

São Paulo, December, 2015.

ACP

Dear Sirs,

The manuscript “Impact of vehicular emissions on the formation of fine particles in the Sao Paulo Metropolitan Area: A numerical study with the WRF-Chem model” was first submitted on 23 Jan 2015. The reviewers pointed out many important questions during the time it was open for suggestions and comments. We tried to answer all the questions and the manuscript was re-written and re-submitted. We would like to thank the reviewers for the comments as this version was improved substantially. We try to answer the last questions that were presented by the reviewers. Regarding some specific comments of the reviewers:

The reviewer suggested the presentation of the model evaluation by the analysis of a base case simulation. This was done with the inclusion in the analysis of more statistical index to evaluate the model performance: in addition to the correlation coefficient (R), mean bias (MB), root mean square error UB ( $RMSE_{UB}$ ), it was calculated the mean fractional bias (MFB) and mean fractional error (MFE). The comparisons between simulated and measured parameters for different air quality stations as well as additional tables and figures related to these comparisons are presented in the supplementary material. The simulations for wind, temperature, humidity and air quality parameters are compared to the measured data.

Another important point that is discussed by the reviewer is the consideration in the modelling of only the mobile sources. It is an important point that is more discussed in this new version of the manuscript. We totally agree that a base case simulation should include all possible emissions sources. It is, however, worthwhile to mention that in southeastern Brazil's metropolitan areas, mainly in the São Paulo Metropolitan Area (SPMA), most of the energy is used by the transportation sector. According to official emission inventory provided by the Sao Paulo State Environmental Agency (CETESB, 2013) 80% of NO<sub>x</sub>, 97% of CO, and 87% of VOC are emitted by the vehicular fleet, being most of NO<sub>x</sub> associated to diesel combustion and most of CO and VOC from gasohol and ethanol combustion (CETESB, 2013). Previous studies applying Receptor Models to trace-elements, performed by the Laboratório de Análise dos Processos Atmosféricos (LAPAt) of the Atmospheric Sciences Department, showed that only 13% of the fine particles mass (PM<sub>2.5</sub>) in São Paulo is associated to the emission by the industrial process (oil burning and secondary aerosol) (Andrade et al., 2012). The fine particles are composed mostly by carbonaceous aerosols, 60% organic compounds and 20% Black Carbon according to studies performed in São Paulo in tunnels measurements described by (Brito et al., 2013; Pérez-Martínez et al., 2014). In addition, it is also important to remind that the present study focuses especially on the potential formation of secondary particles from the primary emission of gases coming from on-road vehicles (the Guenther biogenic emissions module was chosen to minimize the impact of vegetation). For these reasons, we suggest that the consideration of two simulation scenarios: the first, a base case simulation (Case\_0) including emissions of gases and aerosols from nature and on-road vehicles; and the second (Case\_1) seems to the first but with no aerosol particles emission, could be a direct and suitable way to

assess the impact of vehicular emissions on the potential formation of secondary aerosols.

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1 **Impact of vehicular emissions on the formation of fine particles in the Sao Paulo**  
2 **Metropolitan Area: A numerical study with the WRF-Chem model**

3

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18

19 **Abstract**

20 The objective of this work is to evaluate the impact of vehicular emissions on the  
21 formation of fine particles ( $PM_{2.5}$ ;  $\leq 2.5 \mu\text{m}$  in diameter) in the Sao Paulo Metropolitan  
22 Area (SPMA) in Brazil, where ethanol is used intensively as a fuel in road vehicles. The  
23 Weather Research and Forecasting with Chemistry (WRF-Chem) model, which  
24 simulates feedbacks between meteorological variables and chemical species, is used as  
25 photochemical modelling tool to describe the physico-chemical processes leading to

26 evolution of number and mass size distribution of particles through gas-to-particle  
27 conversion. A vehicular emission model based on statistical information of vehicular  
28 activity is applied to simulate vehicular emissions over the studied area. The simulation  
29 has been performed for a one month period (7 August - 6 September 2012) to cover the  
30 availability of experimental data from the NUANCE-SPS (Narrowing the Uncertainties  
31 on Aerosol and Climate Changes in Sao Paulo State) project that aims to characterize  
32 emissions of atmospheric aerosols in the SPMA. The availability of experimental  
33 measurements of atmospheric aerosols and the application of the WRF-Chem model  
34 made it possible to represent some of the most important properties of fine particles in  
35 the SPMA such as the mass size distribution and chemical composition, besides  
36 allowing us to evaluate its formation potential through the gas-to-particle conversion  
37 processes. Results show that the emission of primary gases, mostly from vehicles, led to  
38 a production of secondary particles between 20 and 30 % in relation to the total mass  
39 concentration of  $PM_{2.5}$  in the downtown SPMA. Each of  $PM_{2.5}$  and primary natural  
40 aerosol (dust and sea salt) contributed with 40-50% of the total  $PM_{10}$  (i.e. those  $\leq 10 \mu m$   
41 in diameter) concentration. Over 40% of the formation of fine particles, by mass, was  
42 due to the emission of hydrocarbons, mainly aromatics. Furthermore, an increase in the  
43 number of small particles impaired the ultraviolet radiation and induced a decrease in  
44 ozone formation. The ground level  $O_3$  concentration decreased by about 2% when the  
45 aerosol-radiation feedback is taken into account.

46

## 47 **1. Introduction**

48 The Sao Paulo Metropolitan Area (SPMA), in the southeast region of Brazil, is  
49 considered a megalopolis comprised of Sao Paulo city and more 38 municipalities. One  
50 of the main concern in the SPMA is the occurrence of violations of air quality standards

51 for ozone and fine particles at different air quality stations from the Sao Paulo  
52 Environmental Agency (CETESB). The air pollutant emissions in the SPMA are related  
53 to the burning of the fuels: ethanol, gasohol (gasoline with 25% ethanol) and diesel.  
54 Recent work of Carvalho et al. (2014) reported a substantial increase in number of road  
55 vehicles from 1 million in 2000 to almost 7 million in 2014, together with an overview  
56 of the pollutants concentration, fuel use in the SPMA and the relationship between the  
57 emissions and the improvement in the air quality in past years.

58 They constitute the main cause of impairment to air quality in the SPMA, but  
59 the number of air quality standard violations has decreased for almost all pollutants with  
60 the exception of  $PM_{2,5}$  and  $O_3$ . Both these pollutants are impacted by the vehicular  
61 emissions and have experienced an increase in the number of violations of local air  
62 quality standards as discussed in detail by Carvalho et al. (2014). Pérez-Martínez et al.  
63 (2015) have analyzed the monthly mean values for the regulated pollutants from 2000 to  
64 2013 for the air quality stations in the SPMA. They found a decrease in the average  
65 concentration of  $NO_x$ , CO and  $PM_{10}$  by 0.65, 0.37 and 0.71 % month<sup>-1</sup>, respectively,  
66 although the sales of the fuels (gasoline, ethanol, and diesel) had increased by 0.26, 1.96  
67 and 0.38 % month<sup>-1</sup>, respectively.

68 A recent report from CETESB (CETESB, 2013) highlighted that, in 2012, the  
69 vehicles contributed with about 40% of the total  $PM_{10}$  mass concentrations through  
70 direct emissions. If we consider the secondary aerosols, which were about 25% of  $PM_{10}$   
71 as estimated by CETESB (2013), these were mainly found to be formed by chemical  
72 reactions between gases released from exhaust of vehicles.

73 The implementation of the Program for the Control of Vehicular Emission  
74 (PROCONVE) established by the Brazilian Government in the 80's, enforcing measures  
75 such as use of catalytic converters and ethanol as additive to gasoline in substitution of

76 tetraethyllead, led to decrease in emissions of CO and VOCs and hence their ambient  
77 concentration. Although the emissions have been controlled by regulations, the number  
78 of vehicles has increased substantially and faster than the replacement of the old  
79 vehicles by the new ones (Pérez-Martínez et al., 2014). According to CETESB (2013),  
80 the road vehicles contributed up to about 97, 87 and 80% of CO, VOCs and NO<sub>x</sub>  
81 emissions in 2012, respectively, being most of NO<sub>x</sub> associated to diesel combustion and  
82 most of CO and VOCs from gasohol and ethanol combustion. Receptor modelling  
83 studies applied to six capital cities in Brazil (Andrade et al., 2012) showed that only  
84 13% of PM<sub>2.5</sub> in the SPMA is associated to the emission by the industrial processes (oil  
85 burning and secondary aerosols).

86 To date, many studies assessing the impact of biofuels on the air quality have  
87 been performed in Brazil. For example, Anderson (2009) conducted a review  
88 concerning the use of ethanol fuel in Brazil. His work highlighted that the atmospheric  
89 concentrations of acetaldehyde and ethanol are much higher in Brazil in comparison  
90 with the other areas of the world. Costa and Sodré (2010) showed that exhaust  
91 emissions of hydrous ethanol reduced CO and Hydrocarbons (HC), but increased CO<sub>2</sub>  
92 and NO<sub>x</sub> levels.

93 A number of past studies has shown the significant participation of the  
94 carbonaceous compounds in the concentration of fine particles in the SPMA  
95 (Albuquerque et al., 2011; Miranda and Andrade, 2005; Ynoue and Andrade, 2004;  
96 Castanho and Artaxo, 2001). Studies conducted on ambient air pollution in the SPMA  
97 have also shown that BC explains 21% of mass concentrations of fine particles (PM<sub>2.5</sub>;  
98  $\leq 2.5 \mu\text{m}$  in diameter) compared with 40% of organic carbon (OC), 20% of sulfates, and  
99 12% of soil dust (Andrade et al., 2012). Most of the observed ambient PM<sub>2.5</sub> mass  
100 concentration usually originates from precursors gases such as sulphur dioxide (SO<sub>2</sub>),

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101 ammonia (NH<sub>3</sub>), nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs) as  
102 well as through the physico-chemical processes such as the oxidation of low volatile  
103 hydrocarbons noted above transferring to the condensed phase (McMurry et al., 2004;  
104 Heal et al., 2012). Since these processes are often photo-chemically driven, the resultant  
105 aerosol usually falls into the category of secondary photochemical pollutant (Jenkin and  
106 Clemitshaw, 2000). Oxidation of VOCs can produce species of sufficiently low vapor  
107 pressure to be condensable, leading to the formation of secondary organic aerosol  
108 (SOA) (Kroll and Seinfeld, 2008). Fine particles in SPMA have a great participation on  
109 its composition of SOA, formed from the emissions of VOCs, which have the same  
110 origin of the primary compounds involved in the formation of ozone, from the burning  
111 of fuels. The participation of the biogenic emission is considered to be small in the  
112 formation of particles in the metropolitan area of the city according to previous studies  
113 of Martins et al. (2006).

114         The impact of the fine particles has been discussed in previous works, with  
115 evaluation of the scattering and absorbing effects of the aerosol (e.g. Li et al., 2005;  
116 Real et al., 2011). Vehicular emissions of particulate matter (PM) in the SPMA have a  
117 high percentage of BC (Brito et al., 2013), which after emitted to the atmosphere can  
118 enhance the absorption coefficient and thus the attenuation rates.

119         One of the most important aspects of this work is the quantitative analysis of the  
120 formation of PM<sub>2.5</sub> and ozone (O<sub>3</sub>) in the SPMA. Photolysis of O<sub>3</sub> by ultraviolet light in  
121 the presence of water vapor is the main source of hydroxyl radical (OH), the most  
122 important radical in the atmosphere in terms of reactivity (Monks, 2004). At the same  
123 time, OH levels in the atmosphere directly determine the oxidation rate of the precursors  
124 of secondary aerosols. Oxidation products of VOCs and semi-VOCs by OH are the  
125 most important precursors of SOA (Li et al., 2011a). Although VOCs and NO<sub>x</sub> are

126 precursors of both O<sub>3</sub> and a fraction of atmospheric PM (NO<sub>3</sub><sup>-</sup> and secondary organics)  
127 while they influence indirectly the formation of the rest of the secondary PM  
128 components like SO<sub>4</sub><sup>2-</sup>, their control strategies that are optimal for O<sub>3</sub> controls may even  
129 increase PM<sub>2.5</sub> concentrations (McMurry et al., 2004). Such an analysis is important to  
130 evaluate the contribution of the vehicular fleet using different kind of fuels to the  
131 concentration of fine particles. In this sense, a numerical study with an adequate  
132 physical approach, representing particles in the modelling system, is important to  
133 understand the formation of secondary aerosols from primary emission of gases in a  
134 metropolitan area where the composition of fuel in vehicular fleet has changed  
135 significantly over the past years. Therefore, the goal of the present study is to evaluate  
136 the impact of vehicular emissions on the formation of fine particles in the SPMA,  
137 focusing especially on the potential formation of secondary particles from the primary  
138 emission of gases coming from on-road vehicles. The impact of aerosol particles on the  
139 ozone photochemistry is also examined by means of numerical simulations.  
140 Measurements were performed to provide input data to evaluate the modelling  
141 performance and estimate the vehicular emission factors. Aerosol measurements were  
142 taken from field campaigns that were carried out as part of the Narrowing the  
143 Uncertainties on Aerosol and Climate Changes in Sao Paulo State (NUANCE-SPS)  
144 project (<http://nuance-lapat.iag.usp.br/>). These campaigns took place between July and  
145 September 2012. An online-coupled meteorology and chemistry model, i.e., the  
146 Weather Research and Forecasting with Chemistry (WRF-Chem) model, has been used  
147 to characterize and describe the physico-chemical processes involved in both the  
148 formation and growth of new particles over the SPMA in southern Brazil. The details of  
149 the experimental campaigns, WRF-Chem model and emissions are described in Section



150 2. Results from modelling experiments and comparison with measurements are  
151 presented in Section 3. Finally, the summary and conclusions are given in Section 4.

152

## 153 **2. Methodology**

### 154 **2.1. Observational datasets**

155 The study period starting from 7 August until 6 September 2012 was selected for  
156 comparison with the modelled results (Section 2.2) due to the availability of  
157 experimental data from the NUANCE-SPS project. The aim of NUANCE-SPS was to  
158 evaluate the impact of emissions in the SPMA on the air quality and changing climatic  
159 conditions, and feedback mechanisms between climatic perturbations produced by both  
160 primary and secondary emissions and urban atmospheric processes. Aerosol observation  
161 datasets used in this work were collected using a Dichotomous sampler (Wedding et al.,  
162 1980) and a Micro-Orifice Uniform Deposit Impactor (MOUDI, model 100; MSP  
163 Corporation - Marple et al., 1986). The MOUDI impactor collected particles in 10 size  
164 classes with nominal 50% cut-off diameters: 10, 5.6, 3.2, 1.8, 1.0, 0.56, 0.32, 0.18, 0.1  
165 and 0.06  $\mu\text{m}$ . Particles smaller than 0.06  $\mu\text{m}$  were collected in a subsequent stage or  
166 after-filter. The samples collected with the MOUDI impactor were deposited on a  
167 polycarbonate membrane filter with 0.4  $\mu\text{m}$  porous and for the Dichotomous sampler  
168 the substrate was a teflon membrane filter with 2  $\mu\text{m}$  porous. The after-filter in the  
169 MOUDI impactor is a 33 mm teflon membrane filter, which was not submitted to the  
170 reflectance analysis. The collected membrane filters sampled with the Dichotomous and  
171 MOUDI samplers were analyzed to the identification of trace elements of mass through  
172 X-ray diffraction analysis, mass concentration through gravimetric analysis, and black  
173 and organic carbon through reflectance and thermo analysis using a thermal-optical  
174 transmittance (TOT) (Sunset Laboratory Inc. – Birch and Cary, 1996). Ion

175 concentrations were evaluated through the ion chromatography analysis of the soluble  
176 material collected on the membrane filters (sulphate, nitrate, ammonium, sodium, and  
177 chloride). All these samplings were performed on the roof of the main building of the  
178 Institute of Astronomy, Geophysics and Atmospheric Sciences of the University of Sao  
179 Paulo (IAG-USP) (hereafter also referred as IAG-USP measurement site or simply  
180 IAG-USP), which is inside a small green-park (approximately 7.4 km<sup>2</sup>), with local  
181 traffic during the day and surrounded by major roads with intense traffic by light and  
182 heavy-duty vehicles (Nogueira et al., 2014). Table 1 lists the aerosol instrumentation  
183 deployed roughly at the IAG-USP measurement site. In addition, ambient data from the  
184 CETESB's air quality monitoring network and the IAG-USP's climatological station  
185 (hereafter also referred as AF-IAG) were also considered for evaluation of numerical  
186 simulations. The locations of measurement sites are depicted in Fig. 1 whereas  
187 geographic coordinates, urban-suburban classification, and the list of pollutants and  
188 meteorological parameters monitored at each site is available in Table 2.

