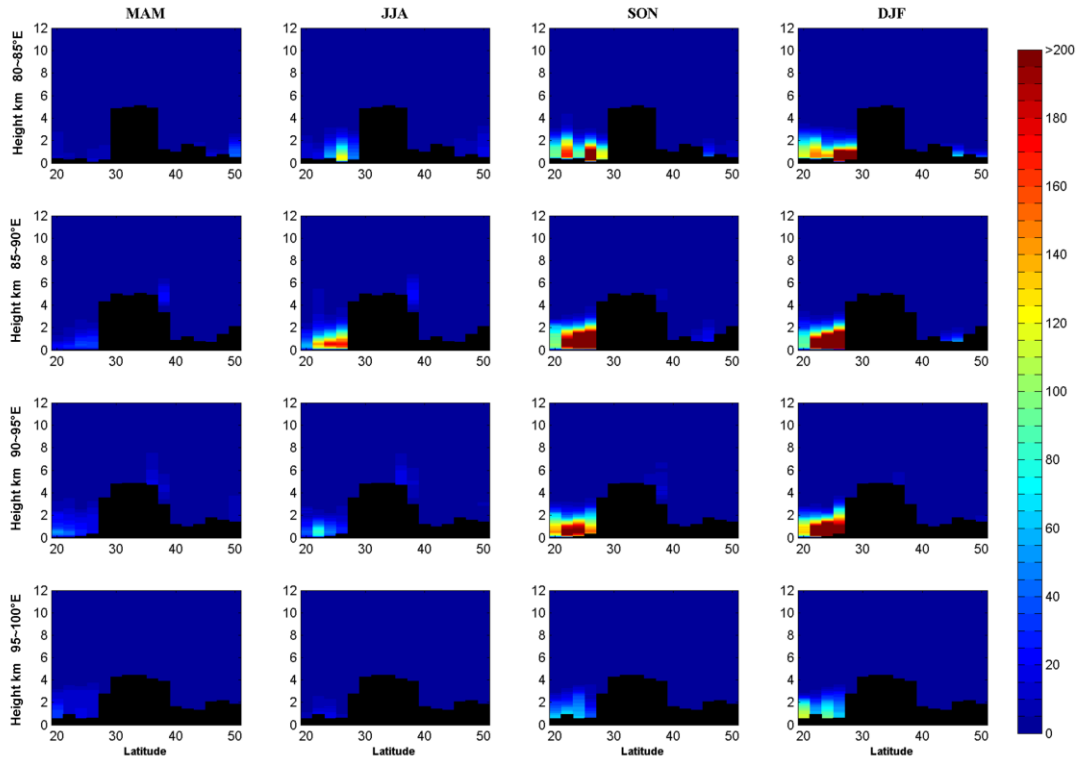




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2 Figure 5. The detected accumulated polluted dust samples for four seasons over the  
 3 Tibetan Plateau and surrounding areas for four longitudinal transects (80~85°E,  
 4 85~90°E, 90~95°E and 95~100°E). Black areas represent mountain profiles along the  
 5 transects.

