The regional distribution characteristics of aerosol optical depth over the Tibetan Plateau

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14 Abstract

The Tibetan Plateau (TP) is representative of typical clean atmospheric conditions. 15 Aerosol optical depth (AOD) retrieved by Multi-angle Imaging SpectroRadiometer 16 (MISR) is higher over Qaidam Basin than the rest of the TP all the year. Different 17 18 monthly variation patterns of AOD are observed over the southern and northern TP, whereby the aerosol load is usually higher in the northern TP than in the southern part. 19 The aerosol load over the northern part increases from April to June, peaking in May. 20 The maximum concentration of aerosols over the southern TP occurs in July. 21 22 Aerosols appear to be more easily transported to the main body of the TP across the northern edge rather than the southern edge. This is may be partly because the altitude 23 is lower at the northern edge than that of the Himalayas located along the southern 24 edge of the TP. Three-dimensional distributions of dust, polluted dust, polluted 25 26 continental and smoke are also investigated based on Cloud-Aerosol Lidar and

Infrared Pathfinder Satellite Observation (CALIPSO) data. Dust is found to be the 1 most prominent aerosol type on the TP, and other types of aerosols affect the 2 atmospheric environment slightly. A dividing line of higher dust occurrence in the 3 northern TP and lower dust occurrence in the southern TP can be observed clearly at 4 altitude of 6~8 km above sea level, especially in spring and summer. This 5 demarcation appears around 33~35 N in the middle of the plateau, and it is possibly 6 associated with the high altitude terrain in the same geographic location. Comparisons 7 8 of CALIPSO and MISR data show that the vertical dust occurrences are consistent with the spatial patterns of AOD. The different seasonal variation patterns between 9 the northern and southern TP are primarily driven by atmospheric circulation, and are 10 also related to the emission characteristics over the surrounding regions. 11

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13 **1. Introduction**

The Tibetan Plateau (TP), located in central eastern Eurasia, is the most prominent 14 and complex terrain feature on the Earth. It has the world's highest average elevation 15 (about 4,000 m), with some surface features even reaching into the mid-troposphere 16 (Figure 1). The TP is surrounded by several deserts, including Taklimakan Desert in 17 Tarim Basin, Gobi Desert and the deserts in Southwest Asia and Middle East. 18 Indo-Gangetic Plains are located to south of the TP, with high aerosol loading 19 (Gautam et al., 2011). Several mountains are located on the TP, including Himalayas 20 Mountains, Gangdise Mountains, Nyainqentanglha Mountains, Tangula Mountains 21 and Kunlun Mountains. The elevation differences of these mountains are at least 500 22 m and usually 1000 m or even more compared with the surrounding areas. Due to its 23 topographic characteristics, the TP surface absorbs high quantities of solar radiation 24 25 with corresponding impacts on surface heat or water fluxes (Ma et al., 2014a; Ma et al., 2014b). The East Asian monsoon and the eastern part of the South Asian monsoon 26 systems are mainly controlled by the thermal forcing of the TP (Wu et al., 2007; Wu 27 et al., 2012). 28

Many studies have focused on environmental and climate change over the TP (Xu et 1 al., 2009; Ma et al., 2011; Lin et al., 2012; Yao et al., 2012; Sheng et al., 2013), and 2 the TP environment is greatly affected by natural and anthropogenic aerosols from the 3 surrounding regions (Liu et al., 2008b; Bucci et al., 2014; Cong et al., 2015). 4 Therefore, studying tropospheric aerosols and their effects on the TP is of great 5 importance (King et al., 1999; Kaufman et al., 2002; Li et al., 2011). Vernier et al. 6 (2011) reported the presence of an aerosol layer at the tropopause level above Asia 7 8 during the monsoon season. The Taklimakan and Gobi deserts are two major dust sources with long-range transport mainly occurring in spring (Liu et al., 2008a). 9 Summertime Tibetan airborne dust plumes were detected from the Cloud-Aerosol 10 Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite (Huang et 11 al., 2007), and Xia et al. (2008) suggested that the aerosol load in summer over the TP 12 was mainly associated with the Taklimakan desert. Dust above the TP appears to be 13 largely related to source regions to the north and on the eastern part of the TP (Liu et 14 al., 2008b). The impact of aerosols above and around the TP during pre-monsoon 15 16 season was also investigated, however, a strong elevated heating which could influence large-scale monsoonal circulations was not found (Kuhlmann and Quaas, 17 2010). Atmospheric Brown clouds over South Asia resulting from biomass burning 18 and fossil fuel consumption are recognized as serious environmental problem 19 20 (Ramanathan et al., 2005). These carbonaceous aerosols lead to a large reduction of solar radiation at the surface, an increase of solar heating in the atmosphere, and a 21 weaker hydrological cycle (Ramanathan et al., 2001). Anthropogenic emissions from 22 strong pollution events can occasionally be transported to the central TP by prevailing 23 southwesterly winds (Xia et al., 2011). The high altitudes of the Himalayas appear to 24 block most BC particles intruding into the TP, but the Yarlung Tsangpo River valley 25 serves as a 'leak' by which contaminants can reach the southeast TP (Cao et al., 2010). 26 Results from precipitation isotope observations revealed that the northward maximum 27 extent of the southwest monsoon over the Tibetan Plateau is located around 34~35 N 28 29 (Tian et al., 2007). The southern and northern TP are under the control of different