189

## 190 **2.2. WRF-Chem model**

191 The WRF-Chem model is a fully coupled online meteorological and chemical  
192 transport model (Grell et al., 2005), supported by National Center for Atmospheric  
193 Research (NCAR) of the USA and several other research institutions around the world.  
194 This model is a system of two key components. The WRF-Chem meteorological  
195 component, the Weather Research and Forecasting (WRF), is a system configured for  
196 both research and operational applications. The dynamical core used in this study is the  
197 Advanced Research WRF (ARW). Model's equations into ARW are solved to non-  
198 hydrostatic conditions on a fully compressible atmosphere. Further details on the  
199 modelling system can be found on the WRF model website (<http://www.wrf->

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200 model.org). On the other hand, the WRF-Chem chemical component treats chemical  
201 processes such as dry deposition, gas-phase chemistry, photolysis rates, and aerosols  
202 chemistry. A detailed description of the WRF-Chem model can be found on its website  
203 (<http://ruc.noaa.gov/wrf/WG11>). Since both meteorological and chemical components  
204 are fully coupled, the transport of all chemical species is on-line. The gas-phase  
205 chemistry and aerosol modules employed in this study are the Regional Acid Deposition  
206 Model, version 2 (RADM2) (Chang et al., 1989) and the Modal Aerosol Dynamics  
207 Model for Europe - Secondary Organic Aerosol Model (MADE - SORGAM)  
208 (Ackermann et al., 1998; Schell et al., 2001), respectively. The inorganic species  
209 included in the RADM2 mechanism are 14 stable species, 4 reactive intermediates, and  
210 3 abundant stable species (oxygen, nitrogen and water). Atmospheric organic chemistry  
211 is represented by 26 stable species and 16 peroxy radicals. The RADM2 mechanism  
212 represents organic chemistry through a reactivity aggregated molecular approach  
213 (Middleton et al., 1990). Similar organic compounds are grouped together in a limited  
214 number of model groups through the use of reactivity weighting. The aggregation  
215 factors for the most emitted VOCs are given in Middleton et al. (1990).

216 On the other hand, the most important process for the formation of secondary  
217 aerosol particles is the homogeneous nucleation in the sulfuric acid-water system. It is  
218 parameterized in MADE, following the method of Kulmala et al. (1998). Aerosol  
219 growth by condensation occurs in two steps: the production of condensable material  
220 (vapor) by the reaction of chemical precursors, and the condensation and evaporation of  
221 ambient volatile species on aerosols. The inorganic chemistry system, based on the  
222 Model for an Aerosol Reacting System (MARS) (Saxena et al., 1986) and its  
223 modifications by Binkowski and Shankar (1995), calculates the chemical composition  
224 of a sulphate-nitrate-ammonium-water aerosol according to equilibrium

225 thermodynamics. The organic aerosol chemistry is based on the SORGAM, which  
226 assumes that SOA compounds interact and form a quasi-ideal solution (Grell et al.,  
227 2005). The SOA formation in SORGAM follows the two-product approach (Odum et  
228 al., 1996) where the oxidation of hydrocarbons produces two types of modelled  
229 semivolatile compounds that are partitioned between the gas and particle phases after  
230 considering the absorptive partitioning theory (Pankow, 1994a; b). The primary organic  
231 aerosol (POA) in MADE is calculated from the primary anthropogenic emission of OC.  
232 Then, one may calculate the predicted OC concentration from the sum of both SOA and  
233 POA. The concurrent organic matter (OM) can be obtained from the OC concentration  
234 by application of a conversion factor. Brown et al. (2013) showed that the average  
235 OM:OC ratio was 1.54 (with a standard deviation of 0.2) for sites with low amount of  
236 secondary aerosol formation. It is important to note that this ratio can change from one  
237 place to another. In areas impacted by biomass burning the ratio can be higher. Gorin et  
238 al. (2006) assumed a ratio of 1.6 for the conversion from OC to OM over an area that  
239 experiences a significant wood smoke influence.

240

### 241 **2.2.1. Model configuration**

242 WRF-Chem version 3.5 was configured with three nested grid cells: coarse (75  
243 km), intermediate (15 km) and fine (3 km). The coarse grid cell covered a big region of  
244 Brazil and also of the Atlantic Ocean. The intermediate grid covered the southeast  
245 Brazil while the fine grid cell covered barely the SPMA and metropolitan areas nearest  
246 to it. Fig. 1 shows the arrangement of measurement sites and topography in the  
247 downtown area of the 3-km modelling domain. The initial and boundary meteorological  
248 conditions are from the National Center for Environmental Prediction's Final  
249 Operational Global Analysis with 1° of grid spacing, 26 vertical levels and are available

250 every six hours: 00, 06, 12 and 18 UTC (<http://rda.ucar.edu/datasets/ds083.2/>). The  
251 initial and boundary chemical conditions for representing gases and aerosols  
252 background concentration were obtained from the Model for Ozone and Related  
253 chemical Tracers, version 4 (MOZART-4; Emmons et al., 2010). This model was  
254 driven by meteorological inputs from the Goddard Earth Observing System Model,  
255 version 5 at a horizontal resolution of  $1.9^{\circ} \times 2.5^{\circ}$ , 56 vertical levels that are also available  
256 every six hours. Table 3 lists the WRF-Chem configuration options employed by this  
257 study.

258 WRF-Chem simulation with coupled primary aerosol (dust, sea salt and  
259 anthropogenic) and gas (biogenic and anthropogenic) emission modules, together with  
260 the direct effect of aerosol particles turned on, is performed as the control simulation in  
261 order to evaluate the model performance (hereafter referred to as Case\_0). For  
262 secondary aerosols, a simulation scenario (Case\_1) with biogenic and anthropogenic  
263 gases emission is performed to evaluate its formation potential. An additional  
264 simulation (Case\_2) is also performed to evaluate the impact of aerosols on ozone  
265 photochemistry. Notation and description of simulations are listed in Table 4. The first  
266 seven days of each simulation were not analyzed and used for model spin-up.

267

## 268 **2.3. Emissions**

### 269 **2.3.1. Anthropogenic emissions**

270 Because on-road vehicles are the most important sources of air pollution in  
271 southeast Brazil's metropolitan areas, particularly in SPMA where, according to  
272 CETESB, more than 80% of pollutant emissions are generated by vehicular emissions;  
273 the anthropogenic emissions of trace gases and particles in both 3 and 15 km modelling  
274 domains were considered to include emissions only coming from on-road vehicles

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275 through the use of a vehicular emission model developed by the IAG-USP's Laboratory  
276 of Atmospheric Processes (LAPAt). Basically, this model considers the number of  
277 vehicles, vehicular emission factors, and average driving kilometers for vehicle per day  
278 as basic parameters for the calculation of exhaust emissions considering different  
279 vehicle types (light-duty vehicles, heavy-duty vehicles, and motorcycles) and different  
280 fuel types (ethanol, gasohol, combination of any proportion of gasohol and ethanol, and  
281 diesel) according to CETESB (2012). The details of this model are available in Andrade  
282 et al. (2015). In the case of VOCs, there are other two relevant emissions (fuel transfer  
283 and evaporative processes) associated with the vehicles, besides the exhaust emissions.  
284 Because of the complexities in the spatial representation due to a numerous factors such  
285 as emissions at service stations, such emission sources are assumed to be emitted by  
286 exhaust of vehicles for the sake of simplicity. The vehicular fleet and intensity of use  
287 datasets are provided by the National Department of Traffic (DENATRAN) and the Sao  
288 Paulo Transporte (SPTrans), respectively. Emission factors for road vehicles for most  
289 pollutants were considered from previous studies performed inside road tunnels (i.e.  
290 Janio Quadros, referred as JQ tunnel, and the tunnel 3 of the Rodoanel Mario Covas that  
291 is referred hereafter as RA tunnel) located within the SPMA (Pérez-Martínez et al.,  
292 2014; Nogueira et al., 2014). However, emission factors for VOCs are considered from  
293 dynamometer protocols (CETESB, 2010). VOCs and PM speciation profiles used by  
294 gas-phase and aerosol chemical modules were also obtained from NUANCE-SPS  
295 experimental campaigns performed in 2011 (tunnel measurements) and 2012 (ambient  
296 data). It is important to note that due to the lack of information on vehicular emission  
297 factors and intensity of use for most of the other metropolitan areas inside both  
298 modelling domains (e.g. the Campinas Metropolitan Area, which is shown by the  
299 second largest grey stain in Fig. 2), the calculation of vehicular emissions for these

300 urban areas was carried out on the basis of the parameters found for the SPMA. The  
301 number of vehicles in any modelling domain is calculated from the sum of the number  
302 of vehicles in each one of the main urban areas inside the modelling domain in question.

303         Spatial distribution of emissions for the 3 km modelling domain resolution was  
304 based on road density products compiled by the OpenStreetMap project and extracted  
305 from the Geofabrik's free download server (<http://download.geofabrik.de>). Urban areas  
306 were assumed to allocate high emissions since these concentrate a road density greater  
307 than other areas. In the case of the 15 km modelling domain, emissions are based on  
308 night-time lights data from the Defense Meteorological Satellite Program  
309 (<http://ngdc.noaa.gov/eog/dmsp/downloadV4composites.html>). These images are 30 arc  
310 second grids, spanning from  $-180^{\circ}$  to  $+180^{\circ}$  longitude and  $-65^{\circ}$  to  $+75^{\circ}$  latitude and  
311 contain the lights from cities, towns and other sites with persistent lighting, including  
312 gas flares. Cleaned up night-time light points with no ephemeral events such as forest  
313 fires are used to allocate emissions. To estimate the number of vehicles in each grid  
314 point of both domains, the sum of individual intensities at each point (i.e. total road  
315 length for the 3 km modelling domain and night-time light for the 15 km modelling  
316 domain) is firstly normalized by the total fleet, and then distributed uniformly using the  
317 total fleet distribution so that emissions in urban areas are mainly represented by  
318 emissions coming from their vehicles. Furthermore, due to the complexity involved in  
319 describing the temporal variation of emissions at each grid point, median values for  
320 vehicular traffic obtained from measurements inside the JQ and RA tunnels (Pérez-  
321 Martínez et al., 2014) were used for distributing the emissions during the day in both  
322 domains. This approximation followed the approach used by Fast et al. (2006) where  
323 emission profiles were calculated from median diurnal variations on weekdays and  
324 weekends. We have applied the same constant diurnal cycle at all grid points where

325 emissions have values greater than zero. VOC and PM emission profiles were assumed  
326 to be the same as for CO and NO<sub>x</sub> emission profiles since these pollutants are also  
327 characteristic tracers of emissions of light-duty and heavy-duty vehicles, respectively.  
328 Fig. 2 shows the maximum hourly emission rates for aromatic VOCs in the 3 km  
329 modelling domain. Anthropogenic emissions were not considered in the 75 km  
330 modelling domain.

331 The Another Assimilation System for WRF-Chem (AAS4WRF) chemical  
332 emissions pre-processor developed by the Latin American Observatory (OLE2; Muñoz  
333 et al., 2010; 2012) was used to scale emission rates on WRF curvilinear coordinates.  
334 AAS4WRF is appropriate to write chemical emission rates from both surface and  
335 elevated sources in the proper WRF data file format, providing an alternative tailored  
336 way to assimilate emissions to WRF-Chem. The method is explained in the OLE2 Wiki  
337 pages in detail ([http://www.cmc.org.ve/mediawiki/index.php?title=Calidad\\_de\\_Aire](http://www.cmc.org.ve/mediawiki/index.php?title=Calidad_de_Aire)).

338

### 339 **2.3.2. Other emissions**

340 Biogenic emissions are calculated online based on the Guenther scheme  
341 (Guenther et al., 1993; 1994). The Guenther biogenic emissions model calculates the  
342 emission rates using temperature, photo-synthetically active radiation flux and land-use  
343 data as the U.S. Geological Survey (USGS) land-use cover system classification if  
344 coupled with the WRF model. However, as indicated in the WRF-Chem emissions  
345 guide ([http://ruc.noaa.gov/wrf/WG11/Emission\\_guide.pdf](http://ruc.noaa.gov/wrf/WG11/Emission_guide.pdf)), several key chemical  
346 species would have been representing relatively low emission rates because of the  
347 limited vegetation types in the simulation, and thus their impacts are anticipated to be  
348 much lower than those from vehicular emissions.



349 Dust and sea salt emissions are calculated online following the works of Ginoux  
350 et al. (2001) and Gong (2003), respectively. The calculation of Ginoux et al. (2001) for  
351 the uplifting of dust particles is based on the surface wind speed, wetness and  
352 information on soil characteristics. The model then solves the continuity equation  
353 including the emission, chemistry, advection, convection, diffusion, dry deposition, and  
354 wet deposition of each species. The parameterization of sea salt aerosol source function  
355 of Gong (2003) is an extended parameterization of Monahan et al. (1986), which scales  
356 the generation of marine aerosols from mechanical disruption of wave crests by the  
357 wind and sea surface covered by whitecaps.

358

### 359 **3. Results and discussion**

#### 360 **3.1. Characterization of meteorological conditions**

361 In order to study and understand the spatial and temporal variability of  
362 atmospheric aerosols, O<sub>3</sub>, and other pollutants (i.e. CO, NO<sub>x</sub>) during the study period, it  
363 was first necessary to analyze the behavior of main meteorological systems acting on  
364 the atmospheric environment of the SPMA and surrounding areas.

365 According to the monthly climate reports from the IAG-USP's Climate Research  
366 Group (GrEC), the observed precipitation rates were lower than the climatological value  
367 in SPMA (anomaly of -38.6 mm) and larger part of the Sao Paulo State during August  
368 2012. Negative anomalies on the precipitation were caused by the intensification of the  
369 South Atlantic Subtropical High (SASH). These conditions established an easterly wind  
370 anomaly pattern at the 850 hPa level. Conditions were unfavorable for relative humidity  
371 coming from the Amazon due to the Low Level Jet (LLJ) and less intense Alisian winds  
372 in the Tropical Atlantic (GrEC, 2012a). However, the action of frontal systems favored  
373 the rain accumulation in September 2012, mainly in western Sao Paulo State where the

374 greater positive amount of anomalies was observed. Precipitation events were  
375 predominantly observed during the second half of the month. In this case, the wind  
376 pattern showed an opposite configuration to that observed in August 2012 as a result of  
377 the weakening of the SASH (GrEC, 2012b). The IAG-USP's climatological station  
378 recorded an accumulated precipitation of about 1.3 mm on three days of occurrence (28  
379 August, 30 August and 4 September 2012) and an easterly wind pattern with a median  
380 intensity of  $2 \text{ m s}^{-1}$  during the period between 07 August and 06 September 2012. Fig. 3  
381 shows the hourly accumulated precipitation and relative humidity observed at the IAG-  
382 USP's climatological station.

