

1 Neutral atmosphere temperature trends and variability at 90 2 km, 70°N, 19°E, 2003-2014

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4 S. E. Holmen¹²³, C. M. Hall² and M. Tsutsumi⁴⁵

5 [1]{The University Centre in Svalbard, Longyearbyen, Norway}

6 [2]{Tromsø Geophysical Observatory, UiT – The Arctic University of Norway, Tromsø,
7 Norway}

8 [3]{Birkeland Centre for Space Science, Bergen, Norway}

9 [4]{National Institute of Polar Research, Tokyo, Japan}

10 [5]{The Graduate University for Advanced Studies (SOKENDAI), Department of Polar
11 Science, Japan}

12 Correspondence to: S. E. Holmen (siljeh@unis.no)

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14 Abstract

15 Neutral temperatures at 90 km height above Tromsø, Norway, have been determined using
16 ambipolar diffusion coefficients calculated from meteor echo fading times using the
17 Nippon/Norway Tromsø Meteor Radar (NTMR). Daily temperature averages have been
18 calculated from November 2003 to October 2014 and calibrated against temperature
19 measurements from the Microwave Limb Sounder (MLS) on board Aura. Large-scale
20 periodic oscillations ranging from ~9 days to a year were found in the data using Lomb-
21 Scargle periodogram analysis, and these components were used to seasonally de-trend the
22 daily temperature values before assessing trends. Harmonic oscillations found are associated
23 with the large-scale circulation in the middle atmosphere together with planetary and gravity
24 wave activity. The overall temperature change from 2003 to 2014 is $-2.2 \text{ K} \pm 1.0 \text{ K/decade}$,
25 while in summer and winter the change is $-0.3 \text{ K} \pm 3.1 \text{ K/decade}$ and $-11.6 \text{ K} \pm 4.1 \text{ K/decade}$,
26 respectively. The temperature record is at this point too short for incorporating response to
27 solar variability in the trend. How well suited a meteor radar is for estimating neutral
28 temperatures at 90 km using meteor trail echoes is discussed, and physical explanations
29 behind a cooling trend are proposed.

1 1 Introduction

2 Temperature changes in the mesosphere and lower thermosphere (MLT) region due to both
3 natural and anthropogenic variations cannot be assessed without understanding the dynamical,
4 radiative and chemical couplings between the different atmospheric layers. Processes
5 responsible for heating and cooling in the MLT region are many. Absorption of UV by O₃ and
6 O₂ causes heating, while CO₂ causes strong radiative cooling. Planetary waves (PWs) and
7 gravity waves (GWs) break and deposit heat and momentum into the middle atmosphere and
8 influence the mesospheric residual circulation, which is the summer-to-winter circulation in
9 the mesosphere. Also, heat is transported through advection and adiabatic processes.

10 For decades, it has been generally accepted that increased anthropogenic emissions of
11 greenhouse gases are responsible for warming of the lower atmosphere (e.g. Manabe and
12 Wetherald, 1975), and that these emissions are causing the mesosphere and thermosphere to
13 cool (Akmaev and Fomichev, 2000; Roble and Dickinson, 1989). Akmaev and Fomichev
14 (1998) report, using a middle atmospheric model, that if CO₂ concentrations are doubled,
15 temperatures will decrease by about 14 K at the stratopause, by about 10 K in the upper
16 mesosphere and by 40-50 K in the thermosphere. Newer and more sophisticated models
17 include important radiative and dynamical processes as well as interactive chemistries. Some
18 model results indicate a cooling rate near the mesopause less than predicted by Akmaev and
19 Fomichev (1998), while others maintain the negative signal (French and Klekociuk, 2011;
20 Beig, 2011). The thermal response in this region is strongly influenced by changes in
21 dynamics, and some dynamical processes contribute to a warming which counteracts the
22 cooling expected from greenhouse gas emissions (Schmidt et al., 2006).

23 Even though the increasing concentration of greenhouse gases is generally accepted to be the
24 main driver, also other drivers of long-term changes and temperature trends exist, namely
25 stratospheric ozone depletion, long-term changes of solar and geomagnetic activity, secular
26 changes of the Earth's magnetic field, long-term changes of atmospheric circulation and
27 mesospheric water vapour concentration (Laštovička et al., 2012). Dynamics may influence
28 temperatures in the MLT region on time scales of days to months, and investigations of the
29 influence of this variability on averages used for temperature trend assessments are important.
30 The complexity of temperature trends in the MLT region and their causes act as motivation
31 for studying these matters further.

1 In this paper, we investigate trend and variability of temperatures obtained from the NTMR
2 radar, and we also look at summer and winter seasons separately. In Sect. 2, specifications of
3 the NTMR radar are given, and the theory behind the retrieval of temperatures using
4 ambipolar diffusion coefficients from meteor trail echoes is explained. In Sect. 3, the method
5 behind the calibration of NTMR temperatures against Aura MLS temperatures is explained.
6 Section 4 treats trend analysis and analysis of variability and long-period oscillations in
7 temperatures. The theory and underlying assumptions for the method used for determining
8 neutral temperatures from meteor trail echoes and thus how well suited a meteor radar is for
9 estimating such temperatures is discussed in Sect. 5. Also, physical explanations behind
10 change in temperature and observed temperature variability are discussed, as well as
11 comparison with other reports on trends.

12 **2 Instrumentation and data**

13 The Nippon/Tromsø Meteor Radar (NTMR) is located at Ramfjordmoen near Tromsø, at
14 69.58°N , 19.22°E . It is operated 24 hours a day, all year round. Measurements are available
15 for more than 90 % of all days since the radar was first operative in November 2003. The
16 meteor radar consists of one transmitter antenna and five receivers and is operating at 30.25
17 MHz. It detects echoes from ionized trails from meteors, which appear when meteors enter
18 and interact with the Earth's neutral atmosphere in the MLT region. The ionized atoms from
19 the meteors are thermalized, and the resulting trails expand in the radial direction mainly due
20 to ambipolar diffusion, which is diffusion in plasma due to interaction with the electric field.
21 Underdense meteors, which are the ones used in this study, have a plasma frequency that is
22 lower than the frequency of the radar, which makes it possible for the radio wave from the
23 radar to penetrate into the meteor trail and be scattered by each electron.

24 Echoes are detected from a region within a radius of approximately 100 km (horizontal
25 space). The radar typically detects around 10000 echoes a day, of which around 200-600
26 echoes are detected per hour at the peak occurrence height of 90 km. Figure 1 shows the
27 vertical distribution of meteor echoes as a function of height, averaged over the time period
28 2003-2014. The number of echoes detected per day allows for a 30 minute resolution of
29 temperature values. The intra-day periodicity in meteor detections by the NTMR radar is less
30 pronounced than that of lower latitude stations and we do not anticipate tidally-induced bias
31 regarding echo rates at specific tidal phases for daily averages. The height resolution and the

1 range resolution are both 1 km, when looking at altitudes around the peak occurrence height.
2 From the decay time of the radar signal we can derive ambipolar diffusion coefficients, D_a :

$$3 \quad D_a = \frac{\lambda^2}{16\pi^2\tau} \quad (1)$$

4 where λ is the radar wavelength and τ is the radar echo decay time. It has been shown that this
5 coefficient also can be expressed in terms of atmospheric temperature and pressure:

$$6 \quad D_a = 6.39 \times 10^{-2} K_0 \frac{T^2}{p} \quad (2)$$

7 where p is pressure, T is temperature, and K_0 is the zero-field reduced mobility factor of the
8 ions in the trail. In this study we used the value for K_0 of $2.4 \times 10^{-4} \text{ m}^2 \text{ s}^{-1} \text{ V}^{-1}$, in accordance
9 with e.g. Holdsworth et al. (2006). Pressure values were derived from atmospheric densities
10 obtained from falling sphere measurements appropriate for 70°N, combining those of Lübken
11 and von Zahn (1991) and Lübken (1999), previously used by e.g. Holdsworth (2006) and
12 Dyrland et al. (2010). These densities do not take into account long-term solar cycle
13 variations.