climate systems during the monsoon season (Yao et al., 2013). Some previous studies
 indicated that the southern and northern TP possibly presented different variations in
 aerosol properties, e.g. different temporal variations in dust storm records (Wu et al.,
 2013). However, the mechanisms of those differences still need further study.

Although the aerosol load is relatively low, aerosols over the TP have the unique 5 characteristics. In this study, the seasonal variations and spatial distributions of 6 aerosols over the TP are presented based on the Multi-angle Imaging 7 SpectroRadiometer (MISR) data. The seasonal vertical distributions of dust, polluted 8 9 dust, polluted continental and smoke aerosols are also investigated using the 10 CALIPSO data. This study might indicate a natural demarcation of aerosols between the northern and southern TP exist in the middle of the plateau. Besides that, the 11 spatial patterns of aerosol loading are consistent with the vertical distributions of 12 aerosols. We preliminarily propose the possible mechanisms for the aerosol 13 distributions. 14

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16 2. Data and methodology

The MISR was successfully launched into sun-synchronous polar orbit aboard Terra, 17 18 NASA's first Earth Observing System (EOS) spacecraft, on December 18, 1999. Viewing the sunlit Earth simultaneously at nine widely-spaced angles, MISR provides 19 radio-metrically and geometrically calibrated images in four spectral bands at each of 20 the angles. MISR observes the entire Earth about once per week. The spatial 21 resolution of the operational MISR aerosol retrieval algorithm is 17.6 km \times 17.6 km. 22 The retrieval region has 16×16 subregions, and each subregion covers a 1.1 km $\times 1.1$ 23 km area. One of the key issues for satellite aerosol products is cloud contamination, 24 including MISR data (Kahn et al., 2010). Three cloud-mask products are used in the 25 26 aerosol pre-processing. The MISR Standard Products include three separate 27 MISR-derived cloud Masks: Radiometric Camera-by-camera Cloud Mask (RCCM) (Yang et al., 2007), Stereo-Derived Cloud Mask (SDCM) (Moroney et al., 2002) and 28

Angular-Signature Cloud Mask (ASCM) (Di Girolamo and Wilson, 2003). Based on 1 collocated MISR and Moderate Resolution Imaging Spectroradiometer (MODIS) data, 2 Shi et al. (2014) suggested that cloud contamination existed in both over-water and 3 over-land MISR AOD data, with heavier cloud contamination occurring over the high 4 latitude southern hemispheric oceans. MISR aerosol retrievals have been evaluated by 5 many studies (Martonchik et al., 2004; Kahn, 2005; Witek et al., 2013). The accuracy 6 of MISR AOD was much better than MODIS AOD over land (Abdou et al., 2005). 7 8 Xia et al (2008) made comparisons of MISR AOD with ground-based hazemeter measurements made at Lhasa and Haibei station on the TP, which showed high 9 correlation coefficient and low root mean square error. The Level 3 Aerosol product is 10 a summary of the Level 2 Aerosol product. In this study, daily Level 3 MISR aerosol 11 data from March, 2000 to December, 2014 are used to investigate the aerosol spatial 12 distribution, and the spatial resolution of MISR data is $0.5 \times 0.5 ^{\circ}$. 13

Monthly variations of AOD at 558 nm are analyzed over the TP. If there was only one 14 satellite observation for a month then this grid value in that particular month was 15 excluded for that year, since there would be too few observations to represent the 16 monthly average. The monthly means that represent the aerosol long-term distribution 17 are calculated by averaging the observation data for that month in each year. To 18 analyze aerosol zonal average, only aerosol retrievals over the TP (27 N~40 N, 19 75 °E~105 °E) at elevations higher than 3000 m are used. In this study, the northern 20 part of the TP is defined as the region north of 33~34 N, and the southern part of the 21 22 TP is defined as the region south of $33 \sim 34$ N. The reason for selecting $33 \sim 34$ N is based on the distributions of aerosols, which appear to show different patterns to the 23 north and south of this latitude. 24

