Dear Editor:

We are truly grateful to yours and other reviewers' comments during the open discussion of our manuscript (Evaluation of regional background particulate matter concentration based on vertical distribution characteristics. **No. acp-2015-65**). Based on these valuable comments, we have carefully addressed the referee's main concerns with this work. Please see point-by-point response to comments and the revised manuscript for details.

Thank you very much for your work concerning our paper.

Best regards

Sincerely yours

Yu-fen Zhang and Yin-chang Feng

Responses to the reviewer#1

Review of "Evaluation of regional background particulate matter concentration based on vertical distribution characteristics" by Han et al. This study presents vertical structures of meteorological parameters, turbulence, and PM in a 250 meter tower. The data presented here is valuable to study the effect of PBL on the PM diffusions. Because the region is under heavy PM pollution, this study provides some useful results. The paper analyzes seasonal variations of diffusion of PM at different levels, and some statistical methods are applied in this study. However, some definitions need to be clarified. The English in the paper needs to be improved. This paper needs to be revised before it can be accepted for publication. The detailed comments are listed as below.

Response: The definitions have been illustrated and the English in the paper has been improved. Detailed responses go as follows.

Specific comments:

Comment: P6; The definitions of the stable, neutral, and unstable conditions in Fig. 2 need to be explained.

Response: (Page 10, line 14 to Page 11,line 2, in the revised manuscript)

The gradient Richardson number（R_i）was used for classifying the atmospheric stability conditions:

$$R_i = \frac{g}{\overline{T}}\left[\frac{\Delta T}{\sqrt{z_1 z_2}\ln\frac{z_2}{z_1}} + r_d\right] \times \left[\frac{\sqrt{z_1 z_2}\ln\frac{z_2}{z_1}}{\Delta u}\right]$$

where, $\Delta T = T_2 - T_1$, $\Delta u = u_2 - u_1$, T_2 and $T_1$are the measured temperatures at the height of z_2 and z_1, $\overline{T}$ is the averaged temperature in the layer between level z_2 and z_1, u_2 and u_1 are the measured wind speed at levels z_2 and z_1, g is the gravitational acceleration, r_d is dry adiabatic lapse rate. According to the values of R_i, three different conditions can be distinguished: $R_i \geq 0.1$ for stable condition, $-0.1 < R_i < 0.1$ for neutral condition, and $R_i \leq -0.1$ for unstable condition.

Comment: P7**;** The definition of the night PBL height (NPBL) needs to be explained.

Response: (Page13, line 12 to Page 14, line 3, in the revised manuscript)

In this paper, temperature profile was observed at 15 platforms (5m, 10m, 20m, 30m, 40m, 60m, 80m, 100m, 120m, 140m, 160m, 180m, 200m, 220m and 250m) on the meteorological tower. The vertical gradient is calculated as

$$\frac{\Delta T}{\Delta Z} = \frac{T(z+1) - T(z)}{Z(z+1) - Z(z)}$$

where $T(z+1)$ and $T(z)$ represent the measured temperatures at levels $z+1$ and z ,and $Z(z+1)$ and $Z(z)$ represent the altitudes at levels $z+1$ and z. The height of the nocturnal planetary boundary layer (NPBL) is determined by the bottom of positive temperature vertical gradient level, i.e. the bottom of inversion.

Comment: P8 and Fig. 5; Why the $PM_{2.5}$ concentrations are higher at noontime at 220 m than other levels? Is this due to the secondary formation?

Response: (Page 17, line 4 to line 7, in the revised manuscript)

This is mainly due to strong vertical mixing at noontime. After sunrise, the PBL starts to rapidly increase. Pollutants near the ground gradually diffuse upward. At noontime, the mixing layer is fully developed with the averaged PBL height being about 1000-1200m. Among these 4 platforms (2 m, 40 m, 120 m and 220 m), $PM_{2.5}$

concentration at 220m is the highest during noon-afternoon-time.

Comment: P2; “was 40.0 ± 20.2, 63.6 ± 16.9 and 53.2 ± 11.1 μg/m^3 , respectively, in July, August and September”. Should change to “was 40.0 ± 20.2, 63.6 ± 16.9 and 53.2 ± 11.1μg/m^3 , in July, August and September, respectively”.
Response: The expression has been revised. **(Page 2, line 13 to line 14, in the revised manuscript)**

Comment: P2; Atmospheric particulate matter (PM) has drawn considerable attention because it has been associated with many urban environmental problems, such as acid precipitation, decreasing visibility and cli-mate change (Zeng and Hopke, 1989; Charlson et al., 1992; Schwartz et al., 1996;Chameides et al., 1999). PM has also been implicated in human mortality and mor-bidity (Dockery et al., 1993; Lagudu et al., 2011). The references should include Cao et al., 2013. Tie et al., 2009. Cao J.J., X. Tie, W. Dabberdt, Z.Z. Zhao, and T. Jie, On potential acid rain enhancement in eastern China, J. Geophys. Res., 118, 4834–4846,doi:10.1002/jgrd.50381, 2013.
Tie, X., D. Wu, and G. Brasseur, Lung Cancer Mortality and Exposure to Atmospheric Aerosol Particles in Guangzhou, China, Atmos. Environ, 43, 2375–2377, 2009.
Response: The references have been added in the introduction. **(Page 3, line 6 and line 10, in the revised manuscript)**

Comment: P3; “In addition, regional compound pollution” should be “In addition, regional air pollution” P3; “in the city cluster” should be “ a cluster of cities”.
Response: The expression has been revised. **(Page 3, line11-15, in the revised manuscript)**

Comment: P4; With the increase of vertical height, the influence of source emission on local air quality is weakening should be “With the increase of vertical height, the influence of source emission on local air quality decreases with altitude”
Response: The expression has been revised. **(Page 2, line 6, in the revised manuscript)**

Responses to the reviewer#2

Comment:

General

This is a commendable exercise in interpretation of tall tower aerosol results. In the introduction an overview over tall tower aerosol data interpretation(e.g.,Brown et al.,2013;Heintzenberg et al.,2008; Andreae et al.,2015) should put the present approach into perspective. The main weakness is a lack of quantification of the scales that are derived from the study.

Response: The overview over tall tower aerosol data interpretation(e.g.,Brown et al.,2013;Heintzenberg et al.,2008; Andreae et al.,2015) has been added in the introduction. Measurements at different heights within the boundary layer could represent different horizontal scales of pollution. According to our study, the nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the height of 220m can reflect the influence of regional pollution within 10^2 km away from the measurement tower. The regional scale in this study has been quantified in the revised manuscript.

Language

The English still needs substantial improvements. Examples: transform of $PM_{2.5}$, associated with each other among cities, vertical height, surface layer is closely related, change rules, variation rules of temperature, were in effect

Response:

The revised manuscript has been edited by a master of the English language.

Recommendation

Accept after revision according to comments

Detailed comment:

Comment: ACPD Page 14891, Line 1. What is " regional compound pollution"

Response: (Page 3, line 11-15, in the revised manuscript)

It has been revised as "air pollution complex" (Shao et al., 2006) in the manuscript. The air pollution complex is characterized by an increase in the oxidizing capacity of the atmosphere, reduced atmospheric visibility, and the deterioration of environmental

quality throughout the entire region; It features the interactions between the sources and sinks of air pollutants, the coupling processes of the transformation of pollutants, and the synergetic environmental impacts of air pollutants (Zhu et al., 2011).

Shao M, Tang X, Zhang Y, et al. City clusters in China: air and surface water pollution[J]. Frontiers in Ecology and the Environment, 2006, 4(7): 353-361.
Zhu T, Shang J, Zhao D F. The roles of heterogeneous chemical processes in the formation of an air pollution complex and gray haze[J]. Science China Chemistry, 2011, 54(1): 145-153.

Comment: ACPD Page 14891, Line 4. “Secondary chemical reactions” have not been introduced by Chinese scientists. Refer to appropriate textbooks instead.

Response: (Page 3, line 17-18, in the revised manuscript)

In the revised manuscript, it has been modified as follows. “The origin of PM is complex. It involves both primary emissions as well as secondary particle production due to chemical reactions in the atmosphere”.

Comment: ACPD Page 14892, Line 8. Particle size distribution should be listed under “Physical method”

Response: Particle size distribution has been listed under the “physical method” in the revised manuscript. **(Page 5, line 5, in the revised manuscript)**

Comment: Page 14892, Line 22. There are established concepts in atmospheric dynamics that could be applied here more specifically such as footprints (e.g. Schmid,2002; Foken, 2008).

Response: (Page 6, line 7-14, in the revised manuscript)

To interpret the spatial representativeness of vertical measurement, the footprint concept has been added in the revised manuscript. The footprint concept is capable of linking observed data to spatial context. The integral beneath the foot-print function expresses the total surface influence on the signal measured by the sensor at height above the surface (Schmid, 2002; Ding et al., 2005; Foken, 2008). Three main factors affecting the size and shape of flux footprint are: measurement height, surface roughness, and atmospheric stability. Increase in measurement height, decrease in surface roughness, and change in atmospheric stability from unstable to stable would lead to an increase in size of the footprint and move peak contribution away from the

instrument (https://en.wikipedia.org/wiki/Flux_footprint).

Schmid H P. Footprint modeling for vegetation atmosphere exchange studies: a review and perspective [J]. Agricultural and Forest Meteorology, 2002, 113(1): 159-183.

Ding G, Chen Z, Gao Z, et al. The vertical structure and its dynamic characteristics of PM_{10} and $PM_{2.5}$ in lower atmosphere in Beijing city [J]. Science in China, Series D, 2005, 35(S1): 31-44.

Foken T, Nappo C J. Micrometeorology[M]. Springer Science & Business Media, 2008.

Comment: Page 14893, Line 24. More specific information about $PM_{2.5}$ measurements are requested: Total time period, temporal resolution, uncertainties.

Response: (Page 7, line 21 to Page 8, line 4, in the revised manuscript)

Mass concentrations of $PM_{2.5}$ were measured using ambient particulate monitor chemiluminescence (TEOMR-RP1400a) at four levels (2m,40m,120m, and 220m) from July 1 to September 30,2009. The monitor's data output consists of 1-hour and 24-hour average mass concentration updated every 10 minutes and on the hour ,with the precision of $\pm$ 1.5 μ g/m^3(1-hour ave) and $\pm$ 0.5 μ g/m^3(24-hour ave) respectively. Accuracy for mass measurement is $\pm$0.75%.

Comment: Page 14894, Line 3. More information is requested on the PM_{10} sampling: PM_{10} inlet characteristics (as function of wind speed), start/stop of the 24 h samples.

Response: (Page 8, line 6-11, in the revised manuscript)

Twenty-four hour PM_{10} samples were collected from local Beijing time 08:00 to 07:00 the next day using medium-volume PM_{10} samplers (TH-150,Wuhan Tianhong Intelligence Instrumentation Facility) at the heights of 10 m, 40 m, 120 m, and 220 m from August 24 to September 12, 2009. The sampler has a system of automatic constant-flow control. Flow rate of sampling in this study is 100 L min^{-1}, and the relative error of flow is less than 3%.

