The importance of Asia as a source of black carbon to the European Arctic during springtime 2013

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Abstract

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Black carbon aerosol (BC) deposited to the Arctic sea ice or present in the free troposphere can significantly affect the Earth's radiation budget at high latitudes yet the BC burden in these regions and the regional source contributions are poorly constrained. Aircraft measurements of aerosol composition in the European Arctic were conducted during the Aerosol–Cloud Coupling And Climate Interactions in the Arctic (ACCACIA) campaign in March 2013. Pollutant plumes were encountered throughout the lower to upper Arctic troposphere featuring enhancements in CO and aerosol mass loadings, which were chemically speciated into BC and non-refractory sulphate and organic matter. FLEXPART-WRF simulations have been performed to evaluate the likely contribution to the pollutants from regional ground sources. By combining up-to-date anthropogenic and open fire biomass burning (OBB) inventories, we have been able to compare the contributions made to the observed pollution layers from the sources of eastern/northern Asia (AS), Europe (EU) and North America (NA). Over 90% of the contribution to the BC was shown to arise from non-

15 OBB anthropogenic sources.

AS sources were found to be the major contributor to the BC burden, increasing background BC loadings by a factor of 3-5 to 100.8 ± 48.4 ng sm⁻³ (in standard air m³ at 273.15K and 1013.25mbar) and 55.8\pm22.4 ng sm⁻³ in the middle and upper troposphere respectively. AS plumes close to the tropopause (about 7.5-8km) were also observed, with BC concentrations

- ²⁰ ranging from 55 to 73 ng sm⁻³, which will potentially have a significant radiative impact. EU sources influenced the middle troposphere with a BC mean concentration of 70.8±39.1 ng sm⁻³ but made a minor contribution to the upper troposphere due to the relatively high latitude of the source region. The contribution of NA was shown to be much lower at all altitudes with BC mean concentration of 20 ng sm⁻³. The BC transported to the Arctic is
- 25 mixed with a non-BC volume fraction representing between 90-95% of the mass, and has a relatively uniform core size distribution with mass median diameter 190-210nm and geometric standard deviation σ_g =1.55-1.65 and this varied little across all source regions. It is estimated that 60-95% of BC is scavenged between emission and receptor based on BC/ Δ CO comparisons between source inventories and measurement.
- 30 We show that during the springtime of 2013, the anthropogenic pollution particularly from sources in Asia, contributed significantly to BC across the European Arctic free troposphere. In contrast to previous studies, the contribution from open wildfires was minimal. Given that Asian pollution is likely to continue to rise over the coming years, it is likely that the radiative forcing in the Arctic will also continue to increase.

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40 **1 Introduction**

The Arctic is one of the most sensitive regions in the world to climate change (IPCC 2014). It is warming more rapidly than anywhere else on Earth and is subject to various forcing and feedback processes such as black carbon deposition and albedo reduction (Flanner et al., 2007), increase of low-level atmospheric heating over high albedo surfaces (Pueschel and

- 45 Kinne, 1995) and the increase of cloud longwave emissivity (Garret and Zhao, 2006). The Arctic Haze phenomenon in springtime has been regularly reported and is associated with high levels of gaseous and aerosol air pollutants (e.g. Greenaway 1950). The low surface temperature and the diabatic cooling in the Arctic region during winter and early spring can lead to a thermally stable stratification the so called Arctic Dome (Klonecki et al., 2003), where the inversion layer tends to trap the pollutants and form a barrier at the top of the
- atmospheric surface layer.

Although black carbon (BC) is a relatively small mass fraction of aerosol in the Arctic compared to organic matter and sulphate (Quinn et al., 2002), it affects the radiative balance by efficient absorption of shortwave radiation in the free troposphere (Bond et al., 2013) as

- 55 well as its deposition on the snow, which decreases the surface albedo and promotes melting (Hansen and Nazarenko, 2004). Measurements of BC in the past decade have been intensively conducted at various Arctic surface stations including many long-term studies (e.g. Polissar et al., 1999; Sharma et al., 2004, 2006; Stohl et al., 2007; Hirdman et al., 2010; Shaw et al., 2010; Yttri et al., 2014). In these studies, BC from polluted regions was consistently
- observed to be transported from outside of the Arctic and built up in the Arctic boundary layer during winter-spring time. Anthropogenic fossil fuel (FF) sources have long been recognized as sources of BC transported to the Arctic (e.g. Quinn et al., 2002; Koch and Hansen, 2005; Law and Stohl, 2007), but the important contributions of open biomass burning (OBB) sources such as agriculture and boreal forest fires have also been reported (e.g. Reid et al., 2005; Quinn et al 2007 and references therein). The overall relative importance of
- FF and OBB influences is currently uncertain.

Surface measurements of aerosols are however not necessarily representative of the overall troposphere, and this is particularly the case for the Arctic because of the strong stratification (Klonecki et al., 2003). The BC transported to the Arctic free troposphere may have been

- transported through a different route compared to the BC in the boundary layer and the highaltitude troposphere is more likely to be influenced by the sources further south (Koch and Hansen, 2005; Stohl 2006; Hirdman et al., 2010). The emissions in Eastern/Northern Asia have grown rapidly in the past two decades and many studies have pointed out that this region may have a significant impact on the Arctic BC concentration in late winter and early
- spring time, especially in the free troposphere (Koch and Hansen, 2005; Shindell et al., 2008; Wang et al., 2011; Shaw et al., 2010; Frossard et al. 2011). The meridional transport to the Arctic during springtime could also be important (Shaw et al., 2010; Marelle et al., 2014; Raatikainen et al., 2015). These long-range transport may be facilitated by warm conveyor belts (WCBs) (Eckhardt et al., 2004) when air parcels can be vertically uplifted in the free troposphere before being transported to the Arctic (Koch and Hansen 2005; Stohl 2006).