The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) satellite provides new insight into clouds and atmospheric aerosols (Winker et al., 2007; Winker et al., 2010). The CALIPSO satellite was launched into a Sunsynchronous orbit on 28 April 2006, with a 16-day repeating cycle. The lidar level 3 aerosol product is a quality screened aggregation of level 2 aerosol profile data. A

series of filers are designed to eliminate samples and layers that were detected or 1 classified with very low confidence or that have untrustworthy extinction retrievals 2 (Winker et al., 2013). The CALIPSO version 1.00 and version 1.30 level 3 aerosol 3 profile data from March, 2007 to February, 2015 are used in this study. Nighttime 4 all-sky data are used, because the instrument is more sensitive during nighttime than 5 daytime without solar background illumination (Winker et al., 2013). The spatial 6 resolution of level 3 data is 5 \times 2 °(longitude-latitude), and the vertical resolution is 60 7 8 m observed from -0.5 km to 12 km above sea level (a.s.l., the altitude below is a.s.l. without a specific instruction) in the troposphere. The primary variable of aerosol type 9 is used, and this variable counts the number of aerosol samples having each aerosol 10 type for each latitude/longitude/altitude grid cell. Six aerosol types including clean 11 marine, dust, polluted continental, clean continental, polluted dust, and smoke are 12 classified. From the data product descriptions, the composition of six aerosol types 13 can be known. Clean marine is a hygroscopic aerosol that consists primarily of 14 sea-salt (NaCl). Dust is mostly mineral soil. Polluted continental is background 15 16 aerosol with a substantial fraction of urban pollution. Clean continental is a lightly loaded aerosol consisting of sulfates (SO_4^{2-}) , nitrates (NO_3^{-}) , organic carbon (OC) or 17 Ammonium (NH₄⁺). Polluted dust is a mixture of desert dust and smoke or urban 18 pollution. Smoke aerosol consists primarily of soot and OC. Mixtures of two aerosol 19 20 types are not assigned to one aerosol sample. Dust, polluted dust, polluted continental and smoke possibly influence the TP (Kuhlmann and Quaas, 2010). The aerosol 21 samples for these major types in each season were calculated by accumulating the 22 detected samples for the season in each latitude/longitude/altitude grid cell. The 23 24 multiyear aerosol samples for each season are obtained by averaging across multiple years. The classification algorithms use the integrated attenuated backscatter 25 measurements, the volume depolarization ratio measurements, surface type and layer 26 altitude to determine aerosol type (Omar et al., 2009). The similarity of the optical 27 28 properties between polluted continental and smoke aerosols makes the classification 29 of these two aerosol types difficult (Omar et al., 2009). Mielonen et al. (2009) made

comparisons of Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) level 2 1 aerosol types and those derived from Aerosol Robotic Network (AERONET) 2 inversion data. The results revealed the greatest agreement for the dust type (91 %), 3 moderate agreement for the polluted dust type (53 %), and poorer agreement for 4 smoke (37 %) and for polluted and clean continental combined (22 %). Burton et al. 5 (2013) made comparisons of aerosol types between CALIPSO and airborne High 6 Spectral Resolution Lidar, which showed the best agreement for desert dust (80 %) 7 8 and marine aerosols (62 %), moderate agreement for the polluted continental aerosols (53 %), but relatively poor agreement for polluted dust (35 %) and smoke (13 %). 9 Although previous studies showed different results of quantitative validations, these 10 researches indicated the classifications for dust aerosols were reliable. Moreover, it is 11 necessary to state that the classifications of smoke aerosols presented here are subject 12 to large uncertainty. There are uncertainties associated with incorrect aerosol type 13 classification, and these uncertainties further affect aerosol extinction. Aerosol 14 extinction coefficients are not analyzed due to too low values with high uncertainties 15 16 over the TP. In this study, four common seasons are defined, respectively, as March to May (spring), June to August (summer), September to November (autumn) and 17 December to February in the next year (winter). 18

The meridional circulations are examined using ERA-interim monthly mean 19 reanalysis data, produced by the European Centre for Medium-Range Weather 20 Forecasts (ECMWF). The spatial resolution of ERA-interim reanalysis data is 21 22 0.75 °×0.75 °, and the vertical layers at 32 different pressure levels are used (including 1000 hPa, 975 hPa, 950 hPa, 925 hPa, 900 hPa, 875 hPa, 850 hPa, 825 hPa, 800 hPa, 23 775 hPa, 750 hPa, 700 hPa, 650 hPa, 600 hPa, 550 hPa, 500 hPa, 450 hPa, 400 hPa, 24 350 hPa, 300 hPa, 250 hPa, 225 hPa, 200 hPa, 175 hPa, 150 hPa, 125 hPa, 100 hPa, 25 26 70 hPa, 50 hPa, 30 hPa, 20 hPa, 10 hPa). Data are analyzed from March 2000 to February 2014. 27

