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# A comparison of secondary organic aerosol (SOA) yields and composition from ozonolysis of monoterpenes at varying concentrations of NO<sub>2</sub>

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## Abstract

The effect of NO<sub>2</sub> on secondary organic aerosol (SOA) formation from ozonolysis of  $\alpha$ -pinene,  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene was investigated using a dark flow-through reaction chamber. SOA mass yields were calculated for each monoterpene from ozonolysis with varying NO<sub>2</sub> concentrations. Kinetics modeling of the first generation gas-phase chemistry suggests that differences in observed aerosol yields for different NO<sub>2</sub> concentrations are consistent with NO<sub>3</sub> formation and subsequent competition between O<sub>3</sub> and NO<sub>3</sub> to oxidize each monoterpene.  $\alpha$ -pinene was the only monoterpene studied that showed a systematic decrease in both aerosol number concentration and mass concentration with increasing [NO<sub>2</sub>].  $\beta$ -pinene and  $\Delta^3$ -carene produced fewer particles at higher [NO<sub>2</sub>], but both retained moderate mass yields. Limonene exhibited both higher number concentrations and greater mass concentrations at higher [NO<sub>2</sub>]. SOA from each experiment was collected and analyzed by HPLC-ESI-MS, enabling comparisons between product distributions for each system. In general, the systems influenced by NO<sub>3</sub> oxidation contained more high molecular weight products (MW > 400 amu), suggesting the importance of oligomerization mechanisms in NO<sub>3</sub>-initiated SOA formation.  $\alpha$ -pinene, which showed anomalously low aerosol mass yields in the presence of NO<sub>2</sub>, showed no increase in these oligomer peaks, suggesting that lack of oligomer formation is a likely cause of  $\alpha$ -pinene's near 0% yields with NO<sub>3</sub>. Through direct comparisons of mixed-oxidant systems, this work suggests that NO<sub>3</sub> is likely to dominate nighttime oxidation pathways in most regions with both biogenic and anthropogenic influences. Therefore, accurately constraining SOA yields from NO<sub>3</sub> oxidation, which vary substantially with the VOC precursor, is essential in predicting nighttime aerosol production.

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## 1 Introduction

Secondary organic aerosol (SOA) forms in the atmosphere from oxidized volatile organic compounds (VOCs) that are of low enough volatility to be able to partition into the condensed phase. Aerosol directly affects Earth's radiative balance and also contributes to cloud formation, both of which have important climate forcing implications (IPCC, 2013). Aerosol is responsible for regional haze, and has been shown to cause adverse cardiopulmonary health effects (Pope III et al., 1995; Davidson et al., 2005). SOA constitutes a large fraction of the total aerosol budget, but it is still poorly constrained in global chemical transport models, which underpredict ambient aerosol concentrations by one to two orders of magnitude (Heald et al., 2005, 2011). These models use laboratory-derived parameters, but uncertainty in precursors, detailed mechanisms, and mechanistic differences between chamber simulations and the real atmosphere result in the vast discrepancies between models and observations (Kroll and Seinfeld, 2008; Hallquist et al., 2009).

Nearly 90 % of the non-methane VOCs emitted globally are biogenic in origin, so it should follow that a large fraction of the uncertainty in model predictions of the SOA budget comes from uncertainty in how biogenic VOCs (BVOCs) form aerosol (Guenther et al., 1995; Middleton, 1995). Different plant species emit different types and ratios of BVOCs, so the specific distribution of BVOCs emitted to the atmosphere is dependent on unique mixtures of vegetation and thus varies a great deal regionally. Monoterpenes are one such class of BVOC that is both widely emitted and has been shown in the laboratory to efficiently produce SOA (Goldstein and Galbally, 2007; Sakulyanontvittaya et al., 2008; Griffin et al., 1999; Hallquist et al., 1999; Ng et al., 2006; Ehn et al., 2014; Hoyle et al., 2011). On average in the US,  $\alpha$ -pinene is the most dominant monoterpene emission, but  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene (Fig. 1) are also prevalent and are emitted equally or more than  $\alpha$ -pinene in some regions (Geron et al., 2000).

While most VOCs are biogenic, the majority of atmospheric oxidants are anthropogenically sourced, and thus human activity is highly influential on SOA production

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(Carlton et al., 2010). At night, most VOC oxidation in the troposphere occurs by way of either photolabile nitrate radical ( $\text{NO}_3$ ) or longer-lived ozone ( $\text{O}_3$ ), which is photochemically produced but is not rapidly and completely consumed at sundown as is the hydroxyl radical ( $\text{OH}$ ). The formation of both of these tropospheric oxidants requires  $\text{NO}_2$ , nearly 90 % of which in the US (64 % globally) is estimated to come from anthropogenic sources (Reis et al., 2009). Organonitrates have been observed in ambient nighttime aerosol during multiple field studies (Fry et al., 2013; Rollins et al., 2012; Xu et al., 2015), consistent with  $\text{NO}_3$  oxidation, and  $\text{NO}_3$  initiated production of aerosol organonitrates may even be competitive during the day in some regions with high BVOC emissions (Ayres et al., 2015). These observations are consistent with several laboratory studies that have found moderate to high aerosol yields from  $\text{NO}_3$  oxidation (Griffin et al., 1999; Hallquist et al., 1999; Fry et al., 2009, 2011, 2014; Spittler et al., 2006; Moldanova and Ljungström, 2000), but this body of literature is comparatively small relative to  $\text{OH}$  and  $\text{O}_3$  oxidation studies.

Most chamber studies of  $\text{NO}_3$ -derived SOA generate  $\text{NO}_3$  through the thermal dissociation of  $\text{N}_2\text{O}_5$  in order to minimize the complexity caused by introducing a second oxidant (Griffin et al., 1999; Hallquist et al., 1999; Fry et al., 2014). Fewer studies have been done using the atmospherically more relevant conditions of introducing both  $\text{O}_3$  and  $\text{NO}_2$  into the chamber to mimic this full range of nighttime oxidation chemistry (Perraud et al., 2012; Presto et al., 2005; Boyd et al., 2015). Perraud et al. (2012) and Presto et al. (2005) both studied the effects of a range of  $\text{NO}_2$  concentrations on dark ozonolysis of  $\alpha$ -pinene, and both observed that increased  $[\text{NO}_2]$  suppresses aerosol formation. To our knowledge,  $\text{NO}_2$  effects on dark ozonolysis have not been assessed for any other monoterpenes. Ozonolysis of  $\alpha$ -pinene has been previously observed to have high aerosol yields (Ng et al., 2006) but strikingly low (0–16 %) SOA yields with  $\text{NO}_3$  (Hallquist et al., 1999; Fry et al., 2014; Spittler et al., 2006). The observed aerosol suppression in the  $\text{O}_3 + \text{NO}_2$  system is consistent with the increased contribution of  $\text{NO}_3$  at higher  $[\text{NO}_2]$ . However,  $\alpha$ -pinene is the only monoterpene that has been observed to have such drastic SOA yield discrepancies between the two oxidants (Ng

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et al., 2006; Yu et al., 1999; Hallquist et al., 1999; Fry et al., 2014), so it may not be reasonable to assume NO<sub>2</sub> has the same effect on other monoterpenes.