Comment: Page 14894, Line 20.Definition of "seasons"

Response: The four seasons were designated as March to May for spring, June-August for summer, September-November for autumn, and December-February the next year for winter. **(Page 10, line 8-11, in the revised manuscript)**

Comment: Fig 2. Typo in legend

Response: The typo error “Netural” has been corrected to “Neutral”.(**Page 13, Figure 2**)

Comment: Page 14894, Line 15. Uncertainties of chemical analyses are missing

Response: (Page 8, line 16 to Page 10, line 3, in the revised manuscript)

Filters were conditioned for 48 h in darkened desiccators before and after sampling prior to gravimetric determination. The filters were weighed on a electronic microbalance(AX205,Mettler-Toledo, LLC) with a ±0.01mg sensitivity in a clean room under constant temperature(20±1℃) and RH(40±3%). Samples were stored air-tight in a refrigerator at about 4℃ before chemical analyses.

Elements(Si,Ti,Al,Mn,Ca,Mg,Na,K,Cu,Zn,Pb,Cr,Ni,Co,Fe and V) were analyzed by Inductively Coupled Plasma-atomic emission spectroscopy(ICP 9000(N+M)Thermo Electron Corporation, USA). Blank filters were processed simultaneously with sample filters. Ultrapure water, both unfiltered and filtered, and nitric acid were also analyzed. The average element values in the blanks were subtracted from those obtained for each sample filter. 10 percent of total samples were analyzed in duplicate to verify sample homogeneity. The precision and accuracy were checked by analysis of an intermediate calibration solution. Extraction efficiencies were evaluated by analysis of the certified reference material from National Research Center of CRM. The recovery value was between 85% and 110%. A calibration check was performed to ensure a relative error no more than 2% for major elements and 5% for trace elements.

Water-soluble ions(NH_4^+,Cl^-, NO_3^- and SO_4^{2-}) were analyzed by ion chromatography (DX-120, Dionex Ltd., USA) after extraction by deionized water. External calibration was employed to quantify the ions concentrations. A calibration check with external standards was performed to ensure a relative error no more than 10%. The uncertainty contributions of the calibration curve, calibration solution, and repetitive measurement for unknown sample were taken into account. The expanded uncertainty was 3.8% with a coverage factor k=2.

The thermal optical carbon analyzer (Desert Research Institute (DRI) Model 2001, Atmoslytic Inc., Calabasas, CA, USA) was used to measure organic carbon (OC) and elemental carbon (EC). The heating process can be found in IMPROVE_A protocol (Chow et al., 2010,2011; Cao et al.2003). Field blank and lab blank were considered and all sampling concentrations were revised by blank concentration. The uncertainty

contributions of the calibration curve, calibration solution, and repetitive measurement for unknown sample were taken into account. The expanded uncertainty was 7.6% with a coverage factor *k*=2.

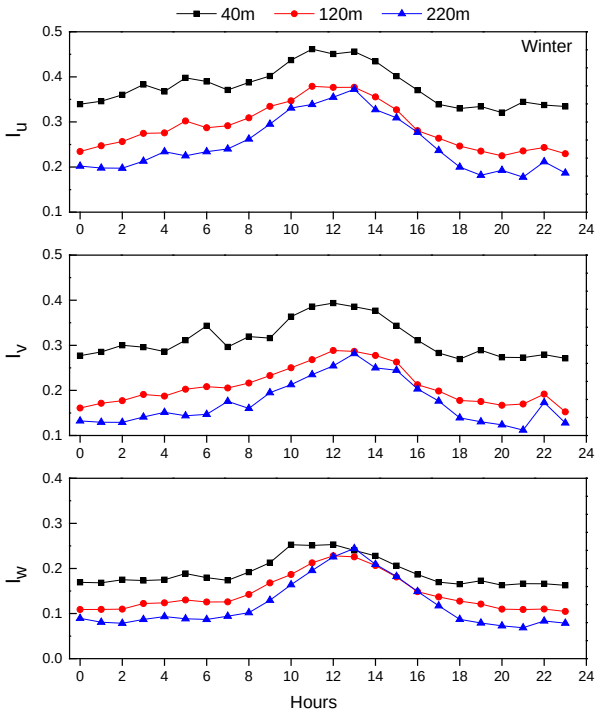
Chow J C, Watson J G, Chen L W A, et al. Quantification of $PM_{2.5}$ organic carbon sampling artifacts in US networks [J]. Atmospheric Chemistry and Physics, 2010, 10(12): 5223-5239.
Chow J C, Watson J G, Robles J, et al. Quality assurance and quality control for thermal/optical analysis of aerosol samples for organic and elemental carbon [J]. Analytical and bioanalytical chemistry, 2011, 401(10): 3141-3152.
Cao J J, Lee S C, Ho K F, et al. Characteristics of carbonaceous aerosol in Pearl River Delta Region, China during 2001 winter period[J]. Atmospheric Environment, 2003, 37(11): 1451-1460.

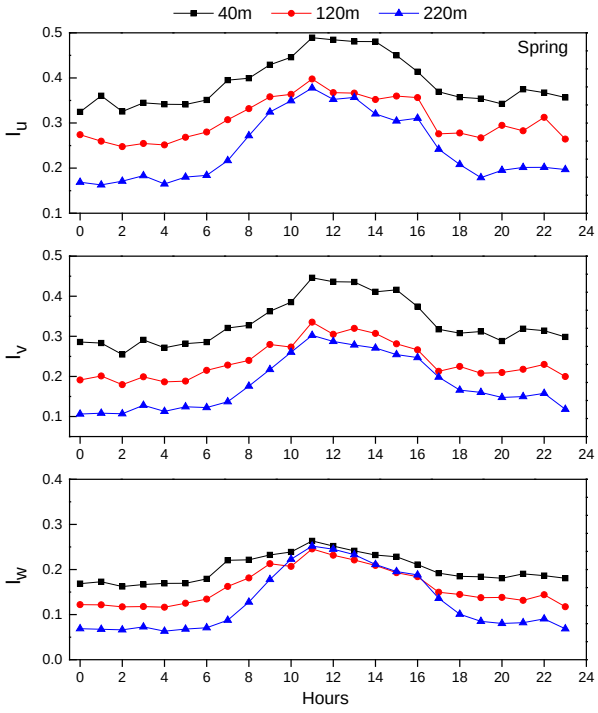
Comment: Page 14894, Line 24.Why should a temperature profile "correlate" with height?
Response: In the revised manuscript, it has been modified as follows. The vertical profile of wind speed and temperature under different stability are shown in Fig 2. In low atmosphere, weak vertical gradient in the temperature profile was observed over 100m. Similarly, small vertical gradient in wind speed was found over 150m. **(Page 11, line 3-7, in the revised manuscript)**

Comment: Page 14895, Line 12.Details on hourly PM_{10} measurements are missing.
Response: The expression is not clear. It has been modified as follows. In this study, hourly averaged $PM_{2.5}$ concentration measurement and twenty-four hour PM_{10} sampling were conducted at four platforms (10, 40, 120, and 220m). Details on PM_{10} sampling, as is stated above, have been added in the revised manuscript (section 2.2). **(Page 14, line 10-11; Page 8, line 6-11; in the revised manuscript)**

Comment: Page 14895, Line 22. Is there no seasonal variation in turbulent intensity?
Response: Diurnal variations of three dimensional components of turbulent intensity at 3 different heights in each season are shown in the Figure below (Supplemental Fig. S1 in the revised manuscript). As a whole, the averaged diurnal variations of turbulent intensity in each season were reflecting the same trends. The diurnal peaks appeared later and turbulent intensity was slightly weaker in winter than in other seasons.

(Page 15, line 5-8; Supplemental Fig. S1; in the revised manuscript;)

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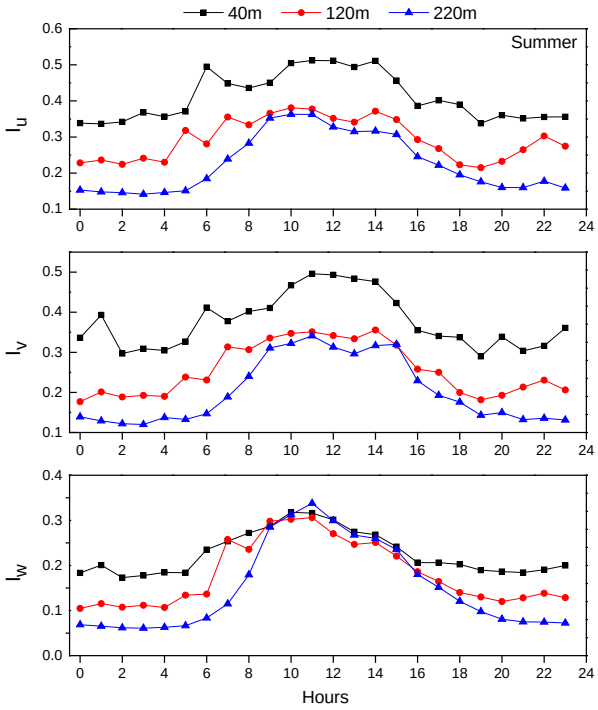
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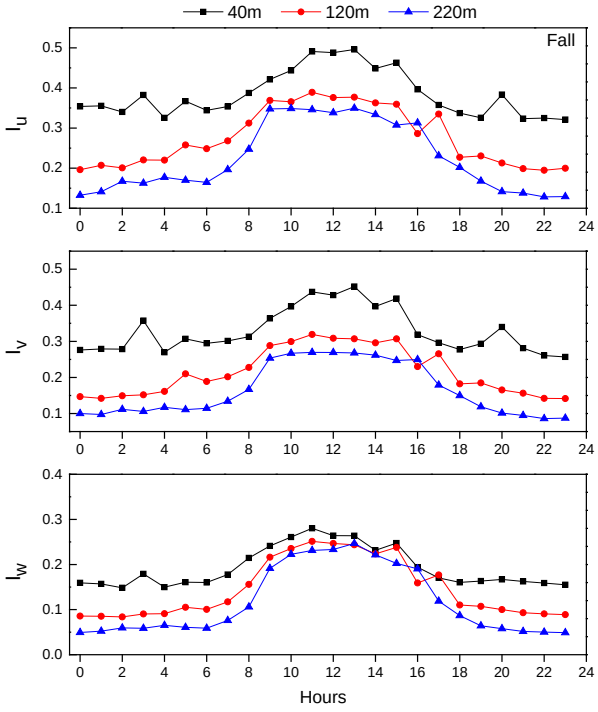

Supplemental Fig. S1 Diurnal variations of three dimensional components of turbulent intensity at 3 different heights in each season

Comment: Fig 5. Why are only fall data discussed and shown in Fig.5?