1 3. Results and analysis

2 3.1 Aerosol distribution characteristics

Monthly variations of AOD over the Tibetan Plateau are shown in Figure 2. Seasonal 3 variations of AOD are significant. The monthly average of AOD for the ~15 years 4 study period was less than ~0.50 over the whole TP in spring and summer, but less 5 than 0.25 in autumn and winter. The highest AOD is shown over the Qaidam Basin on 6 the TP in each month. Frequent dust storms mainly lead to the high AOD (Zhang et 7 al., 2003; Wang et al., 2004). Human activities, including such as fossil fuel 8 combustion and industrial emissions over the Qaidam Basin, also contribute to the 9 10 increasing aerosol concentrations to some extent (Streets et al., 2003; Zhang et al., 2009; Liu et al., 2015). The aerosol load increases gradually from March to May over 11 12 the northern part of the TP, while it decreases from June to August. The areas with higher aerosol loads (>0.25) expand gradually in April and reach their maximum 13 14 extent in May, which indicates AOD is highest in May. AOD is higher to the north of 33~34 N than to the south all the year. The east-west trending mountains on the TP 15 seem to act as a major natural barrier for the transport of atmospheric aerosols from 16 north to south. The aerosol load increases slightly to the south of 30 N in summer. 17 The aerosol load over the southern TP may be associated with the Indo-Gangetic 18 Plains. A possible explanation of this phenomenon may be the peaks in anthropogenic 19 emissions in the Indo-Gangetic Plains during summer coupled with suitable 20 atmospheric circulation. Alpine valleys along the Himalayas (e.g. the Pulan valley in 21 the western Himalayas and the Yadong valley in the middle Himalayas) may act as 22 channels along which aerosols can be transported into the southern part of the TP 23 during the monsoon season. In autumn and winter, AOD over the whole TP is mostly 24 lower than 0.20, except in the Qaidam Basin. AOD even decreases below 0.10 over 25 26 most regions of the TP from November to January. Unfortunately, AOD over the 27 southeast TP cannot always be determined in each season due to thick cloud.

In summary, AOD is usually higher over the Qaidam Basin than over the other parts
 of the TP, throughout the year. Obvious seasonal variations of AOD are observed
 over the TP. Dust or anthropogenic emissions may pass through the northern edge of
 the TP, especially the Qaidam Basin, to intrude into the TP.

5 3.2 Zonal variations in aerosol properties over the TP

6 Although the aerosol load is quite low over the TP, the zonal distribution pattern can be seen clearly. Figure 3 shows the zonal monthly means of AOD for a period of over 7 fifteen years. Monthly variations in the northern TP are different from those in the 8 southern TP. The aerosol load is relatively high over the northern TP during April to 9 10 June, while it is high over the southern TP during June to August. Single monthly peak occurs over both the northern and southern TP. The monthly peak is observed in 11 12 May and July, respectively. Moreover, the monthly zonal peak of AOD in the northern TP is about 1.5 times that in the southern part. Previous studies showed 13 14 similar results based on surface observations (Gobbi et al., 2010; Xu et al., 2014). The zonal means over the whole TP are even below 0.10 during November to January. 15

Overall, AOD shows clear zonal distributions. Different monthly variation pattern of AOD is shown in the northern part and southern part of TP. The maximum aerosol concentration is observed during April to June to the region north of 33~34 N, with maximum levels in May. Aerosols can be clearly transported to the south of about 30 N over the TP, with maximum levels in July.

21 3.3 Vertical distributions of aerosols

Dust, polluted dust, polluted continental and smoke retrieved by CALIPSO are the major aerosol types, possibly influencing the environment over the TP. The seasonal vertical distributions of these aerosol types are discussed along the latitudinal transects. The regions from 80 E to 100 E covering most of the TP are selected to represent aerosol three-dimensional distributions.

Figure 4 shows latitudinal transects of accumulated dust samples in each season. The 1 features of aerosol three-dimensional distributions can be concluded to seasonal 2 variability and spatial differences. Dust generation and lofting is always active over 3 the Tarim Basin and Hexi Corridor all the year around, while dust occurs frequently 4 over the northern Indian peninsula only in spring. Detected dust over the TP increases 5 significantly in spring and summer, and dust occurs much less frequently in autumn 6 and winter. This phenomenon possibly indicates that dust can be transported into the 7 8 TP in spring and summer, while dust only occurs in Qaidam Basin in autumn and winter. Much less dust is detected on-plateau than off-plateau, which indicates that the 9 TP acts as a large barrier for the transport of dust. Furthermore, dust occurrence 10 decreases from the surface to the high altitude over the TP. Most of Tibetan airborne 11 dust is concentrated at a height of less than 7 km during spring and summer. Obvious 12 differences of detected dust exist between the southern and northern TP in spring and 13 summer. Dust occurs more frequently over the northern part of TP than the southern 14 part. The demarcation between high dust occurrence over the northern TP and 15 16 relatively low dust occurrence over the southern TP is clear along each longitudinal cross section. The demarcation runs east to west across the TP, which appears to be in 17 accord with the distributions of monthly MISR AOD. After climbing the northern 18 edge of the TP, dust reduces substantially. The extreme high mountains over the TP 19 20 include the Kunlun and the Tangula. When dust encounters these major mountains one by one, the detected dust aerosols passing through the mountains decrease 21 gradually along the longitudinal zones from north to south in each season. The dust 22 layer has the greatest depth over all the longitudinal zones in spring, followed by 23 24 summer. In spring, dust layer extends from the surface to 11~12 km over the TP. Dust 25 can be generated and lofted to a similar altitude over the Tarim Basin and Hexi Corridor in spring, whereas dust layer exhibits a lesser thickness and extends to 6~8 26 km over the northern Indian peninsula. Detected aerosol layer even reaches up to 27 28 upper troposphere and lower stratosphere over the TP and the regions north of the TP. 29 Frequent dust activities and little precipitation may be favorable for dust intrusion in

