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# Transport pathways of peroxyacetyl nitrate in the upper troposphere and lower stratosphere from different monsoon systems during the summer monsoon season

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#### Abstract

The Asian summer monsoon involves complex transport patterns with large scale redistribution of trace gases in the upper troposphere and lower stratosphere (UTLS). We employ the global chemistry-climate model ECHAM5-HAMMOZ in order to evalu-

ate the transport pathways and the contributions of nitrogen oxide species PAN, NO<sub>x</sub>, and HNO<sub>3</sub> from various monsoon regions, to the UTLS over Southern Asia and vice versa. Simulated long term seasonal mean mixing ratios are compared with trace gas retrievals from the Michelson Interferometer for Passive Atmospheric Sounding aboard ENVISAT(MIPAS-E) and aircraft campaigns during the monsoon season (June–
 September) in order to evaluate the model's ability to reproduce these transport patterns.

The model simulations show that there are three regions which contribute substantial pollution to the South Asian UTLS: the Asian summer monsoon (ASM), the North American Monsoon (NAM) and the West African monsoon (WAM). However, pene-

- <sup>15</sup> tration due to ASM convection reaches deeper into the UTLS as compared to NAM and WAM outflow. The circulation in all three monsoon regions distributes PAN into the tropical latitude belt in the upper troposphere. Remote transport also occurs in the extratropical upper troposphere where westerly winds drive North American and European pollutants eastward where they can become part of the ASM convection and be lifted into the lower stratesphere. In the lower stratesphere the injected pollutants are
- <sup>20</sup> lifted into the lower stratosphere. In the lower stratosphere the injected pollutants are transported westward by easterly winds.

The intense convective activity in the monsoon regions is associated with lightning and thereby the formation of additional  $NO_x$ . This also affects the distribution of PAN in the UTLS. According to sensitivity simulations with and without lightning, increase <sup>25</sup> in concentrations of PAN (~ 40 %), HNO<sub>3</sub> (75 %), NO<sub>x</sub> (70 %) and ozone (30 %) over the regions of convective transport, especially over equatorial Africa and America and comparatively less over the ASM. This indicates that PAN in the UTLS over the ASM region is primarily of anthropogenic origin.



## 1 Introduction

Deep monsoon convection plays a key role in venting chemical constituents from the boundary layer and their export from source regions (Dickerson et al., 1987). The largest regional monsoon systems are the North American monsoon (NAM), Asian Summer Monsoon (ASM), Western North Pacific monsoon (WNPM), South American

- Summer Monsoon (ASM), Western North Pacific Honsoon (WNPM), South American monsoon (SAM), West African Monsoon (WAM), and the Australian Monsoon (AUSM) (Chang et al., 2011). Recent observation and modeling studies indicate that the Asian summer monsoon (Park et al., 2004, 2007; Li et al., 2005; Randel and Park, 2006; Fu et al., 2006; Xiong et al., 2009; Randel et al., 2010; Fadnavis et al., 2013), the North American Manager (Ochemica and Muller, 1000; Calliar and Zhang, 2000; Darth et al.
- <sup>10</sup> American Monsoon (Schmitz and Mullen, 1996; Collier and Zhang, 2006; Barth et al., 2012) and the West African monsoon (Bouarar et al., 2011) play important roles in the transport of chemical constituents out of the boundary layer into the Northern Hemisphere subtropical monsoon anticyclone in the upper troposphere and lower stratosphere. However, until now there has been no attempt to assess the relative contribu-
- <sup>15</sup> tions from these source regions and to analyze the transport patterns including possible recirculation within one consistent model framework. Prior model simulations suggest that pollutants transported from the Asian monsoon region can contribute substantially to the budgets of stratospheric ozone, NO<sub>x</sub> and water vapour (Randel et al., 2010). Ozone formation in the anticyclone is also enhanced by transport of pollution plumes
- from the North American monsoon which are rich in volatile organic compounds (VOC) (Li et al., 2005; Zhang et al., 2008; Choi et al., 2009; Barth et al., 2012). The deep monsoon convection over West Africa transports Central African emissions to the upper troposphere and lower stratosphere (UTLS) leading to large ozone changes in the lower stratosphere (Bouarar et al., 2011). A number of studies have reported transport
- <sup>25</sup> of chemical constituents into the UTLS due to the Asian monsoon convection, while less attention has been paid to deep convective transport from North/South America and West Africa to the lower stratosphere and to their relative contributions to the UTLS composition over the ASM region.



This study investigates the transport patterns and relative contributions to the Asian monsoon anticyclone of three oxidized nitrogen species, namely peroxyacetyl nitrate (PAN), NO<sub>x</sub> (the sum of NO and NO<sub>2</sub>), and nitric acid (HNO<sub>3</sub>). PAN is a secondary pollutant that marks the transport and conversion of surface NO<sub>x</sub> after it is emitted. The

- focus is placed on PAN as this species has a long lifetime in the upper troposphere and can be favorably observed by satellite instruments. At the same time its short chemical lifetime in the lower troposphere results in a much tighter association between the emissions regions of its precursors and transport compared to species such as carbon monoxide (CO). The much longer chemical lifetime of CO in the lower troposphere allows it to reach the LITLS via circuitous pathways that are not accessible to PAN. In
- <sup>10</sup> allows it to reach the UTLS via circuitous pathways that are not accessible to PAN. In contrast, PAN is a tracer that allows for a clearer identification of  $NO_x$  pollution transport pathways between the surface and the UTLS.

PAN is formed through oxidation of non methane volatile organic compounds (NMVOCs) in the presence of NO<sub>x</sub> (Fischer et al., 2014). It is primarily formed after oxidation of acetaldobyde (CH, CHO) or after photolycis of acetano (CH, COCH, ) and

- <sup>15</sup> oxidation of acetaldehyde (CH<sub>3</sub>CHO) or after photolysis of acetone (CH<sub>3</sub>COCH<sub>3</sub>) and methyl glyoxal (CH<sub>3</sub>COCHO), all of which are oxidation products of various NMVOCs. The actual formation of PAN proceeds in the reaction of the peroxy acetyl radical (CH<sub>3</sub>CO<sub>3</sub>) with NO<sub>2</sub>. This reaction is reversible and the thermal decomposition of PAN back to CH<sub>3</sub>CO<sub>3</sub> and NO<sub>2</sub> is the main sink of PAN, although in the UTLS PAN photoly-
- sis becomes the dominant loss process. Two minor loss processes of PAN are reaction with OH and dry deposition (Talukdar et al., 1995; Fischer et al., 2014). As stated by Fischer et al. (2014) globally, biogenic VOC like isoprene and terpenes contribute most to PAN formation, but in the context of our study it is important to note that the oxidation of many alkanes and alkenes which are emitted from anthropogenic sources lead to
- <sup>25</sup> PAN formation as well. The major anthropogenic sources of NMVOCs are the emissions from fossil fuel and biofuel combustion and from industrial solvents (Tang et al., 2009). Biomass burning, biogenic and soil emissions also contribute to NMVOC and NO<sub>x</sub> production. Anthropogenic sources are dominant in the extra tropical Northern



Hemisphere outside the spring season. In spring, when surface PAN peaks, biogenic and anthropogenic NMVOCs species each support about 50 % of the PAN burden.

In the upper troposphere, lightning can add substantial amounts of  $NO_x$  and thus lead to additional PAN production if NMVOC precursors are present, e.g. from con-

vective uplifting from the boundary layer (Tie et al., 2001). The estimated global NO<sub>x</sub> production by lightning is ~ 3–5 TgNyear<sup>-1</sup> (Schumann and Huntrieser, 2007; Martin et al., 2007; Murray et al., 2012). Strong lightning activity during ASM, NAM and WAM (Shepon et al., 2007; Evett et al., 2008; Ranalkar and Chaudhari, 2009; Barret et al., 2010; Penki and Kamra, 2013) hence contributes to PAN production in the UTLS. The
 estimated increase in PAN is ~ 20–30 % due to nitrogen enhancement by lightning (Tie et al., 2001).