Response: Fig.5 shows the vertical diurnal variation of $PM_{2.5}$ mass concentrations during the period from July 1 to September 30, 2009. The four seasons were designated as March to May for spring, June-August for summer, September-November for fall, and December-February the next year for winter. Therefore part of the summer and fall data were discussed and shown in Fig.5. The title of Fig.5 has been corrected in the revised manuscript. **(Page 18, Figure 5, in the revised manuscript)**

Comment: Page 14896, Line 8.How can aerosol particles emitted near the ground "accumulate" at 120m during the night?

Response: The expression is ambiguous. In the revised manuscript, it has been modified as follows. The nocturnal planetary boundary layer(NPBL) height generally ranges from 100 m to 150 m(Fig. 3). At the 1st and 2nd platform (2m, 40 m), the measured PM are normally at inside of the NPBL. By contrast, the measurement platform at 220 m is generally outside the NPBL. The level 3 (120 m) is considered as

at the transition zone between inside and outside of the NPBL. Due to the dynamical stability of the NPBL, the vertical mixing of pollutants between inside and outside of the NPBL is very weak. The surface emitted PM are normally trapped inside the NPBL, leading to the difference in the amount of aerosols below and above the NPBL. Among these 4 platforms (2 m, 40 m, 120 m and 220 m), $PM_{2.5}$ concentration at 220m during the night is the lowest. This indicates that the observation value of 220 m at night is less affected by local sources of emission and is largely attributed to regional scale pollution. **(Page 17, line 7-18, in the revised manuscript)**

Comment: Page 14896, Line 14. It should be possible to calculate the PBL height throughout the day and relate the vertical particle profiles to that height throughout the day.

Response: Impact of the PBL on the vertical particle profiles has been illustrated in the revised manuscript. The vertical variation patterns of $PM_{2.5}$ concentrations were quite different during the daytime and night resulting from a combination of diurnal variations of emissions and planetary boundary layer (PBL). After sunrise, the PBL starts to rapidly increase, pollutants near the ground gradually diffuse upward and the $PM_{2.5}$ concentration near the surface gradually decreases. At noontime, the mixing layer is fully developed with the averaged PBL height being about 1000-1200m. Among these 4 platforms (2 m, 40 m, 120 m and 220 m), $PM_{2.5}$ concentration at 220m is the highest during noon-afternoon-time. In contrast, after 6 PM, the PBL starts to rapidly decrease. The nocturnal planetary boundary layer(NPBL) height generally ranges from 100 m to 150 m. At the 1^{st} and 2^{nd} platform (2 m, 40 m), the measured PM are normally at inside of the NPBL. By contrast, the measurement platform at 220 m is generally outside the NPBL. The level 3 (120 m) is considered as at the transition zone between inside and outside of the NPBL. Due to the dynamical stability of the NPBL, the vertical mixing of pollutants between inside and outside of the NPBL is very weak. The surface emitted PM are normally trapped inside the NPBL, leading to the difference in the amount of aerosols below and above the NPBL. Among these 4 platforms, $PM_{2.5}$ concentration at 220m at night is the lowest. This indicates that the observation value of 220 m at night is less affected by local sources of emission and is largely attributed to regional scale pollution. **(Page 16, line 8 to Page 17, line 18, in the revised manuscript)**

Comment: Page 14896, Line 18.The reader still does not know where the PM_{10} data

come from, are they the sum of all analyzed chemical components.

Response: (Page 8, line 6-11; Page 8, line 16 to Page 10, line 3; in the revised manuscript)

Twenty-four hour PM_{10} samples were collected from local Beijing time 08:00 to 07:00 the next day using medium-volume PM_{10} samplers (TH-150,Wuhan Tianhong Intelligence Instrumentation Facility) at the heights of 10 m, 40 m, 120 m, and 220 m from August 24 to September 12, 2009. More information on the PM_{10} sampling and chemical analyses has been illustrated in the above responses and been added in the section 2.2 in the revised manuscript. Please see the above-mentioned response to the comments.

Comment: Page 14897, Line 5. Of what use are the coefficients of divergence?

Response: Coefficients of divergence (CD) analysis has been used to assess spatial variability. The CD values provide information on the degree of uniformity between sampling sites (Krudysz et al., 2009). In this study, CD analysis was used to assess vertical variability of chemical elements in PM_{10} samples collected at 4 heights. **(Page 19, line 6-9, in the revised manuscript)**

Krudysz M, Moore K, Geller M, et al. Intra-community spatial variability of particulate matter size distributions in Southern California/Los Angeles[J]. Atmospheric Chemistry and Physics, 2009, 9(3): 1061-1075.

Comment: Page 14897, Line 25. Due to potentially high chlorine losses Cl as marker for sea salt is rather uncertain(Klockow et al.,1979).

Response: The chlorine loss definitely is a common phenomenon in the gas chemical processes of the sea salt. Many Cl^- in sea salt could be replaced by SO_4^{2-} or NO_3^- and released in HCl. In this study, we consider Cl^- as the marker of sea salt mainly because sea salt is the dominant source of Cl^- in our research region. Even after the potentially high chlorine losses, we still found certain amount of Cl^- in the PM. The purpose of the marker in this study is not to quantity how many the sea salt is, but only to get an insight into the qualitative result of the sea salt. We totally agree with the comment that such a marker may cause some uncertainty, so no definite conclusion on the sea salt's contribution was drawn in our manuscript.

Comment: Page 14898, Line 17.Are all seasons combined in the CMB modeling?

Response: Not all seasons were combined in the CMB modeling. Ambient PM_{10} sampling in this study was conducted from August 24 to September 12, 2009. The dataset of chemical composition in the PM_{10} samples during the measurement period were used in the CMB modeling. **(Page 22, line 9-10, in the revised manuscript)**

Comment: Fig. 7. The filtering results do not look convincing in comparison to the unfiltered data. The wild swings in the filtered data need to be justified and need to be explained in terms of underlying meteorological processes.

Response: The wild swings in the $PM_{2.5}$ concentration data were mainly resulted from several different meteorological processes during the measurement. The data used in this study were collected at a 255-m meteorological tower which is located at the atmospheric boundary layer observation station (WMO Id.No. 54517, 39°04'29.4''N, 117°12'20.1''E) in Tianjin. According to the meteorological dataset of that station, precipitation processes were recorded during the period of 22-24 July, with the amounts of rainfall ranged from 3.2 to 94.6mm, followed by a rapid decrease in $PM_{2.5}$ concentration on 25 July due to consequent cleaning of the air. Then, beginning on 26 July, mist paired with calm winds caused a build-up of $PM_{2.5}$ concentration until July 29. Similar meteorological processes were reported during the period of 22-25 of August, 4-9 and 20-25 of September, which resulted in the cycle of cleaning and build-up of air pollutants. **(Page 28, line 21 to Page 29, line 5 , in the revised manuscript)**

Comment: Page 14903, Line 3. Do not report more significant figures in concentration than what corresponds to the uncertainty of the data, here certainly no more than 2 significant figures.

Response: In the revised manuscript, it has been modified as follows. The averaged regional background $PM_{2.5}$ concentrations in July, August and September, 2009 were 40 ±20μg/m^3, 64 ±17 μg/m^3 and 53±11 μg/m^3, respectively. **(Page 32, line 7-8; Page 29, line 7-8; Page 2, line 13-14; in the revised manuscript)**

Comment: Page 14903, Line 13. Explain what you mean with "special stratification" in terms of standard boundary layer meteorology.

Response: The atmospheric layer at 100-150m is considered as a special stratification, the variation patterns of temperature and wind speed with height were different compared with the upper and lower layers. The vertical profile of wind speed and

temperature under different stability are shown in Fig 2. In low atmosphere, weak vertical gradient in the temperature profile was observed over 100m. Similarly, small vertical gradient in wind speed was found over 150m. Besides, from the height of 40 m to 120 m, the u, v and w components of turbulent intensity reduced by 27%, 32% and 21%, respectively. From 120 m to 220 m, the u, v and w components reduced by 12%, 13% and 15%, respectively. The descending trend is more obvious from 40 m to 120 m than that of from 120 m to 220 m. This indicates that there were fully vertical and horizontal turbulence exchanges below 120m of the tower, but relatively weaker exchanges over 120m. **(Page 30, line 12-20, in the revised manuscript)**

Comment: Page 14903, Line 20. Here and previously in the text the term regional scale needs to be quantified.

Response: Measurements at different heights within the boundary layer could represent different horizontal scales of pollution. According to our study, the nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the height of 220m can reflect the influence of regional pollution within 10^2 km away from the measurement tower. That is to say, regional scale is about 10^2 km in this study. **(Page 31, line 24-25, in the revised manuscript)**

Comment: Page 14904, Line 20. What do you mean by “better”? (also on page 14902 26)

Response: The purpose of this study is to characterize the regional pollution contribution and to evaluate regional background PM concentration levels. However, regional background concentration can hardly be measured directly. Original PM concentration time series measured on the ground reflect a combination of influence from local pollution and regional-scale pollution. A method to estimate regional background PM concentration is proposed in this paper, based on the vertical variation periodic characteristics of the atmospheric boundary layer structure and particle mass concentration, as well as the vertical distribution of chemical composition and pollution source apportionment. The measurement height influenced relatively less by local pollution emission was determined and impacts from local-scale pollution on the short-term fluctuations have been removed from the original PM concentration by wavelet transformation. After the filtering, regional-scale pollution was “better” represented in the remaining part compared with the original PM concentration time series. More explaination has been added in the

revised manuscript. **(Page 28, line 17-20; Page 30, line 2-10, in the revised manuscript)**

Evaluation of regional background particulate matter concentration based on vertical distribution characteristics

S. Han[1,2], Y. zhang[1], J. Wu[1], X. Zhang[1], Y.Tian[1], Y. Wang[1], J.Ding[1], W. Yan[1], X. Bi[1], G. Shi[1], Z. Cai[2], Q. Yao[2], H. Huang[2], Y. Feng[1]

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删除的内容: Dear Editor: .
We are truly grateful to yours and other reviewers' comments during the open discussion of our manuscript (Evaluation of regional background particulate matter concentration based on vertical distribution characteristics. **No. acp-2015-65**). Based on these valuable comments, we have carefully addressed the referee's main concerns with this work. Please see point-by-point response to comments and the revised manuscript for details. .
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Thank you very much for your work concerning our paper. .
Best regards .

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Abstract:

Heavy regional particulate matter (PM) pollution in China has resulted in an important and urgent need for joint control actions among cities. It's advisable to improve the understanding of regional background concentration of PM for the development of efficient and effective joint control policies. With the increase of height the influence of source emission on local air quality decreases with altitude, but the characteristics of regional pollution gradually become obvious. A method to estimate regional background PM concentration is proposed in this paper, based on the vertical characteristics of periodic variation in the atmospheric boundary layer structure and particle mass concentration, as well as the vertical distribution of particle size, chemical composition and pollution source apportionment. According to the method, the averaged regional background $PM_{2.5}$ concentration in July, August and September 2009, being extracted from the original time series in Tianjin, was 40 ±20μg/m^3, 64 ±17 μg/m^3 and 53±11 μg/m^3, respectively.