stratosphere. Stratosphere-troposphere exchange is currently a widely studied topic, 1 and aerosols intruding into stratosphere will lead to a negative radiative forcing 2 (Solomon et al., 2011). Previous studies mainly focused on deep fast convective 3 transport of polluted air from the atmospheric boundary layer into the upper 4 troposphere and lower stratosphere during Asian summer monsoon season (Fu et al., 5 2006; Randel et al., 2010). The non-volcanic aerosol layer near the tropopause was 6 detected vertically from 13 to 18 km based on CALIPSO observations during the 7 8 Asian summer monsoon, and AOD here has increased three times since the late 1990s (Vernier, 2015). However, our results suggest the TP and the regions north of the TP 9 may also act as alternative pathways for aerosols from troposphere to stratosphere 10 during the spring period. The mechanisms of spring dust transport from the 11 atmospheric boundary layer into the upper troposphere and lower stratosphere need 12 further rigorous studies and discussions. The demarcation of dust occurrence between 13 the northern and southern TP can be seen clearly from surface to a height of about 14 6~8 km during spring, while it becomes unapparent at high altitudes. Dust layer can 15 16 only extend to the altitudes of 8~10 km over the TP during summer, and the demarcation appears to be obvious at an altitude of less than 7 km. This phenomenon 17 may indicate dust seems to be transported more easily through the northern edge of 18 TP than the southern edge during spring and summer. Dust layer is usually at altitudes 19 20 of less than 8 km over the TP in autumn and winter. Due to low dust occurrence, the differences of detected dust between the northern and southern TP are not obvious in 21 autumn and winter. 22

Figure 5 shows latitudinal transects of accumulated polluted dust samples in each season. Polluted dust also affects the environment of the TP. Nevertheless, the effect of polluted dust is not as significant as dust over the TP. High occurrence of polluted dust is observed over the northern Indian peninsula, except in summer possibly due to large precipitation. The occurrence of polluted dust is higher than dust over the northern Indian peninsula except in spring. The polluted dust is confined to the lower 5 km of the atmosphere over the northern Indian peninsula in spring and summer,

while polluted dust is concentrated at a height of less than 4 km in autumn and winter. 1 The maximum height seems to be crucial to the long-range transport of polluted dust, 2 considering that it is comparable to or lower than the altitude of the TP. Polluted dust 3 cannot be transported onto the TP, when its maximum height is lower than the 4 southern edge. Polluted dust also occurs to the north of the TP, but the effect is not 5 obvious. Polluted dust decrease dramatically on-plateau relative to off-plateau regions. 6 Only a small amount of polluted dust can be detected over the TP, and the number of 7 8 polluted dust samples is a bit larger in spring and summer than in autumn and winter. The polluted dust layer also exhibits a relatively greater thickness over the TP in 9 spring and summer. Differences of occurrence between the northern and southern part 10 of TP also seem to be unapparent. Polluted dust rarely occur in autumn and winter, 11 especially along the longitudinal cross section of 80~85 °E, 85~90 °E and 90~95 °E. 12

Figure 6 shows latitudinal transects of accumulated polluted continental samples in each season. Much less polluted continental samples are detected relative to dust or polluted dust over the study regions. This result does not mean that there is less urban air pollution in essence. Urban pollution mixed with dust is classified as polluted dust. Polluted continental aerosols seem to happen more frequently in autumn and winter over the northern Indian peninsula. Polluted continental aerosols hardly have any impact on the TP in each season.