The impact of open biomass burning sources in BC concentrations in the Arctic has been increasingly emphasized during the recent ARCTAS (Jacob et al., 2010) and ARCPAC (Brock et al., 2011) campaigns in springtime 2008. The intensive agricultural burning in Eastern Europe (Stohl et al., 2007) as well as the boreal forest fires in Siberia (e.g. Warneke

85 et al., 2010) largely contributed to the Arctic BC burden in the 2008 Arctic springtime. These sources are at high latitude locations and thus their emissions tend to follow low-level quasi-isentropic transport to the Arctic and influence the Arctic lower/middle troposphere (Stohl

2006). Compared to the lower-latitude sources, these sources had likely undergone less wet scavenging. The OBB plumes observed in these studies were throughout the lower to higher Alaskan Arctic troposphere, featuring with higher loadings of particulate organic matter than sulphate (e.g. Brock et al., 2011; Warneke et al., 2010; Matsui et al., 2011). These studies all consistently reported the BC from the remote Asian fossil fuel sources were significantly scavenged (e.g. Stohl 2006; Matsui et al., 2011), and hereinafter concluded a more significant influence of OBB sources than fossil fuel for that time of the year.

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- 95 The concentration of BC in the Arctic is poorly represented by models (Quinn et al., 2007; Koch et al., 2009 and references therein). It has been widely reported that the measured vertical BC profiles in the Arctic show large diversity among models but almost all models underestimate BC throughout the lower and middle troposphere (e.g. Lee et al., 2013 and references therein), whereas some of the models overestimate BC in the upper troposphere
- 100 and lower stratosphere (Koch et al., 2009). Many models show the scavenging parametrization could fundamentally lead to model bias. A seasonal variation in the wet scavenging mechanism (e.g. Liu et al., 2011; Bourgeois and Bey 2011; Browse et al., 2012; Hodnebrog et al., 2014; Myhre and Samset 2015) has shown considerably improved comparison with measurements by extending the lifetime of BC in the spring/wintertime,
- 105 largely because during the cold season ice clouds result in a less efficient nucleation scavenging efficiency compared to warm clouds. An extended BC lifetime in cold seasons and a seasonally dependent BC removal system are thus suggested for these models. However to extend the BC lifetime may at the same time exacerbate model overestimates of BC mass at higher altitudes (Lund and Berntsen, 2012). The scavenging mechanism of BC is
- 110 source and meteorologically dependent at different levels of Arctic troposphere and improved understanding of BC scavenging efficiency is desired to evaluate model outputs.

Aircraft measurements of aerosol and gaseous pollutants including BC, sulphate, organic matter and CO were conducted during the Aerosol–Cloud Coupling And Climate Interactions in the Arctic (ACCACIA) campaign in springtime 2013. Plumes from Eastern/Northern Asia,

- 115 Europe and North America were encountered at different levels in the European Arctic troposphere. Lagrangian dispersion models combined with up-to-date emission inventories are used to evaluate the source origins for the observed plumes. The statistics of plumes and vertical profiles under classified source regions are analysed to determine the influences of different source regions as a function of altitude throughout the Arctic troposphere. Finally,
- 120 the scavenging efficiency of BC is estimated by comparing the inventory and measured BC/excess CO ratio.

2 Measurements

The flights took place during March-April 2013 as part of the Aerosol–Cloud Coupling And Climate Interactions in the Arctic (ACCACIA) project. The measurements described here were made using the UK Facility for Airborne Atmospheric Measurements (FAAM), a BAe-146 aircraft, in the region between continental Norway and Svalbard. A number of straight level runs (SLRs) and vertical profiles were performed for each flight. Fig. 1 shows the flight tracks during the campaign.

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The physical properties of individual refractory BC particles (rBC, as defined by Petzold et al., 2013) were characterised using a single particle soot photometer (SP2) manufactured by DMT Inc (Boulder, CO, USA). The instrument operation and data interpretation procedures of the specific Manchester SP2 instrument have been described elsewhere (Liu et al., 2010;

- 135 McMeeking et al., 2010). The SP2 incandescence signal was calibrated for BC mass using Aquadag® black carbon particle standards (Aqueous Deflocculated Acheson Graphite, manufactured by Acheson Inc., USA) and corrected for ambient BC with a factor of 0.75 (Baumgardner et al., 2012). An rBC spherical equivalent core diameter (D_c) can be derived from the rBC mass and a coating thickness (the ratio of the particle diameter, D_p, to the core
- diameter, D_p/D_c) may be estimated for each particle containing BC assuming a complete and concentric covering of the core. The methodology to determine the D_p/D_c is detailed in Taylor et al., (2015) and Liu et al., (2014): the measured scattering cross section of coated BC was derived using a prescribed Mie look up table at the SP2 operational wavelength λ=1064nm using a core refractive index 2.26-1.26i and coating refractive index 1.50+0i, and the uncertainty of the derived D_p/D_c due to particle geometry is <6% (Liu et al., 2015).

The chemical composition of non-refractory PM1 was measured by an Aerodyne C-ToF aerosol mass spectrometer (AMS). A detailed description of the instrument can be found elsewhere (Drewnick et al., 2005). A time and composition dependent collection efficiency (CE) was applied to the data based on the algorithm by Middlebrook et al. (2012). It is noted

- 150 that most atmospheric BC particles contain non-absorbing material as a result of co-emission of either the particulate matter or its precursors. This additional material will be observed by the AMS. BC particles with little associated non-absorbing material may bounce and result in a reduced CE but the fraction of the non-refractory mass mixed with BC is small relative to the total non-refractory mass, and is therefore unlikely to affect the reported AMS mass
- 155 loadings. An AMS-SMPS volume comparison could not be performed as we have found that the SMPS concentrations at altitude are incorrect, possibly due to an inaccuracy in the assumed charge distribution during inversion (Sakamoto et al., 2015; López-Yglesiasand and Flagan, 2013). The AMS was calibrated using mono-disperse ammonium nitrate particles. All of the SP2 and AMS measured concentrations are reported as mass concentrations at standard
- 160 temperature and pressure (STP, 273.15K and 1013.25mbar), denoted by sm⁻³. Data are missing from some flights due to a malfunction of the logging computer.