14 The NTMR radar is essentially identical to the Nippon/Norway Svalbard Meteor Radar
15 (NSMR) located in Adventdalen on Spitsbergen at 78.33°N, 16.00°E. Further explanation of
16 the radar and explanation of theories can be found in e.g. Hall et al. (2002; 2012), Cervera and
17 Reid (2000) and McKinley (1961).

18 Calibration of temperatures derived from meteor echoes with an independent, coinciding
19 temperature series is necessary, according to previous studies (e.g. Hocking, 1999).
20 Temperatures from the NSMR radar have been derived most recently by Dyrland et al.
21 (2010), employing a new calibration approach for the meteor radar temperatures, wherein
22 temperature measurements from the Microwave Limb Sounder (MLS) on the Aura satellite
23 were used instead of the previously used rotational hydroxyl and potassium lidar temperatures
24 from ground-based optical instruments (Hall et al., 2006). Neither ground-based optical
25 observations nor lidar soundings are available for the time period of interest or the location of
26 the NTMR. In this study we therefore employ the same approach as Dyrland et al. (2010),
27 using Aura MLS temperatures to calibrate the NTMR temperatures.

28 NASA's EOS Aura satellite was launched 15 July 2004 and gives daily global coverage
29 (between 82°S and 82°N) with about 14.5 orbits per day. The MLS instrument is one of four

1 instruments on Aura and samples viewing forward along the spacecraft's flight direction,
2 scanning its view from the ground to ~90 km every ~25 seconds, making measurements of
3 atmospheric temperature, among others (NASA Jet Propulsion Laboratory, 2015).

4 Because of a general cooling of most of the stratosphere and mesosphere the last decades due
5 to e.g. altered concentrations of CO₂ and O₃, the atmosphere has been shrinking, leading to a
6 lowering of pressure surfaces at various altitudes. It is important to distinguish between trends
7 on fixed pressure altitudes and fixed geometric altitudes, since trends on geometric altitudes
8 include the effect of a shrinking atmosphere (Lübken et al., 2013). In this study, we have
9 obtained Aura MLS temperature data (version 3.3) for latitude 69.7°N ± 5.0° and longitude
10 19.0°E ± 10.0° at 90 km geometric altitude.

11 **3 Calibration of NTMR temperatures**

12 Figure 2 shows daily NTMR temperatures from November 2003 to October 2014, derived
13 from Eqs. (1) and (2), plotted together with Aura MLS temperatures. Standard error of the
14 mean is omitted in the plot for better legibility, but typical standard error for daily
15 temperatures is 0.2 - 0.6 K, highest in winter. The Aura satellite overpasses Tromsø at 01-03
16 UTC and 10-12 UTC, which means that the Aura daily averages are representative for these
17 time windows. It was therefore necessary to investigate any bias arising from Aura not
18 measuring throughout the whole day. A way to do this is to assume that Aura temperatures
19 and NTMR temperatures follow the same diurnal variation and thus investigate the diurnal
20 variation of NTMR temperatures. This was done by superposing all NTMR temperatures by
21 time of day, obtaining 48 values for each day, since the radar allows for a 30-minute
22 resolution.

23 There is an ongoing investigation into the possibility that D_a derived by NTMR can be
24 affected by modified electron mobility during auroral particle precipitation. According to
25 Rees et al. (1972), neutral temperatures in the auroral zone show a positive correlation with
26 geomagnetic activity. It is therefore a possibility that apparent D_a enhancements during strong
27 auroral events do not necessarily depict neutral temperature increase. This matter requires
28 further attention.

29 Investigation of possible unrealistic D_a enhancements was carried out by calculating standard
30 errors of estimated half hourly D_a values:

$$1 \quad se = \frac{\sigma}{\sqrt{ne}} \quad (3)$$

2 where σ is standard deviation and ne is the number of echoes detected by the radar. By
3 inspection and comparison of results between one of the authors (MT) and Satonori Nozawa
4 (private comm.), all half hourly D_a values with a standard error larger than 7 % of the
5 estimated D_a value were excluded from further analysis. This rejection criterion led to that 5.4
6 % of the D_a values were rejected. NTMR temperatures after application of the D_a rejection
7 procedure will hereafter be referred to as D_a -rejected NTMR temperatures.

8 Figure 3 shows monthly averages of the superposed values of D_a -rejected NTMR
9 temperatures as a function of time of day for days coinciding with Aura measurements. It is
10 evident from the figure that the lowest temperatures are in general achieved in the forenoon,
11 which coincides with one of the periods per day when Aura MLS makes measurements over
12 Tromsø.

13 Subtracting monthly averages of the 00-24 UTC temperatures from the 01-03 UTC and 10-12
14 UTC temperatures gave the estimated biases in Aura daily means due to only sampling during
15 some hours of the day and are given in Table 1. By judging by the measurement windows,
16 Aura underestimates the daily mean (00-24 UTC) more during winter than during spring and
17 summer. Note the higher standard deviations in spring and summer compared to winter.

18 The initially obtained Aura temperatures were corrected by adding the biases from Table 1 in
19 order to arrive at daily mean temperatures that were representative for the entire day. Also, the
20 Aura temperatures were corrected for “cold bias”. French and Mulligan (2010) report that
21 Aura MLS temperatures exhibit a 10 K cold bias compared with OH*(6-2) nightly
22 temperatures at Davis Station, Antarctica. A newer study by Garcia-Comas et al. (2014)
23 shows that Aura MLS exhibits a bias compared with several satellite instruments which varies
24 with season. According to their findings, a 10 K correction for cold bias was applied to the
25 Aura summer and winter temperatures (Jun – Aug, Dec - Feb), while a 5 K correction was
26 applied to autumn and spring temperatures (Sep – Nov, Mar – May). The corrected Aura
27 temperatures will hereafter be referred to as local time and cold bias-corrected Aura MLS
28 temperatures.

29 Local time and cold bias-corrected Aura temperatures were plotted against D_a -rejected NTMR
30 temperatures, and the linear fit ($R^2 = 0.83$) is described by:

1 $T_{NTMR} = 0.84T_{Aura} + 32$ (4)

2 where T_{NTMR} is D_a -rejected temperature obtained from NTMR, and T_{Aura} is local time and cold
3 bias-corrected temperature from Aura MLS. Inverting Eq. (4) enabled us to estimate NTMR
4 temperatures calibrated with respect to Aura MLS temperatures. NTMR temperatures were
5 now corrected for days of measurements coinciding with Aura measurements and are
6 hereafter referred to as MLS-calibrated NTMR temperatures. For calibration of NTMR
7 temperatures from November 2003 to August 2004 (before the beginning of the Aura MLS
8 dataset), the same equation (Eq. 4) was used, using NTMR D_a -rejected temperatures from
9 November 2003 to August 2004 as input instead of T_{Aura} .

10 To estimate the calibration uncertainty, all local time and cold bias-corrected Aura
11 temperatures were subtracted from the MLS-calibrated NTMR temperatures, and the
12 differences were plotted in a histogram with 5 K bins (not shown here). A Gaussian was fitted
13 to the distribution. The standard deviation of the Gaussian was 11.9 K, which is considered
14 the overall uncertainty of the calibration. Finally, Fig. 4 shows the MLS-calibrated NTMR
15 temperatures with uncertainties plotted together with Aura MLS temperatures, corrected for
16 cold and time-of-day measurement bias.

17 **4 Results**

18 Weatherhead et al. (1998) discuss the effects of autocorrelation and variability on trend
19 estimation and emphasize that changes in environmental variables are often modelled as being
20 a linear change, even though there may be a high degree of periodic variation within the data
21 in addition to the linear trend. A linear trend model assumes that measurements of the variable
22 of interest at time t can be expressed as:

23 $Y_t = \mu + S_t + \omega L_t + N_t$ (5)

24 where μ is a constant term, S_t is a seasonal component, L_t is the linear trend function, ω is the
25 magnitude of the trend and N_t is noise. N_t may be autocorrelated and the result of various
26 natural factors, which give rise to somewhat smoothly varying changes in N_t over time. Such
27 natural factors may not always be known or measurable.

28 Taking this into account, variability of the data was explored before assessing the linear trend
29 of the temperature data. In Sect. 4.1, Lomb-Scargle periodogram analysis is conducted, and
30 periodic components in the data are identified before assessing trend, while in Sect. 4.2 solar

1 cycle dependence is briefly explored, even though the temperature record is too short for this
2 to be incorporated in the trend analysis.