Figure 7 shows latitudinal transects of accumulated smoke samples in each season. 20 Smoke consisting of soot and OC can be transported to the main body of the TP. 21 More smoke samples are detected over the Indo-Gangetic Plains rather than the areas 22 23 north of the TP. The altitude of smoke aerosol layer is higher in summer than other seasons over Indo-Gangetic Basin. Although the heavy summer rains remove a large 24 amount of soluble gases and aerosols, less soluble species can be lifted to the upper 25 troposphere in deep convective clouds and then be transported away from 26 27 Indo-Gangetic Plains by strong upper tropospheric winds. In spring, few smoke 28 samples are detected above the TP, and do not show a continuous vertical distribution. This indicates smoke released during big fires can be occasionally uplifted above the 29

atmospheric boundary layer and further transported to the TP. Detected smoke 1 samples increase lightly over the TP during summer, and they are also not continuous 2 in column. Although smoke does not occur during summer as frequently as autumn or 3 winter over the northern Indian peninsula, strong southerly winds blow towards the 4 TP only during summer. Smoke can even suspend at an altitude of 12 km over the TP 5 in summer. Detected smoke samples are a bit higher in the central TP in summer, 6 which may be due to local emission. Detected smoke samples decrease again in 7 8 autumn, and smoke usually occurs less than 7 km over the TP. Smoke occurrence is a bit higher in the southern part of the TP than the northern part in summer and autumn. 9 Smoke appears to be more likely transported from the northern Indian peninsula to the 10 TP in summer and autumn, because higher occurrence of smoke is shown in the 11 adjacent regions of the southern edge. No smoke samples are detected over the TP in 12 13 winter.

In summary, pure dust is found to be the main aerosol type above the TP, and dust 14 mixed with pollutions or smoke occasionally occurs. Smoke only has a little effect on 15 the TP in summer, while urban pollutions do not contaminate the environment of the 16 TP individually. Much less aerosols are detected on-plateau than off-plateau, which 17 indicates the TP acts as a natural barrier. Dust and polluted dust exhibit more 18 thickness in spring and summer above the TP. The bulk of dust is concentrated at a 19 height of less than 7 km during spring and summer. Different dust occurrences 20 between the northern and southern TP can be found clearly in spring and summer. No 21 22 significant differences are found in the occurrences of polluted dust, smoke or polluted continental aerosols over the northern and southern TP. Smoke aerosols may 23 be more likely to come from the northern Indian peninsula in summer. 24

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3.4 Possible factors contributing to the aerosol distribution pattern

The TP has quite pristine atmospheric conditions, that is to say, few aerosols come 26 27 from local contributions. Zhang et al. (2015) showed local emissions contributed only 28 a small percentage of BC in the Himalayas and Tibetan Plateau. There are no obvious

sources of biomass burning on the TP (Mouillot and Field, 2005). As the most 1 prominent aerosol type on the TP, airborne dust on the TP mostly comes from the 2 surrounding regions. Negative or significant negative relationship between AOD and 3 wind speed was found over most regions of the TP based on the previous results (Ge 4 et al., 2014). Therefore, long-range transport of aerosols primarily impacts the 5 atmospheric environment over the TP, and this study is not focused on the 6 contribution of inner sources on the TP. The aerosol load has significant seasonal 7 8 variations. Interestingly, the pattern of seasonal variations over the northern TP is 9 different to that of the southern part: this could be due to many factors, including the emission sources, high altitude terrain and atmospheric circulation. 10

Much higher aerosol loads are observed over the surrounding regions of the TP. AOD 11 12 peaks during spring and summer over Tarim Basin. Strong anticyclonic wind anomaly 13 at 500 hPa and enhanced easterly wind at 850 hPa over the Tarim Basin during spring and summer are good for dust entrainment, vertical lofting, and horizontal transport 14 (Ge et al., 2014). Indo-Gangetic basin, encompassing most of northern India 15 peninsula, extends from Pakistan in the west to Bangladesh in the east. Indo-Gangetic 16 basin is one of the most heavily populated regions of the world. There are a large 17 quantity of emissions of biomass burning and fossil fuel over South Asia, where is 18 adjacent to the TP (Ramanathan et al., 2005). AOD over the Indo-Gangetic basin can 19 20 reach extremely high values throughout the year, peaking during spring and summer due to enhanced emission of natural aerosols (Dey and Di Girolamo, 2010). 21 22 Furthermore, aerosols layers exist above the TP over the northern Indian peninsula and Tarim Basin during spring and summer. Dust and polluted dust layers exhibit a 23 relatively greater thickness over the regions north of the TP than the regions south of 24 the TP during spring and summer. The aerosol concentrations and the heights of 25 26 aerosol layers over the surrounding regions have great influences on the transport of aerosols. 27