Here we focus on the four most prevalently emitted monoterpenes in the US:  $\alpha$ -pinene,  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene. Table 1 shows rate constants for NO<sub>3</sub> formation from NO<sub>2</sub> + O<sub>3</sub> as well as each of the nighttime oxidants with the monoterpenes used in this study. It is evident that the rates of O<sub>3</sub> loss to NO<sub>3</sub> production and BVOC oxidation are comparable when [NO<sub>2</sub>] and [BVOC] are similar. Even considering its smaller ambient concentrations, NO<sub>3</sub> oxidation is often much faster than O<sub>3</sub> oxidation, so it follows that NO<sub>3</sub> oxidation should provide an important contribution to nighttime aerosol formation in regions that are both biogenically- and anthropogenically-influenced. This work seeks to characterize the role of each competing nighttime oxidant over this broader range of monoterpenes and the influence of each on SOA formation.

## 2 Methods

SOA formation experiments were performed in a darkened 400 L PFA film chamber, shown in Fig. 2, run in flow-through mode with precursors added continuously. Each experiment, as described in Table 2, measured the aerosol production from a single monoterpene oxidized by O<sub>3</sub> with varying concentrations of NO<sub>2</sub> added. In order to make comparisons across both the range of monoterpenes and the range of [NO<sub>2</sub>], the monoterpene source and O<sub>3</sub> source concentrations were kept as constant as possible throughout the full study, allowing only the identity of the BVOC and the concentration of NO<sub>2</sub> to vary.

O<sub>3</sub> (and NO<sub>2</sub>, when applicable) were introduced into the chamber first and allowed to reach steady state prior to initiation of each experiment by BVOC injection. O<sub>3</sub> was generated by flowing zero air (Sabio Model 1001 compressed zero air generator) through a flask containing a Pen-Ray Hg lamp (primary energy at 254 nm) and was continually measured from the outlet of the chamber using a Dasibi Model 1003-AH O<sub>3</sub> monitor.

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NO<sub>2</sub> was introduced from a calibrated cylinder (Air Liquide, 0.3 % by volume in N<sub>2</sub>), and monitored using a Thermo Model 17i chemiluminescence NO<sub>x</sub>/NH<sub>3</sub> analyzer. Chemiluminescence NO<sub>x</sub> analyzers are sensitive to any species that is converted to NO in the 350 °C Mb converter responsible for converting NO<sub>2</sub> to NO (Winer et al., 1974; Grosjean and Harrison, 1985). Some of these additional species include N<sub>2</sub>O<sub>5</sub>, peroxy-nitrates (PNs), and alkyl-nitrates (ANs). At the high concentrations used in this study, these NO<sub>y</sub> contributions were significant. Kinetics modeling of the oxidant stabilization period (described in the Supplement), corroborated by a characterization of oxidant stabilization using chemiluminescence NO<sub>x</sub> analyzers and a cavity ringdown spectrometer sensitive only to NO<sub>2</sub>, indicates that we detected N<sub>2</sub>O<sub>5</sub> with approximately unit efficiency in the NO<sub>2</sub> channel of the Thermo NO<sub>x</sub> analyzer. The sensitivity of this NO<sub>x</sub> analyzer to PNs and ANs, which would have formed following BVOC addition, was not calibrated, but is expected to be near unity based on previous studies (Winer et al., 1974; Grosjean and Harrison, 1985). Modeling only the oxidant stabilization period, where NO<sub>2</sub> and N<sub>2</sub>O<sub>5</sub> were likely the only species detected in the NO<sub>2</sub> channel, provided the initial NO<sub>y</sub> concentrations shown in Table 2.

Once the oxidants stabilized, BVOC was introduced by flowing zero air over a small, cooled liquid sample of the target BVOC ((1R)-(+)- $\alpha$ -Pinene, TCI America, > 95.0 %; (–)- $\beta$ -Pinene, TCI America, > 94 %; (+)-3-Carene, TCI America, > 90.0 %; (R)-(+)-Limonene, Aldrich, > 97 %). The chiller temperature was held constant ( $\pm 0.3^\circ\text{C}$ ) during a single experiment, and ranged from  $-27$  to  $-21^\circ\text{C}$  for the different monoterpenes, based on the temperature-dependent vapor pressure that is calculated to give a mixing ratio of approximately 100 ppm in the source flask (Fig. S.3) (Haynes et al., 2012). Since vapor pressure data was unavailable for  $\Delta^3$ -carene, it was estimated to reach the temperature-dependent vapor pressure at  $-25^\circ\text{C}$  – between  $\alpha$ -pinene and  $\beta$ -pinene’s target temperatures – due to structural similarities.

Since experiments were initiated by introducing BVOC into an oxidant-rich chamber, online measurement of the reaction of the BVOC was not possible. Instead, initial VOC concentrations were characterized directly from the source flask before and after each

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experiment using a SRI Model 8610C gas chromatograph with flame ionization detector (GC-FID). Source concentrations were somewhat variable over time, so BVOC was also modeled independent of measurements in order to verify initial concentrations listed in Table 2. Methodology and uncertainties are described in the Supplement.

In addition to measurements of the gas-phase precursors, two methods were employed to measure the resulting aerosol loading and composition. Particle size distributions between 20 and 800 nm were measured at 85 s time resolution with a Scanning Electrical Mobility Sizer (SEMS; BMI Model 2002) consisting of a differential mobility analyzer (BMI Model 2000C) coupled to a Water Condensation Particle Counter (TSI Model 3781). Size-dependent aerosol loss rates to the chamber walls were characterized and used to correct size distributions to reflect the total aerosol number and volume concentrations produced in each experiment (McMurry and Grosjean, 1985; VanReken et al., 2006; Fry et al., 2014). This methodology is described in further detail in the Supplement. Aerosol samples from each experiment were collected onto filters (47 mm quartz fiber). Each filter was extracted by sonication in 3 : 1 deionized water : acetonitrile to minimize solvent reactions with analyte compounds (Bateman et al., 2008), and the resulting extract was analyzed offline by High Performance Liquid Chromatography-Electrospray Ionization-Mass Spectrometry (HPLC-ESI-MS).

Due to its relatively soft ionization source and thus minimal fragmentation of analyte compounds, ESI-MS has been employed in several studies to probe SOA composition (Bateman et al., 2008, 2012; Walser et al., 2008; Doezeema et al., 2012). The HPLC-ESI-MS system used here consists of an Agilent 1100 Series liquid chromatograph coupled to an Agilent LC/MCD TOF G1969A time-of-flight mass spectrometer with an electrospray ionization source. The chromatographic separation occurred on a Kinetex 100 mm  $\times$  3 mm C18 column with 2.6  $\mu$ m particle size and a sample injection volume of 50  $\mu$ L at a flow rate of 0.5 mL min<sup>-1</sup>. The electrospray ionization system had a nebulizer gas pressure of 50 psi and an electrospray voltage of 3000 V. High mass resolution ( $m/\Delta m$  varies between 5000 at  $m/z$  118 amu to 15 000 at  $m/z$  1822 amu) and

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chromatographic separation of the analytes allowed for straightforward identification of product molecular formulae (Desyaterik et al., 2013).