3 **4.1 Estimation of periodic variability and trend**

4 To identify periodic variability, a Lomb-Scargle (LS) periodogram analysis was applied to the
5 MLS-corrected NTMR temperatures (Press and Rybicki, 1989). LS analysis is a modified
6 discrete Fourier transform algorithm suitable for unevenly spaced data. Figure 5 (upper panel)
7 shows the LS periodogram, identifying a particularly strong annual (A) component, but also a
8 semi-annual (A/2) and two sub-annual peaks (A/3 and A/4), significant at the 99 % level.

9 Following the procedure of Niciejewski and Killeen (1995), the daily temperatures were fit to
10 the approximation

$$11 \quad T_{NTMR}(t) = T_0 + \sum_i \left(d_i \sin \frac{2\pi}{p_i} t + e_i \cos \frac{2\pi}{p_i} t \right) + Lt \quad (6)$$

12 where $T_{NTMR}(t)$ is observed daily temperature, T_0 is the average temperature, i is the number of
13 harmonic components found in the LS analysis, d_i and e_i are the amplitudes of the i^{th}
14 harmonic component, p_i is the period of the i^{th} harmonic component and t is the day number. L
15 represents the trend. The average temperature over the 11 year period, T_0 , was found to be
16 189.4 ± 0.6 K.

17 It has been shown that the confidence levels in the periodogram are only strictly valid for the
18 peak with the highest spectral power (Scargle, 1982). Thus, there may be peaks significant at
19 the 95 % level even though they are not noticeable in the periodogram, due to that their
20 variance is overestimated by the presence of the larger peaks. Therefore, after fitting the
21 primary periodic components with significance better than the 99 % level to the NTMR
22 temperatures using Eq. (6), LS analysis was repeated on the temperature residuals to check for
23 additional significant periodic components in the data. Horne and Baliunas (1986) pointed out
24 that the periodogram power needs to be normalized by the total variance of the data in order
25 to obtain spectral peaks with correct magnitude. The variance of the data was therefore
26 adjusted to maintain the correct probability distribution of the periodogram. Figure 5 (lower
27 panel) shows spectral power of harmonics found at better than 95 % significance level of
28 residuals obtained after fitting the sinusoids of the four largest peaks. The apparently
29 significant peaks located near 91, 121, 184 and 363 days, even though these harmonics have

1 been filtered out at this stage, are due to spectral leakage, which means that for a sinusoidal
2 signal at a given frequency, ω_0 , the power in the periodogram not only appears at ω_0 , but also
3 leaks to other nearby frequencies (Scargle, 1982). All periodic components found at better
4 than 95 % significance and their amplitudes are listed in Table 2.

5 The trend was estimated from the approximation in Eq. (6) to be $-2.2 \text{ K} \pm 1.0 \text{ K/decade}$. From
6 Tiao et al. (1990), this trend can be considered significantly non-zero at the 5 % level, since
7 the uncertainty ($2\sigma = 2.0 \text{ K/decade}$) is less than the trend itself. We estimated the number of
8 years for which a trend can be detectable, following the formulation of Weatherhead et al.
9 (1998):

$$10 \quad n^* \approx \left[\frac{3.3\sigma_N}{|\omega_0|} \sqrt{\frac{1+\varphi}{1-\varphi}} \right]^{2/3} \quad (7)$$

11 where n^* is the number of years required, ω_0 is the magnitude of the trend per year, σ_N is the
12 standard deviation of noise N and φ is the autocorrelation function of the noise at lag 1. The
13 value 3.3 corresponds to a 90 % probability that the trend is detectable after n^* years. Solving
14 Eq. (7) reveals that the minimum number of years required for detecting an annual trend of -
15 **0.22 K** is about 17 years.

16 The resulting composite of the least-squares fit is shown in Fig. 6, together with the MLS-
17 corrected NTMR temperatures. We see that the smooth curve represents the variation in the
18 data to a good extent, **but there is still periodicity not accounted for.**

19 In addition to the harmonics listed in Table 2, we found a harmonic of ~ 615 days (see Fig. 5,
20 lower panel), not statistically significant. We also found a ~ 17 day oscillation, significant at
21 the 95 % level (see Fig. 5, lower panel), **but the amplitude of this component was found to be**
22 **0 K**. The 615 day and 17 day periodic components were therefore not incorporated in the
23 composite fit.

24 In Fig. 7, all individual years are superposed by day-of-year. This was done to better visualize
25 the variability of an average year. In addition to the broad maximum in temperatures during
26 winter and the narrower minimum during summer, we see minor peaks just after spring
27 equinox (day-of-year ~ 100) and summer solstice (day-of-year ~ 210), and also a local
28 minimum in early winter. Explanations for the variability will be discussed in Sect. 5.1.

1 In addition to the average temperature change, we also treated summer and winter seasons
2 separately. First, monthly averages of the temperature residuals were calculated and trends for
3 each month were investigated. Figure 8 shows the result. Then, averages of November,
4 December and January, and of May, June and July were made. They were defined as “winter”
5 and “summer”, respectively. The linear winter trend is $-11.6 \text{ K} \pm 4.1 \text{ K/decade}$, and the
6 summer trend is $-0.3 \text{ K} \pm 3.1 \text{ K/decade}$. Solving Eq. (7) for winter and summer trends reveals
7 a minimum length for trend detection of 10.8 years and 63 years, respectively.

8 The trend analysis was also performed without carrying out the D_a rejection procedure
9 explained in Sect. 3. Final results of the trend analysis, both when excluding and including
10 rejection of D_a values due to hypothetical anomalous electrodynamic processes, do not differ
11 significantly. It is reasonable to believe that strong geomagnetic conditions can affect derived
12 temperatures on a short time scale. However, due to the considerable quantity of data
13 employed in this study, it is inconceivable that this effect will change the conclusions
14 regarding trends, as our results also show.

15 4.2 Exploration of solar flux dependence

16 Our dataset covers 11 years of meteor radar temperatures and thus it is shorter than the
17 corresponding solar cycle (which was somewhat longer than the average 11 years). Even
18 though it is premature to apply solar cycle analysis to a time series this short, we will briefly
19 explore and present our temperature data together with solar variability. In this study we use
20 the F10.7 cm flux as a proxy for solar activity, which is the most commonly used index in
21 middle/upper atmospheric temperature trend studies (e.g. Laštovička et al., 2008; Hall et al.,
22 2012).

23 Figure 9 shows yearly values of F10.7 cm plotted against yearly averaged residual
24 temperatures. For clarity, black bullets corresponding to years are connected with lines,
25 making it easier to see the progression from high solar flux to solar minimum and back to
26 solar maximum. We see that, to some extent, there is a conjunction between low solar flux
27 values and negative temperature residuals. For years 2006 – 2010, which were years of solar
28 minimum, temperature residuals were on average negative. For years 2005 and 2011, which
29 were years in between solar maximum and minimum, temperature residuals were close to
30 zero. However, for years with higher F10.7 values the tendency of increasing temperature
31 residuals is less distinct. Ogawa et al. (2014) find a non-linear relationship between upper

1 atmospheric temperatures and solar activity using EISCAT UHF radar observations from 200
2 to 450 km altitude over Tromsø, even though it must be noted that the altitude range they look
3 at differs from ours.

4 **5 Discussion**

5 Statistical significant periodic components found in the temperature data are annual (A) and
6 semi-annual (A/2) oscillations, and 121 (A/3), 91 (A/4), 69 (A/5), 52 (A/7), 46 (A/8), 32 and
7 9 day oscillations. Temperature change from 2003 to 2014 is $-2.2 \text{ K} \pm 1.0 \text{ K/decade}$, and
8 summer and winter trends are $-0.3 \text{ K} \pm 3.1 \text{ K/decade}$ and $-11.6 \text{ K} \pm 4.1 \text{ K/decade}$,
9 respectively. Explanations for the periodic variability will be proposed in Sect. 5.1. In Sect.
10 5.2, physical explanations for the temperature change will be explored, and our results will be
11 compared to other reports on mesospheric trends at high and mid-latitudes. Trends will be
12 discussed in terms of the method used for deriving temperatures in Sect. 5.3.