The high terrain acts as a natural barrier for the transport of atmospheric aerosols from the surrounding polluted regions to the main body of the TP due to its topographic

characteristics. Therefore, AOD over the TP is much lower than that of surrounding 1 regions, such as the Taklimakan desert and Indo-Gangetic Plains. The aerosol 2 distributions are impacted by the mountain ranges on the TP. The high aerosol load 3 occurring over the northern part seems to be associated with lower altitudes, and a 4 relatively low aerosol load along the southern edge seems to be associated with the 5 higher altitudes. Aerosol layer firstly needs to be present higher than the TP elevation, 6 which is a requirement for aerosol transport to the TP. The southern edge is much 7 8 higher than the northern edge of the TP. The transport of aerosols from north of the TP seems easier rather than south of the TP. Aerosols may only pass through alpine 9 valleys along the Himalayas to intrude into the TP, while a broader northeastern edge 10 - especially the Qaidam Basin - seems to provide transmission channels. When 11 aerosols pass across the northern edge, the major natural obstacles they encounter are 12 several mountains. The Kunlun and the Tangula mountains act as the barriers to block 13 aerosols. It is then difficult for aerosols to spread further southward. The Gangdise 14 and the Nyainqentanglha mountains are located around 30~31 N on the southern TP. 15 16 These mountains possibly act as a natural barrier for aerosols passing across the southern edge, and curb the spread of pollution further northward to the main body of 17 the TP. The demarcation extending to 6~8 km seems to exist only in dust aerosols, 18 while it is not apparent in other types of aerosols due to their small amounts. The high 19 20 altitude terrain located around 33~35 N in the middle of the plateau appears to be geographically identical to this natural demarcation. Not only does the whole TP 21 block the atmospheric aerosols, but also the extreme high mountains on the TP cause 22 23 an obstruction to the transport of aerosols.

Atmospheric circulation also greatly impacts the seasonal aerosol variations. Figure 8 shows the annual average vertical wind fields, and Figure 9 shows wind vector field at 500 hPa. A longitude of 95 E, corresponding to the Qaidam Basin, is chosen to analyze the vertical atmospheric circulations. During the spring period, the northern air flows and southern air flows intersect at about 31~32 N over the TP. Aerosols above the TP are mostly from the northern side of the TP in spring, and aerosols

originating from the Indo-Gangetic Basin may only affect south of 31~32 N on the 1 TP. Northwesterly and westerly winds at 500 hPa prevail over the Indo-Gangetic 2 Plains during spring. Although high aerosol load occurs and aerosol layer exists at 5 3 km during spring, this atmospheric circulation does not benefit to the transport of air 4 pollutants from the northern India to the TP. Kuhlmann and Quaas (2010) reported 5 that around 40% of elevated desert dust or polluted dust from Iran and Pakistan can be 6 advected towards the southern slope of TP. During summer, the airflows originating 7 8 from both the northern and southern sides of the TP carry aerosols to the main body of the TP. The Indo-Gangetic Basin is dominated by a cyclonic circulation system at 500 9 hPa. In addition, vertical circulation indicates strong updrafts below 200 hPa to the 10 south of the TP. Updrafts are also shown on the whole TP. With the summer monsoon 11 developing, the southwesterly winds at 500 hPa reach the northward maximum extent 12 over the TP. Furthermore, the northern atmospheric circulation system and south 13 Asian monsoonal system meet around 34~35 N in the middle of the TP. The 14 atmospheric circulation promotes the transport of aerosols from the Tarim Basin, 15 16 Qaidam Basin and Indo-Gangetic Basin to the main body of the TP. It might be reasonably deduced that atmospheric circulation possibly leads to the formation of 17 different seasonal variation pattern of aerosols between the northern and southern TP. 18 Dust particles coated by pollution acids can provide the predominant source of cloud 19 20 condensation nuclei (Ma et al., 2010). Urban emissions consisting of hygroscopic compounds could be deposited by heavy precipitation and reduce substantially over 21 the northern Indian peninsula. Some less soluble species can be lifted to the upper 22 troposphere in deep convective clouds and then be transported to the TP by strong 23 24 upper tropospheric winds. The strong southwesterly winds can provide the dynamical condition for the transport of aerosols during monsoon season, which leads to the 25 highest aerosol load occurring in July over the southern TP. The aerosol particles 26 continue to reduce drastically after passing through the Himalayas Mountains due to 27 the physical blocking. Consequently, the aerosol loading and occurrences are still 28 29 higher in the northern TP than the southern TP during summer. Seasonal variations of

atmospheric circulations lead to the relatively higher aerosol loads in spring and 1 summer on the TP. During autumn, southwesterly winds mainly prevail south of 2 31~32 N at 500 hPa on the TP with the retreat of monsoon. During the winter period, 3 wind fields are similar to autumn but with higher wind velocities. Westerly winds at 4 500 hPa gradually prevail over the Indo-Gangetic Basin from autumn to winter. 5 Moreover, our results reveal that the aerosol layer usually exist at an altitude of less 6 than 4 km over the Indo-Gangetic Basin during autumn and winter. Aerosols 7 8 originating from Indo-Gangetic Basin cannot be lifted to a height higher than the elevation of the southern edge during autumn and winter. Conversely, some elevated 9 dust aerosol layers higher than the northern edge of the TP are observed to north of 10 the TP during autumn and winter. It is difficult for aerosols transported from 11 Indo-Gangetic Basin to the TP during autumn and winter seasons. Elevated dust 12 aerosols from north of the TP can be possibly transported to the TP coupled with 13 northward winds. 14