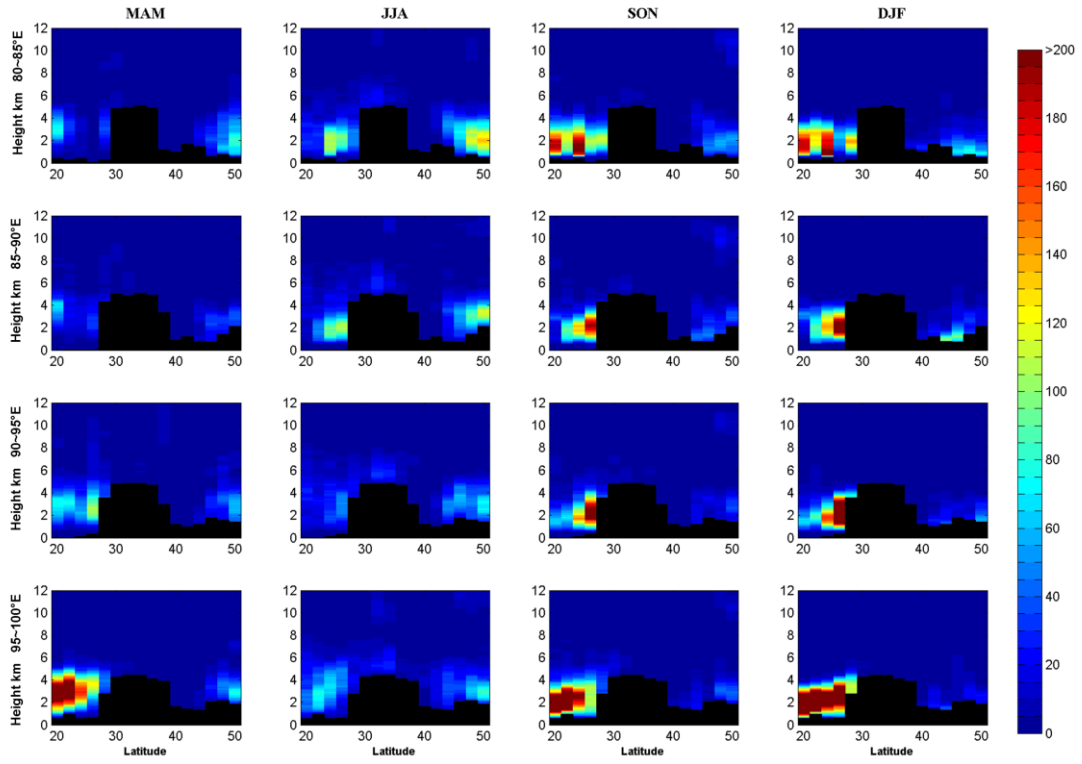
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2 Figure 6. The detected accumulated polluted continental samples for four seasons  
 3 over the Tibetan Plateau and surrounding areas for four longitudinal transects  
 4 (80~85 °E, 85~90 °E, 90~95 °E and 95~100 °E). Black areas represent mountain  
 5 profiles along the transects.

6



1

2 Figure 7. The detected accumulated smoke samples for four seasons over the Tibetan  
 3 Plateau and surrounding areas for four longitudinal transects (80~85 °E, 85~90 °E,  
 4 90~95 °E and 95~100 °E). Black areas represent mountain profiles along the transects.