### 3 Results and discussion

#### 3.1 Aerosol formation trends

5 Raw number and volume concentration time series are presented in Fig. 3. These comparisons are not directly indicative of relative yields due to differences in initial monoterpene concentrations shown in Table 2 (see Sect. 3.2 for true aerosol mass yields). However, they nicely illustrate the vast diversity of the behavior of each monoterpene with respect to systematically changing oxidant conditions, from O<sub>3</sub>-dominated to NO<sub>3</sub>-dominated.  $\alpha$ -pinene exhibits a decrease in both the total number of particles produced ( $N_{\text{tot}}$ ) and total aerosol volume produced ( $V_{\text{tot}}$ ) with increasing NO<sub>2</sub>, consistent with the findings of other studies (Perraud et al., 2012; Presto et al., 2005).  $\beta$ -pinene and  $\Delta^3$ -carene both exhibit a similar decrease in  $N_{\text{tot}}$  with addition of NO<sub>2</sub> as  $\alpha$ -pinene, but at early times in the reaction, the addition of NO<sub>2</sub> appears to enhance volume growth relative to the O<sub>3</sub>-only experiment. Limonene exhibits enhancement in both  $N_{\text{tot}}$  and  $V_{\text{tot}}$ . While all three of the monoalkene monoterpenes produce fewer particles at higher [NO<sub>2</sub>],  $\alpha$ -pinene is the only terpene for which the aerosol production seems to be systematically depleted with the addition of NO<sub>2</sub>.  $\beta$ -pinene and  $\Delta^3$ -carene, in contrast, seem to level off at comparable  $N_{\text{tot}}$  values for the intermediate range of [NO<sub>2</sub>]. All four monoterpenes exhibit suppression of aerosol formation at the highest [NO<sub>2</sub>] studied, which may be the result of RO<sub>2</sub> + NO<sub>2</sub> chemistry becoming kinetically dominant at such high concentrations and producing metastable, less condensable peroxyxynitrate products (Barthelmie and Pryor, 1999).



## 3.2 SOA yields

Unitless aerosol mass yields ( $Y$ ) are defined as the aerosol mass produced per hydrocarbon mass consumed ( $Y = \Delta M / \Delta \text{HC}$ ). Since the hydrocarbon was not measured online during experiments,  $\Delta \text{HC}$  values were determined using the gas-phase kinetics model described in detail in the Supplement. The modeled cumulative concentration of monoterpene reacted was converted to  $\Delta \text{HC}$  in  $\mu\text{g m}^{-3}$  using the molecular weight of monoterpenes ( $136.23 \text{ g mol}^{-1}$ ).  $\Delta M$  was determined by converting the wall loss-corrected aerosol total volume data to mass, assuming a SOA density of  $1.4 \text{ g mL}^{-1}$  (Hoyle et al., 2011). Thus a time series of mass yields was attainable, shown in Fig. 4 as aerosol mass produced ( $\Delta M$ ) vs.  $\Delta \text{HC}$  consumed, where  $\Delta M$  and  $\Delta \text{HC}$  are calculated relative to the beginning of the experiment. In the kinetics model,  $\Delta \text{HC}$  is calculated based on how much of each oxidant reacts with the monoterpene. However,  $\text{NO}_3$  can also react with subsequent  $\text{RO}_2$  radicals, thus depleting the concentration available to react directly with BVOC. The rate constant for  $\text{RO}_2 + \text{NO}_3$  ( $2 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) is reasonably well known and constant over a range of  $\text{RO}_2$  structures (Vaughan et al., 2006). The rate constant for  $\text{RO}_2 + \text{RO}_2$ , the main competing  $\text{RO}_2$  sink, is far more variable over  $\text{RO}_2$  structures, though, so the “best estimate” employed in this study spans three orders of magnitude (described further in Supplement). Therefore,  $k_{\text{RO}_2 + \text{RO}_2}$  is the largest source of uncertainty in  $\Delta \text{HC}$ , and aerosol yield ranges are calculated spanning the minimum ( $10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) and maximum ( $10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) values used. Because  $\text{O}_3$  is not expected to react with  $\text{RO}_2$  (whereas  $\text{NO}_3$  does),  $\Delta \text{HC}$  from the  $\text{O}_3$ -only experiments does not vary in response to shifting  $k_{\text{RO}_2 + \text{RO}_2}$  values. Aerosol yields were not constant over the course of each experiment, as seen by the non-linear yield curves in Fig. 4, where the regions with the greatest slopes (relative to  $\Delta \text{HC} = 0$ ) have the highest mass yield. The yields reported in Table 3 are the maximum yield observed during the course of the experiment, typically observed during the first two hours, for each of the low and high  $k_{\text{RO}_2 + \text{RO}_2}$  limits. In some cases, the aerosol growth rapidly exceeded the size range of the SEMS (20–800 nm). Aerosol

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data presented here is truncated as soon as the size distribution exceeds the range of the SEMS instrument and is represented as a lower limit to the maximum aerosol yield because all subsequent data will be an underestimation of mass.

With the mass yield effectively normalizing these mass yields across varying  $\Delta\text{HC}$ , we still see similar trends as were observed in the  $V_{\text{tot}}$  panels of Fig. 3. Figure 4 and Table 3 illustrate that increasing  $[\text{NO}_2]$  substantially depletes aerosol formation from  $\alpha$ -pinene, whereas  $\beta$ -pinene and  $\Delta^3$ -carene have comparable yields over the full range of oxidant conditions, and limonene exhibits enhancement of aerosol formation at higher  $[\text{NO}_2]$ . It should be noted that yield calculations were only performed on the  $\text{O}_3$ -only and lowest two  $[\text{NO}_2]$  studied for each monoterpene due to difficulties in reliably reproducing  $\Delta\text{HC}$  in the kinetics model for the highest  $[\text{NO}_2]$  experiments.

### 3.3 Individual oxidant contributions

Gas-phase kinetics modeling of the steady state conditions in the chamber yielded the time series of relative  $\text{O}_3$  and  $\text{NO}_3$  (and OH) contributions to BVOC oxidation. Since each experiment starts with  $\text{O}_3$ ,  $\text{NO}_2$ ,  $\text{NO}_3$ , and  $\text{N}_2\text{O}_5$  at their equilibrium concentrations, initial BVOC oxidation will be dominated by  $\text{NO}_3$ , which reacts orders of magnitude faster than  $\text{O}_3$  (Table 1). Eventually, as concentrations of precursors change over time, rates to each oxidant change and  $\text{O}_3$  starts to contribute. We also assume OH is produced from stabilized Criegee intermediates from ozonolysis according to the monoterpene-dependent yields found in Atkinson et al. (1992) and described in the Supplement. The timing and relative contribution of  $\text{O}_3$  depends on the relative rate constants of  $\text{O}_3$  and  $\text{NO}_3$  with each monoterpene, and thus the influence of each oxidant varies for all conditions tested.