Carbon monoxide was measured by an Aero-Laser AL5002 VUV resonance fluorescence gas analyser, and TECO 49 UV photometric ozone instrument measured O_3 . In-flight CO calibrations were applied to the raw CO data. The background concentrations of CO were

- defined as the lowest 5th percentiles (Koike et al., 2003) for all of data collected during the campaign. Because some of the flights in this study had reached high altitudes close to the tropopause, the CO background concentrations were derived as a function of altitude, as shown in supplemental information (Fig. S1). The significantly lower CO background corresponding with an enhancement of O_3 at about 6km may suggest a stratospheric intrusion
- 170 (Thomas et al., 2013). The altitude-dependent CO background (Fig. S1D) is therefore used to calculate the excess CO relative to the background (Δ CO). This method produces consistent

 Δ CO among flights when the sampled air masses were not significantly influenced by recognized source regions (Fig. S4B1). A background concentration of zero was assumed for BC (Matsui et al., 2011).

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3 Model simulations

In this study, a Lagrangian particle dispersion model (FLEXPART) is applied to characterise the origin of the sampled air masses up to 12 days backward. In addition, the HYSPLIT backward trajectory model was used to interrogate the meteorological history through the pollutant transportation pathways. The back trajectories will be subject to increased model integration error when backward modelling time exceeds 5-6 days, leading to uncertainties when assigning the meteorological information to the back trajectory path, meaning that sources can only be assigned on continental scales.

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3.1 FLEXPART-WRF

The Lagrangian particle dispersion model FLEXPART-WRF (Brioude et al, 2014), adapted from the FLEXPART model (version 6.2, Stohl et al., 2005) was used in a backward mode to characterise the origin of the sampled air masses. FLEXPART-WRF was driven by WRF
meteorological forecasts and a new simulation was initialized each time the aircraft position changed by more than 0.20° in latitude/longitude or 250m vertically. For each simulation, 20000 particles were released in a volume 50x50 km (horizontally) and 500 m (vertically), particles were tracked 12 days backward in time. The primary output of FLEXPART backward calculations is the potential emission sensitivity (PES) which expresses the residence time of particles at a given location and is used to characterise the transport pathways of the sampled air masses. A further extraction of the lowest model layer, i.e. integrated on the 0–500 m layer, termed as the footprint PES (FPES), is used to evaluate the air mass potential sensitivity to ground sources. Fig. 2 gives a typical example of the FLEXPART outputs of PES and FPES.

- 200 The relative contributions to the sampled air masses from different ground sources can be attributed by multiplying the FPES values with the source-specified inventory emissions (in kg m⁻² s⁻¹). This study will focus on the BC and CO source evaluations from both anthropogenic and open biomass burning (OBB) sources.
- We have classified the major recognized ground source regions in the following way: Asia 205 (R_{AS}), Europe (R_{EU}), North America (R_{NA}), Siberia (R_{SI}) and Background Air (R_{BG}), as shown in Fig. 3. The extent of each region is detailed as following:

i) Asia (R_{AS}, 20-50°N, 30-145°E), including northern/eastern China, Japan and Mongolia.

ii) Europe (R_{EU} , 35-70°N, 10°W-30°E), corresponding to the whole of Europe.

iii) North America (R_{NA}, 20-50°N, 60-160°W), including USA, Canada and Mexico.

210 iv) Siberia (R_{SI} , 50-70°N, 30-180°E).

v) The Artic Background air (R_{BG} , 70-90°N), which is without significant continental source contact.

3.2 HYSPLIT backward trajectories

- The HYSPLIT 4.0 model (Draxler and Hess, 1998) back trajectories were initiated from the 215 latitude, longitude and altitude of the aircraft every 30 seconds along the flight path and calculated 14 days backward in time. Horizontal and vertical wind fields for trajectory calculations were provided by the 1°x1°, 3-hourly GDAS1 reanalysis meteorology (Global Data Assimilation System; NOAA Air Resources Laboratory, Boulder, CO, USA). This also
- allows retrieval of potential temperature (θ) and precipitation rate along each trajectory path. 220 The reported meteorological information extracted along the trajectory path is averaged over all of the trajectories along the flight track for the targeting time period.

4 Results

4.1 Air mass origins of pollution plumes 225

Plumes were encountered at various levels throughout the Arctic troposphere from 300-8000m and all plumes were characterized by enhancements over the average, altitude resolved background values of BC, CO, sulphate and organic matter. The sulphate content was found to be significantly higher than the organic matter by a factor of 1.5-4. Fig. 4 shows

- the measurement results and the FPES derived air mass origins for the flight B763 (identical 230 information for the other flights can be found in Fig. S2). Note that the plumes observed during straight and level runs (SLRs) for each flight are marked with Roman numerals (as shown on the flight altitude track in Fig. 4) and were analysed separately from the vertical profiles sampled during aircraft ascent and descent.
- For each FLEXPART-WRF simulation, the FPES was gridded into the defined source 235 regions (Fig. 3) along each flight track (Fig. 4A). For each FPES calculation, the air masses may have passed over multiple source regions (R_{BG}, R_{AS}, R_{EU}, R_{NA} and R_{SI}) as defined in Fig. 3. The air mass origin is classified as BG when the R_{BG} contributes more than 80% of the grid-integrated total FPES. For the rest of the cases when R_{BG} contributes less than 80%, the
- air mass origin is then classified according to the relative contributions of R_{AS}, R_{EU} and R_{NA}. 240 The air mass will be classified as the specified source region if the relative contribution of any corresponding source region is greater than 60% of the total polluted source region contribution, for example if $R_{AS}/(R_{AS}+R_{EU}+R_{NA})$ is >60%, the air mass is classified as AS. In some cases R_{EU} and R_{NA} both contributed more than 40%, where the air mass was classified
- as EU+NA. The R_{SI} is excluded from the air mass classification because this region was 245 found to make a minor contribution to the ground sources during the time of the year according to the inventories (section 4.2). This is supported by the observation that for most of the flights the contribution of R_{SI} to total FPES is not important (top panels in Fig. S2).