The thermal decomposition rate of PAN is highly temperature dependent. In the UTLS temperatures are sufficiently low to prevent thermal decomposition of PAN and therefore the chemical lifetime of PAN in this region is several months (Arnold and

- Hauck, 1984). The PAN lifetime in our ECHAM5-HAMMOZ simulations varies between 80 and 170 days in the tropical UTLS (figure not included). Number of studies (Tereszchuk et al., 2013; Glatthor et al., 2007; Sign et al., 1987) has reported lifetime of PAN varying form 2–4 months. PAN therefore travels over long distances and affects the NO<sub>y</sub> partitioning in areas that are far away from the precursor emis-
- <sup>20</sup> sion regions. Upon descent into warmer regions of the troposphere, PAN releases  $NO_x$  which in turn increases ozone and OH production in remote regions (Singh et al., 1986, 1998; Hudman et al., 2004). PAN mixing ratios vary from less than 1 pptv in the remote marine atmosphere (as observed during the NASA GTE PEM-Tropics B campaign in the South Pacific lower marine boundary layer, data available at
- http://acd.ucar.edu/~emmons/DATACOMP/) to several ppbv in the polluted urban environment and biomass burning plumes (Ridley et al., 1992; Singh et al., 1998). In the UTLS mixing ratios are typically in the range 10–300 pptv (Emmons et al., 2000; Keim et al., 2008).



To our knowledge, this is the first study that analyzes the influence of monsoon outflow from different world regions on the distribution of peroxyacetyl nitrate (PAN) in the UTLS over the Asian monsoon region, and its recirculation in the UTLS. We run decadal simulations with the chemistry climate model ECHAM5-HAMMOZ and apply statistical comparisons with satellite and aircraft data, thereby contributing to the objectives of the Chemistry Climate Model Initiative (CCMI, see http://www.igacproject. org/CCMI). The model climatology is evaluated with data from aircraft campaigns and

- the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) instrument onboard the ENVIronmental SATellite (ENVISAT) (Refereed as MIPAS-E hereafter).
- <sup>10</sup> The transport of  $HNO_3$  and  $NO_x$  due to monsoon convection from different monsoon regions and the impacts of lightning on the UTLS distributions of the nitrogen oxides are also analyzed and compared to the results obtained for PAN. The paper is organized as follows: Sect. 2 contains a short description of the data and model including the simulation setup. Comparison of model simulations with observations is given in
- <sup>15</sup> Sect. 3. In Sect. 4, we discuss the various convective transport pathways of PAN into the UTLS, its redistribution in the stratosphere and its re-circulation across the various monsoon regions. The analysis of percentage changes in lightning produced ozone, HNO<sub>3</sub>, PAN and NO<sub>x</sub> on total concentrations over the convective zones is presented in Sect. 5. Conclusions are given in Sect. 6.

#### 20 2 Methods

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#### 2.1 Satellite measurements

The MIPAS-E instrument onboard the ENVISAT was launched in March 2002 into a polar orbit of 800 km altitude, with an orbital period of about 100 min and an orbit repeat cycle of 35 days. MIPAS-E (Fischer and Oelhaf, 1996; Fischer et al., 2008) was a Fourier Transform Spectrometer that provided continual limb emission measurements

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in the mid infrared over the range  $685-2410 \text{ cm}^{-1}$  (14.6-4.15 µm). From January 2005

through the end of the mission in April 2012 MIPAS was operated with a spectral resolution of  $0.0875 \,\mathrm{cm}^{-1}$ , and a stepping of the tangent altitude of  $1.5-2 \,\mathrm{km}$  in the UTLS region. As mid infrared sounder MIPAS-E could not provide spectral information from below cloud top.

- <sup>5</sup> MIPAS-E monitored several atmospheric trace constituents affecting atmospheric chemistry including PAN,  $NO_x$ , and  $O_3$ . The details of the general retrieval method and setup, error estimates and use of averaging kernel and visibility flag are documented by Von Clarmann et al. (2009). In this study we analyze the MIPAS-E observed PAN data during the period 2005–2012, i.e. the data version V5R\_PAN\_220/V5R\_PAN\_221
- (different naming 220/221 merely due to technical reasons). The data are available from http://share.lsdf.kit.edu/imk/asf/sat/mipas-export/Data\_by\_Target/. Details of the MIPAS PAN retrievals, error budget, and vertical resolution are given by Glatthor et al. (2007) and by Wiegele et al. (2012). Table 3 in Wiegele et al. (2012) indicates that for the total error of single profiles of the V5R\_PAN\_220/221 product the spectral resolution are given by find the spectral resolution and the spectral resolution are given by find the
- <sup>15</sup> noise and the uncertainty of the instrument pointing are the main contributors. However, since noise is a major contributor a reduction of the total error can be expected for vertical profiles of binned data. For typical bins used in this work the total errors are less than 10 % below 12 km, 30 % at 15 km, 50 % at 19 km and 80 % at 23 km.

The sensitivity of the PAN retrievals can be judged by the averaging kernels. For

- the V5R\_PAN\_220/221 product an example of the respective averaging kernel rows is shown in Fig. S1 for an altitude range of 5 to 25 km at 28° N and 85° E for cloud free atmospheric conditions. The diamonds indicate the respective nominal altitudes of the retrieval grid. The figure shows that the retrieval results below 8–9 km are dominated by information from above the nominal altitude. A similar, albeit less obvious, situation
- develops for altitudes above 22–23 km. There and above the information has an increasing weight from lower than nominal altitudes. This is the reason why the MIPAS PAN data is not considered below 8 km and above 23 km. Another effect clearly visible in the example is that the altitude region which influences the retrieved PAN value at a given altitude is increasing with altitude, i.e. the vertical resolution decreases with al-



titude. To account for the comparatively low, and altitude dependent, vertical resolution, the model data to be directly compared to MIPAS measurements was convolved with the MIPAS PAN averaging kernel.

The data are contoured and gridded at 4° latitude and 8° longitude resolution. In the process the data quality specifications as documented at http://share.lsdf.kit.edu/imk/ asf/sat/mipas-export/Documentation/ were employed, namely: only data with visibility flag equal 1 and diagonal value of averaging kernel greater than 0.03 were used.

## 2.2 ECHAM5-HAMMOZ model simulation and experimental setup

- The ECHAM5-HAMMOZ aerosol-chemistry-climate model used in the present study comprises of the general circulation model ECHAM5 (Roeckner et al., 2003), the tropospheric chemistry module, MOZ (Horowitz et al., 2003), and the aerosol module, Hamburg Aerosol Model (HAM) (Stier et al., 2005). It includes ozone, NO<sub>x</sub>, VOC and aerosol chemistry. The gas phase chemistry scheme is based on the MOZART-2 model (Horowitz et al., 2003), which includes comprehensive O<sub>x</sub>-NO<sub>x</sub>-hydrocarbons chem-
- istry with 63 tracers and 168 reactions. The O(<sup>1</sup>D) quenching reaction rates were updated according to Sander et al. (2003), and isoprene nitrates chemistry according to Fiore et al. (2005). In the model simulations we included emissions of acetone from anthropogenic sources and wild fires (primary sources), while acetaldehyde and methylglyoxal are produced by oxidation of other NMVOCs (secondary sources). In particular,
- <sup>20</sup> oxidation of primary NMVOCs like ethane ( $C_2H_6$ ), propane ( $C_3H_8$ ) and propene ( $C_3H_6$ ) forms acetaldehyde, while  $CH_3COCHO$  is mainly formed from the oxidation products of isoprene and terpenes. Higher acyl peroxy nitrates (MPAN) are included in MOZART-2 chemical scheme, they are also formed through oxidation of NMVOCs, but their production is small compared to PAN. Thermal decomposition, and reaction with OH as
- well as the absorption cross sections for PAN photolysis are all specified according to Sander et al. (2003).