For  $\alpha$ -pinene, the window where  $\text{NO}_3$  is responsible for all oxidation is relatively short ( $< 30$  min for either  $\text{NO}_2$  experiment). In general, it can be assumed that any aerosol formed in a period dominated by a single oxidant is the aerosol yield of that BVOC/oxidant combination. Therefore, this period where  $\text{NO}_3$  is responsible for all oxidation should give the  $\text{NO}_3 + \alpha$ -pinene aerosol yield. It is especially notable in all of

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the  $\alpha$ -pinene experiments that no aerosol forms until  $O_3$  starts contributing, as seen in Fig. 5. This observation is consistent with several studies that have seen low or even 0 % aerosol yields for  $\alpha$ -pinene +  $NO_3$  (Hallquist et al., 1999; Spittler et al., 2006; Fry et al., 2014). Because  $NO_3$  gives approximately 0 % aerosol yield, any aerosol produced from  $\alpha$ -pinene can be assumed to come from  $O_3$  (and OH) oxidation. We can calculate the  $O_3$  (with OH) aerosol yield in the  $NO_2$ -influenced experiments using  $\Delta M / \Delta HC_{O_3+OH}$ , where any of the BVOC reacted by  $NO_3$  is excluded from the yield calculation. In the  $O_3$ -only experiment,  $\alpha$ -pinene has a 28 % mass yield. The lowest  $NO_2$  condition has a 16–28 % yield from  $O_3$  (+ OH), which is consistent with the 28 % yield from the  $O_3$ -only experiment. At the next highest  $[NO_2]$ , the  $O_3$  yield drops to 2–4 %, which may simply be explained by having the lowest background aerosol mass and thus smaller absorptive partitioning contributions (Pankow, 1994).

$\beta$ -pinene in general produced the least aerosol mass and lowest number concentrations. Due to the very small number concentrations but modest aerosol yields (and thus abundant condensable vapors to grow the small number of particles),  $\beta$ -pinene size distributions grew above the size range of the SEMS quite rapidly during the  $NO_2$  experiments. The relatively short span of aerosol data, combined with faster loss of  $O_3$  to reaction with  $NO_2$  than to  $\beta$ -pinene oxidation (Table 1), resulted in the entire period of observable aerosol formation being dominated by  $NO_3$  oxidation at all concentrations of  $NO_2$ . The two lowest concentrations of  $NO_2$  both had yields within a range of 8–14 %, further indicating that both experiments were dominated by  $NO_3$  oxidation rather than varying contributions from  $NO_3$  and  $O_3$ .  $\Delta^3$ -carene displayed similar behavior to  $\beta$ -pinene. Most, though not all, of the oxidation during aerosol production went by  $NO_3$ . In the two lowest  $NO_2$  concentration experiments, the maximum aerosol yield during the period only influenced by  $NO_3$  oxidation was approximately 12–21 %. Limonene maintained relatively high aerosol yields from each set of oxidation conditions, indicating high aerosol yields from both  $O_3$  and  $NO_3$  oxidation. For the lowest  $[NO_2]$ , the first 30 min of BVOC oxidation was dominated by  $NO_3$ , with a 36–42 % yield.

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During these periods of exclusively NO<sub>3</sub> oxidation, the relative aerosol yields for each monoterpene follow the same trend observed in Fry et al. (2014), which measured aerosol yields from NO<sub>3</sub> oxidation alone at lower initial concentrations of both BVOC and NO<sub>3</sub> and observed α-pinene ≈ 0 < β-pinene < Δ<sup>3</sup>-carene < limonene. This consistency further stresses the importance of individual oxidant contributions in this complex system. The percentage of BVOC reacted by each of the three oxidants was modeled and is shown in Table 4. Comparisons were made at two hours into the reaction after the initial buildup of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> was depleted and chemical production of NO<sub>3</sub> more realistically competes with O<sub>3</sub> oxidation of BVOCs. Even at this point in time, NO<sub>3</sub> dominates the initial oxidation pathway for all NO<sub>2</sub> concentrations and all monoterpenes.

### 3.4 Determination of dominant nighttime oxidant using NO<sub>2</sub> to BVOC ratio

Using literature rate constant data (Table 1), we can approximate the NO<sub>2</sub> / BVOC mixing ratio regime where NO<sub>3</sub> will dominate nighttime oxidation for each monoterpene. Since O<sub>3</sub> contributes to both NO<sub>3</sub> formation and BVOC oxidation, and for all monoterpenes NO<sub>3</sub> oxidation is much faster than O<sub>3</sub> oxidation, we assume that once NO<sub>3</sub> production becomes faster than O<sub>3</sub> oxidation of BVOC (Eq. 1), NO<sub>3</sub> becomes the dominant oxidant. The ratio of NO<sub>2</sub> / BVOC at which this crossover occurs, defined in Eq. (2), is calculated for each monoterpene and reported in Table 5.

$$k_{(\text{O}_3+\text{NO}_2)}[\text{O}_3][\text{NO}_2] > k_{(\text{O}_3+\text{BVOC})}[\text{O}_3][\text{BVOC}] \quad (1)$$

$$\frac{[\text{NO}_2]}{[\text{BVOC}]} > \frac{k_{(\text{O}_3+\text{BVOC})}}{k_{(\text{O}_3+\text{NO}_2)}} \quad (2)$$

This calculation leaves out factors like competing sinks for NO<sub>3</sub> and is thus a very crude approximation. Nevertheless, it is noteworthy how small the magnitude of these ratios are. During the 2011 BEACHON-RoMBAS field campaign, which took place in a relatively remote forested location in the Rocky Mountain front range, [NO<sub>2</sub>] typ-

ically peaked at night around 2 ppb, and total monoterpene concentrations (1 : 1 : 1  $\alpha$ -pinene :  $\beta$ -pinene :  $\Delta^3$ -carene) peaked at night around 0.6 ppb (Fry et al., 2013). Assuming 0.2 ppb from each of the speciated monoterpenes, that gives  $[\text{NO}_2] / [\text{BVOC}]$  ratios of 10 for each – well above the minimum values presented in Table 5. These ratios are expected to be substantially higher in regions with stronger anthropogenic influences. This analysis suggests that  $\text{NO}_3$  is not only a relevant contributor to night-time oxidation chemistry, it may actually dominate oxidation pathways in many regions.

### 3.5 Bulk SOA composition

Filter samples from experiments that yielded sufficient aerosol mass (all expts in Table 2 except 1, 5, 9, 13) were collected and analyzed offline by HPLC-ESI-MS at Colorado State University. Because electrospray ionization is a soft ionization technique, this method has been shown to be especially useful for detecting a wide range of  $m/z$  products – including oligomer species that are likely to be significant SOA constituents (Walser et al., 2008; Surratt et al., 2006; Doezema et al., 2012). Although quantitative comparisons of products are not possible due to differences in mass loadings and a lack of calibration standards, qualitative differences in product distributions were readily apparent and consistent with observed aerosol yield trends.