Key words: particulate matter, regional background concentration, atmospheric boundary layer structure, vertical characteristics of periodic variation, PM chemical composition and source apportionment

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1 Introduction

Atmospheric particulate matter (PM) has drawn considerable attention because it has been associated with many urban environmental problems, such as acid precipitation, decreasing visibility and climate change (Zeng and Hopke, 1989; Charlson et al., 1992; Schwartz et al., 1996; Chameides et al., 1999). PM has also been implicated in human mortality and morbidity (Dockery et al., 1993; Tie et al., 2009; Lagudu et al., 2011). Among the various sizes of atmospheric PM, $PM_{2.5}$ (PM with aerodynamic diameter less than 2.5 μm) is considered to be of great significance due to its links to human respiratory health (Englert, 2004) , regional-scale air pollution (Husar et al., 1981; Chameides et al., 1999) ,and potential acid rain enhancement (Cao et al.2013).

The combination of rapid industrialization and urbanization has resulted in considerable environmental problems throughout China, especially in the clusters of cities (Shao et al., 2006). The coexistence of numerous air pollutants with high concentrations and the complicated interactions among them leads to the formation of an air pollution complex(Shao et al., 2006; Zhu et al., 2011). One of the major pollutants is PM(Tie et al., 2006; Liu et al., 2011; Chen et al., 2012; Han et al., 2013). The origin of PM is complex. It involves both primary emissions as well as secondary particle production due to chemical reactions in the atmosphere (Shi et al., 2011; Tian et al., 2013; Hu et al., 2013; Guo et al., 2013). With a lifetime of days to weeks in the lower atmosphere, $PM_{2.5}$ can be transported thousands of kilometers (Hagler et al., 2006). The trans-boundary transport of $PM_{2.5}$ and the gaseous precursors has significant influence on the regional background PM level in the cluster of cities. In

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order to study the regional-scale PM pollution and develop efficient joint control policies, it's necessary to improve understanding of regional background PM concentration.

Background concentration has been defined as concentration observed at a site "that is not affected by local sources of pollution" (WHO, 1980; Menichini et al., 2007). McKendry (2006) defined background concentration as one of "those pollutants arising from local natural processes together with those transported into an airshed from afar (the latter may be either natural or anthropogenic in origin)". Background concentration in this paper is defined to include collective contributions from regional anthropogenic and natural emissions and long-range transport.

Background concentrations are not constant because of meteorological variability, complexity of chemical reactions, as well as spatially and temporally varying emissions. Regional-scale PM pollution is associated with synoptic scenarios that induce the transfer, accumulation and the formation of pollutants at regional scales (Ronald et al., 2007). Simply taking measurements at local scales is not well suited to adequately investigate the regional background concentration. There is always the possibility that the "air quality background monitoring station" is directly influenced by local emission sources and thus not truly representative of the background level (Tchepel et al., 2010). That is to say, background concentration can hardly be measured directly, so it is critical to choose representative and appropriate values. Usually, by setting some restrictions to identify and remove the influence of local pollution, background concentration can be determined indirectly. There are several

studies mentioning the methods for determining the background concentration. These methods can be classified into 4 categories. (1) The physical methods identify the regional pollution process and local pollution process via synoptic situation, duration of the synoptic system, consistency of vertical wind, and atmospheric stability, particle size distribution, etc., and then the data of the "background period" influenced by regional processes are selected (Pérez et al., 2008). (2) The chemical methods identify the regional process according to chemical composition in PM and synchronous observation of other pollutants, and then remove the data influenced by local processes (Menichini, 2007). (3) The statistical methods use discriminant analysis, cluster analysis and principal component analysis (PCA) to identify the data that characterize the regional background PM (Langford et al., 2009; Tchepel et al., 2010). (4) Numerical simulation methods use trajectory models and atmospheric dynamics-chemical coupled models to simulate the regional background pollution (Dreyer et al., 2009, Tchepel et al. 2010).

With the increase of height, the influence of source emission on local air quality decreases with altitude, but the characteristics of regional pollution gradually become obvious. Influenced by atmospheric dynamics and thermal effects, meteorological variables and pollutant measurements at different heights within the boundary layer could represent different horizontal scales of pollution. Sites at near ground height (5-10m) are influenced extensively by human activities, and the data observed at these sites could represent the street scale. Impacts from local disturbance weakening with height gradually and observations at greater heights could represent larger horizontal

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scales. When the height increases to the top of the urban atmospheric boundary layer, observations can represent urban scales. Heights above the urban boundary layer could to some extent reflect the characteristics of regional scales. Tall tower is commonly used in observation of boundary layer meteorological, micrometeorological and atmospheric chemical variables, e.g. vertical profile and fluxes(Heintzenberg, et al., 2008; Brown, et al., 2013; Heintzenberg, et al., 2013; Andreae, et al.,2015). The footprint concept is capable of linking observed data collected at the different height levels of tower to spatial context. The integral beneath the foot-print function expresses the total surface influence on the signal measured by the sensor at height above the surface(Schmid, 2002; Ding et al., 2005; Foken, et al., 2008). Three main factors affect the size and shape of flux footprint: increase in measurement height, decrease in surface roughness, and change in atmospheric stability from unstable to stable would lead to an increase in size of the footprint (https://en.wikipedia.org/wiki/Flux_footprint). Combined informations from meteorological data and simultaneous aerosol measurements at the different levels of the tower have allowed to gain insights into transport of aerosols and their vertical distributions strongly depends on meteorological conditions, boundary layer dynamics and physiochemical processes(Guinot, et al., 2006; Pal, et al., 2014). In this paper, the periodic variation in the atmospheric boundary layer structure and PM mass concentrations, as well as the vertical distribution characteristics of particle size, chemical composition and pollution sources were studied to characterize the regional pollution contribution. And on this basis, the height above which influenced relatively

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less by local pollution emission can be determined and the regional background PM concentration can be extracted from the observation data and estimate by mathematical methods.

2 Data sources and treatment

2.1 Observation site

The data used in this study were collected at a 255 m meteorological tower which is located at the atmospheric boundary layer observation station(WMO Id.No. 54517, 39°04'29.4''N, 117°12'20.1''E) in Tianjin, China, where is a residential and traffic mixing area. There are no industrial pollution sources near the site. Tianjin is adjacent to the BoHai Sea and situated in the eastern part of the Beijing-Tianjin-Hebei area, one of the most heavily polluted areas in China. Tianjin covers an area of 11,300 km^2 and has a population of 8 million. Due to rapid industrialization and urbanization in recent years, air pollution has become a serious problem in this city.

2.2 Observation method and data treatment

Horizontal wind speed, wind direction, and temperature were measured at 15 platforms (5, 10, 20, 30, 40, 60, 80, 100, 120, 140, 160, 180, 200, 220 and 250 m) every 10 s and averaged hourly. Three dimensional ultrasonic anemometers (CAST-3D) were mounted at 40 m, 120 m and 220 m to measure the turbulent fluxes. Hourly meteorological data(WMO Id.No. 54517) in the year of 2009 were used in this paper.

Mass concentrations of $PM_{2.5}$ were measured using ambient particulate monitor chemiluminescence (TEOMR-RP1400a) at four different heights (2, 40, 120, and 220

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m) from July 1 to September 30, 2009. The monitor's data output consists of 1-hour and 24-hour average mass concentration updated every 10 minutes and on the hour ,with the precision of ±1.5μg/m^3 (1-hour ave) and ±0.5μg/m^3 (24-hour ave) respectively. Accuracy for mass measurement is ±0.75%.

In order to study the vertical characteristics of PM chemical composition and sources, twenty-four hour PM_{10} filter samples were collected from local Beijing time 08:00 to 07:00 the next day using medium-volume PM_{10} samplers (TH-150,Wuhan Tianhong Intelligence Instrumentation Facility) at the heights of 10 m, 40 m, 120 m, and 220 m from August 24 to September 12, 2009. The sampler has a system of automatic constant-flow control. Flow rate of sampling in this study is 100 L min^{-1}, and the relative error of flow is less than 3%. At each height, PM_{10} filter samplings were equipped with two samplers in parallel: one is for chemical analysis of inorganic composition on polypropylene filters (90 mm in diameter, Beijing Synthetic Fiber Research Institute, China) and the other is for organic composition analyses on quartz-fiber filters (90 mm in diameter, 2500QAT-UP, Pall Life Sciences).

Before and after sampling, filters were conditioned for 48 h in darkened desiccators prior to gravimetric determination. The filters were weighed on a electronic microbalance(AX205,Mettler-Toledo, LLC, with a ±0.01mg sensitivity) in a clean room under constant temperature(20±1℃) and RH(40±3%). Samples were stored air-tight in a refrigerator at about 4℃ before chemical analyses.

Elements(Si, Ti, Al, Mn, Ca, Mg, Na, K, Cu, Zn, Pb, Cr, Ni, Co, Fe, and V) were analyzed by Inductively Coupled Plasma-atomic emission spectroscopy(ICP

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9000(N+M)Thermo Electron Corporation, USA). Blank filters were processed simultaneously with sample filters. Ultrapure water, both unfiltered and filtered, and nitric acid were also analyzed. The average element values in the blanks were subtracted from those obtained for each sample filter. 10 percent of total samples were analyzed in duplicate to verify sample homogeneity. The precision and accuracy were checked by analysis of an intermediate calibration solution. Extraction efficiencies were evaluated by analysis of the certified reference material from National Research Center of CRM. The recovery value was between 85% and 110%. Calibration check was performed to ensure a relative error no more than 2% for major elements and 5% for trace elements.

Water-soluble ions(NH_4^+, Cl^-, NO_3^-, and SO_4^{2-}) were analyzed by ion chromatography (DX-120, Dionex Ltd., USA) after extraction by deionized water. External calibration was employed to quantify the ions concentrations. A calibration check with external standards was performed to ensure a relative error no more than 10%. The uncertainty contributions of the calibration curve, calibration solution, and repetitive measurement for unknown sample were taken into account. The expanded uncertainty was 3.8% with a coverage factor k=2.

The thermal optical carbon analyzer (Desert Research Institute (DRI) Model 2001, Atmoslytic Inc., Calabasas, CA, USA) was used to measure organic carbon (OC) and elemental carbon (EC). The heating process can be found in IMPROVE_A protocol (Chow et al., 2010, 2011; Cao et al., 2003). Field blank and lab blank were considered and all sampling concentrations were revised by blank concentration. The uncertainty

contributions of the calibration curve, calibration solution, and repetitive measurement for unknown sample were taken into account. The expanded uncertainty was 7.6% with a coverage factor k=2.