In ECHAM5-HAMMOZ dry deposition follows the scheme of Ganzeveld and Lelieveld (1995). Soluble trace gases such as  $HNO_3$  and  $SO_2$  are also subject to wet depo-



sition. In-cloud and below cloud scavenging follows the scheme described by Stier et al. (2005). PAN is not water soluble, therefore dry and wet deposition are insignificant removal processes.

The model is run at a spectral resolution of T42 corresponding to about  $2.8^{\circ} \times 2.8^{\circ}$  in the horizontal dimension and 31 vertical hybrid  $\sigma$ -p levels from the surface up to 10 hPa. We note that the nominal grid resolution of  $2.8^{\circ}$  is somewhat misleading, because the spectral truncation of T42 only allows to resolve details on the order of 180/42 = $4.28^{\circ}$ . This is the main reason why we compare our model results with the MIPAS PAN retrievals on a  $4^{\circ} \times 8^{\circ}$  grid. The details of model parameterizations, emissions and validation are described by Pozzoli et al., (2008a, b, 2011) and Fadnavis et al. (2013).

The simulations were performed with varying monthly mean sea surface temperature (SST) and sea ice cover (SIC) data over the period 1995–2004 (AMIP). The simulations did not aim to exactly reproduce specific meteorological years, and we ran 10 year periods in order to obtain a reasonable statistics. We used the RETRO project

- data set of the year 2000 available at http://eccad.sedoo.fr/ for the surface CO, NO<sub>x</sub>, and hydrocarbon emissions from anthropogenic sources and biomass burning (Schultz et al., 2004, 2007, 2008). Anthropogenic total RETRO emissions of the year 2000 are 476 Tg year<sup>-1</sup> for CO and 90 Tg year<sup>-1</sup> for NO<sub>x</sub>, 5 Tg year<sup>-1</sup> of ethane, 3.5 Tg year<sup>-1</sup> of propane and 2.7 Tg year<sup>-1</sup> of propene, which are the main anthropogenic VOC precursors of PAN. Biomass burning RETRO emissions of year 2000 are 357 Tg year<sup>-1</sup> for CO, and 16 Tg year<sup>-1</sup> for NO<sub>x</sub>. 2.5 Tg year<sup>-1</sup> for ethane, 1.3 Tg year<sup>-1</sup> for propane, 2.7 Tg year<sup>-1</sup> for propene, and 2.7 Tg year<sup>-1</sup> for acetone. CO biomass burning emis-
- 2.7 rgyear for propere, and 2.7 rgyear for acetore. CO biomass burning emissions in Southeast Asia account for 7 Ggmonth<sup>-1</sup> in spring, while up to 15 Ggmonth<sup>-1</sup> were reported from Carmichael et al. (2003). The anthropogenic and biomass burning emissions of SO<sub>2</sub> (total of 142 Tgyear<sup>-1</sup>), BC (7.7 Tgyear<sup>-1</sup>) and OC (66.1 Tgyear<sup>-1</sup>) are based on the AEBOCOM emission inventory (Deptember et al. 2006), also rep-
- are based on the AEROCOM emission inventory (Dentener et al., 2006), also representative of the year 2000. The biogenic NMVOC emissions are calculated on-line with the MEGAN module of Guenther et al. (2006). The simulated global annual mean emission of biogenic NMVOCs between 1995 and 2004 is 830 Tg(C) year<sup>-1</sup>, isoprene



contributes by 57 %, followed by terpenes (21 %), methanol (12 %), and other NMVOCs such as acetaldehyde (2.5 %) and acetone (2.3 %). Other natural emissions calculated on-line by the model are the Dimethyl Sulfide (DMS) fluxes (Kettle and Andreae, 2000; Nightingale et al., 2000; Pham et al., 1995), sea salt aerosols (Schulz et al., 2004) from the oceans, and mineral dust aerosols (Tegen et al., 2002; Cheng et al., 2008).

Our base year for aerosol and trace gas emissions is 2000, and emissions were repeated annually throughout the simulation period. One point to note is that there were substantial emission changes in Asia and Africa (increasing trends) and Europe and North America (decreasing trends) during the study period, which is not captured in

- our simulations. A consequence of these emission changes for our study would be that we may underestimate the impact from local pollution sources on PAN concentrations in the UTLS over the ASM region in recent years and that we overestimate the contribution from long-range transport of northern hemispheric pollution. We provide an estimate of this error in the discussion of the results. Lightning NO<sub>x</sub> emissions are pa-
- <sup>15</sup> rameterized following Grewe et al. (2001). They are proportional to the calculated flash frequency with a production rate of 9 kg (N) per flash, and distributed vertically using a C-shaped profile. The calculated flash frequency is resolution-dependent and scaled globally to yield annual global emissions of 3.4 Tg (N) per year. To study the impact of lightning on the distributions of PAN we compare two sets of experiments; each conducted for 10 years 1005, 2004; (1) the cantrol experiment (CTPL) and (2) the lightning.
- <sup>20</sup> ducted for 10 years 1995–2004: (1) the control experiment (CTRL) and (2) the lightning off experiment (light-off).

Model simulated PAN,  $NO_x$ ,  $HNO_3$  and ozone mixing ratios are evaluated with climatological datasets of airborne campaigns during the monsoon season (June–September). The data were retrieved from http://acd.ucar.edu/~emmons/DATACOMP/

<sup>25</sup> CAMPAIGNS/ (see also the paper by Emmons, 2001). The NO<sub>x</sub> and ozone volume mixing ratios observed during Cloud Aerosol Interaction and Precipitation Enhancement Experiment (CAIPEEX) (details available in Kulkarni et al., 2012), September 2010, are evaluated over the Indian region. The details of instruments and measurement techniques are available at http://www.tropmet.res.in/~caipeex/about-data.php. The list of



data sets and aircraft campaign used for comparison are presented in Table 1. For the comparison, aircraft observations are averaged over 0–2, 2–6 and 6–8 km and horizontally over the coherent flight regions.

### 2.3 Model production of PAN

- PAN is a secondary pollutant that has a short lifetime in the lower troposphere. This reduces the number of source points that contribute to PAN concentrations at any location in the UTLS resulting in a clearer identification of source-receptor pathways. Figure 1 shows the distribution of PAN production at 14 and 16 km. A striking feature is the confinement of PAN production to regions of deep convection. A maximum daily production are at PAN is the UTL O is these source at the source of the part of the target.
- <sup>10</sup> production rate of PAN in the UTLS, in these convective zones, is ~ 24 ppt day<sup>-1</sup> (near 14 km). Production of PAN from background concentrations of ethane ( $C_2H_6$ ) and other NMVOCs outside of deep convection regions is distinctly secondary. NMVOCs are subject to the same convective transport as NO<sub>x</sub> and PAN formation occurs where both have the highest values. The lifetime of NO<sub>x</sub> is short throughout the troposphere which
- <sup>15</sup> implies that PAN production in the upper troposphere will be associated with deep convection. There is also a contribution to PAN production from stratospheric air penetrating into the troposphere (Liang et al., 2011). Tropopause folding is a significant source of exchange between the stratosphere and the troposphere (Gettelman et al., 2011). This is an extratropical process that is only likely to contribute to the PAN for-
- mation maxima over North America, Europe and Asia shown in Fig. 1a via enhanced conversion of ethane. In the model it is unable to obscure the relationship between PAN formation and  $NO_x$  pollution source regions.