383

### 384 **3.2. Analysis of aerosol species**

385 Aerosol analysis included species such as organic carbon (OC), elemental  
386 carbon (EC), sulphate, nitrate, ammonium, sodium and chloride in addition to other  
387 elemental constituent of PM. All the samplings for these species were performed at  
388 IAG-USP. Results showed that the major contributors to the concentration of fine  
389 particles are OM (55.7%; OM:OC ratio of 1.5 found by Brito et al. (2013)) and EC  
390 (15%), followed by sulphate (2.9%), ammonium (2.1%), sodium (1.9%), nitrate (0.5%)  
391 and chloride (0.3%). The remaining mass (21.6%) is calculated by determining of the  
392 difference between the total mass of  $\text{PM}_{2.5}$  (from the gravimetric analysis) and the sum  
393 of the masses of 7 individual compounds, as noted above. Part of this remaining mass is  
394 related to the water content of aerosols (Andrade et al., 2012).

395 On the other hand,  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$  and size distribution of particles measured at  
396 IAG-USP show that the study period was characterized by a reduction in the

397 concentrations up to the end of August 2012 when their minimum values were  
398 achieved. This reduction was related to the action of a semi-stationary front between the  
399 coasts of Sao Paulo and Parana States. After the passage of this system, aerosol  
400 concentrations have significantly increased what could be related to an increase in  
401 relative humidity once the SASH system is moved away from the continent, as well as  
402 the transport of aerosol particles produced by forest fires in the central-west region of  
403 Brazil and the Sao Paulo State. Several studies have shown the contribution of forest  
404 fires on the atmospheric aerosol concentrations in SPMA (Vieira-Filho et al., 2013;  
405 Vasconcellos et al., 2010). One way to qualitatively evaluate the contribution of forest  
406 fires on aerosol concentrations is by using the air mass trajectories. The Hybrid Single-  
407 Particle Lagrangian Integrated Trajectory (HYSPPLIT) model (Draxler and Hess, 1998)  
408 was used to calculate backward trajectories of air masses in order to identify  
409 atmospheric transport of air mass from forest fire areas. Fig. 4 shows the three-day  
410 backward trajectories of air masses starting at IAG-USP for the days 9 and 31 August  
411 and 5 September, when increases in the OC and EC concentrations were observed at  
412 IAG-USP. The pink markers on the map represent the observed fire locations during the  
413 study period considering different satellite products (GOES, AQUA, TERRA, NOAA).

414 Fig. 5 shows the concentration of OC, EC and some species of PM<sub>2.5</sub> during the  
415 study period at IAG-USP. We can observe eleven exceedances of PM<sub>2.5</sub> concentration  
416 with respect to the air quality standard of 25 µg m<sup>-3</sup> (see grey line in Fig. 5a) established  
417 by the World Health Organization (WHO). These exceedances have mainly occurred at  
418 the beginning and at the end of the study period when an increase in the concentrations  
419 of OC and EC were observed. The increasing organic matter could be associated to  
420 traffic incidents which may raise the emissions, which in case of less favorable  
421 meteorological conditions (e.g. lower height of lower planetary boundary layer, PBL, or

422 slow transport of air pollutants) may have led to a more efficient formation of secondary  
423 particles. Castanho and Artaxo (2001) analyzed the behavior of the aerosol composition  
424 in SPMA and showed the increase in the concentration of inorganic and organic  
425 material in the winter season compared to the summer season, explaining this behavior  
426 with the meteorological characteristics: dry conditions with low height inversion layer  
427 in the wintertime and a rainy summer.

428 Size distributions of aerosol mass indicate that the majority of sulphate,  
429 ammonium and  $PM_{10}$  mass concentration is distributed in the size range with diameters  
430 between 0.1 and 1  $\mu m$ , commonly known as accumulation mode particles (Kumar et al.,  
431 2010). In the cases of nitrate, sodium, and chloride, most part of mass was concentrated  
432 in particles with diameters greater than 1  $\mu m$ .

433

### 434 **3.3. Comparison of baseline simulation with observations**

435 All the numerical results presented in this section, for the purpose of comparison  
436 with the measurements, were obtained from the baseline simulation (Case\_0). The  
437 predicted temperature, humidity, and 10-m wind speed and direction have been  
438 compared to measurements from the AF-IAG and INT measurement sites. Overall, the  
439 model captured the diurnal variation of temperature, relative humidity, and wind  
440 directions reasonably well. However, the predicted wind speeds were higher than the  
441 observed values. To evaluate the model performance in solving the meteorology and  
442 chemical species, we computed the statistics correlation coefficient (R), mean bias  
443 (MB), mean fractional bias (MFB), mean fractional error (MFE), and root mean square  
444 error UB (RMSE<sub>UB</sub>). The definitions of these statistics are given in the Appendix. Fig. 6  
445 shows the predicted average of 10-m wind vectors and 2-m temperature for the whole  
446 study period in the 3 km modelling domain. Blue dots represent the locations of AF-

[a4] Comentário: Added.

447 IAG and INT sites, while the numbers in cyan indicate the observed average  
448 temperatures (i.e. 17.7 °C at AF-IAG and 17.8 °C at INT). On an average, the predicted  
449 wind direction was easterly in SPMA, which has somewhat affected the spatial  
450 distribution of aerosol particles as examined later in this section. Likewise, the statistics  
451 used to quantify the model performance in the representation of PM concentration show  
452 that, in general, most of prediction-observation pairs present good correlation  
453 coefficients, mainly those for PM<sub>10</sub>, but with negative biases and standard deviations  
454 lower than those for observations (see Fig. 10). Table 5 summarizes the performance  
455 statistics used in this study showing comparisons between WRF-Chem predictions and  
456 observations. The evaluation of WRF-Chem predictions for meteorology and chemical  
457 species on a site-by-site basis is presented in the sections 1 and 2, respectively, of the  
458 supplementary material. Figs. 7, 8 and 9 show the observed and predicted temporal  
459 variations of PM<sub>2.5</sub>, PM<sub>10</sub> and O<sub>3</sub> concentrations at 3, 10 and 6 sites in the SPMA,  
460 respectively, with some measurement sites sharing the same grid point for comparisons  
461 due to the geographical proximity (e.g. the sites IAG-USP and IPEN-USP both  
462 separated around 900 m from each other). These figures suggest that predicted  
463 concentrations did not present any significant spatial variation in the downtown SPMA  
464 and were generally underestimated when compared to measurements. This under  
465 prediction could be associated with an underestimation on the vehicular emissions as  
466 well as other emission sources (e.g. emissions coming from industry) that are  
467 disregarded in this study, in addition to predicted surface winds more intense than those  
468 observed, leading to a dilution of aerosol particles in the SPMA. The high  
469 concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> observed at the beginning and at the end of the study  
470 period, whose variability and trends were reasonably well captured by the model, could  
471 be related with the emission of high aerosol loadings due to traffic incidents as well as

**[a5] Comentário:** Modified. Also, the Taylor reference was moved to the figure caption of Figure 10.

**[a6] Comentário:** Modified and relocated.

**[a7] Comentário:** Added.

**[a8] Comentário:** Added.

472 the establishment of lower PBL heights, commonly observed under post-frontal  
473 situations. The results for this simulation (Case\_0) show that overall the predicted PBL  
474 heights (not shown here) have a regular diurnal variation in the downtown SPMA with  
475 averaged daily values around 500 m at both the beginning and the end, and of up to 700  
476 m in the middle of the study period, when lower concentrations of aerosols were  
477 observed.

478         Figures 11-13 show the predicted average surface distribution of  $PM_{2.5}$ ,  $PM_{10}$   
479 and  $PM_{2.5}:PM_{10}$  ratio for the 3 km modelling domain, respectively. Red dots and cyan  
480 numbers represent the locations and the observed mean PM concentrations (or mean  
481 PM concentration ratios) at the measurement sites, respectively. Major contributions of  
482  $PM_{2.5}$  on the total  $PM_{10}$  concentration were observed mainly over offshore continental  
483 areas (see Fig. 13). High  $PM_{2.5}:PM_{10}$  concentration ratios would be firstly associated  
484 with the transportation of fine particles and gases from upwind regions (see Fig. 6),  
485 followed by a production of fine particles from biogenic emissions. Additional  
486 comparisons between the observed and predicted concentrations of OC and EC at IAG-  
487 USP (the only site with measurements of OC and EC) are shown in Fig. 14. As it has  
488 been pointed out in the section 2 of the supplementary material, under predicted OC  
489 concentrations could be associated, among others (e.g., underestimation of POA  
490 emissions, inaccurate meteorological predictions), with an underestimation of SOA,  
491 probably due to the absence of oxidation of monoterpenes and a limited treatment of  
492 anthropogenic VOCs oxidation in the RADM2 mechanism, as discussed by Tuccella et  
493 al. (2012). The SORGAM aerosol module considers the formation of anthropogenic  
494 SOAs from the oxidation of alkane, alkene and aromatic VOCs as well as the biogenic  
495 SOA formation from the oxidation of alpha-pinene, limonene and isoprene VOCs.  
496 Recent studies coupling non-traditional SOA models (volatility basis set approaches) in

[a9] Comentário: Modified.

497 WRF-Chem show improvements in the predicted SOA concentrations, although these  
498 are still lower than those observed (e.g. Li et al., 2011b; Ahmadov et al., 2012;  
499 Shrivastava et al., 2013).

500 On the other hand, measurements of mass size distribution were also made with  
501 a MOUDI impactor at IAG-USP, following the protocol describe in Miranda and  
502 Andrade (2005). Constituents of aerosol were subsequently determined by X-Ray  
503 fluorescence analysis and ion chromatography analysis. As previously indicated in this  
504 section, the main identified species are SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, Na and Cl. The observed  
505 average aerosol composition is derived using measurements from both MOUDI  
506 impactor and SUNSET analyzer. To perform the comparisons of mass size distribution,  
507 we adequately joined the MOUDI bin sizes according to the three modes used by the  
508 MADE aerosol module: Aitken (<0.1 μm), accumulation (0.1-1 μm) and coarse (>1  
509 μm). The observed and predicted aerosol mass size distributions averaged over the same  
510 sampling time period (16 days along the study period) are shown in Fig. 15. Over the  
511 downtown SPMA, both the observed and predicted fine particles from accumulation  
512 mode account for majority of the total PM<sub>2.5</sub> mass. Since the formation-growth  
513 processes of aerosols in question are explicitly treated in the Aitken and accumulation  
514 modes, the predicted concentrations for particles larger than 1 μm are assumed to be  
515 zero. In this case, the mass of particles larger than 1 μm is allocated to the PM<sub>10</sub> aerosol  
516 variable (see Fig. 15). The comparison between the observed and predicted average  
517 contributions for the main identified aerosol constituents at IAG-USP is shown in Fig.  
518 16. Both the observed and predicted OC and EC make up the largest fraction of PM<sub>2.5</sub>  
519 mass with contributions of 55 and 40%, respectively. In addition, it was found that the  
520 predicted SOA concentrations contribute 17% of the predicted total OC concentration at  
521 this measurement site. Various global and regional scale SOA simulations have been

522 conducted using mass-based yield and partitioning coefficients, but they have  
523 underestimated the SOA concentrations by roughly an order of magnitude, especially  
524 over urban regions (Matsui et al., 2014). Using the same SOA formation approach  
525 employed by this study and a conversion factor of 1.6 to convert the emissions of OC to  
526 OM, Tuccella et al. (2012) found simulated SOA:OM ratios in the 5-40% range against  
527 the observed range of 50-80%. Although the predicted average PM<sub>2.5</sub> concentration  
528 (14.48 µg m<sup>-3</sup>) was lower than observed (22.32 µg m<sup>-3</sup>), the mean aerosol chemical  
529 composition was reasonably well represented by the model (see Fig. 16).

#### 530 **3.4. Contribution of dust-sea salt and coarse anthropogenic aerosols to PM** 531 **concentration**

532 The evaluation of the contribution of dust and sea salt aerosols on PM<sub>10</sub>  
533 concentration is performed from the sum of their concentrations divided by the PM<sub>10</sub>  
534 concentration. The simulated average ratio between dust-sea salt aerosols and the total  
535 PM<sub>10</sub> mass concentration is shown in Fig. 17b. High concentration ratios have been  
536 observed over the ocean where sea salt emissions are by far the most important aerosols  
537 source. Unlike high concentration ratios over the ocean, lower concentration ratios are  
538 observed over the continent far away from the coast. In this region, the main sources of  
539 atmospheric aerosols would be the emission of primary biological aerosol, SOA formed  
540 from the emission of biogenic volatile organic compounds (BVOCs), and forest fires.  
541 However, particles could also be transported from remote areas. In addition, we can also  
542 observe that dust and sea salt aerosols have a contribution between 40 and 50% of the  
543 total PM<sub>10</sub> concentration in the downtown SPMA. Furthermore, it is possible to estimate  
544 the contribution of all the other PM<sub>10</sub> (i.e., the coarse anthropogenic aerosol) to the total  
545 PM<sub>10</sub> mass concentration. It may be directly calculated from the model or estimated  
546 from the Figs. 13 and 17b once the sum of concentrations of PM<sub>2.5</sub>, dust and sea salt,



547 and coarse anthropogenic aerosol represents 100% of the total  $PM_{10}$  mass concentration.  
548 For example, we found that the coarse anthropogenic aerosol represents around 10% of  
549  $PM_{10}$  in the downtown SPMA.

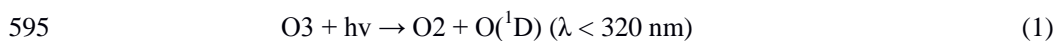
### 550 **3.5. Evaluation of secondary aerosol formation**

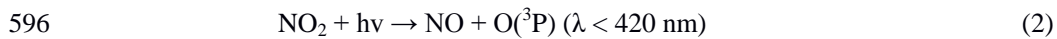
551 As described in Section 2.1, aerosol module employed by this study  
552 (MADE/SORGAM) includes the homogeneous nucleation in the sulphuric acid-water  
553 system. The sulphuric acid is the most significant condensable molecule formed in the  
554 atmosphere, which has also been long recognised as the most important molecule from  
555 the point of view of the nucleation of new particles (Jenkin and Clemitshaw, 2000;  
556 Seinfeld and Pandis, 2006). However, for the SPMA, the importance of SOA formed  
557 from the anthropogenic emission of fuel used by the transport sector was noted (Salvo  
558 and Geiger, 2014). According to the official emission inventory developed by the Sao  
559 Paulo Environmental Protection Agency (CETESB, 2013), the SOA explains 51% of  
560 the fine particle mass concentration, with the vehicular emission being its main source.  
561 The subsequent growth processes involve aerosol growth by condensation of  
562 condensable material onto existing particles, and by coagulation of particles to form  
563 larger particles (Kumar et al., 2011; 2014). For example, particles in the accumulation  
564 mode emerge through coagulation of particles from the Aitken mode (Kumar et al.,  
565 2011). It is important to emphasize that the boundaries were updated with gas and  
566 aerosol background concentrations coming from the 15 km modelling domain during  
567 the whole simulation period. Thereafter, the impact of vehicular emissions on the  
568 formation of fine particles was calculated from the predicted  $PM_{2.5}$  concentration  
569 considering an emission scenario (Case\_1) in which only emission of gases from  
570 vehicles and vegetation are taken into account to be emitted to the atmosphere from the  
571 surface. The predicted average  $PM_{2.5}$  (Case\_1): $PM_{2.5}$  (Case\_0) ratio is shown in Fig.

572 17a. A contribution between 20 and 30% in the predicted baseline PM<sub>2.5</sub> concentration  
573 in downtown SPMA is found to correspond to the fine particles formation and  
574 transportation processes. Higher concentration ratios over the SPMA surroundings (30-  
575 50%) could be associated with more efficient biogenic emissions. Overall, it is observed  
576 that the formation efficiency increases towards the northwest from the ocean. Deep red  
577 areas in Fig. 17a could also be associated with the transportation of fine particles and  
578 gases from other regions, in addition to having a more efficient production of fine  
579 particles from biogenic emissions. For example, given the distribution of winds in Fig.  
580 6, the northern boundary could represent the main source of particles and gases over this  
581 part of the simulation domain. Additionally, the comparison between the predicted and  
582 observed OC and EC concentrations at IAG-USP shown in Fig. 14 includes the Case\_1  
583 simulation in which only emission of primary gases is taken into account in the  
584 assessment of fine particles formation. The concentration peaks observed at the  
585 beginning and at the end of the study period may be associated with the transport of  
586 aerosol particles from both biomass and fossil fuel burning areas (see Fig. 4).  
587 Considering the Case\_1 simulation, we can observe very low concentrations for EC  
588 (mean concentration of 0.01 µg m<sup>-3</sup>), as expected. This is because these particles are not  
589 produced by photochemical processes in the atmosphere, but associated mainly with the  
590 diesel exhaust.