Fig. 5 shows typical examples of FLEXPART-WRF FPES and the corresponding HYSPLIT backward trajectories for the plumes with each defined air mass origin. Because of the good 250 consistency between FLEXPART and HYSPLIT model outputs, the plume age since emission can be estimated by locating the trajectories on the FPES map: the source emission starts from the backward time when the trajectories for that particular plume passed over the region that is most sensitive to the recognized ground sources, i.e. with the largest FPES

values. The number of plumes and vertical profiles for each flight is summarized in Table 1. 255

4.2 Attribution of Plume Sources

Fig. 6 shows the BC emission inventories as used in this study. The anthropogenic sources are classified to occur from the following sectors (Fig. S3): residential (RE), transport (TR), industry (IN), energy (EN) and Flaring (FL). The RE, TR, IN and EN are taken from the HTAPv2 0.1°×0.1° inventory for March 2010, which is the most recent available 260 anthropogenic inventory, and the FL is from the ECLIPSE (Evaluating the CLimate and Air Quality ImPacts of ShortlivEd Pollutants) global emission inventory (Klimont et al., 2015). Comparison between the emissions inventory in 2010 and 2005 shows the BC emissions increase by less than 30%. It is to be expected that any differences between 2010 and 2013 will be less than this amount.

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The open biomass burning (OBB) inventory is from FINN v1 (http://bai.acd.ucar.edu/Data/fire/) (Wiedinmyer et al., 2006) for March 2013. Because the OBB emissions are based on observed fires, these have significant time variation and have been computed at 1 hour time resolution. CO emissions are also taken from the equivalent inventories as BC.

The total BC emissions from each region are shown in Fig. 7. AS is the largest source region for both anthropogenic and OBB emissions, whereas SI emissions are relatively low during that time of the year. The residential sector, largely domestic solid fuel burning, is the most important contributor to the total anthropogenic emissions. OBB sources show significant

time variations, with higher OBB emissions from AS dominating in the first half of the month, 275 and those from NA increasing during three short periods with high loadings of enhanced emissions, though these are approximately an order of magnitude smaller than the OBB emissions from NA.

The anthropogenic and OBB source contributions to the BC or CO within an air parcel were determined by multiplying the FPES by the BC or CO emission inventories at the same grid 280 resolution (Quennehen et al., 2011, 2012). The grid-integrated FPES×inventory is interpreted as the total ground source contributions to the observed plume in the 12 days prior to measurement. The modelled source attributions (from RE, TR, IN, EN and OBB) of BC and CO for each plume are summarized in Table S1. The resulting contributing fractions of OBB sources to the total BC and CO are shown in the 4th and 5th column of Table 2. The plumes

- 285 with sources originating from Eastern AS and NA in the first half of the month had more OBB contributions (Fig. 6B and Fig. 7B) whereas the OBB contribution was weaker when the source origins were located towards higher latitudes. The overall OBB contribution to BC is 0.2-10% and 0.2-16% for CO. Anthropogenic contributions therefore dominate during the
- experimental period, which is consistent with the observation that the particulate sulphate 290 mass was significantly higher than that of organic matter for all plumes. In particular, flaring sources make a negligible contribution to the BC and CO loadings (of the order of 10^2 or 10^3 magnitude lower than the other anthropogenic contributions as shown in Table S1). This is because the plumes in this study were mainly encountered in the middle or upper troposphere
- and the resulting back trajectories show that there was little land contact over the high-295 latitude regions where flaring sources are present.

Fig. S4 summarizes the plume characteristics and vertical profiles classified by air mass origin, with the mean value presented in Fig. 8 and Table 2. The data from the plumes and vertical profiles compare well for the concentrations of all aerosol and gaseous species, indicating a high level of consistency between different flights and aircraft locations.

Three altitude ranges have been used to represent the broad vertical distribution of the pollution layers. These are defined as: lower, middle and upper troposphere (LT, MT, UT)

and cover the altitudes 0-2500m (750-1000mbar), 2500-5500m (500-75mbar) and 5500-8000m (350-500mbar (Fig. 8). The height of the Arctic boundary layer (ABL) is determined

- to be about 200-400m, based on the lowest potential temperature inversion derived from the aircraft profiles. The Arctic tropopause in this study was observed to be around 7500-8000m based on analysis of ozone profiles. Compared to the BG background air (Table 3), AS sources show the largest perturbation to the vertical profiles of BC and Δ CO at levels above 2000m. The AS contribution to the BC profile was largest in the MT with a value of
- 310 100.8 ± 48.4 ng sm⁻³; AS derived BC plumes close to the tropopause (at ~7.5-8km) were also observed with concentrations of 55 to 73 ng sm⁻³. EU air masses significantly influenced the MT, whereas airmasses from the EU region made only a minor contribution to the UT. NA appears to only dominate Δ CO for altitudes < 2000m and rBC for a fraction of the LT. The sulphate concentration was 2-4 times higher than organic matter, which is consistent with the
- 315 dominance of anthropogenic sources, though there are other possible natural sources contributing the Arctic sulphate burden that may contribute (Fisher et al., 2011).

BC particles show relatively consistent coating thickness (within 10%) for different airmass origins (Table 2) with average D_p/D_c 2.25±0.55, equivalent to 90-95% of the volume of BC containing particles being due to non-refectory material associated with the BC-core.

Although during the AS dominated air masses, a slight vertical dependence of the BC coating thickness was observed with reduced coating thicknesses occurring at lower altitudes (Fig. S4). Compared to previous observations of lower D_p/D_c in close proximity of sources (1.28-1.65, Liu et al., 2014; Liu et al., 2011), this suggests that the observed BC has been significantly aged. The BC size distribution is almost uniform for AS, EU, and NA
influenced air masses with mass median diameter of 190-210nm, 190-200nm, and 180-190nm with geometric standard deviation σ_g=1.55-1.65. The NA showed a slightly smaller BC MMD.

4.3 The transport mechanisms of air parcels

- 330 The transport pathways of pollutants to different Arctic altitudes may vary. To investigate this, HYSPLIT back trajectories from plumes intercepted within the Arctic LT and the UT are investigated separately, as shown in Fig. 9. For each plume, physical properties including altitude, ambient pressure, latitude, precipitation, potential temperature (θ), are averaged over all back trajectories during the plume duration. The light grey shading in Fig. 9 marks the region along the trajectory when trajectories passed over the region with the most sensitivity to the ground sources as derived from the FPES. The total precipitation experienced by an average plume (as given in Table 2) is the accumulated average precipitation along the trajectory pathway from the source emission to when the plume was observed, and the uncertainty is given by the propagation of the uncertainties of average precipitation along the
- 340 trajectory pathway.