13 **5.1 Mechanisms for the observed variability and harmonics**

14 The A, A/2, A/3, A/4, A/5, A/7 and A/8 components are also found for OH* temperatures
15 over other mid and high-latitude sites (e.g. Espy and Stegman, 2002; Bittner et al., 2000;
16 French and Burns, 2004). In addition to these components, A/6 and A/9 sub-annual
17 harmonics, as well as other shorter-period components, have been identified in other datasets
18 (e.g. Bittner et al., 2000; French and Burns, 2004).

19 Espy and Stegman (2002) attribute the asymmetry with the broad winter maximum and the
20 narrow summer minimum to the A/2 harmonic, and the temperature enhancements during
21 equinoxes to the A/3 and A/4 harmonics.

22 French and Burns (2004) identify the visible variations of the 52-day (A/7) component in their
23 data from Davis, Antarctica, and find this component's phase to be "locked" to the day-of-
24 year, indicating a seasonal dependence. Espy and Stegman (2002) only find this component as
25 a result of LS analysis of their superposed-epoch data, also indicating that the phase is locked
26 to day-of-year. French and Burns (2002) and Bittner et al. (2000) find in general strong
27 differences from year to year in the significant oscillations observed. We have not carried out
28 analysis of the year-to-year variation in oscillations observed, but considering e.g. the uneven
29 occurrences of SSWs we have no reason to conclude otherwise regarding our data.

1 The ~9 day oscillation we find in our data can most likely be designated to travelling
2 planetary waves, which have typical periods of 1-3 weeks, with 8-10 days as a prominent
3 period (Salby 1981a,b).

4 The ~615 day periodic component (not statistically significant) may at first glance seem to be
5 somehow related to the quasi-biennial oscillation (QBO), which is a system where zonal
6 winds in the lower equatorial stratosphere alternate between westward (easterly) and eastward
7 (westerly) with a mean period of 28-29 months. Also other studies find a ~2 year periodic
8 component in their temperature data, attributed to a QBO effect (Espy and Stegman, 2002;
9 Bittner et al., 2000; French and Burns, 2004 – the two latter give statistically inconclusive
10 results). However, our ~615 day component is quite far from the mean period of the QBO.
11 That, in addition to that it is not significant, makes it difficult to interpret.

12 The higher temperature variability during winter compared to summer is also found in other
13 datasets at mid and high-latitudes (e.g. Espy and Stegman, 2002; Bittner et al., 2000). This
14 feature and the observations of local maxima in temperatures just after spring equinox and in
15 midsummer can be explained by the state of the background wind system in the middle
16 atmosphere and the corresponding propagation of planetary and gravity waves. Enhanced GW
17 and PW flux and momentum into the mesosphere lead to enhanced turbulent diffusion which
18 can result in increased temperatures. PWs can only propagate westward and against the zonal
19 flow, so easterly winds in the middle atmosphere during summer are blocking vertical
20 propagation of long-period PWs into the MLT region. In contrast, during winter stratospheric
21 zonal winds are westerly, favouring PW propagation. The presence of upward-propagating
22 PWs during winter is therefore an explanation for the higher variability during this season.

23 GWs can propagate both eastward and westward, but only against the zonal flow, implying
24 the presence of eastward-propagating GWs during summer and westward-propagating GWs
25 during winter. The extratropical meso-stratospheric zonal winds are very weak and change
26 direction during the equinoxes, resulting in a damping of both westward- and eastward-
27 propagating GWs during these periods (Hoffmann et al., 2010). Enhanced PW activity is
28 observed at the same time (Stray et al., 2014). Temperature enhancements after spring
29 equinox are related to the final breakdown of the polar vortex, or the last stratospheric
30 warming event (Shepherd et al., 2002). Several studies have observed a “springtime tongue”
31 of westward flow between 85 and 100 km, occurring approximately from day 95 to 120,
32 reflecting the final warming (e.g. Hoffmann et al., 2010; Manson et al., 2002). The final

1 warming is characterised by forced planetary Rossby waves that exert a strong westward
2 wave drag from the stratosphere up to 100 km.

3 Enhanced PW activity has also been observed during midsummer, due to interhemispheric
4 propagation of PWs into the summer mesopause (Stray et al., 2014, Hibbins et al., 2009).
5 Also, enhanced short-period GW activity has been observed during summer (Hoffmann et al.,
6 2010). Increased temperatures during midsummer may thus be a result of the combined effect
7 of upward-propagating GWs and interhemispheric propagation of PWs.

8 Several studies have identified large temperature amplitude perturbations during the autumn
9 equinox in particular (Taylor et al. 2001; Liu et al., 2001). The same signature is hard to find
10 in our data. Hoffmann et al. (2010) find latitudinal differences in the amplitude of the
11 semidiurnal meridional tide during autumn equinox, observing stronger tidal amplitudes at
12 Juliusruh (55°N, 13°E) compared to Andenes (69°N, 16°E). Manson et al. (2009) also find
13 longitudinal differences in tides at high-latitudes. Reasons for not observing increased
14 temperatures around autumn equinox are not clear, and further investigations are needed in
15 order to conclude on this.

16 The local temperature minimum in early winter is also seen in other temperature data from
17 mid and high-latitudes (e.g. French and Burns, 2004; Holmen et al., 2013; Shepherd et al.,
18 2004). French and Burns (2004) find a decrease in large-scale wave activity during midwinter
19 which they associate with the observed temperature minimum, but identify this as a southern
20 hemisphere phenomenon. Shepherd et al. (2004) attribute the decrease in temperature to early
21 winter warming of the stratosphere, characterized by the growth of upward-propagating PWs
22 from the troposphere which decelerate/reverses the eastward stratospheric jet, resulting in
23 adiabatic heating of the stratosphere and adiabatic cooling of the mesosphere. However,
24 Shepherd et al. used temperature data from 1991 to 1999, which is prior to the start of our
25 temperature record, and timings of SSWs are different from year to year. We investigated the
26 timing and occurrence of SSW events during the last decade using NASA reanalysis
27 temperatures and zonal winds provided through the Modern-Era Retrospective analysis for
28 Research and Applications (MERRA) project (NASA, 2016). Most SSWs occurring between
29 2003 and 2014 start in the beginning of January or mid-January. One exception is the major
30 warming in 2003/2004, in which zonal winds started to decelerate in mid-December. There
31 are signs of a minor warming in the transition between November and December 2012, but
32 there is not enough evidence to conclude that the local minimum of NTMR temperatures

1 starting in early November is associated with early winter warming of the stratosphere. It is
2 more likely that the pronounced variability in temperatures we see in January and February
3 (days ~0-50) in Fig. 7 is a manifestation of the SSW effect.

4 **5.2 Physical explanations for cooling and comparison with other studies**

5 Other studies on long-term mesospheric temperature trends from mid and high-latitudes yield
6 mostly negative or near-zero trends. Few studies cover the same time period as ours, and few
7 are from locations close to Tromsø. Hall et al. (2012) report a negative trend of $-4 \text{ K} \pm 2$
8 K/decade for temperatures derived from the meteor radar over Longyearbyen, Svalbard
9 (78°N , 16°E) at 90 km height over the time period 2001 to 2011, while Holmen et al. (2014)
10 find a near-zero trend for OH* airglow temperatures at ~87 km height over Longyearbyen
11 over the longer time period 1983 to 2013. Offermann et al. (2010) report a trend of $-2.3 \text{ K} \pm$
12 0.6 K/decade for ~87 km height using OH* airglow measurements from Wuppertal (51°N ,
13 7°E). It must be noted that the peak altitude of the OH* airglow layer can vary and thus affect
14 the comparability of OH* airglow temperature trends and meteor radar temperature trends.
15 Winick et al., 2009 report that the OH* airglow layer can range from 75 to >90 km, while the
16 newer study by von Savigny, 2015, indicates that the layer height at high-latitudes is
17 remarkably constant from 2003 to 2011. Beig (2011) report that most recent studies on
18 mesopause region temperature trends show weak negative trends, which is in line with our
19 results.