### 591 **3.6. Aerosol impact on O<sub>3</sub> photochemistry**

592 Ozone photochemistry production mainly depends on the two key photolysis  
593 rates, as shown in Eqs. (1) and (2), i.e., shortwave radiation able to reach the surface to  
594 break molecules of O<sub>3</sub> and NO<sub>2</sub>.





597 Therefore, the impact of aerosols on O<sub>3</sub> photochemistry has been evaluated from  
598 the impact of aerosols on downward shortwave radiation. Attenuation (scattering and  
599 absorption) of downward shortwave radiation by aerosols may substantially modify the  
600 photolysis rates, and thereby affecting the ozone photochemistry production.

601 The average percentage change in surface O<sub>3</sub> concentrations at 16:00 h (local  
602 time) with and without the aerosol-radiation feedback module turned on are shown Fig.  
603 17c. Overall O<sub>3</sub> is destroyed or formed (incoming transport from other regions) in small  
604 quantities between -1 and +1% in relation to its total concentration. In addition, it was  
605 observed that the surface O<sub>3</sub> concentration decreased by around 2% in the downtown  
606 SPMA. Li et al. (2011a) found that the impact of aerosols on O<sub>3</sub> formation in Mexico  
607 City was most pronounced in the morning with the O<sub>3</sub> reduction of 5-20%, but the  
608 reduction is less than 5% in the afternoon. Low reductions in the O<sub>3</sub> concentration in the  
609 downtown SPMA compared to results from other studies may be explained by the lower  
610 predicted PM<sub>10</sub> concentrations, which can lead to a minor attenuation of the incoming  
611 solar radiation. Simulated mean downward shortwave fluxes at ground surface (not  
612 shown) were up to 5% higher for the Case\_2 than for the Case\_0 during the afternoon.  
613 The inclusion of the direct effect of aerosol particles was found to have small reductions  
614 in the surface temperature (changes by around 2%), presumably due to an increase in  
615 the number of atmospheric processes involving downward longwave fluxes over this  
616 area. Forkel et al. (2012) found an underestimation of predicted downward longwave  
617 radiation over the southern Baltic Sea when the direct effect of aerosol particles was  
618 neglected. Despite the highly non-linear behavior of tropospheric O<sub>3</sub>, the reduction in  
619 the predicted O<sub>3</sub> concentrations indicates a high efficiency of aerosols to attenuate the

620 downward shortwave radiation, what is plausible once it was found that low  $PM_{10}$   
621 concentrations have a capability to reduce ground level  $O_3$  concentrations in a few ppb.

#### 622 **4. Summary and conclusions**

623 The WRF-Chem community model has been used to evaluate the impact of  
624 vehicular emissions on the fine particles formation in the SPMA. Three thirty-one day  
625 simulations, covering a period from 7 August to 6 September 2012, have been  
626 performed. The aims were to evaluate the impact of fine particles formation (both  
627 inorganic and SOA) from gases emitted by road vehicles as well as the aerosol impacts  
628 on the ozone formation photochemistry. The results were compared with the  
629 measurements available from the NUANCE-SPS project.

630 The predicted temporal variations of meteorology,  $PM_{2.5}$ ,  $PM_{10}$  and  $O_3$  were  
631 found to agree well with the measurements at most of the sites during the entire  
632 simulation period. However, the predicted concentrations of  $PM_{2.5}$ ,  $PM_{10}$  and  $O_3$  (but in  
633 minor intensity) were lower than the observed values. This difference could be  
634 associated with an underestimation of the vehicular emissions and other emission  
635 sources such as industry, heating and cooking, which are not considered in this study.  
636 Wind speed and direction played an important role in the distribution of fine particles  
637 over the simulation domain. Backward trajectories analysis suggested that aerosol  
638 particles from biomass burning were transported to SPMA, impacting on the  $PM$   
639 concentration over this region.

640 The baseline simulation (Case\_0) showed that dust and sea salt aerosols made a  
641 contribution between 40 and 50% of the total  $PM_{10}$  concentration in the downtown  
642 SPMA. On the other hand, the Case\_1, which represents simulations with gaseous  
643 emissions only, indicates that the emissions of primary gases coming mainly from

644 vehicles have a potential to form new particles between 20 and 30% in relation to the  
645 baseline PM<sub>2.5</sub> concentration found in the downtown SPMA. Finally, the Case\_2, which  
646 represents simulations with aerosol-radiation feedback turned on, reveals a reduction in  
647 the surface O<sub>3</sub> concentration by around 2% in the afternoon (16:00 h; local time) when  
648 the aerosol-radiation feedback is taken into account.

649 This study provides a first step to understand the impact of vehicular emissions  
650 on the secondary particles formation in the SPMA. Nevertheless, more experimental  
651 campaigns are recommended for future work in order to characterize aerosols in  
652 ambient air and to improve their emission estimates so that a better understanding of  
653 physical and chemical properties and their formation can be established. This study also  
654 evaluates the importance of the VOCs in the formation of not only O<sub>3</sub> but also of fine  
655 particles. These compounds play an important role concerning health impacts and  
656 climate change, and the control of their concentrations requires the description of their  
657 formation mechanisms.

## 658 **Appendix**

659 The statistics used in this study are defined as follows:

- 660 1. Mean bias (MB)

$$\text{MB} = \frac{1}{n} \sum_{i=1}^n (M_i - O_i)$$

661

- 662 2. Mean fractional bias (MFB)

$$\text{MFB} = \frac{1}{n} \sum_{i=1}^n \frac{2(M_i - O_i)}{M_i + O_i} 100\%$$

663

- 664 3. Mean fractional error (MFE)

$$\text{MFE} = \frac{1}{n} \sum_{i=1}^n \frac{2|M_i - O_i|}{M_i + O_i} 100\%$$

**[a10] Comentário:** Two additional metrics (MFB and MFE) used for PM model evaluation were added.

665

666 4. Root mean square error UB (RMSE<sub>UB</sub>)

$$\text{RMSE}_{\text{UB}} = \sqrt{\frac{1}{n} \sum_{i=1}^n [(M_i - \bar{M}) - (O_i - \bar{O})]^2}$$

667

668 5. Correlation coefficient (R)

$$r = \frac{\sum_{i=1}^n (M_i - \bar{M}) * (O_i - \bar{O})}{\sqrt{\sum_{i=1}^n (M_i - \bar{M})^2} \sqrt{\sum_{i=1}^n (O_i - \bar{O})^2}}$$

669

670 Where

671  $\bar{O} = \frac{1}{n} \sum_{i=1}^n O_i$  and  $\bar{M} = \frac{1}{n} \sum_{i=1}^n M_i$  are the average values of the individual observed and

672 predicted values,  $O_i$  and  $M_i$ , respectively. “n” is the number of observations.

673

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 681 *Aerosol Particles In Formation Of Clouds and Precipitation (eRAIN) - A demonstration*  
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692

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900 Ynoue, R. Y. and Andrade, M. F.: Size-resolved mass balance of aerosol particles over  
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902 52-62, 2004.



903 Table 1. Description of aerosol sampling campaigns performed at IAG-USP.

Parameter	Sampling frequency	Period of sampling	Sampling device
Aerosol mass size distribution	24 hours	July-September	MOUDI impactor
PM <sub>2.5</sub> and PM <sub>10</sub> concentration	12 hours	July-September	Dichotomous sampler
OC and EC concentration	12 hours	August-September	Sunset OC-EC analyser

904

905

906 Table 2. Description of measurement sites.

Site	Initials	Latitude	Longitude	Classification	Measured species
Nossa S. do Santana	NSO	-23.4796	-46.6916	Urban	PM <sub>10</sub> , O <sub>3</sub>
Parque D. Mooca	PDP	-23.5448	-46.6276	Urban	PM <sub>10</sub> , O <sub>3</sub>
Cerqueira IAG-USP	MOO	-23.5497	-46.5984	Urban	PM <sub>10</sub> , O <sub>3</sub>
	CCE	-23.5531	-46.6723	Urban	PM <sub>10</sub>
	IAG-USP	-23.5590	-46.7330	Suburban	PM <sub>10</sub> , PM <sub>2.5</sub> , OC, EC, aerosol mass size distrib. <sup>a</sup>
IPEN-USP	IPEN-USP	-23.5662	-46.7374	Suburban	PM <sub>2.5</sub> , O <sub>3</sub> , NO <sub>x</sub> , CO
Ibirapuera	IBI	-23.5914	-46.6602	Suburban	PM <sub>10</sub> , O <sub>3</sub> , NO <sub>x</sub> , CO
Congonhas	CON	-23.6159	-46.6630	Urban	PM <sub>10</sub> , PM <sub>2.5</sub>
AF-IAG	AF-IAG	-23.6500	-46.6167	Suburban	T, RH, WS, WD <sup>b</sup>
Santo	SAM	-23.6545	-46.7095	Urban	PM <sub>10</sub>
Interlagos	INT	-23.6805	-46.6750	Urban	PM <sub>10</sub> , O <sub>3</sub> , T, RH, WS,

[a11] Comentário: Added.

907 <sup>a</sup>includes SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, Cl<sup>-</sup> and PM<sub>10</sub>.

908 <sup>b</sup>T, RH, WS, and WD denote temperature, relative humidity, wind speed and wind

909 direction, respectively.

910

911 Table 3. Selected WRF-Chem configuration options.

Atmospheric Process	WRF-Chem option
Longwave radiation	RRTM
Shortwave radiation	Goddard
Surface layer	Monin-Obukhov
Land surface	Noah
Boundary layer	YSU
Cumulus clouds <sup>a</sup>	Grell 3D
Cloud microphysics	Lin
Gas-phase chemistry	RADM2
Aerosol chemistry	MADE/SORGAM
Photolysis	Fast-J

912 <sup>a</sup>Outer domains only

913

914 Table 4. Description of WRF-Chem simulations.

Label	Description
Case_0 (Baseline simulation)	Emission of gases Emission of aerosols Aerosol-radiation feedback turned on
Case_1	Emission of gases No emission of aerosols Aerosol-radiation feedback turned on
Case_2	Emission of gases Emission of aerosols Aerosol-radiation feedback turned off

915

916

917 Table 5. Performance statistics for WRF-Chem predictions at all sites<sup>a</sup>

Index	PM <sub>2.5</sub>	PM <sub>10</sub>	O <sub>3</sub>	NO <sub>x</sub>	CO	T	RH	WS	WD
MB	-8.84	-14.10	-0.85	-8.75	-0.27	0.65	-5.74	0.54	31.12
MFB (%)	-47.62	-38.19	22.63	12.68	-32.53	1.94	-7.95	41.21	31.66
MFE (%)	47.90	39.90	72.85	82.82	80.93	14.16	23.84	71.12	54.40
RMSE <sub>UB</sub>	6.83	10.59	27.45	30.35	0.57	3.21	20.06	1.08	79.38
R	0.73	0.72	0.63	0.42	0.54	0.71	0.62	0.41	0.43

**[a12] Comentário:** The analysis of winds was reformulated considering wind speed and direction instead of zonal and meridional wind components.

918 <sup>a</sup>Values are averaged from all the individual indexes found at the measurement sites.

919 Individual indexes are calculated from both hourly observed and predicted values.

920 Figure 1. Downtown area of the 3 km modelling domain (d03) showing the locations of  
921 measurement sites and WRF topography in the vicinity of the SPMA. Red dots  
922 represent sites with information on O<sub>3</sub> and PM. Yellow dots represent only  
923 sites with information on PM. Blue dot represents the location of the IAG-USP's  
924 climatological station.

925 Figure 2. Emission rates for Aromatic VOCs at 19 UTC in the 3 km modelling  
926 domain.

927 Figure 3. Hourly accumulated precipitation and relative humidity observed at the  
928 IAG-USP's climatological station during the study period.

929 Figure 4. HYSPLIT three-day backward trajectories and locations of fires in Sao Paulo  
930 State and part of central-west region of Brazil. Pink markers represent the  
931 observed fire locations during the study period considering different satellite  
932 products (GOES, AQUA, TERRA, NOAA). The backward trajectories starting  
933 at IAG-USP were calculated for the days 9 and 31 August and 5 September 2012  
934 at three different altitudes: 500 m (red lines), 1000 m (blue lines), and 2000 m  
935 (green lines).

936 Figure 5. Daily (top), diurnal (bottom), and nocturnal (middle) mean concentrations for  
937 EC, OC, PM<sub>10</sub>, PM<sub>2.5-10</sub>, PM<sub>2.5</sub> (left panels), and Na, Fe<sub>2</sub>SO<sub>3</sub>, SiO<sub>2</sub>, K<sub>2</sub>O, and S  
938 (right panels). The PM<sub>2.5-10</sub> aerosol variable is defined as particulate matter with  
939 aerodynamic diameter between 2.5 and 10 µm. The grey line indicates the WHO  
940 air quality standard for PM<sub>2.5</sub> (25 µg m<sup>-3</sup>).

941 Figure 6. The predicted average of wind vectors at 10 m and temperature at 2 m from  
942 the baseline simulation (Case\_0) for the whole study period in the 3 km  
943 modelling domain. Blue dots represent the locations of the measurement sites,

944 whereas cyan numbers represent the observed average temperature in those  
945 sites: 17.7 °C in AF-IAG and 17.8 °C in INT.

946 Figure 7. The observed and predicted daily variations of PM<sub>2.5</sub> concentrations at three  
947 sites in SPMA for the 3 km modelling domain.

948 Figure 8. The observed and predicted daily variations of PM<sub>10</sub> concentrations at ten sites  
949 in SPMA for the 3 km modelling domain.

950 Figure 9. The observed and predicted hourly variations of O<sub>3</sub> concentrations at six sites  
951 in SPMA for the 3 km modelling domain.

952 Figure 10. Taylor diagram (Taylor, 2001) showing the individual correlation  
953 coefficients, mean biases, and normalized standard deviations for the PM<sub>10</sub>, PM<sub>2.5</sub>, OC  
954 and EC concentrations.

[a13] Comentário: Modified.

955 Figure 11. The predicted average surface distribution of PM<sub>2.5</sub> concentrations for the  
956 whole study period in the 3 km modelling domain. Red dots represent the  
957 locations of the measurement sites with information on PM<sub>2.5</sub>, whereas cyan  
958 numbers represent the observed average PM<sub>2.5</sub> concentration in those sites: 23.4  
959 µg m<sup>-3</sup> in IPEN-USP, 21.3 µg m<sup>-3</sup> in IAG-USP, and 22.2 µg m<sup>-3</sup> in CON.

960 Figure 12. The predicted average surface distribution of PM<sub>10</sub> concentrations for the  
961 whole study period in the 3 km modelling domain. Red dots represent the  
962 locations of the measurement sites with information on PM<sub>10</sub>, whereas cyan  
963 numbers represent the observed average PM<sub>10</sub> concentration in those sites: 49.5  
964 µg m<sup>-3</sup> in IAG-USP and 38.7 µg m<sup>-3</sup> in CON.

965 Figure 13. The predicted average surface distribution of the PM<sub>2.5</sub>:PM<sub>10</sub> ratio for the  
966 whole study period in the 3 km modelling domain. Red dots represent the  
967 locations of the measurement sites with information on both PM<sub>2.5</sub> and PM<sub>10</sub>,

968            whereas cyan numbers represent the observed average  $PM_{2.5}:PM_{10}$  ratio in those  
969            sites: 0.43 in IAG-USP and 0.57 in CON.