As Fig. 9 and Fig. S5 show, the sources of the UT plumes were located between 30-40°N whereas the sources of LT plumes were at 50-60°N. The lower-latitude source regions have potential temperatures that are 15-20K higher than those of the plumes from higher-latitude sources. The pollution plumes observed in the Arctic UT had experienced rapid vertical

345 ascent, for example, plumes from AS and EU experienced vertical ascent of 4-5km within 1-2 days; similar ascents rates were also observed for NA influenced air masses but the ascent was typically over a lower altitude (~2km vertically). Ascent of sampled polluted air masses which arrived in the Arctic LT also occurred, however to a much lesser extent and on a longer timescale. Due to the close proximity of the Arctic for the higher-latitude source, the air parcel has been transported on shorter time scale compared to lower-latitude sources.

Back trajectories of air masses from lower-latitude sources arriving as plumes in the UT show an increase of θ at the source during ascent of the air shortly after passing over the source region. This increase of θ is about 5K for all air parcels arriving in the Arctic UT from all source regions, however there are increased uncertainties in determining θ from the model

- when the trajectory extends further backward in time. The increase of θ along with rapid, 355 eastward and poleward ascent of air masses in the mid-latitudes indicates ascent to be associated with warm conveyor belts (WCBs) (Eckhardt et al., 2004), although the vertical increases in θ in our study are lower than previous work (30-40K, Matsui et al., 2011) investigating mid-latitude air masses transported to the Arctic. In contrast to the transport of
- 360 UT plume sources, the observed plumes arriving in the Arctic LT arising from high-latitude pollution sources have a lower latitudinal θ gradient and there was no obvious vertical increase of θ observed for these sources. For plumes arriving in both LT and UT, the air mass ascent was followed by a smooth decrease in potential temperature. For EU influenced air masses, which represent the highest latitude anthropogenic sources, the change of θ was
- minor, suggesting the transport tends to be quasi-isentropic. The rapid ascent of lower-365 latitude sources was shown to be associated with heavier precipitation and increased relative humidity, compared to air parcels from higher-latitude source regions with lower precipitations.

4.4 The scavenging of BC particles 370

During transport, particularly during uplift, it can be expected that a significant fraction of particles are removed through scavenging and subsequent precipitation. This is an important process to quantify, as models show high sensitivity to this (e.g. Mann et al., 2014). The extent of this is estimated by comparing the particle concentrations with CO. CO is not removed by precipitation and is not significantly removed by gas phase oxidation on 375 timescales equivalent to transport from the source regions to the Arctic (within 12 days) (Forster et al., 2011). The ratio of BC/ Δ CO can therefore be used to estimate the extent to which BC is removed from the air mass between the source region and the receptor (Park et al., 2005). For a specified plume, the scavenged fraction of BC (SF_{BC}) can be estimated according to Equation (1):

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$$SF_{BC} = 1 - \frac{\frac{BC}{\Delta CO_{measured}}}{\frac{BC}{\Delta CO_{source}}}$$
(1)

The $BC/\Delta CO_{measured}$ and $BC/\Delta CO_{source}$ represent the ratio as measured at the receptor and determined at the emission source respectively. To obtain the SF_{BC} requires an explicit determination of the $BC/\Delta CO_{source}$. However, values obtained from measurements in the 385 literature are subject to different ageing time of samples, various source characteristics, variations in emissions throughout the year and influences on particular experimental locations, which leads to significant variations in reported BC/ Δ CO. For example a BC/ Δ CO (in ng sm⁻³ ppbv⁻¹) of 6.5-8.8 was obtained in the south-east Asian boundary layer (Pan et al., 2011), in the European boundary layer BC/ Δ CO could range from 0.8-2.3 (McMeeking et al., 390 2010), whereas Baumgardner et al. (2007) observed a value of 1.4 for the Mexico city urban environment, Spackman et al. (2008) report 6.8 for the Houston region and 3.8-9.4 for boreal fires in North America (Petzold et al., 2007). It is therefore more appropriate to use values of BC and CO from emission inventories as a 'best estimate' to consistently evaluate the scavenging on BC since emission, recognising that there is uncertainty in these values. The 395 FPES×inventory modelled BC and Δ CO attributed to anthropogenic and OBB sources (Table

S1) are used to represent the ratio of $BC/\Delta CO_{source}$. The SF_{BC} calculated by Equation (1) can therefore be considered as the integrated scavenged fraction from emission to receptor.

The BC/ Δ CO_{measured} for vertical profiles and plumes is shown in Fig. 10. The average BC/ Δ CO_{measured} ranged 0.4-3 for the plumes (Table 4) and 0.1-4.8 for vertical profiles, and these values are of a comparable magnitude to those reported by Matsui et al. (2011). As shown in Fig. 10, AS air masses significantly increased the BC/ Δ CO throughout the Arctic troposphere at all altitudes; NA sources also increased the ratio but to a considerably lesser extent; EU influenced air masses showed a BC/ Δ CO_{measured} that was enhanced by a similar order of magnitude as that in the AS influenced air masses in the mid troposphere, but a lack of available data for LT prevented a similar comparison being made for EU. The plumes in the UT experienced heavier precipitation for both AS and EU influenced plumes (Table 2).

The SF_{BC} was calculated for each intercepted plume and ranges from 0.6-0.95 (Table S2). The dependence of SF_{BC} for each plume on precipitation integrated along the trajectory is shown in Fig. 11. In contrast to the previous observation by Matsui et al. (2011), where the BC removal was observed to be significantly correlated to the precipitation intensity, in this study no obvious correlation between precipitation and SF_{BC} was observed. This may be due to the uncertainties of modelled precipitation along the back trajectories, or the scavenging of BC via precipitation was subject to a complex mechanism not well represented by the total precipitation. The main contrast between this study and Matsui et al. (2011) is the significantly higher BC/ Δ CO for air masses influenced by Asia; BC/ Δ CO was most elevated (3-8) in the mid troposphere at altitudes of 4-6km, influenced mainly by mid-latitude Asian sources, indicating that the lowest scavenging efficiencies were observed in these regions.