3 Vertical variation characteristics of urban boundary structure

3.1 Thermal and dynamic characteristics in surface layer

Surface layer has a remarkable effect on the diffusion of air pollutants. This layer is strongly affected by the human behavior on the ground. Figure 1 presents on diurnal variation of averaged wind speed in four seasons at different heights in Tianjin. The four seasons were designated as March to May for spring, June-August for summer, September-November for autumn, and December-February the next year for winter. Diurnal variation patterns of wind speed were similar in each season. The wind speed is high in daytime and low at night below 100m, whereas low wind speed in daytime and high at night above 100m.

Figure 2 shows the vertical profile of wind speed and temperature in low atmosphere under different stability. The gradient Richardson number(R_i) was used for classifying the atmospheric stability conditions:

$$R_i = \frac{g}{\overline{T}}\left[\frac{\Delta T}{\sqrt{z_1 z_2}\ln\frac{z_2}{z_1}} + r_d\right] \times \left[\frac{\sqrt{z_1 z_2}\ln\frac{z_2}{z_1}}{\Delta u}\right] \quad (1)$$

Where, $\Delta T = T_2 - T_1$, $\Delta u = u_2 - u_1$, T_2 and T_1 are the measured temperatures at the height of z_2 and z_1, $\overline{T}$ is the averaged temperature in the layer between level z_2 and z_1, u_2 and u_1 are the measured wind speed at levels z_2 and z_1, g is the gravitational acceleration, r_d is dry adiabatic lapse rate. According to the values of

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R_i, three different conditions can be distinguished: $R_i \geq 0.1$ for stable condition, $-0.1 < R_i < 0.1$ for neutral condition, and $R_i \leq -0.1$ for unstable condition.

The atmospheric layer at 100-150m is considered as a transition layer, the variation patterns of temperature and wind speed with height were different compared with the upper and lower layers. Weak vertical gradient in the temperature profile was observed over 100m. Similarly, small vertical gradient in wind speed was found over 150m.

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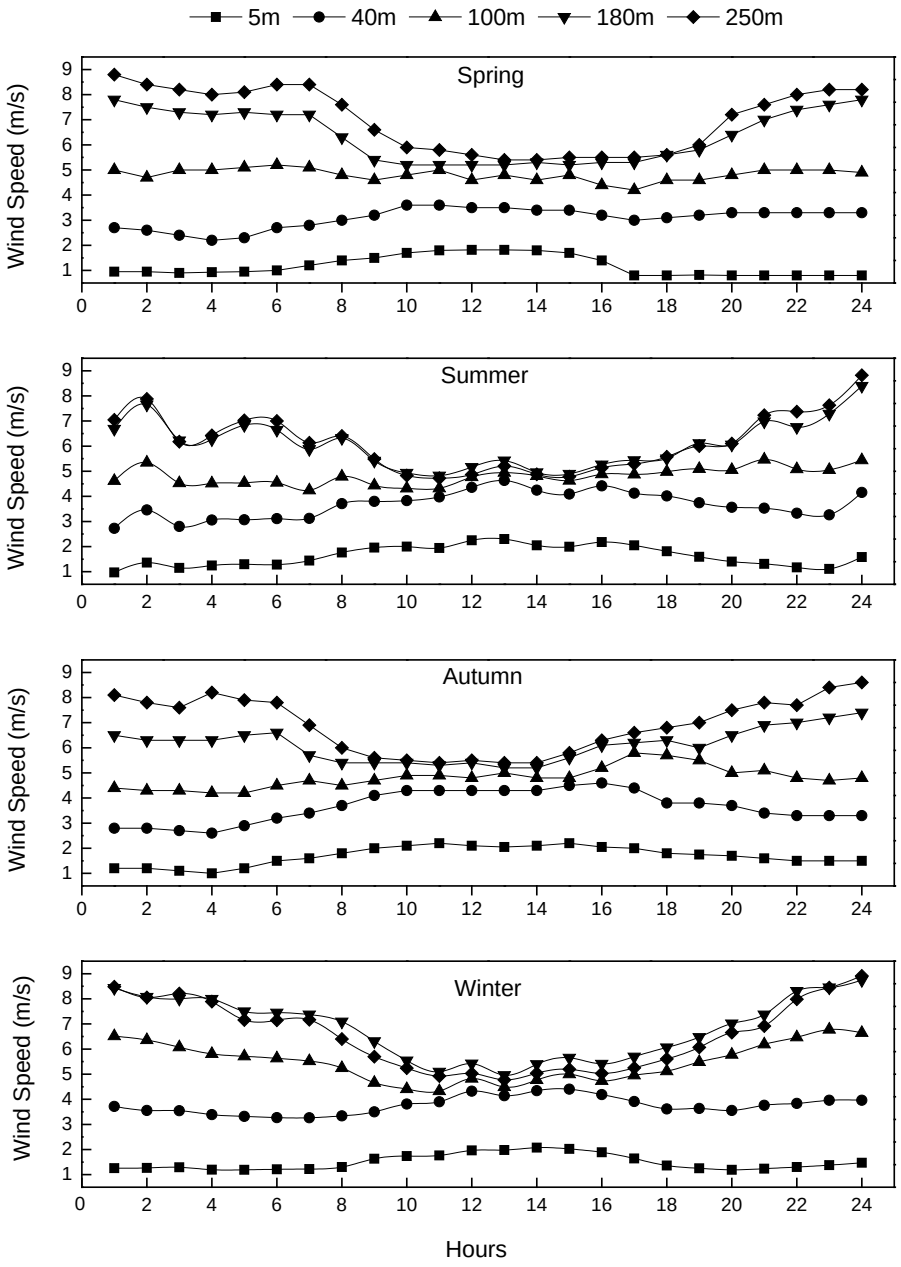

Figure 1. Diurnal variation of averaged wind speed in each season at different heights

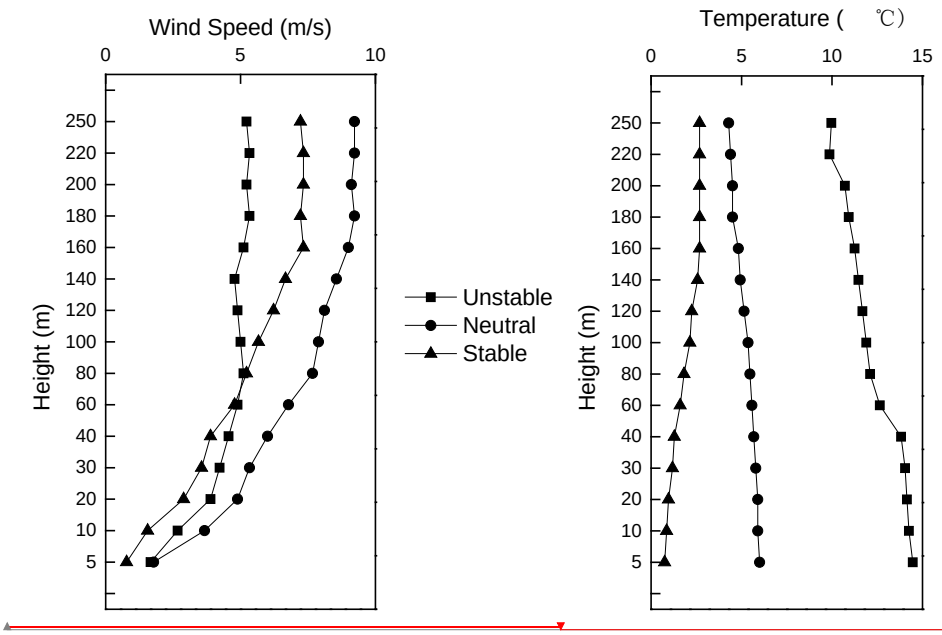

Figure 2. Vertical distribution profile of average wind speed and temperature in low atmosphere under different stability

3.2 The height of nocturnal planetary boundary and vertical variation of turbulent intensity

The height of the planetary boundary layer (PBL), indicating the range of pollutants diffused by thermal turbulence in the vertical direction (Kim et al., 2007; Lena and Desiato, 1999), can be calculated by wind and temperature profiles (Seibert et al., 2000; Han et al., 2009). Based on the temperature profile observed at the tower, the vertical gradient of temperature was calculated as:

$$\frac{\Delta T}{\Delta Z}=\frac{T(z+1)-T(z)}{Z(z+1)-Z(z)} \quad (2)$$

where $T(z+1)$ and $T(z)$ represent the measured temperatures at levels $z+1$ and

z, $Z(z+1)$ and $Z(z)$ represent the altitudes at levels $z+1$ and z. The height of the nocturnal planetary boundary layer (NPBL) is determined by the bottom of the inversion, i.e. the layer in which temperature profile presents positive gradient. As shown in Figure 3, the seasonal variation of the NPBL height is generally small, with seasonal averaged NPBL height ranging from 114 to 142 m.

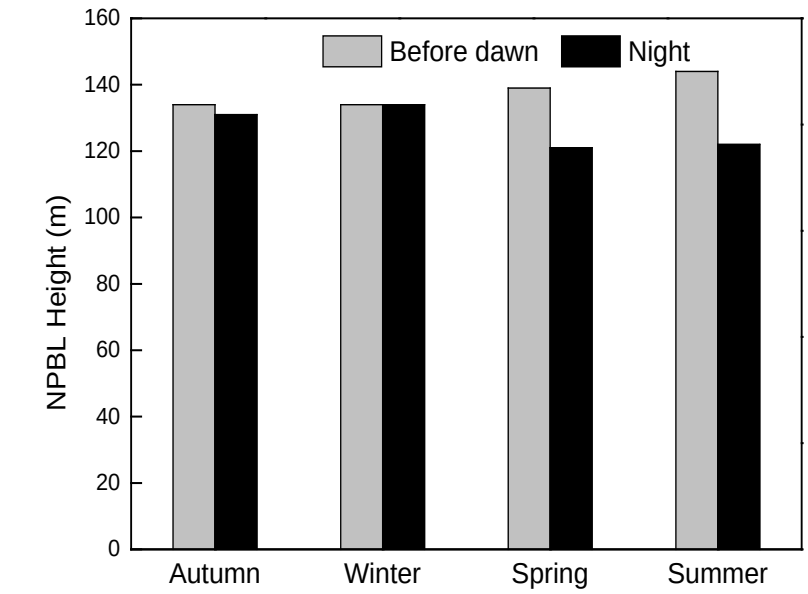

Figure 3. Averaged NPBL height in each season (before dawn 1:00-7:00; at night:19:00-24:00)

In this study, hourly averaged $PM_{2.5}$ concentration measurement and twenty-four hour PM_{10} filter sampling were conducted at four platforms. The heights of the 1st and 2nd platform are inside the NPBL, the 3rd platform is located at the top of the NPBL, and the 4th platform is generally outside the NPBL. Due to the dynamical stability of the NPBL, air pollutants in surface layer are normally trapped inside the

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In this paper, temperature gradient data observed at the tower were used to analyze the nocturnal planetary boundary layer height (NPBL) in different seasons (Figure 3). The seasonal variation of the NPBL height is generally small, with seasonal averaged NPBL height ranging from 114 m to 142 m
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NPBL and rarely mix with the pollutants outside the NPBL. Very different distribution characterizations of PM were measured inside and outside the NPBL (See section 4).