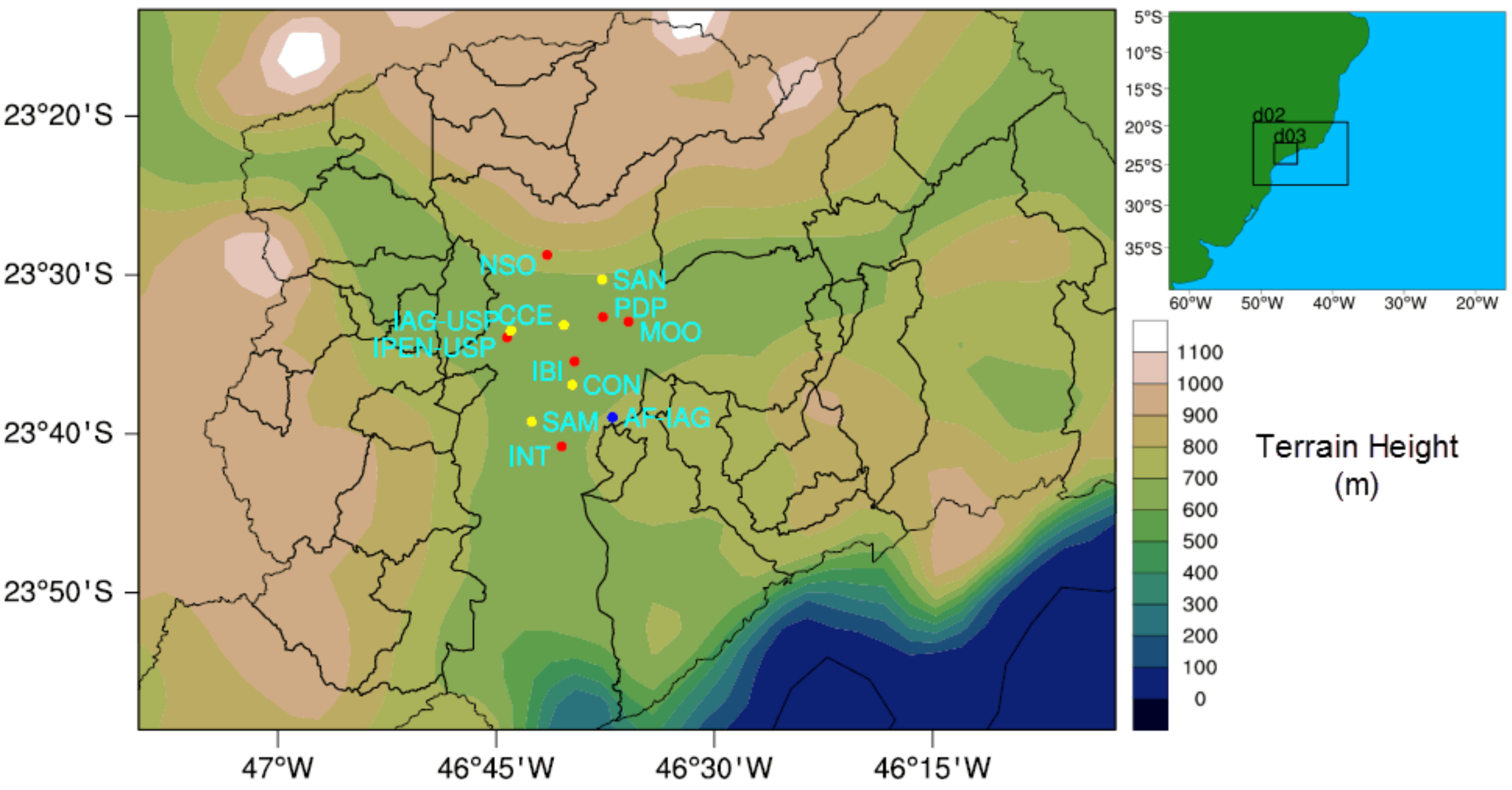
970   Figure 14. The observed and predicted daily variations of OC and EC concentrations  
971            at IAG-USP.

972   Figure 15. The observed and predicted average aerosol mass size distribution for  $SO_4$ ,  
973             $NO_3$ ,  $NH_4$ , Na, Cl, and other  $PM_{10}$  constituents at IAG-USP. The observed  
974            aerosol distributions were collected in ten size classes using a rotated impactor  
975            (MOUDI) and joined adequately according to the three modes used by the  
976            MADE aerosol scheme: Aitken ( $<0.1 \mu m$ ), accumulation ( $0.1-1 \mu m$ ) and coarse  
977            ( $>1 \mu m$ ). The five inorganic ions carried in MADE are only calculated for the  
978            Aitken and accumulation modes. The WRF's  $PM_{10}$  aerosol variable does not  
979            include neither OC nor EC for this comparison.

980   Figure 16. The observed and predicted average contributions for the main identified  
981            constituents of  $PM_{2.5}$  at IAG-USP.

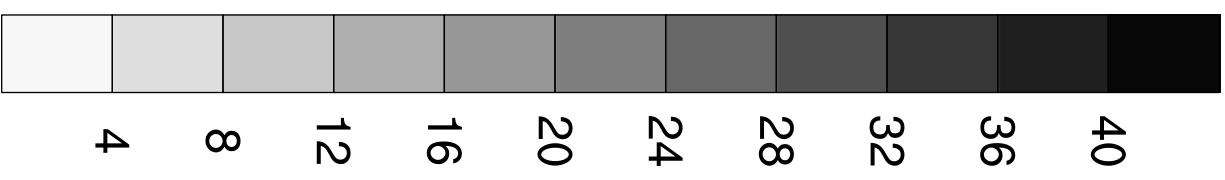
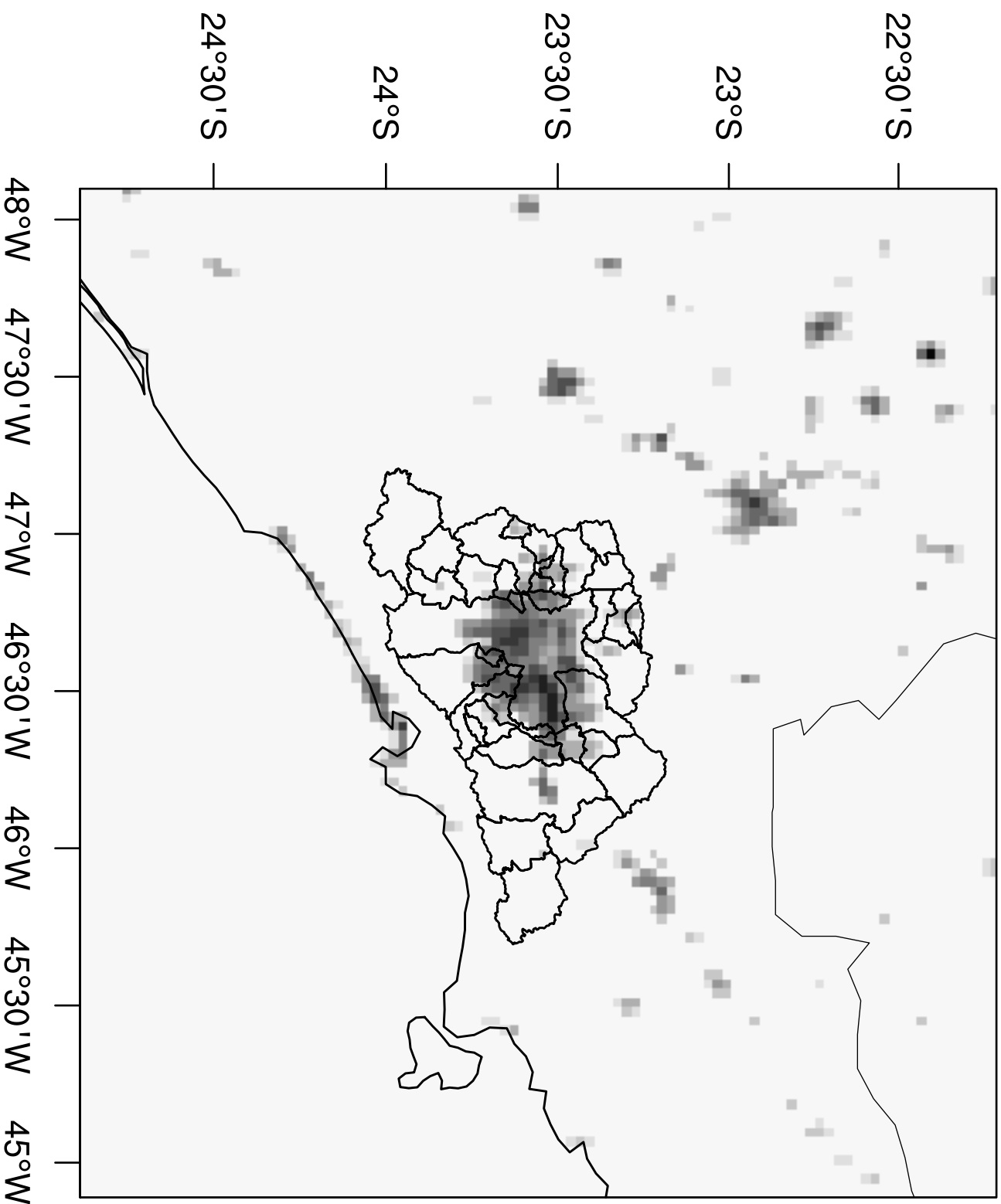
982   Figure 17. The impact of (a) emissions of primary gases on the fine particles formation,  
983            (b) emissions of dust-sea salt aerosols on the  $PM_{10}$  concentration, and (c) aerosol  
984            direct effect on the ground level  $O_3$  concentrations at 16:00 h (local time).



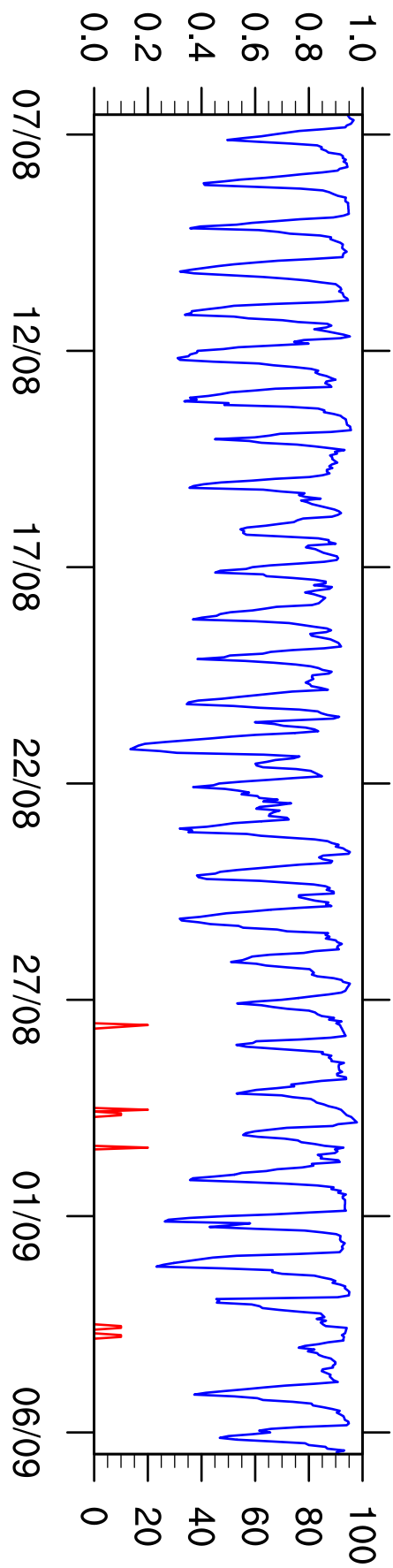


19 UTC

E\_TOL (mol/km<sup>2</sup>/hr)



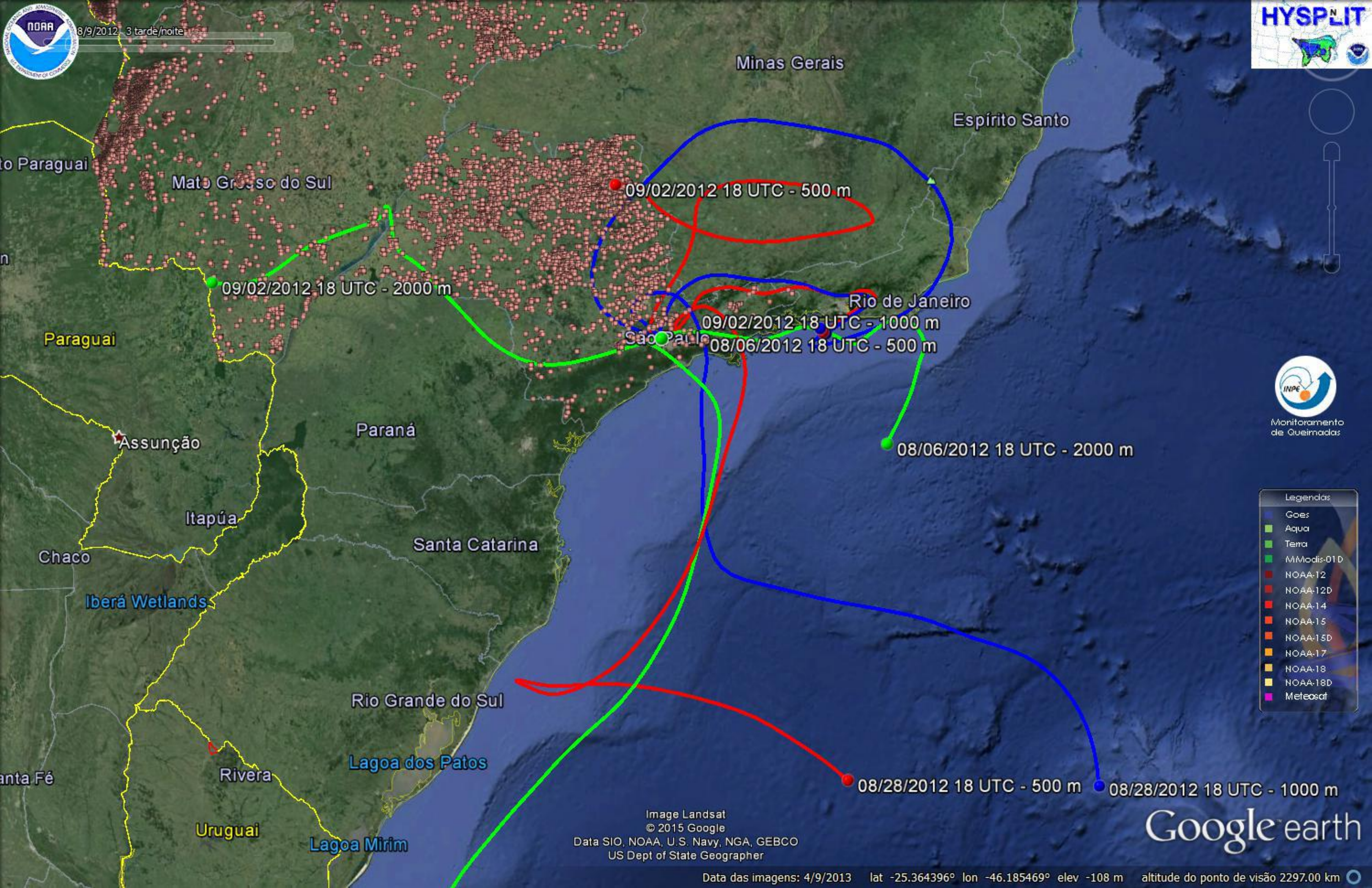
Precipitation (mm) [red]



Humidity (%) [blue]