420 **5 Discussion**

In this study, Asian sources were observed to influence all levels of the troposphere (400-8000 m): the source origins include higher-latitude Northern China (~40-60°N) and a midlatitude (~30-40°N) region including Eastern China and Japan. The influences on the Arctic MT/UT were mainly from the mid-latitude sources, for which the air parcel could be rapidly uplifted by WCBs to the free troposphere and then followed by a long-range northeastward transport to the Arctic MT/UT. These observations are consistent with previous studies that indicate long-range transported fossil fuel sources from northern hemisphere mid-latitude affect the Arctic MT/UT (Stohl 2006; Quinn et al., 2007 and refs therein).

Air masses influenced by northern European sources may follow various pathways to reach
 the Arctic (Stohl 2006). Transport of these air masses to high altitudes within the Arctic atmosphere has previously been shown to arise via WCBs (Marelle et al., 2014), however this was only clearly the case for southern European influenced airmasses. In several cases both uplift through WCBs and quasi-isentropic transport may operate in combination during transport to the Arctic region. However, consistent with previous studies (Stohl 2006; Marelle et al., 2014), we show that the influence of European sources on the Arctic UT is low.

Airmasses influenced by North American sources were generally observed to be lifted to a lower altitude, followed by a longer transport time. The influence of NA sources in the Arctic free troposphere in the vicinity of Greenland were previously reported (Quennehen et al., 2011), however most of the previous studies have reported weak or occasional influence of NA sources on the Arctic (e.g. Stohl 2006; McConnell et al., 2007; Brock et al., 2011), which is to be expected as the main industrial sources in NA lie southward of the mean position of

440 NA sources on the Arctic (e.g. Stohl 2006; McConnell et al., 2007; Brock et al., 2011), which is to be expected as the main industrial sources in NA lie southward of the mean position of the Arctic front, and since advection from these sources to the Arctic involves transport

through the meteorologically active North Atlantic region. This study is consistent with those previous results in that the observed NA influences were close to, or only slightly higher than the background conditions.

The main contrast between this study and those conducted previously is that high-latitude OBB sources from Eastern Europe and Siberia did not make a significant contribution to BC in the Arctic atmosphere; whereas in springtime of 2008, OBB sources were prevalent in these regions (e.g. Brock et al., 2011; Warneke et al., 2009). As a result, our results show that

- 450 during spring 2013, the OBB contribution to the Arctic BC burden was less 10%, a result that is consistent with the high relative sulphate concentrations observed in all sampled plumes. This contrasts with the springtime of 2008 when the aerosols in observed Arctic plumes had a higher organic content than sulphate (e.g. Brock et al., 2011; Warneke et al., 2009; Jacob et al., 2010). Annual fire counts across Siberia from the FINN emissions inventory for March-
- 455 April over the 10 year period from 2004 to 2013 are shown in Fig. 12A. The year 2008 is shown to be anomalously high, compared to the decadal average of 2.8 x10⁴ by approximately a factor of 2.4. In all other years in the last decade fire counts in the Siberia spring varied between 1.4x10⁴ and 3.7x10⁴. This contrasts with 2013 which showed the lowest number of fire counts (1.4x10⁴). Therefore, whilst 2008, does indeed show the importance of biomass burning in the Arctic, Asian pollution sources make a substantial contribution to Arctic BC during many spring seasons. Figure 12B shows the changes in
- anthropogenic emissions of BC between 2005 and 2010 as described in the ECLIPSE database. There is a marked increase in BC emissions over this period of between 20-30% and these increases are projected to continue in the coming decades.
- A number of studies point out the scavenging efficiency of BC represents a major uncertainty in model prediction of BC in the Arctic (e.g. Liu et al., 2011; Hodnebrog et al., 2014; Myhre and Samset 2015), and these studies have shown that models could underestimate the BC loadings in the Arctic during the cold season by a factor of up to 10 due to a possible underestimation of the BC lifetime. Improved agreement between model and measurement is
- 470 achieved for many of the models by reducing the scavenging efficiency in ice cloud during the winter and spring time in the Arctic. The hygroscopicity of BC can be increased by acquiring more hygroscopic materials (Liu et al., 2013), such as sulphate, a process that is sometimes parameterised in models by changing its solubility after sometime in the atmosphere. This process may be only efficiently applied to warm clouds. For ice clouds, the
- 475 water soluble coatings on BC may inhibit its ice nucleation activity (Koehler et al., 2009). The aerosols however may also experience removal by processes other than ice nucleation, for example through impaction onto ice surfaces (Baumgardner et al., 2008), scavenging by convective clouds (Koch 2011), or wash out by below cloud precipitation. The integrated SF_{BC} of 0.60-0.95 from this study provides a constraint for future model tests.
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6 Conclusions

Intercontinental transport of pollutants to the European Arctic was observed during the ACCACIA campaign in springtime 2013. FLEXPART-WRF Lagrangian dispersion modelling was performed to evaluate contributions of ground sources to the sampled air masses. When this analysis was combined with up-to-date emission inventories, anthropogenic sources in populous regions were found to contribute over 90% of the BC for all the plumes sampled. In contrast to previous observations, open wildfire contributions from Siberia and Eastern Europe to the observed enhanced BC were small. Our measurements of significantly higher sulphate content compared to organic matter are consistent with this. Within the plumes observed, Asian sources were found to have the most significant influence

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at all levels throughout the Arctic troposphere, with the maximum BC mass loading arising in the middle troposphere (MT) at concentrations of around 100 ng sm⁻³. European sources (EU) also have an important influence in the MT are an order of magnitude lower than those from Asia. Due to the high latitude location of the sources, Europe does not significantly influence the Arctic upper troposphere (UT). North American sources (NA) displayed a weak influence at all altitudes largely due to the longer transport time and active meteorological influences.

The transport from Asian pollution sources is likely to be facilitated by warm conveyor belts, through which air parcels are rapidly uplifted on timescales of 1-2 days, followed by longrange transport (8.5-11.5 days). We show that this pathway efficiently transports BC from mid-latitude Asian sources to the high altitude Artic troposphere, raising BC concentrations

500 to between 55 and 73 ng sm⁻³ close to the Arctic tropopause. The scavenged fraction of BC for plumes was estimated by comparing the measured BC abundance relative to the measured excess CO with the same ratio derived from values at source determined from the EDGAR and FINN emission inventories. This showed that about 60-95% of BC is scavenged between 505 the source region and the Arctic atmosphere where the measurements took place. No direct

correlation was found between the scavenged fraction and accumulated precipitation.