#### 3 Comparison of model simulations with observations

#### 3.1 Comparison with aircraft measurements

Figure 2 shows scatter plot between aircraft observations and model simulations at the coherent locations. Both aircraft observations and model simulations are averaged for

- <sup>5</sup> the monsoon season and altitude ranges. It indicates that model simulated PAN,  $O_3$  and  $NO_x$  show good agreement with aircraft measurements. The Pearson's correlation coefficient (*P* value) varies between 0.00 to 0.4 indicating confidence level > 95%. However simulated HNO<sub>3</sub> between 2–6 and 6–10 km does not agree well with aircraft observations.
- Figures sharing the difference between ECHAM5-HAMMOZ and the aircraft observations are provided in the Supplement as Fig. S2. The model bias varies with species and altitude. Between 0–2 km (left column in Fig. S2), simulated PAN shows positive bias ~ 7–12 ppt in the Western Pacific, and 52–105 ppt over the United States of America (USA). Ozone exhibits a positive bias of ~ 7 ppb over India, ~ 2–18 ppb over the
- <sup>15</sup> USA, ~ 3–15 ppb over western Pacific, negative biases between 2 and 20 ppb over the mid latitude Atlantic and a positive bias in the same range over the tropical Atlantic. Simulated HNO<sub>3</sub> is higher than the observations by ~ 20–75 ppt over the Western pacific and by less than ~ -5 ppt at few locations. Over the USA the bias is slightly negative (less than 5 ppt). NO<sub>x</sub> shows positive bias ~ 40 ppt over India and 0–10 ppt over
- <sup>20</sup> the Western Pacific. In the altitude ranges 2–6 km (central column) and 6–10 km (right column), simulated PAN shows a negative bias of ~ 10–20 ppt over the West Pacific and a positive bias ~ 5–50 ppt at some locations. Over the USA bias value are ~ 4–70 ppt. Ozone is lower by ~ 10–15 ppb and higher by ~ 3–30 ppb at some locations in the western Pacific, 3–30 ppb over Atlantic and ~ 2–30 ppb over USA. The positive
- <sup>25</sup> bias in HNO<sub>3</sub> reduces to 3–20 ppt and negative bias to 3 to 20 ppt over the Western Pacific, over the USA negative bias is ~ 20 ppt and positive bias is ~ 3–70 ppt. The NO<sub>x</sub> shows negative bias ~ 40 ppt over India. The bias values vary between 6 to 10 ppt over Western Pacific, 15 to –20 ppt over USA and Atlantic. Thus, while ozone exhibits



a consistent low bias over South America and the Atlantic the model simulated ozone and  $NO_x$  mixing ratios show good agreement with CAIPEEX measurements over the Indian region. Unfortunately, there were no measurements of PAN or HNO<sub>3</sub> made during CAIPEEX.

#### **5 3.2 Comparison with MIPAS-E retrievals**

In order to study the influence of monsoon circulation on the distribution of PAN in the UTLS region, multi-year averages (2005–2011) of seasonal mean (June–September) PAN retrievals from MIPAS-E are analyzed. Figure 3a presents these data for the altitude range 14–16 km, and Fig. 3b shows the corresponding ECHAM5-HAMMOZ results for comparison. MIPAS-E observations show maximum PAN mixing ratios (~ 200–230 ppt) over (1) the Asian monsoon anticyclone region (12–40° N, 20–120° E), and (2) over parts of North America, the Gulf Stream, (3) southern Atlantic Ocean and west coast of tropical Africa. ECHAM5-HAMMOZ CTRL simulations also show high PAN concentration at these locations, however PAN concentrations are lower than MIPAS-E

- observations and appear somewhat more localized. MIPAS-E exhibits a PAN maximum originating from African sources over the South Atlantic, whereas the model shows this maximum over the African continent. This may be the outflow of biomass burning over central and southern Africa during summer monsoon, which might be underestimated in the model. The biomass burning region of Africa during the ASM season is ~ 30° S-
- 20° N; 20° W-30° E (Glanter et al., 2000). The longitude-altitude and latitude-altitude cross-sections of MIPAS-E observed and simulated PAN over the biomass burning region are plotted in Fig. S3. Model simulation shows that the biomass plume rising from Africa move westward and northward over the Atlantic Ocean and merges with South American plume. From satellite, aircraft observations and model simula-
- tions Real et al. (2010), and Barret et al. (2008) reported a plume in the mid and upper troposphere over the southern Atlantic which originates from central African biomass burning fires.



The difference between ECHAM5-HAMMOZ simulation and MIPAS observations plotted in Fig. S3c and f. These figures show that the model underestimates biomass burning PAN by 20-60 ppt. These differences may also be related to issues in the vertical transport of PAN, or to a possible underestimate of the emission sources of NMVOCs. Uncertainties in the rate coefficients and absorption cross sections of PAN may also play a role. Furthermore, anthropogenic NO<sub>x</sub> emissions are mostly underestimated in the emission inventories (Miyazaki et al., 2012). As discussed in Fadnavis et al. (2014), UTLS PAN over the ASM is sensitive to NO<sub>v</sub> emission changes in India or China. In their study, also performed with ECHAM5-HAMMOZ, a 73% NO<sub>x</sub> emission change in India lead to a PAN increase of 10-18%, while a 73% NO<sub>x</sub> emission 10 change in China changed PAN over the ASM by 18-30%. The cross-section plots of (see Fig. S4) differences in MIPAS-E PAN with model simulated PAN indicate that in the UTLS (8–23 km), MIPAS-E PAN is higher than model simulated PAN by  $\sim 20-$ 60 ppt (except above 20 km). It is lower by 20-40 ppt over eastern part of anticyclone (Southern India and South east Asia) and also over Indonesia and northern Australia. 15 In general, in the ASM region during the monsoon season MIPAS-E PAN is higher than model by 30–60 ppt between 8–16 km and the difference between MIPAS-E and model PAN vary between +40 to -40 ppt between 17 and 23 km.

# 4 Transport of PAN during monsoon season

# 20 4.1 Transport from Northern tropical land mass

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Figure 3a shows high concentrations of PAN at 14–16 km over Asia, North America and tropical Africa. This may be due to transport from boundary layer into the UTLS by the monsoon convection from respective regions. From Satellite observations and model simulations Park et al. (2009) reported transport of fraction of boundary layer Carbon monoxide (CO) into the UTLS by the Asian monsoon convection.



To illustrate vertical transport, longitude–altitude cross sections of PAN mixing ratios averaged over the region 0–30° N and for June–September as obtained from MIPAS-E and ECHAM5-HAMMOZ are shown in Figs. 4a and b respectively. Both MIPAS-E observations and ECHAM5-HAMMOZ simulations show elevated levels of PAN (200–

- <sup>5</sup> 250 ppt) near 80–100° E (ASM), 30° W–30° E (WAM) and 80–100° W (NAM) region. The simulated PAN distribution along with winds plotted in Fig. 4b shows cross tropopause transport from these regions. It reveals that transport of boundary layer PAN to UTLS mainly occurs from strong convective regions, i.e. Bay of Bengal (~ 80–90° E), South China Sea (~ 100–120° E), western Atlantic Ocean (Gulf Stream region) and Gulf
- of Mexico (80–100° W). MIPAS-E observations and model simulations show that the transport due to ASM is strongest and reaches deepest into the lower stratosphere. This is due to the more intense deep convection activity over the ASM region compared to the NAM region. Over the ASM region the MIPAS-E observations show PAN mixing ratios of 30–60 ppt near 20 km while model simulations yield similar values near
- <sup>15</sup> 22 km. Figure 4c presents the differences between MIPAS and model simulated PAN. It appears that the model PAN is overestimated over the ASM (20–50 ppt) and underestimated over the NAM (50–70 ppt) and WAM (20–70 ppt) regions. However, the overestimation in the upper troposphere in the ASM is difficult to explain on physical grounds and is more likely to be a MIPAS-E sampling issue as discussed later.