20 According to the formulation by Weatherhead et al. (1998), our time series is not long enough
21 for significant trend detection. We need another ~6 years of data before a trend of magnitude -
22 $2.2 \text{ K} \pm 1.0 \text{ K/decade}$ is significant. Response to solar variability has not been taken into
23 account due to the length of the temperature record. Our slightly negative overall trend must
24 therefore be considered tentative. The summer trend requires many more years of data before
25 it can be considered significant, due to that it is a near-zero trend. However, the winter trend
26 can be considered detectable and also significantly different from zero, following the criteria
27 from Weatherhead et al. (1998) and Tiao et al. (1990).

28 Our results indicate a cooling at 90 km altitude over Tromsø in winter. A general cooling of
29 the middle atmosphere will cause a contraction of the atmospheric column and hence a
30 lowering of upper mesospheric pressure surfaces. The pressure model used as input to Eq. (2)
31 is only seasonally dependent, so a possible trend in pressure at 90 km must be addressed. By

1 looking at Eq. (2), it is evident that if pressure decreases, temperature will decrease even
2 more. Incorporating a decreasing trend in the pressure model will then serve to further
3 strengthen the negative temperature trend we observe.

4 It has been proposed that GWs may be a major cause of negative temperature trends in the
5 mesosphere and thermosphere (Beig, 2011; Oliver et al., 2013). GWs effectively transport
6 chemical species and heat in the region, and increased GW drag leads to a net effect of
7 cooling above the turbopause (Yigit and Medvedev, 2009). GWs are shown to heat the
8 atmosphere below about 110 km altitude, while they cool the atmosphere at higher altitudes
9 by inducing a downward heat flux (Walterscheid, 1981). However, there are large regional
10 differences regarding trends in GW activity. Hoffmann et al. (2011) find an increasing GW
11 activity in the mesosphere in summer for selected locations, but Jacobi (2014) finds larger
12 GW amplitudes during solar maximum and relates this to a stronger mesospheric jet during
13 solar maximum, both for winter and summer. Since we have not conducted any gravity wave
14 trend assessment in this study, we cannot conclude that GW activity is responsible for the
15 negative temperature trend, but we cannot rule out its role either.

16 The stronger cooling trend for winter is also consistent with model studies. Schmidt et al.
17 (2006) and Fomichev et al. (2007) show, using the HAMMONIA and CMAM models,
18 respectively, that a doubling of the CO₂ concentration will lead to a general cooling of the
19 middle atmosphere, but that the high-latitude **summer mesopause** will experience insignificant
20 change or even slight warming. They propose that this is the result of both radiative and
21 dynamical effects. In summer, the CO₂ radiative forcing is positive due to heat exchange
22 between the cold polar mesopause and the warmer, underlying layers. Also, CO₂ doubling
23 alters the mesospheric residual circulation. This change is caused by a warming in the tropical
24 troposphere and cooling in the extratropical tropopause, leading to a stronger equator-to-pole
25 temperature gradient and hence stronger mid-latitude tropospheric westerlies. This causes the
26 westerly gravity wave drag to weaken, resulting in decreased adiabatic cooling from a slower
27 ascent of the upper mesospheric circulation.

28 **5.3 Suitability of a meteor radar for estimation of neutral temperatures at 90** 29 **km height**

30 As explained in Sect. 2, neutral air temperatures derived from meteor trail echoes depend on
31 pressure, p , the zero-field reduced mobility of the ions in the trail, K_0 , and ambipolar diffusion

1 coefficients, D_a . K_0 will depend on the ion composition in the meteor trail, as well as the
2 chemical composition of the atmosphere. The chemical composition of the atmosphere is
3 assumed to not change significantly with season (Hocking, 2004). Unfortunately, the exact
4 content of a meteor trail is unknown. Usually, a value for K_0 between $1.9 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-1} \text{ V}^{-1}$ and
5 $2.9 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-1} \text{ V}^{-1}$ is chosen, depending on what ion one assumes to be the main ion of the
6 trail (Hocking et al., 1997). Even though we in this study have chosen a constant value for K_0
7 of $2.4 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-1} \text{ V}^{-1}$, some variability in K_0 is expected. According to Hocking (2004)
8 variability can occur due to fragmentation of the incoming meteoroid, anisotropy in the
9 diffusion rate, plasma instabilities and variations in the composition of the meteor trail. Using
10 computer simulations, they report a typical variability in K_0 from meteor to meteor of 27 %
11 and that the variability is most dominant at higher temperatures. Based on this, we cannot rule
12 out sources of error due to the choice of K_0 as a constant, but since we have no possibility to
13 analyse the composition of all meteor trails detected by the radar we have no other choice
14 than to choose a constant value for K_0 .

15 How well ambipolar diffusion coefficients obtained for 90 km altitude are suited for
16 calculating neutral temperatures has previously been widely discussed, e.g. by Hall et al.
17 (2012) for the trend analysis of Svalbard meteor radar data, but will be shortly repeated here.
18 For calculations of temperatures using meteor radar, ambipolar diffusion alone is assumed to
19 determine the decay of the underdense echoes. Diffusivities are expected to increase
20 exponentially with height through the region from which meteor echoes are obtained
21 (Ballinger et al., 2008; Chilson et al., 1996). Hall et al. (2005) find that this is only the case
22 between ~85 and ~95 km altitude, using diffusion coefficients delivered by NTMR from
23 2004. They find diffusivities less than expected above ~95 km and diffusivities higher than
24 expected below ~85 km. Ballinger et al. (2008) obtain a similar result using meteor
25 observations over northern Sweden. It has been proposed that processes other than ambipolar
26 diffusion influence meteor decay times. If this is the case it may have consequences for the
27 estimation of temperatures, and therefore it is important to investigate this further.

28 Departures of the anticipated exponential increase with height of molecular diffusion above
29 ~95 km are in previous studies attributed to gradient-drift Farley-Buneman instability. Farley-
30 Buneman instability occurs where the trail density gradient and electric field are largest. Due
31 to frequent collisions with neutral particles, electrons are magnetised while ions are left
32 unmagnetised, causing electrons and ions to differ in velocity. Electrons then create an

1 electric field perpendicular to the meteor trail, leading to anomalous fading times that can be
2 an order of magnitude higher than those expected from ambipolar diffusion. The minimum
3 altitude at which this occurs depends on the trail altitude, density gradient and latitude, and at
4 high-latitudes this altitude is ~95 km. Therefore, using ambipolar diffusion rates to calculate
5 trail altitudes above this minimum altitude may lead to errors of several kilometres, due to
6 that the diffusion coefficients derived from the measurements are underestimated (Ballinger et
7 al., 2008; Dyrud et al., 2001; Kovalev et al., 2008).

8 Reasons for the higher diffusivities than expected according to theory below ~85 km are not
9 completely understood. Hall (2002) proposes that neutral turbulence may be responsible for
10 overestimates of molecular diffusivity in the region ~70-85 km, but this hypothesis is rejected
11 by Hall et al. (2005) due to a lacking correlation between neutral air turbulent intensity and
12 diffusion coefficients delivered by the NTMR radar. Other mechanisms for overestimates of
13 molecular diffusivity include incorrect determination of echo altitude and fading times due to
14 limitations of the radar (Hall et al., 2005).

15 Since the peak echo occurrence height is 90 km and this is also the height at which a
16 minimum of disturbing effects occur, 90 km height is therefore considered the optimal height
17 for temperature measurements using meteor radar. Ballinger et al. (2008) report that meteor
18 radars in general deliver reliable daily temperature estimates near the mesopause using the
19 method outlined in this study, but emphasize that one should exercise caution when assuming
20 that observed meteor echo fading times are primarily governed by ambipolar diffusion. They
21 propose, after Havnes and Sigernes (2005), that electron-ion recombination can impact
22 meteor echo decay times. Especially can this affect the weaker echoes, and hence can this
23 effect lead to underestimation of temperatures.