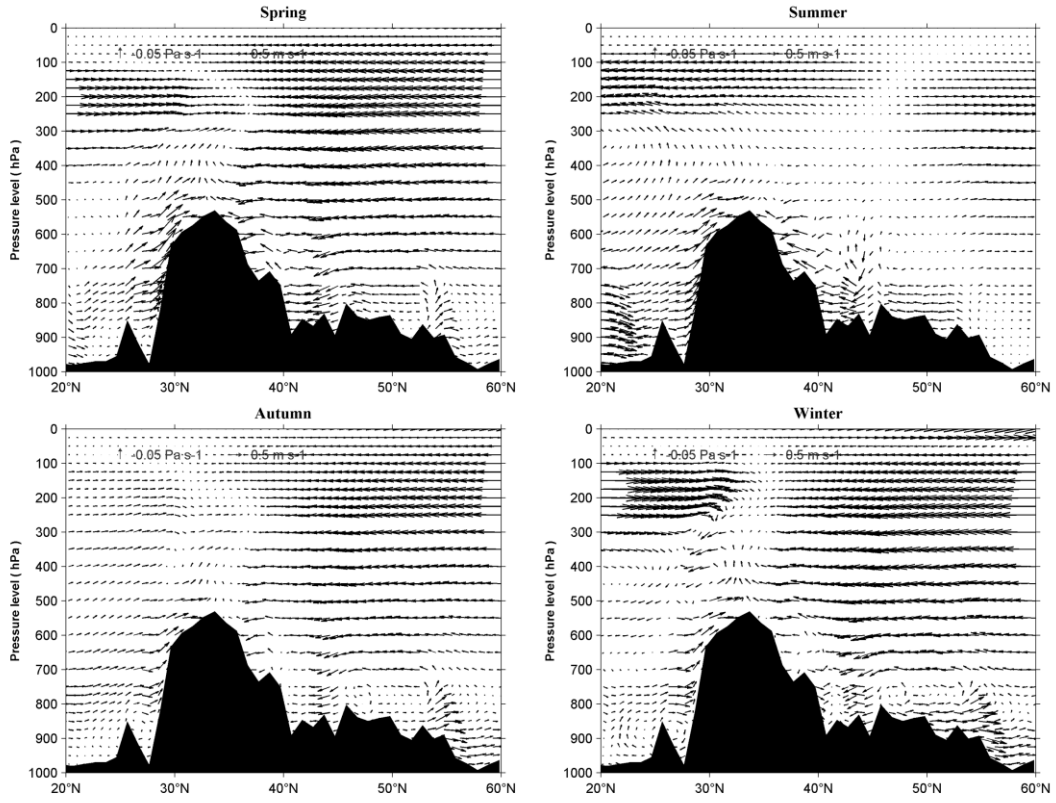
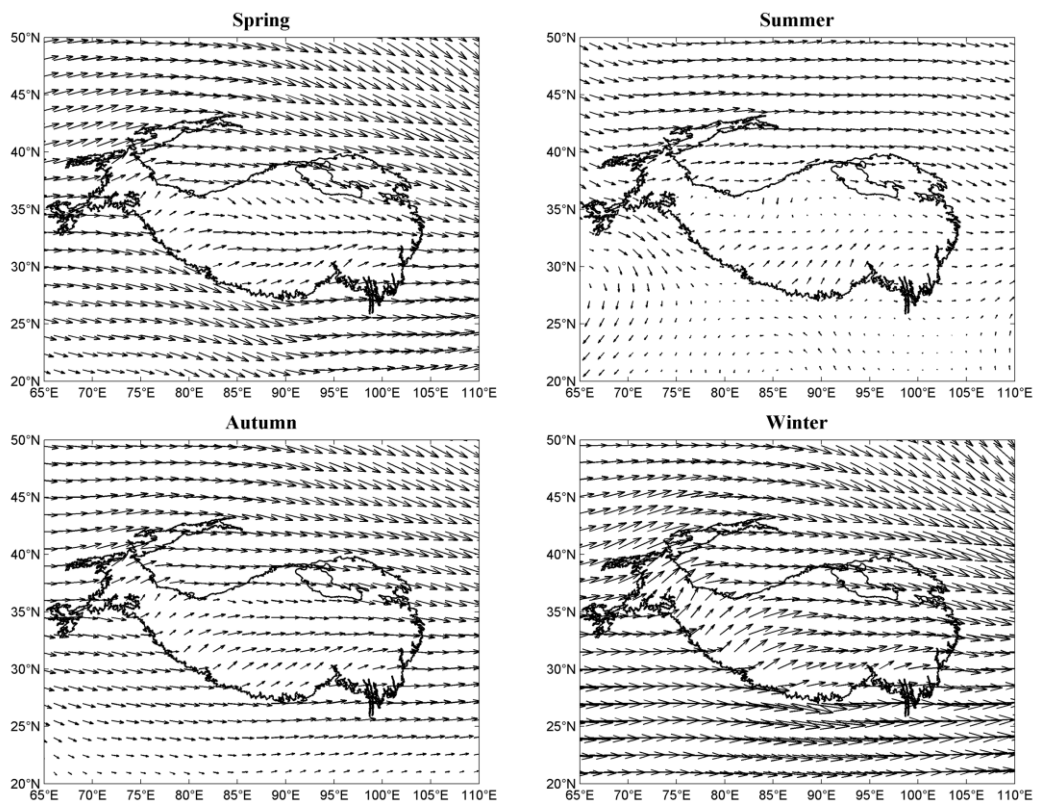


Figure 8. The meridional circulation at 95°E in the four seasons. The black shading shows the altitudes of the Tibetan Plateau at 95°E.



1  
2 Figure 9. Spatial distribution of wind at 500 hPa over the Tibetan Plateau in the four  
3 seasons.