### 20 4.2 Transport from southern tropical land mass

In order to understand transport of PAN due to southern WAM, SAM and AUSM, we show longitude-pressure sections of MIPAS-E observations and model simulated PAN concentrations averaged over 0–25° S in Fig. 4d–e respectively. The model has plumes near 20° E, 100° E and 80° W. These three regions of convective transport are (1) tropical southern Africa 10–40° E, referred to as South Africa, (2) Indonesia and northern parts of Australia ~ 100–110° E and (3) South America ~ 70–80° W. Outflow from Indonesia and from northern parts of Australia (~ 100° E) penetrates deep into the UTLS. Tropical Rainfall Measuring Mission (TRMM) satellite observations show



high frequency of intense overshooting convection over these areas (during the monsoon season) with highest density in the belt 0–10° S over the Caribbean, Amazon, Congo and Southern Maritime Continent (Liu and Zipser, 2005). The analyses of vertical winds show strong transport from 10–40° E, 100–110° E, 70–80° W (in the belt 0–10° S). The amount of high level cloud fraction is also high over these regions. The

- model simulations show high PAN concentrations reaching the UTLS. Thus transport due to deep convection is reasonably well captured by the model. However, the MIPAS-E retrievals only show a plume rising over South Africa and no enhancement over the AUSM (Indonesia–Australia) and SAM regions. Figure 4e shows that the plumes from
- the three outflow regions are mixed in the upper troposphere (8–14 km) by the prevailing westerly winds. The reasons for a single plume seen in MIPAS-E may be that lower concentrations of PAN reach these altitudes (above 8 km) from SAM and AUSM and mix with the plume over South Africa. There are indications of elevated PAN concentrations at the lower boundary in Fig. 4d. Simulations show lower PAN mixing ratios over
- the longitudes of SAM and AUSM (see Fig. 4e). The differences between MIPAS observations and simulations (Fig. 4f) show that model PAN is overestimated in the AUSM (20–50 ppt) and is underestimated over the southern WAM (20–70 ppt) and SAM (20–60 ppt) regions. It is likely that the three plume structure in the upper troposphere seen in model is being obscured in the observations due to sampling issues since periods of deep convection reaching significantly above 9 km are consisted with significant cloud.
- 20 deep convection reaching significantly above 8 km are associated with significant cloud cover.

Figure 4 shows that simulated transport of PAN due to ASM, NAM and WAM convection are stronger and penetrate deeper into the upper troposphere compared to SAM and AUSM. This is consistent with the distribution of deep convection noted by Get-

telman et al. (2002). In general, the PAN amounts in the UTLS in the model are less than those observed by MIPAS-E. This may be due to an underestimate of the chemical PAN source from VOC precursors or too little vertical transport or a combination of both. Earlier model studies with ECHAM also exhibited too low concentrations of CO



in the upper tropospheric outflow (M. Schultz, unpublished data from the NASA Global Tropospheric Experiment TRACE-P mission).

Low potential vorticity (PV) over Southern Asia indicates the strength of the monsoon anticyclone (Vaughan and Timmis, 1998; Pan et al., 2012; Garny and Randel, 2013).

- <sup>5</sup> The analysis of model simulated potential vorticity at 370 K, shown in Fig. 5 exhibits lower PV values over the ASM region compared to the NAM, WAM, SAM and AUSM regions. This reflects a stronger monsoon anticyclone over ASM. Out of all the regions in question convective activity is most intense over the region spanning the southern flanks of the Himalayas and the Maritime Continent (e.g. Gettelman et al., 2002; see
- <sup>10</sup> their Fig. 3). The tropopause temperatures are typically the lowest in this region. Deep convection intensity is significant over Central America as well but is much more localized and not effective at pumping North American NO<sub>x</sub> emissions into the UTLS (see Fig. S5). Figure 5 shows a region of PV mixing in the Southern Hemisphere to the south of the ASM. This feature indicates the intensity of the near-equatorial convective heating in this region. The tropical heating drives an anticyclone pair circulation roughly
- symmetric around the equator (see Fig. 1 of Park et al., 2007).

### 4.3 Transport from Asian Summer Monsoon region

Latitude–altitude cross sections over the ASM (60–120° E) of MIPAS-E observed PAN (plotted in the altitude range 8–20 km) and ECHAM5-HAMMOZ CTRL simulations are
 shown in Fig. 6a and b, respectively. ECHAM5-HAMMOZ simulations are similar to MIPAS-E retrievals of PAN. There is indication of plume ascent into the lower stratosphere. The ECHAM5-HAMMOZ simulations also show transport of subtropical boundary layer PAN into the UTLS due to deep convection. This is not visible in the MIPAS-E data because of the lack of data below 8 km. Figure 6b shows that there is transport from 40–50° N reaching up to 10 km (~ 200 hPa). Park et al. (2004, 2007, 2009) and Randel and Park (2006) noted that trace species are introduced into the monsoon anticyclone at its eastern end around 200 hPa. The uplift over south-east Asia and the base



get horizontally redistributed by the anticyclonic circulation and form the region of high PAN values between 40° N and high latitudes. Figure 9c shows that the mid-latitude maximum seen in Fig. 6c is due to pollution transport from Europe. The Chinese emissions are feeding into this large plume over Russia and are transported partly and diluted over the extratropical Pacific Ocean. The latitude–altitude section of differences between MIPAS and simulated PAN indicates that ASM plume is underestimated in the

- model (see Fig. 6c). It is interesting to compare Fig. 4c (longitude–altitude section) and Fig. 6c (latitude–altitude section). The reason for underestimation of the ASM plume in the latitude–altitude section may be due to a lower contribution from the eastern part of
- anticyclone in the model. Figure S4 shows model PAN is underestimated over Southern India and South East Asia in the upper troposphere and overestimated in the lower stratosphere. Figure 6c indicates that the region of convective transport in the model around 30° N is narrower than observations. The model also underestimates PAN in the upper troposphere around 45° N. It is possible that the model is not capturing the observed structure of the large scale ASM circulation and its northern limit in the UTLS.
- The overestimation of PAN by the model in the northern middle and high latitudes in the UTLS (Fig. 6c, f, i) is associated with European emissions and transport (see Fig. 6a, b, d, e, g, and h).

# 4.4 Transport from North American monsoon region

- Figures 6d and e exhibit latitude–altitude sections of PAN from MIPAS-E retrievals and ECHAM5-HAMMOZ simulations (seasonal mean for July–September) over the North American monsoon region between 70–120° W. MIPAS-E observations and the model indicate transport of PAN into the UTLS. The distribution of ECHAM5-HAMMOZ simulated PAN from the boundary layer to UTLS shows the source region is at around PAN from the boundary layer to UTLS shows the source region is at around PAN from the boundary layer to UTLS shows the source region is at around PAN from the boundary layer to UTLS shows the source region is at around PAN from the boundary layer to UTLS shows the source region is at around PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region is at around part of PAN from the boundary layer to UTLS shows the source region between the boundary layer to UTLS shows the source region between the boundary layer to UTLS shows the source region between the boundary layer to UTLS shows the source region between the boundary layer to UTLS shows the source region b
- <sup>25</sup> 30° N. There is convective uplift of PAN over the northern Gulf of Mexico region and over the Gulf Stream. High amount of pollutants are emitted from north east America from a number of power plants are located in Atlanta, Washington, Chicago, Boston, Jacksonville (CEC report, 2011). The tropospheric NO<sub>2</sub> columns retrieved from the



SCIAMACHY and OMI satellite instrument shows high amount of anthropogenic  $NO_2$  emissions over this region (Lamsal et al., 2011; Miyazaki et al., 2012). The model simulations show high amount of PAN concentrations over this region (see Fig. 9a–d). The monsoon convection lifts these pollutants to the upper troposphere. The outflow

of these pollutants is over the Atlantic (see Fig. 3a). TRMM precipitation radar observations show significant overshooting convective activity over this region during the monsoon season (Liu and Zipser, 2005). The vertical distribution of differences in MI-PAS and simulated PAN shows that PAN is underestimated (see Fig. 6f) over North and South America (10–60 ppt). As discussed above this may be associated with European emissions and transport.