24 Determination of temperatures from meteor radar echo times is a non-trivial task, mainly
25 because the calculation of ambipolar diffusion coefficients depends on the ambient
26 atmospheric pressure. By using radar echo decay times to calculate ambipolar diffusion
27 coefficients from Eq. (1), we can from Eq. (2) get an estimate for T^2/p . Input of pressure
28 values into the equation will thus provide atmospheric temperatures. However, measurements
29 of pressure are rare and difficult to achieve at 90 km height, and often one has to rely on
30 model values. Traditionally, pressure values at 90 km have been calculated using the ideal gas
31 law, taking total mass density from atmospheric models, e.g. the MSISE models, where the
32 newest version is NRLMSIS-00. It is hard to verify the pressure values derived from the

1 models because of lack of measurements to compare the model to, and hence using the
2 pressure values may result in uncertainties of estimated atmospheric temperatures. In this
3 study, we obtained pressure values from measurements of mass densities obtained from
4 falling spheres combined with sodium lidar from Andøya (69°N, 15.5°E) (Lübken, 1999;
5 Lübken and von Zahn, 1991). All measurements have been combined to give a yearly
6 climatology, that is, one pressure value for each day of the year. Since Andøya is located in
7 close proximity to Tromsø (approximately 120 km), the pressure values are considered
8 appropriate for our calculations of neutral temperatures. One disadvantage with using pressure
9 values obtained from the falling sphere measurements is that no day-to-day variations are
10 taken into account, only the average climatology.

11 **6 Conclusions**

12 A number of long-period oscillations ranging from ~9 days to a year were found in the
13 NTMR temperature data. Temperature variability observed may, to a large extent, be
14 explained by the large-scale circulation of the middle atmosphere and the corresponding
15 activity in waves propagating from below. Higher temperature variability in winter is due to
16 the presence of upward-propagating PWs during this season, in contrast to summer, when
17 easterly winds in the middle atmosphere are blocking vertical propagation of long-period PWs
18 into the MLT region. The variability is particularly high in January and February, which are
19 periods where SSW events occur frequently. In addition to the general maximum of
20 temperatures in winter and minimum in summer, our data shows **local temperature maxima**
21 **after spring equinox** and during midsummer and a local minimum in early winter. **Increase in**
22 **temperatures after spring equinox** is related to the final breakdown of the polar vortex
23 (Shepherd et al., 2002), while the increase during summer most likely is associated with a
24 combined effect of upward-propagating GWs and interhemispheric propagation of PWs
25 (Stray et al., 2014; Hoffmann et al., 2010). No evident reason can be found for the local
26 temperature minimum in early winter, or the fact that we do not see enhanced temperatures
27 during autumn equinox, as identified by others (e.g. Taylor et al., 2001; Liu et al., 2001).

28 The trend for NTMR temperatures at 90 km height over Tromsø was found to be $-2.2 \text{ K} \pm 1.0$
29 K/decade . Summer (May, June, July) and winter (November, December, January) trends are $-$
30 $0.3 \text{ K} \pm 3.1 \text{ K/decade}$ and $-11.6 \text{ K} \pm 4.1 \text{ K/decade}$, respectively. Following the criterion from
31 Weatherhead et al. (1998), the temperature record is only long enough for the winter trend to
32 be considered detectable. Response to solar variability was not incorporated in the trend, due

1 to that the time series is shorter than the corresponding solar cycle. However, when looking at
2 the progression from high solar flux to solar minimum and back to solar maximum we see, to
3 some extent, that there is a conjunction between low solar flux values and **negative**
4 **temperature residuals** and vice versa.

5 A weak overall cooling trend is in line with other recent studies on mesopause region
6 temperature trends. A cooling of the middle atmosphere will cause a lowering of upper
7 mesospheric pressure surfaces. By implementing a negative trend in pressure at 90 km into
8 the equation we use for estimating temperatures the negative temperature trend is enhanced,
9 which reinforces our finding of a cooling trend. The most accepted theory behind a cooling of
10 the middle atmosphere is increased greenhouse gas emissions, **but** also dynamics may play a
11 significant role. Our results yield a more negative trend in winter compared to summer, which
12 may be explained by both radiative and dynamical effects. In summer, a larger heat exchange
13 takes place from atmospheric layers below the cold, polar mesopause. Weakening of gravity
14 wave drag leads to weakening of the mesospheric residual circulation, which counteracts
15 cooling. These effects occur due to increased CO₂ concentrations in the atmosphere,
16 according to model studies.

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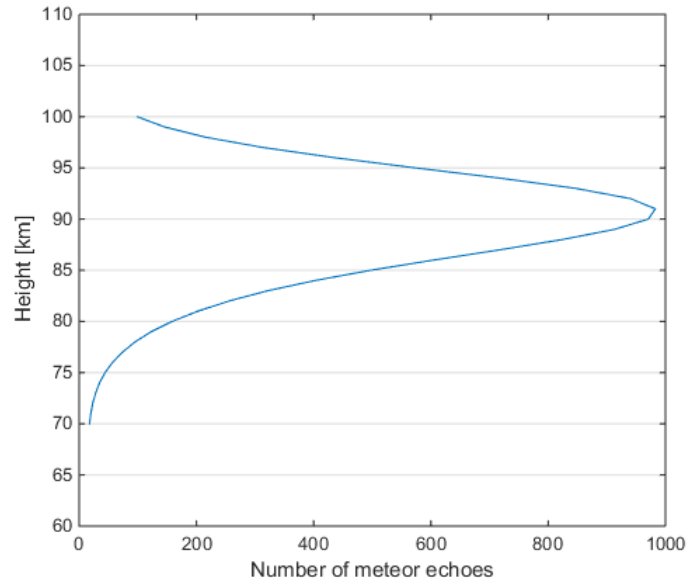
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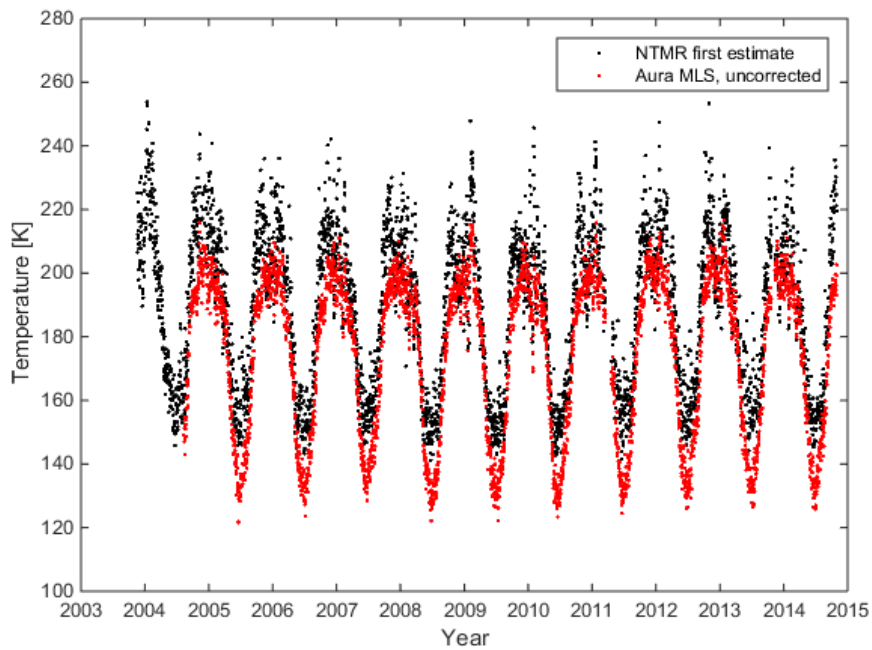


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2 Figure 1: Vertical distribution of the occurrence of meteor echoes over Tromsø, averaged over
 3 height between 2003 and 2014. The peak occurrence height is just over 90 km altitude.