Introducing  $\text{NO}_2$  into ozonolysis of monoterpenes influences the composition of resulting SOA in two different ways: first, by forming  $\text{NO}_3$  that can either oxidize BVOC directly or react with  $\text{NO}_3$ - or  $\text{O}_3$ -initiated  $\text{RO}_2$ , or second, by directly reacting with  $\text{RO}_2$  or other products and reaction intermediates as  $\text{NO}_2$ . A visual comparison of the total ion chromatograms from ozonolysis of  $\beta$ -pinene with no  $\text{NO}_2$  and the two lowest concentrations of  $\text{NO}_2$  (Fig. 6) shows that several new products form once  $\text{NO}_2$  is added, and that in general increasing  $[\text{NO}_2]$  simply increases the intensity of those products rather than changing product identities substantially. For ease of interpretation, results from all of the  $\text{NO}_2$ -containing experiments were combined into a single product distribution from “ $\text{NO}_3$ -influenced oxidation.” We can then compare those product distributions to those of the  $\text{O}_3$ -only experiments. A complete list of compound formulae detected

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( $> 1.5\%$  relative intensity, see Supplement) in the  $\text{O}_3$  and  $\text{NO}_3$  dominated oxidation of each monoterpene is compiled in Table S.2.

To best highlight qualitative differences in the identity of molecules that make SOA for each set of precursors, every unique compound (distinct either in mass, retention time, or both) was accounted for once, not normalized by peak intensity. A variety of average bulk composition parameters were calculated for each experiment, highlighted in Table 6, including average number of C, O, and N atoms per compound, molecular weight, and total number of products. Some artifacts may remain in this dataset, such as impurities not captured by the background subtraction or product fragments that do not reflect the original identity of the SOA product. The former should affect all samples uniformly in this analysis and thus will not influence qualitative comparisons, and the latter will either affect multiple samples and thus be irrelevant in comparisons or only affect single samples and thus still provide interesting qualitative differences.

A direct correlation between any of the average parameters ( $\text{MW}_{\text{avg}}$ ,  $C_{\text{avg}}$ ,  $O_{\text{avg}}$ ,  $N_{\text{avg}}$ ) in Table 6 and absolute aerosol yields is not obvious. Limonene ozonolysis, for example, produced the highest aerosol mass of all the conditions tested, but its average MW and number of C or O atoms are comparable to ozonolysis from all the other monoterpenes, and substantially lower than any of the  $\text{NO}_3$  experiments. However, the difference in average values, defined as the difference in each average parameter between  $\text{O}_3$  and  $\text{NO}_3$  dominated oxidation for each monoterpene ( $\Delta_{\text{avg}}$ ), are consistent with  $\text{O}_3$  vs.  $\text{NO}_3$  yield comparisons.  $\beta$ -pinene and  $\Delta^3$ -carene have similar  $\Delta_{\text{avg}}$  values for each parameter (as well as similar absolute values for each oxidant condition), suggesting that the addition of  $\text{NO}_3$  affects the product distribution of these two monoterpenes similarly. The  $\Delta_{\text{avg}}$  values for limonene are much higher than any other monoterpene in this study, consistent with it having the highest  $\text{NO}_3$  aerosol yields. Again, perhaps most notably, the  $\Delta_{\text{avg}}$  parameters hover near zero for  $\alpha$ -pinene, suggesting that the aerosol composition does not differ much between the two oxidants – consistent with all of  $\alpha$ -pinene's aerosol production coming exclusively from  $\text{O}_3$ -oxidation.

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To illustrate some of the finer detail of these product distributions, Fig. 7 shows histograms where each observed product is binned by compound mass, in 50 amu intervals. Every experiment shows some contribution from oligomer products ( $m/z > 246$ , according to Perraud et al., 2010,  $> 300$  according to Walser et al., 2008), but this contribution is most pronounced from  $\text{NO}_3$  oxidation of  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene. In particular, we observe substantially more distinct products  $> 400$  amu from  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene with the  $\text{O}_3/\text{NO}_2/\text{NO}_3$  mixture than from  $\text{O}_3$  alone. In this region, the mass distributions for  $\alpha$ -pinene in both oxidant conditions are identical. Since mass is an important contributing factor to volatility (e.g. Donahue et al., 2011), these high mass products are likely important in aerosol formation and growth, and thus may be explanatory of the observed yield differences from  $\text{NO}_3$ -oxidation. If oligomerization is an important pathway leading to SOA formation and growth from  $\text{NO}_3$ -initiated chemistry,  $\alpha$ -pinene's lack of oligomer products with  $\text{NO}_3$  may be responsible for its 0 % aerosol yield. In contrast, comparison of the four  $\text{O}_3$ -only histograms shows relatively small contributions of high MW oligomers for any monoterpene, in spite of quite high aerosol yields in some cases, indicating that aerosol formation by ozonolysis may not require oligomerization.

Recent studies of SOA nucleation and growth from ozonolysis of  $\alpha$ -pinene have shown that highly oxidized and/or oligomeric species are likely important in nucleation and early growth, but that growth beginning around 20 nm is dominated by lower MW products (140–380 amu) (Zhao et al., 2013; Winkler et al., 2012). This latter MW range is consistent with the ozonolysis products we observe for all four monoterpenes, indicating that high MW products may dominate only early stages of growth and are thus not detectable at the high mass loadings in this study.  $\text{NO}_3$  oxidation, on the other hand, seems to provide a weaker source of low volatility compounds contributing to nucleation and early growth, as seen in the decrease of  $N_{\text{tot}}$  with increasing  $[\text{NO}_2]$  in Fig. 3 (with the exception of limonene), but produces oligomers throughout the full time period of aerosol growth, leading to total aerosol mass concentrations that rival ozonolysis (with the exception of  $\alpha$ -pinene), as seen in Fig. 4. Further supporting this observed differ-

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ence in products from ozonolysis compared to  $\text{NO}_3$  oxidation is the difference in the reaction rate of each process.  $\text{O}_3 + \text{BVOC}$  is much slower than  $\text{NO}_3 + \text{BVOC}$ , which means that  $\text{RO}_2$  is produced more slowly from ozonolysis and thus the  $\text{RO}_2$  lifetime is much longer with respect to other radical species. Longer  $\text{RO}_2$  lifetimes are more conducive to isomerization processes like autoxidation (Crounse et al., 2013; Jokinen et al., 2014), which may be responsible for the initial high MW nucleating species observed in other ozonolysis studies. In contrast,  $\text{NO}_3$  oxidation produces  $\text{RO}_2$  much more rapidly, therefore increasing the likelihood of  $\text{RO}_2 + \text{RO}_2$  oligomerization.

Mass spectra alone provide limited compositional information since they do not distinguish between different functional groups. However, in this system, one functional group that can be easily parsed out of the data is the nitrate group. From the  $\text{NO}_3$  initiated oxidation chemistry, we expect that any nitrogen present in a molecule is a part of a nitrate functional group. (Some instances of  $-\text{NO}$  and  $-\text{ONO}$  have been found in the compound list, causing relatively high  $N_{\text{avg}}$  values for  $\alpha$ -pinene +  $\text{O}_3$ , for example, where we expect any nitrogen is due to impurities.) The  $\Delta_{\text{avg}}$  values in Table 6 for  $N_{\text{avg}}$  provide an approximate estimate of relative aerosol organic nitrate yield.  $\beta$ -pinene,  $\Delta^3$ -carene, and limonene all exhibit a substantial increase in average number of N per molecule with the addition of  $\text{NO}_2$ , consistent with the relatively high organic nitrate yields observed from  $\text{NO}_3$  oxidation of those species in other studies (Fry et al., 2014; Hallquist et al., 1999).  $\alpha$ -pinene produces comparatively fewer nitrogen-containing SOA products in the presence of  $\text{NO}_2$ . While the organic nitrate products from  $\alpha$ -pinene may be relatively volatile and thus not partition appreciably into the aerosol phase, it is clear that this is not a universal characteristic of  $\text{C}_{10}$  organic nitrates, as many do partition into the aerosol phase for all three other monoterpenes studied – even those with relatively low total aerosol mass loading.