Compared to previous studies when plumes from open fire sources were intensively observed, this study shows that open fire contributions to BC vary significantly between years and are sporadic, whereas the contribution of Asian sources to pollution in the Arctic atmosphere is substantial and is delivered via a persistent and consistent pathway. Asian outflow will 510 accumulate in the Artic troposphere during the winter season and early spring, being retained north of the polar front in slowly descending cold polar air. The radiation balance of the upper tropospheric Arctic atmosphere has been shown to be very sensitive to absorbing aerosol in spring time and given that Asian pollution is likely to continue to rise over the coming years it is likely that this process will continue to increase in importance when 515 considering regional climate effects.

Data availability

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Processed data is available through the ACCACIA project archive at the British Atmospheric Data Centre (http://badc.nerc.ac.uk/browse/badc/accacia). Raw data is archived at the 520 University of Manchester and is available on request.

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Tables and Figures

	Number of profiles	Number of plumes
B759(20/03/2013)	7AS; 1NA	5AS; 1NA
B760(21/03/2013)	3AS; 2NA	2AS; 2NA
B761(22/03/2013)	3EU; 1EU+NA; 1AS	3EU;1EU+NA;1NA
B762(23/03/2013)	6NA	3NA; 1EU
B763(26/03/2013)	2NA	2AS;1NA

Table 1. The number of vertical profiles and plumes observed for each flight.

Plume Index	Source origin	Latitude/Region	% OBB BC model	% OBB CO model	Altitude in m (mbar)	BC in ng sm-3	SO4 in ng sm-3	Org in ng sm-3	ΔCO in ppbv	rBC core MMD in nm(geo sdev)	BC D _p /D _c	plume age in days	Precip in mm
B759 plumes					`								
i	AS	30-40°N; Japan, E China	4.51	8.07	7787(360)	66.4±30.6			32.3± 19.2	190(σ _g =1.55)	2.25±0.16	9-10.5	23.4±1.6
ii	AS	30-40°N; Japan, E China	4.18	7.19	4640(570)	75.3±25.4			18.3±7.4	193(σ _g =1.57)	2.11±0.13	8-9.5	17.2±1.3
iii	AS	40-60°N; N China	0.82	1.30	2934(720)	115.6±30.5			53.2±12.8	197(σ _g =1.62)	2.09±0.09	10-11.5	3.4±0.1
iv	AS	30-50°N; N and E China, Japan	2.59	3.58	4681(570)	91.5±35.9			27.5±13.5	193(σ _g =1.57)	2.18±0.12	9-10.0	15.7±1.2
v	NA	30-50°N; N US	4.96	5.51	4660(570)	35.5±19.6			18.2±10.8	194(o _g =1.61)	2.14±0.18	8.5-10	7.9±1.7
vi	AS	20-40°N;S and E China, Japan	9.16	16.28	8110(340)	40.3±22.3			19.7±11.7	194(o _g =1.58)	2.13±0.25	9.5-10.5	15.9±1.7
B760 plumes													
i	NA	20-40°N; S US, Mexico	8.99	10.41	7772(360)	29.8±15.9				184(σ _g =1.62)	2.08±0.24	10-12.0	16.4±0.9
ii	AS	40-60°N; N and E China, Japan	0.87	1.23	1745(840)	70.2±38.9			26.9±10.4	208(o _g =1.60)	2.08±0.14	8-10.0	14.8±0.5
iii	AS	40-75°N; N China, E Russia	1.14	1.86	430(1010)	40.3±12.6			18.1±1.6	222(σ_g =1.60)	1.96±0.13	7.5-9	17.5±1.5
iv	NA	20-40°N; South US, Mexico	4.49	5.05	7758(360)	32.1±17.3				180(σ _g =1.61)	2.13±0.22	10.5-12	37.4±3.8
B761 plumes													
i	EU+NA	30-60°N;E US, N Europe	8.94	10.40	2505(750)	51.1±16.3	694±79	188±96	24.9±2.8	188(o _g =1.62)	2.34±0.14	4-6.0	24.9±2.5
ii	EU	50-80°N; W and N Europe	5.72	10.16	2455(750)	76.4±26.5	768±279	226±110	36.0±9.6	200(σ _g =1.61)	2.39±0.14	6-7.0	27.3±1.6
iii	EU	50-80°N; N Europe	0.34	0.71	1756(810)	37.5±12.6			9.04±2.4	193(σ _g =1.67)	2.33±0.16	6.5-7	26.8±1.0
iv	NA	35-60°N; E and S US	0.74	1.10	7536(360)	8.7±6.1	123±49	108±65	23.6±7.1	195(σ _g =1.65)	2.38±0.44	10.5-11.5	43.6±3.2
v	EU	50-75°N; N Europe	1.06	1.86	7536(360)	9.4±6.1	182±73	113±64	35.8±8.1	188(σ _g =1.62)	2.23±0.34	5-6.5	41.6±2.9
B762 plumes													
i	NA	40-60°N; E and N US	0.36	0.34	2693(720)	6.0±4.4	213±67	60±54	16.7±2.1	192(σ_g =1.62)	2.33±0.32	10-11.5	9.4±1.5
ii	NA	40-60°N; E and N US	1.29	2.31	1435(850)	35.0±17.9	1015±148	331±57	21.9±9.3	202(σ_g =1.60)	2.28±0.19	11.5-13	8.9±1.9
iii	NA	40-60°N; E and N US	0.63	1.40	1452(850)	27.5±16.4	707±200	260±97	22.7±2.0	197(σ _g =1.59)	2.28±0.26	10.5-12	6.7±1.0
iv	EU	45-75°N; N and S Europe	0.39	0.50	7614(360)	12.8±10.2	51±30	68±64	21.8±15.6	190(σ_g =1.63)	2.32±0.38	7.5-8.5	40.3±2.8
B763 plumes													
i	AS	30-60°N; N and E China, Japan	0.66	1.12	7411(360)	73±37	1215±585	447±222	41.7±25.6	193(σ_g =1.67)	2.38±0.24	9-11.0	50.5±2.9

ii	NA	35-50°N; E US	0.27	0.27	6181(430)	20.7±8.9	293±62	126±55	16.6±5.9	187(σ _g =1.61)	2.45±0.27	11-12.0	11.6±1.2
iii	AS	30-55°N; E and N China, Japan	0.41	0.72	7692(370)	55±24.5	1273±557	430±145	28.3±15.8	198(σ_g =1.65)	2.50±0.25	9-10.5	37.2±1.8

Table 2. Summary of data for all plumes sampled during straight and level runs. From left to right: plume index as marked in Fig. 4; source origin; source latitude location and region; BC and CO fraction contributed by OBB sources modelled by FPES×emission rate; plume altitude expressed as height and pressure; mean BC, SO₄, Org and CO concentrations and standard deviation (σ); rBC core mass median diameter (MMD) and the geometric standard deviation of the size distribution (in brackets); BC coating thickness D_p/D_c ; plume age as estimated by back trajectories; accumulated precipitation along trajectory pathway.