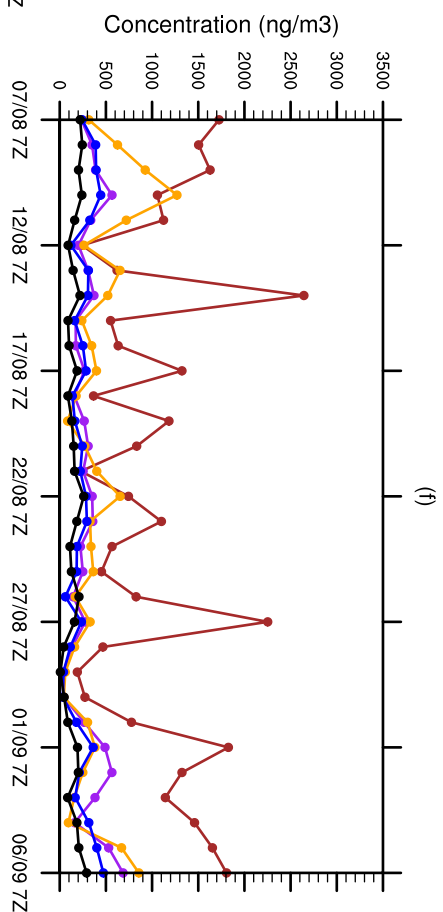
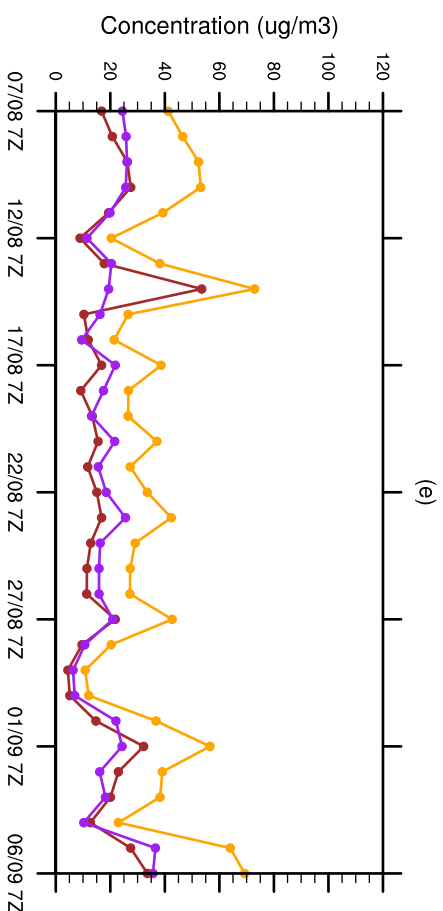
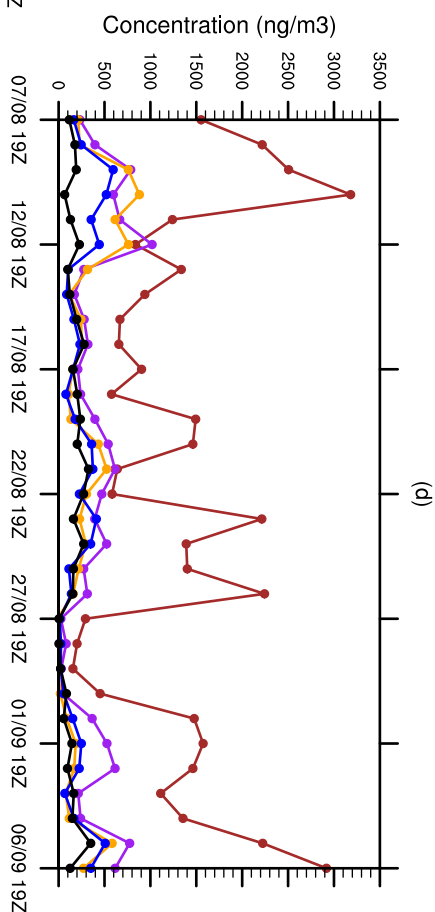
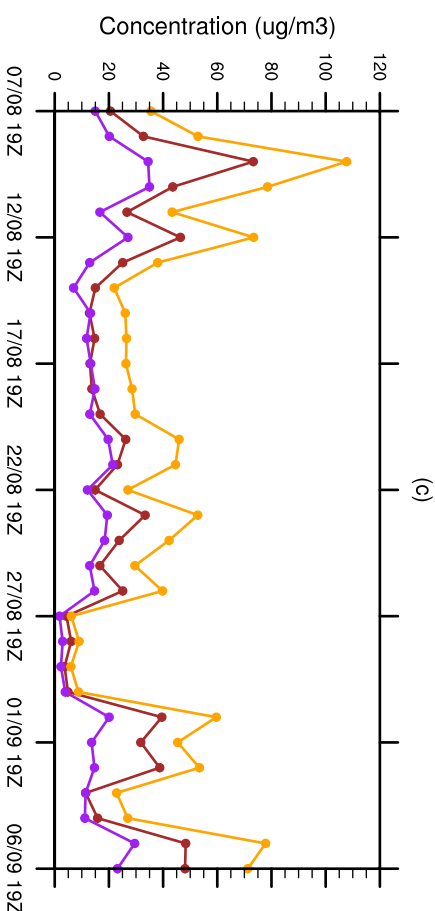
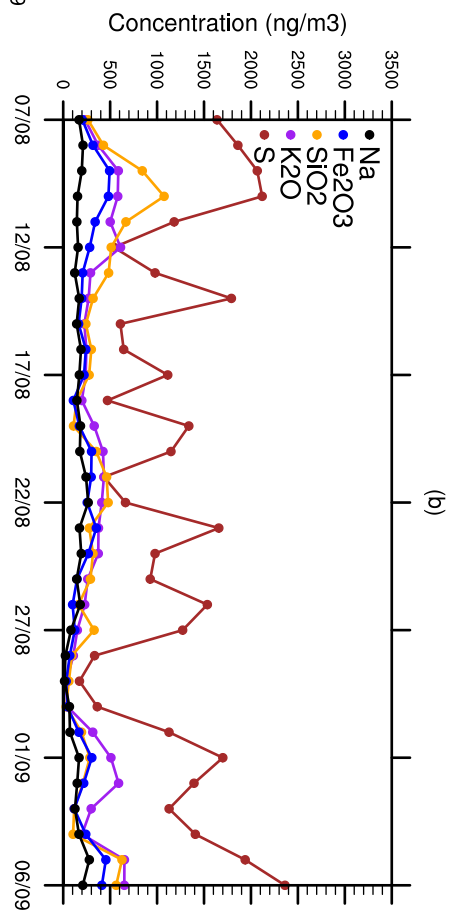
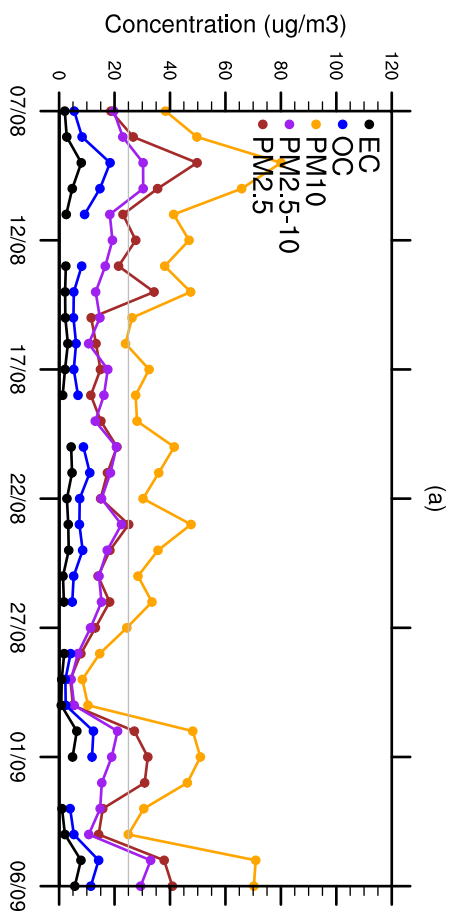
8/9/2012 3 tarde/noite



- Legendas
- Goes
  - Aqua
  - Terra
  - MModis-01D
  - NOAA-12
  - NOAA-12D
  - NOAA-14
  - NOAA-15
  - NOAA-15D
  - NOAA-17
  - NOAA-18
  - NOAA-18D
  - Meteosat

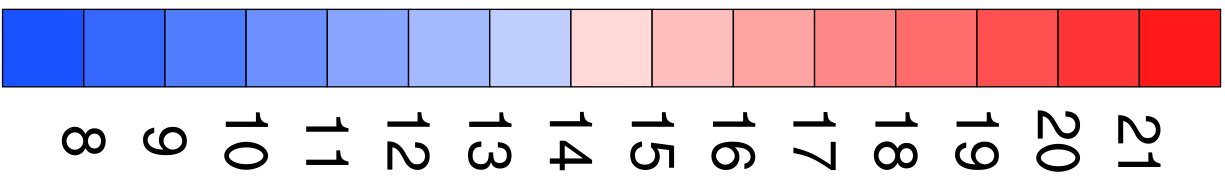
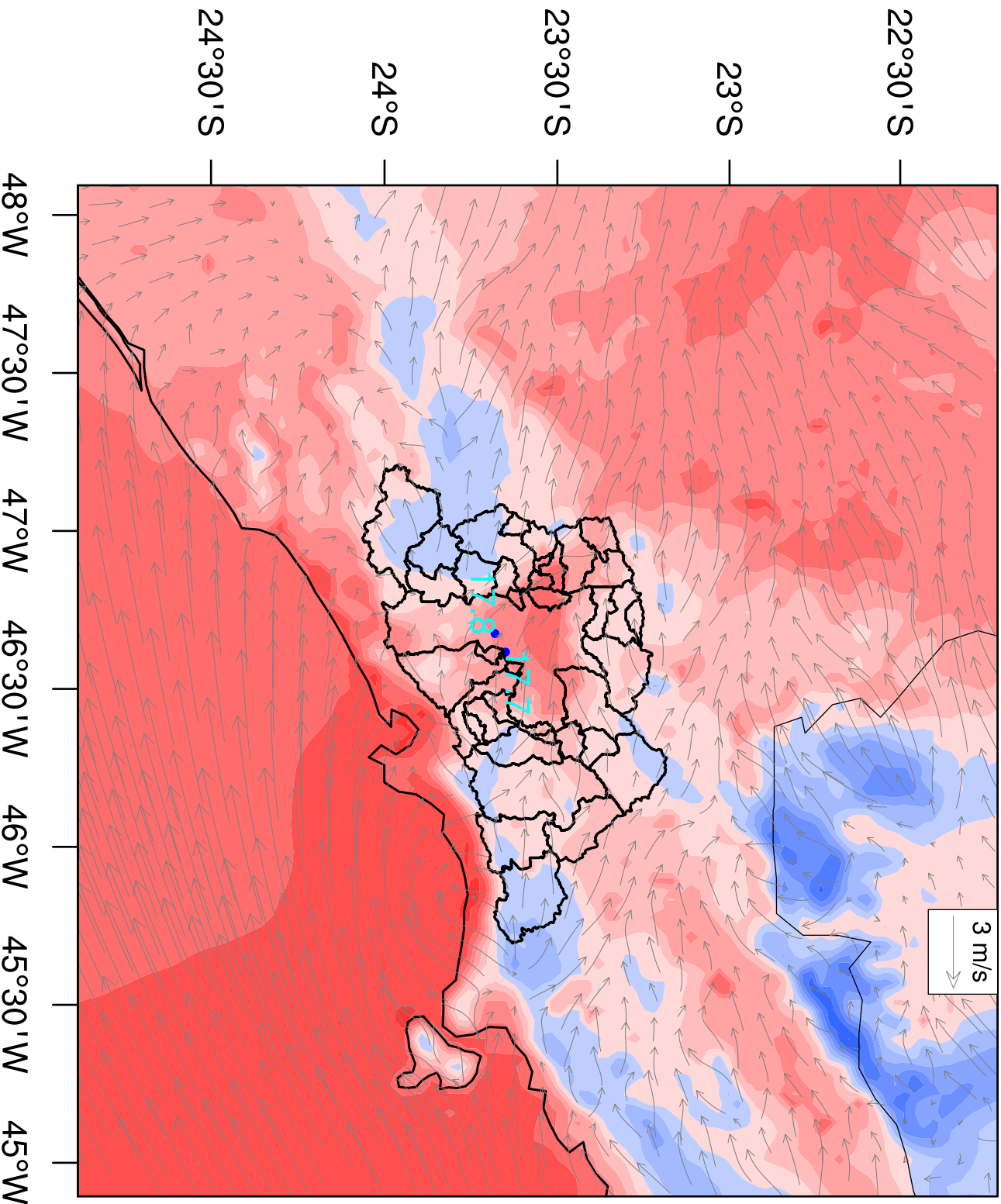
Image Landsat  
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 Data SIO, NOAA, U.S. Navy, NGA, GEBCO  
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Google earth

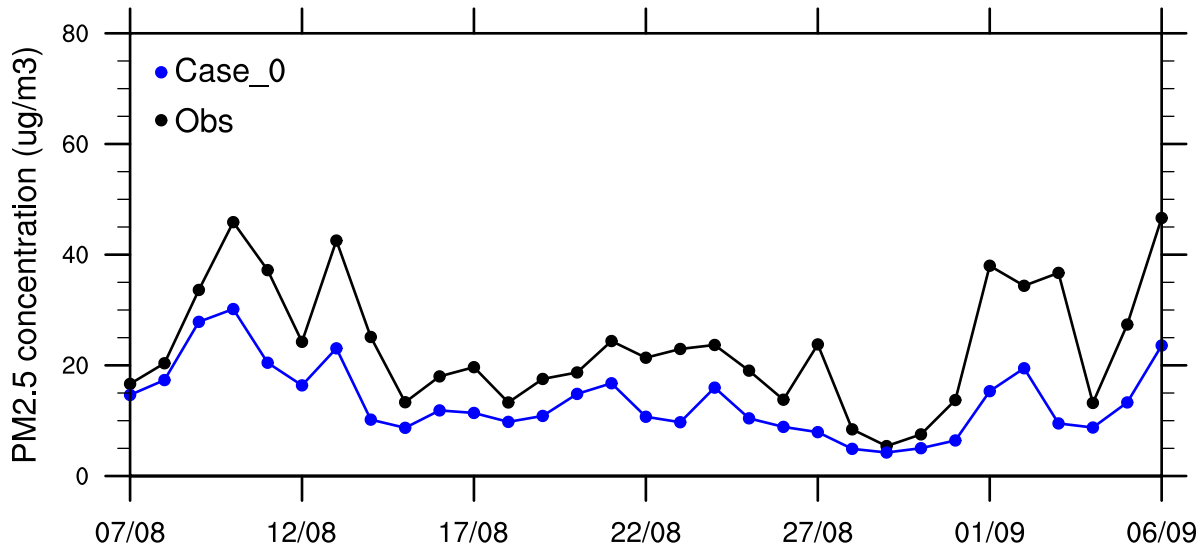


Temperature at 2m (Case\_0)