We note that the products observed here from ozonolysis vs.  $\text{NO}_3$  oxidation are consistent with proposed mechanisms in the literature. Table S.3 includes proposed structures for several masses that have been observed in other studies, including several monomeric carboxylic acids and aldehydes from ozonolysis (Glasius et al., 2000; Yu

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et al., 1999) as well as multi-functional monomeric nitrates from  $\text{NO}_3$ -oxidation (Wangberg et al., 1997; Perraud et al., 2010), some of which have been included in Fig. 6 to highlight relative intensities across different  $\text{NO}_2$  conditions. Several more speculative structures are shown in the Supplement to indicate that observed oligomeric masses can be reasonably achieved from dimers of first generation oxidation products.

## 4 Conclusions

This work adds to the growing body of monoterpene aerosol yield comparison literature suggesting that monoterpene oxidation has widely varying aerosol yields depending on the specific monoterpene and oxidant combination (Fry et al., 2014; Griffin et al., 1999; Hallquist et al., 1999; Ng et al., 2006; Glasius et al., 2000; Yu et al., 1999; Lee et al., 2006). We therefore conclude, first and foremost, that there is no single “representative” monoterpene. Furthermore, the monoterpene most often considered representative of BVOC oxidation,  $\alpha$ -pinene, presents here as the greatest anomaly with respect to aerosol formation, showing higher ozonolysis aerosol mass yields than even limonene, and showing 0 % aerosol yields from reaction with  $\text{NO}_3$ .

We show that under the influence of  $\text{NO}_3$ ,  $\alpha$ -pinene produces comparatively few organic nitrates and oligomers relative to the other three monoterpenes studied. This finding is consistent with  $\alpha$ -pinene’s negligible aerosol yield with  $\text{NO}_3$  and also suggests more generally that oligomers and potentially organic nitrate monomers are important products leading to SOA formation from  $\text{NO}_3$ . Additionally, the difference in product distributions between  $\text{O}_3$  and  $\text{NO}_3$  oxidation for all monoterpenes studied (except  $\alpha$ -pinene) indicates that each oxidant broadly employs a different mechanism toward condensable products – where  $\text{O}_3$  likely nucleates and grows enough aerosol mass early in the reaction that subsequent condensation is governed by comparatively small molecular weight species, whereas  $\text{NO}_3$  produces less extremely low volatility material early but produces oligomers consistently throughout the period of condensation such that they constitute an observable fraction of the bulk aerosol.

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Careful treatment of the first generation kinetics of this atmospherically relevant nighttime oxidant mixture also served to contextualize the relative importance of each observed aerosol precursor in different regions. We propose using  $\text{NO}_2$  / BVOC ratios for each monoterpene to predict the dominant nighttime oxidation pathway for each (Table 5). For example, for  $\beta$ -pinene at  $\text{NO}_2$  / BVOC ratios greater than 0.47,  $\text{NO}_3$  oxidation will begin to out-compete  $\text{O}_3$  oxidation, suggesting that  $\beta$ -pinene oxidation by  $\text{O}_3$  is likely to be minor at night in all but the most pristine environments.  $\beta$ -pinene displays a rather extreme manifestation of this observation, but all four monoterpenes studied have  $\text{NO}_2$  / BVOC ratios such that  $\text{NO}_3$  oxidation is likely to dominate even in relatively remote regions.

The complexity shown by just these four BVOCs reacting with two different oxidants suggests that bulk parameters in global and regional models need to be very carefully considered if they are going to accurately match observed ambient organic aerosol loadings. These models use one or two, typically daytime, aerosol yield parameters for bulk monoterpenes – often considering  $\alpha$ -pinene or  $\beta$ -pinene yields to be representative (e.g. Lane et al., 2008). To the knowledge of the authors, the modeling approach of Pye et al. (2010) is the only global-scale model that parameterizes  $\text{NO}_3$  chemistry. Future challenges in constraining the global aerosol budget will likely require creating more nuanced approaches to modeling different regions with ostensibly similar chemistry that has been shown to have diverse effects on aerosol formation.

**The Supplement related to this article is available online at  
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**Table 1.** Rate constants at 298 K for  $\text{NO}_2 + \text{O}_3$  (Sander et al., 2011) and for both  $\text{O}_3$  and  $\text{NO}_3$  with selected monoterpenes (Atkinson and Arey, 2003).

	$k \times 10^{17}$ ( $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ )	$k_{\text{O}_3} \times 10^{17}$ ( $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ )	$k_{\text{NO}_3} \times 10^{12}$ ( $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ )
$\text{NO}_2 + \text{O}_3$	3.2	–	–
$\alpha$ -pinene	–	8.4	6.2
$\beta$ -pinene	–	1.5	2.51
$\Delta^3$ -carene	–	3.7	9.1
limonene	–	21	12.2

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<sup>g</sup> Values calculated using kinetics model.

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**Table 3.** Maximum aerosol mass yield observed, typically occurring within the first two hours of each experiment. The ranges in the low and medium NO<sub>2</sub> experiments reflect uncertainty in ΔHC due to the RO<sub>2</sub> + RO<sub>2</sub> rate constant.

	Aerosol Mass Yield		
	O <sub>3</sub> -only	low NO <sub>2</sub>	med NO <sub>2</sub>
α-pinene	28 %	6–7 %	0.7–0.8 %
β-pinene	16 %	≥ 10–14 %	≥ 8–12 %
Δ <sup>3</sup> -carene	19 %	≥ 15–21 %	≥ 12–20 %
limonene	22 %	39–42 %	36–43 %

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**Table 4.** Percentage of total BVOC reacted by each oxidant at 2 h into each experiment. In the model, OH is produced from Stabilized Criegee Intermediates from ozonolysis at the following ratios:  $\alpha$ -pinene = 0.85;  $\beta$ -pinene = 0.35;  $\Delta^3$ -carene = 1.06; limonene = 0.86 (Atkinson et al., 1992). Values from  $\text{NO}_2$ -containing experiments include two values expressed as *low* (*high*) where “low” denotes the lower  $\text{RO}_2 + \text{RO}_2$  rate constant limit ( $10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ), and “high” denotes the upper limit ( $10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) as described in the Supplement.