Based on the observation data from the three dimensional ultrasonic anemometers, the turbulent intensity were calculated. As a whole, the averaged diurnal variations of turbulent intensity in each season(Supplemental Fig. S1) were reflecting the same trends. The diurnal peaks appeared later and turbulent intensity was slightly weaker in winter than in other seasons. Averaged diurnal variation of turbulent intensity at different heights during the year of 2009 is shown in Fig. 4. Three dimensional components of turbulent intensity decreased with increase in height. From the height of 40m to 120 m, the u, v and w components of turbulent intensity reduced by 27%, 32% and 21%, respectively. From 120 to 220 m, the u, v and w components reduced by 12%, 13% and 15%, respectively. The descending trend is more obvious from 40 to 120 m than that of from 120 to 220 m. This indicates that there were fully vertical and horizontal turbulence exchanges below 120m of the tower, but relatively weaker exchanges over 120m.

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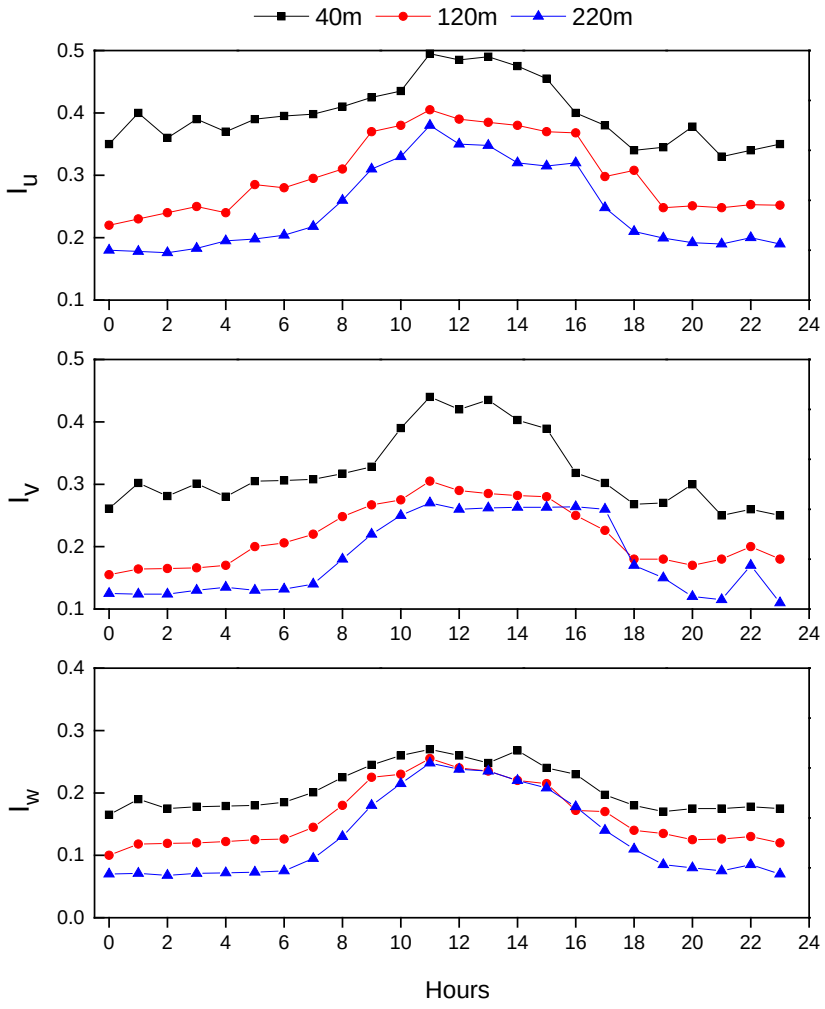

Figure 4. Averaged diurnal variation of three dimensional components of turbulent intensity at different heights (longitudinal turbulent intensity $\mathbf{I_u}$, lateral turbulent intensity $\mathbf{I_v}$,vertical turbulent intensity $\mathbf{I_w}$)

4 Vertical distribution of $PM_{2.5}$ mass concentration

The diurnal variation of $PM_{2.5}$ mass concentrations during the period from July 1 to September 30, 2009 is shown in Fig. 5. The vertical variation patterns of $PM_{2.5}$ concentrations were quite different during the daytime and night resulting from a

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combination of diurnal variations of emissions and planetary boundary layer (PBL). After sunrise, the PBL height starts to rapidly increase, pollutants near the ground gradually diffuse upward and the $PM_{2.5}$ concentration near the surface gradually decreases. At noontime, the mixing layer is fully developed with the averaged PBL height being about 1000-1200m. Among these 4 platforms (2 m, 40 m, 120 m and 220 m), $PM_{2.5}$ concentration at 220m is the highest during noon-afternoon-time. In contrast, after 6 PM, the PBL height starts to rapidly decrease. The nocturnal planetary boundary layer(NPBL) height generally ranges from 100 m to 150 m(Fig. 3). At the 1st and 2nd platform (2, 40 m), the measured PM are normally at inside of the NPBL. By contrast, the measurement platform at 220 m is generally outside the NPBL. The level 3 (120 m) is considered as at the transition zone between inside and outside of the NPBL. Due to the dynamical stability of the NPBL, the vertical mixing of pollutants between inside and outside of the NPBL is very weak. The surface emitted PM are normally trapped inside the NPBL, leading to the difference in the amount of aerosols below and above the NPBL. Among these 4 platforms, $PM_{2.5}$ concentration at 220m during the night is the lowest. This indicates that the observation value of 220 m at night is less affected by local sources of emission and is largely attributed to regional scale pollution.

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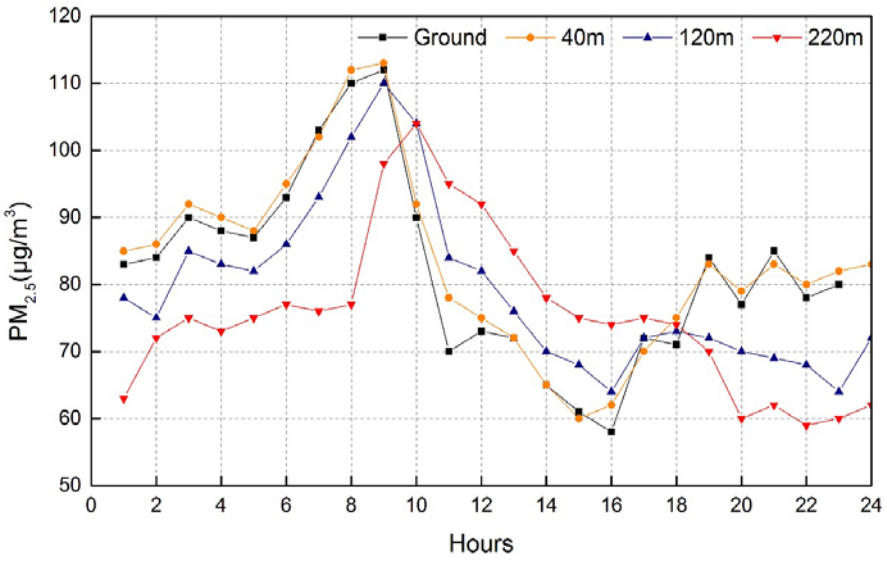

Figure 5. Vertical diurnal variation of $PM_{2.5}$ mass concentrations during the period from July 1 to September 30, 2009

5 Vertical distributions of PM_{10} concentration, composition and source apportionment

5.1 Vertical characteristics of PM_{10} concentration

As mentioned in section 2.2, PM_{10} filter samples were collected at the heights of 10, 40, 120 and 220 m. The daily concentrations at each sampling height were 139±45μg/m^3, 121±43μg/m^3, 110±39μg/m^3 and 79±37μg/m^3, respectively. These concentrations exhibited a general decreasing trend with the increase of height.

The height-to-height correlation coefficients of the variation of PM_{10} concentration were calculated and listed in Table 1. All the pairwise correlation coefficients among 10, 40 and 120 m were higher than 0.9. However, the correlation coefficients between 220 m and other heights were obviously low. These results suggest that the influences

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of local emissions and local meteorological diffusion conditions on PM_{10} concentrations are weaker at 220 m than that at lower levels.

Table1 Height-to-height correlation coefficient of PM_{10} concentration

	10 m	40 m	120 m	220 m
10 m	1.0	.		
40 m	0.96	1.0		
120 m	0.91	0.94	1.0	
220 m	0.72	0.76	0.85	1.0

5.2 Vertical characteristics of PM_{10} chemical composition

Coefficients of divergence (CD) analysis (Wongphatarakul et al., 1998; Krudysz et al., 2009) was used in this study to assess vertical variability of chemical elements in PM_{10} filter samples collected at 4 heights. The CD values provide information on the degree of uniformity between sampling sites and is defined as,

$$CD_{jk} = \sqrt{\frac{1}{p}\sum_{i=1}^{p}\left(\frac{x_{ij} - x_{ik}}{x_{ij} + x_{ik}}\right)^2} \quad (3)$$

where, x_{ij} is the average concentration of the ith element at jth height. j and k represent the two sampling heights, and p is the number of elements. When the species concentrations at two sampling sites were similar to each other, the CD values would approach 0. On the other hand, as the two species concentrations diverge the CD value will approach 1 (Hwang et al., 2008).

The pair-wise CD values for four heights are shown in Table 2. The pair-wise CD values among 10, 40 and 120m are lower than 0.2, illustrating that the element profiles of these three heights were similar to each other. While, the CD values between 220m and the other three levels were obviously high. This may be resulted

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from that chemical elements in the PM_{10} filter samples collected at 220m were mainly originated from regional-scale sources.

Table 2 Pair-wise CD values at different heights

	10 m	40 m	120 m
40 m	0.10		
120 m	0.15	0.11	
220 m	0.33	0.30	0.59

The concentration of chemical composition in ambient PM_{10} filter samples collected at 4 heights are shown in Table 3. Al, Si, Ca, OC, EC, Cl^-, NO_3^- and SO_4^{2-} have higher concentration levels than other species. Al can be used as a source marker of coal combustion (Hopke, 1985) ; Al and Si are the markers of soil dust (Liu et al., 2003), Ca is mainly emitted from cement dust (Shi et al., 2009) ; EC can be identified as vehicle exhaust emission (Li et al., 2004) ; Cl^- is the marker for sea salt (Li et al., 2004); and $NO_3^-$and $SO_4^{2-}$are the markers of secondary nitrate and sulfate (Liu et al., 2003). Higher concentrations were found at lower sampling heights for almost all species (NO_3^- had the highest value at 120 m). Unlike the species concentration, the vertical distribution of species percentages (%) shows different patterns. Similar fraction levels were observed at the four heights for Al and Si. For Ca and EC, higher values were observed at lower sampling sites. The percentages of OC at 220 m were obviously higher than those at 120 m. This might imply that the influence of local sources on OC was weaker and the contributions from secondary and regional sources were larger at 220 m. The OC/EC ratios increased gradually from 10 m to 220 m. This might be due to a relatively higher percentage of SOC in OC at higher heights as

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results of the formation and regional transport of SOC (Strader et al., 1999). Similarly, the higher sampling sites obtained higher fractions (%) for NO_3^- and SO_4^{2-} (the highest percentage of NO_3^- were observed at 120m). These trends suggest that the impact of primary sources from the ground decreased with the increase of height, while the impact of secondary sources mainly influenced by regional sources becomes more prominent.