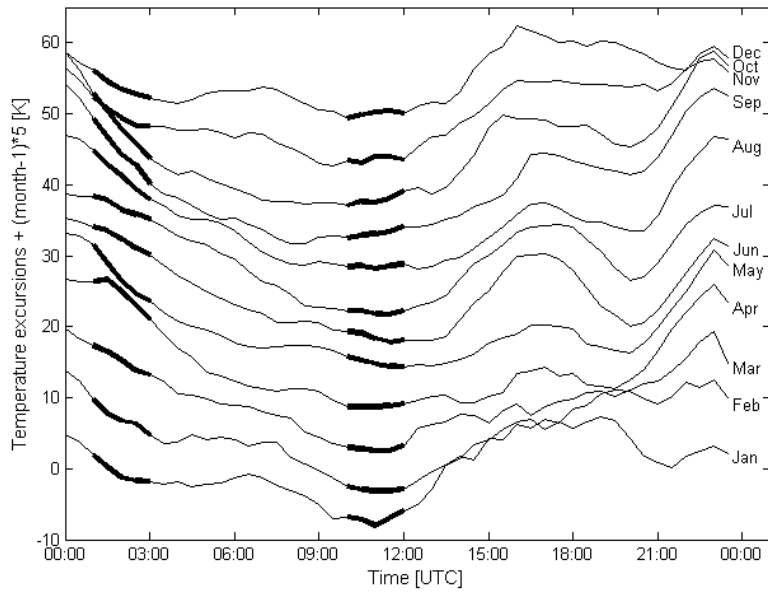
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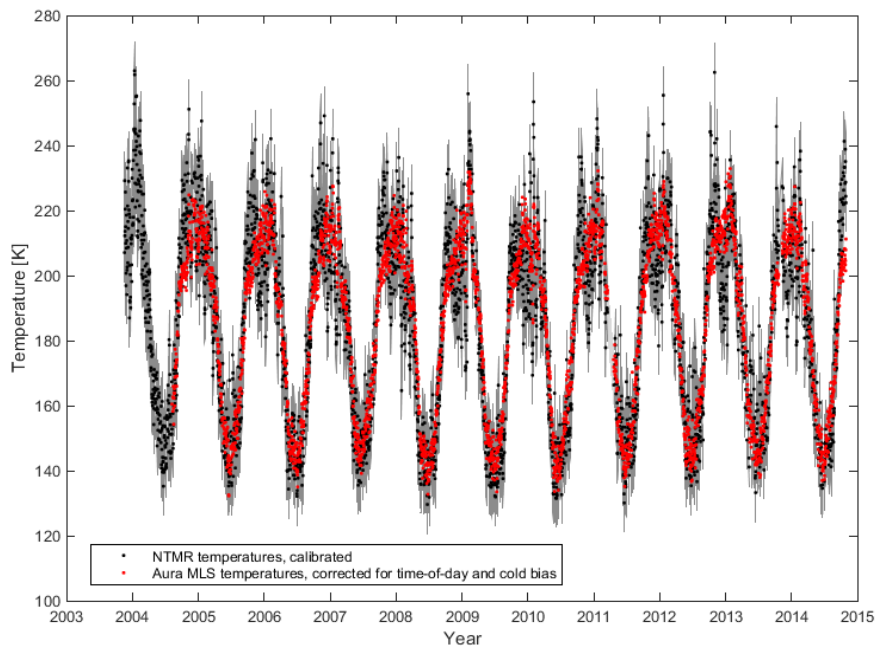


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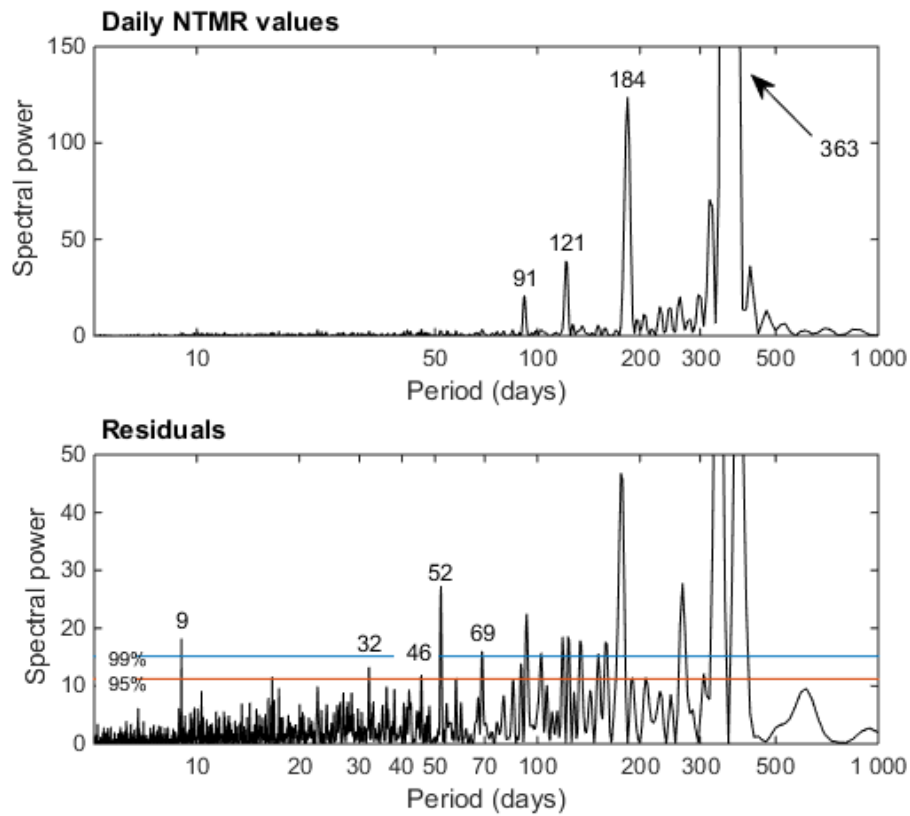
7 Figure 2. Daily values of NTMR temperatures derived from Eqs. (1) and (2), before
 8 correction for high D_a , plotted together with Aura MLS temperatures, before applying any
 9 corrections.



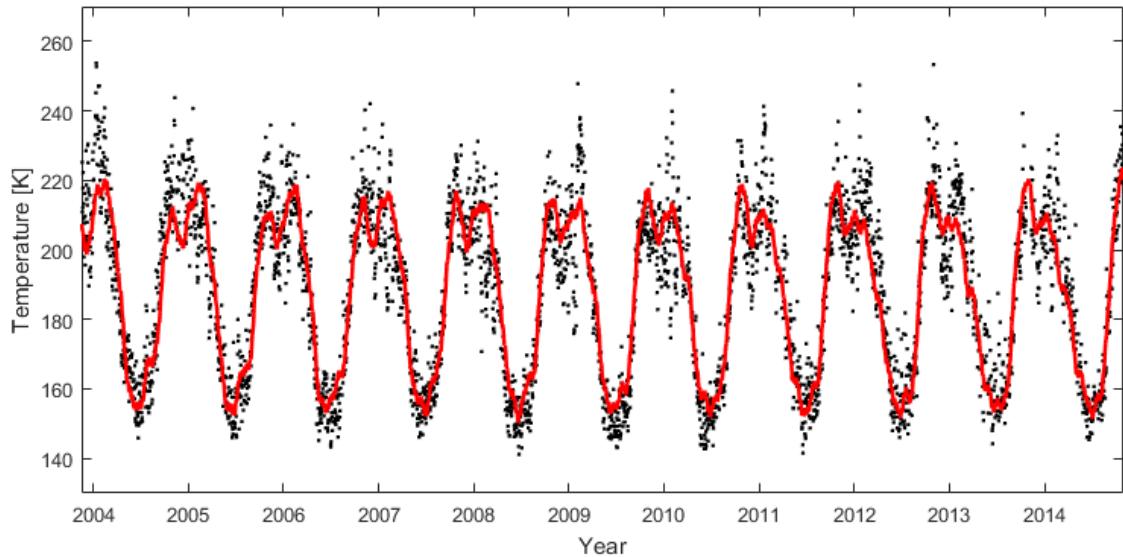
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 2 Figure 3. Monthly averages of diurnal temperature variation derived from NTMR after
 3 correction for high D_a at 90 km altitude. For clarity time series are displaced by 5 K per
 4 month subsequent to January. The time of day corresponding to when Aura makes
 5 measurements over Tromsø (01-03 UTC and 10-12 UTC) is highlighted.



6
 7 Figure 4. Daily values of MLS-calibrated NTMR temperatures plotted together with Aura
 8 MLS temperatures corrected for cold and time-of-day bias. The overall calibration uncertainty
 9 is indicated by the grey shading.