	$[\text{NO}_2]_i$ (ppb)	% by $\text{NO}_3$	% by $\text{O}_3$	% by OH
$\alpha$ -pinene	0	0	54	46
	510	44 (68)	34 (21)	22 (11)
	840	58 (78)	26 (15)	16 (7)
$\beta$ -pinene	0	0	74	26
	530	77 (94)	18 (5)	5 (1)
	910	81 (95)	15 (4)	4 (1)
$\Delta^3$ -carene	0	0	49	51
	290	62 (92)	21 (5)	17 (3)
	590	63 (95)	20 (4)	17 (1)
limonene	0	0	54	46
	360	45 (74)	34 (18)	21 (8)
	720	59 (85)	26 (11)	15 (4)

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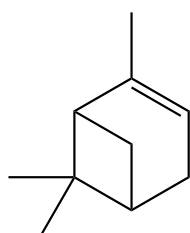
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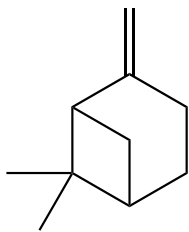
**Table 5.** Minimum  $[\text{NO}_2] / [\text{BVOC}]$  value reported for each monoterpene studied at which  $\text{NO}_3$  is expected to dominate nighttime oxidation.

BVOC	$[\text{NO}_2] / [\text{BVOC}]$
$\alpha$ -pinene	2.6
$\beta$ -pinene	0.47
$\Delta^3$ -carene	1.2
limonene	6.6

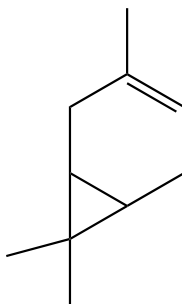




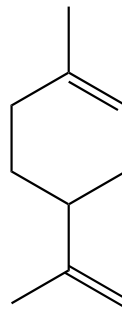
$\alpha$ -pinene



$\beta$ -pinene



$\Delta$ -carene

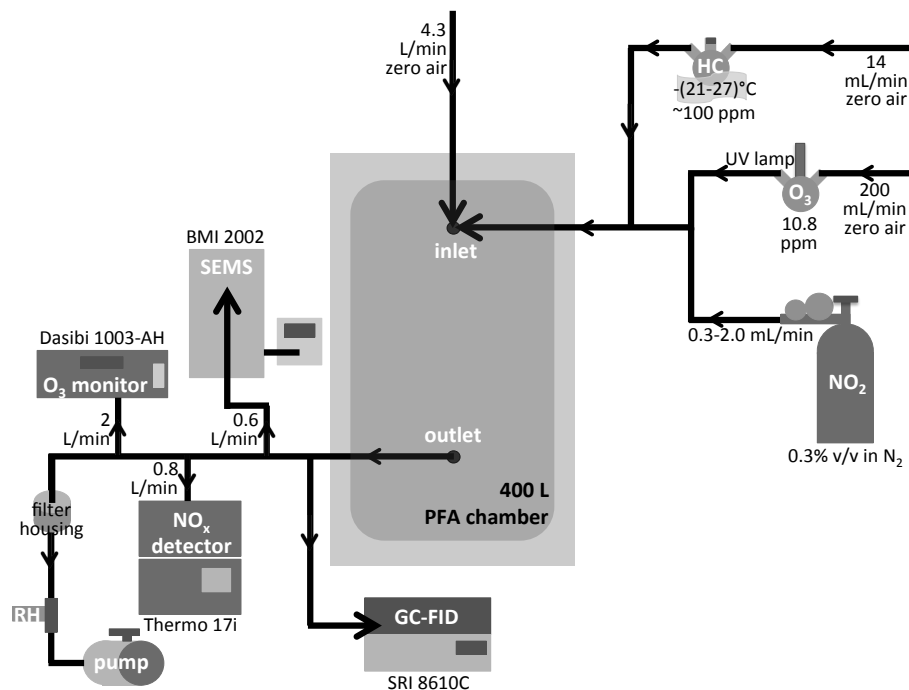


limonene

**Figure 1.** Structures of monoterpenes used in this study.

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**Figure 2.** Reed Environmental Chamber (REC) schematic for the experiments described here.

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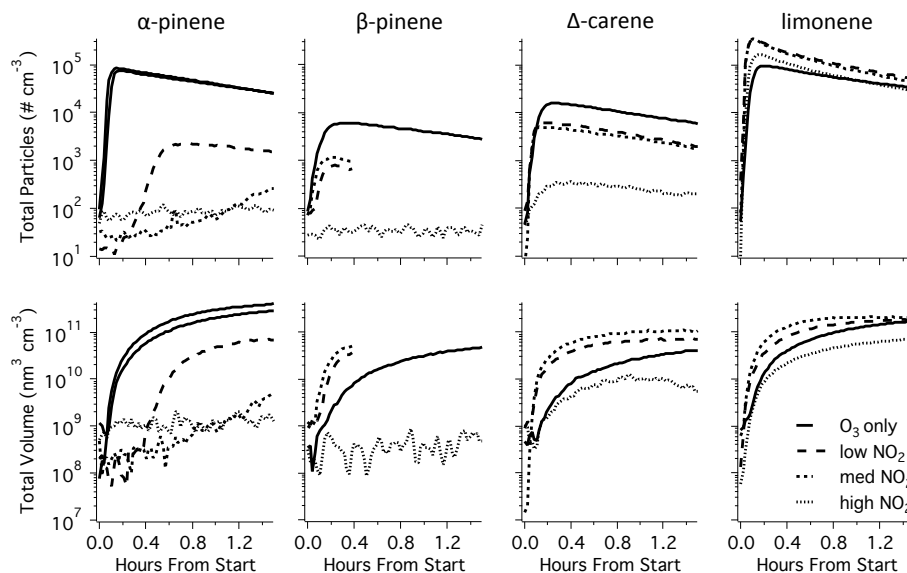
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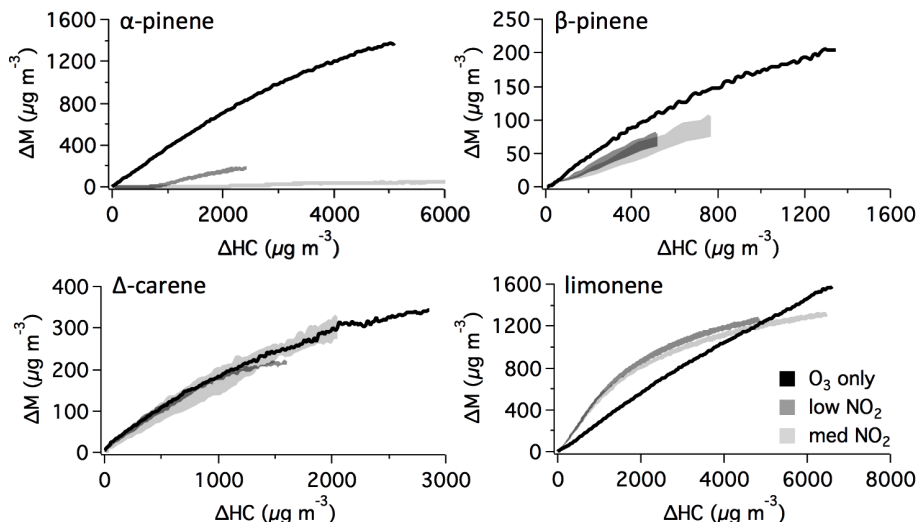


**Figure 3.** Raw total number concentrations ( $N_{\text{tot}}$ ) and total volume concentrations ( $V_{\text{tot}}$ ) at each  $\text{NO}_2$  concentration for each monoterpene studied, not corrected for wall losses.