Table 3 The concentration of chemical composition in ambient PM_{10} at 4 height sampling sites (μg m^{-3})

	10m		40m		120m		220m	
	mean	sd[a]	mean	sd	mean	sd	mean	sd
Na	1.60	0.71	1.34	0.58	1.28	0.48	0.89	0.41
Mg	1.51	0.54	1.29	0.92	0.99	0.52	0.54	0.36
Al	6.3	2.5	5.9	2.1	4.9	1.7	4.0	1.7
Si	8.5	4.6	6.8	2.9	6.4	2.8	4.9	2.8
P	ND	ND	ND	ND	ND	ND	ND	ND
K	1.41	0.72	1.02	0.44	1.11	0.68	0.70	0.35
Ca	7.1	2.8	5.1	2.0	4.6	2.2	2.5	1.6
Ti	0.23	0.12	0.19	0.12	0.24	0.20	0.29	0.53
V	ND	ND	ND	ND	ND	ND	ND	ND
Cr	0.04	0.03	0.04	0.03	0.05	0.04	0.04	0.04
Mn	0.09	0.05	0.06	0.03	0.06	0.03	0.04	0.02
Fe	2.51	1.22	2.08	1.21	1.92	1.09	1.09	0.80
Ni	0.01	0.02	0.01	0.01	0.02	0.03	0.03	0.05
Co	0.01	ND	ND	ND	ND	ND	0.01	0.01
Cu	0.20	0.17	0.14	0.22	0.09	0.13	0.02	0.03
Zn	0.69	0.32	0.60	0.31	0.55	0.28	0.27	0.16
Br	ND	ND	ND	ND	ND	ND	ND	ND
Ba	ND	ND	ND	ND	ND	ND	ND	ND
Pb	0.06	0.06	0.06	0.06	0.05	0.05	0.03	0.03
OC[a]	13.5	6.2	10.8	4.6	9.6	3.8	7.3	3.1
EC[a]	7.0	2.2	5.3	2.0	4.4	1.8	3.0	1.6
NH_4^+	6.2	3.5	6.3	3.4	6.9	3.1	5.7	4.0
Cl^-	6.4	5.3	5.6	4.1	5.0	3.0	1.7	1.2
NO_3^-	18.0	12.5	16.9	10.9	18.9	10.1	13.3	11.4
SO_4^{2-}	27.4	20.6	26.1	17.5	25.3	16.4	19.7	16.2
OC/EC	1.91	2.79	2.03	2.26	2.20	2.10	2.40	1.90
PM_{10}	140	48	120	44	108	41	80	39

[a] sd: standard deviation; OC: organic carbon; EC: element carbon.

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5.3 Vertical characteristics of PM_{10} sources

In order to understand the vertical characteristics of PM_{10} sources, the chemical mass balance (CMB) model was applied for source apportionment at all four sampling heights. The CMB model, a useful receptor model, has been extensively used to estimate source categories and contributions to the receptor based on the balance between sources and the receptor (Chow et al., 2007; Watson et al., 2008). Further details of CMB can be found in the relative literature (Watson et al., 1984; Watson et al., 2002; USEPA, 2004). The dataset of chemical composition in the PM_{10} samples during the measurement period and the source profiles reported in our previous works(Bi, et al., 2007) were used in the CMB modeling.

Six source categories (coal combustion, crustal dust, cement dust, vehicle exhaust, secondary sulfate and secondary nitrate) and their source contributions ($\mu g/m^3$) and percentage contributions (%) estimated by the CMB model are listed in Table 4. The estimated source contributions ($\mu g/m^3$) of all the sources showed a downward trend with the increase of height. Whereas the percentage contributions (%) of secondary sources (secondary sulfate and nitrate) presented a generally increasing trend with the increase in height. This might be due to the fact that for the secondary sources the particulate sizes are relatively smaller and the residence time of fine particle is longer. Generally, secondary sources can obtain stronger influence from regional contributions (Gu et al., 2011). That is to say, PM at higher heights obtain more regional contributions. And, to some extent, this could reflect the characteristics at the

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Table 4 Source contributions and percentage contributions at four different heights

		coal combustion	crustal dust	cement dust	vehicle exhaust	secondary sulfate	secondary nitrate	TOT
contribution（μg /m^3）	10m	17	16	14	20	34	23	140
	40m	16	13	10	17	33	21	120
	120m	14	12	8	15	32	24	108
	220m	12	9	4	12	25	17	80
percentage（%）	10m	12	11	10	14	24	16	88
	40m	13	11	8	14	27	18	90
	120m	13	11	8	14	29	22	97
	220m	14	11	5	15	31	21	97

6 Vertical variation of periodicity for the time series of $PM_{2.5}$ concentrations

The periodic characteristics of particulate concentration and meteorological variables can reflect different scales of atmospheric processes. In this paper, the vertical variation period of $PM_{2.5}$ mass concentrations were analyzed.

Time series of atmospheric pollutant concentration could be decomposed into baseline and short-term components. Using the filtering method, short-term fluctuations associated with the influence of local-scale pollution and dispersion conditions can be extracted from the original measurements. After the removal of local-scale effects, the time series of pollutant concentrations can be reconstructed to reflect the regional scale influence.

6.1 Filtering method

The wavelet transform can be used to analyze time series that contain nonstationary signals at many different frequencies. In this paper, we chose the Morlet wavelet which is extensively used in studies of climate change and turbulence power spectrum

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analysis (Torrence and Compo, 1998). The normalization mother wavelet is shown in Eq. (4).

$$\psi_0(\eta) = \pi^{-1/4} e^{i\omega_0\eta} e^{-\eta^2/2} \quad (4)$$

where η is the nondimensional time parameter and ω_0 is the nondimensional frequency. The wavelet filter time series over a set of scales can be calculated by:

$$x_n = \frac{\delta j \delta t^{1/2}}{C_\delta \psi_0(0)} \sum_{j=0}^{J} \frac{R\{W_n(s_j)\}}{s_j^{1/2}} \quad (5)$$

where δj is the spacing between the discrete scales, and δt is the sampling interval. S_j is a set of scales related to the frequency ω. C_δ and $\psi_0(0)$ are both constants.

$$\omega = \frac{\omega_0 + \sqrt{2+\omega_0^2}}{4\pi s} \quad (6)$$

The reconstruction then gives:

$$C_\delta = \frac{\delta j \delta t^{1/2}}{\psi_0(0)} \sum_{j=0}^{J} \frac{R\{W_\delta(s_j)\}}{s_j^{1/2}} \quad (7)$$

According to the conservation of total energy under the wavelet transform and the equivalent of Parseval's theorem for wavelet analysis, the variance of the time series is:

$$\sigma^2 = \frac{\delta j \delta t}{C_\delta N} \sum_{n=0}^{N-1} \sum_{j=0}^{J} \frac{|W_n(s_j)|^2}{s_j} \quad (8)$$

Both Eqs. (7) and (8) should be used to check wavelet routines for accuracy and to ensure that sufficiently small values of s_0 and δj have been chosen. The values of the above parameters are given in Table 5.

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As discussed above, the wavelet transform is essentially a bandpass filter. By summing over a subset of the scales in Eq. (5), a wavelet-filtered time series can be constructed as follows:

$$x_n' = \frac{\delta j \delta t^{1/2}}{C_\delta \psi_0(0)} \sum_{j=j_1}^{j_2} \frac{R\{W_n(s_j)\}}{s_j^{1/2}} \quad (9)$$

This filter has a response function given by the sum of the wavelet functions between scale j_1 and j_2.

Table 5. Values of the parameters of the Morlet transform in this study

C_δ	ψ_0	s_0	δt	δj	ω_0
0.776	$\pi^{-1/4}$	$2\delta t$	2	0.25	6.0

6.2 Fluctuation spectrum analysis of $PM_{2.5}$ concentration time series at different heights

The fluctuation spectrum distribution of hourly mass concentrations of $PM_{2.5}$ on the ground and at the height of 2, 40, 120 and 220 m were analyzed in this paper. The missing data in the time series was computed by interpolation. Because of low proportions and unconcentrated distributions in the missing data, little human interference was brought to the spectral composition of the original time series. For better comparison, normalization (standard variance 1, mean 0) of the original time series was necessary prior to power spectrum analysis.

The local and global wavelet power spectrum contours for the time series of $PM_{2.5}$ concentrations at different heights in August are shown in Fig. 6. Contours are expressed as $\log_2\left(|W_n(s)|^2\right)$ because of large magnitudes. Area inside the thick black solid line passes the red noise standard spectral test with the 5% significance level. Area outside the blue dotted line was excluded from analysis because of poor

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reliability from the cone of influence, where edge effects become important. The global wavelet spectrum $\overline{W^2}(s)$, which reflects characteristics of the pollutant concentration time series in the frequency domain, was obtained by calculating the average of local wavelet spectrums $|W_n(s)|^2$ over the entire sampling time domain. The solid line is the global wave spectrum for the corresponding time series. The dashed line is the 5% significance level, the upper area of which passes the red noise standard spectral test at the 5% significance level.

The global wavelet power spectrum of $PM_{2.5}$ mass concentration shows that fluctuations of 6-10 days (related to weather process and regional-scale pollution) are significant at each observation height, while fluctuations of 12-24 hours (mainly concerned with the daily variation of atmospheric boundary layer and local pollution emissions by human activities) are significant only on ground level. For the fluctuations of $PM_{2.5}$ mass concentration, wave energy of 6-10 days period reduces with the increase of height. In terms of the local power spectrum, 12-24 hours period can be observed in a few days on the ground. But with the increase of height, the power of 12-24 hours period became weaker, only 10%-30% of that on the ground.