Troposphere Levels	Asia	Europe	North America	Background				
	BC (ng sm ⁻³)	-		-				
LT (0-2500m; 750-1000hpa)	32.1±26.2		21.8±14.0	13.3±8.5				
MT (2500-5500m; 500-750hpa)	100.8 ± 48.4	70.8±39.1	19.9±13.3					
UT(5500-8000m; 350-500hpa)	55.8±22.4	12.6±7.0	23.6±16.7					
	$\Delta CO (ppbv)$							
LT	14.6±12.1		17.0±6.6	10.4±4.3				
MT	28.8±17.4	24.3±12.7	16.8±6.9					
UT	32.4±14.7	25.3±9.9	19.8±7.4					
	BC D_p/D_c							
LT	2.03±0.24		2.25±0.24	2.21±0.29				
MT	2.16±0.13	2.21±0.20	2.33±0.29					
UT	2.21±0.20	2.28±0.40	2.24±0.30					
	Sulphate (ng sm	-3)						
LT			870±255	336±173				
MT		905±479	445±213					
UT		108 ± 50	280±121					
	Organic (ng sm ⁻³)							
LT			235±83	94.5±73.0				
MT		210±94	129±92					
UT		97±53	176±130					
	Measured BC/ $\overline{\Delta CO}$ (ng sm ⁻³ ppbv ⁻¹) (mg g ⁻¹ in branket)							
LT	2.25±0.69 (1.80)		1.16±0.36(0.93)	1.82±0.98(1.46)				
MT	4.22±1.50 (3.38)	2.79±1.23(2.23)	1.26±0.30(1.01)					
UT	2.01±1.49(1.61)	1.03±0.08(0.82)	1.33±0.67(1.06)					

Table 3. Average statistics for BC, Δ CO, BC D_p/D_c , sulphate, organic mass, and BC/ Δ CO in the lower (LT), middle (MT), and upper (UT) troposphere during periods when the different source regions dominated. For BC/ Δ CO, both units ng sm⁻³ ppbv⁻¹ and mg g⁻¹ (in branket) are presented.



Fig. 1. Flight tracks during ACCACIA spring campaign.



Fig. 2. A typical example of (a) PES and (b) FPES from FLEXPART simulation from flight B759.



Fig. 3. Source regions defined in this study.



Fig. 4. A) The flight altitude and FLEXPART FPES fractions for each source region during B763, the plume locations during SLR are marked as i, ii and iii and grey lines along the flight altitude track; B) the time series of organic matter and sulphate; C) BC mass and CO concentration.



North America (NA)

B2





NA+EU



Arctic Background Air (BG)

E1





Fig. 5. The FLEXPART FPES (left panels from a1-e1) and the corresponding HYSPLIT backward trajectories (right panels from a2-e2) for each classified air mass origin, representing flight B759 10:50-11:15, B760 8:45-9:45, B761 12:10-12:25, B761 15:06-15:15 and B759 15:15-15:45 respectively. Each backward trajectory is coloured by precipitation along the pathway and the open circles with numbers in A2, B2 and C2 mark the time backwards along the trajectory in 1 day intervals.



Fig. 6. The BC anthropogenic (A) in March 2010 and open fire (B) emission inventories in March 2013, from HTAPv2 and the FINN open fire biomass burning inventory respectively. The specified sectors for anthropogenic sources, i.e. residential activity, transport, industry, energy and flaring are shown in Fig. S3.



Fig. 7. BC emissions characterised by source region (as defined in Fig. 2). (a) comparison between different source sectors; (b) the temporal evolution of OBB sources throughout the experimental period. Note for AS, the right axis is used.



Fig. 8. Vertical profiles (binned in 200m altitude) and plumes classified by air mass origin: the lines show the mean values and $+\sigma$ (standard deviation) for data obtained during vertical profiles; the filled markers denote values derived during plume intercepts along straight and level runs for (a) rBC mass loading; (b) Δ CO; (c) sulphate and organic matter. Note there was no sulphate or organic data available for AS vertical profiles therefore only AS plume information is shown in (c). The horizontal dash lines on each graph show the bounds of LT/MT/UT.



Fig. 9. Average characteristics of sampled air parcel plumes arriving at receptor locations along 12 day backward trajectory pathways. (A) and (B) show the cases for AS plume in the UT (corresponding to B759 i plume in Table 2) and LT (B760 ii in Table 2) respectively. All parameters shown are mean values averaged over all back trajectories sampled throughout the specified plume. The bars on the precipitation and potential temperature (θ) data denote the stand deviation $\pm \sigma$ of the ensemble of all back trajectories, and the grey markers on precipitation show the median value. The grey bars mark the time when the air masses passed over the source regions according to FPES. The identical plots for the other air mass regions are shown in Fig. S5.



Fig. 10. BC/ Δ CO measured during ACCACIA for different air mass origins, with the lines and square markers showing the ratio for vertical profiles and plumes respectively. The bars show the $+\sigma$.



Fig. 11. The calculated scavenged fraction of BC (SF_{BC}) versus accumulated precipitation, with the bars showing the \pm propagated uncertainties for both precipitation and SF_{BC}.



Fig. 12. A) Fire counts from FINN in Siberia region (50-70°N, 30-180°E) during March and April from 2004 to 2013. B) Percentage difference between total anthropogenic BC emissions from the ECLIPSE global BC inventory in 2010 and 2005.