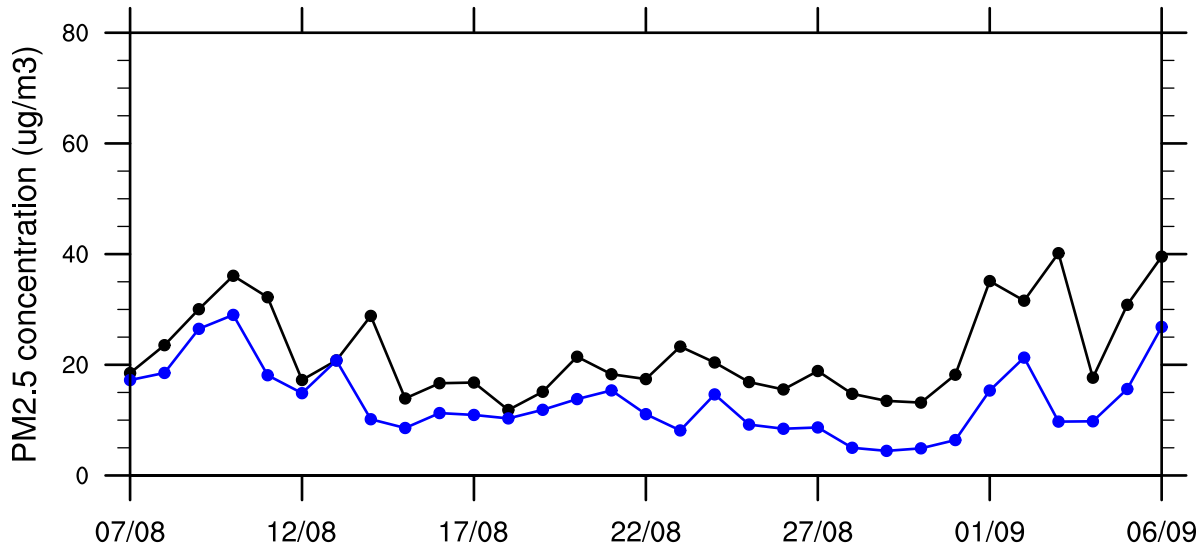
degrees C



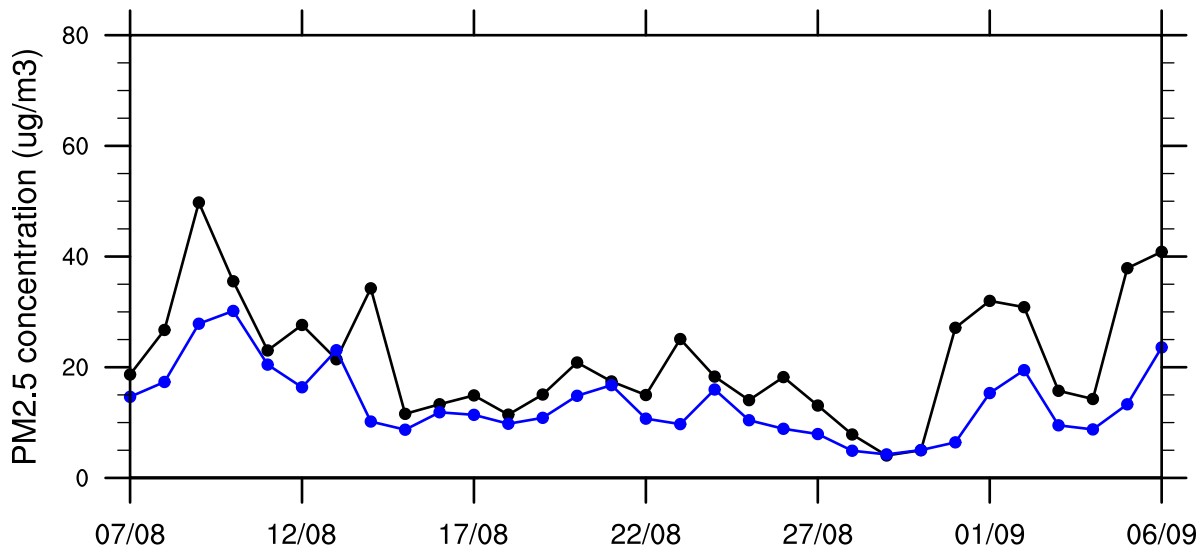
### IPEN-USP

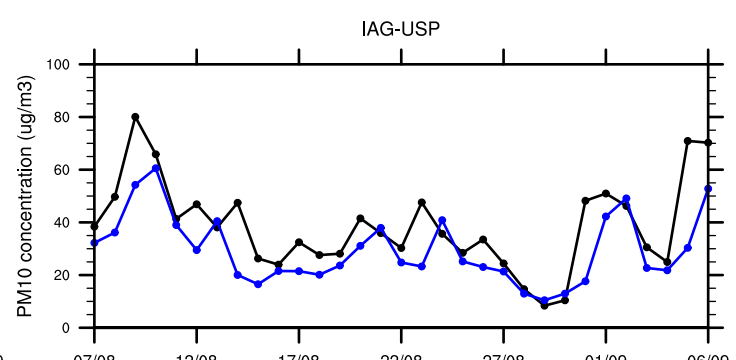
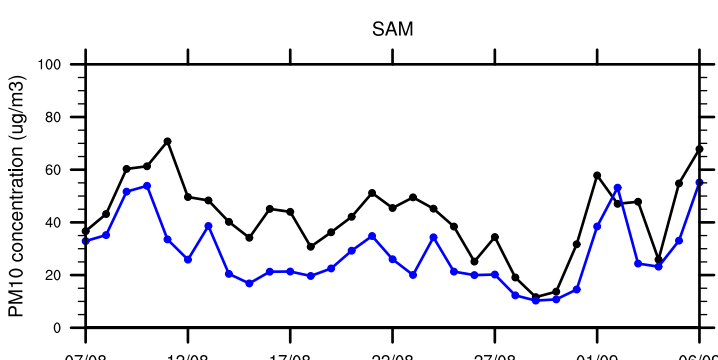
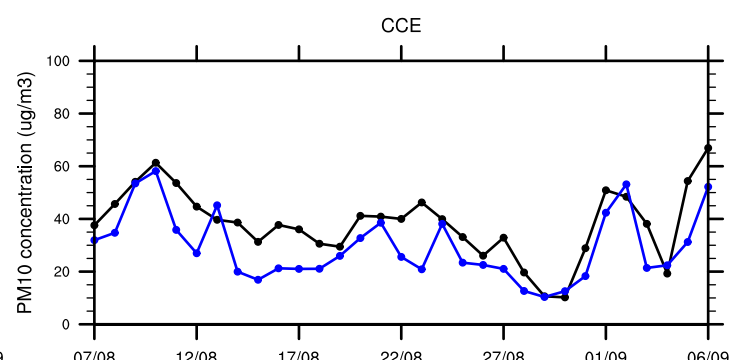
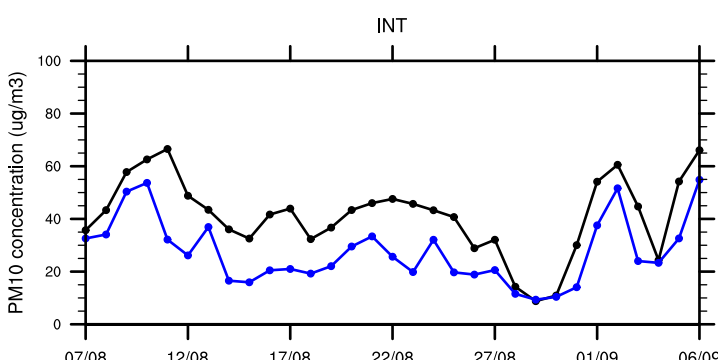
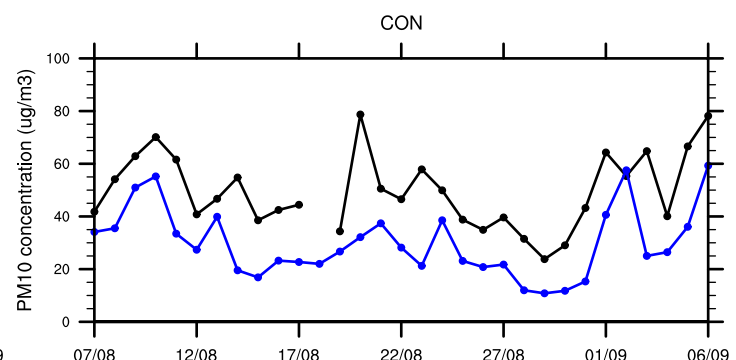
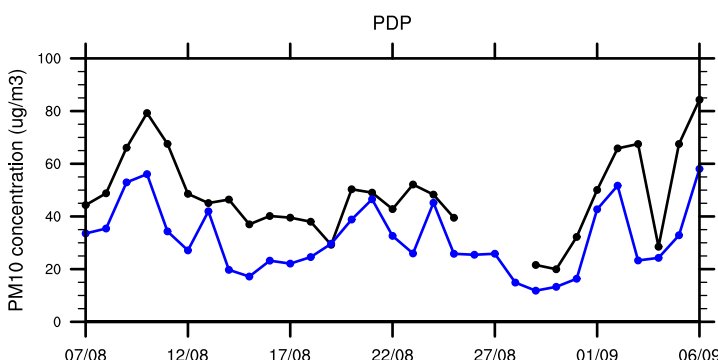
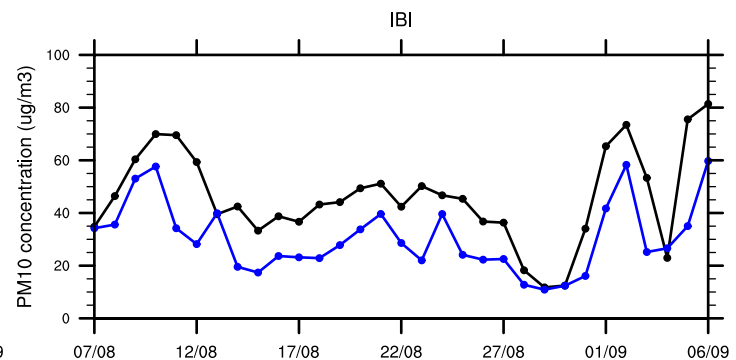
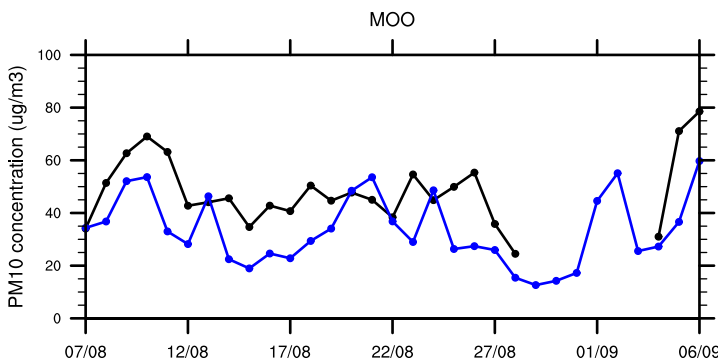
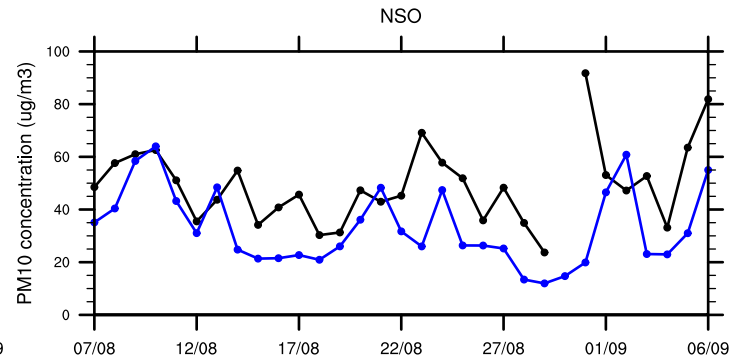
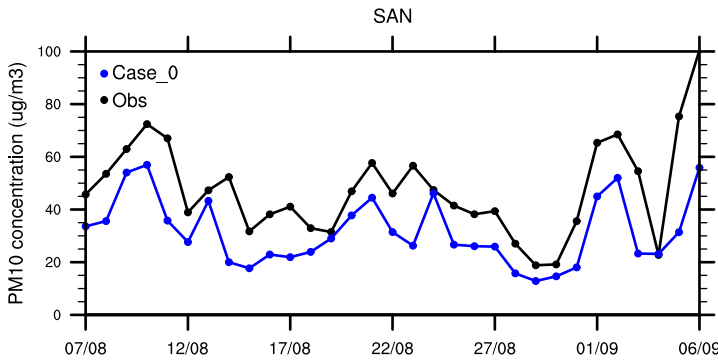


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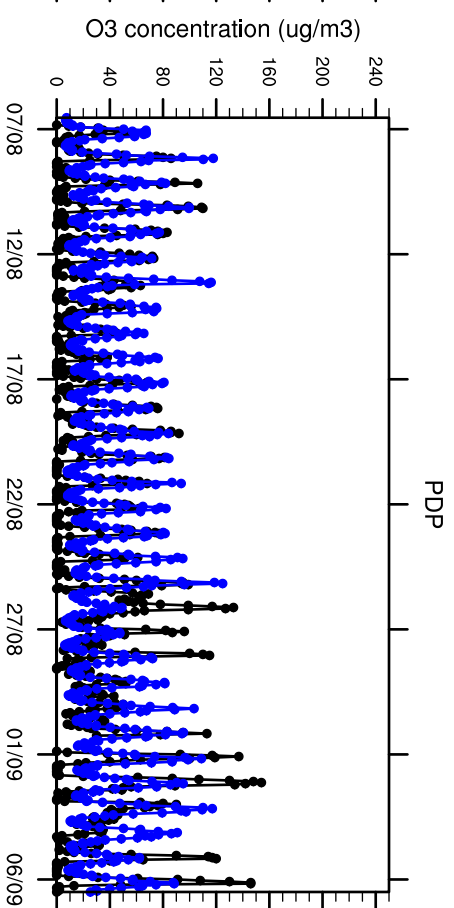
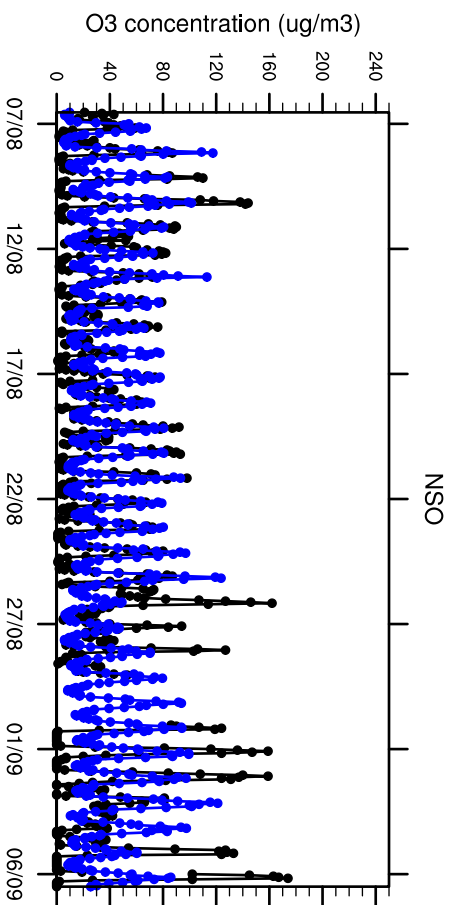
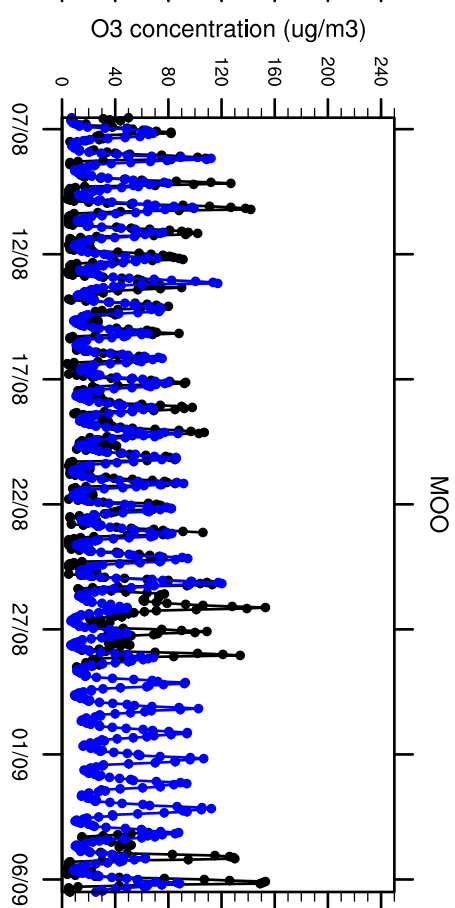
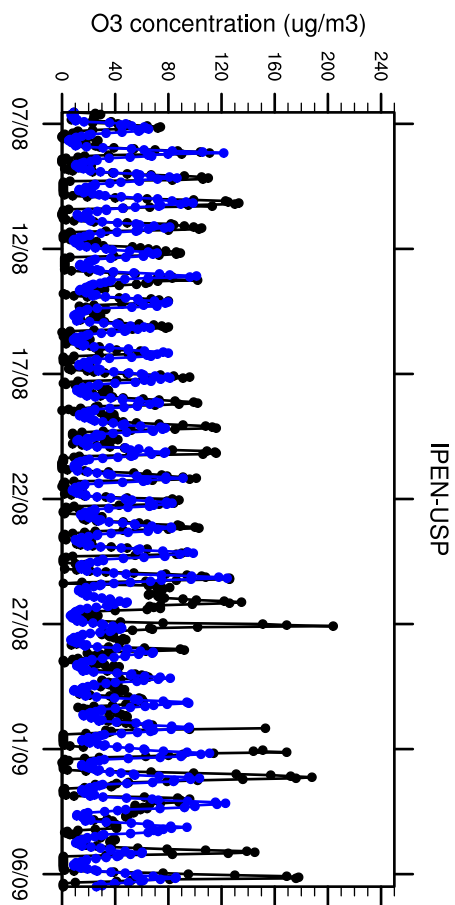
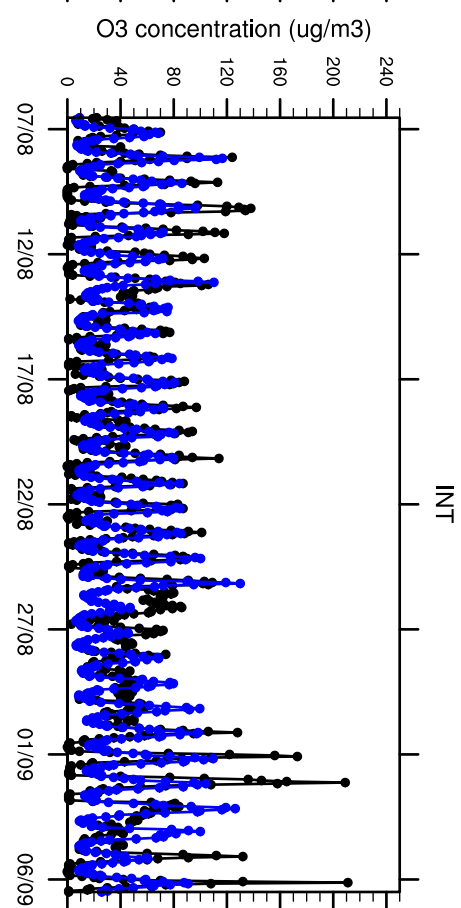
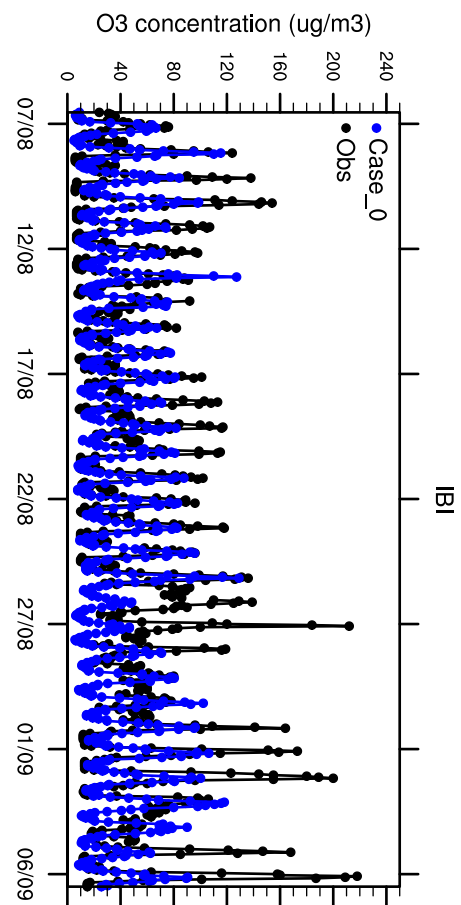