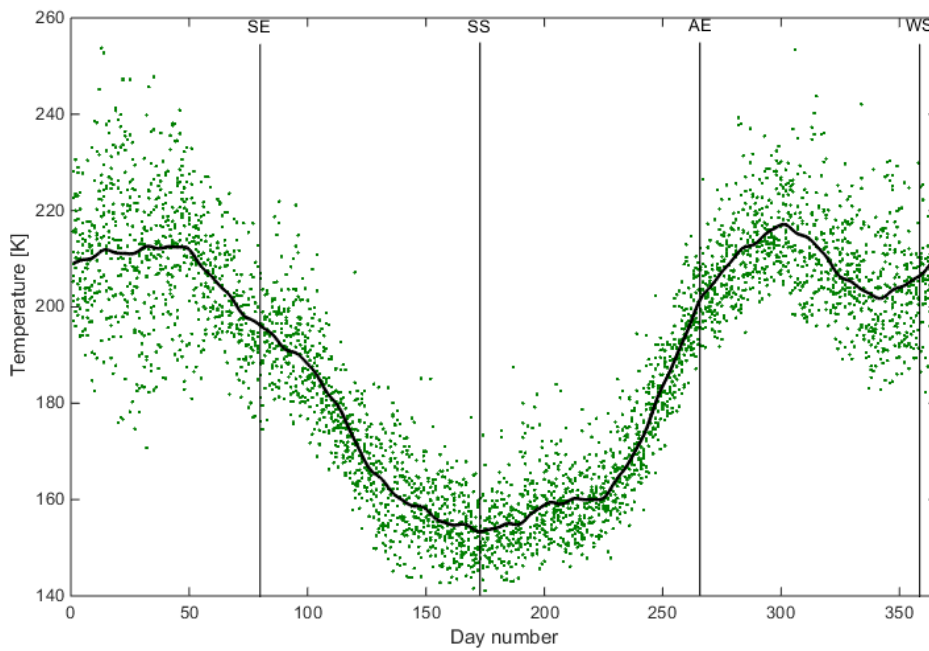
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 2 Figure 5: Upper panel: Lomb-Scargle periodogram for daily NTMR temperatures from 2003
 3 to 2014. The y axis has been truncated for clarity. Lower panel: Periodogram for residuals
 4 after fitting sinusoids for the four largest peaks from the upper panel. Peaks significant at
 5 better than 95 % are marked with numbers corresponding to period.



1

2 Figure 6. MLS-corrected NTMR daily temperatures (black dots) and the least-squares fit of
 3 the average, trend and periodic components (red curve).

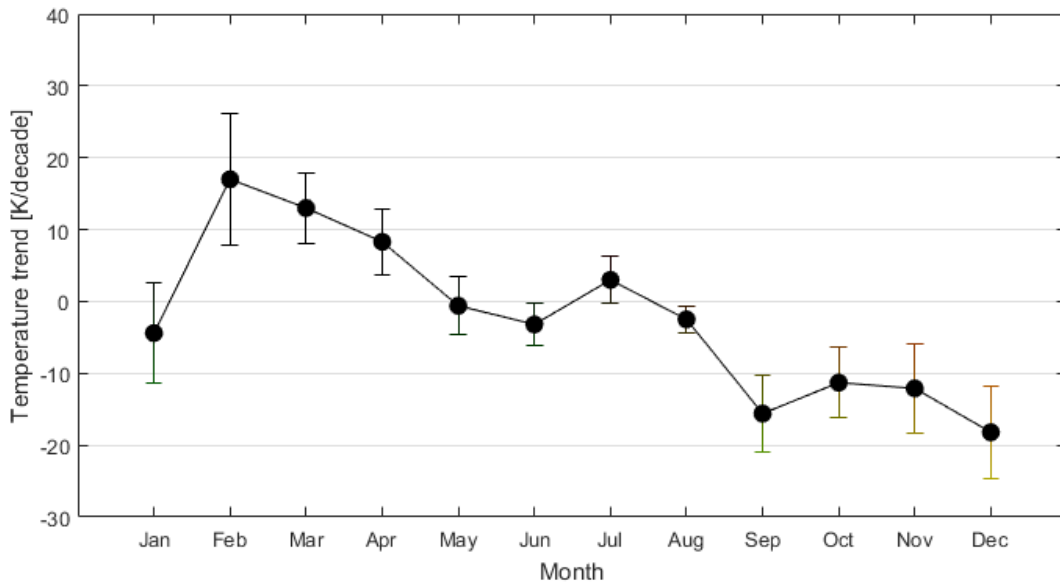
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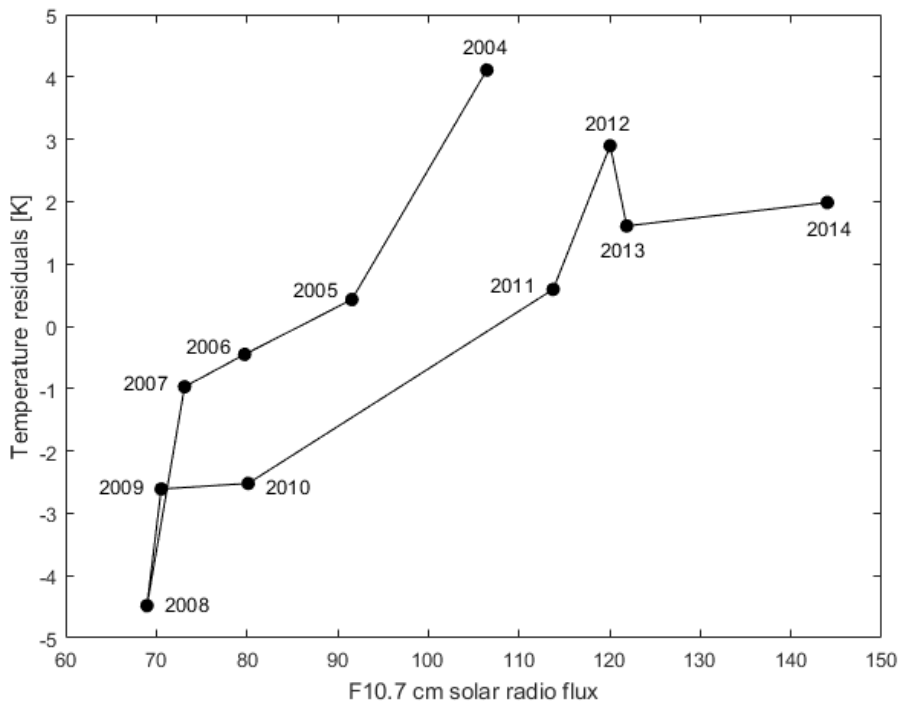
6 Figure 7: Superposed-epoch analysis of daily MLS-corrected NTMR temperatures. The
 7 smooth, black line is the composite fit of all periodic components listed in Table 2. Spring and
 8 autumn equinoxes and winter and summer solstice are marked SE, AE, WS and SS,
 9 respectively.

1



2

3 Figure 8. Monthly temperature trends at 90 km altitude over Tromsø. Standard deviations are
4 given as error bars.



5

6 Figure 9. Yearly values of F10.7 cm solar radio flux plotted against yearly averaged
7 temperature residuals (temperatures adjusted for seasonal variability). Year 2003 is left out of
8 the figure due to the data coverage (only data for November and December).

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Aura bias (K)	-6.3	-6.5	-3.3	-0.08	-0.5	-0.6	-1.4	-1.3	-2.7	-3.5	-3.9	-4.6
σ (K)	3.2	4.7	6.0	8.1	6.6	7.1	7.5	6.7	6.0	5.3	2.6	1.8

1 **Table 1:** Bias/overestimate expected from Aura monthly averages due to that Aura MLS only measures between
2 01 UTC and 03 UTC, and between 10 UTC and 12 UTC.

3

Periodic component (days)	Amplitude (K)
363	21.5 ± 0.4
184	6.5 ± 0.4
121	3.8 ± 0.4
91	2.9 ± 0.4
69	1.2 ± 0.4
52	1.5 ± 0.4
46	1.1 ± 0.4
32	0.9 ± 0.4
9.0	1.0 ± 0.4

4 **Table 2:** Periodic components found in data using Lomb-Scargle periodogram analysis. All components were
5 identified as better than the 99% significance level, except for the 32 day harmonic, which was significant at the
6 95 % level. Amplitudes are given with 95 % confidence bounds.