15

16 **4. Concluding remarks**

This study identifies the patterns of aerosol variations over the TP using the 15 year MISR data. Furthermore, the vertical distributions of dust, polluted dust, polluted continental and smoke aerosols retrieved by 8 year CALIPSO data are also investigated over the TP. The possible reasons for the temporal variations and spatial distributions of aerosols are discussed.

The aerosol load exhibits obvious seasonal variations over the investigated regions, with higher AOD observed during spring and summer. Two different kinds of seasonal patterns of AOD are observed over the TP. The maximum monthly AOD over the northern TP occurs in May, while AOD over the southern TP peaks in July. AOD shows much higher values over Qaidam Basin than other parts of the TP throughout the year. Monthly AOD usually shows higher values over the northern TP, while than the southern TP. Dust is found to be the major aerosol type above the TP, while

polluted dust and smoke aerosols slightly affect the atmospheric environment on the 1 TP. Therefore, the seasonal variation and spatial pattern of aerosol load are largely 2 associated with dust occurrence. Both the occurrence and the thickness of airborne 3 dust also reach maximums over the TP in spring. Dust layer over the TP can reach up 4 to the upper troposphere and lower stratosphere in spring (altitudes of ~11-12 km), 5 while the altitude of dust layer is much lower in other seasons. Higher dust occurrence 6 in the northern TP and lower dust occurrence in the southern TP are observed during 7 8 spring and summer. This dividing line is located around 33~35 N in the middle of the 9 plateau. In addition, this demarcation extends from the surface to an altitude of $6 \sim 8$ km. However, this demarcation is not observed in the distributions of other aerosol 10 types due to their low occurrences. 11

The seasonal variations and distribution characteristics of the aerosol load on the TP 12 13 are possibly affected by many factors, including emission sources, the height of aerosol layer, atmospheric circulation and the topography of the TP. High 14 concentrations of aerosols exist during spring and summer over the surrounding 15 regions including Indo-Gangetic Basin and Tarim basin. Furthermore, aerosol layers 16 exist above the TP elevation during these two seasons. These conditions are favorable 17 for aerosol transport from the surrounding regions to the TP. Different seasonal 18 variations over the northern and southern TP are closely associated with atmospheric 19 20 circulation system. Atmospheric circulations also greatly control the potential 21 maximum limit of aerosol transport. However, the actual distribution patterns of 22 aerosol are not completely consistent with the maximum extent of airflows. The mountains on the TP may effectively block the transport of aerosols. It is the possible 23 24 reason that a dividing line of different dust occurrences between the southern and northern TP exist in the middle of the TP during spring and summer. 25

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Figure 1. The topography (in meters) of the Tibetan Plateau and main mountainranges on the Tibetan Plateau.



Figure 2. Monthly variations of AOD over the Tibetan Plateau. White shadingindicates insufficient available data.



Figure 3. Zonal average of AOD over the Tibetan Plateau in each month. Whiteshading indicates insufficient available data.



Figure 4. The detected accumulated dust samples for four seasons over the Tibetan
Plateau and surrounding areas for four longitudinal transects (80~85 E, 85~90 E,
90~95 E and 95~100 E). Black areas represent mountain profiles along the transects.
MAM denotes March to May, JJA denotes June to August, SON denotes September
to November and DJF denotes December to February in the next year (the following
season divisions are the same).



Figure 5. The detected accumulated polluted dust samples for four seasons over the
Tibetan Plateau and surrounding areas for four longitudinal transects (80~85 E,
85~90 E, 90~95 E and 95~100 E). Black areas represent mountain profiles along the
transects.



Figure 6. The detected accumulated polluted continental samples for four seasons
over the Tibetan Plateau and surrounding areas for four longitudinal transects
(80~85 E, 85~90 E, 90~95 E and 95~100 E). Black areas represent mountain
profiles along the transects.



Figure 7. The detected accumulated smoke samples for four seasons over the Tibetan
Plateau and surrounding areas for four longitudinal transects (80~85 E, 85~90 E,
90~95 E and 95~100 E). Black areas represent mountain profiles along the transects.

1

2 Figure 8. The meridional circulation at 95 \times in the four seasons. The black shading

shows the altitudes of the Tibetan Plateau at 95 \times .

1

2 Figure 9. Spatial distribution of wind at 500 hPa over the Tibetan Plateau in the four

3 seasons.