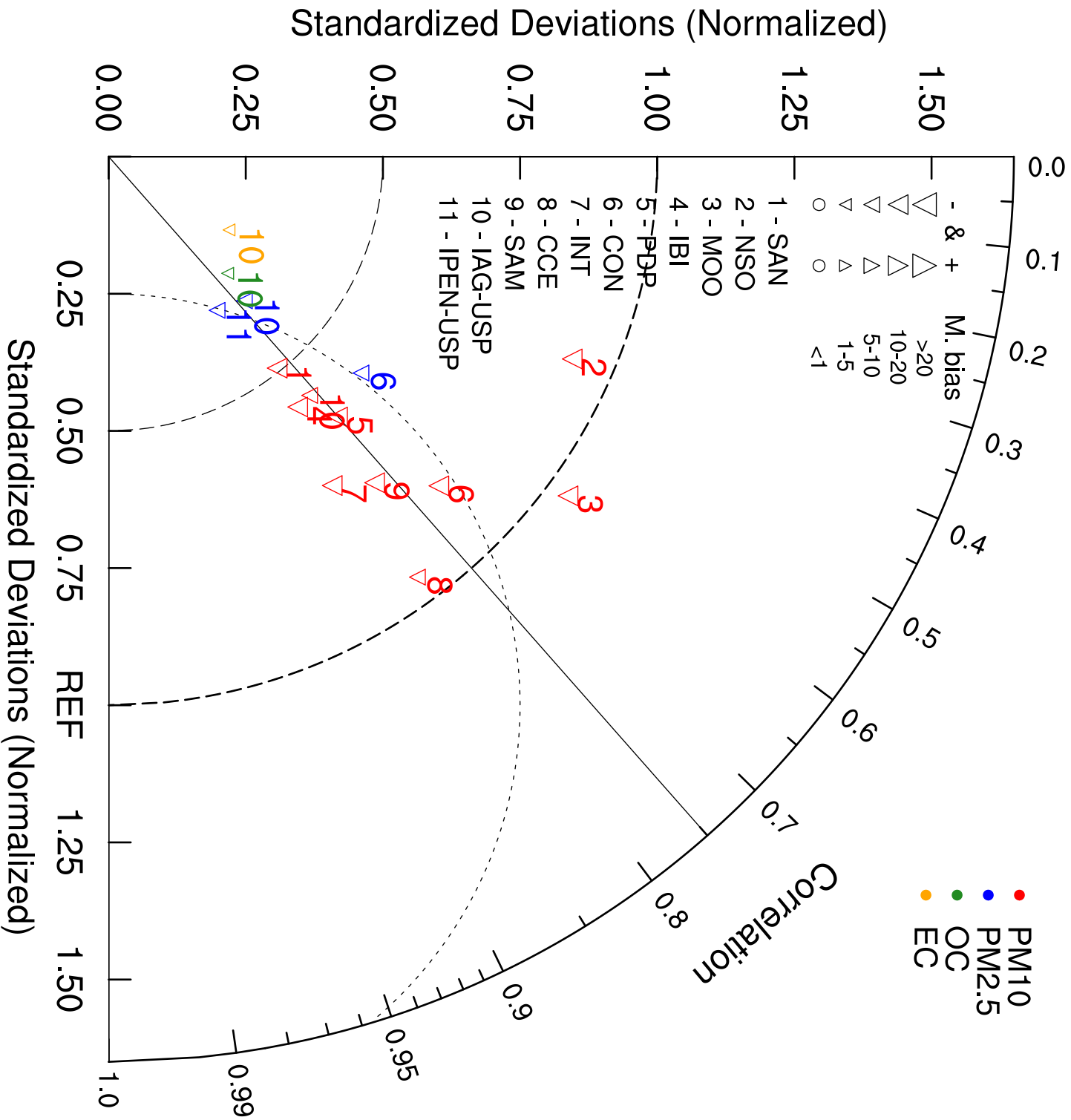
### IAG-USP





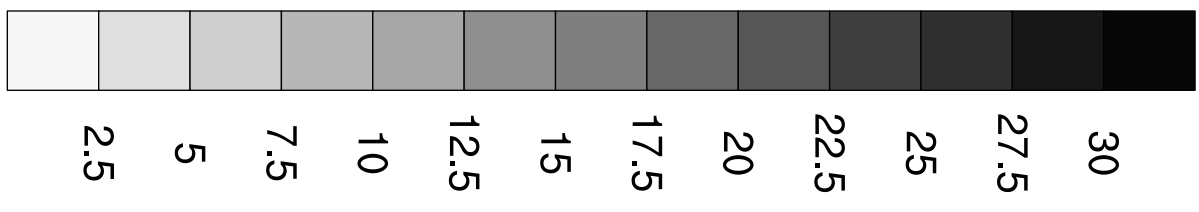
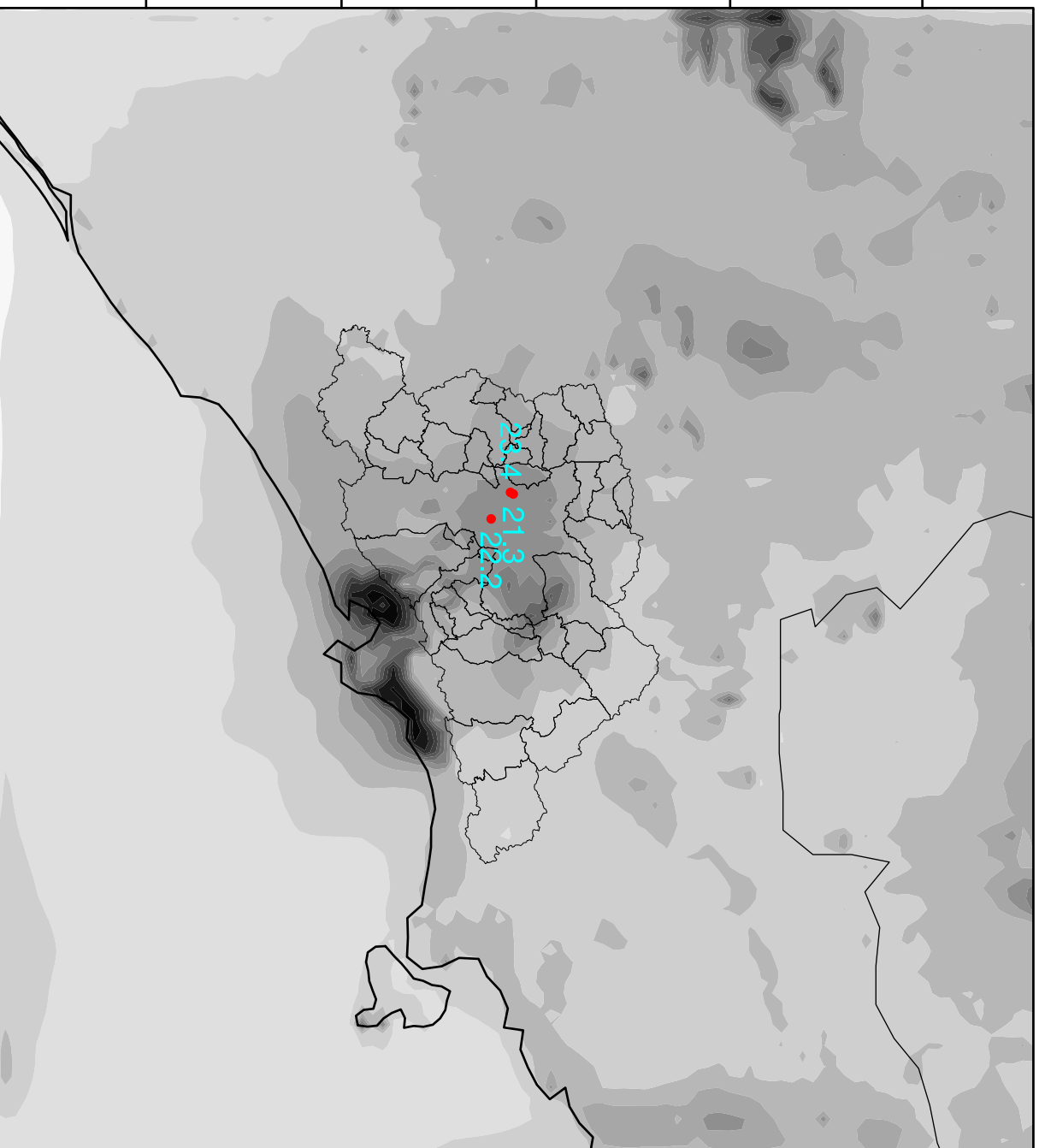






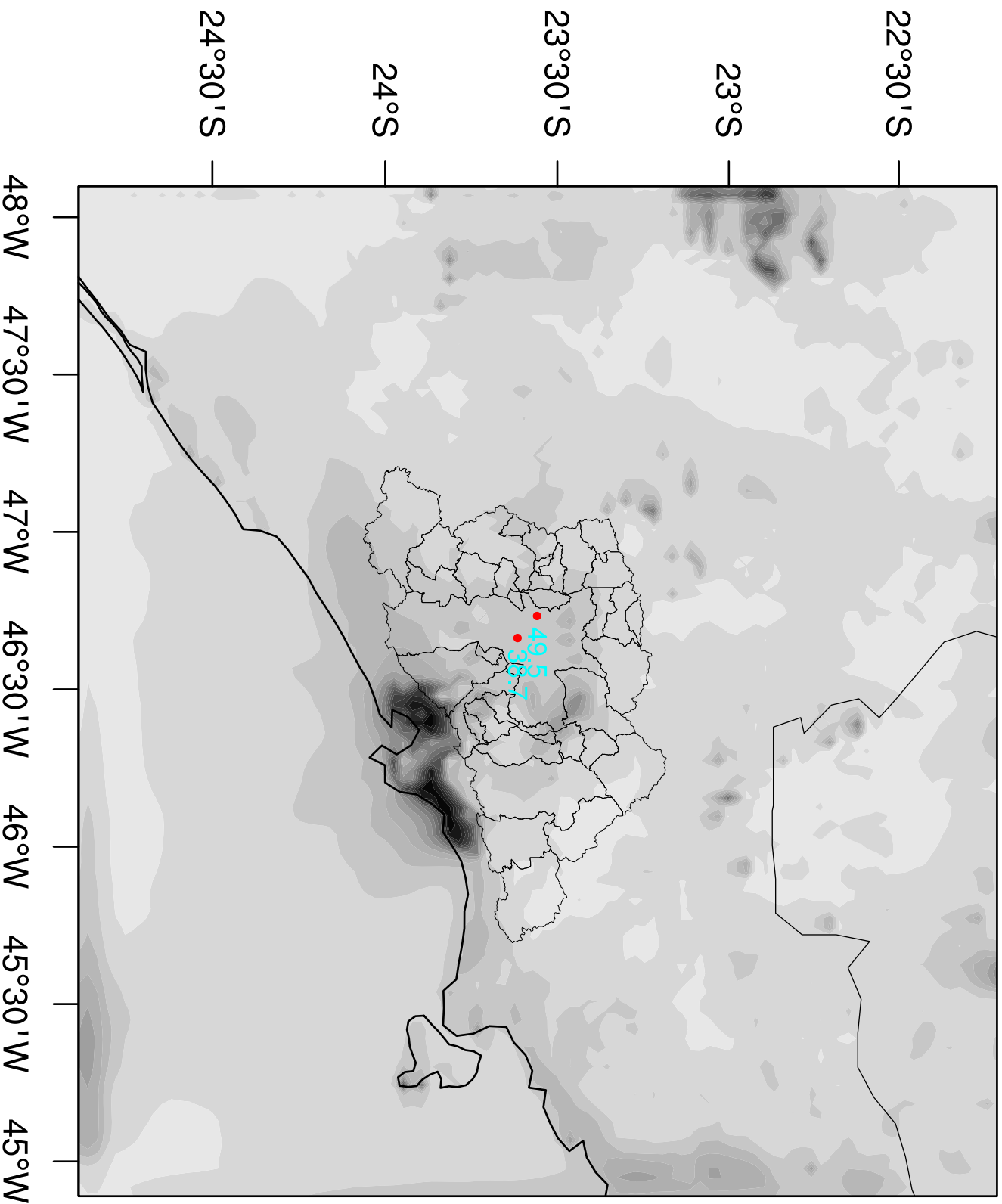
PM2.5 (Case\_0)

ug/m3



# PM10 (Case\_0)

ug/m3



# PM2.5 / PM10 (Case\_0)