#### 4.5 Transport from West African region

Figure 6g–h shows vertical distributions of PAN over the African region (averaged over  $0-45^{\circ}$  E). MIPAS-E observations and model simulations indicate a plume that crosses the tropopause and enters the lower stratosphere. The model surface fields

- (see Fig. 6h) show that this plume arises from latitudes 5–20° S over Africa and that it moves equatorward. It subsequently merges with the ASM plume. A prominent tongue of high PAN values between 30 and 60° N is captured in model simulations. This feature appears to be related to emissions from Europe being transported towards the equator in the upper subtropical troposphere. However, in the model, emissions from
- <sup>20</sup> Europe are transported poleward instead of equatorward (Fig. 6i). There is a region of strong descent in the model between 30 and 40° N (see Fig. 6h) which deforms the PAN isopleths around 12 km around 30° N. This feature is not seen in the MIPAS-E retrievals and indicates a disagreement of the model with the transport pattern of the atmosphere in this region. The transport of PAN in the 10–20° S latitude band over the
- <sup>25</sup> Congo, Angola, Tanzania regions of southern and tropical Africa is not pronounced in the model compared to MIPAS-E observations. This behavior indicates that deep tropical convection is underestimated in the model in this latitude band. The vertical distribution of differences in MIPAS and simulated PAN (Fig. 6i shows that simulated



PAN is underestimated over these regions  $(5-20^{\circ} \text{ S} \text{ and } 20-40^{\circ} \text{ N})$ ). The reason may be related to underestimation of deep tropical convection in the model in this latitude band.

- The model simulated latitude–altitude, longitude–altitude cross sections of NO<sub>x</sub>, and <sup>5</sup> HNO<sub>3</sub> over the ASM (10–40° N, 60–120° E), NAM (10–40° N, 70–120° W) and WAM (0–25° S, 0–45° E) are shown in Figs. 7 and 8, respectively. Figure 7 shows transport features of NO<sub>x</sub>. These are similar to those seen in the distribution of PAN, but with sharper signatures due to the shorter lifetime of NO<sub>x</sub>. This shows that monsoon convection lifts boundary layer pollutants including NO<sub>y</sub> species to the UTLS. The dis-<sup>10</sup> tribution of HNO<sub>3</sub> (see Fig. 8) shows a complex pattern. Comparing Fig. 4b and c, the region around 100° E with intense convective uplift corresponds to HNO<sub>3</sub> depletion
- from the surface to above 10 km. In fact, the upper tropospheric region of the ASM anticyclone exhibits much lower values of  $HNO_3$  compared to all the other longitudes in the 10–40° N band (Fig. 8c). This suggests that in the model the convective transport
- in the ASM region is associated with efficient removal by wet scavenging. In contrast, the North American monsoon region has HNO<sub>3</sub> ascending to the upper troposphere with significantly less loss. This is likely due to the fact that convection involved in vertical transport during the NAM is not as intense and not as deep as in the case of the ASM and there are differences in wet scavenging. Figure 8b shows that the plume ris-
- ing from South America moves towards the equator but does not have the extension into the upper troposphere as the North American plume. These are June–September averages and the ITCZ is on the Northern Hemisphere side during this period. Thus, weaker convective transport is to be expected on the Southern Hemisphere side of the equator during this period. Figure 8d shows significant transport of African emissions around ~ 0–15° S and a plume rising from Europe (~ 35–60° N) as well.

#### 4.6 Horizontal transport

PAN concentrations from MIPAS-E and ECHAM5-HAMMOZ at different altitudes are analyzed to understand horizontal transport. Figure 9a shows the distribution of PAN



from ECHAM5-HAMMOZ simulations near the surface (2 km). Sources of PAN are apparent over South America, southern Africa, North America, Europe, Russia and northern China/Mongolia. The PAN distribution at 4 km (see Fig. 9b) shows high concentrations above these regions indicating vertical transport. Figures 9c and d shows

- <sup>5</sup> the distribution at 6 and 8 km. The upper level anticyclonic circulation between 10° N and 30° S over the Atlantic transports PAN from central Africa towards America and from Brazil towards southern Africa. The Large Scale Biosphere–Atmosphere Regional Experiment in Amazonia (LBA-CLAIRE-98) campaign observations (Andreae et al., 2001) and African Monsoon Multidisciplinary Analysis (AMMA) project (Real et al.,
- 2010) show that the biomass burning plume originating from Brazil is lifted to altitudes around 10 km. This plume is entrained into deep convection over the northern Amazon, transported out over the Atlantic and then returned to South America by the circulation around a large upper-level anticyclone. This transport is well captured by the model.

North American pollution is also being transported by the westerly winds over Eura-

- sia, forming an organized belt. This transport pattern persists up to 12 km (Fig. 9e and g). MIPAS-E observations at 12 km also show this transport pattern. The source region for the PAN from southern Africa is the region of active biomass burning. Since this region is tropical, the outflow is over the Atlantic due to the prevailing easterly winds. ECHAM5-HAMMOZ simulations show similar transport (see Fig. 9e). But there are dif-
- ferences; in particular the transport over tropical Africa does not get displaced over the Atlantic Ocean. As noted above, there are significant transport differences between the model and observations in this longitude band. Another difference is that PAN is not transported westward over Central America and towards the Pacific Ocean.

Figure 9f–h show the distribution of PAN from ECHAM5-HAMMOZ simulations and MIPAS-E retrievals, in the lower stratosphere (18 km). In both data sets PAN is transported westwards from ASM, NAM and WAM by prevailing easterly winds and maximizes in the region of the ASM anticyclone.

As can be seen from the above discussions, the ASM, NAM, and WAM outflow and convection over the Gulf Stream play an important role in the transport of boundary



layer pollution into the UTLS. Previous studies (e.g. Fadnavis et al., 2013) indicated that over the Asian monsoon region, transport into the lower stratosphere occurs and there is significant vertical transport over the southern slopes of the Himalayas (Fu et al., 2006; Fadnavis et al., 2013) and also over the region spanned by the Bay of

- <sup>5</sup> Bengal and the South China Sea (Park et al., 2009). Pollutant transport due to North American convection and tropical African outflow does not penetrate as deep into the stratosphere as the ASM. However there is clear indication that in the upper troposphere, middle latitude westerly winds connect the North American pollution to the ASM.
- Figures 3–6 and 9 show that in the upper troposphere, westerly winds drive North 10 American and European pollutants eastward to at least partly merge with the ASM plume. Strong ASM convection transports these remote and regional pollutants into the stratosphere. The Caribbean is a secondary source of pollutant transport into the stratosphere. In the stratosphere the injected pollutants are transported westward by easterly winds and into the southern subtropics by the Brewer-Dobson circulation. 15

#### 5 Impact of lightning on tropospheric PAN, $NO_x$ , $HNO_3$ and ozone

In the ASM region and during the monsoon season the NO<sub>y</sub> released from intense lightning activity enhances the formation of PAN, HNO<sub>3</sub> and ozone in the middle and upper troposphere which is already relatively strong due to the intense solar radiation along with high background concentrations of NO<sub>x</sub>, HO<sub>x</sub> and NMVOCs (Tie 20 et al., 2001). Figure 10a-d shows the percentage changes in ozone, HNO<sub>3</sub>, PAN and NO<sub>x</sub> due to lightning as derived from the difference between the control and lightning-off experiments as zonally averaged spatial distribution of seasonal mean (June-September) mixing ratios. The analysis indicates that the impact of lightning  $_{25}$  on these species is largest in the tropical upper troposphere between 40° N–40° S and between 8 and 14 km. In the tropical mid troposphere lightning produced maximum ozone is ~ 15–25 % (12–24 ppb), HNO<sub>3</sub> ~ 40–60 % (50–90 ppt), PAN ~ 15–25 % (70–