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**Figure 4.**  $\Delta M$  vs.  $\Delta\text{HC}$  for each experiment.  $\Delta M$  is corrected for wall losses (described in Supplement). Uncertainty ranges arise from modeled  $\Delta\text{HC}$  values using the range of  $10^{-15}$  to  $10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  for  $k_{\text{RO}_2+\text{RO}_2}$  for the low and medium  $\text{NO}_2$  experiments for each monoterpene.  $\text{O}_3$ -only experiments do not have an analogous uncertainty range since all  $\text{O}_3$  was assumed to react with the monoterpene directly.

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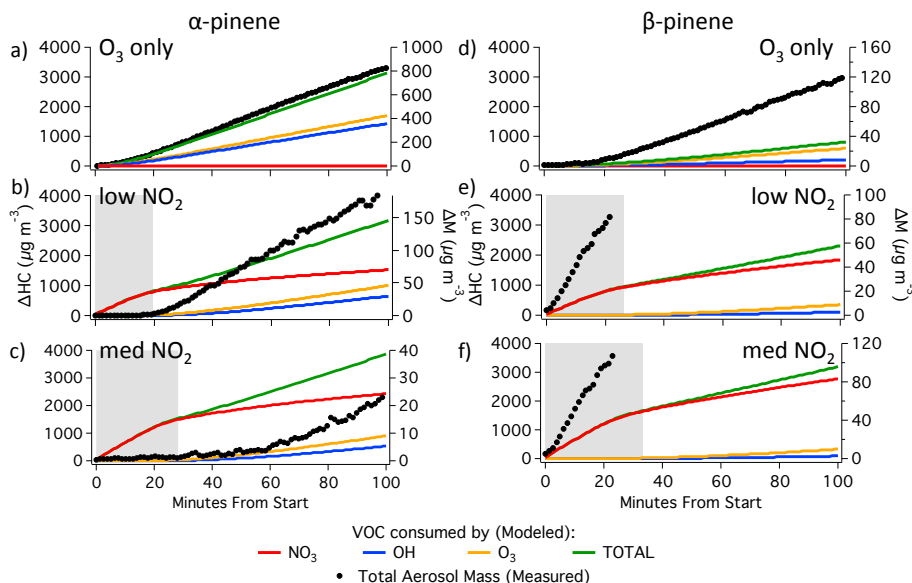
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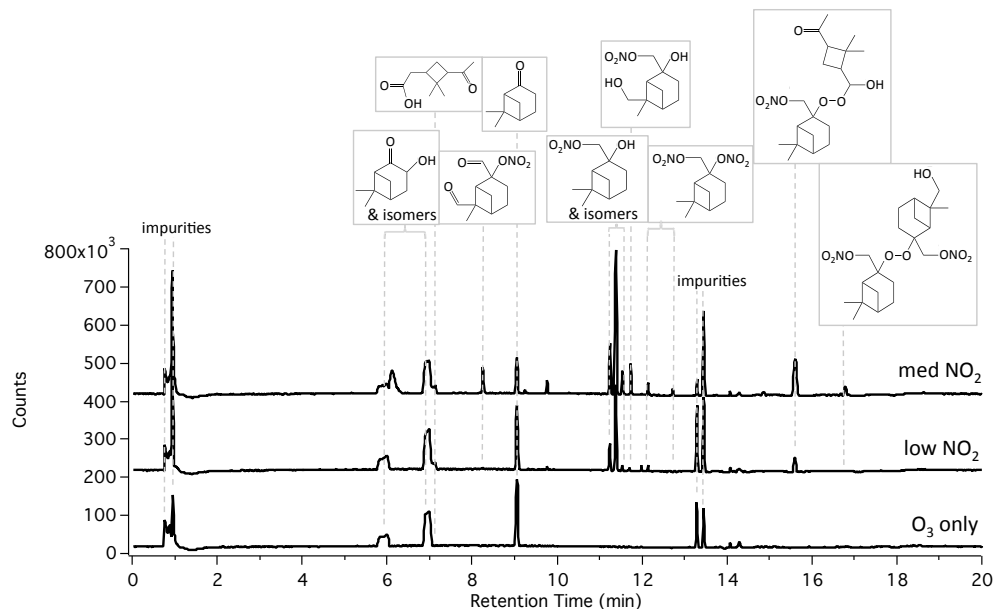


**Figure 5.** Time series of wall loss corrected aerosol mass (right axis) and VOC consumed by each oxidant (left axis) for  $\alpha$ -pinene and  $\beta$ -pinene at zero (a, d), low (b, e), and medium (c, f)  $\text{NO}_2$  concentrations, highlighting how much aerosol is produced at times dominated by  $\text{NO}_3$ -oxidation (shaded regions).  $\Delta\text{HC}$  values shown are the lower limits calculated using the lowest  $\text{RO}_2 + \text{RO}_2$  rate constant ( $10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ), which gives the low limit on how much  $\text{NO}_3$  reacts with VOC directly.



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**Figure 6.** Comparison of chromatograms from HPLC-ESI-MS samples of SOA derived from  $\beta$ -pinene ozonolysis with 0 (bottom), 530 (middle), and 910 ppb  $\text{NO}_2$  (top). Chromatograms are annotated with speculative structures corresponding to the most intense peaks. Proposed structures are listed in Table S.3 based on products observed in other studies, but may actually be isomers of the structures shown.

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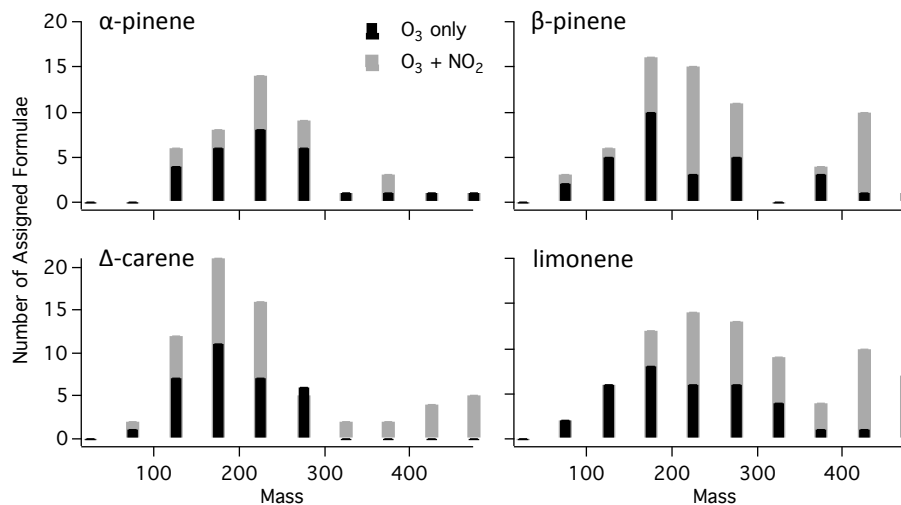
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**Figure 7.** Histograms of each  $O_3$  vs.  $NO_3$  ( $O_3 + NO_2$ ) regime for each monoterpene showing the number of compounds (left axis) in each 50 amu mass bin (bottom axis).

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