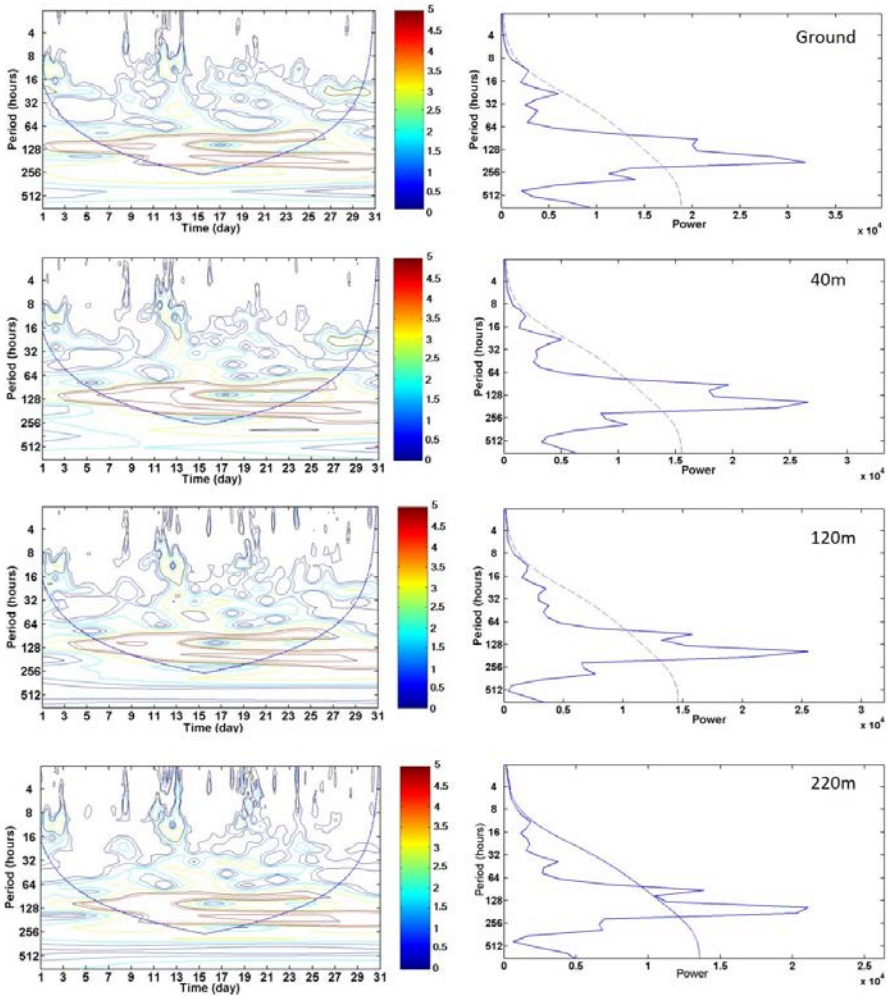

Figure 6. Local (left figure) and global (right figure) wavelet power spectrum of $PM_{2.5}$ mass concentration at different heights in August, 2009

7 Determination of regional background concentration of particulate matter

Regional PM background concentration can hardly be measured directly. Original PM concentration time series measured on the ground reflect a combination of influence from local pollution and regional-scale pollution. This study is expected to give a way to characterize the regional pollution contribution and to evaluate regional background PM concentration levels. According to the above research concerning the

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vertical distribution characteristics of particle size, chemical composition and pollution sources, the atmospheric boundary layer structure, as well as the fluctuation power spectrum analysis of particle mass concentration, the measurement height influenced relatively less by local pollution emission was determined and impacts from local-scale pollution on the short-term fluctuations have been removed from the original PM concentration by wavelet transformation. The nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the observation height of 220 m were extracted to characterize the regional background concentration, which mainly associated with the regional scale pollution within 10^2 km away from the measurement tower.

Time series of $PM_{2.5}$ hourly concentration before and after the filtering was presented in Fig. 7. Due to short-term fluctuations of pollution emission and local diffusion conditions, observation errors, and etc., the original $PM_{2.5}$ concentration time series presents violent oscillation. Using wavelet transformation, the nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the height of 220m was extracted from the original time series. After the filtering, impacts from local-scale pollution and diffusion conditions on the short-term fluctuations were considered to be removed. Thus regional-scale pollution and synoptic-scale weather conditions were better represented in the remaining part compared with the original PM concentration time series.

The swings in the $PM_{2.5}$ concentration data(shown in Fig. 7) were mainly resulted from several meteorological processes during the measurement. According to the meteorological dataset of the observation station(WMO Id.No. 54517,), precipitation processes were recorded during the period of 22-24 July, with the amounts of rainfall ranged from 3.2 to 94.6mm, followed by a rapid decrease in $PM_{2.5}$ concentration on

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25 July due to consequent cleaning of the air. Then, beginning on 26 July, mist paired with calm winds caused a build-up of $PM_{2.5}$ concentration until July 29. Similar meteorological processes were reported during the period of 22-25 of August, 4-9 and 20-25 of September, which resulted in the cycle of cleaning and build-up of air pollutants.

According to the method proposed in this paper, in Tianjin, the averaged regional background $PM_{2.5}$ concentrations in July, August and September, 2009 were 40 ±20μg/m^3, 64 ±17 μg/m^3 and 53±11 μg/m^3, respectively.

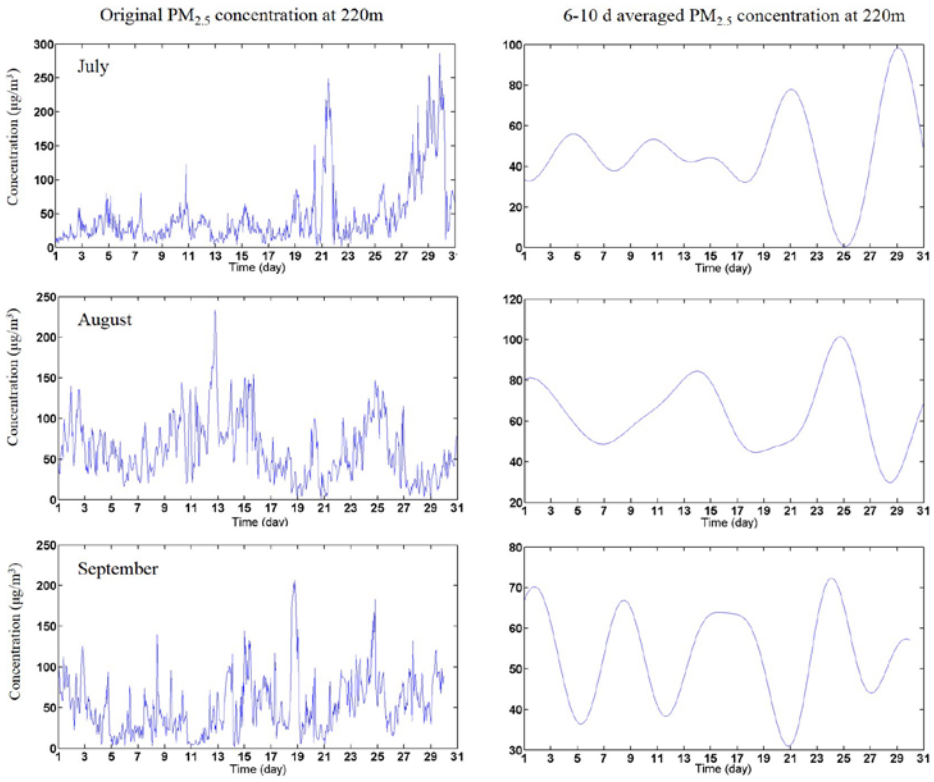

Figure 7. Time series of $PM_{2.5}$ hourly concentration before and after the filtering

8 Summary and conclusions

It is crucial for studying regional-scale PM pollution and for the development of

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efficient joint control policy to improve understanding of the regional background concentration of PM. The purpose of this study is to characterize the regional pollution contribution and to evaluate regional background PM concentration levels. However, regional background concentration can hardly be measured directly. Original PM concentration time series measured on the ground reflect a combination of influence from local pollution and regional-scale pollution. A method to estimate regional background PM concentration is proposed in this paper, based on the vertical variation periodic characteristics of particle mass concentration, the atmospheric boundary layer structure, as well as the vertical distribution of chemical composition and pollution source apportionment .

Based on a 255 m meteorological tower, the vertical thermodynamic and dynamic characteristics of the atmospheric boundary layer in Tianjin was observed. The atmospheric layer at 100-150m is considered as a transition layer, the variation patterns of temperature and wind speed with height were different compared with the upper and lower layers. Weak vertical gradient in the temperature profile was observed over 100m. Similarly, small vertical gradient in wind speed was found over 150m. The turbulent intensity decreased with increase in height and the descending trend is more obvious from 40 to 120 m than that of from 120 to 220m, which indicates that there were fully vertical and horizontal turbulence exchanges below 120m of the tower, but relatively weaker exchanges over 120m. Seasonal averaged nocturnal planetary boundary layer height ranges from 114 to 142 m. The observation height of 220 m is just outside the NPBL, which indicates that the observation value of PM concentration at 220 m at night is less affected by local primary sources near the ground and is largely contributed by regional scale pollution.

The vertical distribution of chemical compositon in PM_{10} filter samples also

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suggests that the impact of primary sources near the ground decreased with height, whereas the impact of secondary sources mainly influenced by regional sources became more prominent. The vertical distribution of percentage was different for various species. Similar percentage levels were observed at the four different heights for Al and Si. For the Ca and EC fractions, higher values were observed at lower sampling sites. The percentages of NO_3^-, SO_4^{2-} and OC, and the OC/EC ratios were obviously higher at higher sites. Source apportionment for ambient PM_{10} showed that the percentage contributions of secondary sources obviously increased with height, while the contribution of cement dust decreased with height. PM at higher height obtained more regional contributions, and to some extent, it could reflect the characteristics of the regional scale.

The periodic characteristics of $PM_{2.5}$ mass concentration can reflect different scales of atmospheric processes. In terms of global wavelet power spectrum of $PM_{2.5}$ mass concentration, fluctuations of 6-10 days, related to weather processes and regional-scale pollution, were significant at each observation height. While fluctuations with 12-24 hours period, mainly concerned with the daily variation of atmospheric boundary layer and local pollution emissions by human activities in the surface layer, were significant only on ground level. In terms of the local power spectrum, 12-24 hours period can be observed in a few days on the ground. But with the increase of height, the power of 12-24 hours period became weaker, only 10-30% of that on the ground.

According to the above research, the nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the measurement height of 220m can be regarded as regional background concentration, which mainly associated with the regional scale pollution within 10^2 km away from the measurement tower. Using wavelet

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transformation and filtering, the nocturnal $PM_{2.5}$ mass concentration time series with the 6-10 days period at the height of 220m was extracted from the original time series. After removing the impacts from local-scale pollution and diffusion conditions on the short-term fluctuations, regional-scale pollution and synoptic-scale weather conditions were better represented in the remaining part compared with the original PM concentration time series. According to the method proposed in this paper, in Tianjin, the averaged regional background $PM_{2.5}$concentrations in July, August and September, 2009 were 40 ±20μg/m^3， 64 ±17 μg/m^3 and 53±11 μg/m^3, respectively.

We attempted to put forward a new method to estimate the regional background concentration of PM. Background PM concentrations are not constant but varying with space and time. In future research, more analysis on the characteristics of the urban boundary layer, vertical distribution of PM compositon and source apportionment in different seasons and meteorological conditions will be done, and background concentration ranges of $PM_{2.5}$ for given time periods and meteorological conditions will be obtained.

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Acknowledgements

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