140 ppt) and NO<sub>x</sub>  $\sim$  20–40 % (10–35 ppt) while in the upper troposphere ozone is  $\sim$  20– 30 % (20–28 ppb), HNO<sub>3</sub> ~ 60–75 % (80–110 ppt), PAN ~ 28–35 % (120–170 ppt), and  $NO_v \sim 50-75\%$  (20-65 ppt). Our results are consistent with, albeit slightly lower than MOZART model simulations by Tie et al. (2001) who show that lightning enhances PAN formation by 20–30 %, NO<sub>x</sub>  $\sim$  50 % and HNO<sub>3</sub> by 60–80 % in the middle troposphere. Labrador et al. (2005) also reported similar results from simulations using the Model of Atmospheric Transport and Chemistry Max-Planck-Institute (MATCH-MPI). The spatial distributions of NO<sub>x</sub>, ozone, PAN and HNO<sub>3</sub> produced from lightning (see Fig. 10e-h) indicate that in the upper troposphere (12 km) increases in  $O_3 \sim 20-25 \%$  (11-17 ppbv),  $HNO_3 \sim 40-70\%$ , PAN ~ 25-35% and  $NO_x \sim 55-75\%$ , over North America are in 10 agreement with previous studies (e.g Labrador et al., 2005; Hudman et al., 2007; Zhao et al., 2009; Cooper et al., 2009), over equatorial Africa (PAN 30-45%, HNO<sub>3</sub> ~ 70-80%, O<sub>3</sub> ~ 25\%, NO<sub>x</sub> ~ 70\%) agrees well with Barret et al., 2010; Bouarar et al., 2011 and over the ASM region (PAN ~ 25 %, HNO<sub>3</sub> ~ 65–70 %, O<sub>3</sub> ~ 20 %, NO<sub>x</sub> ~ 60–70 %) agrees with Tie et al. (2001). These regions coincide with regions of convective vertical 15 transport of PAN (as seen in Figs. 4 and 6). PAN will be lifted into the lower stratosphere by the monsoon convection along with anthropogenic emissions and will redistribute in

the tropical lower stratosphere. Latitude–longitude cross sections of lightning induced PAN,  $NO_x$ , ozone and  $HNO_3$  formation at altitudes between 8–14 km show that the production of PAN,  $NO_x$ , ozone and  $HNO_3$  is less over the ASM region than over the equatorial Americas and Africa (also seen in Fig. 10). The high amounts of PAN over the ASM (see Figs. 3, 4 and 6) are therefore primarily due to anthropogenic emission

- transport into the UTLS from the source regions in Southern and Eastern Asia. As discussed in Fadnavis et al., 2014,  $NO_x$  emissions are estimated to have changed by 38 %
- <sup>25</sup> in India and 76 % in China, respectively during 2002–2011 period. From sensitivity simulations they deduced corresponding changes in upper tropopsheric PAN are > 40 %, O<sub>3</sub> by > 25 % and HNO<sub>3</sub> by > 70 % over the Asian monsoon region. These effects are larger than the impact of lightning NO<sub>x</sub> emissions over this region (Fig. 10e–h).



#### 6 Conclusions

In this study statistical analysis of simulated and satellite-retrieved mixing ratios of PAN,  $NO_x$ , and  $HNO_3$  is presented in order to determine the transport patterns of pollution into the Asian monsoon region and the impact of pollution flowing out of the ASM into other regions of the global atmosphere. The analysis forward on the upper transport

into other regions of the global atmosphere. The analysis focused on the upper troposphere and lower stratosphere and covered the period 2002–2011 without taking into account the emissions changes that occurred during this period. As discussed in Fadnavis et al. (2014), NO<sub>x</sub> emissions are estimated to have changed by 38 % in India and 76 % in China, respectively during this period. From sensitivity simulations they deduced corresponding changes in upper tropospheric PAN > 40 %, O<sub>3</sub> by > 25 % and HNO<sub>3</sub> by > 70 % over the ASM region. These effects are larger than the impact of

lightning NO<sub>x</sub> emissions over this region discussed in Sect. 3 of this study. Interestingly, the ECHAM5-HAMMOZ reveals that in the upper troposphere, westerly winds drive North American and northward propagating South African pollutants east-

- <sup>15</sup> ward where they mix with the ASM plume. Deep, overshooting convection and strong diabatic upwelling in the ASM, convectively transports a part of these plumes into the lower stratosphere. The Caribbean region is another source of pollution transport into the stratosphere. Some cross tropopause transport occurs due to overshooting convection over North America and Southern Africa as well. Some cross tropopause transport
- <sup>20</sup> occurs due to overshooting convection over North America and Southern Africa as well. In the lower stratosphere the injected pollutants from ASM, WAM and NAM are transported westward by easterly winds and into the Southern Hemisphere subtropics by the Brewer–Dobson circulation. In the Southern Hemisphere, plumes rising from convective zones of South Africa, South America and Indonesia–Australia are evident in
- the model simulations, but are not seen in the MIPAS retrievals. PAN concentrations are higher in the plume rising from South Africa than SAM and AUSM. In the upper troposphere, they merge by the prevailing westerly winds. MIPAS-E observations in the UTLS show a single plume over South Africa and no enhancement over SAM or



AUSM. The reasons for the single plume seen in MIPAS-E may be that although there is uplifting by each of the three monsoon systems lower concentrations of PAN reach these altitudes (above 8 km) from SAM and AUSM until they merge with South African plume. It is also possible that the three plume structure in the upper troposphere seen

- in the model is being obscured in the observations due to sampling issues. Convective cloud cover is strongly associated with deep convection in the ASM region. The MIPAS-E data has a PAN minimum in the upper troposphere right in the longitude band of the deep convection over the southern flanks of the Himalayas (Fig. 4a). This feature is unphysical and clearly identifies a sampling bias. However, the model is also
- <sup>10</sup> not fully reproducing the latitudinal structure of the PAN in the ASM region UTLS which indicates that there are differences in both the distribution of convection and the large scale circulation.

The horizontal transport of PAN analyzed from ECHAM5–HAMMOZ simulations shows that the PAN from southern Africa and Brazil is transported towards America by the circulation around a large upper-level anticyclone and then lifted to the UTLS in the NAM region.

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The vertical distribution of simulated  $HNO_3$  over the monsoon regimes shows low concentrations above 10 km at the foothills of the Himalayas. In contrast, the results show strong uplifting of  $HNO_3$  into the upper troposphere with NAM convection. This may be due to the fact that NAM convection is not as intense as the ASM and there may be more wet removal of nitrogen oxides in the ASM convection. The model simulations indicate a higher efficiency of  $NO_x$  conversion to  $HNO_3$  over the Indian region compared to NAM.

Lightning production of  $NO_x$  may enhance PAN concentrations in the upper troposphere and affect its transport into the lower stratosphere. The percentage change in lightning produced ozone,  $HNO_3$ , PAN and  $NO_x$  has been evaluated with a sensitivity simulation. In the upper troposphere, lightning causes significant increases in these species over equatorial America, equatorial Africa and the ASM region. These regions coincide with intense convective zones with significant vertical transport. Lighting pro-



duction is higher over equatorial Africa and America compared to the ASM. However, the vertical distribution shows that higher amounts of PAN are transported into the upper troposphere in the ASM region. This indicates that the dominant contribution to PAN over the ASM is from anthropogenic emissions. This is consistent with the fact that anthropogenic emissions in the ASM region are higher than in the NAM and WAM (Lamsal et al., 2011; Miyazak et al., 2012).

Recent observations show a positive trend (with time) in lightning (Price and Asfur, 2006) and deep convective activity over the tropical land mass (Aumann and Ruzmaikin, 2013). TRMM precipitation radar also shows high density of deep overshooting events over the convective regions of the Caribbean, Mexico, Sahara desert, Congo and Indonesia (Liu and Zipser, 2005) during the summer monsoon season. This should amplify the UTLS trend from increasing tropospheric pollution. However, an analysis of this process is beyond the scope of this study.

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#### Table 1. Global aircraft measurements used for model evaluation.

Experiment Bate Hame Opened Ecoation	
POLINAT-2      (Falcon), Ziereis et al. (2000)      19 Sep–Oct 25, 1997      O <sub>3</sub> , NO <sub>x</sub> Canary-Islands: LAT = 25, 35° N, LON = 16      E-Atlantic: LAT = 35, 45° N, LON = 150, 160        Europe:      LAT = 45, 55° N, LON = 5, 15° E, Ireland:      Ireland: LAT = 50, 60° N, LON = 165, 175° N      Ireland: LAT = 50, 60° N, LON = 165, 175° N	0, 170° W, )° W, V
PEM-Tropics-A      (DC8), Talbot et al. (2000)      24 Aug-15 Oct 1996      O <sub>3</sub> , NO <sub>x</sub> , HNO <sub>3</sub> , PAN      Christmas-Island: LAN = 0, 10° N, LON = 2        Easter-Island: LAT = -40° N, 20° S, LON =      Fiji: LAT = -40° N, 20° S, LON =      Fiji: LAT = -40° N, 20° S, LON = 170° W, Hawai: LAT = 10, 30° N, LON = 10, 30° W, Tahiti: LAT = 20° S, 0°, LON = 20, 50° W	0, 40° W, 60, 80° W,
PEM-Tropics-A      (P3), O'Sullivan et al. (1999)      15 Aug-26 Sep 1996      O <sub>3</sub> , HNO <sub>3</sub> Christmas-Island: LAT = 0°, 10° N, LON = 2        Easter-Island: LAT = 40, 20° S, LON = 60, E      Hawai: LAT = 10, 30° N, LON = 10, 30° N, UON = 10, 30°	0, 40° W, 80° W,
ABLE-3B      (Electra), Harriss et al. (1994)      6 Jul-15 Aug 1990      O <sub>3</sub> , NO <sub>x</sub> , HNO <sub>3</sub> , PAN      Labrador: LAT = 50, 55° N, LON = 120, 135        Ortario: LAT = 45, 60° N, LON = 90, 100° W      US-E-Coast: LAT = 45, 60° N, LON = 90, 100° W      US-E-Coast: LAT = 35, 45° N, LON = 100, -        CITE-3 (Electra), Hoell et al. (1993)      22 Aug-29 Sep 1989      O <sub>2</sub> , NO, NAtal: LAT = 15° S, 5° N, LON = 145, 155° V	°W, /, 10°W.
Wallops:      LAT = 30, 40° N, LON = 100, 110°        ELCHEM (Sabreliner), Ridley et al. (1999)      27 Jul–22 Aug 1989      O <sub>3</sub> , NO <sub>x</sub> New-Mexico: LAT = 30, 35° N, LON = 70, 72	W 5° W
ABLE-3A (Electra), Harriss et al. (1992) 7 Jul-17 Aug 1988 O <sub>3</sub> , NO <sub>x</sub> , PAN Alaska: LAT = 55, 75° N, LON = 10, 25° W	
ABLE-2A      (Electra), Harris et al. (1988)      12 Jul–13 Aug 1985      O3      E-Brazil: LAT = 10° S, 0°, LON = 120, 135° V        W-Brazil: LAT = 5° S, 0°, LON = 110, 120° V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V      V </td <td>N, V</td>	N, V
STRATOZ-3 (Caravelle 116), Drummond et al. (1988)      4–26 Jun 1984      O3      Brazil: LAT = 20* S, 0*, LON = 135, 155* W, Canary-Islands: LAT = 20, 35* N, LON = 16 E-Tropical-N-Atlantic: LAT = 0; 20* N, LON England: LAT = 45, 60* N, LON = 10; E, 5* Goose-Bay: LAT = 45, 60* N, LON = 10; E, 5* Goose-Bay: LAT = 45, 60* N, LON = 10; I, 15* Greenland: LAT = 60, 70* N, LON = 110, 11 Greenland: LAT = 60, 70* N, LON = 110, 11 Iceland: LAT = 60, 70* N, LON = 110, 15* NW-South-America: LAT = -5, 10* N, LON Puerto-Rico: LAT = 10, 25* N, LON = 150, IS5* Se-South-America: LAT = 45, 20* S, LON = 9 Western-N-Atlantic: LAT = 25, 45* N, LON	0, 155° W, = 150, 165° W, 25° W, 0° W, 8, 95, 115° W, 20° W, 15, 120° W, 115, 140° W, = 105, 112° W, 5, 110° W, = 110, 120° W
<u>CITE-2</u> (Electra), Hoell et al. (1990) 11 Aug–5 Sep 1986 O <sub>3</sub> , NO <sub>x</sub> , HNO <sub>3</sub> , PAN Calif: LAT = 35, 45° N, LON = 55, 70° W, Pacific: LAT = 30, 45° N, LON = 45, 55° W	
INTEX-A, Singh et al. (2006)      Jul-Aug 2004      O <sub>3</sub> , PAN, NO <sub>x</sub> Eastern North America: LAT = 29, 51° N, L0        CAIPEEX, Prabha et al. (2011)      Sep-Oct 2010      O <sub>3</sub> , NO <sub>x</sub> LAT = 12, 22° N, LON = 74, 78° E	DN: 44–120° W



**Discussion** Paper

**Discussion** Paper



Figure 1. PAN production rates at (a) 14 km and (b) 16 km. Key regions of biomass burning and anthropogenic emissions of pollutants are evident and correspond to maxima in PAN production. Weaker dispersed background formation is evident as well.



**Discussion** Paper









**Figure 3.** Distribution of seasonal mean PAN concentration (ppt) averaged for 14–16 km **(a)** observed by MIPAS-E (climatology for the period 2002–2011) **(b)** ECHAM5-HAMMOZ CTRL simulations.





**Figure 4.** Longitude–altitude cross section of PAN (ppt) averaged for monsoon season and 10–30° N; (a) MIPAS-E climatology (b) ECHAM5-HAMMOZ CTRL simulations. (c) Difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ). PAN (ppt) averaged for monsoon season and 0–25° S (d) MIPAS-E climatology (e) ECHAM5-HAMMOZ CTRL simulations (f) difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ). ECHAM5-HAMMOZ simulations are smoothed with averaging kernel of MIPAS-E. Wind vectors are indicated by black arrows in figures (b, e). The vertical velocity field has been scaled by 300. The black line in (b, e) indicates the tropopause.





**Figure 5.** Map of potential vorticity in PV units  $(1 \text{ PVU} = 10^{-6} \text{ Km}^2 \text{ kg}^{-1} \text{ s}^{-1})$  on the 370 K level for the monsoon season obtained from ECHAM5-HAMMOZ CRTL simulations.





**Figure 6.** Latitude–altitude cross section of PAN (ppt) (a) MIPAS-E climatology, averaged for monsoon season and 60–120° E, (b) PAN from ECHAM5-HAMMOZ CTRL simulations, averaged for monsoon season and 60–120° E, (c) difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ), (d) same as (a) but averaged over 70–120° W, (e) same as (b) but averaged over 70–120° W, (f) difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ), (g) same as (a) but averaged over 0–45° E, (h) same as (b) but averaged for 0–45° E, (i) difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ), (g) same as (b) but averaged over 0–45° E, (h) same as (b) but averaged for 0–45° E, (i) difference in PAN (ppt) (MIPAS-ECHAM5-HAMMOZ). Wind vectors are indicated by black arrows in figures (b), (e, f). The vertical velocity field has been scaled by 300.





**Figure 7.** Panel (a) Latitude–altitude cross section of seasonal mean ECHAM5-HAMMOZ NO<sub>x</sub> (ppt) averaged for (a) 60–120° E (b) 70–120° W (c) longitude–altitude cross section averaged over 10–40° N (d) latitude–altitude cross section averaged over 0–45° E and (e) longitude–altitude cross section averaged over 0–25° S.





Figure 8. Same as Fig. 6 but for HNO<sub>3</sub> (ppt).





Figure 9. Latitude–longitude cross section of PAN (ppt) averaged for monsoon season (a) ECHAM5-HAMMOZ simulations at 2 km (b) 4 km (c) 6 km (d) 8 km (e) 12 km (f) 18 km. MIPAS-E climatology at (g) 12 km (h) 18 km.





**Figure 10.** Zonal averaged seasonal mean changes (percentage) produced from lightning in (a) ozone (b)  $HNO_3$  (c) PAN (d)  $NO_x$ , distribution of seasonal mean changes (percentage) produced from lightning in (e) ozone (f)  $HNO_3$  (g) PAN (h)  $NO_x$  at 12 km.

